"A City for All Ages"

CITY OF PORT ST. LUCIE, FLORIDA

AGENDA

City Council Meeting

Monday, January 11, 2016 - 7:00 p.m.

City Hall Council Chambers
121 SW Port St. Lucie Boulevard
Port St. Lucie, Florida 34984

Mayor and City Council

Gregory J. Oravec, Mayor

Linda Bartz, Vice Mayor	**District I**
Michelle Lee Berger, Councilwoman	**District II**
Shannon Martin, Councilwoman	**District III**
Ronald E. Bowen, Councilman	**District IV**

Administration

Jeff Bremer, City Manager
Pam E. Booker, City Attorney
Karen A. Phillips, City Clerk

NOTICE OF COUNCIL MEETINGS AND AGENDAS

The second and fourth Monday of each month are the regular meeting dates for the City Council; special meetings may be called whenever necessary. Council Agendas are on the City's website and the bulletin board in the lobby of City Hall on the Thursday prior to each regular Council meeting. A public copy of the complete agenda is also available for review in the City Clerk's Office and at the City Hall lobby reception desk. Questions regarding the agenda should be directed to the City Clerk at (772) 871-5157.

Web Site: http://www.cityofpsl.com

Agenda
City Council Meeting
City Hall Council Chambers
121 SW Port St. Lucie Boulevard
Monday, January 11, 2016 – 7:00 p.m.

Anyone wishing to speak during Public to be Heard is asked to fill out a Beige Participation Card and submit it to the City Clerk. Anyone wishing to speak on any Agenda Item or at a Public Hearing is asked to fill out a Green Participation Card and submit it to the City Clerk.

Participation Cards are available on the side table in Council Chambers, at the Reception Desk in City Hall lobby, and in the City Clerk's Office.

AS A COURTESY TO THE PEOPLE RECORDING THE MEETING, PLEASE TURN ALL CELL PHONES TO SILENT.

1. **MEETING CALLED TO ORDER**

2. **ROLL CALL**

3. **INVOCATION & PLEDGE OF ALLEGIANCE**

4. **PROCLAMATIONS AND SPECIAL PRESENTATIONS**

   A. **SPECIAL PRESENTATION** - GERMAN AMERICAN CLUB OF THE TREASURE COAST

   B. **PROCLAMATION** – ARBOR DAY

   C. **PROCLAMATION** – HEALTHIEST WEIGHT FLORIDA

   D. **PROCLAMATION** – MARTIN LUTHER KING, JR. DAY

5. **PUBLIC TO BE HEARD**

6. **ADDITIONS OR DELETIONS TO AGENDA AND APPROVAL OF AGENDA**

7. **APPROVAL OF CONSENT AGENDA**

   A. **APPROVAL OF MINUTES** - REGULAR COUNCIL NOVEMBER 23, DECEMBER 7 AND DECEMBER 14, 2015

   B. **DECLARATION OF UNITY OF TITLE**, RPR INVESTMENTS, INC., TO COMBINE LOTS 1 AND 2, BLOCK 234, PORT ST. LUCIE SECTION 28, TO ALLOW THE OWNER TO COMBINE THE LOTS INTO ONE PARCEL, LEGAL DEPARTMENT

   C. **DECLARATION OF UNITY OF TITLE**, PAMELA J. PARRISH, TO COMBINE LOTS 8 AND 21, BLOCK 556, PORT ST. LUCIE SECTION 13, TO ALLOW THE OWNER TO COMBINE THE LOTS INTO ONE PARCEL,

LEGAL DEPARTMENT

D. **DECLARATION OF UNITY OF TITLE**, BERNARDO A. AND KATRINA ARAYA, TO COMBINE LOTS 18 AND 19, BLOCK 1709, PORT ST. LUCIE SECTION 31, TO ALLOW THE OWNER TO COMBINE THE LOTS INTO ONE PARCEL, LEGAL DEPARTMENT

E. **WAIVER OF BID, CHAPTER 35.06,** EQUAL TO OR LOWER THAN EXISTING STATE CONTRACT #21100000-15-1, #20160034, PURCHASE FIVE GRAVELY XDZ SERIES RIDING MOWERS FROM **JORDAN MOWER – GOODKNIGHT LAWN EQUIPMENT,** $49,997.10, PROCUREMENT MANAGEMENT DEPARTMENT

F. **SAN GENNARO SOCIETY OF PSL,** REQUEST FOR CITY SPONSORSHIP FOR THE SOLE PURPOSE OF LOCATING TEMPORARY SIGNAGE WITHIN THE CITY'S RIGHTS-OF-WAYS FOR THEIR 7th ANNUAL PSL FESTIVAL ITALIANO SCHEDULED FOR FEBRUARY 27TH & 28TH , 2016, CITY MANAGER

9. **OTHER PUBLIC HEARINGS**

A. **PORT ST. LUCIE RESIDENTIAL STREET LIGHTING ASSESSMENT AREA, BOUNDARY #451,** SE HUSTED TERRACE

B. **PORT ST. LUCIE RESIDENTIAL STREET LIGHTING ASSESSMENT AREA, BOUNDARY #452,** SE SEASHORE LANE

C. **PORT ST. LUCIE RESIDENTIAL STREET LIGHTING ASSESSMENT AREA, BOUNDARY #453,** SE LOFGREN AVENUE

D. **PORT ST. LUCIE RESIDENTIAL STREET LIGHTING ASSESSMENT AREA, BOUNDARY #454,** SE GIFFEN AVENUE

E. **PORT ST. LUCIE RESIDENTIAL STREET LIGHTING ASSESSMENT AREA, BOUNDARY #455,** SW KASIN STREET

10. **FIRST READING OF ORDINANCES**

A. **ORDINANCE 16-01,** AN ORDINANCE TO REZONE 0.55 ACRES OF PROPERTY LOCATED ON THE SOUTHWEST CORNER OF DOMINA ROAD AND CAMPANA STREET FROM RS-2 (SINGLE FAMILY RESIDENTIAL) TO CS (SERVICE COMMERCIAL) FOR A PROJECT KNOWN AS ARAYA, BERNARDO A. AND KARINA, P15-180; PROVIDING FOR AN EFFECTIVE DATE.

11. **RESOLUTIONS**

A. **RESOLUTION 15-R111, PUBLIC HEARING,** A RESOLUTION GRANTING A SPECIAL EXCEPTION USE PROVIDED FOR IN SECTION 158.124(C)(11) and (12) TO ALLOW AN AUTOMOBILE FUEL SERVICE AND RETAIL CONVENIENCE STORE IN THE CG (GENERAL COMMERCIAL) ZONING DISTRICT FOR CUMBERLAND FARMS (JAMES

J. MCGLONE, JR.), LEGALLY DESCRIBED AS LOTS 1 - 8, A PORTION OF LOTS 9 & 10, BLOCK 1482, SECTION 16 AND LOTS 65 - 67, BLOCK 1440, SECTION 15, P15-171; PROVIDING AN EFFECTIVE DATE.

12. UNFINISHED BUSINESS

13. NEW BUSINESS

A. **CONTINUING PROFESSIONAL CONSTRUCTION ENGINEERING INSPECTION (CEI) SERVICES PROJECT,** APPROVE FIRMS SHORT LISTED BY EVALUATION COMMITTEE: #1 CULPEPPER & TERPENING, INC., #2 MILESTONE CONSTRUCTION GROUP, INC., #3 CAPTEC ENGINEERING, INC., AND #4 TARGET ENGINEERING GROUP, INC., AND BEGIN NEGOTIATIONS FOR A FIXED FEE RATE CONTINUING CONTRACT, #20150126, PROCUREMENT MANAGEMENT DEPARTMENT

B. **UNDERWRITING SERVICES,** APPROVE FIRMS SHORT LISTED BY EVALUATION COMMITTEE: #1 CITIGROUP GLOBAL MARKETS, INC., #2 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC., #3 RBC CAPITAL MARKETS, LLC., AND #4 WELLS FARGO BANK, N.A. MUNICIPAL PRODUCTS GROUP, #20160020, FEES AND COSTS TO BE NEGOTIATED WITH THE SELECTED FIRM FOR EACH BOND AGREEMENT, CONTRACT PERIOD IS A FIVE YEAR TERM WITH A RENEWAL OF THE SAME TERM, PROCUREMENT MANAGEMENT DEPARTMENT

C. **BOND COUNSEL AND BOND DISCLOSURE COUNSEL,** APPROVE FIRMS SHORT LISTED BY EVALUATION COMMITTEE: #1 NABORS GIBLIN & NICKERSON P.A., FOR BOND COUNSEL, #2 BRYANT MILLER OLIVE P.A., FOR DISCLOSURE COUNSEL, #20160014, FEES AND COSTS TO BE NEGOTIATED WITH EACH BOND AGREEMENT, CONTRACT PERIOD IS A FIVE YEAR TERM WITH A RENEWAL OF THE SAME TERM, PROCUREMENT MANAGEMENT DEPARTMENT

D. **CAPTEC ENGINEERING, INC.,** CONSTRUCTION ENGINEERING AND INSPECTION (CEI) FOR THE US1 TRANSIT CORRIDOR BUS SHELTER PROJECT, #20150031, $63,315, PLUS A ONE-TIME $10 INDEMNIFICATION FEE, CONTRACT PERIOD IS FOR 300 CALENDAR DAYS, PROCUREMENT MANAGEMENT DEPARTMENT

E. **ANNUAL INVESTMENT IN THE ECONOMIC DEVELOPMENT COUNCIL OF ST. LUCIE COUNTY,** REQUESTING FY 15-16 "INVESTMENT/PARTNERSHIP FEE" OF $80,000, ASSISTANT CITY MANGER-COMMUNITY DEVELOPMENT DIRECTOR

F. **CITY OF PORT ST. LUCIE VS. VACCINE AND GENE THERAPY INSTITUTE,** PURSUANT TO SECTION 286.011(8), FLORIDA STATUTES, THE CITY ATTORNEY REQUESTS AN ATTORNEY/CLIENT SESSION WITH THE CITY COUNCIL TO DISCUSS THE ABOVE-REFERENCED PENDING LITIGATION, CASE NO. 2015-CA-000858, CITY ATTORNEY

14. **DETERMINATION OF EXCUSED ABSENCES**

A. **COUNCILWOMAN MARTIN,** REGULAR COUNCIL MEETINGS, DECEMBER 7 AND 14, 2015

15. **CITY MANAGER'S REPORT**

16. **COUNCILMEMBERS REPORT ON COMMITTEE ASSIGNMENTS**

17. **PUBLIC TO BE HEARD** - (IF NECESSARY AS DETERMINED BY CITY COUNCIL AT CONCLUSION OF PUBLIC TO BE HEARD)

18. **ADJOURN**

NOTICE: No stenographic record by a certified court reporter will be made of the foregoing meeting. Accordingly, any person who may seek to appeal any decision involving the matters noticed herein will be responsible for making a verbatim record of the testimony and evidence at said meeting upon which any appeal is to be based.

NOTICE: The public and press are invited to review the backup for Council meetings. Copies are available on the City's web site and in the City Clerk's Office and the Communication Department on Thursday, Friday, and Monday before Council meetings. On meeting nights, a copy of the backup material is available in the Reception Area of City Hall for public review.

January Meeting Calendar

DATE	MEETING	TIME	LOCATION
1-05-16	Planning & Zoning Board Meeting	1:30 p.m.	City Hall Complex Council Chambers Building A
1-06-16	Keep Port St. Lucie Beautiful Committee Meeting	3:00 p.m.	City Hall Complex Room 210 Building B
1-07-16	Affordable Housing Advisory Committee Meeting	9:00 a.m.	City Hall Complex Room 188 Building A
1-11-16	City Council Meeting	7:00 p.m.	City Hall Complex Council Chambers Building A
1-13-16	Special Magistrate Hearing	9:00 a.m.	City Hall Complex Council Chambers Building A
1-13-16	Site Plan Review Committee Meeting	1:30 p.m.	City Hall Complex Training Room Building B
1-14-16	Contractors' Examining Board Meeting	9:30 a.m.	City Hall Complex Council Chambers Building A
1-25-16	City Council Meeting	7:00 p.m.	City Hall Complex Council Chambers Building A
1-27-16	Special Magistrate Hearing	9:00 a.m.	City Hall Complex Council Chambers Building A
1-27-16	Site Plan Review Committee Meeting	1:30 p.m.	City Hall Complex Training Room Building B

CA011116

NOTICE
AGENDA ADDENDUM
CITY COUNCIL REGULAR MEETING
JANUARY 11, 2016

7. **CONSENT AGENDA**

g) **KNIGHTS OF COLUMBUS #7514,** TEMPORARY LICENSE AGREEMENT FOR THE PURPOSE OF OVERFLOW PARKING FOR THEIR WINTER CARNIVAL SCHEDULED FOR JANUARY 28 THROUGH JANUARY 31, 2016, CITY ATTORNEY

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 4A
Meeting Date: ~~1/11/16~~

TO: Mayor and City Council

FROM: Jeff Bremer, City Manager

Agenda Item: Special presentation: By Josef Glass, President of the German American Club of the Treasure Coast

Submittal Date: 1/4/2016

STRATEGIC PLAN LINK: This item relates to our Strategic Plan regarding the 2020 Goals: Expanded leisure activities.

BACKGROUND: The German American Club has worked with the City for over 10 years.

ANALYSIS: The German American Club would like to present the City Council with a check for $1000.00 to put toward supporting Port St. Lucie children that cannot afford to attend summer camp programs sponsored by the Parks & Recreation Dept.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: N/A

STAFF RECOMMENDATION: Accept the donation and use it towards the suggested programs.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: 3-5 minutes by Josef Glass, President of the German American Club of the Treasure Coast.

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: N/A

ATTACHMENTS: email from Josef Glass

Jasmin Padova

From: Jeffrey Bremer
Sent: Monday, January 04, 2016 7:42 AM
To: Jasmin Padova
Cc: Reporter
Subject: FW: CITY COUNCIL MEETING

Jaz:

Will you please set this up as a presentation and notify Mr. Glass of the date. I think the 11th would fit.

Thanks,

Jeff

From: Josef Glass [mailto:trudesepp@aol.com]
Sent: Wednesday, December 30, 2015 7:43 PM
To: Jeffrey Bremer <jbremer@cityofpsl.com>
Subject: CITY COUNCIL MEETING

Hello Mr. Bremer

I am writing on behalf of the German American Club of the Treasure Coast and am requesting to be added to the agenda for an upcoming City Council Meeting if possible in January 2016. The reason for this request is that the German American Club would like to present the city with a check for $1000.00 to put towards supporting Port St Lucie children that cannot afford to attend summer camp programs sponsored by PSL Parks & Recreation. The German Club has collaborated with The City of Port St. Lucie for over 10 years for Oktoberfest and we would like to give back.

I look forward to your response and thanks for your consideration

Josef Glass
President, German American Club of the Treasure Coast
cell: 772-485-6886

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 7B
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager JB

FROM: Pam E. Booker, City Attorney PEB For PEB

Agenda Item: Motion: Unity of Title – RPR Investments Inc to combine Lots, 1 and 2, Block 234, Port St. Lucie Section Twenty-Eight

Submittal Date: 12/23/2015

STRATEGIC PLAN LINK: PORT ST LUCIE MISSION PRINCIPALS B AND C, "RESPONSIVE TO THE COMMUNITY "AND "PLAN FOR SMART & BALANCED GROWTH"

BACKGROUND: The Unity of Title is being placed on the property, so that the parcels may be combined as one parcel for future development.

ANALYSIS: Pursuant to Chapter 158, Appendix B. Section V of the Port St. Lucie Code of Ordinances, a Unity of Title for RPR Investments Inc to combine lots 1 and 2, Block 234, Section Twenty-Eight, is presented for City Council consideration.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: The Unity of Title was prepared by City Attorney, Pam E. Booker and is approved as to form.

STAFF RECOMMENDATION: Motion to approve.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

RECEIVED
DEC 30 2015
City Manager's Office

LOCATION OF PROJECT: See attached map, Lots 1 and 2, Block 234, Port St. Lucie Section Twenty-Eight

ATTACHMENTS: Map; Unity of Title

This instrument was prepared by:
Pam Booker, City Attorney
City of Port St. Lucie
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984

UNITY OF TITLE

In consideration of the issuance of a Permit to **RPR INVESTMENTS INC, a Florida corporation,** in Port St. Lucie, Florida, and for other good and valuable considerations, the undersigned hereby agrees to restrict the use of lands described as follows, to-wit:

> Lots 1 and 2, Block 234, Port St. Lucie Section Twenty-Eight, according to the plat thereof, as recorded in Plat Book 7, Pages 1, of the Public Records of St. Lucie County, Florida.

in the following manner:

1. That said property shall be considered as one plot and parcel of land and that no portion of said plot and parcel of land shall be sold, transferred, devised, or assigned separately except in its entirety as one plot or parcel of land.

2. The undersigned further agrees that this condition, restriction and limitation shall be deemed a covenant running with the land, and shall remain in full force and effect, and be binding upon the undersigned, their heirs, successors and/or assigns until such time as the same may be released in writing by the City Council.

3. The undersigned further agrees that this instrument shall be recorded in the Public Records of St. Lucie County.

Signed, sealed, and acknowledged on the ____ day of _____, 2016, in St. Lucie County, Florida.

_____ By: _____
Witness
(Print Name):_____ Print Name: _____

Title: _____

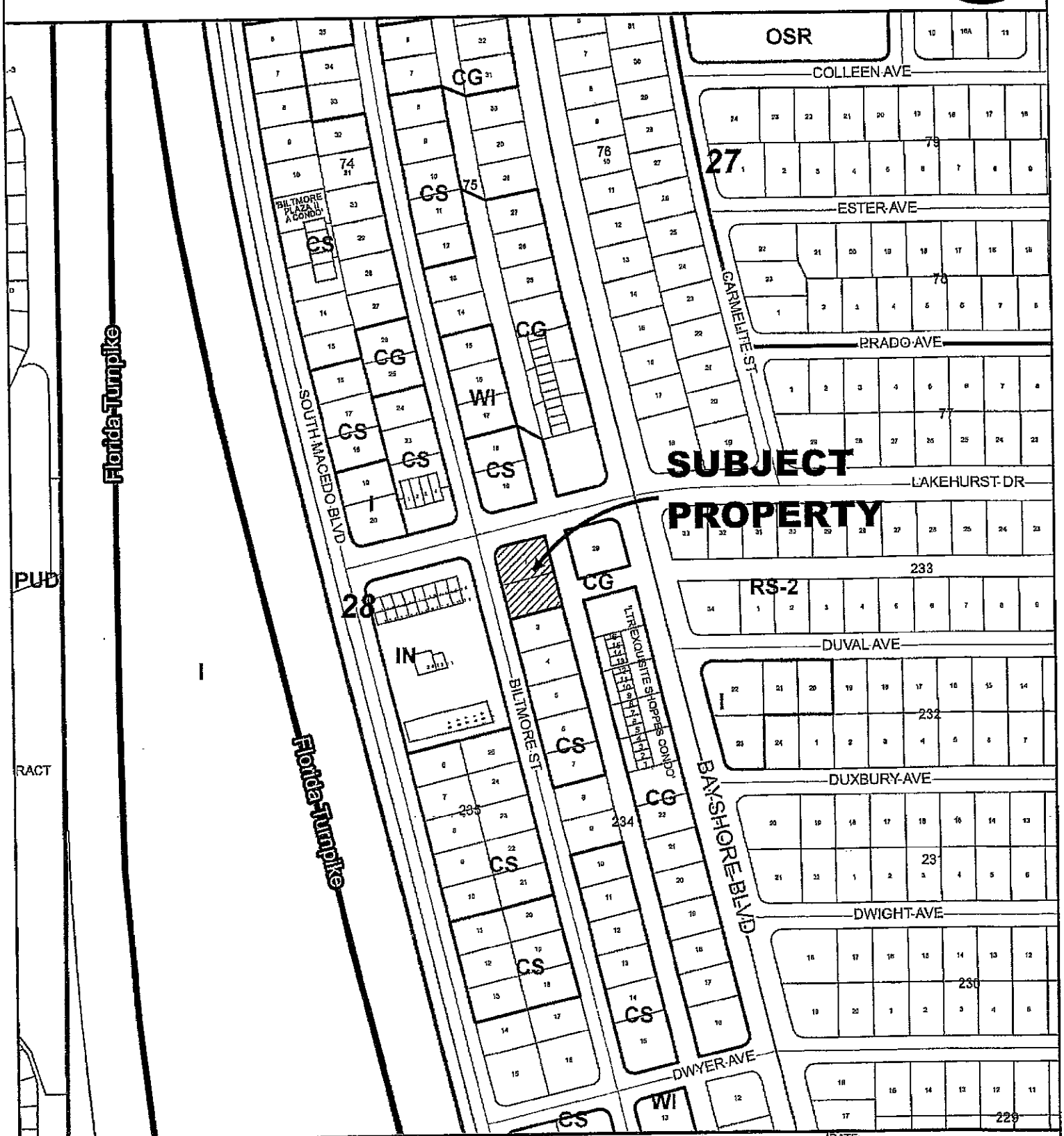
_____
Witness
(Print Name): _____ CORPORATE SEAL

STATE OF FLORIDA
COUNTY OF _____

The foregoing instrument was acknowledged before me this ____ day of _____ _____, 2016, by_____ , as _____ for RPR INVESTMENTS INC, a Florida corporation, who ☐ is personally known to me, or ☐ produced _____ as identification.

_____
Notary Public

EXISTING ZONING



CITY OF PORT ST. LUCIE PLANNING & ZONING DEPT.	REZONING RPR INVESTMENTS, INC. SECTION 28, BLOCK 234, LOTS 1 & 2	DATE: 12/8/2015 APPLICATION NUMBER: P15-191 USER: patricias SCALE: 1 in = 300 ft	

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 7C
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager JB

FROM: Pam E. Booker, City Attorney (OK for PEB)

Agenda Item: Motion: Declaration of Unity of Title – Pamela J. Parrish to combine Lots 8 and 21, Block 556, Port St. Lucie Section Thirteen

Submittal Date: 12/23/2015

STRATEGIC PLAN LINK: PORT ST LUCIE MISSION PRINCIPALS B AND C, "RESPONSIVE TO THE COMMUNITY "AND "PLAN FOR SMART & BALANCED GROWTH"

BACKGROUND: As single family residential lots within the City were being developed, a concern arose involving the creation of non-conforming uses on those platted lots, such as an accessory use with no principle or primary use. A primary use would be the construction of a house on a single family residential lot. In contrast, an example of an accessory use would be the placement of a detached garage on a separate lot without joining the lots through an exemption from platting and therefore resulting in the creation of a nonconforming use of that second, separate lot that is under the same ownership.

Amending Section 158.006(A), entitled "Definitions," and Section 158.217(C)(1), entitled "Accessory Uses and Structures," of the City's Zoning Code via Ordinance 11-39 served to clarify what would be an acceptable accessory use on a lot and what the City requires in order to place a permanent structure, other than a fence, on that lot. Under the City's Zoning Code, as amended, temporary structures such as fences and items that are easily movable, in addition to certain permanent structures, are permissible accessory uses on a lot that is not developed with or primarily being used for a house provided that said lot is contiguous to the lot with the house and under the same ownership.

ANALYSIS: In accordance with the applicable City codes, rules and policies, the owner(s) of record, Pamela J. Parrish, desires to join the following lands described as Lots 8 and 21, Block 556, Port St. Lucie Section Thirteen, so that the subject property shall be considered as one plot or parcel of land, and that no portion thereof shall

be sold, assigned, transferred, conveyed, devised, or mortgaged separately except in its entirety, as one plot or parcel of land. The attached Declaration of Unity of Title shall be executed by the owner(s) of record and recorded in the Public Records of St. Lucie County. Further, this condition, restriction and limitation on the use of the land in consideration of the issuance of a permit for the subject property shall be deemed a covenant running with the land until such time as the same is released in writing by the City of Port St. Lucie, or its successor, in accordance with the applicable rules, codes or ordinances of the City which are then in effect.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: The Declaration of Unity of Title was prepared by City Attorney, Pam E. Booker and is approved as to form.

STAFF RECOMMENDATION: Motion to approve.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: See attached map, Lots 8, and 21, Block 556, Port St. Lucie Section Thirteen

ATTACHMENTS: Map and Declaration of Unity of Title

RECEIVED

DEC 30 2015

City Manager's Office

This instrument was prepared under the direction of:
Pam E. Booker, Esq.
Prepared by:
Nadia Westerik, Legal Secretary
CITY OF PORT ST. LUCIE
City Attorney's Office
121 S.W. Port St. Lucie Boulevard
Port St. Lucie, Florida 34984

(Space above this line reserved for recording office use only)

DECLARATION OF UNITY OF TITLE

KNOW ALL MEN BY THESE PRESENTS that the undersigned **PAMELA J. PARRISH**, is the fee simple owner(s) of the following described real property situated and being in the City of Port St. Lucie, Florida (the "Property"):

Lot(s) 8 and 21, Block 556, Port St. Lucie Section Thirteen, according to the plat thereof, as recorded in Plat Book 13, at Page(s) 4, of the Public Records of St. Lucie County, Florida.

WHEREAS, in consideration of the issuance of permit(s) for the Property and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned do(es) hereby make the following declarations of conditions, limitations and restrictions on said lands, hereinafter to be known and referred to as a Declaration of Unity of Title, as to the following particulars:

1. That the said Property shall be considered as one plot or parcel of land, and that no portion thereof shall be sold, assigned, transferred, conveyed, devised, assigned, or mortgaged separately except in its entirety, as one plot or parcel of land.
2. That this condition, restriction and limitation is intended and shall constitute a restrictive covenant concerning the use, enjoyment and title to the Property described above, and shall be deemed a covenant running with the land, as provided by law, and shall remain in full force and effect, and be binding upon the undersigned, and the heirs, successors and assigns of the undersigned until such time as the same may be released in writing by the City of Port St. Lucie, or its successor, in accordance with the applicable rules, codes or ordinances of said City then in effect.
3. The undersigned also agrees that that this Declaration of Unity of Title shall be recorded in the Public Records of St. Lucie County, Florida.

NOW, THEREFORE, for good and valuable consideration, the undersigned do(es) hereby declare that the undersigned will not convey or cause to be conveyed the title to the above-described Property without requiring the successor in title to abide by all terms and conditions set forth herein.

FURTHER, the undersigned agree(s) to indemnify, defend, and hold harmless the City of Port St. Lucie, its officials, officers, attorneys, consultants, agents and employees from and against all claims, damages, losses, and expenses, direct, indirect or consequential (including but not limited to fees and charges of attorneys and other professionals and court and/or arbitration costs) arising out of or resulting, in whole or in part, from

the undersigned's execution of this Declaration of Unity of Title. Moreover, the undersigned agree(s) that nothing in this indemnification and hold harmless provision shall be considered to increase or otherwise waive any limits of liability, or to waive any immunity, as established by Florida Statutes, case law, or any other source of applicable governing law afforded to the City of Port St. Lucie.

IN WITNESS WHEREOF, the undersigned has/have caused the hand(s) and seal(s) of the undersigned to be affixed hereto on this _____ day of _____, 2016.

Signed, sealed and delivered in the presence of:

WITNESSES

_____
Print Name:_____
Witness

_____
Print Name:_____
Witness

OWNER(S)

_____
Print Name: Pamela J. Parrish

NOTARIZATION AS TO OWNER(S)' EXECUTION

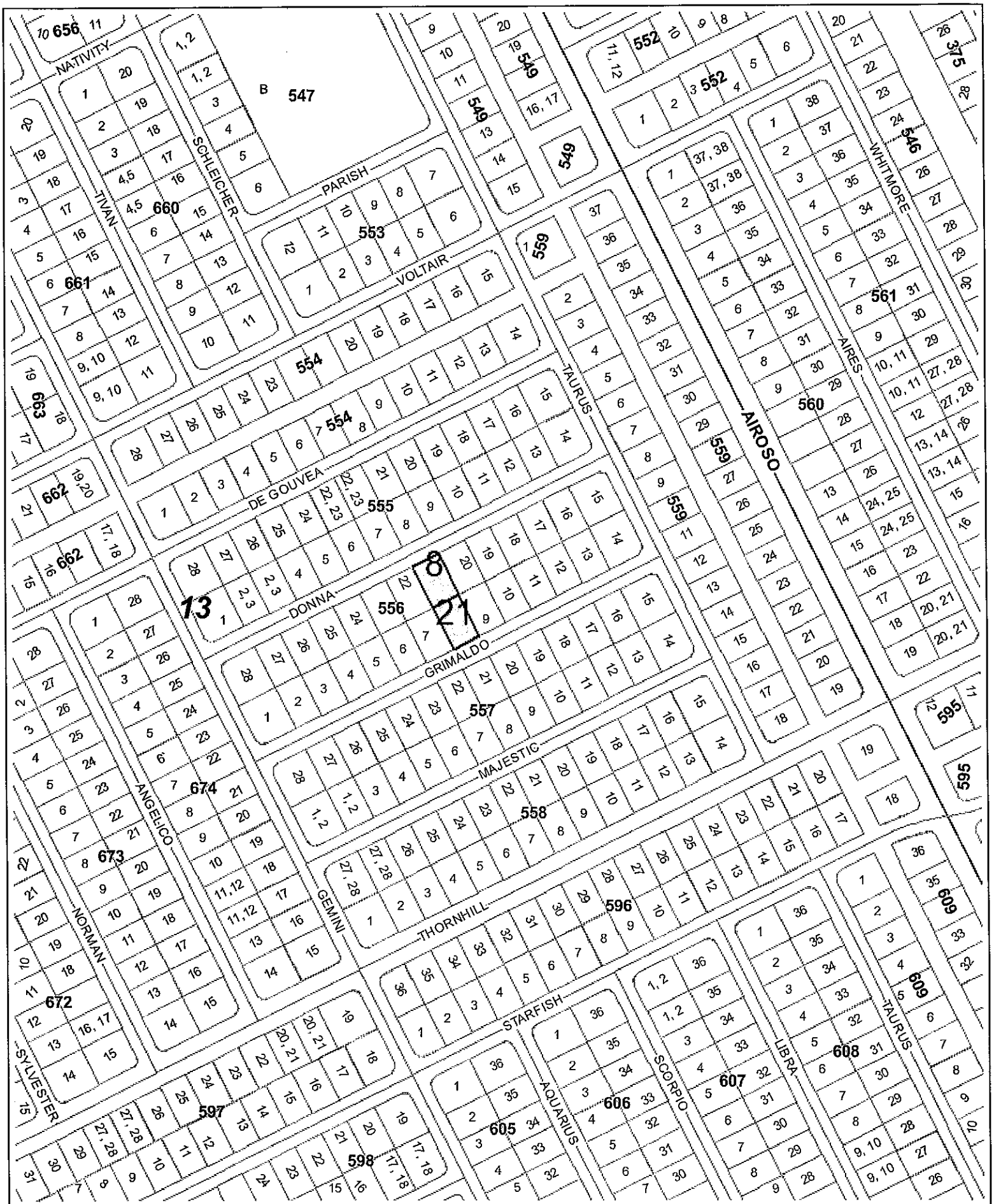
STATE OF FLORIDA)
) ss
COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 20___, by _____ who is/are [ ] personally known to me, or who has/have [ ] produced the following identification _____ _____ to be the person who executed the foregoing instrument.

_____(Seal)

NOTARY SEAL/STAMP

_____
Print Name of Notary Public
Notary Public, State of _____
My Commission expires _____



Unity of Title

Lots 8 and 21

Scale: 0 0.025 0.05 0.1 mi

Map produced by the City of Port St Lucie City Council Map Generator Website on: 12/17/2015

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 7D
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager

FROM: Pam E. Booker, City Attorney For PEB

Agenda Item: Motion: Unity of Title – Bernardo A. Araya and Karina Araya to combine Lots 18 and 19, Block 1709, Port St. Lucie Section Thirty-One

Submittal Date: 12/23/2015

STRATEGIC PLAN LINK: PORT ST LUCIE MISSION PRINCIPALS B AND C, "RESPONSIVE TO THE COMMUNITY "AND "PLAN FOR SMART & BALANCED GROWTH"

BACKGROUND: The Unity of Title is being placed on the property, so that the parcels may be combined as one parcel for future development.

ANALYSIS: Pursuant to Chapter 158, Appendix B. Section V of the Port St. Lucie code of Ordinances, a Unity of Title for Bernardo A. Araya and Karina Araya to combine lots 18 and 19, Block 1709, Section Thirty-One, is presented for City Council consideration

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: The Unity of Title was prepared by City Attorney, Pam E. Booker and is approved as to form.

STAFF RECOMMENDATION: Motion to approve.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: See attached map, Lots 18 and 19, Block 1709, Port St. Lucie Section Thirty-One

ATTACHMENTS: Map; Unity of Title

RECEIVED
DEC 30 2015
City Manager's Office

This instrument was prepared by:
Pam Booker, City Attorney
City of Port St. Lucie
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984

UNITY OF TITLE

In consideration of the issuance of a Permit to **BERNARDO A. ARAYA and KARINA ARAYA, husband and wife**, in Port St. Lucie, Florida, and for other good and valuable considerations, the undersigned hereby agrees to restrict the use of lands described as follows, to-wit:

Lots 18 and 19, Block 1709, Port St. Lucie Section Thirty-One, according to the plat thereof, as recorded in Plat Book 14, Page 22, of the Public Records of St. Lucie County, Florida.

in the following manner:

1. That said property shall be considered as one plot and parcel of land and that no portion of said plot and parcel of land shall be sold, transferred, devised, or assigned separately except in its entirety as one plot or parcel of land.

2. The undersigned further agrees that this condition, restriction and limitation shall be deemed a covenant running with the land, and shall remain in full force and effect, and be binding upon the undersigned, their heirs, successors and/or assigns until such time as the same may be released in writing by the City Council.

3. The undersigned further agrees that this instrument shall be recorded in the Public Records of St. Lucie County.

Signed, sealed, and acknowledged on the ____ day of _____, 2016, in St. Lucie County, Florida.

_____
Witness
(Print Name): _____

_____
Witness
(Print Name): _____

By: _____
Bernardo A. Araya
Owner

_____
Witness
(Print Name): _____

_____
Witness
(Print Name): _____

By: _____
Karina Araya
Owner

STATE OF FLORIDA
COUNTY OF _____

The foregoing instrument was acknowledged before me this ____ day of _____, 2016, by_____ , who ☐ are personally known to me, or ☐ produced _____ as identification.

_____
Notary Public

GENERAL LOCATION

CITY OF PORT ST. LUCIE
PLANNING & ZONING DEPT.

REZONING

BERNARDO A. & KARINA ARAYA

SECTION 31, BLOCK 1709, LOTS 18 & 19

DATE:	11/3/2015
APPLICATION NUMBER:	P15-180
USER:	patricias
SCALE:	1 in = 0.5 miles

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 7E
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager
Patricia Roebling, PE, Assistant City Manager – City Engineer
Sherman Conrad, Director of Parks & Recreation
Cheryl Shanaberger, Director-Procurement Management Dept.

FROM: Sherri Hawes, Buyer - Procurement Management Department

Agenda Item: Motion: 20160034 – Five (5) New Gravely Riding Mower

Submittal Date: 12/15/2015

STRATEGIC PLAN LINK: Vision 2028, Principle 2, Beautiful City, "Well designed, well maintained parks and public spaces".

BACKGROUND: The Parks & Recreation Department is in need of purchasing five (5) new Gravely XDZ series riding mowers. The current mowers have reached their life expectancy from various park locations. To avoid future maintenance costs and down time for these mowers, replacement is recommended.

ANALYSIS: Staff has reviewed the quote from Jordan Mower – Goodknight Lawn Equipment and the State Term Contract# 21100000-15-1 for the cost of five (5) new Gravely XDZ series riding mowers. The quote From Jordan Mowers per vehicle is $9,999.42 and includes the operator controlled chute baffle for a total of $49,997.10. The State Contract price per vehicle is $10,139.22 with the additional cost for the operator controlled chute baffle is $163.02 for a total cost of $51,511.20. The City will have a cost savings of $1,514.10 by purchasing these from the Jordan Mower –Goodknight Lawn Equipment. This is a local vendor and provides the best value to the City.

FINANCIAL INFORMATION: Funds are budgeted in the Parks & Recreation Department, Capital Outlay, other Machinery and Equipment.

LEGAL INFORMATION: N/A

STAFF RECOMMENDATION: Waive the bidding, equal to or lower than existing State Contract, Chapter 35.06, and to purchase the five (5) Gravely XDZ series riding mowers from Jordan Mower –Goodknight Lawn Equipment for a total cost of $49,997.10.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: N/A

ATTACHMENTS: Quote from Jordan Mower-Goodknight Lawn Equipment, State Term Contract No 21100000-15-1 and State Term Contract Vendor Pricing

*All attached items have been sent to the City Clerk. Any items not provided in the City Council packets are available upon request from the City Clerk.

RECEIVED
DEC 15 2015
City Manager's Office

JORDAN MOWER-GOODKNIGHT LAWN EQUIPMENT

1526 OLD DIXIE HIGHWAY
VERO BEACH, FL. 32960
772-569-0731

Status: **Quote**
Make
Type

Invoice Number **184311**
Date Created **12/2/2015**
Date **12/2/2015**
P.O. #
Ref. Number

Phone: 8782277 3703901 MIKE

PORT ST LUCIE PARKS & RECREATION, JIM FRYE 201-4
1901 SW HAMPSHIRE LN
PT ST LUCIE FL 34953

Service Required:

THIS IS A 2015 460 GRAVELY. FOR 2015 THE MODEL NUMBER HAS CHANGED AND THE HP HAS INCREASED TO 29HP EFI FROM 26HP EFI
THIS MOWER IS AVAILABLE FOR IMMEDIATE SHIPMENT FROM THE FACTORY , APPROXIMATELY 10 DAYS FOR DELIVERY, OPTIONAL DECK CLOSURE SAFETY OCDC CHUTE INSTALLED AND INCLUDED FREE OF CHARGE ON EACH MOWER. 3 YEAR ENGINE WARRANTY, 3 YEAR MOWER WARRANTY.
THIS IS A BID ASSIST QUOTE
THANK YOU FOR YOUR BUSINESS

PREPARED BY JIM JORDAN
STORE 772-569-0731
CELL 772-532-3861
EMAIL JORDANMOWER@NETZERO.COM

Item Number	Description	Qty	Unit Price	Ext. Price	WSO
QGR992265	GRAVELY 460 -	1	$9,999.42 Discounted from: $12,999.00	$9,999.42	
QGR992265	GRAVELY 460 -	1	$9,999.42 Discounted from: $12,999.00	$9,999.42	
QGR992265	GRAVELY 460 -	1	$9,999.42 Discounted from: $12,999.00	$9,999.42	
QGR992265	GRAVELY 460 -	1	$9,999.42 Discounted from: $12,999.00	$9,999.42	
QGR992265	GRAVELY 460 -	1	$9,999.42 Discounted from: $12,999.00	$9,999.42	
GR79210500	KIT- OC CHUTE BAFFLE 60-66-72 - BACK RO	1	$0.00 Discounted from: $271.70	$0.00	
GR79210500	KIT- OC CHUTE BAFFLE 60-66-72 - BACK RO	1	$0.00	$0.00	

CUSTOMER SIGNATURE _____

www.JordanMowers.com

Shipping Charges	Ship Via	Labor	Pickup & Delivery	Shop Materials	EPA Charge Restocking Fee
$0.00		**$0.00**		**$0.00**	**$0.00**

	Taxable Items	**49,997.10**	**Transaction Total** **$49,997.10**
	Non Taxable Items	**$0.00**	**Payment Method**
S/O Items			
S/O Shipping			
S/O Tax	Tax	**$0.00**	
S/O Total	Total	**49,997.10**	
S/O Deposit Amt			

S/O Balance Due: 49997.1

Balance Due $49,997.10

Printed: 12/4/2015 11:19:39 AM Sales Rep JJ

Approval _____

SofTek Software Int'l Inc.

JORDAN MOWER-GOODKNIGHT LAWN EQUIPMENT

1526 OLD DIXIE HIGHWAY
VERO BEACH, FL. 32960
772-569-0731

Status: **Quote**
Make
Type

Invoice Number **184311**
Date Created **12/2/2015**
Date **12/2/2015**
P.O. #
Ref. Number

Phone: 8782277 3703901 MIKE
PORT ST LUCIE PARKS & RECREATION, JIM FRYE 201-4
1901 SW HAMPSHIRE LN
PT ST LUCIE FL 34953

		Discounted from: $271.70			
GR79210500	KIT- OC CHUTE BAFFLE 60-66-72 - BACK RO	1 Discounted from: $271.70	$0.00	$0.00	
GR79210500	KIT- OC CHUTE BAFFLE 60-66-72 - BACK RO	1 Discounted from: $271.70	$0.00	$0.00	
GR79210500	KIT- OC CHUTE BAFFLE 60-66-72 - BACK RO	1 Discounted from: $271.70	$0.00	$0.00	

CUSTOMER SIGNATURE _____

www.JordanMowers.com

Shipping Charges	Ship Via	Labor	Pickup & Delivery	Shop Materials	EPA Charge Restocking Fee
$0.00		**$0.00**		**$0.00**	**$0.00**

	Taxable Items	**49,997.10**	**Transaction Total** **$49,997.10**
	Non Taxable Items	**$0.00**	**Payment Method**
S/O Items			
S/O Shipping			
S/O Tax	Tax	**$0.00**	
S/O Total	Total	**49,997.10**	
S/O Deposit Amt			
S/O Balance Due: 49997.1			**Balance Due $49,997.10**

Printed: 12/4/2015 11:19:41 AM Sales Rep JJ
SofTek Software Int'l Inc.

Approval _____

State Term Contract No. 21100000-15-1; Agriculture & Lawn Equipment Revised: 10/27/2015					
Group	**Group Description**	**Sub Group**	**Sub Group Description**	**Excludes:**	**Applicable Commodity Code(s)***
Group 3	**Mower: Walk-behind Lawn, Non-Commercial Type**	Sub Group 4	Mower: Walk-behind Lawn, Non-Commercial Type; Deck less than or equal to 24"	Golf/Sports	21100000
		Sub Group 5	Mower: Walk-behind Lawn, Non-Commercial Type; Deck greater than 24"		
Group 4	**Mower: Walk-behind Lawn, Commercial Type**	Sub Group 6	Mower: Walk-behind Lawn, Commercial Type; Deck less than 44"		
		Sub Group 7	Mower: Walk-behind Lawn, Commercial Type; Deck greater than or equal to 44"		
Group 5	**Mower: Stand-On, Commercial Type**	Sub Group 8	Mower: Stand-On, Commercial Type		
Group 6	**Mower: Lawn & Garden Tractor (Riding)**	Sub Group 9	Mower: Lawn & Garden Tractor (Riding); Less than or equal to 44" Deck		
		Sub Group 10	Mower: Lawn & Garden Tractor (Riding); Deck greater than 44" but less than 52"		
		Sub Group 11	Mower: Lawn & Garden Tractor (Riding); Deck greater than or equal to 52"		
Group 7	**Mower: Front / Rear wheel Steering (Riding) Type**	Sub Group 12	Mower: Front (Rear wheel Steering) Riding Type; All Deck Sizes		
Group 8	**Mower: Commercial Zero-Turn Radius (Riding) Type**	Sub Group 13	Mower: Zero-Turn Radius, Commercial Type; Deck less than or equal to 44"		
		Sub Group 14	Mower: Zero-Turn Radius, Commercial Type; Deck greater than 44" and less than to 52"		
		Sub Group 15	Mower: Zero-Turn Radius, Commercial Type; Deck greater than or equal to 52" but less than or equal to 72"		
Group 9	**Mower: Wide-Area, Commercial**	Sub Group 16	Mower: Wide-Area, Commercial; Deck equal to or greater than 72 inches		
Group 10	**Lawn Equipment - Handheld, Walk-behind, Backpack**	Sub Group 17	Power Small Handheld Equipment: Hedge Trimmers, Edgers , Augers, Post hole diggers, Chainsaws, Blowers	Snow Equipment, Stump Grinders, Log Splitters, Chippers, Shredders,	27112000 , 25111934, 27112712

8	eXmark	20.00%	20.00%	20.00%	0.00%	Roberts Supply, Inc.
9	Ferris	10.00%	5.00%	5.00%	5.00%	Sarlo Power Mowers, Inc.
10	Grasshopper	15.00%	10.00%	10.00%	0.00%	Moridge Manufacturing, Inc. dba Grasshopper Mowers
11	Gravely	22.00%	22.00%	22.00%	0.00%	Ariens Company - Gravely
13	Hustler	27.00%	22.00%	22.00%	0.00%	Excel Industries, Inc. dba Hustler Turf
22	Snapper Pro	10.00%	5.00%	5.00%	5.00%	Sarlo Power Mowers, Inc.
Sub Group 14	Mower: Zero-Turn Radius, Commercial Type; Deck greater than 44" and less than to 52"					
Line No	MANUFACTURER/ BRAND[1]	BASE EQUIPMENT MSRP DISCOUNT[2] [##.##%]	OEM OPTIONS DISCOUNT[3] [##.##%]	ACCESSORIES, & IMPLEMENTS MSRP DISCOUNT[4] [##.##%]	OEM & REPLACEMENT PARTS MSRP DISCOUNT[5] [##.##%]	Contractor
5	Cub Cadet	10.00%	10.00%	10.00%	10.00%	Robinson Outdoors Inc.
8	eXmark	20.00%	20.00%	20.00%	0.00%	Roberts Supply, Inc.
9	Ferris	10.00%	5.00%	5.00%	5.00%	Sarlo Power Mowers, Inc.
10	Grasshopper	20.00%	10.00%	10.00%	0.00%	Moridge Manufacturing, Inc. dba Grasshopper Mowers
11	Gravely	22.00%	22.00%	22.00%	0.00%	Ariens Company - Gravely
12	Husqvarna	16.00%	10.00%	10.00%	10.00%	Robinson Outdoors Inc.
13	Hustler	27.00%	22.00%	22.00%	0.00%	Excel Industries, Inc. dba Hustler Turf
15	John Deere	21.00%	21.00%	21.00%	21.00%	Deere & Company
17	Kubota	27.23%	5.00%	5.00%	6.50%	Florida Coast Equipment Inc.
19	SCAG	22.00%	15.00%	15.00%	0.00%	Florida Outdoor Equipment, Inc.
22	Snapper Pro	10.00%	5.00%	5.00%	5.00%	Sarlo Power Mowers, Inc.
23	Toro	31.00%	0.00%	0.00%	0.00%	Wesco Turf, Inc.
Sub Group 15	Mower: Zero-Turn Radius, Commercial Type; Deck greater than or equal to 52" but less than or equal to 72"					
Line No	MANUFACTURER/ BRAND[1]	BASE EQUIPMENT	OEM OPTIONS DISCOUNT[3] [##.##%]	ACCESSORIES, & IMPLEMENTS MSRP DISCOUNT[4] [##.##%]	OEM & REPLACEMENT PARTS MSRP DISCOUNT[5] [##.##%]	Contractor

		MSRP DISCOUNT[2] [##.##%]				
5	Cub Cadet	10.00%	10.00%	10.00%	10.00%	Robinson Outdoors Inc.
8	eXmark	20.00%	20.00%	20.00%	0.00%	Roberts Supply, Inc.
9	Ferris	10.00%	5.00%	5.00%	5.00%	Sarlo Power Mowers, Inc.
10	Grasshopper	25.00%	10.00%	10.00%	0.00%	Moridge Manufacturing, Inc. dba Grasshopper Mowers
11	Gravely	22.00%	22.00%	22.00%	0.00%	Ariens Company - Gravely
12	Husqvarna	16.00%	10.00%	10.00%	10.00%	Robinson Outdoors Inc.
13	Hustler	27.00%	22.00%	22.00%	0.00%	Excel Industries, Inc. dba Hustler Turf
15	John Deere	21.00%	21.00%	21.00%	21.00%	Deere & Company
17	Kubota	27.23%	5.00%	5.00%	6.50%	Florida Coast Equipment Inc.
19	SCAG	22.00%	15.00%	15.00%	0.00%	Florida Outdoor Equipment, Inc.
22	Snapper Pro	10.00%	5.00%	5.00%	5.00%	Sarlo Power Mowers, Inc.
23	Toro	31.00%	0.00%	0.00%	0.00%	Wesco Turf, Inc.
Group 9	Sub Group 16 Mower: Wide-Area, Commercial; Deck equal to or greater than 72 inches					BACK TO TOP
Sub Group 16	Mower: Wide-Area, Commercial; Deck equal to or greater than 72 inches					
Line No	MANUFACTURER/ BRAND[1]	BASE EQUIPMENT MSRP DISCOUNT[2] [##.##%]	OEM OPTIONS DISCOUNT[3] [##.##%]	ACCESSORIES, & IMPLEMENTS MSRP DISCOUNT[4] [##.##%]	OEM & REPLACEMENT PARTS MSRP DISCOUNT[5] [##.##%]	Contractor
9	Ferris	10.00%	5.00%	5.00%	5.00%	Sarlo Power Mowers, Inc.
10	Grasshopper	25.00%	10.00%	10.00%	0.00%	Moridge Manufacturing, Inc. dba Grasshopper Mowers
11	Gravely	22.00%	22.00%	22.00%	0.00%	Ariens Company - Gravely
13	Hustler	25.00%	22.00%	22.00%	0.00%	Excel Industries, Inc. dba Hustler Turf
15	John Deere	21.00%	21.00%	21.00%	21.00%	Deere & Company
17	Kubota	29.89%	5.00%	5.00%	6.50%	Florida Coast Equipment Inc.
19	SCAG	22.00%	15.00%	15.00%	0.00%	Florida Outdoor Equipment, Inc.
22	Snapper Pro	10.00%	5.00%	5.00%	5.00%	Sarlo Power Mowers, Inc.

Gravely US Price List 2015

Effective October 1, 2014

VENDOR:	ARIENS COMPANY - GRAVELY
BASE EQUIPMENT MSRP DISCOUNT2 [##.##%]	22.00%
OEM OPTIONS DISCOUNT3 [##.##%]	22.00%
ACCESSORIES, & IMPLEMENTS MSRP DISCOUNT4 [##.##%]	22.00%
OEM PARTS MSRP DISCOUNT5 [##.##%]	0.00%

Group	Sub Group	Model No.	Sales Model No. and Description	Suggested List Price	State Price
GRAVELY PRO-TURN™ 400 SERIES					
8	15	992246	Pro-Turn 452 - 27hp Kawasaki FX850 V-Twin, w/ 52" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard	$ 11,599.00	$ 9,047.22
8	15	992231	Pro-Turn 452 - 26.5 hp Kohler EFI w/ 52" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard	$ 11,829.00	$ 9,226.62
8	15	992247	Pro-Turn 452 - 29 hp Kohler EFI w/ 52" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard **Available January 1, 2015	$ 12,599.00	$ 9,827.22
8	15	992233	Pro-Turn 460 - 26.5 hp Kohler EFI SKU w/ 60" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard	$ 12,239.00	$ 9,546.42
8	15	992265	Pro-Turn 460 - 29 hp Kohler EFI ECV880 SKU w/ 60" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard **Available January 1, 2015	$ 12,999.00	$ 10,139.22
8	15	992235	Pro-Turn 460 - 31hp Kawasaki FX921 V-Twin, w/ 60" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard	$ 12,649.00	$ 9,866.22
8	15	992244	Pro-Turn 460 - 35hp Kawasaki FX1000 V-Twin, w/ 60" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard	$ 13,049.00	$ 10,178.22
8	15	992236	Pro-Turn 460 - 25hp Kubota Diesel LC 3 Cyl, w/ 60" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard	$ 16,199.00	$ 12,635.22
8	15	992237	Pro-Turn 460 LP - 25hp Kawasaki FX850 V-Twin, w/ 60" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard ***TANKS NOT INCLUDED***	$ 14,199.00	$ 11,075.22
8	15	992239	Pro-Turn 472 - 31hp Kawasaki FX921 V-Twin, w/ 72" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard	$ 13,249.00	$ 10,334.22
8	15	992245	Pro-Turn 472 - 35hp Kawasaki FX1000 V-Twin, w/ 72" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard	$ 13,349.00	$ 10,412.22
8	15	992249	Pro-Turn 472- 33hp Kohler EFI, w/72" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard **Available January 1, 2015	$ 13,499.00	$ 10,529.22
8	15	992240	Pro-Turn 472 - 25hp Kubota Diesel LC 3 Cyl, w/ 72" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard	$ 16,999.00	$ 13,259.22
8	15	992243	Pro-Turn 472 LP- 25hp Kawasaki FX850 V-Twin, w/ 72" Fabricated X-Factor Deck, ZT5400 Transaxles, ROPS Standard ***TANKS NOT INCLUDED***	$ 14,999.00	$ 11,699.22
GRAVELY PRO-TURN 400 SERIES BAGGERS, ATTACHMENTS & ACCESSORIES					
8	15	892047	3 Bucket Bagger, 12 Bushel Heavy Duty Frame and Heavy Duty Blower - Fits Pro-Turn 200 and 400 models, 52" & 60" gas and LP models, Does not fit diesel or 72" deck models	$ 2,459.00	$ 1,918.02
8	15	892045	3 Bucket Bagger, 12 Bushel Heavy Duty Frame and Heavy Duty Blower - Fits Pro-Turn 200 and 400 models, 72" gas and LP models, Does not fit diesel	$ 2,459.00	$ 1,918.02
8	15	792140	Dump from Seat Attachment, 9 bushel capacity (works w/ 892047)	$ 999.00	$ 779.22
8	15	892049	PECO Hopper style dump from seat bagger for Pro-Turn 400, Pro-Turn 200 serials 40,000 and up	$ 2,699.00	$ 2,105.22
8	15	792142	LP Conversion Bracket Kit for Pro-Turn 400, Pro-Turn 200	$ 749.95	$ 584.96
8	15	792132	Striping Kit, fits Pro-Turn 200 and Pro-Turn 400	$ 50.00	$ 39.00
8	15	792103	Operator Controlled Chute Baffle - Fits 44", 48" and 52" X-Factor decks	$ 198.00	$ 154.44
8	15	792105	Operator Controlled Chute Baffle - Fits 60" and 72" X-Factor decks	$ 209.00	$ 163.02

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 7F
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager

FROM: Mary Ann Verillo, Executive Assistant

Agenda Item: Motion: Request by Jack Di Giorgio, President & Director of Operations, San Gennaro Society of PSL

Submittal Date: 12/22/2015

STRATEGIC PLAN LINK: This item relates to our strategic plan with the goals for expanded leisure activities and our mission to be responsive to the community.

BACKGROUND: Pursuant to Section 155.07 of the City's Code of Ordinances, only City-sponsored special events may locate such signs within the right-of-way. Given that "sponsorship" is a policy decision of the City Council, this memorandum serves to request that this item be placed on the next City Council agenda for consideration.

ANALYSIS: Code Compliance has reviewed the required special event sign application.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: N/A

STAFF RECOMMENDATION: Approve the sponsorship request.

SPECIAL CONSIDERATION: The signage for this event is scheduled to be placed February 14, 2016 thru February 28, 2016.

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: N/A

ATTACHMENTS:

Code Compliance Summary Sheet
Letter from Jack Di Giorgio, President & Director of Operations, San Gennaro Society of PSL

City of Port St Lucie
Special Event Request for Signs in Right-of-Way

Name of Organization: San Gennaro Society of PSL

Location of Event: Port St Lucie Civic Center

Number of Signs: 100

Date range of sign placement: 02/14/2016 to 02/28/2016

Contact Person: Jack Di Giorgio

Phone: (772)215-0331

Email: italianfestival1@aol.com

Tax Exempt 501(c)(3) Received: Yes

Staff Recommendation: Approved for Signs.

---

*Code Compliance Division received all required information for the event.

RECEIVED

DEC 22 2015

CITY MANAGER'S OFFICE

7TH ANNUAL PSL FESTIVAL ITALIANO
SPONSORED BY THE SAN GENNARO SOCIETY OF PSL

a GDG Productions
P.O. Box 7085, Port St. Lucie, FL 34985-7085
Tel. 772-335-2277 / 772-215-0331

November 23, 2015

Mr. Jeff Bremer;

We are working on finalizing the Seventh Annual Port St. Lucie Festival Italiano, which will take place at the Port St. Lucie Civic Center "Village Square" on February 27th & 28th, 2016.

The Festival is presented by a great non-profit organization: the San Gennaro Society of PSL, a 501-C3 Non-Profit organization, and as in the past years, we are keeping the event free to the entire community.

In order for this event to be successful we are asking the City Council of Port St. Lucie for its endorsement so that it can also be promoted on the City web site and that we can get permission to place about 80 signs and about 4 banners throughout the City the week prior the event.

I would like this proposal to be put on the City Council agenda ASAP so that I can have fliers made out.

If anyone on the City Council should have any questions, please feel free to contact me.

Thank you.

Jack Di Giorgio
Jack Di Giorgio,
President & Director of Operations

www.PSLFestivalitaliano.com - ItalianFestival1@aol.com

RECEIVED
DEC 22 2015
CITY MANAGER'S OFFICE

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 7G
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager

THRU: Pam Booker, City Attorney

FROM: Ella Gilbert, Staff Attorney

Agenda Item: Motion: Temporary License Agreement for the Purpose of Overflow Parking for the Knights of Columbus Winter Carnival.

Submittal Date: 12/30/2015

STRATEGIC PLAN LINK: Principle 3: Friendly Community for All Ages – Community events and gathering places for residents to interact. Providing a temporary license for the Knights of Columbus Winter Carnival overflow parking promotes the City of Port St. Lucie's mission by providing exceptional municipal services and being responsive to the community.

BACKGROUND: The Saint Lucie Association, Inc., a Florida not for profit corporation, DBA Knights of Columbus, hereinafter ("Knights of Columbus") have requested use of the Ravenswood vacant lot for overflow parking for their 2016 Winter Carnival. The Winter Carnival is an annual event, located at 451 SW Ravenswood Lane, which has taken place for more than 15 years. It features food, games, rides and family entertainment. Event turnout has grown tremendously over the past several years and the Knights of Columbus have requested use of the Ravenswood vacant lot for overflow parking.

ANALYSIS: Approval of the Temporary License Agreement will allow use of City owned property, located at 400 SW Ravenswood Lane, Port St. Lucie, FL 34983, for the purpose of overflow parking for the Knights of Columbus Winter Carnival. Per the terms of the License Agreement, the lot will be in use for four days from January 28, 2016 through January 31, 2016. Also, the Knights of Columbus will provide liability coverage, with the City of Port St. Lucie named as an additional insured, at their expense.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: Approved by Staff Attorney Ella Gilbert on 12/21/2015 as to form.

STAFF RECOMMENDATION: Staff recommends APPROVAL.

SPECIAL CONSIDERATION: This event is scheduled for January 28, 2016 thru January 31, 2016.

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: 4500 SW Ravenswood Lane. See attached Exhibit "A".

ATTACHMENTS: 1. License Agreement

2. Exhibit "A" – Photo of Ravenswood Complex

3. Exhibit "B" – Certificate of Insurance

cc: Renee Major, Director, Risk Management

RECEIVED
JAN 07 2016
CITY MANAGER'S OFFICE

TEMPORARY LICENSE AGREEMENT

This **Temporary License ("Agreement")** is made this _____ day of January, 2016 by and between the **Saint Lucie Association, Inc., a Florida not for profit corporation, DBA Knights of Columbus #7514**, hereinafter referred to as "Licensee," whose address is 451 SW Ravenswood Lane, Port St. Lucie, FL 34983, and the **City of Port St. Lucie, a Florida municipal corporation**, duly organized under the laws of the State of Florida, hereinafter referred to as "Licensor," whose mailing address is 121 SW Port St. Lucie Blvd., Port St. Lucie, FL 34984-5099. The following statements are a material part of this License:

WHEREAS, Licensor owns real property located at 400 SW Ravenswood Lane, Port St. Lucie, FL 34983, identified and depicted on Exhibit "A", attached hereto and by reference incorporated herein, said property hereinafter referred to as the "Premises"; and

WHEREAS, the Licensee seeks from Licensor the temporary right, privilege, and authority to travel upon and use for ingress and egress the Premises for the purpose of overflow parking for the Knights of Columbus Winter Carnival, hereinafter referred to as the "Special Event"; and

WHEREAS, Licensor desires to give and grant to Licensee, and Licensee desires to accept and receive a non-exclusive temporary license, which shall serve to grant access and entry upon and across the Premises, subject to the terms and conditions set forth herein below.

NOW, THEREFORE, in order to carry out their intent as expressed above, and in consideration of the below agreements and covenants set forth herein, the parties agree as follows:

(1) This Agreement shall become effective on the date the last party signs this Agreement. The Licensee is hereby granted a non-exclusive license and temporary authorization and right to use and travel upon and use for ingress and egress and parking purposes the Premises as depicted in Exhibit "A", attached hereto and by reference incorporated herein.

(2) The non-exclusive license is strictly for the limited purpose of allowing Licensee and its invitees to use Premises for the purposes set forth herein for the Special Event during the term of this Agreement. The license shall be for a period of four (4) days commencing at 6:00 PM (EST) on January 28, 2016 and terminating at 8:00 PM (EST) on January 31, 2016.

(3) No provision of this Agreement shall be construed to create a partnership between the Licensor and Licensee, or in any way whatsoever, make either party responsible for any debts, obligations, losses, or liabilities of the other.

(4) The Licensee hereby agrees to defend, hold harmless and indemnify Licensor from any and all claims, causes of action, damages, injuries, liens (including mechanic's liens and materialman's liens), expenses and costs, including without limitation reasonable attorney's fees of any settlement, judgment or claims arising out of, relating to, or resulting from the willful or malicious misconduct or negligent actions arising out of aforesaid Special Event or arising out of the use by the Licensee, or its invitees and attendees of the Special Event, of the Premises as described herein. The Licensee shall, at its expense, provide liability insurance written on an occurrence basis and shall have a combined single limit for personal injury, loss of life and property damage of not less than One Million Dollars ($1,000,000.00) per occurrence with the Licensor named as additional insured that is satisfactory to the Licensor. A copy of said liability insurance policy is attached hereto as Exhibit "B."

(5) The parties agree and recognize that it is not the intent of the Licensor that any insurance policy/coverage that may be obtained pursuant to any provision of this Agreement will provide insurance coverage to any entity, corporation, business, person or organization, other than the Licensor and the Licensor shall not be obligated to provide any insurance coverage other than for the Licensor or extend its sovereign immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this License, or any obligation to name the Licensor as an additional insured under any other insurance policy, or otherwise protect the interests of the Licensor as specified in the license.

(6) The Licensee hereby agrees, by executing this Agreement to a Waiver of Subrogation for the required policy. When required by the Insurer, or should a policy condition not permit an Insured to enter into a pre-loss contract to waive subrogation without an endorsement then Licensee shall notify the Insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery Against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage if Licensee enters into such an agreement on a pre-loss basis.

(7) All deductible amounts shall be paid for and be the responsibility of the Licensee for any and all claims under this Agreement.

(8) This License Agreement shall be governed by the laws of the State of Florida and venue of any proceedings hereunder shall be in a court of proper jurisdiction in St. Lucie County, Florida.

Signature Page to Follow

CITY OF PORT ST. LUCIE,
a Florida municipal corporation

By: _____
Jeff Bremer
City Manager

STATE OF FLORIDA)
COUNTY OF ST. LUCIE)

The foregoing instrument was acknowledged before me this _____ day of January, 2016, by Jeff Bremer, as City Manager of the City of Port St. Lucie, a Florida municipal corporation, on behalf of the City of Port St. Lucie and who is personally known to me.

_____
Notary Public

_____
Typed, printed or stamped name of
Notary Public

APPROVED AS TO FORM AND
SUFFICIENCY

_____
Pam E. Booker
City Attorney

Signed, sealed and delivered
in the presence of::

Agreed to and Accepted by
Saint Lucie Association, Inc., a Florida not for profit corporation, DBA Knights of Columbus #7514

_____
Witness
Print Name: _____

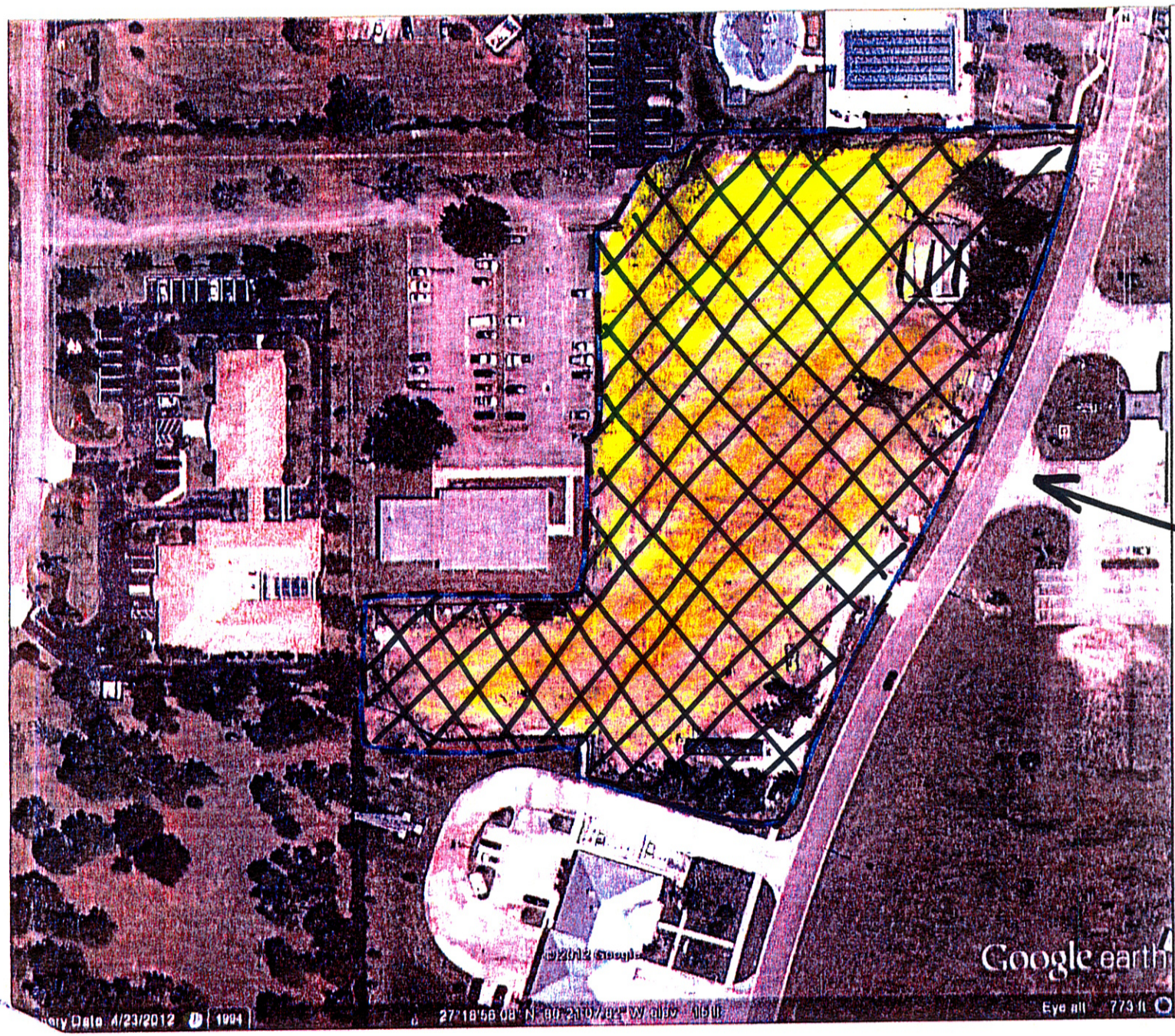
By: _____
Michael Schmidt, President
Print Name: _____

STATE OF FLORIDA)
COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of January, 2016, by Michael Schmidt, as President of Saint Lucie Association, Inc., a Florida not for profit corporation, DBA Knights of Columbus #7514. ❒ He is personally known to me or ❒ has produced _____ as identification.

_____
Notary Public

_____
Typed, printed or stamped name of Notary Public



Ravenswood Complex

Section 60, Tract A

Area for overflow parking

N
W E
S

Date: 11/20/12 Source: Google Earth
File: mxgis0084\Ravenswood Complex

Disclaimer: All data shown hereon is given for informational purposes only. No warranties of any kind are made, implied or otherwise.

ATTACHMENT H

ACORD®

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 01/05/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER

Dan Woron Insurance Agency LLC
1981 SE Port St. Lucie Blvd., Ste A
Port Saint Lucie, FL 34952

CONTACT NAME: Susan Kane
PHONE (A/C, No, Ext): (772)878-7276 FAX (A/C, No): (772)878-9510
E-MAIL ADDRESS: kanes8@danworon.com

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : Capital Specialty	
INSURER B :	
INSURER C :	
INSURER D :	
INSURER E :	
INSURER F :	

INSURED

Saint Lucie Association Inc.
DBA Knights of Columbus #7514
451 SW Ravenswood Lane
Port Saint Lucie, FL 34983

COVERAGES **CERTIFICATE NUMBER:** 00000000-86288 **REVISION NUMBER:** 24

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	X COMMERCIAL GENERAL LIABILITY	Y		B20162801	01/28/2016	02/03/2016	EACH OCCURRENCE	$ 1,000,000
	CLAIMS-MADE X OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	$ 100,000
							MED EXP (Any one person)	$ 5,000
							PERSONAL & ADV INJURY	$ 1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	$ 2,000,000
	X POLICY PRO-JECT LOC						PRODUCTS - COMP/OP AGG	$ 2,000,000
	OTHER:							$
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)	$
	ANY AUTO						BODILY INJURY (Per person)	$
	ALL OWNED AUTOS / SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	HIRED AUTOS / NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	$
								$
	UMBRELLA LIAB / OCCUR						EACH OCCURRENCE	$
	EXCESS LIAB / CLAIMS-MADE						AGGREGATE	$
	DED / RETENTION $							$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N						PER STATUTE / OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) N/A	N/A					E.L. EACH ACCIDENT	$
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	$
							E.L. DISEASE - POLICY LIMIT	$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

The City of Port St Lucie, a Municipality of the State of Florida, it's Affiliates, Employees and Agents are additional insured with respect to the Knights of Columbus Local #7514 event being held 1/28/2015 beginning at 1800 hours and ending on 02/03/2015 2000 hours. Location: 400 SW Ravenswood Lane, PSL. Fl. 34983

CERTIFICATE HOLDER

City of Port St Lucie
121 SW Port St Lucie Blvd
Port Saint Lucie, FL 34984

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Daniel A Woron (SMK)

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CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 9A
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager

FROM: Karen A. Phillips, City Clerk

Agenda Item: Motion: Public Hearing: Port St. Lucie Residential Street Lighting Assessment Area, Boundary #451 SE Husted Terrace

Submittal Date: 12/29/2015

STRATEGIC PLAN LINK: Port St. Lucie Mission, Principal B, Responsive to the Community

BACKGROUND: Ordinance 07-117 requires a Public Hearing prior to City Council direction to move forward with the requested residential street lighting area election. City Council shall approve the presented boundary or change the boundary, and then authorize the election.

ANALYSIS: Property owners of the approved boundary area will receive a ballot by USPS mail to vote in favor of or against the proposed street lighting area. Only ballots returned will be counted, with 50% + 1 needed for a successful result.

FINANCIAL INFORMATION: The 2015 street lighting assessment rate was $26 yearly per lot. Assessments for successful elections will be placed on the 2016 property owner's tax bill.

LEGAL INFORMATION: N/A

STAFF RECOMMENDATION: Request approval of Boundary Area #451 SE Husted Terrace, and authorization to proceed with election by USPS mail, adjusting light locations and/or boundary area, if necessary.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

RECEIVED
DEC 28 2015
CITY MANAGER'S OFFICE

LOCATION OF PROJECT: Port St. Lucie Section 24, SE Husted Terrace

ATTACHMENTS: Notice of Hearing Letter, Street Light Area Boundary Map, Legal Description of Included Properties

"A City for All Ages"

CITY OF PORT ST. LUCIE
PUBLIC WORKS DEPARTMENT
Traffic Operations Division

MEMORANDUM

To: Karen Phillips, Director, City Clerk

From: Yolanda Ruiz, Transportation Technician

Date: December 16, 2015

Re: Streetlight Layout – SE Husted Terrace – Boundary 451

Attached please find a map of the proposed streetlight layout for the following street:

- SE Husted Terrace (from Prineville St. to O'Donnell Ln.) - The proposed layout will provide for **5** new streetlights. The proposed locations for the streetlights are specified below:

  - **Block 278**
    - ★1) Corner of 1067 SE Prineville St. (Lot 1)
  - **Block 277**
    - 2) Between 314 & 320 SE Husted Terr. (Lots 35 & 34)
  - **Block 278**
    - 3) Between 337 & 343 SE Husted Terr. (Lots 7 & 8)
    - 4) Between 361 & 367 SE Husted Terr. (Lots 11 & 12)
    - 5) Between 385 & 391 SE Husted Terr. (Lots 15 & 16)

★ NOTE: Number corresponds to proposed streetlight location on attached map.

Please be advised the electrical service for the proposed streetlights is located at the rear of the properties. New poles will have to be installed at the front of the properties in order to allow for the streetlight installations.

Any existing streetlight(s) and/or other considerations have been indicated on the map for your convenience.

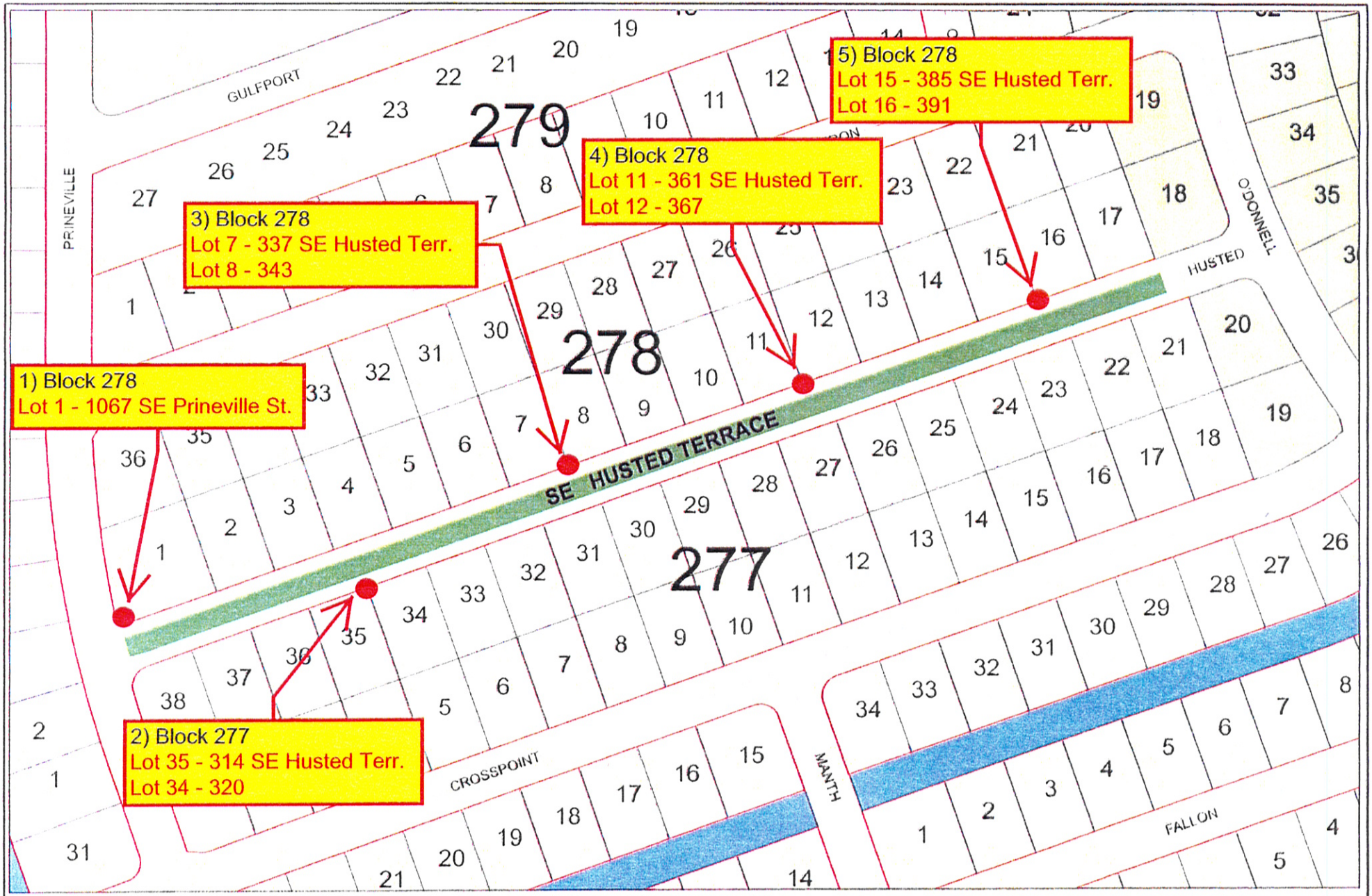
If you have any questions or require additional information, please do not hesitate to contact me at 344-4360.

/ymr

Attachment

cc: James E. Angstadt, P.E. – Public Works Director
Heath Stocton, P.E. – Transportation Engineer
Paul Johnson – Manager, Traffic Operations

T: STREETLIGHTS DISTRICTS-PSL Residential SL Assessment Area SL Assessment Area Husted Terr SE 15-12-16 MEM PHILLIPS 45[illegible].doc



● = PROPOSED STREETLIGHT
= HOUSE NUMBER

Boundary #451
SE HUSTED TERRACE
SECTION 24

0 110 220
Feet

Prepared by City of Port St. Lucie
MIS Department
Monday, November 30, 2015

CITY OF PORT ST. LUCIE

CITY CLERK'S OFFICE

A CITY FOR ALL AGES

"A City for All Ages"

December 28, 2015

Dear Property Owner:

Re: Residential Street Lighting Assessment Area
Boundary #451 SE Husted Terrace

The City of Port St. Lucie has received a request from a residential property owner for street lights in your area and a layout map of the proposed street lights has been drawn.

A public hearing on the matter will be held by the City Council on January 11, 2016, at 7:00 p.m., at City Hall, 121 SW Port St. Lucie Boulevard, Port St. Lucie, Florida. You may appear at the meeting and be heard with respect to the proposed lighting layout map and residential street lighting assessment area.

Once the hearing is concluded, an election of property owners will be held by mail since there is an annual assessment once the lights are installed. No lights will be installed unless the election passes by a vote of 50% plus one of the ballots returned by the property owners (one vote per assessment). The annual assessment for fiscal year 2015-2016 is $26 per lot. The assessment fee is determined by the City Council annually at their Summer Retreat.

All mailed notices or ballots provided herein shall be deemed mailed upon delivery thereof to the possession of the United States Postal Service and failure of the owner to receive such notice due to inadvertent mistakes shall not affect the validity of these proceedings.

No stenographic record by a certified court reporter will be made of the foregoing meeting. Accordingly, any person who may seek to appeal any decision involving the matters noticed herein will be responsible for making a verbatim record of the testimony and evidence at said meeting upon which any appeal is to be based.

A copy of the proposed lighting layout map is enclosed. Any written response should refer to the legal description of your property.

Sincerely,

Karen A. Phillips

Karen A. Phillips, CMC
City Clerk
Enc.

FILE NAME: BOUNDARY LTR 2016

SLEXHIBIT451

EXHIBIT "A"

PORT ST. LUCIE
RESIDENTIAL STREET LIGHTING ASSESSMENT AREA

BOUNDARY #451

SE HUSTED TERRACE

SECTION 24

BLOCK	277	LOTS	21	THRU	38	=	18 LOTS
BLOCK	278	LOTS	1	THRU	17	=	17 LOTS
					TOTAL		35 LOTS

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 9B
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager 9B

FROM: Karen A. Phillips, City Clerk

Agenda Item: Motion: Public Hearing: Port St. Lucie Residential Street Lighting Assessment Area, Boundary #452 SE Seashore Lane

Submittal Date: 12/29/2015

STRATEGIC PLAN LINK: Port St. Lucie Mission, Principal B, Responsive to the Community

BACKGROUND: Ordinance 07-117 requires a Public Hearing prior to City Council direction to move forward with the requested residential street lighting area election. City Council shall approve the presented boundary or change the boundary, and then authorize the election.

ANALYSIS: Property owners of the approved boundary area will receive a ballot by USPS mail to vote in favor of or against the proposed street lighting area. Only ballots returned will be counted, with 50% + 1 needed for a successful result.

FINANCIAL INFORMATION: The 2015 street lighting assessment rate was $26 yearly per lot. Assessments for successful elections will be placed on the 2016 property owner's tax bill.

LEGAL INFORMATION: N/A

STAFF RECOMMENDATION: Request approval of Boundary Area #452 SE Seashore Lane, and authorization to proceed with election by USPS mail, adjusting light locations and/or boundary area, if necessary.

RECEIVED
DEC 28 2015
CITY MANAGER'S OFFICE

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: Port St. Lucie Section 10, SE Seashore Lane

ATTACHMENTS: Notice of Hearing Letter, Street Light Area Boundary Map, Legal Description of Included Properties

"A City for All Ages"

CITY OF PORT ST. LUCIE
PUBLIC WORKS DEPARTMENT
Traffic Operations Division

MEMORANDUM

To: Karen Phillips, Director, City Clerk

From: Yolanda Ruiz, Transportation Technician

Date: December 16, 2015

Re: Streetlight Layout – SE Seashore Lane – Boundary 452

Attached please find a map of the proposed streetlight layout for the following street:

- SE Seashore Lane (from Damask Ave. to Majestic Terr.) - The proposed layout will provide for **3** new streetlights. The proposed locations for the streetlights are specified below:
  - **Block 490**
    ★1) Middle of 582 SE Damask Ave. (Lots 1-2)
  - **Block 489**
    2) Corner of 1634 SE Seashore Ln. (Lot 6)
  - **Block 490**
    3) Between 1661 & 1671 SE Seashore Ln. (Lots 6 & 7)

★ NOTE: Number corresponds to proposed streetlight location on attached map.

Please be advised the electrical service for the proposed streetlights is located at the rear of the properties. New poles will have to be installed at the front of the properties in order to allow for the streetlight installations.

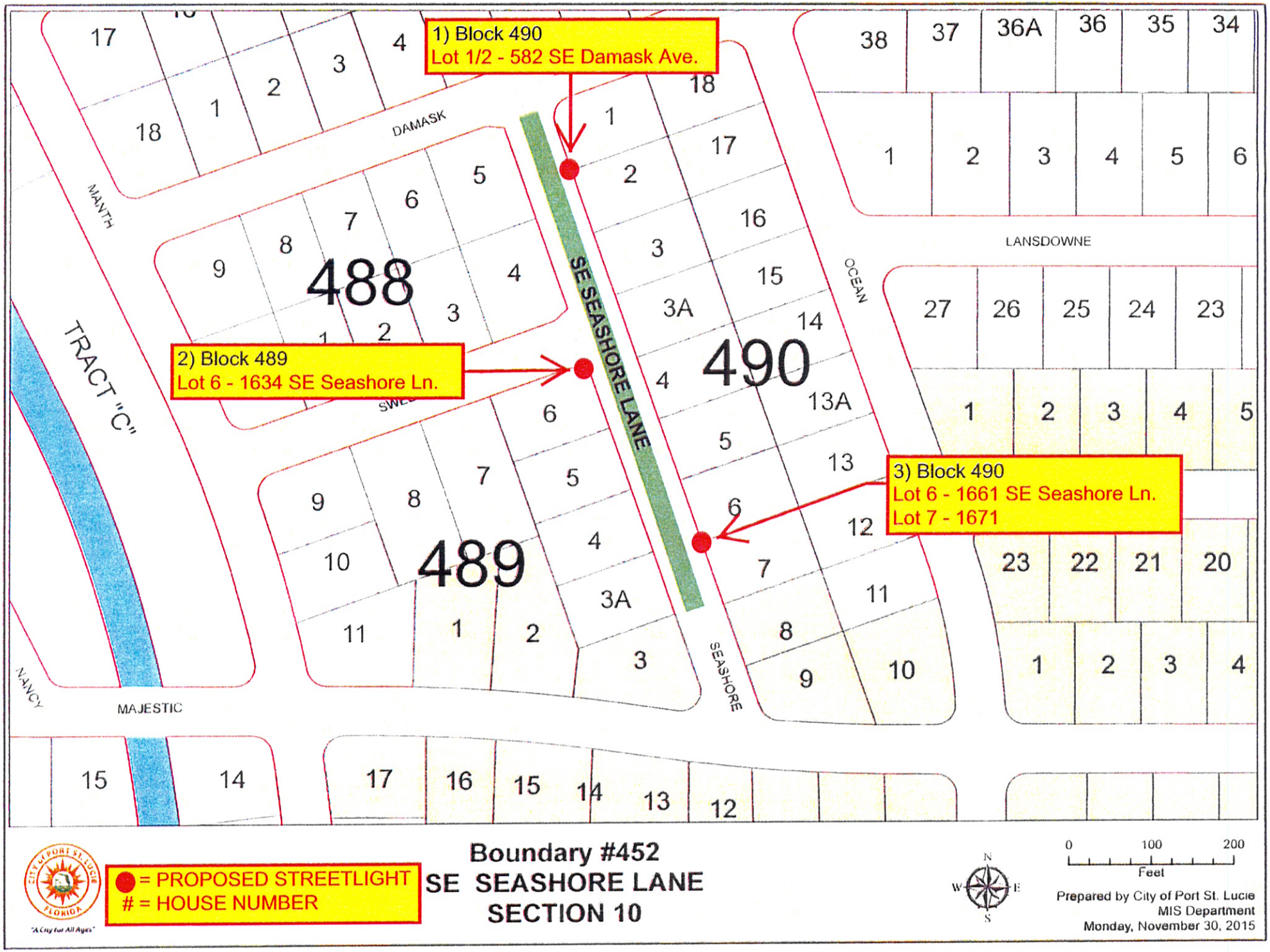
Any existing streetlight(s) and/or other considerations have been indicated on the map for your convenience.

If you have any questions or require additional information, please do not hesitate to contact me at 344-4360.

/yr

Attachment

cc: James E. Angstadt, P.E. – Public Works Director
Heath Stocton, P.E. – Transportation Engineer
Paul Johnson – Manager, Traffic Operations


1) Block 490
Lot 1/2 - 582 SE Damask Ave.
2) Block 489
Lot 6 - 1634 SE Seashore Ln.
3) Block 490
Lot 6 - 1661 SE Seashore Ln.
Lot 7 - 1671
DAMASK
MANTH
TRACT "C"
NANCY
MAJESTIC
SE SEASHORE LANE
SEASHORE
OCEAN
LANSDOWNE
488
489
490
= PROPOSED STREETLIGHT
= HOUSE NUMBER
Boundary #452
SE SEASHORE LANE
SECTION 10
0 100 200
Feet
Prepared by City of Port St. Lucie
MIS Department
Monday, November 30, 2015

CITY OF PORT ST. LUCIE

CITY CLERK'S OFFICE

A CITY FOR ALL AGES

"A City for All Ages"

December 28, 2015

Dear Property Owner:

Re: Residential Street Lighting Assessment Area
Boundary #452 SE Seashore Lane

The City of Port St. Lucie has received a request from a residential property owner for street lights in your area and a layout map of the proposed street lights has been drawn.

A public hearing on the matter will be held by the City Council on January 11, 2016, at 7:00 p.m., at City Hall, 121 SW Port St. Lucie Boulevard, Port St. Lucie, Florida. You may appear at the meeting and be heard with respect to the proposed lighting layout map and residential street lighting assessment area.

Once the hearing is concluded, an election of property owners will be held by mail since there is an annual assessment once the lights are installed. No lights will be installed unless the election passes by a vote of 50% plus one of the ballots returned by the property owners (one vote per assessment). The annual assessment for fiscal year 2015-2016 is $26 per lot. The assessment fee is determined by the City Council annually at their Summer Retreat.

All mailed notices or ballots provided herein shall be deemed mailed upon delivery thereof to the possession of the United States Postal Service and failure of the owner to receive such notice due to inadvertent mistakes shall not affect the validity of these proceedings.

No stenographic record by a certified court reporter will be made of the foregoing meeting. Accordingly, any person who may seek to appeal any decision involving the matters noticed herein will be responsible for making a verbatim record of the testimony and evidence at said meeting upon which any appeal is to be based.

A copy of the proposed lighting layout map is enclosed. Any written response should refer to the legal description of your property.

Sincerely,

Karen A. Phillips

Karen A. Phillips, CMC
City Clerk
Enc.

FILE NAME: BOUNDARY LTR 2016

SLEXHIBIT452

EXHIBIT "A"

PORT ST. LUCIE
RESIDENTIAL STREET LIGHTING ASSESSMENT AREA

BOUNDARY #452

SE SEASHORE LANE

SECTION 10

BLOCK	488	LOTS	4	AND	5	=	2 LOTS
BLOCK	489	LOTS	3A	THRU	6	=	4 LOTS
BLOCK	490	LOTS	1	THRU	7	=	8 LOTS
					TOTAL		14 LOTS

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 9C
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager

FROM: Karen A. Phillips, City Clerk

Agenda Item: Motion: Public Hearing: Port St. Lucie Residential Street Lighting Assessment Area, Boundary #453 SW Lofgren Avenue

Submittal Date: 12/29/2015

STRATEGIC PLAN LINK: Port St. Lucie Mission, Principal B, Responsive to the Community

BACKGROUND: Ordinance 07-117 requires a Public Hearing prior to City Council direction to move forward with the requested residential street lighting area election. City Council shall approve the presented boundary or change the boundary, and then authorize the election.

ANALYSIS: Property owners of the approved boundary area will receive a ballot by USPS mail to vote in favor of or against the proposed street lighting area. Only ballots returned will be counted, with 50% + 1 needed for a successful result.

FINANCIAL INFORMATION: The 2015 street lighting assessment rate was $26 yearly per lot. Assessments for successful elections will be placed on the 2016 property owner's tax bill.

LEGAL INFORMATION: N/A

STAFF RECOMMENDATION: Request approval of Boundary Area #453 SW Lofgren Avenue, and authorization to proceed with election by USPS mail, adjusting light locations and/or boundary area, if necessary.

RECEIVED
DEC 28 2015
CITY MANAGER'S OFFICE

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: Port St. Lucie Section 19, SW Lofgren Avenue

ATTACHMENTS: Notice of Hearing Letter, Street Light Area Boundary Map, Legal Description of Included Properties

"A City for All Ages"

CITY OF PORT ST. LUCIE
PUBLIC WORKS DEPARTMENT
Traffic Operations Division

MEMORANDUM

To: Karen Phillips, Director, City Clerk

From: Yolanda Ruiz, Transportation Technician

Date: December 16, 2015

Re: Streetlight Layout – SE Lofgren Avenue – Boundary 453

Attached please find a map of the proposed streetlight layout for the following street:

- SE Lofgren Avenue (from Zullo St. to Europe St.) - The proposed layout will provide for 4 new streetlights. The proposed locations for the streetlights are specified below:

  - **Block 1923**
    - ★1) Corner of 1897 SW Lofgren Ave. (Lot 27)
    - 2) Between 1873 & 1865 SW Lofgren Ave. (Lots 24 & 23)
    - 3) Between 1841 & 1833 SW Lofgren Ave. (Lots 20 & 19)
    - 4) Between 1809 SW Lofgren Ave. & 3626 SE Europe St. (Lots 16 & 15)

★ NOTE: Number corresponds to proposed streetlight location on attached map.

Please be advised the electrical service for the proposed streetlights is located at the rear of the properties. New poles will have to be installed at the front of the properties in order to allow for the streetlight installations.

Any existing streetlight(s) and/or other considerations have been indicated on the map for your convenience.

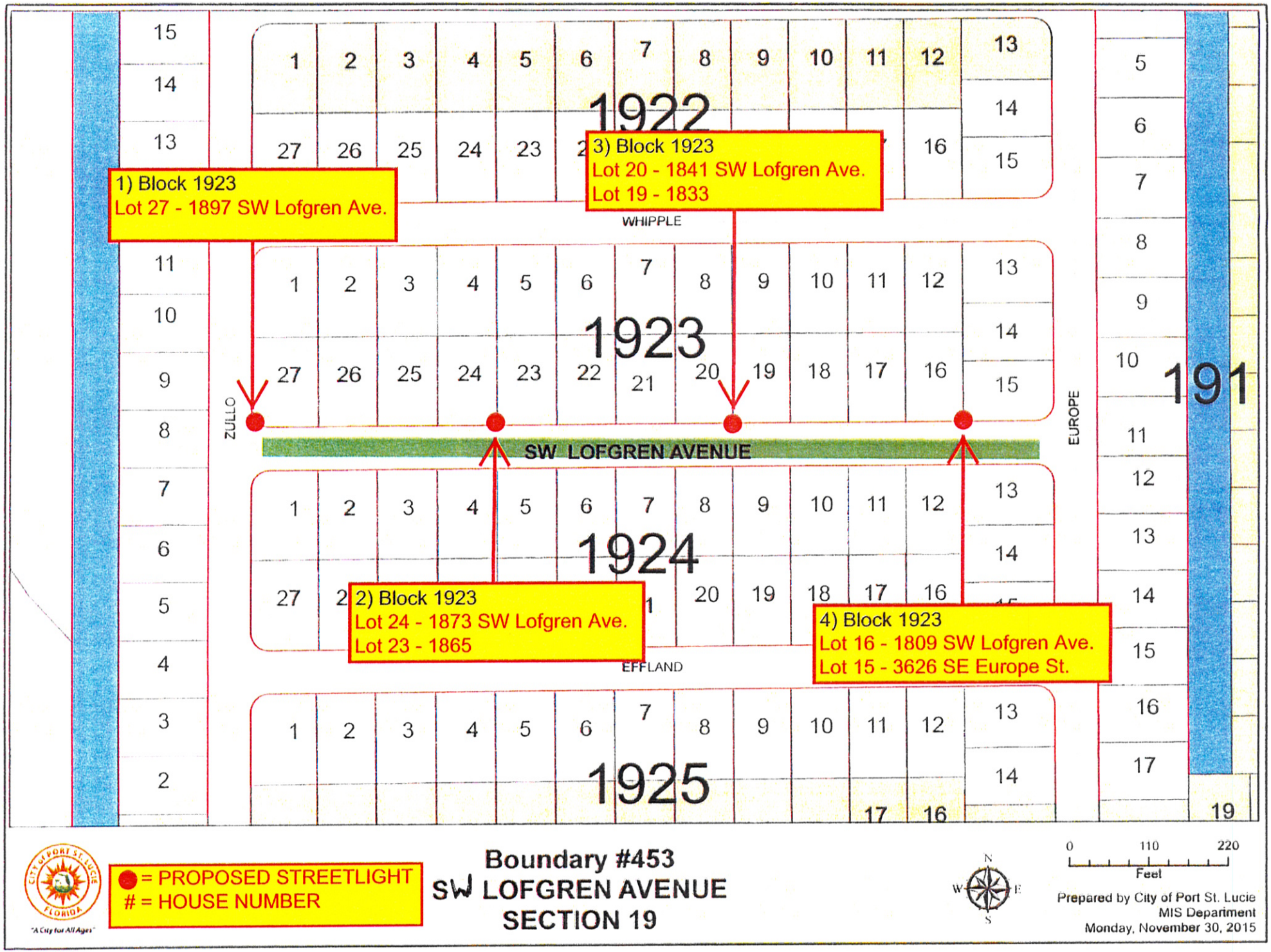
If you have any questions or require additional information, please do not hesitate to contact me at 344-4360.

/yr

Attachment

cc: James E. Angstadt, P.E. – Public Works Director
Heath Stocton, P.E. – Transportation Engineer
Paul Johnson – Manager, Traffic Operations

T:\STREETLIGHTS DISTRICTS-PSL Residential SL Assessment Area\SL Assessment Area Lofgren Ave SW 15-12-15 MEM PHILLIPS 453.doc


1922
1923
1924
1925
191
WHIPPLE
EFFLAND
ZULLO
EUROPE
SW LOFGREN AVENUE
1) Block 1923
Lot 27 - 1897 SW Lofgren Ave.
2) Block 1923
Lot 24 - 1873 SW Lofgren Ave.
Lot 23 - 1865
3) Block 1923
Lot 20 - 1841 SW Lofgren Ave.
Lot 19 - 1833
4) Block 1923
Lot 16 - 1809 SW Lofgren Ave.
Lot 15 - 3626 SE Europe St.
● = PROPOSED STREETLIGHT
= HOUSE NUMBER
Boundary #453
SW LOFGREN AVENUE
SECTION 19
0 110 220
Feet
Prepared by City of Port St. Lucie
MIS Department
Monday, November 30, 2015

CITY OF PORT ST. LUCIE

CITY CLERK'S OFFICE

A CITY FOR ALL AGES

"A City for All Ages"

December 28, 2015

Dear Property Owner:

Re: Residential Street Lighting Assessment Area
Boundary #453 SW Lofgren Avenue

The City of Port St. Lucie has received a request from a residential property owner for street lights in your area and a layout map of the proposed street lights has been drawn.

A public hearing on the matter will be held by the City Council on January 11, 2016, at 7:00 p.m., at City Hall, 121 SW Port St. Lucie Boulevard, Port St. Lucie, Florida. You may appear at the meeting and be heard with respect to the proposed lighting layout map and residential street lighting assessment area.

Once the hearing is concluded, an election of property owners will be held by mail since there is an annual assessment once the lights are installed. No lights will be installed unless the election passes by a vote of 50% plus one of the ballots returned by the property owners (one vote per assessment). The annual assessment for fiscal year 2015-2016 is $26 per lot. The assessment fee is determined by the City Council annually at their Summer Retreat.

All mailed notices or ballots provided herein shall be deemed mailed upon delivery thereof to the possession of the United States Postal Service and failure of the owner to receive such notice due to inadvertent mistakes shall not affect the validity of these proceedings.

No stenographic record by a certified court reporter will be made of the foregoing meeting. Accordingly, any person who may seek to appeal any decision involving the matters noticed herein will be responsible for making a verbatim record of the testimony and evidence at said meeting upon which any appeal is to be based.

A copy of the proposed lighting layout map is enclosed. Any written response should refer to the legal description of your property.

Sincerely,

Karen A. Phillips

Karen A. Phillips, CMC
City Clerk
Enc.

FILE NAME: BOUNDARY LTR 2016

SLEXHIBIT453

EXHIBIT "A"

PORT ST. LUCIE
RESIDENTIAL STREET LIGHTING ASSESSMENT AREA

BOUNDARY #453

SW LOFGREN AVENUE

SECTION 19

BLOCK	1923	LOTS	15	THRU	27	=	13 LOTS
BLOCK	1924	LOTS	1	THRU	13	=	13 LOTS
					TOTAL		26 LOTS

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 9D
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager

FROM: Karen A. Phillips, City Clerk

Agenda Item: Motion: Public Hearing: Port St. Lucie Residential Street Lighting Assessment Area, Boundary #454 SE Giffen Avenue

Submittal Date: 12/29/2015

STRATEGIC PLAN LINK: Port St. Lucie Mission, Principal B, Responsive to the Community

BACKGROUND: Ordinance 07-117 requires a Public Hearing prior to City Council direction to move forward with the requested residential street lighting area election. City Council shall approve the presented boundary or change the boundary, and then authorize the election.

ANALYSIS: Property owners of the approved boundary area will receive a ballot by USPS mail to vote in favor of or against the proposed street lighting area. Only ballots returned will be counted, with 50% + 1 needed for a successful result.

FINANCIAL INFORMATION: The 2015 street lighting assessment rate was $26 yearly per lot. Assessments for successful elections will be placed on the 2016 property owner's tax bill.

LEGAL INFORMATION: N/A

STAFF RECOMMENDATION: Request approval of Boundary Area #454 SE Giffen Avenue, and authorization to proceed with election by USPS mail, adjusting light locations and/or boundary area, if necessary.

RECEIVED
DEC 28 2015
CITY MANAGER'S OFFICE

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: Port St. Lucie Unit 8, SE Giffen Avenue

ATTACHMENTS: Notice of Hearing Letter, Street Light Area Boundary Map, Legal Description of Included Properties

"A City for All Ages"

CITY OF PORT ST. LUCIE
PUBLIC WORKS DEPARTMENT
Traffic Operations Division

MEMORANDUM

To: Karen Phillips, Director, City Clerk

From: Yolanda Ruiz, Transportation Technician

Date: December 15, 2015

Re: Streetlight Layout – SE Giffen Avenue – Boundary 454

Attached please find a map of the proposed streetlight layout for the following street:

- SE Giffen Avenue (from Bakersfield St. to Westmoreland Blvd.) - The proposed layout will provide for **3** new streetlights. The proposed locations for the streetlights are specified below:

  - **Block 132**
    - ★1) Between 1995 & 2001 SE Giffen Ave. (Lots B-3 & 1)
    - 2) Between 2031 & 2041 SE Giffen Ave. (Lots 4 & 5)
    - 3) Between 2071 & 2081 SE Giffen Ave. (Lots 8 & 9)

  ★ NOTE: Number corresponds to proposed streetlight location on attached map.

Existing lighting facilities have been indicated on the map for your convenience.

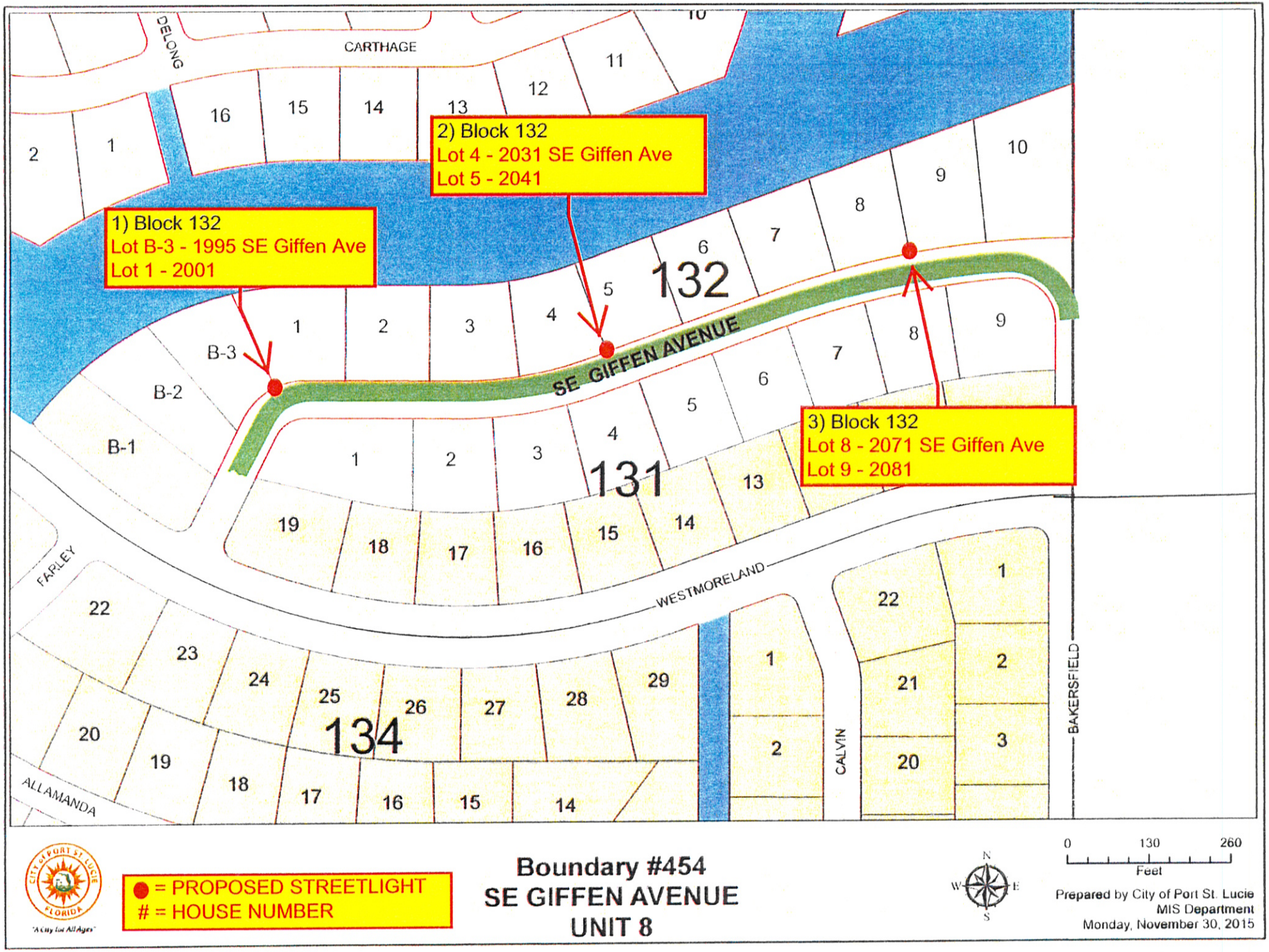
If you have any questions or require additional information, please do not hesitate to contact me at 344-4360.

/ymr

Attachment

cc: James E. Angstadt, P.E. – Public Works Director
Heath Stocton, P.E. – Transportation Engineer
Paul Johnson – Manager, Traffic Operations

t:\streetlights\districts-psl residential sl assessment area\sl assessment area\giffen ave se 15-12-16 mem phillilps 454.doc


1) Block 132
Lot B-3 - 1995 SE Giffen Ave
Lot 1 - 2001
2) Block 132
Lot 4 - 2031 SE Giffen Ave
Lot 5 - 2041
3) Block 132
Lot 8 - 2071 SE Giffen Ave
Lot 9 - 2081
SE GIFFEN AVENUE
CARTHAGE
DELONG
WESTMORELAND
FARLEY
ALLAMANDA
CALVIN
BAKERSFIELD
132
131
134
B-1
B-2
B-3
● = PROPOSED STREETLIGHT
= HOUSE NUMBER
Boundary #454
SE GIFFEN AVENUE
UNIT 8
0 130 260
Feet
Prepared by City of Port St. Lucie
MIS Department
Monday, November 30, 2015

CITY OF PORT ST. LUCIE

CITY CLERK'S OFFICE

A CITY FOR ALL AGES

"A City for All Ages"

December 28, 2015

Dear Property Owner:

Re: Residential Street Lighting Assessment Area
Boundary #454 SE Giffen Avenue

The City of Port St. Lucie has received a request from a residential property owner for street lights in your area and a layout map of the proposed street lights has been drawn.

A public hearing on the matter will be held by the City Council on January 11, 2016, at 7:00 p.m., at City Hall, 121 SW Port St. Lucie Boulevard, Port St. Lucie, Florida. You may appear at the meeting and be heard with respect to the proposed lighting layout map and residential street lighting assessment area.

Once the hearing is concluded, an election of property owners will be held by mail since there is an annual assessment once the lights are installed. No lights will be installed unless the election passes by a vote of 50% plus one of the ballots returned by the property owners (one vote per assessment). The annual assessment for fiscal year 2015-2016 is $26 per lot. The assessment fee is determined by the City Council annually at their Summer Retreat.

All mailed notices or ballots provided herein shall be deemed mailed upon delivery thereof to the possession of the United States Postal Service and failure of the owner to receive such notice due to inadvertent mistakes shall not affect the validity of these proceedings.

No stenographic record by a certified court reporter will be made of the foregoing meeting. Accordingly, any person who may seek to appeal any decision involving the matters noticed herein will be responsible for making a verbatim record of the testimony and evidence at said meeting upon which any appeal is to be based.

A copy of the proposed lighting layout map is enclosed. Any written response should refer to the legal description of your property.

Sincerely,

Karen A. Phillips

Karen A. Phillips, CMC
City Clerk
Enc.

FILE NAME: BOUNDARY LTR 2016

SLEXHIBIT454

EXHIBIT "A"

PORT ST. LUCIE
RESIDENTIAL STREET LIGHTING ASSESSMENT AREA

BOUNDARY #454

SE GIFFEN AVENUE

UNIT 8

BLOCK	131	LOTS	B-1	THRU	10	=	12 LOTS
BLOCK	132	LOTS	1	THRU	9	=	9 LOTS
					TOTAL		22 LOTS

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 9E
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager JB

FROM: Karen A. Phillips, City Clerk KAP

Agenda Item: Motion: Public Hearing: Port St. Lucie Residential Street Lighting Assessment Area, Boundary #455 SW Kasin Street

Submittal Date: 12/29/2015

STRATEGIC PLAN LINK: Port St. Lucie Mission, Principal B, Responsive to the Community

BACKGROUND: Ordinance 07-117 requires a Public Hearing prior to City Council direction to move forward with the requested residential street lighting area election. City Council shall approve the presented boundary or change the boundary, and then authorize the election.

ANALYSIS: Property owners of the approved boundary area will receive a ballot by USPS mail to vote in favor of or against the proposed street lighting area. Only ballots returned will be counted, with 50% + 1 needed for a successful result.

FINANCIAL INFORMATION: The 2015 street lighting assessment rate was $26 yearly per lot. Assessments for successful elections will be placed on the 2016 property owner's tax bill.

LEGAL INFORMATION: N/A

STAFF RECOMMENDATION: Request approval of Boundary Area #455 SW Kasin Street, and authorization to proceed with election by USPS mail, adjusting light locations and/or boundary area, if necessary.

RECEIVED
DEC 28 2015
CITY MANAGER'S OFFICE

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: Port St. Lucie Section 22, SW Kasin Street

ATTACHMENTS: Notice of Hearing Letter, Street Light Area Boundary Map, Legal Description of Included Properties

"A City for All Ages"

CITY OF PORT ST. LUCIE
PUBLIC WORKS DEPARTMENT
Traffic Operations Division

MEMORANDUM

To: Karen Phillips, Director, City Clerk

From: Yolanda Ruiz, Transportation Technician

Date: December 16, 2015

Re: Streetlight Layout – SW Kasin Street – Boundary 455

Attached please find a map of the proposed streetlight layout for the following street:

- SW Kasin Street (from McComb Ave. to Dagget Ave.) - The proposed layout will provide for **3** new streetlights. The proposed locations for the streetlights are specified below:
    - **Block 2036**
        - ★1) Corner of 3791 SW Feldman Ave. (Lot 17)
    - **Block 2022**
        - 2) Between 3838 & 3856 SW Kasin St. (Lots 11 & 10)
    - **Block 2021**
        - 3) Corner of 461 SW Dagget Ave. (Lot 6)

★ NOTE: Number corresponds to proposed streetlight location on attached map.

Please be advised the electrical service for the proposed streetlights is located at the rear of the properties. New poles will have to be installed at the front of the properties in order to allow for the streetlight installations.

Any existing streetlight(s) and/or other considerations have been indicated on the map for your convenience.

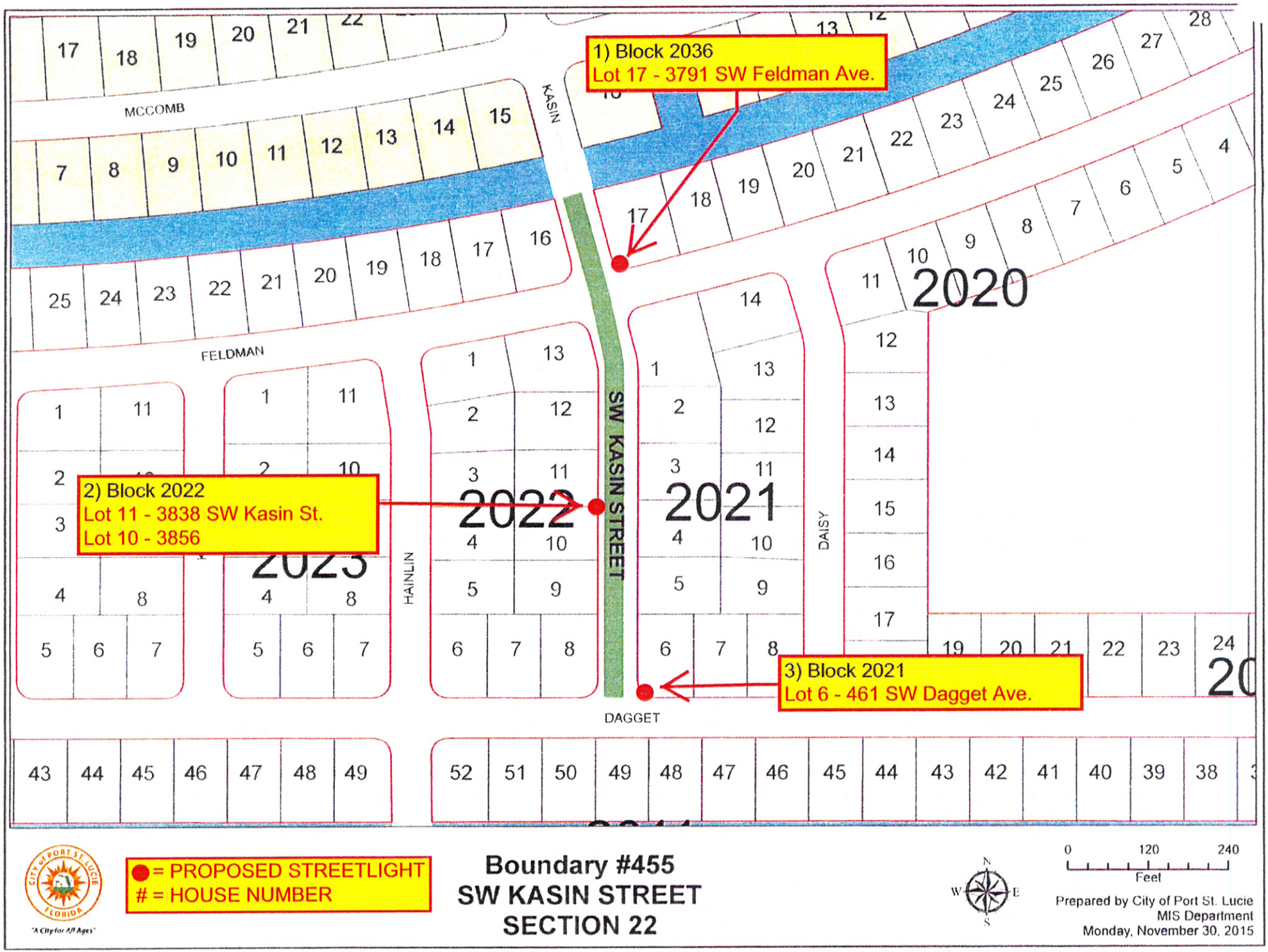
If you have any questions or require additional information, please do not hesitate to contact me at 344-4360.

/yr

Attachment

cc: James E. Angstadt, P.E. – Public Works Director
Heath Stocton, P.E. – Transportation Engineer
Paul Johnson – Manager, Traffic Operations

T:\STREETLIGHTS\DISTRICTS-PSL Residential SL Assessment Area\SL Assessment Area Kasin St SW 15-12-16 MEM PHILLIPS 455.doc


1) Block 2036
Lot 17 - 3791 SW Feldman Ave.
2) Block 2022
Lot 11 - 3838 SW Kasin St.
Lot 10 - 3856
3) Block 2021
Lot 6 - 461 SW Dagget Ave.
MCCOMB
KASIN
FELDMAN
SW KASIN STREET
HAINLIN
DAISY
DAGGET
2020
2021
2022
2023
● = PROPOSED STREETLIGHT
= HOUSE NUMBER
Boundary #455
SW KASIN STREET
SECTION 22
0 120 240
Feet
Prepared by City of Port St. Lucie
MIS Department
Monday, November 30, 2015

CITY OF PORT ST. LUCIE

CITY CLERK'S OFFICE

A CITY FOR ALL AGES

"A City for All Ages"

December 28, 2015

Dear Property Owner:

Re: Residential Street Lighting Assessment Area
Boundary #455 SW Kasin Street

The City of Port St. Lucie has received a request from a residential property owner for street lights in your area and a layout map of the proposed street lights has been drawn.

A public hearing on the matter will be held by the City Council on January 11, 2016, at 7:00 p.m., at City Hall, 121 SW Port St. Lucie Boulevard, Port St. Lucie, Florida. You may appear at the meeting and be heard with respect to the proposed lighting layout map and residential street lighting assessment area.

Once the hearing is concluded, an election of property owners will be held by mail since there is an annual assessment once the lights are installed. No lights will be installed unless the election passes by a vote of 50% plus one of the ballots returned by the property owners (one vote per assessment). The annual assessment for fiscal year 2015-2016 is $26 per lot. The assessment fee is determined by the City Council annually at their Summer Retreat.

All mailed notices or ballots provided herein shall be deemed mailed upon delivery thereof to the possession of the United States Postal Service and failure of the owner to receive such notice due to inadvertent mistakes shall not affect the validity of these proceedings.

No stenographic record by a certified court reporter will be made of the foregoing meeting. Accordingly, any person who may seek to appeal any decision involving the matters noticed herein will be responsible for making a verbatim record of the testimony and evidence at said meeting upon which any appeal is to be based.

A copy of the proposed lighting layout map is enclosed. Any written response should refer to the legal description of your property.

Sincerely,

Karen A. Phillips

Karen A. Phillips, CMC
City Clerk
Enc.

FILE NAME: BOUNDARY LTR 2016

SLEXHIBIT455

EXHIBIT "A"

PORT ST. LUCIE
RESIDENTIAL STREET LIGHTING ASSESSMENT AREA

BOUNDARY #455

SW KASIN STREET

SECTION 22

BLOCK	2021	LOTS	1	THRU	6	=	6 LOTS
BLOCK	2022	LOTS	8	THRU	13	=	6 LOTS
BLOCK	2026	LOT	16			=	1 LOT
BLOCK	2036	LOT	17			=	1 LOT
					TOTAL		14 LOTS

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 10A
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager JB

THRU: Daniel Holbrook, Assistant City Manager – Community Development Director DH

FROM: Patricia A. Tobin, AICP, Director Planning and Zoning PT

Agenda Item: Ordinance: Araya, Bernardo A. & Karina Rezoning Application (P15-180) 16-01

Submittal Date: 12/2/2015

STRATEGIC PLAN LINK: This item is consistent with Goal 3: Balanced and Responsible Growth.

BACKGROUND: This rezoning application is to change the zoning for two lots from RS-2 (Single Family Residential) to CS (Service Commercial) to allow development consistent with the CS (Service Commercial) zoning.

ANALYSIS: See attached staff report.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: This ordinance has been approved as to form by Attorney Brennan Keeler.

PLANNING AND ZONING BOARD: The Planning and Zoning Board unanimously recommended approval of this rezoning application on December 1, 2015.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: Staff may provide a short presentation on this application.

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: 2714 SW Campana St. This is located on the southwest corner of Domina Road and Campana Street, which is south of Gatlin Boulevard.

ATTACHMENTS: Ordinance, staff report, and recommendation.

PT/JF

RECEIVED

DEC 18 2015

City Manager's Office

ORDINANCE 16-01

AN ORDINANCE TO REZONE 0.55 ACRES OF PROPERTY LOCATED ON THE SOUTHWEST CORNER OF DOMINA ROAD AND CAMPANA STREET FROM RS-2 (SINGLE FAMILY RESIDENTIAL) TO CS (SERVICE COMMERCIAL) FOR A PROJECT KNOWN AS ARAYA, BERNARDO A. AND KARINA, P15-180; PROVIDING FOR AN EFFECTIVE DATE.

WHEREAS, Bernardo A. & Karina Araya, seek to rezone 0.55 acres of property located on the southwest corner of Domina Road and Campana Street and within the City of Port St. Lucie, from the zoning designation of RS-2 (Single Family Residential) to CS (Service Commercial); and

WHEREAS, the City of Port St. Lucie Planning and Zoning Board held a public hearing on December 1, 2015 to consider the rezoning application (P15-180), notice of said hearing to adjoining property owners for a radius of seven hundred and fifty (750) feet having been given and advertising of public hearing having been made; and

WHEREAS, the City Council held a public hearing on January 25, 2016 to consider the rezoning application (P15-180), advertising of the public hearing having been made; and

NOW, THEREFORE, THE CITY OF PORT ST. LUCIE HEREBY ORDAINS:

Section 1: That the property described as Port St. Lucie Section 31, Block 1709, Lots 18 and 19 Port St. Lucie be rezoned from the Zoning Classification RS-2 (Single Family Residential) to CS (Service commercial).

Section 2: That this Ordinance shall become effective ten (10) days after its final adoption.

PASSED AND APPROVED by the City Council of the City of Port St. Lucie, Florida, this __ day of _____ 2016.

CITY OF PORT ST. LUCIE, FLORIDA

BY:_____
Gregory J. Oravec, Mayor

ATTEST:

_____
Karen A. Phillips, City Clerk

APPROVED AS TO FORM:

BY:_____
Pam E. Booker, City Attorney

City of Port St. Lucie
Planning and Zoning Department
A City for All Ages

TO: PLANNING AND ZONING BOARD - MEETING OF DECEMBER 1, 2015

FROM: JOHN FINIZIO, PLANNER JF

RE: ARAYA, BERNARDO A. & KARINA
REZONING APPLICATION
PROJECT NO. P15-180

DATE: NOVEMBER 18, 2015

PROPOSED USE: The rezoning application is to change the zoning for these two lots from RS-2 (Single Family Residential) to CS (Service Commercial) to allow development consistent with the CS (Service Commercial) zoning.

OWNER/APPLICANT: Bernardo A. Araya and Karina Araya.

LOCATION: 2714 SW Campana St. This is located on the southwest corner of Domina Road and Campana Street, which is south of Gatlin Boulevard.

LEGAL DESCRIPTION: Port St. Lucie Section 31, Block 1709, Lots 18 and 19.

SIZE: Approximately 0.55 acres or 24,132 square feet.

REQUESTED ZONING: CS (Service Commercial).

EXISTING ZONING: RS-2 (Single Family Residential)

EXISTING USE: This property is vacant.

SURROUNDING USES: North = Sterling Bank PUD (Planned Unit Development) zoning, currently an existing retail building. South and west = CS (Service Commercial) zoning with an existing office/warehouse complex with associated parking. East = RS-2 (Single Family Residential) and CS (Service Commercial) zoning, all currently vacant.

FUTURE LAND USE: CS (Service Commercial)

IMPACTS AND FINDINGS:

Land Use Consistency: This property is located within the City's conversion area. The City adopted The City of Port St. Lucie Conversion Manual in 1984 to accommodate the shortage of land available for both commercial and institutional facilities. With the adoption of the Conversion Manual the City processed land use amendments to change the land use along designated segments or roadways. Within these conversion zones, single-family lots can be assembled to create larger parcels for suitable development.

The rezoning of this property is consistent with the direction and policies of the City's Comprehensive Plan. In particular, Policy 1.1.4.2 (d) recommends that the City provide more Service Commercial land throughout the City, while Policy 1.1.4.13 allows for the conversion of CS (Service Commercial) future land use to CS (Service Commercial) zoning.

***Compliance With Conversion Area Requirements*:**

Planning Area location per conversion manual: 24
The property is totally within planning area: Yes
Minimum Frontage: Yes, minimum frontage is being met.
Minimum Depth: Yes, minimum depth meets the conversion area requirements.
Does the request isolate lots: No
Has a Unity of Title been submitted: Yes, a unity of title was submitted to the City's Legal Department on November 10, 2015.
Buffer required: A landscaped buffer and wall is required: No, the surrounding area is non-residential, so no wall will be required for this site.

Sewer/Water Service: The City of Port St. Lucie Utilities Systems Department will provide water and sewer service to this development. A developer's agreement with the City Utilities Department, that is consistent with the adopted levels of service, is required prior to the issuance of building permits.

Environmental: As part of a future site plan application, an environmental study will be required to be submitted and reviewed as required per §157.24. Therefore, all environmental issues will be addressed during site plan review.

Schools: Not applicable as this is for a commercial project.

Other: As required by §158.126 (L), an approved site plan is required.

STAFF RECOMMENDATION:

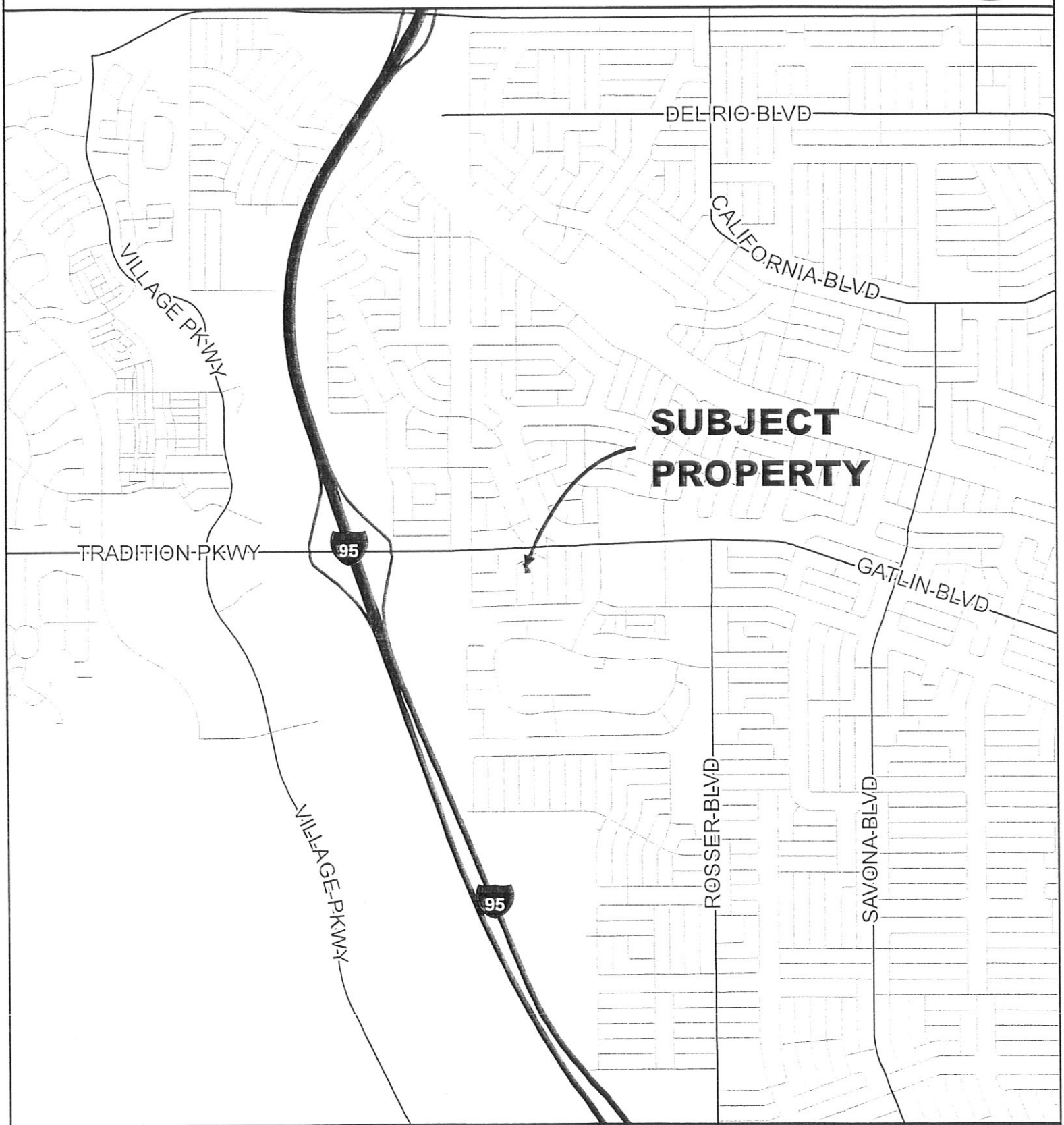
The Planning and Zoning Department staff finds the request to be consistent with the direction and intent of the future land use map and policies of the City's Comprehensive Plan and recommends approval.

Planning and Zoning Board Action Options:

- Motion to recommend approval to the City Council
- Motion to recommend approval to the City Council with conditions
- Motion to recommend denial to the City Council

Please note: Should the Board need further clarification or information from either the applicant and/or staff, it may exercise the right to *table* or *continue* the hearing or review to a future meeting.

GENERAL LOCATION



CITY OF PORT ST. LUCIE
PLANNING & ZONING DEPT.

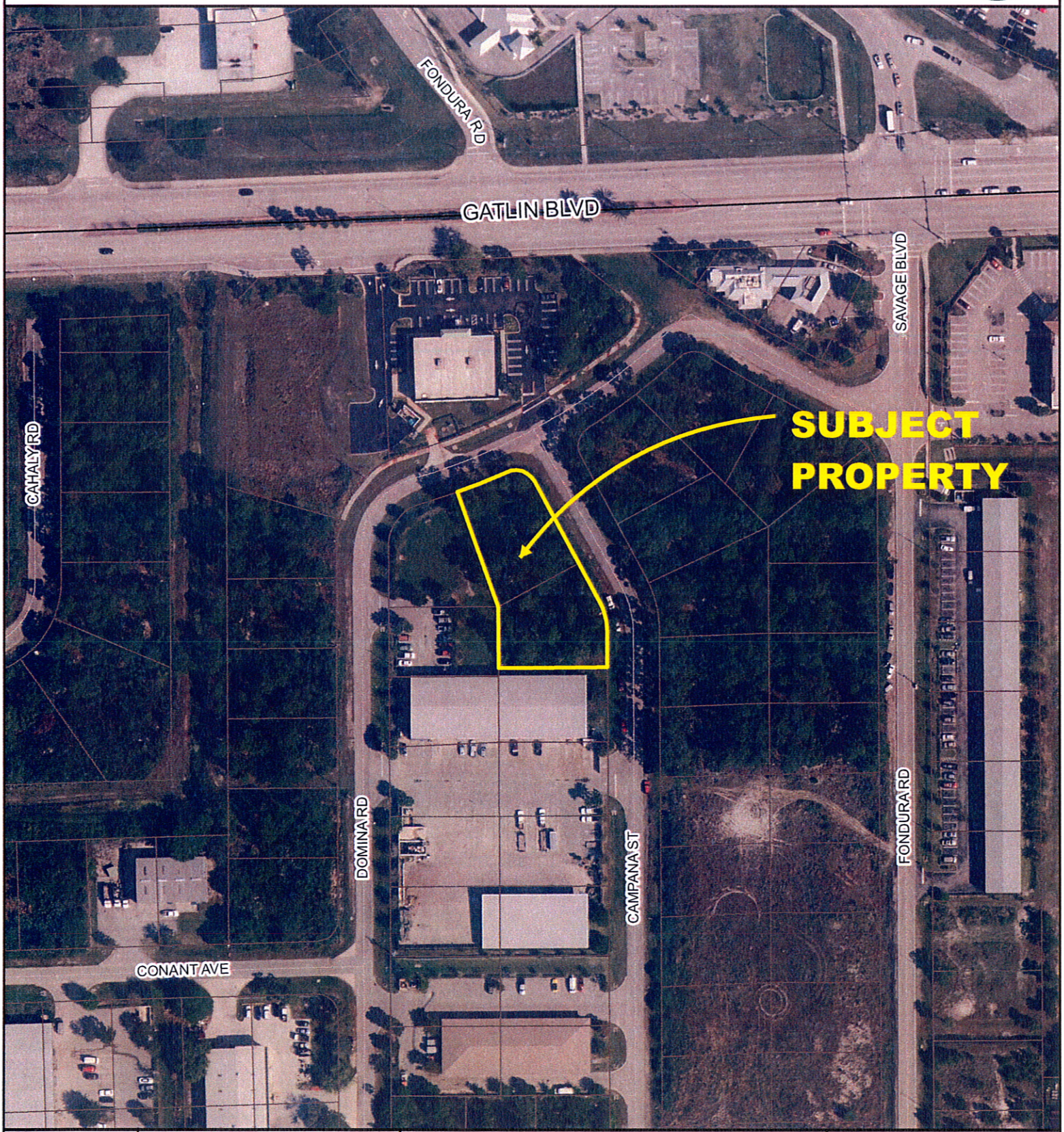
REZONING

BERNARDO A. & KARINA ARAYA

SECTION 31, BLOCK 1709, LOTS 18 & 19

DATE:	11/3/2015
APPLICATION NUMBER:	P15-180
USER:	patricias
SCALE:	1 in = 0.5 miles

AERIAL



CITY OF PORT ST. LUCIE
PLANNING & ZONING DEPT.

REZONING

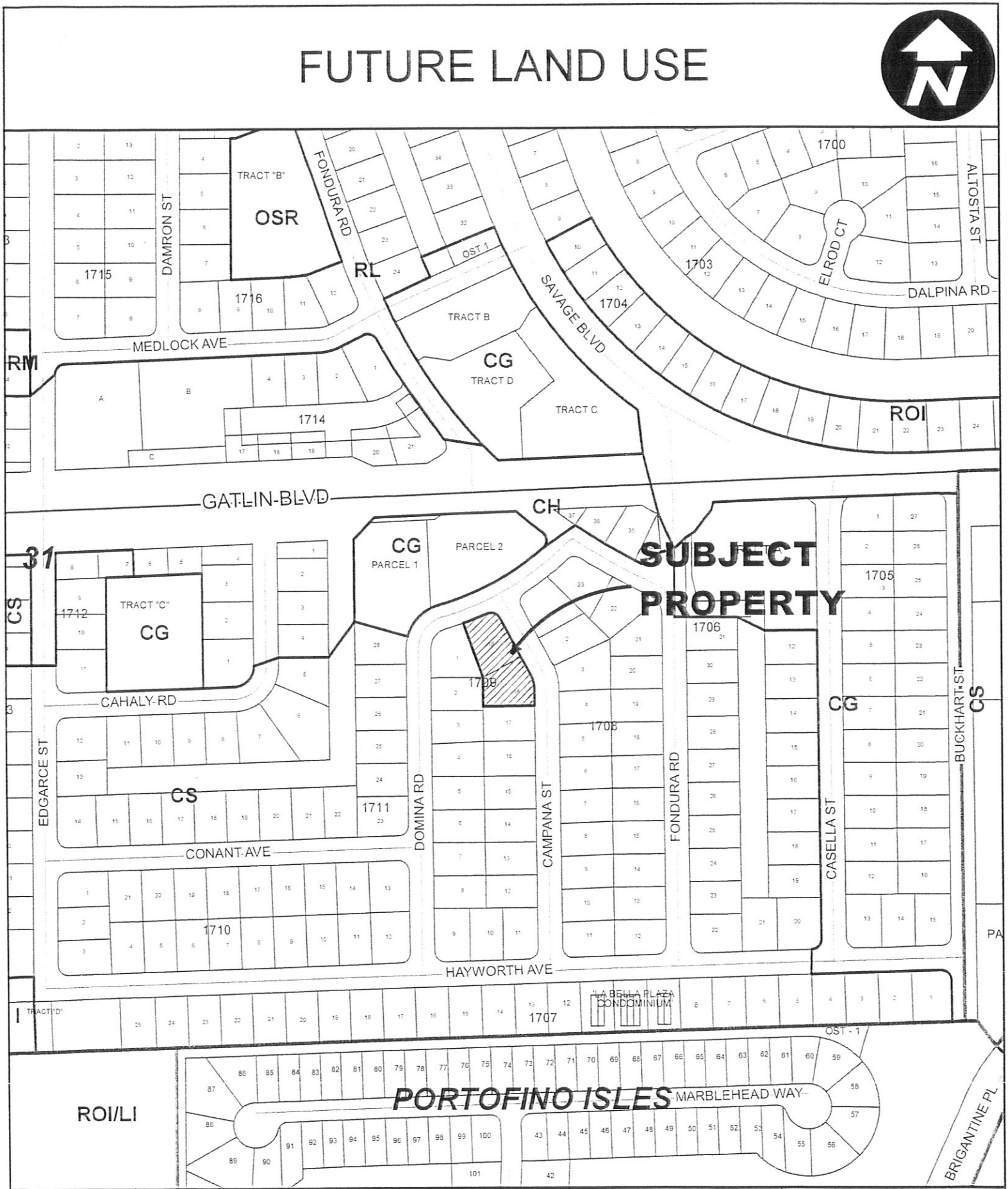
BERNARDO A. & KARINA ARAYA

SECTION 31, BLOCK 1709, LOTS 18 & 19

AERIAL DATE 2014

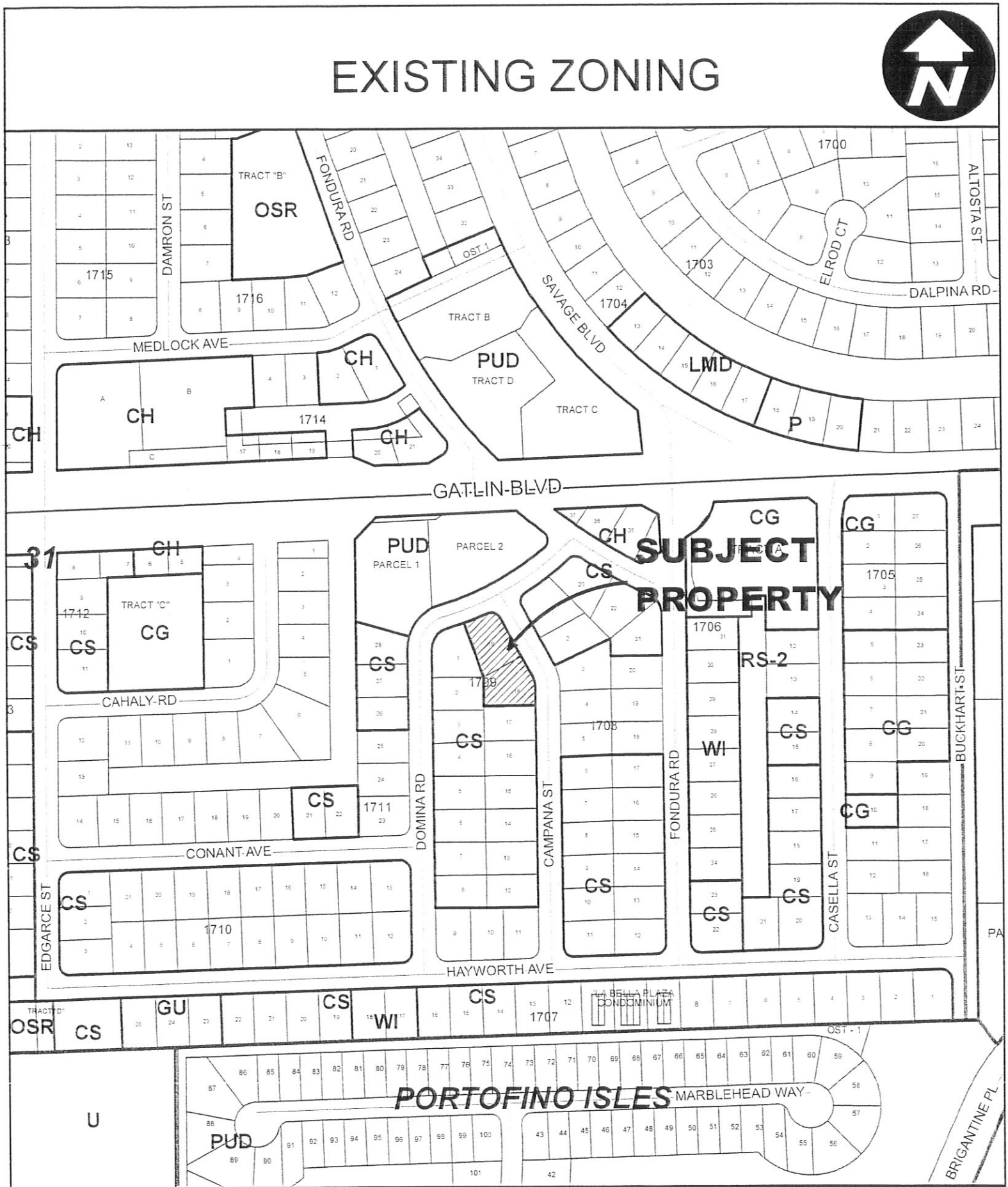
DATE:	11/3/2015
APPLICATION NUMBER:	P15-180
USER:	patricias
SCALE:	1 in = 150 ft

FUTURE LAND USE



CITY OF PORT ST. LUCIE PLANNING & ZONING DEPT.	REZONING BERNARDO A. & KARINA ARAYA SECTION 31, BLOCK 1709, LOTS 18 & 19	DATE:	11/3/2015
		APPLICATION NUMBER:	P15-180
		USER:	patricias
		SCALE:	1 in = 300 ft

EXISTING ZONING



CITY OF PORT ST. LUCIE PLANNING & ZONING DEPT.	REZONING BERNARDO A. & KARINA ARAYA SECTION 31, BLOCK 1709, LOTS 18 & 19	DATE:	11/3/2015
		APPLICATION NUMBER:	P15-180
		USER:	patricias
		SCALE:	1 in = 300 ft

EZONING APPLICATION

CITY OF PORT ST. LUCIE
Planning & Zoning Department
121 SW Port St. Lucie Boulevard
Port St. Lucie, Florida 34984
(772) 871-5212 FAX:(772) 871-5124

FOR OFFICE USE ONLY

Planning Dept. P15-180
Fee (Nonrefundable)$ 2,115.00
Receipt # 72414

Refer to "Fee Schedule" for application fee. Make checks payable to the "City of Port St. Lucie". Fee is nonrefundable unless application is withdrawn prior to the Planning and Zoning Board Meeting. **All** items on this application should be addressed, otherwise it cannot be processed. Attach proof of ownership: two copies of recorded deed. If the application includes more than one (1) lot, our Legal Department will contact you regarding execution of the required Unity of Title. Please type or print clearly in **BLACK** ink.

RECEIVED
OCT 26 2015
DEPARTMENT
PORT ST. LUCIE, FL

PRIMARY CONTACT EMAIL ADDRESS:

PROPERTY OWNER:

Name: BERNARDO A ARAYA AND KARINA ARAYA

Address: 2714 SW CAMPANA ST, PORT ST LUCIE, FL 34953

Telephone No.: 954-415-2005 ~~FAX No.~~: email: araya9044@gmail.com

AGENT OF OWNER (if any)

Name: _____

Address: _____

Telephone No.: _____ FAX No: _____

PROPERTY INFORMATION

Legal Description: LOTS 18 AND 19, BLOCK 1709, PLAT BOOK 14, PAGE 22 SECTION 31
(Include Plat Book and Page)

Parcel I.D. Number: 3420650111/90000

Current Zoning: RS-2

Proposed Zoning: ~~CG~~ CG 10/26/15

Future Land Use Designation: ~~CG~~ CG Acreage of Property: 0.554

Reason for Rezoning Request: BUILD A BUILDING FOR REPAIRS AND MAINTENANCE OF VEHICLES AND EQUIPMENT

*Signature of Owner
Karina Araya

BERNARDO A ARAYA
Hand Print Name
KARINA ARAYA

10/26/2015
Date

***If signature is not that of the owner, a letter of authorization from the owner is needed.**

NOTE: Signature on this application acknowledges that a certificate of concurrency for adequate public facilities as needed to service this project has not yet been determined. Adequacy of public facility services is not guaranteed at this stage in the development review process. Adequacy for public facilities is determined through certification of concurrency and the issuance of final local development orders as may be necessary for this project to be determined based on the application material submitted.

File No.: 915321/MW
Prepared by and Return to:
Charles F. Posess, Esq.
Patch Reef Title Company, Inc.
9700 Reserve Boulevard
Port St. Lucie, FL 34986

RECEIVED
OCT 26 2015
PLANNING DEPARTMENT
CITY OF PORT ST. LUCIE, FL

Parcel No.: 3420-650-1119-000-0

WARRANTY DEED

This Indenture made this 22 day of October, 2015 BETWEEN **Juan A. Gonzalez, a married man, and Blanca M. Gonzalez, a single woman and Carlos R. Gonzalez, a single man**, of San Juan, Puerto Rico, whose post office address is: **CC-7 Daisy Street, Borinquen Gardens, San Juan, PR 00926**
Grantor*,
and **Bernardo A. Araya and Karina Araya, husband and wife**
whose post office address is: 4562 SW Image Drive, Port St. Lucie, FL 34953
of the County of St. Lucie, State of Florida grantee*.

WITNESSETH, That said Grantor, for and in consideration of the sum of TEN AND 00/100 ($10.00) Dollars and other good and valuable considerations to said grantor in hand paid by said grantee, the receipt whereof is hereby acknowledged, has granted, bargained and sold to the grantee and grantee's heirs and assigns forever the following described land situate, lying and being in ST. LUCIE County, Florida, to-wit:

> **Lots 18 and 19, Block 1709, PORT ST. LUCIE SECTION 31, according to the map or plat thereof, as recorded in Plat Book 14, Page 22, of the Public Records of St. Lucie County, Florida.**

Grantor, Juan A. Gonzalez, hereby certifies that he does not reside at the subject property; that the subject property is not his homestead; that neither he nor his spouse nor any minor children reside on the property; that the subject property is not contiguous to his homestead; and that he permanently resides at CC-7 Daisy Street, Borinquen Gardens, San Juan, PR 00926

SUBJECT TO restrictions, reservations, covenants and easements of record which are not reimposed by this deed; taxes for the year 2013 and years subsequent thereto; and all applicable zoning ordinances.

and said grantor does hereby fully warrant the title to said land, and will defend the same against the lawful claims of all persons whomsoever.

"Grantor" and "grantee" are used for singular or plural, as context requires.

IN WITNESS WHEREOF, Grantor has hereunto set grantor's hand and seal the day and year first above written.

Signed, sealed and delivered in our presence:

Witness: Ruth D. Perez Santiago
(Please print name below signature)

Witness: ENRIQUE REBOLLO PORTELA
(Please print name below signature)

Juan A. Gonzalez

Blanca M. Gonzalez

Carlos R. Gonzalez

STATE OF P.R.
COUNTY OF SAN JUAN

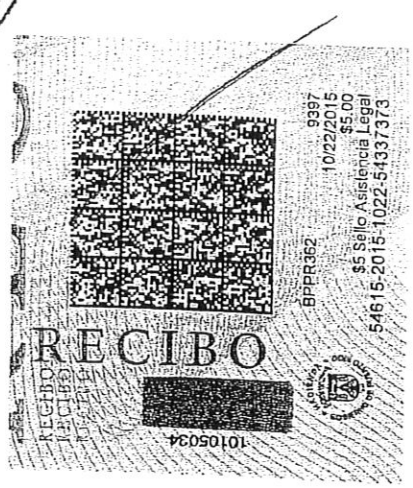
The foregoing instrument was acknowledged before me this 22nd day of OCTOBER, 2015 by **Juan A. Gonzalez**, who is personally known to me or who has produced a DRIVER'S LIC. 405285, as identification and **Blanca M. Gonzalez**, who is personally known to me or who has produced a DRIVERS LICENCE 737466, as identification and **Carlos R. Gonzalez and** , who is personally known to me or who has produced a DRIVER'S LIC. 789641, as identification.

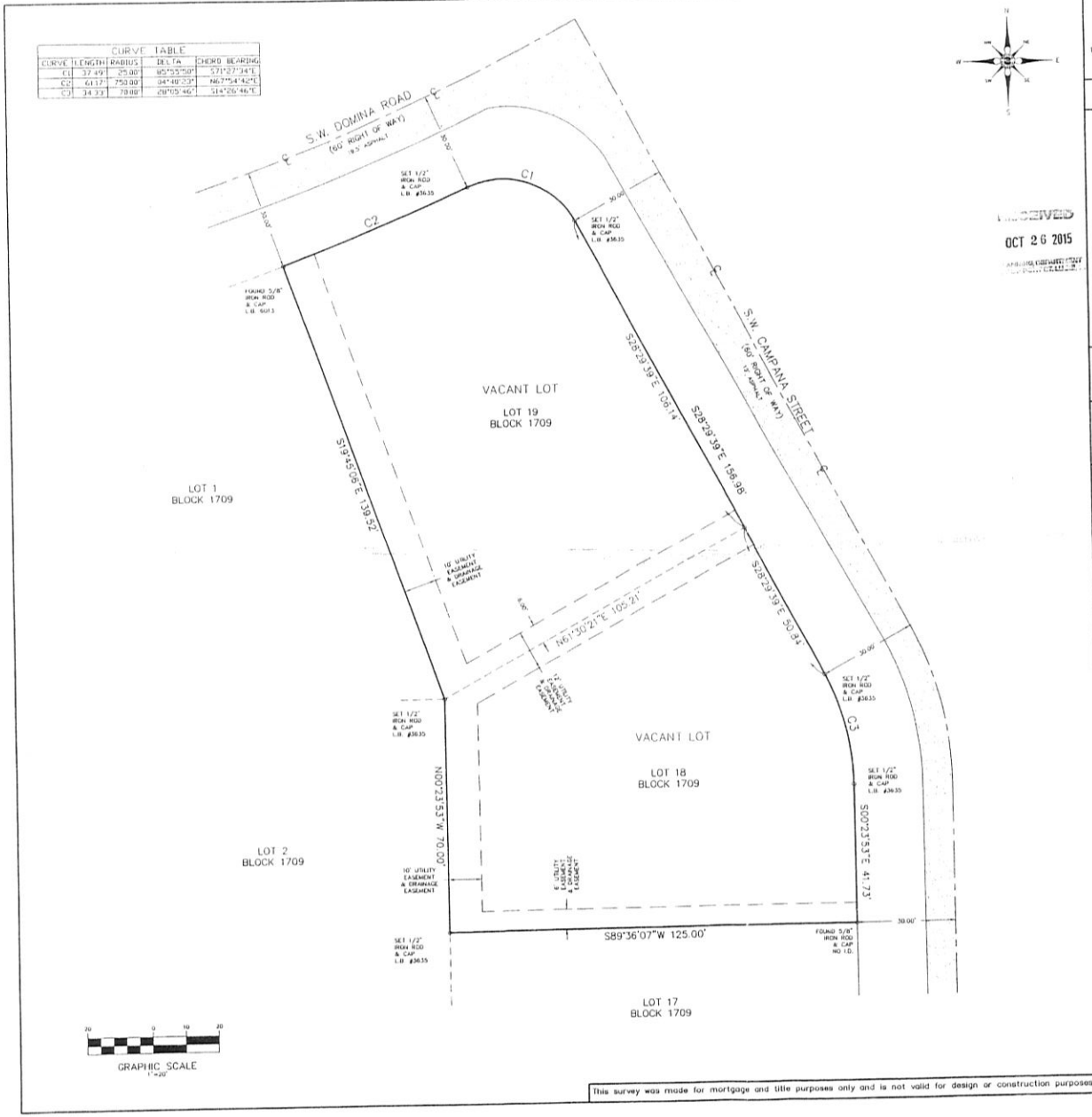
Notary Public

ENRIQUE REBOLLO
(Please print name of Notary)

My commission expires:
PERMANENT #5049

ENRIQUE REBOLLO PORTELA
PUERTO RICO
ABOGADO-NOTARIO
Aff 11466





CURVE TABLE				
CURVE	LENGTH	RADIUS	DELTA	CHORD BEARING
C1	37.49'	25.00'	85°55'59"	S71°27'34"E
C2	61.17'	753.00'	04°40'23"	N67°54'42"E
C3	34.33'	70.00'	28°05'46"	S14°26'46"E

RECEIVED
OCT 26 2015
APPRAISAL DEPARTMENT

ACCURATE LAND SURVEYORS, INC.
L.B. #3635
1150 E. ATLANTIC BLVD.
POMPANO BEACH
FLORIDA 33060
TEL: (954) 782-1441
FAX: (954) 782-1442

BOUNDARY SURVEY

LEGEND OF ABBREVIATIONS:

Δ = CENTRAL ANGLE
A = ARC LENGTH
CB = CHORD BEARING
R = RADIUS
R/W = RIGHT OF WAY
P.C. = POINT OF CURVATURE
P.T. = POINT OF TANGENCY
WM = WATER METER
OH = OVERHANG
N = NORTH
S = SOUTH
E = EAST
W = WEST
CONC = CONCRETE
D.B. = DEED BOOK
CLF = CHAIN LINK FENCE
BLVD. = BOULEVARD
ENCH = ENCH.
I.P. = IRON PIPE
I.R. = IRON ROD
P.R.M. = PERMANENT REFERENCE MONUMENT
N.G.V.D. = NATIONAL GEODETIC VERTICAL DATUM
U.E. = UTILITY EASEMENT
D.E. = DRAINAGE EASEMENT
A.E. = ANCHOR EASEMENT
MAINT. = MAINTENANCE
ESMT. = EASEMENT
ELEV. = ELEVATION
B.M. = BENCHMARK
+ 12.34 = ELEVATIONS BASED ON N.G.V.D.
SQ. FT. = SQUARE FEET
P.C.P. = PERMANENT CONTROL POINT
P.B.C.R. = PALM BEACH COUNTY RECORDS
P = PLAT
N&D = NAIL & DISC
P.O.C. = POINT OF COMMENCEMENT
P.O.B. = POINT OF BEGINNING
A/C = AIR CONDITIONER
FND. = FOUND
CHATT = CHATTAHOOCHEE
STA = STATION
F.P.L. = FLORIDA POWER & LIGHT
N.T.S. = NOT TO SCALE
B.C.R. = BROWARD COUNTY RECORDS
D.C.R. = DADE COUNTY RECORDS
P.B. = PLAT BOOK
O.R.B. = OFFICIAL RECORDS BOOK
F.F. = FINISHED FLOOR
GAR = GARAGE
ELEC. = ELECTRIC
SEC. = SECTION
TWP. = TOWNSHIP
RGE. = RANGE
C/L = CENTERLINE
ASPH. = ASPHALT
MH = MANHOLE
(M) = MEASURED
LP = LIGHT POLE

LEGAL DESCRIPTION:
LOTS 18 AND 19, BLOCK 1709 OF PORT ST. LUCIE SECTION THIRTY ONE, ACCORDING TO THE PLAT THEREOF, AS RECORDED IN PLAT BOOK 14, PAGE 22, OF THE PUBLIC RECORDS OF PORT ST. LUCIE COUNTY, FLORIDA

STREET ADDRESS:
2714 S.W. CAMPANA STREET PORT ST. LUCIE, FL 34953

CERTIFY TO:
1. BERNARDO A. ARAYA AND KARINA ARAYA
2. PATCH REEF TITLE COMPANY, INC.
3. COMMONWEALTH ALIED TITLE INSURANCE COMPANY

NOTES:
1. unless otherwise noted field measurements are in agreement with record measurement.
2. Bearings shown hereon are based on an assumed bearing of S89°36'07"W along the South line Lot 18, Block 1709, Plat Book 14, Page 22, St. Lucie County Records.
3. The lands shown hereon were not abstracted for ownership, rights of way, easements, or other matters of records by Accurate Land Surveyors, Inc.
4. Ownership of fences and walls, if any, are not determined.
5. This survey is the property of Accurate Land Surveyors, Inc., and shall not be used or reproduced in whole or in part without written authorization.
6. This survey is made for the exclusive use of the certified hereon, to be valid use less from the date of survey as shown hereon.
7. This survey was made for mortgage and title purposes only and is not valid for design or construction purposes.

EASEMENTS ACCORDING TO THE PLAT THEREOF:
10' UTILITY AND DRAINAGE EASEMENT ALONG THE WEST BOUNDARY OF LOT 19, BLOCK 1709.
6' UTILITY AND DRAINAGE EASEMENT ALONG THE SOUTH BOUNDARY OF LOT 19, BLOCK 1709.
10' UTILITY AND DRAINAGE EASEMENT ALONG THE WEST BOUNDARY OF LOT 18, BLOCK 1709.
6' UTILITY AND DRAINAGE EASEMENT ALONG THE NORTH BOUNDARY OF LOT 18, BLOCK 1709.
6' UTILITY AND DRAINAGE EASEMENT ALONG THE SOUTH BOUNDARY OF LOT 18, BLOCK 1709.

ENCROACHMENTS ACCORDING TO THE PLAT THEREOF:
NONE

FLOOD ZONE DATA:
FLOOD ZONE: X
BASE FLOOD ELEVATION: N/A
CONTROL PANEL NUMBER: 120287-0275-J
EFFECTIVE
REVISED: 2/16/2012

ELEVATIONS:
REFERENCE BENCHMARK: N/A

DATE OF FIELD SURVEY: 08-25-15 | DRAWN BY: O.I.W.
FIELD BOOK: 15-3105 | CHECKED BY: C.C.C.

REVISIONS	DATE	BY

CERTIFICATION:
This is to certify that I have recently surveyed the property described in the foregoing title caption and have set or found monuments as indicated on this sketch and that said above ground survey and sketch are accurate and correct to the best of my knowledge and belief. I further certify that this survey meets Minimum Technical Standards under Rule 5J-17, adopted by Florida Board of Land Surveyors, January 11, 2010.

SEAL
NOT VALID WITHOUT THE SIGNATURE AND THE ORIGINAL RAISED SEAL OF A FLORIDA LICENSED SURVEYOR AND MAPPER.

ROBERT L. THOMPSON (PRESIDENT)
PROFESSIONAL SURVEYOR AND MAPPER No.3869 – STATE OF FLORIDA

This survey was made for mortgage and title purposes only and is not valid for design or construction purposes.

SHEET 1 OF 1 | SCALE 1"=20' | SKETCH NUMBER SU-15-3105

RESOLUTION NO. 15-R111

COUNCIL ITEM 11A
DATE 1/11/16

A RESOLUTION GRANTING A SPECIAL EXCEPTION USE PROVIDED FOR IN SECTION 158.124(C)(11) and (12) TO ALLOW AN AUTOMOBILE FUEL SERVICE AND RETAIL CONVENIENCE STORE IN THE CG (GENERAL COMMERCIAL) ZONING DISTRICT FOR CUMBERLAND FARMS (JAMES J. McGLONE, JR.), LEGALLY DESCRIBED AS LOTS 1 - 8, A PORTION OF LOTS 9 & 10, BLOCK 1482, SECTION 16 AND LOTS 65 - 67, BLOCK 1440, SECTION 15, P15-171; PROVIDING AN EFFECTIVE DATE.

WHEREAS, the City of Port St. Lucie, Florida, has been requested by James J. McGlone, Jr. to grant a special exception use of an automobile gasoline service and a retail convenience store on property presently zoned CG (General Commercial); and legally described as Lots 1 - 8, a portion of Lots 9 & 10, Block 1482, Section 16 and Lots 65 - 67, Block 1440, Section 15; and

WHEREAS, the City Council determines that the granting of this special exception use is authorized by Section 158.255, et seq., and Section 158.124(C)(11) and (12), Code of Ordinances, City of Port St. Lucie, and further that the granting of this special exception use will not adversely affect the public interest; and

WHEREAS, the subject application has been reviewed in accordance with Section 158.260, and meets the special exception use requirements as stipulated; and

WHEREAS, the Planning and Zoning Board, on December 1, 2015 reviewed the applicant and recommended approval (P15-171); and

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Port St. Lucie as follows:

RESOLUTION NO. 15-R111

Section 1. That the City of Port St. Lucie hereby grants a special exception use to James J. McGlone, Jr., P15-171, to allow an automobile gasoline service and a retail convenience store, pursuant to Section 158.255, et seq., and Section 158.124(C)(11) and (12) Code of Ordinances, City of Port St. Lucie, said special exception use is depicted on the conceptual plan which is hereby formally adopted and attached as Exhibit "A", to be located along the west side of Port St. Lucie Boulevard between SW Bianca Avenue and SW Alexandria Avenue, and legally described as Lots 1 - 8, a portion of Lots 9 & 10, Block 1482, Section 16 and Lots 65 - 67, Block 1440, Section 15.

Section 2. This resolution shall take effect immediately upon its adoption.

PASSED AND APPROVED by the City Council of the City of Port St. Lucie, Florida, this 11th day of January, 2016.

CITY COUNCIL
CITY OF PORT ST. LUCIE

BY: _____
Gregory J. Oravec, Mayor

ATTEST:

_____
Karen A. Phillips, City Clerk

APPROVED AS TO FORM:

_____
Pam E. Booker, City Attorney

COUNCIL ITEM 11C
DATE 12/14/15

RESOLUTION NO. 15-111

A RESOLUTION GRANTING A SPECIAL EXCEPTION USE PROVIDED FOR IN SECTION 158.124(C)(11) and (12) TO ALLOW AN AUTOMOBILE FUEL SERVICE AND RETAIL CONVENIENCE STORE IN THE CG (GENERAL COMMERCIAL) ZONING DISTRICT FOR CUMBERLAND FARMS (JAMES J. McGLONE, JR.), LEGALLY DESCRIBED AS LOTS 1 - 8, A PORTION OF LOTS 9 & 10, BLOCK 1482, SECTION 16 AND LOTS 65 - 67, BLOCK 1440, SECTION 15, P15-171; PROVIDING AN EFFECTIVE DATE.

WHEREAS, the City of Port St. Lucie, Florida, has been requested by James J. McGlone, Jr. to grant a special exception use of an automobile gasoline service and a retail convenience store on property presently zoned CG (General Commercial); and legally described as Lots 1 - 8, a portion of Lots 9 & 10, Block 1482, Section 16 and Lots 65 - 67, Block 1440, Section 15; and

WHEREAS, the City Council determines that the granting of this special exception use is authorized by Section 158.255, et seq., and Section 158.124(C)(11) and (12), Code of Ordinances, City of Port St. Lucie, and further that the granting of this special exception use will not adversely affect the public interest; and

WHEREAS, the subject application has been reviewed in accordance with Section 158.260, and meets the special exception use requirements as stipulated; and

WHEREAS, the Planning and Zoning Board, on December 1, 2015 reviewed the applicant and recommended approval (P15-171); and

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Port St. Lucie as follows:

RESOLUTION NO. 15-111

Section 1. That the City of Port St. Lucie hereby grants a special exception use to James J. McGlone, Jr., P15-171, to allow an automobile gasoline service and a retail convenience store, pursuant to Section 158.255, et seq., and Section 158.124(C)(11) and (12) Code of Ordinances, City of Port St. Lucie, said special exception use is depicted on the conceptual plan which is hereby formally adopted and attached as Exhibit "A", to be located along the west side of Port St. Lucie Boulevard between SW Bianca Avenue and SW Alexandria Avenue, and legally described as Lots 1 - 8, a portion of Lots 9 & 10, Block 1482, Section 16 and Lots 65 - 67, Block 1440, Section 15.

Section 2. This resolution shall take effect immediately upon its adoption.

PASSED AND APPROVED by the City Council of the City of Port St. Lucie, Florida, this 14th day of December, 2015.

CITY COUNCIL
CITY OF PORT ST. LUCIE

BY: _____
Gregory J. Oravec, Mayor

ATTEST:

_____
Karen A. Phillips, City Clerk

APPROVED AS TO FORM:

_____
Pam E. Booker, City Attorney

City of Port St. Lucie
Planning and Zoning Department
A City for All Ages

TO: **PLANNING AND ZONING BOARD - MEETING OF DECEMBER 1, 2015**

FROM: **KATHERINE H. HUNTRESS, PLANNER**

RE: **CUMBERLAND FARMS**
SPECIAL EXCEPTION APPLICATION
AUTOMOBILE FUEL SERVICES & CONVENIENCE STORE
PROJECT NO. P15-171

DATE: **NOVEMBER 17, 2015**

APPLICANT: Thomas Engineering Group

OWNER: James J. McGlone, Jr.

LOCATION: The property is located along the west side of Port St. Lucie Boulevard between SW Bianca Avenue and SW Alexandria Avenue.

LEGAL DESCRIPTION: The property is legally described Lots 1 - 8, a portion of Lots 9 & 10, Block 1482, Section 16 and Lots 65 - 67, Block 1440, Section 15.

SIZE: 2.17 acres

EXISTING ZONING: CG (General Commercial)

EXISTING USE: Vacant land

PROPOSED USE: The proposed use is an automobile fuel service station with a 4,996 square foot one story retail convenience store.

REQUESTED SPECIAL EXCEPTION: The requested special exception is to allow an automobile fuel service station and a retail convenience store per Section 158.124(C)(11) and (12) of the Zoning Code.

SURROUNDING USES: North = P (Professional) zoning, existing vacant land. South = ROI (Residential/Office/Institutional) Future Land Use and RS-2 (Single Family Residential) zoning, existing single family residences and vacant land. East = CG (General Commercial) zoning, existing commercial developments. West = RS-2 (Single Family Residential) zoning, existing single family residences.

IMPACTS AND FINDINGS:

Evaluation of Special Exception Criteria (Section 158.260)

(A) Adequate ingress and egress may be obtained to and from the property, with particular reference to automotive and pedestrian safety and convenience, traffic flow and control, and access in case of fire or other emergency.

Applicant response: "Vehicular access to the site will be provided via three driveway connections. Pedestrian access to and within the site will be provided via sidewalk connections and crosswalks."

Staff evaluation: The applicant has adequately addressed the criteria. The applicant has provided a conceptual site plan that indicates three driveways, one on SW Alexandria Avenue, one on SW Port St. Lucie Boulevard, and one on the newly created road on the north side of the site.

(B) Adequate off-street parking and loading areas may be provided, without creating undue noise, glare, odor, or other detrimental effects upon adjoining properties.

Applicant response: "The number of parking spaces exceeds the code requirement and the spaces are located away from adjacent residential areas and a buffer wall and landscape buffer zone will be provided."

Staff evaluation: The applicant has adequately addressed the criteria. Adequate off-street parking and loading areas are provided without creating undue noise, glare, odor, or other detrimental effects upon adjoining properties. The total number of parking spaces required for a 4,996 square foot convenience store is 25. The conceptual plan shows a total of 45 parking spaces, which is more than adequate.

(C) Adequate and properly located utilities are available or may be reasonably provided to serve the proposed development.

Applicant response: "Connections to the water and sewer system will be made along SW Briggs Street at the northwest corner of the site. Electricity will be provided via relocated overhead lines, and telephone and cable will be provided from underground lines along SW Port St. Lucie Boulevard."

Staff evaluation: The City of Port St. Lucie Utilities provides water and sewer service to the site. No additional capacity will be required for the proposed special exception use.

(D) Adequate screening or buffering. Additional buffering beyond that which is required by the code may be required in order to protect and provide compatibility with adjoining properties.

Applicant response: "A six (6) foot high wall will be constructed along the west property line adjacent to the residential area."

Staff evaluation: The applicant has adequately addressed the criteria. The applicant has provided a conceptual site plan that indicates a landscape buffer strip and buffer wall on the west side of the property adjacent to residential zoning and existing single family residences. Additionally, there is a landscape buffer on the south side of the

property which is across the street from residential zoning and existing single family residences. The applicant will be required to submit a landscape plan that meets all of the requirements of the city landscape code at time of site plan construction plans review.

(E) Signs, if any, and proposed exterior lighting will be so designed and arranged so as to promote traffic safety and to eliminate or minimize any undue glare, incompatibility, or disharmony with adjoining properties. Light shields or other screening devices may be required.

Applicant response: "Stop signs will be provided to control the flow of traffic. The proposed lighting will conform to the dark sky lighting standards."

Staff evaluation: All sign applications will need to be processed according to the regulations outlined in the sign code (Chapter 155).

(F) Yards and open spaces will be adequate to properly serve the proposed development and to ensure compatibility with adjoining properties.

Applicant response: "Landscape buffers have been provided along each side of the property. A large landscape buffer and 6 foot wall along the west property line and the storm-water detention area along the south property line provide ample separation between the on-site activity and adjoining properties."

Staff evaluation: The applicant has adequately addressed the criteria. The existing site has adequate yard and open space to properly serve the proposed development and ensure compatibility with adjoining properties.

(G) The use as proposed will be in conformance with all stated provisions and requirements of this chapter.

Applicant response: "The design requirements for the CG (General Commercial) zoning have been met by the proposed site plan. Required setbacks, buffers, lot and building size, parking requirements and building height meet the standards for the zoning designation."

Staff evaluation: The proposed use is in conformance with Section 158.124(C)(11) and (12) of the Zoning Code.

(H) Establishment and operation of the proposed use upon the particular property involved will not impair the health, safety, welfare, or convenience of residents and workers in the City.

Applicant response: "The site has been designed so that the commercial activities occur along the east side of the building/property which provides the maximum buffering to the adjacent residential uses. Additionally, a 6 foot buffer wall and landscape buffer are being provided and access is mainly expected to occur on Port St. Lucie Boulevard. The development will be beneficial to local residents due to the proximity and ease of access to the use."

Staff evaluation: The applicant has adequately addressed the criteria. This report finds that by conforming to city codes, the health, safety, welfare, and convenience of the residents and workers in the city should not be impaired by this use.

(I) The proposed use will not constitute a nuisance or hazard because of the number of persons who will attend or use the facility, or because of the hours of operation, or because of vehicular movement, noise, fume generation, or type of physical activity.

Applicant response: "The activity occurs on the east side of the property, which the building, landscape area, and wall serve as buffers from the noise, fumes, and vehicular movement."

Staff evaluation: By conforming to city codes, a retail convenience store and automobile fuel service station at this location should not constitute a nuisance or hazard for anyone using this facility.

(J) The use as proposed for development will be compatible with the existing or permitted uses of adjacent property. The proximity or separation and potential impact of the proposed use (including size and height of buildings, access location, light, and noise) on nearby property will be considered in the submittal and analysis of the request. The City may request project design changes or changes to the proposed use to mitigate the impacts upon adjacent properties and the neighborhood.

Applicant response: "The site is situated along Port St. Lucie Boulevard and is compatible with similar commercial uses located directly around the proposed project site. Site improvements and lighting have been designed to direct noise and light away from adjacent residential properties. The lighting will comply with the Dark Sky Standards. The fueling islands are located between the right of way and the building to reduce noise into the adjacent neighborhood."

Staff evaluation: The applicant has provided a conceptual site plan that indicates landscape buffers adjacent to residential uses. The buffer on the west side includes a 6' masonry wall, and the buffer on the south side includes a 6' wooden fence. These buffers should minimize any light and noise generated from the development from impacting the adjacent properties.

(K) As an alternative to reducing the scale and/or magnitude of the project as stipulated in criteria (J) above, the City may deny the request for the proposed use if the use is considered incompatible, too intensive, or intrusive upon the nearby area and would result in excessive disturbance or nuisance from the use altering the character of neighborhood.

Applicant response: The applicant has noted this.

Staff evaluation: The applicant has acknowledged this.

(L) Development and operation of the proposed use will be in full compliance with any additional conditions and safeguards which the City Council may prescribe, including but not limited to reasonable time limit within which the action for which special approval is requested shall be begun or completed or both.

Applicant response: The applicant has noted this.

Staff evaluation: The applicant has acknowledged this.

Compatibility with special exception criteria: As noted above, the proposed use is compatible with all of the special exception criteria.

Notice to Property Owners: Notice was sent to all neighbors within a 750 foot radius.

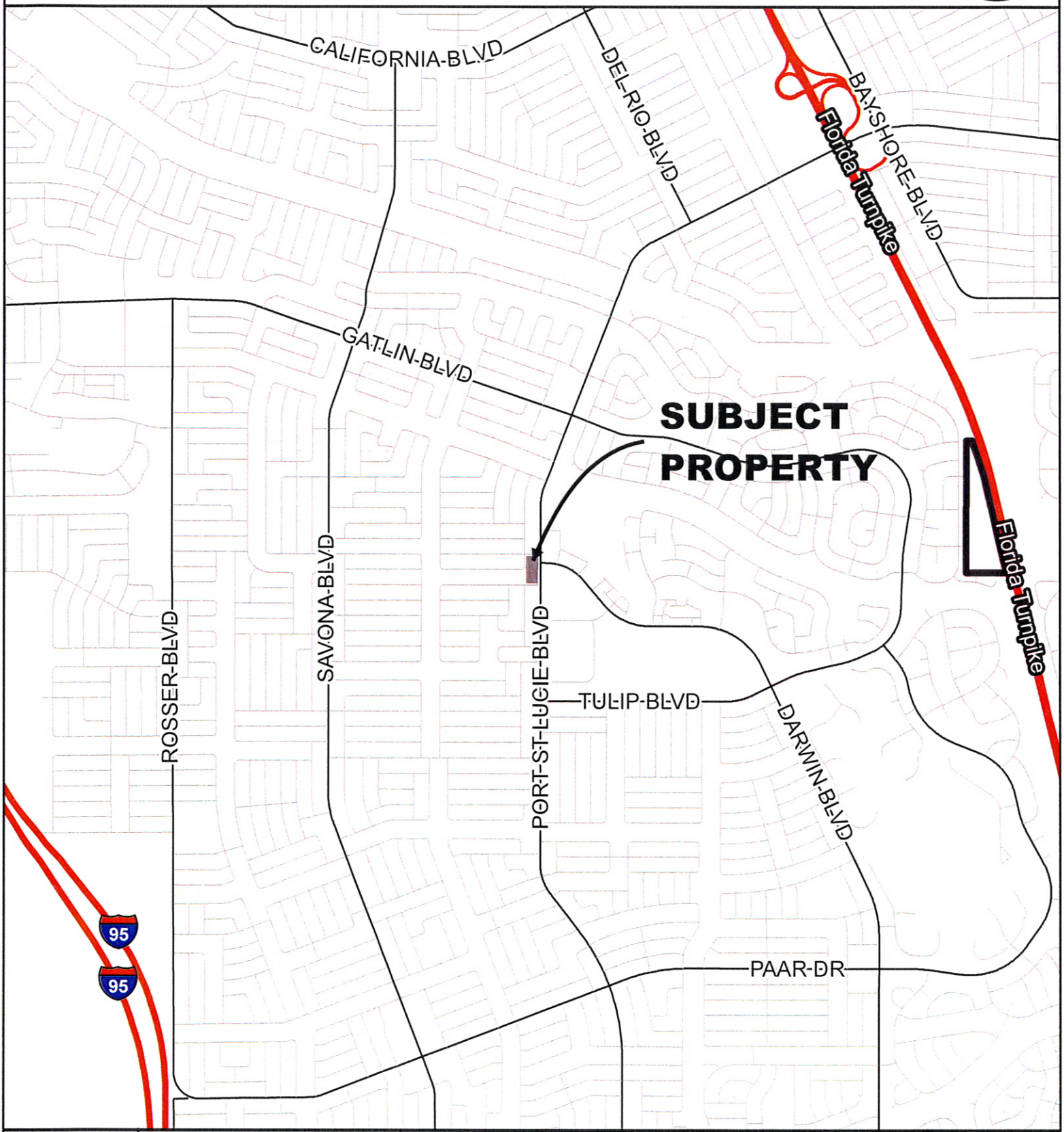
STAFF RECOMMENDATION: The Planning and Zoning Department staff finds the request to be consistent with special exception criteria, as stipulated in Section 158.260 of the Zoning Code. The Site Plan Review Committee reviewed the request on October 28, 2015 and unanimously recommended approval.

Planning and Zoning Board Action Options:

- Motion to recommend approval
- Motion to recommend approval with conditions
- Motion to recommend denial

Should the Board need further clarification or information from either the applicant and/or staff, it may exercise the right to table or continue the hearing or review to a future meeting.

GENERAL LOCATION



CITY OF PORT ST. LUCIE
PLANNING & ZONING DEPT.

SPECIAL EXCEPTION USE

CUMBERLAND FARMS

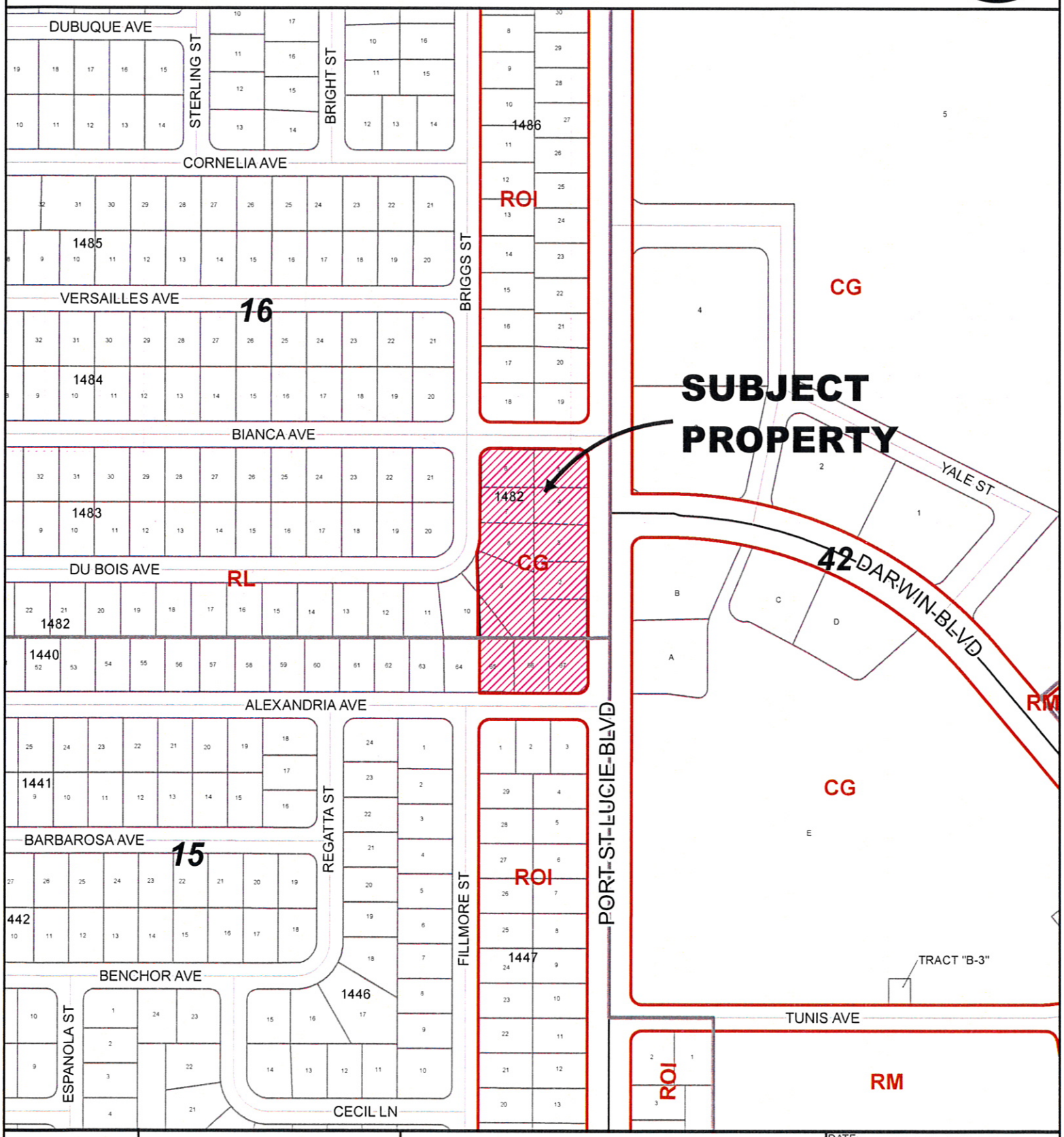
S16, B1482, L1-8, p/o 9&10; S15, B1440, L65-67

DATE:	11/12/2015
APPLICATION NUMBER:	P15-171
USER:	patricias
SCALE:	1 in = 0.5 miles

AERIAL

CITY OF PORT ST. LUCIE PLANNING & ZONING DEPT.	SPECIAL EXCEPTION USE CUMBERLAND FARMS S16, B1482, L1-8, p/o 9&10; S15, B1440, L65-67 AERIAL DATE 2014	DATE:	11/12/2015
		APPLICATION NUMBER:	P15-171
		USER:	patricias
		SCALE:	1 in = 150 ft

FUTURE LAND USE



CITY OF PORT ST. LUCIE
PLANNING & ZONING DEPT.

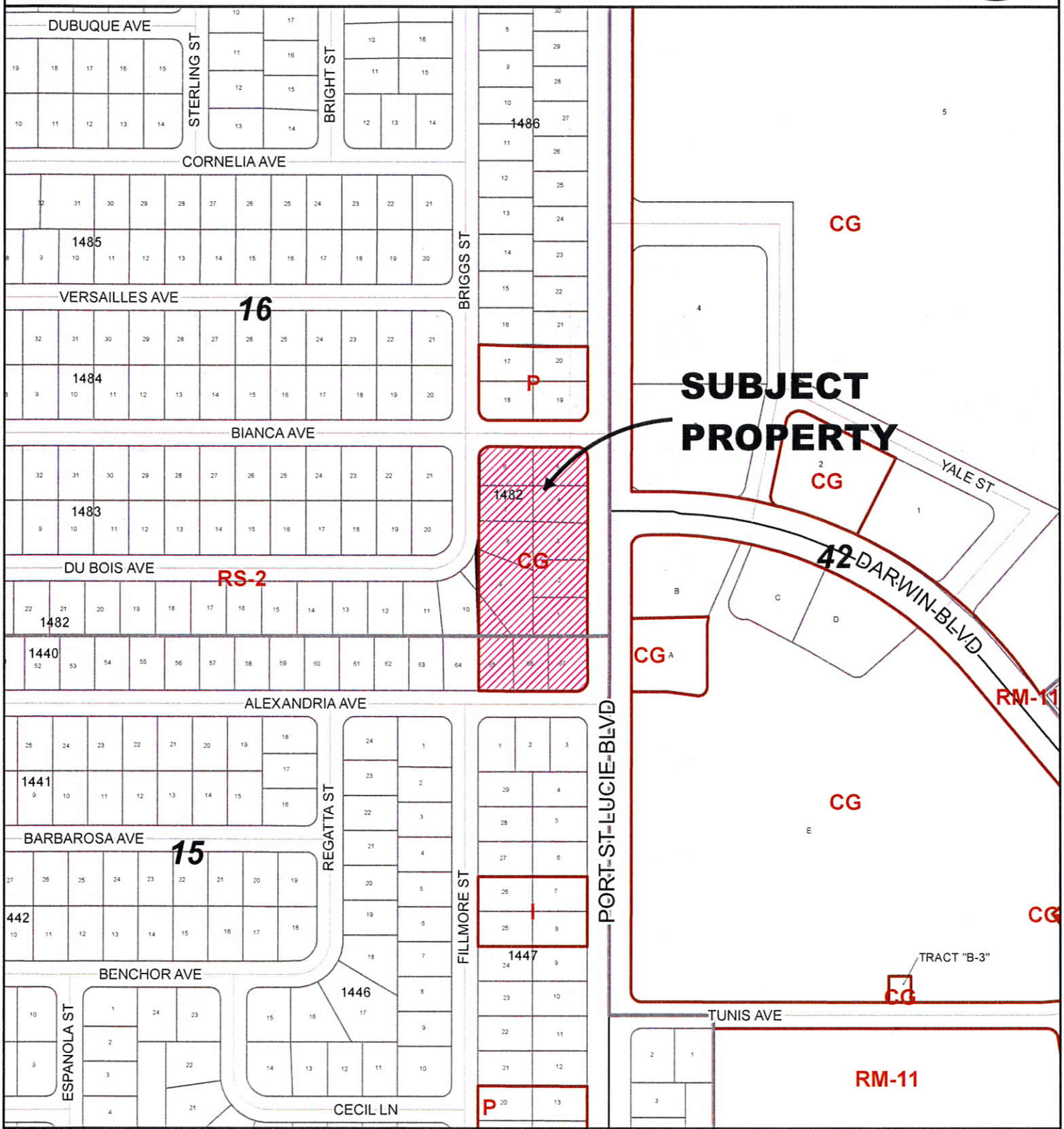
SPECIAL EXCEPTION USE

CUMBERLAND FARMS

S16, B1482, L1-8, p/o 9&10; S15, B1440, L65-67

DATE:	11/12/2015
APPLICATION NUMBER:	P15-171
USER:	patricias
SCALE:	1 in = 300 ft

EXISTING ZONING



CITY OF PORT ST. LUCIE
PLANNING & ZONING DEPT.

SPECIAL EXCEPTION USE

CUMBERLAND FARMS

S16, B1482, L1-8, p/o 9&10; S15, B1440, L65-67

DATE:	11/12/2015
APPLICATION NUMBER:	P15-171
USER:	patricias
SCALE:	1 in = 300 ft

APPLICATION FOR SPECIAL EXCEPTION USE

CITY OF PORT ST. LUCIE
Planning & Zoning Department
121 SW Port ST. Lucie Blvd.
Port St. Lucie, Florida 34984
(772)871-5212 FAX: (772)871-5124

FOR OFFICE USE ONLY

Planning Dept. P15-171
Fee (Nonrefundable)$ 2,115.00
Receipt # 70494

Refer to "Fee Schedule" for application fee. Make check payable to the "City of Port St. Lucie". Fee is nonrefundable unless application is withdrawn prior to being scheduled for the Site Plan Review Committee meeting or advertising for the Planning and Zoning Board meeting. **Attach two copies of proof of ownership (e.g., warranty deed, affidavit), lease agreement (where applicable), approved Concept Plan or Approved Site Plan, and a statement addressing each of the attached criteria.**

PRIMARY CONTACT EMAIL ADDRESS: mtroxell@thomaseg.com

PROPERTY OWNER:

Name: James J McGlone

Address: 2582 SW Hinchman St, Port St Lucie, FL, 34984

Telephone No.: 772-398-1212 Fax No.: _____

APPLICANT (IF OTHER THAN OWNER, ATTACH AUTHORIZATION TO ACT AS AGENT):

Name: Michael Troxell

Address: 1000 Corporate Drive, Suite 250, Fort Lauderdale, FL33334

Telephone No.: 954-202-7000 Fax No.: 954-202-7070

RECEIVED
OCT 07 2015
PLANNING DEPARTMENT
CITY OF PORT ST. LUCIE, FL

SUBJECT PROPERTY:

Legal Description: Refer to attachment

Parcel I.D Number: Refer to attachment

Address: Refer to attachment Bays: _____

Development Name: Cumberland Farms - Port St. Lucie Blvd & Darwin Blvd (Attach Sketch and/or Survey)

Gross Leasable Area (sq. ft.): 4,996 Assembly Area (sq. ft.): N/A

Current Zoning Classification: CG-PSL SEU Requested: Retail convenience/fuel service

Please state, as detailed as possible, reasons for requesting proposed SEU (continue on separate sheet, if necessary):

Allow for the construction of a 4,996 square foot convenience store with 16 fueling positions on property zoned as General Commercial (CG)

Signature of Applicant	Michael Troxell Hand Print Name	10/6/15 Date

NOTE: Signature on this application acknowledges that a certificate of concurrency for adequate public facilities as needed to service this project has not yet been determined. Adequacy of public facility services is not guaranteed at this stage in the development review process. Adequacy for public facilities is determined through certification of concurrency and the issuance of final local development orders as may be necessary for this project to be determined based on the application material submitted.

SPECIAL EXCEPTION USES

The Planning and Zoning Board, and Zoning Administrator, may authorize the special exception use from the provisions of § 158.260. In order to authorize any special exception use from the terms of this chapter, the Planning and Zoning Board, or Zoning Administrator, will consider the special exception criteria in **§ 158.260 and consider your responses to the following when making a determination.**

(A) Please explain how adequate ingress and egress will be obtained to and from the property, with particular reference to automotive and pedestrian safety and convenience, traffic flow, and control, and access in case of fire or other emergency.

Please refer to attached responses.

(B) Please explain how adequate off-street parking and loading areas will be provided, without creating undue noise, glare, odor or other detrimental effects upon adjoining properties.

Please refer to attached responses.

(C) Please explain how adequate and properly located utilities will be available or will be reasonably provided to serve the proposed development.

Please refer to attached responses.

(D) Please explain how additional buffering and screening, beyond that which is required by the code, will be required in order to protect and provide compatibility with adjoining properties.

Please refer to attached responses.

(E) Please explain how signs, if any, and proposed exterior lighting will be so designed and arranged so as to promote traffic safety and to eliminate or minimize any undue glare, incompatibility, or disharmony with adjoining properties. Light shields or other screening devices may be required.

Please refer to attached responses.

(F) Please explain how yards and open spaces will be adequate to properly serve the proposed development and to ensure compatibility with adjoining properties.

Please refer to attached responses.

(G) Please explain how the use, as proposed, will be in conformance with all stated provisions and requirements of the City's Land Development Regulation.

Please refer to attached responses.

(H) Please explain how establishment and operation of the proposed use upon the particular property involved will not impair the health, safety, welfare, or convenience of residents and workers in the city.

Please refer to attached responses.

(I) Please explain how the proposed use will not constitute a nuisance or hazard because of the number of persons who will attend or use the facility, or because of the hours of operation, or because of vehicular movement, noise, fume generation, or type of physical activity.

Please refer to attached responses.

(J) Please explain how the use, as proposed for development, will be compatible with the existing or permitted uses of adjacent property. The proximity or separation and potential impact of the proposed use (including size and height of buildings, access, location, light and noise) on nearby property will be considered in the submittal and analysis of the request. The City may request project design changes or changes to the proposed use to mitigate the impacts upon adjacent properties and the neighborhood.

Please refer to attached responses.

Signature of Applicant	Michael Troxell Hand Print Name	10/6/15 Date

PLEASE NOTE:

(K) As an alternative to reducing the scale and/or magnitude of the project as stipulated in criteria (J) above, the City may deny the request for the proposed use if the use is considered incompatible, too intensive or intrusive upon the nearby area and would result in excessive disturbance or nuisance from the use altering the character of neighborhood.

(L) Development and operation of the proposed use will be in full compliance with any additional conditions and safeguards which the City Council may prescribe, including but not limited to reasonable time limit within which the action for which special approval is requested shall be begun or completed or both.

(A) Please explain how adequate ingress and egress will be obtained to and from the property, with particular reference to automotive and pedestrian safety and convenience, traffic flow, and control, and access in case of fire or other emergency.

Vehicular access to the site will be provided via three driveway connections. Pedestrian access to and within the will be provided via sidewalk connections and crosswalks.

(B) Please explain how adequate off-street parking and loading areas will be provided, without creating undue noise, glare, odor or other detrimental effects upon adjoining properties.

The number of parking spaces exceeds the code requirement and the spaces are located away from adjacent residential areas. A buffer wall and landscape buffer zone will be provided.

(C) Please explain how adequate and properly located utilities will be available or will be reasonably provided to serve the proposed development.

Connections to the water and sewer system will be made along SW Briggs Street at the northwest corner of the site. Electricity will be provided via relocated overhead lines, and telephone and cable will be provided from underground lines along SW Port St. Lucie Blvd.

(D) Please explain how additional buffering and screening, beyond that which is required by the code, will be required in order to protect and provide compatibility with adjoining properties.

A six (6) foot high wall will be constructed along the west property line adjacent to the residential area.

(E) Please explain how signs, if any, and proposed exterior lighting will be so designed and arranged so as to promote traffic safety and to eliminate or minimize any undue glare, incompatibility, or disharmony with adjoining properties. Light shields or other screening devices may be required.

Stop signs will be provided to control the flow of traffic. The proposed lighting will conform with the dark sky lighting standards.

(F) Please explain how yards and open spaces will be adequate to properly serve the proposed development and to ensure compatibility with adjoining properties.

Landscape buffers have been provided along each side of the property. A large landscape buffer and 6 foot wall along the west property line and the stormwater detention area along the south property line provide ample separation between the on-site activity and adjoining properties.

(G) Please explain how the use, as proposed, will be in conformance with all stated provisions and requirements of the City's Land Development Regulation.

The design requirements for the General Commercial (CG) zoning have been met by the proposed site plan. Required setbacks, buffers, lot and building size, parking requirements, and building height meet the standards for the zoning designation.

(H) Please explain how establishment and operation of the proposed use upon the particular property involved will not impair the health, safety, welfare, or convenience of residents and workers in the city.

The site has been designed so that the commercial activities occur along the east side of the building/property which provides the maximum buffering to the adjacent residential uses. Additionally, a 6' buffer wall and landscape buffer are being provided and access is mainly expected to occur on Port St. Lucie Blvd. The development will be beneficial to local residents due to the proximity and ease of access to the use.

(I) Please explain how the proposed use will not constitute a nuisance or hazard because of the number of persons who will attend or use the facility, or because of the hours of operation, or because of vehicular movement, noise, fume generation, or type of physical activity.

The activity occurs on the east side of the property, which the building, landscape area, and wall serve as buffers from the noise, fumes, and vehicular movement.

(J) Please explain how the use, as proposed for development, will be compatible with the existing or permitted uses of adjacent property. The proximity or separation and potential impact of the proposed use (including size and height of buildings, access, location, light and noise) on nearby property will be considered in the submittal and analysis of the request. The City may request project design changes or changes to the proposed use to mitigate the impacts upon adjacent properties and the neighborhood.

The site is situated along Port St. Lucie Boulevard and is compatible with similar commercial uses located directly around the proposed project site. Site improvements and lighting have been designed to direct noise and light away from adjacent residential properties. The lighting will comply with the Dark Sky Standards. The fueling islands are located between the right of way and the building to reduce noise into the adjacent neighborhood.

LEGAL DESCRIPTION

BEING ALL OF LOTS 1 THRU 10, BLOCK 1482, ACCORDING TO THE PLAT OF PORT ST. LUCIE SECTION SIXTEEN, AS RECORDED IN PLAT BOOK 13, PAGE 7, AND BEING ALL OF LOTS 65 THRU 67, BLOCK 1440, ACCORDING TO THE PLAT OF PORT ST. LUCIE SECTION FIFTEEN, AS RECORDED IN PLAT BOOK 13, PAGE 6, PUBLIC RECORDS OF ST. LUCIE COUNTY, FLORIDA.

LESS AND EXCEPT THE FOLLOWING DESCRIBED PARCEL OF LAND:

BEGINNING AT THE INTERSECTION OF THE EAST RIGHT OF WAY LINE OF DU BOIS AVENUE (A 60 FOOT RIGHT OF WAY) AND THE NORTHWEST CORNER OF SAID LOT 9; THENCE SOUTH 01°49'37" EAST A DISTANCE OF 198.34 FEET TO THE NORTHEAST CORNER OF LOT 64, BLOCK 1440, ACCORDING TO THE PLAT OF PORT ST. LUCIE SECTION FIFTEEN, AS RECORDED IN PLAT BOOK 13, PAGE 6, PUBLIC RECORDS OF ST. LUCIE COUNTY, FLORIDA; THENCE NORTH 90°00'00" WEST, ALONG THE SOUTH LINE OF SAID LOT 10, A DISTANCE OF 81.91 FEET TO THE SOUTHWEST CORNER OF SAID LOT 10; THENCE NORTH 06°48'59" WEST, ALOGN THE WEST LINE OF SAID LOT 10, A DISTANCE OF 126.67 FEET TO THE NORTHWEST CORNER OF SAID LOT 10 AND THE SOUTH RIGHT OF WAY LINE OF DU BOIS STREET, BEING A NON-TANGENT CURVE CONCAVE TO THE NORTHWEST, HAVING A RADIUS OF 110.00 FEET, THE CHORD OF WHICH BEARS NORTH 51°21'10" EAST; THENCE NORTHEASTERLY ALONG THE ARC OF SAID CURVE, A DISTANCE OF 122.22 FEET THROUGH A CENTRAL ANGLE OF 63°39'42" TO THE POINT OF BEGINNING.

ADDRESSES: 3174 SW PORT ST LUCIE BLVD

915 SW Alexandria AVE

900 SW Du Bois AVE

901 SW Alexandria AVE

929 SW Alexandria AVE

PROPERTY TAX ID: 3420-575-0076-000-9 / 3420-570-0070-000-2 / 3420-575-0085-000-5

3420-570-0071-000-9 / 3420-570-0069-000-2

JoAnne Holman, Clerk of the Circuit Court - St. Lucie County
File Number:1718237 OR BOOK 1221 PAGE 1304
Recorded: 05-06-99 03:54 P.M.

This instrument prepared by:
The John Galt Title Company
9576 South Federal Highway
Port St. Lucie, Florida 34952
Courthouse Box Number 12 PSL

Return To:
JOHN GALT TITLE COMPANY
Court House Box 12 P.S.L.

WARRANTY DEED

COPY

This Indenture, made this 4th day of April 1999, between David Lussier whose post office address is 158 Scantic Rd., Hampden, MA 01036 grantor*, and James J. McGlone, Jr. whose post office is: 2582 SW Hinchman St. Port St. Lucie, FL 34984 grantee*,

* Doc Assump: $ 0.00
* Doc Tax : $ 17.50
* Int Tax : $ 0.00

Witnesseth: That said grantor, for and in consideration of the sum of TEN AND 00/100----------($10.00)----------and other good and valuable considerations to said grantor in hand paid by said grantee, the receipt whereof is hereby acknowledged, has granted, bargained and sold to the said grantee, and grantee's heirs and assigns forever, the following described land, situate, lying and being in St. Lucie County, Florida, to-wit:

Lot 1, Block 1482, Port St. Lucie Section Sixteen, a subdivision according to the plat or map thereof, as described in Plat Book 13, at Page 7, of the Public Records of St. Lucie County, Florida.

PARCEL IDENTIFICATION # 3420-575-0076-000/9

The grantor hereby warrants the title to said land to be free and clear of all grants, charges, taxes, judgments, mortgages, liens, restrictions, covenants, and other lawful claims of all persons whomsoever.

Subject to Easements, Restrictions and Reservations of record, if any, and taxes for 1999 and subsequent years. *"Grantor" and "grantee" are used for singular or plural, as context requires.

COPY

In Witness Whereof, the said Grantor has hereunto set grantor's hand and seal the day and year first above written.

Signed, sealed and delivered in our presence.

WITNESSES:

Witness Name: Leona Hagerty — David Lussier

Witness Name: Bonnie Ann Askey

COPY

STATE OF Massachussetts
COUNTY OF Hampden

I Hereby Certify that on this day, before me, an officer duly authorized in the State and in the County aforesaid to take acknowledgments, personally appeared David Lussier, who is known to me or who has produced identification and who executed the foregoing instrument and acknowledged before me that he executed the same.
Witness: my hand and seal in the County and State aforesaid this 15th day of <*month*>, April 1999.

Linnaya L. Humel
Notary Public

LINNAYA L. HUMEL
Notary Public
Comm. Expires Feb. 14, 2003

Return to (enclose self addressed stamped envelope) LEI

Name: Atlantic Coastal Title Corporation
Address: 2400 SE Midport Rd. #211
Port St. Lucie, FL 34952

This Instrument Prepared by: Sylvia R. Miller
Name: Atlantic Coastal Title Corporation
Address: 2400 SE Midport Rd. #211
Port St. Lucie, FL 34952

Property Appraisers Parcel Identification
Folio Number(s): 3420-575-0077-000/6

Grantee(s) S.S. #(s)

* Doc Assump: $ 0.00
* Doc Tax : $ 70.00
* Int Tax : $ 0.00

JOANNE HOLMAN, CLERK OF THE CIRCUIT COURT - SAINT LUCIE COUNTY
File Number: 1791984 OR BOOK 1286 PAGE 523
Recorded:03/16/00 10:18

SPACE ABOVE THIS LINE FOR PROCESSING DATA — SPACE ABOVE THIS LINE FOR RECORDING DATA

This Warranty Deed, *Made the* 10th *day of* March, 2000, *by* MUBADDA SUIDAN

hereinafter called the Grantor, to James J. McGlone, Jr., married

whose post-office address is 2582 SW Hinchman St., Port St. Lucie, FL 34984

hereinafter called the Grantee.

(Wherever used herein the terms "Grantor" and "Grantee" include all the parties to this instrument and the heirs, legal representatives, and assigns of individuals, and the successors and assigns of corporations, wherever the context so admits or requires.)

Witnesseth, *That the Grantor, for and in consideration of the sum of* $ 10.00 *and other valuable considerations, receipt whereof is hereby acknowledged, hereby grants, bargains, sells, aliens, remises, releases, conveys and confirms unto the Grantee all that certain land, situate in* St. Lucie *County, State of* Florida, *viz:*

Lot 2, Block 1482, PORT ST. LUCIE SECTION SIXTEEN, according to the Plat thereof, as recorded in Plat Book 13, at Pages 7 and 7A through 7C, of the Public Records of St. Lucie County, Florida.

The grantor hereby warrants that the land described herein and conveyed hereby is not the residence of grantor nor any member of grantor's family dependent upon grantor for support; and that grantor's residence is elsewhere, and not contiguous to the lands conveyed hereby.

Together, *with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.* **To Have and to Hold,** *the same in fee simple forever.*

And *the Grantor hereby covenants with said grantee that the grantor is lawfully seized of said land in fee simple; that the grantor has good right and lawful authority to sell and convey said land, and hereby warrants the title to said land and will defend the same against the lawful claims of all persons whomsoever; and that said land is free of all encumbrances, except taxes accruing subsequent to December 31, 19* 99

In Witness Whereof, *the said Grantor has signed and sealed these presents the day and year first above written.*

Signed, sealed and delivered in the presence of:

Judy B. Skinner
Witness Signature (as to first Grantor)
Judy B. Skinner
Printed Name

William F.C. Skinner Jr.
Witness Signature (as to first Grantor)
WILLIAM F.C. SKINNER, JR
Printed Name

Witness Signature (as to Co-Grantor, if any)
Printed Name

Witness Signature (as to Co-Grantor, if any)
Printed Name

Grantor Signature L.S.
Mubadda Suidan
Printed Name
95 Blackland Rd., NW
Post Office Address
Atlanta, GA 30342

Co-Grantor Signature, if any) L.S.
Printed Name
Post Office Address

STATE OF GEORGIA)
COUNTY OF FULTON)

I hereby Certify that on this day, before me, an officer duly authorized to administer oaths and take acknowledgments, personally appeared Mubadda Suidan known to me to be the person described in and who executed the foregoing instrument, who acknowledged before me that he executed the same, and an oath was not taken. (Check one:) ☑ Said person(s) is/are personally known to me. ☐ Said person(s) provided the following type of identification:

NOTARY RUBBER STAMP SEAL

NOTARY PUBLIC GEORGIA DeKALB COUNTY

Witness my hand and official seal in the County and State last aforesaid this 11th day of JANUARY, 2000 A.D.

William F.C. Skinner Jr.
Notary Signature
WILLIAM F.C. SKINNER, JR
Printed Name
Notary Public, DeKalb County, Georgia
My Commission Expires May 16, 2000

COPY

OForms Designs, Seminole Paper & Printing Co., Inc. 1994

COPY

* Doc Assump:	$	0.00
* Doc Tax :	$	280.00
* Int Tax :	$	0.00

This instrument was prepared incident to the issuance of a title insurance contract, and is to be returned to:

LEI

Sylvia R. Miller
Atlantic Coastal Title Corporation
2400 S. E. Midport Road, Suite 211
Port St. Lucie, Florida 34952

ACTC File Number: **99037248**
Parcel ID Number: **3420-575-0078-000/3**

COPY

GENERAL WARRANTY DEED

This deed, made as of this **10th** day of **March, 2000**, by **Robert K. Luyendyk and Linda A. Luyendyk, his wife** (as Grantor), and **James J. McGlone, Jr.**, whose postoffice address is: **2582 SW Hinchman Street, Port St. Lucie, Florida 34984-4913** (as Grantee);

(Wherever used herein, the terms "grantor" and "grantee" shall include singular and plural, heirs, legal representatives, and assigns of individuals, and the successors and assigns of corporations, partnerships or other entities; wherever the context so admits or requires.)

WITNESSETH:

That the grantor, for and in consideration of the sum of $10.00 in hand paid by grantee, the receipt whereof is hereby acknowledged, does hereby grant, bargain, sell, alien, remise, release, convey and confirm unto the grantee forever, all the right, title, interest, claim and demand which the said grantor has in and to the following described parcel of land, to wit:

Lots 3, 4 and 5, Block 1482, PORT ST. LUCIE SECTION SIXTEEN, according to the Plat thereof, as recorded in Plat Book 13, at Pages 7 and 7A through 7C, of the Public Records of St. Lucie County, Florida.

COPY

TOGETHER with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.

TO HAVE AND TO HOLD the same in fee simple forever.

AND grantor hereby covenants with grantee that grantor is lawfully seized of said land in fee simple; that grantor has good right and lawful authority to sell and convey said land; that grantor hereby fully warrants the title to said land and will defend the same against the lawful claims of all persons whomsoever; and that said land is free of all encumbrances, except taxes for the year in which this deed is given; and restrictions, reservations, limitations, covenants, conditions and easements of record, if any; insofar as same are valid and enforceable (however, this clause shall not be construed to reimpose same).

JOANNE HOLMAN, CLERK OF THE CIRCUIT COURT - SAINT LUCIE COUNTY
File Number: 1791985 OR BOOK 1286 PAGE 524
Recorded:03/16/00 10:18

COPY

OR BOOK 1286 PAGE 525

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

(witness 1)

Robert K. Luyendyk

(witness 2)

Linda A. Luyendyk

COPY

State of **Florida**
County of **St. Lucie**

The foregoing instrument was acknowledged before me the date hereinafter given, by **Robert K. Luyendyk and Linda A. Luyendyk**; who was/were either personally known to me; or produced identification of sufficient character so as to identify said individual(s) with reasonable certainty; and who did/did not take an oath.

Witness my hand and official seal in the County and State last aforesaid, this 10th **day of March, 2000.**

COPY

Notary Public

Type of identification provided (Check One):
[X] Driver's License
[ ] Passport
[ ] Government (State or Federal) ID Card
[ ] Resident Alien ID Card
[ ] Other

KAREN MIRET
COMMISSION # CC880640
EXPIRES: OCT 18, 2003
BONDED THROUGH
ADVANTAGE NOTARY

ATLANTIC COASTAL TITLE CORPORATION
A Full Service, Florida Title Insurance Agency

COPY

JOANNE HOLMAN, CLERK OF THE CIRCUIT COURT - SAINT LUCIE COUNTY
File Number: 1779701 OR BOOK 1275 PAGE 2684
Recorded:01/27/00 11:28

This instrument was prepared incident to the issuance of a title insurance contract, and is to be returned to:
PSL C.H. Box 10
Sylvia R. Miller
Atlantic Coastal Title Corporation
2400 S. E. Midport Road, Suite 211
Port St. Lucie, Florida 34952

Doc Assump: $ 0.00
Doc Tax : $ 52.50
Int Tax : $ 0.00

ACTC File Number: **99036116**
Parcel ID Number: 3420-575-0081-000/7

COPY

GENERAL WARRANTY DEED

This deed, made as of this **21st** day of **January, 2000**, by **Ambrose E. Berton and Eileen M. Berton, his wife** (as Grantor); to **James J. McGlone, Sr.**, whose postoffice address is: **3305 Villanova Court, Beverly Hills, FL 34465** (as Grantee);

(Wherever used herein, the terms "grantor" and "grantee" shall include singular and plural, heirs, legal representatives, and assigns of individuals, and the successors and assigns of corporations, partnerships or other entities; wherever the context so admits or requires.)

WITNESSETH:

That the grantor, for and in consideration of the sum of **$10.00** in hand paid by grantee, the receipt whereof is hereby acknowledged, does hereby grant, bargain, sell, alien, remise, release, convey and confirm unto the grantee forever, all the right, title, interest, claim and demand which the said grantor has in and to the following described parcel of land, to wit:

Lot 6, Block 1482, PORT ST. LUCIE SECTION SIXTEEN, according to the Plat thereof, as recorded in Plat Book 13, at Pages 7 and 7A through 7C, of the Public Records of St. Lucie County, Florida.

COPY

TOGETHER with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.

TO HAVE AND TO HOLD the same in fee simple forever.

AND grantor hereby covenants with grantee that grantor is lawfully seized of said land in fee simple; that grantor has good right and lawful authority to sell and convey said land; that grantor hereby fully warrants the title to said land and will defend the same against the lawful claims of all persons whomsoever; and that said land is free of all encumbrances, except taxes for the year in which this deed is given; and restrictions, reservations, limitations, covenants, conditions and easements of record, if any; insofar as same are valid and enforceable (however, this clause shall not be construed to reimpose same).

This Instrument Prepared by and ~~Return to:~~
James J. MCGlone, Jr.
2582 SW Hinchman Street
Port St. Lucie, Florida 34984-4913
Property Appraisers Parcel Identification (Folio) Numbers:
3420-575-0081-000-7

Return to: LEI
Jeffrey E. Lehrman
2199 Ponce de Leon Blvd #304
Coral Gables, FL 33134

Quit Claim Deed

SPACE ABOVE THIS LINE FOR RECORDING DATA

THIS QUIT CLAIM DEED, made and executed the 8th day of **July, 2004** by

JAMES J. McGLONE, Sr.
whose post office address is: 3305 Villanova Court, Beverly Hills, Florida 34465-2268 herein called the grantor, to

JAMES J. McGLONE, Jr.
whose post office address is: 2582 sw Hinchman Street, Port St. Lucie, Florida 34984-4913 hereinafter called the Grantees:
(Wherever used herein the terms "grantor" and "grantee" include all the parties to this instrument and the heirs, legal representatives and assigns of individuals, and the successors and assigns of corporations)

WITNESSETH: That the grantor, for and in consideration of the sum of One and No/100 ($1.00) Dollars and other valuable considerations, receipt whereof is hereby acknowledged, hereby grants, bargains, quitclaims unto the grantee all that certain land situate in St. Lucie County, State of Florida,
viz:

Lot 6, Block 1482, PORT ST. LUCIE SECTION SIXTEEN, according to the Plat thereof, recorded in the office of the Clerk of the Circuit Court in and for St. Lucie County, Florida, in Plat Book 13 , pages 7;7A-7C , public records

Grantor herein states that the above described real property is not his homstead nor is subject property adjacent or contiguous to his homestead as he resides in Beverly Hills, Florida.

Subject to easement, restrictions, and reservations of record; zoning regulations, if any.

TO HAVE AND TO HOLD the same together with all singular the appurtenances thereunto belonging or in anywise appertaining, and all the estate, right, title, interest, lien, equity, for the use, benefit and profit of said Grantee forever.

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

Witness #1 Signature JUDITH B ROWELL
Print name under signature

Witness #2 Signature
Print name under signature Patricia A. Passero

James J. McGlone, Sr.

STATE OF FLORIDA

COUNTY OF ST. LUCIE

The foregoing instrument was acknowledged before me this 8th day of July, 2004, by **JAMES J. McGLONE, SR.**, who is personally known to me or who has produced _____ as identification and who did not take an oath.

SEAL

JUDITH B. ROWELL
MY COMMISSION # DD 296512
EXPIRES: March 30, 2008
Bonded Thru Notary Public Underwriters

Notary Signature
JUDITH B ROWELL
Printed Notary Name

My Commission Expires:

* * * Doc Assump: $ 0.00
* * * Doc Tax : $ 140.00
* Int Tax : $ 0.00

JOANNE HOLMAN, CLERK OF THE CIRCUIT COURT - SAINT LUCIE COUNTY
File Number: 2445553 OR BOOK 2023 PAGE 2641
Recorded:07/22/04 15:33

COPY

COPY

OR BOOK 1275 PAGE 2685

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

Dorcas E. Yonker
Dorcas E. Yonker

Ambrose E. Berton
Ambrose E. Berton
1870 Wandle Drive
Coshocton, OH 43812-2943

B. Ann Baker
B. Ann Baker

Eileen M. Berton
Eileen M. Berton
1870 Wandle Drive
Coshocton, OH 43812-2943

COPY

State of OHIO
County of COSHOCTON

The foregoing instrument was acknowledged before me the date hereinafter given, by **Ambrose E. Berton and Eileen M. Berton**; who was/were either personally known to me; or produced identification of sufficient character so as to identify said individual(s) with reasonable certainty; and who did/did not take an oath.

Witness my hand and official seal in the County and State last aforesaid, this 2ND day of DECEMBER, 1999.

Dorcas E. Yonker
DORCAS E. Yonker **Notary Public**

Type of identification provided (Check One):
[✓] Driver's License
[ ] Passport
[ ] Government (State or Federal) ID Card
[ ] Resident Alien ID Card
[ ] Other

COPY

NOTARIAL SEAL
STATE OF OHIO

DORCAS E. YONKER
Notary Public, State of Ohio
My Commission Expires
August 3, 2004

This Instrument Prepared by and Return to:
Monica L. Heimer
Community Land Title Corporation
2400 S.E. Midport Road, Suite 214
Port St. Lucie, FL 34952
0306556C

# Doc Assump:	$	0.00
# Doc Tax :	$	210.00
# Int Tax :	$	0.00

Property Appraisers Parcel Identification (Folio) Numbers:
3420-575-0082-000/4

St Lucie Wood

Return to Courthouse Box # 15
Community Land Title Corporation

Warranty Deed

BAW 6.00 210.00

SPACE ABOVE THIS LINE FOR RECORDING DATA

COPY

THIS WARRANTY DEED, made and executed the 21st day of **March, 2003** by **Grazebrook Thomas**, whose post office address is: **5331 S. Wabash Avenue, Chicago, IL 60615**, herein called the grantor, to **James J. McGlone, Jr., a married man** whose post office address is: **2582 SW Hinchman Street, Port St. Lucie, FL 34984**, hereinafter ~~called~~ the Grantee:
(Wherever used herein the terms "grantor" and "grantee" include all the parties to this instrument and the heirs, legal representatives and assigns of individuals, and the successors and assigns of corporations)

W I T N E S S E T H: That the grantor, for and in consideration of the sum of TEN AND 00/100'S ($10.00) Dollars and other valuable considerations, receipt whereof is hereby acknowledged, hereby grants, bargains, sells, aliens, remises, releases, conveys and confirms unto the grantee all that certain land situate in St. Lucie County, State of Florida, viz:

Lot 7, Block 1482, PORT ST. LUCIE SECTION SIXTEEN, according to the Plat thereof, as recorded in Plat Book 13, at Pages 7, 7A through 7C, of the Public Records of St. Lucie County, Florida.

Subject to easement, restrictions, and reservations of record and to taxes for the year 2003 and thereafter.

The land described herein is not the homestead property of the grantor(s), nor is it contiguous thereto; it is in fact vacant, unimproved land.

COPY

TOGETHER, with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.

TO HAVE AND TO HOLD, the same in fee simple forever.

AND, the grantor hereby covenants with said grantee that the grantors are lawfully seized of said land in fee simple; that the grantors have good and lawful authority to sell and convey said land, and hereby warrant the title to said land and will defend the same against the lawful claims of all parties whomsoever; and that said land is free of all encumbrances, except taxes accruing subsequent to December 31, 2002.

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

Witness #1 Signature

SHARON BENNETT
Printed Witness #1

Witness #2/Signature

Christopher W. Paschal
Printed Witness #2

By: _____
Grazebrook Thomas
5331 S. Wabash Avenue, Chicago, IL 60615

COPY

STATE OF ILLINOIS
COUNTY OF COOK

The foregoing instrument was acknowledged before me this 10 day of March, 2003, by **Grazebrook Thomas**, who is personally known to me or who has produced DRIVERS LICENSE as identification and who did not take an oath.

SEAL

OFFICIAL SEAL
MARIA TRAVIS
NOTARY PUBLIC, STATE OF ILLINOIS
COMMISSION EXPIRES:02

Notary Signature
MARIA TRAVIS
Printed Notary Name
2/24/04
My Commission Expires:

JOANNE HOLMAN, CLERK OF THE CIRCUIT COURT - SAINT LUCIE COUNTY
File Number: 2176962 OR BOOK 1680 PAGE 2348
Recorded 07/26/2003 09:15

Courthouse Box # 15
Community Land Title Corporation

This Instrument Prepared by and Return to:
Charlene E. Schwartz
Community Land Title Corporation
2400 S.E. Midport Road, Suite 214
Port St. Lucie, FL 34952
0306604C
Property Appraisers Parcel Identification (Folio) Numbers:
3420-575-0083-000/1

JUNE HELMAN, CLERK OF THE CIRCUIT COURT - SAINT LUCIE COUNTY
File Number: 2313246 OR BOOK 1852 PAGE 2645
Recorded:12/01/03 11:21

960.00
0.00

Warranty Deed

~~$6.00~~, $960.00 *SPACE ABOVE THIS LINE FOR RECORDING DATA*

THIS WARRANTY DEED, made and executed the 25 day of November, 2003 by **Daniel E. Gedke and Betty A. Gedke, his wife,** whose post office address is: **3191 SW Briggs Street, Port St. Lucie, FL 34953**, herein called the grantor, to **James J. McGlone, Jr.**, whose post office address is **2582 SW Hinchman Street, Port St. Lucie, FL 34984-4913**, hereinafter called the Grantee: * a married man
(Wherever used herein the terms "grantor" and "grantee" include all the parties to this instrument and the heirs, legal representatives and assigns of individuals, and the successors and assigns of corporations)

W I T N E S S E T H: That the grantor, for and in consideration of the sum of TEN AND 00/100'S ($10.00) Dollars and other valuable considerations, receipt whereof is hereby acknowledged, hereby grants, bargains, sells, aliens, remises, releases, conveys and confirms unto the grantee all that certain land situate in St. Lucie County, State of Florida, viz:

Lots 8, Block 1482, PORT ST. LUCIE SECTION SIXTEEN, according to the Plat thereof, as recorded in Plat Book 13, at Pages 7, 7A through 7C, of the Public Records of St. Lucie County, Florida.

Subject to easement, restrictions, and reservations of record and to taxes for the year 2003 and thereafter.

TOGETHER, with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.

TO HAVE AND TO HOLD, the same in fee simple forever.

AND, the grantor hereby covenants with said grantee that the grantors are lawfully seized of said land in fee simple; that the grantors have good and lawful authority to sell and convey said land, and hereby warrant the title to said land and will defend the same against the lawful claims of all parties whomsoever; and that said land is free of all encumbrances, except taxes accruing subsequent to December 31, 2002.

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Doc Tax
Int. Tax

Signed, sealed and delivered in the presence of:

Witness #1 Signature
Charlene E. Schwartz
Printed Witness #1

By: _____
Daniel E. Gedke
3191 SW Briggs Street, Port St. Lucie, FL 34953

Witness #2 Signature
PATRICIA F. CARNIVALE
Printed Witness #2

By: _____
Betty A. Gedke
3191 SW Briggs Street, Port St. Lucie, FL 34953

STATE OF FLORIDA
COUNTY OF ST. LUCIE

The foregoing instrument was acknowledged before me this 25 day of November, 2003, by **Daniel E. Gedke and Betty A. Gedke, his wife,** who has produced a drivers license as identification and who did not take an oath.

SEAL

CHARLENE E. SCHWARTZ
MY COMMISSION # DD 249845
EXPIRES: October 12, 2007
Bonded Thru Notary Public Underwriters

Notary Signature

Printed Notary Name

My Commission Expires:

COPY

COPY

JoAnne Holman, Clerk of the Circuit Court - St. Lucie County
File Number:1751631 OR BOOK 1251 PAGE 0200
Recorded: 09-22-99 10:45 A.M.

This instrument was prepared incident to the issuance of a title insurance contract, and is to be returned to:
PSL COURTHOUSE Box #10
Agnes A. Hillgardner
Atlantic Coastal Title Corporation
2400 S. E. Midport Road, Suite 211
Port St. Lucie, Florida 34952

[L:\ACTC\REPORT\99036134.WD1]
ACTC File Number: **99036134**
Parcel ID Number: **3420-575-0084-000/8**

\# Doc Assump: $ 0.00
\# Doc Tax : $ 24.50
\# Int Tax : $ 0.00

GENERAL WARRANTY DEED

This deed, made as of this 2nd day of **September, 1999**, by **Andres G. Guevara and Martha L. DeGuevara, a/k/a Martha V. Lara, his wife** (as Grantor); and **James J. McGlone, Jr.**, whose postoffice address is: **2582 S.W. Hinchman Street, Port St. Lucie, FL 34984** (as Grantee);

(Wherever used herein, the terms "grantor" and "grantee" shall include singular and plural, heirs, legal representatives, and assigns of individuals, and the successors and assigns of corporations, partnerships or other entities; wherever the context so admits or requires.)

WITNESSETH:

That the grantor, for and in consideration of the sum of $10.00 in hand paid by grantee, the receipt whereof is hereby acknowledged, does hereby grant, bargain, sell, alien, remise, release, convey and confirm unto the grantee forever, all the right, title, interest, claim and demand which the said grantor has in and to the following described parcel of land, to wit:

Lot 9, Block 1482, PORT ST. LUCIE SECTION SIXTEEN, according to the Plat thereof, as recorded in Plat Book 13, at Pages 7 and 7A through 7C, of the Public Records of St. Lucie County, Florida.

TOGETHER with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.

TO HAVE AND TO HOLD the same in fee simple forever.

AND grantor hereby covenants with grantee that grantor is lawfully seized of said land in fee simple; that grantor has good right and lawful authority to sell and convey said land; that grantor hereby fully warrants the title to said land and will defend the same against the lawful claims of all persons whomsoever; and that said land is free of all encumbrances, except taxes for the year in which this deed is given; and restrictions, reservations, limitations, covenants, conditions and easements of record, if any; insofar as same are valid and enforceable (however, this clause shall not be construed to reimpose same).

COPY

OR BOOK 1251 PAGE 0201

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

Elizabeth A. Maddux
Elizabeth A. Maddux

Andres G. Guevara
2466 Quiet Waters Loop
Ocoee, FL 34761

Alice M. Wouda
Alice M. Wouda

Martha L. DeGuevara
2466 Quiet Waters Loop
Ocoee, FL 34761

COPY

State of **Florida**
County of Orange

The foregoing instrument was acknowledged before me the date hereinafter given, by **Andres G. Guevara and Martha L. DeGuevara** ; who was/were either personally known to me; or produced identification of sufficient character so as to identify said individual(s) with reasonable certainty; and who did/did not take an oath.

Witness my hand and official seal in the County and State last aforesaid, this 7 day **of September, 1999.**

COPY

NOTARY PUBLIC STATE OF FLORIDA
Samuel Mojica
My Commission CC579535
Expires Sep. 08, 2000

Notary Public
State of Florida at Large

Type of identification provided (Check One):
[X] Driver's License G160-015-61-430-0 / G160-553-59-745-0
[ ] Passport
[ ] Government (State or Federal) ID Card
[ ] Resident Alien ID Card
[ ] Other

COPY

JOANNE HOLMAN, CLERK OF THE CIRCUIT COURT - SAINT LUCIE COUNTY
File Number: 1878388 OR BOOK 1359 PAGE 2121
Recorded:01/30/01 14:08

This instrument was prepared incident to the issuance of a title insurance contract, and is to be returned to:

Leonard L. Spangler, Jr.
Atlantic Coastal Title Corporation
3850 20th Street, Suite 6
Vero Beach, Florida 32960

ACTC File Number: **20038981**
Parcel ID Number: **3420-575-0085-000/5**

Doc Assume: $ 0.00
Doc Tax : $ 28.00
Int Tax : $ 0.00

GENERAL WARRANTY DEED

This deed, made as of this **21st** day of **October, 2000**, by **Michael Allen Fisher, Victoria Jane Fisher, Lindsay Jon Fisher, Kristen Ruth Fisher** (as Grantor); and **James J. McGlone, Jr.**, whose postoffice address is: **2582 SW Hinchman Street, Port St. Lucie, FL 34984-4913** (as Grantee);

(Wherever used herein, the terms "grantor" and "grantee" shall include singular and plural, heirs, legal representatives and assigns of individuals, and the successors and assigns of corporations, partnerships or other entities, wherever the context so admits or requires.)

WITNESSETH:

That the grantor, for and in consideration of the sum of $10.00 in hand paid by grantee, the receipt whereof is hereby acknowledged, does hereby grant, bargain, sell, alien, remise, release, convey and confirm unto the grantee forever, all the right, title, interest, claim and demand which the said grantor has in and to the following described parcel of land, to wit:

Lot 10, Block 1482, PORT ST. LUCIE SECTION SIXTEEN, according to the Plat thereof, as recorded in Plat Book 13, at Pages 7 and 7A through 7C, of the Public Records of St. Lucie County, Florida.

TOGETHER with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.

TO HAVE AND TO HOLD the same in fee simple forever.

AND grantor hereby covenants with grantee that grantor is lawfully seized of said land in fee simple; that grantor has good right and lawful authority to sell and convey said land; that grantor hereby fully warrants the title to said land and will defend the same against the lawful claims of all persons whomsoever; and that said land is free of all encumbrances, except taxes for the year in which this deed is given; and restrictions, reservations, limitations, covenants, conditions and easements of record, if any; insofar as same are valid and enforceable (however, this clause shall not be construed to reimpose same).

The grantor's herein expressly warrant this is not homestead property nor is it contiguous to their homestead property and is, in fact, vacant unimproved land.

COPY

OR BOOK 1359 PAGE 2122

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written

Signed, sealed and delivered in the presence of:

Lindsay Jon Fisher
13141 Laguna Street
Santa Barbara, CA 93101

COPY

State of California
County of Santa Barbara

The foregoing instrument was acknowledged before me the date hereinafter given, by **Lindsay Jon Fisher**; who was/were either personally known to me; or produced identification of sufficient character so as to identify said individual(s) with reasonable certainty; and who did/did not take an oath.

Witness my hand and official seal in the County and State last aforesaid, this 5 day of January, ~~2000~~ 2001

Notary Public

COPY

Type of identification provided (Check One):
[ ] Driver's License
[ ] Passport
[ ] Government (State or Federal) ID Card
[ ] Resident Alien ID Card
[ ] Other

Commission # 1140966
Notary Public — California
Santa Barbara County
My Comm. Expires May 31, 2001

ATLANTIC COASTAL TITLE CORPORATION
A Full Service, Florida Title Insurance Agency

COPY

reservations, limitations, covenants, conditions and easements of record, if any; insofar as same are valid and enforceable (however, this clause shall not be construed to reimpose same).

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

Witness

Witness

Victoria Jane Fisher

Victoria Jane Fisher

Address: 1141 E. Ave K-6
Lancaster, CA 93535

State of CALIFORNIA
County of LOS ANGELES

The foregoing instrument was acknowledged before me the date hereinafter given, by Victoria Jane Fisher ; who ~~was/were either personally known to me; or~~ produced identification of sufficient character so as to identify said individual~~(s)~~ with reasonable certainty; and who ~~did/did not~~ take an oath.

Witness my hand and official seal in the County and State last aforesaid, this 21 day of OCTOBER, 2000.

Notary Public

PAT OTTLEY
Commission # 1179673
Notary Public - California
Los Angeles County
My Comm. Expires Apr 12, 2002

Type of Identification provided (Check One):
[X] Driver's License
[ ] Passport
[ ] Government (State or Federal) ID Card
[ ] Resident Alien ID Card
[ ] Other

COPY

OR BOOK 1359 PAGE 2124

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

Kathleen A. Braunkamp

Kristen Ruth Fisher

Kristen Ruth Fisher
411 Coral Reef Drive
Huntington Beach, CA 92648

Alexa A Brand

COPY

State of California
County of Orange

The foregoing instrument was acknowledged before me the date hereinafter given, by **Kristen Ruth Fisher**; who was/were either personally known to me; or produced identification of sufficient character so as to identify said individual(s) with reasonable certainty; and who did/did not take an oath.

Witness my hand and official seal in the County and State last aforesaid, this 18th day of December, **2000.**

COPY

Notary Public

Type of identification provided (Check One):
[X] Driver's License
[ ] Passport
[ ] Government (State or Federal) ID Card
[ ] Resident Alien ID Card
[ ] Other

JEANIE J. THOMPSON
Comm. # 1236351
NOTARY PUBLIC-CALIFORNIA
Orange County
My Comm. Expires Oct 23 2003

ATLANTIC COASTAL TITLE CORPORATION
A Full Service, Florida Title Insurance Agency

COPY

OR BOOK 1359 PAGE 2125

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

Michael Allen Fisher
270 N. Canon Drive, #1404
Beverly Hills, CA 90210

COPY

State of CALIFORNIA
County of LOS ANGELES

The foregoing instrument was acknowledged before me the date hereinafter given, by **Michael Allen Fisher**; who ~~was/were~~ either personally known to me; or produced identification of sufficient character so as to identify said individual~~(s)~~ with reasonable certainty; and who did/did not take an oath.

Witness my hand and official seal in the County and State last aforesaid, this 27 **day of** OCT, **2000.**

COPY

Notary Public

Type of identification provided (Check One):
- [X] **Driver's License**
- [ ] **Passport**
- [ ] **Government (State or Federal) ID Card**
- [ ] **Resident Alien ID Card**
- [ ] **Other**

OFFICIAL SEAL
BENNY LAKATOS
NOTARY PUBLIC - CALIFORNIA
COMMISSION # 1175480
LOS ANGELES COUNTY
My Commission Exp. March 6, 2002

ATLANTIC COASTAL TITLE CORPORATION
A Full Service, Florida Title Insurance Agency

COPY

JOANNE HOLMAN, CLERK OF THE CIRCUIT COURT - SAINT LUCIE COUNTY
File Number: 1832082 OR BOOK 1320 PAGE 2450
Recorded:08/14/00 13:58

This instrument was prepared incident to the issuance of a title insurance contract, and is to be returned to:

Sylvia R. Miller
Atlantic Coastal Title Corporation
2400 S. E. Midport Road, Suite 211
Port St. Lucie, Florida 34952 mail

ACTC File Number: **99036114**
Parcel ID Number: **3420-570-0069-000/2**

* Doc Assump	$	0.00
* Doc Tax	$	28.00
* Int Tax	$	0.00

COPY

GENERAL WARRANTY DEED

This deed, made as of this **31st** day of **July, 2000**, by **Rodney H. Leonard, a single person** (as Grantor); to **James J. McGlone, Jr.**, whose postoffice address is: **2582 SW Hinchman Street, Port St. Lucie, FL 34984** (as Grantee);

(Wherever used herein, the terms "grantor" and "grantee" shall include singular and plural, heirs, legal representatives, and assigns of individuals, and the successors and assigns of corporations, partnerships or other entities; wherever the context so admits or requires.)

WITNESSETH:

That the grantor, for and in consideration of the sum of $10.00 in hand paid by grantee, the receipt whereof is hereby acknowledged, does hereby grant, bargain, sell, alien, remise, release, convey and confirm unto the grantee forever, all the right, title, interest, claim and demand which the said grantor has in and to the following described parcel of land, to wit:

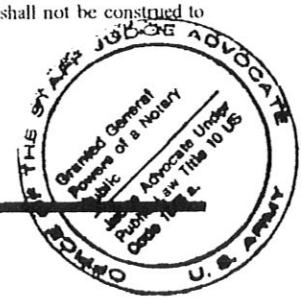
COPY

Lot 65, Block 1440, PORT ST. LUCIE SECTION FIFTEEN, according to the Plat thereof, as recorded in Plat Book 13, at Pages 6 and 6A through 6E, of the Public Records of St. Lucie County, Florida.

TOGETHER with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.

TO HAVE AND TO HOLD the same in fee simple forever.

AND grantor hereby covenants with grantee that grantor is lawfully seized of said land in fee simple; that grantor has good right and lawful authority to sell and convey said land; that grantor hereby fully warrants the title to said land and will defend the same against the lawful claims of all persons whomsoever; and that said land is free of all encumbrances, except taxes for the year in which this deed is given; and restrictions, reservations, limitations, covenants, conditions and easements of record, if any; insofar as same are valid and enforceable (however, this clause shall not be construed to reimpose same).



COPY

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

PAUL L. MASK
SGT./U.S. ARMY

DARREN A. CUNNINGHAM
SGT./U.S. ARMY

Rodney H. Leonard
AREIT STR 4 A-5700
Zellum See, Austria

COPY

State of
County of

The foregoing instrument was acknowledged before me the date hereinafter given, by **Rodney H. Leonard**; who was/were either personally known to me; or produced identification of sufficient character so as to identify said individual(s) with reasonable certainty; and who did/did not take an oath.

Witness my hand and official seal in the County and State last aforesaid, this 16th day of May , 2000.

Notary Public

PAUL L. MASK
SGT / U.S. Army
LEGAL NCO

Type of identification provided (Check One):
[ ] Driver's License
[X] Passport
[ ] Government (State or Federal) ID Card
[ ] Resident Alien ID Card
[ ] Other

COPY

COPY

JoAnne Holman, Clerk of the Circuit Court - St. Lucie County
File Number: 1745777 OR BOOK 1245 PAGE 2415
Recorded: 08-25-99 09:23 A.M.

This instrument was prepared incident to the issuance of a title insurance contract, and is to be returned to:
PSL Courthouse Box #40
Agnes A. Hillgardner
Atlantic Coastal Title Corporation
2400 S. E. Midport Road, Suite 211
Port St. Lucie, Florida 34952

Doc Assump: $ 0.00
Doc Tax : $ 48.30
Int Tax : $ 0.00

[L:\ACTC\REPORT\99035483.WD1]
ACTC File Number: **99035483**
Parcel ID Number: **3420-570-0070-000/2**

COPY

GENERAL WARRANTY DEED

This deed, made as of this 12TH day of **August, 1999**, by **Marie D. Cerbo, a single person** (as Grantor); and **James J. McGlone, Jr., a married person**, whose postoffice address is: **2582 SW Hinchman Street, Port St. Lucie, FL 34984** (as Grantee);

(Wherever used herein, the terms "grantor" and "grantee" shall include singular and plural, heirs, legal representatives, and assigns of individuals, and the successors and assigns of corporations, partnerships or other entities, wherever the context so admits or requires.)

WITNESSETH:

That the grantor, for and in consideration of the sum of $10.00 in hand paid by grantee, the receipt whereof is hereby acknowledged, does hereby grant, bargain, sell, alien, remise, release, convey and confirm unto the grantee forever, all the right, title, interest, claim and demand which the said grantor has in and to the following described parcel of land, to wit:

Lot 66, Block 1440, PORT ST. LUCIE SECTION FIFTEEN, according to the Plat thereof, as recorded in Plat Book 13, at Pages 6 and 6A through 6E, of the Public Records of St. Lucie County, Florida.

COPY

TOGETHER with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.

TO HAVE AND TO HOLD the same in fee simple forever.

AND grantor hereby covenants with grantee that grantor is lawfully seized of said land in fee simple; that grantor has good right and lawful authority to sell and convey said land; that grantor hereby fully warrants the title to said land and will defend the same against the lawful claims of all persons whomsoever; and that said land is free of all encumbrances, except taxes for the year in which this deed is given; and restrictions, reservations, limitations, covenants, conditions and easements of record, if any, insofar as same are valid and enforceable (however, this clause shall not be construed to reimpose same).

COPY

OR BOOK 1245 PAGE 2416

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

Maryann Nadjorka
Maryann Nadjorka WITNESS

Marie D. Cerbo

Marie D. Cerbo
704 Main Street
Newington, CT 06111

Lisa Holtz
Lisa Holtz WITNESS

State of Connecticut
County of Hartford

The foregoing instrument was acknowledged before me the date hereinafter given, by **Marie D. Cerbo**, who was/were either personally known to me; or produced identification of sufficient character so as to identify said individual(s) with reasonable certainty; and who did/did not take an oath.

Witness my hand and official seal in the County and State last aforesaid, this 4th **day of August, 1999.**

Alice S McCarthy
Notary Public

ALICE S. McCARTHY
NOTARY PUBLIC
MY COMMISSION EXPIRES MAR. 31, 2000

Type of identification provided (Check One):
[X] Driver's License
[ ] Passport
[ ] Government (State or Federal) ID Card
[ ] Resident Alien ID Card
[ ] Other

COPY

COPY

JoAnne Holman, Clerk of the Circuit Court - St. Lucie County
File Number: 1774314 OR BOOK 1270 PAGE 2876
Recorded: 12-28-99 02:17 P.M.

This instrument was prepared incident to the issuance of a title insurance contract, and is to be returned to:

PSL CH Box 10

Sylvia R. Miller
Atlantic Coastal Title Corporation
2400 S. E. Midport Road, Suite 211
Port St. Lucie, Florida 34952

Doc Assump: $ 0.00
Doc Tax : $ 140.00
[L:\ACTC\REPORT\99036115.WD1] Int Tax : $ 0.00

ACTC File Number: **99036115**
Parcel ID Number: **3420-570-0071-000/9**

COPY

GENERAL WARRANTY DEED

This deed, made as of this **17th** day of **December, 1999**, by **William T. Morrissey and Mildred F. Morrissey, his wife** (as Grantor); to **James J. McGlone, Jr.**, whose postoffice address is: **2582 SW Hinchman Street, Port St. Lucie, FL 34984-4913** (as Grantee);

(Wherever used herein, the terms "grantor" and "grantee" shall include singular and plural, heirs, legal representatives, and assigns of individuals, and the successors and assigns of corporations, partnerships or other entities; wherever the context so admits or requires.)

WITNESSETH:

That the grantor, for and in consideration of the sum of $10.00 in hand paid by grantee, the receipt whereof is hereby acknowledged, does hereby grant, bargain, sell, alien, remise, release, convey and confirm unto the grantee forever, all the right, title, interest, claim and demand which the said grantor has in and to the following described parcel of land, to wit:

Lot 67, Block 1440, PORT ST. LUCIE SECTION FIFTEEN, according to the Plat thereof, as recorded in Plat Book 13, at Pages 6 and 6A through 6E, of the Public Records of St. Lucie County, Florida.

COPY

TOGETHER with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.

TO HAVE AND TO HOLD the same in fee simple forever.

AND grantor hereby covenants with grantee that grantor is lawfully seized of said land in fee simple; that grantor has good right and lawful authority to sell and convey said land; that grantor hereby fully warrants the title to said land and will defend the same against the lawful claims of all persons whomsoever; and that said land is free of all encumbrances, except taxes for the year in which this deed is given; and restrictions, reservations, limitations, covenants, conditions and easements of record, if any; insofar as same are valid and enforceable (however, this clause shall not be construed to reimpose same).

COPY

OR BOOK 1270 PAGE 2877

IN WITNESS WHEREOF, the said grantor has signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in the presence of:

Patrick K. Henry

Anna E. Beatrice

William T. Morrissey

William T. Morrissey
571 Jewett Avenue
Bridgeport, CT 06606-2829

Mildred F. Morrissey

Mildred F. Morrissey
571 Jewett Avenue
Bridgeport, CT 06606-2829

COPY

State of Connecticut
County of Fairfield ss: Bridgeport

The foregoing instrument was acknowledged before me the date hereinafter given, by **William T. Morrissey and Mildred F. Morrissey**; who was/were either personally known to me; or produced identification of sufficient character so as to identify said individual(s) with reasonable certainty; and who did/did not take an oath.

Witness my hand and official seal in the County and State last aforesaid, this 12 day of November, 1999.

COPY

(Seal)

Patrick K. Henry
Notary Public
State of Connecticut
My Commission Expires: 10/31/2004

Type of identification provided (Check One):
[X] Driver's License
[ ] Passport
[ ] Government (State or Federal) ID Card
[ ] Resident Alien ID Card
[ ] Other

Designation of Authorized Agent

Consent for: Cumberland Farms – Port St. Lucie Boulevard & Darwin Boulevard
Port St. Lucie Boulevard & Darwin Boulevard, Port St. Lucie, FL 34953
Lots 1 through 10, Block 1482 Section 16 / Lots 65, 66 & 67 Block 1440 Section 15

This form shall serve as consent for **Michael Troxell and Ryan Thomas, Thomas Engineering Group LLC** to act as agent/applicant to prepare, submit, and sign (as applicant) all applications and documentation required for procurement of site plan approval and construction permits, inclusive of all necessary concurrency determinations; variances; City of Port St. Lucie building permits; City of Port St. Lucie Subdivision Platting; City of Port St. Lucie Engineering Permit; South Florida Water Management District Environmental Resource, Water Use, and Dewatering Permits; Florida Department of Environmental Protection permits; North St. Lucie River Water Control District permit, and any other necessary permits or approvals required for the construction of the proposed **Cumberland Farms Convenience Store with gas pumps** located along Port St. Lucie Boulevard between Bianca Avenue and Alexandria Avenue in Port St. Lucie, Florida.

I do hereby give consent for **Michael Troxell and Ryan Thomas, Thomas Engineering Group LLC** to act on our behalf to sign and submit applications, required material and documents, and attend and represent us at all meetings and public hearings pertaining to this project.

Property Owner: James McGlone
2582 SW Hinchman Street
Port St Lucie, FL 34984

By: James J McGlone
(signature)

James J McGlone, Jr
(printed name, title)

State of Florida
County of St Lucie

This foregoing instrument was acknowledged before me this 14 day of August, 2015.
by James McGlone. He/she is personally known to me or has produced
_____ as identification and did/did not take an oath.

Notary Public Information :

Sally J Booth
(Signature of Notary)

Sally J. Booth
(Name – Must be typed, printed or stamped)

My Commission Expires:

SALLY J. BOOTH
MY COMMISSION # FF 219953
EXPIRES: April 23, 2019
Bonded Thru Notary Public Underwriters

Agent Information:

Michael Troxell, Thomas Engineering Group LLC
Ryan Thomas, Thomas Engineering Group LLC
1000 Corporate Drive, Suite 250
Fort Lauderdale, FL 33334

EXHIBIT "A"

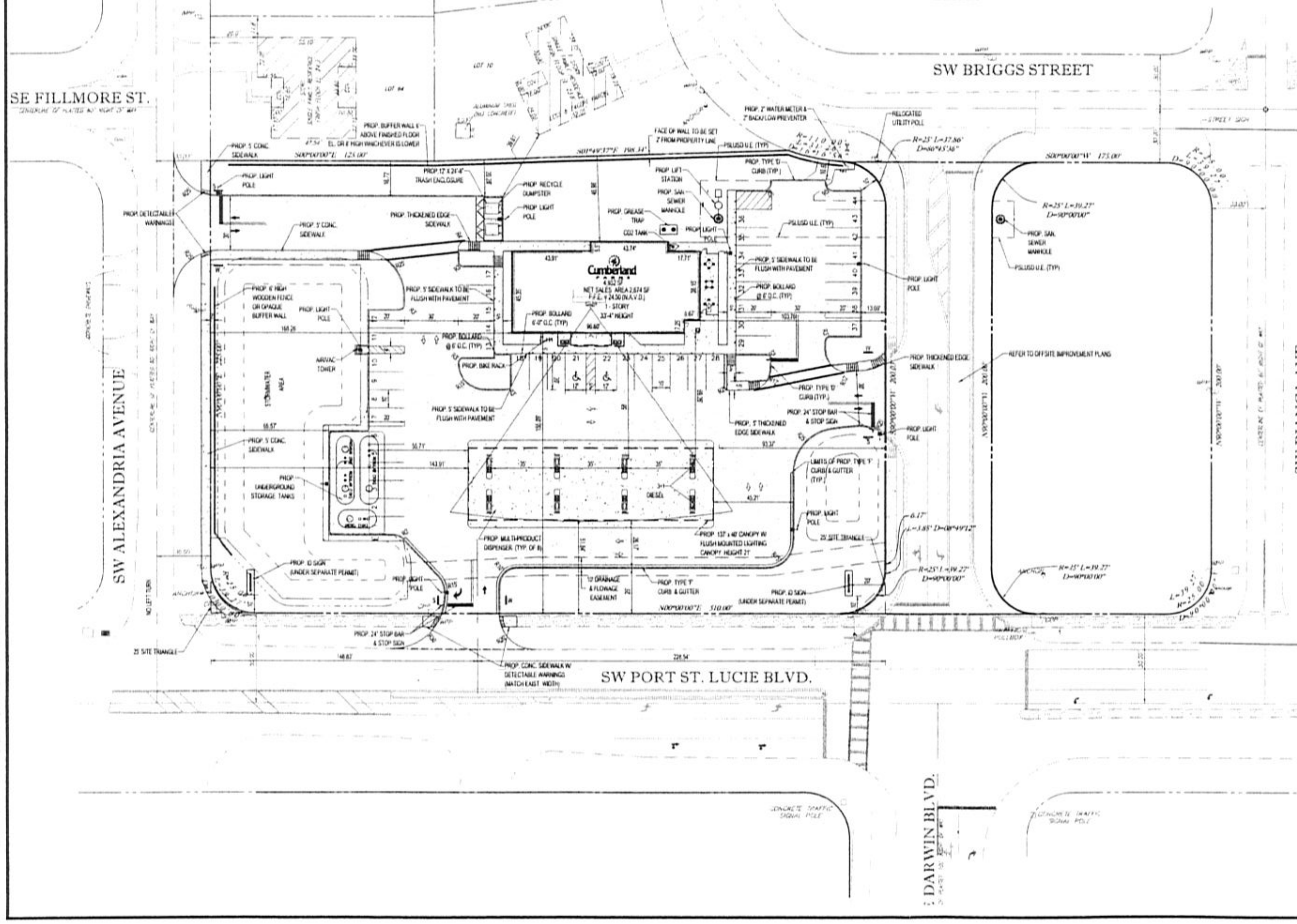
NOTES

- BASE INFORMATION FOR THIS PROJECT WAS OBTAINED FROM A SURVEY PREPARED BY BLOOMSTER PROFESSIONAL SURVEYORS, INC. DATED 07/18/11
- PROJECT IS NOT WITHIN 1,000 FEET OF A PUBLIC WATER SUPPLY WELL.
- HAZARDOUS WASTE DISPOSAL SHALL COMPLY WITH ALL FEDERAL, STATE AND LOCAL REGULATIONS.
- ALL FEES ARE CALCULATED AT TIME OF PAYMENT. THIS INCLUDES ANY ADMINISTRATIVE REVIEW FEES FOR CITY DEPARTMENTS. NO FEES ARE VESTED BASED ON DATE OF CITY COUNCIL APPROVAL.
- NO TREES OR OTHER INVASIVE ROOT PLANTING SHALL BE LOCATED WITHIN 10 FEET OF A CITY UTILITY MAIN. ALL OTHER UTILITIES SHALL BE A MINIMUM 5 FEET HORIZONTAL SEPARATION FROM CITY UTILITY MAINS FOR PARALLEL INSTALLATIONS AND A MINIMUM OF 18" BELOW CITY UTILITY MAINS (ALL MEASURE ARE FROM THE OUTSIDE TO OUTSIDE).

ALL ELEVATIONS ARE BASED ON NORTH AMERICAN VERTICAL DATUM OF 1988 (NAVD 88)

HATCH LEGEND / KEY

- PROPOSED CONCRETE PAVEMENT
- PROPOSED ASPHALT PAVEMENT
- "SEE YOU SOON" DIRECTIONAL SIGN TO BE PERMITTED SEPARATELY (TYP.) (BY THOMAS SIGN CO.)
- "WELCOME" DIRECTIONAL SIGN TO BE PERMITTED SEPARATELY (TYP.) (BY THOMAS SIGN CO.)
- DIRECTIONAL FLOW ARROW



1" = 30'

SITE DATA

APPLICANT/OWNER:	CUMBERLAND FARMS - PORT SAINT LUCIE
PCN:	3420-575-0076-000-9 / 3420-570-0080-000-2 3420-575-0085-0005 / 3420-570-0071-000-9 / 3420-570-0069-000-2
LOT / BLOCK / SECTION	1 - 10 / 1482 / 16 65 - 67 / 1440 / 15
LAND USE INFORMATION:	
CURRENT USE:	VACANT
PROPOSED USE:	COMMERCIAL (CONVENIENCE STORE W/FUEL PUMPS)
FUTURE LAND USE DESIGNATION:	CG - GENERAL COMMERCIAL
ZONING DESIGNATION:	CG - GENERAL COMMERCIAL

DEVELOPMENT STANDARDS:	REQUIRED	PROPOSED
MIN. LOT AREA (S.F.)	20,000	94,648
MIN. LOT FRONTAGE (FEET):	100'	377.37
MIN. SET BACKS:		
FRONT - EAST (FEET):	25	51.84 (CANOPY)
SIDE - NORTH (FEET):	25	100.67 (CANOPY)
SIDE - SOUTH (FEET):	25	147 (CANOPY)
REAR - WEST (FEET):	25	46.98 (BUILDING)
MAX. HEIGHT (FEET):	35'	33'-4" (1 STORY)
MAX. FAR (RATIO):	0.40	0.06

SITE AREA:

	EXISTING			PROPOSED			CHANGE	
IMPERVIOUS:	S.F.	ACRES	%	S.F.	ACRES	%	S.F.	ACRES
BUILDING	0	0.0	0.0%	4,952	0.11	5.23%	4,952	0.11
PAVEMENT	0	0.0	0.0%	42,476	0.98	45.00%	42,476	0.98
SIDEWALK/CURB	0	0.0	0.0%	7,429	0.17	7.85%	7,429	0.17
TOTAL	0	0.0	0.0%	54,857	1.26	58.08%	54,857	1.26
PERVIOUS:	94,648	2.17	100.00%	39,791	0.91	41.92%	(-)54,857	(-)0.91
TOTAL	94,648	2.17	100.00%	94,648	2.17	100.0%		

PARKING DATA
REQUIRED:

USE	AREA	RATIO	AMOUNT
CONVENIENCE STORE (RETAIL)	4,952 S.F.	1 SPACE/ 200 S.F.	25 SPACES

PROVIDED:	SPACES		PERCENT
STANDARD SPACES (MIN. 9.5' X 18')	42	(10' X 20')	95.56%
ACCESSIBLE SPACES (MIN. 12' X 18')	2	(12' X 20')	4.44%
TOTAL SPACES	44		100.0%

DRAINAGE STATEMENT

CUMBERLAND FARMS - PORT ST. LUCIE BLVD. & DARWIN BLVD., CITY OF PORT ST. LUCIE, FLORIDA

SITE DATA

THE SUBJECT PARCEL IS LOCATED AT THE SOUTHWEST CORNER OF PORT ST. LUCIE BOULEVARD AND DARWIN BOULEVARD IN THE CITY OF PORT ST. LUCIE, FLORIDA. PROPOSED SITE IMPROVEMENTS INCLUDE THE THE CONSTRUCTION OF THE 4,952 SF STRUCTURE, CANOPY AREA AND ASSOCIATED INFRASTRUCTURE.

PROPOSED DRAINAGE

THE PROJECT IS LOCATED WITHIN THE BOUNDARIES OF THE SOUTH FLORIDA WATER MANAGEMENT DISTRICT. THE EXISTING DEVELOPMENT IS NOT CURRENTLY PERMITTED UNDER SOUTH FLORIDA WATER MANAGEMENT DISTRICT. STORMWATER RUNOFF WILL BE DIRECTED TO A PROPOSED ON-SITE DRY DETENTION AREA FOR WATER QUALITY AND STORAGE REQUIREMENTS. RUNOFF FROM DARWIN BLVD. AND THE PROPOSED TRACT B TO THE NORTH WILL BE STORED IN THE DETENTION AREA AS WELL. DRAINAGE DESIGN IS TO ADDRESS THE FOLLOWING:

1. SET FINISH FLOOR & MINIMUM PARKING LOT GRADE

REQUIRED PERMITS/APPROVALS
1. CITY OF PORT ST. LUCIE SITE PLAN APPROVAL
2. SFWMD PERMIT #56-00332-S MODIFICATION REQUIRED

ENVIRONMENTAL STATEMENT

THE TREE DISPOSITION PLAN INDICATES THAT THE TOTAL MITIGATION DEBT FOR TREES BEING REMOVED ON THE SITE IS 108 INCHES.

TRAFFIC STATEMENT

"THE FOLLOWING TRIP GENERATION VALUES WERE CALCULATED USING DATE FROM ITE TRIP GENERATION 9TH EDITION FOR CODE 853 (CONVENIENCE MARKET WITH GASOLINE PUMPS)."

PROPOSED
DAILY TRIPS: 4,187 TRIPS
AM PEAK: 202 TRIPS (101 IN, 101 OUT)
PM PEAK: 252 TRIPS (126 IN, 126 OUT)

CITY OF PORT SAINT LUCIE PROJECT NO. (P15-171)

THOMAS ENGINEERING GROUP
CIVIL ENGINEERS | PROJECT MANAGERS | LAND PLANNING | LANDSCAPE ARCHITECTS

REVISIONS

REV.	DATE	COMMENT	BY

811 KNOW WHAT'S BELOW ALWAYS CALL 811 BEFORE YOU DIG
www.callsunshine.com

PROJECT No.	F140141
DRAWN BY:	RFJ
CHECKED BY:	MAT
DATE:	10/17/15
CAD I.D.	F140141 - SITE PLAN

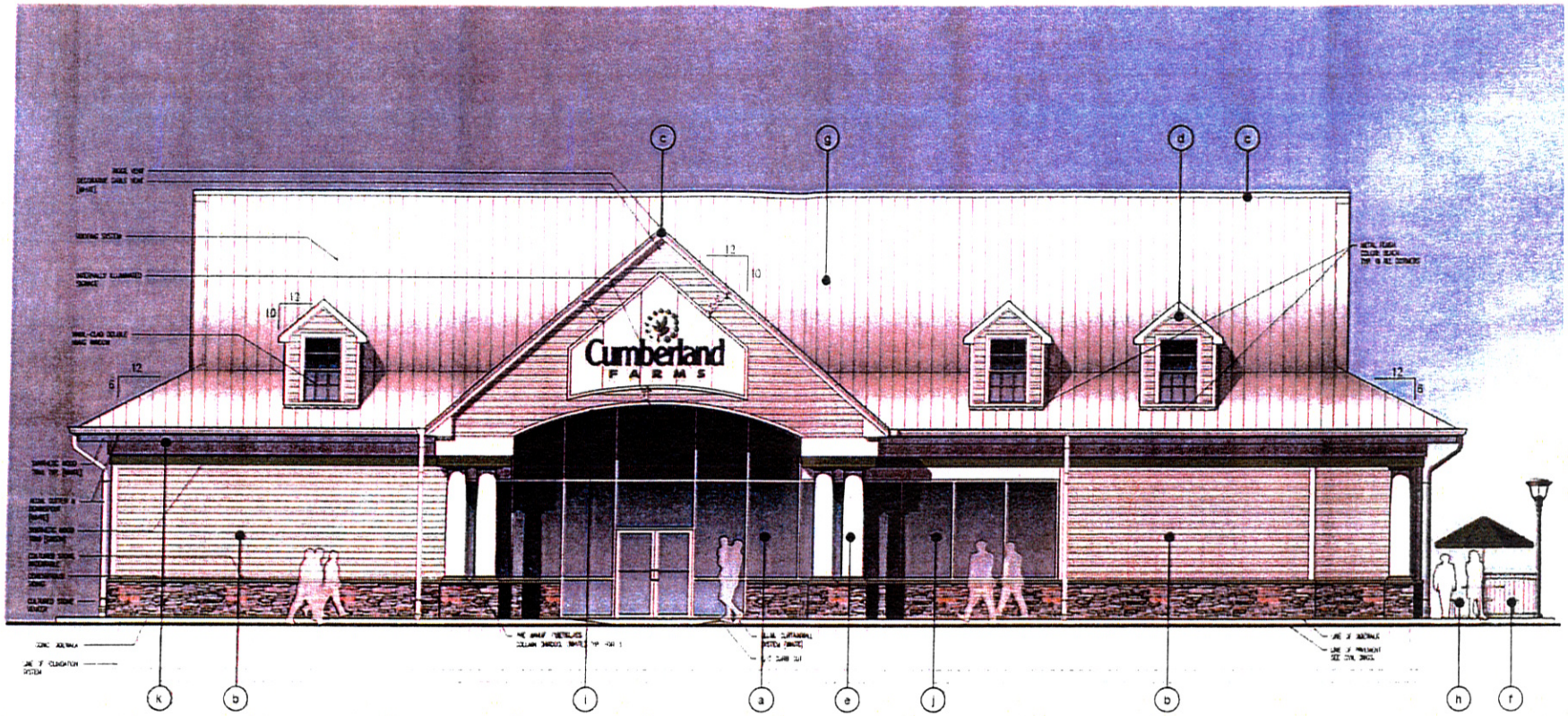
PROJECT:
PORT ST. LUCIE BLVD. & DARWIN BLVD.
FOR
Cumberland FARMS
PORT ST. LUCIE FLORIDA

THOMAS ENGINEERING GROUP
RADICE III
1000 CORPORATE DRIVE, SUITE 250
FORT LAUDERDALE, FL 33334
PH: (954) 202-7000
FX: (954) 202-7070
www.ThomasEngineeringGroup.com

MICHAEL A. TROXELL
PROFESSIONAL ENGINEER
November 20, 2015
FLORIDA LICENSE No. 50572
FLORIDA BUSINESS CERT. OF AUTH. No. 27528

SHEET TITLE: **SITE PLAN**

SHEET NUMBER: **SP-1**



Architectural Elements

a. Predominantly vertical, rectangular, windows
b. Lightly stained / painted siding in a horizontal pattern
c. Gable roof
d. Gable dormer
e. Round columns with square base

Architectural Elements (cont.)

f. Porch with picket railing
g. Standing seam metal roof
h. Patio courtyard (377 sq ft.)
i. Arches
j. Display windows
k. Eaves

Additional Features

- Multiple roof planes
- Eaves
- Change in roof height
- Dormers

Finish Schedule

Synthetic wood trim & fascia	Sherwin Williams	SW7035 Aesthetic White
Synthetic wood trim	Sherwin Williams	SW6644 Champagne*
Fiberglass column shroud	Pacific Columns	White
Cementitious siding	HardiePlank	SW7036 Accessible Beige
Cultured stone	Boral Stone Products	Echo Ridge
Standing seam metal roof	TBD	Gray
Aluminum gutter system	Hickman	White

* Approved Port St. Lucie Color

Cumberland FARMS

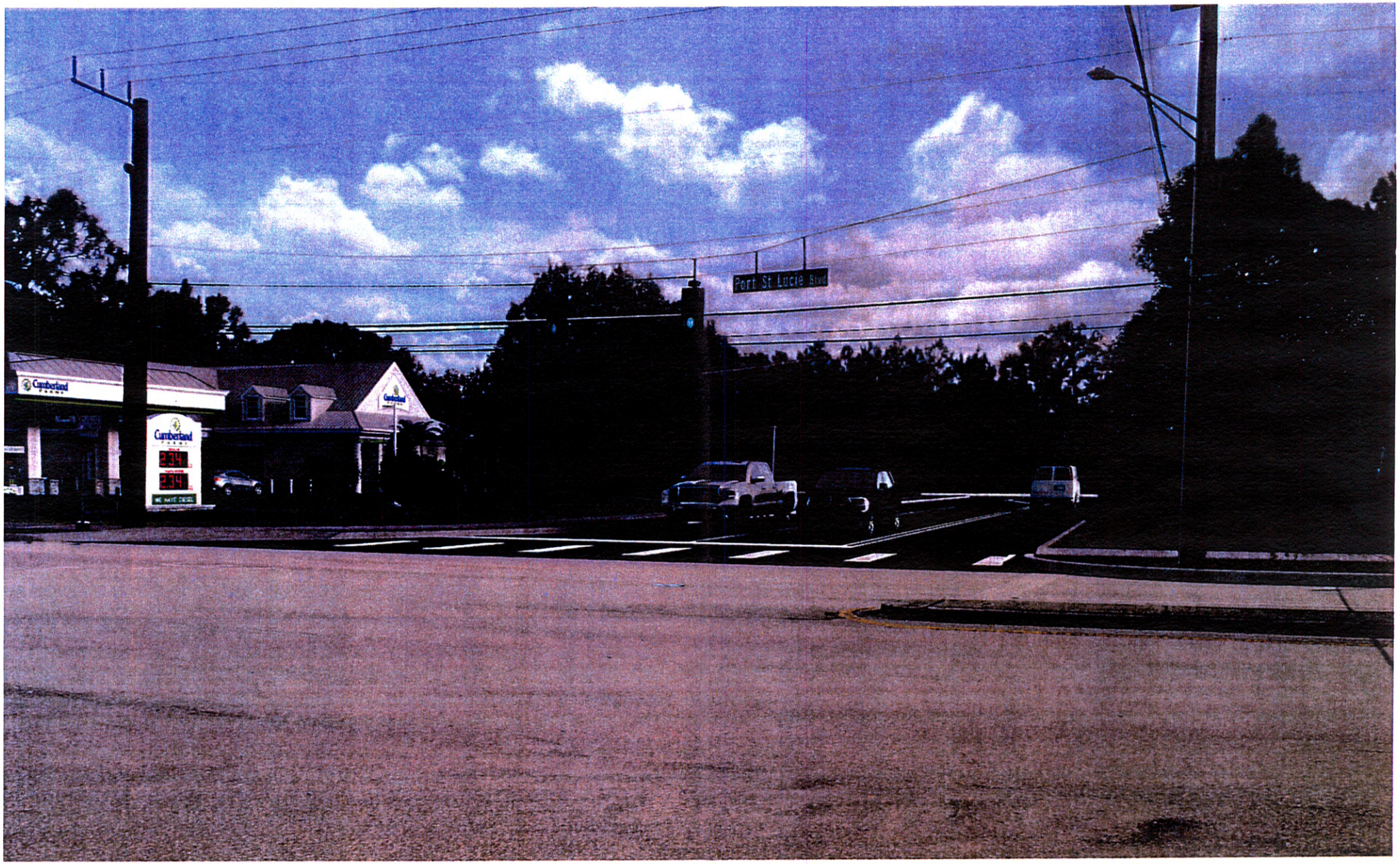
JACOBS

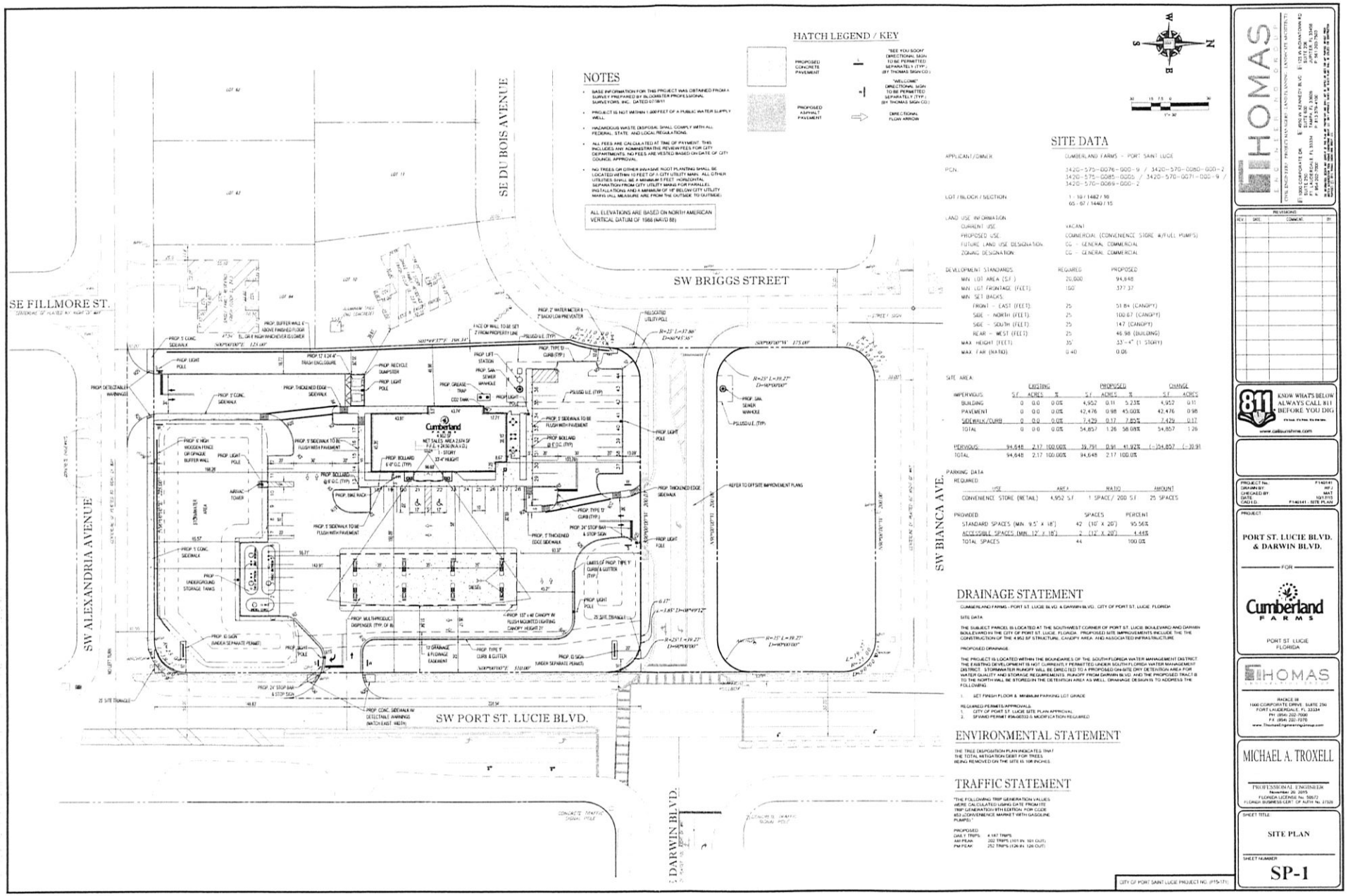
11-19-15

ARCHITECTURAL ELEMENTS

CUMBERLAND FARMS - PORT ST. LUCIE

Cumberland
FARMS
WE HAVE DIESEL


Port St Lucie Blvd
Cumberland
Cumberland
2.34
2.34


SE FILLMORE ST.
SE DU BOIS AVENUE
SW BRIGGS STREET
SW ALEXANDRIA AVENUE
SW BIANCA AVE.
SW PORT ST. LUCIE BLVD.
SW DARWIN BLVD.
Cumberland
NET SALES AREA 2,924 SF
F.F.E. = 20.50 (N.A.V.D.)
1 - STORY
17'-4" HEIGHT
HATCH LEGEND / KEY
PROPOSED CONCRETE PAVEMENT
PROPOSED ASPHALT PAVEMENT
"SEE YOU SOON" DIRECTIONAL SIGN TO BE PERMITTED SEPARATELY (TYP.) (BY THOMAS SIGN CO.)
"WELCOME" DIRECTIONAL SIGN TO BE PERMITTED SEPARATELY (TYP.) (BY THOMAS SIGN CO.)
DIRECTIONAL FLOW ARROW
NOTES
BASE INFORMATION FOR THIS PROJECT WAS OBTAINED FROM A SURVEY PREPARED BY BLOOMSTER PROFESSIONAL SURVEYORS, INC., DATED 07/18/11.
PROJECT IS NOT WITHIN 1,000 FEET OF A PUBLIC WATER SUPPLY WELL.
HAZARDOUS WASTE DISPOSAL SHALL COMPLY WITH ALL FEDERAL, STATE, AND LOCAL REGULATIONS.
ALL FEES ARE CALCULATED AT TIME OF PAYMENT. THIS INCLUDES ANY ADMINISTRATIVE REVIEW FEES FOR CITY DEPARTMENTS. NO FEES ARE VESTED BASED ON DATE OF CITY COUNCIL APPROVAL.
NO TREES OR OTHER INVASIVE NUOT PLANTING SHALL BE LOCATED WITHIN 10 FEET OF A CITY UTILITY MAIN. ALL OTHER UTILITIES SHALL BE A MINIMUM 5 FEET HORIZONTAL SEPARATION FROM CITY UTILITY MAINS FOR PARALLEL INSTALLATIONS AND A MINIMUM OF 18" BELOW CITY UTILITY MAINS (ALL MEASURE ARE FROM THE OUTSIDE TO OUTSIDE)
ALL ELEVATIONS ARE BASED ON NORTH AMERICAN VERTICAL DATUM OF 1988 (NAVD 88)
SITE DATA
APPLICANT/OWNER: CUMBERLAND FARMS – PORT SAINT LUCIE
PCN: 3420-575-0076-000-9 / 3420-570-0080-000-2 3420-575-0085-0005 / 3420-570-0071-000-9 / 3420-570-0069-000-2
LOT / BLOCK / SECTION: 1 - 10 / 1462 / 36 65 - 67 / 1440 / 15
LAND USE INFORMATION
CURRENT USE: VACANT
PROPOSED USE: COMMERCIAL (CONVENIENCE STORE W/FUEL PUMPS)
FUTURE LAND USE DESIGNATION: CG – GENERAL COMMERCIAL
ZONING DESIGNATION: CG – GENERAL COMMERCIAL
DEVELOPMENT STANDARDS
MIN. LOT AREA (S.F.): REQUIRED 20,000 PROPOSED 94,848
MIN. LOT FRONTAGE (FEET): REQUIRED 150 PROPOSED 377.37
MIN. SET BACKS:
FRONT – EAST (FEET): REQUIRED 25 PROPOSED 51.84 (CANOPY)
SIDE – NORTH (FEET): REQUIRED 25 PROPOSED 100.67 (CANOPY)
SIDE – SOUTH (FEET): REQUIRED 25 PROPOSED 147 (CANOPY)
REAR – WEST (FEET): REQUIRED 25 PROPOSED 46.98 (BUILDING)
MAX. HEIGHT (FEET): REQUIRED 35 PROPOSED 33'-4" (1 STORY)
MAX. FAR (RATIO): REQUIRED 0.40 PROPOSED 0.06
SITE AREA

	EXISTING S.F.	EXISTING ACRES	EXISTING %	PROPOSED S.F.	PROPOSED ACRES	PROPOSED %	CHANGE S.F.	CHANGE ACRES
IMPERVIOUS								
BUILDING	0	0.0	0.0%	4,952	0.11	5.23%	4,952	0.11
PAVEMENT	0	0.0	0.0%	42,476	0.98	45.00%	42,476	0.98
SIDEWALK/CURB	0	0.0	0.0%	7,429	0.17	7.85%	7,429	0.17
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TOTAL	94,648	2.17	100.00%	94,648	2.17	100.0%		

PARKING DATA
REQUIRED

USE	AREA	RATIO	AMOUNT
CONVENIENCE STORE (RETAIL)	4,952 S.F.	1 SPACE / 200 S.F.	25 SPACES

PROVIDED

	SPACES	PERCENT
STANDARD SPACES (MIN. 9.5' x 18')	42 (10' x 20')	95.56%
ACCESSIBLE SPACES (MIN. 12' x 18')	2 (12' x 20')	4.44%
TOTAL SPACES	44	100.0%

DRAINAGE STATEMENT
CUMBERLAND FARMS - PORT ST. LUCIE BLVD. & DARWIN BLVD., CITY OF PORT ST. LUCIE, FLORIDA
SITE DATA:
THE SUBJECT PARCEL IS LOCATED AT THE SOUTHWEST CORNER OF PORT ST. LUCIE BOULEVARD AND DARWIN BOULEVARD IN THE CITY OF PORT ST. LUCIE, FLORIDA. PROPOSED SITE IMPROVEMENTS INCLUDE THE THE CONSTRUCTION OF THE 4,952 SF STRUCTURE, CANOPY AREA, AND ASSOCIATED INFRASTRUCTURE.
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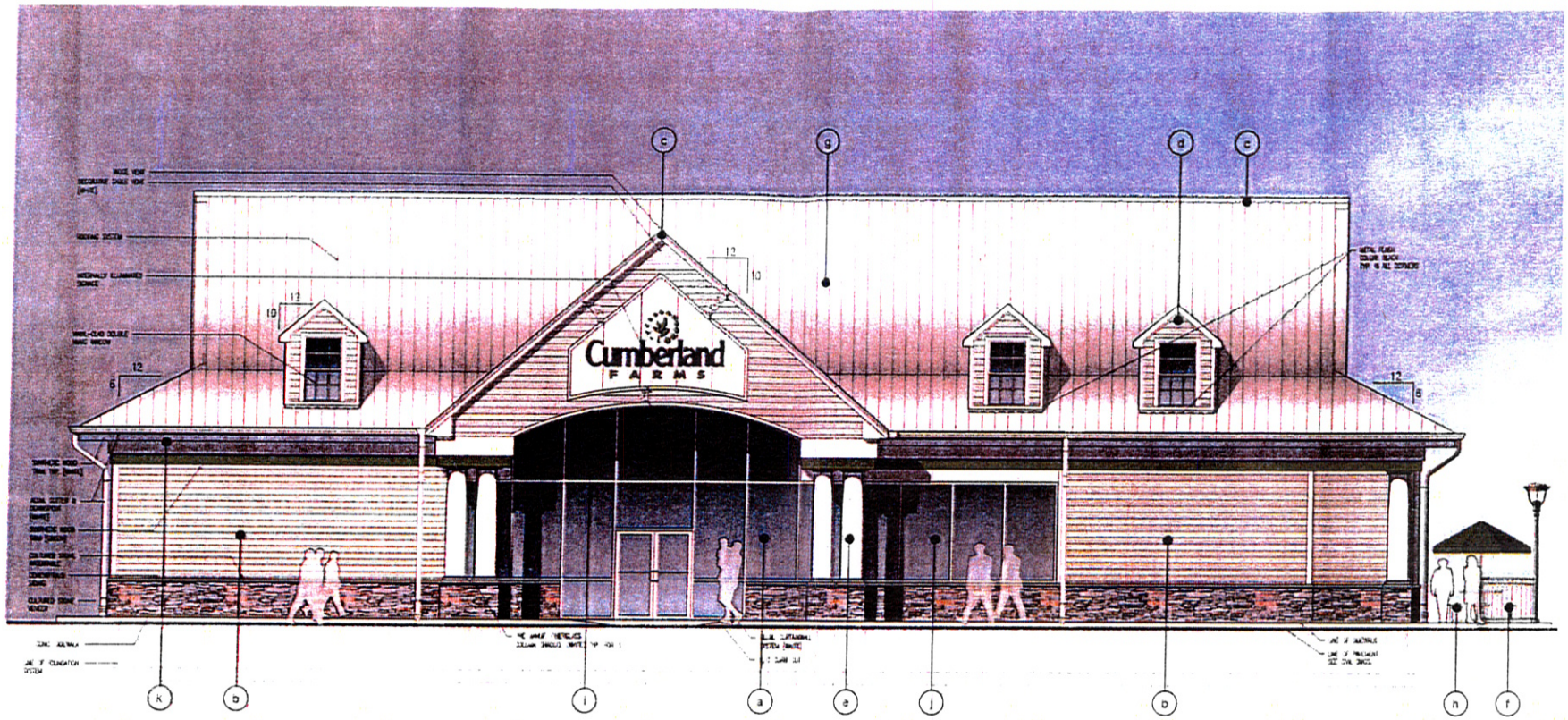
REQUIRED PERMITS/APPROVALS:
1. CITY OF PORT ST. LUCIE SITE PLAN APPROVAL
2. SFWMD PERMIT #56-00332-S MODIFICATION REQUIRED
ENVIRONMENTAL STATEMENT
THE TREE DISPOSITION PLAN INDICATES THAT THE TOTAL MITIGATION DBH FOR TREES BEING REMOVED ON THE SITE IS 108 INCHES.
TRAFFIC STATEMENT
THE FOLLOWING TRIP GENERATION VALUES WERE CALCULATED USING DATA FROM ITE TRIP GENERATION 9TH EDITION, FOR CODE #53 (CONVENIENCE MARKET WITH GASOLINE PUMPS):
PROPOSED:
DAILY TRIPS: 4,147 TRIPS
AM PEAK: 202 TRIPS (101 IN, 101 OUT)
PM PEAK: 252 TRIPS (126 IN, 126 OUT)
CITY OF PORT SAINT LUCIE PROJECT NO. (P15-171)
THOMAS ENGINEERING GROUP, LLC
CIVIL ENGINEERS · PROJECT MANAGERS · LAND PLANNERS · LANDSCAPE ARCHITECTS
1) 1000 CORPORATE DR. SUITE 250, FORT LAUDERDALE, FL 33334, PH: (954) 202-7000, FAX: (954) 202-7070
2) 1600 KENNEDY BLVD. SUITE 200, JACKSONVILLE, FL 32207, PH: (904) 396-3200, FAX: (904) 396-3209
3) 1501 PARK AVENUE SOUTH, SUITE 200, ORLANDO, FL 32803, PH: (407) 894-1549, FAX: (407) 894-1554
CERTIFICATE OF AUTHORIZATION #: 27911
THE SEAL APPEARING ON THIS DOCUMENT WAS AUTHORIZED BY MICHAEL A. TROXELL, P.E. ON 11/20/15
REVISIONS
NO. | DATE | COMMENT | BY
KNOW WHAT'S BELOW ALWAYS CALL 811 BEFORE YOU DIG
www.callsunshine.com
PROJECT No. P140141
DRAWN BY MF
CHECKED BY MAT
DATE 10/17/15
CAD I.D. P140141 - SITE PLAN
PROJECT
PORT ST. LUCIE BLVD. & DARWIN BLVD.
FOR
Cumberland FARMS
PORT ST. LUCIE FLORIDA
THOMAS ENGINEERING GROUP, LLC
RADICE 99
1000 CORPORATE DRIVE, SUITE 250
FORT LAUDERDALE, FL 33334
PH: (954) 202-7000
FX: (954) 202-7070
www.thomasengineeringgroup.com
MICHAEL A. TROXELL
PROFESSIONAL ENGINEER
November 20, 2015
FLORIDA LICENSE No. 59572
FLORIDA BUSINESS CERT. OF AUTH No. 27525
SHEET TITLE:
SITE PLAN
SHEET NUMBER
SP-1
EXHIBIT "A"



Architectural Elements

a. Predominantly vertical, rectangular, windows
b. Lightly stained / painted siding in a horizontal pattern
c. Gable roof
d. Gable dormer
e. Round columns with square base

Architectural Elements (cont.)

f. Porch with picket railing
g. Standing seam metal roof
h. Patio courtyard (377 sq.ft.)
i. Arches
j. Display windows
k. Eaves

Additional Features

- Multiple roof planes
- Eaves
- Change in roof height
- Dormers

Finish Schedule

Synthetic wood trim & fascia	Sherwin Williams	SW7035 Aesthetic White
Synthetic wood trim	Sherwin Williams	SW6644 Champagne*
Fiberglass column shroud	Pacific Columns	White
Cementitious siding	HardiePlank	SW7036 Accessible Beige
Cultured stone	Boral Stone Products	Echo Ridge
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Aluminum gutter system	Hickman	White

* Approved Port St. Lucie Color

Cumberland FARMS

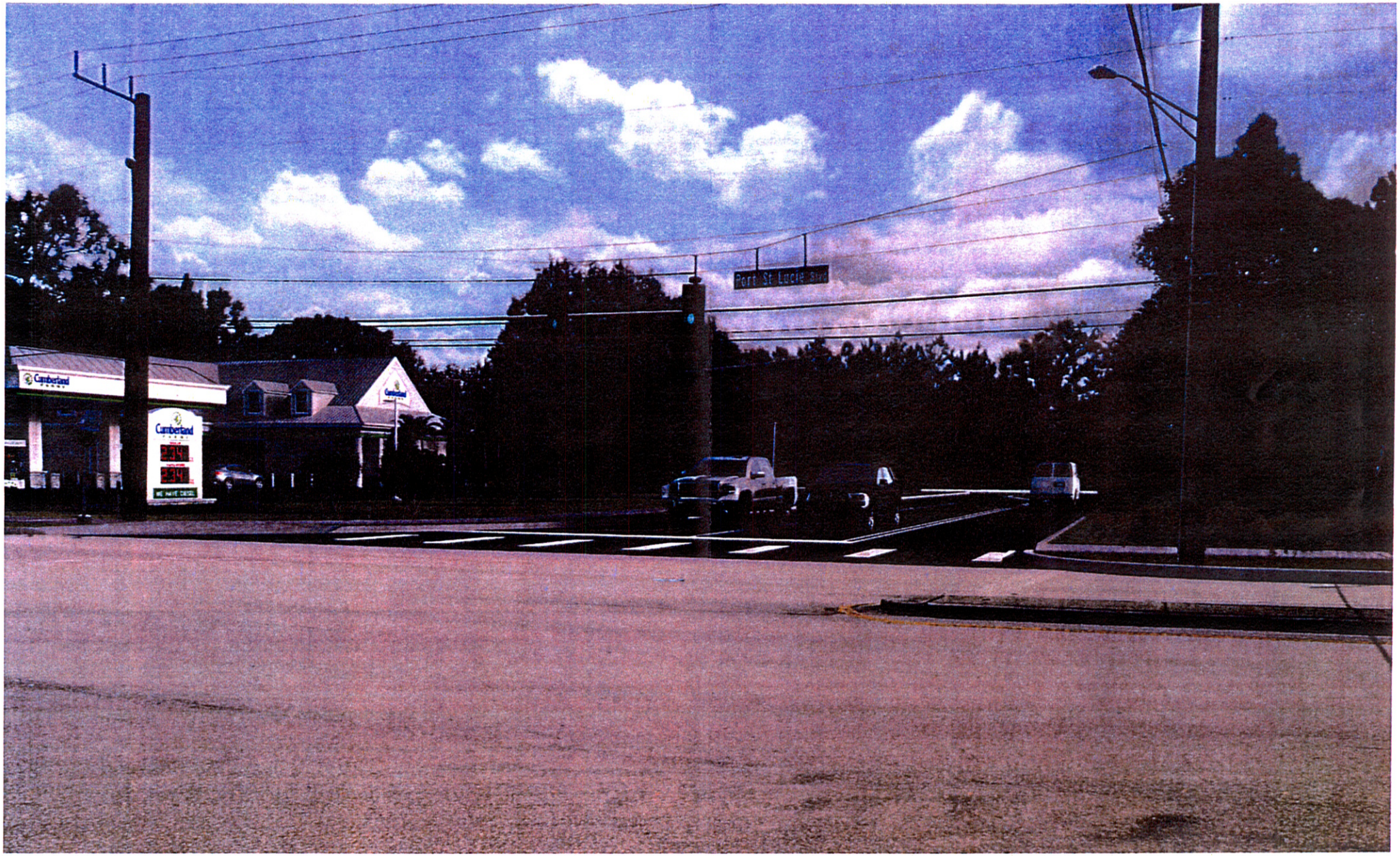
JACOBS

11-19-15

ARCHITECTURAL ELEMENTS

CUMBERLAND FARMS - PORT ST. LUCIE

Cumberland
FARMS
WE HAVE DIESEL
Cumberland
Cumberland
Cumberland


Port St Lucie Blvd
Cumberland
Cumberland
Cumberland

CITY OF PORT S

COUNCIL AGENDA MEMORAND

12/14/2015
Item: 11 C

Note: This should follow P15-157

m #: 11C
te: 12/14/15

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager

THRU: Daniel Holbrook, AICP, Assistant City Manager, Community Development Director

FROM: Patricia A. Tobin, AICP, Planning and Zoning Director

Agenda Item: Resolution: Public Hearing: A Resolution authorizing an automobile fuel service and retail convenience store for Cumberland Farms Special Exception Use (P15-171)

Submittal Date: 12/3/2015 15-R111

STRATEGIC PLAN LINK: This item is consistent with Goal 3: Balanced and Responsible Sustainable Growth.

BACKGROUND: The requested special exception is to allow an automobile fuel service station and a retail convenience store per Section 158.124(C)(11) and (12) of the Zoning Code.

ANALYSIS: See attached staff report.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: This resolution has been approved as to form by Attorney Brennan Keeler.

PLANNING AND ZONING BOARD RECOMMENDATION: The Planning & Zoning Board reviewed the request on December 1, 2015 and unanimously recommended approval.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: Staff may provide a short presentation on the application.

REQUESTED MEETING DATE: 12/14/2015

LOCATION OF PROJECT: The property is located along the west side of Port St. Lucie Boulevard between SW Bianca Avenue and SW Alexandria Avenue.

ATTACHMENTS: Resolution, concept plan, staff report, application, and building elevations.

RECEIVED
DEC 03 2015
CITY MANAGER'S OFFICE

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 11B
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager JB

FROM: Daniel Holbrook, Assistant City Manager – Community Development Director DH

Agenda Item: Resolution: Temporary Food Court on Wheels Pilot Program

Submittal Date: 12/30/2015

STRATEGIC PLAN LINK: This item is consistent with Goal 3 Balanced and Responsible Sustainable Growth; City Center Development: Goals, Actions. This item is also consistent with Principle 3: Friendly Community for All Ages – Community events and gathering places for residents to interact. Providing a Temporary Food Court on Wheels Pilot Program promotes the City of Port St. Lucie's mission by providing exceptional municipal services and being responsive to the community.

BACKGROUND: City Center began as a public private partnership in 2005 to redevelop a suburban shopping center at the southeast intersection of U.S.1 and Walton Road into a traditional downtown with a mix of uses. The vision for City Center is contained in the development plan for the original CRA and it reflects the thinking at the time that City Center would be the central gathering place for Port St. Lucie. With the buildout of St. Lucie West and the development of Tradition, City Center is now identified as one of four activity centers in Port St. Lucie as noted in the Strategic Plan. The City is currently limited in its ability to intercede in the City Center project due to ongoing legal issues. To support the Civic Center and to continue to build momentum in the development of City Center, City staff has been working on proposals to allow interim uses at City Center on the City owned parcels. An example of an interim use is the Port St. Lucie Community Garden.

The food truck phenomenon has become a nationwide sensation, the growth fueled in part by lower financial barrier to entry than restaurants, convenience for customers and the creation of a new type of community gathering space. Food truck "courts" or "parks" have also grown in popularity over various parts of the country. Food truck parks have brought business to little-visited areas, revitalized

neighborhoods and offered alternative dining option where there once were a few.

ANALYSIS: Staff proposes creation of a food truck "court" at City Center as a pilot program to introduce the concept of a mobile food court to the public; allow the City to estimate the cost and staffing needs; and provide the City with data to use in evaluating whether to adopt a more comprehensive food truck program and more business friendly food truck regulations. Participation in the Pilot Program is free. Proof of insurance and county health certificate is required. Staff will conduct a roundtable discussion of this concept with food truck owners on January 6, 2016 at the Civic Center. Staff will report the results back to Council at the conclusion of the program.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: This resolution has been approved as to form by City Attorney Pam Booker.

STAFF RECOMMENDATION: Staff recommends APPROVAL.

SPECIAL CONSIDERATION: This program is scheduled for February 1, 2016 through March 31, 2016.

PRESENTATION INFORMATION: The Assistant City Manager will provide a brief presentation.

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: The project is located on the southeast corner of the intersection of U.S. 1 and Walton Road.

ATTACHMENTS: 1. Resolution

2. Exhibit "A" – Map of City Center Complex

3. Exhibit "B" – Pilot Program Meeting Brochure

RESOLUTION 16-R01

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF PORT ST. LUCIE, FLORIDA, APPROVING A FOOD COURT ON WHEELS PILOT PROGRAM FOR MOBILE FOOD VENDORS; PROVIDING AN EFFECTIVE DATE.

WHEREAS, the City of Port St. Lucie owns real property at City Center where the City has plans to assist in the economic development of the eastern community, but until now has been underutilized; and

WHEREAS, the implementation of mobile food courts in cities across the country has resulted in revitalization of communities. The mobile food courts provide opportunities for small business development, creative use of land and the development of a cultural activity center; and

WHEREAS, City staff believe a temporary location that allows for congregation of multiple food trucks at a single location will increase foot traffic and benefit City Center; and

WHEREAS, a pilot program will provide the City with evaluative data and feedback in preparation for a mobile food vendor policy; and

WHEREAS, Food Court on Wheels Pilot Program will run for two months, February 2016 through March 2016. Mobile food vendors will be completely self-contained, the City will not offer water or electricity. Mobile food vendors will be required to provide proof of insurance and county health certificate. Participation in the pilot program will be free; and

NOW, THEREFORE, BE IT RESOLVED THAT THE CITY COUNCIL OF THE CITY OF PORT ST. LUCIE, FLORIDA, THAT:

Section 1. The above recitals are true, correct, and incorporated herein by this reference.

RESOLUTION 16-R01

Section 2. The City of Port St. Lucie Food Court on Wheels Pilot Program will run from February 1, 2016 to March 31, 2016.

Section 3. This resolution shall become effective immediately upon its adoption.

PASSED AND APPROVED by the City Council of the City of Port St. Lucie, Florida, this 11th day of January, 2016.

ATTEST:

CITY COUNCIL
CITY OF PORT ST. LUCIE

_____
Karen A. Phillips, City Clerk

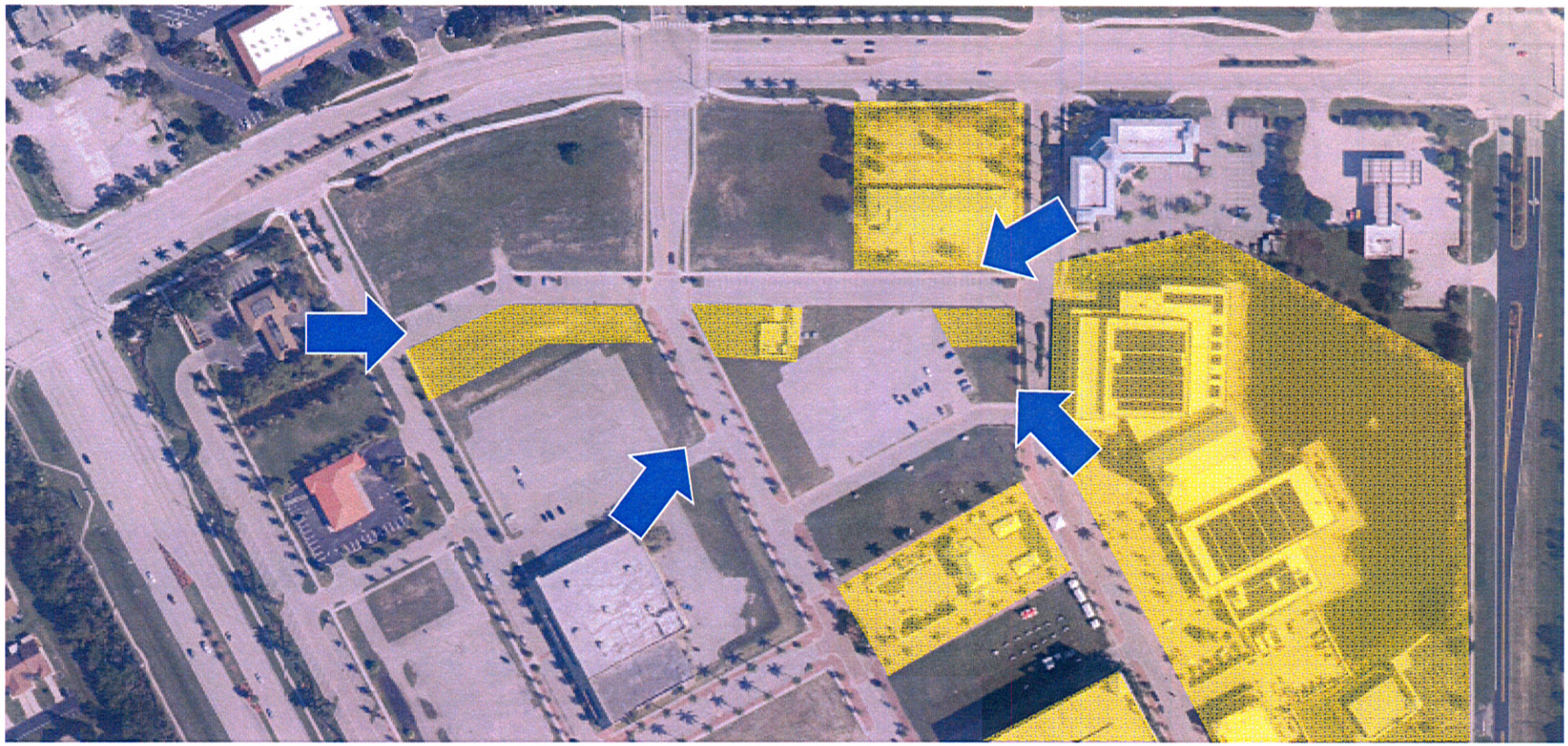
By:_____
Gregory J. Oravec, Mayor

APPROVED AS TO FORM:

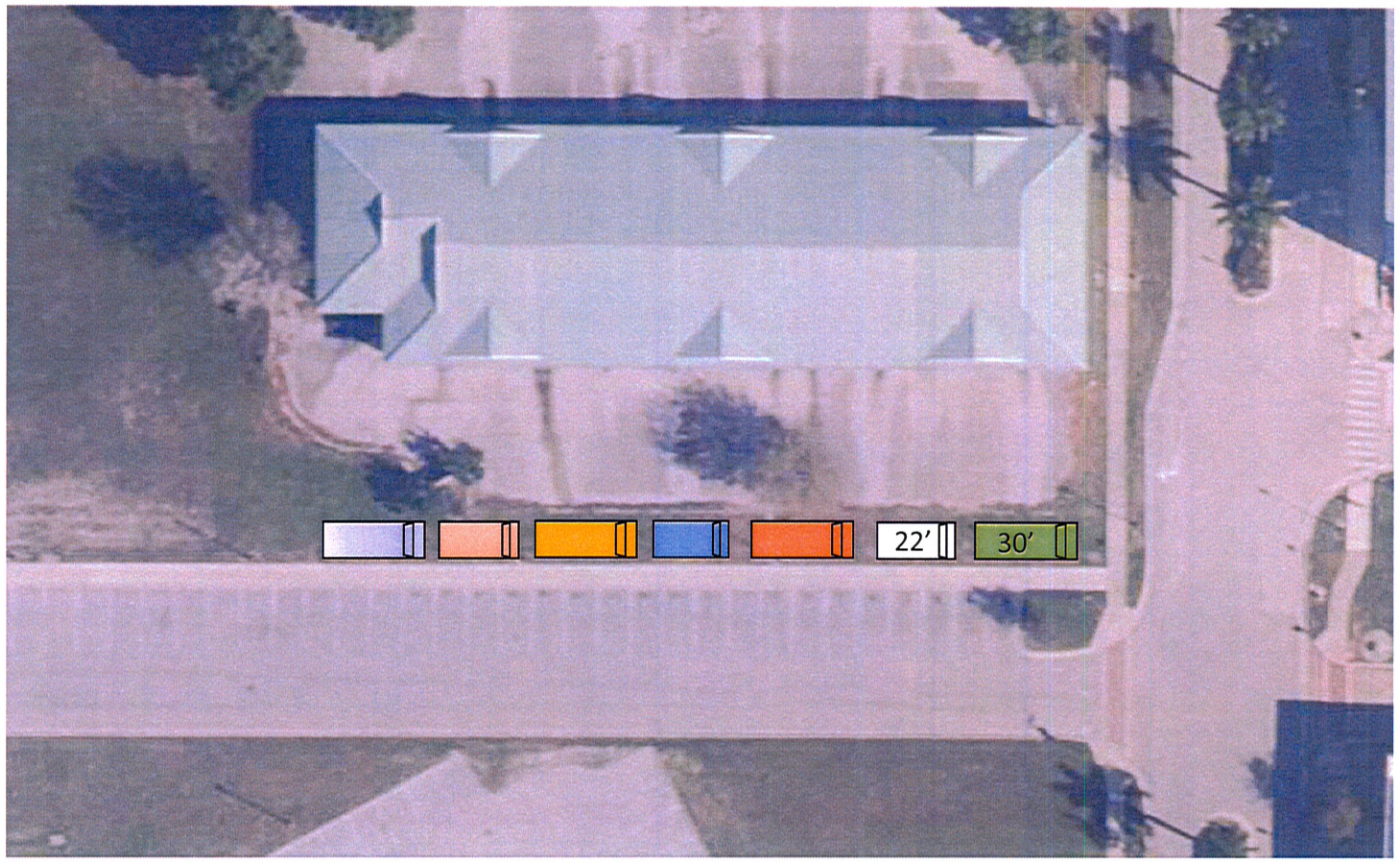
By:_____
Pam E. Booker, City Attorney

Food Trucks at Civic Center

City Center *City Owned Parcels, Proximity to Businesses*



Site Location *Food Truck Parking*



It's About Food Trucks!

Join us to discuss a pilot program that the City is considering.

The idea is to have a consistent food truck presence, a.k.a. street food at PSL's City Center.

Before we propose this concept, we need your input.

Wednesday
January 6, 2016
4-5 p.m.

Port St. Lucie Civic Center
9221 Southeast Civic Center Place, Port St. Lucie, FL 34952
S.E. corner of U.S. 1 and Walton Road

For more information and to RSVP
Contact Bonnie Cruz at 772-873-6486 or BonnieC@cityofpsl.com

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 13A
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager
Patricia Roebling, P.E., City Engineer, Assistant City Manager

FROM: Cheryl Shanaberger- Director- Procurement Management Department

Agenda Item: Motion: E-RFP # 20150126- Continuing Professional Construction Engineering Inspection (CEI) Services project

Submittal Date: 12/30/2015

STRATEGIC PLAN LINK: Port St. Lucie City Government Mission, (A) provide Exceptional Municipal Services and (C) Plan for Smart and Balanced Growth

BACKGROUND: As approved by City Council at the July 2015 Summer Retreat, Request for Proposals were issued to obtain qualified professional engineering firms to provide continuing service contracts for the City. It is the intent of the City to procure the services of no less than three (3) Professional Engineering firms to provide CEI services for the City's Capital Improvement Program projects identified in the five Year Capital Improvement Budget projections and any subsequent amendments. These Continuing Service Contracts will be issued for projects with construction costs that exceed $325,000.00 and are less than $2 million dollars, and will be used for City funded, State and Federally funded projects for all City Departments. The firm will be selected for each project as per the rotation list. The term of the contract will be for three (3) years with no option to renew. Compensation of each project will be based on the fixed fee rate as per the Continuing Contract. The City reserves the right to issue other solicitations regardless of this contract. A Request for Proposal was advertised for professional services via Demandstar on October 5, 2015, with a proposal due date of November 17, 2015. During the advertisement period, one thousand five hundred forty-four (1544) suppliers were notified, thirty seven (37) of those downloaded documents and eight (8) submitted proposals.

ANALYSIS: The Procurement Management Department (PMD) held a public meeting on December 10, 2015. The committee members met to review and discuss their individual scores. After a vigorous discussion regarding the points, the

committee members provided their group scores. Due to the small differences in the number of points separating the firms, the committee voted to conduct interviews with all eight firms- American Consulting Professionals, LLC, Calvin, Giordano & Associates, Inc., CAPTEC Engineering, Inc., Culpepper & Terpening, Inc., DRMP, Inc., Milestone Construction Group, Inc., Target Engineering Group, Inc., and The Corradino Group, Inc. The evaluation committee held a Question and Answer (Q & A) session with these eight (8) firms on December 15, 2015. The Question and Answer discussion was based on four (4) questions which included a CEI situation scenario. Each question was scored on a point scale value of 0-5. The committee scored and ranked the firms as follows: (1) Culpepper & Terpening, Inc.- 53, (2) Milestone Construction Group, Inc., – 48, (3) CAPTEC Engineering, Inc., – 46, (4) Target Engineering Group, Inc.– 45, (5) DRMP, Inc.- 37, (6) American Consulting Professionals, LLC,- 32 and (7) Calvin, Giordano & Associates, Inc.- 26. The Corradino Group did not attend the Q&A session. The committee selected the top four (4) ranked and scored firms for the City's CEI Continuing services rotation list. The committee stated that their scores were based on the details in the responses to the questions, the familiarity of the firms with the City's CEI process, and the preparation effort put in by the firms for this process.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: Reviewed by Keri Norbraten on 08/31/15 and approved as to form.

STAFF RECOMMENDATION: Approve the award of RFP#20150126- Continuing Professional Construction Engineering Inspection (CEI) Services to the top four (4) firms by the evaluation committee and approve negotiating a fixed fee rate continuing contract with: Culpepper & Terpening, Inc., Milestone Construction Group, Inc., CAPTEC Engineering, Inc., and Target Engineering Group, Inc.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: N/A.

ATTACHMENTS: (Audio from both meetings included in cd format), Evaluation and Q&A Totals, Individual/Group Score Totals, copies of winning firms Bid responses, RFP documents, Addenda, Bid Opening Sheet, Bid Tabulation sheet, Evaluation & Q&A Attendance sheets, Evaluation Guidelines, Participation Agreements, Individual Evaluator Scores, Unsuccessful firms' Bid responses

*All the attached items have been sent to the City Clerk. Any items not provided in the City Council packets are available upon request from the City Clerk.

20150126- CONTINUING PROFESSIONAL CEI SERVICES PROJECT

INDIVIDUAL COMMITEE SCORES

									TOTAL	RANK
American Consulting Professionals , LLC		RC		HS		DS				
Criterion	WTG									
A. Assigned Staff **(Ques 3- Attachment A)**	9	3	27	4	36	4	36			
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	3	6	2	4			
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	4	36	4	36			
Total			60		78		76		214	
Ranking										
Calvin, Giordano & Associates, Inc.		RC		HS		DS				
Criterion	WTG									
A. Assigned Staff **(Ques 3- Attachment A)**	9	3	27	5	45	3	27			
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	4	8	2	4			
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	4	36	3	27			
Total			60		89		58		207	
Ranking										
CAPTEC Engineering, Inc.		RC		HS		DS				
Criterion	WTG									
A. Assigned Staff **(Ques 3- Attachment A)**	9	3	27	3	27	3	27			
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	5	10	4	8			
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	5	45	4	36			
Total			60		82		71		213	
Ranking										
Culpepper & Terpening, Inc.		RC		HS		DS				
Criterion	WTG									
A. Assigned Staff **(Ques 3- Attachment A)**	9	3	27	5	45	5	45			
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	4	8	5	10			
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	5	45	4	36			
Total			60		98		91		249	
Ranking										
DRMP, Inc.		RC		HS		DS				
Criterion	WTG									
A. Assigned Staff (Ques 3- Attachment A)	9	4	36	4	36	4	36			
B. Firm Experience with water and waste water pipeline (Ques 4)	2	3	6	4	8	3	6			
C. Firm Experience in Road & Bridge (Ques 5-14)	9	3	27	3	27	3	27			
Total			69		71		69		209	
Ranking										

20150126- CONTINUING PROFESSIONAL CEI SERVICES PROJECT
INDIVIDUAL COMMITEE SCORES

Milestone Construction Group, Inc.		RC		HS		DS		TOTAL	RANK
Criterion	WTG								
A. Assigned Staff (Ques 3- Attachment A)	9	3	27	5	45	3	27		
B. Firm Experience with water and waste water pipeline (Ques 4)	2	3	6	3	6	3	6		
C. Firm Experience in Road & Bridge (Ques 5-14)	9	3	27	4	36	3	27		
Total			60		87		60	207	
Ranking									

Target Engineering Group, Inc.		RC		HS		DS		TOTAL	RANK
Criterion	WTG								
A. Assigned Staff (Ques 3- Attachment A)	9	3	27	5	45	3	27		
B. Firm Experience with water and waste water pipeline (Ques 4)	2	3	6	3	6	2	4		
C. Firm Experience in Road & Bridge (Ques 5-14)	9	3	27	4	36	4	36		
Total			60		87		67	214	
Ranking									

The Corradino Group, Inc.		RC		HS		DS		TOTAL	RANK
Criterion	WTG								
A. Assigned Staff (Ques 3- Attachment A)	9	4	36	4	36	3	27		
B. Firm Experience with water and waste water pipeline (Ques 4)	2	3	6	4	8	2	4		
C. Firm Experience in Road & Bridge (Ques 5-14)	9	3	27	4	36	4	36		
Total			69		80		67	216	
Ranking									

Firms	RC		HS		DS		Overall	
	Total	Rank	Total	Rank	Total	Rank	Total	
American Consulting	60	3	78	7	76	2	214	3
Calvin Giordano	60	3	89	2	58	8	207	7
CAPTEC	60	3	82	5	71	3	213	5
Culpepper & Terpening, Inc.	60	3	98	1	91	1	249	1
DRMP, Inc.	69	1	71	8	69	4	209	6
Milestone Construction Group, Inc.	60	3	87	3	60	7	207	7
Target Engineering Group, Inc.	60	3	87	3	67	5	214	3
The Corradino Group, Inc.	69	1	80	6	67	5	216	2

20150126- CONTINUING PROFESSIONAL CEI SERVICES PROJECT

GROUP COMMITEE SCORES

								TOTAL	RANK
American Consulting Professionals , LLC		RC		HS		DS			
Criterion	WTG								
A. Assigned Staff **(Ques 3- Attachment A)**	9	3	27	4	36	4	36		
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	3	6	2	4		
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	4	36	4	36		
Total			60		78		76	214	
Ranking									
Calvin, Giordano & Associates, Inc.		RC		HS		DS			
Criterion	WTG								
A. Assigned Staff **(Ques 3- Attachment A)**	9	3	27	5	45	3	27		
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	3	6	2	4		
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	4	36	3	27		
Total			60		87		58	205	
Ranking									
CAPTEC Engineering, Inc.		RC		HS		DS			
Criterion	WTG								
A. Assigned Staff **(Ques 3- Attachment A)**	9	3	27	3	27	3	27		
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	5	10	4	8		
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	5	45	4	36		
Total			60		82		71	213	
Ranking									
Culpepper & Terpening, Inc.		RC		HS		DS			
Criterion	WTG								
A. Assigned Staff **(Ques 3- Attachment A)**	9	3	27	5	45	5	45		
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	3	6	5	10		
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	5	45	4	36		
Total			60		96		91	247	
Ranking									
DRMP, Inc.		RC		HS		DS			
Criterion	WTG								
A. Assigned Staff **(Ques 3- Attachment A)**	9	4	36	4	36	4	36		
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	4	8	3	6		
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	3	27	4	36		
Total			69		71		78	218	
Ranking									

20150126- CONTINUING PROFESSIONAL CEI SERVICES PROJECT

GROUP COMMITEE SCORES

								TOTAL	RANK
Milestone Construction Group, Inc.		RC		HS		DS			
Criterion	WTG								
A. Assigned Staff **(Ques 3- Attachment A)**	9	3	27	5	45	3	27		
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	3	6	3	6		
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	4	36	4	36		
Total			60		87		69	216	
Ranking									
Target Engineering Group, Inc.		RC		HS		DS			
Criterion	WTG								
A. Assigned Staff **(Ques 3- Attachment A)**	9	3	27	5	45	3	27		
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	3	6	2	4		
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	4	36	4	36		
Total			60		87		67	214	
Ranking									
The Corradino Group, Inc.		RC		HS		DS			
Criterion	WTG								
A. Assigned Staff **(Ques 3- Attachment A)**	9	4	36	4	36	3	27		
B. Firm Experience with water and waste water pipeline **(Ques 4)**	2	3	6	3	6	2	4		
C. Firm Experience in Road & Bridge **(Ques 5-14)**	9	3	27	4	36	4	36		
Total			69		78		67	214	
Ranking									

Firms	RC		HS		DS		Overall	
	Total	Rank	Total	Rank	Total	Rank	Total	
American Consulting	60	**3**	78	**6**	76	**3**	**214**	**4**
Calvin Giordano	60	**3**	87	**2**	58	**8**	**205**	**8**
CAPTEC	60	**3**	82	**5**	71	**4**	213	**7**
Culpepper & Terpening, Inc.	60	**3**	96	**1**	91	**1**	247	**1**
DRMP, Inc.	69	**1**	71	**8**	78	**2**	218	**2**
Milestone Construction Group, Inc.	60	**3**	87	**2**	69	**5**	**216**	**3**
Target Engineering Group, Inc.	60	**3**	87	**2**	67	**6**	**214**	**4**
The Corradino Group, Inc.	69	**1**	78	**6**	67	**6**	214	**4**

American Consulting Professionals, LLC	RC	HS	DS	TOTAL		Rank
Questions						
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss	2	3	1	6		
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	3	3	5	11		
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	3	4	2	9		
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	3	2	1	6		
Total	11	12	9	32	32	
Calvin Giordano & Associates	RC	HS	DS	TOTAL		
Questions						
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss	1	3	1	5		
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	1	4	2	7		

3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	2	3	2	7		
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	2	3	2	7		
Total	6	13	7	26	26	
CAPTEC, Engineering, Inc.	RC	HS	DS	TOTAL		
Questions						
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss	3	5	5	13		
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	4	4	3	11		
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	4	3	3	10		

4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	4	4	4	12		
Total	15	16	15	46	46	
Culpepper & Terpening, Inc.	RC	HS	DS	TOTAL		
Questions						
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	5	5	5	15		
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	4	5	3	12		
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	5	4	4	13		

the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the	4	5	4	13		
Total	18	19	16	53	53	
DRMP, Inc.	**RC**	**HS**	**DS**	TOTAL		
Questions						
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss	3	3	3	9		
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	3	4	4	11		
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	4	3	2	9		

4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	3	3	2	8		
Total	13	13	11	37	37	
Milestone Construction Group, Inc.	RC	HS	DS	TOTAL		
Questions						
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss	4	4	4	12		
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	4	3	4	11		
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	4	4	4	12		

4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	3	5	5	13		
Total	15	16	17	48	48	
Target Engineering, Group, Inc.	RC	HS	DS	TOTAL		
Questions						
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	2	3	4	9		
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	3	4	4	11		
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	4	4	4	12		

4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	5	4	4	13		
Total	14	15	16	45	45	

The Committee has scored and ranked the firms as follows:

	RC		HS		DS	
	Total	Rank	Total	Rank	Total	Rank
American Consulting Professionals, LLC	11	6	12	7	9	6
Calvin Giordano & Associates	6	7	13	5	7	7
CAPTEC, Engineering, Inc.	15	2	16	2	15	4
Culpepper & Terpening, Inc.	18	1	19	1	16	2
DRMP, Inc.	13	5	13	5	11	5
Milestone	15	2	16	2	17	1
Target Engineering, Group, Inc.	14	4	15	4	16	2

	Total	
Culpepper & Terpening, Inc.	53	1
Milestone	48	2
CAPTEC, Engineering, Inc.	46	3
Target Engineering, Group, Inc.	45	4
DRMP, Inc.	37	5
American Consulting Professionals, LLC	32	6
Calvin Giordano & Associates	26	7

"A City for All Ages"

CITY OF PORT ST. LUCIE

Continuing Professional Services for Construction Engineering Inspection (CEI)

Sealed Electronic Proposal #2015-0126 (E-RFP)

File #1
QUESTIONNAIRE AND REQUIRED SUPPORT DOCUMENTS

Prepared For:
Procurement Management Department
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984-5099

Prepared By:

CULPEPPER & TERPENING, INC
CONSULTING ENGINEERS | LAND SURVEYORS
2980 SOUTH 25TH STREET FT. PIERCE, FL 34981
(772) 464-3537 • FAX (772) 464-3497 • ctengcom

November 17, 2015
2:00 PM
CT File No. 15-124

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THIS DOCUMENT HAS BEEN
FORMATTED FOR SINGLE SIDED COPYING

CULPEPPER & TERPENING, INC
CONSULTING ENGINEERS | LAND SURVEYORS

VIA: E Bid

CT Project No.: 15-124
Sender's Email: M Dimitriou@ct-eng.com

November 17, 2015

Ms. Karen Chambers-Cuffy
Procurement Management Department
City of Port St. Lucie
121 SW Port St. Lucie Blvd.
Port St. Lucie, Florida 34984

RE: Continuing Professional Construction Engineering Inspection (CEI) Services
City of Port St. Lucie Contract # 2015-0126

Dear Ms. Cuffy:

On behalf of ***Culpepper & Terpening, Inc.,*** *I am* pleased to present to you our sealed electronic submittal of the completed Questionnaire for Continuing Professional Construction Engineering Inspection (CEI) Services. For over 20 years, Culpepper & Terpening, Inc. (C&T), has had the privilege of providing (CEI) services to the City of Port St. Lucie on a countless number of projects, large and small, and we look forward to the opportunity to continue to provide those services.

At C&T, we take pride in our expertise and attention to detail in providing our clients with a thorough examination of all project plans and specifications prior to and during construction in order to identify any quality control or project savings issues. We locally headquartered here in St. Lucie County with all of our employees living in the City of Port St. Lucie, St. Lucie County or northern Martin County area. All of our employees are full time employees, not contract or 1099 hires. As a full service firm, we provide in-house survey and project management expertise with cross-trained personal available for all CEI projects.

However, in anticipation that the City will have many diverse projects and CEI needs to be addressed under this continuing services contract, we have put together a project team that we believe provides the city with a wide range of individual area experts, all managed through a local face. Joining us in this submittal are the following firms:

• Keith and Schnars	CEI Support / Bridge Inspection/ Structures
• Johnson, Mirmiran & Thompson, Inc.	CEI Support / Bridge Inspection/ Structures
• Masuen Consulting LLC.	Irrigation Systems
• Kamm Consulting	MEP Systems
• Andersen Andre Consulting Engineers, Inc.	Soils and Geotech Analysis/Testing
• Ardaman and Associates	Soils and Geotech Analysis/Testing/Small Structure
• Landscape Design Associates	Landscape Design Support
• EW Consultants	Environmental
• Hobe Sound Environmental Consultants	Environmental

As presented in our submittal, the ***Culpepper & Terpening, Inc., team*** is well qualified to provide continuing CEI services to the City for the following reasons:

- Team selection;
- Team experience, including specially disciplines, and certifications for all types of work;

Date: November 17, 2015
Subject: Continuing Professional Construction Engineering Inspection (CEI) Services
City of Port St. Lucie Contract # 2015-0126

- Our Understanding of the City procedures and processes;
- Understanding of the City budget constraints and desired work scopes;
- Proven Track Record of successful oversight on numerous critical City projects; and
- The Most Local Knowledge and Full Service Capabilities.

On behalf of our experienced project team, we look forward to the opportunity to partner with the City through this Continuing Services Contract. As always, should you have any questions, please feel free to contact me at (772) 464-3537.

Sincerely,
CULPEPPER & TERPENING, INC.

Marcelo Dimitriou, P.E.
CEI Director

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4. List similar Road & Bridge CEI projects related to Water and Waste Water Pipeline awarded by other government agencies, to your firm in the past five (5) years 1-14
5. List similar Road & Bridge CEI projects, awarded by other government agencies, to your firm in past five (5) years 1-14
6. Describe your methodology on how you would rate a contractor's performance in the field: 1-15
7. How would you handle field changes for CEI projects: 1-16
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File #2

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Note : Pages 17, 21, 23, 25, & 27 – omited

PART A
QUESTIONNAIRE

E-RFP #2015-0126
Continuing Professional CEI Services

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Engineer, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to verify the information on this questionnaire.

Dated this 17th day of November, 2015

Culpepper & Terpening, Inc.

Name of Organization / Proposer

****

[Go to Next Page]

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1.	Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?

The primary office for this project will be:

Culpepper & Terpening, Inc
2980 S. 25th Street
Ft. Pierce, FL 34981

Phone: (772) 464-3537
Fax: (772) 464-9497

Email: bterpening@ct-eng.com

Contact staff for this project will be (in order of priority) found in the table below.

Staff Name	Title	Contact Number	e-mail
Primary Contacts:			
James P. Terpening, PE	President	772-464-3537 (office)	Bterpening@ct-eng.com
Marcelo Dimitriou, PE	CEI Division Director	772-464-3537 (office)	Mdimetriou@ct-eng.com

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[Go to Next Page]

2.		Will your firm accept payment by Credit Card (yes or no)?

Yes

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3.		List the qualifications and experience of the team assigned to this contract, and their applicable experience specifically Road & Bridge CEI projects, on the attached spreadsheet (Attachment A). Please include other titles that you feel may be necessary. If a title does not apply to your team please skip

See Attachment 'A'

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Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Culpepper and Terpening, Inc.							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
James P. Terpening, PE	Culpepper and Terpening, Inc.	Principal	39	Professional Engineer: State of Florida Registration No.: 24276	• LAP Training, EEO, DBE & Payroll; • Building Information Modeling (BIM)	See attached SF 330 resumes	Project Principal	See attached SF 330 resumes
Marcelo Dimitriou, PE	Culpepper and Terpening, Inc.	Director of CEI Division	28	Professional Engineer: State of Florida Registration No.: 59005 State of Massachusetts Registration No.: 38742	• Advanced Maintenance of Traffic Cert. • CTQP QC Manager • CTQP Asphalt Paving Inspection Lvl's 1 & 2 • Erosion Control and Stormwater Mgt. • Parsons Project Management Training • Site Manager Training • Claims & Changes (Bechtel) • Caissons (Bechtel) • Soil Stabilization (Bechtel) • Support of Excavation (Bechtel) • Jessica Lunsford – Level 2 Certified	See attached SF 330 resumes	CEI Project Manager/ CEI Sr. Proj. Engineer/ Project Administrator	See attached SF 330 resumes
Fred Jones	Culpepper and Terpening, Inc.	Sr. Inspector	34		• CTQP Asphalt Paving Level 1 & 2 • CTQP Earthwork Construction Inspection Level 1 & 2 • ACI Concrete Field Testing Technician Lvl 1 • FDOT Concrete Field Inspector • CTQP Final Estimates Level 1 • CTQP Drilled Shaft Installation • CTQP Pile Driving • Troxler Nuclear Density Certification • Hazmat • NPDES Stormwater • Intermediate MOT • Critical Construction Issues • MSE Wall Inspection • FDOT Structures Inspection Level 1 & 2 • FDOT Plan Reading • OSHA 30 Hour Safety Training • Jessica Lunsford – Level 2 Certified	See attached SF 330 resumes	Sr. Inspector	See attached SF 330 resumes
Frans Christensen	Culpepper and Terpening, Inc.	Sr. Inspector	34		• CTQP Asphalt Paving Level 1 & 2 • CTQP Earthwork Construction Inspection Level 1 & 2 • ACI Concrete Field Testing Technician Lvl 1 • ACI Concrete Transportation Inspector Level 2 • FDOT Concrete Field Inspector • CTQP Final Estimates Level 1 • IMSA Traffic Signal Inspector Level I • Troxler Nuclear Density Certification	See attached SF 330 resumes	Sr. Inspector/ Construction Mgr.	See attached SF 330 resumes

Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Culpepper and Terpening, Inc.							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
					• Hazmat • NPDES Stormwater • Intermediate MOT • Critical Construction Issues • MSE Wall Inspection • FDOT Structures Inspection Level 1 & 2 • FDOT Plan Reading • OSHA 30 Hour Safety Training • Jessica Lunsford – Level 2 Certified			
Jamie Campbell	Culpepper and Terpening, Inc.	Inspector	15		• CTQP Asphalt Paving Level 1 & 2 • CTQP Earthwork Construction Inspection Level 1 & 2 • ACI Concrete Field Testing Technician Lvl 1 • FDOT Concrete Field Inspector • CTQP Final Estimates Level 1 • Troxler Nuclear Density Certification • Hazmat • NPDES Stormwater • Intermediate MOT • Critical Construction Issues • MSE Wall Inspection • FDOT Structures Inspection Level 1 & 2 • FDOT Plan Reading • OSHA 30 Hour Safety Training • Jessica Lunsford – Level 2 Certified	See attached SF 330 resumes	Inspector	See attached SF 330 resumes
Chris Frederick	Culpepper and Terpening, Inc.	Inspector	22		• CTQP Asphalt Paving Level 1 & 2 • CTQP Earthwork Construction Inspection Level 1 & 2 • ACI Concrete Field Testing Technician Lvl 1 • FDOT Concrete Field Inspector • CTQP Final Estimates Level 1 • Troxler Nuclear Density Certification • Hazmat • NPDES Stormwater • Intermediate MOT • Critical Construction Issues • MSE Wall Inspection • FDOT Structures Inspection Level 1 & 2 • FDOT Plan Reading • OSHA 30 Hour Safety Training • Jessica Lunsford – Level 2 Certified	See attached SF 330 resumes	Inspector	See attached SF 330 resumes
Tom Terpening	Culpepper and Terpening, Inc.	Inspector	34		• Intermediate MOT • Nuclear Safety Gauge	See attached SF 330 resumes	Inspector/ Construction Mgr	See attached SF 330 resumes

Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Culpepper and Terpening, Inc.							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
					• Jessica Lunsford – Level 2 Certified			
Terri Gorman	Culpepper and Terpening, Inc.	CEI Compliance Specialist	11	n/a	• EEO, DBE & Payroll Compliance on LAP and other FDOT project	See attached SF 330 resumes	Resident Compliance Specialist	See attached SF 330 resumes
Thomas P. Kiernan, PSM	Culpepper and Terpening, Inc.	Senior Land Surveyor	28	Professional Surveyor and Mapper; State of Florida Reg. No.: 6199	• FDOT Certified Maintenance of Traffic • FDOT GPS Network Analysis FDOT Certified in Survey Groups 8.1; 8.2 and 8.4.		Survey	See attached SF 330 resumes
Robert N. Johnson, PSM	Culpepper and Terpening, Inc.	Land Surveyor	16	Professional Surveyor and Mapper; State of Florida Reg. No.: 6540	• FDOT Certified Maintenance of Traffic • Qualified Stormwater Mgt Inspector • Utility Designate Training 2014 • FSMS Retracement Camp 2014 • SFWMD DBHYDRO seminar 2014	See attached SF 330 resumes	Survey	See attached SF 330 resumes
Stefan K. Matthes, PE	Culpepper and Terpening, Inc.	Project Engineer (Sr. Vice President)	34	Professional Engineer: State of Florida Registration No.: 38723	• LAP Training, EEO, DBE & Payroll; • FDOT Adv.Work Zone Traffic Control Certified; • DPFA certified (Design Pedestrian Facilities for Accessibility) 2015 • FDOT ADA Roadway Design Course, 2103 (Roundabout Design)	See attached SF 330 resumes	On Call Engineering support for CEI related projects	See attached SF 330 resumes
Patrick Ferland, PE	Culpepper and Terpening, Inc.	Project Engineer	38	Professional Engineer: State of Florida Registration No.: 33125	• NPDES Stormwater Inspector; • OSHA Safety Inspector Certification; • FDOT Project Management • Jessica Lunsford – Level 2 Screening Certified;	See attached SF 330 resumes	On Call Engineering support for CEI related projects	See attached SF 330 resumes

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Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Keith and Schnars, PA							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
Charlie Jackson, PE	Keith and Schnars	Sr. Project Engineer.	34	Professional Engineer: State of Florida Registration No.: 38673			On Call Engineering support for CEI related projects	
Jorge Blanco	Keith and Schnars	Project Engineer/ Sr. Bridge Inspector	36	FDOT CTQP Qualifications TIN # 845243257	• FDOT CTQP Qualifications: Asphalt Paving Technician - Level 1 & 2, • Earthwork Construction Inspection - Level 1 & 2, • Drilled Shaft Inspection, • Pile Driving Inspection, • Final Estimates - Level 1 & 2, • QC Manager, • Concrete Field Technician - Level 1 & 2 • Professional Certifications: Work Zone Traffic Control (Advanced), • CPM Scheduling for Project Engineers, • Concrete, Bridge and Foundation Inspection, Contract Administration/Claims Analysis, • Earthwork, • Density Record System, • Traffic Signals & Highway Lighting Consultant Series, • Signing and Pavement Marking Consultant Series, • Asphalt Inspection Consultant Series, • American Concrete Institute - Level I, • Earthwork Inspection Consultant Series, • Advanced Multi-Line Earthwork, • Utilities Inspection Consultant Series Engineering Menu	See attached SF 330 resumes	Inspector	See attached SF 330 resumes
Rene Azcarreta	Keith and Schnars	Inspector	2	N/A	• Earthwork Construction Inspection – Level 1 • Nuclear Certification, • HAZMAT Certification, • Florida Stormwater Erosion and Sedimentation Control Inspector, • Residence Compliance Specialist/Equal Opportunity Compliance, • MOT Intermediate Certification	See attached SF 330 resumes	Inspector	See attached SF 330 resumes
Rick Sackman	Keith and Schnars	Inspector	45	FDOT CTQP Qualifications	• Final Est. Level I, • Final Est. Level II,	See attached SF 330 resumes	Inspector	See attached SF 330 resumes

Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Keith and Schnars, PA							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
				TIN #S25525246	• QC Manager, • Earthwork Inspection Level I & II,			
MaryAnn Hataway	Keith and Schnars	CEI Compliance Specialist	20	N/A	• Multi-Line Earthwork MEW-Basic Various EEO/Resident Compliance Office Requirements	See attached SF 330 resumes	Resident Compliance Specialist	See attached SF 330 resumes

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Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	KAMM Consulting							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
Bradley L. Brown, PE	Kamm Consulting	Managing Principal	21	Professional Engineer Licensed in Florida)	• United States Green Building Council - LEED® Accredited Professional	See attached SF 330 resumes	MEP Support	See attached SF 330 resumes
Duane Millar	Kamm Consulting	Project Manager	12		• United States Green Building Council - LEED® Accredited Professional	See attached SF 330 resumes	MEP Support	See attached SF 330 resumes
John Mayer, LLED, AP, BD+C	Kamm Consulting	Project Manager	10		• United States Green Building Council - USGBC • The American Society of Heating, Refrigerating and Air-Conditioning Engineers - ASHRAE • Illuminating Engineering Society of North America - IES	See attached SF 330 resumes	MEP Support	See attached SF 330 resumes
Christopher Creswell	Kamm Consulting	Plumbing Designer	6		•	See attached SF 330 resumes	MEP Support	See attached SF 330 resumes
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Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Johnson, Mirmiran & Thompson, Inc.							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
David Kaplan	Johnson, Mirmiran & Thompson, Inc.	Project Administrator	30	FDOT CTQP Qualifications TIN #K14560514710	• Asphalt Paving Level 1 & 2, • Final Estimates Level 1 & 2, • QC Manager, • Earthwork Construction Insp. L1&2, • FDOT Advanced MOT, • Concrete Field Technician - L1, • Pile Driving Inspection, • Troxler Nuclear Density Technician & Radiation Safety Officer, • ACI • Technician Grade 1, • Limerock Base Inspector, • Site Manager, • Concrete Spec. Section 346, • NPDES Inspector, • HAZMAT, • Certificate Stockton • Center for Environmental Research, • Critical Structures Issues	**SR-5/US-1 Resurfacing, PBC/Martin County:** See attached SF 330 resumes	Project Administrator	Florida Department of Transportation, District 4, Treasure Coast Operations, Kris Kehres, P.E., TCO PM kristopher.kehres@dot.state.fl.us
Agradina "Aggie" Ortega	Johnson, Mirmiran & Thompson, Inc.	Administrative Support/CSS	28	FDOT CTQP Qualifications TIN #063200063	• Final Estimates Levels I & 2, • Asphalt Paving Technician - Levels 1 & 2, • Earthwork Construction Inspection – Level 1, • Drilled Shaft Inspection, • Pile Driving Inspection, • American Concrete Institute (ACI) Concrete Transportation Construction Inspector (CTCI), • Advanced MOT, • Multi-Line and Engineering Menu, • Site Manager, • Laboratory Information Mgt Systems (LIMS), • Bilingual in Spanish and English	**SR 70, St. Lucie Co., FL & I-95 Lighting Project, St. Lucie Co, FL:** See attached SF 330 resumes	Contract Support Specialist	Florida Department of Transportation, District 4, Treasure Coast Operations, K.C. Jose, P.E. – I-95 TCO PM kandarappallil.jose@dot.state.fl.us ChloAnn Lawrence, SR 70 TCO PM chloann.lawrence@dot.state.fl.us
Joseph Knowlton	Johnson, Mirmiran & Thompson, Inc.	Senior Inspector	9	FDOT CTQP Qualifications TIN #K543499870940	• Asphalt Paving Levels 1&2, • Concrete Field Technician - Level 1, • Earthwork Construction Inspection Levels 1&2, • Final Estimates Levels 1 & 2, • Drilled Shaft Inspection, • Pile Driving Inspection, • Intermediate MOT, • Nuclear Gauge Safety, • ACI Concrete Level, • HAZMAT,	**I-95 from SR 60/Osceola Blvd. to Brevard County Line, Indian River County (Design Build):** See attached SF 330 resumes	Sr. Inspector	Florida Department of Transportation, District 4, Treasure Coast Operations, Dale Walker, TCO PM Dale.Walker@dot.state.fl.us

Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Johnson, Mirmiran & Thompson, Inc.							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
					• Erosion Control			
Karen Campana	Johnson, Mirmiran & Thompson, Inc.	CEI Compliance Specialist/ Administrative Support	9	N/A	• Payroll Training, • OJT Training • July EEO Report Training	SR 70 Reconstruction, Segments 3, 4, & 5, St. Lucie County:	Resident Compliance Specialist	Florida Department of Transportation, District 4, Treasure Coast Operations, ChloAnn Lawrence, TCO PM chloann.lawrence@dot.state.fl.us
Theresa Stern	Johnson, Mirmiran & Thompson, Inc.	CEI Compliance Specialist/ Administrative Support	17	N/A	• Bizweb w/EEO Training, • EEO/DBE/OCIP Training w/Community Asphalt (2008), • EEO/DBE Training w/Dickerson Florida, • Familiar with OJT reporting and requirements, • Familiar with FDOT specifications, • Supervisory Reasonable Suspicion Drug Testing Certificate, • Annual Supervisory • Drug Testing (completed annually every October),	I-95 from SR 60/Osceola Blvd. to Brevard County Line, Indian River County (Design Build):	Resident Compliance Specialist	Florida Department of Transportation, District 4, Treasure Coast Operations, Dale Walker, TCO PM Dale.Walker@dot.state.fl.us

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Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Masuen Consulting LLC.							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
Mitchel Walker	Masuen Consulting LLC.	Project Administrator	30		• CID-R, • CID-C, • CID-G, • CLIA, • CGIA, • CIC	See attached SF 330 resumes	Irrigation design – Irrigation CEI	See attached SF 330 resumes

Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Masuen Consulting LLC.							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
Todd Mohler	Masuen Consulting LLC.	Project Manager	21	Registered Landscape Architect (LA0001594)	• Member of American Society of Landscape Architects Certified Arborist • Member of United States Green Building • Member of American Rainwater Catchment Systems Association	See attached SF 330 resumes	Irrigation design – Irrigation CEI	See attached SF 330 resumes

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Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Andersen Andre Consulting Engineers, Inc. (AACE)							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
David P. Andre, P.E.	Andersen Andre Consulting Engineers, Inc. (AACE)	Senior Geotechnical Project Mgr.	22	Professional Engineer Licensed in Florida (53969)		Village Parkway, Phases I & II – Construction of a 4-mile, 4-lane divided roadway in PSL	Geotechnical Engineering Consultant and Construction Materials Testing Project Manager	City of Port St. Lucie Mr. James Angstadt, P.E. Jangstadt@cityofpsl.com
Peter G. Andersen, P.E.	Andersen Andre Consulting Engineers, Inc. (AACE)	Senior Geotechnical Project Engineer	19	Professional Engineer Licensed in Florida (57956)		Becker Road, Phases I & II – Construction of a 1.8-mile, 4-lane divided roadway in PSL	Geotechnical Engineering Consultant and Construction Materials Testing Project Manager	City of Port St. Lucie Mr. James Angstadt, P.E. Jangstadt@cityofpsl.com
Brian Smith	Andersen Andre Consulting Engineers, Inc. (AACE)	Senior Geotechnical Field/Lab Technician	21		• FDOT/CTQP LBR Tech. • FDOT/CTQP Aggregate Base Testing Tech. • FDOT/CTQP Qualified Sampler • FDOT/CTQP ECI Earthwork Level 1 & 2 Tech. • FDOT/CTQP Aggregate Field Testing Tech. • FDOT/CTQP Asphalt Paving Level 1 Tech. • FDOT/CTQP Concrete Lab Tech. Level 1 • FDOT/CTQP Concrete Field Inspector Level 1 • CMEC Laboratory Soil Tech. • ACI Level1 Tech. • ACI Aggregate Base Testing Tech. • Nuclear Gauge Soil Density Certified	Railroad Avenue Reconstruction – Construction of a 0.3-mile industrial roadway in Stuart, FL	Senior Field Technician and Supplemental CEI Inspector for Martin County Engineering Dept.	Martin County Engineering Dept. Mr. Paul Bangs, P.E. pbangs@martin.fl.us

Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Ardaman and Associates							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
Dan Zrallack, PE	Ardaman and Associates. – Port St. Lucie	Senior Engineer	18	Professional Engineer Licensed in Florida (63911)	MOT Certified	Crosstowwn Parkway Manth Lane to US-1, E-8 Canal , D-9 Canal, California Turn Lanes	Geotechnical Engineering Consultant and Construction Materials Testing	City of PSL - Frank Knot, P.E., Heath Stocton, P.E. and Colt Schwerdt, P.E
Roberto Balbis, P.E.	Ardaman & Associates, Inc. – West Palm Beach	Principal Engineer	44	PE, Special Inspector (Threshold Buildings)		Crosstowwn Parkway Manth Lane to US-1, E-8 Canal , D-9 Canal, California Turn Lanes	Principal Engineer	City of PSL - Frank Knot, P.E., Heath Stocton, P.E. and Colt Schwerdt, P.E
Zan Bates. P.E.	Ardaman & Associates, Inc. – Orlando	Sr. Engineer	23	Professional Engineer Licensed in Florida (49917)		FDOT - D5 - Material Testing & Geotechnical Services	Geotechnical Engineer	FDOT-D5 / Jack Davis - jack.davis@dot.myflorida.com
Will Cornelius	Ardaman & Associates, Inc. – Port St. Lucie	Sr. Engineering Technician5`	5		• ACI Level I, CTQO Earthwork I, • ACI Concrete Lab Testing Technician, • Nuclear Density Gauge Certified	Midway Road Widening	Senior Engineeering Technician	St. Lucie County Engineering / Craig Hauschild, P.E. hauschildc@stlucieco.org
Tom Cahill	Ardaman and Associates. – Port St. Lucie	Senior Engineering Technician	9		• ACI Field Testing technician Level 1 • Nuclear Gauge Safety Training	Midway Road Widening	Geotechnical Engineering Consultant and Construction Materials Testing	St. Lucie County Engineering / Craig Hauschild, P.E. hauschildc@stlucieco.org

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Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Landscape Design Associates							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
Sabine Marcks, RLA	Landscape Design Associates	Principal	23	Registered Landscape Architect (LA0001733)		See attached SF 330 resumes	Landscape Architect	See attached SF 330 resumes
**Please add additional staff you deem necessary. If the Title does not apply to you, please skip.								

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Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	EW Consultants							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
Edward Weinberg	EW Consultants, Inc.	Professional Wetland Scientist/Project Manager	23		Professional Wetland Scientist	See attached SF 330 resumes	Environmental Permitting and Resource Protection	See attached SF 330 resumes
Paul Ezzo	EW Consultants, Inc.	Project Planner/ Project Manager	24			See attached SF 330 resumes	Environmental Permitting and Resource Protection	See attached SF 330 resumes
Arnaud Roux	EW Consultants, Inc.	Professional Wetland Scientist	26		Professional Wetland Scientist	See attached SF 330 resumes	Environmental Permitting and Resource Protection	See attached SF 330 resumes
Jason Hahner	EW Consultants, Inc.	Environmental Scientist	11		Authorized Gopher Tortoise Agent	See attached SF 330 resumes	Environmental Permitting and Resource Protection	See attached SF 330 resumes

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Attachment A- Personnel Qualifications & Experience

COMPANY NAME:	Hobe Sound Environmental Consultants							
Question # 3								
Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Name of Staff	Company Name							
Bob L. Weigt	Hobe Sound Environmental Consultants	Principal	39		Professional Wetland Scientist	See attached SF 330 resumes	Environmental Permitting and Resource Protection	See attached SF 330 resumes
Freda R. Posin	Hobe Sound Environmental Consultants	Professional Wetland Scientist	30		Professional Wetland Scientist	See attached SF 330 resumes	Environmental Permitting and Resource Protection	See attached SF 330 resumes

4. ***List similar Road & Bridge CEI projects related to Water and Waste Water Pipeline awarded by other government agencies, to your firm in the past five (5) years. (Do not include the City of Port St. Lucie projects)***

Project	Date of Award	Responsibility	Is Work Completed	Firm's Role in the Project	Amount of Contract*
West Midway Road	Spring 2014	CEI and project support	no	CEI and project support	1,750,000
SR 76 And I-95	Spring 2015	CEI and project support	no	CEI and project support	300,000
City of Stuart – sewer system replacement/expansion (multi-phase)	Fall 2013	CEI and project support	no	CEI and project support	300,000
City of Stuart – water system replacement	Fall 2014	CEI and project support	no	CEI and project support	150,000
SR A-1-A (Ft. Pierce) (Multiple Segments)	2010	CEI and project support	yes	CEI and project support	450,000
North 13th Street (Ft. Pierce)	2010	CEI and project support	yes	CEI and project support	115,000
Green River Parkway	2010	CEI and project support	yes	CEI and project support	265,000
	*	CEI portion only (all numbers rounded)			
				Total Amount	3,330,000

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5. **List similar Road & Bridge CEI projects, awarded by other government agencies, to your firm in past five (5) years. (Do not include the City of Port St. Lucie projects)**

Project	Date of Award	Responsibility	Is Work Completed	Firm's Role in the Project	Amount of Contract*
West Midway Road	Spring 2014	CEI and project support	no	CEI and project support	1,750,000
SR 76 And I-95	Spring 2015	CEI and project support	no	CEI and project support	300,000
NE 80th Ave & 48th Street (Okeechobee County)	2012	CEI and project support	yes	CEI and project support	72,000
Weatherbee Elem / Traffic Signals and Sidewalks	2012	CEI and project support	yes	CEI and project support	150,000
SR A-1-A (Ft. Pierce) (Multiple Segments)	2010	CEI and project support	yes	CEI and project support	450,000
US #1/ SR 76 Intersection	2012	CEI and project support	yes	CEI and project support	35,000
North 13th Street (Ft. Pierce)	2010	CEI and project support	yes	CEI and project support	115,000
Green River Parkway	2010	CEI and project support	yes	CEI and project support	265,000
SR 70 Turn Lanes (Okeechobee City)	2013	CEI and project support	yes	CEI and project support	20,000
	*	CEI portion only (all numbers rounded)			
				Total Amount	3,157,000

6.	Describe your methodology on how you would rate a contractor's performance in the field? (Limit 1 page).

Our methodology for the evaluation of a contractor's performance differs somewhat from the standard FDOT Contractor's Past Performance Rating (CPPR), because with our experience in working with the City, we understand what is important to the City, as opposed to what is important to FDOT.

Typically, when rating a contractor, a FDOT consultant will make use of the Contractor Past Performance Rating (CPPR) system outlined in the FDOT Construction Project Administration Manual (CPAM). The CCPR rating system includes nine difference categories:

1. Pursuit of the Work;
2. Proper MOT and Minimizing Impacts to Travelling Public;
3. Timely and Complete Submittal of Documents;
4. Timely Completion of Project;
5. Coordination/Cooperation with CEI Personnel, Property Owners and Utilities;
6. Mitigate Cost and Time Overruns;
7. Environmental Compliance;
8. Conformance with Contract Documents; and
9. DBE Utilization.

In the FDOT rating system, deficiency letters are the main factor that will reduce a contractor's overall grade. However, deficiency letters usually are only issued after several warning letters have been issued to the contractor, and usually then only after an issue has been outstanding for a significant amount of time. This may be acceptable for long duration FDOT projects, but, we believe, that a faster approach is needed on shorter duration projects that the City typically administers, such as a typical 150 day sidewalk improvement project. Our rating program will provide the City with a much quicker evaluation of the contractor's performance than the standard FDOT CPPR system.

Because City work sites will often have a more direct impact on area residents and businesses, and because plans and background information for these projects are often less comprehensive than FDOT plans, we have added an additional category to our assessment process that to relates how a contractor proactively looks ahead and avoids issues, as well as how they propose solutions, to address field problems that are encountered during construction. This is important, because unlike FDOT projects that often include the design Engineer of Record (EOR) under post construction services contracts to solve issues that may come up, the City typically does not have EOR post construction services available. For this reason, we include "Proactive Approach & Problem Solving" as a key component in the contractor evaluation process.

Another area of assessment that is important on City Projects is how the Contractor accepts changes in work performance through a less formal environment/process than on a FDOT project. For example, when addressing field adjustments, the City does not typically issue formal plan revisions for field change items. Due to constrained budgets and no EOR support available for post construction services, the CEI/City will typically request changes by the Contractor via email or sketches instead of formal plan revisions. While this can save overall project cost and can serve to keep the project schedule moving forward, avoiding overall delays, it does require that a Contractor exhibit a level of expertise and creative flexibility in dealing with these situation in order to receive a higher rating for the "Implementation of Field Changes" category. A contractor that demonstrates a degree of creative application in addressing issues that arise, will likely be looked at more favorably as opposed to a contractor who requires waiting on a full plan revision by others to be completed before they proceed with any project work.

"Work Site Appearance" is another category that we added to the CCPR categories used to assess City work. Having years of experience in dealing with City improvement projects, Culpepper and Terpening fully understands that the City Council, City Staff and the communities residents are extremely concerned about the physical appearance of any given project site. We believe that this is an important concern that is often overlooked, with completely avoidable consequences usually resulting. A clean construction site is usually an indicator as to the quality of the work being performed.

The maximum number of points that a contractor may receive under our modified scoring system is 100.

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7. How would you handle field changes for CEI projects? (Limit 1 page.)

When addressing Field Changes, it is important to make a differentiation between what is a Field Change and what is a Change Order. Field Changes are those minor adjustments to a project design or construction method that is required to address a particular condition discovered through the actual process of construction. As talented and experienced as most project designers are, no one can fully anticipate all localized site conditions and circumstances until construction actually starts. At some point in most all construction projects, a little omission in the plans or a specific site condition will require a minor degree of Field Change from the approved plan sets.

When previously unknown, missed or changed conditions emerge, during the process of construction, the CEI, as the agent for the owner, is usually the first responsible party to be apprised of the situation. Culpepper and Terpening's (C&T) approach to these situations is to have our experienced field inspectors immediately assess the level of conflict with the approved plan set and advise both the CEI Manager and the City's Project Manager of any potential issues, and at that time provide an initial recommendation on how to address the issue will be given. If the conflicts are deemed minor, and after consulting with the City Project Manager, the contractor will be directed to make the necessary field adjustments to overcome the particular issue. In most cases, minor Field Changes or adjustments will have little or no impact on the overall project schedule or budget.

An example of a minor Field Change condition that C&T has experienced several times is maintaining adequate ADA compliance with local sidewalk construction. On more than one occasion over the last several years, we have found that it is sometimes necessary to make minor variations to the approved plan sets in order to ensure proper slope conditions along the walkway and adjacent drainage slopes. Our experienced field staff brings not only construction inspection experience to our projects, but also extensive survey and design expertise that allow for these conditions to be addressed, often proactively, so that both project schedule and budget maintained.

For those situations where a requested Field Change is deemed to be something other than a minor action, work in the particular area to be impacted will be suspended, fully assessed and a cost proposal will be obtained from the contractor prior to any authorization to proceed with the work. If the noted conflict cannot be addressed through a minor field adjustment, and depending on how the CEI Services Contract is structured, the City's Project Manager will be requested to consult with the design engineer for the project to review the proposed method for correcting the noted conflict. The CEI will be available to consult with the City Project Manager and if necessary, the Design Engineer in developing other alternatives to addressing this situation.

Depending on the severity of the previously unknown, missed or changed condition, construction activities may need to be suspended until the matter is resolved. In those instances the contractor will be directed to work on other parts of the particular project not impacted by the previously unknown, missed or changed condition, if the work can continue around the particular identified condition without disruption to the overall project. We will assure that the contractor mitigates any delays to the greatest extent possible.

Our primary objective, as the owners Agent, is to be proactive and keep the project moving forward. If items are discovered during this review, we have resources available to respond and address the issue rapidly. We can review any proposed adjustment with the City and issue a plan modification quickly to keep the project moving forward without delay. We have previously proven this ability on numerous projects for the City, including a recent Citywide sidewalk improvement project where we provided field assessments; prepared plan sets and moved forward with the successful/timely construction of a portion of the project where no design plans were available. This was all done as an added value to the City as part of our CEI work.

Should Field Changes be required, all changes will be documented by the CEI and reported to the City Project Manager. During construction, our inspector(s) will sketch, or otherwise document, pertinent information associated with the Field Change. The inspector will typically use a tablet and take photographs for use as further documentation. This information is then passed to the CEI who provides it to our staff surveyors for reference in preparing the record drawings for the project.

At Culpepper and Terpening, we recommend using a 'rolling' record drawing approach during the construction process. This rolling approach allows for the early identification of any potential conflict with the approved construction plan and a easier documentation process of all areas of field change. In the unlikely event that major project redesigns are required as result of a discovered condition, this rolling record drawing approach allows for documentation for the City to use in any manner it sees appropriate.

8. Describe any significant or unique accomplishment in previous CEI Contracts, for e.g. - budget reductions, time reductions, and reduction in change orders. Include any additional data pertinent to firm's capabilities. (Limit 2 pages).

Culpepper & Terpening, Inc. (C&T), has the most extensive Construction Engineering and Inspection (CEI) experience of all local area firms in the City of Port St. Lucie and St. Lucie County. At C&T, we take pride in our expertise and attention to detail in providing our clients with a thorough examination of all project plans and specifications prior to and during construction in order to identify any quality control or project savings issues.

As a full service firm, we provide in-house survey and project management expertise with cross-trained personal available for all CEI projects. Our staff is fully trained and certified in LAP design and project reporting requirements. Through timely and effective use of our experienced inspectors and support staff, we have had all of our LAP projects completed on time, on budget and in compliance with all follow up state (FDOT/FHWA) inspection and audit standards.

C&T's proven and proactive approach to CEI services provides real dollar savings to the City and its residents. At C&T, upon award of a CEI services contract, we conduct detailed field inspections of the construction corridor/site with an eye towards assessing the existing site conditions to make certain that the design assumptions in the permitted plans will indeed work and are constructible. If we find items of concern, we will report them to the City's Project Manager and provide recommendations. With the approval of the City Project Manager, we will coordinate with the project's Design Engineer to make any necessary modifications prior to the commencement of construction.

For example, in 2012, Culpepper & Terpening, was awarded a CEI contract associated with the replacement of a failing primary water main along SW Bayshore Boulevard. When we submitted our proposal to the City, and before we were even shortlisted for this project, we conducted a thorough review of the project plans and construction details. In that review, we identified a series of potential adjustments that, if implemented, could save the City approximately $130,000 in overall project costs. While not all of the suggested actions were pursued by the City, several of them were and after this project was completed, the City confirmed program savings of approximately $119,000, based on the changes made per our recommendation.

In 2010, the City of Port St. Lucie awarded Culpepper and Terpening a CEI inspection contract for works associated with the construction of SW Village Parkway from SW Tradition Parkway to SW Becker Road. Utilizing our proactive, Value Engineering process, we identified/ developed, a series recommendations that were provided to, and accepted by, the City resulting in changes to the roadway drainage system that saved approximately $160,000 on overall construction costs.

In 2013, C&T was awarded the CEI contract associated with the construction of the new Canal Park, along the C-24 waterway. C&T worked closely with the City of Port St. Lucie to make certain field changes that were determined to be needed in order to improve the project. These changes, that would typically require survey and design involvement, were made in the field, taking advantage of the broad institutional expertise of the C&T team. The changes included addition of several hundred feet of sidewalk, corrections to sidewalks and ramps to achieve ADA compliance, drainage relocations, grading adjustments and adjustments to the boat ramps. C&T also worked with the City to reduce quantity overruns that could have resulted in significant additional costs to the project.

In 2014, C&T was awarded a contract for various sidewalks at locations throughout the City. During this project, additional work was added to the CEI services program to address a section of planned construction where no design plans had been provided. C&T's CEI staff worked directly with the City to collect survey information, select an alignment, prepare plans adequate for construction, and then directed the work in the field to complete this 'missing' segment. The willingness of C&T to perform this work at no cost to the City above the allocated CEI budget resulted in substantial cost and time savings to the City. Additional savings were realized through the effective utilization of existing unit prices with the ongoing construction contract. We estimate that the realized savings to the City were in the range of $50,000.

C&T has also saved the City significant costs by performing 'pro-bono' work on several City improvement projects, including the recently constructed Community Transit/Port St. Lucie Intermodal Transfer Facility. On this particular project alone, C&T provided survey, design and CEI services valued at over $100,000.

Culpepper & Terpening (C&T) has been providing CEI services to the City for over 25 years. We have partnered with the City on some of the most important project that have truly transformed the City to where it is today. These projects included the City's Citywide Water and Sewer Expansion; the Crosstown Parkway, and City Center. We do not view City work as being "fill-in" work like other more regionally focused firms may. We value the City as one of our longest and trusted, for that reason, we take pride in our commitment to do what it takes to deliver the best possible services and value to the City. To reach this objective, the following is a brief summary of how we achieve this:

- **Added Value**

Many CEI firms only provide straight observation of construction due to concerns about liability. In contrast, C&T has proven its ability and willingness to provide added value services to the City, at no additional cost, on numerous projects throughout the Community We conduct constant Value Engineering during the construction process. If we find items of potential concern, we report to the City Project Manager our concerns and recommendations. With the approval of the City Project Manager, we will coordinate with the Project's EOR to make any necessary plan modifications prior to construction so that the contractor will not do unnecessary work or work that will need to be modified to address specific local conditions.

- **Full Service Capabilities**

C&T has the ability to provide our clients with full service capabilities from a single location here in St. Lucie County. This is a distinct advantage for the City. We have experienced instances when whether due to plan errors, omissions or other factors, another discipline other than the CEI is needed to be involved in the construction phase of the project. C&T has the ability to provide these services in a timely and cost effective manner directly from or our headquarters here in St. Lucie County.

- **Local Knowledge**

Culpepper & Terpening has the most local knowledge. During our 25+ years providing service to the community, we have acquired vast

historic and archival knowledge of the community's infrastructure along with the needs of the local businesses and residents. Over the past years, C&T has had the opportunity to expand our already extensive library of previously completed surveys, project designs, and inspection data through the acquisition of several small companies in the area. We take pride in the fact that we have maintained long standing relationships with the local permitting agencies and area property owners that oftentimes facilitate our CEI work.

- **Understanding of City Needs**

C&T understands that the City faces constant pressures on its limited budget for capital and rehabilitative projects. We understand what is, and what is not, important to the City from a CEI standpoint. Providing CEI work for the City is different than performing CEI work for FDOT. For example, unless it is a LAP, or similar type of project, we will not waste project budget on filling out superfluous and unnecessary forms that may be required for a FDOT project, but not for a City funded project. We wisely use the available budget to assure the provision of a quality product; provide safety & proper MOT; minimized any complaints from the public; provide accurate quantity & cost tracking, and implementation of any necessary field changes in a manner to provide the best possible product. We understand that providing these critical items is often a far more important use of limited resources than filling out forms that are not needed. But, to be clear, thorough project records and documentation are a priority that we provide the City in order to avert any potential claims and protect the City's interests.

- **Understanding of Maintenance Requirements:**

C&T understands that once a particular project is completed, the City will be the entity responsible for its maintenance for many years to come. It is always our goal to provide the City with a completed project that provides for the longest possible service life, without anything other routine maintenance being required. Typical adjustments that we make in the field include assuring that side swale slopes and other slopes are easily maintainable. Wherever possible, we attempt to provide 4:1 slopes. If conditions do not allow this and slopes will need to be less than 3:1, we will review other options with the City. We also proactively seek to prevent erosion issues at pipe outfalls, end wall corners and other areas susceptible to such actions. Solutions for this condition typically include enhanced slope protection, rip rap and/or grading adjustments. Another important item that we look for is assuring that a roadway or other vehicle use area will not be compromised by water issues causing base or pavement failures. On previous projects (both for the City and others), we have suggested and implemented edge drains or black base in critical areas to prevent future ongoing maintenance issues.

- **Direct Material Purchase by the City:**

Recognizing that all publicly financed projects have fixed or limited budgets, in order to save the City unnecessary Sales Tax expenses with the acquisition of construction materials, we use the *Direct Material Purchase* approach. On past projects, this approach has saved the City thousands of dollars on pipe materials, light poles, and mast arms.

- **Accurate Field Measurements of Unit Price Items:**

We accurately measure and thoroughly document all unit price items with the Contractor to be sure that the City does not pay full plan quantity costs, if the material is not required for the project. Our thorough documentation of unit price items on the City's SW Village Parkway project produced savings on the final pay requests from several specialty subcontractors, resulting in significant budget savings. Our site inspectors field measure and keep accurate quantities in a field book and plan matrix that is reviewed prior to authorizing the individual pay requests.

- **Use of Performance Based Lump Sum Items:**

Where appropriate, we have previously suggested that the Cityr make full use of *Performance Based Lump Sum* items in developing a bid tab or change order item (when change orders are deemed to be validly warranted). For example, using a performance based lump sum item for NPDES compliance takes away opportunity for the Contractor to claim that specific pay items devices are not working, and request additional compensation for alternate measures. Detailed tracking of all potential change orders, or claim work, allows us to keep an accurate basis for negotiating costs if these items become issues. By keeping detailed records of what it actually took to do the work, we have found it to be an effective tool when having to negotiate down an overzealous change order or claim request.

- **Thorough review of all Plans, Contracts, and Associated Documents:**

At C&T we thoroughly review all Plans, Contracts, and associated documents in order to ensure that extra work is in fact *'extra'* prior to recommending authorization for any particular change order request. Oftentimes, required project items are tied into referenced documents such as the Design Standards or MUTCD, negating any entitlement for a change order request. We will closely review all associated documents prior to agreeing to change orders.

- **Spot checking:**

In order to ensure the contractor is keeping to plan details and construction specifications, critical elevations and conflicts are 'spot checked' ahead of time with our CEI team to avoid design issues requiring unnecessary rework and schedule delays. On a recent project, these spot checks identified unforeseen drainage issues in a particular area prior to the commencement of site work in that area. This 'early alert' allowed time for the City to make modifications in the field prior to construction activities in the impacted area.

- **Schedule review:**

We maintain a diligent review of the contractors schedule, and provide continuing comments on the City to assure that any requested added time for extra work or other issues is indeed warranted. We understand that time is money to both our clients and the contractor and we closely monitor the project to keep it on schedule.

- **Public Interface /Complaint Resolution:**

C&T has the ability to effectively track, respond and close-out any complaints from residents and businesses quickly, and usually at the staff level. We have proven our success at public interface on the most sensitive of projects, including the recent PSLUSD water main replacement project that included the installation of 40-miles of replacement water mains, including open cut installations and driveway crossings on literally hundreds of homes, with only a minimum number of complaints.

- **Invested in PSL**

Culpepper & Terpening is the largest, truly local, firm in the area. We are deeply invested in the community. We have a local headquarters here in St. Lucie County with the vast majority of our employees living in the City of Port St. Lucie, St. Lucie County or northern Martin County. *All of our employees are full time employees, not contract or 1099 hires.*

9.	Describe your methodology in determining a request from a contractor as to what is a change order or an amendment. Include what justifications are required from the contractor for approval of these requests. Also include time frame (#of calendar days) from the request of the contractor to submittal to the City. (Limit ½ page)

Upon receipt of a written Change Order request from the contractor, we will first thoroughly review the Contract documents to determine whether or not there actually is entitlement (justification) for the proposed Change Order. Oftentimes, we find that even if the item at issue is not covered in the plans or specifications, it may be covered in a document referenced by the Contract such as an agency permit or other approval document, and may not rise to the level of Change Order action. For this reason, we carefully review all associated Contract documents before recommending what actions to take in reference to the proposed Change Order. Once a justification for change is agreed-to, the next required step is to agree to the cost of the particular Change Order. If it is a Unit Price contract, existing unit prices will be used to establish costs. At times, the Change Order item is not covered by an existing unit price pay item. In those cases we will request a cost proposal from the contractor, with a breakdown, and review against industry average unit costs, other recent contracts, blue book rates, or other appropriate cost information. Upon receipt of that information, we will then negotiate a cost that is deemed acceptable to the City. Once this is accomplished, we will prepare the Change Order documents and necessary backup for delivery to the City with appropriate explanations and descriptions of any added time, if required. Typically, we have found that the entire process for Change Order review may be accomplished within a 7 to 14 day period, depending on the complexity of the issue and negotiations needed. However, getting the item onto the Council agenda could take several weeks. We also understand that if work outside of the scope of the original Contract is added, an amendment to that contract may need to be prepared in lieu of a project Change Order. At C&T, we have a proven understanding of the City's requirements and will successfully produce the required documents while protecting the City's funds.

10.	Describe your understanding of a Lump Sum and a Unit Price Contracts. (Limit ½ page)

Lump Sum projects typically have one pay item to complete the entire scope of work. A Lump Sum projects need a schedule of values developed and agreed-to after the Contract is awarded so that appropriate progress payments may be made. Lump Sum projects require more effort in the negotiation of Change Order items since unit prices are not available.

Lump Sum projects do have some advantages for the City. For example, if a pay item is left off of a unit price contract, then typically the contractor will seek to get in increase in the overall project (contract) cost by adding a new pay item to the contract to account for the missed work identification. In a Lump Sum contract, this form of 'expenditure creep' is not permitted as long as the original scope of work for the project addressed the required work item that is to be completed.

Unit Price Contracts typically have numerous pay items in the Bid Tab that provide payment for the work to be completed. No schedule of values needs to be developed, since the unit prices define the payment that is due. With Unit Price Contracts, greater CEI effort is needed in tracking actual quantities since there are often numerous pay items associated with a particular construction activity and payment is based on the actual quantities installed.

Pricing for project scope changes or task change orders in Unit Price Contracts are easier to establish, since there are usually already pay items identified in the Contract to cover the type of added work that is required. Using these pay items as starting point allows for an expeditious determination of what is fair cost for any Change Order submitted. A disadvantage to Unit Price Contracts is, that prior to the initial bid award, it is crucial to be sure that all required pay items are in the Bid Tab and that Pay Item footnotes cover all items in order to prevent Change Orders for missing pay items.

Lump Sum projects are typically advantageous for fixed or limited location projects such as new building (structures) projects, rest areas, etc. Unit Price Contracts are typically advantageous for linear projects with repetitive work such as roadways, sidewalks, and utility work. For most City projects addressed under this Continuing Services Agreement for CEI services, we believe that a Unit Price Contract format, utilizing some lump sum items for specialty activities such as MOT, is the preferred approach.

11. What is your firm's method of determining what a good contractor is versus an excellent contractor? Please provide specific points. (Limit ½ page)

A 'good contractor' performs quality control on a continuing basis and provides a final product that conforms to all aspects of the project design plans. A good contractor will provide for proper work safety on the job site and, where necessary, provide for all necessary off-site project safety elements such as, but not limited to MOT. A 'good contractor will do the work assigned, but stays within the limits of the contract.

An 'excellent contractor' is an experienced contractor that employs a proactive approach to the given project or task assigned to it and seeks to discover issues or local areas of challenge in advance of construction and then provides notice to the CEI or Owner of any such discovery before it becomes an impact issue to either the project schedule or overall cost. An 'excellent contractor' will take their years of experience into consideration and alert the CEI of cost and time saving alternatives as they move through the construction process. An 'excellent contractor' usually spends more on project supervision to be efficient and knowledgeable about the particular project or construction activity that they are undertaking.

An 'excellent contractor' will take the extra steps to ensure that they can keep working and stay on schedule. Many of these 'extra steps' are simple things such as; covering a stockpile of backfill material with a large tarp before a rain event to prevent loss of the material and to be able to work the next day instead of needing to take a weather recovery day or have to deal with delays waiting for new back-fill material. An 'excellent contractor' will verify that their work is being constructed in accordance with the plans and provide progress as-builts as documentation throughout the project activity. An 'excellent contractor' will fully supervise their subcontractors to assure that their work is correct and meet the contract requirements. An 'excellent contract' will employ necessary actions such as performing coordination to minimize neighboring property owner or general community complaints/concerns. This might include coordination of driveway replacement work, water shut downs, etc. An 'excellent contractor' cares about the concerns of the Owner, the CEI and works to ensure that the job sites are kept safe for both those working in the job as well as the community at large. An 'excellent contractor' takes pride in their work and it is evident to all.

12. What is your method of obtaining as-builds in a timely manner? (Limit ½ page)

First, at the start of the project, we meet with the Contractor to ensure that they understand the 'as-built' requirements of the City for the various element of the project such as utilities, drainage, etc. It is important to explain the specific requirements for groups, such as the PSLUSD, that may be more stringent than other typical 'as-built' requirements that the contractor has worked on in the past. During construction, our inspector(s) will sketch or otherwise document pertinent information such as fittings and associated elevations & dimensions. The inspector will typically use a tablet and take photographs for use as further documentation. This information assists us to be able provide quick turnaround on 'as-built' reviews, as well as to provide guidance to the Contractor on any items that are missing on the 'as-builts'.

It is demonstrably advantageous for the owner to receive periodic progress 'as-builts' during the project construction period, as opposed to waiting to the end of the project to receive final 'as-builts.' Doing so helps to flag any issue needing correction at a time when it far easier to correct than it will be when the work is all completed and then discovered. At C&T, we will typically request 'as-builts' in advance of making progress payments on items such as utilities, drainage, ponds, swales or other items that have critical slope or elevation requirements associated with them. If contractually supported, we will hold payment on items unless the progress 'as-builts' have been provided. We suggest that the City include the requirement for partial progress 'as-builts' in all construction contracts that tie payment of associated work activities such as utilities and drainage installation to 'as-built' drawings. This will motivate the contractor to provide quality 'as-built' information on an ongoing basis since they will be needed for payment. This would go a long way to assuring full 'as-builts' are provided in a timely manner upon project completion to avoid delays in certification and project close out. By using the above techniques and holding payment, if allowed and necessary, we have had success on obtaining accurate 'as-builts' in a timely manner.

13.	Has your firm failed to complete any contract work awarded? Defaulted on a contract or had a contract canceled or terminated? If so, When and Why?

No, Culpepper and Terpening has not "failed to complete" any contract awarded to it by a public entity. Culpepper and Terpening has not defaulted on any contract awarded to it by a public entity. Culpepper and Terpening has had contracts with both public and private clients canceled or terminated, for any number of reasons including but not limited to; change in project scope, loss of project funding, change of conditions and simply a desire by the contracting party not to proceed with the project for undefined reasons.

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14. References

Using the Reference Check Form attached, provide, contact names, telephone and fax numbers and email addresses for four (4) government entities for which your firm has performed similar work in the last five (5) years that will be used as references. Please include entity, contact name, e-mail address, and phone number. *(Do not include the City of Port St. Lucie)*

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only.
(Please print or type)
Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 2015-126
Title: **Continuing Professional CEI Services.**
Bidder/Respondent: Culpepper and Terpening, Inc.
Reference: _____ Fax #: _____
E-Mail: _____ Phone #: _____
Person to Contact: _____
Title _____

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget? _____

How many CEI projects has this firm completed for you within the past 5 years? _____

What problems were encountered (claims)?

How many change orders were requested by this firm? _____

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final product _____
Qualifications _____ Cooperation _____
Budget control _____ Realiability _____

Would you contract with this firm again? yes ☐ no ☐ maybe ☐

Comments:

Thank you.

sample

REFERENCE CHECK FORM

Bidder Instructions: Fill out top portion only.
(Please print or type)
Do not use City of Port St. Lucie as a reference

Bid/RFP Number:	2015-126		
Title	Continuing Professional CEI Services.		
Bidder/Respondent:	Culpepper and Terpening, Inc.		
Reference:	City of Ft. Pierce	Fax #:	772-460-6847
E-Mail:	jandrews@ftpierceeng.com	Phone #:	772-467-3773
Person to Contact:	Jack Andrews, PE		
Title	City Engineer		

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget? _____

How many CEI projects has this firm completed for you within the past 5 years? _____

What problems were encountered (claims)?

How many change orders were requested by this firm? _____

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism	_____	Final product	_____
Qualifications	_____	Cooperation	_____
Budget Control	_____	Realiability	_____

Would you contract with this firm again? yes ☐ no ☐ maybe ☐

Comments:

Thank you.

REFERENCE CHECK FORM

Bidder Instructions: Fill out top portion only.
(Please print or type)
Do not use City of Port St. Lucie as a reference

Bid/RFP Number:	2015-126		
Title	Continuing Professional CEI Services.		
Bidder/Respondent:	Culpepper and Terpening, Inc.		
Reference:	St. Lucie County	Fax #:	
E-Mail:	powleym@stlucieco.org	Phone #:	772-462-1400
Person to Contact:	Michael Powley, PE		
Title	County Engineer		

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget? _____

How many CEI projects has this firm completed for you within the past 5 years? _____

What problems were encountered (claims)?

How many change orders were requested by this firm? _____

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism	_____	Final product	_____
Qualifications	_____	Cooperation	_____
Budget Control	_____	Realiability	_____

Would you contract with this firm again? yes ☐ no ☐ maybe ☐

Comments:

Thank you.

REFERENCE CHECK FORM

Bidder Instructions: Fill out top portion only.
(Please print or type)
Do not use City of Port St. Lucie as a reference

Bid/RFP Number:	2015-126		
Title	Continuing Professional CEI Services.		
Bidder/Respondent:	Culpepper and Terpening, Inc.		
Reference:	Okeechobee County	Fax #:	863-763-3031
E-Mail:	levett@co.okeechobee.fl.us	Phone #:	863-763-3514
Person to Contact:	Lee R Evett		
Title	Public Works Director		

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget? _____

How many CEI projects has this firm completed for you within the past 5 years? _____

What problems were encountered (claims)?

How many change orders were requested by this firm? _____

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism	_____	Final product	_____
Qualifications	_____	Cooperation	_____
Budget Control	_____	Realiability	_____

Would you contract with this firm again? yes ☐ no ☐ maybe ☐

Comments:

Thank you.

REFERENCE CHECK FORM

Bidder Instructions: Fill out top portion only.
(Please print or type)
Do not use City of Port St. Lucie as a reference

Bid/RFP Number:	2015-126		
Title	**Continuing Professional CEI Services.**		
Bidder/Respondent:	Culpepper and Terpening, Inc.		
Reference:	City of Stuart	Fax #:	772-288-5381
E-Mail:	samerson@ci.stuart.fl.us	Phone #:	772-288-5331
Person to Contact:	Sam Amerson, PE		
Title	Public Works Director		

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget? _____

How many CEI projects has this firm completed for you within the past 5 years? _____

What problems were encountered (claims)?

How many change orders were requested by this firm? _____

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism	_____	Final product	_____
Qualifications	_____	Cooperation	_____
Budget Control	_____	Realiability	_____

Would you contract with this firm again? yes ☐ no ☐ maybe ☐

Comments:

Thank you.

15 Addendum Acknowledgment

Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued
1	10/12/15
2	10/27/15
n/a	

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Continuing Professional CEI Services

BID ADDENDUM # 1- Major Bridge Qualification Removal
& Collusion Clarification
BID # 20150126
Addendum Date: October 12, 2015

Bid Name: Continuing Professional Construction Engineering Inspection (CEI) Services

1. Please note that the 'Major Bridge' CEI pre-qualification is not applicable and has been deleted from this RFP.

2. A firm cannot submit as a Prime and as a Subconsultant to another firm for this RFP. Please refer to the City's collusion statement below:

   *"**Collusion** - The City reserves the right to disqualify E-RFPs, upon evidence of collusion with intent to defraud or other illegal practices upon the part of the Proposer. More than one (1) E-RFP from an individual, partnership, corporation, association, firm, or other legal entity under the same or different names will not be considered. Reasonable grounds for believing that a Proposer has an interest in more than one (1) E-RFP for the same work will be cause for rejection of all E-RFPs in which such Proposers are believed to have an interest. Any or all E-RFPs will be rejected if there is any reason to believe that collusion exists among the Proposers."*

Instructions to Bidder:

The Opening date has not been changed.

Each bidder must acknowledge receipt of any addenda on the Questionnaire in order to have his/her bid or proposal to be accepted.

Continuing Professional CEI Services

BID ADDENDUM # 2- Clarification on Primes and Subconsultants
BID # 20150126
Addendum Date: October 27, 2015

Bid Name: Continuing Professional Construction Engineering Inspection (CEI) Services

1. For purposes of this RFP only, the consultant *WILL* be allowed to submit as a prime and a subconsultant. However, per City policy the City still reserves the right to approve or disapprove subcontractors.

2. The # 2 Exception in the RFP is revised as follows:

   "***When the maximum dollar amount per contract period is met by a firm whether that firm is a prime or a subconsultant, that firm will not receive another award until all firms in the rotation have received equitable dollar amounts of awards.***"

3. Since the City cannot guarantee an ***'equal'*** amount for projects under this RFP, the term 'equal' when referring to project amounts, is being replaced with ***'equitable'***.

4. A Geotechnical firm may perform as a subconsultant for more than one CEI firm and also as prime on a Geotechnical Continuing Services contract with the City.

Instructions to Bidder:

The Opening date has not been changed.

Each bidder must acknowledge receipt of any addenda on the Questionnaire in order to have his/her bid or proposal to be accepted.

16. Form W-9

Form **W-9**
(Rev. December 2014)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Culpepper & Terpening, Inc.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC ☐ C Corporation ☒ S Corporation ☐ Partnership ☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☐ Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any)
Exemption from FATCA reporting code (if any)
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
2980 South 25th Street

Requester's name and address (optional)

6 City, state, and ZIP code
Fort Pierce, Florida 34981

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number
___ - ___ - ____

or

Employer identification number
59-2606420

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ [signature] Date ▶ January 27, 2015

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at *www.irs.gov/fw9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

Cat. No. 10231X Form **W-9** (Rev. 12-2014)

17. Certificate Of Insurance

Client#: 1051523 CULPETER

ACORD. **CERTIFICATE OF LIABILITY INSURANCE**

DATE (MM/DD/YYYY) 02/19/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME:	
USI Insurance Services, LLC 1715 N. Westshore Blvd. Suite 700 Tampa, FL 33607	PHONE (A/C, No, Ext): 813 321-7500	FAX (A/C, No):
	E-MAIL ADDRESS:	
	INSURER(S) AFFORDING COVERAGE	NAIC #
	INSURER A : Liberty Insurance Underwriters	19917
INSURED Culpepper & Terpening Inc. 2980 South 25th Street Fort Pierce, FL 34981	INSURER B :	
	INSURER C :	
	INSURER D :	
	INSURER E :	
	INSURER F :	

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	GENERAL LIABILITY						EACH OCCURRENCE	$
	☐ COMMERCIAL GENERAL LIABILITY						DAMAGE TO RENTED PREMISES (Ea occurrence)	$
	☐ ☐ CLAIMS-MADE ☐ OCCUR						MED EXP (Any one person)	$
							PERSONAL & ADV INJURY	$
							GENERAL AGGREGATE	$
	GEN'L AGGREGATE LIMIT APPLIES PER:						PRODUCTS - COMP/OP AGG	$
	☐ POLICY ☐ PRO-JECT ☐ LOC							$
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)	$
	☐ ANY AUTO						BODILY INJURY (Per person)	$
	☐ ALL OWNED AUTOS ☐ SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	☐ HIRED AUTOS ☐ NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	$
	☐							$
	☐ UMBRELLA LIAB ☐ OCCUR						EACH OCCURRENCE	$
	☐ EXCESS LIAB ☐ CLAIMS-MADE						AGGREGATE	$
	☐ DED ☐ RETENTION $							$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y / N						WC STATU-TORY LIMITS ☐ OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? ☐ N/A						E.L. EACH ACCIDENT	$
	(Mandatory in NH)						E.L. DISEASE - EA EMPLOYEE	$
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - POLICY LIMIT	$
A	**Professional Liability**			AEE200266011	02/15/2015	02/15/2016	$1,000,000 per claim $2,000,000 anni aggr.	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Professional Liability coverage is written on a claims-made basis.

CERTIFICATE HOLDER	CANCELLATION
Culpepper and Terpening, Inc. For Proposal Purposes	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE Lisa M Wade

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ACORD 25 (2010/05) 1 of 1 The ACORD name and logo are registered marks of ACORD
#S1436046&M14360452 KEBEW

CULP&TE-01 BARWICKT

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 1/16/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME: Teresa Barwick
Insurance Office of America, Inc. Abacoa Town Center 1200 University Blvd, Suite 200 Jupiter, FL 33458	PHONE (A/C, No, Ext): (561) 776-0660 FAX (A/C, No): (561) 776-0670 E-MAIL ADDRESS: Teresa.Barwick@ioausa.com

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : Travelers Indemnity Company	25658
INSURER B : Travelers Indemnity Company of America	25666
INSURER C : Bridgefield Employers Insurance Company	10701
INSURER D :	
INSURER E :	
INSURER F :	

INSURED

Culpepper & Terpening Inc.
2980 S. 25th Street
Ft. Pierce, FL 34981

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	X COMMERCIAL GENERAL LIABILITY CLAIMS-MADE [X] OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: POLICY [X] PRO-JECT [ ] LOC OTHER:			660-9D794955	01/16/2015	01/16/2016	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence) MED EXP (Any one person) PERSONAL & ADV INJURY GENERAL AGGREGATE PRODUCTS - COMP/OP AGG	$ 1,000,000 $ 100,000 $ 5,000 $ 1,000,000 $ 2,000,000 $ 2,000,000
B	AUTOMOBILE LIABILITY X ANY AUTO ALL OWNED AUTOS SCHEDULED AUTOS HIRED AUTOS NON-OWNED AUTOS			BA-9D795085	01/16/2015	01/16/2016	COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident)	$ 1,000,000 $ $ $
A	X UMBRELLA LIAB X OCCUR EXCESS LIAB CLAIMS-MADE DED X RETENTION $ 10,000			CUP-4194T132	01/16/2015	01/16/2016	EACH OCCURRENCE AGGREGATE	$ 5,000,000 $ 5,000,000
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) Y/N [ ] N/A If yes, describe under DESCRIPTION OF OPERATIONS below			83037409	01/16/2015	01/16/2016	X PER STATUTE OTH-ER E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT	$ 1,000,000 $ 1,000,000 $ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
For information purposes only.

CERTIFICATE HOLDER

Culpepper & Terpening Inc.
2980 S. 25th Street
Ft. Pierce, FL 34981

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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ACORD 25 (2014/01) The ACORD name and logo are registered marks of ACORD

18a.	Licenses

State of Florida
Department of State

I certify from the records of this office that CULPEPPER & TERPENING, INC. is a corporation organized under the laws of the State of Florida, filed on November 15, 1985.

The document number of this corporation is H85712.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on January 13, 2015, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Thirteenth day of January, 2015

Ken Detzner

Secretary of State

Authentication ID: CC3498111007

To authenticate this certificate, visit the following site, enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html

CULPEPPER & TERPENING, INC.

State of Florida
Board of Professional Engineers
2639 North Monroe Street, Suite B-112
Tallahassee, FL 32303-5268

Culpepper & Terpening, Inc.
2980 SOUTH 25TH STREET
FORT PIERCE, FL 34981

Each licensee is solely responsible for notifying the Florida Board of Professional Engineers in writing the licensee's current address.

Name changes require legal documentation showing name change. An original, a certified copy, or a duplicate of an original or certified copy of a document which shows the legal name change will be accepted unless there is a question about the authenticity of the document raised on its face, or because the genuineness of the document is uncertain, or because of another matter related to the application.

At least 90 days prior to the expiration date shown on this license, a notice of renewal will be sent to your last known address. If you have not yet received your notice 60 days prior to the expiration date, please call (850) 521-0500, or write, Florida Board of Professional Engineers, 2639 North Monroe Street, Suite B-112,Tallahassee, FL 32303-5268 or e-mail: board@fbpe.org. Our website address is http://www.fbpe.org.

State of Florida

Board of Professional Engineers

Attests that

Culpepper & Terpening, Inc.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017
Audit No: 228201702771

CA Lic. No:
4286

Florida Department of Agriculture and Consumer Services
Division of Consumer Services
Board of Professional Surveyors and Mappers
2005 Apalachee Pkway Tallahassee, Florida 32399-6500
800HELPFLA(435-7352) or (850) 488-2221

February 17, 2015

CULPEPPER & TERPENING INC
2980 SOUTH 25TH STREET
FORT PIERCE, FL 34981

SUBJECT: Professional Surveyor and Mapper Business Certificate # LB4286

Your application / renewal as a professional surveyor and mapper business as required by Chapter 472, Florida Statutes, has been received and processed.

The license appears below and is valid through February 28, 2017.

You are required to keep your information with the Board current. Please visit our website at www.800helpfla.com/psm to create your online account. If you have already created your online account, you can use the website to maintain your license. You can also find other valuable information on the website.

If you have any questions, please do not hesitate to call the Division of Consumer Services, Board of Professional Surveyors and Mappers at 800-435-7352 or 850-488-2221.

Detach Here

Florida Department of Agriculture and Consumer Services
Division of Consumer Services
Board of Professional Surveyors and Mappers
2005 Apalachee Pkway Tallahassee, Florida 32399-6500

License No.: **LB4286**
Expiration Date February 28, 2017

Professional Surveyor and Mapper Business License

Under the provisions of Chapter 472, Florida Statutes

CULPEPPER & TERPENING INC
2980 SOUTH 25TH STREET
FORT PIERCE, FL 34981

ADAM H. PUTNAM
COMMISSIONER OF AGRICULTURE

This is to certify that the professional surveyor and mapper whose name and address are shown above is licensed as required by Chapter 472, Florida Statutes.

CULPEPPER and TERPENING, INC – INDIVIDUAL LICENSES

State of Florida

Board of Professional Engineers

Attests that

James Parker Terpening Jr., P.E.

FBPE FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201717571

P.E. Lic. No:
24276

State of Florida

Board of Professional Engineers

Attests that

Marcelo E. Dimitriou , P.E.

FBPE FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201703228

P.E. Lic. No:
59005

State of Florida

Board of Professional Engineers

Attests that

Stefan Karl Matthes , P.E.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201714942

P.E. Lic. No:
38723

State of Florida

Board of Professional Engineers

Attests that

Patrick Joseph Ferland , P.E.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201714774

P.E. Lic. No:
33125

State of Florida

Board of Professional Engineers

Attests that

Steven J. Doyle , P.E.

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017

Audit No: 228201715327

P.E. Lic. No: 47197

Florida Department of Agriculture and Consumer Services
Division of Consumer Services
Board of Professional Surveyors and Mappers
2005 Apalachee Pkway Tallahassee, Florida 32399-6500

License No.: LS6199

Expiration Date February 28, 2017

Professional Surveyor and Mapper License

Under the provisions of Chapter 472, Florida Statutes

THOMAS P KIERNAN
1312 SW FAST ST
PALM CITY, FL 34990-4139

ADAM H. PUTNAM
COMMISSIONER OF AGRICULTURE

This is to certify that the professional surveyor and mapper whose name and address are shown above is licensed as required by Chapter 472, Florida Statutes.

Florida Department of Agriculture and Consumer Services
Division of Consumer Services
Board of Professional Surveyors and Mappers
2005 Apalachee Pkway Tallahassee, Florida 32399-6500

License No.: **LS6540**
Expiration Date February 28, 2017

Professional Surveyor and Mapper License

Under the provisions of Chapter 472, Florida Statutes

ROBERT N JOHNSON
1801 SE MANTH LN
PORT ST LUCIE, FL 34983-4511

ADAM H. PUTNAM
COMMISSIONER OF AGRICULTURE

This is to certify that the professional surveyor and mapper whose name and address are shown above is licensed as required by Chapter 472, Florida Statutes.

The American Traffic Safety Services Association

Hereby recognizes that

Marcelo Dimitriou
has attended
Florida Advanced Refresher
Training Course

02/14/2014
Date
West Palm Beach, FL
Location

Training & Products Dept. Director
President, CEO

ATSSA
SAFER ROADS SAVE LIVES

The American Traffic Safety Services Association

Hereby recognizes that

Stefan Matthes

has attended

Florida Advanced Refresher

Training Course

07/15/2013
Date

Fort Lauderdale, FL
Location

ATSSA
SAFER ROADS SAVE LIVES

Donna M. Clark
Training & Products Dept. Director

Ryan A. Wentz
President, CEO

ATSSA
SAFER ROADS SAVE LIVES

AMERICAN TRAFFIC SAFETY SERVICES ASSOCIATION

This is to affirm that ID #37
Stefan Matthes
has satisfactorily completed the
Florida Advanced Refresher Work Zone Traffic Control Course
Date Complete: 07/15/2013 Status: PASS
Location: Fort Lauderdale Instructor: Ms. Catherine Witt
Date Refresher Course Required: 07/15/2017

Metro Florida Safety Council

STATE OF FLORIDA DEPARTMENT OF TRANSPORTATION

Thomas Frederick

MOT Workzone Traffic Control: Refresher

[FDOT Provider #140]

3/5/2018
Expiration Date

Jack Luckhardt CSP, A
Instructor Signature

www.metrofloridasafetycouncil.com
(888) 697-6372

Metro Florida Safety Council

STATE OF FLORIDA DEPARTMENT OF TRANSPORTATION

Frederick Jones

MOT Workzone Traffic Control: Refresher

[FDOT Provider #140]

3/5/2018
Expiration Date

Jack Luckhardt CSP, A
Instructor Signature

www.metrofloridasafetycouncil.com
(888) 697-6372

Metro Florida Safety Council

STATE OF FLORIDA DEPARTMENT OF TRANSPORTATION

Jamie Campbell

MOT Workzone Traffic Control: Refresher

[FDOT Provider #140]

3/5/2018
Expiration Date

Jack Luckhardt CSP, A
Instructor Signature

www.metrofloridasafetycouncil.com
(888) 697-6372

Metro Florida Safety Council

STATE OF FLORIDA DEPARTMENT OF TRANSPORTATION

Thomas Terpening Jr

MOT Workzone Traffic Control: Refresher

[FDOT Provider #140]

3/5/2018
Expiration Date

Jack Luckhardt CSP, A
Instructor Signature

www.metrofloridasafetycouncil.com
(888) 697-6372

Centennial FDOT 1915 * 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

October 21, 2015

James Terpening, President
CULPEPPER & TERPENING, INC.
2980 South 25th Street
Ft. Pierce, Florida 34981

Dear Mr. Terpening:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 3 - Highway Design - Roadway

- 3.1 - Minor Highway Design
- 3.2 - Major Highway Design

Group 6 - Traffic Engineering and Operations Studies

- 6.1 - Traffic Engineering Studies
- 6.2 - Traffic Signal Timing

Group 7 - Traffic Operations Design

- 7.1 - Signing, Pavement Marking and Channelization
- 7.3 - Signalization

Group 8 - Survey and Mapping

- 8.1 - Control Surveying
- 8.2 - Design, Right of Way & Construction Surveying
- 8.4 - Right of Way Mapping

Group 10 - Construction Engineering Inspection

- 10.1 - Roadway Construction Engineering Inspection

Group 13 - Planning

- 13.5 - Subarea/Corridor Planning
- 13.6 - Land Planning/Engineering

RECEIVED
OCT 23 2015
Culpepper & Terpening Inc

www.dot.state.fl.us

Your firm is now technically qualified to do work for the Department for minor projects only, enabling your firm to compete for Professional Services projects with fees estimated at below $500,000.00. This status shall be valid until October 15, 2016 for contracting purposes.

On the basis of self-certification materials submitted, the rates listed below represent the costs the Department has accepted.

	Home/Branch Office	Field Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense
Indirect Cost	123.35%	108.43%	0.368%	Reimbursed	5.47% (Home) 5.28% (Field)*

*Rent and utilities excluded from field office rate. These costs will be directly reimbursed on contracts that require the consultant to provide field office.

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

www.dot.state.fl.us

18b.		Sub-Consultant - Licenses

KEITH and SCHNARS, P.A.
FLORIDA'S Big LOCAL FIRM

State of Florida

Board of Professional Engineers

Attests that

Keith & Schnars, P A

FBPE FLORIDA BOARD OF PROFESSIONAL ENGINEERS

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017

Audit No: 228201703367

CA Lic. No: 1337

JOHNSON, MIRMIRAN & THOMPSON
Engineering A Brighter Future®

State of Florida

Board of Professional Engineers

Attests that

Johnson Mirmiran & Thompson, Inc.

FBPE FLORIDA BOARD OF PROFESSIONAL ENGINEERS

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017

Audit No: 228201703483

CA Lic. No: 5917

Masuen Consulting LLC

RICK SCOTT, GOVERNOR

KEN LAWSON, SECRETARY

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
BOARD OF LANDSCAPE ARCHITECTURE

LICENSE NUMBER
LC26000346

The LANDSCAPE ARCHITECT BUSINESS
Named below HAS REGISTERED
Under the provisions of Chapter 481 FS.
Expiration date: NOV 30, 2017

MASUEN CONSULTING LLC
32801 HWY 441 N
293
OKEECHOBEE FL 34972

ISSUED: 10/08/2015 DISPLAY AS REQUIRED BY LAW SEQ # L1510080001543

KAMM Consulting

State of Florida

Board of Professional Engineers

Attests that

Kamm Consulting, Inc.

FBPE FLORIDA BOARD OF PROFESSIONAL ENGINEERS

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017
Audit No: 228201701866

CA Lic. No:
8189

Ardaman
& Associates, Inc.

State of Florida

Board of Professional Engineers

Attests that

Ardaman & Associates, Inc.

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017
Audit No: 228201700887

CA Lic. No:
5950

RICK SCOTT, GOVERNOR

KEN LAWSON, SECRETARY

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
BOARD OF PROFESSIONAL GEOLOGISTS

LICENSE NUMBER
GB140

The GEOLOGY BUSINESS
Named below IS CERTIFIED
Under the provisions of Chapter 492 FS.
Expiration date: JUL 31, 2016

ARDAMAN & ASSOCIATES, INC
8008 SOUTH ORANGE AVENUE
BELLE ISLE FL 32809

ISSUED: 05/13/2014 DISPLAY AS REQUIRED BY LAW SEQ # L1406130001135

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
ASBESTOS LICENSING UNIT

LICENSE NUMBER
ZA0000029

The ASBESTOS BUSINESS ORGANIZATION
Named below IS LICENSED
Under the provisions of Chapter 469 FS.
Expiration date: NOV 30, 2015

ARDAMAN & ASSOCIATES INC
ROXANNE LEWIS GAUSE
8008 SOUTH ORANGE AVENUE
ORLANDO FL 32801

VIVA FLORIDA 500

RICK SCOTT
GOVERNOR

ISSUED: 09/18/2013 SEQ # L1309180002564
DISPLAY AS REQUIRED BY LAW

KEN LAWSON
SECRETARY

State of Florida

Board of Professional Engineers

Attests that

David P. Andre , P.E.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201709638

P.E. Lic. No:
53969

State of Florida

Board of Professional Engineers

Attests that

Peter Gregers Andersen , P.E.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201709750

P.E. Lic. No:
57956

See part II for professional certificates

Hobe Sound Environmental Consultants Inc.
9512 SE Duncan Street
Hobe Sound, FL 33455
(772)545-3676, E-mail: bobhseav@gmail.com

See part II for professional certificates

[go to next page]

19. DRUG FREE CERTIFICATION

DRUG-FREE WORKPLACE FORM

E-RFP #20150126

Continuing Professional CEI Services.

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that CULPEPPER & TERPENING, INC. does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

11/17/15
Date

DRUG-FREE WORKPLACE FORM

E-RFP #20150-126
Continuing Professional CEI Services

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that **Keith and Schnars** does:

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Mark Snashin

Bidder's Signature

October, 29, 2015

Date

DRUG-FREE WORKPLACE FORM

E-RFP #20150-126
Continuing Professional CEI Services

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Johnson, Mirmiran & Thompson, Inc. does:

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

11/4/2015
Date

DRUG-FREE WORKPLACE FORM

E-RFP #20150-126
Continuing Professional CEI Services

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Masuen Consulting LLC does:

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

11-6-15
Date

DRUG-FREE WORKPLACE FORM

E-RFP #20150-126
Continuing Professional CEI Services

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Kobe Sound Environmental does:

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

13 November 2015
Date

DRUG-FREE WORKPLACE FORM

E-RFP #20150-126
Continuing Professional CEI Services

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that R. A. Kamm & Associates, Inc. does:

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

11/12/15
Date

DRUG-FREE WORKPLACE FORM

E-RFP #20150-126
Continuing Professional CEI Services

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that **Landscape Design Associates, LLC** does:

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Sabine Kadis

Bidder's Signature

11.11.15
Date

DRUG-FREE WORKPLACE FORM

E-RFP #20150-126
Continuing Professional CEI Services

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that **Ardaman & Associates, Inc.** does:

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

11/6/15
Date

DRUG-FREE WORKPLACE FORM

E-RFP #20150-126
Continuing Professional CEI Services

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Andersen Andre Consulting Engineers does:

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

/David P. Andre, P.E.

Bidder's Signature

November 5, 2015

Date

20.	CERTIFICATION

CERTIFICATION:

This E-RFP is submitted by: Name (print) James P. Petrella, PE who is an officer of the above firm duly authorized to sign proposals and enter into contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP.

I agree to abide by all conditions of this E-RFP.

Signature

Pres. D.E.

Title

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21. CHECK LIST

CHECKLIST

E-RFP #20150126
Continuing Professional CEI Services.

This checklist is provided to assist Bidders in the preparation of their bid response. Included in this checklist are important requirements that are the responsibility of each Bidder to submit with their response in order to make their bid response fully compliant. This checklist is only a guideline -- it is the responsibility of each Bidder to read and comply with the Invitation to Bid in its entirety.

x	Documents uploaded in two (2) .pdf files and in this order: :
x	File # 1- Questionnaire for E-RFP #20150126, all Applicable Current Licenses (state and professional), Reference Forms, Drug Free Workplace Form, Pre-qualification Documentation and Team Qualification Documentation, Attachment A- Personnel Qualifications and Experience, and E-RFP Checklist onto Demandstar by the due date and time.
x	All questions on the questionnaire are complete and thoroughly answered
x	Each Bid Addendum (when issued) is acknowledged
x	Have Reviewed the Contract and accept all City Terms and Conditions
x	All Applicable Current Licenses (state and professional)
x	Reference Forms
x	Drug Free Workplace Form
x	All Prequalification documentation and Team Qualification Documentation
x	Attachment A- Personnel Qualification and Experience (completed)
x	Checklist
x	File # 2- Form 330

END OF FILE #1

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SF 330
CEI PROJECT EXAMPLES

Sub FDOT qualified including in here

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)	20. EXAMPLE PROJECT KEY NUMBER 01

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Savona Boulevard Sidewalk/Bridge CEI **Port St. Lucie, Florida**	2014	2014

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Jim Angstadt, PE City Engineer	772-873-5100

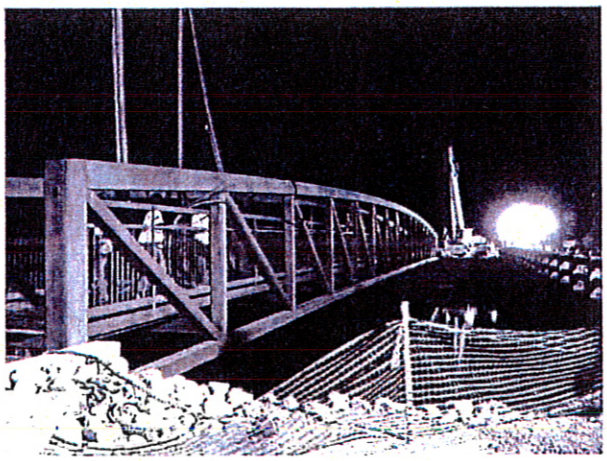
24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

The Savona Boulevard Sidewalk and Bridge Project involved the construction of 2,500 linear feet of six (6) foot wide, concrete sidewalk, along the west side of SW Savona Boulevard, from SW Bougainvillea Avenue, north, to the north side of the C-24 Canal. The project included the installation of a 200 ft long, clear span, 8-foot wide, prefabricated pedestrian bridge over the C-24 Canal that provides for the connection of this new sidewalk into the existing sidewalk north of the canal without having to make modification to the existing Savona Blvd. Bridge over the C-24 Canal.

Services provided specific to this project included monitoring and inspection of all project clearing and grubbing, earthwork, drainage modifications, swale relocation, utility relocations, pile driving, placing of structural concrete in bridge abutment and deck slab areas, bridge installation, general sidewalk construction, driveway culvert replacement, replacement of residential driveways, final swale grading and swale linear installation.

This was a FDOT "critical" LAP project. As such, this project required the highest level of documentation for FDOT acceptance, including use of the laboratory information management system (LIMS).

Relevant Activities: CEI, RCS, Public Awareness, MOT, Agency Coordination and NPDS Compliance. Project included extensive Rip-Rap installation along canal banks.



Project Cost (Gross)				
$ 1,350,00				
Relevance to Submitted Project				
Survey	**Design**	**Permitting**	**CEI**	**Other**
			XX	

CT File No.: 13-079

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	CEI
b.	Keith & Schnars, PA	Ft. Lauderdale, FL	Bridge Inspection
c.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech and Testing

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 02

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Darwin Boulevard Sidewalk/Bridge CEI **Port St. Lucie, Florida**	**2015**	**2015**

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	**Jim Angstadt, PE** **City Engineer**	**772-873-5100**

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

The Darwin Boulevard Sidewalk and Bridge Project involved the construction of approximately 2,500 linear feet of six (6) foot wide, concrete sidewalk, along the east side of SW Darwin Boulevard, from SW Paar Drive, south to SW Becker Road. The project included the installation of a 200+ ft long, clear span, 8-foot wide, prefabricated pedestrian bridge over the E-84 Canal.

Services provided specific to this project include monitoring and inspection of all project clearing and grubbing, earthwork, drainage modifications, swale relocation, utility relocations, pile driving, placing of structural concrete in bridge abutment and deck slab areas, bridge installation, general sidewalk construction, driveway culvert replacement, replacement of residential driveways, final swale grading and swale linear installation.

This was is a FDOT "critical" LAP project. As such, this project required the highest level of documentation for FDOT acceptance, including use of the laboratory information management system (LIMS).

Relevant Activities: CEI, RCS, Public Awareness, MOT, Agency Coordination and NPDS Compliance. Project included extensive Rip-Rap installation along canal banks.

Project Cost (Gross)				
$ 100,600				
Relevance to Submitted Project				
Survey	**Design**	**Permitting**	**CEI**	**Other**
			XX	

CT File No.: 15-052

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	CEI
b.	Keith & Schnars, PA	Ft. Lauderdale, FL	Bridge Inspection
c.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech and Testing

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)	20. EXAMPLE PROJECT KEY NUMBER 03

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Mariposa Avenue Sidewalk (Phase III) - CEI Services **Port St. Lucie, Florida**	2014	2014

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Jim Angstadt, PE City Engineer	772-873-5100

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

The Mariposa Avenue Sidewalk Project is a pedestrian safety improvement project initiated by the St. Lucie County School Board, and funded by the Florida Department of Transportation (FDOT) through the 'Safe Routes to School' program addressing access to the Mariposa Elementary School Site. The project was administered by the City of Port St. Lucie. Construction activities were done in part during the school year, requiring added coordination with the St. Lucie County School Board.

The project provided for the construction of 2,500 lf (mol) of 5 and 6-foot wide sidewalk along the south side of SE Mariposa Avenue, from west of the Holy Family Catholic Church to the Mariposa Elementary School.

Culpepper and Terpening provided CEI Services for approximately 2,500 linear feet of sidewalk, a closed drainage system with 16 structures, extensive culvert installations and driveway replacement, swale liner replacements, roadway culvert crossings and utility relocations. This project required extensive field adjustments due to utility conflicts and existing grade issues within a narrow ROW.

Despite the existing condition challenges, field modifications were made to allow meeting ADA requirements and slope requirements while staying on budget and on schedule. Other challenges included MOT in a narrow ROW with a school and church.

Relevant Activities: CEI, RCS, Public Awareness, MOT, Agency Coordination and NPDS Compliance.

Project Cost (gross)				
$ 72,000				
Relevance to Submitted Project				
Survey	**Design**	**Permitting**	**CEI**	**Other**
			XX	

CT File No.: 14-007

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	CEI
b.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech and Testing
c.			

CULPEPPER & TERPENING, INC
CONSULTING ENGINEERS · LAND SURVEYORS

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 04

21. TITLE AND LOCATION *(City and State)*
SW Village Parkway (Southern Groves)
SW Becker Rd to Discovery Way

22. YEARS COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
2009	2010

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Jim Angstadt, PE City Engineer	772-873-5100

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

As part of the City's Southeast Annexation District Transportation Improvement Program, Culpepper & Terpening (C&T) provided project designs for the construction of approximately 4 miles of 6/4-lane divided roadway. SW Village Parkway is the backbone roadway for the City's "*Biotech Corridor*" that that is home to Torrey Pines, VGTI and Martin Health Systems, Tradition Center. This phase provides for the connection of SW Becker Road to SW Tradition Parkway.

Project activities included surveying of the approach roadways; establishing horizontal & vertical control; project design surveys; right of way mapping and subsequent platting of all rights-of-way along with coordination in the issuance of Special Assessment Bonds and all necessary project design and permitting. Construction Engineering and Inspection (CEI) services included all new roadway construction, lake & ditch construction, drainage, sidewalks, signalization (3), street lighting, irrigation and landscaping. This project had challenging soils conditions that needed to be addressed as work proceeded, as well as de-mucking of numerous wetlands & canals, and crossing of an existing reservoir. The project was completed on budget and on schedule.

Project Cost (Gross)
$ 1,250,000

Relevance to Submitted Project				
Survey	Design	Permitting	CEI	Other
			XX	

CT File No.: xx-xxx

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	Survey/ Design/ Permitting and CEI
b.	AACE	Port St. Lucie, FL	Geo-Teach and Testing
c.			

CULPEPPER & TERPENING, INC
CONSULTING ENGINEERS · LAND SURVEYORS

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 05

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Community Transit - Intermodal Transit Facility (east of PSL City Hall) Port St. Lucie, Florida	2011	2012/13

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Jim Angstadt, PE City Engineer	772-873-5100

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

Culpepper and Terpening, Inc., (C&T) provided **'Pro-Bono'** Survey, Design, Permitting and Construction Engineering and Inspection (CEI) services associated with the development of the *Community Transit/Central Port St. Lucie Intermodal Transit Facility,* located on property east the Port St. Lucie City Hall, and north of the Port St. Lucie Community Center. This facility provides for transfer opportunities between three (3) south County transit bus routes (Routes 4, 5 and 6), with expansion opportunities for new routes as they are added.

This project included the construction of a four bay; 3,000 sq ft.; open platform area, with approximately 1,200 lf of new sidewalk that will serve the site. The project development activities included the construction of a 24 space, off-street parking area for commuter or transit rider use.

As part of the site construction activities, continuous *Value Engineering* activities were preformed to find ways to save the City construction costs and to provide for tying these new site improvements into the existing improvements on this property that were being maintained in place. An example of the Value Engineering process, field modifications were made to the perimeter sidewalks to delete several tens of feet of gravity walls and reduce the overall project cost to City.

Project Cost (Gross)				
$ 300,000				
Relevance to Submitted Project				
Survey	**Design**	**Permitting**	**CEI**	**Other**
			XX	

CT File No.: xx-xxx

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	Survey/ Design/ Permitting and CEI assistance
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

CULPEPPER & TERPENING, INC
CONSULTING ENGINEERS · LAND SURVEYORS

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)	20. EXAMPLE PROJECT KEY NUMBER 06	
21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
SR 70 Turn Lane Improvements (CDBG Grant Project) City of Okeechobee, Florida	2012	2013

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Okeechobee	Brain Whitehall City Manager	863-763-3372

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

The City of Okeechobee obtained a Community Development Block Grant (CDBG) for Economic Development purposes that was used to enhance the access to the newly constructed Hampton Inn and Applebee's restaurant site located on the south side of SR 70, within the City Limits. The project improvements included the installation of a new left turn access into the joint entrance to both facilities, at a mid-block location along SR 70.

C&T provided fast track design and permitting to insure that the construction could be completed within the time requirements of the CDBG Grant funds. The work effort included survey, design, permitting, bid document preparation and construction administration for the project including management of the CDBG fund requirements. The project required the design of a new mid-block, directional turn lane, and reconstruction of the section of SR 70 between the SE 10th Avenue and SE 13th Avenue, along with adjustment to both intersection turn bays to meet current FDOT criteria. Permits for the construction were procured from both the FDOT and SFWMD.

Looking East on SR 70

Looking West on SR 70

CT File No.: 12-203

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	Design/ Permitting and CEI
b.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech and Testing
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 07

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Green River Parkway SR 707 to Jensen Beach Boulevard (SR 736)	2010	2010

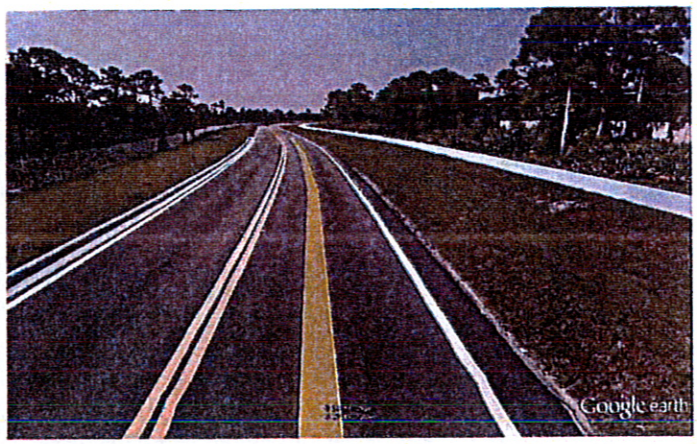
23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
Martin County BOCC , Florida	Don Donaldson, P.E. County Engineer	772-288-5927

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

As part of the *Parallel Relief Corridor* for US Highway 1 from north Stuart to east/central Port St. Lucie, Green River Parkway is intended to be a controlled access, by-pass, route for this densely developed, high driveway concentration area of US #1. The Green River Parkway was first identified as being part of the US #1 *Parallel Relief System* in the mid-1980's as part of the development of the West Jensen areas of unincorporated Martin County. Over the next twenty years, preliminary plans were developed, but funding never fully identified for the construction of this reliever roadway corridor.

Martin County provided funding for the last 'missing link' of the Green River Parkway from SR 707 to Jensen Beach Boulevard. Culpepper & Terpening (C&T) provided Construction Engineering & Inspection (CEI) services for the approximately two (2) miles of new 2-lane (expandable to 4) roadway, including installation of approximately 5,000 LF of 10' wide multi-modal path and approximately 1,000 LF of 6' sidewalk; roadway drainage systems; property screening walls; signals and street lighting. The project included extensive MOT coordination in high traffic areas associated with intersection modifications with two busy State Highways.



Project Cost (Gross)				
$ 5,250,000				
Relevance to Submitted Project				
Survey	**Design**	**Permitting**	**CEI**	**Other**
			XX	

CT File No.: xx-xxx

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	CEI
b.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech and Testing
c.			

CULPEPPER & TERPENING, INC
CONSULTING ENGINEERS · LAND SURVEYORS

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)	20. EXAMPLE PROJECT KEY NUMBER 08

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
No. 13th Street – Urban Street Reconstruction **Orange Ave. (SR 68) to Avenue D – Ft. Pierce**	2010	2010

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Ft. Pierce	Jack Andrews, P.E. City Engineer	772-460-2200

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

As part of the American Recovery and Reinvestment Act (ARRA) of 2009, and with supplemental funding provided through a Local Agency Program (LAP) agreement, the City of Ft. Pierce proceeded with the reconstruction of North 13th Street from Orange Avenue (SR68) to just south of Avenue D in North/Central Ft. Pierce. North 13 Street is one of two primary north/south collector streets serving the north/northeastern part of the City of Ft. Pierce. The section of North of 13th Street from Orange Avenue to Avenue D was in need of substantial reconstructive repairs due to heavy existing use, age and recurrent periods of deferred maintenance. Project activities included reconstruction of the existing roadway bed and travel surfaces; reconstruction and construction of existing/new pedestrian facilities along the project corridor; rehabilitation and exterior reconstruction of the twin box culverts at Moore's Creek (tying into the overall Moore's Creek Community Enhancement and Linear Park project); street drainage and utility improvements; and, street lighting and landscape/ hardscape improvements the length of the project corridor.

Challenges to the successful and timely completion of this project were; a need to maintain community access along the project corridor and to keep all project works within the existing right-of-way (width varies from 55 to 45 feet). Project funding restrictions did not permit any works to take place outside of the existing right-of-way.

Culpepper and Terpening, Inc. provided the City with comprehensive construction management and inspection services. As part of these services, C&T provided full contact administration, with special attention given to maintaining compliance with all federal reporting standards and requirements this was a federally funded project, through the FDOT.

Project Cost (Gross)				
$ 1,000,000				
Relevance to Submitted Project				
Survey	**Design**	**Permitting**	**CEI**	**Other**
			XX	

CT File No.: 10-001

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	CEI
b.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech and Testing
c.			

CULPEPPER & TERPENING, INC
CONSULTING ENGINEERS | LAND SURVEYORS

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 09

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
US-1/SR-76 -- Intersection Improvements (CEI) **Stuart, FL**	2012	2012

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
Florida Dept. of Transportation (FDOT)	**Bobby Byrd,** **Project Manager, Martin Co.**	**772-221-2300**

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

The US-1/SR-76 Intersection Improvement Project is a safety improvement project that was initiated by Martin County as part of the redevelopment of Colorado Avenue (SR 76) in the City of Stuart. Project funding was provided by the Florida Department of Transportation (FDOT) and the Project was administered by Martin County.

Project activities included adjusting the SB to EB left turn lanes to match the new improvements on Colorado Avenue; reconstruction of the existing traffic separator on US #1; milling & resurfacing of the existing travel lanes through the intersection; sidewalk reconstruction and signalization improvements. MOT on this project was considered to be a critical CEI issue. The intersection of US #1 and SR 76 (Colorado Ave.) is one of the highest volume intersections in Martin County. All project construction activities were done at night in order not to cause excessive disruption to the high volumes of traffic moving through this key intersection. Business coordination was critical during the project.

Other project challenges included sidewalk reconstruction. This particular item was challenging due to existing corridor conditions, including matching into the existing sidewalks.

Project Cost (Gross)
$ 635,000

Relevance to Submitted Project				
Survey	Design	Permitting	CEI	Other
			XX	

CT File No.: xx-xxx

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	CEI
b.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech and Testing
c.			

CULPEPPER & TERPENING, INC
CONSULTING ENGINEERS | LAND SURVEYORS

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 10

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Walton Road - South US #1 to Village Green Drive **Port St. Lucie, Florida**	2010	2010

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
St. Lucie County	**Michael Powley, PE** **County Engineer**	772-462-1707

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

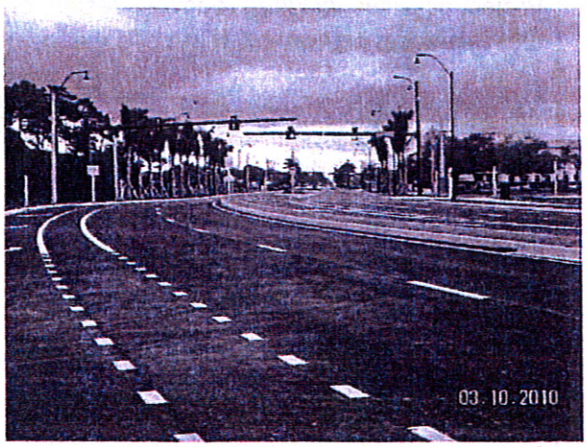
Project provided for the reconstruction of an existing four-laning (4) roadway as part of the City Center development project. Project construction activities included roadway reconstruction, drainage improvements, utility relocation, sidewalk construction/reconstruction, street and pedestrian lighting improvements, two signals, landscaping and irrigation improvements.

MOT was critical to this project, and included extensive business, pedestrian and local access considerations. Coordination was needed with agencies including City of Port St. Lucie, SFWMD and FDOT.

Specific services provided for this project included monitoring and inspection of all project clearing and grubbing, earthwork, drainage modifications, utility relocations, MOT review, public information notices and releases, project management, payment review, change order review, meetings and agency coordination, project certification, and labor compliance monitoring.

Relevant Activities to PSL RFP 15-0006:
CEI, MOT, RCS, Public Awareness, Agency Coordination and NPDS Compliance

Overall project cost was approximately $4,900,000.



Project Cost (Gross)				
$ 4,900,000				
Relevance to Submitted Project				
Survey	**Design**	**Permitting**	**CEI**	**Other**
XX			XX	

CT File No.: 09-085

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	Survey and CEI
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

CULPEPPER & TERPENING, INC
CONSULTING ENGINEERS · LAND SURVEYORS

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)	20. EXAMPLE PROJECT KEY NUMBER 11

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
SR A1A @ Seaway and South Ocean Drive - Roundabout Ft. Pierce, Florida	2011	2012

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Ft. Pierce	Jack Andrews, PE City Engineer	772-467-3773

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

As part of the multi-phased reconstruction of SR A-1-A (Seaway Drive/ South Ocean Drive) through the City of Ft. Pierce, the City sought to create a notable transportation/design feature at the unique intersection of Seaway and South Ocean Drives as opposed to standard "T-type" intersection that was originally included in the original project designs. After assessing the City's objectives at this location, Culpepper and Terpening provided full engineering and project management services associated with the construction of a traffic roundabout at this intersection.

The intersection of Seaway and South Ocean Drives (SR A-1-A) is a right-of-way constrained intersection. In order to minimize the amount of added project costs, the roundabout designs developed at this intersection was slightly off-set from center in order to avoid having to acquire additional right-of-way from the adjoining properties. The offset allows for the use of existing public lands and minimized the potential for added costs to the City through contested and time consuming right-of-way acquisitions.

Project activities included roadway design, on-street parking improvements; drainage improvements; utility relocations and upgrades; sidewalk and street enhancement and street lighting.

Special to this project was the integration of this intersection into the access and function of the adjacent Ft. Pierce Jetty Park.

Design Services were valued at $40,310

Looking North

CT File No.: 11-208

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	Survey/ Design/ Permitting and CEI
b.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech and Testing
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)	20. EXAMPLE PROJECT KEY NUMBER
	12

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Crosstown Parkway & Fairgreen Rd. - Intersection **Port St. Lucie, Florida**	2011	2012

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	**Jim Angstadt, PE** **City Engineer**	**772-871-5177**

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

As part of the special conditions included in the Final Development Order associated with Verano Development of Regional Impact (DRI), Culpepper & Terpening, Inc., was contracted by Kolter Homes (Bob Fromm, PM (772-349-8000)) to provide services associated for the design, permitting and construction administration for a new signalized intersection at SW Fairgreen Road and SW Crosstown Parkway. Once completed the improvements were assigned to the City of Port St. Lucie.

The project design was fast tracked to meet tight timelines for the City of Port St. Lucie

Design Services were valued at $21,100.

CT File No.: 08-339.10

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	Survey/ Design/ Permitting and CEI
b.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)	20. EXAMPLE PROJECT KEY NUMBER 13

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Weatherbee Road Safety Project – Sidewalk Enhancement **St. Lucie County, Florida**	2010	2012

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
St. Lucie County	Michael V. Powley, P.E. County Engineer	772-462-1707

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

St. Lucie County, in conjunction with the St. Lucie County School Board, obtained funding through the Safe Routes to Schools (SR2S) program for a pedestrian connection to the Weatherbee Elementary School, from the surrounding walkable community. C&T provided a fast track design and permitting for the installation of over 10,600 linear feet of sidewalk, affecting four (4) different roadways, to provide school children with a safe walking facility to and from the school. The project included the construction of a fully functional, pedestrian activated, traffic signal that provides a controlled location where pedestrians can cross Weatherbee Road, in front of the elementary school. Full project design and permitting was completed within 4 months of NTP in order to allow the construction of the sidewalk to commence during the summer (off-school) months.

Design elements included major drainage reconstruction to the existing roadside swales in order to accommodate the new sidewalks; ADA compliance adjustments at all major intersections; the implementation of a pedestrian activated traffic signal; driveway adjustments to insure cross slope adherence, and pavement signage and markings for the entire project area. Project permits were obtained from Str. Lucie County, the South Florida Water Management District, North St. Lucie River Water Control District and the Florida Department of Transportation.

Design Services were valued at $151,764.

HAWK Pedestrian Signal – looking east

CT File No.: 10-001

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	Survey/ Design/ Permitting and Construction Services
b.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 14

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
NE 80th Avenue & 48nd Street Intersection Okeechobee County, Florida	2012	2014

23. PROJECT OWNER'S INFORMATION

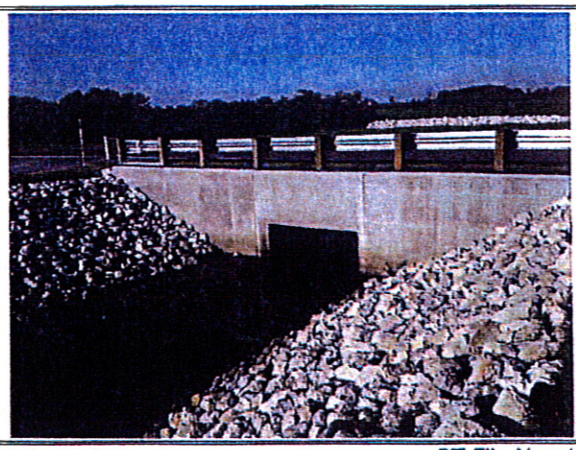
A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
Okeechobee County BOCC	Jim Threewits Deputy County Administrator	863-763-4458

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

Okeechobee County sought design, permitting and construction services for the replacement of the existing drainage culverts located at the northern termini of NE 80th Avenue. Along with the culvert replacement, intersection improvements were provided to bring a partially improvement intersection up to current day design standards. The improvements included turn lanes and associated control radii that accommodated the current agricultural uses within the area. The existing culvert under NE 80th Avenue was sized to accommodate the future growth of the 1,800 acre vacant, but developable property, serviced by the intersection. The intersection was designed to allow for future expansion for warranted traffic on a phases basis without requiring additional improvements to the new culvert.

C&T design plans for the culvert replacement included a construction sequencing plan/ maintenance of traffic (MOT) plan that allowed the roadway to remain open at all times to 2-way traffic while conducting the work within a limited right-of-way.

Design Services were valued at $72,150.



CT File No.: 12-148

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	Design/ Permitting and CEI
b.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 15

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
West Midway Road CEI **St. Lucie County, Florida**	**2015**	ON-GOING

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
St. Lucie County	**Mike Powley, PE** **County Engineer**	**772-462-1400**

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

Culpepper and Terpening provided CEI services for the reconstruction of a 1.25 mile segment of West Midway Road, from South 25th street to west of Selvitz Road. This project included the reconstruction of the intersection of Selvitz Road and west midway road. Signalization upgrades were provided along with the piping of over 6,000 feet of a primary drainage canal lying adjacent to the roadway.

Professional services for this project included survey, design and permitting of full improvements at the intersection including upgrades of the mast arm system.

Relevant Activities: CEI, RCS, Public Awareness, MOT, Agency Coordination and NPDS Compliance.

Relevance to Submitted Project				
Survey	**Design**	**Permitting**	**CEI**	**Other**
			XX	

CT File No.: xx-xxx

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	CEI
b.	Ardaman & Assoc.	Port St. Lucie, FL	Geo-Tech and Testing
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 16

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
SR 76 and I-95 CEI **Martin County, Florida**	2015	ON-GOING

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
Martin County BOCC , Florida	Don Donaldson, P.E. County Engineer	Martin County BOCC , Florida Don Donaldson, P.E. 772-288-5911 County Engineer

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

Culpepper and Terpening provided CEI services for the reconstruction of the interchange of I-95 and SR 76, in Stuart, Florida. This project included the addition of thru lanes approaching the interchange, ramp modifications and signalization improvements.

Relevant Activities: CEI, RCS, Public Awareness, MOT, Agency Coordination and NPDS Compliance.

Relevance to Submitted Project				
Survey	Design	Permitting	CEI	Other
			XX	

CT File No.: xx-xxx

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Culpepper & Terpening	Ft. Pierce, FL	CEI
b.	AECOM	Stuart, FL	CEI
c.	AACE	Port St. Lucie	Geo-Tech and Testing

G. KEY PERSONNEL PARTICIPATION IN EXAMPLE PROJECTS

26. NAMES OF KEY PERSONNEL (From Section E, Block 12)	27. ROLE IN THIS CONTRACT (From Section E, Block 13)	28. EXAMPLE PROJECTS LISTED IN SECTION F (Fill in "Example Projects Key" section below before completing table. Place "X" under project key number for participation in same or similar role.)									
		1	2	3	4	5	6	7	8	9	10
James P. Terpening, PE	Project Principal	X	X	X	X	X	X	X	X	X	X
Marcelo Dimitriou, PE	CEI Project Manager/ CEI Sr. Proj. Engineer/ Project Administrator	X	X	X	X	X	X	X	X	X	X
Fred Jones	Sr. Inspector				X			X			X
Frans Christensen	Sr. Inspector/ Construction Mgr.										
Jamie Campbell	Inspector			X		X		X	X		X
Chris Frederick	Inspector	X	X	X			X		X		X
Tom Terpening	Inspector/ Construction Mgr				X	X		X		X	X
Terri Gorman	Resident Compliance Specialist	X	X	X						X	X
Thomas P. Kiernan, PSM	Survey	X	X	X	X	X	X	X	X	X	X
Robert N. Johnson, PSM	Survey		X								
Stefan K. Matthes, PE	On Call Engineering support for CEI related projects	X	X		X	X	X		X		
Patrick Ferland, PE	On Call Engineering support for CEI related projects				X	X					

29. EXAMPLE PROJECTS KEY

No.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)	No.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)
1.	SAVONNA BLVD SIDEWALK W/ PED BRIDGE	6.	SR 70 (Okeechobee) TURN LANES
2.	DARWIN BLVD SIDEWALK W/PED BRIDGE	7.	GREEN RIVER PARKWAY
3.	MARPOSIA AVE SIDEWALK – PH III	8.	NORTH 13TH STREET
4.	SW VILLAGE PARKWAY	9.	US #1/ SR 76 INTERSECTION
5.	PSL COMMUNITY TRANSIT (PRO-BONO)	10.	WALTON ROAD – US #1 TO LENNARD

G. KEY PERSONNEL PARTICIPATION IN EXAMPLE PROJECTS

26. NAMES OF KEY PERSONNEL (From Section E, Block 12)	27. ROLE IN THIS CONTRACT (From Section E, Block 13)	28. EXAMPLE PROJECTS LISTED IN SECTION F (Fill in "Example Projects Key" section below before completing table. Place "X" under project key number for participation in same or similar role.)									
		1	2	3	4	5	6	7	8	9	10
James P. Terpening, PE	Project Principal	X	X	X	X	X	X				
Marcelo Dimitriou, PE	CEI Project Manager/ CEI Sr. Proj. Engineer/ Project Administrator	X	X	X	X	X	X				
Fred Jones	Sr. Inspector			X	X		X				
Frans Christensen	Sr. Inspector/ Construction Mgr.		X				X				
Jamie Campbell	Inspector			X		X					
Chris Frederick	Inspector			X		X					
Tom Terpening	Inspector/ Construction Mgr		X		X						
Terri Gorman	Resident Compliance Specialist						X				
Thomas P. Kiernan, PSM	Survey		X	X	X	X					
Robert N. Johnson, PSM	Survey						X				
Stefan K. Matthes, PE	On Call Engineering support for CEI related projects		X	X	X	X					
Patrick Ferland, PE	On Call Engineering support for CEI related projects										

29. EXAMPLE PROJECTS KEY

No.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)	No.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)
11.	SR A-1-A @ SEAWAY DRIVE	16.	SR 76 & I-95 – INTERCHANGE IMPROVEMENTS
12.	CROSSTOWN PARKWAY & FAIRGREEN RD	17.	
13.	WEATHERBEE PED PROJECT	18.	
14.	NE 80TH AVE & 48TH STREET (OKEECHOBEE0	19.	
15.	WEST MIDWAY ROAD CEI	20.	

H. ADDITIONAL INFORMATION

30. PROVIDE ANY ADDITIONAL INFORMATION REQUESTED BY THE AGENCY. ATTACH ADDITIONAL SHEETS AS NEEDED.

C&T Industry Recognition

Culpepper & Terpening, Inc. is proud to have been recognized nationally for our outstanding Civil Engineering and Land Surveying Services. In 2012, we received an Outstanding Achievement Award from the Florida Stormwater Association and the Project of the Year from the American Public Works Association for projects in Martin County. In 2011, we received a Top Ten Project Award from Water and Wastes Digest for the City of Stuarts Memorial Park project and in 2008, we were the recipient of the FICE Engineering Excellence Grand Award.

2012: FSA Outstanding Achievement Award

2012: APWA Project of the Year

2011: Water & Wastes Digest Top Projects Award

2008: FICE Engineering Excellence Grand

I. AUTHORIZED REPRESENTATIVE

The foregoing is a statement of facts.

31. SIGNATURE _____ 32. DATE 10/17/15

33. NAME AND TITLE James P. Terpening, PE, President

STANDARD FORM 330 (6/2004) PAGE 5

KEITH and SCHNARS, P.A.
FLORIDA'S *Big* LOCAL FIRM

STATEMENT OF QUALIFICATIONS

As one of Florida's largest engineering consulting firms, K&S, provides a wide range of services to a significant list of public and private clients. We take pride in our reputation, long established relationships, knowledge of regulatory requirements, and diversity of project experience. These are the tools which enable us to successfully meet the needs of our clients. We understand the interests at stake, and will be able to provide the City with sound advice and proven expertise to facilitate successful projects.

Our firm can trace its roots back to 1929 when we were one of Florida's first surveying firms. Incorporated in 1972, we have grown to become not only one of Florida's largest full service engineering firms, but also Florida's largest surveyor. Having been ranked among the ENR "Top 500" in the country for the past few decades, we have become known as the "Big Local" Firm. Unlike other similarly qualified firms, we distinguish ourselves by retaining our small firm feel, personal approach and easy access to our principals.

Since there are only four shareholders of our S-Corporation, all of which currently serve as officers as well, personal involvement by the principals of our firm is not only assured, but also the cornerstone of our success. Such involvement enables us to establish close, personal working relationships with our clients and lays the foundation of our reputation for quality work and satisfaction. We continually strive to attain complete client satisfaction, and our record of repeat business demonstrates our success in this capacity. Our goal is to provide the consulting services that meet or exceed client requirements. Quality comes first, both in performance, and in all aspects of our business relationships with our strategic partner - our clients.

As regulatory requirements have grown and the need for a true multidisciplinary approach to project delivery has become essential to our clients, our firm and staff have adapted accordingly. Through this adaptation, we have developed a staff and project portfolio that can be categorized into several areas of practice.
The firm's major areas of business include:

- Roadway Engineering
- Civil Engineering
- Structural Engineering
- Landscape Architecture
- Urban Design
- Transportation Planning
- Comprehensive, Regional and Urban Planning
- Surveying and Mapping
- Construction Engineering and Inspection
- Environmental Sciences and Permitting
- Grant Writing

As a full-service consulting firm, we pride ourselves in our ability to engage many types of projects and service contracts. We are a committed team of professionals cognizant of client concerns and dedicated to achieving task goals while protecting client interests. Most of our clients are long term repeat clients. An abbreviated listing of our continuing service clients includes: Town of Lake Clarke Shores (2015 to Present); City of Miami Gardens (2012 to Present); City of North Miami (2011 to Present), Broward County (1985 to Present); Florida Department of Transportation (1993 to Present); City of Fort Lauderdale (2002 to Present); Miami-Dade County (2002 to Present); City of Lauderhill (2013 to Present); City of Coconut Creek (2010 to Present); City of Port St. Lucie (2002 to Present); City of Miami (2013 to Present); and City of Boca Raton (1995 to Present).

RECEIVED JUN 2 9 2015

Centennial FDOT 1915 ★ 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

June 25, 2015

Tanzer Kalayci, President/CEO
KEITH AND SCHNARS, P.A.
6500 North Andrews Avenue
Fort Lauderdale, Florida 33309

Dear Mr. Kalayci:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 2 - Project Development and Environmental (PD&E) Studies

Group 3 - Highway Design - Roadway

- 3.1 - Minor Highway Design
- 3.2 - Major Highway Design
- 3.3 - Controlled Access Highway Design

Group 4 - Highway Design - Bridges

- 4.1.1 - Miscellaneous Structures
- 4.1.2 - Minor Bridge Design

Group 5 - Bridge Inspection

- 5.1 - Conventional Bridge Inspection
- 5.3 - Complex Bridge Inspection
- 5.4 - Bridge Load Rating

Group 6 - Traffic Engineering and Operations Studies

- 6.1 - Traffic Engineering Studies

Group 7 - Traffic Operations Design

- 7.1 - Signing, Pavement Marking and Channelization
- 7.2 - Lighting
- 7.3 - Signalization

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Group 8 - Survey and Mapping

- 8.1 - Control Surveying
- 8.2 - Design, Right of Way & Construction Surveying
- 8.4 - Right of Way Mapping

Group 10 - Construction Engineering Inspection

- 10.1 - Roadway Construction Engineering Inspection
- 10.3 - Construction Materials Inspection
- 10.4 - Minor Bridge & Miscellaneous Structures CEI

Group 13 - Planning

- 13.3 - Policy Planning
- 13.4 - Systems Planning
- 13.5 - Subarea/Corridor Planning
- 13.6 - Land Planning/Engineering
- 13.7 - Transportation Statistics

Group 15 - Landscape Architect

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any dollar amount. This status shall be valid until June 30, 2016 for contracting purposes.

	Home/Branch Office	Field Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense
Indirect Cost	170.18%	145.73%	0.571%	Reimbursed	8.75% (Home) 9.24% (Field)*

*Rent and utilities excluded from field office rate. These costs will be directly reimbursed on contracts that require the consultant to provide field office.

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

www.dot.state.fl.us

JOHNSON, MIRMIRAN & THOMPSON
Engineering A Brighter Future®

Overview of JMT

Founded in 1971, **Johnson, Mirmiran & Thompson, Inc. (JMT)** is one of Florida's leading engineering firms that has provided CEI services statewide since 1997. As you drive across Florida's numerous roadways and bridges from Tampa to the Florida Keys, chances are you will travel on a facility that was engineered by JMT. As the choice for Florida construction projects, JMT has successfully completed more than $1BN in infrastructure improvement projects that include roadways, bridges, buildings, traffic operations, and intelligent transportation systems.

Ranked #22 by ENR on the Top 25 Transportation Firms list, JMT is widely recognized for its expertise in:

ENR Engineering News-Record

- Construction Administration and Management
- Construction Inspections and Oversight
- Intelligent Transportation Systems
- Utility Coordination
- Constructability/Plans Reviews

Our portfolio of services and diversified qualifications of our staff allow us to provide our clients with a staffing plan that is structured for responsiveness, performance, efficiency and flexibility. This ensures that all our projects are successfully completed within the established budget and schedule goals. As a result of our diligence in ensuring the successful completion of all our projects, JMT has been recognized through various industry awards in Florida and client commendations that include 2013, 2012 and 2011 FTBA "Best in Construction" Awards.

With 7 offices strategically located throughout Florida, including our **primary, local office in St. Lucie County,** JMT has built an outstanding reputation for providing quality innovative engineering solutions that bring value to the clients and the communities we serve.

In addition to extensive CEI experience in the Treasure Coast area that include various segments along the SR 70 corridor, our on-going **I-95 Widening, a $55M design-build project in Vero Beach** and our ongoing SR 710/Beeline Highway in Martin County, JMT also has extensive experience on continuing services contracts and has participated on numerous general assign/districtwide contracts for FDOT as listed below:

- **Treasure Coast Operations General Assign (x2),** C-8986 and C-9375 4
- **Broward Operations Center General Assign (x2),** C-8B49 and C-8N73 4
- **Palm Beach Operations General Assign (x2),** C-8K58 and C-9300 4
- **Maintenance Support Services Contract,** RFP-DOT-14-15-5001-MSS 5
- **District-wide Environmental,** C-8J78 4
- **District Office CEI Plans Review,** C-8R17 4
- **District-wide CEI Consultant District Construction Office Support,** C-9C50 4

Centennial FDOT 1915 * 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

August 3, 2015

Karen Burns, Associate
JOHNSON, MIRMIRAN & THOMPSON. INC.
615 Crescent Executive Court, Suite 106
Lake Mary, Florida 32746

Dear Ms. Burns:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 2 - Project Development and Environmental (PD&E) Studies

Group 3 - Highway Design - Roadway

- 3.1 - Minor Highway Design
- 3.2 - Major Highway Design
- 3.3 - Controlled Access Highway Design

Group 4 - Highway Design - Bridges

- 4.1.1 - Miscellaneous Structures
- 4.1.2 - Minor Bridge Design
- 4.2.2 - Major Bridge Design - Steel

Group 5 - Bridge Inspection

- 5.1 - Conventional Bridge Inspection
- 5.3 - Complex Bridge Inspection
- 5.4 - Bridge Load Rating

Group 6 - Traffic Engineering and Operations Studies

- 6.1 - Traffic Engineering Studies
- 6.2 - Traffic Signal Timing
- 6.3.1 - Intelligent Transportation Systems Analysis and Design
- 6.3.2 - Intelligent Transportation Systems Implementation
- 6.3.3 - Intelligent Transportation Traffic Engineering Systems Communications

Group 7 - Traffic Operations Design

- 7.1 - Signing, Pavement Marking and Channelization
- 7.3 - Signalization

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Group 10 - Construction Engineering Inspection

10.1 - Roadway Construction Engineering Inspection
10.3 - Construction Materials Inspection
10.4 - Minor Bridge & Miscellaneous Structures CEI

Group 13 - Planning

13.4 - Systems Planning
13.5 - Subarea/Corridor Planning
13.6 - Land Planning/Engineering

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any amount. This status shall be valid until June 30, 2016 for contracting purposes.

	Home/Branch Office	Field Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense
Overhead Rate	144.30%	119.18%	0.098%	Reimbursed	0.91% (Home) 26.83% (Field)*

*Rent and utilities excluded from field office rate. These costs will be directly reimbursed on contracts that require the consultant to provide field office.

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

www.dot.state.fl.us

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 1

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
SR-5/US-1 Resurfacing, PBC/Martin County (FM No. 427023-1-62-01), FL	August 2014	August 2014

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
Florida Department of Transportation, District 4	Kris Kehres, P.E.	(772) 429-4927

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost.)*

JMT provided CEI services as a prime consultant on this RRR contract which entailed milling and resurfacing (M&R) US-1 from just south of the intersection at County Line Rd. to the pavement seams at approximate MP 5.05. This project also included cross slope corrections and widening in various areas. On the west side of US-1, a 10 foot wide Shared Use Path (SUP) was constructed from County Line Rd. to Jonathan Dickinson State Park (JDSP). Other project improvements included the construction of ADA ramps, signalization improvements, ITS upgrades, installation of a traffic monitoring site, count station, guardrail, signage, pavement markings and utility adjustments.

Construction Cost:$4,643,370
CEI Fee: $567,652

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Johnson, Mirmiran & Thompson, Inc.	Indiantown, FL	Prime Consultant
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

KAMM Consulting

R.A. KAMM & ASSOCIATES, INC. d.b.a KAMM Consulting, Inc., founded in 1994 by Art Kamm, is a consulting and engineering firm of 48+ committed, capable employees. Our firm is ranked nationally as one of the Top 100 specialized engineering firms in the United States.

KAMM Consulting, Inc. supplies consulting and engineering services in mechanical, electrical, lighting, plumbing and fire detection & suppression protection design. It is our goal to provide consistent, effective and efficient engineering designs on time, and on budget, to satisfied clients. An exclusive team, comprised of experienced individuals, is assigned to each project based on our clients' and a project's specific agreed upon needs.

Our firm has extensive experience in the design and construction of contemporary facilities. With a local staff of 48, including 5 licensed Professional Engineers, 11 LEED APs, Certified Commissioning Authority, Certified Commissioning Technician, licensed contractors, in-house fire-suppression designers, degreed engineers, and highly trained design personnel on staff, our ability to interact with the owner, developer and contractor is unsurpassed.

We serve a wide range of end users, including hospitals, medical facilities/laboratories, highly available computer facilities, continuous availability telecommunications suites, mixed-use lifestyle centers, luxury single-family residences, private schools, places of worship, hotels, restaurants, AHCA approved medical facilities/laboratories, office buildings, county and municipal government facilities, and specialty retail and commercial centers. We provide many additional services such as due diligence studies, requirements analyses, budgeting services, indoor air quality (IAQ) studies, lighting analyses, heat load and energy calculations.

We have engineered solutions for local, regional, as well as nationally-known companies:

- *Joe DiMaggio Children's Hospital,*
- *Holy Cross Hospital Cancer Center,*
- *Catholic Health Services Rehabilitation Hospital,*
- *Walgreen Drug Stores,*
- *CVS-Eckerds,*
- *GAP, Burger King,*
- *BrandsMart,*
- *City Furniture,*
- *Carl's Furniture,*
- *Union Bank,*
- *Wachovia Bank,*
- *Washington Mutual,*
- *SouthTrust Bank,*
- *Mayor's Jewelers,*
- *Visa and*
- *MTV.*

Owners and users of computing and telecommunications technology with mission-critical applications consistently assign high value to KAMM Consulting, Inc. solutions. KAMM Consulting, Inc.'s consulting and design capabilities in the highly available facilities arena are among the very best in the U.S. domestic market.

We are committed to being the best–cost provider of engineered solutions in the markets we serve. Quality solutions, on time and on budget, are a way of life at KAMM Consulting. We assess our quality and we track our on-time performance and performance against budget.

Company Profile:

Landscape Design Associates is a full service Landscape Architecture firm based in Port St. Lucie, Florida.

The company was founded by Sabine Marcks, a registered Landscape Architect with 19 years of experience in landplaning and landscape design, with a heavy focus on landscaping for roadway projects funded by the Florida Department of Transportation.

Current projects range from Developments of Regional Impacts to governmental approval services for commercial and residential developments and landscape and irrigation design. The company is currently doing landscape inspections for the FDOT on projects on the Treasure Coast.

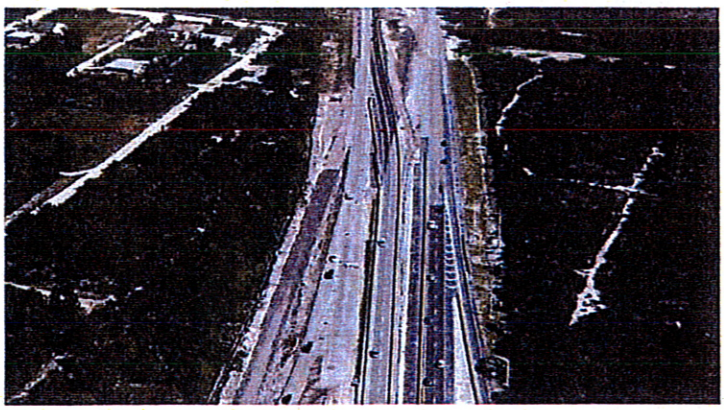
F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 2

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
I-95 from SR 60/Osceola Blvd. to Brevard County Line, Indian River County, FL - FDOT District 4	On Going	On Going

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
Florida Department of Transportation, District 4	Dale Walker	(772) 429-4879

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost.)*



I-95 is the nation's longest north-south interstate highway, and it runs nearly the full length of the Florida's Atlantic coast. A $55 million design-build project is underway to widen I-95 from four to six lanes in the Vero Beach area, from just north of SR 60/Osceola Boulevard interchange to the Indian River/Brevard County line. JMT is providing construction engineering and inspection (CEI) services during the construction of the improvements.

The project requires the replacement of both bridges over Fellsmere Road (CR- 512) with a new bridge structure to provide three lanes with widened shoulders in each direction. Other project improvements include drainage, guardrail, signalization, ITS, signage, landscaping, and sound wall construction.

Anticipated Completion Date: May, 2016
JMT CEI Fee: $5.1M
Construction Cost: $55M

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Johnson, Mirmiran & Thompson, Inc.	Fort Lauderdale, FL	Prime Consultant
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

Company Biography

Masuen Consulting LLC

Masuen Consulting LLC, is an *Outdoor Water Management and Sustainability Consulting* firm comprised of landscape architects, horticulturalists, agronomists, agricultural engineers, water resource engineers, environmental engineers, irrigation designers, landscape designers, site planners, and construction/project managers. The firm is dedicated to providing clients with services focused on ***water conservation*, resource & cost sustainability, operational efficiencies, regulatory compliance, and exemplary service.** Everything Masuen Consulting LLC delivers is the result of a critical thinking, 'out of the box', oriented mindset guided by a *senior management team* with over eighty five (85) years of combined experience in the landscape, golf, government, and agricultural industries.

The consulting firm was created with the sole focus of bringing science based, field verified solutions to the Landscape, Golf, Government, and Agricultural marketplaces. As industry professionals with expertise in soils, plants, water, hydraulics, design theory, water shed analysis and management, and construction/project management, we develop and implement successful 'holistic' outdoor water management solutions to meet each client's unique needs.

Masuen Consulting has been providing ***proven solutions to real world problems* in the outdoor water management and sustainability arenas** for twenty one (21) years. The company consists of three divisions; 1) Design, 2) Technical Consulting and 3) Water Management. Masuen Consulting, LLC offers its services throughout the United States and overseas. We have offices in Florida, Georgia, Texas, and Washington State.

To learn more about Masuen Consulting please visit us:

On the World Wide Web at http://www.masuenconsulting.com,
by email at masuen@masuenconsulting.com,
or call 1-866-928-1533, ext 212.

Centennial FDOT 1915★2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

March 6, 2015

Todd Mohler, Director of Consulting
MASUEN CONSULTING, LLC
5079 North Dixie Highway, #323
Oakland Park, Florida 33334

Dear Mr. Mohler:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 15 - Landscape Architect

Your firm is now technically qualified to do work for the Department for minor projects only, enabling your firm to compete for Professional Services projects with fees estimated at below $500,000.00. This status shall be valid until March 6, 2016 for contracting purposes.

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

CBHK/kw

H S E
Hobe Sound Environmental Consultants Inc.
9512 SE Duncan Street
Hobe Sound, Fl. 33455
(772)545-3676, E-mail: bobhsenv@gmail.com

OVERALL EXPERIENCE LIST

The HSE Staff has extensive experience in all aspects of environmental consulting. Robert L Weigt, President of Hobe Sound Environmental (HSE) oversees all projects for the firm. Our professionals are known for their ability to obtain permits for difficult projects utilizing sound environmental principles, common sense and a working knowledge of how governmental and regulatory agencies function. We believe in keeping the client informed during all phases of the project and in providing innovative and cost-effective services. Project Managers for HSE include Mr. Weigt, and Ms. Freda Posin, who have over 55 years of combined experience in environmental permitting and design and restoration of native habitats. Mr. Michael Sanders is an environmental scientist at HSE and has extensive experience in both marine and terrestrial biology.

Mr. Weigt holds Florida Fish and Wildlife Conservation Commission (FWC) authorized gopher tortoise agent permit #GTA-09-00153 and both Ms. Posin and Mr. Sanders are certified assistants. Some examples of gopher tortoise relocation projects completed by HSE staff include Western Palm City Corridor, Green River Parkway, Becker Road Corridor, Tiffany Road Sidewalks, Eastern Watershed Improvement Project (EWIP), Manatee Pocket, Phipps Park/Tropical Farms, Golden Gate, Manatee Creek, Riverfront Park, Hobe Sound Land Company and Florida Inland Navigation District (FIND), among others.

HSE staff has mitigated numerous environmental issues in the St. Lucie County area including scrub-jay preserves, acquisitions and habitat restoration; bald eagle nest coordination with United States Fish and Wildlife Service (FWS); gopher tortoise preservation areas and gopher tortoise mitigation; mitigation of wetland and upland habitats; conservation easement with South Florida Water Management District (SFWMD), U.S. Army Corps of Engineers (ACOE) and Florida Department of environmental Protection (FDEP); and sea turtle protection and lighting plans coordinated with FWC and FDEP. HSE has also developed protection plans for the eastern indigo snake, gopher tortoise, Atlantic salt marsh snake, American bald eagle, Florida scrub-jay, the southeastern beach mouse and scrub habitat, which have been coordinated with FWC and FWS.

HSE staff has mapped the soil types of various counties, including St. Lucie County, according to the Soil Survey of Florida, United States Department of Agriculture, Soil Conservation Service, 1987 for each EA and EIR that has been completed for projects within those counties. Our staff is very familiar with the soil types via field experience and continuing education. Our continuing education includes hydric soils (Florida Association of Environmental Soil Scientists, Soil Conservation Service (SCS), FDEP and SFWMD), wetland soils and hydrology (Wetland Training

Institute), disturbed soils and problem areas (Wetland Training Institute), hydric soils and wetland delineation (ACOE), and the U.S. Army Corps of Engineers and Florida Statewide 62-340 FAC wetland delineation and hydric soils (Richard Chinn Environmental Training, Inc.).

HSE staff has sampled numerous sites for percent muck content and other related tests such as grain size, nutrient content, saturation, slumps, color, etc. Our staff has installed and maintained water level data recorders to show hydric conditions of soils and to meet special conditions of hydrology during monitoring programs.

HSE staff has designed, completed and maintained many functional wildlife habitats, including herbaceous wetlands, mangrove wetlands, forested wetlands, pine flatwoods, frontal dune, coastal strand and topical hammock. These projects include planting plans and planting of native habitats, exotic eradication plans and eradication, construction management, construction bid specifications, cost estimates, conservation easements, monitoring for three to five years, agency coordination with ACOE, SFWMD, FDEP, Martin County, St. Lucie County, St. Lucie County Mosquito Control District (SLCMCD), Palm Beach County, Okeechobee County, Lee County, Monroe County, FWC, FWS and FIND. HSE has also completed preserve area management plans and wildlife habitat education posters.

HSE is ready to serve the environmental needs of the St. Lucie County. Areas of expertise by HSE include:

- Phase I Environmental Site Assessments (ESA)
- Environmental Assessments (EA)
- Vegetation Analysis and Mapping according to the Florida Land Use, Cover and Forms Classification System (FLUCFCS)
- Endangered and Threatened Species Surveys
- Soils Mapping
- Wetland Delineations
- Seagrass and Macro-benthic Surveys and Reports
- Listed Species Surveys, Reports and Relocation Permitting
- Listed Species Relocations
- Environmental Resource Permitting (ERP) - State and Federal
- County and Local Permitting
- Preserve Area Management Plans (PAMP)
- Wetland Rapid Assessment Procedure (WRAP)
- Uniform Mitigation Assessment Methodology (UMAM)
- Public Interest Criteria
- Section 404(b)(1) Compliance Criteria
- Alternative Sites Analyses
- Cumulative Impacts Analyses
- Mitigation Design and Wetland Impact Reduction
- Mitigation Bid Specifications
- On-site and Off-site Planting Plans
- Turbidity Control Plans and Monitoring
- Stormwater Treatment Area (STA) Design and Planting Plans

- Endangered and Threatened Species Protection Plans
- Mitigation, Monitoring and Maintenance Plans
- Habitat Conservation Plans
- Wetland and Upland Preserve Area Monitoring
- Land Use and Natural Resource Inventory and Mapping
- Water Quality Assessments and Monitoring
- Solid Waste and Wastewater Treatment Facility Siting
- Environmental Impact Statements (EIS)
- Corridor Analyses
- Environmental Grant Applications
- Mitigation Bank Design and Permitting
- Endangered and Threatened Species Relocation Bank Permitting
- Wetland and Upland Preserve Design, Planting and Maintenance
- Ichthyological Studies
- Ornithological Studies
- Historical Surveys

We trust that the information which has been presented will enable the St. Lucie County to realize the professionalism to which HSE strives. We believe that HSE can provide County staff with exemplary environmental services based upon our years of experience in the State of Florida. Our company is committed to providing the highest level of service to ensure our client's needs and project goals are met and kept within budget

National Registry of Environmental Professionals

National Registry of Environmental Professionals

presents this certificate to

Robert Weigt, REPA

in recognition of

15 Years

years certification

Witness our hand
This Day December 21, 2009

Executive Director

Senior Director

Florida Environmental Assessors Association Inc.

The Florida Environmental Assessors Association, Inc.

Hereby Certifies That

Robert Weigt, CFEA (# 118), LEP (#101)

Is A Member In Good Standing

Membership # 284
Membership Number

December 31, 2008
Expiration Date

Stephen Hillker
President

CERTIFICATE OF TRAINING

Robert L. Weigt

Completed a One Day Hydric Soils Seminar

Presented By

FLORIDA ASSOCIATION OF ENVIRONMENTAL SOIL SCIENTISTS

Date 4/25/95

Jeff Allen, President

Wetland Training Institute

This certifies that

ROBERT L. WEIGT

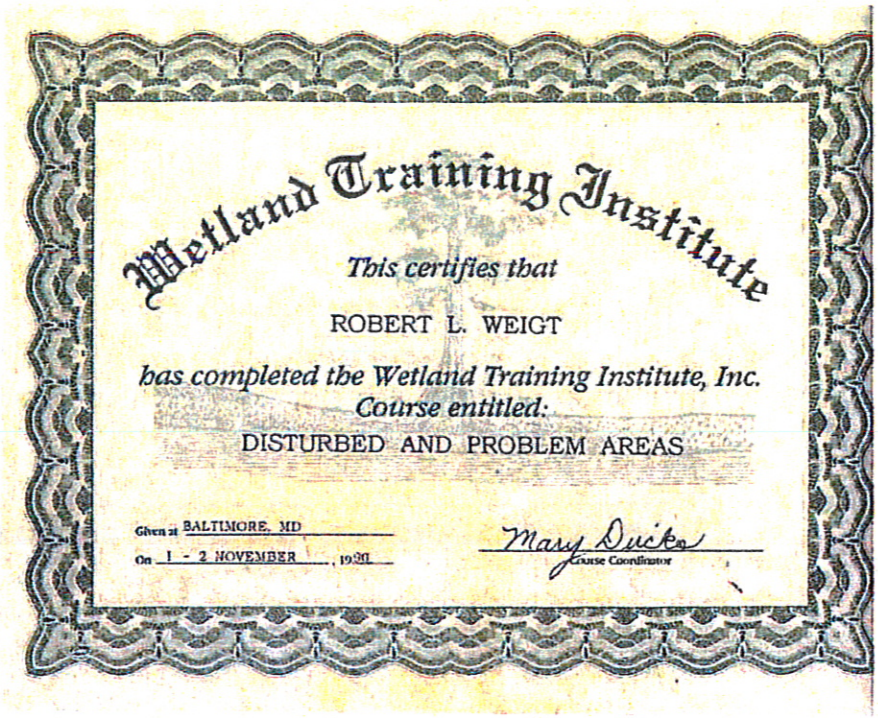
has completed the Wetland Training Institute, Inc.
Course entitled:

WETLAND SOILS AND HYDROLOGY

Given at Charleston, SC

On Nov. 28 - Dec. 2, 19 90

Course Coordinator



Wetland Training Institute

This certifies that

ROBERT L. WEIGT

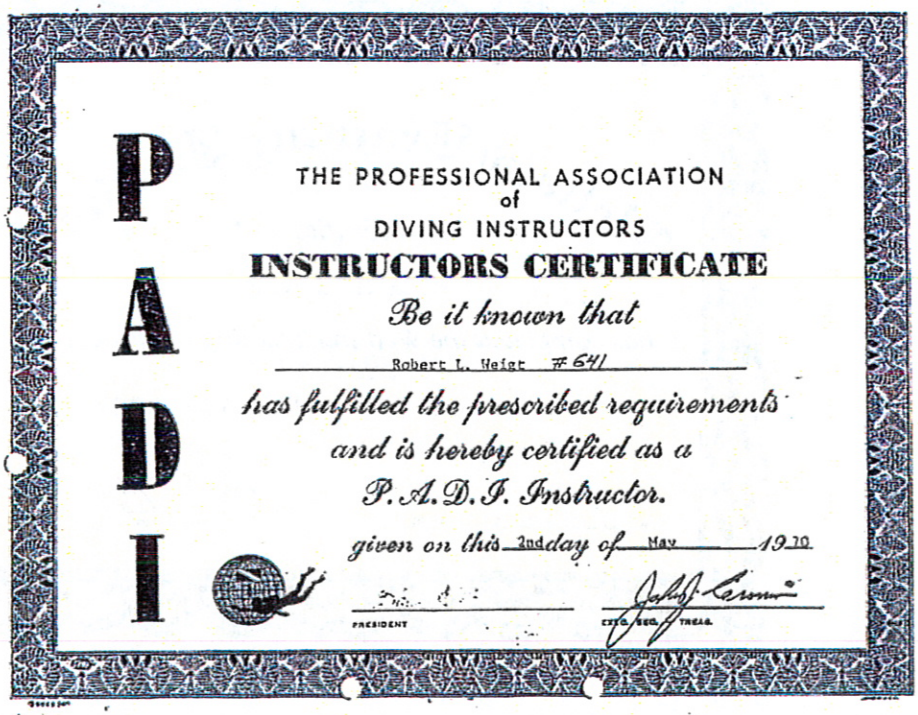
has completed the Wetland Training Institute, Inc. Course entitled:

DISTURBED AND PROBLEM AREAS

Given at BALTIMORE, MD

On 1 - 2 NOVEMBER, 1990

Mary Ducko
Course Coordinator



P A D I

THE PROFESSIONAL ASSOCIATION
of
DIVING INSTRUCTORS

INSTRUCTORS CERTIFICATE

Be it known that

Robert L. Weigt #641

has fulfilled the prescribed requirements and is hereby certified as a P.A.D.I. Instructor.

given on this 2nd *day of* May 19 70

PRESIDENT

CERT. REG. TREAS.

Certificate of Training

This certifies that

Freda Posin Zuckerman

has successfully completed

Wetland Identification & Delineation

This the 10th day of December 1999

This training has been based in part on the US Army Corps of Engineers Wetlands Delineation Manual, Technical Report Y87-1 (1987 Manual), as provided for in the training materials developed in conjunction with Section 307(e) of the Water Resources Development Act of 1990 for the Wetland Delineator Certification Program.

This further certifies that 30 Professional Development Hours have been awarded for completion of this course.

Stephanie S. Briggs
Instructor

Authorized Gopher Tortoise Agent

FLORIDA FISH AND WILDLIFE CONSERVATION COMMISSION
Division of Habitat and Species Conservation
Species Conservation Planning Section
620 South Meridian Street, Mail Station 2A
Tallahassee, Florida 32399-1600
(850) 921-1031

Permittee Name:	Robert Weigt	Permit Number: GTA-09-00153C
Permittee Address:	Hobe Sound Environmental Consultants, Inc. 9512 SE Duncan Street HOBE SOUND, FLORIDA 33455 UNITED STATES	Effective Date: **May 29, 2015** Expiration Date: **June 1, 2017**

IS AUTHORIZED TO:

1. Conduct gopher tortoise surveys
2. Capture gopher tortoises using bucket traps
3. Capture gopher tortoises using hand shovel excavation of gopher tortoise burrows
4. Mark, transport, and release captured gopher tortoises at recipient sites
5. Collect blood samples from gopher tortoises for URTD testing
6. Supervise backhoe excavation of gopher tortoise burrows to capture gopher tortoises

Permittee Signature: _____ Date: _____

Not valid unless signed. By signature, confirms that all information provided to issue the permit is accurate and complete, and indicates acceptance and understanding of the provisions and conditions listed below. **Any false statements or misrepresentations when applying for this permit may result in felony charges and will result in revocation of this permit.**

Authorized By: Richard McCann Authorized for: Nick Wiley, Executive Director

Richard D. McCann

Authorizing Signature: _____ Date: 05/29/2015

Species Conservation Planning Section

This permit is in effect an amendment of permit GTA-09-00153B that will expire on June 1, 2015 and supersedes all previous versions. All amended conditions and provisions of the previous permit (changed or new items) are indicated in bold text.

PERMIT CONDITIONS AND PROVISIONS:

1 Authorization to conduct the specified activities in association with the relocation of gopher tortoises in Florida is subject to Rules 68A-9.002 and 68A-27 F.A.C., the Gopher Tortoise Permitting Guidelines (April 2008 – **revised February 2015**), and subsequent revisions of these guidelines that are in effect at the time the authorized activities are conducted, and subject to the following provisions/conditions.

2 Authorized activities are also predicated and conditioned on the information and assurances provided in the Permittee's 05/26/2009 application (supplemented on 05/29/2009); and the 03/29/2011, 05/29/2013, **and 05/26/2015 amendment requests**, the assurances of which are herein incorporated by reference.

3 The Permittee shall only take, attempt to take, pursue, hunt, harass, capture, possess, or transport gopher tortoises, or molest, damage or destroy any gopher tortoise burrows when such activity is authorized by a separate permit (e.g., Conservation, 10 or Fewer Burrows, Temporary Exclusion, Recipient Site, Scientific Collecting) issued by the FWC for a specified property that authorizes the Permittee to capture and possess gopher tortoises from or within that property.

4 Tortoises shall only be relocated when the low temperature at the recipient site is forecasted by the National Weather Service to be above 50° Fahrenheit for three consecutive days after release (including the day of relocation). This three-day window of milder overnight temperatures is required to allow the relocated tortoises to settle into the recipient site and to reduce the chance of cold-related stress or mortality.

5 Captured gopher tortoises that show signs of disease (i.e., nasal and ocular discharge, emaciation, etc.) should not be relocated off-site. At the Permittee's discretion, symptomatic tortoises may be: relocated on-site; transported to and quarantined at a FWC-licensed wildlife rehabilitation center (list available upon request) or licensed veterinary facility and observed for recovery and subsequent relocation along with others from the population; transported and donated to a FWC-permitted disease research program; or humanely euthanized by a licensed veterinarian when disease is advanced.

6 Gopher tortoises released at a recipient site shall be released into an enclosure in conformance with the FWC enclosure requirements. The enclosure must be maintained for a minimum period of six months from that release, but no longer than twelve months; however, the maximum 12-month time limit will not apply when the entire perimeter of the approved recipient site parcel is permanently fenced in conformance with the FWC enclosure requirements. Enclosure materials, construction methods and dimensions must conform to the requirements specified in the Gopher Tortoise Permitting Guidelines (April 2008-**revised February 2015**), or as amended. The enclosures must be regularly monitored and maintained, including the immediate repair of any damage to maintain the integrity of the enclosure. Monitoring of the enclosure shall be conducted at least once a week for the first four weeks following release of tortoises, and at least once a month thereafter.

7 Gopher tortoises may be released into an enclosure constructed within a portion of a recipient site. The stocking rate within the enclosure may be up to 1.5 times the gopher tortoise density that is approved by the FWC for that entire recipient site parcel. However, the maximum number of tortoises approved by the FWC for release into the entire recipient site parcel shall not be exceeded.

8 This permit does not authorize Permittee access to any public or private properties. Any required permission must be secured from the appropriate landholders prior to undertaking any work on such properties.

9 Captures/relocations may be conducted only if local written approvals have been obtained for clearing, grading, or construction activities and provided to the Gopher Tortoise Permit Coordinator prior to commencing relocation activities. This permit is non-transferable and must be readily available for inspection at all times while engaging in the permitted activities.

10 Blood samples must be collected as described in the Collection and Preparation of Blood Samples from Gopher Tortoises for Determining Exposure to Mycoplasma sampling protocol (attached).

11 Each blood sampling tube must be labeled with the consultant name, unique identification number (consisting of abbreviated project/property name and consecutive number, e.g. Lantana Kingdom = lantking02, lantking03, etc.), and date sample collected.

12 Sample submission forms must include a contact person, complete project name, sample identification numbers (per condition 10 above), County, Township, Range and Section. Complete forms shall be submitted along with the blood samples to: Dr. Mary Brown, University of Florida, 1600 SW Archer Road, BSB 350, Gainesville, Florida 32610, (352) 392-4700, extension 3968 or 3981. The Permittee is responsible for all fees and costs associated with testing. Test results will be provided by the testing facility to the FWC and the Permittee.

13 This permit can be suspended, revoked or not renewed for just cause, pursuant to Chapter 120, Florida Statutes.

14 The activities authorized under this Permit must be carried out by the Permittee or the Assistant (s) that are designated by the Permittee. The Permittee shall revise its list of designated Assistants and submit the required supporting documentation utilizing the FWC online permitting system prior to that Assistant conducting any gopher tortoise activities authorized under this permit. The FWC reserves the right to deny a Permittee's designation of an individual as its Assistant for just cause. All activities conducted by Assistants must be under the supervision and responsibility of the Permittee. Assistants must be directly supervised on site by the Permittee when the Assistant(s) are collecting gopher tortoise blood samples or participating in the backhoe excavation of gopher tortoise burrows. The Permittee shall be as fully responsible for activities conducted by Assistants and contracted backhoe operators to the same extent as if they had themselves carried out those activities under this Permit.

15 The Permittee and its Assistant(s) must carry with them either this original permit, or a complete copy, while engaged in the permitted gopher tortoise activities. The Assistant(s) must also be in possession of a copy of the letter of designation from the Permittee.

16 The Permittee, by signing this permit, specifically agrees to allow authorized Commission personnel, upon presentation of credentials as may be required by law, access to sites to inspect the activities authorized under this permit.

17 The Permittee shall keep accurate and complete records of the activities conducted under this permit and provide a copy of these records upon receiving written request from the FWC. A request for permit renewal or extension should be submitted at least 45 days prior to the expiration date of this permit.

A person whose substantial interests are affected by FWC's action may petition for an administrative proceeding (hearing) under sections 120.569 and 120.57 of the Florida Statutes. A person seeking a hearing on FWC's action shall file a petition for hearing with the agency within 21 days of receipt of written notice of the decision. The petition must contain the information and otherwise comply with section 120.569, Florida Statutes, and the uniform rules of the Florida Division of Administration, chapter 28-106, Florida Administrative Code. If the FWC receives a petition, FWC will notify the Permittee. Upon such notification, the Permittee shall cease all work authorized by this permit until the petition is resolved. The enclosed Explanation of Rights statement provides additional information as to the rights of parties whose substantial interests are or may be affected by this action.

PERMIT NO. GTA-09-00153C

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NATURAL RESOURCE MANAGEMENT, WETLAND, AND ENVIRONMENTAL PERMITTING SERVICES

EW CONSULTANTS, INC.

ABOUT EW CONSULTANTS, INC.

EW Consultants, Inc. is a company comprised of environmental and natural resource professionals with expertise in a wide variety of environmental realms. We specialize in environmental assessments, water quality evaluation and monitoring, wetland delineation, listed species surveys, and multi-agency government coordination and permitting on the federal, state, and local levels. We have been involved in over 300 diverse environmental projects throughout the state of Florida. All of these projects have required close coordination with applicable permitting agencies. As a result, we have developed close working relationships with county staff, the Water Management Districts, the Florida Department of Environmental Protection, the Florida Fish and Wildlife Conservation Commission, the U.S. Army Corps of Engineers, and the U.S. Fish and Wildlife Service.

Our fundamental philosophy consists of technical excellence, creativity, and continuous coordination. This combination provides our clients with leading edge analyses to address existing and forthcoming environmental challenges and practical regulatory results through long-standing relationships and communication with agency personnel.

Our clients span all sectors and our proven successes show our ability to work with a wide range of interests. Through our experience, we have developed a variety of unique qualifications and capabilities to provide these services and the skill set to assist with projects with complex and multifaceted components. We have successfully led efforts to obtain environmental permits on the federal, state, and local level for public and private clients.

The collective experience of the EW Consultants, Inc. personnel totals over 50 years in professional natural resource and permitting consultation. We not only have well-versed and motivated scientific and permitting staff, but the firm has in-house AutoCADD and ARC GIS capabilities.

1000 SE MONTEREY COMMONS BLVD., SUITE 208 STUART, FL 34996 PH :772-287-8771 FAX :772-287-2988
WWW.EWCONSULTANTS.COM

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER
	1

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED - 2004	
Jensen Beach High School Jensen Beach, FL	PROFESSIONAL SERVICES Environmental Assessment Wetland Delineation Wetland Mitigation Listed Species Surveys Listed Species Relocations Corps, SFWMD, County Permitting	CONSTRUCTION (If Applicable)

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
Martin County School District	Martin County School Board	772-219-1200

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*

Conducted listed species survey and relocations (gopher tortoises) for the entire site; conducted listed species surveys for various water-dependent listed species such as Woodstork, Sandhill Crane, various wading birds, and bald eagle; conducted environmental assessment for the wetland and upland areas; performed South Florida Water Management District and Corps of Engineers wetland delineations for entire site; secured SFWMD and Corps permits for entire site which included numerous wetland impact areas due to internal roadway system and school/park development; developed wetland mitigation alternatives for project; designed wetland mitigation which included on site wetland restoration

Project size is approximately 120 acres

EW Consultants, Inc. portion approximately $ 60,000.00

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.			
b.			
c.			
d.			
e.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER
	1

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED – On-Going	
Banyan Bay Martin County, FL	PROFESSIONAL SERVICES Environmental Assessment Wetland Delineation Wetland Mitigation Listed Species Surveys Listed Species Relocations Corps, SFWMD, County Permitting	CONSTRUCTION (if Applicable)

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
Greenpointe	Mr. Grady Miars	239-851-3225

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*

Conducted listed species survey and relocation (gopher tortoises0 for the entire site; conducted listed species surveys for various water-dependent wading birds including Woodstork and Sandhill Crane; conducted Scrub Jay territorial surveys and permitting through USFWS; conducted environmental assessment for the wetland and upland areas; performed South Florida Water Management District and Corps of Engineers wetland delineations for entire site; secured SFWMD and Corps permits; developed roadway alignment alternatives in order to reduce wetland impacts; developed wetland mitigation alternatives for roadway impacts; developed wetland mitigation which includes an on-site two-acre flow through marsh

Project size is approximately 250 acres

EW Consultants, Inc. portion approximately $ 80,000.00

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.			
b.			
c.			
d.			
e.			

Society of Wetland Scientists
Certification Program, Inc.

renews the designation

Professional Wetland Scientist

for

Edward R. Weinberg

In recognition of all the professional requirements approved by the Society of Wetland Scientists Certification Renewal Program, and verified by the Society's Certification Renewal Review Panel. Professional Wetland Scientist number 670 issued on 9/29/1995 and recertified on 12/28/2009.

Elizabeth P. Blinsky, PhD, PWS, President

Pat Frost, PWS, Certification Renewal Chair

Society of Wetland Scientists
Professional Certification Program, Inc.

grants the designation

Professional Wetland Scientist

to

Arnaud Roux

In recognition of all the professional requirements approved by the Society of Wetland Scientists Certification Program, Inc. and verified by the Society's Certification Review Panel on 3/20/2015. Professional Wetland Scientist number 2549. Due to recertify by 3/20/2020.

Robert D. Shannon, PhD., PWS
President

John M. Lowenthal, PWS
Review Panel Chair

Authorized Gopher Tortoise Agent

FLORIDA FISH AND WILDLIFE CONSERVATION COMMISSION
Division of Habitat and Species Conservation
Species Conservation Planning Section
620 South Meridian Street, Mail Station 2A
Tallahassee, Florida 32399-1600
(850) 921-1031

Permittee Name: Jason Hahner
Permittee Address: EW Consultants, Inc.
1000 SE Monterey Commons Blvd. Suite 208
STUART, FLORIDA 34996
UNITED STATES

Permit Number: GTA-14-00024B
Effective Date: **January 21, 2015**
Expiration Date: April 29, 2016

IS AUTHORIZED TO:

1. Conduct gopher tortoise surveys
2. **Capture gopher tortoises using hand shovel excavation of gopher tortoise burrows**
3. **Mark, transport, and release captured gopher tortoises at recipient sites**
4. Supervise backhoe excavation of gopher tortoise burrows to capture gopher tortoises

Permittee Signature: _____ Date: _____

Not valid unless signed. By signature, confirms that all information provided to issue the permit is accurate and complete, and indicates acceptance and understanding of the provisions and conditions listed below. **Any false statements or misrepresentations when applying for this permit may result in felony charges and will result in revocation of this permit.**

Authorized By: Richard McCann Authorized for: Nick Wiley, Executive Director

Richard L McCann

Authorizing Signature: _____ Date: 01/21/2015

Species Conservation Planning Section

This permit is in effect an amendment of permit GTA-14-00024A, which expires on April 29, 2016, and supersedes all previous versions. **The address of the Permittee has been changed.** All **other** amended conditions and provisions of the previous permit (changed or new items) are indicated by bold text.

PERMIT CONDITIONS AND PROVISIONS:

1 Authorization to conduct the specified activities in association with the relocation of gopher tortoises in Florida are subject to Rules 68A-9.002 and 68A-27 F.A.C., the Gopher Tortoise Permitting Guidelines (April 2008 – revised April 2013), and subsequent revisions of these guidelines that are in effect at the time the authorized activities are conducted, and subject to the following provisions/conditions.

2 Authorized activities are also predicated and conditioned on the information and assurances provided in the Permittee's 04/28/2014 application; and the 06/18/2014 **and 01/13/2015 amendment requests**, the assurances of which are herein incorporated by reference.

3 The Permittee shall only take, attempt to take, pursue, hunt, harass, capture, possess, or transport gopher tortoises, or molest, damage or destroy any gopher tortoise burrows when such activity is authorized by a separate permit (e.g., Conservation, 10 or Fewer Burrows, Temporary

Exclusion, Recipient Site, Scientific Collecting) issued by the FWC for a specified property that authorizes the Permittee to capture and possess gopher tortoises from or within that property.

4 Tortoises shall only be relocated when the low temperature at the recipient site is forecasted by the National Weather Service to be above 50° Fahrenheit for three consecutive days after release (including the day of relocation). This three-day window of milder overnight temperatures is required to allow the relocated tortoises to settle into the recipient site and to reduce the chance of cold-related stress or mortality.

5 Captured gopher tortoises that show signs of disease (i.e., nasal and ocular discharge, emaciation, etc.) should not be relocated off-site. At the Permittee's discretion, symptomatic tortoises may be: relocated on-site; transported to and quarantined at a FWC-licensed wildlife rehabilitation center (list available upon request) or licensed veterinary facility and observed for recovery and subsequent relocation along with others from the population; transported and donated to a FWC-permitted disease research program; or humanely euthanized by a licensed veterinarian when disease is advanced.

6 **Gopher tortoises released at a recipient site shall be released into an enclosure in conformance with the FWC enclosure requirements. The enclosure must be maintained for a minimum period of six months from that release, but no longer than twelve months; however, the maximum 12-month time limit will not apply when the entire perimeter of the approved recipient site parcel is permanently fenced in conformance with the FWC enclosure requirements. Enclosure materials, construction methods and dimensions must conform to the requirements specified in the Gopher Tortoise Permitting Guidelines (April 2008-revised April 2013), or as amended. The enclosures must be regularly monitored and maintained, including the immediate repair of any damage to maintain the integrity of the enclosure. Monitoring of the enclosure shall be conducted at least once a week for the first four weeks following release of tortoises, and at least once a month thereafter.**

7 **Gopher tortoises may be released into an enclosure constructed within a portion of a recipient site. The stocking rate within the enclosure may be up to 1.5 times the gopher tortoise density that is approved by the FWC for that entire recipient site parcel. However, the maximum number of tortoises approved by the FWC for release into the entire recipient site parcel shall not be exceeded.**

8 This permit does not authorize Permittee access to any public or private properties. Any required permission must be secured from the appropriate landholders prior to undertaking any work on such properties.

9 Captures/relocations may be conducted only if local written approvals have been obtained for clearing, grading, or construction activities and provided to the Gopher Tortoise Permit Coordinator prior to commencing relocation activities. This permit is non-transferable and must be readily available for inspection at all times while engaging in the permitted activities.

10 This permit can be suspended, revoked or not renewed for just cause, pursuant to Chapter 120, Florida Statutes.

11 The activities authorized under this Permit must be carried out by the Permittee or the Assistant (s) that are designated by the Permittee. The Permittee shall revise its list of designated Assistants and submit the required supporting documentation utilizing the FWC online permitting system prior to that Assistant conducting any gopher tortoise activities authorized under this permit. The FWC reserves the right to deny a Permittee's designation of an individual as its Assistant for just cause. All activities conducted by Assistants must be under the supervision and responsibility of the Permittee. Assistants must be directly supervised on site by the Permittee when the Assistant(s) are collecting gopher tortoise blood samples or participating in the backhoe excavation of gopher tortoise burrows. The Permittee shall be as fully responsible for activities conducted by Assistants and contracted backhoe operators to the same extent as if they had themselves carried out those activities under this Permit.

12 The Permittee and its Assistant(s) must carry with them either this original permit, or a complete copy, while engaged in the permitted gopher tortoise activities. The Assistant(s) must also be in possession of a copy of the letter of designation from the Permittee.

PERMIT NO. GTA-14-00024B Page : 2 / 3

13 The Permittee, by signing this permit, specifically agrees to allow authorized Commission personnel, upon presentation of credentials as may be required by law, access to sites to inspect the activities authorized under this permit.

14 The Permittee shall keep accurate and complete records of the activities conducted under this permit and provide a copy of these records upon receiving written request from the FWC. A request for permit renewal or extension should be submitted at least 45 days prior to the expiration date of this permit.

A person whose substantial interests are affected by FWC's action may petition for an administrative proceeding (hearing) under sections 120.569 and 120.57 of the Florida Statutes. A person seeking a hearing on FWC's action shall file a petition for hearing with the agency within 21 days of receipt of written notice of the decision. The petition must contain the information and otherwise comply with section 120.569, Florida Statutes, and the uniform rules of the Florida Division of Administration, chapter 28-106, Florida Administrative Code. If the FWC receives a petition, FWC will notify the Permittee. Upon such notification, the Permittee shall cease all work authorized by this permit until the petition is resolved. The enclosed Explanation of Rights statement provides additional information as to the rights of parties whose substantial interests are or may be affected by this action.

PERMIT NO. GTA-14-00024B

Page : 3 / 3

Ardaman & Associates, Inc.

PROFESSIONAL QUALIFICATIONS OF FIRM

Size and Services

Ardaman & Associates, Inc. is one of Florida's largest geotechnical, environmental and materials engineering consulting firms. Since its founding in Orlando in 1959, the company has grown to nearly 400 employees, and expanded its scope from Florida to national and international operations. From 15 branch offices located throughout Florida and Louisiana, we conveniently provide local experience and timely response throughout the State. This broad base of experience enables our staff to satisfy the demands of any project, anywhere.

For this contract, Ardaman will use its fully-staffed office in Port St. Lucie, which has provided engineering and testing services along the Treasure Coast for over 28 years. Throughout this time, we have worked with numerous, local public-sector clients, including Martin County. Our local staff is readily available to respond to any County project. The resources of our Port St. Lucie office will be supported by a large pool of mechanical and human resources. Specifically, our nearby West Palm Beach (established in 1982) office will offer immediate support on County projects when needed. We offer Martin County the following services:

- Geotechnical Engineering
- Construction Materials Testing
- Threshold Inspection Services
- Environmental Engineering and Consulting
- Hydrogeology and Hydrology Services
- Solid Waste Engineering and Consulting
- Drilling Services
- Expert Witness Testimony

Because we have served in the local area for over 28 years, we have amassed the experience and understanding of the County's needs. Through this experience, we understand that key elements of this contract will include helping the County meet its project goals at the lowest cost. To this end, not only will Ardaman establish budgets in cooperation with the County Staff, we will also closely consider the economic impact of our recommendations.

In addition, we will maintain a high level of quality that will help protect the County, especially when we are required to advocate for the County. An important element of any geotechnical engineering and testing company's quality assurance concerns third party, independent inspections and certifications. All of Ardaman's testing facilities, including those in Port St. Lucie and West Palm Beach, are annually subjected to independent inspection by the Construction Materials Engineering Council (CMEC). Certification by the CMEC assures the County that our equipment is properly calibrated and maintained, that we have an approved laboratory QC manual and program, and that our staff is adequately trained.

CMEC

Geotechnical Field and Lab and Construction Materials Testing Capabilities

We recognize that most of the services under this contract will deal with geotechnical engineering investigations and construction materials testing and inspection services. Therefore, we provide in this section more details regarding our capabilities in these areas.

Ardaman owns a large inventory of field equipment including four-wheel drive and all-terrain vehicles, a 10 ft x 20 ft barge equipped with a drill rig, an air-boat, an amphibious rig, a state-of-the-art Electronic Earth Explorer (including several electric piezometer probes and piezocones) a flat plate dilatometer, a vane shear device and NQ coring equipment. Over twenty drill rigs are manned by crews with extensive experience in field testing techniques.

Ardaman maintains a state-of-the-art geotechnical laboratory that is the largest and best equipped in Florida. The laboratory includes equipment for conducting virtually any test on soil and rock materials including permeability, strength, compressibility, index properties and chemical composition. The laboratory has undergone the rigorous inspection by the U.S. Army Corps of Engineers and has been qualified by the Corps to conduct soil testing. We recognize that most of the work required under this contract will not require Ardaman's extensive equipment. However should the need arise for exceptional exploration and analysis services, the County will benefit from Ardaman's capabilities in these areas.

Ardaman provides full-service construction materials testing and engineering services from each of its office locations. Routine tests, as well as complex services, are provided in a timely and economic manner. Specifically, these services include:

Soils: Each office is equipped to provide testing of soils ranging from density testing and compaction monitoring to observing the completion of soil bearing enhancement. Monitoring the installation of pile foundations and pile load test verification is also available. Soil stabilization design and testing, including soil-cement and various other roadway base stabilization/reclamation and improvement methods, are available.

Concrete: Both plastic and hardened Portland cement concrete tests, as well as designs, are available. In addition to routine testing, Ardaman is capable of providing high level, non-destructive testing of concrete employing velocity meter devices.

Asphalt: Ardaman's offices provide quality control tests such as extraction and gradation, stability and flow, as well as pavement design and evaluation of distressed pavements. Asphalt plant and field inspections by FDOT certified inspectors are available.

Threshold Inspection: Over 120 projects have been completed throughout the State of Florida by our experienced engineers who are licensed to perform threshold inspections.

Structural Steel Testing and Inspection: Ardaman maintains a Metals Group to provide ongoing services, inspecting and testing structural steel and steel connections in new and existing structures. Certified weld inspectors, building code inspectors and non-destructive testing equipment are available.

Ardaman maintains a large inventory of specialty testing and data gathering devices for evaluation of construction methods and materials including among others:

- Vibration monitoring equipment (construction)Pulse velocity (ultrasonic) evaluation (concrete)
- Floor flatness testing (concrete)
- Ultrasonic thickness measurement (metals)Ultrasonic weld evaluation (metals)
- Liquid Penetrant test methods (metals)
- Penetrating radar (concrete)
- Magnetic Particle evaluation (metals)
- Welder qualifications (metals)
- Reinforcing steel location by Profometer V (concrete)
- Petrographic examination (concrete)
- Paint thickness by ultrasonic and magnetic evaluation (metals)

Centennial FDOT 1915★2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

February 17, 2015

Ernest Cox, III, Senior Vice President
ARDAMAN & ASSOCIATES, Inc.
8008 South Orange Avenue
Orlando, Florida 32809

Dear Mr. Cox:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 9 - Soil Exploration, Material Testing and Foundations

- 9.1 - Soil Exploration
- 9.2 - Geotechnical Classification Laboratory Testing
- 9.3 - Highway Materials Testing
- 9.4.1 - Standard Foundation Studies
- 9.4.2 - Non-Redundant Drilled Shaft Bridge Foundation Studies
- 9.5 - Geotechnical Specialty Laboratory Testing

Group 10 - Construction Engineering Inspection

- 10.3 - Construction Materials Inspection

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any dollar amount. This status shall be valid until March 31, 2016 for contracting purposes.

	Home/Branch Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense	Published Fee Schedule
Overhead Rate	182.34%	0.164%	Excluded	9.72% (Home)	Yes

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

www.dot.state.fl.us

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER

21. TITLE AND LOCATION *(City and State)*	22. YEARS EXPEREINCE	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
E-8 Canal Bank Stabilization Project Port St. Lucie, Florida	2013-2015	2013-2015

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Steve Jungjohan Colt Schwerdt, P.E.	772-871-7644

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

Ardaman provided geotechnical consulting services and construction testing and inspection services for canal bank stabilization along the E-8 Canal between SW Logwood Road and SW Tampico Street in Port St. Lucie, Florida. Ardaman performed a geotechnical investigation of existing canal bank slope failures, slope stability analyses and stabilization design to provide for global stability and long-term performance of the canal bank.

A system of geotextiles and rubble rip rap was used to provide a stable canal bank system.
Approximate fees (Ardaman): $65,170

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Ardaman & Associates, Inc.	Port St. Lucie, Florida	Sub-Consultant
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER

21. TITLE AND LOCATION *(City and State)*

D-9 Canal Bank Stabilization Project
Port St. Lucie, Florida

22. YEARS EXPEREINCE

PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
2012-2015	2012-2015

23. PROJECT OWNER'S INFORMATION

A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Steve Jungjohan Colt Schwerdt, P.E.	772-871-7644

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

Ardaman geotechnical consulting services and construction testing and inspection services for approximately 1.25 mile of canal bank along the D-9 Canal between Bayshore Boulevard and Airoso Boulevard in Port St. Lucie, Florida. Ardaman performed a geotechnical investigation of existing canal bank slope failures, slope stability analyses and stabilization design to provide for global stability and long-term performance of the D-9 canal banks. Ardaman assisted in evaluating several bank stabilization design alternatives considering cost, constructability and maintainance.

A gabion basket system was selected to provide the best benefit for the cost, aesthetics, consideration for the constructability given the limited construction access and ease of maintenance.

Approximate fees (Ardaman): $38,000

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Ardaman & Associates, Inc.	Port St. Lucie, Florida	Sub-Consultant
b.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)	20. EXAMPLE PROJECT KEY NUMBER
	4

21. TITLE AND LOCATION *(City and State)*	22. YEARS COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicabl*
Various City of Port St. Lucie Projects **Port St. Lucie, Florida**	1987-2015	1987-2015

23. PROJECT OWNER'S INFORMATION

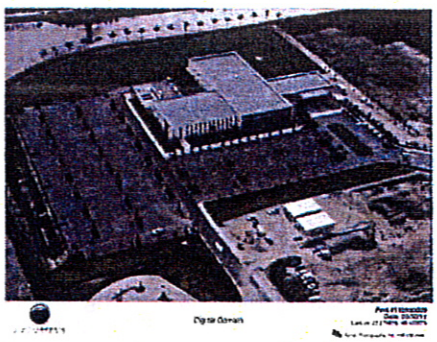
A. PROJECT OWNER	B. POINT OF CONTACT NAME	C. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Ms. Patricia Roebling, P.E.	(772) 871-5177

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(include scope size, and cost)*

Ardaman has been providing geotechnical engineering and construction materials testing services for various City c Port St. Lucie project from our local office since it was opened in 1987. A list of some of the more recent projec include:

Crosstown Parkway – Manth Avenue to US-1
Becker Road – Segment 3
Bayshore and Prima Vista Intersection Improvements
City Of Port St. Lucie Civic Center
Westmoreland Boulevard Resurfacing
Tropical Storm Isaac Repairs
C-24 Canal Boat Ramp Park
Digital Domain
Torrey Pines
City of Port St. Lucie Botanical Gardens
D-9 Canal Improvements
Emergency Headwall Repairs at Floresta and Airoso

Ardaman Total Fees: Varies, based on contract rates



25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Ardaman & Associates, Inc.	Port St. Lucie, Florida	Geotechnical Engineer / Consultant
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

About *Andersen Andre Consulting Engineers, Inc.*

Andersen Andre Consulting Engineers, Inc. (AACE) is a professional consulting engineering firm headquartered in Port St. Lucie, Florida. Formed in 2006 on the simple yet important recipe of superior technical ability, comprehensive local knowledge and unrivaled client service, AACE offers the following engineering and consulting services throughout Florida and in the Caribbean, with our primary focus on local projects on the Treasure Coast of Florida (St. Lucie, Martin and Indian River Counties), Palm Beach County, Okeechobee County and Highlands County:

- Subsurface Soil Explorations
- Geotechnical/Foundation Engineering
- Construction Materials Testing
- Phase I and Phase II Environmental Site Assessments
- Underground and/or Above-ground Petroleum Storage Tank Closures
- Contamination Site Assessments

Cofounders Peter G. Andersen, P.E. and David P. Andre, P.E. have more than 40 years of combined experience providing geotechnical engineering, construction materials testing and environmental consulting, primarily throughout Florida and in the Caribbean.

AACE=s team of geotechnical and environmental engineers, environmental scientists, and field and laboratory technicians currently provides engineering consulting and testing services for numerous clients, including the following:

- Public Agencies, including local City and County Governments and School Districts
  (AACE currently maintains continuing service contracts with Martin County, Okeechobee County, Indian River County, Martin County School Board and Indian River State College)
- Other Consulting (Design) Engineering Firms
- Developers (Residential, Industrial and Commercial)

AACE is a locally-owned Geotechnical Engineering and Construction Materials Testing firm with the headquarters located in Port St. Lucie. Principal owners David P. Andre, P.E. and Peter G. Andersen, P.E. are able to immediately amend the firm=s procedures to efficiently and effectively provide our services to our Clientele, without the need to consult with out-of-town corporate entities.

AACE is certified and pre-qualified by the Florida Department of Transportation (FDOT) to perform engineering services specified in Group 9 - Soil Exploration, Material Testing and Foundations. Specifically, AACE is FDOT-qualified to perform services detailed in Work Groups 9.1 (Soil Exploration), 9.2 (Geotechnical Classification Laboratory Testing), 9.3 (Highway Materials Testing) and 9.4.1 (Standard Foundation Studies). AACE=s soil, aggregate and concrete testing laboratory is routinely inspected and certified by both, the Construction Materials Engineering Council (CMEC) and FDOT.

Services that we routinely provide to our clients can be summed up by the following excerpts from Letters of Recommendation provided by our Public Sector Clients:

- *A...I have found AACE to be an accommodating, professional and responsive geotechnical consultant who provides technically superior...geotechnical and construction materials testing services to the City of Port St. Lucie.@* James E. Angstadt, P.E., Interim Public Works Director, Port St. Lucie

- *AAACE=s staff of engineers and technicians consistently demonstrate professionalism, efficiency, adherence to budget and excellent technical abilities.@* Paul J. Bangs, P.E., Martin County Engineering Department

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT
(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 1

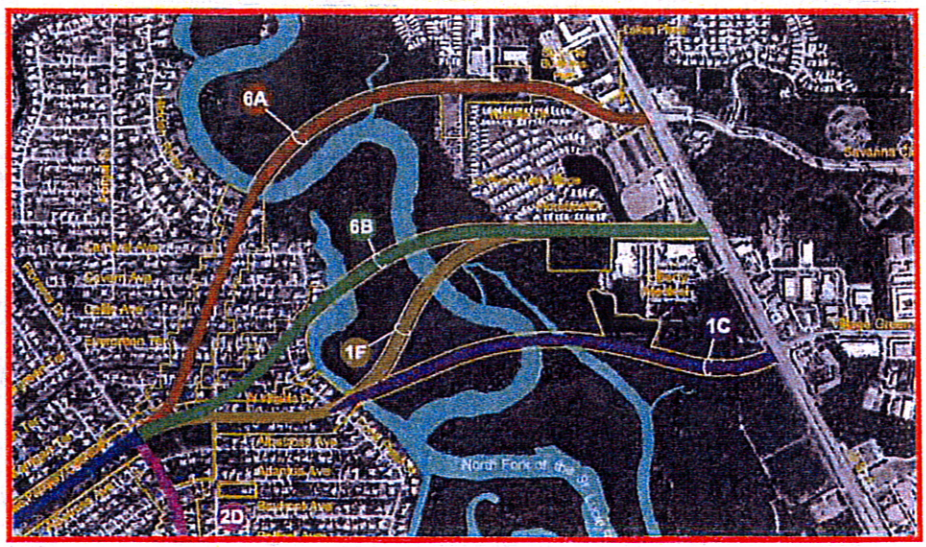
21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if Applicable)
Crosstown Parkway, from Manth Lane to Floresta Drive, and U.S. Highway 1 at Village Green Drive (Port St. Lucie, Florida)	2014	2015

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	c/o Mr. Brian Mirson with American Consulting Professionals	(561) 253-9550

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

AACE was included on a team of engineering firms to prepare the Design Build Criteria package for the final segment of the City's Crosstown Parkway project. Headed by American Consulting Professionals, AACE was one of two Geotechnical Engineering firms that provided subsurface consulting for the project. Specifically, AACE provided the following services for Alternative 1C (extending Crosstown Parkway from Manth Lane, across the North Fork of the St. Lucie River, to U.S. Highway 1, at it's intersection with Village Green Drive (approximately 1.96 miles):



- Reviewed existing soil information along the alignment;
- Performing 60+ hand (bucket) auger borings along the proposed alignment;
- Performing 18 solid stem auger borings relative to future stormwater ponds;
- Performing LBR testing of subgrade soils along the alignment;
- Sampling and coordinating soil corrosion series testing;
- Coring pavement along West Virginia Drive, Village Green Drive and U.S. Highway 1;
- Conducting 4 Double Ring Infiltration (DRI) testing within shallow roadside swales;
- Preparing a Roadway Soil Survey Report detailing our findings and providing site preparation recommendations;
- Preparing a Mast-Arm Foundation Report for US1/Village Green Drive and for Crosstown/Floresta Intersections;
- Performing a Level 2 Contamination Screening Assessment at the intersection of U.S. Highway 1 and Village Green Drive;

AACE's budget for our services was approximately $90,000.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Andersen Andre Consulting Engineers, Inc.	573 SW Biltmore Street Port St. Lucie, FL 34983	Geotechnical Engineer contracted directly with the Owner's Design Engineer
b.			
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT
(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 2

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If Applicable)
Village Parkway, from Becker Road to Tradition Parkway (Port St. Lucie, Florida)	2007	2011

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Mr. James Angstadt, P.E.	(772) 871-5177

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

AACE was retained by the City of Port St. Lucie to perform subsurface explorations and inspection/materials testing services during the construction of this proposed 6-lane, 4-mile roadway and associated utility improvements. AACE personnel performed more than 150 hand auger borings for the proposed roadways, and more than 50 SPT borings for proposed mast arm signal poles and large retention ponds (borrow areas). Due to the presence of shallow and variable, compressible clay soils combined with the significant quantity of embankment needed to raise the roadway to grade, settlement calculations revealed the potential for significant roadway settlements. Therefore, AACE recommended, designed and implemented a surcharge program for the project that was designed to address selected portions of the corridor. This solution to potential settlements eliminated the need for costly geo-textiles (estimated to cost more than $300k). During the project, AACE coordinated significant laboratory testing of soil samples (Atterberg Limits, sieve analysis, hydrometer testing, etc.) to ensure the most practical and economical solution to potential settlements.

Subsequent to our Geotechnical Engineering services during design, AACE provided construction materials testing of this roadway, beginning in August 2007 and ending in April 2011. AACE had as many as 4 technicians staffing the project to facilitate an aggressive construction schedule. Beyond typical field density testing, AACE also performed wetland/canal/ditch/reservoir demucking monitoring, surcharge monitoring, paving monitoring and piezometer installations accompanied by groundwater level monitoring. Our services included the following:

- Soil and rock density testing
- Laboratory characterization testing of soil (percent fines, moisture content, organic content, etc.)
- LBR and Proctor testing
- Compressive strength of concrete testing
- Paving observations
- Ditch and Reservoir demucking observations
- Backfilling monitoring
- Groundwater table monitoring

AACE's budget for the project was approximately $400,000.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Andersen Andre Consulting Engineers, Inc.	573 SW Biltmore Street Port St. Lucie, FL 34983	Geotechnical Engineer contracted directly to the Owner
b.			
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT
(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 3

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if Applicable)
Community Boulevard (Port St. Lucie, Florida)	2007	2010

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Mr. James Angstadt, P.E.	(772) 871-5177

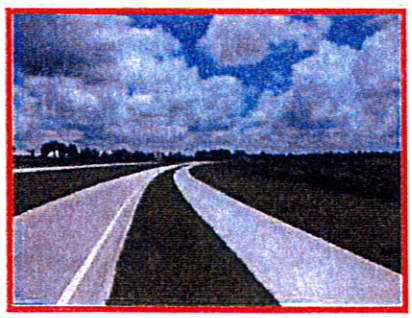
24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

AACE was retained by the City of Port St. Lucie to perform subsurface explorations and inspection/materials testing services during the construction of this proposed 4-lane, 1-mile roadway and associated utility improvements. AACE personnel performed more than 50 hand auger borings for the proposed roadways, and more than 20 SPT borings for proposed mast arm signal poles and large retention ponds (borrow areas). The alignment also crossed several major drainage canals, ditches and wetlands. Therefore, AACE assisted in determining the quantity of undesirable soils and muck from these elements.

Subsequent to our Geotechnical Engineering services during design, AACE provided construction materials testing of this roadway, beginning in 2009 and ending in 2010. AACE has had as many as 3 technicians staffing the project to facilitate an aggressive construction schedule. Our testing services included the following:

- Soil and rock density testing
- Laboratory characterization testing of soil (percent fines, moisture content, organic content, etc.)
- LBR and Proctor testing
- Compressive strength of concrete testing
- Paving observations
- Ditch, canal and wetland demucking observations
- Backfilling monitoring
- Groundwater table monitoring

AACE's budget for the project was approximately $150,000.



	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Andersen Andre Consulting Engineers, Inc.	573 SW Biltmore Street Port St. Lucie, FL 34983	Geotechnical Engineer contracted directly to the Owner
b.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT
(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 5

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Discovery Way Extension, Tradition Medical Center Access Route (Port St. Lucie, Florida)	2013	2014

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT	c. POINT OF CONTACT TELEPHONE NUMBER
Tradition Florida	Mr. Darren Weimer, P.E.	(772) 370-2468

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (include scope, size, and cost)

AACE was retained by Tradition Florida (the developer of the Tradition area of Port St. Lucie, Florida) to perform subsurface explorations relative to the design of approximately ½ mile of 2-lane roadway. The road was an extension of Discovery Way East (for which AACE performed geotechnical consulting and materials testing services on behalf of the City of Port St. Lucie) and also an alternative/emergency route for the newly constructed Tradition Medical Center (a new 4-story hospital recently completed, and for which AACE performed geotechnical consulting and materials testing services on behalf of Martin Health System from 2010 through 2013). The roadway was constructed atop a major canal (Duda Canal, approximately 15 feet deep and 75 feet wide). Therefore, AACE provided canal reclamation recommendations to be followed during roadway construction.

AACE provided construction materials testing of this roadway, beginning in mid 2013 and ending in 2014, that included the following:

- Soil borings to explore the depth of deleterious subsurface conditions
- Muck probing within canals and wetlands
- Soil and rock density testing
- Laboratory characterization testing of soil (percent fines, moisture content, organic content, Atterberg limits, etc.)
- LBR and Proctor testing
- Compressive strength of concrete testing
- Paving observations
- Ditch and wetland demucking observations
- Backfilling monitoring
- Groundwater table monitoring

The testing budget for the project was approximately $60,000.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Andersen Andre Consulting Engineers, Inc.	573 SW Biltmore Street Port St. Lucie, FL 34983	Geotechnical Engineer contracted directly to the Owner
b.			
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT
(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 8

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Numerous L.A.P. Funded Sidewalk Projects (Port St. Lucie, Florida)	2011	2015

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Mr. James Angstadt, P.E.	(772) 871-5177

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (**include scope, size, and cost**)

AACE was selected to perform Geotechnical Engineering explorations and construction materials testing services for numerous sidewalk design and construction projects throughout the City of Port St. Lucie. A few representative projects included the following:

- Marion Avenue Sidewalk ($10,000)
- Lyngate Drive Sidewalk and Drainage Improvements ($7,500)
- Melaleuca Boulevard Sidewalk ($3,500)
- Savona Boulevard Sidewalk and Pedestrian Bridge ($5,000)
- Mariposa Avenue Sidewalk ($2,500)
- Tiffany Avenue Sidewalk ($3,500)
- Thornhill Avenue Sidewalk ($2,800)
- Morningside Avenue Sidewalk ($2,500)
- Floresta Boulevard Sidewalk ($2,500)
- Darwin Boulevard Sidewalk ($2,200)

Where AACE provided design services, we routinely performed one 5-foot auger boring for every 250 l.f. of planned sidewalk. Laboratory testing of selected soil samples would then be performed to explore the characteristics and behavior of the foundation soils. During construction, AACE testing personnel would perform soil density testing of the sidewalk subgrade, and explore the compressive strength of concrete used in sidewalk construction.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Andersen Andre Consulting Engineers, Inc.	573 SW Biltmore Street Port St. Lucie, FL 34983	Geotechnical Engineer contracted with the Owner
b.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT
(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 9

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if Applicable)
Paradise Park Drainage and Roadway Improvement Project (Fort Pierce, Florida)	2008	NA

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT	c. POINT OF CONTACT TELEPHONE NUMBER
St. Lucie County Engineering Dept.	c/o Bowman Engineers, Mr. Oats Reiss	(772) 283-1413

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (include scope, size, and cost)

Paradise Park is a rural residential neighborhood serviced by unpaved roads with shallow roadside drainage swales. The current drainage system is inadequate, and the area frequently experiences prolonged periods of flooding following even minor rainfall events. To improve both roadway and drainage conditions within the area, it was decided to install a stormwater management system which would convey stormwater runoff from the general area to a series of interconnected, elongated dry retention areas to be located in the immediate vicinity of an adjacent deep, major drainage canal. AACE was tasked with performing subsurface explorations and completing geotechnical engineering analyses to analyze the overall slope stability and seepage conditions which could adversely affect the project. Shallow auger borings and relatively deep SPT borings were performed; piezometers were also installed to allow both the performance of field permeability tests at various depths as well as a determination of the phreatic surface at various locations throughout the canal bank. Seepage and slope stability analyses were completed using limiting equilibrium analyses, effective stress strength parameters (i.e., ϕ), and both measured and predicted pore water pressures. Stability analysis was performed for the existing, unimproved canal bank as well as the worst case steady-state-seepage (SSS) conditions following the construction and operation of the various ponds.

In addition, it was the desire of St. Lucie County to improve the roadways within the neighborhood. Therefore, AACE completed shallow auger borings and provided construction recommendations relative to roadway construction.

The Geotechnical Engineering and testing budget was approximately $23,000.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Andersen Andre Consulting Engineers, Inc.	573 SW Biltmore Street Port St. Lucie, FL 34983	Geotechnical Engineer contracted with the Design Engineer
b.			
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT
(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 10

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if Applicable)
Floresta Drive and Southbend Avenue Improvements (Port St. Lucie, Florida)	2014	2015

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	CAPTEC Eng. c/o Mr. Gary Jones, P.E.	(772) 692-4344

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (include scope, size, and cost)

Partnered with CAPTEC Engineering, AACE was selected by the City of Port St. Lucie to perform construction materials testing for this intersection improvement project at the intersection of Southbend Boulevard and Floresta Avenue. Improvements included the construction of a roundabout, added drainage capabilities, utility installations, and the construction of a temporary roadway at this busy area of Port St. Lucie. Our services included the following:

- Soil and rock density testing
- Laboratory characterization testing of soil (percent fines, moisture content, organic content, Atterberg limits, etc.)
- LBR and Proctor testing
- Compressive strength of concrete testing
- Paving observations
- Backfilling monitoring

AACE's budget for the project was approximately $40,000.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Andersen Andre Consulting Engineers, Inc.	573 SW Biltmore Street Port St. Lucie, FL 34983	Geotechnical Engineer contracted with City's selected CEI Firm
b.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE

Centennial FDOT 1915 * 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

January 20, 2015

David Andre, President
ANDERSEN ANDRE CONSULTING ENGINEERS, INC.
573 Southwest Biltmore Street
Port St. Lucie, Florida 34983

Dear Mr. Andre:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 9 - Soil Exploration, Material Testing and Foundations

- 9.1 - Soil Exploration
- 9.2 - Geotechnical Classification Laboratory Testing
- 9.3 - Highway Materials Testing
- 9.4.1 - Standard Foundation Studies

Your firm is now technically qualified to do work for the Department for minor projects only, enabling your firm to compete for Professional Services projects with fees estimated at below $500,000.00. This status shall be valid until January 20, 2016 for contracting purposes.

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

CBHK/kw

www.dot.state.fl.us

ARCHITECT – ENGINEER QUALIFICATIONS

1. SOLICITATION NUMBER *(If any)*: RFQ #2015-0126

PART II – GENERAL QUALIFICATIONS

(If a firm has branch offices, complete for each specific branch office seeking work.)

2a. FIRM (OR BRANCH OFFICE) NAME	3. YEAR ESTABLISHED	4. DUNS NUMBER
Culpepper and Terpening, Incorporated	1985	15-565-2795

2b. STREET	5. OWNERSHIP	
2980 South 25th Street	TYPE	Corporation
	SMALL BUSINESS STATUS	Yes

2c. CITY	2d. STATE	2e. ZIP CODE	7. NAME OF FIRM (If block 2a is a branch office)
Ft. Pierce	FL	34981	

6a. POINT OF CONTACT NAME AND TITLE	
James "Butch" Terpening	
6b. TELEPHONE NUMBER	**6c. E-MAIL ADDRESS**
772-464-3537	bterpening@ct-eng.com

8a. FORMER FIRM NAME(S) *(If any)*	8b. YR. ESTABLISHED	8c. DUNS NUMBER
CFS and Associates (Brett Culpepper)	1972	
JP Terpening, Corp. (Butch Terpening)	1979	

9. EMPLOYEES BY DISCIPLINE

a. Function Code	b. Discipline	c. No. of Employees (1) FIRM	c. No. of Employees (2) BRANCH
02	Administration	5	
08	CADD Tech	6	
12	Civil engineer (PE/EI)	8	
15	Construction Inspectors	4	
38	Land Surveyor	8	
47	Planner – Urban/Regional	1	
48	Project Managers	3	
	Other Employees		
	Total	35	

10. PROFILE OF FIRM'S EXPERIENCE AND ANNUAL AVG REV. FOR LAST 5 YRS

a. Profile Code	b. Experience	c. Revenue Index Number *(see below)*
C15	Construction Management	4
C16	Construction Surveying	4
H07	Hwys, Street, Airfield Paving	6
P06	Planning (Site, Installation and Project	5
L02/ S10	Surveying, Mapping, Platting	6
T03	Traffic and Transportation	6
T04	Topo Survey and Map	3
S13	Stormwater Handling & Facilities	6
S04	Sewage Collection/ Treatment and Disposal	6
U03	Water Resources, Hydrology	4
W03	Water Supply, Treatment and Design	6
Z01	Zoning, Land Use Studies	4

11. ANNUAL AVERAGE PROFESSIONAL SERVICES REVENUES OF FIRM FOR LAST 3 YEARS
(Insert revenue index number shown at right)

a. Federal Work	1
b. Non-Federal Work	7
c. Total Work	7

12. PROFESSIONAL SERVICES REVENUE INDEX NUMBER

1. Less than $100,000	6. $2 million to less than $5 million
2. $100,00 to less than $250,000	7. $5 million to less than $10 million
3. $250,000 to less than $500,000	8. $10 million to less than $25 million
4. $500,000 to less than $1 million	9. $25 million to less than $50 million
5. $1 million to less than $2 million	10. $50 million or greater

I. AUTHORIZED REPRESENTATIVE

The foregoing is a statement of facts.

31. SIGNATURE		32. DATE	11/17/15
33. NAME AND TITLE	Marcelo Dimitriou, P.E., CEI Director		

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MANDATORY USE DATE OF FORM 5/1/2004
PAGE 5

STANDARD FORM 330 (6/2004)

END OF FILE #2

END OF DOCUMENT

"A City for All Ages"

CITY OF PORT ST. LUCIE

Continuing Professional Services for Construction Engineering Inspection (CEI)

Sealed Electronic Proposal #2015-0126 (E-RFP)

File #2

SF 330 DOCUMENTS

Prepared For:
Procurement Management Department
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984-5099

Prepared By:

CULPEPPER & TERPENING, INC
CONSULTING ENGINEERS | LAND SURVEYORS
2980 SOUTH 25TH STREET FT PIERCE, FL 34981
(772) 464-3535 · FAX (772) 464-3497 · ctengr.com

November 17, 2015
2:00 PM
CT File No. 15-124

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ARCHITECT - ENGINEER QUALIFICATIONS

PART I - CONTRACT-SPECIFIC QUALIFICATIONS

A. CONTRACT INFORMATION

1. TITLE AND LOCATION *(City and State)*

Port St. Lucie, Florida

2. PUBLIC NOTICE DATE

October 5, 2015

3. SOLICITATION OR PROJECT NUMBER

Sealed E-bid 2015-0126

B. ARCHITECT-ENGINEER POINT OF CONTACT

4. NAME AND TITLE

J.P. James "Butch" Terpening

5. NAME OF FIRM

Culpepper & Terpening, Inc.

6. TELEPHONE NUMBER

772-464-5337

7. FAX NUMBER

772-464-9497

8. E-MAIL ADDRESS

bterpening@ct-eng.com

C. PROPOSED TEAM

(Complete this section for the prime contractor and all key subcontractors.)

	*(check) Prime	JV Partner	subcontractor	9. FIRM NAME	10. ADDRESS	11. ROLE IN THIS CONTRACT
A	x			Culpepper & Terpening, Inc. [ ] Check if Branch Office	2980 S. 25th Street Ft. Pierce, Florida 34981	CEI / Survey / Engineer (if required)
B			x	Keith and Schnars, PA [ ] Check if Branch Office	6500 No. Andrews Ave. Ft. Lauderdale, FL. 33329	CEI / Bridge Inspection/ Structures
C			x	Johnson, Mirmiran & Thompson, Inc. [x] Check if Branch Office	16654 SW Warfield Blvd. Indiantown, FL 34956	CEI / Bridge Inspection/ Structures
D			x	Ardaman & Associates [x] Check if Branch Office	460 NW Concourse Pl., Unit 1 Port St. Lucie, Florida 34986	Geotechnical and Soils Analysis
E			x	Anderson, Andre Consulting Engineers [ ] Check if Branch Office	573 SW Biltmore Street Port St. Lucie, Florida 34983	Geotechnical and Soils Analysis

D. ORGANIZATIONAL CHART OF PROPOSED TEAM

[x] (attached)

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C. PROPOSED TEAM (continued)

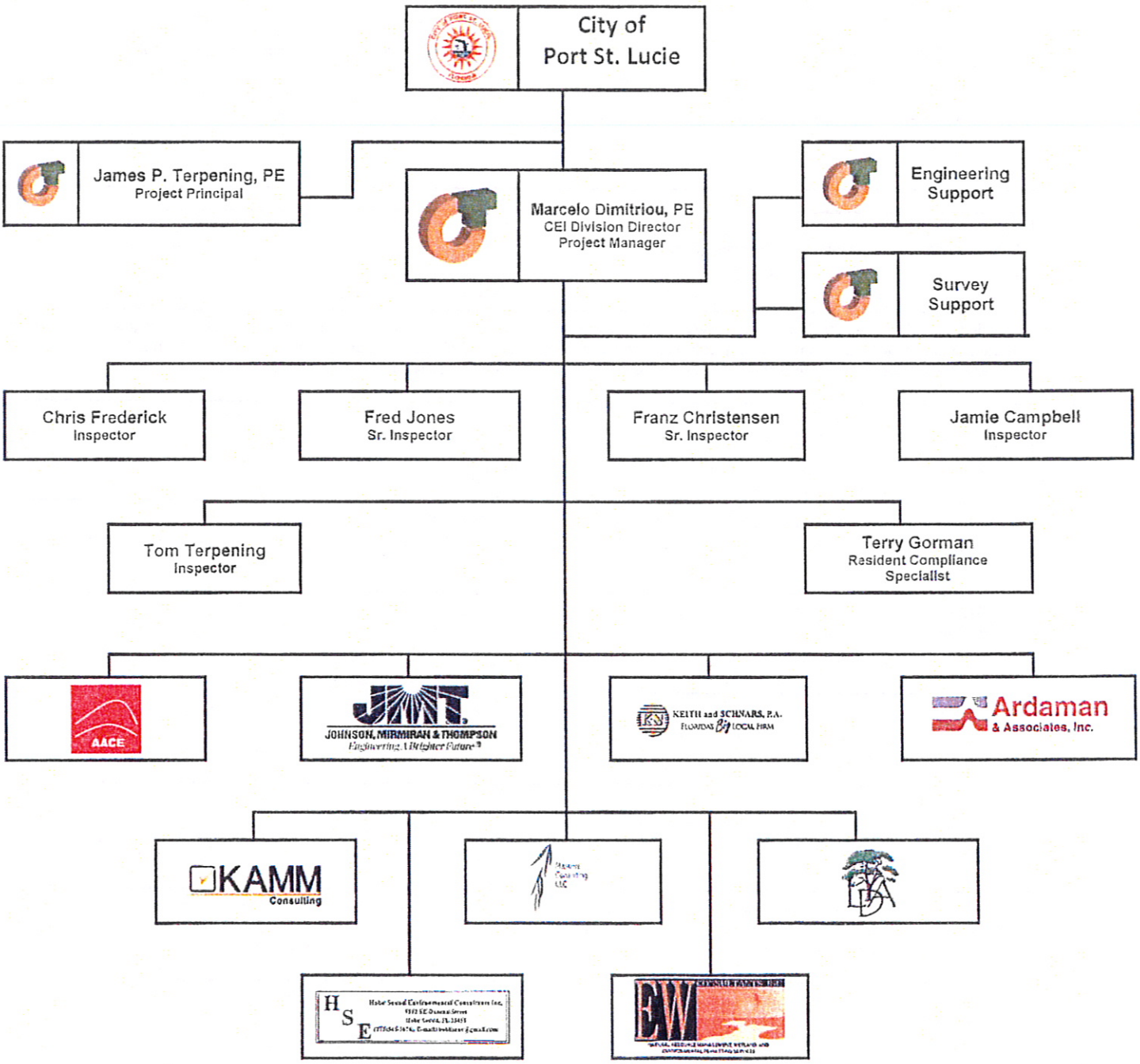
(Complete this section for the prime contractor and all key subcontractors.)

*(check) Prime	JV Partner	subcontractor		9. FIRM NAME	10. ADDRESS	11. ROLE IN THIS CONTRACT
F		X		Kamm Consulting X Check if Branch Office	1408 Orange Avenue Ft. Pierce, FL, 34950	MEP
G		X		Masuen Consulting, Inc X Check if Branch Office	32801 Hwy 441 North, #293 Okeechobee, FL, 34951	Irrigation
H		X		Landscape Design Associates Check if Branch Office	702 SW Port St. Lucie Blvd Port St. Lucie, FL, 34953	Landscape Architect
I		X		EW Consultants, Inc. Check if Branch Office	1000 SE Monterey Commons Blvd. Ste 208 Stuart, FL, 34996	Environmental Services
J		X		Hobe Sound Environmental Consultants Check if Branch Office	9512 SE Duncan street Hobe Sound, FL, 33455	Environmental Services

[go to next page]

Professional Qualifications of Specific Individuals Assigned to the Key Components of the Project

ORGANIZATIONAL CHART



E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Marcelo Dimitriou, PE	CEI Project Manager	25	8

15. FIRM NAME AND LOCATION (City and State)

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION (Degree and Specialization)	17. CURRENT PROFESSIONAL REGISTRATION (St.& Discipline)
BS Degree - Civil Engineering (1988)	State of Florida - Bd of Professional Engineers (2002)

18. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)

Adv. MOT, QC Manager; Asphalt Paving Level I&II; Nuclear Safety; Storm Water Management; EEO, DBE & Payroll Compliance on LAP

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Savona Blvd. Sidewalk (Phase II)	2013	2013
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided full CEI Services for Critical LAP project, Savona Blvd. Sidewalk (Phase II), which was funded under the Local Area Program (LAP) and Safe Route to Schools (SRTS) program. The project included the placement of 2,500 lf of sidewalk along Savona Blvd., from California Blvd to Gatlin Blvd. Project included the construction/installation of a 200-feet long, prefabricated, pedestrian bridge over the C-24 Canal.

b.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Mariposa Ave. Sidewalk (Phase III)	2014	2014
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

QA/QC control for CEI services associated with the Mariposa Ave. Sidewalk (Phase III) project which was funded under the LAP and SRTS program. The design entailed the placement of approximately 2,500 feet of sidewalk along Mariposa Ave., from Holy Family Cath. Church to Mariposa Elem. School.

c.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Sidewalks – Bayshore/Torino/California/C. Club	2015	2015
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

QA/QC control for CEI services associated with the construction of approximately 10,000 feet of new sidewalk along portions of NW Bayshore Boulevard; NW East Tornio Boulevard California Boulevard; and NW Country Club Drive. Project designs were provided by the City of Port St. Lucie through in-house design efforts. Sidewalk construction is designed to provide the "missing link" connections to several existing walks throughout the City.

d.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Savannah Rd Ped Bridges and Rnd'abt	2007	2007
Location:	Martin County, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☐ Check if project performed with current firm

CEI Services for the installation of dual pedestrian bridges along Savannah Road (@ Jensen Beach Post Office) associated with the roadway and sidewalk improvements along this stretch of Savannah Road. Work also included a new traffic roundabout, roadway reconstruction, utility adjustments, brick pavers, signing, pavement markings and landscaping. on Savannah Road from Silver Maple Way to Samaritan Street.

e.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Walton Road (Phase 1)	2008	2009
Location:	City of Port St. Lucie/St. Lucie County,		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

QA/QC control for CEI services associated with The project involved 4-laning of an existing 2-lane roadway, included milling & resurface, construction of a closed drainage system and installation of mast arm signalization, utilities, drainage, approximately 15,000 lf of sidewalk, pedestrian lighting and landscaping. Project challenges included aggressive milestones, urban traffic, and multiple agencies to coordinate with.

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E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
James P. "Butch" Terpening, PE	Principal in Charge	39	27

15. FIRM NAME AND LOCATION *(City and State)*

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION *(Degree and Specialization)*	17. CURRENT PROFESSIONAL REGISTRATION *(St.& Discipline)*
BS Degree - Civil Engineering (1976)	State of Fla - Bd of Professional Engineers – 24276 (1980)

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

Florida Engineering Society; American Society of Civil Engineers; National Professional Engineers Society; American Water Works Association; FDOT LAP Training

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Savona Blvd. Sidewalk (Phase II)	2013	2013
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided full CEI Services for Critical LAP project, Savona Blvd. Sidewalk (Phase II), which was funded under the Local Area Program (LAP) and Safe Route to Schools (SRTS) program. The project included the placement of 2,500 lf of sidewalk along Savona Blvd., from California Blvd to Gatlin Blvd. Project included the construction/installation of a 200-feet long, prefabricated, pedestrian bridge over the C-24 Canal.

b.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Mariposa Ave. Sidewalk (Phase III)	2014	2014
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

QA/QC control for CEI services associated with the Mariposa Ave. Sidewalk (Phase III) project which was funded under the LAP and SRTS program. The design entailed the placement of approximately 2,500 feet of sidewalk along Mariposa Ave., from Holy Family Cath. Church to Mariposa Elem. School.

c.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Sidewalks – Bayshore/Torino/California/C. Club	2015	2015
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

QA/QC control for CEI services associated with the construction of approximately 10,000 feet of new sidewalk along portions of NW Bayshore Boulevard; NW East Tornio Boulevard California Boulevard; and NW Country Club Drive. Project designs were provided by the City of Port St. Lucie through in-house design efforts. Sidewalk construction is designed to provide the "missing link" connections to several existing walks throughout the City.

d.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Transit Facility (PSL)	2010	2011
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Transit Facility (PSL) – C&T provided 'Pro-Bono' services associated with the construction of Intermodal Transit Facility (east of PSL City Hall), including 1,200 lf of new sidewalk, requiring extensive field modifications with tie-in constraints.

e.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Walton Road (Phase 1)	2008	2009
Location:	City of Port St. Lucie/St. Lucie County,		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

QA/QC control for CEI services associated with The project involved 4-laning of an existing 2-lane roadway, included milling & resurface, construction of a closed drainage system and installation of mast arm signalization, utilities, drainage, approximately 15,000 lf of sidewalk, pedestrian lighting and landscaping. Project challenges included aggressive milestones, urban traffic, and multiple agencies to coordinate with.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Chris Frederick	Inspector	22	8

15. FIRM NAME AND LOCATION (City and State)

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION (Degree and Specialization)	17. CURRENT PROFESSIONAL REGISTRATION (St.& Discipline)
High School Graduate	n/a

18. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)

CTQP Asphalt Paving Level 1 & 2; CTQP Earthwork Construction Inspection Level 1 & 2; ACI Concrete Field Testing Technician Level 1; FDOT Concrete Field Inspector; CTQP Final Estimates Level 1; Troxler Nuclear Density Certification; Hazmat; NPDES Stormwater; Intermediate MOT; Critical Construction Issues; MSE Wall Inspection; FDOT Structures Inspection Level 1 & 2; FDOT Plan Reading; OSHA 30 Hour Safety Training

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Savona Blvd. Sidewalk (Phase II)		2013
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided full CEI Services for Critical LAP project, Savona Blvd. Sidewalk (Phase II), which was funded under the Local Area Program (LAP) and Safe Route to Schools (SRTS) program. The project included the placement of 2,500 lf of sidewalk along Savona Blvd., from California Blvd to Gatlin Blvd. Project included the construction/installation of a 200-feet long, prefabricated, pedestrian bridge over the C-24 Canal.

b.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Darwin Blvd Sidewalks and Ped. Bridge		2015
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided construction inspection services for a six (6) foot wide concrete sidewalk on the east side of Darwin Boulevard from Paar Drive to Becker Road with an eight (8) foot wide pedestrian bridge over the C-84 canal (LAP 431738-1-58-01.) Work included sidewalks, drainage, piles and concrete bridge structure. This is a critical Lap project with QC/VT and LIMS requirements.

c.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Sidewalks – Bayshore/Torino/California/C. Club		2015
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

Project inspector for CEI services associated with the construction of approximately 10,000 feet of new sidewalk along portions of NW Bayshore Boulevard; NW East Tornio Boulevard California Boulevard; and NW Country Club Drive. Project designs were provided by the City of Port St. Lucie through in-house design efforts. Sidewalk construction is designed to provide the "missing link" connections to several existing walks throughout the City.

d.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	St. Lucie North Drainage Basin Improvements		2011
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Project inspector for CEI services associated culvert replacements and upgrades, canal vegetation cleaning, slope repairs & protection, water control structure improvements, detention pond, sidewalk replacement, and other needed associated work.

e.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Walton Road (Phase 1)		2009
Location:	City of Port St. Lucie/St. Lucie County,		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Project inspector for the 4-laning of an existing 2-lane roadway; construction of a closed drainage system and installation of mast arm signalization. Responsibilities included inspection of work, observation and documentation of construction activities, daily reports, field pre-work meetings, quantity tracking, change order documentation, coordination of testing and third party inspections, NPDES, and MOT inspections. Components of the project included numerous water main & force main relocations, sidewalks, drainage, ponds, street & pedestrian lighting, landscaping, signals, fiber interconnect, roadway widening and reconstruction. Project challenges included aggressive milestones, urban traffic, and involvement of multiple agencies.

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E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Fred Jones	Sr. Inspector	34	17

15. FIRM NAME AND LOCATION *(City and State)*

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION (Degree and Specialization)	17. CURRENT PROFESSIONAL REGISTRATION (St.& Discipline)
High School Graduate	n/a

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

CTQP Asphalt Paving Level 1 & 2; CTQP Earthwork Construction Inspection Level 1 & 2; ACI Concrete Field Testing Technician Level 1; FDOT Concrete Field Inspector; CTQP Final Estimates Level 1; CTQP Drilled Shaft Installation; CTQP Pile Driving; Troxler Nuclear Density Certification; Hazmat; NPDES Stormwater; Intermediate MOT; Critical Construction Issues; MSE Wall Inspection; FDOT Structures Inspection Level 1 & 2; FDOT Plan Reading; OSHA 30 Hour Safety Training

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	SR-76/I-95 Interchange Improvements		2015
Location:	Martin County, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Reconstruction of I-95 interchange ramps and widening of SR-76 roadway (FM #42978615801). Work items include roadway construction, drainage, mast arms & signalization, pond construction, milling and paving. The project is based on FDOT specifications and material requirements including QC/VT Testing and LIMS. Specific role: Sr. Inspector

b.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Port St. Lucie Boulevard Pedestrian Lighting		2011
Location:	Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Installation of pedestrian lighting on the north side of Port St. Lucie Boulevard from US 1 to Veteran's Memorial Parkway (FM# 4282991-58-01.) Work also includes corrections to sidewalk areas to meet ADA requirements. Specific role: Sr. Inspector.

c.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Repair of the Water Distribution System		2011
Location:	Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

Construction of approximately forty-two (42) miles of PVC water main (6", 8" & 12"), fire hydrants, the residential and commercial services in the areas of Sections U1, U2, U3, U4, U7, U9, U10, U11, U14, U15, U18, U19, 41, 42, 49, 50, and 60, as replacement of portions of the aging water distribution system. Specific role: Sr. Inspector

d.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Amerigo Avenue Drainage Improvements		2010
Location:	Stuart, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Construction Inspector for drainage improvement, roadway reconstruction and landscape project. Work items included retention ponds, roadway reconstruction, landscaping & drainage installation. Specific role: Sr. Inspector.

e.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Green River Parkway		2010
Location:	Martin County, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Senior Inspector on approximately 2 miles of new 2-lane roadway between Jensen Beach Boulevard (SR 732) and SR 707. Work included adding lanes and intersection improvements on SR 732 and SR 707. Components of the project include new roadway construction, drainage, milling & resurfacing, utilities, screening walls, signalization, multi-modal paths and major pond construction. Project challenges include construction within a narrow 100' right-of-way adjacent to sensitive wetlands, wildlife habitats and residential properties. Specific Role: Sr. Inspector.

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E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Jamie Campbell	Inspector	15	10

15. FIRM NAME AND LOCATION *(City and State)*

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION (Degree and Specialization)	17. CURRENT PROFESSIONAL REGISTRATION (St.& Discipline)
BS Degree - Civil Engineering (1976)	n/a

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

CTQP Asphalt Paving Level 1 & 2; CTQP Earthwork Construction Inspection Level 1 & 2; ACI Concrete Field Testing Technician Level 1; FDOT Concrete Field Inspector; CTQP Final Estimates Level 1; Troxler Nuclear Density Certification; Hazmat; NPDES Stormwater; Intermediate MOT; Critical Construction Issues; MSE Wall Inspection; FDOT Structures Inspection Level 1 & 2; FDOT Plan Reading; OSHA 30 Hour Safety Training

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: West Midway Road **Location:** St. Lucie County, Florida		2015

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided on-site inspection services associated with the construction of approximately 6,000 LF of widening of Midway Road to a four-lane divided highway from approximately 1,400 lf west of Selvitz Road to 25th Street, including improvement to the intersections of Selvitz Road and Christensen Road. Work includes installation of a box culvert, drainage, sidewalks, signalization, utilities, landscaping and irrigation. Specific role: Inspector

b.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: Riverbend PUD Development, **Location:** Palm City, FL , Florida		2014

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided on-site inspection services associated with the construction of the Riverbend PUD. Project activitites included about 2 miles of roadway, drainage, water and sewer construction, pond construction, utility coordination, sidewalks, street lighting, landscaping & irrigation. Responsibilities include inspection of work, observation and documentation of construction activities, daily reports, field pre-work meetings, quantity tracking, change order documentation, coordination of testing and third party inspections, NPDES inspections, and MOT inspections. irrigation & landscaping. Specific role: Sr. Inspector.

c.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: N. 13th St Improvements Ph 2 **Location:** Ft. Pierce, Florida		2009

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

Provided on-site inspection services associated with the construction of the American Recovery and Restoration Act (ARRA) project that provided for the re-construction of approximately 1/2 mile of North 13th St., including utilities, drainage, *sidewalk*, pedestrian lighting, bridge modifications & landscaping.

d.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: Village Parkway Phases I & II, **Location:** Port St. Lucie, Florida		2010

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided on-site inspection services associated with a construction project that included 4 miles of new roadway, mast arms, lighting and associated utilities. Responsibilities include inspection of work, observation and documentation of construction activities, daily reports, field pre-work meetings, quantity tracking, change order documentation, coordination of testing and third party inspections, NPDES inspections, MOT and irrigation inspections. Specific role: Inspector.

e.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: Becker Road / FL Turnpike Interchange **Location:** Port St. Lucie, Florida		2008

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided on-site inspection services associated with the Construction of a Florida Turnpike interchange project at Becker Road. Responsibilities include inspection of roadway embankment, base and asphalt, bridge inspection ranging from pile driving to the deck pours. Specific Role: Inspector.

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E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Frans Christensen	Sr. Inspector	34	5

15. FIRM NAME AND LOCATION *(City and State)*

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION (Degree and Specialization)	17. CURRENT PROFESSIONAL REGISTRATION (St.& Discipline)
High School Graduate	n/a

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

CTQP Asphalt Paving Level 1 & 2; CTQP Earthwork Construction Inspection Level 1 & 2; ACI Concrete Field Testing Technician Level 1; ACI Concrete Transportation Inspector Level 2; FDOT Concrete Field Inspector; CTQP Final Estimates Level 1; IMSA Traffic Signal Inspector Level I; Troxler Nuclear Density Certification; Hazmat; NPDES Stormwater; Intermediate MOT; Critical Construction Issues; MSE Wall Inspection; FDOT Structures Inspection Level 1 & 2; FDOT Plan Reading; OSHA 30 Hour Safety Training;

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: North 2nd Street,	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Location: City of Ft. Pierce, Florida		2015

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided on-site inspection services associated with improvements to North 2nd Street, which involves the total reconstruction of North 2nd Street, including relocation and upgrading of existing utilities and construction of new stormwater management system. The utilities that will be relocated and upgraded include water mains, sanitary sewer system, sanitary sewer lift stations, natural gas mains, and electrical systems. The road construction will include pavement, curb & gutter, sidewalks, stormwater collection system, detention ponds, landscaping and street lights. Specific role: Sr. Inspector

b.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: SR A1A Phase I,	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Location: Ft. Pierce, Florida (So. Beach)		2011

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Senior Roadway Inspector for the reconstruction of SR A1A from Gulfstream to west of Bayshore Drive. Responsibilities included utility coordination, tracking quantities, approving pay estimates, inspection of drainage, asphalt, lighting and all other items associated with the project. Specific role: Sr. Inspector.

c.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: C-24 Canal Park Improvement	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Location: City of Port St. Lucie, Florida		2015

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

This project includes clearing and grubbing, excavation, embankment, paving operations, curbing, drainage structures/pipe installation, drainage ponds, utilities, sodding, signing, boat ramps, floating docks, buildings and landscaping.

d.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: SR A1A Phase II-B,	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Location: Ft. Pierce, Florida (So. Beach)		2010

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Senior Roadway Inspector for the reconstruction of SR A1A from east of Ft Pierce South Bridge to Bayshore Drive. Responsibilities included utility coordination, tracking quantities, approving pay estimates, inspection of drainage, asphalt, lighting and all other items associated with the project.

e.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: SR A1A Phase III,	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Location: Ft. Pierce, Florida (So. Beach)		2010

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Senior Roadway Inspector for the reconstruction of SR A1A from Gulfstream Drive to Blue Herron Boulevard. Responsibilities included utility coordination, tracking quantities, approving pay estimates, inspection of drainage, asphalt, lighting and all other items associated with the project.

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E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Tom Terpening	Inspector	34	8

15. FIRM NAME AND LOCATION *(City and State)*

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION (Degree and Specialization)	17. CURRENT PROFESSIONAL REGISTRATION (St.& Discipline)
High School Graduate	n/a

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

Intermediate MOT; Nuclear Safety Gauge

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*
Project Name: West Midway Road
Location: St. Lucie County, Florida

(2) YEAR COMPLETED: PROFESSIONAL SERVICES — ; CONSTRUCTION *(If applicable)* 2015

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided on-site inspection services associated with the construction of approximately 6,000 LF of widening of Midway Road to a four-lane divided highway from approximately 1,400 lf west of Selvitz Road to 25th Street, including improvement to the intersections of Selvitz Road and Christensen Road. Work includes installation of a box culvert, drainage, sidewalks, signalization, utilities, landscaping and irrigation. Specific role: Inspector

b.

(1) TITLE AND LOCATION *(City and State)*
Project Name: Eastern Watershed Improvement Lennard Square
Location: Port St. Lucie, Florida

(2) YEAR COMPLETED: PROFESSIONAL SERVICES — ; CONSTRUCTION *(If applicable)* 2011

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided on-site inspection services associated with the construction of Eastern Watershed Improvement Lennard Square Storm Water, Construction of storm water management facility known as Lennard Square Storm Water Retrofit, a part of the Eastern Watershed Improvement Project (EWIP) in the City of Port St. Lucie. The project includes a wet detention pond, drainage piping, utility relocations, sidewalk construction, sediment and erosion controls, sodding, and associated work. Specific role: Sr. Inspector.

c.

(1) TITLE AND LOCATION *(City and State)*
Project Name: SR-76/I-95 Interchange Improvements
Location: Martin County, Florida

(2) YEAR COMPLETED: PROFESSIONAL SERVICES — ; CONSTRUCTION *(If applicable)* 2015

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

Provided on-site inspection services associated with the reconstruction of I-95 interchange ramps and widening of SR-76 roadway (FM #42978615801). Work items include roadway construction, drainage, mast arms & signalization, pond construction, milling and paving. The project is based on FDOT specifications and material requirements including QC/VT Testing and LIMS. Specific role: Inspector

d.

(1) TITLE AND LOCATION *(City and State)*
Project Name: Bayshore Water Main Replacement Project
Location: Port St. Lucie, Florida

(2) YEAR COMPLETED: PROFESSIONAL SERVICES — ; CONSTRUCTION *(If applicable)* 2013

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided on-site inspection services associated with the construction Replacement of 12" water main on Bayshore Boulevard from approximately 400 feet south of Nautical Avenue to just South of Eyerly Avenue. The work included open cut pipe installation, directional bore pipe installation, tie-ins, removal & replacement of curb, sidewalk and the outside southbound travel lane. Maintenance of traffic and stakeholder satisfaction were critical on this project. Specific role: Inspector

e.

(1) TITLE AND LOCATION *(City and State)*
Project Name: Walton Road Reconstruction
Location: Port St. Lucie, Florida

(2) YEAR COMPLETED: PROFESSIONAL SERVICES — ; CONSTRUCTION *(If applicable)* 2010

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Inspector and Assistant to P.A. for construction project that included 4-laning of an existing 2-lane roadway, including construction of a closed drainage system and installation of mast arm signalization. Responsibilities included overseeing the daily operations of construction inspectors and CEI services including construction observation and attendance at construction progress meetings. Specific role: Inspector

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E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Terri Gorman	RCS / Admin Assistant	11	10

15. FIRM NAME AND LOCATION (City and State)

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION (Degree and Specialization)	17. CURRENT PROFESSIONAL REGISTRATION (St.& Discipline)
High School Graduate	n/a

18. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)

EEO, DBE & Payroll Compliance on LAP and other FDOT project

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Savona Blvd. Sidewalk (Phase II)	2013	2013
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Provided QA/QC control for the Savona Blvd. Sidewalk (Phase II) project which was funded under the Local Area Program (LAP) and Safe Route to Schools (SRTS) program. The project included the placement of 2,500 lf of sidewalk along Savona Blvd., from California Blvd to Gatlin Blvd. Project included the construction/installation of a 200-feet long, prefabricated, pedestrian bridge over the C-24 Canal.

b.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Mariposa Ave. Sidewalk (Phase III)	2014	2014
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

QA/QC control for CEI services associated with the Mariposa Ave. Sidewalk (Phase III) project which was funded under the LAP and SRTS program. The design entailed the placement of approximately 2,500 feet of sidewalk along Mariposa Ave., from Holy Family Cath. Church to Mariposa Elem. School.

c.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Sidewalks – Bayshore/Torino/California/C.	2015	2015
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

QA/QC control for CEI services associated with the construction of approximately 10,000 feet of new sidewalk along portions of NW Bayshore Boulevard; NW East Tornio Boulevard California Boulevard; and NW Country Club Drive. Project designs were provided by the City of Port St. Lucie through in-house design efforts. Sidewalk construction is designed to provide the "missing link" connections to several existing walks throughout the City.

d.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	N. 13th St Improvements Ph 2		2009
Location:	Ft. Pierce, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

QA/QC control for CEI services associated with the American Recovery and Restoration Act (ARRA) project that provided for the re-construction of approximately 1/2 mile of North 13th St., including utilities, drainage, *sidewalk*, pedestrian lighting, bridge modifications & landscaping.

e.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Walton Road (Phase 1)	2008	2009
Location:	City of Port St. Lucie/St. Lucie County,		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

QA/QC control for CEI services associated with The project involved 4-laning of an existing 2-lane roadway, included milling & resurface, construction of a closed drainage system and installation of mast arm signalization, utilities, drainage, approximately 15,000 lf of sidewalk, pedestrian lighting and landscaping. Project challenges included aggressive milestones, urban traffic, and multiple agencies to coordinate with.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Thomas Kiernan, PSM	Director of Survey Division	28	14

15. FIRM NAME AND LOCATION *(City and State)*

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION *(Degree and Specialization)*	17. CURRENT PROFESSIONAL REGISTRATION *(St.& Discipline)*
Martin County High School / Indian River State College	State of Florida – Bd. of Prof. Surveyor & Mapper (6199)

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

FDOT Certified Maintenance of Traffic; FDOT GPS Network Analysis FDOT Certified in Survey Groups 8.1; 8.2 and 8.4.

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: Savona Blvd. Sidewalk (Phase II) Location: City of Port St. Lucie, Florida		2013

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

CEI and survey support associated with the Savona Blvd. Sidewalk (Phase II), which was funded under the Local Area Program (LAP) and Safe Route to Schools (SRTS) program. The project included the placement of 2,500 lf of sidewalk along Savona Blvd., from California Blvd to Gatlin Blvd. Project included the construction/installation of a 200-feet long, prefabricated, pedestrian bridge over the C-24 Canal.

b.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: City of Stuart Sewer System Improvements Location: City of Stuart, Florida		2015 (On going)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Route and Design Survey associated with the expansion of the City of Stuart Water Distribution System. Project services include as-built surveys following the completion of phase construction.

c.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: Sidewalks – Bayshore/Torino/California/C. Club Location: City of Port St. Lucie, Florida		2015

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

CEI and survey support associated with the CEI for the construction of approximately 10,000 feet of new sidewalk along portions of NW Bayshore Boulevard; NW East Tornio Boulevard California Boulevard; and NW Country Club Drive. Project designs were provided by the City of Port St. Lucie through in-house design efforts. Sidewalk construction is designed to provide the "missing link" connections to several existing walks throughout the City.

d.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: Transit Facility (PSL) Location: City of Port St. Lucie, Florida		2011

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

CEI and survey Support services associated with the construction of the City of Port St. Lucie Intermodal Transit Center at the City Hall (Port St. Lucie) complex area.

e.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: North 2nd Street Reconstruction Location: City of Ft. Pierce		Ongoing (2015)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

CEI and survey support associated with the reconstruction of North 2nd Street through the City of Ft. Pierce (Port Area).

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E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Robert N. Johnson, PSM	Surveyor	16	1

15. FIRM NAME AND LOCATION *(City and State)*

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION *(Degree and Specialization)*	17. CURRENT PROFESSIONAL REGISTRATION *(St.& Discipline)*
BA – University of Central Florida - (2000) BS – University of Florida - (2002)	State of Florida – Bd. of Prof. Surveyor & Mapper (6450)

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

FDOT Certified Maintenance of Traffic; Qualified Stormwater Management Inspector; Utility Designate Training 2014; FSMS Retracement Camp 2014; SFWMD DBHYDRO Seminar 2014

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: North Rock Road **Location:** St. Lucie County, Florida	2014	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Design Survey for the design of proposed expansion to North Rock Road, serving the Treasure Coast Research and Development Park.

b.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: Crosstown Parkway (Manth/ So. US #1) **Location:** City of Port St. Lucie, Florida	2014	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Design Survey for the preliminary alignment studies associated with the design of Crosstown Parkway, from SE Manth Avenue to South US #1.

c.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: City of Stuart Sewer System Improvements **Location:** City of Stuart, Florida		Ongoing (2015)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

Design Survey associated with the expansion of the City of Stuart Wastewater Collection System. Project services include as-built surveys following the completion of phase construction.

d.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: City of Stuart Water System Improvements **Location:** City of Stuart, Florida		Ongoing (2015)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Design Survey associated with the expansion of the City of Stuart Water Distribution System. Project services include as-built surveys following the completion of phase construction.

e.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: North 2nd Street Reconstruction **Location:** City of Ft. Pierce		Ongoing (2015)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

CEI and Survey support associated with the reconstruction of North 2nd Street through the City of Ft. Pierce (Port Area).

STANDARD FORM 330 (6/2004) PAGE 2

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT *(Complete one Section E for each key person.)*

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Stefan K. Matthes, PE	Engineer	34	23

15. FIRM NAME AND LOCATION *(City and State)*

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(ST & DISCIPLINE)*
BS Degree - Civil Engineering (1981)	State of Florida - Bd of Professional Engineers (1986)

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

Institute of Transportation Engineers; Florida Engineering Society; American Society of Civil Engineers, American Planning Association, Advance Work Zone MOT Certified, ADA Accessibility (DPFA) certified

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: NE 80th Ave Culvert Replacement Project Location: Okeechobee County, Florida	2013	2014 (Complete)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm.

Project involved the hydraulic modeling of a 2,000 acre drainage basin located in eastern Okeechobee County for the replacement of a major culvert and associated canal bank stabilization for the culvert approaches along with intersection improvements to the Roadway. The stabilization was designed to insure that the banks would not be impacted during the 50 year design storm.

b.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: California Blvd. (SL West) Turn lanes Location: City of Port St. Lucie, Florida	2015	2015 (Complete)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm.

Design and permitting for access improvements to an existing church facility on a City collector roadway. Work included traffic data collection and modeling to insure that the turn lane queue lengths and tapers accommodated the heavy service traffic. Work was done for the City of Port St. Lucie and funded by the church.

c.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: US 441 Landscape Improvements Location: City of Okeechobee, Florida	2014	2014 (Complete)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm.

C&T in conjunction with HJA Design Studio's provided the design, permitting and CEI for the installation of 1.5 miles of enhanced landscape/streetscape improvements to US 441 in the downtown core of the City of Okeechobee. The project was funded through the FDOT with a Florida Highway Beautification Grant which the team assisted the City in procuring.

d.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: Tom Hooper Weir Replacement Location: City of Port St. Lucie, Florida	2014	2015

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm.

Project involved the design and permitting of the replacement of a major outfall control structure located within a park that is situated adjacent to the North Fork of the St. Lucie River the central portion of the City of Port St. Lucie. The original rip-rap weir structure was replaced with a vinyl sheet pile and gunite application to insure longevity while minimizing environmental issues within the project area.

e.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name: Airoso/Floresta Headwall Repair Location: City of Port St. Lucie, Florida	2012	2012 (Complete)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

C&T provided emergency design, permitting and CEI services for the repair of 2 major outfall headwalls that failed during Hurricane Isaac in 2012. The headwall failures caused lane and roadway closures to 2 of the major north/south collector roadways within the City of Port St. Lucie. The design and permitting was completed within 3 weeks and construction within 60 days to reopen the roadways to the traveling public.

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E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT *(Complete one Section E for each key person.)*

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Patrick J. Ferland, PE	Engineer	37	7

15. FIRM NAME AND LOCATION *(City and State)*

Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(ST & DISCIPLINE)*
BS Degree - Civil Engineering (1978)	State of Florida - Bd of Professional Engineers

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

NPDES Stormwater Inspector; OSHA Safety Inspector Certification; FDOT Project Management; Jessica Lunsford – Level 2 Screening Certified;

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	NE 80th Ave Culvert Replacement Project	2013	2014 (Complete)
Location:	Okeechobee County, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm.

Project involved the hydraulic modeling of a 2,000 acre drainage basin located in eastern Okeechobee County for the replacement of a major culvert and associated canal bank stabilization for the culvert approaches along with intersection improvements to the Roadway. The stabilization was designed to insure that the banks would not be impacted during the 50 year design storm.

b.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	California Blvd. (SL West) Turn lanes	2015	2015 (Complete)
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm.

Design and permitting for access improvements to an existing church facility on a City collector roadway. Work included traffic data collection and modeling to insure that the turn lane queue lengths and tapers accommodated the heavy service traffic. Work was done for the City of Port St. Lucie and funded by the church.

c.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	US 441 Landscape Improvements	2014	2014 (Complete)
Location:	City of Okeechobee, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm.

C&T in conjunction with HJA Design Studio's provided the design, permitting and CEI for the installation of 1.5 miles of enhanced landscape/streetscape improvements to US 441 in the downtown core of the City of Okeechobee. The project was funded through the FDOT with a Florida Highway Beautification Grant which the team assisted the City in procuring.

d.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Tom Hooper Weir Replacement	2014	pending
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm.

Project involved the design and permitting of the replacement of a major outfall control structure located within a park that is situated adjacent to the North Fork of the St. Lucie River the central portion of the City of Port St. Lucie. The original rip-rap weir structure was replaced with a vinyl sheet pile and gunite application to insure longevity while minimizing environmental issues within the project area.

e.

(1) TITLE AND LOCATION *(City and State)*		(2) YEAR COMPLETED	
		PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Project Name:	Airoso/Floresta Headwall Repair	2012	2012 (Complete)
Location:	City of Port St. Lucie, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

C&T provided emergency design, permitting and CEI services for the repair of 2 major outfall headwalls that failed during Hurricane Isaac in 2012. The headwall failures caused lane and roadway closures to 2 of the major north/south collector roadways within the City of Port St. Lucie. The design and permitting was completed within 3 weeks and construction within 60 days to reopen the roadways to the traveling public.

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KEITH and SCHNARS, P.A.

FLORIDA'S *Big* LOCAL FIRM

KEITH and SCHNARS, P.A. Florida Big Local Firm

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		A. TOTAL	B. WITH CURRENT FIRM
Jorge Blanco	CEI	36 years	22 years

15. FIRM NAME AND LOCATION *(City and State)*

KEITH AND SCHNARS – Doral, FL

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
A.A. Degree, Civil Engineering, Florida Community College, 1982	N/A

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

FDOT CTQP Qualifications: Asphalt Paving Technician - Level 1 & 2, Earthwork Construction Inspection - Level 1 & 2, Drilled Shaft Inspection, Pile Driving Inspection, Final Estimates - Level 1 & 2, QC Manager, Concrete Field Technician - Level 1 & 2

Professional Certifications: Work Zone Traffic Control (Advanced), CPM Scheduling for Project Engineers, Concrete, Bridge and Foundation Inspection, Contract Administration/Claims Analysis, Earthwork, Density Record System, Traffic Signals & Highway Lighting Consultant Series, Signing and Pavement Marking Consultant Series, Asphalt Inspection Consultant Series, American Concrete Institute - Level I, Earthwork Inspection Consultant Series, Advanced Multi-Line Earthwork, Utilities Inspection Consultant Series Engineering Menu

19. RELEVANT PROJECTS

a

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
Wiles Road Extension from Lyons Road to Powerline Road Broward County, FL	2009	2012

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☑ Check if project performed with current firm

Project Administrator - Construction of bridge number 864112 over Florida's Turnpike to complete the corridor between SR-845/Powerline Road and Lyons Road. This project includes full depth roadway approaches, substructure with bulb-tee beam and stay-in place metal deck superstructure, MSE retaining walls, lighting and signalization improvements, drainage and utility improvements for Broward County and the City of Deerfield Beach.

b

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
Various projects including Seminole Tribe of Florida, Port St. Lucie and Fort Lauderdale, FL	2008	2011

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☑Check if project performed with current firm

Project Administrator – Responsible for documentation of bi-annual roadway assessments for the Seminole Tribe. City of Port St. Lucie utility coordination and construction inspections. Private development quality assurance inspections for Broward and Martin Counties.

c

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
Jensen Beach Causeway (Major Bridge), Martin County, FL	2005	2007

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☑ Check if project performed with current firm

Project Administrator - Duties as a Project Administrator on the Jensen Beach Causeway, SR-732 over Indian River in Martin County, District 4. This contract consists of bridge replacement and widening. Full-depth roadbed construction including reinforced soil features due to poor soil conditions. Roadway and drainage features include construction of a major french drain system, including control structures with weirs and skimmer assemblies.

KEITH and SCHNARS, P.A. Florida's Big Local Firm

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Rick Sackman	Construction Inspection Services	45 years	10 years

15. FIRM NAME AND LOCATION *(City and State)*

KEITH AND SCHNARS – Fort Lauderdale, FL

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
MDCC, International Correspondence Schools	N/A

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

Final Est. Level I, Final Est. Level II, QC Manager, Earthwork Inspection Level I, Earthwork Inspection Level II

19. RELEVANT PROJECTS

a

(1) TITLE AND LOCATION *(City and State)*
Martin Luther King Road Reconstruction
Miami-Dade County, FL

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
2006	N/A

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☑Check if project performed with current firm

Contract Support Specialist - Assigned City of Miami Martin Luther King Road Reconstruction. Project involves drainage, optional base, utility relocation, sidewalks, sidewalk pavers, landscaping, and lighting. City of Miami Project Manager: Lionel Zapata, P.E. – (305) 216-2056. Assigned to the FDOT District Six Lakeside Building and Sunguide Building Remedial Repairs.

b

(1) TITLE AND LOCATION *(City and State)*
Snake Road Project
Seminole Tribe of Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
2006	N/A

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☑ Check if project performed with current firm

Contract Support Specialist - Responsibilities include preparation of proposed project reports with pictures, preparation of contract reports. Preparation of the Final Estimates for projects under the supervision of Mr. Dewey Oliver. Verify that quantities are correctly submitted to FDOT District 4 final estimates. Attend project status meetings and prepare meeting minutes. Assist in the completion of monthly progress payments to the contractor. Track and review project documentation; this include monthly status reports, weather letters, contractor's past performance rating, begin and eng construction notices, supplemental agreements, field supplemental agreements and work orders.

c

(1) TITLE AND LOCATION *(City and State)*
Contract Support Specialist

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
Ongoing	N/A

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☑Check if project performed with current firm

Responsibilities include preparation of proposed project reports with pictures, preparation of contract reports. Verify that quantities are correctly submitted for final estimates. Attend project status meetings and prepare meeting minutes. Assist in the completion of monthly progress payments to the contractor. Track and review project documentation; this include monthly status reports, weather letters, contractor's past performance rating, begin and eng construction notices, supplemental agreements, field supplemental agreements and work orders.

d

(1) TITLE AND LOCATION *(City and State)*
Contract Support Specialist

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
Ongoing	N/A

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☑Check if project performed with current firm

Projects involve drainage, optional base, utility relocation, sidewalks, sidewalk pavers, landscaping, and lighting.

KEITH and SCHNARS, P.A. FLORIDA'S Big LOCAL FIRM

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		A: TOTAL	B. WITH CURRENT FIRM
Maryann Hataway	Resident Compliance Specialist	32 years	19 years

15. FIRM NAME AND LOCATION *(City and State)*
KEITH AND SCHNARS – Vero Beach, FL

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
N/A	N/A

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*
Multi-Line Earthwork MEW-Basic Various EEO/Resident Compliance Office Requirements

19. RELEVANT PROJECTS

a

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
FDOT Roadway Improvement Project (1.7 miles), US-1 South of Vero Beach (Work Groups 10.1, 10.3 & 10.4), Indian River County, FL	Ongoing	N/A

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☐☑ Check if project performed with current firm

Resident Compliance Officer/Receptionist/Secretary - Duties include maintaining field office files and employee timesheets. Scan and input documents to FDOT Electronic Document system. Record, prepare and distribute project meeting minutes, assist in walk-in inquiries and answer questions related to the construction operation, including concerns about traffic tie-ups, damage due to construction and construction progress. Review and evaluate all reports and act upon documentation required for OJT and EEO compliance from the prime and sub-contractors.

b

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
FDOT Roadway improvement projects (2) on 11.5 miles of US-1 from Vero Beach to Sebastian (Work Groups 10.1, 10.3 & 10.4) Indian River County, FL	2008	N/A

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☐☐Check if project performed with current firm

Resident Compliance Officer/Receptionist/Secretary - Duties include maintaining field office files and employee timesheets. Scan and input documents to FDOT Electronic Document system. Record, prepare and distribute project meeting minutes, assist in walk-in inquiries and answer questions related to the construction operation, including concerns about traffic tie-ups, damage due to construction and construction progress. Review and evaluate all reports and act upon documentation required for OJT and EEO compliance from the prime and sub-contractors.

c

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
Jensen Beach Causeway (65' high approximately 151,000 SF 2-lane bridge) Evans Crary Sr. Bridge (pre-cast, post-tensioned segmental bridge), Stuart, FL. FDOT Roadway improvement project on SR-5 (US-1) in Martin County on 3 miles from Stuart to Salerno Road (Work Groups 10.1, 10.3, 10.4 & 10.5.1)	2006	N/A

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☐☐Check if project performed with current firm

Resident Compliance Officer/Receptionist/Secretary - Duties include maintaining field office files and employee timesheets, entry of Construction Quality Reporting (CQR) data for the Control of Concrete reports. Record, prepare and distribute project meeting minutes, assist in walk-in inquiries and answer questions related to the construction operation, including concerns about traffic tie-ups, damage due to construction and construction progress. Review and evaluate all reports and act upon documentation required for OJT and EEO compliance from the prime and sub-contractors.

KEITH and SCHNARS, P.A. FLORIDIAN BY LOCAL FIRM

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		A: TOTAL	A: TOTAL
Rene Azcarreta	Construction Inspection Services	2 years	1 years

15. FIRM NAME AND LOCATION *(City and State)*

KEITH AND SCHNARS – Fort Lauderdale, FL

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
Degree in Business Administration, DeVry University, 2004 A.A., General Studies, Miami-Dade College, 1999	N/A

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

Earthwork Construction Inspection – Level 1; Nuclear Certification; HAZMAT Certification; Florida Stormwater Erosion and Sedimentation Control Inspector; Residence Compliance Specialist - Equal Opportunity Compliance; MOT Intermediate Certification

19. RELEVANT PROJECTS

a

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
Keith and Schnars Miami-Dade County, FL	2014	N/A

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☑ Check if project performed with current firm

Qualified Field Inspector - Responsible for sampling, testing and reporting of construction material; identifying and notifying Project Administrator or Contractor to assure that quality of the material and final product meets specifications; preparing daily construction report, documenting progress, weather conditions, equipment and work performed.

b

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
In-House LAP Consultant for FDOT District	2014	N/A

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☐ Check if project performed with current firm

Federal Reimbursement Specialist - Providing a full detail review and audit of all LAP invoices submitted to FDOT to be processed for reimbursement. Maintaining the departments tracking sheet for data and financial overview on project standings. Reporting on active contracts with pending activities on a weekly production meeting. Coordinating with District Legal Department on public inquiry requests for related project data for various use. Following LAP Construction Audit findings for allowable reimbursement amount due to construction compliances. Preparing Project Close Out Packages when project is to be closed and completed in FDOT's systems. Coordinating with Local Agencies Grant Managers within District Six (Miami-Dade & Monroe County) by analyzing their reimbursement package(s) and provide feedback on missing items, including provide all assistance with invoicing procedure and policies. Upon request from District or Central Office Auditors, compiling related contract material for audit to State and Federal Auditors. Using various Department programs to verify current project standings and payments: Local Agency Program Information Tool (LAPIT), Flair Information Delivery Options (FIDO), Florida Accountability Contract Tracking System (FDOTFacts), Financial Integrity Review and Evaluation (FIRE) Report, Contract Funds Management System (CFM), LAP Tracking, Florida Accountability Information Resource (FLAIR), Mainframe, ProjectSuite Enterprise.

c

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☐ Check if project performed with current firm

d

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☐ Check if project performed with current firm

JOHNSON, MIRMIRAN & THOMPSON

Engineering A Brighter Future®

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
David Kaplan	Project Administrator	30	11

15. FIRM NAME AND LOCATION (City and State)
Johnson, Mirmiran & Thompson, Vero Beach, FL

16. EDUCATION (DEGREE AND SPECIALIZATION)	17. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE)
Whetstone High School, Columbus, Ohio, *1970;* Ohio State (courses taken), *1971*	K145160514710

18. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)

Training and Certifications: Asphalt Paving Level 1 & 2, Final Estimates Level 1 & 2, QC Manager, Earthwork Construction Insp. L1&2, FDOT Advanced MOT, Concrete Field Technician - L1, Pile Driving Inspection, Troxler Nuclear Density Technician & Radiation Safety Officer, ACI Technician Grade 1, Limerock Base Inspector, Site Manager, Concrete Spec. Section 346, NPDES Inspector, HAZMAT, Certificate Stockton Center for Environmental Research, Critical Structures Issues

19. RELEVANT PROJECTS

a.
(1) TITLE AND LOCATION (City and State): **SR 786 PGA Blvd. from SR 710 to west of Turnpike (Design Build), Palm Beach County, FDOT District 4**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: On Going; CONSTRUCTION (If Applicable): On Going
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — X Check if project performed with current firm

Project Administrator. The purpose of this design-build project is to raise the profile of the existing two-lane roadway in order to provide flood protection for the roadway itself and to restore the hydrological connections associated with the Loxahatchee Slough. The project includes the construction of a new single-span bridge to replace an existing culvert, thus expanding the flow capacity through this section of roadway. The roadway's profile will be elevated approximately 3ft. using asphalt. An existing 14X20 flat slab culvert will also be replaced or extended. **Contract Amount: $1.24M.** ***Reference: Charlie Manganaro, Interim Project Manager (561) 432-4966***

b.
(1) TITLE AND LOCATION (City and State): **I-95 Widening from SR 60/Osceola Blvd. to Brevard County Line, FDOT District 4, Indian River County**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: April 2015; CONSTRUCTION (If Applicable): May 2016
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — X Check if project performed with current firm

Sr. Inspector. This $55M Design/Build project entails widening along I-95 from 4 to 6 lanes from just N. of SR 60/Osceola Blvd. Interchange (M.P. 6.688) to the Indian River/Brevard County line (MP. 19.198) and the replacement of both bridges over Fellsmere Road (CR- 512) with a new bridge structure to provide three lanes with widened shoulders in each direction. Other project improvements include drainage, guardrail, signalization, ITS, signage, landscaping, and sound wall construction. **Contract Amount: $5.8M.** ***Reference: Dale Walker, TCO PM (772) 429-4879***

c.
(1) TITLE AND LOCATION (City and State): **SR-5/US-1 Resurfacing, PBC/Martin County, FDOT District 4 (GBF Engineering, Inc., acquired by JMT)**
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: August 2014; CONSTRUCTION (If Applicable): August 2014
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — X Check if project performed with current firm

Project Administrator. This RRR contract entailed milling and resurfacing (M&R) US-1 from just south of the intersection at County Line Rd. to the pavement seams at approximate MP 5.05. This project also included cross slope corrections and widening in various areas. On the west side of US-1, a 10 foot wide Shared Use Path (SUP) constructed from County Line Rd. to Jonathan Dickinson State Park (JDSP). Other project improvements included the construction of type A fence buried 10" deep for gopher tortoise containment, ADA ramps, signalization improvements, ITS upgrades, installation of a traffic monitoring site, count station, guardrail, signage, pavement markings and utility adjustments. **Contract Amount: $567,652.** ***Reference: Kris Kehres, P.E., TCO PM (772) 429-4927***

d.
(1) TITLE AND LOCATION (City and State): **Treasure Coast Operations General Assign (Multiple Projects), FDOT District 4 (GBF Engineering, Inc., acquired by JMT)**
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: February 2013; CONSTRUCTION (If Applicable): February 2013
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — X Check if project performed with current firm

Project Administrator. Provided PA duties on this milling and resurfacing project along SR A1A from east of St. Lucie River Bridge to west of Sewall's Point Bridge in Martin County. ***Reference: Katherine Kehres, P.E., TCO PM (772) 519-8277 or (772)-429-4889***

e.
(1) TITLE AND LOCATION (City and State): **SR 713 (Kings Highway), Fort Pierce, FL FDOT District 4 (GBF Engineering, Inc., acquired by JMT)**
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: August 2012; CONSTRUCTION (If Applicable): August 2012
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — X Check if project performed with current firm

Project Administrator. PA for milling and resurfacing improvements on Kings Highway. Work also included pedestrian signal and ADA upgrades. ***Reference: Jeffrey LeClaire, P.E., Operations Support Manager (772) 429-4881***

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Joseph Knowlton	Sr. Inspector	9	9

15. FIRM NAME AND LOCATION *(City and State)*
Johnson, Mirmiran & Thompson, Vero Beach, FL

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
St. Lucie Central High School	K543499870940

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*
Training and Certifications: Asphalt Paving Levels 1&2, Concrete Field Technician - Level 1, Earthwork Construction Inspection Levels 1&2, Final Estimates Levels 1 & 2, Drilled Shaft Inspection, Pile Driving Inspection, Intermediate MOT, Nuclear Gauge Safety, ACI Concrete Level, HAZMAT, Erosion Contrd

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
I-95 from SR 60/Osceola Blvd. to Brevard County Line, Indian River County, FDOT District 4	PROFESSIONAL SERVICES: On Going	CONSTRUCTION *(If Applicable)*: On Going

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — X Check if project performed with current firm

Sr. Inspector. This $55M Design/Build project entails widening along I-95 from 4 to 6 lanes from just N. of SR 60/Osceola Blvd. Interchange (M.P. 6.688) to the Indian River/Brevard County line (MP. 19.198) and the replacement of both bridges over Fellsmere Road (CR- 512) with a new bridge structure to provide three lanes with widened shoulders in each direction. Other project improvements include drainage, guardrail, signalization, ITS, signage, landscaping, and sound wall construction. Contract Amount: **$5.8M.** ***Reference: Dale Walker, TCO PM (772) 429-4879***

b.

(1) TITLE AND LOCATION *(City and State)*	(3) YEAR COMPLETED	
Treasure Coast General Assign, FDOT District 4	PROFESSIONAL SERVICES: May 2015	CONSTRUCTION *(If Applicable)*: May 2016

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — X Check if project performed with current firm

Sr. Inspector. Mr. Knowlton provided inspection services on pushbutton projects throughout the Treasure Coast area. ***Reference: Alice Custis, FDOT TCO Project Manager, (772) 429-4824***

c.

(1) TITLE AND LOCATION *(City and State)*	(1) YEAR COMPLETED	
SR-5/US-1 Resurfacing, PBC/Martin County, FDOT District 4 (GBF Engineering, Inc., acquired by JMT)	PROFESSIONAL SERVICES: August 2014	CONSTRUCTION *(If Applicable)*: August 2014

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — X Check if project performed with current firm

Sr. Inspector. Sr. Inspector on this RRR contract which entailed milling and resurfacing (M&R) US-1 from just south of the intersection at County Line Rd. to the pavement seams at approximate MP 5.05. This project also included cross slope corrections and widening in various areas. On the west side of US-1, a 10 foot wide Shared Use Path (SUP) was constructed from County Line Rd. to Jonathan Dickinson State Park (JDSP). Other project improvements included the construction of ADA ramps, signalization improvements, ITS upgrades, installation of a traffic monitoring site, count station, guardrail, signage, pavement markings and utility adjustments. Contract Amount: **$567,652.** ***Reference: Kris Kehres, P.E., TCO PM (772) 429-4927***

d.

(1) TITLE AND LOCATION *(City and State)*	(1) YEAR COMPLETED	
Treasure Coast Operations General Assign (Multiple Projects), FDOT District 4 (GBF Engineering, Inc., acquired by JMT)	PROFESSIONAL SERVICES: February 2013	CONSTRUCTION *(If Applicable)*: February 2013

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — X Check if project performed with current firm

Sr. Inspector. Sr. Inspector on a milling and resurfacing project along SR A1A from east of St. Lucie River Bridge to west of Sewall's Point Bridge in Martin County. ***Reference: Katherine Kehres, P.E., TCO PM (772) 519-8277 or (772)-429-4889***

e.

(1) TITLE AND LOCATION *(City and State)*	(1) YEAR COMPLETED	
SR 70 (Segment 3) from east of Summerlin Road to east of Bridge # 940113, FDOT District 4, St. Lucie County (GBF Engineering, Inc., acquired by JMT)	PROFESSIONAL SERVICES: November 2012	CONSTRUCTION *(If Applicable)*: November 2012

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — X Check if project performed with current firm

Sr. Inspector. Sr. Roadway Inspector on this $12.4m ARRA project which consisted of the reconstruction of 4.3 miles of SR 70 from a 2-lane undivided to a 4-lane divided rural roadway from a point east of Summerlin Road westerly to a point east of bridge number 940113. Construction Cost: $12.4M ***Reference: ChloAnn Lawrence, FDOT PM, (772) 429-4866***

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Agradina "Aggie" Ortega	Contract Support Specialist	28	<1

15. FIRM NAME AND LOCATION *(City and State)*
Johnson, Mirmiran & Thompson, Vero Beach, FL

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
Masters in Engineering Management – Construction Management and Public Works Management, Polytechnic University of Puerto Rico – Orlando, FL, *2016 (anticipated)*; B.S., Land Surveying & Mapping, Polytechnic University of Puerto Rico – San Juan, PR. *1999*	TIN: 063200063

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*
Training and Certifications: Final Estimates Levels I & 2, Asphalt Paving Technician - Levels 1 & 2, Earthwork Construction Inspection - Level 1, Drilled Shaft Inspection, Pile Driving Inspection, American Concrete Institute (ACI) Concrete Transportation Construction Inspector (CTCI), Advanced MOT, Multi-Line and Engineering Menu, Site Manager, Laboratory Information Management Systems (LIMS), Bilingual in Spanish and English

19. RELEVANT PROJECTS

a.
(1) TITLE AND LOCATION *(City and State)*: **JMT's Internal Quality Control Group**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: N/A; CONSTRUCTION *(If Applicable)*: N/A
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — X Check if project performed with current firm

QC Reviewer. As an integral part of JMT's Internal Quality Control/Assurance Construction Management group in Florida, Ms. Ortega performs QA/QC reviews on JMT's CE&I projects and also works with the company's various Sr. Project Engineers to ensure that the company's QA plan is being consistently implemented. She is currently performing quality control services on a design build project along SR-786/PGA Blvd. from SR-710 to West of Florida's Turnpike in Palm Beach County and also on two milling and resurfacing projects along SR 10 and SR 261 (US 319/Capital Circle) in Leon County. **Contract Amount: $673K** ***Reference: Lee Watts, P.E. Sr. Project Engineer (561) 718-8097***

b.
(1) TITLE AND LOCATION *(City and State)*: **CEI Services for SR A1A Reconstruction from Oakland Park Blvd. to Flamingo Ave. (FM No. 431204-1), FDOT District 4**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: September, 2015; CONSTRUCTION *(If Applicable)*: N/A
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — Check if project performed with current firm

Contract Support Specialist—Temporary Assignment. Contract Support Specialist for this project which entails the reconstruction of one mile of SR A1A in the City of Ft. Lauderdale. The project is classified as a "Greenway Beautification" project that includes reconfiguring the roadway to accommodate wider sidewalks, new median, and new landscaping. Project features entail new decorative lighting system, signalization upgrades, hardscape improvements, drainage improvements, signing and pavement markings. **Contract Amount: $9.3M;** ***Reference: Angela Lietz, P.E. Project Manager (954) 940-7523***

c.
(1) TITLE AND LOCATION *(City and State)*: **SR 70, St. Lucie County, FL & I-95 Lighting Project, Martin County, FL, FDOT District 4 (Carnahan, Proctor & Cross, Inc.)**
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: May 2015; CONSTRUCTION *(If Applicable)*: May 2015
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — Check if project performed with current firm

Contract Support Specialist. Contract Support Specialist for a $2.8M, 540-day contract to install a lighting system on SR-9 (I-95) from N. of CR 708 to S. of High Meadow Rd. During the same time frame, Ms. Ortega also provided inspection/CSS services along SR 70, a 7-mile project where she provided assistance as a Senior Roadway Inspector and assisted with the preparation of the Final Estimates package. ***References: K.C. Jose, P.E. – I-95 Project Manager (772) – 429-4936; Chloann Lawrence – SR 70 Project Manager (772) 429-4866***

d.
(1) TITLE AND LOCATION *(City and State)*: **Highlands County, FL, FDOT District 1 (AMEC Environment & Infrastructure, Inc.)**
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: 2013; CONSTRUCTION *(If Applicable)*: 2013
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — Check if project performed with current firm

Project Administrator Project Administrator for $10.1M, 450-day contract to expand 2.8 miles of U.S. 27 from four to six lanes. Managed roadway resurfacing, overbuild, widening, median improvements, and storm drainage with three retention ponds, as well as lighting, signalization, signing, and striping; additionally managed utility project for the City of Avon Park Water and Sewer facilities. ***Reference: Susan Hindman, Sebring Ops Project Manager (863) 386-6112***

e.
(1) TITLE AND LOCATION *(City and State)*: **US 27, 46th Avenue North, Pinellas County, FL, Pinellas County Public Works (AMEC Environment & Infrastructure, Inc.)**
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: 2011; CONSTRUCTION *(If Applicable)*: 2011
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — Check if project performed with current firm

Contract Support Specialist. Contract Support Specialist for $9.5M, 540-day contract to rebuild 1.9 miles of 46th Avenue North. Supported total reconstruction of existing two-lane roadway with addition of bike lanes and sidewalks, as well as gravity and force main sewer installations, storm drainage with one retention pond, new mast arm signalization, signing, and striping. Additionally supported joint project agreement with City of St. Petersburg for water line relocation and adjustment work. ***Reference: Todd Boehmer, P.E. (863) 381-3012***

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Karen Campana	Resident Compliance Specialist/Administrative Support	9	9

15. FIRM NAME AND LOCATION (City and State)
Johnson, Mirmiran & Thompson, Indiantown, FL

16. EDUCATION (DEGREE AND SPECIALIZATION)	17. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE)
Port Saint Lucie High School, Florida, *1997*	N/A

18. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)
Training and Certifications: Payroll Training, OJT Training and July EEO Report Training

19. RELEVANT PROJECTS

a.
(1) TITLE AND LOCATION (City and State): **Treasure Coast Operations General Assign (Multiple Projects) – FDOT District 4**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: December, 2014 — CONSTRUCTION (If Applicable): N/A
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — X Check if project performed with current firm

Resident Compliance Specialist on this General Assign contract assigned to scanning project related documents and other RCS related duties at the Treasure Coast Operations Center. **Contract Amount $1.5M.** ***Reference: Alice Custis (772) 429-4824***

b.
(1) TITLE AND LOCATION (City and State): **SR 70 Reconstruction, Segments 3, 4, & 5 , St. Lucie County - FDOT District 4 (GBF Engineering, Inc., acquired by JMT)**
(3) YEAR COMPLETED — PROFESSIONAL SERVICES: September, 2012 — CONSTRUCTION (If Applicable): N/A
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — X Check if project performed with current firm

Resident Compliance Specialist on all segments of this corridor reconstruction. **Segment 4 (May '06 – Aug '08) ($18m)**, entailed the reconstruction of 3.1 miles of SR 70 from west of Carlton Road to a point east of Ideal Holding Road, from a 2-lane undivided to a 4-lane divided rural. This project included major earthwork activities, the construction of two bridges and modification of an existing bridge, drainage improvements, major utility relocation and a multiple phased TCP including transition adjoining this project to an ongoing adjacent SR-70 project. Responsibilities included filing and scanning project related documents, answering telephones, submittal of employee time sheets, overtime and training requests. **Segment 5 (May '07 – Apr. '10) ($29 million)**, entailed the reconstruction of 3.1 miles of SR 70 from a 2-lane undivided to a 4-lane divided rural, from West of Header Canal Road to McCarty Road, and construction of a Shared Use Path to the Turnpike. Reconstruction included major earthwork activities, the construction of two bridges and modification of an existing bridge, drainage improvements, major utility relocation and a multiple phased TCP including transition adjoining this project to an ongoing adjacent SR-70 project. Responsibilities included filing and scanning of project related documents into EDMS, submittal of lane closures, informing the public via phone of any project activity while listening and logging in any concern they might express via phone, completion and distribution of project progress meeting minutes, office inventory, submittal of employee time sheets and overtime requests and overseeing on-the-job training on federal and/or state funded projects and providing office support. Ms. Campana was able to partner with the contractor in order to graduate a total of 7 trainees on this Non Federal Aid participating project. **Segment 3 (May'09 – Sep'12) ($12.4m ARRA project)** consisted of the reconstruction of 4.3 miles from a 2-lane undivided to a 4-lane divided roadway from a point east of Summerlin Road. **Total Construction Cost for all segments: $61M** ***Reference: ChloAnn Lawrence, TCO PM (772) 429-4866***

c.
(1) TITLE AND LOCATION (City and State): **CEI Oversight ARRA Compliance Contract – FDOT District 4 (GBF Engineering, Inc., acquired by JMT)**
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: 2012 — CONSTRUCTION (If Applicable): N/A
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — X Check if project performed with current firm

Resident Compliance Specialist. Provided RCS support as needed on this contract which entailed the oversight of all contractor's activities and documentation to ensure ARRA compliance from Municipalities and local agencies in the Treasure Coast Area for LAP projects "on" and "Off-state" Highway System. ***Reference: Karina Jackson, GBF Project Manager (954) 444-5479***

d.
(1) TITLE AND LOCATION (City and State): **SR 70 Bridge Rehabilitation, St. Lucie County – FDOT District 4 (GBF Engineering, Inc., acquired by JMT)**
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: January 2007 — CONSTRUCTION (If Applicable): N/A
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — X Check if project performed with current firm

Resident Compliance Specialist. Duties included serving as a liaison for the FDOT, maintaining Equal Opportunity Employment and On-the-Job training programs or highway construction. She performed on site interviews related to FDOT regulations and provided office support. Ms. Campana assisted the contractor in graduating a total of 7 trainees on this Non Federal Aid participating project ***Reference: ChloAnn Lawrence TCO PM (772) 429-4866***

e.
(1) TITLE AND LOCATION (City and State): **I-95 Guardrail, Palm Beach, St. Lucie, Martin and Indian River Counties – FDOT District 4 (GBF Engineering, Inc., acquired by JMT)**
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: February, 2007 — CONSTRUCTION (If Applicable): N/A
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — X Check if project performed with current firm

Resident Compliance Specialist/Receptionist. Duties on this ($5M) project for guardrail installation along I-95 included verifying compliance, documenting violations on the project and issuing written notices to prevent future compliance violations. She was also responsible for filing and scanning project related documents. **Construction Cost: $5M** ***Reference: John Nelson, TCO PM (Retired) (772-465-7396***

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Theresa Stern	Resident Compliance Specialist/Administrative Support	17	3

15. FIRM NAME AND LOCATION *(City and State)*
Johnson, Mirmiran & Thompson, Vero Beach, FL

16.	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
Fort Pierce Central, Indian River Community College - GED, *1993*	N/A

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*
Bizweb w/EEO Training, EEO/DBE/CCIP Training w/Community Asphalt (2008), EEO/DBE Training w/Dickerson Florida, Familiar with OJT reporting and requirements, Familiar with FDOT specifications, Supervisory Reasonable Suspicion Drug Testing Certificate, Annual Supervisory Drug Testing (completed annually every October), Ability to read striping plans Proficient in Microsoft Word, Excel, Outlook, QuickBooks, Timberline

19. RELEVANT PROJECTS

a.
(1) TITLE AND LOCATION *(City and State)*: **I-95 from SR 60/Osceola Blvd. to Brevard County Line, Indian River County – FDOT District 4**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: On Going; CONSTRUCTION *(If Applicable)*: On Going
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — X Check if project performed with current firm

Resident Compliance Specialist. Ms. Stern is currently serving as the RCS on this $55M Design/Build project which entails widening along I-95 from 4 to 6 lanes from just North of SR 60/Osceola Blvd. Interchange (M.P. 6.688) to the Indian River/Brevard County line (MP. 19.198) and the replacement of both bridges over Fellsmere Road (CR- 512) with a new bridge structure to provide three lanes with widened shoulders in each direction. Other project improvements include drainage, guardrail, signalization, ITS, signage, landscaping, and sound wall construction. Anticipated Completion Date: Mid-2016. **Construction Cost: $55M** ***Reference: Dale Walker (772) 429-4879***

b.
(1) TITLE AND LOCATION *(City and State)*: **Traffic Solutions FNA Gene's Striping, Fort Pierce, FL**
(3) YEAR COMPLETED — PROFESSIONAL SERVICES: March, 2013; CONSTRUCTION *(If Applicable)*: N/A
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — Check if project performed with current firm

Office Manager/Operations Manager. As Office Manager/Operations Manager Ms. Stern worked directly with FDOT on various maintenance contracts throughout Florida and completed numerous federal and state funded contracts as well as ARRA and FAA contracts. She completed multiple EEO Compliance Reviews and attended many preconstruction meetings; performed EEO/DBE Compliance as the EEO/DBE Officer for more than nine years and prepared and completed all required EEO/DBE submittals including certified payrolls.

c.
(1) TITLE AND LOCATION *(City and State)*: **Felix Equities, Vero Beach and Davie, FL**
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: 2001; CONSTRUCTION *(If Applicable)*:
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — Check if project performed with current firm

Payroll Manager/EEO Assistant. Ms. Stern was responsible for processing in house payroll for 400+ employees, including authorized deductions, pay scales adjustments per project as required by federal wage rates; she reported all payroll tax deductions in the required timeframe; completed all weekly certified payroll, OJT reporting and assisted the EEO Officer with additional monthly required EEO reporting.

d.
(1) TITLE AND LOCATION *(City and State)*:
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: ; CONSTRUCTION *(If Applicable)*:
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — Check if project performed with current firm

e.
(1) TITLE AND LOCATION *(City and State)*:
(1) YEAR COMPLETED — PROFESSIONAL SERVICES: ; CONSTRUCTION *(If Applicable)*:
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — Check if project performed with current firm

KAMM
Consulting

Bradly L. Brown, P.E.
Managing Principal
Fort Pierce, FL Office
Executive Vice President
LEED® Accredited Professional

KAMM® Consulting
Performance by Design™

Professional Experience:

Mr. Brown has over 21 years of engineering experience in mechanical, electrical power, lighting, and plumbing systems, for commercial office and large retail buildings. His expertise includes standby and emergency power generation applications, uninterruptible power supplies, power conditioning and distribution, and photometric analyses. He also has extensive experience in the HVAC industry, particularly the unique requirements for the subtropical environment of South Florida.

In addition to his management responsibilities as a Managing Principal of the Ft. Pierce office with a staff of 7 and as Executive Vice President of Kamm Consulting, Mr. Brown personally manages a broad array of projects involving new construction, and renovation of office buildings, retail space, warehouse space, private residential, condominiums, and manufacturing facilities. His experience in highly available facilities design is of substantial value to users of mission-critical computing and telecommunications systems.

Education

September 1983 - May 9, 1987 - BSEE, State University of New York, Maritime College, Ft. Schuyler, Bronx, N.Y.

Employment History

- 2003-Present: Managing Principal – Kamm Consulting, Inc., Fort Pierce, Florida
- 1/15/94 – 2003: Executive Vice President – Kamm Consulting, Inc., Deerfield Beach, Florida
- 3/92 – 1/94: Mechanical Project Manager - Thompson Engineering, Delray Beach, Florida
- 10/89 – 2/92: Electrical Designer – Teele & Associates, Boynton Beach, Florida

Licenses

- State of Florida
- State of Georgia

Professional Memberships

- American Society of Heating, Refrigerating and Air Conditioning Engineers
- Institute of Electronics and Electrical Engineers, Inc.

Professional Accreditation

United States Green Building Council - LEED® Accredited Professional

B Brown resume (04.07.11).doc

Duane Millar
Project Manager
LEED ™ Accredited Professional

KAMM®
Consulting
Performance by Design™

Professional Experience

Mr. Millar has experience in electrical, mechanical, and plumbing design. His expertise includes LEED® and green building design, electrical systems, photometric analysis, and project management. Mr. Millar's experience ranges from restaurants, public facilities, industrial projects, retail, country clubs, commercial office buildings, condominiums, town homes, parks, and warehouses.

Education

Bachelor of Science Engineering Technology– University of Central Florida, Orlando, Florida - 2003

Employment History

- 08/2003 - Present: Project Manager – Kamm Consulting, Inc.

Professional Accreditation

- United States Green Building Council LEED® Accredited Professional
  LEED® – Leadership in Energy and Environmental Design

Duane MillarDuane Millar Resume - CURRENT1.doc

John Mayr, LEED AP, BD+C

KAMM Consulting

Professional Experience

Mr. Mayr has over 10 years of experience in the fields of mechanical, electrical, and plumbing engineering and project design. His expertise involving the management and execution of small, medium, and large project has resulted in successful end results and satisfied clients.

Education

Bachelors of Engineering, Daytona State College

Associate in Arts - Engineering, Indian River State College

Associate in Science – Information Technology, Indian River State College

Fellowships, Professional Memberships

United States Green Building Council - USGBC

The American Society of Heating, Refrigerating and Air-Conditioning Engineers - ASHRAE

Illuminating Engineering Society of North America - IES

Positions Held

2008 - Current, Project Manager

Kamm Consulting Inc.

General management duties including but not limited to, acquisition of new clients, proposal development and fee negotiation, initiation and direction of new projects, project schedule creation and maintenance, supervise all aspects of mechanical, electrical, plumbing, and site photometric design, creation of engineering due-diligence reports for Florida State Statue 558 process.

2006-2008, Designer

Kamm Consulting Inc.

Responsibilities include design of mechanical, electrical, plumbing and site photometric construction documents; compellation of all required permit process documentation including heat load calculations, energy models, energy calculation reports, and LEED related documentation if applicable.

2005-2006, Drafter

Kamm Consulting Inc.

Overall drafting duties include conversion of hard copy construction documents to electronic format using AutoCAD MEP, Autodesk REVIT, and Autodesk Design Review.

Project Experience

Ecoplex office building and parking garage – LEED Certified Gold
Coral Springs Public Safety Complex and Fire Station #80 – LEED Certified
Turtle River Montessori School - Jupiter, Florida – LEED Certified
Ravenswood Complex (joint use between IRSC and The City of PSL)
Hilton Homewood Suites at Tradition - Port St. Lucie, Florida

Software Expertise

Superior proficiency in Microsoft Office Suite, Power Point, Autodesk AutoCAD, Adobe Acrobat, EnergyGauge Summit, Microsoft Windows Server, Lighting Analysts AGI32.

Chris Creswell- 10/20/2011

CHRISTOPHER CRESWELL
2670 NW HATCHES HARBOR RAOD
PORT ST. LUCIE, FL 34983
(228) 363-8937

PROFESSIONAL EXPERIENCE

Kamm Consulting, Fort Pierce, FL
Plumbing Designer/ Secretary, November 2007 – Present

- Plumbing designer for various projects in St. Lucie, Palm Beach, Okeechobee, Dade, Broward, Martin, and Volusia Counties. Projects are a wide variety of buildings. Design work for the State of Florida and Seminole Indians has also been a major task that I have had to work with local and out of state architects to complete the jobs. Current ongoing jobs include: renovation work for the Lake Worth Casino Building, City College located in Deland, Sibia Office Building located in Boynton Beach, East Boynton Town Homes, and several small tenant improvements for new tenants at Down Town at the Gardens. Also, once a floor plan is received from an architect, I prepare the drawings/ backgrounds and setup a job folder of working drawings for all designers in my office. Aside from focusing on plumbing design and preparing working drawings I am also the administrative assistant for my office. My daily tasks include: managing our phone system, file management, typing response letters to building department comments, and preparing proposals for upcoming jobs as a response to RFP's for all project managers in my office. All work is presently done in an Autocad 2007 environment.

Clerk of the Circuit Court, Stuart, FL
Traffic Department Associate/ Mail Clerk, May 2006 – November 2007

- Performed computer file management for my entire department entering traffic citations into the county's shared, complex, computer system, also performed clerical work dealing with customers on a personal one on one basis. Collected and dispersed mail for all judges and bailiffs throughout all departments of the court house. Other than delivering mail to the different departments I also had restricted access to all judges and personally helped them prepare dockets for upcoming cases and court hearings.

Masuen Consulting LLC

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Mitchel Walker	Principal in Charge	30	21

15. FIRM NAME AND LOCATION (City and State)

Masuen Consulting LLC

16. EDUCATION (Degree and Specialization)	17. CURRENT PROFESSIONAL REGISTRATION (State and Discipline)
MS Construction Mgmnt, BS Zoology	

18. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)

CID-R, CID-C, CID-G, CLIA, CGIA, CIC

19. RELEVANT PROJECTS

A.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Kingsland Blvd & Mason Creek, Houston, TX	2012	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

[X] Check if project performed with current firm

Irrigation design and CEI of irrigation installation/modifications

B.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Cinco Ranch, Houston, TX	2011-On-going	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

[X] Check if project performed with current firm

Irrigation consulting, and irrigation CEI

C.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
A1A, Vero Beach, FL	2000-2013	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

[ ] Check if project performed with current firm

Irrigation design – Irrigation CEI

D.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Crosstown Parkway, Village Parkway and Other Roadwasys, Port St Lucie, FL	2007-2014	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

[ ] Check if project performed with current firm

Irrigation Design – Irrigation CEI

E.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

[ ] Check if project performed with current firm

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Todd Mohler	Project Manager	20	9.5

15. FIRM NAME AND LOCATION *(City and State)*

Masuen Consulting LLC 32801 Hwy 441 N. #293, Okeechobee, FL 34972

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
BA Landscape Architecture	Registered Landscape Architect, FL

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

Member of American Society of Landscape Architects
Member of United States Green Building
Member of American Rainwater Catchment Systems Association
Certified Arborist

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Century Village, Deerfield Beach, FL	2015	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

Tree removal plan, irrigation design, CEI of irrigation installation

☑ Check if project performed with current firm

b.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
St. Lucie West Blvd, Port St Lucie, FL	2012	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

reviewed all cost/equipment submittals to the City, attended pre-construction meeting, conducted on-site irrigation installation inspections and provided written reports to client, conducted final inspection and created punch list, and verified all punch list items had been addressed upon job completion.

☑ Check if project performed with current firm

c.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☐ Check if project performed with current firm

d.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☐ Check if project performed with current firm

e.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☐ Check if project performed with current firm

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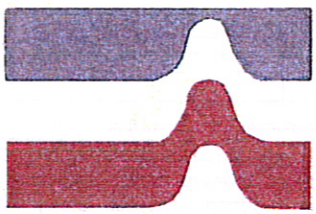

Ardaman & Associates, Inc.

Geotechnical, Environmental and Materials Consultants

Ardaman & Associates, Inc.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
DAN ZRALLACK, P.E.	SENIOR PROJECT ENGINEER	18	188

15. FIRM NAME AND LOCATION *(City and State)*

ARDAMAN & ASSOCIATES, INC.,

16. EDUCATION *(Degree and Specialization)*	17. CURRENT PROFESSIONAL REGISTRATION *(St.& Discipline)*
BS Degree - Civil Engineering (2000)	State of Florida - Bd of Professional Engineers (63911)

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: CSC for Geotechnical Engineering Location: Various	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Mr. Zrallack serves as contract manager and senior project engineer on numerous geotechnical and construction material testing projects for various municipalities under continuing contracts on a wide range of utility engineering, stormwater management, roadway and infrastructure projects. Ardaman's services included subsurface exploration and geotechnical engineering analyses along with construction materials testing of soils, concrete, pavement materials (asphalt, base and subgrade), and masonry units. Projects include utility services, roadways, stormwater systems, parking garages and buildings.

- *St. Lucie County*
- *Indian River County*
- *City of Fort Pierce*
- *City of Port St. Lucie*
- *Indian River County Schools*
- *Martin County School District*
- *Indian River County*
- *Indian River State College*

b.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: Indian River Estates Water Main Location: St. Lucie County, Florida	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Mr. Zrallack was a senior project engineer for a subsurface soil exploration and geotechnical engineering evaluation for the design of a MSBU water main distribution project in the Indian River Estates residential community in eastern St. Lucie County. Mr. Zrallack also managed the construction materials testing services for the project.

c.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: Indian River Est. Stormwater Improv. Location: St. Lucie County, Florida	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

Mr. Zrallack was a senior project engineer for this stormwater drainage improvement project in the Indian River Estates residential community in eastern St. Lucie County. Mr. Zrallack managed the construction materials testing services for the project.

d.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: Midway Road Widening Project - Ph I Location: St. Lucie County, Florida	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Mr. Zrallack is currently serving as Senior Project Engineer for the roadway widening of Midway Road in St. Lucie County between Milner Drive and 25th Street. Ardaman is providing construction testing and inspection during construction of the project.

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Ardaman & Associates, Inc.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
THOMAS CAHILL	SENIOR ENGINEERING TECHNICIAN	9	9

15. FIRM NAME AND LOCATION *(City and State)*

ARDAMAN & ASSOCIATES, INC.,

16. EDUCATION *(Degree and Specialization)*	17. CURRENT PROFESSIONAL REGISTRATION *(St.& Discipline)*
Rutgers University, New Jersey, 1986-1988	

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

ACI Concrete Field Testing Technician; Nuclear Surface Moisture Density Testing

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: W. Midway Rd Widening – Milner Dr. to 25th St.	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Location: St. Lucie County, Florida		2015

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Mr. Cahill is currently serving as a senior engineering technician for construction materials testing and inspection services on the W. Midway Rd - Milner Dr. to 25th Street, widening project. Services include testing and CEI for this roadway widening and drainage improvements project.

b.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: North 2nd Street Reconstruction,	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Location: Fort Pierce, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Mr. Cahill is currently serving as a senior engineering technician for construction materials testing and inspection services on this roadway reconstruction and utility improvements project.

c.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: 13th Street Reconstruction,	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Location: Fort Pierce, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..

Mr. Cahill is currently serving as a senior engineering technician for construction materials testing and inspection services on this roadway reconstruction and utility improvements project.

d.

(1) TITLE AND LOCATION *(City and State)*	(2) YEAR COMPLETED	
Project Name: 7th Street Reconstruction	PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Location: Fort Pierce, Florida		

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Mr. Cahill is currently serving as a senior engineering technician for construction materials testing and inspection services on this roadway reconstruction and utility improvements project.

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AACE

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Peter G. Andersen, P.E.	Senior Project Engineer	19	10

15. FIRM NAME AND LOCATION (City and State)
Andersen Andre Consulting Engineers, Inc. (Port St. Lucie, Florida)

16. EDUCATION (Degree and Specialization)	17. CURRENT PROFESSIONAL REGISTRATION (State and Discipline)
Masters of Science in Geotechnical Engineering, University of Florida (1997); Bachelor of Science in Civil/Structural Engineering, Technical University of Denmark (1995)	Professional Engineer (Discipline of Civil Engineering) in the State of Florida (No. 57956)

18. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)
Peter is experienced providing geotechnical engineering and materials testing for roadway and intersection improvements, utility installations, low- and high-rise structures (including deep-foundation alternatives), STAs, solid waste facilities and port/harbor facilities.

19. RELEVANT PROJECTS

A.
(1) TITLE AND LOCATION (City and State): **Crosstown Parkway, from Manth to Floresta (Port St. Lucie, FL)**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014; CONSTRUCTION (if applicable): NA
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — (X) Check if project performed with current firm
Peter served as Project Manager and Senior Geotechnical Engineer for this roadway soil survey consisting of more than 100 soil borings (auger and SPT borings), pavement coring, field exfiltration testing and drilled shaft analyses. Peter provided recommendations relative to roadway construction and mast-arm signal pole recommendations. AACE also performed Level 2 Contamination Screening at several locations along the proposed alternative (Alternative 1C). AACE's budget was approximately $90,000.

B.
(1) TITLE AND LOCATION (City and State): **Community Boulevard (Port St. Lucie, FL)**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2009; CONSTRUCTION (if applicable): 2010
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — (X) Check if project performed with current firm
Peter served as Senior Geotechnical Engineer for this roadway soil survey consisting of more than 50 soil borings, mast-arm signal pole recommendations, lake explorations relative to soil suitability. Construction materials testing consisting of over 2,000 density tests, 50+ LBRs, paving and subgrade inspections and demucking observations. AACE's budget was approximately $150,000.

C.
(1) TITLE AND LOCATION (City and State): **Village Parkway, Phases I & II (Port St. Lucie, FL)**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2007; CONSTRUCTION (if applicable): 2011
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — (X) Check if project performed with current firm
Peter served as Senior Geotechnical Engineer for this roadway soil survey consisting of more than 200 soil borings, recommendations relative to roadway construction through 2,000 l.f. of water-filled reservoir, mast-arm signal pole recommendations, surcharging compressible clays, lake explorations relative to soil suitability. Construction materials testing consisting of over 5,000 density tests, 200+ sets of concrete cylinders for compressive strength, 75+ LBRs and as many as four (4) field technicians providing Quality Control services. AACE's budget was approximately $400,000.

D.
(1) TITLE AND LOCATION (City and State): **Rosser Boulevard (Port St. Lucie, FL)**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2007; CONSTRUCTION (if applicable): 2008
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — (X) Check if project performed with current firm
Peter served as Senior Geotechnical Engineer for this roadway soil survey consisting of soil borings and roadway cores, mast-arm signal pole recommendations, lake explorations relative to soil suitability. Construction materials testing consisting of full-time density testing, LBRs, paving and subgrade inspections and compressive strength of concrete testing. AACE's budget was approximately $45,000.

E.
(1) TITLE AND LOCATION (City and State): **Paradise Park Drainage and Roadway Improvement Project (Fort Pierce, Florida)**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2008; CONSTRUCTION (if applicable): NA
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — (X) Check if project performed with current firm
Peter served as Project Manager and Senior Geotechnical Engineer for this project where AACE was tasked with performing subsurface ex completing geotechnical engineering analyses to analyze the overall slope stability and seepage conditions which could adversely affect th residential roadway and drainage project. Seepage and slope stability analyses were completed using limiting equilibrium analyses, effect parameters (i.e., ϕ), and both measured and predicted pore water pressures. Stability analysis was performed for the existing, unimprove the worst case steady-state-seepage (SSS) conditions following the construction and operation of the various ponds. AACE's budget was approximately $23,000.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
David P. Andre, P.E.	Project/Contract Manager	22	10

15. FIRM NAME AND LOCATION (City and State)
Andersen Andre Consulting Engineers, Inc. (Port St. Lucie, Florida)

16. EDUCATION (Degree and Specialization)	17. CURRENT PROFESSIONAL REGISTRATION (State and Discipline)
Bachelor of Science in Environmental Engineering, University of Florida (1993);Post Graduate Coursework in Geotechnical Engineering, University of Central Florida (1997-1998);	Professional Engineer (Discipline of Civil Engineering) in the State of Florida (No. 53969)

18. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)
David is experienced providing geotechnical engineering and materials testing services for roadway and utility construction, infrastructure improvements, and site preparation as well as general environmental consulting relative to subsurface contamination.

19. RELEVANT PROJECTS

A.

(1) TITLE AND LOCATION (City and State): **Village Parkway, Phases I & II (Port St. Lucie, FL)**

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2007	2011

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [X] Check if project performed with current firm

David served as Project Manager for this roadway soil survey consisting of more than 200 soil borings, recommendations relative to roadway construction through 2,000 l.f. of water-filled reservoir, mast-arm signal pole recommendations, surcharging compressible clays, lake explorations relative to soil suitability. Construction materials testing consisting of over 5,000 density tests, 200+ sets of concrete cylinders for compressive strength, 75+ LBRs and as many as four (4) field technicians providing Quality Control services. AACE's budget was approximately $400,000.

B.

(1) TITLE AND LOCATION (City and State): **Discovery Way, East & West (Port St. Lucie, FL)**

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2009	2010

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [X] Check if project performed with current firm

David served as Project Manager for this roadway soil survey consisting of more than 25 soil borings, muck probes in major drainage canal, mast-arm signal pole recommendations, lake explorations relative to soil suitability. Recommendations were also provided relative to construction atop a major reclaimed drainage canal. Construction materials testing consisting of over 1,000 density tests, 20+ LBRs, paving and subgrade inspections and demucking observations. AACE's budget was approximately $60,000.

C.

(1) TITLE AND LOCATION (City and State): **Westmoreland Boulevard (Port St. Lucie, FL)**

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2007	2008

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [X] Check if project performed with current firm

David served as Project Manager for this roadway soil survey consisting of soil borings and roadway cores, mast-arm signal pole recommendations, lake explorations relative to soil suitability. Construction materials testing consisting of full-time density testing, LBRs, paving and subgrade inspections and compressive strength of concrete testing. AACE's budget was approximately $35,000.

D.

(1) TITLE AND LOCATION (City and State): **Becker Road - Segments 1 and 2 (Port St. Lucie, FL)**

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2007	2010

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [X] Check if project performed with current firm

David served as Project Manager for this roadway soil survey consisting of soil borings to further characterize reported "unsuitable plastic soils", mast-arm signal pole recommendations, significant lake explorations relative to soil suitability. Construction materials testing consisting of full-time density testing, LBRs, paving and subgrade inspections and compressive strength of concrete testing. AACE's budget was approximately $300,000.

E.

(1) TITLE AND LOCATION (City and State): **Continuing Service Contract, City of Port St. Lucie, (Port St. Lucie, FL)**

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2007	2011

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [X] Check if project performed with current firm

David was the Contract Manager when AACE maintained a continuing service contract with the City of Port St. Lucie for geotechnical engi construction materials testing until 2011 (when the City discontinued all professional service contracts). Services included the performanc pits, groundwater studies, embankment suitability studies, and all manner of materials testing during construction. Projects have include headwall replacements, roadway explorations and testing, utility installations, STA construction, municipal building construction, etc. Pro ranged from $1,000 to $750,000.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Brian K. Smith	Senior Technician/Director of Operations	21	10

15. FIRM NAME AND LOCATION (City and State)
Andersen Andre Consulting Engineers, Inc. (Port St. Lucie, Florida)

16. EDUCATION (Degree and Specialization)

17. CURRENT PROFESSIONAL REGISTRATION (State and Discipline)
ACI Level I Technician, ACI Aggregate Base Testing Technician
Nuclear Gauge Soil Density Certified, Radiation Safety Officer
FDOT/CTQP LBR Technician
FDOT/CTQP Aggregate Base Testing Technician
FDOT/CTQP Qualified Sampler Technician
FDOT/CTQP ECI 1 & 2 Earthwork Technician
FDOT/CTQP Aggregate Field Testing
FDOT/CTQP Asphalt Paving Level 1
FDOT/CTQP Concrete Lab Technician Level 1
FDOT/CTQP Concrete Field Inspector Level 1
CMEC Laboratory Soil Technician

18. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)
Brian Smith conducts field and laboratory operations within Andersen Andre Consulting Engineers, Inc. (AACE). He is responsible for conducting services such as soil density testing, compressive strength of concrete testing, etc. Brian has performed such services for both private and public sector clients, including St. Lucie County Department of Engineering, Okeechobee County, Martin County, the City of Port St. Lucie and FDOT. Brian has been conducting construction materials testing services and field explorations in south Florida for 19 years. He has served as field manager on hundreds of testing programs for developments of all types, including roadways, multi-story buildings, bridges, auger-cast and driven pile installation projects, etc.

19. RELEVANT PROJECTS

A.
(1) TITLE AND LOCATION (City and State): **Village Parkway, Phases I & II (Port St. Lucie, FL)**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2007; CONSTRUCTION (if applicable): 2011
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [X] Check if project performed with current firm

Brian served as Senior Field Technician and assisted with AACE's Roadway Soil Survey by performing more than 100 soil borings. Subsequent to design of the roadway, Brian was instrumental during construction as he routinely performed demucking observations, soil and rock density testing, concrete testing, paving observations and laboratory testing (Proctors, LBRs, percent-fines, etc.). Construction materials testing consisted of more than 5,000 density tests, 200+ sets of concrete cylinders for compressive strength and 75+ LBRs. AACE's budget was approximately $400,000.

B.
(1) TITLE AND LOCATION (City and State): **Discovery Way, East & West (Port St. Lucie, FL)**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2009; CONSTRUCTION (if applicable): 2010
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [X] Check if project performed with current firm

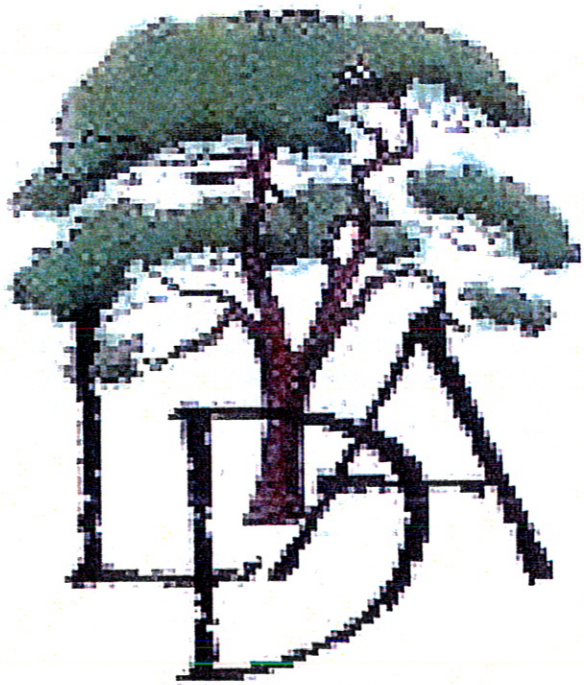
Brian served as Senior Field Technician and assisted with AACE's Roadway Soil Survey by performing more than 50 soil borings. Subsequent to design of the roadway, Brian was instrumental during construction as he routinely performed demucking and ditch reclamation observations, soil and rock density testing, concrete testing, paving observations and laboratory testing (Proctors, LBRs, percent-fines, etc.). Construction materials testing consisting of over 1,000 density tests, 20+ LBRs, paving and subgrade inspections and demucking observations. AACE's budget was approximately $60,000.

C.
(1) TITLE AND LOCATION (City and State): **Westmoreland Boulevard (Port St. Lucie, FL)**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2007; CONSTRUCTION (if applicable): 2008
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [X] Check if project performed with current firm

Brian served as Senior Field Technician and performed soil borings and roadway coring operations during design of Westmoreland Boulevard. Subsequent to design of the roadway, Brian performed demucking observations, soil and rock density testing, concrete testing, paving observations and laboratory testing (Proctors, LBRs, percent-fines, etc.) on a full-time basis. AACE's budget was approximately $35,000.

D.
(1) TITLE AND LOCATION (City and State): **Railroad Avenue Reconstruction (Stuart, FL)**
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2012; CONSTRUCTION (if applicable): 2013
(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [X] Check if project performed with current firm

Brian served as Senior Field Technician and was AACE's full-time testing technician and also performed supplemental CEI services at the request of the Martin County Engineering Department. Brian performed soil and rock density testing, concrete sampling and testing as well as observing the installation of utilities, drainage structures and piping, base rock placement and paving. AACE's budget was approximately $60,000.



E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Resume Sabine Marcks, RLA	Landscape Architect	23	11

15. FIRM NAME AND LOCATION *(City and State)*
Culpepper and Terpening, Inc., Fort Pierce, Florida

16. EDUCATION *(Degree and Specialization)*
Fachhochschule Weihenstephan, Munich, Germany
Diplom-Ingeneur (equivalent to Bachelor of Landscape Architecture)
Major: Urban and Residential Planning

17. CURRENT PROFESSIONAL REGISTRATION *(St.& Discipline)*
State of Fla - Bd of Landscape Architects – LA0001733

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

19. RELEVANT PROJECTS

a.
(1) TITLE AND LOCATION *(City and State)*
Project Name: I-95/SR 70 Interchange Landscape
Location: City of Ft. Pierce, Florida
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: — CONSTRUCTION *(If applicable)*: —
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm
Scope included erosion control planting plans and upscale landscape and irrigation plans for the interchange of I-95 and State Road 70, St. Lucie County.

b.
(1) TITLE AND LOCATION *(City and State)*
Project Name: I-95/SR 60 Interchange Landscape
Location: Indian River County, Florida
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: — CONSTRUCTION *(If applicable)*: —
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm
Scope included erosion control planting plans and upscale landscape and irrigation plans for the interchange of I-95 and State Road 60, Indian River County.

c.
(1) TITLE AND LOCATION *(City and State)*
Project Name: I-95 @ St. Lucie West Interchange
Location: City of Port St. Lucie, Florida
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: — CONSTRUCTION *(If applicable)*: —
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current fir..
Landscape Design and Coordination with FDOT and conformance to FDOT standards on interchange enhancement project including medians ramps, and bridge areas.

d.
(1) TITLE AND LOCATION *(City and State)*
Project Name: SR 68 (Orange Avenue), Fort Pierce
Location: City of Port St. Lucie, Florida
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: — CONSTRUCTION *(If applicable)*: —
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm
Landscape and irrigation plans for major roadway corridor funded by the Florida Department of Transportation. Involvement in community awareness process of project.

e.
(1) TITLE AND LOCATION *(City and State)*
Project Name: FDOT Treasure Coast Operations
Location: City of Port St. Lucie/St. Lucie County,
(2) YEAR COMPLETED — PROFESSIONAL SERVICES: — CONSTRUCTION *(If applicable)*: —
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm
Warranty inspections for various projects in St Lucie and Indian River County

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H S E
Hobe Sound Environmental Consultants Inc.
9512 SE Duncan Street
Hobe Sound, Fl. 33455
(772)545-3676, E-mail: bobhsenv@gmail.com

H S E

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Robert L. Weigt	Environmental	39	15

15. FIRM NAME AND LOCATION *(City and State)*
Hobe Sound Environmental Consultants, Inc., Hobe Ssound, Florida

16. EDUCATION *(Degree and Specialization)*
Florida Institute of Technology, MS, Biology/Marine Biology
Western Illinois University, BS, Zoology/Biology

17. CURRENT PROFESSIONAL REGISTRATION *(St.& Discipline)*
Florida Certified Environmental Assessor, License #CFEA 118
Registered Environmental Property Assessor, License #REPA 5572

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*
Florida Association of Environmental Professionals; American Fisheries Society; Florida Native Plant Society; Gopher Tortoise Counci; Professional Association of Diving Instructors, License #641

19. RELEVANT PROJECTS

a.
(1) TITLE AND LOCATION *(City and State)*
Project Name:
Location:
(2) YEAR COMPLETED — PROFESSIONAL SERVICES | CONSTRUCTION *(If applicable)*
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm

b.
(1) TITLE AND LOCATION *(City and State)*
Project Name:
Location:
(2) YEAR COMPLETED — PROFESSIONAL SERVICES | CONSTRUCTION *(If applicable)*
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm

c.
(1) TITLE AND LOCATION *(City and State)*
Project Name:
Location:
(2) YEAR COMPLETED — PROFESSIONAL SERVICES | CONSTRUCTION *(If applicable)*
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current fir..

see attached

d.
(1) TITLE AND LOCATION *(City and State)*
Project Name:
Location:
(2) YEAR COMPLETED — PROFESSIONAL SERVICES | CONSTRUCTION *(If applicable)*
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm

e.
(1) TITLE AND LOCATION *(City and State)*
Project Name:
Location:
(2) YEAR COMPLETED — PROFESSIONAL SERVICES | CONSTRUCTION *(If applicable)*
(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm

STANDARD FORM 330 (6/2004) PAGE 2

H S E
Hobe Sound Environmental Consultants Inc.
9512 SE Duncan Street
Hobe Sound, Fl. 33455
(772)545-3676, E-mail: bobhsenv@gmail.com

Robert L. Weigt

Professional Experience

May 2012 to present

Hobe Sound Environmental Consultants, Inc.
Hobe Sound, Florida
Chief Executive Officer and Owner. Responsible for project execution of environmental projects that require services including: comprehensive pre-permitting environmental site assessments and feasibility studies; site selection and pre-master planning analysis; endangered/threatened species surveys; seagrass surveys; environmental assessments (EA); and environmental impact statements (EIS). Also responsible for project execution of wetland delineation; wetland permitting; mitigation design; mitigation construction management; mitigation monitoring; 404(b)(1) compliance; environmental permitting and compliance monitoring for applications with Federal, State, regional, and local environmental agencies. Responsible for aspects of project management, graphic design, and technical writing.

October 1993 to May 2012

R. L. Weigt Environmental Consultants, Inc.
Hobe Sound, Florida
Chief Executive Officer and Owner. Responsible for project execution of environmental projects that require services including: comprehensive pre-permitting environmental site assessments and feasibility studies; site selection and pre-master planning analysis; endangered/threatened species surveys; seagrass surveys; environmental assessments (EA); and environmental impact statements (EIS). Also responsible for project execution of wetland delineation; wetland permitting; mitigation design; mitigation construction management; mitigation monitoring; 404(b)(1) compliance; environmental permitting and compliance monitoring for applications with Federal, State, regional, and local environmental agencies. Responsible for aspects of project management, graphic design, and technical writing.

January, 1986 to October 1993

CZR Incorporated
Jupiter, Florida
Environmental Manager. Senior biologist responsible for wetland delineation, permitting, mitigation designs, monitoring, endangered species surveys, environmental assessments, water quality studies, siting of landfills, water and wastewater facilities, and DRI and PUD development assistance. Administrative responsibilities included scheduling 15 professional staff members and oversight of large company projects.

August 1983 to January 1986	**Stottler Stagg and Associates, Architects, Engineers, and Planners, Inc.** ***Cape Canaveral, Florida*** *Senior Biologist.* Responsibilities included site assessments, water quality assessments, feasibility studies, DRI and PUD development assistance, wetland permitting, wetland mitigation and monitoring, and endangered species surveys.
January 1979 to August 1983	**Missimer and Associates, Inc.** ***Cape Coral, Florida*** *Hydrologist/Geologist/Senior Biologist.* Responsibilities included dredge and fill permitting, water quality studies, heavy metal and pesticide sampling of monitoring wells, and environmental assessments.
December 1976 to January 1979	**Lee County Health Department, Environmental Laboratory** ***Fort Myers, Florida*** *Biologist III.* Responsible for the daily operation of the laboratory facility. Conducted water quality analysis of natural bathing beaches, public and private water treatment facilities, wastewater facilities, and studies of hazardous waste spills within Lee County. Analysis included heavy metals, bacteria, herbicides, pesticides, and other standard water quality procedures. Responsible for the laboratory quality assurance program and laboratory certification.

Education

1974 to 1976	***Florida Institute of Technology*** ***Melbourne, Florida*** Masters of Science, Biology/Marine Biology
1971 to 1974	***Western Illinois University*** ***Macomb, Illinois*** Bachelors of Science, Zoology/Biology

Professional Affiliations

Florida Certified Environmental Assessor, License #CFEA 118
Registered Environmental Property Assessor, License #REPA 5572
National Association of Environmental Professionals
Florida Association of Environmental Professionals
American Fisheries Society
Florida Native Plant Society
Gopher Tortoise Council
Professional Association of Diving Instructors, License #641

H S E
Hobe Sound Environmental Consultants Inc.
9512 SE Duncan Street
Hobe Sound, Fl. 33455
(772)545-3676, E-mail: bobhsenv@gmail.com

Freda R. Posin

Professional Experience

May 2012 to present

Hobe Sound Environmental Consultants, Inc.
Hobe Sound, Florida
Senior Environmental Specialist, Project Manager. Responsible for environmental site assessments and feasibility studies; endangered/threatened species surveys, wetland delineations; wetland permitting and mitigation monitoring. Specializes in Environmental Resource Permitting and 404(b)(1) issues and compliance. Additionally responsible for environmental permitting for applications with Federal, State, regional, and local environmental agencies, gopher tortoise relocation permitting, project management, graphic design, technical writing and quality control.

March 2004 to May 2012

R.L. Weigt Environmental Consultants, Inc.
Hobe Sound, Florida
Senior Environmental Specialist, Project Manager. Responsible for environmental site assessments and feasibility studies; endangered/threatened species surveys, wetland delineations; wetland permitting and mitigation monitoring. Specializes in Environmental Resource Permitting and 404(b)(1) issues and compliance. Additionally responsible for environmental permitting for applications with Federal, State, regional, and local environmental agencies, gopher tortoise relocation permitting, project management, graphic design, technical writing and quality control.

August, 1999 to November 2003

C&N Environmental Consultants, Inc.
Jupiter, Florida
Senior Biologist/Project Manager/Quality Control. Project manager/ senior biologist for all phases of local, state and federal environmental permitting, including environmental assessments, wetland determinations, submerged resource surveys and design and construction management of wetland restoration/creation/mitigation projects . Additionally responsible for coordination with government agencies, project management, and technical writing.. Also responsible for quality control of written documentation for entire professional office staff.

September 1998 to August 1999

Land Design South, Inc.
West Palm Beach, Florida
Biologist/Project Manager. Responsibilities included site assessments,, wetland mitigation and monitoring, endangered species surveys, as well as all phases of local, state and federal environmental permitting. Also involved in mitigation, restoration and/ or enhancement of uplands and wetlands. Also designed and monitored littoral zones.

May 1989 to September, 1998

Zuckerman Household
Jupiter, Florida
Mother. Responsibilities included care and raising of two lively sons. Also ran a small antiques business as well as a native wildflower nursery (Florida Wildflowers, Inc.).

February, 1987 to May 1989

The Nature Conservancy's Blowing Rocks Preserve
Tequesta, Florida
Director. Responsible for overseeing the east side barrier island restoration, staff management and daily maintenance of the preserve. Designed and oversaw construction of new pathway and parking lot hammock. Obtained bids for and oversaw construction of dune crossovers and wrote language for signage. Also established and ran public education programs and volunteer programs which included office work, exotic plant species control, beach clean-ups and sea turtle walks and monitoring.

1985-1991

Florida Wildflowers, Inc.
Lake Worth, Florida
President. Locate, identify and propagate native Florida wildflowers.

1985-1987

Plants for Tomorrow, Inc.
Boynton Beach, Florida
Botanist. Responsible for locating, identifying and harvesting Florida native plants, running germination tests, developing Native Plant Information Sheet and planning public relations activities for promotion of native plant market. Editor of premier and second year issues of the Florida Native Plant Society's Plant and Service Locator.

EDUCATION

1979-1983

Florida Atlantic University
Boca Raton, Florida
Bachelors of Science, Botany/Ecology

1983-1985

Florida Atlantic University
Boca Raton, Florida
Completed course work for Masters of Science, Emphasis in Botany and Ecology

PROFESSIONAL AFFILIATIONS

National Association of Environmental Professionals
Florida Association of Environmental Professionals
Environmental Professional of Florida
Florida Native Plant Society

EW CONSULTANTS, INC.

NATURAL RESOURCE MANAGEMENT, WETLAND, AND ENVIRONMENTAL PERMITTING SERVICES

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Paul Ezzo	**Project Planner**	24	14

15. FIRM NAME AND LOCATION *(City and State)*
EW Consultants, Inc. (Stuart, FL)

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
Master of City and Regional Planning – Land Use and Environmental Planning	American Institute of Certified Planners

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*
Wetland Rapid Assessment Procedure (WRAP) Training
Unified Mitigation Assessment Method Training

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*
Midway Villages
St. Lucie County, Florida
Bowman Consulting – Jerry Compton phone: 772-249-0733

(2) YEAR COMPLETED – On-Going

PROFESSIONAL SERVICES
Wetland Delineation
Wetland Mitigation
Listed Species Survey
Environmental Assessment
Protected Tree Survey
ACOE & SFWMD Permitting

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if project performed with current firm

Conducted environmental assessment; delineated state (FDEP) and federal (Corps of Engineers) wetlands; processed wetland waiver through Martin County for wetland buffer impacts.
Project size: 500 acres Cost: $ 85,000.00 Role: Project Manager/Project Planner

b.

(1) TITLE AND LOCATION *(City and State)*
Highlands Reserve
Martin County, Florida
Starwood Land Ventures – Jon Rapaport phone: 561-718-7516

(2) YEAR COMPLETED – On-Going

PROFESSIONAL SERVICES:
Wetland Delineation
Environmental Assessment
Listed Species Survey & Relocation
Wetland Mitigation/Restoration
Wetland Monitoring
Permitting

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if project performed with current firm

County, SFWMD, and Corps of Engineers permitting and wetland determination; listed species surveys and relocation (gopher tortoises); wetland mitigation (enhancement/restoration); preserve area (both wetland and upland) enhancement; preserve area monitoring; received Martin County waiver approval
Project size: 500 acres Cost: $ 500,000.00 Role: Project Manager/Project Planner

c.

(1) TITLE AND LOCATION *(City and State)*
CBS Tower
Martin County, Florida
Adorno & Yoss – Alan Rosenthal phone: 305-539-7301

(2) YEAR COMPLETED – On-Going

PROFESSIONAL SERVICES:
SFWMD Permitting
Monitoring
Developed Roadway Design Alternatives

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if project performed with current firm

Worked with the SFWMD Right-of-Way Division to secure permits for an access road to the tower site; developed roadway design alternatives to avoid potential wetland impacts; regulatory agency coordination; monitoring
Project size: 4 acres Cost: $ 40,000.0 0 Role: Project Planner

d.

(1) TITLE AND LOCATION *(City and State)*
Banyan Bay
Martin County, FL
Avatar – John Gavenas phone: 305-442-7000

(2) YEAR COMPLETED – On-Going

PROFESSIONAL SERVICES:
Wetland Delineation
Environmental Assessment
Listed Species/Relocation
Wetland Waiver Permitting
Permitting

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if project performed with current firm

Performed wetland delineations; oversaw wetland and upland preserve restoration activities on site; conducted environmental assessment; secured SFWMD, Corps, and Martin County (wetland waiver) permits; developed wetland mitigation alternatives; conducted listed species survey and relocation (gopher tortoises)
Project size: 250 acres Cost: $ 150,000.00 Role: Project Manager/Project Planner

e.

(1) TITLE AND LOCATION *(City and State)*
Haney Creek Stormwater Retrofit Project
Martin County, FL
City of Stuart – Sam Amerson phone: 772-288-5331

(2) YEAR COMPLETED – On-Going

PROFESSIONAL SERVICES:
Environmental Assessment
Wetland Delineation
Wetland Mitigation/Restoration
Listed Species Survey & Relocation
Wetland Monitoring

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if project performed with current firm

Conducted environmental assessment for the wetland and upland areas; conducted state (SFWMD) wetland delineations; conducted listed species survey and relocations (gopher tortoises); oversaw restoration activities and mitigation activities
Project size: 33 acres Cost: $ 120,000.000 Role: Project Manager/Project Planner

STANDARD FORM 330 (6/2004)

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT
(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Edward R. Weinberg	Environmental Scientist	26	18

15. FIRM NAME AND LOCATION *(City and State)*
EW Consultants, Inc. (Stuart, FL)

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
Master of Science in Oceanography Bachelor of Science in Biology *cum laude*	Professional Wetland Scientist, U.S.

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*
Wetland Rapid Assessment Procedure (WRAP) Training, Certified Wetland Delineator
Unified Mitigation Assessment Method Training

19. RELEVANT PROJECTS

a.
(1) TITLE AND LOCATION *(City and State)*
South Martin Regional Utility
Martin County, FL
SMRU – Mario Loaiza phone: 772-546-5112

(2) YEAR COMPLETED – On-Going
PROFESSIONAL SERVICES
SFWMD Permitting
Reverse Osmosis Concentrate Discharge Sampling
Monitored Directional Drill Activities

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm

Assisted with C.U.P. permitting process through SFWMD; conducted reverse osmosis concentrate discharge sampling/analysis of outfall location; conducted toxicity sampling/analysis and reporting; monitored directional drill activities for water quality monitoring documentation
Project size: n/a Cost: $ 110,000.00 Role: Project Manager/ Environmental Scientist

b.
(1) TITLE AND LOCATION *(City and State)*
Seacoast Utility Authority
Palm Beach Gardens, FL
Seacoast Utility – Rim Bishop phone: 561-627-2900

(2) YEAR COMPLETED – On-Going
PROFESSIONAL SERVICES:
SFWMD Permitting
SFWMD Monitoring
Wetland Analysis

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm

Assisted with C.U.P. permitting renewal with SFWMD; conducted wetland analysis of various parcels located within the Utility's "cone of depression" to determine potential past harm and future mitigation needs of the Utility; SFWMD monitoring
Project size: n/a Cost: $ 50,000.00 Role: Project Manager/ Environmental Scientist

c.
(1) TITLE AND LOCATION *(City and State)*
Avenir
Palm Beach County, FL
Avenir Holdings - Manny Mato phone: 305-461-2440

(2) YEAR COMPLETED – On-Going
PROFESSIONAL SERVICES:
Wetland Delineation
Wetland Mitigation
State & Federal Permitting
Listed Species Surveys
Environmental Assessment

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm

Conducted environmental assessment; County, SFWMD and Corps of Engineers permitting and wetland determinations; wetland mapping; field flagged wetland limits
Project size: 2,000 acres Cost: $ 110,000.00 Role: Project Manager/ Environmental Scientist

d.
(1) TITLE AND LOCATION *(City and State)*
Veranda
St. Lucie County, FL
GreenPointe Homes – Grady Miars phone : 904-996-2485

(2) YEAR COMPLETED – On-Going
PROFESSIONAL SERVICES:
Wetland Delineation
Listed Species Survey/Relocation
Wetland Mitigation

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm

Wetland delineation and mitigation; SFWMD and Corps of Engineers permitting and wetland determinations; listed species surveys and relocations (gopher tortoise)
Project size: 52 acres Cost: $ 25,000.00 Role: Project Manager/Environmental Scientist

e.
(1) TITLE AND LOCATION *(City and State)*
Floridian
Martin County, Florida
Floridian National Golf Club – Giles Kibbe phone: 772-781-1000

(2) YEAR COMPLETED – On-Going
PROFESSIONAL SERVICES:
Environmental Assessment
Listed Species Survey & Relocation
Wetland Delineation
Wetland Mitigation
Permitting

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm

Conducted environmental assessments; conducted listed species survey & relocation (gopher tortoises); County, SFWMD and Corps permitting and wetland determination; developed upland and wetland restoration plans; prepared and submitted monthly Martin County monitoring reports
Project size: 122 acres Cost: $ 90,000.00 Role: Project Manager/ Environmental Scientist

STANDARD FORM 330 (6/2004)

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT
(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Jason Hahner	**Environmental Scientist**	14	10

15. FIRM NAME AND LOCATION *(City and State)*
EW Consultants, Inc. (Stuart, FL)

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
Master of Natural Resources Management & Ecological Engineering Bachelor of Science in Environmental Science	

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*
Authorized Gopher Tortoise Agent – FFWCC – GTA-14-00024B

19. RELEVANT PROJECTS

a.
(1) TITLE AND LOCATION *(City and State)*
Florida Commerce Park
Martin County, FL
Mainstream Investment Realty – Jeremy Shapiro phone: 305-446-0849

(2) YEAR COMPLETED – On-Going
PROFESSIONALSERVICES
:Listed Species
Survey/Relocation

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm
Environmental assessment; listed species surveys and relocations (gopher tortoise)
Project size: 100 acres Cost: $ 40,000.00 Role: Environmental Scientist

b.
(1) TITLE AND LOCATION *(City and State)*
Country Cove MHP
St. Lucie County, FL
– Lara Swanson phone : 407-284-4150

(2) YEAR COMPLETED – On-Going
:Listed Species
Survey/Relocation

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm
Conducted listed species surveys and relocations (gopher tortoise)
Project size: 60 acres Cost: $ 10,000.00 Role: Environmental Scientist

c.
(1) TITLE AND LOCATION *(City and State)*
Veranda
St. Lucie County, FL
GreenPointe Homes – Grady Miars phone : 904-996-2485

(2) YEAR COMPLETED – On-Going
PROFESSIONAL SERVICES:
Wetland Delineation
Wetland Mitigation
Listed Species
Survey/Relocation
Environmental Assessment

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm
Environmental assessment; wetland delineation and mitigation; SFWMD and Corps of Engineers permitting and wetland determinations; listed species surveys and relocations (gopher tortoise)
Project size: 52 acres Cost: $ 25,000.00 Role: Environmental Scientist

d.
(1) TITLE AND LOCATION *(City and State)*
Alton
Palm Beach Gardens, FL
Schorah & Associates – Michael Schorah phone: 561-968-0080

(2) YEAR COMPLETED – On-Going
PROFESSIONAL SERVICES:
Wetland Delineation
Wetland Mitigation
Listed Species
Survey/Relocation
Environmental Assessment
Monitoring

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm
Environmental assessment; wetland delineation and mitigation; County, SFWMD and ACOE permitting; SFWMD monitoring; listed species survey and relocation (gopher tortoise)
Project size: 680 acres Cost: $ 120,000.00 Role: Environmental Scientist

e.
(1) TITLE AND LOCATION *(City and State)*
Riverbend
St. Lucie County, FL
Standard Pacific Homes – John Lonergan phone: 954-575-7326

(2) YEAR COMPLETED – On-Going
PROFESSIONAL SERVICES:
Environmental Assessment
Listed Species
Survey/Relocation
Wetland Delineation

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE
☒ Check if project performed with current firm
Environmental assessment; wetland delineation; Count, SFWMD and ACOE permitting; listed species surveys and relocations (gopher tortoises)
Project size: 50 acres Cost: $ 10,000.00 Role: Environmental Scientist

STANDARD FORM 330 (6/2004)

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
Arnaud Roux	Environmental Scientist	a. TOTAL: 11	b. WITH CURRENT FIRM: 10

15. FIRM NAME AND LOCATION *(City and State)*
EW Consultants, Inc. (Stuart, FL)

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
Master of Science in Environmental Resource Management Bachelor of Science in Environmental Science	Professional Wetland Scientist, U.S.

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*
Wetland Delineation Training (FDEP & USACE), Hydric Soils - University of Florida
Wetland Hydrology (SFWMD)
PADI Open Water SCUBA

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*
South Martin Regional Utility
Martin County, FL
SMRU – Mario Loaiza phone: 772-546-5112

(2) YEAR COMPLETED - On-Going

PROFESSIONAL SERVICES:
SFWMD Permitting
Reverse Osmosis Concentrate Discharge Sampling
Monitored Directional Drill Activities

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if project performed with current firm

Assisted with C.U.P. permitting process through SFWMD; conducted reverse osmosis concentrate discharge sampling/analysis of outfall location; conducted toxicity sampling/analysis and reporting; monitored directional drill activities for water quality monitoring documentation
Project size: n/a Cost: $ 110,000.00 Role: Environmental Scientist

b.

(1) TITLE AND LOCATION *(City and State)*
Banyan Bay
Martin County, FL
Avatar – John Gavenas phone: 305-442-7000

(2) YEAR COMPLETED – On-Going

Wetland Delineation
Environmental Assessment
Listed Species/Relocation
Wetland Waiver Permitting
Permitting

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if pr

Performed wetland delineations; oversaw wetland and upland preserve restoration activities on site; conducted environmental assessment; secured SFWMD, Corps, and Martin County (wetland waiver) permits; developed wetland mitigation alternatives; conducted listed species survey and relocation (gopher tortoises)
Project size: 250 acres Cost: $ Role: Environmental Scientist

c.

(1) TITLE AND LOCATION *(City and State)*
Seacoast Utility Authority
Palm Beach Gardens, FL
Seacoast Utility – Rim Bishop phone: 561-627-2900

(2) YEAR COMPLETED – On-Going

PROFESSIONAL SERVICES:
SFWMD Permitting
SFWMD Monitoring

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if project performed with current firm

Assisted with C.U.P. permitting renewal with SFWMD; conducted wetland analysis of various parcels located within the Utility's "cone of depression" to determine potential past harm and future mitigation needs of the Utility; SFWMD monitoring
Project size: n/a Cost: $ 50,000.00 Role: Environmental Scientist

d.

(1) TITLE AND LOCATION *(City and State)*
Highlands Reserve
Martin County, Florida
Starwood Land Ventures – Jon Rapaport phone: 561-718-7516

(2) YEAR COMPLETED – On-Going

PROFESSIONAL SERVICES:
Wetland Delineation
Environmental Assessment
Listed Species Survey & Relocation
Wetland Mitigation/Restoration
Wetland Monitoring
Permitting

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if project performed with current firm

County, SFWMD, and Corps of Engineers permitting and wetland determination; listed species surveys and relocation (gopher tortoises); wetland mitigation (enhancement/restoration); preserve area (both wetland and upland) enhancement; preserve area monitoring; received Martin County waiver approval
Project size: 500 acres Cost: $ 500,000.00 Role: Environmental Scientist

e.

(1) TITLE AND LOCATION *(City and State)*
CBS Tower
Martin County, Florida
Adorno & Yoss – Alan Rosenthal phone: 305-539-7301

(2) YEAR COMPLETED – On-Going

PROFESSIONAL SERVICES:
SFWMD Permitting
Monitoring
Developed Roadway Design Alternatives

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if project performed with current firm

Worked with the SFWMD Right-of-Way Division to secure permits for an access road to the tower site; developed roadway design alternatives to avoid potential wetland impacts; regulatory agency coordination, monitoring
Project size: 4 acres Cost: $ 40,000.0 0 Role: Project Planner

STANDARD FORM 330 (6/2004)

"A City for All Ages"

CITY OF PORT ST. LUCIE

Electronic Request For Proposal #20150126
(E-RFP)

Continuing Professional Construction Engineering Inspection (CEI) Services

Prepared By:
Karen Chambers-Cuffy
Office of Management & Budget
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984-5099
772-871-5224
kchamberscuffy@cityofpsl.com

November 17, 2015

City of Port St. Lucie
Office of Management & Budget
121 SW Port St. Lucie Boulevard
Port St. Lucie, Florida 34984

Attn: Ms. Karen Chambers-Cuffy
Contracts Administrator

RE: **CONTINUING PROFESSIONAL CEI SERVICES**
E-Bid Number: 20150126
Description: Continuing Professional Construction Engineering Inspection (CEI) Services for the City of Port St. Lucie

Dear Ms. Chambers-Cuffy:

Milestone Construction Group, Inc. is pleased to present our electronic submittal package for the above referenced project. Our submittal includes the Questionnaire, Documents, and Form 330 as requested in the advertisement notice.

The team we are presenting for this project has extensive knowledge and experience on previous projects with similar scopes. Our understanding of permit needs, Maintenance of Traffic requirements, drainage construction requirements, and experience with public information makes our team a great match for this project. Additionally, our team's quality, abilities, and efficiencies provide a value to the City that cannot be matched. Lastly, Milestone is FDOT qualified for 10.1 and 10.4 CEI work that includes roads and bridges.

Milestone has teamed up with Target Engineering and Keith and Schnars for additional CEI support with this Contract. Additionally, Anderson Andre Consulting Engineers (AACE) is part of our team for providing Geotechnical expertise and LIMS and MAC data entry and any testing for LAP projects. Each of these firms are FDOT certified and qualified and have excellent reputations for quality work.

As always, your time and assistance with this submittal are greatly appreciated. We welcome this opportunity and look forward to continuing our working relationship with the City. Do not hesitate to contact this office if you have any questions or concerns regarding this submittal.

Sincerely,

Vernon T. Hackett, P.E.
President

Cc: File

QUESTIONNAIRE
E-Request for Proposal #20150126
Continuing Professional CEI Services

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Engineer, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any Pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this 17th day of November, 2015

Milestone Construction Group, Inc.
Name of Organization / Proposer

QUESTION #1

Where is your assigned staff's office located for this contract, including address, telephone, fax number, e-mail address and contact person?

Milestone Construction Group, Inc.
264 NW Peacock Blvd.; Suite 102
Port St. Lucie, Florida 34986

Vernon Hackett, P.E.
Vernon@mcg-eng.com
(772) 408-9318 Ext. 110

QUESTION #2

Will your firm accept payment by Credit Card (Yes or No)?

Yes. Milestone Construction Group, Inc. accepts payment via the City's Credit Card system.

QUESTION #3

List the qualifications and experience of the team assigned to this contract, and their applicable experience, specifically Road and Bridge CEI projects, on the attached spreadsheet (Attachment A)

(See Following 5 Pages)

Attachment A- Personnel Qualifications Experience- RFP# 20150126

						Attachment A- Personnel Qualifications & Experience			
	Company Name:	Milestone Construction Group, Inc.							
	Question # 3								
	Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
	Name of Staff	Company Name	Principal						
1	Vernon Hackett, P.E.	MILESTONE	Sr. Project Engineer/Project Manager	25	Professional Engineer in State of Florida	CTQP - QC Manager; Advanced MOT; FDOT Leadership; NPDES Qualified Stormwater Management Inspector; Disaster Management for Water & Wastewater Facilities; FDOT Critical Structure Construction Issues	(1) Harmony Heights - Roadway and Drainage; (2) Weatherbee Road Sidewalk & Drainage; (3) Melaleuca Blvd. Sidewalk Phase I & II; (4) Cashmere Blvd. Sidewalk & Drainage; (5) Bayshore Blvd. Sidewalk & Drainage; (6) Savona Blvd. Sidewalk & Pedestrian Bridge	Senior Project Engineer	(1) Michael Harvey, E.I. - SLC HarveyM@stlucieco.org ; (2) Patrick Dayan, P.E. - SLC DayanP@stlucieco.org; (3 - 6) Edith Majewski - emajewski@cityofpsl.com
2	Randall Scott, P.E.	TEG TARGET ENGINEERING GROUP, INC	Sr. Project Engineer/Project Manager	17	Professional Engineer in State of Florida	CTQP - QC Manager - Course and Exam; Advanced MOT; FDOT Leadership; NPDES Qualified Stormwater Management Inspector	CR 714 just W. of the Turnpike to Mapp Rd.	Senior Project Engineer	Dale Walker, FDOT D4 sale.walker@dot
3	Jim Frye	MILESTONE	Project Administrator	45		CTQP QC Mgr; CTQP Final Estimates Level I; CTQP Final Estimates Level II; CTQP Asphalt Paving Level I; CTQP Asphalt Paving Level II; FDOT Asphalt Roadway Technician - CART; FDOT Advanced MOT; ATSSA Work Zone Traffic Control Supervisor; Qualified Stormwater Management Inspector	(1) Cashmere Blvd. Sidewalk & Drainage; (2) Bayshore Blvd. Sidewalk & Drainage; (3) Savona Blvd. Sidewalk & Pedestrian Bridge	Project Administrator	(1 - 3) Edith Majewski - emajewski@cityofpsl.com

Attachment A- Personnel Qualifications Experience- RFP# 20150126

4	Kelly Cowger, P.E.	TEG TARGET ENGINEERING GROUP, INC.	Project Administrator	16	Professional Engineer in State of Florida	CTQP QC Manager; CTQP Asphalt Paving Level II; CTQP Final Estimates Level I & II; NPDES Stormwater Erosion & Sedimentation Control Inspector; FDOT Consultant CEI Project Manager Training; Advanced Workzone Traffic Control; Advanced CPM/Claims Training; Critical Structures Construction Issues; Railroad Roadway Worker Certified; Augercast Pile Certification	CR 714 just W. of the Turnpike to Mapp Rd.	Project Administrator	Dale Walker, FDOT D4 sale.walker@dot
5	Jorge Blanco	KEITH and SCHNARS, P.A.	Project Administrator	36		CTQP Asphalt Paving Level I & II; CTQP Earthwork Construction Inspection Level I & II; CTQP Drilled Shaft Inspection; CTQP Pile Driving Inspection; CTQP Final Estimates Level I & II; CTQP QC Manager; CTQP Concrete Field Technician Level I & II; IMSA Traffic Signals; FDOT Advanced MOT; IMSA Traffic Signals; Utilities	(1) Wiles Road Extension; (2) Seminole Tribe Multiple Projects in Port St. Lucie and Fort Lauderdale; (3) Jensen Beach Causeway and High Rise Bridge Replacement(Major Bridge)	Project Administrator	Chloann Lawrence - FDOT Chloann.Lawrence @dot.state.fl.us

Attachment A- Personnel Qualifications Experience- RFP# 20150126

6	Brian Smith		Sr. Engineering Technician	21		ACI Level I Technician; ACI Aggregate Base Testing Technician; Nuclear Guage Soil Density Certified, Radiation Safety Officer; CTQP LBR Technician; CTQP Aggregate Base Testing Technnician; CTQP Qualified Sampler Technician; CTQP ECI 1 & 2 Earthwork Technician; CTQP Aggregate Field Testing; CTQP Asphalt Paving Level I; CTQP Concrete Lab Technician Level I; CTQP Concrete Field Inspector Level I; CMEC Laboratory Soil Technician	(1) Cashmere Blvd. Sidewalk & Drainage; (2) Bayshore Blvd. Sidewalk & Drainage; (3) Savona Blvd. Sidewalk & Pedestrian Bridge	Sr. Engineering Technician - Materials Testing	(1 - 3) Edith Majewski - emajewski@cityofpsl.com
7	David Andre, P.E.		Sr. Materials Engineer	22	Professional Engineer in State of Florida		(1) Cashmere Blvd. Sidewalk & Drainage; (2) Bayshore Blvd. Sidewalk & Drainage; (3) Savona Blvd. Sidewalk & Pedestrian Bridge	Senior Materials Engineer - Reporting and LIMS entry	(1 - 3) Edith Majewski - emajewski@cityofpsl.com
8	Peter Andersen, P.E.		Sr. Materials Engineer	19	Professional Engineer in State of Florida		(1) Cashmere Blvd. Sidewalk & Drainage; (2) Bayshore Blvd. Sidewalk & Drainage; (3) Savona Blvd. Sidewalk & Pedestrian Bridge	Senior Materials Engineer - Reporting and LIMS entry	(1 - 3) Edith Majewski - emajewski@cityofpsl.com

Attachment A- Personnel Qualifications Experience- RFP# 20150126

9	James Ashburn		CEI Senior Roadway Inspector & Senior Bridge Inspector	13		CTQP QC Manager; CTQP Final Estimates - Level I; CTQP Asphalt Paving Level I; CTQP Asphalt Paving Level II; CTQP Concrete Field Technician Level I; CTQP Earthwork Construction Inspection Level I; CTQP Earthwork Construction Inspection Level II; ACI Concrete Field Testing Technician - Grade I; Drilled Shaft Inspection; HAZMAT Training for Portable Nuclear Gauges; FDOT Advanced MOT; Qualified Stormwater Management Inspector; IMSA	(1) Harmony Heights - Roadway and Drainage; (2) Weatherbee Road Sidewalk & Drainage; (3) Melaleuca Blvd. Sidewalk Phase I & II; (4) Cashmere Blvd. Sidewalk & Drainage; (5) Bayshore Blvd. Sidewalk & Drainage; (6) Savona Blvd. Sidewalk & Pedestrian Bridge	(1 - 5) Senior Roadway Inspector; (6) Senior Bridge Inspector	(1) Michael Harvey, E.I. - SLC HarveyM@stluciec o.org ; (2) Patrick Dayan, P.E. - SLC DayanP@stlucieco. org; (3 - 6) Edith Majewski - emajewski@cityofp sl.com
10	Felix Roman		CEI Senior Roadway Inspector		11	CTQP Asphalt Paving Level I; CTQP Asphalt Paving Level II; CTQP Concrete Field Technician Level I; CTQP Earthwork Construction Inspection Level I; ACI Concrete Field Testing Technician - Grade I; HAZMAT Training for Portable Nuclear Gauges; OSHA (10 hour) Construction Safety Certification; FDOT Advanced MOT; IMSA Certified Traffic Signal Inspector Level I; IMSA Certified Roadway Lighting Technician Level I (Sched for Dec)	Savona Blvd. Sidewalk and Pedestrian Bridge	Senior Roadway Inspector	Edith Majewski - emajewski@cityofp sl.com

Attachment A- Personnel Qualifications Experience- RFP# 20150126

11	John Brewer	TEG TARGET ENGINEERING GROUP, INC.	Senior Bridge Inspector	41		CTQP Earthwork Level I & II; CTQP Concrete Field Technician Level I; CTQP Asphalt Paving I & II; CTQP Drill Shaft Inspction; ACI Level I; Nuclear Density Gauge Safety; Work Zone Traffic Control (Advanced); Augercast Pile Inspector; FDEP/NPDES Stormwater Erosion and Sedimentation Control Inspector; Critical Structures Construction Issues; MSE Wall Inspection Course	CR 714 just W. of the Turnpike to Mapp Rd.	Senior Inspector	Dale Walker, FDOT D4 sale.walker@dot
12	Jason Jones	TEG TARGET ENGINEERING GROUP, INC.	CSS/Utility Coordinator	18		CTQP Concrete Field Technician; CTQP Asphalt Paving Level I & II; CTQP Earthwork Level I & II; CTQP QC Manager; FOT Landscape Inspection; FDOT Site Manager Version 3.1a; ACI Field Testing Technician Level I; Troxler-Nuclear Radiation Safety; ATSSA Florida Advanced MOT Training; FEMA ICS-100; FEMA ICS-200; FEMA ICS-700	CR 714 just W. of the Turnpike to Mapp Rd.	CSS/Utility Coordinator	Dale Walker, FDOT D4 sale.walker@dot
13	Maryann Hataway	KEITH and SCHNARS, P.A.	CEI Compliance Specialist	32			(1) Harmony Heights - Roadway and Drainage; (2) Weatherbee Road Sidewalk & Drainage; (3) Melaleuca Blvd. Sidewalk Phase I & II; (4) Cashmere Blvd. Sidewalk & Drainage; (5) Bayshore Blvd. Sidewalk & Drainage; (6) Savona Blvd. Sidewalk & Pedestrian Bridge	Compliance Specialist for LAP Projects	(1) Michael Harvey, E.I. - SLC HarveyM@stlucieco.org ; (2) Patrick Dayan, P.E. - SLC DayanP@stlucieco.org; (3 - 6) Edith Majewski - emajewski@cityofpsl.com

QUESTION #4

List similar Road & Bridge CEI projects related to Water & Waste Water Pipeline awarded by other government agencies, to your firm in the past five (5) years. (Do not include the City of Port St. Lucie projects)

Project	Date of Award	Responsibility	Is Work Completed	Firm's Role in the Project	Amount of Contract
Harmony Heights (St. Lucie Co. & FPUA)	2012	Roadway, Drainage, Utility Adjustments	Yes	Full CEI Services, Including Certifications	$1,600,000
Weatherbee Road (St. Lucie Co.)	2013	Roadway, Drainage, Sidewalk, Utility Adjustments	Yes	Full CEI Services, Including Certifications LAP Funded	$300,000
Indian River Estates (St. Lucie Co.)	2012	Drainage & Utility Adjustments	Yes	Construction Management and Certifications	$6,000,000
				Total Amount:	$7,900,000

QUESTION #5

List similar Road & Bridge CEI projects, awarded by other government agencies, to your firm in the past five (5) years. (Do not include the City of Port St. Lucie projects)

Project	Date of Award	Responsibility	Is Work Completed	Firm's Role in the Project	Amount of Contract
25th Street Pedestrian Path (St. Lucie Co.)	2011	CEI for Sidewalk Construction and Permit Compliance	Yes	Full CEI Services, Including Certifications	$200,000
Harmony Heights (St. Lucie Co. & FPUA)	2012	CEI for Roadway, Drainage, Utility Adjustments	Yes	Full CEI Services, Including Certifications	$1,600,000
Weatherbee Road (St. Lucie Co.)	2013	CEI for Roadway, Drainage, Sidewalk, Utility Adjustments	Yes	Full CEI Services, Including Certifications LAP Funded	$300,000
Indian River Estates (St. Lucie Co.)	2012	CEI for Drainage & Utility Adjustments	Yes	Construction Management and Certifications	$6,000,000
Gum Creek Bridge (FDOT Tallahassee)	2014	CEI for Construct Fixed Span Concrete Bridge, Roadway & Drainage	Yes	Construction Management/Sr. Engineer	$1,500,000
				Total Amount:	$9,600,000

QUESTION #6

Describe your methodology on how you would rate a contractor's performance in the field. (Limit 1 Page)

(Answer on Next Page)

The Contractor's Past Performance Rating is used to evaluate the construction performance demonstrated by the Prime Contractor on construction contracts. The rating report can affect whether or not the City will continue utilizing that Contractor in the future. The rating report typically includes items such as pursuit of the work, proper MOT, minimizing impacts to the traveling public, timely completion and submittal of documents, timely completion of the project, coordination/cooperation with CEI personnel, residents and City staff, mitigation of cost and time overruns, environmental compliance and DBE utilization.

Milestone's methodology differs from many other firms regarding the use of the performance rating system. Our staff utilize's the rating form as an on-going training tool for the Contractors; providing them with vital information on what the City and CEI expect from them on City projects. We begin the rating process by going over and explaining the rating form with the Contractor at the pre-construction meeting. We additionally include the rating format with discussion and at each of the progress meetings. This open rating approach with the Contractor allows the Contractor to know where they stand on a weekly/biweekly basis and provides them with areas to improve for the remainder of the projects. Additionally, inclusion of their performance in the progress meeting minutes provides support and backup for the final performance rating. We also train our personnel on how we grade Contractors to ensure consistency and to ensure that the rating program remains a useful tool for the City.

Our field rating process methodology includes notices of deficiencies that we send from our office as we identify them. Our notices are sent by e-mail, ensuring the City is copied, and followed up by phone calls to ensure the Contractor is aware of what improvement is needed. Items such as MOT require immediate correction for public safety and are directed by our on-site field staff. A Contractor's lack of pursuit for correction of performance rating issues is documented and included as report backup for the final performance rating report.

It is important to note that the Contractor and their personnel will make mistakes on every project. If a Contractor makes a mistake, such as installing a lengthy section of sidewalk outside ADA allowances, but then corrects the work within the approved contract time and at their expense, they would receive a "good" or higher rating as they have complied with the Contract documents and given the City the designed and permitted product they were expecting.

Our methodology of how we rate a Contractor is as follows:

- A Contractor that meets the minimum requirements identified within the project documents and completes the project within the contract time, including close-out, is graded as "Good".
- A Contractor that provides detailed and reliable scheduling information and pursues the work to complete the project early while meeting contract document requirements is graded as "Above Average".
- A Contractor that works with staff to minimize the contract cost, finish the project early, and provide the City with exceptional work and minimal close-out time is graded as "excellent".
- A Contractor that does not meet contract document requirements and/or does not work with staff to complete the project timely and/or does not perform extra work in accordance with the contract documents is rated "Below Average" or lower; depending on the extent of deficiencies.

The contract budget is controlled by the CEI and the City. A Contractor that assists with providing fair and equitable pricing for extra work and works with staff to minimize expenses also supports higher performance ratings.

QUESTION #7

How would you handle field changes for CEI projects?

(Limit 1 Page)

We handle field changes by first identifying what field changes are necessary. We then re-review the plans, plan notes and contract documents to ensure that the proposed changes are not already included in the original bid documents. Once the need for a field change is determined, our staff measures any quantity changes while the CEI Senior Engineer makes determinations on material sizing, type, and manufacturer and prepares any sketches necessary for any helpful illustrations of the change. The CEI Senior Engineer then prepares an Engineer's cost estimate for the work.

Minor field changes, such as quantity overruns, are communicated with the City's staff and direction is given to the Contractor to make the agreed upon changes. In the event that the project is a LAP funded project, the local FDOT lap coordinator is copied with the support documentation as well as the Engineer's direction to the Contractor to proceed with the work. It is important that the funding agency is kept aware of any changes to ensure concurrence on reimbursing the City for the work.

More complex field changes include a submittal of the above described package to the design Engineer of Record, City Project Manager, and FDOT followed by telephone calls to discuss the proposed field change. This internal team discussion is critical to ensure design permit requirements are met and issues that may be known by the Designer do not come into play with the field change. Lastly, the CEI Senior Engineer contacts any utilities affected by the field change to ensure utility unknowns do not affect the field change.

Once the proposed changes are approved by the CEI and/or concurrence is obtained from the City, EOR, Utilities, etc... we request a price for the field change from the Contractor in accordance with the contract documents regarding extra work. The Contractor's price will be based on a detailed request by the CEI that includes necessary sketches and/or FDOT index sheets. Upon receipt of the price, we approve or disapprove the submitted price based on our TME breakdown for the proposed extra work. If a price cannot be agreed upon, we direct the Contractor to proceed with the work with payment being based in Time, Materials, and Equipment (TME) for the field change.

Once the CEI Senior Engineer provides direction to the Contractor, our field staff red-lines their electronic plan sets on their field tablets with all proposed field changes to ensure the changes are installed as directed. They then tweak the red-lines, once completed by the Contractor, with elevations, offsets, etc… to ensure the record drawings provided by the Contractor at the end of the project are properly reviewed by the CEI for correctness.

All field changes, whether quantity changes or new pay items, are tracked on our true-up spreadsheet for the project. This sheet is updated with any changes to the original contract bid and submitted to the City monthly to keep staff up to date on project funds. We then prepare a change order or an amendment (depending if an item is a change of scope) and forward to City staff and the Contractor for approval and inclusion onto the Contract.

QUESTION #8

Describe any significant or unique accomplishments in previous CEI Contracts, for e.g. – budget reductions, time reductions, and reduction in change orders. Include any additional data pertinent to firm's capabilities. (Limit 2 Pages)

(Answer on Next 2-Pages)

Melaleuca Blvd. – Phase I
Owner: City of Port St. Lucie
Original Contract Amount: $189,200.55
Final Contract Amount: $171,611.45
Savings to CPSL: $ 17,589.10

This project consisted of constructing sidewalk with minor drainage improvements along Melaleuca Blvd. in Port St. Lucie between Green River Parkway and Lennard Road. Milestone staff worked with City staff to proactively review the project site and eliminate items of work that were not needed; including replacing driveway pipe that was new and in good condition, eliminating swale liner where existing liner was in good condition, minimize grading where existing slopes met plan needs and had established sod. The project was completed on 3/11/14.

Melaleuca Blvd. – Phase II
Owner: City of Port St. Lucie
Original Contract Amount: $182,739.87
Final Contract Amount: $175,378.97
Savings to CPSL: $ 7,360.90

This project consisted of constructing sidewalk with minor drainage improvements along Melaleuca Blvd. in Port St. Lucie between Green River Parkway and Lennard Road. Milestone staff worked with City staff to proactively review the project site and eliminate items of work that were not needed; including replacing driveway pipe that was new and in good condition, eliminating swale liner where existing liner was in good condition, minimize grading where existing slopes met plan needs and had established sod. The project was completed on 3/11/14.

Cashmere Blvd. Sidewalk Improvements
Owner: City of Port St. Lucie
Original Contract Amount: $257,156.50
Final Contract Amount: $234,539.80
Savings to CPSL: $ 22,616.70
Contract Time Savings: Orig. Cont. Time = 90 cd; used 79 cd (12.22% Savings in Time)

This project consisted of constructing sidewalk and drainage on Cashmere Blvd. from Del Rio to Centennial High School in Port St. Lucie. The City requested that the Contractor complete the main work at the High School entrance during the Christmas holiday break. Milestone worked with the Contractor and assisted them with scheduling and coordinating work to accomplish the City's request. Milestone then went through the project and eliminated expensive sidewalk flumes that were not necessary, based on our field survey data, and would have created regular maintenance needs. Milestone made minor alignment adjustments to eliminate drainage structure replacements and saved recently placed drainage pipe in lieu of replacing the material. Milestone also worked with the FDOT office to ensure all changes to the project were approved and reimbursable by the Department. The project was completed on 3/16/15.

Bayshore Blvd. Sidewalk
Owner: City of Port St. Lucie
Original Contract Amount: $526,951.60
Final Contract Amount: $461,707.06
Savings to CPSL: $ 65,244.54
Contract Time Savings: Orig. Cont. Time = 150 cd; used 116 cd (29.31% Savings in Time)

This project consisted of constructing sidewalk and drainage on Bayshore Blvd. from Prima Vista Blvd. to Selvitz Road in Port St. Lucie. Milestone proactively performed survey work and provided justification for the elimination of gravity wall and handrail on this stretch of residential roadway. We modified plans and worked with the designer on the elimination of these items. Additionally, we made minor alignment shift to eliminate drainage structure replacements and modify drainage structures entangled in existing buried utilities. Lastly, we provided an assessment of the existing shoulders and shoulders throughout the City to support the elimination of shoulder stabilization; which would have been expensive with little benefit to the City. Milestone also worked with the FDOT office to ensure all changes to the project were approved and reimbursable by the Department. This project was completed on 5/27/15.

Savona Blvd. Sidewalk
Owner: City of Port St. Lucie
Original Contract Amount: $1,598,142.25
Final Contract Amount: $1,529,919.72
Savings to CPSL: $ 68,222.53

This project consisted of constructing sidewalk and a pedestrian bridge along Savona Blvd. between Becker Road and Gatlin Blvd. in Port St. Lucie. Milestone proactively went through this project and made minor alignment adjustments to eliminate gravity wall and hand rail and minimize impacts to residents. We worked with City staff to eliminate work that was not necessary or was unachievable by the Contractor. Additionally, Milestone coordinated efforts to modify the bridge caps to accommodate and existing Home Town Cable utility that would have been destroyed with the planned construction. Milestone provided a 3-D drawing of the existing utility locations measured in the field and provided the designer with a 3-D foundation sketch that illustrated everything in the vicinity of the utilities and bridge piling. This sketch was used by the Designer to modify the design. All costs associated with the modifications were paid by HTC. HTC also saved over $60,000.00 in utility relocation costs with this modification. This coordination provided a win-win for the City and the utility. Milestone has met with the FDOT office and received concurrence on approval of all changes and confirmed that all modifications are reimbursable by the Department. This project was completed on 10/07/15.

In summary, working with City staff, Milestone has been a key component in saving the City of Port St. Lucie $181,033.77 over the course of 2 years as a result of our knowledge of construction, knowledge of maintenance issues, knowledge of long term maintenance costs and a proactive approach to managing our projects.

Milestone also has the capabilities of making Engineering determinations on what roadways need to extend the life of the roadway sectin. Milestone has performed Pavement Assessment Evaluations on numerous communities throughout St. Lucie and Martin Counties. Our assessments provide owners with exact repair and maintenance needs. This service maximizes budgets for owners that have existing roadways in need of maintenance and/or repair; which conserves budget dollars by providing the right fix for each roadway issue.

QUESTION #9

Describe your methodology in determining a request from a contractor as to what is a change order or an amendment. Include what justifications are required from the contractor for approval of these requests. Also include time frame (# of calendar days) from the request of the contractor to submittal to the City. (Limit 1/2 Page)

Milestone's methodology in determining whether a request is a change order or an amendment is a simple understanding of the difference in the two contract documents. A change order is a contract document that adjusts contract items without changing the original scope of project. Typical change order items include increases or decreases to plan/bid quantities. An amendment is a change in the scope of work. Amendment items do not fall under existing pay items and require clear direction on the work to be completed as well as a method of payment to be agreed upon by both parties.

Justifications required by the Contractor for a change order or amendment request include: immediate notification when a change order or amendment may be necessary, a price submittal with appropriate backup support and support for additional time if the change affects the controlling item of work. Milestone's methodology of direction to the Contractor, preparation of a cost estimate for comparison, and submittal to the City are typically completed within a 24-hour time frame (1 day). The short turnaround time is necessary as the Change Orders or Amendments must be executed prior to the additional work being started to ensure approval as well as funding is secured and in place.

QUESTION #10

Describe your understanding of a Lump sum and a Unit price contract. (Limit 1/2 Page)

A Lump Sum Contract requires the full scope of work identified in the contract documents to be constructed in completion and in accordance with those same documents. There are **no adjustments** to existing items of work. The Contractor is typically paid as a percentage of time used on the Contract such that full payment (100%) is paid by the end of contract time. Quantities are not required to be measured for payment; however, Milestone's staff provides their client with a tight accounting of materials for future maintenance funding purposes. Lump sum contracts should not have any changes (increases or decreases in quantities or final contract amount) as long as there is no scope changes made to the project. .

A Unit Price Contract includes quantities and pay units with each item of work and the Contractor is paid for each unit of work completed in accordance with the contract documents. Unit price contracts typically have minor overruns or underruns as all work is field measured and counted; which often slightly varies from design quantities; especially on items such as sod.

QUESTION #11

What is your firm's method of determining what a good contractor is versus an excellent contractor? Please provide specific points. (Limit 1/2 Page)

Both a good and an excellent contractor will have successfully performed their contract scope of work. Milestone's method of determining what makes a good contractor an excellent contractor is based on the Contractor's pursuit of work, communications with staff and residents, and their ability to work through issues that may arise on a project. Our methodology on understanding this difference is discussed with staff on a regular basis for consistent identification and acknowledgement of those Contractors.

An excellent Contractor actively pursues the scope of work with the owner and CEI staff as an active team member. Functioning as a team means open communications, addressing unforeseen issues, lessening impacts to travelers and/or homeowners, proactively looking ahead to address any possible issues before the work is impacted, and keeping sufficient staff and equipment on the project to complete the work in as timely a manner as possible. Contract increases or decreases do not define the excellent Contractor; however, the excellent Contractor will proactively work with staff to minimize any increases to the Contract and provide fair and equitable pricing for additional work.

In summary, an excellent Contractor continually works to impress their client to ensure their client will hire them or desire them in the future.

QUESTION #12

What is your method of obtaining as-builts in a timely manner? (Limit 1/2 Page)

Our method of obtaining as-builts in a timely manner is to discuss that the final inspection cannot be scheduled without having as-builts in hand at the pre-construction meeting. Additionally, we include a subheading in all our progress meeting agendas to keep the topic fresh throughout the project. As the project reaches the midpoint, we begin e-mailing the Contractor that they can submit partial as-builts for our review to speed up the process in the end. Communications with the Contractor and focusing on the project close-out is key to receiving the as-builts in a timely manner.

Contractors that delay as-built submittals requires a change in our methodology. Our methodology to encourage as-built submittals that are dragging include continual communications through progress meetings and E-mails. Additionally, we submit correspondence that reminds the Contractor of the Contract requirements for the as-built submittals and the fact that contract time will not stop without **approved** as-builts in hand.

QUESTION #13

Has your firm failed to complete any contract work awarded? Defaulted on a contract or had a contract canceled or terminated? If so, When and Why?

No. Milestone has completed all contract work awarded with no cancellations or terminations.

QUESTION #14

Using the Reference Check Form attached, provide contact names, telephone and fax numbers, and email addresses for EACH government agency listed in question #5.

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only.

(Please print or type) Do not use City of Port St. Lucie as a reference

"A City for All Ages"

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: **Milestone Construction Group, Inc.**

Reference: St. James Sidewalk from Ox Bow Center to River's Edge Fax #: 772-462-2362

Email: hauschildc@stlucieco.org Telephone #: 772-462-1712

Person to contact: Craig Hauschild, P.E.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____

Qualifications _____ Cooperation _____

Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]

Comments:

Thank you.

REFERENCE CHECK FORM

"A City for All Ages"

Bidder Instructions: Fill out top portion only.

(Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: **Milestone Construction Group, Inc.**

Reference: Harmony Heights – Phase I Fax #: 772-462-2362

Email: harveym@stlucieco.org Telephone #: 772-462-1707

Person to contact: Michael Harvey, E.I.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____

Qualifications _____ Cooperation _____

Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]

Comments:

Thank you.

"A City for All Ages"

REFERENCE CHECK FORM

Bidder Instructions: Fill out top portion only.

(Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: **Milestone Construction Group, Inc.**

Reference: Weatherbee Road Sidewalk & Drainage Improvements - LAP Fax #: 772-462-2363

Email: dayanp@stlucieco.org Telephone #: 772-462-2511

Person to contact: Patrick Dayan, P.E.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____

Qualifications _____ Cooperation _____

Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]

Comments:

Thank you.

"A City for All Ages"

REFERENCE CHECK FORM

Bidder Instructions: Fill out top portion only.

(Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: **Milestone Construction Group, Inc.**

Reference: Indian River Estates Drainage Improvements – Const. Mgmt. Fax #: 772-462-2362

Email: powleym@stlucieco.org Telephone #: 772-462-1707

Person to contact: Michael Powley, P.E.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____

Qualifications _____ Cooperation _____

Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]

Comments:

Thank you.

REFERENCE CHECK FORM

Bidder Instructions: Fill out top portion only.

(Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: **Milestone Construction Group, Inc.**

Reference: Gum Creek Bridge – Senior Engineer Fax #: N/A

Email: Brannon.Chatwood@dot.state.fl.us Telephone #: 850-245-7925

Person to contact: Brannon Chatwood, P.E.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____

Qualifications _____ Cooperation _____

Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]

Comments:

Thank you.

ADDENDUM ACKNOWLEDGMENT

Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued
1	10/12/15
2	10/27/15

CERTIFICATION

CERTIFICATION:

This E-RFP is submitted by: Name (print) Vernon Tucker Hackett who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract in its entirety.

I agree to abide by all conditions of this E-RFP.

Signature _____ President
Title

CURRENT LICENSES
Milestone Construction Group, Inc.

State of Florida

Board of Professional Engineers

Attests that

Milestone Construction Group, Inc.

FBPE FLORIDA BOARD OF PROFESSIONAL ENGINEERS

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017
Audit No: 228201701321

CA Lic. No:
26830

State of Florida

Board of Professional Engineers

Attests that

Vernon Tucker Hackett , P.E.

FBPE FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201711135

P.E. Lic. No:
48842

CURRENT LICENSES
Target Engineering Group, Inc.

State of Florida

Board of Professional Engineers

Attests that

Target Engineering Group, Inc.

FBPE

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017
Audit No: 228201701519

CA Lic. No:
8139

State of Florida

Board of Professional Engineers

Attests that

Randall James Scott , P.E.

FBPE

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201711436

P.E. Lic. No:
57589

State of Florida

Board of Professional Engineers

Attests that

Kelly Michelle Cowger , P.E.

FBPE

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201711605

P.E. Lic. No:
61645

CURRENT LICENSES
Andersen Andre Consulting Engineers, Inc.

State of Florida

Board of Professional Engineers

Attests that

Andersen Andre Consulting Engineers, Inc

FBPE

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017
Audit No: 228201701108

CA Lic. No:
26794

State of Florida

Board of Professional Engineers

Attests that

Peter Gregers Andersen , P.E.

FBPE

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201709750

P.E. Lic. No:
57956

State of Florida

Board of Professional Engineers

Attests that

David P. Andre , P.E.

FBPE

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201709638

P.E. Lic. No:
53969

CPSL BUSINESS TAX – 2016

CITY OF PORT SAINT LUCIE BUSINESS TAX RECEIPT

PLEASE POST IN CONSPICUOUS PLACE OR KEEP ON PERSON

Term : 10/1/ 2015 — 9/30/ 2016

2015 - 2016

Business Address: **264 NW PEACOCK BLVD**
SUITE 300

BTR#: 124555
Date Made: 08/31/2015

Business Name: MILESTONE CONSTRUCTION GROUP, INC
Mailing Address: 1391 NW ST LUCIE WEST BLVD
SUITE 300
PORT ST LUCIE, FL 34986

Business Tax Authority

Category:	Category 3	PROFESSIONAL	$134.00
Additional Data:	ENGINEERING SERVICE		

Total Tax Paid: **$134.00**

THIS IS A RECEIPT FOR TAX PAID AND IS NOT REGULATORY IN NATURE

This receipt does not warrant that the receipt holder is competent to perform in the business, but that the holder has paid the required tax and provided the necessary documentation (if required) for this business. Valid only when all state and local regulated trade licenses/competency cards are valid for the current fiscal year as required by law.

E-RFP #20150126

DRUG-FREE WORKPLACE FORM

Continuing Professional CEI Services

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Milestone Construction Group, Inc. does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

November 12, 2015
Date

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Prequalified Consultants 11/13/15, 10:19 AM

PROCUREMENT OFFICE
Prequalified Consultants Report
Updated on: 13NOV2015

Unlimited Prequalified Consultants Qualified in Work Type: 10.4				
Consultant Name	**Mailing Address**	**Contact Person**	**Position / Title**	**Telephone No.**
MILESTONE CONSTRUCTION GROUP, INC.	264 NW PEACOCK BLVD, STE 102 PORT ST LUCIE, FL 34986	MR. VERNON HACKETT	PRESIDENT	(772)359-8673
Prequalified Types of Work: 10.1 10.4				
KEITH AND SCHNARS, P.A.	6500 N ANDREWS AVE FT. LAUDERDALE, FL 33309	MR. TANZER KALAYCI	PRESIDENT/CFO	(954)776-1616
Prequalified Types of Work: 2.0 3.1 3.2 3.3 4.1.1 4.1.2 5.1 5.3 5.4 6.1 7.1 7.2 7.3 8.1 8.2 8.4 10.1 10.3 10.4 13.3 13.4 13.5 13.6 13.7 15.0				
TARGET ENGINEERING GROUP, INC.	359 ALCAZAR AVE CORAL CABLES, FL 33134	MR. RAMZI ASFOUR	EXECUTIVE VICE PRESIDENT	(305)446-2323
Prequalified Types of Work: 3.1 6.3.2 7.1 7.3 10.1 10.3 10.4 10.5.1 10.5.2				

Pre-Qualified Work Types

10.1 – Roadway CEI

10.4 – Small Bridge and Structures CEI

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Milestone Construction Group, Inc. – Prime Consultant

Centennial FDOT 1915 ▪ 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

August 7, 2015

Vernon Hackett, President
MILESTONE CONSTRUCTION GROUP, INC.
264 NW Peacock Boulevard, Suite 102
Port St. Lucie, Florida 34986

Dear Mr. Hackett:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 10 - Construction Engineering Inspection

10.1 - Roadway Construction Engineering Inspection
10.4 - Minor Bridge & Miscellaneous Structures CEI

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any dollar amount. This status shall be valid until June 30, 2016 for contracting purposes.

	Home/Branch Office	Facilities Capital Cost of Money	Overtime Premium
Overhead Rate	187.82%	0.306%	Reimbursed

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

www.dot.state.fl.us

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Milestone Construction Group, Inc. – Prime Consultant

State of Florida
Department of State

I certify from the records of this office that MILESTONE CONSTRUCTION GROUP, INC. is a corporation organized under the laws of the State of Florida, filed on March 19, 2012, effective October 18, 2005.

The document number of this corporation is P12000027588.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on January 16, 2015, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Sixteenth day of January, 2015

Ken Detzner

Secretary of State

Authentication ID: CC7576469930

To authenticate this certificate,visit the following site,enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Target Engineering Group, Inc. – Sub-Consultant

Centennial FDOT 1915 ★ 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

July 14, 2015

Ramzi Asfour, Executive Vice President
TARGET ENGINEERING GROUP, INC.
359 Alcazar Avenue
Coral Gables, Florida 33134

Dear Mr. Asfour:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 3 - Highway Design - Roadway

3.1 - Minor Highway Design

Group 7 - Traffic Operations Design

7.1 - Signing, Pavement Marking and Channelization
7.3 - Signalization

Group 10 - Construction Engineering Inspection

10.1 - Roadway Construction Engineering Inspection
10.3 - Construction Materials Inspection
10.4 - Minor Bridge & Miscellaneous Structures CEI
10.5.1 - Major Bridge CEI – Concrete
10.5.2 - Major Bridge CEI - Steel

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any dollar amount. This status shall be valid until June 30, 2016 for contracting purposes.

	Home/Branch Office	Field Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense
Indirect Cost	230.68%	92.00%	0.246%	Reimbursed	39.77% (Home) 21.34% (Field)*

*Rent and utilities excluded from field office rate. These costs will be directly reimbursed on contracts that require the consultant to provide field office.

www.dot.state.fl.us

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Target Engineering Group, Inc. – Sub-Consultant

Group 8 - Survey and Mapping

- 8.1 - Control Surveying
- 8.2 - Design, Right of Way & Construction Surveying
- 8.4 - Right of Way Mapping

Group 10 - Construction Engineering Inspection

- 10.1 - Roadway Construction Engineering Inspection
- 10.3 - Construction Materials Inspection
- 10.4 - Minor Bridge & Miscellaneous Structures CEI

Group 13 - Planning

- 13.3 - Policy Planning
- 13.4 - Systems Planning
- 13.5 - Subarea/Corridor Planning
- 13.6 - Land Planning/Engineering
- 13.7 - Transportation Statistics

Group 15 - Landscape Architect

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any dollar amount. This status shall be valid until June 30, 2016 for contracting purposes.

	Home/Branch Office	Field Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense
Indirect Cost	170.18%	145.73%	0.571%	Reimbursed	8.75% (Home) 9.24% (Field)*

*Rent and utilities excluded from field office rate. These costs will be directly reimbursed on contracts that require the consultant to provide field office.

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

www.dot.state.fl.us

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Target Engineering Group, Inc. – Sub-Consultant

State of Florida
Department of State

I certify from the records of this office that TARGET ENGINEERING GROUP, INC. is a corporation organized under the laws of the State of Florida, filed on January 26, 1999.

The document number of this corporation is P99000007624.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on January 12, 2015, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Twelveth day of January, 2015

Ken Detzner

Secretary of State

Authentication ID: CC288236-4007

To authenticate this certificate, visit the following site, enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Keith and Schnars – Sub-Consultant

RECEIVED JUN 2 9 2015

Centennial FDOT 1915 ★ 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

June 25, 2015

Tanzer Kalayci, President/CEO
KEITH AND SCHNARS, P.A.
6500 North Andrews Avenue
Fort Lauderdale, Florida 33309

Dear Mr. Kalayci:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 2 - Project Development and Environmental (PD&E) Studies

Group 3 - Highway Design - Roadway

- 3.1 - Minor Highway Design
- 3.2 - Major Highway Design
- 3.3 - Controlled Access Highway Design

Group 4 - Highway Design - Bridges

- 4.1.1 - Miscellaneous Structures
- 4.1.2 - Minor Bridge Design

Group 5 - Bridge Inspection

- 5.1 - Conventional Bridge Inspection
- 5.3 - Complex Bridge Inspection
- 5.4 - Bridge Load Rating

Group 6 - Traffic Engineering and Operations Studies

- 6.1 - Traffic Engineering Studies

Group 7 - Traffic Operations Design

- 7.1 - Signing, Pavement Marking and Channelization
- 7.2 - Lighting
- 7.3 - Signalization

www.dot.state.fl.us

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Keith and Schnars – Sub-Consultant

Group 8 - Survey and Mapping

- 8.1 - Control Surveying
- 8.2 - Design, Right of Way & Construction Surveying
- 8.4 - Right of Way Mapping

Group 10 - Construction Engineering Inspection

- 10.1 - Roadway Construction Engineering Inspection
- 10.3 - Construction Materials Inspection
- 10.4 - Minor Bridge & Miscellaneous Structures CEI

Group 13 - Planning

- 13.3 - Policy Planning
- 13.4 - Systems Planning
- 13.5 - Subarea/Corridor Planning
- 13.6 - Land Planning/Engineering
- 13.7 - Transportation Statistics

Group 15 - Landscape Architect

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any dollar amount. This status shall be valid until June 30, 2016 for contracting purposes.

	Home/Branch Office	Field Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense
Indirect Cost	170.18%	145.73%	0.571%	Reimbursed	8.75% (Home) 9.24% (Field)*

*Rent and utilities excluded from field office rate. These costs will be directly reimbursed on contracts that require the consultant to provide field office.

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

www.dot.state.fl.us

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Keith and Schnars – Sub-Consultant

State of Florida
Department of State

I certify from the records of this office that KEITH AND SCHNARS, P.A. is a corporation organized under the laws of the State of Florida, filed on October 6, 1972.

The document number of this corporation is 603751.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on January 29, 2015, and that its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Twenty-seventh day of May, 2015

Ken Detzner

Secretary of State

Tracking Number: CU1752660233

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https://services.sunbiz.org/Filings/CertificateOfStatus/CertificateAuthentication

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Andersen Andre Consulting Engineers – Sub-Consultant

Centennial FDOT 1915 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

January 20, 2015

David Andre, President
ANDERSEN ANDRE CONSULTING ENGINEERS, INC.
573 Southwest Biltmore Street
Port St. Lucie, Florida 34983

Dear Mr. Andre:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 9 - Soil Exploration, Material Testing and Foundations

- 9.1 - Soil Exploration
- 9.2 - Geotechnical Classification Laboratory Testing
- 9.3 - Highway Materials Testing
- 9.4.1 - Standard Foundation Studies

Your firm is now technically qualified to do work for the Department for minor projects only, enabling your firm to compete for Professional Services projects with fees estimated at below $500,000.00. This status shall be valid until January 20, 2016 for contracting purposes.

Should you have any questions, please feel free to contact me by email at carllayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carllayn Kell
Professional Services
Qualification Administrator

CBHK/kw

www.dot.state.fl.us

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Andersen Andre Consulting Engineers – Sub-Consultant

State of Florida
Department of State

I certify from the records of this office that ANDERSEN ANDRE CONSULTING ENGINEERS, INC. is a corporation organized under the laws of the State of Florida, filed on November 29, 2005.

The document number of this corporation is P05000156896.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on January 6, 2015, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Sixth day of January, 2015

Ken Detzner

Secretary of State

Authentication ID: CC5363100238

To authenticate this certificate,visit the following site,enter this ID, and then follow the instructions displayed.

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E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Vernon Hackett, P.E. – Milestone Construction Group

FDOT

Florida Department of Transportation's
Construction Training Qualification Program

Perfect - IMSM

Training History

Report for Trainee: H230878671820

Missing Qualification Requirements This is a general guideline as to which requirements are missing for a pending qualification. Please refer to the Construction Training Qualification Manual		
TRAINEE	QUALIFICATION	MISSING REQUIREMENT
H230878671820	Asphalt Paving Technician - Level 2	Asphalt Paving - Level 2 Written Exam - 20428 Asphalt Paving Technician - Level 1 - 20281
H230878671820	QC Manager	Refer to the CTQP Manual Chapter 7, Section 7.4.2 for qualification requirements.
H230878671820	Asphalt Paving Technician - Level 1	Asphalt Paving - Level 1 Proficiency Exam - 20435 Asphalt Paving - Level 1 Written Exam - 20434

Course Sessions Attended		
TRAINEE	SESSION NAME	SESSION DATE
H230878671820	QC Manager	Jul 31 - Aug 1, 2002
H230878671820	Asphalt Paving - Level 1 Chal. Writ.	Oct 22, 2002
H230878671820	Asphalt Paving - Level 2 Chal. Writ.	Oct 22 - Oct 22, 2002
H230878671820	QC Manager	Jun 19 - Jun 19, 2014

Exams			
TRAINEE	EXAM	RESULTS	EXAM DATE

http://www.ctqpflorida.com/ctqp_data/t3327h.asp?search=H230878671820 11/16/2015

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Vernon Hackett, P.E. – Milestone Construction Group, Inc.

H230878671820	QC Manager Written Exam - Rel.4	Pass	94%	8/1/2002
H230878671820	Asphalt Paving - Level 1 Written Exam - Rel.3	Pass	90%	10/22/2002
H230878671820	Asphalt Paving - Level 2 Written Exam - Rel.3	Pass	92%	10/22/2002
H230878671820	QC Manager Written Exam - Rel.9	Pass	88%	6/19/2014

Other Qualification Requirements/Certifications			
TRAINEE	**REQUIREMENT**	**DATE COMPLETED**	**DATE EXPIRES**
H230878671820	3 Months Experience Asphalt Paving Operations or Asphalt Roadway Testing	8/23/2002	

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E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Jim Frye – Milestone Construction Group, Inc.

Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Qualifications			
TRAINEE	MATERIAL GROUP	QUALIFICATION	EXPIRATION DATE
F60045650	Asphalt	Asphalt Paving Technician - Level 1	11/3/2015
F60045650	Asphalt	Asphalt Paving Technician - Level 2	11/3/2015
F60045650	Management	Final Estimates - Level 1	2/2/2018
F60045650	Management	Final Estimates - Level 2	10/6/2015
F60045650	Management	QC Manager	Does Not Expire

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Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

James Ashburn – Milestone Construction Group, Inc.

Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Qualifications			
TRAINEE	MATERIAL GROUP	QUALIFICATION	EXPIRATION DATE
A21644880	Asphalt	Asphalt Paving Technician - Level 1	10/2/2017
A21644880	Asphalt	Asphalt Paving Technician - Level 2	2/20/2018
A21644880	Concrete	Concrete Field Technician - Level 1	10/17/2014
A21644880	Earthwork	Earthwork Construction Inspection - Level 1	9/3/2015
A21644880	Earthwork	Earthwork Construction Inspection - Level 2	9/21/2017
A21644880	Geotech	Drilled Shaft Inspection	2/21/2019
A21644880	Management	Final Estimates - Level 1	4/10/2019
A21644880	Management	QC Manager	Does Not Expire

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E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Felix Roman – Milestone Construction Group, Inc.

FDOT

Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Qualifications			
TRAINEE	MATERIAL GROUP	QUALIFICATION	EXPIRATION DATE
R55025779	Asphalt	Asphalt Paving Technician - Level 1	7/12/2015
R55025779	Asphalt	Asphalt Paving Technician - Level 2	4/1/2016
R55025779	Concrete	Concrete Field Technician - Level 1	11/12/2015
R55025779	Earthwork	Earthwork Construction Inspection - Level 1	8/27/2018

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E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Randy Scott, P.E. – Target Engineering Group, Inc.

FDOT

Florida Department of Transportation's
Construction Training Qualification Program

Training History

Randall Scott

Report for Trainee: S300730733320

Qualifications			
All qualification requirements have been met according to the Construction Training Qualification Manual			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
S300730733320	Management	Final Estimates - Level 2	1/11/2016
S300730733320	Management	Final Estimates - Level 1	2/3/2017

Missing Qualification Requirements		
This is a general guideline as to which requirements are missing for a pending qualification. Please refer to the Construction Training Qualification Manual		
TRAINEE	**QUALIFICATION**	**MISSING REQUIREMENT**
S300730733320	QC Manager	Refer to the CTQP Manual Chapter 7, Section 7.4.2 for qualification requirements.

Course Sessions Attended		
TRAINEE	**SESSION NAME**	**SESSION DATE**
S300730733320	Asphalt Paving - Level 1	Aug 1, 2000
S300730733320	FDOT Concrete Specification Section 346	Feb 27, 2001
S300730733320	Asphalt Paving - Level 2	Apr 25 - Apr 27, 2001
S300730733320	Drilled Shaft Inspection	Nov 27 - Nov 29, 2001
S300730733320	Final Estimates - Level 2	Jan 11 - Jan 11, 2011
S300730733320	QC Manager	Jun 8 - Jun 8, 2011
S300730733320	Final Estimates - Level 1	Feb 3, 2012

E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Kelly Cowger, P.E. – Target Engineering Group, Inc.

FDOT

Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Kelly Cowger

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
C26051372	Asphalt	Asphalt Paving Technician - Level 1	12/7/2017
C26051372	Asphalt	Asphalt Paving Technician - Level 2	12/7/2017
C26051372	Management	Final Estimates - Level 1	6/5/2017
C26051372	Management	Final Estimates - Level 2	6/5/2017
C26051372	Management	QC Manager	Does Not Expire

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E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

John Brewer – Target Engineering Group, Inc.

Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

John Brewer

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
B66047956	Asphalt	Asphalt Paving Technician - Level 1	12/2/2018
B66047956	Asphalt	Asphalt Paving Technician - Level 2	12/2/2018
B66047956	Concrete	Concrete Field Technician - Level 1	11/15/2019
B66047956	Concrete	Concrete Field Technician - Level 2	4/9/2016
B66047956	Earthwork	Earthwork Construction Inspection - Level 1	9/11/2017
B66047956	Earthwork	Earthwork Construction Inspection - Level 2	4/18/2018
B66047956	Geotech	Drilled Shaft Inspection	1/28/2016
B66047956	Geotech	Pile Driving Inspection	9/29/2016
B66047956	Management	Final Estimates - Level 1	10/22/2020

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E-RFP #20150126

Pre-Qualification Documentation and Team Qualification Documentation

Continuing Professional CEI Services

Jason Jones – Target Engineering Group, Inc.

Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Jason Jones

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
J52042475	Asphalt	Asphalt Paving Technician - Level 1	10/6/2019
J52042475	Asphalt	Asphalt Paving Technician - Level 2	10/6/2019
J52042475	Concrete	Concrete Field Technician - Level 1	5/19/2017
J52042475	Earthwork	Earthwork Construction Inspection - Level 1	6/14/2018
J52042475	Earthwork	Earthwork Construction Inspection - Level 2	1/6/2016
J52042475	Management	Final Estimates - Level 1	2/10/2019
J52042475	Management	Final Estimates - Level 2	2/10/2019
J52042475	Management	QC Manager	Does Not Expire

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E-RFP #20150126

Insurance Certificate

Continuing Professional CEI Services

Client#: 1053697 MILESCON2

ACORD. CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 11/17/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME:	
USI Insurance Services, LLC 1715 N. Westshore Blvd. Suite 700 Tampa, FL 33607	PHONE (A/C, No, Ext): 813 321-7500	FAX (A/C, No):
	E-MAIL ADDRESS:	
	INSURER(S) AFFORDING COVERAGE	NAIC #
INSURED Milestone Construction Group, Inc. 1391 NW St Lucie West Blvd Suite 300 Port Saint Lucie, FL 34986	INSURER A : Phoenix Insurance Company	25623
	INSURER B : Travelers Casualty & Surety Co	19038
	INSURER C : Lloyds of London	85202
	INSURER D :	
	INSURER E :	
	INSURER F :	

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY	X	X	6604B418238	03/07/2015	03/07/2016	EACH OCCURRENCE	$1,000,000
	X COMMERCIAL GENERAL LIABILITY						DAMAGE TO RENTED PREMISES (Ea occurrence)	$1,000,000
	CLAIMS-MADE X OCCUR						MED EXP (Any one person)	$10,000
							PERSONAL & ADV INJURY	$1,000,000
							GENERAL AGGREGATE	$2,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER: POLICY X PRO-JECT LOC						PRODUCTS - COMP/OP AGG	$2,000,000
								$
A	AUTOMOBILE LIABILITY	X		6604B418238	03/07/2015	03/07/2016	COMBINED SINGLE LIMIT (Ea accident)	$1,000,000
	ANY AUTO						BODILY INJURY (Per person)	$
	ALL OWNED AUTOS / SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	X HIRED AUTOS / X NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	$
								$
	UMBRELLA LIAB / OCCUR						EACH OCCURRENCE	$
	EXCESS LIAB / CLAIMS-MADE						AGGREGATE	$
	DED / RETENTION $							$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N		X	UB3874T698	03/07/2015	03/07/2016	X WC STATU-TORY LIMITS / OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? N/A (Mandatory in NH)						E.L. EACH ACCIDENT	$500,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	$500,000
							E.L. DISEASE - POLICY LIMIT	$500,000
C	Professional Liability			PGIARK027000	03/12/2015	03/12/2016	$2,000,000 per claim $2,000,000 annl aggr.	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Professional Liability coverage is written on a claims-made basis.
ERFP # 20150126, CEI Continuing Services; City of Port St. Lucie, political subdivision of the State of Florida, its officers, employees and agents, are additionally insured on the General Liability and Automobile policy. Waiver of Subrogation in favor of the additional insured applies to the General Liability and Auto Liability.

CERTIFICATE HOLDER	CANCELLATION
City of Port St. Lucie 121 SW Port St. Lucie Blvd Port Saint Lucie, FL 34984	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE Kim Wade

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E-RFP #20150126

Insurance Certificate Rider

Continuing Professional CEI Services

Insured: Milestone Construction Group Inc
Policy #660-4B418238

COMMERCIAL GENERAL LIABILITY

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

BLANKET ADDITIONAL INSURED (ARCHITECTS, ENGINEERS AND SURVEYORS)

This endorsement modifies insurance provided under the following:
COMMERCIAL GENERAL LIABILITY COVERAGE PART

A. The following is added to **WHO IS AN INSURED (Section II):**

Any person or organization that you agree in a "contract or agreement requiring insurance" to include as an additional insured on this Coverage Part, but only with respect to liability for "bodily injury", "property damage" or "personal injury" caused, in whole or in part, by your acts or omissions or the acts or omissions of those acting on your behalf:

a. In the performance of your ongoing operations;

b. In connection with premises owned by or rented to you; or

c. In connection with "your work" and included within the "products-completed operations hazard".

Such person or organization does not qualify as an additional insured for "bodily injury", "property damage" or "personal injury" for which that person or organization has assumed liability in a contract or agreement.

The insurance provided to such additional insured is limited as follows:

d. This insurance does not apply on any basis to any person or organization for which coverage as an additional insured specifically is added by another endorsement to this Coverage Part.

e. This insurance does not apply to the rendering of or failure to render any "professional services".

f. The limits of insurance afforded to the additional insured shall be the limits which you agreed in that "contract or agreement requiring insurance" to provide for that additional insured, or the limits shown in the Declarations for this Coverage Part, whichever are less. This endorsement does not increase the limits of insurance stated in the **LIMITS OF INSURANCE (Section III)** for this Coverage Part.

B. The following is added to Paragraph **a.** of **4. Other Insurance** in **COMMERCIAL GENERAL LIABILITY CONDITIONS (Section IV):**

However, if you specifically agree in a "contract or agreement requiring insurance" that the insurance provided to an additional insured under this Coverage Part must apply on a primary basis, or a primary and non-contributory basis, this insurance is primary to other insurance that is available to such additional insured which covers such additional insured as a named insured, and we will not share with the other insurance, provided that:

(1) The "bodily injury" or "property damage" for which coverage is sought occurs; and

(2) The "personal injury" for which coverage is sought arises out of an offense committed;

after you have entered into that "contract or agreement requiring insurance". But this insurance still is excess over valid and collectible other insurance, whether primary, excess, contingent or on any other basis, that is available to the insured when the insured is an additional insured under any other insurance.

C. The following is added to Paragraph **8. Transfer Of Rights Of Recovery Against Others To Us** in **COMMERCIAL GENERAL LIABILITY CONDITIONS (Section IV):**

We waive any rights of recovery we may have against any person or organization because of payments we make for "bodily injury", "property damage" or "personal injury" arising out of "your work" performed by you, or on your behalf, under a "contract or agreement requiring insurance" with that person or organization. We waive these rights only where you have agreed to do so as part of the "contract or agreement requiring insurance" with such person or organization entered into by you before, and in effect when, the "bodily

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E-RFP #20150126

Insurance Certificate Rider

Continuing Professional CEI Services

COMMERCIAL GENERAL LIABILITY

injury" or "property damage" occurs, or the "personal injury" offense is committed.

D. The following definition is added to **DEFINITIONS (Section V):**

"Contract or agreement requiring insurance" means that part of any contract or agreement under which you are required to include a person or organization as an additional insured on this Coverage Part, provided that the "bodily injury" and "property damage" occurs, and the "personal injury" is caused by an offense committed:

a. After you have entered into that contract or agreement;

b. While that part of the contract or agreement is in effect; and

c. Before the end of the policy period.

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E-RFP #20150126

W-9

Continuing Professional CEI Services

Form **W-9** (Rev. December 2014) Department of the Treasury Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Milestone Construction Group, Inc.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only **one** of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC ☐ C Corporation ☑ S Corporation ☐ Partnership ☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☐ Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any) _____
Exemption from FATCA reporting code (if any) _____
(Applies to accounts maintained outside the U.S.)

Print or type See Specific Instructions on page 2.

5 Address (number, street, and apt. or suite no.)
264 NW Peacock Blvd.; Suite 102

Requester's name and address (optional)
City of Port St. Lucie
121 SW Port St. Lucie Blvd.
Port St. Lucie, Florida 34984-5099

6 City, state, and ZIP code
Port St. Lucie, Florida 34986

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number: ___ - ___ - ____

or

Employer identification number: 45-4956917

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ [signature] Date ▶ 4/02/2015

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at *www.irs.gov/fw9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

Cat. No. 10231X Form **W-9** (Rev. 12-2014)

CHECKLIST

E-RFP #20150126

Continuing Professional CEI Services

This checklist is provided to assist Bidders in the preparation of their bid response. Included in this checklist are important requirements that are the responsibility of each Bidder to submit with their response in order to make their bid response fully compliant. This checklist is only a guideline -- it is the responsibility of each Bidder to read and comply with the Invitation to Bid in its entirety.

__X__ Documents uploaded in one (1) .pdf file and in this order:

__X__ File #1 - Questionnaire for E-RFP #20150126, all Applicable Current Licenses (state and professional), Reference Forms, Drug Free Workplace Form, Pre-qualification Documentation and Team Qualification Documentation, Attachment A – Personnel Qualifications and Experience, and E-RFP Checklist on Demandstar by the due date and time.

__X__ All questions on the questionnaire are complete and thoroughly answered

__X__ Each Bid Addendum (when issued) is acknowledged

__X__ Have reviewed the Contract and accept all City Terms and Conditions

__X__ All Applicable Current Licenses (state and professional)

__X__Reference Forms

__X__Drug Free Workplace Form

__X__All Prequalification documentation and Team Qualification Documentation

__X__Attachment A – Personnel Qualification and Experience (completed)

__X__Checklist

__X__ File #2 - Form 330

Continuing Professional CEI Services

ERFP

FORM 330

MILESTONE
CONSTRUCTION GROUP, INC.

ARCHITECT – ENGINEER QUALIFICATION

PART I – CONTRACT – SPECIFIC QUALIFICATION

A. CONTRACT INFORMATION

1. TITLE AND LOCATION (City and State)

Continuing Professional Construction Engineering Services for Proposal #20150126

2. PUBLIC NOTICE DATE	*3. SOLICITATION OR PROJECT NUMBER*
October 5, 2015	*E-Bid #20150126*

B. ARCHITECT – ENGINEER POINT OF CONTACT

4. NAME AND TITLE

Vernon Hackett, P.E.
Principal Engineer

5. NAME OF FIRM

Milestone Construction Group, Inc.

6. TELEPHONE NUMBER	7. FAX	8. E-MAIL ADDRESS
772-359-8673	N/A	Vernon@mcg-eng.com

C. PROPOSED TEAM

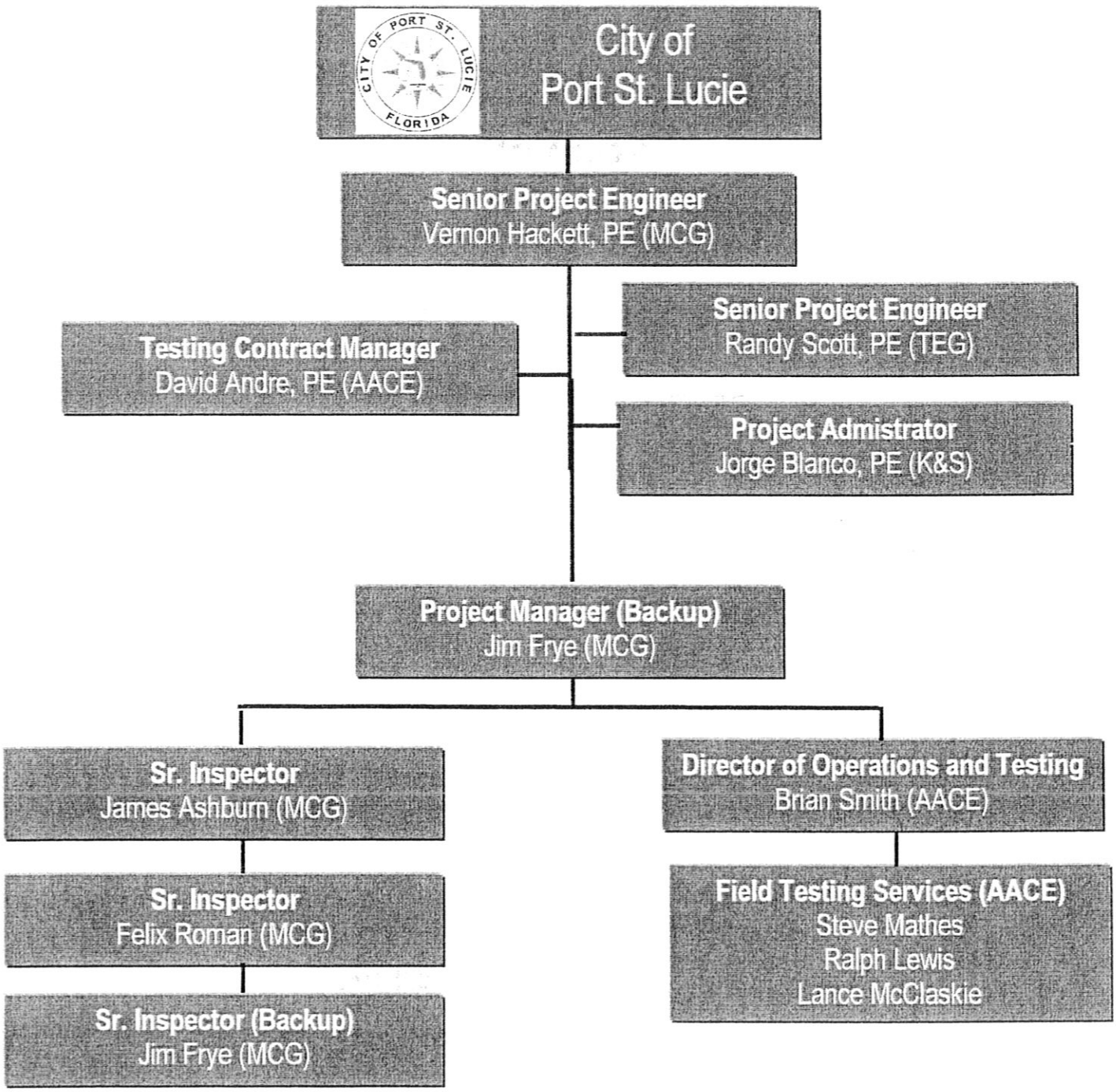
(Complete this section for the prime contractor and all key subcontractors)

	(Check) PRIME	(Check) J-V PARTNER	(Check) SUBCON-TRACTOR	9. FIRM NAME	10. ADDRESS	11. ROLE IN THIS CONTRACT
a	X			Milestone Construction Group, Inc. ☐ CHECK IF BRANCH OFFICE	264 NW Peacock Boulevard Suite 102 Port St. Lucie Florida 34986	Prime Consultant (CEI)– Construction Management/ Engineer and Inspection
b			X	Anderson Andre Consulting Engineers, Inc. ☐ CHECK IF BRANCH OFFICE	573 SW Biltmore Street Port St. Lucie, Florida 34983	Geotechnical and Material Testing, Water Quality Testing, and Inspection
c			X	TARGET ENGINEERING GROUP, INC. Target Engineering Group, Inc. ☐ CHECK IF BRANCH OFFICE	Target Engineering Group, Inc. 3510 SW Corporate Parkway Palm City, FL 34990	CEI Inspection, Engineering, and FDOT Certification
d			X	KEITH and SCHNARS, P.A. ENGINEERS, PLANNERS, SURVEYORS	Keith and Schnars, PA 6500 North Andrews Avenue Fort Lauderdale, Florida 33309	EEO Compliance and Inspection

D. ORGANIZATIONAL CHART OF PROPOSED TEAM

X (Attached)

ORGANIZATIONAL CHART OF PROPOSED TEAM
City of Port St. Lucie
E-Bid Proposal #20150126



Randy Scott, P.E. (Target Engineering) will be contacted for use of all Target employees as needed.

Jorge Blanco (Keith & Schnars) will be contacted for use of all K&S employees as needed.

Continuing Professional CEI Services

ERFP #20150126

RESUMES OF KEY PERSONNEL

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Vernon Hackett, P.E.	**Senior Project Engineer**	25	10

15. FIRM NAME AND LOCATION (City and State)

Milestone Construction Group, Inc., Port St. Lucie, FL

16. EDUCATION (DEGREE AND SPECIALIZATION)	17. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE)
B.S Civil Engineering North Carolina State University	Professional Engineering – Florida 48842

18. OTHER PROFESSIONAL QUALIFICATION (Publication, Organization, Training, Awards, etc.)

Advanced Maintenance of Traffic – FDOT

Qualified Stormwater Management Inspector

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Weatherbee Road Sidewalk Safety Project Fort Pierce, Florida	2013	2013

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE [x] Check if project performed with current firm

Construction of drainage, concrete sidewalk and driveways, asphalt turnouts, and water main adjustments on Weatherbee Road, Silver Oak Drive, Buchannan Drive, and Midway Road. The total project cost is $1,515,592. Vernon served as the Senior Project Manager and conducted meetings, managed the project, processed payments, approved construction and certified the project. MOT oversight. This was a LAP funded sidewalk project which required compliance oversight. Ensure all sidewalks complied with ADA and FDOT.

b.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Harmony Heights Drainage and Roadway Fort Pierce, Florida	2013	2013

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE [x] Check if project performed with current firm

Construction of roadway, drainage, and water main adjustments in the Harmony Heights subdivision in Fort Pierce, Florida. The total project cost is $1,069,250. Vernon served as the Senior Project Manager and conducted meetings, managed the project, processed payments, approved construction and certified the project. MOT oversight.

c.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Melaleuca Boulevard Sidewalk Phase 1 & 2 Fort Pierce, Florida	2014	2014

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE [x] Check if project performed with current firm

Construction of drainage, sidewalk, driveways, utility adjustments, and swale liner. The total project cost is $371,940.42. Vernon served as the Senior Project Engineer and oversaw all CEI activities. Mr. Hackett was involved in all change orders, plan changes, and invoicing. Additionally, Vernon certified the project. This was a LAP funded sidewalk project which required compliance oversight. Ensured all sidewalks complied with ADA and FDOT

d.

(1) TITLE AND LOCATION (City and State)	(2) Year Completed	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
St. James Sidewalk Project Port St. Lucie, Florida	2011	2011

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE [x] Check if project performed with current firm

Construction of concrete sidewalk along the east side of St. James Drive from the Oxbow Eco Center to Rivers Edge Elementary School. Vernon served as Senior Project Manager and Inspector and monitored the construction, testing, MOT and roadway; followed by project certification. MOT oversight. This was a LAP funded sidewalk project which required compliance oversight. Ensured all sidewalks complied with ADA and FDOT

e.

(1) TITLE AND LOCATION (City and State)	(2) Year Completed	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Becker Road – Segment 4 Port St. Lucie, Florida	2010	2010

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE [x] Check if project performed with current firm

Construction of roadway, sidewalk, drainage, water main deflections, landscaped medians and pedestrian lighting on Becker Road from the Turnpike Interchange to West of Kestor Road. The total project cost was $2,500,000. Vernon served as Senior Project Manager and Senior Inspector and managed, inspected, and certified the project. MOT oversight.

f.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
Tesoro Residential Development - West Port St. Lucie, Florida	PROFESSIONAL SERVICES 2005	CONSTRUCTION (if applicable) 2005

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

This project was a residential development that included the construction of wetland preserves that were mitigation sites for wetlands that were eliminated with the construction of the development. The construction included dredging of the channel with littoral shelves designed at different elevations for different plant types. The total cost of the development was $30,000,000.00 with over $1,000,000.00 for wetland preserve construction. The scope included monitoring of turbidity, verification and certification of all channels and littoral shelves, and quantity verification for all plantings. Vernon was the Senior Project Engineer and worked for Culpepper & Terpening at the time.

g.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
Cashmere Blvd. Sidewalk and Drainage Port St. Lucie, Florida	PROFESSIONAL SERVICES 2015	CONSTRUCTION (if applicable) 2015

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

This project was a sidewalk and drainage project on Cashmere Blvd. from SW Del Rio Blvd. to Centennial High School. The cost of the project totaled $235,000.00. Vernon was the Senior Project Engineer and worked with the City to save $23,000.00 and 11 calendar days. This project was an FDOT LAP funded project.

h.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
Bayshore Blvd. Sidewalk and Drainage Port St. Lucie, Florida	PROFESSIONAL SERVICES 2015	CONSTRUCTION (if applicable) 2015

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

This project was a sidewalk and drainage project on Bayshore Blvd. from Prima Vista Blvd. to Selvitz Road. The cost of the project totaled $527,000.00. Vernon was the Senior Project Engineer and worked with the City to save $65,000.00 and 34 calendar days. This project was an FDOT LAP funded project.

i.

(1) TITLE AND LOCATION (City and State)	(2) Year Completed	
Savona Blvd. Sidewalk and Pedestrian Bridge Port St. Lucie, Florida	PROFESSIONAL SERVICES 2015	CONSTRUCTION (if applicable) 2015

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

This project was a sidewalk, drainage and pedestrian bridge project from Becker Road to Gatlin Blvd. in Port St. Lucie. The cost of the project totaled $1,530,000.00. Vernon was the Senior Project Engineer and worked with the City to save $68,000.00. This project was an FDOT LAP funded project.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		c. TOTAL	d. WITH CURRENT FIRM
James Ashburn	**Senior Roadway Inspector**	14	4

20. FIRM NAME AND LOCATION (City and State)

Milestone Construction Group, Inc. Port St. Lucie, FL

21. EDUCATION (DEGREE AND SPECIALIZATION)	22. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE)
HS Diploma Ft. Pierce Westwood High School	

23. OTHER PROFESSIONAL QUALIFICATION (Publication, Organization, Training, Awards, etc.)

Advanced Maintenance of Traffic	CTQP – Asphalt Level I & II	CTQP – QC Manager
Qualified Stormwater Management Inspector	CTQP – Earthwork Level I & II	CTQP – Drilled Shaft
Hazmat Training for Nuclear Gauges	CTQP – Concrete Field Inspector	CSX Contractor Safety
ACI – Concrete Field Testing Technician	CTQP – Final Estimates Level I	CSX Security Awareness
CSX Roadway Worker Safety – 49CFR214C	CSX On-Track Machinery	Pile Driving Class

24. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION (City and State)
Melaleuca Boulevard Sidewalk Phase 1 & 2
Fort Pierce, Florida

(2) YEAR COMPLETED: PROFESSIONAL SERVICES 2014; CONSTRUCTION (if applicable) 2014

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [x] Check if project performed with current firm

Construction of drainage, sidewalk, driveways, utility adjustments, and swale liner. The total project cost is $371,940.42. James served as the Senior Roadway Inspector and was responsible for all daily diaries, construction observation, verification of construction, and MOT oversight. This was a LAP funded sidewalk project which required compliance oversight. Ensured all sidewalks complied with ADA and FDOT requirements.

b.

(1) TITLE AND LOCATION (City and State)
Weatherbee Road Sidewalk Safety Project
Fort Pierce, Florida

(2) YEAR COMPLETED: PROFESSIONAL SERVICES 2013; CONSTRUCTION (if applicable) 2013

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [x] Check if project performed with current firm

Construction of drainage, sidewalk, and water main adjustments on Weatherbee Road, Silver Oak Drive, Buchannan Drive, and Midway Road. The total project cost is $1,515,592. James served as the Senior Roadway Inspector and was responsible for all daily diaries, construction observation, and verification of construction (roadway, drainage & sidewalk). MOT oversight. This was a LAP funded sidewalk project which required compliance oversight. ADA.

c.

(1) TITLE AND LOCATION (City and State)
Harmony Heights Drainage and Roadway
Fort Pierce, Florida

(2) YEAR COMPLETED: PROFESSIONAL SERVICES 2013; CONSTRUCTION (if applicable) 2013

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [x] Check if project performed with current firm

Construction of roadway, drainage, and water main adjustments in the Harmony Heights subdivision in Fort Pierce, Florida. The total project cost is $1,069,250. James served as the Senior Roadway Inspector and was responsible for all daily diaries, review of construction to ensure compliance with contract documents, met with residents, and performed final inspections. MOT oversight.

d.

(1) TITLE AND LOCATION (City and State)
SR 70 at Florida's Turnpike
Fort Pierce, Florida

(2) Year Completed: PROFESSIONAL SERVICES 2012; CONSTRUCTION (if applicable) 2012

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE — [ ] Check if project performed with current firm

Reconstruction of roadway at the toll facility; including embankment, medians, water main, drilled shaft, sidewalk, base, and asphalt. The cost of the project was over $1,000,000. James served as Senior Technician responsible for testing all materials on the project.

f.

(1) TITLE AND LOCATION (City and State)
Wal-Mart Distribution Center
Ft. Pierce, Florida

(2) YEAR COMPLETED
PROFESSIONAL SERVICES: 2012
CONSTRUCTION (if applicable): 2012

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm
This project included the construction of 5 articulated concrete block mats. James served as a geotechnical materials inspector and duties included inspecting foundation density testing, auger borings, surface inspections, mat placement, rip rap weights, concrete testing and drainage inspections.

g.

(1) TITLE AND LOCATION (City and State)
East Lake Village
Port St. Lucie, Florida

(2) Year Completed
PROFESSIONAL SERVICES: 2012
CONSTRUCTION (if applicable): 2012

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm
Construction of single and multi-family homes. Duties included demucking inspection, and concrete testing. The cost for this project was over $1,000,000.00.

h.

(1) TITLE AND LOCATION (City and State)
City of Boynton Beach Water Main
Boynton Beach, Florida

(2) Year Completed
PROFESSIONAL SERVICES: 2011
CONSTRUCTION (if applicable): 2011

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm
All testing for water main installations and material testing in Boynton Beach. The cost for the work was over $1,000,000. James served as Senior Technician responsible for testing all materials on the project as well as the water main.

i.

(1) TITLE AND LOCATION (City and State)
SR A1A Drainage Improvements South County Line to Coquina Lane, Florida
Fort Pierce, Florida

(2) Year Completed
PROFESSIONAL SERVICES: 2010
CONSTRUCTION (if applicable): 2010

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm
All testing for drainage. The cost for the work was over 1,000,000. James served as Senior Technician responsible for testing all materials on this project.

j.

(1) TITLE AND LOCATION (City and State)
Manatee Elementary School
Port St. Lucie, Florida

(2) Year Completed
PROFESSIONAL SERVICES: 2010
CONSTRUCTION (if applicable): 2010

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm
Construction of additional buildings at Manatee Elementary School; including demucking and replacing material, concrete, roadway. The cost for this project was over $1,000,000.00

k.

(1) TITLE AND LOCATION (City and State)
USACE Istokpoga Canal Features Replacement
Highlands County, Florida

(2) YEAR COMPLETED
PROFESSIONAL SERVICES: 2010
CONSTRUCTION (if applicable): 2010

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm
This project included replacing an existing structure with a new water control structure, canal dredging, spoil mound removal, construction of a new levee, boat ramp, parking facility, and access road. James was involved in the auger borings, density testing, soil sampling, concrete testing, and asphalt monitoring and coring.

k.

(1) TITLE AND LOCATION (City and State)
Taylor Creek Storm Water Treatment Area
Okeechobee County, Florida

(2) YEAR COMPLETED
PROFESSIONAL SERVICES: 2009
CONSTRUCTION (if applicable): 2009

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm
This project consists of 170 acres water treatment area that reduces phosphorus and provides a recreational area for the local community. James was involved in the auger borings, density testing, soil sampling, concrete testing, and asphalt monitoring and coring.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		e. TOTAL	f. WITH CURRENT FIRM
Felix Roman	**Senior Roadway Inspector**	11	1

25. FIRM NAME AND LOCATION (City and State)

Milestone Construction Group, Inc. Port St. Lucie, FL

26. EDUCATION (DEGREE AND SPECIALIZATION)	27. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE)
HS Diploma	

28. OTHER PROFESSIONAL QUALIFICATION (Publication, Organization, Training, Awards, etc.)

Maintenance of Traffic – Advanced
Hazmat Training for Nuclear Gauges
ACI – Concrete Field Testing Technician
CTQP – Asphalt Level I & II
CTQP – Concrete Tech – I & II
IMSA Certified Traffic Signal Inspection – Level 1

29. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION (City and State)
Savona Blvd. Sidewalk and Pedestrian Bridge
Port St. Lucie, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2015	2015

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

☐ Check if project performed with current firm

Senior Roadway inspector – Inspected grades, sidewalk, drainage

b.

(1) TITLE AND LOCATION (City and State)
UMK Oceanside Phase D (US-1) Utility Improvements
St. Lucie County, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2015	2015

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

☐ Check if project performed with current firm

Senior Inspector – Construction of utility force main, earthwork restoration and sidewalk for FDOT in Fort Pierce.

c.

(1) TITLE AND LOCATION (City and State)
Indian River Estates
St. Lucie County, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2015	2015

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

☐ Check if project performed with current firm

Senior Inspector – Drainage, roadway repairs and utility adjustments.

d.

(1) TITLE AND LOCATION (City and State)
St. Lucie West Blvd. Roadway Mill & Resurface
Port St. Lucie, Florida

(2) Year Completed	
PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2013	2013

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

☐ Check if project performed with current firm

Senior Roadway Inspector – Inspected milling and resurfacing. Also responsible for checking MOT.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		g. TOTAL	h. WITH CURRENT FIRM
Jim Frye	**Project Manager/Senior Roadway Inspector**	47	2

30. FIRM NAME AND LOCATION (City and State)

Milestone Construction Group, Inc. Port St. Lucie, FL

31. EDUCATION (DEGREE AND SPECIALIZATION)	32. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE)
School of Engineering Michigan State University	

33. OTHER PROFESSIONAL QUALIFICATION (Publication, Organization, Training, Awards, etc.

CTQP QC Manager	Asphalt Paving Level I & II
FDOT Advanced MOT	Work Zone Traffic Control Supervisor - ATSSA
Final Estimates Level I & II	FDOT Asphalt Roadway Technician - C.A.R.T

34. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
I-75 (Alley) at Alley Recreational Access MM 54.0 FDOT District 1	2013	2013

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

Construction of a recreational access facility located on SR 93/I-75 at the L-28 canal including the construction of parking lot, boat ramp, on and off ramps to I-75, and storm water management facilities. Additionally, utility work included relocation of 4,900 LF of underground fiber optic line, 400 LF of overhead fiber optic line, and utility poles. The total project cost is $4,500,000. Jim served as the Project Administrator and was responsible for final estimates, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with project issues resolution. ADA compliance on all sidewalks and ramps.

b.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
US 27 in Palm Beach County at Mile Post 59 to 63 Palm Beach, FDOT District 4	2013	2013

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

Construction of a milling and resurfacing project in Palm Beach County and included construction of ARMI layer, asphalt reconstruction, signage, and striping. The total project cost is $3,000,000. Jim served as the Project Administrator and was responsible for final estimates, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with project issues resolution. ADA compliance on all sidewalk and ramps.

b.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Resurface SR-5 from C16 to Hypoloxo and SR-5 from SR-794 to C15 Palm Beach, FDOT District 4	2011	2011

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

Construction of a milling and resurfacing project in Palm Beach County. Project included milling and resurfacing, installation of drainage system, pipe lining, signalization, and landscaping improvements for two major arterials. The total project cost is $2,000,000. Jim served as the Project Administrator and was responsible for final estimates, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with project issues resolution. ADA compliance on all sidewalks and ramps.

c.

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
I-75 - "Alligator Alley" Indian River, FDOT District 4	2010	2010

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

Construction of a roadway project in FDOT District 4. Project included milling and resurfacing, installation of drainage system, TMS system, pavement markings and landscaping improvements. The total project cost is $16,000,000. Jim served as the Project Administrator and was responsible for final estimates, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with

project issues resolution.

d.

(1) TITLE AND LOCATION (City and State)
SR-60 - 21st Ave. to Mockingbird Road
Indian River, FDOT District 4

(2) Year Completed
PROFESSIONAL SERVICES: 2008
CONSTRUCTION (if applicable): 2008

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

Construction of a roadway project in FDOT District 4. Project included milling and resurfacing, installation of drainage system, signalization, pavement markings, irrigation and landscaping improvements. Additionally, project included approximately 5,000 LF of conduit installed on Merrill-Barber Bridge underdeck for advanced warning traffic signal. The total project cost is $2,900,000. Jim served as the Project Administrator and was responsible for final estimates, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with project issues resolution.

e.

(1) TITLE AND LOCATION (City and State)
SR-5 (US-1) from River Shores Boulevard to Jensen Beach Boulevard
Martin County, FDOT District 4

(2) Year Completed
PROFESSIONAL SERVICES: 2007
CONSTRUCTION (if applicable): 2007

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

Construction of a roadway project in FDOT District 4. Project included milling and resurfacing, turn lane widening, signage, pavement marking, signalization, lighting, conduit, and conductors. The total project cost is $3,800,000. Jim served as the Contract Support Specialist and was responsible for final estimates, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with project issues resolution.

h.

(1) TITLE AND LOCATION (City and State)
Miami Gardens Drive from US 441 to University Avenue
FDOT District 1

(2) YEAR COMPLETED
PROFESSIONAL SERVICES: 2003-2005
CONSTRUCTION (if applicable): 2003-2005

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

Construction of milling and resurfacing, roadway reconstruction, force main, Turnpike bridge replacement over Miami Gardens Drive, lighting, signalization, drainage, concrete sidewalk and driveways, and landscaping. The total project cost is $14,000,000. Jim served as the Project Administrator and was responsible for final estimates for two contracts, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with project issue resolution.

i.

(1) TITLE AND LOCATION (City and State)
US 441 from Lake Worth Boulevard to Southern Boulevard
FDOT District 4

(2) Year Completed
PROFESSIONAL SERVICES: 1998-2002
CONSTRUCTION (if applicable): 1998-2002

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☒ Check if project performed with current firm

Reconstruction of a 2 lane to a 6 lane road. Project included turn lanes, sidewalk, drainage, utilities, 41 high mast lights, conduit, conductors, cabinets, traffic light interconnection cable. Conduit was placed in same trench while Jim monitored cable placement to ensure that minimum separation requirements were maintained. Jim served as the Project Administrator and was responsible for final estimates, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with project issue resolution.

j.

(1) TITLE AND LOCATION (City and State)
Hood Road Bridge over Florida Turnpike
FDOT District 4

(2) Year Completed
PROFESSIONAL SERVICES: 1992-1993
CONSTRUCTION (if applicable): 1992-1993

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☒ Check if project performed with current firm

Construction of 2 lane bridge over Florida's Turnpike including roadway construction, pile driving, mechanically stabilized earth walls, thermoplastic, drainage, and landscaping. Jim served as the Project Administrator and was responsible for final estimates for two contracts, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with project issue resolution. Total

project cost was approximately $4,000,000.

	(1) TITLE AND LOCATION (City and State)	(2) Year Completed	
	I-595 Package M,L,X FDOT District 1	PROFESSIONAL SERVICES 1987-1991	CONSTRUCTION (if applicable) 1987-1991
k.	(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE Construction of multiple bridges on the I-595 corridor. These projects included construction of 2 segmental bridges, 11 steel bridges, 21 concrete bridges, cellular bridges, roadway construction, pile driving, mechanically stabilized earth walls, thermoplastic, drainage, lighting, conduit, conductors, and landscaping. This project also involved the construction of two buildings with a connecting tunnel. Jim served as the Senior Inspector and was responsible for final and monthly estimate review, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with project issue resolution. Total project cost was approximately $60,000,000.	[X] Check if project performed with current firm	

	(1) TITLE AND LOCATION (City and State)	(2) Year Completed	
	Golden Glades Interchange Widening FDOT District 1	PROFESSIONAL SERVICES 1985-1987	CONSTRUCTION (if applicable) 1985-1987
j.	(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE This project included the widening of 6 bridge decks, installation of temporary Bailey bridge, thermoplastic, drainage, lighting, conduit, conductors, and landscaping. Jim served as the Senior Inspector and was responsible for final and monthly estimate review, project documentation review, managed and assisted inspectors with daily duties and assisted Sr. Project Engineer with project issue resolution. Total project cost was approximately $5,000,000.	[X] Check if project performed with current firm	

EXAMPLE PROJECTS FOR THIS CONTRACT

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project)	20. EXAMPLE PROJECT KEY NUMBER 1

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
Indian River Estates Stormwater Project Ft. Pierce, Florida	PROFESSIONAL SERVICES 2015	CONSTRUCTION (if applicable) 2015

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
St. Lucie County Engineering	Mr. Michael Harvey, E.I.	772-462-1717

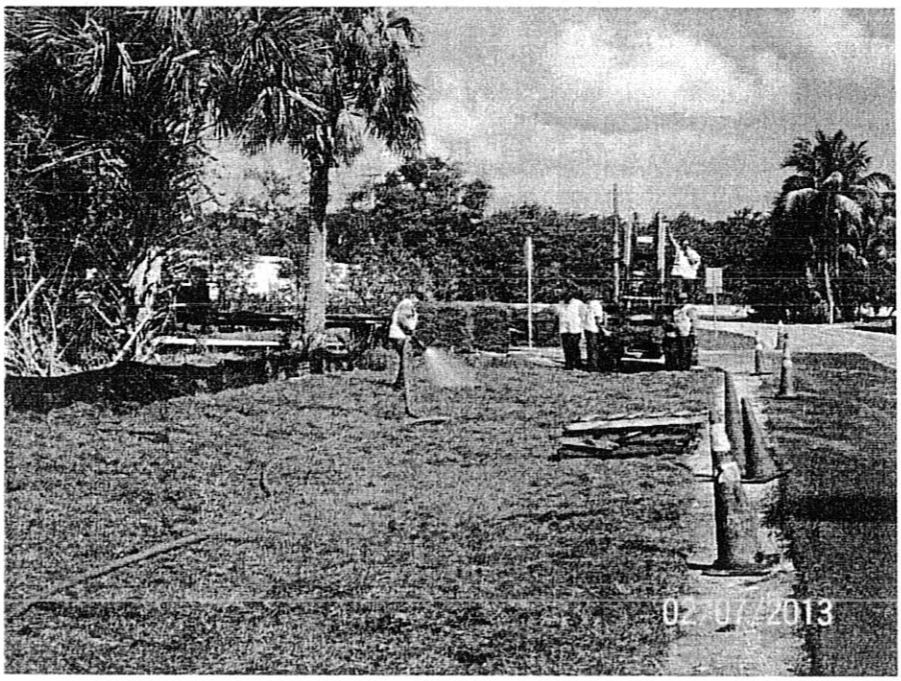
24. BREIF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

This project consists of installation of 9 miles of drainage pipe throughout the Indian River Estates development in South Fort Pierce. The work requires nine miles of drainage pipe, numerous water main deflections and adjustments, fire hydrant replacements, fire hydrant adjustments, and concrete and asphalt driveways. The cost of this project is $6,553,000.

Milestone Construction Group is subcontracted on this project to manage the construction, oversee all coordination with utilities and government agencies, develop the plan for coordinating with residents regarding drainage and utility work, and certify that the construction meets all the requirements of the Contract Documents. The Prime Consultant on this project is providing inspectors and an administrative assistant.

This project requires coordination between residents and the Contractors for driveway crossings and utility deflections. Our approach to the coordination is to provide the resident with advance notice of the upcoming work (at least one (1) week) so they understand how the work will impact them. This time frame also allows them to make arrangements for parking off their property, etc.... As the work approaches, our staff informs/reminds the residents of the work a day or two in advance of the actual work taking place to ensure there are no miscommunications about their access being blocked or water services being temporarily taken off-line.

Our team also utilizes a resident complaint log that tracks any issues that any resident may have. Our inspectors keep these forms with them for log-in purposes as well as the administrative assistant for call-in complaints. Once an issue is identified by the resident, the form is filled out detailing the issue and providing a date when the issue was noted. We then address the issue and provide resolution, notifying the resident and providing documentation of what the resolution was and when the resolution was provided. We provide the owner with the complaint log once it is initiated as well as a copy when resolved to ensure that the complaints and/or concerns are properly addressed and everyone is familiar with the issue. This system has worked well ensured that no issues are "dropped".



25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Milestone Construction Group, Inc.	Port St. Lucie, Florida	Senior Project Manager/Engineer
b.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project)	20. EXAMPLE PROJECT KEY NUMBER: 2

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
Weatherbee Road Sidewalk Safety Improvements Ft. Pierce, Florida	PROFESSIONAL SERVICES: 2013	CONSTRUCTION (if applicable): 2013

23. PROJECT OWNER'S INFORMATION

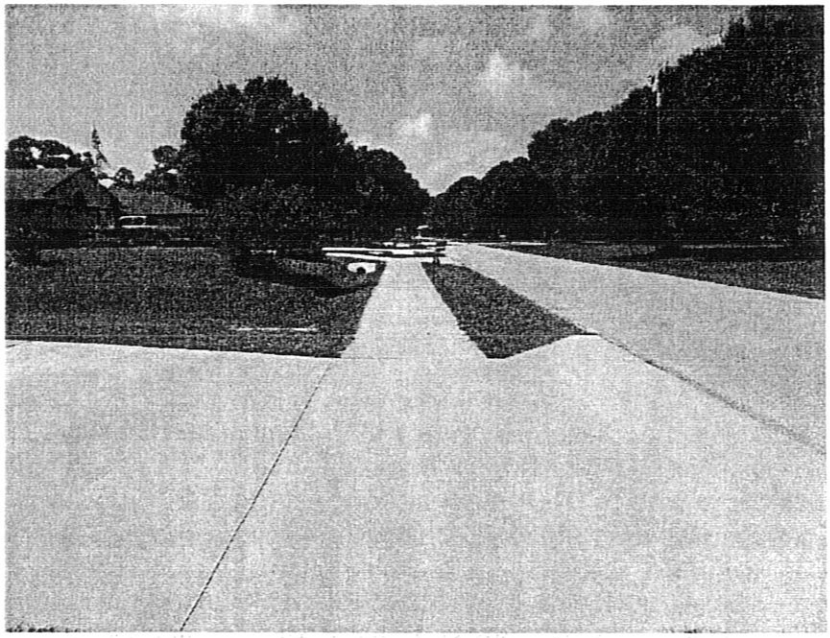
a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
St. Lucie County Engineering	Mr. Patrick Dayan, P.E.	772-462-2153

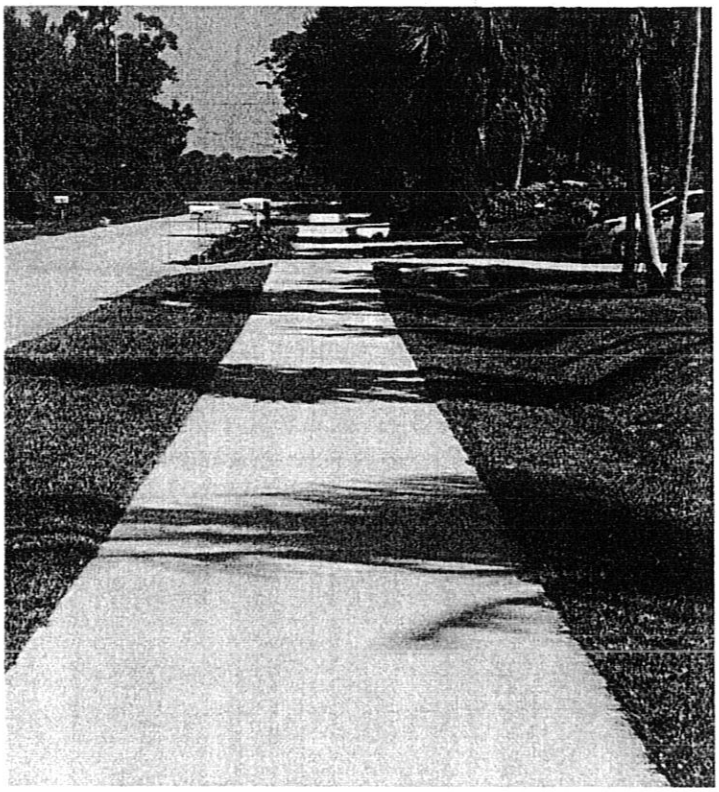
24. BREIF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

This project included the installation of 2.5 miles of drainage and sidewalk on both sides of Weatherbee Road from US-1 to Silver Oak Drive, Midway Road from Silver Oak Drive to Buchannan Drive, and drainage and sidewalk on Buchannan Drive and Silver Oak Drive. The project also included over 57 driveways with mitered end sections, asphalt turnouts, water main adjustments, valve adjustments, fire hydrant relocations and adjustments, concrete gravity wall, modified "D" curb, type F curb and gutter, and graded swales. Additionally, the design and construction are being done in compliance with ADA and FDOT specifications regarding sidewalks, cross-slopes, tie-ins, and ADA ramps. The total cost of this project was $1,515,592. This was a LAP funded project.

This project requires coordination between residents and the Contractors for driveway crossings and utility deflections. Our approach to the coordination is to provide the resident with advance notice of the upcoming work (at least one (1) week) so they understand how the work will impact them. This time frame also allows them to make arrangements for parking off their property, etc.... As the work approaches, our staff informs/reminds the residents of the work a day or two in advance of the actual work taking place to ensure there are no miscommunications about their access being blocked or water services being temporarily taken off-line.

Our team also utilizes a resident complaint log that tracks any issues that any resident may have. Our inspectors keep these forms with them for log-in purposes as well as the administrative assistant for call-in complaints. Once an issue is identified by the resident, the form is filled out detailing the issue and providing a date when the issue was noted. We then address the issue and provide resolution, notifying the resident and providing documentation of what the resolution was and when the resolution was provided. We provide the owner with the complaint log once it is initiated as well as a copy when resolved to ensure that the complaints and/or concerns are properly addressed and everyone is familiar with the issue. This system has worked well ensured that no issues are "dropped".





25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

a.	(1) FIRM NAME Milestone Construction Group, Inc.	(2) FIRM LOCATION (City and State) Port St. Lucie, Florida	(3) ROLE CEI – Project Management and Inspection
b.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT
(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project)

20. EXAMPLE PROJECT KEY NUMBER: 3

21. TITLE AND LOCATION (City and State)
Harmony Heights Drainage Improvements
Ft. Pierce, Florida

22. YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2013	2013

23. PROJECT OWNER'S INFORMATION

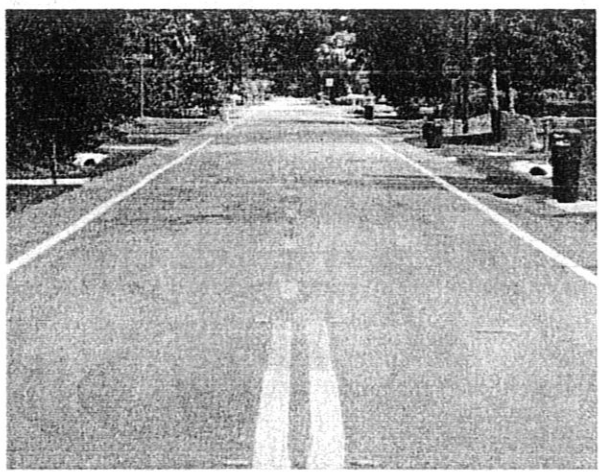
a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
St. Lucie County Engineering	Mr. Michael Harvey, E.I.	772-462-1717

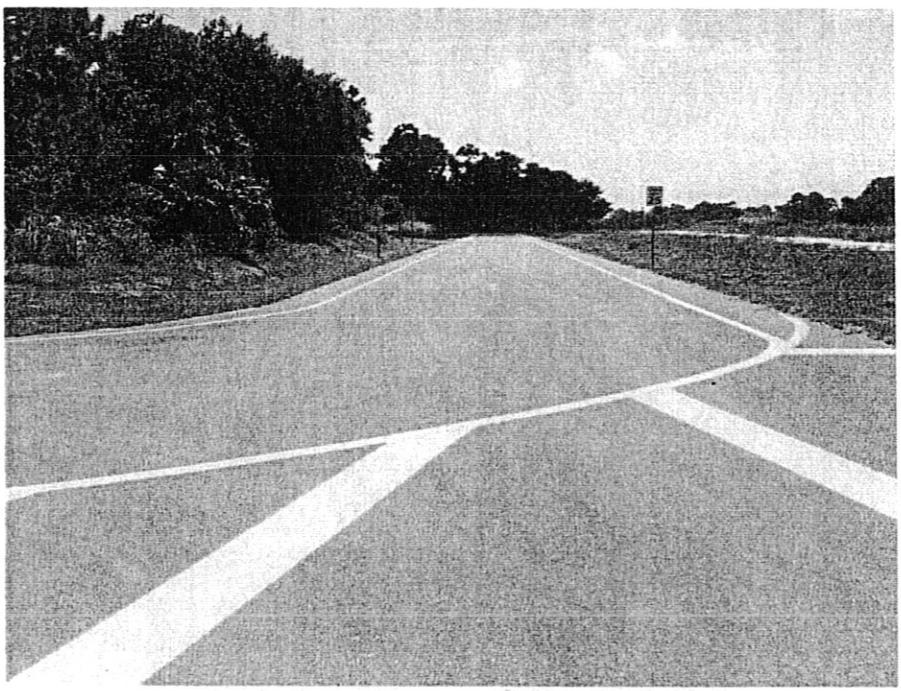
24. BREIF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

This project included the construction of drainage, driveways, and roadway in the Harmony Heights subdivision in North Fort Pierce. The project provided drainage and roadway reconstruction on 41st, 42nd, and 43rd Streets from Grady Drive to Juanita Avenue; including San Diego Avenue. The project included numerous driveways with mitered end sections and graded swales, water main adjustments, valve adjustments, and fire hydrant relocates. The design and construction was done in compliance with ADA and FDOT specifications regarding sidewalks, cross-slope, tie-ins, and ADA ramps. Our personnel maintained constant communication with residents regarding construction schedules to minimize impacts as the work crossed their driveway locations. Lastly, this project included MOT set-ups and reviews in accordance with the FDOT 600 Indexes; which our personnel are trained and certified to inspect. The total cost of this project was $1,069,250.

This project requires coordination between residents and the Contractors for driveway crossings and utility deflections. Our approach to the coordination is to provide the resident with advance notice of the upcoming work (at least one (1) week) so they understand how the work will impact them. This time frame also allows them to make arrangements for parking off their property, etc.... As the work approaches, our staff informs/reminds the residents of the work a day or two in advance of the actual work taking place to ensure there are no miscommunications about their access being blocked or water services being temporarily taken off-line.

Our team also utilizes a resident complaint log that tracks any issues that any resident may have. Our inspectors keep these forms with them for log-in purposes as well as the administrative assistant for call-in complaints. Once an issue is identified by the resident, the form is filled out detailing the issue and providing a date when the issue was noted. We then address the issue and provide resolution, notifying the resident and providing documentation of what the resolution was and when the resolution was provided. We provide the owner with the complaint log once it is initiated as well as a copy when resolved to ensure that the complaints and/or concerns are properly addressed and everyone is familiar with the issue. This system has worked well ensured that no issues are "dropped".





25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Milestone Construction Group, Inc.	Port St. Lucie, Florida	CEI – Project Management and Inspection
b.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project)	20. EXAMPLE PROJECT KEY NUMBER 4

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
St. James Sidewalk Project **Port St. Lucie, Florida**	PROFESSIONAL SERVICES 2011	CONSTRUCTION (if applicable) 2011

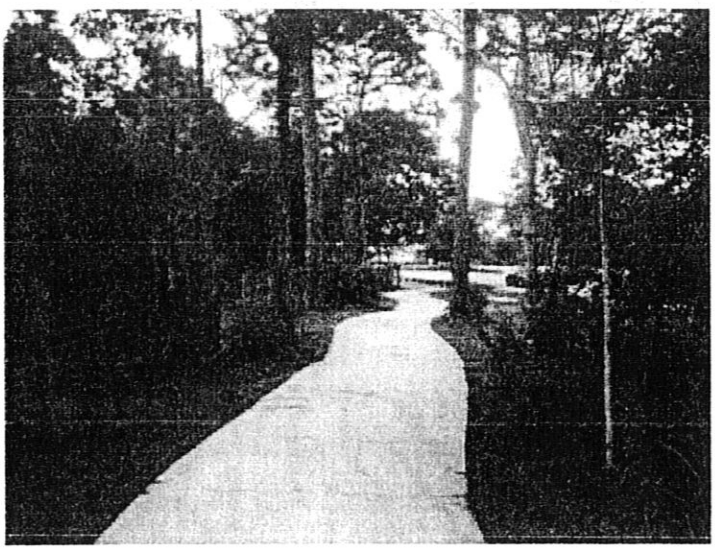
23. PROJECT OWNER'S INFORMATION

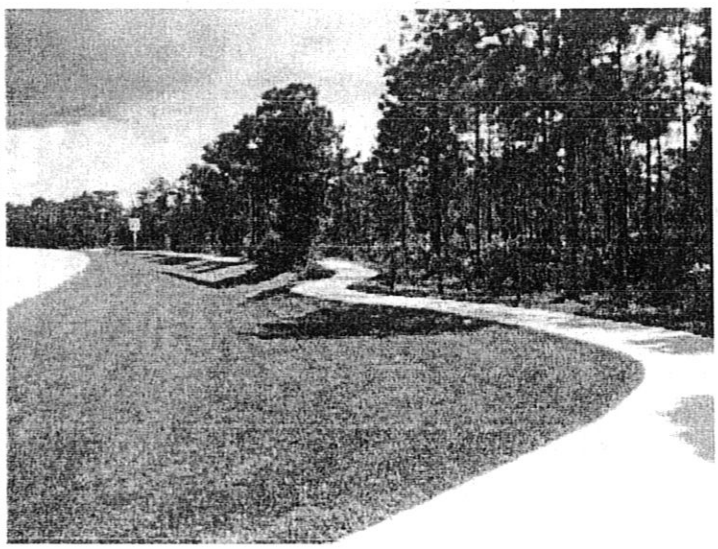
a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
St. Lucie County	Mr. Craig Hauschild, P.E.	772-462-1712

24. BREIF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

This project included the construction of concrete sidewalk along the east side of St. James Drive from the Oxbow Eco Center to Rivers Edge Elementary School. The project included drainage, sidewalk, roadway, guardrail, and handrail. The total project cost was $252,304.03. This was a LAP funded project.

Additionally, this project complied with all ADA and FDOT Standards and Specifications regarding curb and gutter, sidewalk, turnouts, roadway, curb ramps, and the 600 Indexes regarding Maintenance of Traffic. This project also complied with all LAP project requirements including EEO compliance, Mavis-Bacon, and turnover packages.





25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Milestone Construction Group, LLC.	Port St. Lucie, Florida	CEI – Project Management and Inspection
b.			
c.			
d.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project)	20. EXAMPLE PROJECT KEY NUMBER 5

21. TITLE AND LOCATION (City and State) **Becker Road – Segment 4** **Port St. Lucie, Florida**	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES 2010	CONSTRUCTION (if applicable) 2010

23. PROJECT OWNER'S INFORMATION

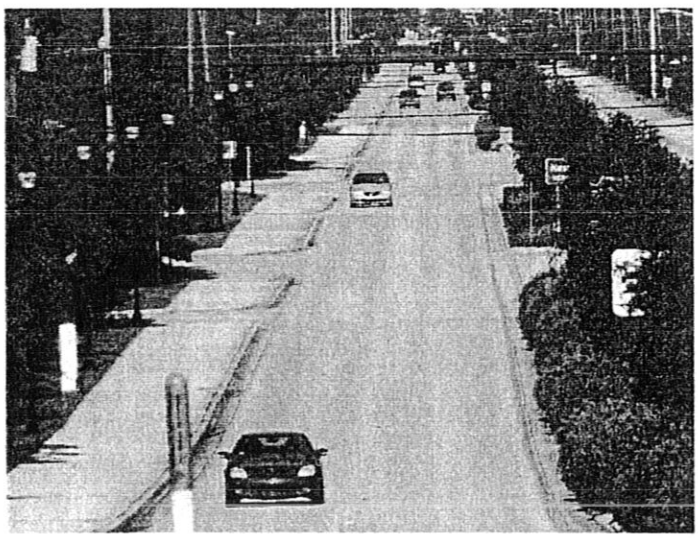
a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Mr. James Angstadt, P.E.	772-871-5177

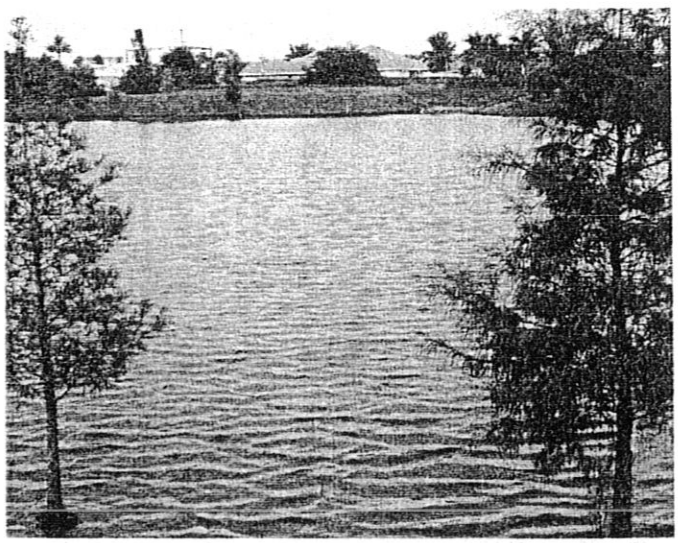
24. BREIF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

This project included the construction of a four lane divided roadway with landscaped median, pedestrian lighting, concrete sidewalk, drainage, drainage ponds, subgrade, base, asphalt, water main and sewer main deflections, and signalization. The total cost of this project was $2,924,000.

The relevance of this project to the proposed project is that the Becker Road – Segment 4 construction included multiple MOT setups, re-routing of traffic through the work zone, drainage, concrete, turnouts, and black base (B-12.5) construction.

Also, this project complied with all ADA and FDOT Standards and Specifications regarding curb and gutter, sidewalk, turnouts, curb ramps, and Maintenance of Traffic.





25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Milestone Construction Group, Inc.	Port St. Lucie, Florida	CEI – Project Management and Inspection
b.			
c.			
d.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project)	20. EXAMPLE PROJECT KEY NUMBER: 6

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
Becker Road/Turnpike Interchange Port St. Lucie, Florida	PROFESSIONAL SERVICES: 2010	CONSTRUCTION (if applicable): 2010

23. PROJECT OWNER'S INFORMATION

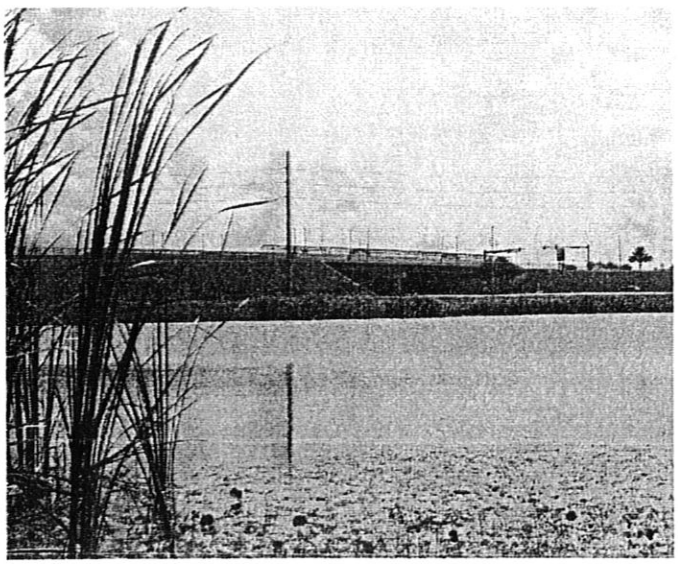
a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
City Of Port St. Lucie	Ms. Patricia Roebling, P.E.	772-871-5177

24. BREIF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

Milestone Construction Group was hired as a sub consultant to manage the construction of the project and certify all construction activities. This project included the construction of a new four lane divided bridge over Florida's Turnpike, an electronic interchange, widening of an existing low level bridge, landscaped median, pedestrian lighting, concrete sidewalk, drainage, drainage ponds, subgrade, base asphalt, water main, lighting and signals. The total cost of this project was $22,000,000.

The relevance of this project to the proposed project is that traffic had to be routed around the construction with multiple MOT plans, multiple phases of construction, and multiple underground activities requiring coordination between multiple contractors and governmental agencies, and adjusting of signals during the construction process. Another similarity of the projects is that there was constant communication on the Becker Road/Turnpike Interchange project.

This project complied with all ADA and FDOT Standards and Specification regarding curb and gutter, sidewalk, turnouts, curb ramps, and FDOT Index 600 for Maintenance of Traffic.



25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Milestone Construction Group, LLC.	Port St. Lucie, Florida	CEI – Project Management
b.			
c.			
d.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project)	20. EXAMPLE PROJECT KEY NUMBER 7

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
Fairgreen Road Extension **Port St. Lucie, Florida**	PROFESSIONAL SERVICES 2013	CONSTRUCTION (if applicable) 2013

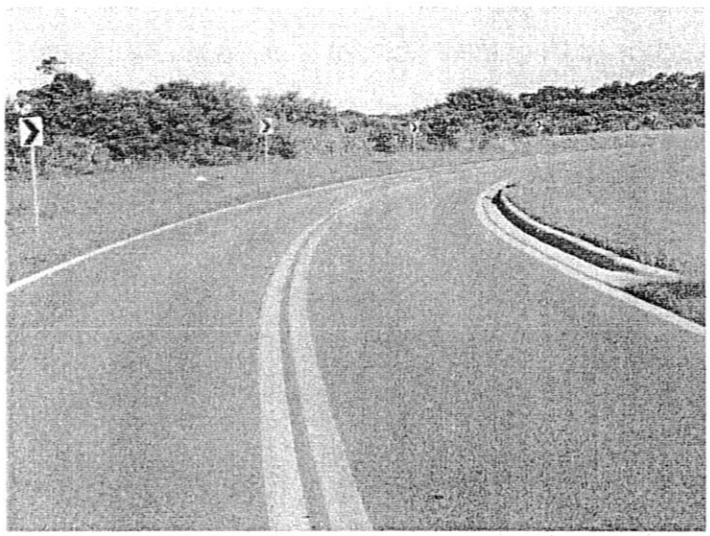
23. PROJECT OWNER'S INFORMATION

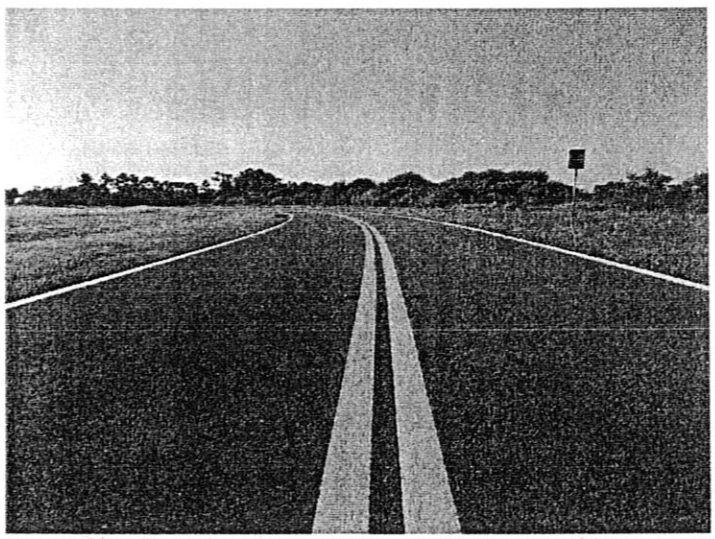
a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Mr. Clyde Cuffy, P.E.	772-871-5177

24. BREIF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

Milestone Construction Group was the Prime Consultant to provide CEI Service for the construction of the project and certify all construction activities. This project included the construction of a new 1,100 foot two land road that connected Crosstown Parkway to Salvatierra Blvd. The total cost of this project was $255,600.

The relevance of this project to the proposed project is that all FDOT Standards and Specifications regarding curb and gutter, turnouts, curb ramps and FDOT Index 600 for Maintenance of Traffic.





25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Milestone Construction Group, Inc.	Port St. Lucie, Florida	CEI – Project Management and Inspection
b.			
c.			
d.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project)	20. EXAMPLE PROJECT KEY NUMBER 8

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
Crosstown Parkway – Segment 2 **Port St. Lucie, Florida**	2008	2008

23. PROJECT OWNER'S INFORMATION

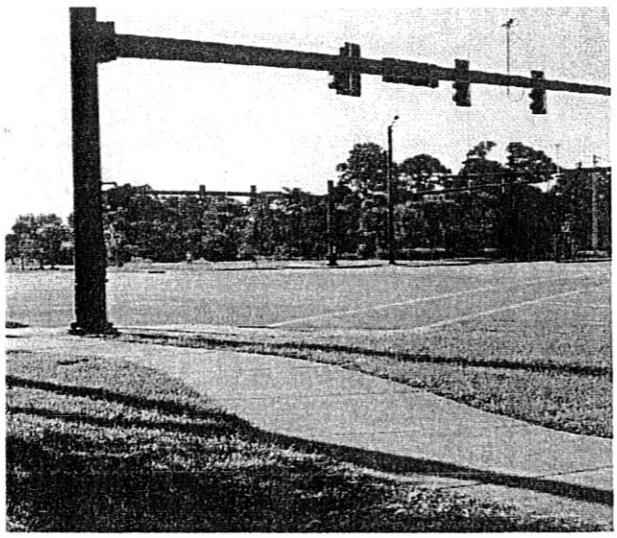
a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Mr. Jim Angstadt, P.E.	772-871-5177

24. BREIF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

Milestone Construction Group was hired as a sub consultant to manage all the construction of the project and certify all construction activities. This project included the construction of two (2) miles of six lane divided roadway with landscaped berms, ponds, utilities, landscaping, lighting, irrigation, and multiple prime contractors. The total cost of this project was $16,000,000.

The relevance of this project to the proposed project is that all FDOT Standards and Specification were used with the construction of the entire project. Milestone coordinated with multiple contractors and utilities to keep the project moving and completed on time.

This project complied with all ADA and FDOT Standards and Specification regarding curb and gutter, sidewalk, turnouts, curb ramps, and FDOT Index 600 for Maintenance of Traffic.



25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Milestone Construction Group, LLC.	Port St. Lucie, Florida	CEI – Project Management
b.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
d.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT
(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project)

20. EXAMPLE PROJECT KEY NUMBER: 9

21. TITLE AND LOCATION (City and State)
Crosstown Parkway – Segment 4
Port St. Lucie, Florida

22. YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION (if applicable)
2009	2009

23. PROJECT OWNER'S INFORMATION

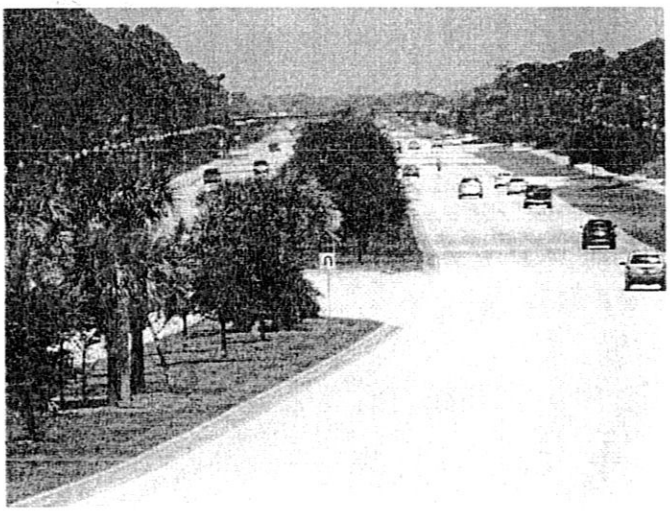
a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Mr. Jim Angstadt, P.E.	772-871-5177

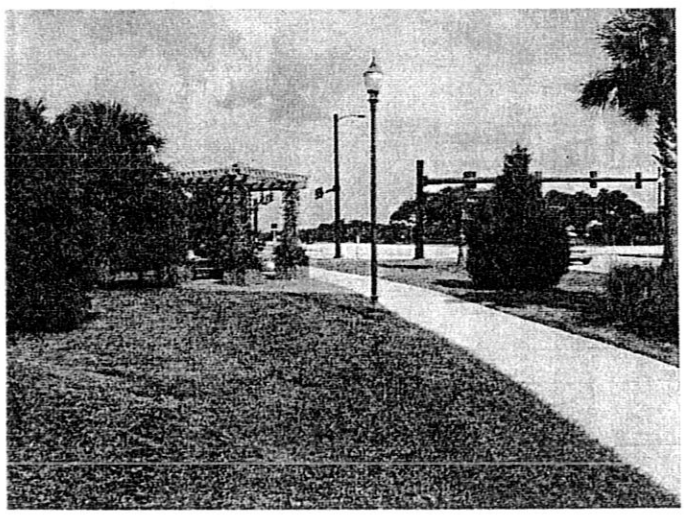
24. BREIF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

Milestone Construction Group was hired as a sub consultant to manage all the construction of the project and certify all construction activities. This project included the construction of two (2) miles of six lane divided roadway with landscaped berms, ponds, utilities, landscaping, lighting, irrigation, and multiple prime contractors. The total cost of this project was $14,000,000.

The relevance of this project to the proposed project is that all FDOT Standards and Specification were used with the construction of the entire project. Milestone coordinated with multiple contractors and utilities to keep the project moving and completed on time.

This project complied with all ADA and FDOT Standards and Specification regarding curb and gutter, sidewalk, turnouts, curb ramps, and FDOT Index 600 for Maintenance of Traffic.





25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Milestone Construction Group, LLC.	Port St. Lucie, Florida	CEI – Project Management
b.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
d.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT (Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project)	20. EXAMPLE PROJECT KEY NUMBER 10

21. TITLE AND LOCATION (City and State)	22. YEAR COMPLETED	
Melaleuca Boulevard Sidewalk Phase 1 & 2 **Port St. Lucie, Florida**	PROFESSIONAL SERVICES 2014	CONSTRUCTION (if applicable) 2014

23. PROJECT OWNER'S INFORMATION

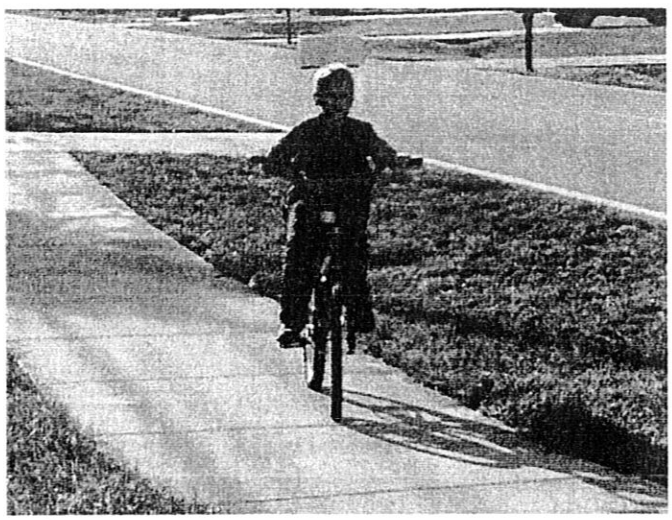
a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St. Lucie	Ms. Roxanne Chesser, P.E.	772-871-5177

24. BREIF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (Include scope, size, and cost)

Milestone Construction Group was the Prime Consultant hired by the City as the CEI. This project included the construction of approximately 1.3 miles of concrete sidewalk, concrete driveways, drainage pipe, swale liner, utility adjustments, signage, and thermoplastic striping. The total cost of this project was $371,940.42.

The relevance of this project to the proposed project is that all FDOT Standards, FDOT Specifications, and ADA Requirements were used with the construction of the entire project. This project was a LAP funded project. Milestone prepared all required documentation for the City to be reimbursed from the FDOT. Milestone conducted EEO inspections, interviews, payroll verifications, and Davis-Bacon verification.

This project complied with all ADA and FDOT Standards and Specification regarding sidewalk, turnouts, curb ramps, and FDOT Index 600 for Maintenance of Traffic.



25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
a.	Milestone Construction Group, LLC.	Port St. Lucie, Florida	CEI – Project Management
b.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE
d.	(1) FIRM NAME	(2) FIRM LOCATION (City and State)	(3) ROLE

G. KEY PERSONNEL PARTICIPATION IN EXAMPLE PROJECTS

26. NAMES OF KEY PERSONNEL (From Section E, Block 12)	27. ROLE IN THIS CONTRACT (From Section E, Block 13)	28. EXAMPLE PROJECTS LISTED IN SECTION F (Fill in "Example Projects Key" section below before completing table. Place "X" under project key number for participation in same or similar role.)									
		1	2	3	4	5	6	7	8	9	10
Vernon Hackett	Senior Project Manager/Engineer	X	X	X	X	X	X	X	X	X	X
Jim Frye	Senior Project Manager										
James Ashburn	Senior Roadway Inspector	X	X	X				X			X
Felix Roman	Senior Roadway Inspector	X									

29. EXAMPLE PROJECTS KEY

NO.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)	NO.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)
1	Indian River Estates	6	Becker Road / Turnpike Interchange
2	Weatherbee Road Sidewalk Improvements	7	Fairgreen Road Extension
3	Harmony Heights Drainage Improvements	8	Crosstown Parkway – Segment 2
4	St. James Sidewalk Project	9	Crosstown Parkway – Segment 4
5	Becker Road – Segment 4	10	Melaleuca Boulevard Sidewalk Phase 1 & 2

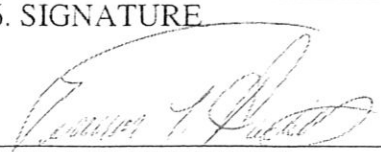
H. ADDITIONAL INFORMATION

35. PROVIDE ANY ADDITIONAL INFORMATION REQUESTED BY THE AGENCY. ATTACH ADDITIONAL SHEETS AS NEEDED.

Our team is competent and ready to work with the City of Port St. Lucie!

I. AUTHORIZED REPRESENTATIVE

The foregoing is a statement of facts.

36. SIGNATURE	37. DATE
	11/17/2015

38. NAME AND TITLE

Vernon Tucker Hackett President

ARCHITECT-ENGINEER QUALIFICATION

1. SOLICITATION NUMBER (if any): 20150004

PART II – GENERAL QUALIFICATIONS

(If a firm has branch offices, complete for each specific branch office seeking work.)

2a. FIRM (OR BRANCH OFFICE) NAME: Milestone Construction Group, Inc.		3. YEAR ESTABLISHED: 2005	4. DUNS NUMBER: 031961028
2b. STREET: 264 NW Peacock Boulevard, Suite 102		5. OWNERSHIP a. TYPE: S-Corp	
2c. CITY: Port St. Lucie	2d. STATE: FL / 2e. ZIP CODE: 34986	b. SMALL BUSINESS STATUS	
6a. POINT OF CONTACT NAME AND TITLE: Vernon Hackett, President		c. NAME OF FIRM (If block 2a is a branch office)	
6b. TELEPHONE NUMBER: 772-359-8673	6C. EMAIL ADDRESS: vernon@mcg-eng.com		
8a. FORMER FIRM NAME(S) (If any)		8b. YR. ESTABLISHED	8c. DUNS NUMBER

9. EMPLOYEES BY DISCIPLINE

a. Function Code	b. Discipline	c. No. of Employees (1) FIRM	c. No. of Employees (2) BRANCH
12	Civil Engineer	1	
15	Construction Inspector	2	
16	Construction Manager	1	
	Other Employees		
	Total	4	

10. PROFILE OF FIRM'S EXPERIENCE AND ANNUAL AVERAGE REVENUE FOR LAST 5 YEARS

a. Profile Code	b. Experience	c. Revenue Index Number (see below)
C15	Construction Management	4

11. ANNUAL AVERAGE PROFESSIONAL SERVICES REVENUES OF FIRM FOR LAST 3 YEARS

(Insert revenue index number shown at right)

a. Federal Work	1
b. Non-Federal Work	4
c. Total Work	4

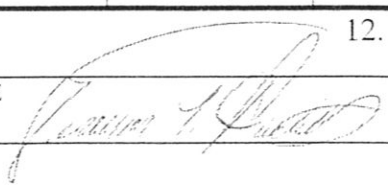
PROFESSIONAL SERVICES REVENUE INDEX NUMBER

1. Less than $100,000
2. $100,00 to less than $250,000
3. $250,000 to less than $500,000
4. $500,000 to less than $1 million
5. $1 million to less than $2 million
6. $2 million to less than $5 million
7. $5 million to less than $10 million
8. $10 million to less than $25 million
9. $25 million to less than $50 million
10. $50 million or greater

12. AUTHORIZED REPRESENTATIVE

The foregoing is a statement of facts.

a. SIGNATURE



b. DATE: 11/17/2015

"A City for All Ages"

ELECTRONIC REQUEST FOR PROPOSAL
RFP #20150126

Continuing Professional Construction Engineering Inspection (CEI) Services

Due: Tuesday November 17, 2015
2:00 P.M.

QUESTIONNAIRE

CAPTEC Engineering, Inc.
Civil Engineering Professionals

301 NW Flagler Avenue, Stuart, FL 34994
772.692.4344 ' Fax:
772.692.4341 'captecinfo@gocaptec.com

CAPTEC Engineering, Inc.
Civil Engineering Professionals

November 17, 2015

City of Port St. Lucie
Office of Management & Budget
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984-5099

RE: RFP #20150126 Continuing Professional Construction Engineering and Inspection (CEI) Services

Dear Selection Committee:

CAPTEC Engineering, Inc. is pleased to submit our qualifications for the Continuing Professional Construction Engineering and Inspection Services. The CAPTEC team has been involved with Construction Engineering and Inspection Management in Port St. Lucie for the past 19 years. CAPTEC offers Full Service from the Design, Permitting, Bid Assistance, Contract set-up, CEI services and Expert Witness services.

EXPERIENCE

CAPTEC is a full service firm with both design and CEI experience in inspecting transportation and utility projects such as sidewalks, bridges, signal, drainage, roadways and water/sewer line extensions. We have completed many public projects for municipalities on the Treasure Coast. Our staff has well over 30 years of experience in South Florida and are well versed in the latest City, Regulatory and FDOT criteria for Construction. CAPTEC has completed many water and sewer line extensions throughout the City and County roadways. These projects have entailed open cut construction with restoration and directional drilling. Our expert knowledge of the City and FDOT specifications and contractual requirements, have saved the City of Port St. Lucie many additional project costs.

CERTIFIED

CAPTEC staff is registered and certified in all the necessary CEI categories for asphalt/ concrete/ MOT/ estimating/ earthwork / drill shaft and traffic signal inspection. The CAPTEC staff are FDOT prequalified in Roadway and Minor Bridge/Miscellaneous Structures. CAPTEC staff have completed many FDOT LAP projects for many of the municipalities on the Treasure Coast. Our staff is currently available and will be available for the extent of this 3-year Contract. CAPTEC has always completed its contracted work, for the City of Port St. Lucie.

CURRENT STAFF

The CAPTEC staff includes over 16 Professional personnel, many with construction engineering and inspection background. Mr. Gary Jones, Direction of Construction, is experienced with FDOT and City of Port St. Lucie Utility standards. Currently Mr. Jones has 4 CEI inspectors available to complete this project. Mr. Jeffrey Bouley and Mr. Robert Hewett are certified and available to work on City projects. Mr. T.J. Shaw and Dylan O'Berry, E.I., are also available to complete technical activities and inspection services.

COMMUNITY

The CAPTEC staff is located within minutes of the proposed CITY projects and many are Port St. Lucie residents. Our staff is knowledgeable in what the City Public Works and Utility Department staff requires of CAPTEC staff on projects. The staff at CAPTEC takes pride in their City and work to make sure the projects are completed in conformance with the Contracts and also provide, long lasting value to the Community.

CAPTEC appreciates the opportunity to explain our qualifications and hope we can complete the shortlist process to show our experience.

Sincerely,

Joseph W. Capra, PE
President

301 NW Flagler Avenue • Stuart, FL 34994 • 772-692-4344 • Fax 772-692-4341 • E-mail: captecinfo@gocaptec.com

QUESTIONNAIRE

E-RFP #20150126
Continuing Professional CEI Services.

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Engineer, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this **17th** day of **November, 2015**

CAPTEC Engineering, Inc. / Joseph W. Capra, PE, President
Name of Organization / Proposer

1. Where is your assigned staff's office located for this contract, including address, telephone, fax number, e-mail address and contact person?

CAPTEC Engineering, Inc.
301 NW Flagler Avenue, Stuart, Florida 34994
Telephone: 772.692.4344
Fax: 772.692.4341
Email: gjones@gocaptec.com
Contact Person: Gary L.S. Jones, PE

2. Will your firm accept payment by Credit Card (yes or no)? **Yes**

3. List the qualifications and experience of the team assigned to this contract, and their applicable experience specifically Road & Bridge CEI projects, on the attached spreadsheet **(Attachment A).** Please include other titles that you feel may be necessary. If a title does not apply to your team please skip. **See Attachment A**

4. List similar Road & Bridge CEI projects related to **Water and Waste Water Pipeline** awarded by other government agencies, to your firm in the past five (5) years. (Do not include the City of Port St. Lucie projects)

Project	Date of Award	Responsibility	Is Work Completed	Firm's Role in the Project	Amount of Contract
City of Stuart Woodlawn Park water/sewer/roadway CRA improvements	2008	This project utilized CDBG funding & entailed improvements including neighborhood sidewalk, ADA compliant crosswalks, on-street parking, handicap parking on-street, curbing and/or paving improvements, stormwater	Yes - 2012	Engineering design, permitting and CEI services	$80,000.00

		management and extensions of water and sanitary sewer lines. Conducted/prepared presentations for neighborhood public meetings. Providing design through CEI services for the City. Construction is currently underway & CEI services are being provided.			
City of Stuart El Dorado Heights CDBG water/sewer/ roadway Improvements	2012	Provided field inspections, assist City with CDBG contract reporting activities, daily observations of the Contractor's Maintenance of Traffic set up and provide comment on any needed modifications or adjustments, verify that adequate testing (testing by others) is routinely scheduled by others to ensure compliance with the contract specifications, shop drawing review/approval, conducted weekly/ bi-monthly progress meetings, review and provided recommendation to Client on Contractor's monthly pay application, review and provided recommendation to Client on Change Orders & Time Extensions, conducted final inspection, reviewed and approved shop drawings, and provided final certification.	Yes - 2012	Engineering design, permitting and CEI services	$75,000.00
City of Stuart IQ Main	2011	Provided design, permitting and CEI services for new IQ mains from the Wastewater Treatment plant on Stypmann Blvd, down Georgia Ave to Martin Luther King Jr. Blvd., down along the western edge of the Public Safety Complex, to just south of the 10th Street Recreation Area Ball Fields batting cages. Construction was completed in early 2010. Phase II construction extended the lines from 10th Street to Dixie Highway to the Airport, across US1 to the Jail site and then down to Pomeroy/ Willoughby Blvd. intersection. Construction included over 32,000 L.F. of 12-30" pipe and over 6,700 L.F. of directional	Yes - 2012	Engineering design, permitting and CEI services	$150,000.00

		drilling and directional bores, when completed, under busy downtown streets, the FEC Railroad and several major thoroughfares such as U.S.1. Certification of the project IQ mains was completed in 2012.			
Martin County Airport Roadway & Utility Design	2009	Provided design, permitting and construction (CEI) oversight of infrastructure improvements including replacing an aging wastewater system, extending existing water service to improve drinking water pressure in the Martin Count Airport. The Contractor replaced all the sanitary sewer system (pipe/lift station) throughout the northern area of the airport, while the facility was in operation. This project was financed through a FHA/FDOT Grant.	Yes - 2010	Engineering design, permitting and CEI services	$164,000.00
Water Main Extension for Jupiter Island	2009	Provide construction plans and CEI services for the +7,298 linear feet of 10", 8,363 linear feet of 8" and 18,973 linear feet of 6" HDPE Water Main to be horizontally directionally drilled along all streets on Jupiter Island, from SE Pitou Trail south to +290 S. Beach Road. The water main ties into existing transmission lines and replaced an aged water distribution system. +261 water services were relocated to the new water main.	Yes - 2010	Engineering design, permitting and CEI services	$200,000.00
St. Lucie County Indian River Veteran's Memorial Park	2013	CAPTEC was contracted to provide design, permitting and construction services to upgrade the Indian River Veterans Memorial Park in Fort Pierce to better accommodate handicapped guests and address flooding issues. This project included the replacement of a sanitary sewer line to service the County Center while the facility was in operation. This project entailed remodeling the Community Center to include ADA restrooms accessibility.	Yes - 2015	Engineering design, permitting and CEI Services	$66,900.00
				Total Amount	$735,900.00

5. List similar Road & Bridge CEI projects, awarded by other government agencies, to your firm in past five (5) years. (Do not include the City of Port St. Lucie projects)

Project	Date of Award	Responsibility	Is Work Completed	Firm's Role in the Project	Amount of Contract
Colorado Avenue	2012	Construction Engineering Inspection (CEI) services were performed for the creation of final walkable County design plans for the Colorado Avenue corridor (including portions of Martin Luther King, Jr. Blvd and Kindred St.). The existing roadway corridor was designed with a view to facilitate on-street parking, ADA Sidewalks, landscaped curb extensions, bike lanes, 2-10' wide travel lanes and a large landscaped median and a round-a-bout at Martin Luther King Jr. Blvd. Construction observation services including meeting attendance, shop drawing review, pay requests, MOT set up, and final inspection verifying punch list items have been dealt with. This project was funded with CDBG funds.	Yes - 2013	CEI services	$90,000.00
City of Stuart East Ocean Boulevard Sidewalk/ Crosswalk/ Roadway Improvements	2011	This project entailed enhancing the existing crosswalks in downtown Stuart, primarily on Flagler Avenue, East Ocean Boulevard, and Colorado Avenue. Enhancements included installing upgraded existing pedestrian crossing signs to fluorescent green colored signs, added crosswalk signs at locations that were previously omitted, added "crosswalk ahead" signs with flashing beacons at entrances to the downtown area, and added several brick paver crosswalks on East Ocean Boulevard at strategic	Yes - 2012	Engineering design, permitting and CEI services	$85,000.00

		locations including a raised crosswalk at the Memorial Park entrance. This project also involved replacement of the clay stormwater pipes and existing curbs, as well as lowering pavement grades to reduce flooding potential. This project was FDOT funded through LAP program.			
Stuart Beach	2010	Provided civil engineering design, landscape design, irrigation design, geotechnical services, electrical/lighting design, permitting and CEI services for a 4.60 acre parcel located on the Atlantic Ocean in Martin County. The project consists of designing a circular access drive off of Stuart Beach main access road with stabilized parking, improvements for a playground and pavilions, water services for the pavilions, drainage improvements, irrigation, electrical / lighting and landscaping improvements.	Yes - 2011	Engineering design, permitting and CEI services	$75,000.00
Twin Rivers Park	2009	Provided design through CEI services for a circular access drive into the park with stabilized parking, ADA compliant parking spaces, improvements to SE Seamark Place (traffic calming chicanes, resurfacing and on-street stabilized parking), lift station, sanitary sewer, water lines, fire hydrants, drainage improvements, landscaping and ADA paved walkways to the existing fishing piers and restroom facilities. This project was partially funded with FDEP FL Recreation Development Assistance Program (FRDAP) grant funds.	Yes - 2010	Engineering design, permitting and CEI services	$125,000.00
City of Fort Pierce Indian River Veteran's	2013	CAPTEC was contracted to provide design, permitting and construction services to	No - On-going	Engineering design, permitting and CEI services	$197,260.00

Memorial Park		upgrade the Indian River Veterans Memorial Park in Fort Pierce to better accommodate handicapped guests and address flooding issues. Project included designing Park improvements so as to deter flooding and improve ADA accessibility and usability by incorporating lighting, irrigation, drainage, paver walkways, concrete pavement, asphalt, concrete curbs and walls and grand entrances. Provided a stormwater treatment and nutrient load reduction plan for water quality benefits to the Indian River Lagoon including lakes, bioswales, fountains and flocc logs. This project entails remodeling the Community Center to include ADA restrooms accessibility. Construction engineering inspection services are provided to monitor work being performed, approve payment applications and will prepare certification upon project			
Town of Sewall's Point Various water/sewer/ roadway improvement projects	1987	CAPTEC Engineering has served as Town Engineer for the Town of Sewall's Point for the past 28 years, providing the Town with all infrastructure design, permitting and construction inspection services. Services include cost estimating, coordination with contractors, value engineering and negotiation with contractors to reduce project costs to conform with Town budgets. Projects completed over the past 10 years include roadway improvements to Sewall's Point Road, annual pavement overlay projects, annual review of Town paving, grading and drainage needs & recommendations for prioritization of projects for budgetary purposes,	On-going	Town Engineer for the Town of Sewall's Point. Engineering design, permitting and CEI services	Average is $60,000.00 per year.

		attending Town Commission meetings as needed to provide the Town or Town residents with clarification or updates on Town projects, review of subdivision / development packages, and annual bridge report from the FDOT. The Town of Sewall's Point is currently working on stormwater system maintenance and annual roadway overlay work. CAPTEC coordinated and inspected work with 3 different contractors for debris monitoring in the event of hurricane disaster relief.			
Town of Sewall's Point Greenway/ Pedway	2011	Provided stormwater treatment by constructing a 1,220 lf greenway/ pedway with 1,130 lf exfiltration system installed under the pedway between existing driveways and cross streets. The brick paver pedway allows stormwater to infiltrate to underground exfiltration system. FDEP 319 Grant funding.	Yes - 2013	Engineering design, permitting and CEI services	$38,750.00
				Total Amount	$671,010.00

6. Describe your methodology on how you would rate a contractor's performance in the field?

There are several factors that can be analyzed to rate a Contractor's performance in the field. CAPTEC would recommend implementing a watered down version of the FDOT's Contractor's Past Performance Report (CPPR) procedure. The FDOT has been using the CPPR for several years and is reviewed when qualifying or re-qualifying a firm. The final scores determined from the CPPR is used to determine a firm's Maximum Capacity Rating (The aggregate dollar volume of uncompleted work a firm is allowed by the Department to have under contract at one time, regardless of with whom they are contracted.) We believe the City could benefit from a similar procedure, utilizing past performance ratings to analyze contractor during qualifications every three to five years. All construction firms that do business with the City would be reviewed every three to five years with Past Performance Ratings reviewed for all projects completed with the City. The ratings could be reviewed to determine whether the City would continue to qualify a consistent problematic or difficult contractor.
Similar to the FDOT, rating topics would include:

- **Approach to MOT** – Does the Contractor routinely review the MOT set-up on the project to see if areas need to be tweaked to make the setup more efficient or understandable? Does the Contractor immediately address items brought to his attention? Does the review the project after the MOT set-up has been removed to ensure all components of the setup have been completely removed?

- **Involvement and preparation for weekly/ bi-weekly progress meetings** – Does the Contractor review upcoming work prior to the meetings and prepare a schedule of controlling items of work for the upcoming week? Does the contractor have the appropriate project personnel attend the progress meetings to discuss, MOT, NPDES, Upcoming Work, Utilities, etc.? Does the Contractor come prepared to discuss conflicts, miscellaneous items, outstanding items, new items of work, shop drawing submittals, etc.?
- **Timely and Complete submittals of documents** – Is the Contractor responsive when requested for cost breakdowns and time extensions? Are submittals thorough and complete? Are labor, materials and equipment broken down to clearly demonstrate additional cost? Are schedule updates provided when requested? Are copies of NPDES reports provided when requested?
- **Timely Completion of the project** – Is the Contractor adhering to his approved baseline schedule? Does he look ahead to anticipate potential stumbling blocks? Does the Contractor take initiative to review phases of work to determine ways to expedite? Does the Contractor use his schedule as a tool?
- **Coordination with CEI Personnel, Property owners and Utility Companies** – Does the Contractor actively pothole potential utility conflicts in advance of scheduled work to avoid delays? Does the Contractor provide advance notification to CEI inspectors / Utility personnel of anticipated work within areas of potential conflicts? How flexible is the Contractor with working around conflicts / allowing time for Utility companies to relocate or adjust conflicting items?
- **Approach to mitigate cost and time over runs**. – Does the Contractor perform reviews of completed work to determine whether an overrun is forthcoming? If noted in advance, are recommendation provided to help minimize or eliminate the overrun? If an area is impacted by utility conflicts or weather, or another contractor, is the Contractor open to adjusting the schedule to work somewhere else on the project to avoid delay?
- **Conformance to Contract Documents. –** Does the Contractor know the Plans, Specifications and Contractor Documents? Does he consistently challenge various requirements?

As with the FDOT, the Project Manager would provide a copy of the rating topics to the Contractor at the Pre-construction conference and explain the criteria for which the Contractor will be rated on. Rating of the Contractor will begin at the Pre-construction Conference and continue throughout the duration of the Contract. Problems encounter throughout the contract duration should be discussed with the Contractor, if they are not readily corrected. Items that would affect Contractor ratings on various topics should be noted on inspector's daily reports, emails to the Contractor, or letters to the Contractor. Backup documentation of events that warranted a rating should be maintained to support final scores.

CAPTEC is not suggesting that the City implement the Departments full CPPR program; however there are parts of the program that could prove to be beneficial for the City to weed out consistently problematic / difficult Contractors. Ratings should be reviewed for a Contractor from multiple CEI firms, including City inspected projects, to ensure fair and representative ratings.

7. How would you handle field changes for CEI projects?

   Field changes should all be addressed and handled in a similar manner on all projects. Once an issue is brought to the attention of the Contractor or the CEI Firm, the City should be immediately made aware of the change of work. The CEI Firm should conduct a thorough review to determine why a field change is needed, if the field change is needed, and if needed, what impacts does the field change have on the project. The permits for the project should be reviewed as well to ensure this work was not required by Permit. Discussion with the EOR should be initiated to determine whether there was specific reasoning as to why the field change item was not addressed in the design process. Once all items have been thoroughly reviewed and it has been determined that a field change is in order, the CEI project manager should determine whether there is a credit for work not completed or

cost for additional work is forthcoming. Both items will require either a Change Order or an Amendment depending on whether the field change involves adjustment of existing Contract items, or if the field change is a new item of work. Change Orders or Amendments would be addressed as defined in Question No. 9 below. Any type of field change requires approval by the City of Port St. Lucie Public Works Department prior to proceeding. Field changes should be surveyed and noted on the final As-built drawings for the Cities final records.

8. Describe any significant or unique accomplishment in previous CEI Contracts, for e.g. - budget reductions, time reductions, and reduction in change orders. Include any additional data pertinent to firm's capabilities.

   CAPTEC's CEI personnel try to look at all new projects with for a potential get the project completed as efficiently, and as safely possible with an overall goal to get the project completed under budget and under the Contract time duration. We will discuss two recently completed projects where the CEI's value engineering/review enabled the projects to be completed either under budget or in a shorter duration than the original Contract.

   In May 2013 CAPTEC contracted with Martin Health Systems to provide Survey, Geotechnical, Design, Permitting and CEI services for redesign of the North Campus – Main Street Entrance Drive and all parking lots, and access to outpatient arrival area. During the Design Phase, the project was broken up into nine different sections with the nine sections being constructed in five phases due to the hospital being a 24/7 operation with access being maintained at all times for emergency vehicles, pedestrian traffic, public parking and medical staff. Each phase was reviewed to ensure that there was sufficient parking spaces remaining in the other areas to adequately handle the public and staff. One phase that encompassed all the work at that main entrance would require MHS to temporarily construct a new receiving entrance for admittance to the hospital. This would require temporary reconstruction of security equipment, modification to existing facilities and considerable inconvenience to the public and staff.

   CAPTEC staff and the Contractor put our heads together and devised a modified phase construction that allowed the main entrance to remain open while maintaining full ADA access to the public. The phase was divided into four smaller phases that allowed the contractor to reconstruct smaller portions of the work area at a time while the public & staff used the other undisturbed areas. The contractor used flagmen on some occasion on a 24 hour basis to ensure traffic could safely access the front access-way at all time. There was no change to the Contract amount recognized for this these phase adjustments, nor was there any recognized time savings. However, considerable cost and time savings were recognized by the Client as none of the temporary services were needed, and the staff and public were not exposed the inconvenience of relocating the main entrance receiving facilities.

   Another CEI Project where CAPTEC staff provided a significant savings to the City of Stuart, was the Colorado Avenue Business District Improvements Project. CAPTEC provide an experience project manager to administer the construction Contract for the City. Inspection services were shared between the City and CAPTEC. The Prime contractor provided only one employee to the job, the project manager. All items of work on the project were accomplished by local subcontractors. CAPTEC closely monitored all work completed on the project, keeping detailed notes and documentation of various items that occurred throughout the construction. Changes were thoroughly documented and direction was provided to the prime contractor on how items were to be handled and which items constituted additional work with increased cost. Construction time on the

project ran longer than the contract duration which resulted in increased CEI cost for providing CEI services for the project until completion.

Upon completion of the project, the CEI completed final measurements of all Contract work items and accounted for all approved additional work items. The contractor then submitted a list of 25 additional Change Order Request totaling $118,000.00 for various items of work that had occurred over the course of construction of the project along with a request for additional time. Due to CAPTEC in-depth documentation, maintained throughout construction, all the contractor's additional Change Order Request were denied and the Contractor was assessed liquidated damages for all time beyond contract completion date. The City retained assessed Liquidated damages and offset all additional cost incurred due to poor Management by the Contractor. The Project was completed within budget and only slightly over the contract period of time.

9. Describe your methodology in determining a request from a contractor as to what is a change order or an amendment. Include what justifications are required from the contractor for approval of these requests. Also include time frame (#of calendar days) from the request of the contractor to submittal to the City.
When a Contractor advises the CEI firm that an item of work is going to require a change order, the CEI firm should review the plans and specifications and review the item of work identified to determine whether this item is or is not included in the scope of work for the project. All Contract documents should be thoroughly reviewed to ensure the work item is not included via a note or an entity's detail. Once all documents have been thoroughly reviewed, the next step should be to determine whether the new item is similar in scope as to contract work and can be included as an over run or under run to existing contract items. If this answer is yes, the additional work will be handled as a Change Order. If the item of work is not of the same scope as contract items and a new unit price and quantity needs to be established the additional work will be added to the Contract via an Amendment.

Upon notice of additional work, the CEI should immediately bring this to the attention of the City project personnel. A request to the Contractor for a cost breakdown and the time associated with the new work should be requested immediately. Impacts to current contract work items should be review and adjustments made to minimize impacts to the scheduled work due to the additional work. To ensure a timely review by the CEI firm and additional processing by the City, the Contractor should provide adequate justification for the cost of the additional work, providing, labor, equipment and material cost with reasonable markups as defined in the contract. Time should be evaluated to demonstrate that the item could not be completed concurrently with other scheduled work items, or if additional crews are necessary to accomplish the work. Once the Contractor had submitted the necessary paperwork, the CEI should review timeframes for completing the work, review rates and markups of personnel rates, review equipment cost and compare to Blue Book rates, and review material cost to determine whether cost are fair and reasonable. Time request for the additional work should be reviewed to determine whether the added work actually requires additional time to complete the project. If the same work is to be done with crews that are scheduled for other contract work items, additional time would be warranted.

When the CEI firm has completed their review of the additional work, they should complete the appropriate documents, i.e. Change Order or Amendment and a letter of recommendation to the City's Project Manager summarizing the cost, time and the justification for processing the work. Depending on the dollar amount of the Amendment or Change Order, the time frame for approving the document from request form the Contractor and approval by the City can be anywhere from two to three weeks if Council's approval is not required,(less than $25,000.00) to as much as 45 days if

Council approval is required. Timeframe can be shorten by thoroughness of submittals by all parties.

10. Describe your understanding of a Lump sum and a Unit price contract.

A Lump Sum contract is one typically used to minimize or reduce design and Contract administration costs. The Contractor is required to submit a total cost instead of providing individual cost for separate items. Lump Sum contracts are ideal for projects with well-defined scopes where the risk of unknowns or unforeseen work is minimal. The Contractor's price is not adjustable. The Contractor will tend to utilize higher rate or markups on a Lump Sum contract to account for unexpected work, however it is to the Contractor's benefit to be aggressive and complete the work as expeditiously as possible, within the constraints of the Specifications and Plans as a greater profit from the project can be recognized. A long drawn out project will result in lesser profit.

A Unit Price contract is based on estimated quantities of work items included in the project with individual unit prices established for each work item. The final price of the project is dependent on the final measured quantities. Typically the quantities for the separate pay items can be readily determined based on plan measurements, ensuring estimated quantities are as close to final measured quantities as possible. The benefit to a Unit Price contract is that a price is already established for a work activity, should additional quantity be required for similar work scope items, as well as for reductions in quantity that may arise on the project during the course of construction.

11. What is your firm's method of determining what a good contractor is versus an excellent contractor? Please provide specific points.

A good Contractor is one that constructs what is necessary, to complete the project within the constraints of the Contract Time and Budget. A good Contractor is knowledgeable of contract plans and specifications and completes the project in close conformance with these documents. A good Contractor is cooperative, reacts to direction provided and provides requested documents in a timely manner. A good Contractor ensures the safety of the motoring and pedestrian traffic, at all times throughout the duration of the project.

An excellent Contractor does all the above, but he approaches the project as a team player, with other team members being the CEI firm, and the City. He is constantly looking ahead, to see what can be done to expedite completion of the project in a shorter duration than scheduled. He is open to working in other areas of the project when a conflict is encountered in the scheduled work area. He is always looking to see if items of work can be done simultaneously to limit the amount of time spent in sensitive or congested areas. He and the CEI Project Manager routinely discuss project issues, to determine the best approach to completing the task. Suggestions and ideas from other team member are encouraged to ensure all areas are adequately addressed. The contractor's idea may not be the best way to handle a situation. An excellent Contractor knows and understands this and is willing to make adjustments to his schedule and work forces to implement ideas and suggestions of others. An excellent Contractor takes pride in his project, ensuring the project is maintained neat and orderly throughout construction and that the finish product looks good.

12. What is your method of obtaining as-builds in a timely manner?

Timely submittal of as-built drawings at the completion of the project is a constant problem. Projects with Utility work are more prevalent, as the requirements for noted documentation are much more extensive for utility work. Also, most required information of utility work is underground, so if the surveyor was not contacted to pick up the various components completed, the Contractor is forced to re-excavate components to obtain locations and elevations. Thorough notes by the CEI inspector during construction can help minimize this work effort. As-built drawings should be a topic at every Progress meeting. Completed work should be reviewed and questions provided to the Contractor, on whether the components in the completed work were picked up by the Surveyor. If not surveyed for the as-builts, they should be addressed prior to the next progress meeting. The CEI team should maintain continuous communication with the Contractor on the status of various completed items or work and whether they have been picked up by the surveyor. When drainage items are completed, inverts and grate / rim elevations should be picked-up by the surveyor and a draft copy should be requested by the CEI firm to review. The review of as-builts should ensure components are complete and constructed as per plan. Other Contract items should be noted during the preconstruction conference, whereby final payment on uncompleted items should not be provided until as-built information for completed work is submitted and reviewed.

13. Has your firm failed to complete any contract work awarded? Defaulted on a contract or had a contract canceled or terminated? If so, When and Why? **No, CAPTEC has not failed to complete any contract work awarded.**

14. Using the Reference Check Form attached, provide, contact names, telephone and fax numbers and email addresses for EACH government agency listed in question # 5.

Please submit Form 330 in a separate file, preferably .pdf

ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

	Date Issued
#1	10/12/2015
#2	10/27/2015

CERTIFICATION:

This E-RFP is submitted by: Name (print) **Joseph W. Capra** who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract in its entirety.

I agree to abide by all conditions of this E-RFP.:

Joseph W. Capra, P.E.

Digitally signed by Joseph W. Capra, P.E.
DN: cn=Joseph W. Capra, P.E., o=CAPTEC Engineering, Inc., ou=President, email=jcapra@gocaptec.com, c=US
Date: 2015.11.17 13:45:01 -05'00'

Signature

President
Title

(Balance of Page Intentionally Left Blank)

State of Florida
Department of State

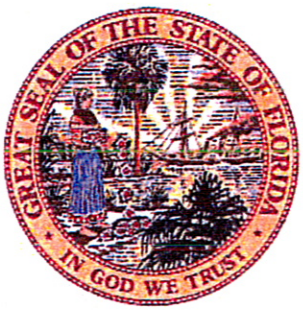
I certify from the records of this office that CAPTEC ENGINEERING, INC. is a corporation organized under the laws of the State of Florida, filed on November 27, 1996.

The document number of this corporation is P96000097677.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on February 26, 2015, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Twenty-sixth day of February, 2015



Ken Detzner

Secretary of State

Authentication ID: CC6704135208

To authenticate this certificate,visit the following site,enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html

State of Florida

Board of Professional Engineers

Attests that

CAPTEC Engineering, Inc.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017

Audit No: 228201703578

CA Lic. No:
7657

State of Florida

Board of Professional Engineers

Attests that

Joseph William Capra , P.E.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201724947

P.E. Lic. No:
37638

State of Florida

Board of Professional Engineers

Attests that

Rhett R. Keene , P.E.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201710015

P.E. Lic. No:
65626

State of Florida

Board of Professional Engineers

Attests that

Gary L.S. Jones , P.E.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201721747

P.E. Lic. No:
51985

State of Florida

Board of Professional Engineers

Arthur Dylan O'Berry

Has satisfactorily demonstrated qualifications in engineering, fundamentals, technical subjects, mathematics and basic sciences and is hereby recognized as an:

Engineer Intern

No. 1100019173

In Testimony whereas, witness the signature of the Chair under the seal of the Board this 19 day of May , 2015.

William C. Bracken, Chairman

Audit No. 22820170382

CAPTE-1 OP ID: KA

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 11/16/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
R.V. Johnson Insurance
2041 SE Ocean Blvd
Stuart, FL 34996
Robert C. Johnson

CONTACT NAME: Katherine Nguyen
PHONE (A/C, No, Ext): 772-287-3366 FAX (A/C, No): 772-287-4255
E-MAIL ADDRESS: knguyen@rvjohnson.com

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : Lloyds of London	
INSURER B :	
INSURER C :	
INSURER D :	
INSURER E :	
INSURER F :	

INSURED
Captec Engineering Inc
301 NW Flagler Avenue
Stuart, FL 34994

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY COMMERCIAL GENERAL LIABILITY CLAIMS-MADE OCCUR X Professional Liab GEN'L AGGREGATE LIMIT APPLIES PER: POLICY PRO-JECT LOC			14AE049917272 RETRO DATE 12/19/98	12/19/2014	12/19/2015	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence) MED EXP (Any one person) PERSONAL & ADV INJURY GENERAL AGGREGATE PRODUCTS - COMP/OP AGG	$ 2,000,000 $ $ $ $ 2,000,000 $
	AUTOMOBILE LIABILITY ANY AUTO ALL OWNED AUTOS SCHEDULED AUTOS HIRED AUTOS NON-OWNED AUTOS			NOT COVERED			COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (PER ACCIDENT)	$ $ $ $
	UMBRELLA LIAB OCCUR EXCESS LIAB CLAIMS-MADE DED RETENTION $			NOT COVERED			EACH OCCURRENCE AGGREGATE	$ $
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) Y / N If yes, describe under DESCRIPTION OF OPERATIONS below	N / A		NOT COVERED			WC STATU-TORY LIMITS OTH-ER E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT	$ $ $

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
RFP #20150126 - Continuing Professional Construction Engineering Inspection (CEI) Services

CERTIFICATE HOLDER

CITYP13

City of Port St Lucie
121 SW Port St Lucie Blvd
Port St Lucie, FL 34984-5099

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE
Katherine Nguyen

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CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 11/16/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME: Kim Carthen
Tequesta Agency, Inc. dba Tequesta Insurance Advisors 218 S US Hwy 1, Ste 300 Tequesta FL 33469	PHONE (A/C, No, Ext): (561) 746-4546 FAX (A/C, No): (561) 746-9599 E-MAIL ADDRESS: kcarthen@tequestainsurance.com

INSURED	INSURER(S) AFFORDING COVERAGE	NAIC #
Captec Engineering, Inc. 301 NW Flagler Ave Suite 101 Suite 101 Stuart FL 34994	INSURER A: American Casualty Co. of Reading PA	20427
	INSURER B: Continental Casualty Co	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES CERTIFICATE NUMBER: 15-16 Master REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	X COMMERCIAL GENERAL LIABILITY CLAIMS-MADE X OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: X POLICY PRO-JECT LOC OTHER:			2071695956	2/28/2015	2/28/2016	EACH OCCURRENCE	$ 2,000,000
							DAMAGE TO RENTED PREMISES (Ea occurrence)	$ 300,000
							MED EXP (Any one person)	$ 10,000
							PERSONAL & ADV INJURY	$ 2,000,000
							GENERAL AGGREGATE	$ 4,000,000
							PRODUCTS - COMP/OP AGG	$ 4,000,000
							BAIL	$ 1,000
A	AUTOMOBILE LIABILITY ANY AUTO ALL OWNED AUTOS SCHEDULED AUTOS X HIRED AUTOS X NON-OWNED AUTOS			2071695956	2/28/2015	2/28/2016	COMBINED SINGLE LIMIT (Ea accident)	$ 1,000,000
							BODILY INJURY (Per person)	$
							BODILY INJURY (Per accident)	$
							PROPERTY DAMAGE (Per accident)	$
								$
B	X UMBRELLA LIAB X OCCUR EXCESS LIAB CLAIMS-MADE DED RETENTION $			6020199220	7/15/2015	2/28/2016	EACH OCCURRENCE	$ 1,000,000
							AGGREGATE	$ 1,000,000
								$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) Y/N N/A If yes, describe under DESCRIPTION OF OPERATIONS below						PER STATUTE OTH-ER	
							E.L. EACH ACCIDENT	$
							E.L. DISEASE - EA EMPLOYEE	$
							E.L. DISEASE - POLICY LIMIT	$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

RE: RFP #20150126 - Continuing Professional Construction Engineering Inspection (CEI) Services

City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents are additional insureds where required by written contract per form #SB-146968-A (01-06) attached to the General Liability policy. A Copy of form #SB-146968-A (01-06) is attached for your reference.

CERTIFICATE HOLDER

City of Port St. Lucie
121 SW Port St Lucie Blvd
Port St. Lucie, FL 34984

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Mark Kasten/KIM

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IMPORTANT: THIS ENDORSEMENT CONTAINS DUTIES THAT APPLY TO THE ADDITIONAL INSURED IN THE EVENT OF OCCURRENCE, OFFENSE, CLAIM OR SUIT. SEE PARAGRAPH C., OF THIS ENDORSEMENT FOR THESE DUTIES.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

BLANKET ADDITIONAL INSURED ENDORSEMENT WITH PRODUCTS-COMPLETED OPERATIONS COVERAGE
&
BLANKET WAIVER OF SUBROGATION
Architects, Engineers and Surveyors

This endorsement modifies insurance provided under the following:

BUSINESSOWNERS LIABILITY COVERAGE FORM
BUSINESSOWNERS COMMON POLICY CONDITIONS

A. **WHO IS AN INSURED (Section C.)** of the Businessowners Liability Coverage Form is amended to include as an insured any person or organization whom you are required to add as an additional insured on this policy under a written contract or written agreement; but the written contract or written agreement must be:

1. Currently in effect or becoming effective during the term of this policy; and
2. Executed prior to the "bodily injury," "property damage," or "personal and advertising injury."

B. The insurance provided to the additional insured is limited as follows:

1. That person or organization is an additional insured solely for liability due to your negligence specifically resulting from "your work" for the additional insured which is the subject of the written contract or written agreement. No coverage applies to liability resulting from the sole negligence of the additional insured.
2. The Limits of Insurance applicable to the additional insured are those specified in the written contract or written agreement or in the Declarations of this policy, whichever is less. These Limits of Insurance are inclusive of, and not in addition to, the Limits of Insurance shown in the Declarations.
3. The coverage provided to the additional insured within this endorsement and section titled **LIABILITY AND MEDICAL EXPENSE DEFINITIONS – "Insured Contract" (Section F.9.)** within the Businessowners Liability Coverage Form, does not apply to "bodily injury" or "property damage" arising out of the "products-completed operations hazard" unless required by the written contract or written agreement.
4. The insurance provided to the additional insured does not apply to "bodily injury," "property damage," "personal and advertising injury" arising out of an architect's, engineer's, or surveyor's rendering of or failure to render any professional services including:
   a. The preparing, approving, or failing to prepare or approve maps, shop drawings, opinions, reports, surveys, field orders, change orders or drawings and specifications by any architect, engineer or surveyor performing services on a project of which you serve as construction manager; or
   b. Inspection, supervision, quality control, engineering or architectural services done by you on a project of which you serve as construction manager.
5. This insurance does not apply to "bodily injury," "property damage," or "personal and advertising injury" arising out of:
   a. The construction or demolition work while you are acting as a construction or demolition contractor. This exclusion does not apply to work done for or by you at your premises.

C. **BUSINESSOWNERS GENERAL LIABILITY CONDITIONS – Duties In The Event of Occurrence, Offense, Claim or Suit (Section E.2.)** of the Businessowners Liability Coverage Form is amended to add the following:

An additional insured under this endorsement will as soon as practicable:

1. Give written notice of an occurrence or an offense to us which may result in a claim or "suit" under this insurance;

4002000562071695956838 4

2. Tender the defense and indemnity of any claim or "suit" to us for a loss we cover under this Coverage Part;

3. Tender the defense and indemnity of any claim or "suit" to any other insurer which also has insurance for a loss we cover under this Coverage Part; and

4. Agree to make available any other insurance which the additional insured has for a loss we cover under this Coverage Part.

We have no duty to defend or indemnify an additional insured under this endorsement until we receive written notice of a claim or "suit" from the additional insured.

D. **OTHER INSURANCE (Section H. 2. & 3.)** of the Businessowners Common Policy Conditions are deleted and replaced with the following:

2. This insurance is excess over any other insurance naming the additional insured as an insured whether primary, excess, contingent or on any other basis unless a written contract or written agreement specifically requires that this insurance be either primary or primary and noncontributing to the additional insured's own coverage. This insurance is excess over any other insurance to which the additional insured has been added as an additional insured by endorsement.

3. When this insurance is excess, we will have no duty under Coverages **A** or **B** to defend the additional insured against any "suit" if any other insurer has a duty to defend the additional insured against that "suit" If no other insurer defends, we will undertake to do so, but we will be entitled to the additional insured's rights against all those other insurers.

When this insurance is excess over other insurance, we will pay only our share of the amount of the loss, if any, that exceeds the sum of:

(a) The total amount that all such other insurance would pay for the loss in the absence of this insurance; and

(b) The total of all deductible and self-insured amounts under all that other insurance.

We will share the remaining loss, if any, with any other insurance that is not described in this Excess Insurance provision and was not bought specifically to apply in excess of the Limits of Insurance shown in the Declarations of this Coverage Part.

E. **TRANSFER OF RIGHTS OF RECOVERY AGAINST OTHERS TO US (Section K.2.)** of the Businessowners Common Policy Conditions is deleted and replaced with the following:

2. We waive any right of recovery we may have against any person or organization against whom you have agreed to waive such right of recovery in a written contract or agreement because of payments we make for injury or damage arising out of your ongoing operations or "your work" done under a contract with that person or organization and included within the "products-completed operations hazard."

QUESTION # 14

REFERENCE CHECK FORM

Bidder Instructions: Fill out top portion only.
(Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent:

Reference: **City of Fort Pierce**
Email: **jandrews@city-ftpierce.com**
Person to contact**: Jack Andrews, PE**

Fax #: **(772) 460-6847**
Telephone #: **(772) 460-2200 ext. 3773**

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only. (Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent:

Reference: **City of Stuart** Fax #: **(772) 288-5381**
Email: **samerson@ci.stuart.fl.us** Telephone **#: (772) 288-5331**
Person to contact: **Sam Amerson, PE**

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only.
(Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent:

Reference: **Martin County Engineering Dept.** Fax #: **(772) 288-5955**
Email: **pbangs@martin.fl.us** Telephone #: **(772) 288-5927**
Person to contact: **Paul Bangs, PE**

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only.
(Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent:

Reference: **Town of Sewall's Point** Fax #: **(772) 220-4765**
Email: **jadams@sewallspoint.org** Telephone #: **(772) 287-2455 ext. 15**
Person to contact: **John Adams**

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

DRUG-FREE WORKPLACE FORM

E-RFP #20150126
Continuing Professional CEI Services.

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that
CAPTEC Engineering, Inc. does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

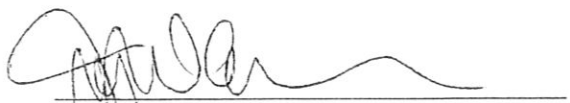
3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.



Bidder's Signature

11/17/2015
Date

DRUG-FREE WORKPLACE FORM

E-RFP #20150-126
Continuing Professional CEI Services

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Andersen Andre Consulting Engineers does:

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

/David P. Andre, P.E.

Bidder's Signature

November 5, 2015

Date

DRUG-FREE WORKPLACE FORM

E-RFP #20150126

Continuing Professional CEI Services.

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Betsy Lindsay, Inc. does:

(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

11/16/15

Date

The American Traffic Safety Services Association

Hereby recognizes that

Gary Jones

has attended

Florida Advanced Refresher Training Course

12/19/2013
Date

Tampa, FL
Location

Donna M. Clark
Training & Products Dept. Director

Ryn A. Wentz
President, CEO

ATSSA
SAFER ROADS SAVE LIVES

ATSSA
SAFER ROADS SAVE LIVES

AMERICAN TRAFFIC SAFETY SERVICES ASSOCIATION

This is to affirm that ID #37

Gary Jones

has satisfactorily completed the

Florida Advanced Refresher Work Zone Traffic Control Course

Date Complete: 12/19/2013 Status: PASS
Location: Tampa Instructor: Mr. Edward Kant
Date Refresher Course Required: 12/19/2017

Local Agency Program & Federal Highway Administration Certification and Recertification CBT

Certificate of Completion

This form certifies that I have completed the Computer-Based Training, or CBT, course specified for the Local Agency Plan and Florida Highway Administration Certification and Recertification. This includes all sections contained within the CBT and the review of any suggested, recommended, and/or required reading materials.

I certify that I understand the information provided within the above-mentioned CBT course and its related materials. I know where and how to locate the CBT and its related materials when needed for reference in my everyday work activities. I also understand it is now incumbent upon me to apply the information contained within the above-mentioned CBT course and its related materials in my work activities.

Joseph W. Capra, P.E.

Your Name

CAPTEC Engineering, Inc. / City of Port St. Lucie

Agency Represented

Date Course Completed

December 11, 2013

Please Note: To receive credit for the completion of the Computer-Based Training, you are responsible for the delivery of this document, after filling out, to your District LAP Administrator. The District LAP Administrator is responsible for submitting a copy to the State LAP Administrator.

Local Agency Program & Federal Highway Administration Certification and Recertification CBT

Certificate of Completion

This form certifies that I have completed the Computer-Based Training, or CBT, course specified for the Local Agency Plan and Florida Highway Administration Certification and Recertification. This includes all sections contained within the CBT and the review of any suggested, recommended, and/or required reading materials.

I certify that I understand the information provided within the above-mentioned CBT course and its related materials. I know where and how to locate the CBT and its related materials when needed for reference in my everyday work activities. I also understand it is now incumbent upon me to apply the information contained within the above-mentioned CBT course and its related materials in my work activities.

Harold L. Tourjee, Jr.

Your Name

CAPTEC Engineering, Inc. / City of Port St. Lucie

Agency Represented

Date Course Completed

February 27, 2014

Please Note: To receive credit for the completion of the Computer-Based Training, you are responsible for the delivery of this document, after filling out, to your District LAP Administrator. The District LAP Administrator is responsible for submitting a copy to the State LAP Administrator.

Local Agency Program & Federal Highway Administration Certification and Recertification CBT

Certificate of Completion

This form certifies that I have completed the Computer-Based Training, or CBT, course specified for the Local Agency Plan and Florida Highway Administration Certification and Recertification. This includes all sections contained within the CBT and the review of any suggested, recommended, and/or required reading materials.

I certify that I understand the information provided within the above-mentioned CBT course and its related materials. I know where and how to locate the CBT and its related materials when needed for reference in my everyday work activities. I also understand it is now incumbent upon me to apply the information contained within the above-mentioned CBT course and its related materials in my work activities.

Rhett Keene, P.E.

Your Name

CAPTEC Engineering, Inc. / City of Port St. Lucie

Agency Represented

Date Course Completed

February 27, 2014

Please Note: To receive credit for the completion of the Computer-Based Training, you are responsible for the delivery of this document, after filling out, to your District LAP Administrator. The District LAP Administrator is responsible for submitting a copy to the State LAP Administrator.

Attachment A- Personnel Qualifications Experience- RFP# 20150126

						Attachment A- Personnel Qualifications & Experience			
	Company Name: *CAPTEC Engineering, Inc.*								
	Question # 3								
	Firm Staff/Geotechnical Staff/Subconsultants		**Title**	**Yrs. of Exp.**	**Type of License**	**Type of Certification**	**Project Name & Description**	**Role on Project**	**Agency/Contact Name and email**
1	**Joseph W. Capra, PE**	CAPTEC Engineering, Inc.	Principal	35	FL PE #37638	FDOT Advanced MOT/LAP	**Colorado Avenue** - creation of final walkable County design plans for the Colorado Avenue corridor (including portions of Martin Luther King, Jr. Blvd and Kindred St.). The existing roadway corridor was designed with a view to facilitate on-street parking, ADA Sidewalks, landscaped curb extensions, bike lanes, 2-10' wide travel lanes and a large landscaped median and a round-a-bout at Martin Luther King Jr. Blvd.	Principal	City of Stuart Sam Amerson samerson@ci.stuart.fl.us

2	**Gary Jones, PE**	CAPTEC Engineering, Inc.	Sr. Project Engineer/Project Manager	34	FL PE #51985	FDOT Advanced MOT/LAP/ LEED GA	**Martin Health System North Campus Entrance** - CEI services for drainage, parking, sidewalk, cross-walks, ADA improvements, brick paver installation, roadways, railings, conflict manholes, utility adjustments, landscaping, hardscaping, lighting, irrigation, signing and pavement marking .	Director of Construction Services	Martin Health System Matt Kelly: matt.kelly@martinhealth.org
3			Principal Planner						
4	**Rhett Keene, PE**	CAPTEC	Project Engineer	15	FL PE #65626	Advanced MOT/LAP/ LEED AP	Tradition Roadway	Sr. Project Manager	Martin Health System Matt Kelly: matt.kelly@martinhealth.org

4	**Harold Tourjee**	CAPTEC	Design Manager	30		FDOT Advanced MOT/LAP/ LEED GA	Darwin Sidewalk & Ped. Bridge - Providing the design & permitting of approximately 1.50-miles of 6-foot wide concrete sidewalk on the east side of Darwin Boulevard from Paar Drive to Becker Road with an 8-foot wide pedestrian bridge over the E-84 Canal	Design Manager	Cityof PSL Edie Majewski: emajewski@cityofpsl.com

5	**Betsy Lindsay**	Betsy Lindsay, Inc.	Senior Land Surveyor	33	PLS#4724	AA	**Indian Street Bridge** - FDOT CEI support surveying services for the proposed Indian Street Bridge from SR 76 west across the waterway to 36th Street which is approximately 1 mile in length and is located in Martin County.	Sr. Manager	Cardno Engineering, George Denti george.denti@carndo.com

5	**David Wichser**	Betsy Lindsay, Inc.	Land Surveyor	33	PSM#5565	MOT, OSHA	**SR 76** - This project is located on SR 76 / Kanner Highway from West of CR 711 (Pratt Whitney Road) to East of Cove Road for reconstruction and widening of a Design and PD&E services. Perform a specific purpose survey to include all location of the right of way line.	Project Manager	ACE Andrew Nunes (561) 996-2800

6	**Robert Hewett**	CAPTEC	Inspector	25		Advanced work zone traffic control, CTQP Asphalt Levels 1 & @; Concrete Field Testing	**Savannah Rec. Trail** - providing CEI services for the new pedestrian trail, an addition to the education center building with a 9-foot wooden boardwalk and observation deck over the wetlands and a canoe/kayak launch floating dock for the Savannahs State Park	Sr. Inspector	

6	**Dylan O'Berry**	CAPTEC	Inspector	11	EI#1100019173		Martin Health System North Campus Entrance - CEI services for drainage, parking, sidewalk, cross-walks, ADA improvements, brick paver installation, roadways, railings, conflict manholes, utility adjustments, landscaping, hardscaping, lighting, irrigation,	EI	
7			Project Manager						

8	**Gina Colonna**	CAPTEC Engineering, Inc.	Project Coordinator	15		ESO/FDOT LAP Course	**SR 707 / Wright Blvd** - Project Coordinator for roadway intersection widening, sidewalk / Type F curb construction, up-grading of 4 handicap ramps & the signal to include optical vehicle detectors, additional pedestrian signals, a new controller; milling and resurfacing of +640 LF of SR-707 centered on either side of Wright Blvd.; installation of new pavement markings & signage improvements.	Project Coordinator	Martin County Engineering Kristy Brotherton
9			Sr. Engineering Technician						
10	**Jeffrey Bouley**	CAPTEC Engineering, inc.	Sr. Bridge Inspector	37					
11	**Macie K. Hankins**	Betsy Lindsay, Inc.	Administrative Support/CSS	20			Works on all priojects that come through office	Office Manager	

12	**Jeffrey Bouley**	CAPTEC Engineering, Inc.	Lead Project Representative	37		Advanced MOT / CTQP Asphalt Level 1 & 2; Earthwork Level 1 & 2	**Indian Street Bridge** - reconstruction of 1.5 miles of roadway and a new bridge crossing the St. Lucie River. Responsible for inspection of drainage installation, roadway construction, paving, signing and street light installation	Sr. Inspector	AECOM - Heath Stockton
13	**Mark Robinson**	CAPTEC	CADD	10			Tradition Roadway	CADD Tech	Fishkind - Darren Weimer
13	**Corine Rodney**	Betsy Lindsay, Inc.	CADD	12			Download field data and Imports points into AutoCAD 2008-2015 create survey in the formated that the client wants to see.	CADD Tech.	
14	**Robert Hewett**	CAPTEC	CEI Sr. Inspector/Sr. Eng. Intern	25					
15			CEI Survey- Party Chief						

16			CEI Instrument Person						
17			Senior Construction Mgr.						
18	**Gina Colonna**		CEI Compliance Specialist	15		ESO/FDOT LAP course	**SR 707 / Wright Blvd** - Project Coordinator for roadway intersection widening, sidewalk / Type F curb construction, up-grading of 4 handicap ramps & the signal to include optical vehicle detectors, additional pedestrian signals, a new controller; milling and resurfacing of +640 LF of SR-707 centered on either side of Wright Blvd.; installation of new pavement markings & signage improvements.	Project Coordinator	Martin County Engineering Kristy Brotherton

	Peter Andersen, PE	Andersen Andre Consulting Engineers, Inc. (AACE)	Sr. Geotechnical Engineer	19	FL PE #57956		Becker Road, Phases 1 & 2 - Construction of a 1.8-mile, 4-lane divided urban roadway in PSL	Geotechnical Engineering Consultant and Construction Materials Testing Project Manager	City of PSL, Mr. James Angstadt, P.E. (jangstadt@cityofpsl.com
	David Andre, PE	AACE	Geotechnical Engineer / Project Manager	22	FL PE #53969		Village Parkway - Construction of a 4-mile, 4-lane divided urban roadway in PSL	Geotechnical Engineering Consultant and Construction Materials Testing Project Manager	City of PSL, Mr. James Angstadt, P.E. (jangstadt@cityofpsl.com

CHECKLIST

E-RFP #20150126
Continuing Professional CEI Services.

This checklist is provided to assist Bidders in the preparation of their bid response. Included in this checklist are important requirements that are the responsibility of each Bidder to submit with their response in order to make their bid response fully compliant. This checklist is only a guideline -- it is the responsibility of each Bidder to read and comply with the Invitation to Bid in its entirety.

✓ Documents uploaded in two (2) .pdf files and in this order:

✓ File # 1- Questionnaire for E-RFP #20150126, all Applicable Current Licenses (state and professional), Reference Forms, Drug Free Workplace Form, Pre-qualification Documentation and Team Qualification Documentation, Attachment A- Personnel Qualifications and Experience, and E-RFP Checklist onto Demandstar by the due date and time.

✓ All questions on the questionnaire are complete and thoroughly answered

✓ Each Bid Addendum (when issued) is acknowledged

✓ Have reviewed the Contract and accept all City Terms and Conditions

✓ All Applicable Current Licenses (state and professional)

✓ Reference Forms

✓ Drug Free Workplace Form

✓ All Prequalification documentation and Team Qualification Documentation

✓ Attachment A- Personnel Qualification and Experience (completed)

✓ Checklist

✓ File # 2- Form 330

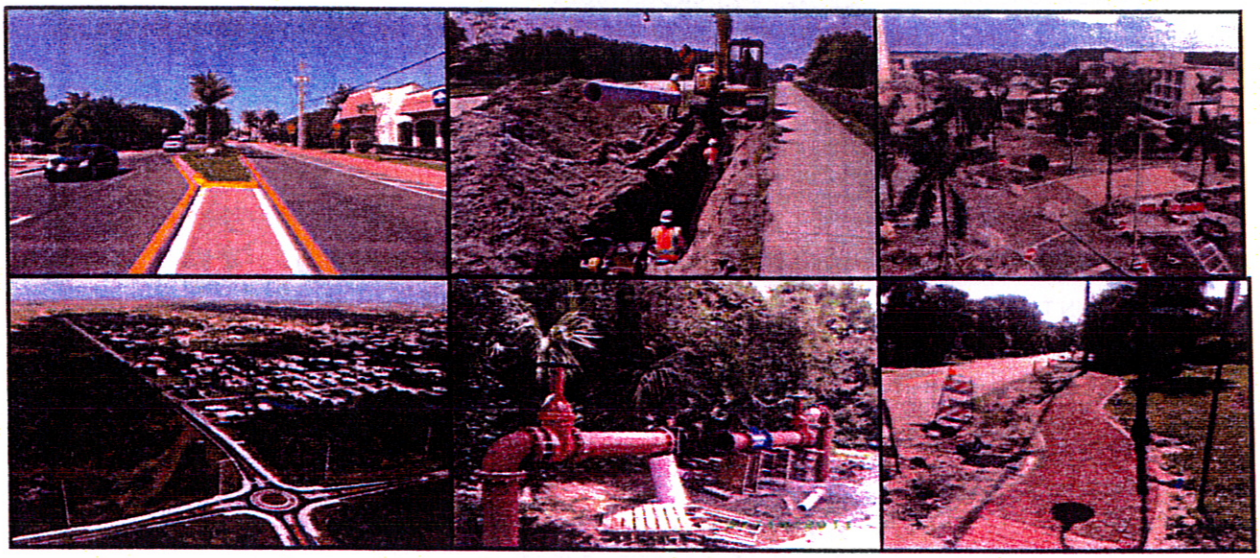
"A City for All Ages"

ELECTRONIC REQUEST FOR PROPOSAL
RFP #20150126

Continuing Professional Construction Engineering Inspection (CEI) Services

Due: Tuesday November 17, 2015
2:00 P.M.

SF 330 FORM



CAPTEC Engineering, Inc.
Civil Engineering Professionals

301 NW Flagler Avenue, Stuart, FL 34994
772.692.4344 * Fax:
772.692.4341 * captecinfo@gocaptec.com

ARCHITECT - ENGINEER QUALIFICATIONS

PART I - CONTRACT-SPECIFIC QUALIFICATIONS

A. **CONTRACT INFORMATION**

1. TITLE AND LOCATION *(City and State)*:
Continuing Professional Construction Engineering Inspection (CEI) Services, Port St. Lucie, Florida

2. PUBLIC NOTICE DATE: 10/5/2015

3. SOLICITATION OR PROJECT NUMBER: RFP #20150126

B. **ARCHITECT-ENGINEER POINT OF CONTACT**

4. NAME AND TITLE: Gary L.S. Jones, PE, Director of Construction Services

5. NAME OF FIRM: CAPTEC Engineering, Inc.

6. TELEPHONE NUMBER: 772.692.4344

7. FAX NUMBER: 772.692.4341

8. E-MAIL ADDRESS: gjones@gocaptec.com

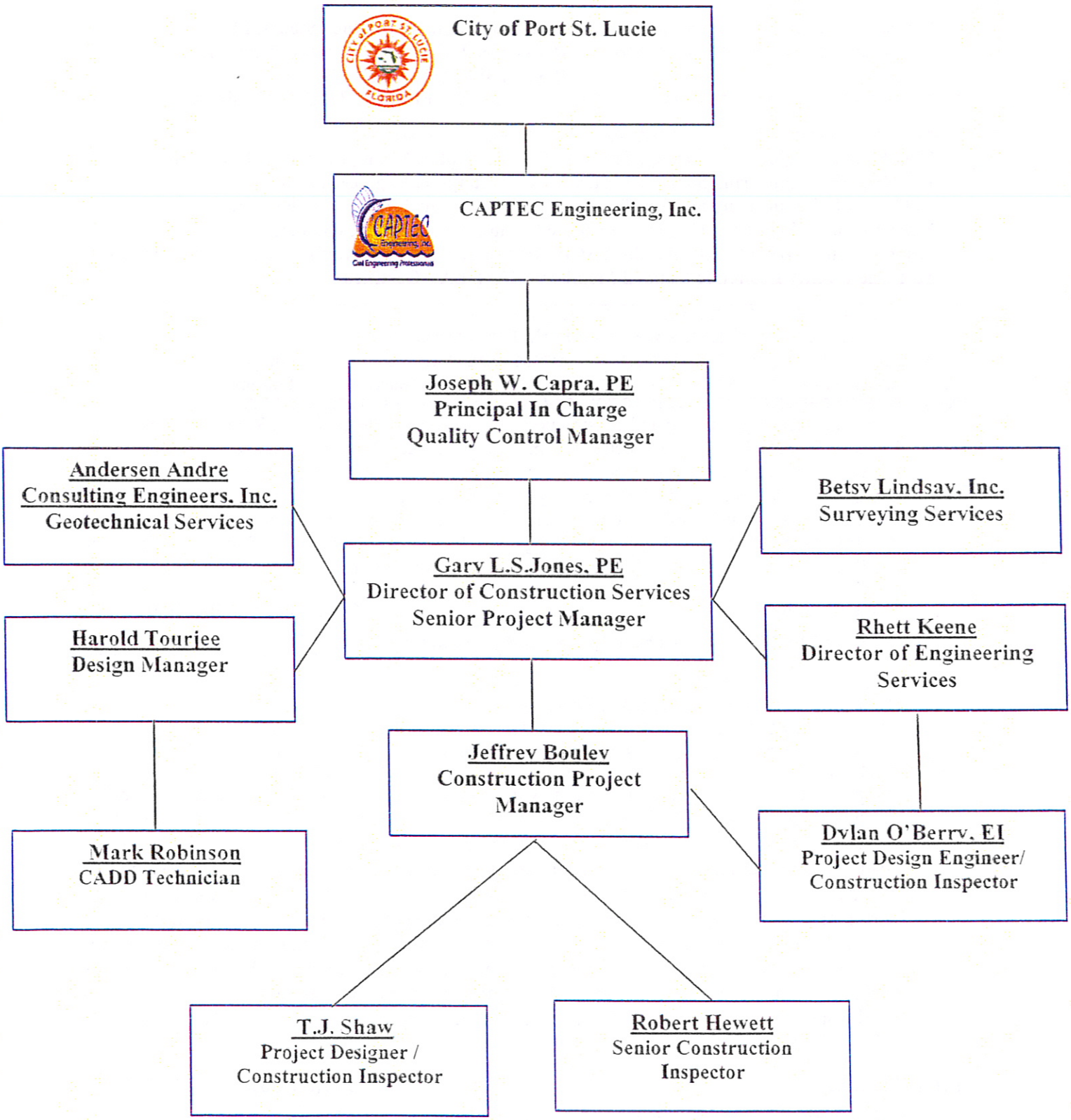
C. **PROPOSED TEAM** *(Complete this section for the prime contractor and all key subcontractors.)*

9a. PRIME (CHECK HERE): √
9a. JOINT-VENTURE PARTNER (CHECK HERE):
9a. SUBCONTRACTOR (CHECK HERE):
9a. FIRM NAME: CAPTEC Engineering, Inc.
9a. IF BRANCH OFFICE CHECK HERE:
10a. ADDRESS: 301 NW Flagler Avenue, Stuart, FL 34994
11a. ROLE IN THIS CONTRACT: Construction Engineering and Inspection Services

9b. PRIME (CHECK HERE):
9b. JOINT-VENTURE PARTNER (CHECK HERE):
9b. SUBCONTRACTOR (CHECK HERE): √
9b. FIRM NAME: Andersen Andre Consulting Engineers, Inc.
9b. IF BRANCH OFFICE CHECK HERE:
10b. ADDRESS: 573 SW Biltmore Street, Port St. Lucie, FL 34983
11b. ROLE IN THIS CONTRACT: Geotechnical Services

9c. PRIME (CHECK HERE):
9c. JOINT-VENTURE PARTNER (CHECK HERE):
9c. SUBCONTRACTOR (CHECK HERE): √
9c. FIRM NAME: Betsy Lindsay, Inc.
9c. IF BRANCH OFFICE CHECK HERE:
10c. ADDRESS: 7997 SW Jack James Drive, Stuart, FL 34997
11c. ROLE IN THIS CONTRACT: Surveying Services

PROJECT ORGANIZATIONAL CHART



E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

12. NAME: **Joseph W. Capra, PE**

13. ROLE IN THIS CONTRACT: **Principal in Charge / Quality Control**

14a. YEARS EXPERIENCE - TOTAL: **35**

14b. YEARS EXPERIENCE - WITH CURRENT FIRM: **18**

15. FIRM NAME AND LOCATION *(City and State)*: **CAPTEC Engineering, Inc., Stuart, FL**

16. EDUCATION *(DEGREE AND SPECIALIZATION)*: **Rensselaer Polytechnic Institute, New York, B.S., Civil Engineering 1979**

17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*: **Florida, PE Civil Engineer**

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*:

1993 Florida Engineering Society, Treasure Coast Chapter, Young Engineer of the Year
1995 Florida Engineering Society, Treasure Coast Chapter, Engineer of the Year
2007 Florida Engineering Society, Treasure Coast Chapter, Engineer of the Year
Martin County Economic Council Executive Committee Member/Chairman
Martin County MPO / TAC Member; SFWMD Water Resources Advisory Committee Member
St. Lucie County Economic Council Member; FDOT LAP Certified

19a(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

St. Lucie County Veterans Memorial Park, Fort Pierce, FL

19a(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **On-going**

19a(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **On-going**

19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Providing design, permitting and construction services to upgrade the Indian River Veterans Memorial Park in Fort Pierce to better accommodate handicapped guests and address flooding issues. Project includes designing Park improvements so as to deter flooding and improve ADA accessibility and usability by incorporating lighting, irrigation, drainage, paver walkways, concrete pavement, asphalt, concrete curbs and walls and grand entrances. Provided a stormwater treatment plan for water quality benefits to the Indian River Lagoon including lakes, bioswales, fountains and flucc logs. This project entails remodeling the County Center to include ADA restrooms accessibility.

Prime Consultant **Project Cost: $197,260.00**

19a(3) RELEVANT PROJECT - Check here if project performed with current firm: √

19b(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Colorado Avenue Improvements, Stuart, FL

19b(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2012**

19b(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2013**

19b(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Prepared the creation of final design walkable plans for the Colorado Avenue corridor (including portions of Martin Luther King, Jr. Blvd and Kindred St.). Provided the Main Street redesign of traffic calming to include removal of signals and the construction of roadway lane reductions with a roundabout. The existing roadway corridor was designed to facilitate on-street parking, sidewalks, landscaped curb extensions, bike lanes, 2-10' wide travel lanes and a large landscaped median and a roundabout at Martin Luther King Jr. Blvd. CAPTEC completed a traffic study to remove the existing traffic signal at the Kindred Street intersection and conducted multiple public meetings. Construction observation services including public meeting attendance, shop drawing review, pay requests, MOT set up, and final inspection verifying punch list items have been dealt with. This project was funded with CDBG funds.

Prime Consultant: **Project Cost: $1,354,363.53**

19b(3) RELEVANT PROJECT - Check here if project performed with current firm: √

19c(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Savona Boulevard Sidewalk & Pedestrian Bridge Improvements, Port St. Lucie, FL

19c(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2012**

19c(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2013**

19c(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

CAPTEC provided design, permitting and bid assistance for approximately 2,500 feet of 6-foot wide concrete sidewalk on the west side of Savona Boulevard from the existing sidewalk at Bougainvillea Avenue north to the existing sidewalk north of the C-24 canal with an 8'- wide pedestrian bridge over the C-24 Canal. This project was completed through the FDOT Local Agency Program (LAP).

Prime Consultant. **Project Cost: $ 1,100,000.00**

19c(3) RELEVANT PROJECT - **Check here if project performed with current firm: √**

19d(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

El Dorado Heights CDBG Improvements, Stuart, FL

19d(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2012**

19d(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2012**

19d(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Provided field inspections, assist City with CDBG contract reporting activities, daily observations of the Contractor's Maintenance of Traffic set up and provide comment on any needed modifications or adjustments, verify that adequate testing (testing by others) is routinely scheduled by others to ensure compliance with the contract specifications, shop drawing review/approval, conduct weekly / bi-monthly progress meeting, review and provide recommendation to Client on Contractor's monthly pay application, review and provide recommendation to Client on Change Orders & Time Extensions, conduct final inspection, review and approve shop drawings, and provide final certification.

Prime Consultant. **Project Cost: $778,956.00**

19d(3) RELEVANT PROJECT - **Check here if project performed with current firm: √**

19e(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Woodlawn Park CRA utility, roadway, drainage Improvements, Stuart, FL

19e(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2008**

19e(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2012**

19e(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

This project utilized CDBG funding & entailed improvements including neighborhood sidewalk, ADA compliant crosswalks, on-street parking, handicap parking on-street, curbing and/or paving improvements, stormwater management and extensions of water and sanitary sewer lines. Conducted/prepared presentations for neighborhood public meetings. Provided design through CEI services for the City of Stuart. Construction is currently underway and CEI services are being provided.

Prime-Consultant: **Project Cost: $899,072.40**

19e(3) RELEVANT PROJECT - **Check here if project performed with current firm: √**

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

14. NAME: **Gary L.S. Jones, PE**

15. ROLE IN THIS CONTRACT: **Director of Construction Services/Senior Project Manager**

14a. YEARS EXPERIENCE - TOTAL: **34**

14b. YEARS EXPERIENCE - WITH CURRENT FIRM: **11**

19. FIRM NAME AND LOCATION *(City and State)*: **CAPTEC Engineering, Inc., Stuart, FL**

EDUCATION *(DEGREE AND SPECIALIZATION)*: **James Madison University Engineering Courses**
Broward Community College Engineering Courses

20. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*: **Florida, PE Civil Engineer**

21. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*:
Member: NSPE, FES
Multiple FDOT certifications; provided CEI services on dozens of Treasure Coast FDOT projects with prior firms.

19a(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Floresta Drive Elkam Pedestrian Bridge and Sidewalk, Port St. Lucie, FL

19a(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: 2005

19a(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: 2006

19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:
Provided design, permitting, and limited CEI services for a 100-foot pedestrian bridge with abutments at each end, 350 feet of new concrete sidewalk, 4 cul-de-sacs, and swale drainage improvements. A pre-fabricated bridge was installed with a clear span over the existing canal adjacent to an existing roadway bridge. Two existing intersections were terminated with cud-de-sacs, drastically improving safety in the area. Improvements to the swale drainage system were constructed to accommodate new roadway and sidewalk features. An FDEP No Notice Permit was obtained.

Prime Consultant. **Project Cost: $513,440.00**

19a(3) RELEVANT PROJECT - Check here if project performed with current firm: √

19b(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
SR-707 Turn Lane / Wright Blvd & SR 707 Intersection FM# 426252-1-58-01 /ARRA 033 B, Stuart, FL

19b(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: 2010

19b(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: 2010

19b(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Provided CEI services for the Southbound Right Turn Lane on SR-707 at NW Wright Boulevard. Improvements included roadway intersection widening, sidewalk / Type F curb construction, up-grading of 4 handicap ramps & the signal to include optical vehicle detectors, additional pedestrian signals, a new controller; milling and resurfacing of ±640 LF of SR-707 centered on either side of Wright Blvd.; installation of new pavement markings & signage. FDOT/ARRA/LAP funded project subject to associated criteria and conditions including federal reporting requirements. A sub-consultant provided an Asphalt Plant Inspector; they also provided ARRA forms to CAPTEC for submittal to Martin County. This LAP project was completed under budget.

Prime Consultant. **Project Cost: $1,354,363.53**

19b(3) RELEVANT PROJECT - Check here if project performed with current firm: √

19c(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Marion Avenue Sidewalk CEI, Port St. Lucie, FL

19c(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2012**

19c(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2012**

19c(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Construction of 5 ft. wide concrete sidewalk on the south side of Marion Avenue from Bayshore Boulevard east to Curtis Street. Provided field observations of installation, reviewing of all contractor's change orders, pay requests and schedule. Maintenance of records and documents in accordance with FDOT LAP requirements. Provided certification of record drawings and certificate of completion per FDOT LAP requirements

Prime Consultant. **Project Cost: $410,034.63**

19c(3) RELEVANT PROJECT - **Check here if project performed with current firm: √**

19d(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Martin Hospital System, Tradition Medical Center, Tradition, FL

19d(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2012**

19d(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2014**

19d(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Provided CEI services for site improvements at this 20 acre 88 bed 2 story hospital site on a vacant parcel located in Tradition/ Southern Grove along Gatlin Blvd. and Interstate 95 in Port St. Lucie. Specific services include attending weekly meetings, providing site inspections, and responding to RFIs throughout construction duration of this design-build project. This project received LEED Certification – **Gold Level** on May 8, 2014.

Sub-Consultant. **Project Cost: $68,700,000 Overall, $2,200,000 Site work**

19d(3) RELEVANT PROJECT - **Check here if project performed with current firm: √**

19e(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Martin Health System North Hospital Campus Entrance Improvements, Stuart, FL

19e(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2014 Phase 1; 2015 Phase 2**

19e(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2014 Phase 1; 2016 Phase 2**

19e(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Provided construction administration services for the Martin Memorial Hospital North Campus entrance enhancement which included full construction administration services to oversee improvements for drainage, parking, sidewalk, cross-walks, ADA improvements, brick paver installation, roadways, railings, conflict manholes, utility adjustments, landscaping, hardscaping, lighting, irrigation, signing and pavement marking. Additionally, Mr. Jones reviewed contractor pay requests and change orders. Mr. Jones coordinated with multiple contractors and other professionals to complete this $2 Million project within 102 days. Currently CAPTEC is completing the Phase 2 improvements for another $2.5 million project.

Prime Consultant. **Project Cost: $400,000.00**

19e(3) RELEVANT PROJECT - **Check here if project performed with current firm: √**

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

16. NAME: **Jeffrey Bouley**

17. ROLE IN THIS CONTRACT: **Construction Project Manager**

14a. YEARS EXPERIENCE - TOTAL: 37

14b. YEARS EXPERIENCE - WITH CURRENT FIRM: 1.5 years

22. FIRM NAME AND LOCATION *(City and State)*: CAPTEC Engineering, Inc., Stuart, FL

EDUCATION *(DEGREE AND SPECIALIZATION)*: B.A., Business Administration, Worcester Junior College, 1970
A.S., Wildlife and Forestry, Broward Community College, 1976

23. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*:

24. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*:
**Certificate of Qualification for Intermediate Maintenance of Traffic, Gainesville, FL 2012
CTQP - Asphalt Levels 1 and 2, CTQP - Earthwork Construction Inspection Levels 1 & 2
CTQP - Concrete Field Technician Level 1, CMEC ACI - Concrete Field Testing Technician Level 1**

19a(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Indian River Veterans Memorial Park, Fort Pierce, FL

19a(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: On-going

19a(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: On-going

19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION –

CAPTEC was contracted to provide design, permitting and construction services to upgrade the Indian River Veterans Memorial Park in Fort Pierce to better accommodate handicapped guests and address flooding issues. Project included designing Park improvements so as to deter flooding and improve ADA accessibility and usability by incorporating lighting, irrigation, drainage, paver walkways, concrete pavement, asphalt, concrete curbs and walls and grand entrances. Provided a stormwater treatment and nutrient load reduction plan for water quality benefits to the Indian River Lagoon including lakes, bioswales, fountains and flucc logs. This project entails remodeling the Community Center to include ADA restrooms accessibility. Project is On-going.

Prime Consultant. **Project Cost: $1.5 million**

19a(3) RELEVANT PROJECT - Check here if project performed with current firm: √

19b(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Palm Beach Road Improvements, Martin County, FL

19b(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: 1999

19b(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: 2000

19b(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Senior Inspector responsible for the reconstruction and widening of existing 2-lane roadway. Removal and reconstruction of existing pavement, sidewalk, drainage, water main replacement, signalization, striping, curb and gutter reconstruction, landscaping, irrigation, street lighting system, and new mast arm signalization. (Design by CAPTEC)

Prime Consultant. **Project Cost: $4,500,000.00**

19b(3) RELEVANT PROJECT – Check here if project performed with current firm:

19c(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Becker Road Segments 1, 2 and 3, Port St. Lucie, FL

19c(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2010**

19c(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2013**

19c(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Senior Project Inspector responsible for reconstruction of Becker Road from 2 lanes to 4 lanes from I-95 to Athena Drive, approximately 3.5 miles. (Design by CAPTEC)

Prime Consultant. **Project Cost: $26 million**

19c(3) RELEVANT PROJECT - Check here if project performed with current firm:

19d(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Indian Street Bridge, Martin County, FL (FDOT FM No. 230978-1-52-01)

19d(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2013**

19d(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2013**

19d(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Senior Inspector for the design/build Indian Street Bridge Project. The project included reconstruction of 1.5 miles of roadway and a new bridge crossing the St. Lucie River. Responsible for inspection of drainage installation, roadway construction, paving, signing and street light installation.

Prime Consultant. **Project Cost: $65,000,000.00**

19d(3) RELEVANT PROJECT - Check here if project performed with current firm:

19e(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

State Road 5/US 1: Rio Mar to Midway Road, St. Lucie County, FL (FDOT FM No. 2302882-62-01)

19e(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2007**

19e(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2008**

19e(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Senior Inspector responsible for the reconstruction of 3.5 miles of State Road 5 from a 5-lane rural section to a 6-lane divided urban section. Work included box culverts, drainage, retention ponds, signals, and landscaping.

Prime Consultant. **Project Cost: $26,000,000.00**

19e(3) RELEVANT PROJECT - Check here if project performed with current firm:

19f(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Willoughby Boulevard from Cove Road to Salerno Road, Including Community Drive & Tower Drive, Martin County, FL

19f(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2001**

19f(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2002**

19f(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Senior Inspector for new construction of 2-lane divided highway including excavation of three large retention ponds. Project also included landscape, irrigation, lighting systems, and new mast arm signalization.

Prime Consultant. **Project Cost: $4,500,000.00**

19f(3) RELEVANT PROJECT - Check here if project performed with current firm:

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

25. NAME: **Robert Hewett**

26. ROLE IN THIS CONTRACT: **Sr. Inspector**

14a. YEARS EXPERIENCE - TOTAL: **25**

14b. YEARS EXPERIENCE - WITH CURRENT FIRM: **less than 1 year**

22. FIRM NAME AND LOCATION *(City and State)*: **CAPTEC Engineering, Inc., Stuart, FL**

EDUCATION *(DEGREE AND SPECIALIZATION)*:

27. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*:

28. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*:

ATTSA Advanced Work Zone Traffic Control; Final Estimates Level I & II
CTQP - Asphalt Levels 1 and 2, CTQP - Earthwork Construction Inspection Levels 1 & 2
CTQP - Concrete Field Testing Inspection, ACI - Concrete Field Testing Technician

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19a(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Savannah Recreation Area Trail, Preserve State Park Education Center Improvement and Halpatiokee Canoe Launch, Port St. Lucie, FL

19a(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **On-going**

19a(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **On-going**

19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION –

Providing construction engineering inspection services for the new pedestrian trail, an addition to the education center building with a 9-foot wooden boardwalk and observation deck over the wetlands and a canoe/kayak launch floating dock for the Savannahs State Park. Services include regulatory permitting compliance, inspect and report results of the contractors work, provide sampling and testing of component materials, verify stormwater control and monitor flow patterns to verify the control devices contain turbidity within the site and have not altered existing drainage patterns or directed additional flow to adjacent properties. Project is On-going.

Prime Consultant. **Project Cost: $1,500,000.00**

19a(3) RELEVANT PROJECT - Check here if project performed with current firm: √

19b(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Martin Health System North Hospital Campus Improvements, Stuart, FL

19b(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **On-going**

19b(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **On-going**

19b(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Providing design, permitting and construction administration services to perform a review of the existing survey data, parking, drainage, surface treatments, and pedestrian and vehicular circulation patterns to provide the civil site plans. Project area includes the North Entrances (from Osceola Street and Illinois Avenue), the surface parking areas and the paving areas adjacent to the emergency room and maintenance / loading area. Site improvements also include the cancer center, 509 building, western parking garage and common areas, northern campus adjacent to emergency room entrance, dining areas, northeastern parking lot.

Prime Consultant. **Project Cost: $1,500,000.00**

19b(3) RELEVANT PROJECT – Check here if project performed with current firm:

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

29. NAME: **Rhett Keene, PE**

30. ROLE IN THIS CONTRACT: **Director of Engineering / Project Manager**

14a. YEARS EXPERIENCE - TOTAL: **16**

14b. YEARS EXPERIENCE - WITH CURRENT FIRM: **14**

19. FIRM NAME AND LOCATION *(City and State)*: **CAPTEC Engineering, Inc., Stuart, FL**

EDUCATION *(DEGREE AND SPECIALIZATION)*: **University of Florida, Gainesville**
B.S., Agricultural & Biological Engineering 1997
(Soil & water specialization)

20. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*: **Florida, PE Civil Engineer #65626**
LEED AP Certified #10251793

21. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*:
Member: ASCE, FES, FDOT LAP Certified

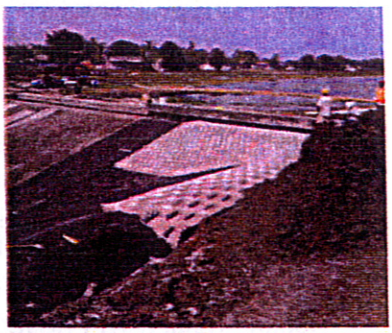
19a(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Dunbrooke, Port St. Lucie, FL

19a(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2010**

19a(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2014**

19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

CDBG funded drainage improvement project in the Dunbrooke neighborhood area. Provided civil engineering and surveying coordination for these drainage improvements consisting of upgrades to the H-10 Control Structure and Hog Pen Slough adjacent to Lennard Road; drainage system upgrades in the Dunbrooke neighborhood; milling and re-paving of neighborhood streets; and replacement of existing asphalt sidewalks with concrete sidewalks on both sides of Lennard Rd . Bidding assistance was provided; CEI services are on-going. Assisted the City in coordinating and providing progress submittals required by their CDBG funding agreement contract.



Prime Consultant. **Project Cost : $526,448.30**

19a(3) **RELEVANT PROJECT - Check here if project performed with current firm:** √

19b(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Tradition Subdivision Roadway Improvements, Stuart, FL

19b(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2007**

19b(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2010**

19b(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Provided design, permitting, CEI oversight services for various arterial, collector, and subdivision roadway projects within the Tradition Subdivision, St. Lucie West. Design varied but generally included roadway, stormwater, and utility. CEI services included coordination with sub-consultants (including geotechnical, landscape architect, surveyor), weekly meetings, coordination with contractor / sub-contractors, shop drawing review. Projects typically included landscaping and irrigation. Final certification was prepared upon completion of construction for individual roadways / plats as well as CDD coordination / submittal.
Overall: 12 miles of roadway, 16 projects.

Prime Consultant. **Project Cost: $ +44,000,000.00**

19b(3) **RELEVANT PROJECT - Check here if project performed with current firm:** √

19c(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Rio/St. Lucie Water Quality Retrofit, Martin County, FL

19c(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2014**

19c(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*:

19c(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Prepared a Preliminary Engineering Report to identify deficiencies in flood protection and water quality treatment in the basin and proposed improvements to remedy deficiencies. Based upon County acceptance of that report CAPTEC prepared final design plans, provided permitting services, prepared construction drawings, and provided limited construction services for Phase IB stormwater improvements within the Rio / St. Lucie Water Quality Retrofit. This phase of the work included surveying, environmental services, analysis and final design and construction plans preparation, cost estimating, regulatory permitting services and limited construction administration services for implementation of the proposed improvements. The improvements included Baffle Boxes and swale drainage and exfiltration pipe improvements in an existing Developed Subdivision .

Prime Consultant. **Project Cost: $750,000.00**

19c(3) **RELEVANT PROJECT - Check here if project performed with current firm:** √

19d(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Indian River Drive Baffle Boxes/Roadway Improvements, Jensen Beach, FL

19d(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2008**

19d(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2010**

19d(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Project removed suspended solids from 8 stormwater outfalls draining directly into the Indian River Lagoon. Researched locations for 8 second generation Nutrient Separating Baffle Boxes along Indian River Drive, Jensen Beach, FL. Prepared design / construction drawings and obtain South Florida Water Management District (SFWMD) Environmental Resource Permit (ERP). Roadway improvements consisted of milling and resurfacing, sub-grade, base and asphalt, concrete curb and gutter, sidewalk, pavement markings and landscaping. Provide quality control for associated MOT/ CEI services.

Prime Consultant. **Project Cost: $576,555.59**

19d(3) **RELEVANT PROJECT - Check here if project performed with current firm:** √

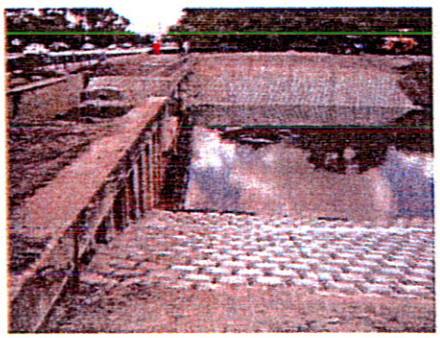
19e(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

NRCS Tropical Storm Fay Emergency Watershed Protection, Port St. Lucie, FL

19e(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2008**

19e(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2009**

19e(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:



Provided professional services to include coordination, technical support, engineering design, and Construction Engineering & Inspection (CEI) services to install emergency watershed protection measures to relieve hazards and damages created by Tropical Storm Fay in canals and waterways within the CITY. Generally, the repairs relate to the City's stormwater infrastructure. such as piping, inlets, headwalls, canals, and/or control structures. Coordination with NRCS representatives and preparation of NRCS periodic payment requisitions was also performed.

Prime Consultant. **Project Cost: $1,425,000.00**

19e(3) **RELEVANT PROJECT - Check here if project performed with current firm:** √

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

19. NAME: **Harold Tourjee, Jr.**

20. ROLE IN THIS CONTRACT: **Project / Design Manager**

14a. YEARS EXPERIENCE - TOTAL: **28**

14b. YEARS EXPERIENCE - WITH CURRENT FIRM: **13**

28. FIRM NAME AND LOCATION *(City and State)*: **CAPTEC Engineering, Inc., Stuart, FL**

EDUCATION *(DEGREE AND SPECIALIZATION)*: **University of Texas, Ph.D. Studies, Plasma Physics**
University of Texas, M.S. & B.S. Physics

29. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*:

30. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*:
FDOT LAP Certified

19a(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Twin Rivers Park, Martin County, FL

19a(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2010**

19a(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2011**

19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Provided design through CEI services for a circular access drive into the park with stabilized parking, ADA compliant parking spaces, improvements to SE Seamark Place (traffic calming chicanes, resurfacing and on-street stabilized parking), lift station, restroom facilities, sanitary sewer, water lines, fire hydrants, drainage improvements, landscaping and ADA paved walkways to the existing fishing piers. Coordination with various sub-consultants included. This project was partially funded with FDEP FL Recreation Development Assistance Program (FRDAP) grant funds.

Prime Consultant. **Project Cost: $1,200,000.00**

19a(3) **RELEVANT PROJECT - Check here if project performed with current firm:** √

19b(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Woodlawn Park CDBG Improvements, Stuart, FL

19b(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2008**

19b(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2011**

19b(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

This project utilized CDBG funding and entailed improvements including neighborhood sidewalk, ADA compliant crosswalks, on-street parking, handicap parking on-street, curbing and/or paving improvements, stormwater management and extensions of water and sanitary sewer lines. Conducted/prepared presentations for neighborhood public meetings. CEI services were provided.

Prime Consultant. **Project Cost: $731,036.58**

19b(3) **RELEVANT PROJECT - Check here if project performed with current firm:** √

19c(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Manatee Pocket Boardwalk, Martin County, FL

19c(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2009**

19c(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2010**

19c(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:
The original project provided for planning, design, permitting and CEI services for a new 400' boardwalk at the end of Bayview Street, Seaward Street & Salerno Road in the Manatee Pocket in the Port Salerno CRA. The second phase of the project included extending the Boardwalk northward of Bayview Street and southward from Salerno Road south and westward toward Dixie Highway along the Manatee Pocket. Services include surveying coordination. The existing boardwalk is located within the upland immediately adjacent to and waterward of the mean high water line. CAPTEC prepared an Environmental Resource Permit application; standard Florida Department of Environmental Protection (FDEP) & U.S. Army Corps of Engineers (ACOE) permit application were also prepared & submitted. Preparation of contract documents, pre-construction meeting attendance, limited construction inspections and field engineering certifications after receipt of as-built drawings by the County's contractor were also performed.

Prime Consultant. **Project Cost: $1,400,000.00**

19c(3) RELEVANT PROJECT - Check here if project performed with current firm: √

19e(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Darwin Boulevard Sidewalk and Pedestrian Bridge, Port St. Lucie, FL

19e(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2014**

19e(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **On-going**

19e(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:
Providing the design & permitting of approximately 1.50-miles of 6-foot wide concrete sidewalk on the east side of Darwin Boulevard from Paar Drive to Becker Road with an 8-foot wide pedestrian bridge over the E-84 Canal. This project is being completed through the Florida Department of Transportation (FDOT) and Local Agency Program (LAP).

Prime Consultant. **Project Cost: $2,000,000.00**

19e(3) RELEVANT PROJECT - Check here if project performed with current firm: √

19d(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Lennard Road Improvements, Port St. Lucie, FL

19d(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **2005**

19d(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2007**

19d(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:
This project entailed reconstruction of an existing 2-lane roadway to a 4-lane divided curb & gutter section for a distance of ±2 miles. Roadway and drainage infrastructure improvements were designed for a future 6-lane roadway. The use of existing outfall areas along the corridor were maximized, as a cost savings to the City. Improvements were provided within the existing 100 – 150 foot wide right of way corridor. Coordination with surveyor, geotechnical, environmental, property acquisition, lighting and landscaping sub-consultants was also performed. 10, 30, 60, 90 & 100% design documents were submitted to and reviewed by the City. Participation in public meetings and assistance in preparation of public notices was performed. An Environmental Resource Permit (ERP) from the SFWMD, St. Lucie County Signal/Roadway Permit Modifications for the Walton Road intersection, and ACOE permits were obtained. Final roadway, drainage, signals, pavement marking, signing, probable construction cost estimates & technical specifications for all aspects of the work were provided for inclusion into the City's bid package.

Prime Consultant. **Project Cost: $9,956,322.00**

19d(3) RELEVANT PROJECT - Check here if project performed with current firm: √

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

18. NAME: **Dylan O'Berry, EI**

21. ROLE IN THIS CONTRACT: **Project Design Engineer**

14a. YEARS EXPERIENCE - TOTAL: **11**

14b. YEARS EXPERIENCE - WITH CURRENT FIRM: **Less than 1 year**

25. FIRM NAME AND LOCATION *(City and State)*: **CAPTEC Engineering, Inc., Stuart, FL**

22. EDUCATION *(DEGREE AND SPECIALIZATION)*: **Florida Atlantic University, Boca Raton, FL**
Masters of Science in Civil Engineering - 2015
Bachelors of Science in Civil Engineering - 2014

26. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*: **Florida, Engineer Intern #1100019173**

27. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*:

19a(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Bayshore Blvd. and Selvitz Road Intersection Improvements, Port St. Lucie, FL

19a(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **On-going**

19a(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*:

19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

CAPTEC with this proposed team has completed data collection, an analysis and provided a report to outline intersection improvement options, including signalized intersection with the preferred option of the construction of a roundabout. CAPTEC provided cost estimates for these improvements. Upon acceptance of one of these options, design, permitting, creation of construction plans, bid documents and post design services will be provided in accordance with FDOT standards for the City of Port St. Lucie.

Prime Consultant. **Project Cost: $ 106,340.00**

19a(3) **RELEVANT PROJECT - Check here if project performed with current firm: √**

19b(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:
Tradition Roadway Renewal Project, Port St. Lucie, FL

19b(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **On-going**

19b(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*:

19b(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Providing plan preparation for the correction of life and safety issues, milling, resurfacing, and restoration of roadway striping for four of the roadways within the Tradition Development, including Village Parkway, Community Boulevard, Westcliffe Lane, and Tradition Parkway. These repairs will be included in the bid packages as required for the City's acceptance of the roadways, and include adjustments of curbing, installation of edge-drains, relocation of light poles to meet clear zone requirements, and relocation/trimming of landscaping to meet "Line of Sight" requirements.

Prime Consultant. **Project Cost: $99,500.00**

19b(3) **RELEVANT PROJECT - Check here if project performed with current firm: √**

19c(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Martin Health Systems North Campus Improvements, Stuart, FL

19c(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **On-going**
19c(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*:
19c(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Providing site improvements to the cancer center, 509 building, western parking garage and common areas, northern campus adjacent to emergency room entrance, dining areas, northeastern parking lot (adjacent to tank yard, facilities and Amerigo). Site improvements include review of the existing survey data, parking, drainage, surface treatments, and pedestrian and vehicular circulation patterns to provide the civil site plans, permitting and construction administration services. Also, the design of a lift station plus ADA parking, sidewalk connections, replace pervious concrete with asphalt, a retaining wall to allow additional parking, and redesign of Emergency and Nurse parking areas. The improvements consider safe circulation of vehicular/service/ pedestrian/Emergency traffic improvements to the campus. All work planned to be completed within 24/7 operation.

Prime Consultant. **Project Cost: $176,000.00**

19c(3) **RELEVANT PROJECT** - Check here if project performed with current firm: √

19d(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Martin Health Systems North Campus Parking Garage Study, Stuart, FL

19d(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **On-going**
19d(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*:
19d(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Preparing a study for the evaluation and remediation of the physical conditions of the existing 4 level parking garage (61,500 square feet per level). Providing recommendations for repairs in a written report to qualify and quantify the damage over multiple phases, provide estimated probable costs of the restoration and waterproofing for these repairs, and provide documents necessary to permit and to obtain contracts with a Contractor for the initial remediation. Perform inspections and re-evaluate the condition of the structure after the initial phase of the three-phase remediation program. Improvements include a traffic calming plan and drainage improvements for the parking garage.

Prime Consultant. **Project Cost: Phase 1 - $16,500.00**

19d(3) **RELEVANT PROJECT** - Check here if project performed with current firm: √

19e(1) RELEVANT PROJECT - TITLE AND LOCATION *(City and State)*:

Cashmere Boulevard Sidewalk Improvements, Port St. Lucie, FL

19e(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES: **On-going**
19e(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION *(If applicable)*:
19e(3) RELEVANT PROJECT - BRIEF DESCRIPTION *(Brief scope, size, cost etc.)* AND SPECIFIC ROLE:

Providing design, intersection improvements, drainage modifications, driveway and culvert replacement, utility relocations, and permitting services for the 1-mile 6-foot wide sidewalk between the Renaissance School and the Westgate K-8 School on Port St. Lucie.

Prime Consultant. **Project Cost: $25,000.00**

19e(3) **RELEVANT PROJECT** - Check here if project performed with current firm: √

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: **1**

21. TITLE AND LOCATION *(City and State)*:
Colorado Avenue Improvements Design & CEI, Stuart, FL

22. YEAR COMPLETED - PROFESSIONAL SERVICES: **2012**
YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2013**

23a. PROJECT OWNER'S INFORMATION - PROJECT OWNER:
City of Stuart, 121 SW Flagler Avenue, Stuart, FL 34994

23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME: **Sam Amerson, PE**

23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER: **772-223-7943**

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*:

Prepared the creation of final design walkable plans for the Colorado Avenue corridor (including portions of Martin Luther King, Jr. Blvd and Kindred St.). Provided the Main Street redesign of traffic calming to include removal of signals and the construction of roadway lane reductions with a roundabout. The existing roadway corridor was designed to facilitate on-street parking, sidewalks, landscaped curb extensions, bike lanes, 2-10' wide travel lanes and a large landscaped median and a roundabout at Martin Luther King Jr. Blvd. CAPTEC completed a traffic study to remove the existing traffic signal at the Kindred Street intersection and conducted multiple public meetings. Construction observation services including public meeting attendance, shop drawing review, pay requests, MOT set up, and final inspection verifying punch list items have been dealt with. This project was funded with CDBG funds.

Prime Consultant PROJECT COST: **$1,354,363.53**

25.RELEVANCE: Demonstrates CAPTEC'S public roadway design, permitting & CEI experience with CDBG funding.

FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
CAPTEC Engineering	Stuart, FL	Civil Engineer

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project

20. EXAMPLE PROJECT KEY NUMBER: 2

21. TITLE AND LOCATION *(City and State)*:
City of Stuart IQ Main, Stuart, FL

22. YEAR COMPLETED - PROFESSIONAL SERVICES: **2011**

YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2012**

23a. PROJECT OWNER'S INFORMATION - PROJECT OWNER:
City of Stuart, 121 SW Flagler Avenue, Stuart, Florida 34994

23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME: **Sam Amerson, PE**

23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER: **772-288-5331**

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*:

Provided design, permitting and CEI services for a new IQ main from the Wastewater Treatment plant on Stypmann Blvd, down Georgia Ave to Martin Luther King Jr. Blvd., down along the western edge of the Public Safety Complex, to just south of the 10th Street Recreation Area Ball Fields batting cages. Construction was completed in early 2010. Phase II construction extended the lines from 10th Street to Dixie Highway to the Airport, across US1 to the Jail site and then down to Pomeroy/Willoughby Blvd. intersection. Construction included over 32,000 L.F. of 12-30" pipe and over 6,700 L.F. of directional drilling and directional bores, when completed, under busy downtown streets, the FEC Railroad and several major thoroughfares such as U.S.1.

Prime Consultant

PROJECT COST: **$ 624,841.00 Phase I**
$2,672,818.20 Phase II

25. RELEVANCE: Depicts CAPTEC's design, permitting and CEI experience.

FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
CAPTEC Engineering	Stuart, FL	Civil Engineer

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project

20. EXAMPLE PROJECT KEY NUMBER: 3

21. TITLE AND LOCATION *(City and State)*:

Tradition Medical Center, Port St. Lucie, FL

22. YEAR COMPLETED - PROFESSIONAL SERVICES: **2013**

YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2014**

23a. PROJECT OWNER'S INFORMATION - PROJECT OWNER:

HKS Architects, Inc., 1919 McKinney Avenue, Dallas, TX 75201

23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME: **Roy Gunsolus, Project Manager**

23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER: **214-969-5599**

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*:

Provided CEI services for site improvements at this 20 acre 88 bed 2 story hospital site on a vacant parcel located in Tradition/ Southern Grove along Gatlin Blvd. and Interstate 95 in Port St. Lucie. Specific services include attending weekly meetings, providing site inspections, and responding to RFIs throughout construction duration of this design-build project. This project received LEED Certification – **Gold Level** on May 8, 2014.

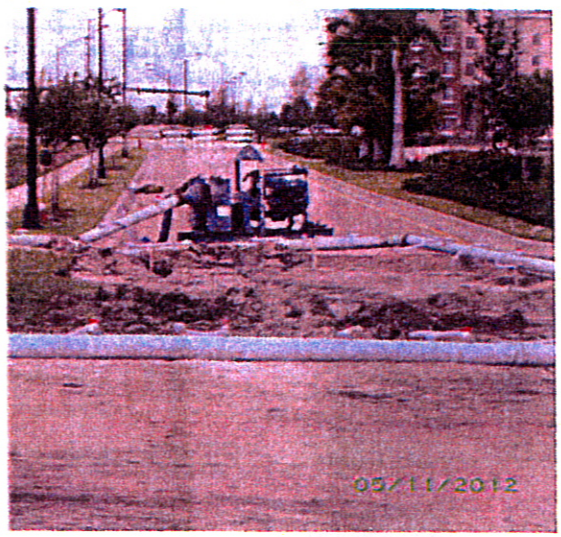
Sub-Consultant

PROJECT COST: **$68,700,000.00 Overall**
$2,200,000.00 Site

25. RELEVANCE: Depicts CAPTEC's design, permitting and CEI experience.

FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
CAPTEC Engineering	Stuart, FL	Civil Engineer



F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project

20. EXAMPLE PROJECT KEY NUMBER: 4

21. TITLE AND LOCATION *(City and State)*:
SR-707 Turn Lane / Wright Blvd & SR 707 Intersection FM# 426252-1-58-01 / ARRA 033 B
Martin County, FL

22. YEAR COMPLETED - PROFESSIONAL SERVICES: 2010
YEAR COMPLETED - CONSTRUCTION *(if applicable)*: 2010

23a. PROJECT OWNER'S INFORMATION - PROJECT OWNER:

Martin County Engineering Dept., 2401 SE Monterey Road, Stuart, FL 34996

23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME: Don Donaldson, PE

23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER: 772-288-5927

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*:

Provided CEI services for the Southbound Right Turn Lane on SR-707 at NW Wright Boulevard. Improvements included roadway intersection widening, sidewalk / Type F curb construction, up-grading of 4 handicap ramps & the signal to include optical vehicle detectors, additional pedestrian signals, a new controller; milling and resurfacing of ±640 LF of SR-707 centered on either side of Wright Blvd.; installation of new pavement markings & signage. FDOT/ARRA/LAP funded project subject to associated criteria and conditions including federal reporting requirements. A sub-consultant provided an Asphalt Plant Inspector; they also provided ARRA forms to CAPTEC for submittal to Martin County.

Prime-Consultant PROJECT COST: $ 950,000.00

25. RELEVANCE: Depicts CAPTEC's CEI experience.

FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
CAPTEC Engineering	Stuart, FL	Civil Engineer

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project

20. EXAMPLE PROJECT KEY NUMBER: 5

21. TITLE AND LOCATION *(City and State)*:

Martin County Airport – Roadway & Utility Design, Stuart, FL

22. YEAR COMPLETED - PROFESSIONAL SERVICES: **2013**

YEAR COMPLETED - CONSTRUCTION *(If applicable)*: **2013**

23a. PROJECT OWNER'S INFORMATION - PROJECT OWNER:

Hanson Professional Services, Inc. (for Martin County Engineering), 9015 Town Center Pkwy, Suite 105, Lakewood Ranch, FL 34202

23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME: **Paul Bangs, PE**

23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER: **772-288-5927**

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*:

Civil design, permitting and construction inspection/administration/clearance of infrastructure improvements including replacing an aging wastewater system, extending existing water service to improve drinking water pressure and Airport Road improvements. This project had several Grants to coordinate, but installing a new sanitary sewer system and water system, that was built in the 1940's to 1970's, and maintaining large business operations was required.

Prime Consultant PROJECT COST: **$1,323,614.00**

25. RELEVANCE: Depicts CAPTEC's design, permitting and CEI experience.

FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
CAPTEC Engineering	Stuart, FL	Civil Engineer

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project

20. EXAMPLE PROJECT KEY NUMBER: 6

21. TITLE AND LOCATION (*City and State*):

Floresta Blvd. and Southbend Blvd. Roundabout, Port St. Lucie, FL

22. YEAR COMPLETED - PROFESSIONAL SERVICES: 2014

YEAR COMPLETED - CONSTRUCTION (*If applicable*): 2014

23a. PROJECT OWNER'S INFORMATION - PROJECT OWNER:

, FL 34996

23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME: Greg Nolte

23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER: 772-221-2380

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (*Include scope, size, and cost*):

Providing (CEI) Services for the Floresta Drive & Southbend Roundabout project that will include all work associated with the construction of a two lane roundabout with roadway approaches, including but not limited to, roadway construction, stormwater detention ponds, outfall structures, conveyance piping, drainage structures, maintenance of traffic (MOT), striping, sidewalk, sediment & erosion control measures, sodding, landscaping and any additional work needed to provide project completion

Prime Consultant PROJECT COST: $221,015.00

25. RELEVANCE: Depicts CAPTEC's CEI services.

24. FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION (*City and State*)	(3) ROLE
CAPTEC Engineering	Stuart, FL	Civil Engineer

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project

20. EXAMPLE PROJECT KEY NUMBER: 7

21. TITLE AND LOCATION *(City and State)*:
Martin Memorial Hospital Main Street Entrance, Stuart, FL

22. YEAR COMPLETED - PROFESSIONAL SERVICES: 2013

YEAR COMPLETED - CONSTRUCTION *(If applicable)*: 2014

23a. PROJECT OWNER'S INFORMATION - PROJECT OWNER:
Martin Health Systems, P.O. Box 9000, Stuart, FL 34994

23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME: **Matt Kelly, Project Manager**

23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER: 772-223-5945 ext. 3226

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*:

Completed the design and CEI for Main St. with Roadway/Parking/Utility services/Landscaping enhancement plan including review of the roadway, drainage, surface treatments and pedestrian and vehicular circulation for the North Campus Hospital while in a 24/7 operation. The phase 2 improvements are currently on-going for $2.5 million construction.

Prime Consultant PROJECT COST: **$2,500,000.00**

25.RELEVANCE: Depicts CAPTEC's Roadway, Sidewalks, Drainage, Utility, Irrigation/Landscape & CEI experience.

FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
CAPTEC Engineering	Stuart, FL	Civil Engineer

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project

20. EXAMPLE PROJECT KEY NUMBER: **8**

21. TITLE AND LOCATION (*City and State*):
Indian River Veteran's Memorial Park, Fort Pierce, FL

22. YEAR COMPLETED - PROFESSIONAL SERVICES: On-going
YEAR COMPLETED - CONSTRUCTION (*If applicable*): On-going

23a. PROJECT OWNER'S INFORMATION - PROJECT OWNER:

City of Fort Pierce, 100 North U.S. Highway 1, Fort Pierce, FL 34954

23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME: **Jack Andrews, Project Manager**

23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER: **772-460-2200 ext. 143**

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT (*Include scope, size, and cost*):

CAPTEC was contracted to provide design, permitting and construction services to upgrade the Indian River Veterans Memorial Park in Fort Pierce to better accommodate handicapped guests and address flooding issues. Project included designing Park improvements so as to deter flooding and improve ADA accessibility and usability by incorporating lighting, irrigation, drainage, paver walkways, concrete pavement, asphalt, concrete curbs and walls and grand entrances. Provided a stormwater treatment and nutrient load reduction plan for water quality benefits to the Indian River Lagoon including lakes, bioswales, fountains and fluce logs. This project entails remodeling the Community Center to include ADA restrooms accessibility. The initial phase of the project included the replacement of a sanitary sewer line to service the County Center while the facility was in operation. Project is On-going.

Prime Consultant PROJECT COST: **$1.5 million**

25. RELEVANCE: Depicts CAPTEC's design, permitting, CEI experience and ADA compliance.

FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION (*City and State*)	(3) ROLE
CAPTEC Engineering	Stuart, FL	Civil Engineer

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 9

21. TITLE AND LOCATION (*City and State*):
Marion Avenue Sidewalk CEI, Port St. Lucie, FL

22. YEAR COMPLETED - PROFESSIONAL SERVICES: 2012
YEAR COMPLETED - CONSTRUCTION (*If applicable*): 2012

23a. PROJECT OWNER'S INFORMATION - PROJECT OWNER:
City of Port St. Lucie, 121 SW Port St. Lucie Boulevard, Port St. Lucie, FL 34984

23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME: **Roxanne Chesser, PE**

23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER: 772-871-5186

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*:

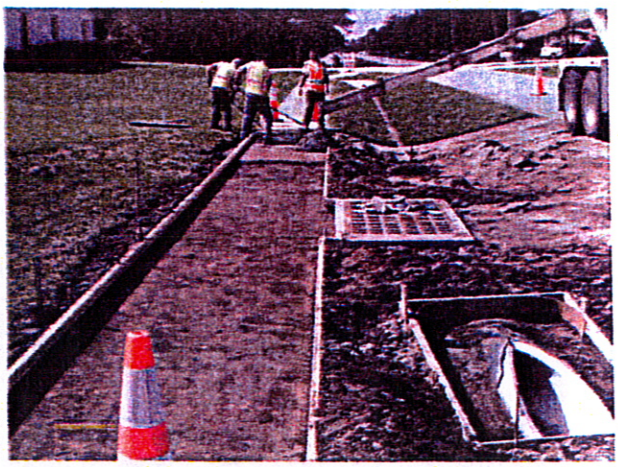
Provided Construction Engineering Inspection (CEI) services for the construction of a 5 ft. wide concrete sidewalk on the south side of Marion Avenue from Bayshore Boulevard east to Curtis Street. Provided field observations of installation, reviewing of all contractor's change orders, pay requests and schedule. Maintenance of records and documents in accordance with FDOT LAP requirements. Provided certification of record drawings and certificate of completion per FDOT Lap requirements.

Prime Consultant PROJECT COST: $410,034.63

25.RELEVANCE: Depicts CAPTEC'S City of Port St. Lucie sidewalk, drainage and CEI experience.

FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
CAPTEC Engineering	Stuart, FL	Civil Engineer



F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT
(Present as many projects as requested by the agency, or 10 projects, if not specified.

Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: **10**

21. TITLE AND LOCATION (*City and State*):
Darwin Boulevard/Tulip Boulevard Intersection Improvements and Signalization, Port St. Lucie, FL

22. YEAR COMPLETED - PROFESSIONAL SERVICES: 2006
YEAR COMPLETED - CONSTRUCTION *(If applicable)*: 2007

23. PROJECT OWNER'S INFORMATION - PROJECT OWNER:
City of Port St. Lucie, 121 SW Port St. Lucie Blvd., Port St. Lucie, FL 349834

23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME: **Patricia Roebling, PE**

23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER: **772-871-5289**

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*:

Provided traffic analysis, design, permitting and CEI services for widening of the subject intersection to include turn lanes & 8 ft. wide sidewalks. Improvements included minor drainage revisions, utility adjustments, pavement marking & signing improvements to facilitate additional vehicular and pedestrian traffic associated with the new Treasure Coast High School.

Prime Consultant PROJECT COST: **$1,800,000.00**

RELEVANCE: Shows CAPTEC'S roadway design, with pavement parking and signing improvements, drainage, utility and CEI experience.

24. FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
CAPTEC Engineering	Stuart, FL	Civil Engineer

G. KEY PERSONNEL PARTICIPATION IN EXAMPLE PROJECTS

26. NAMES OF KEY PERSONNEL (From Section E, Block 12)	27. ROLE IN THIS CONTRACT (From Section E, Block 13)	28. EXAMPLE PROJECTS LISTED IN SECTION F (Fill in "Example Projects Key" section below before completing table. Place "X" under project key number for participation in same or similar role.)									
		1	2	3	4	5	6	7	8	9	10
Joseph W. Capra	Principal / Quality Control	X	X	X	X	X	X	X	X	X	X
Gary Jones	Director of Construction Services/Project Manager	X	X	X	X	X	X	X	X	X	X
Jeffrey Bouley	Construction Project Manager						X		X		
Robert Hewett	Sr. Inspector							X	X		
Rhett Keene	Director of Engineering Services			X			X				
Harold Tourjee, Jr.	Project / Design Manager	X	X			X		X	X		X
Dylan O'Berry, EI	Project Design Engineer							X			

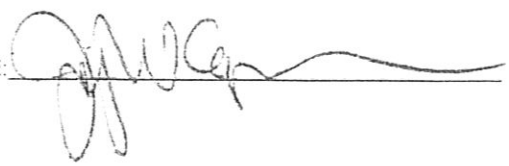
29. EXAMPLE PROJECTS KEY

NO.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)	NO.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)
1	Colorado Avenue Improvements	6	Floresta Blvd and Southbend Blvd Roundabout
2	City of Stuart IQ Main	7	Martin Health System Main Street Improvements Phase 1 and Phase 2
3	Tradition Medical Center	8	Indian River Veterans Memorial Park
4	SR 707/ Wright Blvd. Intersection	9	Marion Avenue Sidewalk CEI Services
5	Martin County Airport Roadway & Utility Improvements	10	Darwin Blvd/Tulip Blvd Intersection Improvements

H. ADDITIONAL INFORMATION

29. PROVIDE ANY ADDITIONAL INFORMATION REQUESTED BY THE AGENCY. ATTACH ADDITIONAL SHEETS AS NEEDED:

9. AUTHORIZED REPRESENTATIVE
The foregoing is a statement of facts.

30. SIGNATURE OF AUTHORIZED REPRESENTATIVE: 

31. DATE SIGNED: November 17, 2015

32. NAME AND TITLE OF SIGNER: Joseph W. Capra, PE – President

PART II - GENERAL QUALIFICATIONS

(If a firm has branch offices, complete for each specific branch office seeking work.)

1.	SOLICITATION NUMBER *(If any)*:	20150126
2a.	FIRM (OR BRANCH OFFICE) NAME:	CAPTEC Engineering, Inc.
2b.	FIRM (OR BRANCH OFFICE) STREET:	301 NW Flagler Avenue
2c.	FIRM (OR BRANCH OFFICE) CITY:	Stuart
2d.	FIRM (OR BRANCH OFFICE) STATE:	FL
2e.	FIRM (OR BRANCH OFFICE) ZIP CODE:	34994
3.	YEAR ESTABLISHED:	1996
4.	DUNS NUMBER:	
5a.	OWNERSHIP - TYPE:	CORPORATION
5b.	OWNERSHIP - SMALL BUSINESS STATUS:	Small Business Enterprise (see attached)
6a.	POINT OF CONTACT NAME AND TITLE:	Joseph W. Capra, PE - Principal
6b.	POINT OF CONTACT TELEPHONE NUMBER:	772.692.4344
6c.	POINT OF CONTACT E-MAIL ADDRESS:	jcapra@gocaptec.com

7. NAME OF FIRM *(If block 2a is a branch office)*:

8a. FORMER FIRM NAME(S) *(If any)* N/A	8b. YR. ESTABLISHED	8c. DUNS NUMBER

9. **EMPLOYEES BY DISCIPLINE**

a. Function Code	b. Discipline	c(1). No. of Employees - Firm	c(2). No. of Employees - Branch
12	Civil Engineer	16	16

10. PROFILE OF FIRM'S EXPERIENCE AND ANNUAL AVERAGE REVENUE FOR LAST 5 YEARS

a. Profile Code	b. Experience	c. Revenue Index
C10	Commercial, low rise	1
C15	Construction Management	1
C13	Cost Estimating	1
D04	Design-Build	1
H07	Highways	3
H11	Housing - Multi-Family	3
I01	Industrial	2
O01	Office Buildings	2
P04	Pilelines (Gas)	3
P06	Planning	1
S11	Sustainable Design	1
S13	Stormwater Facilities	3
U02	Urban Renewal	1
W02	Water Resources	2
W03	Water Supply	2

PROFESSIONAL SERVICES REVENUE INDEX NUMBER

1. Less than $100,000
2. $100,000 to less than $250,000
3. $250,000 to less than $500,00
4. $500,000 to less than $1 million
5. $1 million to less than $2 million
6. $2 million to less than $5 million
7. $5 million to less than $10 million
8. $10 million to less than $25 million
9. $25 million to less than $50 million
10. $50 million or greater

ANNUAL AVERAGE PROFESSIONAL SERVICES REVENUES OF FIRM FOR LAST 3 YEARS
(Insert revenue index number shown above)

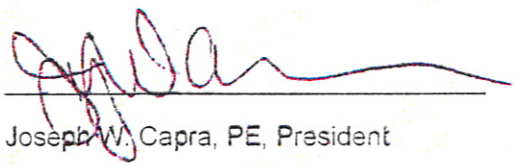
11a. Federal Work: 1

11b. Non-Federal Work: 6

11c. Total Work: 6

AUTHORIZED REPRESENTATIVE. The foregoing is a statement of facts.

12a. SIGNATURE:



Joseph W. Capra, PE, President

12b. DATE SIGNED: November 17, 2015

SOUTH FLORIDA WATER MANAGEMENT DISTRICT

REGISTERED VENDOR NO.: 102666

August 19, 2013

CERTIFICATION EXPIRATION DATE
August 19, 2016

Ms. Patricia Capra, Vice President
CAPTEC Engineering Inc.
301 NW Flagler Avenue
Stuart, FL 34994

Dear Ms. Capra:

Congratulations, the South Florida Water Management District (District) has certified your firm as a Small Business Enterprise (SBE). This certification is valid for three (3) years and may **only** be applied when business is conducted in the following area(s):

Professional Engineering Consulting Services

Your submittal of bids or proposals to supply other products or services outside of the specialty area(s) noted above will not count toward SBE participation. If you require certification in other specialty areas, please contact the Procurement Bureau, SBE Section, for additional information.

Renewal is required every three (3) years and should be requested a minimum of 45 days prior to the above expiration date.

If any changes occur within your company during the certification period such as ownership, affiliate company status, address, telephone number, licensing status, gross revenue, or any information that relates to your SBE Certification status, you must notify this office in writing immediately. It is imperative that we maintain current information on your company at all times. *FAILURE TO REPORT CHANGES MAY RESULT IN DECERTIFICATION.*

Certification is not a guarantee that your firm will receive work, nor an assurance that your firm will remain in the District's vendor database.

We look forward to a mutually beneficial working relationship.

Sincerely,

Colleen M Robbs

Colleen M. Robbs
Sr. Compliance Specialist
Procurement Bureau

/cr

QUESTIONNAIRE

E-RFP #20150126
Continuing Professional CEI Services.

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Engineer, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this 17 day of November, 2015

Target Engineering Group, Inc.
Name of Organization / Proposer

(This is a word document please add space as needed.)

1. Where is your assigned staff's office located for this contract, including address, telephone, fax number, e-mail address and contact person?
   (This is a word document add lines as needed)

   3510 SW Corporate Parkway
   Palm City, FL 34990
   T 772.888.2982
   F: 305-436-8885
   E: RScott@targetengineering.com
   Project Contact: Randall Scott, P.E.

2. Will your firm accept payment by Credit Card (yes or no)? Yes

3. List the qualifications and experience of the team assigned to this contract, and their applicable experience specifically Road & Bridge CEI projects, on the attached spreadsheet **(Attachment A).** Please include other titles that you feel may be necessary. If a title does not apply to your team please skip.

4. List similar Road & Bridge CEI projects related to **Water and Waste Water Pipeline** awarded by other government agencies, to your firm in the past five (5) years. (Do not include the City of Port St. Lucie projects)

Project	Date of Award	Responsibility	Is Work Completed	Firm's Role in the Project	Amount of Contract
S.R. 838(Sunrise Blvd Bridge)	5/13	CEI	No	Prime	$1,605,088

West Blue Heron / SR A1A Enhancement Project	6/10	CEI	Yes	Prime	$1,522,873
CEI Services for US 1 Widening & Reconstruction	2/13	CEI	No	Prime	$3,321,973
Palm Bay Parkway	7/12	CEI	Yes	Prime	$1,303,001
	Total Amount				$7,752,935

5. List similar Road & Bridge CEI projects, awarded by other government agencies, to your firm in past five (5) years. (Do not include the City of Port St. Lucie projects)

Project	Date of Award	Responsibility	Is Work Completed	Firm's Role in the Project	Amount of Contract
D1 SR 17	7/13	CEI	No	Prime	$2,102,057
I-75 Express Lanes – Design Build Broward Counties	5/13	CEI	No	Prime	$20,585,463
D4 - SR 838 – Sunrise Blvd Bridge	5/13	CEI	No	Prime	$1,605,088
D7 US 301	6/13	CEI	No	Prime	$2, 981,615
Widen HEFT - N of Eureka to S. of Killian – Design Build – Miami-Dade County	6/13	CEI	No	Prime	$13,407,967
CR 714 – Martin County	4/14	CEI	No	Prime	$2,360,239
D5 – Group 145	3/14	CEI	No	Prime	$2,031,408
City of Orlando – Sligh Blvd	11/14	CEI	No	Prime	$554,339
				Total Amount	$42,646,561

(This is a word document add lines as needed)

6. Describe your methodology on how you would rate a contractor's performance in the field? (Limit 1 page).

The contractor's performance can be judged on several factors. In our opinion, the most critical factors are cooperation with the owner, mindfulness of the public and property owners, conformance with contract documents, compliance with MOT requirements and timely completion of work.

We recommend the City implementing a rating system similar to the FDOT rating system; Contractors Past Performance Rating (CPPR). The rating system would consist of nine categories each having an assigned numerical value. The contractor will start with 100 points; each violation in these categories will subtract points. Target Engineering Group (TEG) could tailor or modify the CPPR system to meet the needs of the City. TEG would use the following categories and implement the CPPR system as following:

- The contractor's effort in pursuit of the work – This will be a ratio of the number of available workdays in a month to the number of days the contractor actually worked on critical project activities. TEG wants to ensure that each contractor awarded projects in the city will give priority to their work.
- Proper MOT and minimizing impacts to the travelling public – Any construction project that requires Maintenance of Traffic or could otherwise impact traffic will be monitored closely by TEG. The contractors will receive verbal warnings and written deficiency letters when they violate the approved MOT plans, specifications or FDOT standards.
- Timely and complete submittal of documents – As with any construction project, the contractor will be responsible for certain specific submittals. These could include a CPM schedule, shop drawings, product data, change order proposals or any type of notice to owner. It is imperative to receive these documents in a timely manner so as not to unduly delay project progress.
- Coordination/Cooperation with the CEI, property owners and utility companies – Any construction contractor working in the City of Port St. Lucie must be cognizant of the numerous stakeholders that could be impacted by their construction operations. They also need to secure a good working relationship with the CEI providing a solid communication structure and respecting TEG's authority as the Owner's representative. Again, verbal warnings and written deficiency letters will be used to document failures in this area of coordination.
- Mitigate cost and time overruns – Each contractor will be evaluated on their efforts to save time and money on an awarded project. Any reductions in time or costs will be favorably received by TEG and the City. Continuous delays or chronic overruns will be documented and reflected in the CPPR grading scale.
- Environmental Compliance – It could be as simple as protecting the existing stormwater drainage system from construction debris to protecting canals from excessive turbidity. TEG will routinely inspect the contractor's stormwater and pollution protection systems to identify issues in a timely manner. We will give the contractor 24 hours to repair or replace damaged devices. Deficiency letters will be used to track and rate the contractor in this category.
- Conformance with contract documents – The contractor will be required to construct the project based on approved plans, specifications and standards for road and bridge construction. For each day that the contractor's work is in non-compliance with contract documents, points will be deducted.

7. How would you handle field changes for CEI projects? (Limit 1 page.)

Changes to construction projects are inevitable. There is almost always an unknown underground conflict or a newly updated product that will cause a change in the design and/or total cost for the project. We will classify all change orders based on their magnitude. Minor changes, such as shifting a sidewalk or a minimal movement of a sign post will be handled in the field, documented in our daily work reports and recorded in the As-Built drawings.

The next level of changes would require input from the Engineer of Record (EOR). These changes would require a potential design revision and could include items such as an apparent error in the drawings, an unknown underground utility conflict, or any change that could create a time or cost overrun. These changes would be identified in the field by the contractor or a TEG inspector and escalated to the CEI Project Engineer and/or the City Project Manager. The CEI Project Engineer would evaluate the change to determine if there is an alternative that could save time or money. Any contract overages would be discussed with the City's construction representative prior to approval for the work to commence.

The final level would be added work by the City. These could include requests from the public, City personnel, the FDOT, County or just a necessary change to harmonize the project. Additional sidewalk length, added drainage structure, additional roadway signage and hurricane upgrade improvements to light poles, signs or traffic signal mast arms are all typical changes we have seem on previous projects. Again, the CEI Project Engineer would evaluate these changes and discuss options with the City's representative. Overruns such as these can often be mitigated using other project underrun changes in cost or time.

In every case, TEG would prepare an independent cost estimate using the FDOT statewide average database or average labor rates and standard "Blue-Book" rates. We would negotiate with the contractor to receive the best possible price for the additional work. All documentation would be organized in separate files for ease of potential future audit purposes; the changes would be included in the As-Built plan set.

8. Describe any significant or unique accomplishment in previous CEI Contracts, for e.g. - budget reductions, time reductions, and reduction in change orders. Include any additional data pertinent to firm's capabilities. (Limit 2 pages).

The following are some accomplishments from previous CEI contracts that resulted in cost and/or time reductions to the project.

S.R. 838 (Sunrise Blvd Bridge) Bridge Reconstruction Over Middle River, Broward County

- Allowed the contractor to work on two MOT phases concurrently. This enabled the contractor complete multiple critical activities. This change resulted in a time savings to the contract.
- Plans called for roadway reconstruction from the intersection of Middle River, along the south side of the project, to the new east-most bridge approach slab. The new bridge is 4 ft higher than the old bridge so the roadway profile had to be adjusted. The EOR planned this work via roadway reconstruction. However upon reviewing the existing pavement conditions and following the removal of a portion of the existing pavement for the installation of a new water main, we realized that the existing pavement east from the already excavated area was about 13-15" thick and in excellent condition. Therefore, we proposed the Department to leave the remaining pavement "as is" and placed overbuild. This saved the Department significant time and expense.
- Reduce the proposed number of eastbound lanes on the MOT phases from 3 lanes to 2 along the south side. This eliminated the need for the contractor to temporarily support a portion of the deck sitting on an old bascule foundation thus facilitating the planned demolition of the old bascule foundation. This idea resulted in time savings to the contract.

Reconstruction of CR 714 just W. of the Turnpike to Mapp Rd., Martin County

- The proposed Traffic Control Plan provided by the EOR was a three-phase plan that required the use of barrier wall to protect the drop offs. The CEI working with the contractor, proposed to use temporary widening to allow for shifting traffic over to one side while constructing the other side and reducing the TCP to a two-phase operation. Even though minor amount of temporary widening was needed, it resulted in a significant cost savings of more expensive items by the elimination of barrier wall and crash cushions and time savings by eliminating one MOT phase. The elimination of the barrier wall also improved business access to this heavily travel corridor.
- The plans call for reconstructing west of Martin Downs Blvd, but after several cores of the asphalt in this area, it was discovered that the existing asphalt was 12-13" thick. The CEI coordinated with the EOR and FDOT Materials Office to determine if the intersection can be overbuilt instead of reconstructed. This resulted in a significant time and cost savings (reduction of clearing and grubbing, stabilization and base quantities, along with reduced MOT effort and time). In addition, it was expected that the contractor would claim for and would have been entitled to additional costs for clearing and grubbing for removing this unidentified extra-thick asphalt.

SR-A1A & Little Mud Creek Bridge, Fort Pierce

- Elimination of barrier wall adjacent to the gravity wall at Little Mud Creek's maintenance access road. After reconsideration & use of adjacent land at current time, any impact to

the gravity wall will be negligible and in itself will not be a safety hazard for the drivers. Cost saving to the Department of more than $164,000

- Concrete retaining wall locations were reviewed just prior to construction. Due to hurricane impacts the adjacent terrain has changed since design & areas where retaining wall was originally needed, was no longer necessary. The CCEI was able to review all locations & eliminate sections saving the Department more than $88,000.
- An alternate erosion control device for lining the channels on the above subject project was used. This change saved more than $138,000, provided equal protection, and significantly reduced environmental impact risks by reducing excavation in the channels, which risked turbidity generation operation in this environmentally sensitive area. If not changing from rubble, it also created constructability issues under Blind Creek & Big Mud Creek bridges due to their low clearance.
- A substitution of the permanent concrete sheet pile with permanent steel sheet pile, which has a coal tar epoxy coating. This change saves over $109,000 and is an equal or better product. Also, this substitution significantly reduced environmental impacts in this sensitive area by eliminating the need to install the piles with jetting.

SR 615, 25th Street, Virginia Ave to Orange Ave, St Lucie County

- Signalization plans did not include a pay item for directional boring at the intersections that had new pedestrian signals and video detection being installed. The plans identified these areas as open cut which was not feasible due to traffic volumes. Coordination with the maintaining agency was done and it was shown that there was existing conduit that could be utilized which resulted in a cost savings to the Department and eliminated the need for directional bores and/or open cut trenches across the intersections.

Glades Grouping of SR15 in Belle Glade and SR15 (US441) in Pahokee; Palm Beach County

- Eliminated the proposed gravity wall construction by increasing the slope of the embankment behind the sidewalk. The increased slope meant that the outside one foot of the embankment would be placed outside of the FDOT ROW and into the South Central Florida Express (SCFE) Railroad's ROW. Our team coordinated with the EOR and DPM and obtained documented permission from SCFE to build the lowest portion of the slope onto its ROW removing the need for the gravity wall and providing access to the SCFE's ROW which they desired.

We believe the above examples speak volumes for how we approach a contract. We are always looking for ways to save the owner money and complete the project faster.

An advantage our firm has over other CEI firms is that we have over 130 CEI personnel. We could handle any project at a moment's notice. To strengthen our team we have partnered with Johnson, Mirmiran & Thompson, Wantman Group, Milestone, and Ardaman & Associates, Inc. Our sub consultants provide us with additional resources to staff and properly manage your construction projects. In addition, our partners provide us with expertise in water and wastewater design and CEI, roadway design, drainage design, survey, geotechnical subsurface investigation, and laboratory testing of construction materials.

9. Describe your methodology in determining a request from a contractor as to what is a change order or an amendment. Include what justifications are required from the contractor for approval of these requests. Also include time frame (#of calendar days) from the request of the contractor to submittal to the City. (Limit ½ page)

When work is required or requested that is outside of the project scope, a change order would be required. Typically it is agreed upon in principal with the contractor that a specific task would be considered additional work and would require a change order.

Prior to requesting a change order proposal from the contractor, our staff would discuss the issue with the EOR and City PM to ensure a change order is needed. Discussions with the EOR would include whether the change is necessary, estimated cost, potential solutions, and economical alternatives. Once it is agreed a change order is required, we would formally request a cost proposal from the contractor.

The contractor's cost proposal shall include, methodology on performing the work and prices for material, labor and equipment to perform the work. Backup documents include invoice quotes for materials, Blue Book rates rental costs for equipment and labor costs based on payrolls and company overhead rates. The contractor's proposal is compared to our independent estimate of the work. The final determined price will be based on negotiations between the City, CEI, and the contractor.

If the change order exceeds $25,000 then the change order document should be submitted to the City prior to beginning the work for Council's approval. The change order needs to be submitted approximately 14 days prior to the anticipated Council meeting. The NTP for the work would be based on approval by the Council and issuance of the change order document.

10. Describe your understanding of a Lump sum and a Unit price contract. (Limit ½ page)

Lump sum contract – The contractor bids the work based on their own estimated quantities for each work item, however in the bid a unit price is not provided for each item. Instead, the contractor provides a lump sum price inclusive of all of the work. The method of payment is based on of estimated work for the period worked using the contractor provided "schedule of values". The CEI would verify each month by performing an estimate of work completed and comparing it to the pay request by the contractor. Any variances between the contractor's and CEI's estimate is discussed and resolved. Upon completion of the project the contractor is paid the final lump sum payment as bid. Adjustments can be made to the final contract value based on work added or deleted.

Unit price contract - The contractor bids the work based on quantities provided for each work item by the EOR. A unit price is provided by the contractor for each pay item. Each month the quantities completed are determined based off of the CEI's records/measurements. The contractor submits a pay request based on agreed upon quantities each month. Quantity meetings are held prior to the pay request submission to ensure the prime contractor and sub-contractors agree with the CEI quantities. Upon completion of the project the contractor is paid based on the final quantities measured and in place as determined by the CEI records and the as built drawings. A final quantity meeting will be held to ensure the prime contractor and the CEI agree to all quantities. Adjustments to the final contract value can be made for work added that is not included in the original scope, or for deleted work. Additional work will be paid based on negotiated cost.

Target Engineering Group has completed numerous large and small CEI projects using both methods. We have the experience and understanding of each type and can successfully manage both types of projects.

11. What is your firm's method of determining what a good contractor is versus an excellent contractor? Please provide specific points. (Limit ½ page)

Many factors go into the successful completion of a project, from the quality of the plans, to the experience of the CEI, to the willingness of the contractor to work in a responsible and cooperative manner. The difference between a good and excellent contractor is their willingness to compromise and to genuinely work as a team to complete the project successfully. A contractor that can make a profit, but also shares the goals of the client to finish a quality project on time and under budget while minimizing the impact to the public, is a great contractor. At TEG, we believe this is a two way street. To expect a contractor to understand our goals without reciprocation would not be proper. We form a relationship with the contractor and realize their goals as well. We believe our role is to assist the contractor in any way possible to finish the project early, reducing resources and time spent on the project.

Quality of the work product also separates the good from the great contractors. This can be quality in their own forces or their ability (and willingness) to hire quality subcontractors. The great contractors look at quality first, before weighing costs. They know the great quality work, although more costly initially, tends to pay off in the end. Great quality work reduces the risk of rework or replacement of subpar efforts.

Other qualities of a great contractor include:

- Building the project with an accurate and complete schedule and completing the project on time.
- Working out issues in a fair and reasonable manner. Cooperation with the project team to resolve issues quickly.
- Complete work with an emphasis on quality control.
- Safe work zone for crew and the traveling public with a high emphasis on MOT.
- Careful of the environment, meeting all permit requirements.

12. What is your method of obtaining as-builds in a timely manner? (Limit ½ page)

As-built drawings are essential documents required at the end of a construction project. They detail changes in the design plans and provide important references to maintaining agencies. Securing accurate and timely as-built drawings from a construction contractor starts with discussing the status in progress meetings. Reminders at these meetings underlines their importance. Collection of the field data is critical in ensuring accuracy and completeness. TEG works closely with contractors to keep accurate and detailed field record measurements; photo documentation also benefits accuracy.

As the project nears completion, TEG typically requests As-Built drawings formally in writing. This is done while there is still outstanding contract dollars to be distributed. The letter request details consequences for late or incomplete as-built drawing submittal including impact to the contractor's performance rating and potential withholding of monthly/final progress payments. Finally, project final acceptance will not be issued until As-Builts are received.

In some contracts, As-Built submittals are required by the CEI. In these cases, TEG updates record drawings whenever there is a change in the field. These record drawings are kept in the CEI office, either as a hard copy or electronically. At project completion, any changes on a plan sheet are reviewed by the TEG Senior Project Engineer and "Signed and Sealed" with their Professional Engineer's stamp. Submittal to the owner is included in the final project close-out documentation; within 30 days after final acceptance.

13. Has your firm failed to complete any contract work awarded? Defaulted on a contract or had a contract canceled or terminated? If so, When and Why?

Target Engineering Group has not failed to complete any contract work awarded nor have we defaulted on a contract.

14. Using the Reference Check Form attached, provide, contact names, telephone and fax numbers and email addresses for EACH government agency listed in question # 5.

Please submit Form 330 in a separate file, preferably .pdf

ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued
1	10/12/15
2	10/27/15

CERTIFICATION:

This E-RFP is submitted by: Name (print) Ramzi Asfour, P.E. who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract in its entirety.

I agree to abide by all conditions of this E-RFP.:

Signature

Chief Operating Officer/Executive Vice President
Title

(Balance of Page Intentionally Left Blank)

State of Florida
Department of State

I certify from the records of this office that TARGET ENGINEERING GROUP, INC. is a corporation organized under the laws of the State of Florida, filed on January 26, 1999.

The document number of this corporation is P99000007624.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on January 12, 2015, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Twelveth day of January, 2015

Ken Detzner

Secretary of State

Authentication ID: CC2882364007

To authenticate this certificate,visit the following site,enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html

State of Florida

Board of Professional Engineers

Attests that

Target Engineering Group, Inc.

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017

Audit No: 228201701519

CA Lic. No: 8139

State of Florida
Department of State

I certify from the records of this office that ARDAMAN & ASSOCIATES, INC. is a corporation organized under the laws of the State of Florida, filed on January 2, 1990, effective December 28, 1989.

The document number of this corporation is L40551.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on January 6, 2015, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Sixth day of January, 2015

Ken Detzner

Secretary of State

Authentication ID: CC3275546540

To authenticate this certificate, visit the following site, enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html

State of Florida

Board of Professional Engineers

Attests that

Ardaman & Associates, Inc.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017
Audit No: 228201700887

CA Lic. No:
5950

State of Florida
Department of State

I certify from the records of this office that BETSY LINDSAY, INC. is a corporation organized under the laws of the State of Florida, filed on January 20, 1998.

The document number of this corporation is P98000005966.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on January 23, 2015, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Twenty-third day of January, 2015

Ken Detzner

Secretary of State

Authentication ID: CC0978735049

To authenticate this certificate,visit the following site,enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html

Florida Department of Agriculture and Consumer Services
Division of Consumer Services
Board of Professional Surveyors and Mappers
2005 Apalachee Pkway Tallahassee, Florida 32399-6500

License No.: LB6852
Expiration Date February 28, 2017

Professional Surveyor and Mapper Business License

Under the provisions of Chapter 472, Florida Statutes

BETSY LINDSAY INC
7997 SW JACK JAMES DR
STUART, FL 34997-7242

ADAM H. PUTNAM
COMMISSIONER OF AGRICULTURE

This is to certify that the professional surveyor and mapper whose name and address are shown above is licensed as required by Chapter 472, Florida Statutes.

Florida Department of Agriculture and Consumer Services
Division of Consumer Services
Board of Professional Surveyors and Mappers
2005 Apalachee Pkway Tallahassee, Florida 32399-6500

License No.: LS4724
Expiration Date February 28, 2017

Professional Surveyor and Mapper License

Under the provisions of Chapter 472, Florida Statutes

ELIZABETH ANN LINDSAY
7997 SW JACK JAMES DR
STUART, FL 34997

ADAM H. PUTNAM
COMMISSIONER OF AGRICULTURE

Florida Department of Agriculture and Consumer Services
Division of Consumer Services
Board of Professional Surveyors and Mappers
2005 Apalachee Pkway Tallahassee, Florida 32399-6500

License No.: LS5565
Expiration Date February 28, 2017

Professional Surveyor and Mapper License

Under the provisions of Chapter 472, Florida Statutes

DAVID JOSEPH WICHSER
7997 SW JACK JAMES DR
STUART, FL 34997-7242

ADAM H. PUTNAM
COMMISSIONER OF AGRICULTURE

State of Florida
Department of State

I certify from the records of this office that JOHNSON, MIRMIRAN & THOMPSON, INC. is a Maryland corporation authorized to transact business in the State of Florida, qualified on March 7, 1985.

The document number of this corporation is P05237.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on February 25, 2015, and its status is active.

I further certify that said corporation has not filed a Certificate of Withdrawal.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Twenty-fifth day of February, 2015

Ken Detzner

Secretary of State

Authentication ID: CC0209231383

To authenticate this certificate,visit the following site,enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html

State of Florida

Board of Professional Engineers

Attests that

Johnson Mirmiran & Thompson, Inc.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017

Audit No: 228201703483

CA Lic. No:
5917

State of Florida
Department of State

I certify from the records of this office that MILESTONE CONSTRUCTION GROUP, INC. is a corporation organized under the laws of the State of Florida, filed on March 19, 2012, effective October 18, 2005.

The document number of this corporation is P12000027588.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on January 16, 2015, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the
Great Seal of the State of Florida
at Tallahassee, the Capital, this
the Sixteenth day of January, 2015

Ken Detzner

Secretary of State

Authentication ID: CC7576469930

To authenticate this certificate,visit the following site,enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html

State of Florida

Board of Professional Engineers

Attests that

Milestone Construction Group, Inc.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

is authorized under the provisions of Section 471.023, Florida Statutes, to offer engineering services to the public through a Professional Engineer, duly licensed under Chapter 471, Florida Statutes.

Expiration: 2/28/2017
Audit No: 228201701321

CA Lic. No:
26830

State of Florida
Department of State

I certify from the records of this office that WANTMAN GROUP, INC. is a corporation organized under the laws of the State of Florida, filed on July 12, 1991.

The document number of this corporation is S66593.

I further certify that said corporation has paid all fees due this office through December 31, 2015, that its most recent annual report/uniform business report was filed on January 12, 2015, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Twelveth day of January, 2015

Ken Detzner

Secretary of State

Authentication ID: CC3920390026

To authenticate this certificate,visit the following site,enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html

QUESTION # 14

REFERENCE CHECK FORM

Bidder Instructions: Fill out top portion only.
(Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: Target Engineering Group, Inc.

Reference: Florida Turnpike Enterprise - HEFT Fax #: 954-934-1354
Email: william.sears@dot.state.fl.us Telephone #: 954-934-1115
Person to contact: William Sears, P.E.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only. (Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: Target Engineering Group, Inc.

Reference: FDOT District 7 - US 301 Fax #: 813-975-6473
Email: melissa.chin@dot.state.fl.us Telephone #: 813-242-2453
Person to contact: Melissa Chin, P.E.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only.
(Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: Target Engineering Group, Inc.

Reference: FDOT District 5 - Group 145 Fax #: 407-275-4187
Email: trevor.williams@dot.state.fl.us Telephone #: 407-482-7820
Person to contact: Trevor Williams, P.E.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only. (Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: Target Engineering Group, Inc.

Reference: FDOT District 4 - CR 714 Fax #: 772-429-4920
Email: dale.walker@dot.state.fl.us Telephone #: 772-201-8518
Person to contact: Dale Walker

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only. (Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: Target Engineering Group, Inc.

Reference: FDOT District 4 - SR 838 Sunrise Blvd Bridge Fax #: 954-958-7660
Email: Angela.Lietz@dot.state.fl.us Telephone #: 954-931-6179
Person to contact: Angela Lietz, P.E.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only.
(Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: Target Engineering Group, Inc.

Reference: FDOT District 4 - I75 Express Lane Fax #: 954-777-4149
Email: Antonio.castro@dot.state.fl.us Telephone #: 954-448-2905
Person to contact: Antonio Castro

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only. (Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: Target Engineering Group, Inc.

Reference: FDOT District 1 - SR 17 Fax #: 863-534-7924
Email: lavenia.toole@dot.state.fl.us Telephone #: 863-519-4310
Person to contact: Lavenia A. Toole, P.E.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only. (Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent: Target Engineering Group, Inc.

Reference: City of Orlando - Sligh Blvd Fax #: 407-246-2892
Email: frank.consoli@cityoforlando.net Telephone #: 407-246-2703
Person to contact: Frank Consoli, PhD, P.E.

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

DRUG-FREE WORKPLACE FORM

E-RFP #20150126
Continuing Professional CEI Services.

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that
Target Engineering Group, Inc. does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

November 12, 2015
Date

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 11/4/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
Regions Insurance, Inc.
1465 E. Joyce Blvd., Ste 205
Fayetteville, AR 72703

http://www.regions.com/rig.rf

CONTACT NAME: Sonja Nelson
PHONE (A/C, No, Ext): 479-684-5265 FAX (A/C, No):
E-MAIL ADDRESS: sonja.nelson@regions.com

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : Travelers Indemnity Company	25658
INSURER B : Phoenix Insurance Company (Travelers)	25623
INSURER C : Continental Casualty Co	20443
INSURER D : Travelers Property & Casualty	
INSURER E :	
INSURER F :	

INSURED
Target Engineering Group, Inc.
359 Alcazar Avenue LLC
359 Alcazar Ave
Coral Gables FL 33134

COVERAGES CERTIFICATE NUMBER: 27183519 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS		
A	✓ COMMERCIAL GENERAL LIABILITY			P6609D657567TIA15	2/15/2015	2/15/2016	EACH OCCURRENCE	$	1,000,000
	☐ CLAIMS-MADE ✓ OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	$	100,000
							MED EXP (Any one person)	$	5,000
							PERSONAL & ADV INJURY	$	1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	$	2,000,000
	✓ POLICY ☐ PRO-JECT ☐ LOC						PRODUCTS - COMP/OP AGG	$	2,000,000
	OTHER:							$	
B	AUTOMOBILE LIABILITY			P8109D657567PHX15	2/15/2015	2/15/2016	COMBINED SINGLE LIMIT (Ea accident)	$	1,000,000
	✓ ANY AUTO						BODILY INJURY (Per person)	$	
	☐ ALL OWNED AUTOS ☐ SCHEDULED AUTOS						BODILY INJURY (Per accident)	$	
	✓ HIRED AUTOS ✓ NON-OWNED AUTOS			$1,000 Deductible			PROPERTY DAMAGE (Per accident)	$	
	✓ Comp ✓ Collision			$1,000 Deductible				$	
D	✓ UMBRELLA LIAB ✓ OCCUR			PSMCUP9D657567TIL15	2/15/2015	2/15/2016	EACH OCCURRENCE	$	4,000,000
	EXCESS LIAB ☐ CLAIMS-MADE						AGGREGATE	$	4,000,000
	DED ✓ RETENTION $ 10,000							$	
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			PDTANUB9D65756715	2/15/2015	2/15/2016	✓ PER STATUTE ☐ OTH-ER		
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) Y/N: N / N/A						E.L. EACH ACCIDENT	$	1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	$	1,000,000
							E.L. DISEASE - POLICY LIMIT	$	1,000,000
C	Professional Liability			AEH288361793	10/19/2015	10/19/2016	5,000,000 Deductible		50,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE
Jonathan Wilson

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Centennial FDOT 1915 ★ 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

July 14, 2015

Ramzi Asfour, Executive Vice President
TARGET ENGINEERING GROUP, INC.
359 Alcazar Avenue
Coral Gables, Florida 33134

Dear Mr. Asfour:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 3 - Highway Design - Roadway

3.1 - Minor Highway Design

Group 7 - Traffic Operations Design

7.1 - Signing, Pavement Marking and Channelization
7.3 - Signalization

Group 10 - Construction Engineering Inspection

10.1 - Roadway Construction Engineering Inspection
10.3 - Construction Materials Inspection
10.4 - Minor Bridge & Miscellaneous Structures CEI
10.5.1 - Major Bridge CEI – Concrete
10.5.2 - Major Bridge CEI - Steel

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any dollar amount. This status shall be valid until June 30, 2016 for contracting purposes.

	Home/Branch Office	Field Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense
Indirect Cost	230.68%	92.00%	0.246%	Reimbursed	39.77% (Home) 21.34% (Field)*

*Rent and utilities excluded from field office rate. These costs will be directly reimbursed on contracts that require the consultant to provide field office.

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

State of Florida
Board of Professional Engineers
2639 North Monroe Street, Suite B-112
Tallahassee, FL 32303-5268

State of Florida

Board of Professional Engineers

Attests that

Randall James Scott, P.E.

FBPE

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017 P.E. Lic. No: 57589

Audit No: 228201711436

NOTICE

Pursuant to a change in Rule 61G15-22.006, F.A.C., FBPE no longer requires that continuing education be reported by the provider. Instead licensees will be subject to a random audit no more than every four (4) years. If you are selected for the random audit, you must provide verification of four (4) hours of Area of Practice and four (4) hours of Florida Laws & Rules, all taken prior to February 28, 2015. Any CE hours taken after February 28, 2015 will result in a $100 delinquent fee and your license being placed in a delinquent status until the additional fee is paid.

State of Florida

Board of Professional Engineers

Attests that

Randall James Scott , P.E.

FBPE

FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017 P.E. Lic. No: 57589

Audit No: 228201711436

Florida Department of Transportation's
Construction Training Qualification Program

Training History

Randall Scott

Report for Trainee: S300730733320

Qualifications

All qualification requirements have been met according to the Construction Training Qualification Manual

TRAINEE	MATERIAL GROUP	QUALIFICATION	EXPIRATION DATE
S300730733320	Management	Final Estimates - Level 2	1/11/2016
S300730733320	Management	Final Estimates - Level 1	2/3/2017

Missing Qualification Requirements

This is a general guideline as to which requirements are missing for a pending qualification.
Please refer to the Construction Training Qualification Manual

TRAINEE	QUALIFICATION	MISSING REQUIREMENT
S300730733320	QC Manager	Refer to the CTQP Manual Chapter 7, Section 7.4.2 for qualification requirements

Course Sessions Attended

TRAINEE	SESSION NAME	SESSION DATE
S300730733320	Asphalt Paving - Level 1	Aug 1, 2000
S300730733320	FDOT Concrete Specification Section 346	Feb 27, 2001
S300730733320	Asphalt Paving - Level 2	Apr 25 - Apr 27, 2001
S300730733320	Drilled Shaft Inspection	Nov 27 - Nov 29, 2001
S300730733320	Final Estimates - Level 2	Jan 11 - Jan 11, 2011
S300730733320	QC Manager	Jun 8 - Jun 8, 2011
S300730733320	Final Estimates - Level 1	Feb 3, 2012

State of Florida

Board of Professional Engineers

Attests that

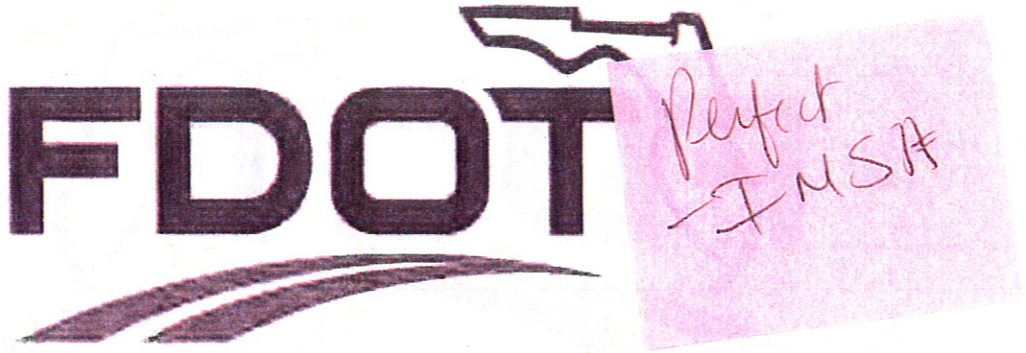
Kelly Michelle Cowger , P.E.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: **2/28/2017**
Audit No: **228201711605**

P.E. Lic. No:
61645



Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Kelly Cowger

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
C26051372	Asphalt	Asphalt Paving Technician - Level 1	12/7/2017
C26051372	Asphalt	Asphalt Paving Technician - Level 2	12/7/2017
C26051372	Management	Final Estimates - Level 1	6/5/2017
C26051372	Management	Final Estimates - Level 2	6/5/2017
C26051372	Management	QC Manager	Does Not Expire

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Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Jason Jones

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
J52042475	Asphalt	Asphalt Paving Technician - Level 1	10/6/2019
J52042475	Asphalt	Asphalt Paving Technician - Level 2	10/6/2019
J52042475	Concrete	Concrete Field Technician - Level 1	5/19/2017
J52042475	Earthwork	Earthwork Construction Inspection - Level 1	6/14/2018
J52042475	Earthwork	Earthwork Construction Inspection - Level 2	1/6/2016
J52042475	Management	Final Estimates - Level 1	2/10/2019
J52042475	Management	Final Estimates - Level 2	2/10/2019
J52042475	Management	QC Manager	Does Not Expire

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Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Ralph LaChance

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
L25273980	Asphalt	Asphalt Paving Technician - Level 1	7/2/2020
L25273980	Asphalt	Asphalt Paving Technician - Level 2	7/2/2020
L25273980	Concrete	Concrete Field Technician - Level 1	2/17/2017
L25273980	Earthwork	Earthwork Construction Inspection - Level 1	2/10/2017
L25273980	Earthwork	Earthwork Construction Inspection - Level 2	2/11/2020
L25273980	Geotech	Drilled Shaft Inspection	2/27/2018
L25273980	Management	Final Estimates - Level 1	6/21/2019
L25273980	Management	Final Estimates - Level 2	6/21/2019

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Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Robert Safranek

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
S16577357	Asphalt	Asphalt Paving Technician - Level 1	6/28/2018
S16577357	Asphalt	Asphalt Paving Technician - Level 2	6/28/2018
S16577357	Concrete	Concrete Field Technician - Level 1	5/19/2017
S16577357	Earthwork	Earthwork Construction Inspection - Level 1	4/19/2016
S16577357	Earthwork	Earthwork Construction Inspection - Level 2	4/21/2016
S16577357	Geotech	Drilled Shaft Inspection	3/16/2016
S16577357	Geotech	Pile Driving Inspection	10/27/2017
S16577357	Management	Final Estimates - Level 1	3/11/2016

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Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Rafael Vicente

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
V25372056	Asphalt	Asphalt Paving Technician - Level 1	2/14/2018
V25372056	Asphalt	Asphalt Paving Technician - Level 2	2/14/2018
V25372056	Concrete	Concrete Field Technician - Level 1	9/7/2018
V25372056	Earthwork	Earthwork Construction Inspection - Level 1	4/11/2016
V25372056	Earthwork	Earthwork Construction Inspection - Level 2	6/6/2018
V25372056	Management	Final Estimates - Level 1	4/18/2016

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Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Aren Griffin

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
G61500186	Asphalt	Asphalt Paving Technician - Level 1	4/23/2017
G61500186	Asphalt	Asphalt Paving Technician - Level 2	4/26/2017
G61500186	Concrete	Concrete Field Technician - Level 1	9/22/2016
G61500186	Concrete	Concrete Field Technician - Level 2	9/22/2016
G61500186	Earthwork	Earthwork Construction Inspection - Level 1	7/20/2016
G61500186	Earthwork	Earthwork Construction Inspection - Level 2	8/12/2016
G61500186	Geotech	Drilled Shaft Inspection	4/11/2017
G61500186	Geotech	Pile Driving Inspection	6/25/2016
G61500186	Management	Final Estimates - Level 1	2/10/2017
G61500186	Management	Final Estimates - Level 2	6/5/2017
G61500186	Structures	Qualified Post-Tensioning Technician - Level 1	5/23/2017

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Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

John Brewer

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
B66047956	Asphalt	Asphalt Paving Technician - Level 1	12/2/2018
B66047956	Asphalt	Asphalt Paving Technician - Level 2	12/2/2018
B66047956	Concrete	Concrete Field Technician - Level 1	11/15/2019
B66047956	Concrete	Concrete Field Technician - Level 2	4/9/2016
B66047956	Earthwork	Earthwork Construction Inspection - Level 1	9/11/2017
B66047956	Earthwork	Earthwork Construction Inspection - Level 2	4/18/2018
B66047956	Geotech	Drilled Shaft Inspection	1/28/2016
B66047956	Geotech	Pile Driving Inspection	9/29/2016
B66047956	Management	Final Estimates - Level 1	10/22/2020

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Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Randy Rosiek

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
R22073057	Asphalt	Asphalt Paving Technician - Level 1	3/23/2017
R22073057	Asphalt	Asphalt Paving Technician - Level 2	4/4/2017
R22073057	Concrete	Concrete Field Technician - Level 1	3/10/2017
R22073057	Concrete	Concrete Field Technician - Level 2	3/10/2017
R22073057	Earthwork	Earthwork Construction Inspection - Level 1	8/31/2017
R22073057	Earthwork	Earthwork Construction Inspection - Level 2	2/25/2016
R22073057	Geotech	Pile Driving Inspection	12/7/2017
R22073057	Management	Final Estimates - Level 1	3/18/2016

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Florida Department of Transportation's
Construction Training Qualification Program

Ed Ogle

Qualifications Search

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
O24023066	Concrete	Concrete Field Technician - Level 1	4/17/2018
O24023066	Concrete	Concrete Field Technician - Level 2	4/9/2016
O24023066	Geotech	Drilled Shaft Inspection	5/6/2016
O24023066	Geotech	Pile Driving Inspection	8/22/2018
O24023066	Management	Final Estimates - Level 1	7/6/2016
O24023066	Structures	Qualified Grouting Technician - Level 1	4/30/2017
O24023066	Structures	Qualified Grouting Technician - Level 2	4/30/2017
O24023066	Structures	Qualified Post-Tensioning Technician - Level 1	5/21/2018
O24023066	Structures	Qualified Post-Tensioning Technician - Level 2	5/21/2018

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Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

David Epperson

Qualifications			
TRAINEE	**MATERIAL GROUP**	**QUALIFICATION**	**EXPIRATION DATE**
E16216379	Asphalt	Asphalt Paving Technician - Level 1	2/14/2018
E16216379	Asphalt	Asphalt Paving Technician - Level 2	2/14/2018
E16216379	Concrete	Concrete Field Technician - Level 1	5/19/2017
E16216379	Earthwork	Earthwork Construction Inspection - Level 1	2/25/2017
E16216379	Earthwork	Earthwork Construction Inspection - Level 2	3/26/2020
E16216379	Management	Final Estimates - Level 1	12/2/2016

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Centennial FDOT 1915★2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

February 17, 2015

Ernest Cox, III, Senior Vice President
ARDAMAN & ASSOCIATES, Inc.
8008 South Orange Avenue
Orlando, Florida 32809

Dear Mr. Cox:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 9 - Soil Exploration, Material Testing and Foundations

- 9.1 - Soil Exploration
- 9.2 - Geotechnical Classification Laboratory Testing
- 9.3 - Highway Materials Testing
- 9.4.1 - Standard Foundation Studies
- 9.4.2 - Non-Redundant Drilled Shaft Bridge Foundation Studies
- 9.5 - Geotechnical Specialty Laboratory Testing

Group 10 - Construction Engineering Inspection

- 10.3 - Construction Materials Inspection

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any dollar amount. This status shall be valid until March 31, 2016 for contracting purposes.

	Home/Branch Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense	Published Fee Schedule
Overhead Rate	182.34%	0.164%	Excluded	9.72% (Home)	Yes

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

Centennial FDOT 1915 ★ 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

March 23, 2015

Rene Hankins, Office Manager
BETSY LINDSAY, INC.
7997 SW Jack James Drive
Stuart, Florida 34997

Dear Ms. Hankins:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 8 - Survey and Mapping

- 8.1 - Control Surveying
- 8.2 - Design, Right of Way & Construction Surveying
- 8.4 - Right of Way Mapping

Your firm is now technically qualified to do work for the Department for minor projects only, enabling your firm to compete for Professional Services projects with fees estimated at below $500,000.00. This status shall be valid until March 23, 2016 for contracting purposes.

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

CBHK/kw

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FDOT
1915 * 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

July 8, 2015

Karen Burns, Associate
JOHNSON, MIRMIRAN & THOMPSON, INC.
615 Crescent Executive Court, Suite 106
Lake Mary, Florida 32746

Dear Ms. Burns:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 2 - Project Development and Environmental (PD&E) Studies

Group 3 - Highway Design - Roadway

- 3.1 - Minor Highway Design
- 3.2 - Major Highway Design
- 3.3 - Controlled Access Highway Design

Group 4 - Highway Design - Bridges

- 4.1.1 - Miscellaneous Structures
- 4.1.2 - Minor Bridge Design
- 4.2.2 - Major Bridge Design - Steel

Group 5 - Bridge Inspection

- 5.1 - Conventional Bridge Inspection
- 5.3 - Complex Bridge Inspection
- 5.4 - Bridge Load Rating

Group 6 - Traffic Engineering and Operations Studies

- 6.1 - Traffic Engineering Studies
- 6.2 - Traffic Signal Timing
- 6.3.1 - Intelligent Transportation Systems Analysis and Design
- 6.3.2 - Intelligent Transportation Systems Implementation
- 6.3.3 - Intelligent Transportation Traffic Engineering Systems Communications

Group 7 - Traffic Operations Design

- 7.1 - Signing, Pavement Marking and Channelization
- 7.3 - Signalization

Group 10 - Construction Engineering Inspection

10.1 - Roadway Construction Engineering Inspection
10.3 - Construction Materials Inspection
10.4 - Minor Bridge & Miscellaneous Structures CEI

Group 13 - Planning

13.4 - Systems Planning
13.5 - Subarea/Corridor Planning
13.6 - Land Planning/Engineering

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any amount. This status shall be valid until June 30, 2016 for contracting purposes.

	Home/Branch Office	Field Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense
Overhead Rate	144.30%	119.18%	0.098%	Reimbursed	0.91% (Home) 21.96% (Field)*

*Rent and utilities excluded from field office rate. These costs will be directly reimbursed on contracts that require the consultant to provide field office.

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Qualifications			
TRAINEE	MATERIAL GROUP	QUALIFICATION	EXPIRATION DATE
K14516051	Asphalt	Asphalt Paving Technician - Level 1	6/30/2016
K14516051	Asphalt	Asphalt Paving Technician - Level 2	6/30/2016
K14516051	Concrete	Concrete Field Technician - Level 1	3/5/2016
K14516051	Earthwork	Earthwork Construction Inspection - Level 1	4/11/2018
K14516051	Earthwork	Earthwork Construction Inspection - Level 2	4/19/2018
K14516051	Geotech	Pile Driving Inspection	2/23/2017
K14516051	Management	Final Estimates - Level 1	8/25/2020
K14516051	Management	Final Estimates - Level 2	8/25/2020
K14516051	Management	QC Manager	Does Not Expire

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Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Qualifications			
TRAINEE	MATERIAL GROUP	QUALIFICATION	EXPIRATION DATE
K54349987	Asphalt	Asphalt Paving Technician - Level 1	7/9/2020
K54349987	Asphalt	Asphalt Paving Technician - Level 2	7/9/2020
K54349987	Concrete	Concrete Field Technician - Level 1	12/3/2016
K54349987	Earthwork	Earthwork Construction Inspection - Level 1	6/14/2017
K54349987	Earthwork	Earthwork Construction Inspection - Level 2	1/25/2018
K54349987	Geotech	Drilled Shaft Inspection	9/8/2016
K54349987	Geotech	Pile Driving Inspection	11/9/2016
K54349987	Management	Final Estimates - Level 1	10/12/2016
K54349987	Management	Final Estimates - Level 2	10/12/2016

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Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Qualifications			
TRAINEE	MATERIAL GROUP	QUALIFICATION	EXPIRATION DATE
O63200063	Asphalt	Asphalt Paving Technician - Level 1	4/17/2019
O63200063	Asphalt	Asphalt Paving Technician - Level 2	7/3/2019
O63200063	Earthwork	Earthwork Construction Inspection - Level 1	5/13/2019
O63200063	Geotech	Drilled Shaft Inspection	1/18/2018
O63200063	Geotech	Pile Driving Inspection	1/24/2018
O63200063	Management	Final Estimates - Level 1	8/7/2020
O63200063	Management	Final Estimates - Level 2	8/7/2020

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Centennial FDOT 1915 * 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

September 8, 2015

Vernon Hackett, President
MILESTONE CONSTRUCTION GROUP, INC.
264 NW Peacock Boulevard, Suite 102
Port St. Lucie, Florida 34986

Dear Mr. Hackett:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 10 - Construction Engineering Inspection

10.1 - Roadway Construction Engineering Inspection
10.4 - Minor Bridge & Miscellaneous Structures CEI

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any dollar amount. This status shall be valid until June 30, 2016 for contracting purposes.

	Home/Branch Office	Field Rate	Facilities Capital Cost of Money	Overtime Premium
Indirect Rate	187.82%	124.19%*	0.306%	Reimbursed

*Interim Rate

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

State of Florida

Board of Professional Engineers

Artests that

Vernon Tucker Hackett , P.E.

FBPE
FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Is licensed as a Professional Engineer under Chapter 471, Florida Statutes

Expiration: 2/28/2017
Audit No: 228201711135

P.E. Lic. No:
48842

James Ashburn

Project Role
Sr. Roadway / Bridge Inspector

Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Qualifications			
TRAINEE	MATERIAL GROUP	QUALIFICATION	EXPIRATION DATE
A21644880	Asphalt	Asphalt Paving Technician - Level 1	10/2/2017
A21644880	Asphalt	Asphalt Paving Technician - Level 2	2/20/2018
A21644880	Concrete	Concrete Field Technician - Level 1	10/17/2014
A21644880	Earthwork	Earthwork Construction Inspection - Level 1	9/3/2015
A21644880	Earthwork	Earthwork Construction Inspection - Level 2	9/21/2017
A21644880	Geotech	Drilled Shaft Inspection	2/21/2019
A21644880	Management	Final Estimates - Level 1	4/10/2019
A21644880	Management	QC Manager	Does Not Expire

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Jim Frye

Project Role
Sr. Roadway / Bridge Inspector
Project Administrator / QA Manager

Florida Department of Transportation's
Construction Training Qualification Program

Qualifications Search

Qualifications			
TRAINEE	MATERIAL GROUP	QUALIFICATION	EXPIRATION DATE
F60045650	Asphalt	Asphalt Paving Technician - Level 1	11/3/2015
F60045650	Asphalt	Asphalt Paving Technician - Level 2	11/3/2015
F60045650	Management	Final Estimates - Level 1	2/2/2018
F60045650	Management	Final Estimates - Level 2	10/6/2015
F60045650	Management	QC Manager	Does Not Expire

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Felix Roman

Project Role

Sr. Roadway / Bridge Inspector

Florida Department of Transportation's

Construction Training Qualification Program

Qualifications Search

Qualifications			
TRAINEE	MATERIAL GROUP	QUALIFICATION	EXPIRATION DATE
R55025779	Asphalt	Asphalt Paving Technician - Level 1	7/12/2015
R55025779	Asphalt	Asphalt Paving Technician - Level 2	4/1/2016
R55025779	Concrete	Concrete Field Technician - Level 1	11/12/2015
R55025779	Earthwork	Earthwork Construction Inspection - Level 1	3/27/2018

Back

134215

Centennial FDOT 1915 * 2015

Florida Department of Transportation

RICK SCOTT
GOVERNOR

605 Suwannee Street
Tallahassee, FL 32399-0450

JIM BOXOLD
SECRETARY

July 27, 2015

Kate Fontaine, Corporate Manager
WANTMAN GROUP, INC.
2035 Vista Parkway, Suite 100
West Palm Beach, Florida 33411

Dear Ms. Fontaine:

The Florida Department of Transportation has reviewed your application for qualification package and determined that the data submitted is adequate to technically qualify your firm for the following types of work:

Group 2 - Project Development and Environmental (PD&E) Studies

Group 3 - Highway Design - Roadway

- 3.1 - Minor Highway Design
- 3.2 - Major Highway Design
- 3.3 - Complex Highway Design

Group 4 - Highway Design - Bridges

- 4.1.1 - Miscellaneous Structures
- 4.1.2 - Minor Bridge Design
- 4.2.2 - Major Bridge Design - Steel

Group 5 - Bridge Inspection

- 5.1 - Conventional Bridge Inspection
- 5.3 - Complex Bridge Inspection
- 5.4 - Bridge Load Rating

Group 6 - Traffic Engineering and Operations Studies

- 6.1 - Traffic Engineering Studies
- 6.2 - Traffic Signal Timing
- 6.3.1 - Intelligent Transportation Systems Analysis and Design

Group 7 - Traffic Operations Design

- 7.1 - Signing, Pavement Marking and Channelization
- 7.2 - Lighting
- 7.3 - Signalization

Group 8 - Survey and Mapping

- 8.1 - Control Surveying
- 8.2 - Design, Right of Way & Construction Surveying
- 8.4 - Right of Way Mapping

Group 10 - Construction Engineering Inspection

- 10.1 - Roadway Construction Engineering Inspection

Group 13 - Planning

- 13.3 - Policy Planning
- 13.4 - Systems Planning
- 13.5 - Subarea/Corridor Planning
- 13.6 - Land Planning/Engineering
- 13.7 - Transportation Statistics

Group 15 - Landscape Architect

Your overhead audit has been accepted, enabling your firm to compete for Professional Services projects advertised at the unlimited level, with estimated fees of any dollar amount. This status shall be valid until June 30, 2016 for contracting purposes.

	Home/Branch Office	Facilities Capital Cost of Money	Overtime Premium	Direct Expense
Indirect Rates	210.41	0.466%	Reimbursed	7.97% (Home)

Should you have any questions, please feel free to contact me by email at carliayn.kell@dot.state.fl.us or by phone at 850-414-4597.

Sincerely,

Carliayn Kell
Professional Services
Qualification Administrator

Florida Department of Agriculture and Consumer Services
Division of Consumer Services
Board of Professional Surveyors and Mappers
2005 Apalachee Pkway Tallahassee, Florida 32399-6500

License No.: **LS6717**
Expiration Date February 28, 2017

Professional Surveyor and Mapper License

Under the provisions of Chapter 472, Florida Statutes

ERIC R MATTHEWS
490 SW RYDER RD
PORT ST LUCIE, FL 34953-7825

ADAM H. PUTNAM
COMMISSIONER OF AGRICULTURE

This is to certify that the professional surveyor and mapper whose name and address are shown above is licensed as required by Chapter 472, Florida Statutes.

Florida Department of Agriculture and Consumer Services
Division of Consumer Services
Board of Professional Surveyors and Mappers
2005 Apalachee Pkway Tallahassee, Florida 32399-6500

License No.: LS4728
Expiration Date February 28, 2017

Professional Surveyor and Mapper License

Under the provisions of Chapter 472, Florida Statutes

PATRICK BARNEY MEEDS
5361 SE NASSAU TER
STUART, FL 34997-2490

ADAM H. PUTNAM
COMMISSIONER OF AGRICULTURE

This is to certify that the professional surveyor and mapper whose name and address are shown above is licensed as required by Chapter 472, Florida Statutes.

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Attachment A- Personnel Qualifications & Experience

Company Name: Target Engineering Group, Inc.

Question # 3

	Firm Staff/Geotechnical Staff/Subconsultants Name of Staff	Company Name	Title Principal	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
1	Randall Scott, PE	Target Engineering Group, Inc.	Sr. Project Engineer/Project Manager	17	Professional Engineer	CTQP – QC Manager – taken course and passed test CTQP – Final Estimates I & II Maintenance of Traffic Advanced NPDES Qualified Stormwater Management Inspector FDOT Leadership Academy and Graduate Leadership Academy	CR 714 just W. of the Turnpike to Mapp Rd	Senior Project Engineer	Dale Walker, FDOT D4 dale.walker@dot.state.fl.us
2	Kelly Cowger, PE	Target Engineering Group, Inc.	Project Administrator	16	Professional Engineer	CTQP QC Manager CTQP Asphalt Paving Level II CTQP Final Estimates Level I & II NPDES Stormwater Erosion & Sedimentation Control Inspector FDOT Consultant CEI Project Manager Training Advance Work Zone Traffic Control Advanced CPM/Claims Training Critical Structures Construction Issues – Self Study Railroad Roadway Worker Certified Augercast Pile Certification	CR 714 just W. of the Turnpike to Mapp Rd	Project Administrator	Dale Walker, FDOT D4 dale.walker@dot.state.fl.us
3	Aren Griffin, EIT	Target Engineering Group, Inc.	CSS/Senior Inspector	4	Engineer In Training	Post Tensioning Tech Level I Qualified Grouting Tech Level I CTQP Asphalt Level I & II CTQP Earthwork Level I & II CTQP Concrete Field Tech I & II CTQP Pile Driving Inspection CTQP Drilled Shaft CTQP Final Estimates I & II ACI Concrete Field Testing Technician I ACI Transportation Construction Inspector II ATSSA Advanced MOT Certified MSE Wall Installation Inspection Course Auger Cast Pile Inspector's Course Nuclear Gauge Safety Certified Critical Structure Construction Issues – Self Study NPDES Stormwater Erosion Certified Railroad Roadway Worker Certified	, I-75 Express Lane Project, FDOT District IV; Broward County. Segment E Bridge	Senior Inspector	Antonio Castro, FDOT D4 antonio.castro@dot.state.fl.us

4	Jason Jones	Target Engineering Group, Inc.	CSS/Utility Coordinator	18		CTQP Concrete Field Technician CTQP Asphalt Paving Level I & II CTQP Earthwork Level I & II CTQP Final Estimates Level I & II CTQP QC Manager FDOT Landscape Inspection FDOT Site Manager Version 3.1a ACI Field Testing Technician Level I Troxler-Nuclear Radiation Safety ATSSA Florida Advanced MOT Training FEMA ICS-100 FEMA ICS-200 FEMA ICS-700	CR 714 just W. of the Turnpike to Mapp Rd	Utility Coordinator/ CSS	Dale Walker, FDOT D4 dale.walker@dot.state.fl.us						
5	Rafael Vicente	Target Engineering Group, Inc.	Senior Inspector	35		CTQP Final Estimates I CTQP Earthwork Inspection I & II CTQP Asphalt Paving I & II CTQP Concrete Field Inspector FDOT Site Manager ATSSA MOT Advanced Work Zone ACI Concrete Field Testing Grade I ACA Hot Mix Asphalt Paving & Compaction CPN Radiation Safety & use of a Nuclear Gauge DCP Traffic Safety in work place IMSA Work Zone Safety Specialist AM Red Cross Standard First Aid Critical Structures Construction Issues – Self Study	SR-5/US-1 Reconstruction from North of SR-713/Kings Highway	Senior Inspector	Katie Kehres, P.E. FDOT D4 Katherine.Kehres@dot.state.fl.us						
6	Ralph LaChance	Target Engineering Group, Inc.	Senior Inspector	13		CTQP Earthwork Inspection I & II CTQP Concrete Field Tech I CTQP Asphalt Paving I & II CTQP Final Estimates I & II CTQP Drill Shaft Inspection ACI Level I Nuclear Density Gauge Safety Work Zone Traffic Control (Advanced) Augercast Pile Inspector FDEP/NPDES Stormwater Erosion and Sedimentation Control Inspector Critical Structures Construction Issues – Self Study MSE Wall Inspection Course	CR 714 just W. of the Turnpike to Mapp Rd	Senior Inspector	Dale Walker, FDOT D4 dale.walker@dot.state.fl.us						
7	Robert Safranek	Target Engineering Group, Inc	Senior Inspector	35		CTQP Asphalt Paving Level I & II CTQP Earthwork Level I & II CTQP Concrete Technician CTQP Drill Shaft Inspection CTQP Pile Driving Inspection CTQP Final Estimates I Troxler Density Gauge Safety Maintenance of Traffic (Intermediate) Maintenance of Traffic (Advanced) ACI Concrete Technician I CPPR Training FDEP Storm water Critical Structures Construction Issues – Self Study MSE Wall Self Study Auger Cast Piling Self Study	on I-95 & Spanish River Blvd. Interchange	Senior Inspector	Scott Burrie FDOT D4 scott.burrie@dot.state.fl.us						

8	Randy Rosiek	Target Engineering Group, Inc.	Sr. Bridge Inspector	30		CTQP Final Estimate I CTQP Earthwork I & II CTQP Asphalt I & II CTQP Concrete Field I & II CTQP Pile Driving Inspection ACI Concrete Transportation Inspector ACI Transportation Construction Inspector Grade II Troxler Nuclear Gauge Safety ATSSA Advanced Work Zone Traffic Preparation of Final Estimates FDOT Density Log Book Training Drilled Shaft Installation Inspection Certified Heavy Crane Operator FDEP Stormwater Erosion and Sedimentation Control Inspector Critical Structures Construction Issues – Self Study Course	SR-5/US-1 Reconstruction from North of SR-713/Kings Highway	Senior Bridge Inspector	Katie Kehres, P.E. FDOT D4 Katherine.Kehres@dot.state.fl.us						

9	Ed Ogle	Target Engineering Group, Inc.	Sr. Bridge Inspector	20		CTQP Pile Driving Inspection CTQP Qualified Grouting Tech I & II CTQP Post-Tensioning I & II CTQP Drilled Shaft Inspection CTQP Concrete Field Insp I & II CTQP Final Estimate I Work Zone Traffic Safety Advanced Troxler Nuclear Density Safety ACI Concrete Field Testing Grade I American Segmental Bridge Institute-Certified Grouting Tech. National Safety Council-OSHA Basics and Rigging Safety Pilgrim UWC LU Injection System Certified Epoxy Injection Critical Structures Construction Issues – Self Study MSE Wall, Self Study ACI Concrete Transportation Construction Inspector Level 2 Bonded PT Field Specialist	on I-95 & Spanish River Blvd. Interchange	Senior Bridge Inspector	Scott Burrie FDOT D4 scott.burrie@dot.state.fl.us						
10	John Brewer	Target Engineering Group, Inc.	Sr. Bridge Inspector	41		CTQP Asphalt Paving Tech I & II CTQP Concrete Field Tech I & II CTQP Earthwork Construction I & II CTQP Pile Driving Inspection CTQP Drilled Shaft Inspection CTQP Final Estimates I Intermediate Work Zone Traffic Control ACI Concrete Transportation Constriction Inspector ACI Level I Certification Troxler Certification	SR-93/I-75 Express Lanes Project	Senior Bridge Inspector	Antonio Castro, FDOT D4 antonio.castro@dot.state.fl.us						
11	David Epperson	Target Engineering Group, Inc.	Inspector	7		CTQP Asphalt Paving Tech I & II CTQP Final Estimates I CTQP Earthwork Inspection I & II CTQP Concrete Field Inspector ATSSA Advance MOT ACI Level I Class B CDL with P and S Endorsements EPA Certified Universal Technician FEMA ICS-100 FEMA ICS-200 Concrete Field Technician Level I Critical Structures Qualified Stormwater Management Inspector	CR 714 just W. of the Turnpike to Mapp Rd	Inspector	Dale Walker, FDOT D4 dale.walker@dot.state.fl.us						

	Company Name: Ardaman & Associates, Inc.															
	Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email							
1	Name of Staff	Company Name	Principal													
2	Dan Zralfack, P.E.	Ardaman & Associates, Inc. - Port St. Lucie	Sr. Project Engineer/Project Manager	18	PE	n/a	Crosstowwn Parkway Manth Lane to US-1, E-8 Canal , D-9 Canal, California Turn Lanes	Senior Geotechnical Engineer	City of PSL - Frank Knot, P.E., Heath Stocton, P.E. and Colt Schwerdt, P.E.							
3	Roberto Balbis, P.E.	Ardaman & Associates, Inc. - West Palm Beach	Principal Engineer	44	PE, Special Insp	n/a	Crosstowwn Parkway Manth Lane to US-1, E-8 Canal , D-9 Canal, California Turn Lanes	Principal Engineer	City of PSL - Frank Knot, P.E., Heath Stocton, P.E. and Colt Schwerdt, P.E.							
4	Zan Bates, P.E.	Ardaman & Associates, Inc. Orlando	Sr. Engineer	23	PE	n/a	FDOT - D5 - Material Testing & Geotechnical Services	Geotechnical Engineer	FDOT-D5 / Jack Davis - jack.davis@dot.myflorida.com							
9	Will Cornelius	Ardaman & Associates, Inc. - Port St. Lucie	Sr. Engineering Technician	5	n/a	ACI Level I, CTQP Earthwork I, ACI Concrete Lab Testing Technician, Nuclear Density Gauge Certified	Midway Road Widening	Senior Engineeering Technician	St. Lucie County Engineering / Craig Hauschild, P.E. hauschildc@stlucieco.org							
10	Tom Cahill	Ardaman & Associates, Inc. - Port St. Lucie	Sr. Engineering Technician	9	n/a	ACI Level I, Nuclear Density Gauge Certified	Midway Road Widening	Senior Engineeering Technician	St. Lucie County Engineering / Craig Hauschild, P.E. hauschildc@stlucieco.org							
11	Jim Capezzuto	Ardaman & Associates, Inc. - Orlando	Sr. Engineering Technician	28	n/a	ACI; CTQP Earthwork; CTQP Asphalt Plant & Paving-Level I; CTQP QC Manager	FDOT - D5 - Material Testing & Geotechnical Services	QC Manager	FDOT-D5 / Jack Davis - jack.davis@dot.myflorida.com							
12	Chris Sotomayor	Ardaman & Associates, Inc. - Orlando	Staff Engineer / Technician	1.5	n/a	ACI; Drilled Shaft	FDOT - D5 - Material Testing & Geotechnical Services	Drilled Shaft Inspector	FDOT-D5 / Jack Davis - jack.davis@dot.myflorida.com							
13	Steve Walker	Ardaman & Associates, Inc. - Orlando	Sr. Engineering Technician	32	n/a	CTQP Asphalt Plant & Paving Technician - Level II	FDOT - D5 - Material Testing & Geotechnical Services	Asphalt Plant Technician	FDOT-D5 / Jack Davis - jack.davis@dot.myflorida.com							
	Company Name: Betsey Lindsay, Inc.															
	Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email							
1	Name of Staff	Company Name	Principal													

5	Elizabeth A. Lindsay	Betsy Lindsay, Inc.	Senior Land Surveyor	32	PLS	AA	Indian Street Bridge - FDOT CEI support surveying services for the proposed Indian Street Bridge from SR 76 west across the waterway to 36th Street which is approximately 1 mile in length and is located in Martin County.	Sr Manager	Target Engineering, George Dinley							
7	David J. Wichser	Betsy Lindsay, Inc.	Project Manager	32	PSM	AA	SR 76 / Kanner Highway West of I-95 from Locks Road to East of I-95 to Monterey Road.	Project Surveyor	American Consultant Engineering, Andrew Nunes, PE							
11	Macie K. Hankins	Betsy Lindsay, Inc.	Administrative Support/CSS	20			Works on all projects that come thur the office	Office Manager								
13	Corine Rodney	Betsy Lindsay, Inc.	CADD	12		BS	Multiple Projects	Cadd Tech								
15	Michael Aldrich	Betsy Lindsay, Inc.	CEI Survey- Party Chief	15			numerous land surveying projects throughout South Florida since 1992.	Party Chief								

Company Name: Johnson, Mirmiran & Thompson, Inc

Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
Karen Compatto	Johnson, Mirmiran & Thompson, Inc	CEI Compliance Specialist/Administrative Support	9	N/A	N/A	SR 70 Reconstruction, Segments 3, 4, & 5 , St. Lucie County	Resident Compliance Specialist	FDOT D4ChloAnn Lawrence, TCO PM chloann.lawrence@dot.state.fl.us
Theresa Stern	Johnson, Mirmiran & Thompson, Inc	CEI Compliance Specialist/Administrative Support	17	N/A	N/A	I-95 from SR 60/Osceola Blvd. to Brevard County Line, Indian River County (Design Build)	Resident Compliance Specialist	FDOT D4 Dale Walker, TCO PM Dale.Walker@dot.state.fl.us
Agradina "Aggie" Orte	Johnson, Mirmiran & Thompson, Inc	Administrative Support/CSS	28	N/A	CTQP (see attached)	SR 70, St. Lucie County, FL & I-95 Lighting Project, Martin County, FL	Contract Support Specialist	FDOT D4 K.C. Jose, P.E. – I-95 TCO PM kandarappallil.jose@dot.state.fl.us ChloAnn Lawrence, SR 70 TCO PM chloann.lawrence@dot.state.fl.us
David Kaplan	Johnson, Mirmiran & Thompson, Inc.	Project Administrator	30	N/A	CTQP (see attached)	SR-5/US-1 Resurfacing, PBC/Martin County:	Project Administrator	FDOT D4 Kris Kehres, P.E., TCO PM kristopher.kehres@dot.state.fl.us
Joseph Knowlton	Johnson, Mirmiran & Thompson, Inc.	Senior Inspector	9	N/A	CTQP (see attached)	I-95 from SR 60/Osceola Blvd. to Brevard County Line, Indian River County (Design Build)	Sr. Inspector	FDOT D4 Dale Walker, TCO PM Dale.Walker@dot.state.fl.us

Company Name: Milestone

	Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email
	Name of Staff	Company Name	Principal						
1	Vernon Hackett, P.E.	Milestone	Sr. Project Engineer/Project Manager	25	Professional Engineer	CTQP - QC Manager; Advanced MOT; FDOT Leadership; NPDES Qualified Stormwater Management Inspector; Disaster Management for Water & Wastewater Facilities; FDOT Critical Structure Construction Issues	(1) Harmony Heights - Roadway and Drainage; (2) Weatherbee Road Sidewalk & Drainage; (3) Melaleuca Blvd. Sidewalk Phase I & II; (4) Cashmere Blvd. Sidewalk & Drainage; (5) Bayshore Blvd. Sidewalk & Drainage; (6) Savona Blvd. Sidewalk & Pedestrian Bridge	Senior Project Engineer	(1) Michael Harvey, E.I. - SLC HarveyM@stlucieco.org ; (2) Patrick Dayan, P.E. - SLC DayanP@stlucieco.org; (3 - 6) Edith Majewski - emajewski@cityofpsl.com
3	Jim Frye	Milestone	Project Administrator	45		CTQP QC Mgr; CTQP Final Estimates Level I; CTQP Final Estimates Level II; CTQP Asphalt Paving Level I; CTQP Asphalt Paving Level II; FDOT Asphalt Roadway Technician - CART; FDOT Advanced MOT; ATSSA Work Zone Traffic Control Supervisor; Qualified Stormwater Management Inspector	(1) Cashmere Blvd. Sidewalk & Drainage; (2) Bayshore Blvd. Sidewalk & Drainage; (3) Savona Blvd. Sidewalk & Pedestrian Bridge	Project Administrator	(1 - 3) Edith Majewski - emajewski@cityofpsl.com
9	James Ashburn	Milestone	CEI Senior Roadway Inspector & Senior Bridge Inspector	13		CTQP QC Manager; CTQP Final Estimates - Level I; CTQP Asphalt Paving Level I; CTQP Asphalt Paving Level II; CTQP Concrete Field Technician Level I; CTQP Earthwork Construction Inspection I; CTQP Earthwork Construction Inspection II; ACI Concrete Field Testing Technician - Grade I; Drilled Shaft Inspection; HAZMAT Training for Portable Nuclear Gauges; FDOT Advanced MOT; Qualified Stormwater Management Inspector; IMSA Certified Traffic Signal Inspector Level I; IMSA Certified Roadway Lighting Technician Level I (Sched for Dec); Disaster Management for Water and Wastewater Utilities	(1) Harmony Heights - Roadway and Drainage; (2) Weatherbee Road Sidewalk & Drainage; (3) Melaleuca Blvd. Sidewalk Phase I & II; (4) Cashmere Blvd. Sidewalk & Drainage; (5) Bayshore Blvd. Sidewalk & Drainage; (6) Savona Blvd. Sidewalk & Pedestrian Bridge	(1 - 5) Senior Roadway Inspector; (6) Senior Bridge Inspector	(1) Michael Harvey, E.I. - SLC HarveyM@stlucieco.org ; (2) Patrick Dayan, P.E. - SLC DayanP@stlucieco.org; (3 - 6) Edith Majewski - emajewski@cityofpsl.com
10	Felix Roman	Milestone	CEI Senior Roadway Inspector	11		CTQP Asphalt Paving Level I; CTQP Asphalt Paving Level II; CTQP Concrete Field Technician Level I; CTQP Earthwork Construction Inspection I; ACI Concrete Field Testing Technician - Grade I; HAZMAT Training for Portable Nuclear Gauges; OSHA (10 hour) Construction Safety Certification; FDOT Advanced MOT; IMSA Certified Traffic Signal Inspector Level I; IMSA Certified Roadway Lighting Technician Level I (Sched for Dec)	Savona Blvd. Sidewalk and Pedestrian Bridge	Senior Roadway Inspector	Edith Majewski - emajewski@cityofpsl.com

	Company Name: Wantman Group, Inc.														
	Firm Staff/Geotechnical Staff/Subconsultants		Title	Yrs. of Exp.	Type of License	Type of Certification	Project Name & Description	Role on Project	Agency/Contact Name and email						
2	Patrick Meeds	Wantman Group, Inc.	Senior Land Surveyor	37	PSM	N/A		Project Manager	St. Lucie County/Ron Harris; Harrisr@stlucieco.org						
3	Eric Matthews	Wantman Group, Inc.	Senior Land Surveyor	17	PSM	CSX Safety	over a dozen school sites across the County, ranging in size and scope.	Project Surveyor	St. Lucie County School Board/Marty Sanders; Marty.Sanders@stlucieschools.org						

CHECKLIST

E-RFP #20150126
Continuing Professional CEI Services.

This checklist is provided to assist Bidders in the preparation of their bid response. Included in this checklist are important requirements that are the responsibility of each Bidder to submit with their response in order to make their bid response fully compliant. This checklist is only a guideline -- it is the responsibility of each Bidder to read and comply with the Invitation to Bid in its entirety.

_X____ Documents uploaded in two (2) .pdf files and in this order:

_X_____File # 1- Questionnaire for E-RFP #20150126, all Applicable Current Licenses (state and professional), Reference Forms, Drug Free Workplace Form, Pre-qualification Documentation and Team Qualification Documentation, Attachment A- Personnel Qualifications and Experience, and E-RFP Checklist onto Demandstar by the due date and time.

_X____ All questions on the questionnaire are complete and thoroughly answered

_X____ Each Bid Addendum (when issued) is acknowledged

_X____ Have reviewed the Contract and accept all City Terms and Conditions

_X____All Applicable Current Licenses (state and professional)

_X____ Reference Forms

_X____Drug Free Workplace Form

_X____ All Prequalification documentation and Team Qualification Documentation

_X_____Attachment A- Personnel Qualification and Experience (completed)

_X____Checklist

_X____ File # 2- Form 330

Form **W-9**
(Rev. October 2007)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2

Name (as shown on your income tax return)
Target Engineering Group, Inc.

Business name, if different from above

Check appropriate box: ☐ Individual/Sole proprietor ☑ Corporation ☐ Partnership
☐ Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ►
☐ Other (see instructions) ►

☐ Exempt payee

Address (number, street, and apt. or suite no.)
359 Alcazar Avenue

Requester's name and address (optional)

City, state, and ZIP code
Coral Gables, FL 33134

List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number
: :

or

Employer identification number
65 : 0897965

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here Signature of U.S. person ► Melia Diaz Date ► 11/17/15

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),

2. Certify that you are not subject to backup withholding, or

3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,

ARCHITECT – ENGINEER QUALIFICATIONS

PART I - CONTRACT-SPECIFIC QUALIFICATIONS

A. CONTRACT INFORMATION

1. TITLE AND LOCATION *(City and State)*

Continuing Professional Construction Engineering Inspection (CEI) Services

2. PUBLIC NOTICE DATE	3. SOLICITATION OR PROJECT NUMBER
10/5/15	**RFP-20150126**

B. ARCHITECT-ENGINEER POINT OF CONTACT

4. NAME AND TITLE

Randall Scott, P.E./ Vice President

5. NAME OF FIRM

Target Engineering Group, Inc.

6. TELEPHONE NUMBER	7. FAX NUMBER	8. E-MAIL ADDRESS
772-475-9680	**305-446-2340**	**rscott@targetengineering.com**

C. PROPOSED TEAM

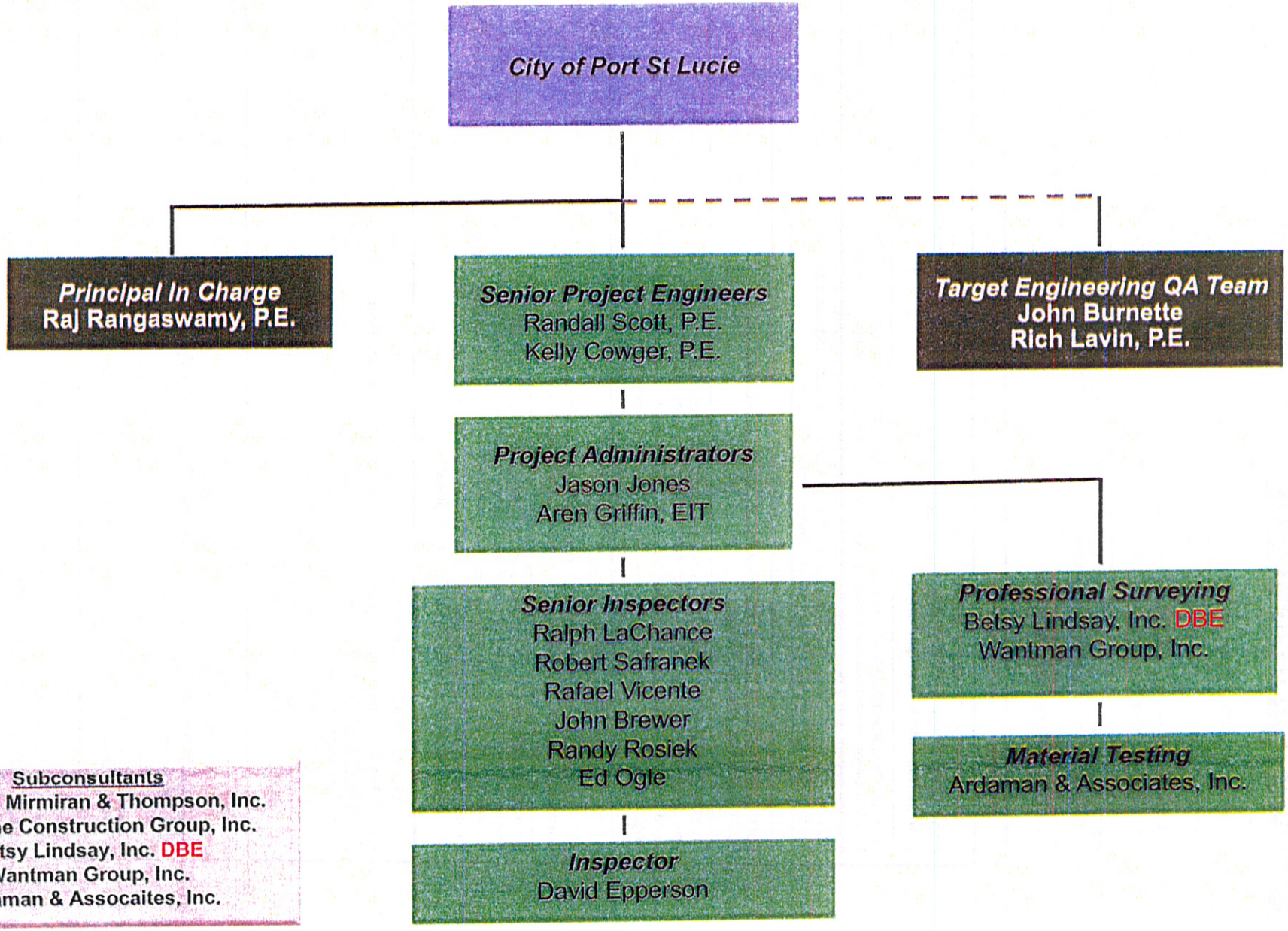
(Complete this section for the prime contractor and all key subcontractors)

	(Check) PRIME	(Check) J-V PARTNER	(Check) SUBCON-TRACTOR	9. FIRM NAME	10. ADDRESS	11. ROLE IN THIS CONTRACT
a.	X			☒ Target Engineering Group, Inc.	3510 SW Corporate Parkway Palm City, FL 34990	CEI
b.			X	☒ Johnson, Mirmiran & Thompson, Inc.	1000 Corporate Drive, Suite 150 Fort Lauderdale, Florida 33334	CEI
c.			X	☒ Ardaman & Associates, Inc.	460 NW Concourse Place, Unit 1 Port St. Lucie, FL 34986	Material Testing
d.			X	☒ Milestone Construction Group, Inc.	264 NW Peacock Blvd, Ste 102 Port St. Lucie, FL 34986	CEI
e.			X	☐ Wantman Group, Inc.	2035 Vista Pkwy, #100 West Palm Beach, FL 33411	Survey
f.			X	☐ Betsy Lindsay, Inc.	7997 SW Jack James Drive Stuart, FL 34997	Survey
g.			X	☐		
h.			X	☐		

D. ORGANIZATIONAL CHART OF PROPOSED TEAM ☒ *(Attached)*

ORGANIZATIONAL CHART

TEG
TARGET ENGINEERING GROUP, INC.



Subconsultants
Johnson, Mirmiran & Thompson, Inc.
Milestone Construction Group, Inc.
Betsy Lindsay, Inc. DBE
Wantman Group, Inc.
Ardaman & Assocaites, Inc.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Randall Scott, P.E.	Senior Project Engineer	17	2

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc. – Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*

MS, Civil Engineering, University of South Florida, 1998
BS, Civil Engineering, University of South Florida, 1996

17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*

Professional Engineer, Florida, #5789, 2001

18. OTHER PROFESSIONAL *(Publications, Organizations, Training, Awards, etc.)*

CTQP – QC Manager – taken course and passed test
Maintenance of Traffic Advanced Level
FDOT Leadership Academy and Graduate Leadership Academy
CTQP – Final Estimates, Level I & II
NPDES Qualified Stormwater Management Inspector

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*: **FDOT on FM #230978-2, Reconstruction of CR 714 just W. of the Turnpike to Mapp Rd., Martin County, Florida**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Current; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This $16 million project will widen/reconstruct CR-714 to a four-lane divided urban principal arterial with a 35 mph posted. Scope includes urban drainage system with 2 pond sites, 6 signalized intersections, new box culvert at Danforth Creek, sound/barrier wall, lighting, signing & pavement marking, and landscaping. The roadway is currently a two-lane undivided minor arterial with a 35 mph posted speed limit. Project includes a 750 Contract Days with Incentive/Disincentive. Senior Project Engineer

b.

(1) TITLE AND LOCATION *(City and State)*: **FDOT on FM# 419250-2, Big John Monahan Bridge Project- Design Build FDOT District IV; Martin County**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Current; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This $33,000,000 Design Build project involves providing a new twin bridge crossing of the St. Lucie Canal in Martin County. The Big John Monahan includes a five-span unit that crosses the St. Lucie Canal, as well as approach spans on the west and east of the canal spans. The total length of the bridge, meeting all the horizontal and vertical clearance requirements, with MSE walls at both ends of the bridge, is approximately 2,000 feet. Land acquisition, design and pre-construction work continues. Senior Project Engineer

c.

(1) TITLE AND LOCATION *(City and State)*: **FDOT on FM# 430763, I-75 Express Lanes – Design Build from Miami Gardens Drive to I-595, Broward and Dade Counties, Florida:**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Current; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a $600 million Design Build project and includes the construction of a New 4-lane Toll Roadway within the existing 166-foot median, Ingress Ramps, Toll Gantry & Equip. Building, ITS System, Drainage, a new reversible Bridge at the I-595 interchange, MSE walls, Soundwalls & Landscaping. Mr. Scott's role was as a member of the Technical Advisory Group (TAG) during the selections of the Design Build contractors for all 4 Segments of the project. Senior Project Engineer

d.

(1) TITLE AND LOCATION *(City and State)*: **City of Port St. Lucie – Lap Project - FIN 427656-3-58-01, Melaleuca Blvd. Phase III, St. Lucie County, Florida.**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a 90 day project consisting of new sidewalk, drainage, concrete curb, and driveway reconstruction. Responsibilities are project management and construction oversight. Duties include, negotiating change orders, shop drawing review and approval, and recommending payment to the contractor. Senior Project Engineer

e.

(1) TITLE AND LOCATION *(City and State)*: **FIN 427022-1-52-01 on SR 510, from West of US-1 to Intracoastal Bridge. Resurface, Rehabilitation, Repair Project, Indian River County, Florida**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2013

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a 125 day project at a cost of $686,939.59 consisting of Milling and Resurfacing, concrete curb and gutter and sidewalk, and pedestrian signalization upgrades. Responsibilities are project management, inspection and documentation of daily construction activities. Duties also include material testing and recommending payment to the contractor. Senior Project Engineer

f.

(1) TITLE AND LOCATION *(City and State)*: **FM #413047-1, Design Build Contract, Widening of I-95 from Indrio Rd. to SLC Line, St. Lucie County, Florida**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2013

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer. The I-95 Design Build project consists of approximately 4 miles of widening from 4 to 6 lanes, including widening 2 bridges. Also included in the project is sound wall construction, milling and resurfacing the existing lanes, lighting and landscaping upgrades. $17,175,175.00.

g.

(1) TITLE AND LOCATION *(City and State)*

FDOT FM# 423186-1-58-01, Old Dixie Hwy Sidewalk Improvements and Pedestrian Bridge (LAP), Indian River

(2) YEAR COMPLETED

PROFESSIONAL SERVICES: 2012

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer responsible for construction inspection management, inspection scheduling, coordinating with County staff, shop drawing review, issue resolution, and change order request review. Project consisted of construction of approximately 2.6 miles of sidewalk, 4 box culvert extensions, roadway drainage improvements, and a pedestrian bridge. $1.1M

h.

(1) TITLE AND LOCATION *(City and State)*

Indian River Blvd. Pedestrian Bridge at 14th St. Canal in Indian River County, Florida

(2) YEAR COMPLETED

PROFESSIONAL SERVICES: 2011

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer responsible for constructing a pedestrian bridge over the 14th St. Canal. Duties included inspection of the pile driving, concrete placement, and setting of the single span pedestrian bridge. $500,000

i.

(1) TITLE AND LOCATION *(City and State)*

FM # 426201-1-52-01 I-95 Bridge over SR-512 – Bridge Painting, Indian River County, Florida

(2) YEAR COMPLETED

PROFESSIONAL SERVICES: 2011

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer responsible for painting of the structural steel member of the bridge. Contract also included a new class 5 finish and minor maintenance items. $455,000.

j.

(1) TITLE AND LOCATION *(City and State)*

FM # 41529315201, Kings Highway, St. Lucie County, Florida

(2) YEAR COMPLETED

PROFESSIONAL SERVICES: 2010

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer responsible for milling and resurfacing with extensive cross slope corrections. Also included are guardrail and gravity walls. $1.1 Million.

k.

(1) TITLE AND LOCATION *(City and State)*

FM # 228628-1-52-01 SR-60 from west of I-95 to west of 82nd Avenue in Indian River County, Florida

(2) YEAR COMPLETED

PROFESSIONAL SERVICES: 2010

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer responsible for milling, resurfacing, reconstruction and widening, drainage, utility relocation mast arm signalization, lighting, and landscaping. $7.4 Million

l.

(1) TITLE AND LOCATION *(City and State)*

Becker Road Segment 3, City of Port St. Lucie, Florida

(2) YEAR COMPLETED

PROFESSIONAL SERVICES: 2010

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer responsible for reconstruction of Becker Road from 2 lanes to 4 lanes from Albacore Street to Athena Drive. (approximately 1 mile). $7.5 million

m.

(1) TITLE AND LOCATION *(City and State)*

Becker Road Segment 2, City of Port St. Lucie, Florida

(2) YEAR COMPLETED

PROFESSIONAL SERVICES: 2010

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer responsible for reconstruction of Becker Road from 2 lanes to 4 lanes from Tacoma Street to Albacore Street (approximately 1 mile). $4.7 million

n.

(1) TITLE AND LOCATION (City and State)

Becker Road Segment 1, City of Port St. Lucie, Florida

(2) YEAR COMPLETED

PROFESSIONAL SERVICES: 2009

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer responsible for reconstruction of Becker Rd. from 2 lanes to 4 lanes from I-95 to Tacoma Street (approximately 1 mile). $7.2 million

o.

(1) TITLE AND LOCATION *(City and State)*

FDOT FM# 2302882-62-01, SR 5/US 1 Rio Mar to Midway, Florida

(2) YEAR COMPLETED

PROFESSIONAL SERVICES: 2009

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer responsible for the total reconstruction of 3.5 miles of SR 5 from a 5-lane rural section to a 6-lane divided urban section. Work included box culverts, drainage, retention ponds, signals, and landscaping. $26 Million.

p.

(1) TITLE AND LOCATION (City and State)

FM #228758-1-5201SR 732, Jensen Beach Causeway, Martin County, Florida

(2) YEAR COMPLETED

PROFESSIONAL SERVICES: 2005

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Engineer responsible for the replacement of one flat slab bridge and one high level Florida Bulb-T bridge. Also included a bridge widening, 3 miles of roadway reconstruction, and construction of recreational facilities which included restrooms, boat ramps, fishing piers, and picnic pavilions. $31.5 Million.

AUTHORIZED FOR LOCAL REPRODUCTION

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Kelly Cowger, P.E.	Senior Project Engineer	16	7

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc. – Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
M.B.A., Duquesne University, 1997, B.S., Civil and Environmental Engineering, University of Pittsburgh, 1995	PE/ FL #61645/2004

18. OTHER PROFESSIONAL *(Publications, Organizations, Training, Awards, etc.)*

CTQP QC Manager,
CTQP Concrete Field Tech Levels I & II
CTQP Final Estimates Level II,
FDOT Consultant CEI Project Manager Training,
ACI Concrete Transportation Construction Inspector II,
Nuclear Gauge Safety Training,
Advanced CPM/Claims Training
CTQP Asphalt Paving Levels I & II,
CTQP Earthwork Levels I & II
NPDES Certification,
ACI Concrete Field Testing Technician I,
Advance Work Zone Traffic Control,
Radiation Safety Officer,

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV on FM 230978-2, Reconstruction of CR 714 just W. of the Turnpike to Mapp Rd., Martin County, Florida**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Current; CONSTRUCTION *(If applicable)*: n/a

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This $16 million project will widen/reconstruct CR-714 to a four-lane divided urban principal arterial with a 35 mph posted. Scope includes urban drainage system with 2 pond sites, 6 signalized intersections, new box culvert at Danforth Creek, sound/barrier wall, lighting, signing & pavement marking, and landscaping. The roadway is currently a two-lane undivided minor arterial with a 35 mph posted speed limit. Project includes a 750 Contract Days with Incentive/Disincentive. Project Engineer

b.

(1) TITLE AND LOCATION *(City and State)*: ***FDOT District IV Treasure Coast Operations on FM 230978-1-52-01, Indian Street Bridge Design-Build, Martin County***

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This $64.3 million project involves providing a new bridge crossing of the South Fork of the St. Lucie River in Martin County, to connect Palm City with the City of Stuart. The superstructure consists of 19 spans composed of 78" Florida I-Beams. Contract Support Specialist

c.

(1) TITLE AND LOCATION *(City and State)*: **FDOT *Treasure* Coast Operations; *Pierce*, FL**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2010; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Assist Operation Center with developing a concept of PA supports website and SharePoint Site for internal processes. This site contains information, policies and 'how to' guides for project administration team and tracking systems for internal operations. Project Administrator

d.

(1) TITLE AND LOCATION *(City and State)*: ***SR A1A Little Mud Creek Bridge; FDOT District IV, Fort Pierce, Florida.***

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2009; CONSTRUCTION *(If applicable)*: 2009

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Project Engineer for this $18.4 million roadway improvement project responsible for oversight of Contractor's Quality Control Plan, management of inspectors and office staff, including mentoring of an FDOT employee in oversight, policies and procedures surrounding quality construction. She was also responsible for coordinating with the municipalities and different FDOT divisions to resolve construction and design related issues. She oversees all office engineering input. The project sits within an environmentally sensitive wetland and features rehabilitation of 4 bridges and replacement of a 5th bridge. Given the wetlands surrounding the project, she ensures contractor methods create as little impact as possible in the work zone and no impact beyond the work zone. Ms. Cowger used Hummingbird to research status of various permits.

e.

(1) TITLE AND LOCATION *(City and State)*: **SR9/I-95 Martin County Resurfacing from South of SR76 to the Martin/St. Lucie County Line, 13.3 Miles of Milling & Resurfacing, St. Lucie County, FL- FDOT**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2009; CONSTRUCTION *(If applicable)*: 2009

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Senior Inspector- Jobsite responsibilities included all required inspection and documentation of contractor's activities associated with milling and resurfacing, cross slope verification, resurfacing verification, MOT, striping, friction course, rolling straight edge inspection and laser profile deficiency verification. These projects also included guardrail installations and improvements.

f.

(1) TITLE AND LOCATION *(City and State)*

SR-858 (Hallandale Beach Boulevard) Resurfacing, Lighting and Landscape from Dixie Highway to Three Island Boulevard, Hollywood, FL- FDOT

(2) YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2007	2006

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Senior Project Resident Engineer for this high-profile, $5.8M roadway improvement project which consists of resurfacing, drainage, signing & signalization upgrades, lighting upgrades and landscaping. Responsible for oversight of Contractor's Quality Control Plan and all administrative duties including management of inspectors and office staff and coordinating City and FDOT tasks. Oversee all office engineering data input, as well as final estimates for consultant certified final estimates package. The visibility of the project required her to report regularly to Department PIO for lane closures and traffic updates and **facilitate Public Involvement efforts**. Traffic densities required minimal lane closures and efficient MOT plans. Ms. Cowger ensured contingency plans were in place through pre-paving conferences.

g.

(1) TITLE AND LOCATION *(City and State)*

Hallandale Beach Blvd Bridge Replacement Project, Hallandale, FL-FDOT

(2) YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2003	2003

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

As office Engineer/Senior Inspector for the $63M high-profile project, she performed inspection and contracts administration for the double bascule bridge replacement with CIP box girder flyover and roadway improvements. Responsibilities included assisting Senior Project Engineer and bascule bridge and roadway project engineers in all contractual administration required to properly manage the project per Department requirements.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Jason D. Jones	Project Administrator	18	8

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc. – Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*

Indian River Community College:
Business Management-1993-1999
AutoCAD I-Fall 2003
Engineering Graphics-Fall 2003
AutoCAD II-Spring 2004
Survey I-Spring 2004

17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*

18. OTHER PROFESSIONAL *(Publications, Organizations, Training, Awards, etc.)*

CTQP-Concrete Field Inspector Spec 346 and 400
CTQP-Asphalt Paving Technician Level I & II
CTQP-Earthwork Level I
CTQP-Final Estimates Level I & II
FDOT-Landscape Inspection
CTQP QC Manager
FEMA ISC 100, 200, 700
ACI Field Testing Technician Level I
Troxler-Nuclear Radiation Safety
ATSSA Florida Advanced MOT Training
FDOT-Density Log Book Class
FDOT Site Manager Version 3.1a
CSX Railroad Roadway Worker Protection Contractor Training

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV FIN # 230978-2-52-01, CR 714 From West of Turnpike Entrance to West of Mapp Road, Martin County, Florida.**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Current

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Work includes coordination between multiple utility companies as well as with public and private agencies to ensure utility relocation efforts are accomplished on schedule and relocations are not placed in conflict with upcoming 750 day project which is scheduled for a June 2014 start. Mr. Jones is also tasked as the Contract Support Specialist for the project once the 750 day construction portion of the Contract begins.

b.

(1) TITLE AND LOCATION *(City and State)*: **City of Port St. Lucie – Lap Project - FIN 427656-3-58-01, Melaleuca Blvd. Phase III, St. Lucie County, Florida**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a 90 day project consisting of new sidewalk, drainage, concrete curb, and driveway reconstruction. Project Administrator.

c.

(1) TITLE AND LOCATION *(City and State)*: **FIN 427022-1-52-01 on SR 510, from West of US-1 to Intracoastal Bridge. Resurface, Rehabilitation, Repair Project, Indian River County, Florida**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2013

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a 125 day project at a cost of $686,939.59 consisting of Milling and Resurfacing, concrete curb and gutter and sidewalk, and pedestrian signalization upgrades. Responsibilities are project management, inspection and documentation of daily construction activities. Duties also include material testing and recommending payment to the contractor. Project Administrator.

d.

(1) TITLE AND LOCATION *(City and State)*: **FM 424762-1-52-01 on SR 615 from Virginia Avenue to Orange Avenue, Fort Pierce.**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2013

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a 155 day project at a cost of $1,074,461, consisting of Milling and Resurfacing. Responsibilities include project oversight of the entire inspection and office staff

e.

(1) TITLE AND LOCATION *(City and State)*: **FM 422956-1-52-01 on SR 70 From Jenkins Road to US-1, Fort Pierce**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2012

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a 340 day project at a cost of $4,176,970, consisting of milling and resurfacing. Responsibilities include project oversight of the entire inspection staff and office staff. Project Administrator

f.

(1) TITLE AND LOCATION *(City and State)*: **Treasure Coast Operations – General Assigned.**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2011

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Senior Inspector/Project Administrator – Tasked with design plan reviews and field reviews for upcoming FDOT projects assigned out of the Treasure Coast Operations FDOT Office District IV. Also conducted inventory and GPS locates of existing street signage throughout Martin County, Florida on FDOT highways utilizing GIS mapping hardware and software.

AUTHORIZED FOR LOCAL REPRODUCTION

g.

(1) TITLE AND LOCATION *(City and State)*

Barber Street Reconstruction Project, Sebastian, Indian River County, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	
2011	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Senior Inspector overseeing the reconstruction of Barber Street in the City of Sebastian, Florida. Project includes type B stabilization, base, and asphalt placement, sidewalk reconstruction, swale grading, striping and signage.

h.

(1) TITLE AND LOCATION *(City and State)*

CR 507, Fellsmere, Indian River County, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2010	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Senior Inspector overseeing Superpave asphalt placement Indian River County Road 507 ARRA project (Stimulus Funds).

i.

(1) TITLE AND LOCATION *(City and State)*

SR 789; Sarasota County Line to Longboat Pass Bridge; Longboat Key, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2009	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Project included the milling and resurfacing of 4.5 miles of a two lane roadway with FC 12.5 asphalt in Manatee County. Project also included pipe de-silting, permanent signage, mailbox installation, erosion control, MOT, and striping. Senior Inspector

j.

(1) TITLE AND LOCATION *(City and State)*

SR 615 from Orange Ave to a point north of Ave Q. Reconstruction Project in St. Lucie County, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2009	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Project includes earthwork, sidewalk, construction, drainage and controlled access median installation milling/resurfacing. $6M, 2 miles. Role: Senior Inspector

k.

(1) TITLE AND LOCATION *(City and State)*

SR A1A Drainage Upgrades Project, Ft. Pierce, FL.

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2007	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Project includes earthwork, sidewalk construction and drainage in an extremely environmentally sensitive area. $1.4M, 2.1 miles. Role: Senior Inspector

l.

(1) TITLE AND LOCATION *(City and State)*

SR 714Milling and Resurface Project, Stuart, FL.

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2007	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Project includes milling and resurfacing, earthwork, sidewalk construction, drainage, lighting and signalization for approx. five miles of S.R. 714, $3.5M. Role: Senior Inspector

m.

(1) TITLE AND LOCATION *(City and State)*

SR60 Phase I project, Vero Beach, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2006	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Reconstruction of SR 60 from two lanes to four lanes divided highway. Includes earth work, drainage, asphalt, and signalization. $33M, 11 miles. Role: Roadway Inspector

n.

(1) TITLE AND LOCATION *(City and State)*

Turnpike Enterprises SR 91 (Florida's Turnpike MP 153.2 to MP 169.3, Ft. Pierce, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
	2006

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Guardrail, barrier wall, asphalt, sodding, milling. 16 miles, $12M. Role: Roadway Inspector/Contract Support Specialist Trainee

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Aren Griffin, EIT	Project Administrator	4	4

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc. – Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*
Bachelor of Science in Civil Engineering at Florida Atlantic University: May 5, 2011	FL - EIT: #1100015520

18. OTHER PROFESSIONAL *(Publications, Organizations, Training, Awards, etc.)*

Post Tensioning Tech Level I	Qualified Grouting Tech Level I
CTQP Asphalt Level I & II	CTQP Earthwork Level I & II
CTQP Concrete Field Tech I & II	CTQP Pile Driving Inspection
CTQP Drilled Shaft	CTQP Final Estimates I & II
ACI Concrete Field Testing Technician I	ACI Transportation Construction Inspector II
ATSSA Advanced MOT Certified	MSE Wall Installation Inspection Course
Auger Cast Pile Inspector's Course	Nuclear Gauge Safety Certified
Critical Structure Construction Issues – Self Study	NPDES Stormwater Erosion Certified
Railroad Roadway Worker Certified	

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*: **I-75 Express Lane Project, FDOT District IV; Broward County. Segment E Bridge**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Present; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a $75,000,000 with 1000 original contract days. The project involves construction of 4.7 miles of Express Lanes in the existing 166-foot wide median, and a 2036ft reversible bridge connecting median express lanes of both I-75 and I-595. The roadway consists of a barrier wall divided 2-lane to 4-lane tolled roadway, with 6-foot paved inside shoulders, and 12-foot outs shoulders, and the bridge consists of 2-lane 49ft wide spanning acrossing three major freeways. The I-75 Express Lane Segment E project starts from North of Griffin Road to I-595 in Broward County. Senior Inspector

b.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV Indian Street Bridge Project (Design - Build), Martin County**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a $63.9 million project with 977 original contract days, located in FDOT District 4 connecting Palm City (CR 714) to Stuart (Intersection of S.R. 76 and SE Indian Street). The length of the project is 1.964 miles with the bridge being approximately 3100'. The project consists of the construction of a new 55' vertical clearance bridge crossing the St. Lucie River and Okeechobee Waterway. New road construction consists of building a four lane curb and gutter section with turn lanes, drainage, lighting, signalization, sidewalks, and landscaping. Engineer Intern

c.

(1) TITLE AND LOCATION *(City and State)*

(2) YEAR COMPLETED — PROFESSIONAL SERVICES; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

d.

(1) TITLE AND LOCATION *(City and State)*

(2) YEAR COMPLETED — PROFESSIONAL SERVICES; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

e.

(1) TITLE AND LOCATION *(City and State)*

(2) YEAR COMPLETED — PROFESSIONAL SERVICES; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

f.

(1) TITLE AND LOCATION *(City and State)*

(2) YEAR COMPLETED — PROFESSIONAL SERVICES; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Rafael Vicente	Senior Inspector	35	9

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc. – Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*

A.S. Studies, Business Administration/Management

17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*

18. OTHER PROFESSIONAL *(Publications, Organizations, Training, Awards, etc.)*

CTQP Final Estimates-Level 1
CTQP Earthwork Inspection-Level 1 & 2
CTQP Asphalt Paving – Level 1 & 2
FDOT Concrete Field Inspector Specification
CTQP Drill Shaft Inspection
ACI Concrete Field Testing Tech-Grade 1
ACA Hot Mix Asphalt Paving & Compaction
CPN Radiation Safety & use of a Nuclear Gauge
DCP Traffic Safety in work place
IMSA Work Zone Safety Specialist
AM Red Cross Standard First Aid Course
FDOT Site Manager

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*

District IV FM 228583-2-52-01, 228583-4-52-01 and 230368-1-52-01 on SR-5/US-1 Reconstruction from North of SR-713/Kings Highway on St. Lucie County to South of CR-606/Oslo Road on Indian River Counties – Design/Build

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Current

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Improvements being made as part of this $23.3 million dollar project & 790 contract days on approx. 2.6 miles; Project consist of milling and resurfacing SR-5 at Saint Lucie County; Widening SR-5 in Indian River County from a four-lane roadway to six-lanes road from the county line to south of Olso Road; New bridge construction over FEC tracks; Construction of new sidewalk with ADA ramps; Landscaping; Upgrading traffic and pedestrian signals by constructing four Mast Arm Assemblies at SR-5 and Highland Drive; Cantilever sign structures; Street Lighting; Construction of two detention ponds; Installing over five and half miles of pipe with one hundred and eighteen drainage structures, construction of eight outfall miter ends and three headwalls for the storm drainage system. Senior Inspector

b.

(1) TITLE AND LOCATION *(City and State)*

SR 70 Reconstruction Project, City of Fort Pierce, Saint Lucie County.

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2012

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Project includes milling and resurfacing, sidewalk construction, signalization, Irrigation and Landscape for approx. 3.6 miles of SR 70. Senior Inspector

c.

(1) TITLE AND LOCATION *(City and State)*

SR A1A Reconstruction Project, City of Riviera Beach, Palm Beach County

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2011

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Project includes milling and resurfacing, earthwork, sidewalk construction, drainage, lighting and signalization for approx. 3 miles of SR A1A. Senior Inspector

d.

(1) TITLE AND LOCATION *(City and State)*

Barber Street Reconstruction Project, Sebastian, Indian River County, Florida.

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2011

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Project includes type B stabilization, base, and asphalt placement, sidewalk reconstruction, swale grading, striping and signage.

e.

(1) TITLE AND LOCATION *(City and State)*

CR 507, Fellsmere, Indian River County, Florida

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2010

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Senior Inspector overseeing Superpave asphalt placement Indian River County Road 507 ARRA project (Stimulus Funds). Responsibilities included inspection and documentation of MOT, asphalt placement, and striping placement.

f.

(1) TITLE AND LOCATION *(City and State)*

SR-806 (W. Atlantic Ave.) Financial Project ID# 413841-2-52-01; Delray Beach, Florida

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2009

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

$4.2M improvement project. He performed inspection oversight and materials verification for earthwork, asphalt and concrete. Project features milling and resurfacing of roadways; installation of structures and French drains ADA curb ramps and sidewalk construction, construction of gravity wall, guardrail, striping, concrete curb & gutter. Inspected all contractor's operations for conformance with contract plans and specifications. Senior Inspector

g.	(1) TITLE AND LOCATION *(City and State)* **SR-A1A in Fort Pierce, Florida**	(2) YEAR COMPLETED PROFESSIONAL SERVICES 2008	
	(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE The project is an $18.4 million roadway improvement project which consists of resurfacing, drainage & signing. Role: Senior Inspector	☒ Check if project performed with current firm	
h.	(1) TITLE AND LOCATION *(City and State)* **S.R. 714, Martin County, Florida**	(2) YEAR COMPLETED PROFESSIONAL SERVICES 2006	
	(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE Project includes milling and resurfacing, earthwork, sidewalk construction, drainage, lighting and signalization for approx. five miles of S.R. 714. $1.8 M. Role: Senior Inspector	☒ Check if project performed with current firm	

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Ralph LaChance	Senior Inspector	13	13

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc., Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*

17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*

18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

CTQP Earthwork Construction Inspection Level I & II
CTQP Concrete Field Technician Level I
CTQP Asphalt Paving Level I
CTQP Pile Driving
CTQP Final Estimates Level I & I
ACI Level I
Nuclear Density Gauge Safety
Work Zone Traffic Control (Advanced)
Augercast Pile Inspector
Critical Structures Construction Issues – Self Study

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION (City and State)
FDOT District 4 on FM #230978-2-1-52-01, Reconstruction of CR 714 just W. of the Turnpike to Mapp Rd., Martin County, Florida

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Current; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This $16 million project will widen/reconstruct CR-714 to a four-lane divided urban principal arterial with a 35 mph posted. Scope includes urban drainage system with 2 pond sites, 6 signalized intersections, new box culvert at Danforth Creek, sound/barrier wall, lighting, signing & pavement marking, and landscaping. The roadway is currently a two-lane undivided minor arterial with a 35 mph posted speed limit. Senior Inspector

b.

(1) TITLE AND LOCATION *(City and State)*
City of Palm Bay of Palm Bay Parkway Design-Build – FDOT District 5 - Project 428346-1-58-01

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This project involves construction of a new bridge crossing the C-1 canal as well as new roadway construction of Palm Bay Parkway, Malabar Rd (widening), Pace Dr, and Emerson Dr. Senior inspector

c.

(1) TITLE AND LOCATION *(City and State)*
Indian Street Bridge Design-Build in Martin County - FDOT District 4 - Project 230978-1-52-01

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This project involves providing a new bridge crossing of the South Fork of the St. Lucie River in Martin County, to connect Palm City with the City of Stuart. The limits of this project begin on Martin County Highway west of Mapp Road and extend east of Kanner Highway State Road 76 on Indian Street. Senior Inspector

d.

(1) TITLE AND LOCATION *(City and State)*
City of Riviera Beach Blue Heron and A1A, project 420325-1-58-01 ARRA

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2011; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Inspection of storm drainage construction, water main and force main utility construction. Embankment, subgrade, and base inspections. Lighting, irrigation, landscape, sidewalk, milling and resurfacing, paving, widening, signage, striping construction. Bridge construction includes removal of pedestrian concrete railing and installation of decorative railing, addition of light poles, barrier wall. Senior Inspector.

e.

(1) TITLE AND LOCATION *(City and State)*
FDOT Project FIN # 416388, District IV. SR15 / US 98, RRR Project.

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2010; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Milling & Resurfacing, 10 miles of two lane road to include: Guardrail up-grades at bridges, fence replacement and signing & marking. Senior Inspector

f.

(1) TITLE AND LOCATION *(City and State)*

Turnpike Enterprise, Port St. Lucie interchange re-construction, St. Lucie County

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2010	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Senior Inspector responsible for the roadway inspection to the realignment of exit ramps in Port Saint Lucie, which includes earth work, drainage, asphalt paving, and landscaping.

g.

(1) TITLE AND LOCATION *(City and State)*

State Road 70 (Okeechobee Road), Saint Lucie County, Florida.

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2009	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

The project involves roadway widening by the installation of additional lanes, drainage installation, drainage canal relocation and excavation, multiple new bridge structures and a shared use path with a foot bridge that crosses over SR 70. $45 million otal for two projects. Role: Bridge/Roadway Inspector

h.

(1) TITLE AND LOCATION *(City and State)*

Turnpike Enterprise Milling and Resurfacing in St. Lucie and Fort Pierce, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2006	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

The project involves roadway milling and resurfacing, installation of barrier wall at bridge overpasses with installation of new guardrail throughout the 16 miles. Also installation of Contra Flow movable guardrail system. Project cost of approx. $15 million. Role: Roadway Inspector

j.

(1) TITLE AND LOCATION *(City and State)*

State Road 704 (Royal Park Bridge), Palm Beach County, Florida.

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2005	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

The project involves replacing the existing double leaf temporary bascule bridge carrying Royal Palm Way over the Intercostals Waterway (ICWW) with a new twin double-leaf bascule bridge measuring 1,000 feet in length, with segmental arch approaches. $80 million project. Role: Bridge/Roadway Inspector

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Robert Safranek	Senior Inspector	35	11

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc. - Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*

18. OTHER PROFESSIONAL *(Publications, Organizations, Training, Awards, etc.)*

CTQP Asphalt Paving Level I & II
CTQP Drill Shaft Inspection
Maintenance of Traffic (Intermediate)
CPPR Training
MSE Wall Self Study
CTQP Earthwork Level I & II
CTQP Pile Driving Inspection
ACI Concrete Technician I
FDEP Stormwater
Auger Cast Piling Self Study
CTQP Concrete Technician
Troxler Density Gauge Safety
CTQP Final Estimates I
Critical Structures Construction Issues - Self Study

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*
FDOT District IV FM 412420-3-52-01 on I-95 & Spanish River Blvd. Interchange Expansion Project

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Current	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a 1141 day project at a cost of 67,733,581.38, consists of expanding the interchange at I-95 and Spanish River Blvd. and reconstructing 2.5 miles of expressway. Project includes 8 new bridges and 5 bridge widenings, the use of 185 foot, 84" FIB beams, roadway reconstruction, MSE walls, 2 sound walls, and signalization. Senior Inspector

b.

(1) TITLE AND LOCATION *(City and State)*
FM 432456-1-52-01 on Florida Turnpike SR-91 Milling and Resurfacing North of Atlantic Ave. to South of Boynton Beach Blvd. Palm Beach County

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2014	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This project is valued at 3.7 million, it involves milling and resurfacing of mainline and shoulders with cross slope corrections. Senior Inspector

c.

(1) TITLE AND LOCATION *(City and State)*
FDOT District IV FM 419250-2-52-01 on SR 710 Big John Monahan Bridge Design-Build, Martin County

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2014	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This project is valued at $32 million, it involves improvements to the Beeline Highway (SR710) in Martin County, Florida to increase capacity and improve safety. The new roadway will provide two lanes of traffic in each direction separated by a median. Improvements include complete replacement of the existing "Big John Monahan Bridge" over the St. Lucie Canal with new twin structures also carrying two lanes of traffic in each direction and new sidewalks. The project limits are from SW Trail Drive on the west side of the St. Lucie Canal to east of the S.R. 76 connector ramps on the east side of the Canal. The total length of the project is approximately 1.50 miles (1.32 miles of roadway and 954 feet of bridge). SR 710 is a designated hurricane evacuation route and part of Florida's Strategic Intermodal System (SIS).This project is the first in a series of SR 710 improvements that will expand the corridor to ensure the mobility of people and goods, enhance economic prosperity and preserve the quality of our environment and communities. Senior Inspector

d.

(1) TITLE AND LOCATION *(City and State)*
City of Riviera Beach SR A1A; Palm Beach County, Florida. Contract: 252-10

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)
2012	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This project valued at $10+ million consists of roadway widening, milling and resurfacing, drainage, lighting, sidewalks and landscaping. Senior Inspector

e.

(1) TITLE AND LOCATION *(City and State)*
HOV Expansion Project SR 9 (I-95 HOV); Palm Beach County, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2012	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

These two projects valued at $43+ million each, consist of roadway and bridge widening from six to ten lanes. Senior Inspector

f.

(1) TITLE AND LOCATION *(City and State)*
FDOT District VI Miami Intermodal Center

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2008	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Scope of work would include soils sampling, testing and verification, concrete sampling, testing and verification and asphalt paving and verification and the input of results in LIMS and Site Manager. Senior Inspector

g.

(1) TITLE AND LOCATION *(City and State)*
Turnpike Mainline Widening and Resurfacing Project from 1 Mile North of Atlantic Avenue to Lantana Toll Plaza in Palm Beach County.

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2009	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

The $31.3 Million project includes widening and resurfacing of the Turnpike Mainline, it also includes removal and replacement of two canal bridges. Senior Inspector

h.

(1) TITLE AND LOCATION *(City and State)*

Turnpike Mainline Resurfacing Project and Guardrail Roadside Improvements in St. Lucie and Martin Counties

(2) YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2005	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☒ Check if project performed with current firm

Scope of work includes asphalt milling and resurfacing and guardrail installation as part of safety improvement projects. Senior Inspector

i.

(1) TITLE AND LOCATION *(City and State)*

FDOT District I Hybrid CEI for US 17 North from South of Bridge No. 160207/219 to Sand Mountain Road, Polk County

(2) YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2005	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

☐ Check if project performed with current firm

The scope of work consisted of milling and resurfacing, pavement marking and drainage improvements. Senior Inspector

j.

(1) TITLE AND LOCATION *(City and State)*

Turnpike Miscellaneous CEI and Fort Pierce General Assigned CEI Services

(2) YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2005	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☐ Check if project performed with current firm

Scope of work consisted for the Turnpike Miscellaneous CEI services consisted of roadway, MOT setup, canopy replacement, concrete forms, installation of generators, the installation of re-wiring of the ground lines, guardrail installation, landscape installation, plumbing repair and updating the as built information from Yeehaw Junction to Wildwood. Work on projects in Fort Pierce included roadway work on A1A in Hutchinson Island and SR 70 drainage and landscape Improvements. Senior Inspector

k.

(1) TITLE AND LOCATION *(City and State)*

FDOT District IV SR5 Olive Avenue in Downtown West Palm Beach

(2) YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2002	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☐ Check if project performed with current firm

Duties included inspections of pipe placement, concrete, asphalt paving operations, MOT, and earthwork. Also provided a daily report of construction activities and measured field quantities for the monthly and final estimates. Inspector

l.

(1) TITLE AND LOCATION *(City and State)*

FDOT District IV SR 7 (US 441) in Palm Beach County

(2) YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2001	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☐ Check if project performed with current firm

Project consists of roadway widening and milling and resurfacing. Duties include MOT, daily reports, drainage inspections asphalt inspection, concrete testing and signalization. Inspector

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Randall Rosiek	Senior Roadway/Bridge Inspector	30	7

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc. – Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*

18. OTHER PROFESSIONAL *(Publications, Organizations, Training, Awards, etc.)*

CTQP Asphalt Paving Technician – Level I &II
CTQP Earthwork Construction Inspection – Level 1
CTQP Concrete Field Technician – Level I & II
Preparation of Final Estimates
Pile Driving Installation Inspection
Drilled Shaft Installation Inspection
Troxler Nuclear Gauge Safety/Testing Equipment Technician
Intermediate Work Zone Traffic Control Course
ACI Concrete Transportation Construction Inspector
Certified Heavy Crane Operator
FDOT Density Log Book Training

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*: **District IV FM 228583-2-52-01, 228583-4-52-01, 230368-1-52-01 on SR-5/US-1 Reconstruction from North of SR-713/Kings Highway to South of CR-606/Oslo Road, St. Lucie and Indian River Counties Design Build**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Current

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Improvements being made as part of this $23.3 million & 790 contract day project consist of milling and resurfacing the roadway; widening the roadway in Indian River County from a four-lane roadway to a six-lane roadway from the county line to south of Olso Road; new bridge construction; construction of new sidewalk on the west side of US-1; upgraded traffic signals at US-1 and Highland Drive; construction of two ponds; drainage; and landscaping. Senior Inspector

b.

(1) TITLE AND LOCATION *(City and State)*: **District IV FM 230978-1-52-01 on Indian Street Bridge Design-Build in Martin County.**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2013

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

This $64.3 million project involves providing a new bridge crossing of the South Fork of the St. Lucie River in Martin County, to connect Palm City with the City of Stuart. The limits of this project begin on Martin County Highway west of Mapp Road and extend east of Kanner Highway State Road 76 on Indian Street. Senior Inspector

c.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV Parker Bascule Bridge Rehabilitation; Parker Bridge on (US 1) North Palm Beach, Florida**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2011

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

Contract Amount $10,186,066. The improvements under this contract include adding sidewalk to the approach spans and bascule spans, replacing the open grate deck on the bascule spans, demolishing and rebuilding the bridge tender building, painting structural steel and control building, replacing the bascule lift machinery, adding traffic barrier wall, repairing the fender system and significant electrical upgrades. This contract is a construction manager at risk contract. Senior Inspector

d.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District V SR 5 (US-1) over the Sebastian River; Brevard County, Florida.**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2009

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

$18,032,300 bridge replacement over the Sebastian River. Project featured bridge replacement and repairs, resurfacing, drainage and signing. The bridge replacement consists of demolition and construction of new bridge, permanent steel sheet piling, concrete piling and MSE wall construction, milling and resurfacing of roadway, widening, shoulder construction and drainage swales. Senior Inspector

e.

(1) TITLE AND LOCATION *(City and State)*: **A1A/Little Mud Creek-St.Lucie County, Ft. Pierce Florida.**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2009

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

The project consist of milling and resurfacing seven miles of roadway, widening and shoulder construction, Retrofit four existing bridges with sidewalk and traffic barrier wall. Demolition and Construction of new bridge at Little Mud Creek, Construction of seven miles of sidewalk, drainage swales. $18M. Role: Senior Bridge/Roadway Inspector

f.

(1) TITLE AND LOCATION *(City and State)*

Ernest Lyons Bridge – Design Build - Martin County – Stuart, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

The project consists of replacement of existing bascule bridge with new segmental bridge, new access bridges to the islands on the causeway. Roadway items including paving, new drainage, lighting, sidewalks and landscaping. $42M. Role: Senior Bridge/Roadway Inspector

g.

(1) TITLE AND LOCATION *(City and State)*

Jensen Beach Causeway Project – Martin County, Jensen Beach, Florida.

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

The project consists of replacement of the existing bascule bridge with a new 65 foot high Bulb-T beam bridge, replacement of the low level east relief bridge and widening of the low level west relief bridge. MSE retaining wall, sheet pile sea walls. $34M. Role: Senior Roadway/Bridge Inspector

h.

(1) TITLE AND LOCATION *(City and State)*

SR A1A Indian River County, Vero Beach, Florida.

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)* 2002

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

The project consisted of extensive drainage improvements, widening, milling and resurfacing of 3.3 miles of roadway. $12.5M. Role: Senior Roadway Inspector

i.

(1) TITLE AND LOCATION *(City and State)*

Evans Crary Bridge Project – S.R. A1A Martin County District 4, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)* 2001

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

The project consisted of replacement of the old bascule bridge with a new 65 foot high segmental bridge. $34M. Role: Senior Roadway Inspector.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
John Brewer	Senior Bridge Inspector	41	1

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc. – Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*

18. OTHER PROFESSIONAL *(Publications, Organizations, Training, Awards, etc.)*

CTQP Asphalt Paving Tech I & II
CTQP Concrete Field Tech I & II
CTQP Earthwork Construction I & II
CTQP Pile Driving Inspection
CTQP Drilled Shaft Inspection
CTQP Final Estimates I
Intermediate Work Zone Traffic Control
ACI Concrete Transportation Construction Inspector
ACI Level I Certification
Troxler Certification

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV Roadway Improvement Project, 3.7 miles of the Segment D of the SR-93/ I-75 Express Lanes Project from just South of Sheridan Street at milepost 4.645 to North of the SFWMD C-11 Canal Bridge at milepost 8.433 in Broward County, FL**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Present; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☒ Check if project performed with current firm

The improvements to be constructed within the existing 166- foot wide median generally consist of a barrier wall divided 4- lane tolled roadway (two 12- foot travel lanes in each direction), with 6- foot paved inside shoulders, and 12- foot (10 feet paver) outside shoulders. The project also includes the reconstruction of the Sheridan Street Interchange. Other improvements include: new I-75 Express Lanes Bridge over the C-11 Canal; widening and lengthening the existing Sheridan Street Overpass Bridge (# 860329) including complete deck replacement; milling and resurfacing of the I-75 General Purpose lanes adjacent to the proposed ingress/egress lanes connecting to the Express Lanes; temporary and permanent retaining walls; drainage; sound barrier walls; permanent traffic monitoring site; tolling gantries; Intelligent Transportation System (ITS); signing and pavement markings; signalization; lighting; and landscaping. Senior Bridge Inspector

b.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District II Roadway Improvement Project, 3.120 miles of SR-9/I-95 from the Myrtle Ave Bridge to the Pedestrian overpass on SR 9 (I-95) in Duval County, FL**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2015; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

The Improvements consist of milling & resurfacing, shoulder treatment, drainage improvements, curb and gutters, traffic signals, highway signing, guardrail, sidewalk, and other incidental construction. Senior Inspector

c.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV Roadway Improvement Project, 8.9 miles of SR-9/I-95 from South of SR-7 to South of Indrio Rd. (Work Groups 10.1, 10.3 & 10.4), St. Lucie County, FL.**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Improvements include adding 4 inside lanes and highway lighting, overhead signs and landscaping with environmental improvement, replacement of 3 bridges and widening 6 bridges, Improvements to SR-68 and SR-70 roadway and ramps with new turn lanes, sidewalk, traffic signals, highway lighting, and landscaping. Senior Bridge Inspector

d.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV Roadway Improvement Project, 1.7 miles of US-1 South of Vero Beach (Work Groups 10.1, 10.3 & 10.4), Indian River County, FL.**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2010; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

Improvements include Reconstruction from 4 to 6 lanes, replacement of two 2-lane bridges with a 6-lane bridge, sidewalk, bicycle path, traffic signals, highway lighting, and landscaping. Senior Inspector

e.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV Roadway Improvement Projects (2) on 11.5 miles of US-1 from Vero Beach to Sebastian (Work Groups 10.1, 10.3 & 10.4), Indian River County, FL**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2008; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE ☐ Check if project performed with current firm

The improvements include milling and resurfacing (Superpave Asphalt), new traffic signals, highway lighting, and landscaping. Senior Inspector

f.

(1) TITLE AND LOCATION *(City and State)*

FDOT District IV Jensen Beach Causeway and the Frank A. Wacha Bridge (Work Groups 10.1, 10.3, 10.4 & 10.5.1), Martin County, FL

(2) YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2006	

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☐ Check if project performed with current firm

Jensen Beach Causeway and the Frank A. Wachca Bridge, (a 65-foot high, 2-lane bridge) in Martin County, Florida. Included installation of drainage system, roadway construction, densities, embankment, sub-grade and base coarse placement, MSE wall, seawall, sidewalk, curb and gutter, barrier wall construction, installation of the irrigation system, landscaping, asphalt placement, and pavement marking, pier footers, columns, pier caps, end bents, seawall cap and bridge decks. Inspector

g.

(1) TITLE AND LOCATION *(City and State)*

(2) YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE

☐ Check if project performed with current firm

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
Ed Ogle	Senior Bridge Inspector	20	13

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc. – Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*	17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*

18. OTHER PROFESSIONAL *(Publications, Organizations, Training, Awards, etc.)*

CTQP Pile Driving Inspection
CTQP Concrete Field Inspector
ACI Concrete Field Testing Grade I
American Segmental Bridge Institute-Certified Grouting Tech.
Pilgrim UWC LU Injection System-Certified Epoxy Injection
CTQP Qualified Grouting Tech I & II
Work Zone Traffic Safety Advanced
MSE Wall, Self Study
CTQP Drilled Shaft Inspection
Troxler Nuclear Density Safety
National Safety Council-OSHA Basics and Rigging Safety
Critical Structures Construction Issues – Self Study

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV FM 412420-3-52-01 on I-95 & Spanish River Blvd. Interchange Expansion Project**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: Present; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

This is a 1141 day project at a cost of 67,733,581.38, consists of expanding the interchange at I-95 and Spanish River Blvd. and reconstructing 2.5 miles of expressway. Project includes 8 new bridges and 5 bridge widenings, the use of 185 foot, 84" FIB beams, roadway reconstruction, MSE walls, 2 sound walls, and signalization. Senior Bridge Inspector

b.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV FM 227938 SR-822/Sheridan St. West Lake Park Mitigation project in Broward County**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

This is a 115 day project at a cost of $517,006. The project consists of building a pedestrian bridge in a environmentally sensitive mangrove stand in a county park. Senior Inspector

c.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV FM 429095 I-595 EB/WB Bridge Rehabilitation project in Broward County**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

This is a 134 day project at a cost of $582,291. The project consists of replacing the existing fender system, whalers and replacement of navigational lights for the I -595 bridge over the Pond Apple Slough. Senior Inspector

d.

(1) TITLE AND LOCATION *(City and State)*: **FDOT District IV FM 419250-2-52-01 on SR710 Big John Monahan Bridge Replacement Project**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2014; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☐ Check if project performed with current firm

This is a 1029 day project at a cost $31,906,521.00, consisting of replacing the existing structure with a new structure will be a four – lane project. Senior Bridge Inspector

e.

(1) TITLE AND LOCATION *(City and State)*: **I-95 HOV, South of PGA to South of Donald Ross, from South Donald Ross to Indiantown Road; Palm Beach County, Florida**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2012; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Bridge Inspector verification and inspection on widening I- 95 from 6 lanes to 10 lanes. 8 Bridge widening. MSE Wall and sound wall construction.

f.

(1) TITLE AND LOCATION *(City and State)*: **Florida's Turnpike(SR 91) widening from 1 mile north of Atlantic Avenue to Lantana Toll Plaza; Palm Beach County, Florida**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2008; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Bridge Inspector on the $31.3 Million project includes widening and resurfacing of the Turnpike Mainline. Project features removal and replacement of two canal bridges.

g.

(1) TITLE AND LOCATION *(City and State)*: **Jensen Beach Causeway, Jensen Beach, Florida**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2005; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☒ Check if project performed with current firm

Bridge Inspector on the 65 foot high, two-lane concrete girder bridge over the intracoastal. Project features replacement of a low-level bridge to the East and renovation of the existing low-level bridge to the west, and new seawalls.

h.

(1) TITLE AND LOCATION *(City and State)*: **Ringling Causeway Bridge FDOT District One Project, Sarasota, Florida.**

(2) YEAR COMPLETED — PROFESSIONAL SERVICES: 2002; CONSTRUCTION *(If applicable)*:

(3) BRIEF DESCRIPTION *(Brief scope, size, cost, etc.)* AND SPECIFIC ROLE — ☐ Check if project performed with current firm

Superintendent. Design build segmental bridge project which included a new sidewalk, lighting, park systems with facility and boat ramp.

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person)

12. NAME	13. ROLE IN THIS CONTRACT	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM
David Epperson	Inspector	7	7

15. FIRM NAME AND LOCATION *(City and State)*

Target Engineering Group, Inc. – Miami, Florida

16. EDUCATION *(DEGREE AND SPECIALIZATION)*

17. CURRENT PROFESSIONAL REGISTRATION *(STATE AND DISCIPLINE)*

18. OTHER PROFESSIONAL *(Publications, Organizations, Training, Awards, etc.)*

CTQP Asphalt Paving Tech I and II
Earthwork Construction Inspection I
FDOT Concrete Field Inspector Specification
Class B CDL with P and S Endorsements
EPA Certified Universal Technician
FEMA ICS-100
FEMA ICS-200
Qualified Stormwater Management Inspector
Final Estimates I
ACI Level 1
ATSSA Advance MOT
Concrete Field Technician Level I
Critical Structures

19. RELEVANT PROJECTS

a.

(1) TITLE AND LOCATION (City and State)

FDOT District IV on FM 230978-2-1-52-01, Reconstruction of CR 714 just W. of the Turnpike to Mapp Rd., Martin County, Florida

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
Current	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☒ Check if project performed with current firm

This $16 million project will widen/reconstruct CR-714 to a four-lane divided urban principal arterial with a 35 mph posted. Scope includes urban drainage system with 2 pond sites, 6 signalized intersections, new box culvert at Danforth Creek, sound/barrier wall, lighting, signing & pavement marking, and landscaping. The roadway is currently a two-lane undivided minor arterial with a 35 mph posted speed limit. Inspector

b.

(1) TITLE AND LOCATION (City and State)

District IV SR5/US1 from St Lucie Co Line to S. of Highland Drive in St Lucie/Indian River County – Design/Build

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2015	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a widening and reconstruction project from 4 lanes to 6 lanes. This is a 790 day project at a cost of $23,206,000.00, consisting of replacing twin bridge structures on US1 with two new structures over FEC railroad and Old Dixie Highway, addition of sidewalks, curb & gutter, increasing shoulder width as well as bike paths, drainage installations, milling & resurfacing. Roadway Inspector

c.

(1) TITLE AND LOCATION (City and State)

District I Permit Department

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2014	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☒ Check if project performed with current firm

Under a Districtwide contract, Mr. Epperson is currently working for the District 1 Permit Department in Bartow. His daily responsibilities include inspecting various permitted construction activities such as driveway connections, drainage connections, and utility work in the FDOT R/W and to ensure compliance with standard index and permit documents. He is also responsible for reviewing the MOT on various permit projects. Roadway Inspector

d.

(1) TITLE AND LOCATION (City and State)

FDOT District VII I-275 from S Sunshine Bridge to 54th Ave S, project, FIN # 407233-5-52-01 Contract T-7132

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2013	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a 360 day ITS project at a cost of $5,970,995 consisting of removal of exiting ITS equipment and devices and replacing with new CCTV steel poles ,Cameras ,Control cabinets ,power service up-grades, MVDS, RWIS, DMS signs , Structures and foundations, and installation of new thermal CCTV cameras to maintain security on the bridge. Roadway Inspector

e.

(1) TITLE AND LOCATION (City and State)

District IV on FIN 424762-1-52-01 on SR 615, 25th Street, Virginia Ave to Orange Ave

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2013	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☒ Check if project performed with current firm

155 day project at a cost of $1,074,461, consisting of Milling and Resurfacing. Roadway Inspector

f.

(1) TITLE AND LOCATION (City and State)

District IV on FIN 422956-1-52-01 on SR 70, Okeechobee Road / Virginia Ave. Resurface, Rehabilitation, Repair Project, St. Lucie County, Florida.

(2) YEAR COMPLETED	
PROFESSIONAL SERVICES	CONSTRUCTION *(If applicable)*
2012	

(3) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE ☒ Check if project performed with current firm

This is a 340 day project at a cost of $4,176,970, consisting of Milling and Resurfacing. Inspector Aide responsible for assisting Senior Inspector with project inspection's and documentation of daily construction activities through the SiteManager format. Inspector Aide

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 1

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Melaleuca Blvd. Phase III, St. Lucie County, Florida		Current

23. PROJECT OWNER'S INFORMATION

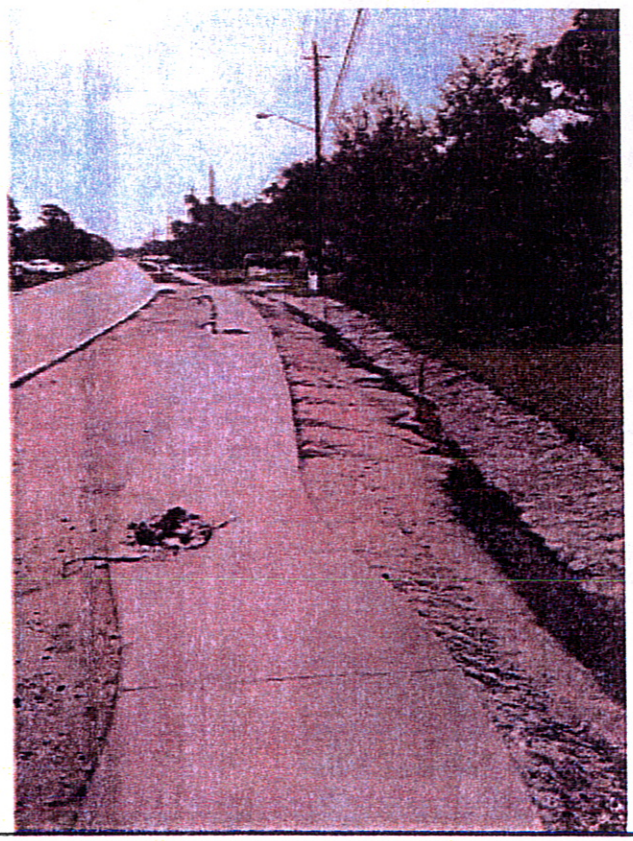
a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
City of Port St Lucie	Edith Majewski	(772) 370-3209

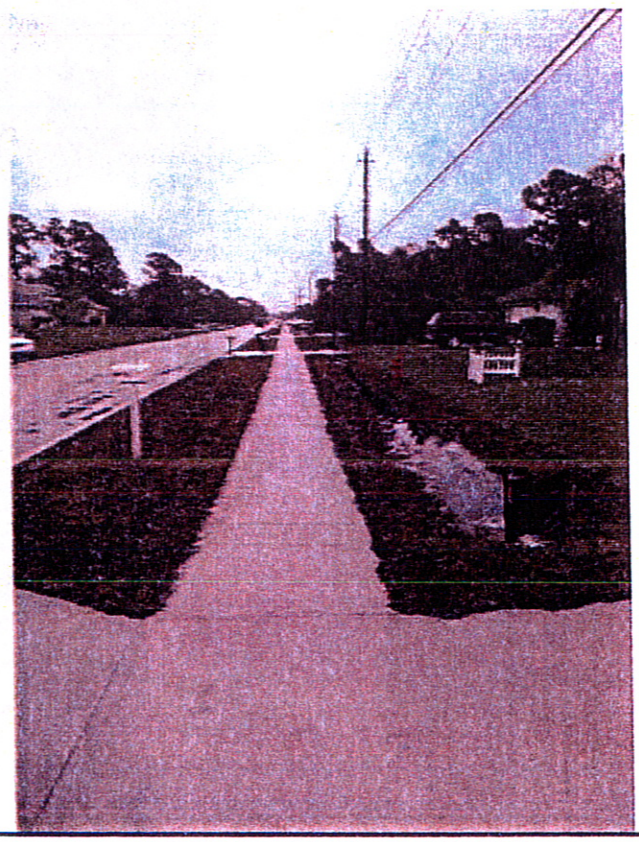
24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size and cost)*

FIN 427656-3-58-01. LAP Project. The project corridor lies within a residential section of the City of Port St. Lucie in St. Lucie County, Florida. The project was funded with federal money and administered under the FDOT LAP program. The owner of the project is the City of Port St. Lucie.

Scope: The project consisted of placing a new sidewalk on Melaleuca Boulevard. The project included drainage improvements, new sidewalk, driveway reconstruction, and sod work. Coordination with the residents along the corridor was essential to the success of the project.

Cost: $151,669.97 Duration: 90 Days Length of Project: 0.5 miles





25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Target Engineering Group		Prime Consultant Construction Engineering Inspection
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 2

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
SR 615, (25th Street/Martin Luther King Jr. Blvd) Fort Pierce, Florida	6/2013	5/2013

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
Florida Department of Transportation District 4	Daniel Hiden, P.E.	772-465-7396

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size and cost)*

FIN # 424762-1-52-01. The improvements under this Contract consist of milling and resurfacing SR 615, from Virginia Avenue to Orange Avenue in St. Lucie County with improvements to include installation of video detection at signalized intersections, conversion of pedestrian signal indications to countdown type, and new signing and pavement markings. ADA improvements consist of repairing deficient sidewalks and replacement of noncompliant curb ramps. Construction cost is $1,074,461.12 and Original Contract time is 155 days.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Target Engineering Group	Fort Pierce, Florida (Field Office) Miami, Florida (Corporate Office)	Prime Consultant Construction Engineering Inspection
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 3

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
SR 615/25th Street; Ft. Pierce, Florida		2009

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
FDOT District 4	Dale Walker	(772) 465-7396

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size and cost)*

The final construction cost for the project was $6,317,693.38
The project consisted of drainage installation, widening, median installation with traffic separator's and type E curb and gutter, signal and lighting work, type F curb and gutter and sidewalk placement, milling and resurfacing, and landscaping.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	EC Driver and Associates	Boca Raton, FL	Design Engineer of Record
b.	Carolina Consulting Corp, dba Barrier Wall of South Florida	Jupiter, FL	Prime Contractor
c.	Community Asphalt Corp.	West palm Beach, FL	Asphalt Paving Subcontractor

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 4

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
FM #422956-1-52-01, SR 70 (Okeechobee Road and Virginia Avenue) from Jenkins Road to US-1 – Fort Pierce, Florida	2012	

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
Florida Department of Transportation District Four 3400 W. Commercial Blvd. Ft. Lauderdale, FL 33309	Kristopher Kehres, P.E.	772-489-7127

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size and cost)*

FM #422956-1-52-01, SR 70 (Okeechobee Road and Virginia Avenue) from Jenkins road to US-1.

This project consists of the milling and resurfacing of 3.589 miles of State Road 70 from Jenkins Road to US-1. This section of State Road 70 is a 6 lane, urban, divided highway in Fort Pierce, Florida. This project includes improvements to signalization, concrete curb and sidewalk improvements to meet ADA criteria and current FDOT Specifications and Standards, guardrail replacement, minor widening, valve adjustments, irrigation, landscaping, and milling and resurfacing. The original project contract time is 540 days and the contract dollar amount is $4,176,970.84. This project is currently ongoing and is not scheduled for completion until August 2012.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Target Engineering Group		Prime Consultant Construction Engineering Inspection
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 5

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Resurfacing Barber St fr Schumann Dr to Cty Rd 512 / FM #42624616201 / ARRA-090-B	2011	

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
City of Sebastian	Al Minner (City of Sebastian - City Manager)	(772) 388 - 8203

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size and cost)*

The project corridor lies within a residential section of the City of Sebastian in Indian River County, Florida. The project was funded with federal stimulus money from the American Recovery and Reinvestment Act. The owner of the project is the City of Sebastian but the project was administered through an FDOT contract.

Scope: Drainage improvements, complete reconstruction of the roadway in phases 1, 2, and 3 (subgrade, base, asphalt), milling and resurfacing in phase 4, pavement markings, signalization, sidewalk installation, and sod work. In addition the work called for the entire closure of the roadway which led to significant MOT impacts. Coordination with the approximately 300 residential units along the corridor was essential to the success of the project.

Cost: $1,449,275.69 Duration: 313 Days

Length of Project: 2.686 Miles

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Target Engineering Group		Prime Consultant Construction Engineering Inspection
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

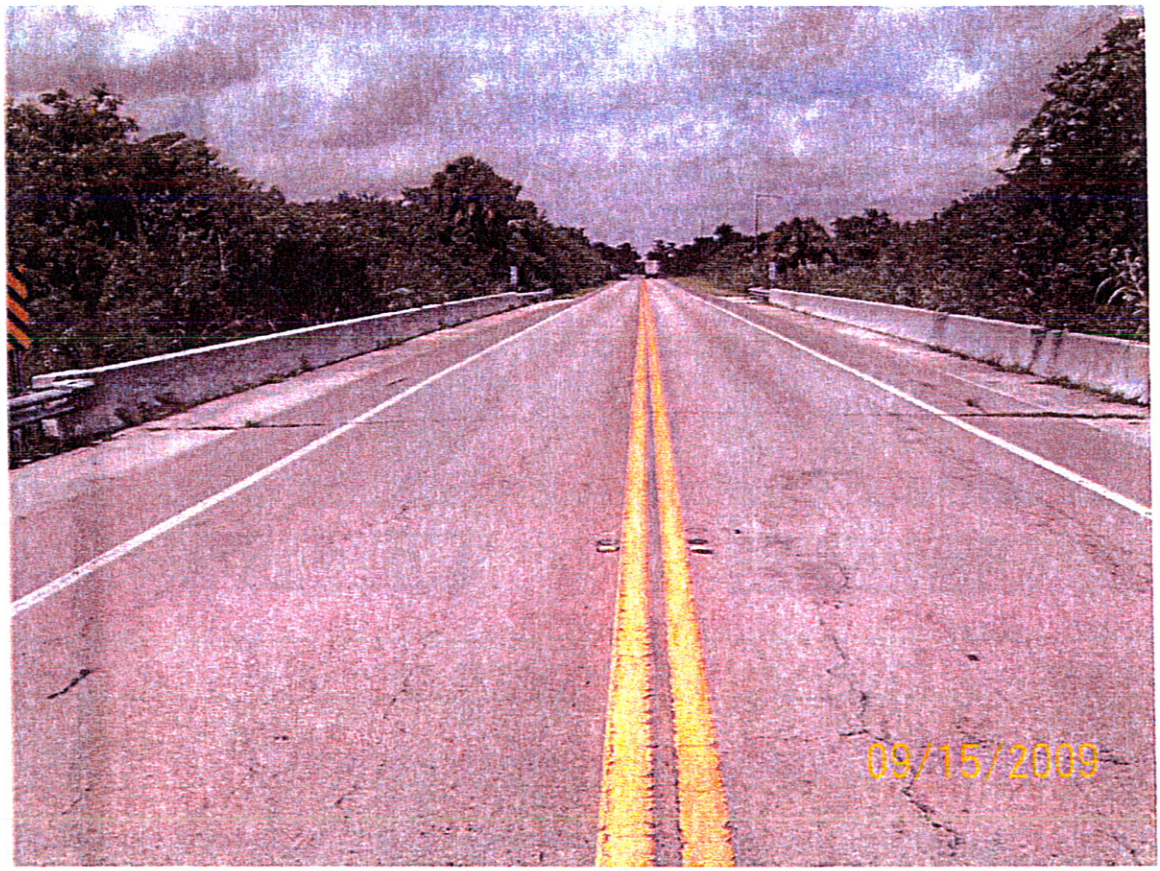
F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 6

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
SR 15 (SR 700, 441, US 98), Florida	2010	

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
Florida Department of Transportation District Four, Treasure Coast Operations	John Nelson	(772) 489-7149

Milling & Resurfacing approximate 10 miles of SR No. 15. Additional work mix included up-grading guardrail at six bridges, fencing, signing & pavement marking. Construction Cost: $3.28 Million.



25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Target Engineering Group	Ft Lauderdale, Florida Miami, Florida	Construction Engineering Inspection
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 7

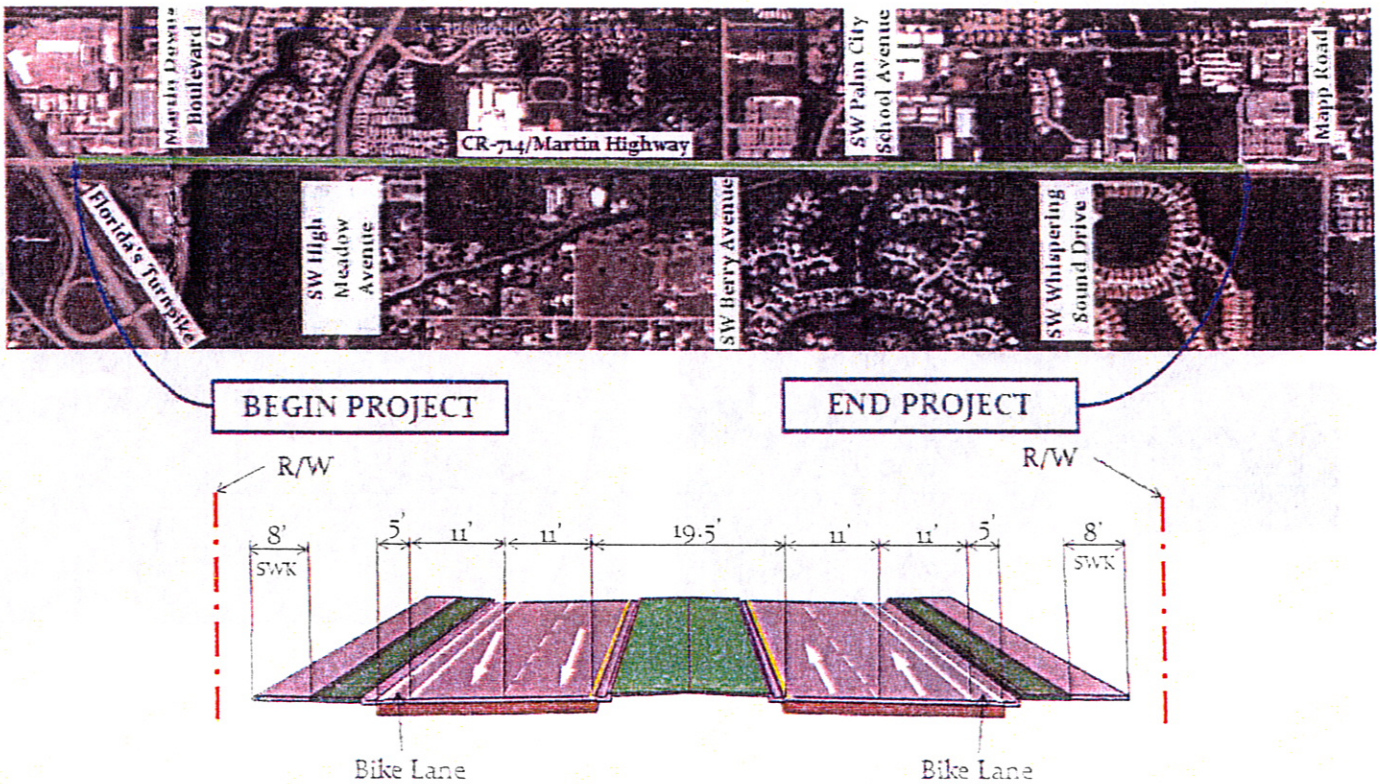
21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
CR-714/Martin Highway in Palm City	Present	

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
Florida Department of Transportation *District Four* *3400 W. Commercial Blvd.* *Ft. Lauderdale, FL 33309*	Betsy Jeffers, P.E. – FDOT Design Project Manager Dale Walker – FDOT Construction Project Manager	(954) 777-4061 (772) 201-8518

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size and cost)*

The project limits of CR-714/Martin Highway are just west of the SR-714/Turnpike Intersection to just west of Mapp Road. The roadway is currently a two-lane undivided minor arterial with a 35 mph posted speed limit. The project will widen/reconstruct CR-714 to a four-lane divided urban principal arterial with a 35 mph posted. Scope includes urban drainage system with 2 pond sites, 6 signalized intersections, new box culvert at Danforth Creek, sound/barrier wall, lighting, signing & pavement marking, and landscaping. Construction cost of $15.7M and 750 Contract Days with a $795,000 Incentive/Disincentive.



25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Target Engineering Group		Prime Consultant Construction Engineering Inspection
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 8

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
SR-A1A & Little Mud Creek Bridge, Fort Pierce, Florida		7/2009

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
FDOT District 4	Katie Kehres, PE	(772) 429-4889

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size and cost)*

Project features included bridge replacement and repairs, milling and resurfacing seven miles of roadway, widening, shoulder construction, drainage swales and signage. The bridge replacement consisted of demolition and construction of new bridge at Little Mud Creek, permanent steel sheet piling, concrete piling and MSE wall construction. The other four existing bridges were retrofitted with sidewalk and traffic barrier wall and guardrail. The project sits within an environmentally sensitive wetland and features 1 bridge replacement (Little Mud Creek Bridge) and rehabilitation of 4 bridges.

Construction Cost: $19,031,812
Duration: 793 days.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Target Engineering Group	Ft Lauderdale, Florida Miami, Florida	Prime Consultant Construction Engineering Inspection
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.			

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT

(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)

20. EXAMPLE PROJECT KEY NUMBER: 9

21. TITLE AND LOCATION *(City and State)*: 600 West Blue Heron Blvd, City of Riviera Beach, Florida

22. YEAR COMPLETED

PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
Present	

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
City of Riviera Beach	Lal John Samadi, P.E.	(561) 845-4060

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size and cost)*

East Blue Heron / SR A1A Enhancement Project for the City of Riviera Beach, in conjunction with the Florida Department of Transportation and the Federal Highway Administration. The Enhancements consist of major drainage improvements, roadway widening, new water lines, sanitary sewer upgrades, landscaping, hardscape, decorative lighting, colored stamped sidewalks and milling & resurfacing. The cost of construction was approximately $13 million.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Target Engineering Group, Inc.	Miami, Florida	Prime Consultant - Construction Engineering Inspection
b.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE

F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT *(Present as many projects as requested by the agency, or 10 projects, if not specified. Complete one Section F for each project.)*	20. EXAMPLE PROJECT KEY NUMBER 10

21. TITLE AND LOCATION *(City and State)*	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION *(if applicable)*
CEI Services for Design Build; US 1 Widening & Reconstruction - FM No. 22858325201, 22858345201, 23036815201	Present	

23. PROJECT OWNER'S INFORMATION

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
Florida Department of Transportation District Four 3400 W. Commercial Blvd. Ft. Lauderdale, FL 33309	Katie Kehres, PE	1 (772) 429-4889

24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size and cost)*

This Design Build project includes reconstruction of US 1 in Indian River County from N of Kings Hwy in to South of Oslo Road. The existing facility is a 5 lane section and the new roadway will be a 6 lane divided section with curb and gutter and landscaped medians. In addition to the roadway work, the US 1 bridge spanning Old Dixie Highway and the FEC railroad will be replaced. The total length of the project is approximately 4 miles. The bridge has a 25 ft. vertical clearance and is approximately 300 feet in length.

The project also includes, but is not limited to:
- Superstructure consisting of Florida I Beams
- Substructure consisting of 24 inch piling
- New drainage system with retention ponds

Construction Cost: $23,206,000.00

Duration: 915 days.

25. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT

	(1) FIRM NAME	(2) FIRM LOCATION *(City and State)*	(3) ROLE
a.	Target Engineering Group	Port St. Lucie, FL	Sub Consultant Construction Engineering Inspection
b.	FGE	Plant City, FL	SUB
c.			

G. KEY PERSONNEL PARTICIPATION IN EXAMPLE PROJECTS

26. NAMES OF KEY PERSONNEL (From Section E, Block 12)	27. ROLE IN THIS CONTRACT (From Section E, Block 13)	28. EXAMPLE PROJECTS LISTED IN SECTION F (Fill in "Example Projects Key" section below first, before completing table. Place "X" under project key number for participation in same or similar role.)									
		1	2	3	4	5	6	7	8	9	10
Randall Scott, PE	Senior Project Engineer	X						X			
Jason Jones	Project Administrator	X	X	X	X	X		X			
Rafael Vicente	Senior Roadway Inspector				X	X				X	X
Randy Rosiek	Senior Roadway Inspector								X		X
Kelly Cowger, PE	Senior Project Engineer							X	X		
Aren Griffin, EIT	Project Administrator										
David Epperson	Inspector			X	X			X			X
Ralph LaChance	Senior Inspector						X	X		X	
Ed Ogle	Senior Bridge Inspector										
Robert Safranek	Senior Inspector									X	
John Brewer	Senior Bridge Inspector										

29. EXAMPLE PROJECT KEY

NO.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)	NO.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)
1	Melaleuca Blvd. Phase III, St. Lucie County, Florida	6	SR 15 (SR 700, 441, US 98), Florida
2	SR 615, (25th Street/Martin Luther King Jr. Blvd) Fort Pierce, Florida	7	CR-714/Martin Highway in Palm City
3	SR 615/25th Street; Ft. Pierce, Florida	8	SR-A1A & Little Mud Creek Bridge, Fort Pierce, Florida
4	SR 70 (Okeechobee Road and Virginia Avenue) from Jenkins Road to US-1 – Fort Pierce, Florida	9	600 West Blue Heron Blvd, City of Riviera Beach, Florida
5	Resurfacing Barber St fr Schumann Dr to Cty Rd 512 / FM #426246162O1 / ARRA-090-B	10	CEI Services for Design Build: US 1 Widening & Reconstruction - FM No. 22858325201, 22858345201, 23036815201

ARCHITECT – ENGINEER QUALIFICATIONS

1. SOLICITATION NUMBER *(If any)*: RFP-20150126

PART II – GENERAL QUALIFICATIONS

(If a firm has branch offices, complete for each specific branch office seeking work)

2a. FIRM (OR BRANCH OFFICE) NAME	3. YEAR ESTABLISHED	4. DUNS NUMBER
Target Engineering Group, Inc.	1999	05-314-5954

2b. STREET	5. OWNERSHIP
3510 SW Corporate Parkway	a. TYPE: Corporation

2c. CITY	2d. STATE	2e. ZIP CODE	b. SMALL BUSINESS STATUS
Palm City	FL	34990	

6a. POINT OF CONTACT NAME AND TITLE	7. NAME OF FIRM *(If block 2a is a branch office)*
Randall Scott, P.E./ Regional Vice President	**Target Engineering Group, Inc.**
6b. TELEPHONE NUMBER: 772-475-9680	6c. E-MAIL ADDRESS: rscott@targetengineering.com

8a. FORMER FIRM NAME(S) *(If any)*	8b.YEAR ESTABLISHED	8c. DUNS NUMBER

9. EMPLOYEES BY DISCIPLINE

a. Function Code	b. Discipline	c. No. of Employees (1) FIRM	c. No. of Employees (2) BRANCH
02	Administrative(includes CEI admin professionals)	23	
06	Architect		
08	CADD Technician		
12	Civil Engineer	18	
15	Construction Inspector	82	
16	Construction Manager		
18	Cost Engineer/Estimator	1	
21	Electrical Engineer		
23	Environmental Engineer		
24	Environmental Scientist		
27	Foundation/Geotechnical Engineer		
32	Hydraulic Engineer		
42	Mechanical Engineer		
47	Planner: Urban/Regional		
48	Project Manager	16	
56	Specifications Writer		
57	Structural Engineer		
58	Technician/Analyst	1	
60	Transportation Engineer		
30	Geologist		
	Total	141	

10. PROFILE OF FIRM'S EXPERIENCE AND ANNUAL AVERAGE REVENUE FOR LAST 5 YEARS

a. Profile Code	b. Experience	c. Revenue Index Number
A05	Airports; Navaids; Airport Lighting; Aircraft Fueling	
A06	Airports; Terminals & Hangars; Freight Handling	
A11	Auditoriums & Theaters	
B01	Barracks; Dormitories	
C15	Construction Management	8
E02	Educational Facilities; Classrooms	
E09	Environmental Impact Studies, Assessments or Statements	
F03	Fire Protection	
H04	Heating; Ventilating; Air Conditioning	
H07	Highways; Streets; Airfield Paving; Parking Lots	
H08	Historical Preservation	
H10	Hotels; Motels	
I02	Industrial Processes; Quality Control	
L04	Libraries; Museums; Galleries	
O01	Office Building; Industrial Parks	
P07	Plumbing & Piping Design	
P12	Power Generation, Transmission, Distribution	
R04	Recreation Facilities (Parks, Marinas, Etc.)	
R06	Rehabilitation (Buildings; Structures; Facilities)	
S04	Sewage Collection, Treatment and Disposal	
S13	Storm Water Handling & Facilities	
W03	Water Supply: Treatment and Distribution	

11. ANNUAL AVERAGE PROFESSIONAL SERVICES REVENUES OF FIRM FOR LAST 3 YEARS

(Insert revenue index number shown at right)

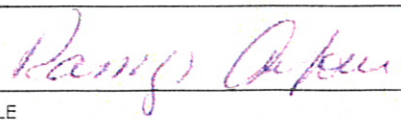
a. Federal Work	8
b. Non-Federal Work	6
c. Total Work	8

PROFESSIONAL SERVICES REVENUE INDEX NUMBER

1. Less than $100,000
2. $100,000 to less than $250,000
3. $250,000 to less than $500,000
4. $500,000 to less than $1 million
5. $1 million to less than $2 million
6. $2 million to less than $5 million
7. $5 million to less than $10 million
8. $10 million to less than $25 million
9. $25 million to less than $50 million
10. $50 million or greater

12. AUTHORIZED REPRESENTATIVE

The foregoing is a statement of facts.

a. SIGNATURE	b. DATE
	**November 6, 2015**

c. NAME AND TITLE

Ramzi Asfour, P.E., Executive Vice President

User: **Chambers-Cuffy, Karen** Organization: **City of Port St. Lucie, Procurement Management Department** Logout | Help

DEMANDSTAR by ONVIA

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Bid Details

Bid Information

EDIT

Agency	City of Port St. Lucie, Procurement Management Department
Bid Type	Request for Proposal
Bid Number	RFP-20150126-0-2015/kcc
Fiscal Year	2015
Bid Writer	Karen Chambers-Cuffy
Bid Name	Continuing Professional Construction Engineering Inspection (CEI) Services
Bid Status	Under Evaluation
Bid Status Text	None
Award To	
Due Date/Time	11/17/2015 2:00 PM Eastern
Broadcast Date	10/5/2015
Bid Bond	
Project Estimated Budget	
Plan (blueprint) Distribution Options	None
Distribution Method	Download and Mail
Distributed By	Onvia DemandStar
Distribution Notes	None
Scope of Work	The scope of work that the Engineer has agreed to perform pursuant to RFP # 20150126 is Professional Construction Engineering and Inspection (CEI) Continuing services. The basis of the projects for this work will be in accordance with the current Five Year Capital Improvement Program (C.I.P.) and any amendments to the C.I.P. during the term of this contract. Separate contracts will be created for each project within the CIP requiring CEI Services, as determined by the City. The scope of work for the CEI Services may include, but is not limited to, the administration, monitoring, testing, inspection, certification and closeout of the assigned CIP construction project. Depending upon the individual project, compliance with the FDOT LAP requirement may be required. For LAP funded projects, the selected CEI firm will also be responsible for Geotechnical testing. A broader more defined scope of work will be developed for each individual project. The initial order of the qualifying firms will be determined by a public drawing. The method of award for the 'Continuing Services Projects' will be based on a strict rotation basis per the maximum amount per year, per discipline as established by the various departments for the term of the contract. Each contract anniversary the maximum amount of award per firm will be established based on the City's adopted Capital Budget. Once that maximum amount per award is met, the firm will not be eligible for other projects for that contract period until the other firms in the rotation have reached equal amounts for the remaining term of the contract. The Procurement Management Department will establish a data base and monitor the rotation of the awards to the firms. The following EXCEPTIONS shall apply to the rotation list. 1. The prime shall not be the prime or subconsultant for another discipline of the same project. If that situation arises, the next firm in the rotation will be utilized and that skipped firm will be first in line for the next project in the rotation. 2. When the maximum dollar amount per contract period is met by a firm that firm will not receive another award until all firms in the rotation have received equal dollar amounts of awards. 3. If a firm indicates in writing that it is unable to meet the scope of work or schedule for the specific project. A firm may be selected for more than one discipline. However, the prime CEI firm will not be eligible to participate as

the prime or subconsultant for the Design of the same project. If that situation arises, the next firm in the rotation will be utilized and that skipped firm will be first in line for the next project in the rotation.

A firm cannot submit as a prime for a project and as a subconsultant for another firm on the same project for the same discipline. Firms will be required to submit in writing any changes in their team, within fourteen (14) calendar days of the change to the City for approval. Changes in the personnel of a firm at the annual review may not result in any action taken by the City.

The selected firms will be evaluated by the City upon completion of a project. The evaluations will be used as a measurement of the firms' performance and may be included in the review process for future solicitations for services by the City. Any unsuitable evaluation may result in termination of the existing Continuing Services Contract.

E-Bidding Yes

E-Bidding

EDIT

View Supplier Info on Tabulation Sheet prior to bid opening: Yes

Required Documents:
1. Checklist
2. Listing of Project Personnel
3. Questionnaire
4. Drug Free Workplace Form
5. Current Certificate of Insurance
6. License/Certification to do Described Work
7. Reference Check Form
8. Form 330
9. W-9 form

Legal Ad

VIEW

Please select either the View or Edit button to manage legal ad.

Pre-Bid Conference

none

Publications

No Publications Data Found

Documents

EDIT

Bid Package:
Attachment A- Personnel Qualifications & Experience (Complete)
Attachment B- City of PSL Road & Bridge Capital Improvement Budget FY 2015-16 (2 Pages, Complete)
Attachment C- Sample contract for Individual Projects (8 Pages, Complete)
Bid Documents (Complete)
Addendum # 1- Major Bridge Qualification Removal & Collusion Clarification (1 Page, Complete)
Addendum # 2- Clarification on Primes and Subconsultants (1 Page, Complete)

Award:
Individual Committee Scores (2 Pages, Complete)
Group Committee Scores (2 Pages, Complete)
Notice # 1- Question & Answer Session (1 Page, Complete)
Question & Answer Scores (7 Pages, Complete)

Commodity Codes

ARC-906-83 - SURVEYING SERVICES
ARC-907-42 - Geotechnical - Soils
SRV-918-42 - Engineering Consulting
SRV-925-00 - ENGINEERING SERVICES, PROFESSIONAL
SRV-925-17 - Civil Engineering
SRV-925-28 - Drainage Engineering
SRV-925-38 - Field Engineering

Statistics

Planholders: There are 37 planholders for this bid

Broadcast List: 1544 suppliers have been notified

Supplemental Suppliers: 0 Supplemental Suppliers

Filtered: No

Post-Bid Viewers: 14 viewer(s)

<< Return

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INVITATION TO BID

Sealed Bid #20160023 to Replace Air Conditioning System at the RO Plant will be received by the Procurement Management Department of the City of Port St. Lucie (City) no later than _____ p.m. on _____2015.

A one (1) time only site visit for all bidders will be held at the Utility Systems, RO Plant located at 900 SE Ogden Lane, Port St Lucie, FL 34983 starting at _____ a.m. on _____, 201__. At this time the evaluation of the HVAC replacement system and requirements, specifications will be explained. No questions regarding the bid will be discussed. Attendance is strongly encouraged to all bidders.

Each Bidder(s) must deposit with his/her bid, a bid bond, or bid guaranty, in the amount of five (5%) percent of the bid total, made payable to the City of Port St. Lucie. Security shall be either certified check, cashier's check, Irrevocable Letter of Credit from a solvent national or state bank, or Bid Bond issued by a Surety licensed to conduct business in the State of Florida. Surety shall have a rating of A or A+ by "Best's Rating Guide". The Bid Bond must be scanned and uploaded onto DemandStar.com along with all other required documents, thus showing evidence that a Bid Bond was obtained. Bidder will send the **ORIGNIAL** Bid Bond to the City immediately after the opening date. The original Bid Bond should be received within **five (5) business days** of the opening or the bid may be deemed non-responsive.

The accepted Contractor's security will be retained until execution of the Contract. The City will retain the remaining Contractors' security until a Contract has been executed, or until ninety (90) calendar days after the quote opening date, whichever is shorter.

Electronic replies will be the **only** method allowed for Bidders to respond to this solicitation. All submittals must be compatible with Microsoft Office 2007. E-Bidding will be done through a secure locked box. Bidders can only view/submit their E-Bid and will not have access to any other Bidder's submittals. The Bidder's E-Bid may be changed at the Bidder's discretion until the due date and time have been reached at which time the Bidder will no longer have access to the electronic bid submittal. The City will then open the E-Bids. Bidders who are e-bidding for the first time are strongly encouraged to contact DemandStar at (800) 771-1712 or obtain assistance by e-mailing questions to supplierservices@onvia.com

All bids must be received by the date and time specified above, when they will be opened, via computer, and publicly read aloud. The bid time shall be scrupulously observed. Bids uploaded to DemandStar.com after the time specified shall not be considered. It is the sole responsibility of the Bidder to ensure that his or her bid is uploaded to DemandStar on or before the closing date and time. The City shall in no way be responsible for delays caused by any occurrence. No exceptions will be made.

The City reserves the right to reject any and all bids, to waive any and all informalities or irregularities, and to accept or reject all or any part of any bid as it may deem to be in the best interest of the citizens of the City.

The City reserves the right to extend the bid opening date when no responses or only one (1) response is received.

For the purpose of this bid, the term Bidder, E-Bidder, Proposer and Contractor may be used interchangeably.

Submit all questions concerning procedures for responding to this bid and the Contract Documents, in writing, to Ms. Hawes in the City of Port St. Lucie Procurement Management Department, 121 SW Port St. Lucie Boulevard, Port St. Lucie, Florida 34984, Phone (772) 871-5221, Fax (772) 871-7337, and/or email: shawes

"A City for All Ages"

CITY OF PORT ST. LUCIE

Electronic Request For Proposal #20150126
(E-RFP)
Continuing Professional Construction Engineering Inspection (CEI) Services

Prepared By:
Karen Chambers-Cuffy
Procurement Management Department
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984-5099
772-871-5224
kchamberscuffy@cityofpsl.com

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ATTACHMENT A- Personnel Qualifications & Experience
ATTACHMENT B- (City of PSL Road & Bridge Capital Improvement Budget FY 2015-16)
ATTACHMENT C- Sample Contract for Individual Projects
(See Separate Attachments)

INVITATION TO E-BID

INTENT

This is an Electronic Request For Proposal #20150126 by the City of Port St. Lucie for qualified professional engineering firms to provide Continuing Professional CEI Services for capital improvement construction projects. Proposals for the project will be received via Demandstar by the City of Port St. Lucie, no later than **Tuesday, November 17, 2015 @ 2:00:00 p.m. EST.**

It is the intent of the City to procure the services of no less than three (3) Professional Engineering firms to provide CEI services for the City's Capital Improvement Program projects identified in the five Year Capital Improvement Budget projections and any subsequent amendments.

Continuing Contracts will be issued for projects with construction costs that exceed $325,000.00 and are less than $2 million dollars, and will be used for the City's State and Federal projects. The term of the contract will be for three (3) years with no option to renew. The City reserves the right to issue other solicitations regardless of this contract.

Upon award of the contract, the City shall negotiate a Fee Schedule with all the selected firms to be referred to when proposing services for each project assigned by the City. The Fee Schedule will be valid for the term of the contract. The Fee Schedule shall include but not be limited to the hourly rate of personnel required to support the CEI Services for the anticipated projects within the City's CIP. The Fee Schedule will be a 'Not to Exceed amount' based on actual hours worked. Lump Sum contracts may also be issued at the discretion of the City, and these will also be based on the established Fee Schedule.

Professional engineering firms shall be registered in Florida and have staff with the appropriate qualifications and experience for the individual projects. Additionally, qualified firms shall be able to comply with federal guidelines as several of the CIP projects are federally funded. The federal grants appropriated by the Department of Transportation Federal Highway Administration (FHWA) will be administered through the State of Florida Department of Transportation (FDOT) via the Local Agency Program (LAP).

The Engineering Team (to include subconsultants) shall possess the following qualifications at a minimum: Failure to provide the necessary documentation may be deemed non-responsive and the firm may be disqualified.

The Engineering Team shall be FDOT pre-qualified, at a minimum in the following CEI categories:

- Roadway
- Minor Bridge & Miscellaneous Structures
- Major Bridge

Additional Qualifications

- FDOT Advanced MOT
- CTQP Quality Control Manager –attend class and pass the examination
- CTQP Final Estimates Level I
- CTQP Final Estimates Level II
- CTQP Concrete Field Inspector Level I
- CTQP Concrete Field Inspector Level II (Bridges)

- CTQP Asphalt Roadway Level I
- CTQP Asphalt Roadway Level II
- CTQP Earthwork Construction Inspection Level I
- CTQP Earthwork Construction Inspection Level II
- CTQP Drilled Shaft Inspection (required for inspection of all drilled shafts including miscellaneous structures such as sign structures, lighting structures, and traffic signal structures)
- IMSA Traffic Signal Inspector Level I

INQUIRIES

All submittals must be received by the date and time specified above, when they will be opened and the names publicly read aloud. The proposal time shall be scrupulously observed. Submittals uploaded to Demandstar.com after the time specified shall not be accepted or considered. It is the sole responsibility of the Proposer to ensure that his or her submittal is uploaded on or before the closing date and time. The City shall in no way be responsible for delays caused by any power outages or internet failures. No exceptions will be made.

Electronic replies will be the **only** method allowed for Proposers to respond to this solicitation. All submittals must be compatible with Microsoft Office 2013. All information must be contained in two (2) files, and in the order specified under title **SUBMITTAL OF E-RFP subpart D**. E-Bidding will be done through a secure locked box. Bidders can only view/submit their E-RFP and will not have access to any other Bidder's submittals. The Bidder's E-RFP may be changed at the Bidder's discretion until the due date and time have been reached at which time the Bidder will no longer change or have access to their electronic bid submittal. The City will then open the E-RFPs. Bidders who are E-Bidding for the first time are strongly encouraged to contact Demandstar at (800) 711-1712 or obtain assistance by e-mailing questions to supplierservices@onvia.com.

All questions related to the Request For Proposal must be directed to Karen Chambers-Cuffy in the Procurement Management Department. She can be reached at (772) 871-5224. Questions shall be submitted in writing, at least **five (5)** days prior to RFP opening, if possible. Questions may be emailed to kchamberscuffy@cityofpsl.com. To ensure fair consideration for all Proposers, it must be clearly understood that Ms. Chambers-Cuffy is the only individual who is authorized to represent the City. Questions submitted to any other person in any other department will not be addressed. Additionally, the City prohibits communications initiated by a Proposer to **any** City Official or employee evaluating or considering the Request For Proposals **(up to and including the Mayor and City Council)**, from the request of solicitation of the proposal to the time an award decision has been made, and a Contract has been executed.

The City of Port St. Lucie reserves the right to reject any and all proposals, to waive any and all informalities or irregularities, and to accept or reject all or any part of any proposal as it may deem to be in the best interest of the citizens of the City.

For the purpose of this bid, the term Bidder, E-Bidder, Proposer and Engineer may be used interchangeably.

Documents required for each individual contract will be requested before the commencement of the work at the discretion of the City and will be provided in the format requested by the City.

Documents required for this E-RFP: Completed Questionnaire, Applicable Current Licenses, Reference Forms, Drug Free Workplace Form, Pre-qualification documentation and Team Qualification Documentation, Attachment A- Personnel Qualifications and Experience, Checklist and Form 330.

SUBMITTAL OF E-RFP

All proposals shall be submitted by completing and returning the Questionnaire and Form 330 (from proposing firm only, no subconsultants/subcontractors). The Questionnaire should be typed or printed and signed. All submittals are required to be electronic and be contained in two (2) files. No hard copies will be accepted.

A. Request Bid Specifications, #20150126 from Onvia, via phone 800-711-1712 or via internet www.cityofpsl.com

B. Download the Questionnaire and save to your hard drive, program is in Word 2013 Professional. Enter information requested on the Questionnaire.

C. Electronically sign the Questionnaire where indicated.

D. Upload information in two (2) files as follows onto Demandstar by the due date and time: File # 1- Upload and submit the Questionnaire for E-RFP #20150126, all Applicable Current Licenses, Reference Forms, Drug Free Workplace Form, ALL Pre-qualification and Team Qualification documentation, Attachment A- Personnel Qualifications and Experience, and E-RFP checklist, onto Demandstar. Remember to acknowledge all Addenda on the Questionnaire.

E. File # 2- Submit Form 330 in a separate file. Preferably .pdf.

F. Enter Zero on the web page for cost or you will receive an error message and your submittal will be denied.

G. The submittal button at the bottom of the page must be selected to complete the Bid response.

***** Only electronic replies are required. No hard copies will be accepted*****
*****Please try to limit each file submittal to no more than 1.5 mb*****

NOTE: The City may not accept proposals from firms, that have had adversarial relationships with the City or firms that have represented entities that have had adversarial relationships with the City. This includes the firm, employees and financial or legal interests.

The City will not enter into a contract or conduct business with any firm or any personnel that is listed on the Federal, State, or other local government agencies' Excluded Parties List, Suspended List or Debarment List.

TENTATIVE SCHEDULE

REVIEW AND SELECTION PROCESS

Advertisement with Onvia DemandStar	Monday, October 5, 2015
Proposal Due	**Tuesday, November 17, 2015 @ 2:00:00 p.m. EST**
Evaluation Committee*	Thursday, December 10, 2015 @ 10:00 a.m. – 11:00 a.m.
Q & A**	Tuesday, December 15, 2015 @ 2:00 p.m. – 4:30 p.m.
Tentative City Council Short List Approval	January 11, 2016
Tentative Negotiations	Thursday, January 14, 2016 @ 10:00 a.m. – 12:00 noon
Tentative City Council Contract Approval	January 25, 2016

* Committee will meet in the Conference Room (390) in the Procurement Management Department at.10:00 – 11:00 a.m.
**Committee will meet in the Conference Room (390) in the Procurement Management Department at 2:00-4:30 p.m.

EVALUATION AND AWARD

CRITERION	*MAXIMUM SCORE*
A. Assigned Staff (Question # 3 – see Attachment A)	45
B. Firm Experience with water and waste water pipeline (Question # 4)	10
C. Firm Experience in road and bridge (Questions # 5-14)	45
Maximum Points	**100**

The City's Procurement Management Department reserves the right to request any additional information needed for clarification from any proposer for evaluation purposes.

SPECIAL REQUIREMENTS

Collusion - The City reserves the right to disqualify E-RFPs, upon evidence of collusion with intent to defraud or other illegal practices upon the part of the Proposer. More than one (1) E-RFP from an individual, partnership, corporation, association, firm, or other legal entity under the same or different names will not be considered. Reasonable grounds for believing that a Proposer has an interest in more than one (1) E-RFP for the same work will be cause for rejection of all E-RFPs in which such Proposers are believed to have an interest. Any or all E-RFPs will be rejected if there is any reason to believe that collusion exists among the Proposers.

Public Entity Statement - Section 287.133 of the Florida Statutes places the following restrictions on the ability of persons convicted of public entity crimes to transact business with the City:

"A person or affiliate who has been placed on the convicted vendor list following a conviction for a public entity may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction or repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity in excess of the threshold amount provided in s. 287.017 for CATEGORY TWO for a period of thirty-six (36) months following the date of being placed on the convicted vendor list." § 287.133(2) (a), Fla. Stat. (2010)

Discrimination: - An entity or affiliate who has been placed on the discriminatory vendor list may not submit a proposal on a Contract to provide goods or services to a public entity, may not submit a proposal on a Contract with a public entity for the construction or repair of a public building or public work, may not submit proposals on leases or real property to a public entity, may not award or perform work as a Consultant, supplier, subConsultant, or Consultant under Contract with any public entity, and may not transact business with any public entity.

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"SAMPLE"
CITY OF PORT SAINT LUCIE
CONTRACT #20150126

This is a Fixed Rate Continuing Services CONTRACT, executed this ____ day of _____, 20__ by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, , a Florida Corporation, Telephone No. Fax No. , hereinafter called "Engineer", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Engineer has agreed to perform pursuant to E-RFP #20150126 **Continuing Professional CEI Services project** in accordance with the RFP, Contract documents and all addenda.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email (with receipt confirmed), or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Procurement Management Department
Attn: Karen Chambers-Cuffy, Buyer
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 5224 Fax 772 871 7337
Email: kchamberscuffy@cityofpsl.com

City Project Manager: Not Applicable

Engineer:

Description of Services to be provided

The scope of work that the Engineer has agreed to perform pursuant to RFP # 20150126 is Professional Construction Engineering and Inspection (CEI) Continuing services. The basis of the projects for this work will be in accordance with the current Five Year Capital Improvement Program (C.I.P.) and any amendments to the C.I.P. during the term of this contract. Separate contracts will be created for each project within the CIP requiring CEI Services, as determined by the City.

The scope of work for the CEI Services may include, but is not limited to, the administration, monitoring, testing, inspection, certification and closeout of the assigned CIP construction project. Depending upon the individual project, compliance with the FDOT LAP requirement may be required. For LAP funded projects, the selected CEI firm will also be responsible for Geotechnical testing. A broader more defined scope of work will be developed for each individual project.

The initial order of the qualifying firms will be determined by a public drawing. The method of award for the 'Continuing Services Projects' will be based on a strict rotation basis per the maximum amount per year, per discipline as established by the various departments for the term of the contract. Each contract anniversary the maximum amount of award per firm will be established based on the City's adopted Capital Budget.
Once that maximum amount per award is met, the firm will not be eligible for other projects for that contract period until the other firms in the rotation have reached equal amounts for the remaining term of the contract. The Procurement Management Department will establish a data base and monitor the rotation of the awards to the firms.

The following ***EXCEPTIONS*** shall apply to the rotation list:

1. The prime shall not be the prime or subconsultant for another discipline of the same project. If that situation arises, the next firm in the rotation will be utilized and that skipped firm will be first in line for the next project in the rotation.
2. When the maximum dollar amount per contract period is met by a firm that firm will not receive another award until all firms in the rotation have received equal dollar amounts of awards.
3. If a firm indicates in writing that it is unable to meet the scope of work or schedule for the specific project.

A firm may be selected for more than one discipline. However, the prime CEI firm will not be eligible to participate as the prime or subconsultant for the Design of the same project. If that situation arises, the next firm in the rotation will be utilized and that skipped firm will be first in line for the next project in the rotation.

A firm cannot submit as a prime for a project and as a subconsultant for another firm on the same project for the same discipline. Firms will be required to submit in writing any changes in their team, within fourteen (14) calendar days of the change to the City for approval. Changes in the personnel of a firm at the annual review may not result in any action taken by the City.

The selected firms will be evaluated by the City upon completion of a project. The evaluations will be used as a measurement of the firms' performance and may be included in the review process for future solicitations for services by the City. Any unsuitable evaluation may result in termination of the existing Continuing Services Contract.

SECTION II
TIME OF PERFORMANCE

Contract period shall start _____, 20__, and terminate _____, 20____, One thousand ninety five (1095) calendar days thereafter. In the event all work required in the proposal specifications has not been completed by the specified date, the Engineer agrees to provide work, at no additional cost to the City as authorized by the Contract Supervisor until all work specified in the proposal specifications has been rendered and approved by the City.

SECTION III
RENEWAL OPTION

Not Applicable

SECTION IV
COMPENSATION

The total amount to be paid by the City to the Engineer is based on the services authorized and performed in accordance with each new contract, per project, issued by the City.

The Contract shall describe the specific scope of work to be performed, the amount of the Engineer's fee based on the hourly rate scheduled identified in the Fee Schedule Table below, and the schedule for completion of work.

The individual projects will be compensated based on a Time & Expense '*Not to Exceed*', or Lump Sum Contract plus the $10.00 indemnification fee. The City will not pay for out-of-pocket expenses including, but not limited to, office & utilities, subconsultants fees or any reimbursable expense. There will be no additional amount paid for reimbursable expenses. Engineer shall invoice the City for the amount of the indemnification payment and said invoice shall accompany the signed Contracts.

The Engineer shall not be paid additional compensation for any loss or damage, arising out of the nature of the work, from the action of the elements, or from any delay or unforeseen obstruction or difficulties encountered in the performance of the work, or for any expenses incurred by or in consequence of the suspension or discontinuance of the work.

FEE SCHEDULE TABLE

Personnel	HOURLY RATE

Hourly Rate is to include all reimbursables, including travel, meals, copies and so forth.

Invoices for services shall be submitted by the 10th of the month, and payments shall be made within forty five (45) days unless Engineer has chosen to take advantage of the Purchasing Card Program, which guarantees payment within several days. Payments shall be made provided the submitted invoice is accompanied by adequate supporting documentation and is approved by the Project Manager.

Engineer VISA Payment Procedures

1. An account with Bank of America (BOA) will be established for the project. The account dollar limit will be established by the City's estimate of monthly expenses. The account will also have limits as per the Merchant Category Code (MCC). Reasonable total invoice amounts will also be established. The account will be entered with the proper expense codes. The Engineer will be provided this account number to process payments.
2. A purchase order to the Engineer for this project may not be issued.
3. The Engineer will send the Project Manager by the 1st of each month a detailed pay request listing employee title, hours of work, hourly rate and related tasks that are completed. Partial release of liens will be provided if subcontractors were used by Engineer.
4. The (PM) will audit to determine that work and materials that are being billed have been completed and installed as per contract specifications. The PM will verify the partial release of liens. The PM will sign documents that state the payment is correct and payment by the City is authorized.
5. The PM will email the approved pay request to the Contract Specialist, City's P-Card Administrator and the Engineer to proceed with placing the charge on the BOA specified account.
6. The Engineer may not place the charge on the account until contacted by the City giving approval. Under no circumstances will the account be used between the 1st and 5th of the month.
7. Invoices that are not approved by the PM will be returned to the Engineer with a detailed explanation.
8. Procurement Management Department will balance statement and issue all dispute items.
9. Procurement Management Department will produce summary sheet and send all documentation to Finance for payment.

All work compensated for under this Contract, including partial payments, shall become the property of the City of Port St. Lucie without restrictions or limitations. Work under this Contract shall include, but not be limited to, sketches, tracings, drawings, computations, details, design calculations, plan, electronic files and other related documents. The Engineer shall not be held liable for any reuse of the work and shall not be held liable for any modifications made to the work by others.

All invoices and correspondence relative to this Contract must contain the last 4 digits of the BOA account and Contract number.

SECTION V
CONFORMANCE WITH PROPOSAL

It is understood that the materials and/or work required herein are in accordance with the proposal made by the Engineer pursuant to the Request for Proposal and Specifications on file in the Procurement Management Department of the City. All documents submitted by the Engineer in relation to said proposal, and all documents promulgated by the City for inviting proposals are, by reference, made a part hereof as if set forth herein.

SECTION VI
INDEMNIFICATION/INSURANCE

Insurance will be provided for each individual project.

SECTION VII
PROHIBITION AGAINST FILING OR MAINTAINING LIENS AND SUITS

Subject to the laws of the State of Florida and of the United States, neither Engineer nor any subconsultants, supplier of materials, laborer or other person shall file or maintain any lien for labor or materials delivered in the performance of this Contract against the City. The right to maintain such lien for any or all of the above parties is hereby expressly waived.

SECTION VIII
WORK CHANGES

The City reserves the right to order work changes in the nature of additions, deletions or modifications without invalidating the Contract, and agrees to make corresponding adjustments in the Contract price and time for completion. All changes will be authorized by a written change order signed by the Director of Procurement Management Department or his/her designee as representing the City. Work shall be changed and the contract price and completion time shall be modified only as set out in the written change order. Any adjustment in the contract price resulting in a credit or a charge to the City shall be determined by mutual agreement of the parties.

SECTION IX
COMPLIANCE WITH LAWS

The Engineer shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Engineer will comply with all requirements of 28 C.F.R. § 35.151. Engineers and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Engineer and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Engineer in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

Pursuant to Title VI of the Civil Rights Act of 1964 and other related federal and state laws and regulations, the City of Port St. Lucie will not exclude from participation in, deny the benefits of, or subject to discrimination anyone on the grounds of race, color, national origin, sex, age, disability, religion, income or family status per Resolution 14-R162 adopted by City Council on November 10, 2014.

Title VI

During the performance of this Contract, the Contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "Contractor") agrees as follows:

(1) **Compliance with Regulations:** The Contractor shall comply with the Regulations relative to non-discrimination in Federally-assisted programs of the U.S. Department of Transportation (hereinafter, "USDOT") Title 49, Codes of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the Regulation), which are herein incorporated by reference and made a part of this Agreement.

(2) **Nondiscrimination:** The Contractor, with regard to the work performed during the contract, shall not discriminate on the basis of race, color, national origin, sex, age, disability, religion or family status in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The Contractor shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.

(3) **Solicitations for Subcontractors, including Procurements of Materials and Equipment:** In all solicitations made by the Contractor, either by competitive bidding or negotiation for work to be performed under a subcontract, including procurements of materials or leases of equipment; each potential subcontractor or supplier shall be notified by the Contractor of the Contractor's obligations under this contract and the Regulations relative to nondiscrimination on the basis of race, color, national origin, sex, age, disability, religion or family status.

(4) **Information and Reports:** The Contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the Florida Department of Transportation, the Florida Highway Administration, Federal Transit Administration, Federal Aviation Administration, and/or the Federal Motor Carrier Safety Administration to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of a Contractor is in the exclusive possession of another who fails or refuses to furnish this information the Contractor shall certify to the Florida Department of Transportation, the Florida Highway Administration, Federal Transit Administration, Federal Aviation Administration, and/or the Federal Motor Carrier Safety Administration as appropriate, and shall set forth what efforts it has made to obtain the information.

(5) **Sanctions for Noncompliance:** In the event of the Contractor's noncompliance with the nondiscrimination provisions of this contract, the Florida Department of Transportation shall impose such contract sanctions as it or the Florida Department of Transportation, the Florida Highway Administration, Federal Transit Administration, Federal Aviation Administration, and/or the Federal Motor Carrier Safety Administration may determine to be appropriate, including, but not limited to:

a. withholding of payments to the Contractor under the contract until the Contractor complies, and/or

b. cancellation, termination or suspension of the contract, on whole or in part.

(6) **Incorporation of Provisions:** The Contractor shall include the provisions of paragraphs (1) through (6) in every subcontract, including procurements of materials and leases of equipment, unless

exempt by the Regulations, or directives issued pursuant thereto. The Contractor shall take such action with respect to any subcontract or procurement as the Florida Department of Transportation, the Florida Highway Administration, Federal Transit Administration, Federal Aviation Administration, and/or the Federal Motor Carrier Safety Administration may direct as a means of enforcing such provisions including sanctions for noncompliance. In the event a Contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the Contractor may request the Florida Department of Transportation to enter into such litigation to protect the interests of the Florida Department of Transportation, and, in addition, the Contractor may request the United States to enter in such litigation to protect the interest of the United States.

EMPLOYMENT ELIGIBILITY VERIFICATION (E-VERIFY): In accordance with State of Florida, Office of the Governor, Executive Order 11-116 (superseding Executive Order 11-02; Verification of Employment Status), in the event performance of this Agreement is or will be funded using state or federal funds, the ENGINEER must comply with the Employment Eligibility Verification Program ("E-Verify Program") developed by the federal government to verify the eligibility of individuals to work in the United States and 48 CFR 52.222-54 (as amended) is incorporated herein by reference. If applicable, in accordance with Subpart 22.18 of the Federal Acquisition Register, the ENGINEER must (1) enroll in the E-Verify Program, (2) use E-Verify to verify the employment eligibility of all new hires working in the United States, except if the ENGINEER is a state or local government, the ENGINEER may choose to verify only new hires assigned to the Agreement; (3) use E-Verify to verify the employment eligibility of all employees assigned to the Agreement; and (4) include these requirement in certain subcontracts, such as design and permitting. Information on registration for and use of the E-Verify Program can be obtained via the internet at the Department of Homeland Security Web site: http://www.dhs.gov/E-Verify.

DISADVANTAGED BUSINESS ENTERPRISE (DBE)

Engineers, Engineers, sub-contractors and/or sub-recipients shall not discriminate on the basis of race, color, national origin or sex in the performance of this contract. The Engineer shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of U.S. Department of Transportation (DOT) assisted contracts. Failure by the contractor to carry out these requirements is a material breach of the contract which may result in termination of the contract or such other remedy as the recipient deems appropriate. Good faith efforts shall be made to encourage subcontracts with State of Florida Department of Transportation (FDOT) Certified DBEs.

For a list of certified DBEs please go to the Equal Opportunity Office on the FDOT website at: https://www3.dot.state.fl.us/EqualOpportunityOffice/biznet/mainmenu.asp

The FDOT's DBE Program race-neutral goal is **9.91%.** To view their plan, methodology for determining their goal and learn more about the DBE Program visit FDOT's website at: http://www.dot.state.fl.us/equalopportunityoffice/dbeplan.shtm

SECTION X
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFP herein referenced, the terms of this Contract and RFP herein referenced shall apply. The terms of the Contract supersede those of the RFP and specifications.

An evaluation form of the Engineer will be sent to the Project Manager upon completion of the project.

SECTION XI
LICENSING

Engineer warrants that he possesses all licenses and certificates necessary to perform the required work and is not in violation of any laws. Engineer warrants that his license and certificates are current and will be maintained throughout the duration of the Contract.

SECTION XII
SAFETY PRECAUTIONS

Precaution shall be exercised at all times for the protection of persons, including employees, and property. All plans and construction must be ADA compliant. The safety provisions of all applicable laws and building and construction codes shall be observed. The selected Engineer will submit all proposals in compliance with the 28 C.F.R. § 35.151, and the ADA Settlement Agreement. Where ADA and Florida Building Codes conflict the most stringent applies or ADA supersedes.

SECTION XIII
ASSIGNMENT

Engineer shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

SECTION XIV
TERMINATION

If the Engineer refuses or fails to prosecute the work with such diligence as will insure its completion within the time specified in this Contract, or as may be modified in accordance with this Contract, the City by written notice to the Engineer, may terminate Engineer's rights to proceed. On such termination, the City may take over the work and prosecute the same to completion, by contract or otherwise, and the Engineer and his sureties shall be liable, jointly and severally to the City for any additional cost incurred by it in its completion of the work.

The City may terminate this Contract with or without cause by giving the Engineer thirty (30) days' notice in writing. Upon delivery of said notice the Engineer shall discontinue all services in connection with the performance of this Contract and shall proceed to promptly cancel all related existing third party contracts. Termination of the Contract by the City pursuant to this paragraph shall terminate all of the City's obligations hereunder. In the event of termination the Engineer will be paid for all services rendered to the date of termination, all expenses subject to reimbursement hereunder.

SECTION XV
LAW AND VENUE

This Contract is to be construed as though made in and to be performed in the State of Florida and is to be governed by the laws of Florida in all respects without reference to the laws of any other state or nation. The venue of any action taken pursuant to this Contract shall be in St. Lucie County, Florida.

SECTION XVI
APPROPRIATION APPROVAL

The Engineer acknowledges that the City of Port St Lucie's performance and obligation to pay under this Contract is contingent upon an annual appropriation by the City Council. The Engineer agrees that, in the event such appropriation is not forthcoming, this Contract may be terminated by the City and that no charges, penalties or other costs shall be assessed.

SECTION XVII
ENTIRE AGREEMENT

The written terms and provisions of this Contract shall supersede any and all prior verbal or written statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this Contract or Contract documents.

SECTION XVIII
TRUTH-IN-NEGOTIATIONS

In accordance with the provisions of Section 287.055, Florida Statutes, the Engineer agrees to execute a truth-in-negotiations certificate and agrees that the original Contract price and any additions may be adjusted to exclude any significant sums by which the Contract price was increased due to inaccurate, incomplete or non-current wage rates and other factual unit costs.

SECTION XIX
CONFLICT OF INTEREST

The City hereby acknowledges that the Engineer may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other clients, the Engineer shall terminate its relationship with the other client to resolve the conflict of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Engineer shall disclose all of its Treasure Coast clients and related Scope of Work.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

The Engineer warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Engineer to solicit or secure this Contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm, other than a bona fide employee working solely for the Engineer any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this Contract.

TRUTH-IN-NEGOTIATION CERTIFICATE AND AFFIDAVIT

E-RFP #20150126
Continuing Professional CEI Services

STATE OF FLORIDA §
COUNTY OF ST. LUCIE §

Before me, the undersigned authority, personally appeared affiant _____ who being first duly sworn, deposes and says:

1. That the undersigned firm is furnishing this Truth in Negotiation Certificate pursuant to Section 287.055(5)(a) of the Florida Statutes for the undersigned firm to receive an agreement for professional services with the City of Port St. Lucie, St. Lucie County, Florida.
2. That the undersigned firm is a corporation which engages in furnishing professional engineering services and is entering into an agreement with the City of Port St. Lucie, St. Lucie County, Florida to provide professional services for a project known as **#20150126-Continuing Professional CEI Services.**
3. That the undersigned firm has furnished the City of Port St. Lucie, St. Lucie County, Florida a detailed analysis of the cost of the professional services required for the project.
4. That the wage rate information and other factual unit cost, which the undersigned firm furnished, were accurate, complete and current at the time the undersigned firm and the City of Port St. Lucie entered into the agreement for professional services on the project.
5. That the agreement which the undersigned firm and the City of Port St. Lucie entered into on this job contained a provision that the original agreement price and any additions thereto shall be adjusted to exclude any significant sums by which the City of Port St. Lucie determines the agreement price was increased due to inaccurate, incomplete or non-current wage rates or other factual unit cost and that all such agreement adjustments shall be made within one (1) year following the end of the agreement.

FURTHER AFFIANT SAYETH NAUGHT

_____
Name of Firm

_____
By: President

The foregoing instrument was acknowledged before me by _____

who has produced _____as identification or is personally known to me.

WITNESS my hand and official seal in the State of County last aforesaid this _____ day of _____, 20__.

(SEAL)

_____
Signature

_____
Notary Name (typed or printed)

_____
Title or Rank

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of.....)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 20__.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

QUESTIONNAIRE

E-RFP #20150126
Continuing Professional CEI Services.

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Engineer, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this _____ day of _____, 2015

_____
Name of Organization / Proposer

(This is a word document please add space as needed.)

1. Where is your assigned staff's office located for this contract, including address, telephone, fax number, e-mail address and contact person?
   (This is a word document add lines as needed)

2. Will your firm accept payment by Credit Card (yes or no)? _____

3. List the qualifications and experience of the team assigned to this contract, and their applicable experience specifically Road & Bridge CEI projects, on the attached spreadsheet **(Attachment A).** Please include other titles that you feel may be necessary. If a title does not apply to your team please skip.

4. List similar Road & Bridge CEI projects related to **Water and Waste Water Pipeline** awarded by other government agencies, to your firm in the past five (5) years. (Do not include the City of Port St. Lucie projects)

Project	Date of Award	Responsibility	Is Work Completed	Firm's Role in the Project	Amount of Contract
				Total Amount	

5. List similar Road & Bridge CEI projects, awarded by other government agencies, to your firm in past five (5) years. (Do not include the City of Port St. Lucie projects)

Project	Date of Award	Responsibility	Is Work Completed	Firm's Role in the Project	Amount of Contract
				Total Amount	

(This is a word document add lines as needed)

6. Describe your methodology on how you would rate a contractor's performance in the field? (Limit 1 page).

7. How would you handle field changes for CEI projects? (Limit 1 page.)

8. Describe any significant or unique accomplishment in previous CEI Contracts, for e.g. - budget reductions, time reductions, and reduction in change orders. Include any additional data pertinent to firm's capabilities. (Limit 2 pages).

9. Describe your methodology in determining a request from a contractor as to what is a change order or an amendment. Include what justifications are required from the contractor for approval of these requests. Also include time frame (#of calendar days) from the request of the contractor to submittal to the City. (Limit ½ page)

10. Describe your understanding of a Lump sum and a Unit price contract. (Limit ½ page)

11. What is your firm's method of determining what a good contractor is versus an excellent contractor? Please provide specific points. (Limit ½ page)

12. What is your method of obtaining as-builds in a timely manner? (Limit ½ page)

13. Has your firm failed to complete any contract work awarded? Defaulted on a contract or had a contract canceled or terminated? If so, When and Why?
(This is a word document add lines as needed)

14. Using the Reference Check Form attached, provide, contact names, telephone and fax numbers and email addresses for EACH government agency listed in question # 5.

Please submit Form 330 in a separate file, preferably .pdf

ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

	Date Issued

CERTIFICATION:

This E-RFP is submitted by: Name (print) _____ who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract in its entirety.

I agree to abide by all conditions of this E-RFP.:

_____ _____

Signature Title

(Balance of Page Intentionally Left Blank)

QUESTION # 14

REFERENCE CHECK FORM
Bidder Instructions: Fill out top portion only. (Please print or type) Do not use City of Port St. Lucie as a reference

Bid/RFP Number: 20150126

Title: **Continuing Professional CEI Services.**

Bidder/Respondent:

Reference: _____ Fax #: _____
Email: _____ Telephone #: _____
Person to contact: _____

Reference Instructions: The above Bidder has given your name to the City of Port St. Lucie as a reference for Continuing Professional CEI Services Project. Please complete the information below and fax within five (5) days to 772-871-7337.

Describe the scope of work of the contract awarded by your firm to this contractor, including size, type of project, contract amount, and personnel that did the work.

Was the project completed on time and within budget?

How many CEI projects has this firm completed for you within the past 5 years?

What problems were encountered (claims)?

How many change orders were requested by this firm?

How would you rate the firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

DRUG-FREE WORKPLACE FORM

E-RFP #20150126
Continuing Professional CEI Services.

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that _____ does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

_____
Bidder's Signature

_____
Date

CHECKLIST

E-RFP #20150126
Continuing Professional CEI Services.

This checklist is provided to assist Bidders in the preparation of their bid response. Included in this checklist are important requirements that are the responsibility of each Bidder to submit with their response in order to make their bid response fully compliant. This checklist is only a guideline -- it is the responsibility of each Bidder to read and comply with the Invitation to Bid in its entirety.

_____ Documents uploaded in two (2) .pdf files and in this order:

_____File # 1- Questionnaire for E-RFP #20150126, all Applicable Current Licenses (state and professional), Reference Forms, Drug Free Workplace Form, Pre-qualification Documentation and Team Qualification Documentation, Attachment A- Personnel Qualifications and Experience, and E-RFP Checklist onto Demandstar by the due date and time.

_____ All questions on the questionnaire are complete and thoroughly answered

_____ Each Bid Addendum (when issued) is acknowledged

_____ Have reviewed the Contract and accept all City Terms and Conditions

_____All Applicable Current Licenses (state and professional)

_____ Reference Forms

_____Drug Free Workplace Form

_____ All Prequalification documentation and Team Qualification Documentation

_____Attachment A- Personnel Qualification and Experience (completed)

_____Checklist

_____ File # 2- Form 330

BID ADDENDUM # 1- Major Bridge Qualification Removal
& Collusion Clarification
BID # 20150126
Addendum Date: October 12, 2015

Bid Name: Continuing Professional Construction Engineering Inspection (CEI) Services

1. Please note that the 'Major Bridge' CEI pre-qualification is not applicable and has been deleted from this RFP.

2. A firm cannot submit as a Prime and as a Subconsultant to another firm for this RFP. Please refer to the City's collusion statement below:

   *"**Collusion** - The City reserves the right to disqualify E-RFPs, upon evidence of collusion with intent to defraud or other illegal practices upon the part of the Proposer. More than one (1) E-RFP from an individual, partnership, corporation, association, firm, or other legal entity under the same or different names will not be considered. Reasonable grounds for believing that a Proposer has an interest in more than one (1) E-RFP for the same work will be cause for rejection of all E-RFPs in which such Proposers are believed to have an interest. Any or all E-RFPs will be rejected if there is any reason to believe that collusion exists among the Proposers."*

Instructions to Bidder:

The Opening date has not been changed.

Each bidder must acknowledge receipt of any addenda on the Questionnaire in order to have his/her bid or proposal to be accepted.

BID ADDENDUM # 2- Clarification on Primes and Subconsultants
BID # 20150126
Addendum Date: October 27, 2015

Bid Name: Continuing Professional Construction Engineering Inspection (CEI) Services

1. For purposes of this RFP only, the consultant *WILL* be allowed to submit as a prime and a subconsultant. However, per City policy the City still reserves the right to approve or disapprove subcontractors.

2. The # 2 Exception in the RFP is revised as follows:

   "*When the maximum dollar amount per contract period is met by a firm whether that firm is a prime or a subconsultant, that firm will not receive another award until all firms in the rotation have received equitable dollar amounts of awards.*"

3. Since the City cannot guarantee an *'equal'* amount for projects under this RFP, the term 'equal' when referring to project amounts, is being replaced with *'equitable'*.

4. A Geotechnical firm may perform as a subconsultant for more than one CEI firm and also as prime on a Geotechnical Continuing Services contract with the City.

Instructions to Bidder:

The Opening date has not been changed.

Each bidder must acknowledge receipt of any addenda on the Questionnaire in order to have his/her bid or proposal to be accepted.

Question & Answer Notice #1

RFP # 20150126
December 10, 2015

Continuing Professional CEI Services Project

Notification #1

This is notification that the evaluation committee met on December 10, 2015 at 10:00 a.m. in the Procurement Management Department, Room 390, 121 SW Port St. Lucie Blvd., Building A, Port St. Lucie, Florida, and selected all eight (8) firms who submitted proposals, for the question and answer interview at the designated times:

Firm	Interview time
American Consulting Professionals, LLC	12:30 P.M
The Corradino Group, Inc.	1:05 P.M
CAPTEC, Engineering, Inc.	1:40 P.M
Culpepper & Terpening, Inc.	2:15 P.M
B R E A K	2:45- 3:00 P.M
Milestone Construction Group, Inc.	3:00 P.M
DRMP, Inc.	3:35 P.M
Calvin, Giordano & Associates	4:10 P.M
Target Engineering Group, Inc.	4:45 P.M

The question and answer session will be held on Tuesday, December 15, 2015 starting at 1:00 P.M. EST in the Procurement Management Department, 121 SW Port St. Lucie Blvd., Bldg. A, Room 390, Port St. Lucie, Florida. **The start time of the Q&A has been changed from 2:00 p.m. to 12:30 p.m.** The Q & A session will consists of various questions and scored on a point scale of 0-5.

The format of the meeting will be 30 minutes for questions and answers only. The questions will be handed out to the firms at the time of the meeting.

No presentations or handouts are allowed.

Anticipated Short List approval for City Council is January 11, 2016.

Please contact me if you have any questions, at kchamberscuffy@cityofpsl.com.

Thank you

Karen Chambers-Cuffy

Public Meeting for Rotation of Selected Forms- Notice #2

RFP # 20150126
December 22, 2015

Continuing Professional CEI Services Project

Notification #2

This is notification that there is a public meeting scheduled for Thursday, January 14, 2016 at 12:30 p.m., for the drawing to decide the first order of the rotation of the selected firms for the Continuing Professional Services contract. The meeting will be held at City Hall, Building A., Rm 390, 121 SW Port St. Lucie Boulevard, Port St. Lucie, FL 34984.

Thank you

Karen Chambers-Cuffy

BID OPENING ATTENDANCE 11.17.15 @ 2:00 p.m.
RFP #20150126
Continuing Professional Construction Engineering Inspection (CEI) Services

	Name (Please PRINT Legibly)	Company Name Or Entity
1	Karen Chambers-Cuffy	City of PSL-PROCUREMENT
2	Cheryl Shanaberger	City of PSL-PROCUREMENT
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Bid Tabulation Sheet- Continuing Professional CEI project

E-Bid# 20150126

	Name of Proposers>>>	American Consulting Professionals,	Calvin Giordano & Asso. Inc.	CAPTEC Engineering, Inc.	Culpepper & Terpening, Inc.	DRMP, Inc.	Milestone Construction Group, Inc.	Target Engineering Group, Inc.	The Corradino Group, Inc.
#	Item Description								
1	Questionnaire	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
2	Location	Stuart, FL	Port St. Lucie, FL	Stuart, FL	Ft. Pierce, FL	Melbourne, FL	Port St. Lucie, FL	Palm City, FL	Jupiter, FL
3	Accepts Credit Card	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes
4	Provided Attachment A	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
5	Firm failed to complete work?	No	No	No	No	No	No	No	No
6	Time frame to submit Amendment/C/o to the City	1-2 days	2 days	14-21 days	7-14 days	8 working days	1	14	5-15 working days
7	Provided References	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
8	Addenda Acknowledged	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
9	Accepts City's Terms	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
10	Drug Free	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
11	License	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
12	Total # of yrs. of Team experience	564	486	428	1000	398	316	762	287
13	FDOT prequalification in Roadway	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes

Bid Tabulation Sheet- Continuing Professional CEI project

E-Bid# 20150126

	Name of Proposers>>>	American Consulting Professionals,	Calvin Giordano & Asso. Inc.	CAPTEC Engineering, Inc.	Culpepper & Terpening, Inc.	DRMP, Inc.	Milestone Construction Group, Inc.	Target Engineering Group, Inc.	The Corradino Group, Inc.
#	Item Description								
14	FDOT prequalification in Minor Bridge & Miscellaneous structures	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes
15	FDOT Advanced MOT	No	No	Yes	Yes	No	No	No	No
16	CTQP Quality Control Manager	Yes	No	No	No	No	Yes	Yes	No
17	CTQP Final Estimates Level I	Yes	No	No	No	No	Yes	Yes	No
18	CTQP Final Estimates Level II	Yes	No	No	No	No	Yes	Yes	No
19	CTQP Concrete Field Inspector Level I	Yes	No	No	No	No	Yes	Yes	No
20	CTQP Concrete Field Inspector Level II (Bridges)	Yes	No	No	No	No	Yes	Yes	No
21	CTQP Asphalt Roadway Level I	Yes	No	No	No	No	Yes	Yes	No
22	CTQP Asphalt Roadway Level II	Yes	No	No	No	No	Yes	Yes	No

	Name of Proposers>>>	*American Consulting Professionals,*	*Calvin Giordano & Asso. Inc.*	*CAPTEC Engineering, Inc.*	*Culpepper & Terpening, Inc.*	*DRMP, Inc.*	*Milestone Construction Group, Inc.*	*Target Engineering Group, Inc.*	*The Corradino Group, Inc.*
#	**Item Description**								
23	*CTQP Earthwork Construction Inspection Level I*	Yes	No	No	No	No	Yes	Yes	No
24	*CTQP Earthwork Construction Inspection Level II*	Yes	No	Yes	No	No	Yes	Yes	No
25	*CTQP Drilled Shaft Inspection*	Yes	No	Yes	No	No	Yes	Yes	No
26	*IMSA Traffic Signal Inspector Level*		No	Yes	No	No	No	No	No
27	*F330*	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
28	*Checklist*	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes

EVALUATION ATTENDANCE 12.10.15 @ 10:00 a.m.
RFP #20150126
Continuing Professional Construction Engineering Inspection (CEI) Services

	Name (Please PRINT Legibly)	Company Name Or Entity
1	Karen Chambers-Cuffy	City of PSL-PROCUREMENT
2	Cheryl Shanaberger	City of PSL-PROCUREMENT
3	DENNIS Murphy	Cdpappas & Terpening
4	DANIEL SEGUI	Utility Department
5	Heath Stocton	Public Works
6	Cheryl Shanaberger	PSL
7	Roxanne Chesser	City PSL PW
8	Vernon Harkett	MITTSTONE
9	Cherie Mc Carthy	CAPTEC Engineering
10	Moe Mabrouk	CGA
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Q + A Attendance Sheet

last_name	first_name	email	phonenumber	workshop_name
SHANABERGER	CHERYL	cheryls@cityofpsl.com	7728717390	20150126 Q&A
CHAMBERS-CUFFY	KAREN	chamfy@hotmail.com	8715224	20150126 Q&A
CHAMBERS-CUFFY	KAREN	chamfy@hotmail.com	8715224	20150126 Q&A
CHAMBERS-CUFFY	KAREN	chamfy@hotmail.com	8715224	20150126 Q&A
CHIN	MELDON	mchin@jmt.com	5616701773	20150126 Q&A
KNOWLTON	JOHN	jknowlton@acp-fl.com	813-927-4714	20150126 Q&A
HAINES	STEVEN	shaines@jmt.com	7723707865	20150126 Q&A
MIRSON	BRIAN	bmirson@acp-fl.com	5613070068	20150126 Q&A
KIERNAN	TOM	tkiernan@ct-eng.com	772-464-3537	20150126 Q&A
BOLES	JELINDA	jelinda@jbdeng.com	407-359-6437	20150126 Q&A
SEGUI	DANIEL	dsegui@cityofpsl.com	7728736423	20150126 Q&A
CHESSER	ROXANNE	roxannec@cityofpsl.com	8715186	20150126 Q&A
STOCTON	HEATH	hstocton@cityofpsl.com	772-344-4239	20150126 Q&A
JONES	GARY	gjones@gocaptec.com	772-215-0387	20150126 Q&A
JONES	GARY	gjones@gocaptec.com	772-215-0387	20150126 Q&A
JONES	GARY	gjones@gocaptec.com	772-215-0387	20150126 Q&A
CAPRA	JOSEPH	jcapra@gocaptec.com	772-692-4344	20150126 Q&A
FREDERICK	CHRIS	cfrederick@ct-eng.com	7725281635	20150126 Q&A
MATTHES	STEFAN	smatthes@ct-eng.com	464-3537	20150126 Q&A
DIMITRIOU	MARCELO	mdimitriou@ct-eng.com	772-979-0082	20150126 Q&A
BLANCO	JORGE	jblanco@ksfla.com	3215080919	20150126 Q&A
JONES	FRED	fjones@ct-eng.com	772-464-3735	20150126 Q&A
HACKETT	VERNON	Vernon@mcg-eng.com	772-359-8673	20150126 Q&A
ANDERSEN	PETER	pandersen@aaceinc.com	7728079191	20150126 Q&A
HACKETT	VERNON	Vernon@mcg-eng.com	772-359-8673	20150126 Q&A
ASHBURN	JAMES	James@mcg-eng.com	772-200-6944	20150126 Q&A
FRYE	JIM	Jim@mcg-eng.com	772-418-6120	20150126 Q&A
PUCKETT	MARK	mpuckett@drmp.com	(863) 686-7100	20150126 Q&A
ALLARD	KRISTIN	kallard@drmp.com	8636867100	20150126 Q&A
BROWN	KYLE	kbrown@drmp.com	321-987-1953	20150126 Q&A
STAGG	SCOTT	sstagg@drmp.com	407-319-7460	20150126 Q&A
LANGLEY	RICKY	rlangley@drmp.com	863-860-1478	20150126 Q&A
MABROUK	MOE	mmabrouk@cgasolutions.com	7868590320	20150126 Q&A
WILLIAMS	BRYAN	bwilliams@cgasolutions.com	9544485828	20150126 Q&A
JORGE	RRINALDO	rjorge@cgasolutions.com	305-282-3463	20150126 Q&A
JONES	JASON	jjones@targetengineering.com	772-370-1330	20150126 Q&A
SCOTT	RANDALL	rscott@targetengineering.com	772-475-9680	20150126 Q&A
BREWER	JOHN W	jbrewer@targetengineering.com	772-260-2435	20150126 Q&A
VICENTE	RAFAEL	rvicente@targetengineering.com	772-233-9694	20150126 Q&A

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GUIDELINES FOR RFP EVALUATION: # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Please email your individual scores no later than Tuesday, December 8, 2015 @ 2:00 p.m.
The principle of fair and open competition in public procurement prohibits the use of any evaluation criteria other than those listed in the solicitation. These criteria must be applied to the responses without change, deletion, or expansion. A protest from a respondent whose proposal was rejected by an evaluation committee using criteria not identified in the published solicitation has a good chance of successfully protesting the award.

Weighting Scores:

Criteria that have more importance (weight) than others are assigned a multiplier or weight factor. Weights are fixed values that indicate the *relative importance* of the criterion, not the quality of the response. The weight factor 2 might represent *important; 4, moderately important;* and 5, *very important.* The established weights must be applied to the responses without change, deletion, or expansion.

Assigning points:

Points are awarded according to the quality of the response with respect to each criterion. On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. (The 0 to 5 point scale is the easiest to apply).

Completing the form:

The form has four columns (lettered A through D). When the evaluator receives the form, Columns A and B are completely filled out: Column A identifies the criterion being scored; Column B shows the weight (1, 3, or 5, for example) assigned to each criterion. Columns C and D are filled out and contain the maximum number of points that can be assigned (on the 0 to 5 point scale shown previously, the maximum is 5).

The first step is the independent review, Column C; each committee member chooses the best response to each criterion from the responses received. That response is awarded the maximum point value, and the other responses are assigned fewer points according to how they compare with the best response. (In other words, the best response is determined in relation to the other responses rather than in relation to an ideal). This process does not eliminate the possibility of multiple firms receiving the same score on one or more of the individual criteria. However it is incumbent of the committee member to assess each response to identify, even if it is just one item, which differentiates one submittal as the very best in relation to the others. It is important to note that this in an **independent** review and there is to be no discussion or meeting at this time concerning the selection. You may make notes or mark down questions that may be addressed only during the committee meeting.

In order to work with responses that fail to address certain criteria or that take exception to them, the person may evaluate below the assigned point range and award zero or negative scores. For example, if a respondent states explicitly that a particular requirement will not be met, a negative score equal to the highest possible number of points (say, -5) is circled. If a respondent simply fails to address a requirement, a point value of zero is selected. The use of negative or zero scores should be discussed at the committee meeting. As part of the independent review, committee members make brief comments under each criterion explaining the reasoning behind their scores. These notes may be used for reference during the full panel discussions if another committee member questions the points assigned. Below are some helpful tips:

- If the requirement (objective) is particularly difficult to meet and the proposal offers an approach which, with little or no risk, will yield a result which exceeds requirements qualitatively, the item should score 5 points, dependent upon the level of exceptional features offered.

- If the requirement is relatively difficult to meet, the majority of the factors are acceptable, no major deficiencies or risks exist therein, and the collective approach yields a qualitative benefit beyond that which is minimal, a score of 4 points should be assigned, dependent upon the benefits to be attained.

- If the majority of the factors meet standards, the requirement is not overly difficult to meet, and the factors which are deficient are of a very minor nature or are susceptible to easy correction, the item should be scored 3.

- If the majority of important factors are acceptable, but one or more factors is deficient and some minor risk is involved in the correction thereof, the score for the item should be 2.

Each committee member returns the evaluation form to the Contract Specialist on the date specified by following the routing instructions. The points in column C will be tallied by the Contract Specialist and the scores and rankings will be distributed to each committee member at the start of the public evaluation committee meeting.

When the full committee meets to review the proposals, some evaluators may wish to change their points due to information revealed at the panel meeting. For example, if a respondent included information in an attachment instead of in the main section of the proposal, committee members who overlooked the attachment and awarded lower points because of missing information would need to adjust their points in column D after evaluating the information. Evaluators during the public committee meeting may adjust point values in Column D (Review with Panel). If no adjustment then Column C will be used for the total score.

The points entered in Column C must be independently arrived at and not represent a consensus of the panel. The only exception occurs when points are assigned as a result of a professional review (for example, the Finance or Procurement Management department reports on the

financial stability of each respondent and assigns a score to be entered on all panel members' forms).

Applying the criteria

CRITERION A: Assigned Staff Experience *(Question # 3-Attachment A)*. This criterion examines assigned individual experience rather than corporate experience. Do the qualifications of the project staff and sub-consultants, if used, indicate that they can complete the tasks in a professional and satisfactory manner? Are the staff / sub-consultants profiled in the proposal of those who will actually undertake the project? How much experience, does the assigned staff have in respect to this scope, as it relates to Road & Bridge CEI Services?

CRITERION B: Firm Experience with Water and Waste water pipeline *(Question 4)*. This criterion examines corporate rather than individual experience for CEI experience as it relates to Water and Waste water pipeline. If the firm limits its work to specialized areas, does the principal area of specialization match the scope of work for the RFP, or is the work to be subcontracted? Review firms' knowledge and experience with Water and Waste water pipeline projects. Did the company list similar types of Water and Waste water CEI related projects awarded by Government agencies?

CRITERION C: Firm Experience with Road & Bridge *(Questions 5-14)*. This criterion examines corporate rather than individual experience for Road & Bridge CEI experience. If the firm limits its work to specialized areas, does the principal area of specialization match the scope of work for the RFP, or is the work to be subcontracted? Review firms' knowledge and experience with Road & Bridge CEI projects. Review the firms' method of handling field changes and working with contractors on these types of projects. Did the company list similar types of Road & Bridge projects awarded by Government agencies?

All other criteria will be completed by PROCUREMENT.

At the Evaluation Meeting after a discussion of the scores, the Committee will determine the following:

CCNA:

- Which firms are selected for the session. (CCNA- minimum of 3).
- The length of the sessions.
- The order of the presentations will be determined by a drawing of the firm's names. This is a public meeting.

If the committee determines to conduct presentations or a question and answer session then the following will apply. The sessions will not be public; however minutes will be taken and will be public after a recommendation has been submitted for award of the project.

The committee will score the firm based on pre-determined questions on a scale from 0-5
PMD will tally the scores of the individual committee members.

A public meeting will convene to announce the results.

After the scores have been distributed to the committee for verification, the committee will be required to consensus rank the firms.

The recommendation of award will be the # 1 Ranked firm.

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT

RFP #20150126 – Continuing Professional CEI Services

Evaluation Committee Members / Participants

I, DANIEL SEGUI, an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to CONTINUING PROFESSIONAL CEI SERVICES project during the evaluation process and before contract award.

Initials	**Applicability of the Florida Sunshine Law**

I acknowledge I have been informed and am aware the State of Florida Sunshine Law applies to meetings of the evaluation committee where decisions for recommendations to the City Council apply. I further recognize that discussions between committee members outside of public Evaluation Committee meetings is prohibited and in violation of the State of Florida Sunshine Law. All questions by evaluation committee members shall be directed to the Contract Administrator (PMD).

Initials	**Restricted Communications / Cone of Silence**

I acknowledge that to insure the proper and fair evaluation of a submittal, the City of Port St. Lucie prohibits any communication between any City employee, representative or official other than the Contract Administrator (PMD) prior to the time of award by City Council has been made. Communication between Respondent and the City will be initiated and coordinated by the Contract Administrator (PMD) in order to obtain information or clarification needed to develop a proper and accurate evaluation of the proposal.

Communication related to this RFP is further restricted with any entity outside the City of Port St. Lucie. Including, but not limited to, sub-contractors of prime respondents, companies in the industry, other agencies or utility entities, etc . . .

Initials	**Release or Distribution of Information and/or Material**

Evaluation committee members have been provided vendor responses either in hard copy or electronic format. This information, hard copy or electronic, is NOT to be reproduced or distributed under any circumstance. Some information within the proposals may be proprietary and/or confidential. Release of any information will be coordinated by PMD only.

Initials	Non-Collusion

I acknowledge the following with regards to non-collusion in the evaluation process and my scoring:

1. The scores assigned in my evaluation have been arrived at independently and without consultation, communication or agreement with any other Evaluator other than those discussions taking place in a meeting of the Evaluation Committee meeting arranged by PMD.

2. That my scoring of each proposal has not been disclosed to any other Evaluator or person, and they will not be disclosed to other Evaluator except by the PMD during a meeting of the Evaluation Committee.

3. No attempt has been made or will be made to coerce or affect the scoring of any Evaluator.

Initials	Conflict of Interest

I certify that I have no present conflict of interest on the above referenced project, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation of any consultant/contractor/vendor for selection on any contract if I have a conflict of interest or a potential conflict of interest. As set forth in Sections 112.313 Florida Statutes, employees may not have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or accept any obligation of any kind which is in conflict with the proper conduct of their duties in the public interest.

I recognize that employees are expected to honor the ethical obligations inherent in public service. These obligations go beyond mere legal obligations and demand from the employee a greater sensitivity to his or her conduct, as well as the public's perception of such conduct.

Employees are expected to safeguard their ability to make objective, fair, and impartial decisions, and therefore may not accept benefits of any sort under circumstances in which it could be inferred by a reasonable observer that the benefit was intended to influence a pending or future decision of theirs, or to reward a past decision. Employees should avoid any conduct (whether in the context of business, financial, or social relationships) which might undermine the public trust, whether or not that conduct is unethical or lends itself to the appearance of ethical impropriety.

I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: _____

Printed: NAWFEL SEGUI

Date: 11/16/15

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT
RFP #20150126 – Continuing Professional CEI Services
Evaluation Committee Members / Participants

I, Heath Stocton, an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to Continuing Professional CEI Services project during the evaluation process and before contract award.

Initials HS	**Applicability of the Florida Sunshine Law**

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I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: _____

Printed: Heath Stocton

Date: 10/6/15

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT

RFP #20150126 – Continuing Professional CEI Services

Evaluation Committee Members / Participants

I _____, an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to _____ project during the evaluation process and before contract award.

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Initials	Conflict of Interest

I certify that I have no present conflict of interest on the above referenced project, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation of any consultant/contractor/vendor for selection on any contract if I have a conflict of interest or a potential conflict of interest. As set forth in Sections 112.313 Florida Statutes, employees may not have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or accept any obligation of any kind which is in conflict with the proper conduct of their duties in the public interest.

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I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: _____

Printed: Roxanne M. Chesser

Date: 12/14/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: AMERICAN CONSULTING PROFESSIONALS, LLC.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 (2) 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: RAMIEL SEGUI (*Please print*) Dept.: UTILITY

Signature: [signature] Date: 12/7/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: CALVIN, GIORDANO & ASSOCIATES, INC.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 (2) 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: DANIEL SEGUI (*Please print*) Dept.: UTILITY

Signature: [signature] Date: 12/7/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: CAPTEC ENGINEERING, INC.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: DANIEL SEGUI (*Please print*) Dept.: UTILITY

Signature: [signature] Date: 12/7/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: CULPEPPER & TERPENING, INC.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: DANIEL SEGUI (*Please print*) Dept.: UTILITY

Signature: [signature] Date: 12/7/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: DRMP, INC.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 (3) 4 5	0 1 2 3 (4) 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: DANIEL SEGUI (*Please print*) Dept.: UTILITY

Signature: [signature] Date: 12/7/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: MILESTONE CONSTRUCTION GROUP, INC.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 (3) 4 5	0 1 2 3 (4) 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: RAWIEL SEGUI (*Please print*) Dept.: UTILITY

Signature: [signature] Date: 12/7/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: TARGET ENGINEERING GROUP, INC.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 (2) 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: DANIEL SEGUI Dept.: UTILITY

(*Please print*)

Signature: [signature] Date: 12/7/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: THE CORRADINO GROUP, INC.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 (2) 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: DANIEL SEGUI Dept.: UTILITY

(*Please print*)

Signature: [signature] Date: 12/7/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: American Consulting

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: No testing/geotechnical personnel included in proposed staff; otherwise, staff is very qualified in Road and pipeline CEI			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: Limited experience. 3 projects provided			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Good idea for contractor rating. Several unique project solutions Lack of knowledge of City contracts (no contingency)			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Heath Stocton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/9/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: Calvin, Giordano, and Assoc.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Very experienced proposed staff both at roadway and pipeline CEI			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 (4) 5	0 1 (2) 3 4 5
Comments: Good, recent experience but limited info. regarding project scopes.			Lack of project scope
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Restricted FDOT's CPPR process Lack of knowledge of City's contracts (cannot compare pay items, contracts have no contingency, city doesn't process S.I.) Many sig. accomplishments noted Good description of good vs. excellent contractor			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Heath Stocton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/10/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: Coptec

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: 330 Forms not provided for all personnel listed. Some personnel listed more than once. Concern about staff not having adequate availability. Proposed staffs have good experience.			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Extensive firm experience with great detail provided regarding firm's role.			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Extensive experience with detailed scopes Good knowledge of City's contracting/C.O. procedures			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Heath Stanton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/16/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: Culpepper & Terpening

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Extensive staff experience in wide range of projects and disciplines. Available staff to address any project challenges.			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 (4) 5	0 1 2 (3) 4 5
Comments: Good firm experience but limited information on scope of projects.			Lack of project scope
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Good experience but limited project scope description Good idea for grading contractor performance Good knowledge of City's processes and common issues			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Heath Stanton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/10/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: ORMP

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Good staff experience, but no GED staff proposed.			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Good experience with details of project scope			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: Good experience with project details Field change procedure vague and not direct Lack of knowledge of City's C.O./Amendment requirements			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Heath Stocton (*Please print*) Dept.: PW

Signature: HS Date: 12/10/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: Milestone

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Great staff experience w/ wide breadth of knowledge base. Experienced in roadway and pipeline CEI and ESD			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: Limited firm experience and no description of project scope.			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Five projects provided but no scope description Considerable cost savings through scope reductions Good understanding of C.O. vs Amendments			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Heath Stocton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/10/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: Target

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Great staff experience in both roadway and pipeline CEI & LEED.			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: Only 4 representative projects and no description of project scopes			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Significant experience, but no project descriptions Good examples of cost savings Knowledge of C.O. procedures but doesn't address thoroughly Differentiation of good vs excellent is weak			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Heath Stocton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/10/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: Cascading Group

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Good staff experience, but no GEO testing personell proposed.			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 (4) 5	0 1 2 (3) 4 5
Comments: Good experience but lack of description of project scopes			Lack of Scope
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Good experience but lacking project scopes Regurgitation of FDOT's CPPR process Lack of knowledge of City's plans, quantity estimates, and C.O. process. FDOT approach			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Heath Stocton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/18/15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: American Consulting Professionals, LLC

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Roxanne M. Chesser Dept.: Public Works

(*Please print*)

Signature: Roxanne M. Chesser Date: 12-4-14 15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: Calvin Giordano & Associates, Inc.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Roxanne M. Chesser Dept.: Public Works

(Please print)

Signature: Roxanne M. Chesser Date: 12-4-14

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: CAPTEC Engineering, Inc.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: ~~Roxanne~~ M. Chesser Dept.: Public Works

(*Please print*)

Signature: Roxanne M Chesser Date: 12-4-~~14~~ 15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: Culpepper & Terpening, Inc.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Roxanne M. Chesser Dept.: Public Works

(*Please print*)

Signature: Roxanne M. Chesser Date: 12-4-14

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: DRMP, Inc.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: ~~Roxanne~~ M. Chesser Dept.: Public Works

(*Please print*)

Signature: Roxanne M. Chesser Date: 12-4-~~14~~ 15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: Milestone Construction Group, Inc.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Roxanne M. Chesser Dept.: Public Works

(*Please print*)

Signature: Roxanne M. Chesser Date: 12-4-14 15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: Target Engineering Group, Inc.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Roxanne M. Chesser Dept.: Public Works

(*Please print*)

Signature: Roxanne M. Chesser Date: 12-4-~~14~~ 15

RFP # 20150126 Title: Continuing Professional Construction Engineering Inspection (CEI) project

Respondent: The Corradino Group, Inc.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Assigned Staff Experience ***(Question # 3-Attachment A)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience with Water and Waste water pipeline ***(Question 4)***	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience with Road & Bridge ***(Questions 5-14)***	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Review Instruction sheet. Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Roxanne M. Chesser (*Please print*) Dept.: Public Works

Signature: Roxanne M. Chesser Date: 12-4-~~14~~ 15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: American Consulting Professionals, LLC

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 (2) 3 4 5
Comments: - Not able to identify specific projects able to discuss types of projects. Discussed ped bridge challenges Discussed residential area challenges	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 (3) 4 5
Comments: ✓ Training Cert. Billing Documentation Follow up [illegible]	

Company Name: American Consulting Professionals, LLC	
A	**B**
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 4 5
Comments: ✓ "Kitchen table" etc. Direction Avra City Attitude Familiarity w/ City processes	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: American Consulting Professionals, LLC	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 (3) 4 5
Comments: ★ CC ✓ EOR ✓ CO ✓ Keep contractor going #	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Roxanne M. Chesser (Please print) Dept.: PW

Signature: Roxanne M. Chesser Date: 12/15/15

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

4.10

Company Name: Calvin, Giordano & Associates

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 (1) 2 3 4 5
Comments: — — - Asphalt Issues - Bridge Work Certification Overall discussion w/o referencing items on CIP. Spoke of ramps/sidewalk projects in general.	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 (1) 2 3 4 5
Comments: — — On system / off system LAP MAC/CMS Materials QC FDOT Oversight Reimbursement Change of scope Overtime EEO – Firm to help City Schedule Follow up: Not familiar of critical off system	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Calvin, Giordano & Associates	
A	**B**
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 (2) 3 4 5
Comments: - Communication - VMS - PIO - During const – email list " " Community homeowners - Flyers School	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Calvin, Giordano & Associates	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 4 5
Comments:	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Lorraine M Chesser (Please print) Dept.: PW

Signature: Lorraine M Chesser Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: CAPTEC Engineering, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 3 4 5
Comments: ✓ Used notes - PSL & Floresta familiar w/ project/city mgt/utility	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 4 5
Comments: + Critical projects LIMBS Dept on LA specs EEO/ DB Documentation QC training records	

Company Name: CAPTEC Engineering, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 4 5 0
Comments: + - PIM - Comm - Door Hangers - Reverse 911 - VMBs - Door to door	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: CAPTEC Engineering, Inc.	
A	B
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 (4) 5
Comments: + LAP vs. City EOR Contractor add'l/supply CO vs. Amendment process	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Lovanne M. Chesser (Please print) Dept.: PW

Signature: Lovanne M. Chesser Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Culpepper & Terpening, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A Questions	B Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 3 4 (5)
Comments: ++ Knew projects on CIP Know conditions surrounding projects	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 (4) 5
Comments: + Critical/Non-critical LAPs Records FDOT Oversight ~~D~~	

Company Name: Culpepper & Terpening, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 4 (5)
Comments: 4 4 - Examples - Policies/practices - communication/ CEI/city/resident/solutions - Resolutions	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Culpepper & Terpening, Inc.	
A	B
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 (4) 5
Comments: + - Timelines - Discussed overrun quantities - Field measurements - CO/amendment +	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Roxanne M. Chesser (Please print) Dept.: PW

Signature: Roxanne M. Chesser Date: 12/15/15

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

3:35

Company Name: DRMP, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 (3) 4 5
Comments: ✓ Listed sidewalk projects + ADA improvements for sidewalks + push buttons. General not specifics	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 (3) 4 5
Comments: ✓ - Critical/non critical - specifications - compliance w/ guidelines - LAP it (DRMP or support city staff) - QC materials - Contract disputes/conflicts (FDOT vs. City) - CMS/MAC systems	

Company Name: DRMP, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 (4) 5
Comments: + - Communication - Meet w residents/business - Work w/ " " during construction -	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: DRMP, Inc.	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 (3) 4 5
Comments: ✓ - EOR - Field measure - Acknowledge they will work w/ City per City typ results	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Roxanne M Chesser (*Please print*) Dept.: PW

Signature: Roxanne M. Chesser Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Milestone Construction Group, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 3 (4) 5
Comments: + Knew several projects - Schutte MOT, ADA, bridge, school Knows area + issues Schedule	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 (4) 5
Comments: + on/off system FDOT Oversight Records / Blue folder Coordination w/ FDOT on changes Locals Testing	

Company Name: Milestone Construction Group, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 (4) 5
Comments: + Communication Door to door Resident complaint" form system	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Milestone Construction Group, Inc.	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 4 5
Comments: +/- 25,000 limit missed exceeding plan quantity plain on true up - ~~drainage structure not code~~ Amendment if change of scope - drainage structure fabricator	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Leanne M Chesser (Please print) Dept.: 12/15/15

Signature: Leanne M. Chesser Date: PW

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

4/45

Company Name: Target Engineering Group, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 (2) 3 4 5
Comments: – – PSL - Darnell & Becker – PD&E Discussed issues w/ PSL	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 (3) 4 5
Comments: ✓ Cert. Material Cert Bridge - Limits/misc Reports Forms	

Company Name: Target Engineering Group, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 4 5
Comments: + Door to door / face to face Flyers Introductions & residents Resolution	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Target Engineering Group, Inc.	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 4 (5)
Comments: ✓✓ EOR No overrun w/o approval CO <25,000	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Lorraine M. Chasse (*Please print*) Dept.: PW

Signature: Lorraine M. Chasse Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: American Consulting Professionals, LLC

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 (3) 4 5
Comments: Lack of knowledge of specific CIP projects Brief description of some typical issue w/ Ped Bridge replacement and sidewalk jobs.	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 (3) 4 5
Comments: timely billing submittals billing procedures → certification upon completion assisting City w/ City's certification for LAP jobs Conflict of interest? No, conflict... FDOT oversight can be performed by other consultant for FDOT. Failure to mention testing requirement required by LAP.	

Company Name: American Consulting Professionals, LLC	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 (4) 5
Comments: Teamwork w/ City up front City buy-in on solution prior to presenting to homeowners business owners Multiple notification methods, information dissemination Planning to avoid impacts to events Emergency Access maintained	

Company Name: American Consulting Professionals, LLC	
A	B
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 (2) 3 4 5
Comments: - Buy-in from EOR → not always necessary - Not all change orders go to Council (< $25,000) + Unit cost prices will be utilized for add'n sidewalk + Work elsewhere will be ongoing so as to not delay contractor - individual line items may not be overrun per City purchasing policy.	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Heath Stecton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/15/15

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Calvin, Giordano & Associates

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 (3) 4 5
Comments: Identified asphalt as typically biggest issue on CEI projects. Tack not breaking, creates long term issues. Speaking to big bridge projects, none identified in CIP. Sidewalk projects → maintain access @ all times, concrete testing PIO issues → impacts to residents? Pre-work meetings → not always held for City projects Utility relocations to be scheduled appropriately early	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 (4) 5
Comments: Experience w/ LAP through many local agencies south of here Construction department? MAC vs. LIMS Knowledgable of LAP paperwork/procedures Additional testing requirements for on-system and additional paperwork DBE and EEO requirements / Unaware of critical, off-system projects or requirements. RCS on staff LAPIT information/input	

Company Name: Calvin, Giordano & Associates	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 (3) 4 5
Comments: Starts before construction, communication begins early Create email distribution list for all involved Personalized communications taylored to those directly impacted. Working Marketing Dept is available for use in helping disseminate information. Inspectors are front line officers for the project. Document pre-work conditions to identify if issues were present prior to construction, Solve issues @ lower levels first prior to escalation.	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Calvin, Giordano & Associates	
A	B
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 (3) 4 5
Comments: Was the structure ordered? Not familiar w/ City's contracting/purchasing procedures, but are aware City may not allow overrun quantities. + Show new condition on as-builts	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Heath Stocton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: CAPTEC Engineering, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 3 4 (5)
Comments: Knowledgable of City's CIP list Aware they are precluded from CEI due to designing some of the projects. → PSL/Floresta Intersection Project → MOT issues due to high volumes of traffic → limited ROW on Floresta → utility locates/as-builts/potholing → very knowledgable of area/local issues	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 (4) 5
Comments: Different levels of LAP projects May require addl testing for 'critical' items Big 4 specification package or City's approved specs Davis Bacon requirements → EEO and salary requirements	

Company Name: CAPTEC Engineering, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 (3) 4 5
Comments: Public Outreach meetings Update residences/businesses weekly as to construction sequencing VMS, door hangers, open communication	

Company Name: CAPTEC Engineering, Inc.	
A	B
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 (4) 5
Comments: Evaluate design intent other unknown repercussions? Change order vs. amendment other additional items such as sod, fill, excavation, etc. Handled through final true-up change order by tracking quantities. Did not mention change order < $25,000 does not have to go to Council.	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Heath Stocton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Culpepper & Terpening, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 3 4 (5)
Comments: Knowledgeable of City's CIP list - referenced several projects - California/La University Roundabout MOT challenges related to varying peak hours (not standard) High volumes related to IRSC, Baseball field, special events No closures allowed to intersection High pedestrian volumes to be maintained NPDES BMP's to be closely adhered to.	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 4 (5)
Comments: More oversight/paperwork required Less control to create field changes On system/off system LAP projects (critical vs. non critical) Critical/On system projects require add'l testing (QC and VT) LIMS material data entry Davis Bacon requirements Add'l reporting requirements	

Company Name: Culpepper & Terpening, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 4 5
Comments: Small jobs → field representative is front line for providing information. Larger Jobs → Create project "hotline" for requests, questions, complaints. Tracking system also used to record all requests Good communication and fully understanding resident's concern is priority. Try to find solution prior to bringing it to the City's attention.	

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Culpepper & Terpening, Inc.	
A	B
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 4 (5)
Comments: EOR doesn't have to be involved if not a huge design change ~~Ed~~ Identify potential change early to avoid paying for structure that won't be used. Very knowledgable of City's past and current contracting and purchasing procedures. Is aware ~~that~~ Line items cannot be overrun * Field books are kept for all quantities Compensated for add'n work based upon existing unit prices Familiar w/ Change Order vs. Amendment	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Heath Slaton (*Please print*) Dept.: PW

Signature: HH Slaton Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: DRMP, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 (3) 4 5
Comments: Familiarity w/ CIP list Issues → Cross slopes, utility conflicts, detectable warnings push button heights, flat landings. Drainage structures → Constructibility review → Accesible paths for pedestrians to be maintained @ all times. → pre-work meetings w/ contractor	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 (4) 5
Comments: Federal funding → follow federal guidelines RCS proposed → may be provided by FDOT On system Off system (critical vs non-critical) No contract specification disagreement between City's and FDOT LAPIT familiarity for data entry LIMS and MAC discussion Off system critical? Bridges / Ped. Bridges	

Company Name: DRMP, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 4 5
Comments: Maintain Access for residents and businesses Communication Update everyone w/ progressing schedule and anticipated work activities/delays. - No clearly defined Outreach program Try to field questions and complaints @ lowest level w/o escalation to City Hall.	

Company Name: DRMP, Inc.	
A	B
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 (3) 4 5
Comments: Get EOR involved for proposed solution → no always necessary Field book documentation of increase/decrease Not familiar w/ City's contracting/procurement ~~pr~~ practices, but at least noted a C.O. may or may not be necessary. + Documented in as-built +	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Heath-Stocton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Milestone Construction Group, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 3 (4) 5
Comments: Some familiarity w/ CIP list Bayshore sidewalk Saluta / Drainage concerns are typical (positive drainage @ all times) Standard APA concerns regarding slopes Adjacent schools → parking and sidewalk maintenance rq'd MOT signage challenges Coordination w/ Utilities to ensure utility adjustment are acceptable Potential asbestos in bridge coatings	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 (3) 4 5
Comments: FDOT involvement → required blue folder RCS is staffed by local FDOT office Change order processes Not very clear in difference between on-system/off-system and different reporting/material testing requirements.	

Company Name: Milestone Construction Group, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 (4) 5
Comments: Communication is key Relay contractors schedule and anticipated impacts to residents/ business owners "Resident Complaint Form" developed to track complaints and hopefully address issue @ lower levels Maintain access to driveways Helps not lose track of pending issues	

Company Name: Milestone Construction Group, Inc.	
A	B
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 4 (5)
Comments: Provide value to the City Increase in quantity for addl'n sidewalk through use of existing contract prices through a change order. + Very familiar w/ contracting/procurement procedures of the City. Aware C.O.'s < $25,000 don't go to Council. Try to catch change prior to contractor ordering structure. + If structure is ordered, Amendment must be issued. + Very detailed explanation	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Heath Stacton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Target Engineering Group, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A Questions	B Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 (3) 4 5
Comments: Current project ongoin is very similar in nature to PSL Blvd widening. MOT, coordination w/ traveling public, residents. Utility relocations Proactive approach rather than reacting to issues as they arise. Early coordination w/ Utility owners, including SFWMD Coordination and notification of lane closures to all stakeholders	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 (4) 5
Comments: Main goal is reimbursement and quick reimbursement + Material certification is biggest difference from standard project LIMS/MAC → Follow LAP manual/CPAM Knowledgible of on system/off system (critical vs. noncritical) Target is certified lab → reduces time for scheduling tests MPR is now required for all LAP jobs	

Company Name: Target Engineering Group, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 (4) 5
Comments: Door to door visit by Project Administrator + "Monthly Coffee" w/ residents to come into office for updates Face to face contact w/ residents is preferred method of communication Identify actual issue once it becomes documented Prevention is first step through notification/communication Email can also be used for larger projects	

Company Name: Target Engineering Group, Inc.	
A	B
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 (4) 5
Comments: Overruns are not allowed per City contracting/procurement procedures. Change order would be required prior to issuance of payment for overrun. Change order would be based on existing unit costs for + and −. Tracking of actual quantities for payment + Did mention that C.O.'s < $25,000 may not have to go to Council. True up change order to handle negative quantities Good understanding of City procedures.	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: Heath Stacton (*Please print*) Dept.: PW

Signature: [signature] Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: American Consulting Professionals, LLC

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 (1) 2 3 4 5
Comments:	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 4 (5)
Comments:	

Company Name: American Consulting Professionals, LLC	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 4 5
Comments:	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: American Consulting Professionals, LLC	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 4 5
Comments:	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: RAUL SEGUI (*Please print*) Dept.: Utility Dept

Signature: [signature] Date: 12/15/15

DANIEL SECOVI
12/15/15

RFP# 20150126

12:30 AMERICAN CONSULTING PROFESSIONALS, LLC

1. Have not gone over the 5-year Road + Bridge CIP (*)
   MOT PLAN - Notifications
   - Early Id of Utilities (conflicts found in past)
     mitigate cost issues with EOR.
   - Residential areas - coordinating with community
   - Knocking on doors - rather than notice posted

2. LAP PROJECTS
   Lead LAP Certified Firm (City is certified)
   - Billing timely (sign to invoice)
   - Pay front end - quantities, % of complete
   - Final cert - Completed as designed
   - Getting City money back
   - LAP Experience
   JMT - Treasure Coast Lead - DOT (Oversite Lead)
   American - Lead West Palm - DOT
   Expertise on LAP
   PAY ONE FOLLOWING BLUE BOOKLET
   Heath - Conflict; & they are OVERSITE
   Two Contracts - Two Firms (Corradino)
   Won't be assigned same contract

3. Public Outreach
   Kitchen table mentality
   Inform City team of conflict before meeting with Public
   Quickly identify - contract changes
   Public Safety priority

RFP # 20150126

Daniel Segui
12/15/15

12:30 American Consulting Professionals, LLC

3. Outreach support working with City.
Meet with individual Business & resident
Find out what are their concerns.
Emergency Responders - NOT important
Ingress & Egress - Monitor with contractor thru project

4. Hypothetical Sidewalk Project
Show net total savings
EOR supports decision
Process pymt - Unit Cost Contract
Increase sidewalk, Decrease drainage - No complex change order needed
No delay of project - Keep contractor going
Sidewalk exceed quantity - unit price - (overrun & underrun quantities)
No additional funds required

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Calvin, Giordano & Associates

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 (1) 2 3 4 5
Comments: CEI challenges - Speaking in general of all projects Asphalt - Paving & Milling - Paving specs discussed Concrete / Bridgework - Make sure staff certified 30-40 years experience in staffing Crosswalks Proper MOT Senior Inspector - Some have PE Milling to proper depth Utility relocation is important FP&L, comcast Drainage Utility work - Water Main Installation Slopes	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 (2) 3 4 5
Comments: Experience 15-20 ~~FDOT~~ LAP Projects 2-3 per year in last 6 yrs Advance notification ~~Slopes~~ Lims - Mack(?) Bar recording FDOT requirements / What would ~~make a~~ qualify an ~~off~~ system as critical? ~~Off~~ system - (Health) - Do not recall of any that are critical, on system (Materials - additional to ~~off~~ system) Reimbursable vs Non-Reimbursable - explained	

EEO requirements
Knowledgable explanation given ~~by~~ ~~Subcontracts~~
LAP - Deadline - Timely manner - Major issue

Experience on major road projects I-75

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Calvin, Giordano & Associates	
A	**B**
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 4 5
Comments: Speak to residents + business owners (Schools, Plaza) Meet on-site to address what area is to be affected. Pre-activity meeting - Businesses, Emergency response School Bus routes are concern VMS Boards During Construction - Email list to update affected residents + businesses If issue isolated to one resident - go in field + speak to them to provide resolution. - Typically access issues Marketing Dept - work with City PIO Twitter, social media - to get info in real time. Awards for Public Outreach Identify point of contact Identify impact area + potential issues Keep records Working with HOA's - Project Manager	

Company Name: Calvin, Giordano & Associates	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 (2) 3 4 5
Comments: Drainage structure fabrication completed will cost Over run the quantities - DOT - City does not allow this Add work to contract - Work Order Mitigate another area of sidewalk Change Order for change in price Document unit price of contract Deduct cost of structure As built the change	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: DANIEL SEGUI (*Please print*) Dept.: Utility Dept

Signature: [signature] Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: CAPTEC Engineering, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 3 4 (5)
Comments: Familiar with projects - They had a copy of projects PSL Blvd + Floresta - Busy Intersection NOT important - (Given CEI after design) Good communication with Contractor. Tell him what is expected. Understand EOR design thoughts Identified concerns - Knowledgeable of NOT impacts Drainage to be looked at. Floresta - Right of way tight (ADA, lighting, signals) Utility 16" WM - Pothole water/sewer lines - In advance - Utility adjustments. Overhead transmission lines a concern Personnel on job - 100yrs work experience Previous experience - Intersections	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 (3) 4 5
Comments: Depending on type of job. Box culverts, pedestrian bridge Material guide, Specification projects followed, Follows Sull Dot Specs. Payrolls, EEO, Affirmative Action, Change Order Process - Approved product On staff LAP Project Administrators	

Company Name: CAPTEC Engineering, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 (3) 4 5
Comments: Public Meetings, documentation. Communicate daily with businesses & residential. Keep property owners aware of changes. Hospital Martin County - Communicating with doctors, patients, anyone in the area. VMB - For public Need to constantly talk to people in area. Progress meeting info - Relay info to public Constantly changing issues - Keep them informed	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: CAPTEC Engineering, Inc.	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated? City Funded Sidewalk job - Heath	0 1 2 3 (4) 5
Comments: Sidewalk - EOR plan - What was he thinking in design? Impact on neighbors Pay for what we built. - Unit price Eliminate need for drainage - reduction adding sidewalk - add on Change Order as opposed to ammendment Change Order issue. What has been ordered - drainage structure? Cost is already pre-cast. Change Order process: Recommend change order if funds available Decrease on one side - Increase on other side "Net savings" - Prepare change order Over-run / Under-run - Possible final true-up - GARY	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: DANIEL SEGUI (*Please print*) Dept.: Utility Dept

Signature: [signature] Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Culpepper & Terpening, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 3 4 (5)
Comments: Familiar with projects Communication - Public Outreach California & University - roundabout - phase in Peak traffic times - challenging (Church, College, Mets & schools) Field MOT adjustments - MOT key Cannot shut off intersection * Open traffic as necessary Church - growing - just paid for turn lanes - concerns Minimize impact Pedestrian traffic also a concern - language needs to be in contract NPDS - Concerns - Ponds Possible night time work	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 (3) 4 5
Comments: More effort/More budget - Limits CEI - Value Engineering conflict Limitations with LAP Two Types Non-Critical system - Monthly Critical (low) - Contractor QC LIMS Bulletin Board Inspections DOT Intensily involved in oversight Quantity issues, MOT weekly report, Monthly progress report Material certification - DOT	

Company Name: Culpepper & Terpening, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 (4) 5
Comments: Site representative - First line - works well on small projects Official Complaint - Track and close out - Work with City Project Manager to resolve. Larger Project - Affect business - add more formal practices. Hotline number - Calls come to C+T - Log in Investigate notify City PM. Try to resolve ourselves if possible. Track everything done. Social Media - has been provided as option to City. Find out what ~~are~~ residents concerns will be. Door Hangers Could be a simple issue but communication is needed Meet one on one - Vet the issue Advise the City PM of concerns. Site representative gathers issues + concerns by speaking to residents in area that may be affected.	

Company Name: Culpepper & Terpening, Inc.	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 (4) 5
Comments: Familiar Field changes - Recently drainage project that had 3 Utility deSelections - Resolved by investigating - adjusting drainage. Make sure drainage structures not ordered. - Needs to be done ASAP. Unit price contract - Cannot over-run ~~pay item~~ plan quantity Change Order needed to pay for additional sidewalk Deductive change order needed Addition change order needed Outside project limits would require an ammendment.	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: DANIEL SEGUI (*Please print*) Dept.: Utility Dept

Signature: [signature] Date: 12/15/15

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: DRMP, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 (3) 4 5
Comments: Reviewed CIP 5 Yr Plan - Sidewalk; Tulip Utility, ADA, side slope, Flat landings spec - push button Pedestrian detours - signed properly to open access Utility boxes Constructability review with Contractor MOT consideration Sidewalk material - DOT issues	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 (4) 5
Comments: Federal Funds - Follow DOT Specs Federal Requirements Resident compliance 1273 - Rules Critical (Big Four) - LIMS or New Reporting System (MACK?) Non-Critical Quantities of material Size of project Entering Data Compliance staff QC Plan for materials QC Inspectors vs verification Look at precedent of project - make sure there is not a conflict Critical - Bridge job (pedestrian also)	

Company Name: DRMP, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 (2) 3 4 5
Comments: Communication is key - Business or Resident Meet in advance - Pre-Operation Meetings Temporary Access to business or residence Use the City Public Information Officer Ex- Apartment Complex entrance - Work with contractor to meet needs Communicate with residents, management - Advance notification and during project Restore to same or better condition Roxanne - Communication - How? In advance - Pre-construction - Meet with residents & City Project Manager. Direct connection & provide DRMP contact so not calling City. Talk to them in person. - Level of conflict determines the extent of communication.	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: DRMP, Inc.	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 (2) 3 4 5
Comments: Involve the EOR - Notify the City PM. Unit cost - 100 LF of sidewalk (overrun) Note the under run. Adjust plan quantity Some municipalities require a change order (Did not seem familiar with the City process) As built the change	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: NAJEL SEGUI (*Please print*) Dept.: Utility Dept

Signature: [signature] Date: 12/15/15

20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Milestone Construction Group, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 3 (4) 5
Comments: Familiar with projects: Selvitz - Bayshore to Macedo Sidewalk - drainage works, maintain access School, bridge (paint on bridge could be issue asbestos) ADA issues, soil (review but may not be any) School bus stops - Maintain in project limits Sight distance problems MOT signs Contractor scheduling - Prepare scheduling ~~that~~ Water/Sewer conflicts Keep positive drainage,	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 (4) 5
Comments: DOT involvement DSS system & on system Blue Solder - specific forms Compliance officer (Ft. Pierce Officer) - Milestone will use this person DOT - Copied on all change orders, must give approval Copy District Materials Office + Local Office Labor interviews Pictures of Bulletin Board Sidewalk Inspections - Final closeout meetings with DOT	

Company Name: Milestone Construction Group, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 (4) 5
Comments: Communication, Inspectors go door to door - let residents & business owners know the scope of work. Contractor schedule & adjustments relayed to them. Resident Complaint Form - document issue, location, resolution, and review with resident or business owner - ownership to resolution. Project Manager & Vernon meet if issue is not resolved satisfactorily with resident. Make sure access to their property. City Inspector is made aware of Resident Complaint Form the day of complaint.	

Company Name: Milestone Construction Group, Inc.	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 4 (5)
Comments: Provide value to the City. - Mission statement of Milestone Additional 100 LF of sidewalk - Change Order - Process thru system Under #25,000 - City Manager approval Over $25,000 - City Council approval Elimination of drainage structure - Prior to order placed Structure already cast - cost involved eliminated maintence Amendment - Change of scope (already ordered) City owns - use at its own discretion Unit price (Jim) pays for work done	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: DANIEL STOUT (*Please print*) Dept.: Utility Dept

Signature: [signature] Date: 12/15/15

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Target Engineering Group, Inc.

Instructions to Evaluator: Listen attentively to the responses. Complete a separate evaluation form for each firm presenting. Each question must be assigned a On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

A	B
Questions	Maximum Points Independent Review
1. Identify one of the projects on the City's Five Year Road and Bridge CIP and discuss Potential CEI challenges.	0 1 2 3 (4) 5
Comments: PSL Blvd - Darwin to Becker (Is this a project in 5yr CIP.) Public Impact - Proactive approach, Emergency Response, Businesses Roadway Bridges Open communication - constant communication Not a challenge - Not a lot of Right of way Utility challenges - Pre-work meetings Make sure address resident concerns Becker, Pine, Alcaterra - signalized intersection Lighting, swales, silt fence, rock bags, gopher tortoise SFWMD concerns	
2. For LAP projects, describe any additional steps/procedures that would need to be taken for CEI projects.	0 1 2 3 (4) 5
Comments: Simple projects. Follow DOT Procedures - Very experienced in process Get City reimbursed quickly Material Certification - LAP Specs Bridge or Box culvet Liens to Mack(?) TARGET - Certified LAB with DOT - Reduces time Density, Concrete sample, Soils ARCADIS - SUB	

Monthly Progress Report - All projects
Certification Process - LAP Manual Forms > Additional Steps
Daily documentation system
Knows the City's procedures

Company Name: Target Engineering Group, Inc.	
A	B
Questions	Maximum Points Independent Review
3. Explain your Public Outreach methodology, in particular how you would resolve conflicts with business owners, and private residents?	0 1 2 3 (4) 5
Comments: Every job - Dealing with public Door to Door notification - handing out flyers and answering questions & concerns. In advance & during project Monthly morning coffee - updates on projects with public E-mail list Face to face contact is best. Meet on site - Businesses & Residents Resolve Conflicts - Address issues in advance to try to minimize conflicts Prevention is the first thing Door to Door - flyers, e-mails, HOA presentations - Involve community Get ahead of problem Keep in mind project constraints Document issues and notify City Barber Street - Year long - replace road - minimal issues	

 # 20150126 – Continuing Professional Construction Engineering Inspection (CEI) project

Question & Answer (Q&A) Sheet Committee

Company Name: Target Engineering Group, Inc.	
A	**B**
4. In this hypothetical sidewalk project, the City is utilizing a unit cost contract with the contractor. While performing the layout, it was determined by City and CEI staff that an alignment that differs from that in the plans would eliminate the need for a new drainage structure, but would require the construction of an additional 100 LF of sidewalk. The additional sidewalk with the elimination of the drainage structure will result in a net savings to the contract, however the new amount of sidewalk will exceed plan quantity. What is your process for handling this change and how will the contractor be compensated?	0 1 2 3 (4) 5
Comments: City way Cannot have an overrun without approval (Change Order) Change Order is needed first Coordinate with PM. Realize net savings. Addition of sidewalk, deduction of pipe Change Order for City Council approval If LAP JOB- Approval from DOT - to get City reimbursed EOR - needs to be aware Limits of Change Order less than $25,000 not required for City Council True up Change order - Drainage structure	

The point values entered above reflect my best independent judgment of the merits of the identified firm's responses.

Committee Member: DANIEL SEGUZ (*Please print*) Dept.: Utility Dept

Signature: _____ Date: 12/15/15

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 13B
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager
Edwin M. Fry, Finance Director

FROM: Cheryl Shanaberger- Director, Procurement Management Department

Agenda Item: Motion: E-RFQ # 20160020- Underwriting Services

Submittal Date: 12/23/2015

STRATEGIC PLAN LINK: Goal 1, Financially Sound City and Principle D, Financially Responsible Manner – Maintaining a responsible level of debt consistent with city financial policies and national standards

BACKGROUND: The City of Port St. Lucie requires Underwriting Services with each bond issue. Therefore, the City issued a Request for Qualifications on November 6, 2015. During the advertisement period, five hundred eighteen (518) suppliers were notified, and twelve (12) of those downloaded documents and seven (7) submitted proposals. One firm was non-responsive. The evaluation committee met in a public meeting on December 10th, 2015, to review and discuss their individual scores. After a brief discussion regarding the points, the committee members determined to keep their individual scores as their group scores and that presentations were not necessary.

ANALYSIS: The committee reviewed the qualifications and experience of each firm. The committee was also provided a 3rd party review from the City's Financial Advisor, Ed Stull. All the firms that submitted proposals were qualified and had the capacity to perform the services needed by the City. The intent of the proposal was to pre-qualify a group of firms that will be used to select from when the City requires underwriting services. Each type of bond issue requires a specific client base and different skills. Therefore, with each bond issue each pre-qualitied firm will be contacted to provide a proposal. This process is a competitive process that will provide the City with the information to select the firm that will provide the best value to the City per each bond issue. The committee selected the four (4) topped scored firms.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: Reviewed by Ella Gilbert on 11/12/15 and approved as to form.

STAFF RECOMMENDATION: Approve firms selected by the evaluation committee and enter into contracts with Citigroup Global Markets, Inc., Merrill Lynch, Pierce, Fenner & Smith Incorporated, RBC Capital Markets, LLC, and Wells Fargo Bank, N.A. Municipal Products Group, for the pre-qualified firms for the Underwriting Services for a five (5) year term with a renewal of the same term. Fees and costs will be negotiated with the selected firm for each Bond Agreement.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: Five (5) minutes

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: N/A

ATTACHMENTS: Contracts, (Audio included in cd format), Evaluation Group Score Totals, Third party review, copies of winning firm Bid response, RFQ documents, Addenda, Bid Details, Attendance sheets, Evaluation Guidelines, Participation Agreements, Individual Evaluator Scores, Unsuccessful firms' Bid responses

*All the attached items have been sent to the City Clerk. Any items not provided in the City Council packets are available upon request from the City Clerk.

RECEIVED

DEC 2 9 2015

City Manager's Office

CITY OF PORT SAINT LUCIE
CONTRACT #20160020

This CONTRACT, executed this ____ day of _____, 20__ by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, Citigroup Global Markets Inc., 390 Greenwich Street, Second Floor, New York, NY, 10013, Telephone No. 80. 227 2057, Fax No. 813 229 2057, hereinafter called "Consultant", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Consultant has agreed to perform pursuant to E-RFQ #20160020, UNDERWRITING SERVICES, in accordance with the RFQ, Contract documents and all addenda. . A Request for Proposal will be advertised with each bond issue to all firms on the pre-qualified list to submit a proposal.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email (with receipt confirmed), or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 7390 Fax 772 871 7337
Email: Cherylsh@cityofpsl.com

City Project Manager: Edwin M. Fry, Jr.
Finance Director/City Treasurer
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 5191
Email: efry@cityofpsl.com

Consultant: Kevin Dempsey
Citigroup Global Markets, Inc.
100 N. Tampa Street, Suite 3750
Tampa, FL 33602
Telephone: 813 227 2057 Fax 813 229 0286
eMail: kevin.dempsey@citi.com

Scope of Professional Services

The Underwriter(s) will assist the City in the structuring, marketing and sale of negotiated sales of bonds to meet the financing requirements of the specific financing. A Request for Proposal will be advertised with each bond issue to all firms on the pre-qualified list to submit a proposal. Services expected of the selected Underwriter(s) will include, but not be limited to, the following:

1. Assist in the development, planning, and structuring of the City's bond issues.

2. Assist in the review and preparation of legal documents to result in a completed financing that is in the best interests of the City.

3. Marketing and underwriting of the bonds. The City will expect selected Underwriter(s) to recommend a marketing plan that result in the most favorable bond terms to the City, and to prepare a pre-pricing analysis document for timely discussion prior to the bond sale. A marketing plan would address current outstanding market conditions. The marketing plan would also address the merits of retail versus institutional sale, given market preferences at the time of sale.

4. Analyze and advise as to the security structure for bonds, including credit enhancement, and ratings, depending on the financing.

5. Assist in preparation of the Official Statement, Continuing Disclosure Agreement and other necessary bond disclosure documents.

6. Participate in meetings as requested, including due diligence and presentations to the City.

SECTION II
TIME OF PERFORMANCE

Contract period shall start January, 18, 2016, and terminate January 17, 2021, an initial period of five (5) years with a renewal as per Section III. Each individual financing awarded from this RFQ will enter into a separate written contract that will define the time of performance relative to the RFP. In the event all work required in the proposal specifications has not been completed by the specified date, the Consultant agrees to provide work, at no additional cost to the City as authorized by the Contract Supervisor until all work specified in the proposal specifications has been rendered.

SECTION III
RENEWAL OPTION

The City may renew this Contract to provide the identical services for one (1) additional five (5) year term, if the City agrees that said services are required, then the City, without additional bidding, negotiation or City Council approval may extend this Contract.

NOTE: Consultant may exercise the option to renew by submitting a written submission three (3) months prior to the termination of the Contract period.

SECTION IV
COMPENSATION

Fee Structure will be negotiated with selected firm from each individual RFP.

The total amount to be paid by the City to the Consultant will be based on the fees as provided with each Request for Qualifications per financial issue. The City will pay to the Consultant a one-time $10.00 indemnification fee that will indemnify the City for all proposals awarded from this RFQ. The City will not pay for out-of-pocket expenses including, but not limited to, office & utilities, sub consultants fees, travel or any reimbursable expense. There will be no additional amount paid for reimbursable expenses.

The Consultant shall not be paid additional compensation for any loss or damage, arising out of the nature of the work, from the action of the elements, or from any delay or unforeseen obstruction or difficulties encountered in the performance of the work, or for any expenses incurred by or in consequence of the suspension or discontinuance of the work.

Fixed fee is to include all reimbursables, including travel, meals, copies and so forth.

SECTION V
CONFORMANCE WITH PROPOSAL

It is understood that the materials and/or work required herein are in accordance with the proposal made by the Consultant pursuant to the Request for Qualifications and Specifications on file in the Procurement Management Department of the City. All documents submitted by the Consultant in relation to said proposal, and all documents promulgated by the City for inviting proposals are, by reference, made a part hereof as if set forth herein in full.

SECTION VI
INDEMNIFICATION/INSURANCE

The Consultant agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Consultant and persons employed or utilized by the Consultant in the performance of the construction contract. As consideration for this indemnity provision the Consultant shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work. This indemnification shall survive the termination of this Contract. Nothing contained in this paragraph is intended to nor shall it constitute a waiver of the City's sovereign immunity.

The Consultant shall, on a primary basis and at its sole expense, agree to maintain in full force and effect at all times during the life of this Contract, insurance coverage, limits, including endorsements, as described herein. The requirements contained herein, as well as City's review or acceptance of insurance

maintained by Consultant are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by Consultant under the Contract.

The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

The Consultant shall agree to maintain Workers' Compensation Insurance & Employers' Liability in accordance with Section 440, Florida Statutes. Employers' Liability and must include limits of at least $100,000.00 each accident, $100,000.00 each disease/employee, $500,000.00 each disease/maximum. A Waiver of Subrogation endorsement shall be provided. Coverage shall apply on a primary basis. Should scope of work performed by Consultant qualify its employee for benefits under Federal Workers' Compensation Statute (example, U.S. Longshore & Harbor Workers Act or Merchant Marine Act), proof of appropriate Federal Act coverage must be provided.

Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
Personal/advertising injury	$1,000,000
Products/completed operations aggregate	$2,000,000
General aggregate	$2,000,000
Fire damage	$100,000 any 1 fire
Medical expense	$10,000 any 1 person

An Additional Insured endorsement **must** be attached to the certificate of insurance, CG2026, under the General Liability policy. Coverage is to be written on an occurrence form basis and shall apply as primary. A per project aggregate limit endorsement should be attached. Defense costs are to be in addition to the limit of liability. A waiver of subrogation shall be provided in favor of the City. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interest's provision as provided under the standard ISO form separation of insurer's clause.

The Consultant shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Consultant does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Consultant to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation shall be provided. Coverage shall apply on a primary basis.

Consultant shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Consultant's

most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Consultant warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this Contract, Consultant shall agree to purchase a SERP with a minimum reporting period not less than three (3) years. If policy contains an exclusion for dishonest or criminal acts, defense coverage for the same shall be provided.

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read **"City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents and shall include Contract # 20160020-Underwriting Services"**. The Certificate of Insurance and policy shall be specifically endorsed to provide thirty (30) day written notice to the City prior to any adverse changes, cancellation, or non-renewal of coverage thereunder. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Consultant shall be required, upon thirty (30) days written notice by the City, to provide coverage at least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage shall be attached to the Certificate of Insurance. All independent Consultants and sub consultants utilized in this project shall furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

The Consultant shall agree by entering into this Contract to a Waiver of Subrogation for each required policy. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Consultant shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Consultant enter into such a Contract on a pre-loss basis.

It shall be the responsibility of the Consultant to ensure that all subconsultants comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Consultant for any and all claims under this Contract.

The Consultant may satisfy the minimum limits required above for either Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for either Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability is written on Non-Follow Form," the City shall be endorsed as an "Additional Insured."

The City, by and through its Risk Management Department, reserves the right to, but not obligation, to review and reject any insurer providing coverage.

A failure on the part of the Consultant to execute the contract and/or punctually deliver the required insurance certificates and other documentation may be cause for annulment of the award.

SECTION VII

PROHIBITION AGAINST FILING OR MAINTAINING LIENS AND SUITS

Subject to the laws of the State of Florida and of the United States, neither Consultant nor any sub consultants, supplier of materials, laborer or other person shall file or maintain any lien for labor or materials delivered in the performance of this Contract against the City. The right to maintain such lien for any or all of the above parties is hereby expressly waived.

SECTION VIII
WORK CHANGES

Not Applicable

SECTION IX
COMPLIANCE WITH LAWS

The Consultant shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Consultant will comply with all requirements of 28 C.F.R. § 35.151. Consultants and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Consultant and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Consultant in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

SECTION X
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFQ herein referenced, the terms of this Contract and RFQ herein referenced shall apply.

SECTION XI
LICENSING

Consultant warrants that he possesses all licenses and certificates necessary to perform the required work and is not in violation of any laws. Consultant warrants that his license and certificates are current and will be maintained throughout the duration of the Contract.

SECTION XII
SAFETY PRECAUTIONS

Precaution shall be exercised at all times for the protection of persons, including employees, and property. All plans and construction must be ADA compliant. The safety provisions of all applicable laws and building and construction codes shall be observed

SECTION XIII
ASSIGNMENT

Consultant shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

SECTION XIV
TERMINATION

If the Consultant refuses or fails to prosecute the work with such diligence as will insure its completion within the time specified in this Contract, or as may be modified in accordance with this Contract, the City by written notice to the Consultant, may terminate Consultant's rights to proceed. On such termination, the City may take over the work and prosecute the same to completion, by contract or otherwise, and the Consultant and his sureties shall be liable, jointly and severally to the City for any additional cost incurred by it in its completion of the work.

The City may terminate this Contract with or without cause by giving the Consultant thirty (30) days' notice in writing. Upon delivery of said notice the Consultant shall discontinue all services in connection with the performance of this Contract and shall proceed to promptly cancel all related existing third party contracts. Termination of the Contract by the City pursuant to this paragraph shall terminate all of the City's obligations hereunder. In the event of termination, the Consultant will be paid for all services rendered to the dtae of termination.

SECTION XV
LAW AND VENUE

This Contract is to be construed as though made in and to be performed in the State of Florida and is to be governed by the laws of Florida in all respects without reference to the laws of any other state or nation. The venue of any action taken pursuant to this Contract shall be in St. Lucie County, Florida.

SECTION XVI
APPROPRIATION APPROVAL

The Consultant acknowledges that the City of Port St Lucie's performance and obligation to pay under this Contract is contingent upon an annual appropriation by the City Council. The Consultant agrees that, in the event such appropriation is not forthcoming, this Contract may be terminated by the City and that no charges, penalties or other costs shall be assessed.

SECTION XVII
ENTIRE AGREEMENT

The written terms and provisions of this Contract shall supersede any and all prior verbal or written statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this Contract or Contract documents.

SECTION XVIII
TRUTH-IN-NEGOTIATIONS

N/A

SECTION XIX
CONFLICT OF INTEREST

The City hereby acknowledges that the Consultant may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other Cities, the Consultant shall terminate its relationship with the other City to resolve the conflict of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Consultant shall disclose all of its Treasure Coast Cities and related Scope of Work.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

The Consultant warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Consultant to solicit or secure this Contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm, other than a bona fide employee working solely for the Consultant any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this Contract.

(Balance of Page Left Blank)

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of Citigroup Global Markets Inc.)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 2016.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

CITY OF PORT SAINT LUCIE
CONTRACT #20160020

This CONTRACT, executed this ____ day of _____, 20__ by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, Merrill Lynch, Pierce, Fenner & Smith Inc., 150 N. College Street, NC1-028=17-06, Charlotte, NC, 28255, Telephone No. 305 468 4336, Fax No. 232 909 9261, hereinafter called "Consultant", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Consultant has agreed to perform pursuant to E-RFQ #20160020, UNDERWRITING SERVICES, in accordance with the RFQ, Contract documents and all addenda. . A Request for Proposal will be advertised with each bond issue to all firms on the pre-qualified list to submit a proposal.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email (with receipt confirmed), or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 7390 Fax 772 871 7337
Email: Cheryls@cityofpsl.com

City Project Manager: Edwin M. Fry, Jr.
Finance Director/City Treasurer
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 5191
Email: efry@cityofpsl.com

Consultant: Jose Pagan, Managing Director
Public Finance Southeast Region
Merrill Lynch, Pierce, Fenner & Smith Inc.
355 Alhambra Circle, Suite 1360
Coral Gables, FL 33134
Telephone: 305 468 4336 Fax 212 909 9161

eMail: jose.pagan@baml.com

Coleman Cordell, Managing Director
Head of Florida Public Finance
Merrill Lynch, Pierce, Fenner & Smith Inc.
250 South Park Ave., Suite 400
Winter Park, FL 32789
Telephone: 321 527 7816 Fax 212 293 8111
eMail: coleman.w.cordell@baml.com

Scope of Professional Services

The Underwriter(s) will assist the City in the structuring, marketing and sale of negotiated sales of bonds to meet the financing requirements of the specific financing. A Request for Proposal will be advertised with each bond issue to all firms on the pre-qualified list to submit a proposal. Services expected of the selected Underwriter(s) will include, but not be limited to, the following:

1. Assist in the development, planning, and structuring of the City's bond issues.

2. Assist in the review and preparation of legal documents to result in a completed financing that is in the best interests of the City.

3. Marketing and underwriting of the bonds. The City will expect selected Underwriter(s) to recommend a marketing plan that result in the most favorable bond terms to the City, and to prepare a pre-pricing analysis document for timely discussion prior to the bond sale. A marketing plan would address current outstanding market conditions. The marketing plan would also address the merits of retail versus institutional sale, given market preferences at the time of sale.

4. Analyze and advise as to the security structure for bonds, including credit enhancement, and ratings, depending on the financing.

5. Assist in preparation of the Official Statement, Continuing Disclosure Agreement and other necessary bond disclosure documents.

6. Participate in meetings as requested, including due diligence and presentations to the City.

SECTION II
TIME OF PERFORMANCE

Contract period shall start January, 18, 2016, and terminate January 17, 2021, an initial period of five (5) years with a renewal as per Section III. Each individual financing awarded from this RFQ will enter into a separate written contract that will define the time of performance relative to the RFP. In the event all work required in the proposal specifications has not been completed by the specified date, the Consultant agrees to provide work, at no additional cost to the City as authorized by the Contract Supervisor until all work specified in the proposal specifications has been rendered.

SECTION III
RENEWAL OPTION

The City may renew this Contract to provide the identical services for one (1) additional five (5) year term, if the City agrees that said services are required, then the City, without additional bidding, negotiation or City Council approval may extend this Contract.

NOTE: Consultant may exercise the option to renew by submitting a written submission three (3) months prior to the termination of the Contract period.

SECTION IV
COMPENSATION

Fee Structure will be negotiated with selected firm from each individual RFP.

The total amount to be paid by the City to the Consultant will be based on the fees as provided with each Request for Qualifications per financial issue. The City will pay to the Consultant a one-time $10.00 indemnification fee that will indemnify the City for all proposals awarded from this RFQ. The City will not pay for out-of-pocket expenses including, but not limited to, office & utilities, sub consultants fees, travel or any reimbursable expense. There will be no additional amount paid for reimbursable expenses.

The Consultant shall not be paid additional compensation for any loss or damage, arising out of the nature of the work, from the action of the elements, or from any delay or unforeseen obstruction or difficulties encountered in the performance of the work, or for any expenses incurred by or in consequence of the suspension or discontinuance of the work.

Fixed fee is to include all reimbursables, including travel, meals, copies and so forth.

SECTION V
CONFORMANCE WITH PROPOSAL

It is understood that the materials and/or work required herein are in accordance with the proposal made by the Consultant pursuant to the Request for Qualifications and Specifications on file in the Procurement Management Department of the City. All documents submitted by the Consultant in relation to said proposal, and all documents promulgated by the City for inviting proposals are, by reference, made a part hereof as if set forth herein in full.

SECTION VI
INDEMNIFICATION/INSURANCE

The Consultant agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Consultant and

persons employed or utilized by the Consultant in the performance of the construction contract. As consideration for this indemnity provision the Consultant shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work. This indemnification shall survive the termination of this Contract. Nothing contained in this paragraph is intended to nor shall it constitute a waiver of the City's sovereign immunity.

The Consultant shall, on a primary basis and at its sole expense, agree to maintain in full force and effect at all times during the life of this Contract, insurance coverage, limits, including endorsements, as described herein. The requirements contained herein, as well as City's review or acceptance of insurance maintained by Consultant are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by Consultant under the Contract.

The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

The Consultant shall agree to maintain Workers' Compensation Insurance & Employers' Liability in accordance with Section 440, Florida Statutes. Employers' Liability and must include limits of at least $100,000.00 each accident, $100,000.00 each disease/employee, $500,000.00 each disease/maximum. A Waiver of Subrogation endorsement shall be provided. Coverage shall apply on a primary basis. Should scope of work performed by Consultant qualify its employee for benefits under Federal Workers' Compensation Statute (example, U.S. Longshore & Harbor Workers Act or Merchant Marine Act), proof of appropriate Federal Act coverage must be provided.

Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
Personal/advertising injury	$1,000,000
Products/completed operations aggregate	$2,000,000
General aggregate	$2,000,000
Fire damage	$100,000 any 1 fire
Medical expense	$10,000 any 1 person

An Additional Insured endorsement **must** be attached to the certificate of insurance, CG2026, under the General Liability policy. Coverage is to be written on an occurrence form basis and shall apply as primary. A per project aggregate limit endorsement should be attached. Defense costs are to be in addition to the limit of liability. A waiver of subrogation shall be provided in favor of the City. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interest's provision as provided under the standard ISO form separation of insurer's clause.

The Consultant shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Consultant does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Consultant to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation shall be provided. Coverage shall apply on a primary basis.

Consultant shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Consultant's most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Consultant warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this Contract, Consultant shall agree to purchase a SERP with a minimum reporting period not less than three (3) years. If policy contains an exclusion for dishonest or criminal acts, defense coverage for the same shall be provided.

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read **"City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents and shall include Contract # 20160020-Underwriting Services"**. The Certificate of Insurance and policy shall be specifically endorsed to provide thirty (30) day written notice to the City prior to any adverse changes, cancellation, or non-renewal of coverage thereunder. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Consultant shall be required, upon thirty (30) days written notice by the City, to provide coverage at least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage shall be attached to the Certificate of Insurance. All independent Consultants and sub consultants utilized in this project shall furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

The Consultant shall agree by entering into this Contract to a Waiver of Subrogation for each required policy. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Consultant shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Consultant enter into such a Contract on a pre-loss basis.

It shall be the responsibility of the Consultant to ensure that all subconsultants comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Consultant for any and all claims under this Contract.

The Consultant may satisfy the minimum limits required above for either Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for either Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability is written on Non-Follow Form," the City shall be endorsed as an "Additional Insured."

The City, by and through its Risk Management Department, reserves the right to, but not obligation, to review and reject any insurer providing coverage.

A failure on the part of the Consultant to execute the contract and/or punctually deliver the required insurance certificates and other documentation may be cause for annulment of the award.

SECTION VII

PROHIBITION AGAINST FILING OR MAINTAINING LIENS AND SUITS

Subject to the laws of the State of Florida and of the United States, neither Consultant nor any sub consultants, supplier of materials, laborer or other person shall file or maintain any lien for labor or materials delivered in the performance of this Contract against the City. The right to maintain such lien for any or all of the above parties is hereby expressly waived.

SECTION VIII
WORK CHANGES

Not Applicable

SECTION IX
COMPLIANCE WITH LAWS

The Consultant shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Consultant will comply with all requirements of 28 C.F.R. § 35.151. Consultants and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Consultant and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Consultant in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

SECTION X
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFQ herein referenced, the terms of this Contract and RFQ herein referenced shall apply.

SECTION XI
LICENSING

Consultant warrants that he possesses all licenses and certificates necessary to perform the required work and is not in violation of any laws. Consultant warrants that his license and certificates are current and will be maintained throughout the duration of the Contract.

SECTION XII
SAFETY PRECAUTIONS

Precaution shall be exercised at all times for the protection of persons, including employees, and property. All plans and construction must be ADA compliant. The safety provisions of all applicable laws and building and construction codes shall be observed

SECTION XIII
ASSIGNMENT

Consultant shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

SECTION XIV
TERMINATION

If the Consultant refuses or fails to prosecute the work with such diligence as will insure its completion within the time specified in this Contract, or as may be modified in accordance with this Contract, the City by written notice to the Consultant, may terminate Consultant's rights to proceed. On such termination, the City may take over the work and prosecute the same to completion, by contract or otherwise, and the Consultant and his sureties shall be liable, jointly and severally to the City for any additional cost incurred by it in its completion of the work.

The City may terminate this Contract with or without cause by giving the Consultant thirty (30) days' notice in writing. Upon delivery of said notice the Consultant shall discontinue all services in connection with the performance of this Contract and shall proceed to promptly cancel all related existing third party contracts. Termination of the Contract by the City pursuant to this paragraph shall terminate all of the City's obligations hereunder. In the event of termination, the Consultant will be paid for all services rendered to the dtae of termination.

SECTION XV
LAW AND VENUE

This Contract is to be construed as though made in and to be performed in the State of Florida and is to be governed by the laws of Florida in all respects without reference to the laws of any other state or nation. The venue of any action taken pursuant to this Contract shall be in St. Lucie County, Florida.

SECTION XVI
APPROPRIATION APPROVAL

The Consultant acknowledges that the City of Port St Lucie's performance and obligation to pay under this Contract is contingent upon an annual appropriation by the City Council. The Consultant agrees that, in the event such appropriation is not forthcoming, this Contract may be terminated by the City and that no charges, penalties or other costs shall be assessed.

SECTION XVII
ENTIRE AGREEMENT

The written terms and provisions of this Contract shall supersede any and all prior verbal or written statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this Contract or Contract documents.

SECTION XVIII
TRUTH-IN-NEGOTIATIONS

N/A

SECTION XIX
CONFLICT OF INTEREST

The City hereby acknowledges that the Consultant may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other Cities, the Consultant shall terminate its relationship with the other City to resolve the conflict of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Consultant shall disclose all of its Treasure Coast Cities and related Scope of Work.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

The Consultant warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Consultant to solicit or secure this Contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm, other than a bona fide employee working solely for the Consultant any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this Contract.

(Balance of Page Left Blank)

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of Merrill Lynch, Pierce, Fenner & Smith Inc.)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 2016.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

CITY OF PORT SAINT LUCIE
CONTRACT #20160020

This CONTRACT, executed this ____ day of _____, 20__ by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, RBC Capital Markets, LLC., 30 Hudson Street, Jersey City, NJ 07302, Telephone No. 727 953 1072, Fax No. 727 953 1077, hereinafter called "Consultant", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Consultant has agreed to perform pursuant to E-RFQ #20160020, UNDERWRITING SERVICES, in accordance with the RFQ, Contract documents and all addenda. . A Request for Proposal will be advertised with each bond issue to all firms on the pre-qualified list to submit a proposal.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email (with receipt confirmed), or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
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Email: efry@cityofpsl.com

Consultant: Nate Eckloff, Managing Director
Wes Bradish, Vice President
RBC Capital Markets, LLC
3801 PGA Blvd. Suite 801
Palm Beach Gardens, Florida 33410-6558
Telephone: 561 691 5314 Fax 303 595 1221
eMail: nate.eckloff@rboom.com wesley.bradish@rboom.com

Scope of Professional Services

The Underwriter(s) will assist the City in the structuring, marketing and sale of negotiated sales of bonds to meet the financing requirements of the specific financing. A Request for Proposal will be advertised with each bond issue to all firms on the pre-qualified list to submit a proposal. Services expected of the selected Underwriter(s) will include, but not be limited to, the following:

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SECTION II
TIME OF PERFORMANCE

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SECTION III
RENEWAL OPTION

The City may renew this Contract to provide the identical services for one (1) additional five (5) year term, if the City agrees that said services are required, then the City, without additional bidding, negotiation or City Council approval may extend this Contract.

NOTE: Consultant may exercise the option to renew by submitting a written submission three (3) months prior to the termination of the Contract period.

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COMPENSATION

Fee Structure will be negotiated with selected firm from each individual RFP.

The total amount to be paid by the City to the Consultant will be based on the fees as provided with each Request for Qualifications per financial issue. The City will pay to the Consultant a one-time $10.00 indemnification fee that will indemnify the City for all proposals awarded from this RFQ. The City will not pay for out-of-pocket expenses including, but not limited to, office & utilities, sub consultants fees, travel or any reimbursable expense. There will be no additional amount paid for reimbursable expenses.

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The Consultant agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Consultant and persons employed or utilized by the Consultant in the performance of the construction contract. As consideration for this indemnity provision the Consultant shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work. This indemnification shall survive the termination of this Contract. Nothing contained in this paragraph is intended to nor shall it constitute a waiver of the City's sovereign immunity.

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The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

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Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
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General aggregate	$2,000,000
Fire damage	$100,000 any 1 fire
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An Additional Insured endorsement **must** be attached to the certificate of insurance, CG2026, under the General Liability policy. Coverage is to be written on an occurrence form basis and shall apply as primary. A per project aggregate limit endorsement should be attached. Defense costs are to be in addition to the limit of liability. A waiver of subrogation shall be provided in favor of the City. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interest's provision as provided under the standard ISO form separation of insurer's clause.

The Consultant shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Consultant does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Consultant to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation shall be provided. Coverage shall apply on a primary basis.

Consultant shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible

exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Consultant's most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Consultant warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this Contract, Consultant shall agree to purchase a SERP with a minimum reporting period not less than three (3) years. If policy contains an exclusion for dishonest or criminal acts, defense coverage for the same shall be provided.

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read **"City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents and shall include Contract # 20160020-Underwriting Services"**. The Certificate of Insurance and policy shall be specifically endorsed to provide thirty (30) day written notice to the City prior to any adverse changes, cancellation, or non-renewal of coverage thereunder. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Consultant shall be required, upon thirty (30) days written notice by the City, to provide coverage at least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage shall be attached to the Certificate of Insurance. All independent Consultants and sub consultants utilized in this project shall furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

The Consultant shall agree by entering into this Contract to a Waiver of Subrogation for each required policy. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Consultant shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Consultant enter into such a Contract on a pre-loss basis.

It shall be the responsibility of the Consultant to ensure that all subconsultants comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Consultant for any and all claims under this Contract.

The Consultant may satisfy the minimum limits required above for either Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for either Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability is written on Non-Follow Form," the City shall be endorsed as an "Additional Insured."

The City, by and through its Risk Management Department, reserves the right to, but not obligation, to review and reject any insurer providing coverage.

A failure on the part of the Consultant to execute the contract and/or punctually deliver the required insurance certificates and other documentation may be cause for annulment of the award.

SECTION VII
PROHIBITION AGAINST FILING OR MAINTAINING LIENS AND SUITS

Subject to the laws of the State of Florida and of the United States, neither Consultant nor any sub consultants, supplier of materials, laborer or other person shall file or maintain any lien for labor or materials delivered in the performance of this Contract against the City. The right to maintain such lien for any or all of the above parties is hereby expressly waived.

SECTION VIII
WORK CHANGES

Not Applicable

SECTION IX
COMPLIANCE WITH LAWS

The Consultant shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Consultant will comply with all requirements of 28 C.F.R. § 35.151. Consultants and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Consultant and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Consultant in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

SECTION X
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFQ herein referenced, the terms of this Contract and RFQ herein referenced shall apply.

SECTION XI
LICENSING

Consultant warrants that he possesses all licenses and certificates necessary to perform the required work and is not in violation of any laws. Consultant warrants that his license and certificates are current and will be maintained throughout the duration of the Contract.

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SAFETY PRECAUTIONS

Precaution shall be exercised at all times for the protection of persons, including employees, and property. All plans and construction must be ADA compliant. The safety provisions of all applicable laws and building and construction codes shall be observed

SECTION XIII
ASSIGNMENT

Consultant shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

SECTION XIV
TERMINATION

If the Consultant refuses or fails to prosecute the work with such diligence as will insure its completion within the time specified in this Contract, or as may be modified in accordance with this Contract, the City by written notice to the Consultant, may terminate Consultant's rights to proceed. On such termination, the City may take over the work and prosecute the same to completion, by contract or otherwise, and the Consultant and his sureties shall be liable, jointly and severally to the City for any additional cost incurred by it in its completion of the work.

The City may terminate this Contract with or without cause by giving the Consultant thirty (30) days' notice in writing. Upon delivery of said notice the Consultant shall discontinue all services in connection with the performance of this Contract and shall proceed to promptly cancel all related existing third party contracts. Termination of the Contract by the City pursuant to this paragraph shall terminate all of the City's obligations hereunder. In the event of termination, the Consultant will be paid for all services rendered to the dtae of termination.

SECTION XV
LAW AND VENUE

This Contract is to be construed as though made in and to be performed in the State of Florida and is to be governed by the laws of Florida in all respects without reference to the laws of any other state or nation. The venue of any action taken pursuant to this Contract shall be in St. Lucie County, Florida.

SECTION XVI
APPROPRIATION APPROVAL

The Consultant acknowledges that the City of Port St Lucie's performance and obligation to pay under this Contract is contingent upon an annual appropriation by the City Council. The Consultant agrees that, in the event such appropriation is not forthcoming, this Contract may be terminated by the City and that no charges, penalties or other costs shall be assessed.

SECTION XVII
ENTIRE AGREEMENT

The written terms and provisions of this Contract shall supersede any and all prior verbal or written statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this Contract or Contract documents.

SECTION XVIII
TRUTH-IN-NEGOTIATIONS

N/A

SECTION XIX
CONFLICT OF INTEREST

The City hereby acknowledges that the Consultant may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other Cities, the Consultant shall terminate its relationship with the other City to resolve the conflict of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Consultant shall disclose all of its Treasure Coast Cities and related Scope of Work.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

The Consultant warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Consultant to solicit or secure this Contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm, other than a bona fide employee working solely for the Consultant any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this Contract.

(Balance of Page Left Blank)

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of RBC Capital Markets, LLC)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 2016.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

CITY OF PORT SAINT LUCIE
CONTRACT #20160020

This CONTRACT, executed this ____ day of _____, 20__ by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, Wells Fargo Bank, N.A., 90 South 7th Street, MAC N9305-164, Minneapolis, Mn 55402-3903, Telephone No. 727 953 1072, Fax No. 727 953 1077, hereinafter called "Consultant", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Consultant has agreed to perform pursuant to E-RFQ #20160020, UNDERWRITING SERVICES, in accordance with the RFQ, Contract documents and all addenda. . A Request for Proposal will be advertised with each bond issue to all firms on the pre-qualified list to submit a proposal.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email (with receipt confirmed), or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 7390 Fax 772 871 7337
Email: Cheryls@cityofpsl.com

City Project Manager: Edwin M. Fry, Jr.
Finance Director/City Treasurer
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 5191
Email: efry@cityofpsl.com

Consultant: J. Michael Olliff
Wells Fargo Bank, N.A. Municipal Products Group
2363 Gulf to Bay Blvd. Suite 200
Clearwater, Florida 33765
Telephone: 727 953 1072 Fax 727 953 1077
eMail: Michael.olliff@wellsfargo.com

Scope of Professional Services

The Underwriter(s) will assist the City in the structuring, marketing and sale of negotiated sales of bonds to meet the financing requirements of the specific financing. A Request for Proposal will be advertised with each bond issue to all firms on the pre-qualified list to submit a proposal. Services expected of the selected Underwriter(s) will include, but not be limited to, the following:

1. Assist in the development, planning, and structuring of the City's bond issues.

2. Assist in the review and preparation of legal documents to result in a completed financing that is in the best interests of the City.

3. Marketing and underwriting of the bonds. The City will expect selected Underwriter(s) to recommend a marketing plan that result in the most favorable bond terms to the City, and to prepare a pre-pricing analysis document for timely discussion prior to the bond sale. A marketing plan would address current outstanding market conditions. The marketing plan would also address the merits of retail versus institutional sale, given market preferences at the time of sale.

4. Analyze and advise as to the security structure for bonds, including credit enhancement, and ratings, depending on the financing.

5. Assist in preparation of the Official Statement, Continuing Disclosure Agreement and other necessary bond disclosure documents.

6. Participate in meetings as requested, including due diligence and presentations to the City.

SECTION II
TIME OF PERFORMANCE

Contract period shall start January, 18, 2016, and terminate January 17, 2021, an initial period of five (5) years with a renewal as per Section III. Each individual financing awarded from this RFQ will enter into a separate written contract that will define the time of performance relative to the RFP. In the event all work required in the proposal specifications has not been completed by the specified date, the Consultant agrees to provide work, at no additional cost to the City as authorized by the Contract Supervisor until all work specified in the proposal specifications has been rendered.

SECTION III
RENEWAL OPTION

The City may renew this Contract to provide the identical services for one (1) additional five (5) year term, if the City agrees that said services are required, then the City, without additional bidding, negotiation or City Council approval may extend this Contract.

NOTE: Consultant may exercise the option to renew by submitting a written submission three (3) months prior to the termination of the Contract period.

SECTION IV
COMPENSATION

Fee Structure will be negotiated with selected firm from each individual RFP.

The total amount to be paid by the City to the Consultant will be based on the fees as provided with each Request for Qualifications per financial issue. The City will pay to the Consultant a one-time $10.00 indemnification fee that will indemnify the City for all proposals awarded from this RFQ. The City will not pay for out-of-pocket expenses including, but not limited to, office & utilities, sub consultants fees, travel or any reimbursable expense. There will be no additional amount paid for reimbursable expenses.

The Consultant shall not be paid additional compensation for any loss or damage, arising out of the nature of the work, from the action of the elements, or from any delay or unforeseen obstruction or difficulties encountered in the performance of the work, or for any expenses incurred by or in consequence of the suspension or discontinuance of the work.

Fixed fee is to include all reimbursables, including travel, meals, copies and so forth.

SECTION V
CONFORMANCE WITH PROPOSAL

It is understood that the materials and/or work required herein are in accordance with the proposal made by the Consultant pursuant to the Request for Qualifications and Specifications on file in the Procurement Management Department of the City. All documents submitted by the Consultant in relation to said proposal, and all documents promulgated by the City for inviting proposals are, by reference, made a part hereof as if set forth herein in full.

SECTION VI
INDEMNIFICATION/INSURANCE

The Consultant agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Consultant and persons employed or utilized by the Consultant in the performance of the construction contract. As consideration for this indemnity provision the Consultant shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work. This indemnification shall survive the termination of this Contract. Nothing contained in this paragraph is intended to nor shall it constitute a waiver of the City's sovereign immunity.

The Consultant shall, on a primary basis and at its sole expense, agree to maintain in full force and effect at all times during the life of this Contract, insurance coverage, limits, including endorsements, as

described herein. The requirements contained herein, as well as City's review or acceptance of insurance maintained by Consultant are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by Consultant under the Contract.

The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

The Consultant shall agree to maintain Workers' Compensation Insurance & Employers' Liability in accordance with Section 440, Florida Statutes. Employers' Liability and must include limits of at least $100,000.00 each accident, $100,000.00 each disease/employee, $500,000.00 each disease/maximum. A Waiver of Subrogation endorsement shall be provided. Coverage shall apply on a primary basis. Should scope of work performed by Consultant qualify its employee for benefits under Federal Workers' Compensation Statute (example, U.S. Longshore & Harbor Workers Act or Merchant Marine Act), proof of appropriate Federal Act coverage must be provided.

Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
Personal/advertising injury	$1,000,000
Products/completed operations aggregate	$2,000,000
General aggregate	$2,000,000
Fire damage	$100,000 any 1 fire
Medical expense	$10,000 any 1 person

An Additional Insured endorsement **must** be attached to the certificate of insurance, CG2026, under the General Liability policy. Coverage is to be written on an occurrence form basis and shall apply as primary. A per project aggregate limit endorsement should be attached. Defense costs are to be in addition to the limit of liability. A waiver of subrogation shall be provided in favor of the City. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interest's provision as provided under the standard ISO form separation of insurer's clause.

The Consultant shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Consultant does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Consultant to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation shall be provided. Coverage shall apply on a primary basis.

Consultant shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible

exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Consultant's most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Consultant warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this Contract, Consultant shall agree to purchase a SERP with a minimum reporting period not less than three (3) years. If policy contains an exclusion for dishonest or criminal acts, defense coverage for the same shall be provided.

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read **"City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents and shall include Contract # 20160020-Underwriting Services"**. The Certificate of Insurance and policy shall be specifically endorsed to provide thirty (30) day written notice to the City prior to any adverse changes, cancellation, or non-renewal of coverage thereunder. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Consultant shall be required, upon thirty (30) days written notice by the City, to provide coverage at least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage shall be attached to the Certificate of Insurance. All independent Consultants and sub consultants utilized in this project shall furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

The Consultant shall agree by entering into this Contract to a Waiver of Subrogation for each required policy. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Consultant shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Consultant enter into such a Contract on a pre-loss basis.

It shall be the responsibility of the Consultant to ensure that all subconsultants comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Consultant for any and all claims under this Contract.

The Consultant may satisfy the minimum limits required above for either Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for either Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability is written on Non-Follow Form," the City shall be endorsed as an "Additional Insured."

The City, by and through its Risk Management Department, reserves the right to, but not obligation, to review and reject any insurer providing coverage.

A failure on the part of the Consultant to execute the contract and/or punctually deliver the required insurance certificates and other documentation may be cause for annulment of the award.

SECTION VII
PROHIBITION AGAINST FILING OR MAINTAINING LIENS AND SUITS

Subject to the laws of the State of Florida and of the United States, neither Consultant nor any sub consultants, supplier of materials, laborer or other person shall file or maintain any lien for labor or materials delivered in the performance of this Contract against the City. The right to maintain such lien for any or all of the above parties is hereby expressly waived.

SECTION VIII
WORK CHANGES

Not Applicable

SECTION IX
COMPLIANCE WITH LAWS

The Consultant shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Consultant will comply with all requirements of 28 C.F.R. § 35.151. Consultants and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Consultant and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Consultant in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

SECTION X
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFQ herein referenced, the terms of this Contract and RFQ herein referenced shall apply.

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Consultant warrants that he possesses all licenses and certificates necessary to perform the required work and is not in violation of any laws. Consultant warrants that his license and certificates are current and will be maintained throughout the duration of the Contract.

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Consultant shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

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TERMINATION

If the Consultant refuses or fails to prosecute the work with such diligence as will insure its completion within the time specified in this Contract, or as may be modified in accordance with this Contract, the City by written notice to the Consultant, may terminate Consultant's rights to proceed. On such termination, the City may take over the work and prosecute the same to completion, by contract or otherwise, and the Consultant and his sureties shall be liable, jointly and severally to the City for any additional cost incurred by it in its completion of the work.

The City may terminate this Contract with or without cause by giving the Consultant thirty (30) days' notice in writing. Upon delivery of said notice the Consultant shall discontinue all services in connection with the performance of this Contract and shall proceed to promptly cancel all related existing third party contracts. Termination of the Contract by the City pursuant to this paragraph shall terminate all of the City's obligations hereunder. In the event of termination, the Consultant will be paid for all services rendered to the dtae of termination.

SECTION XV
LAW AND VENUE

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SECTION XVII
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The written terms and provisions of this Contract shall supersede any and all prior verbal or written statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this Contract or Contract documents.

SECTION XVIII
TRUTH-IN-NEGOTIATIONS

N/A

SECTION XIX
CONFLICT OF INTEREST

The City hereby acknowledges that the Consultant may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other Cities, the Consultant shall terminate its relationship with the other City to resolve the conflict of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Consultant shall disclose all of its Treasure Coast Cities and related Scope of Work.

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(Balance of Page Left Blank)

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of Wells Fargo Bank, N.A. Municipal Products Group)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 20__.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

20160020 Underwriting Services
COMMITEE SCORES

								TOTAL	
Citigroup Global Markets Inc.		EF		TO		DP			
Criterion	WTG								
A. Understanding of Work (3)	3	5	15	5	15	5	15		
B. Staff Experience and Qualifications (4&5)	5	4	20	5	25	5	25		
C. Firm Experience (6-8)	5	5	25	5	25	5	25		
D. Firms Marketing (9)	4	5	20	5	20	5	20		
E. Other Factors (10-14)	2	5	10	5	10	5	10		
F. Client References	1		0	5	5	5	5		
Total			90		100		100	290	
Ranking			1		1		1		
Merrill Lynch, Pierce, Fenner & Smith Inc.		EF		TO		DP			
Criterion	WTG								
A. Understanding of Work (3)	3	5	15	5	15	5	15		
B. Staff Experience and Qualifications (4&5)	5	5	25	4	20	4	20		
C. Firm Experience (6-8)	5	5	25	5	25	5	25		
D. Firms Marketing (9)	4	5	20	5	20	5	20		
E. Other Factors (10-14)	2	5	10	4	8	5	10		
F. Client References	1		0	4	4	5	5		
Total			95		92		95	282	
Ranking			2		2		3		
Estrada Hinojosa & Company Inc.		EF		TO		DP			
Criterion	WTG								
A. Understanding of Work (3)	3	5	15	3	9	4	12		
B. Staff Experience and Qualifications (4&5)	5	5	25	3	15	5	25		
C. Firm Experience (6-8)	5	3	15	3	15	3	15		
D. Firms Marketing (9)	4	2	8	4	16	2	8		
E. Other Factors (10-14)	2	2	4	3	6	2	4		
F. Client References	1		0	3	3	2	2		
Total			67		64		66	197	
Ranking			4		5		6		
RBC Captial Markets, LLC.		EF		TO		DP			
Criterion	WTG								
A. Understanding of Work (3)	3	5	15	5	15	5	15		
B. Staff Experience and Qualifications (4&5)	5	5	25	4	20	4	20		
C. Firm Experience (6-8)	5	5	25	5	25	4	20		
D. Firms Marketing (9)	4	5	20	5	20	4	16		
E. Other Factors (10-14)	2	5	10	4	8	4	8		
F. Client References	1		0	4	4	5	5		
Total			95		92		84	271	
Ranking			2		2		5		
Stifel, Nicolaus & Company, Inc.		EF		TO		DP			
Criterion	WTG								
A. Understanding of Work (3)	3	5	15	4	12	5	15		
B. Staff Experience and Qualifications (4&5)	5	3	15	4	20	5	25		
C. Firm Experience (6-8)	5	3	15	3	15	4	20		
D. Firms Marketing (9)	4	4	16	4	16	4	16		

20160020 Underwriting Services
COMMITEE SCORES

E. Other Factors (10-14)	2	3	6	3	6	3	6		
F. Client References	1		0	3	3	3	3		
Total			67		72		85	224	
Ranking			4		4		4		
Wells Fargo Bank, N.A. Municipal Products Group		EF		TO		DP			
Criterion	WTG								
A. Understanding of Work (3)	3	5	15	4	12	5	15		
B. Staff Experience and Qualifications (4&5)	5	3	15	4	20	5	25		
C. Firm Experience (6-8)	5	5	25	5	25	5	25		
D. Firms Marketing (9)	4	5	20	5	20	5	20		
E. Other Factors (10-14)	2	5	10	4	8	5	10		
F. Client References	1		0	4	4	4	4		
Total			85		89		99	273	
Ranking			3		3		2		
Committee chose to keep individual scores as group scores and presentations not needed.									
Citigroup Global Markets Inc.	**290**								
Merrill Lynch, Pierce, Fenner & Smith Inc.	**282**								
Estrada Hinojosa & Company Inc.	197								
RBC Captial Markets, LLC.	**271**								
Stifel, Nicolaus & Company, Inc.	224								
Wells Fargo Bank, N.A. Municipal Products Group	**273**								

Cheryl Shanaberger

From: Ed Stull <Ed.Stull@firstsw.com>
Sent: Monday, December 07, 2015 11:01 AM
To: Cheryl Shanaberger
Cc: Erica McCowan
Subject: Summary of RFP for Underwriting Services
Attachments: City of Port St Lucie - RFP for UW Summary 12-7-15.pdf

Cheryl –

Please find attached a summary of the RFP for Underwriting RFP responses. The City received a total of six (6) responsive proposals, which we would put into the following categories:

Tier 1 – Well Capitalized Investment Banks with Extensive Florida Experience and Experienced Senior Florida Bankers Assigned to the Account:

- Bank of America
- Citigroup
- RBC Capital Markets

Tier 2 – Investment Banks with One or More of the Tier 1 Characteristics Missing:

- Stifel Nicholas (Florida UW Experience)
- Wells Fargo (Florida Experience of Lead Banker)

Tier 3 – Investment Banks with More than One of the Tier 1 Characteristics Missing:

- Estrada Hinojosa (Capitalization, Florida Senior Managed UW Experience)

Thanks

Ed

Ed Stull
Managing Director
FirstSouthwest

direct 407.426.9611 fax 407.426.7835 cell 407.375.2936
450 S. Orange Avenue, Suite 460, Orlando, FL 32801

From: Cheryl Shanaberger [mailto:CherylS@cityofpsl.com]
Sent: Thursday, December 03, 2015 10:25 AM
To: Ed Stull <Ed.Stull@firstsw.com>
Subject: RE: 20160020

Absolutely!

Cheryl Shanaberger, MPA, CPPO

City of Port St. Lucie Florida

RFP for Underwriting Services (Due December 1, 2015)

Summary of Responses

		Bank of America Merrill Lynch	Citigroup Global Markets, Inc.
Personnel	Primary Contact	Jose Pagan Managing Director 355 Alhambra Circle, Suite 1360 Coral Gables, FL 33134 (305) 468-4336 / jose.pagan@baml.com	Kevin Dempsey Vice President 100 N. Tampa Street, Suite 3750 Tampa, FL 33602 (813) 227-2057 / kevin.dempsey@citi.com
	Other Team Members	Coleman Cordell (Senior Oversight - Winter Park) Amanda Schlang (Transactional Supp. - New York) Casey Brown (Analytical Supp. - Winter Park)	Michael Hole (Senior Banker - North Palm Beach) Michael Baldwin (Senior Banker - Orlando) Alex Donaldson (Analytical Support - Orlando) Kelsey Landau (Analytical Support - Orlando) George Leung (Ratings Expert - New York) Marc Livolsi (Underwriter - New York) Al Dopking (Underwriter - Tampa) Tam Rasmussen (Muni Sales - New York) Maureen McCann (Muni Sales - New York)
Underwriting Experience (5 years)	Senior Manager	Summary List Provided	National - $183 Billion | 1,968 issues Florida - $13.2 Billion | 140 issues
	Co-Manager		National - $322 Billion | 1,777 issues Florida - $12.9 Billion | 106 issues
	General Obligation Sales Tax Public Service Tax CB&A CRA & Tax Increment COPs Water & Sewer Special Assessment	BofAML has over 30 years of experience with all types of credits.	Senior Managed; Negotiated & Competitive $8.7 B | 114 issues $3.8 B | 63 issues $2.1 B | 11 issues $791 MM | 7 issues $133 MM| 3 issues $2.3 B | 30 issues $1.2 B | 20 issues $758 MM | 14 issues
Distribution & Marketing	Retail Brokers	National - 16,605 professionals Florida - 1,247 professionals	National - 15,360 professionals Florida - 1,393 professionals
	Institutional Sales	381 Sales Reps in 28 offices nationwide with 22 dedicated to selling municipal securities	
	Marketing	A key component of BofAML's marketing strategy would be to create targeted marketing program for both institutional and retail investor bases. Also leverage the strong relationships with institutional investors and identify holders of the City's bonds and who are familiar with the type of credits that the City's typically offers.	Prior to the pre-marketing phase, Citi is already familiar with the general pricing levels of similar credits in the market as well as bid wanted indications in the secondary market. Once the issue is made public, Citi conducts discussions with investors regarding the financing 3-4 weeks prior to pricing to identify primary candidates for investing in the Bonds. Citi also conducts "teach-ins" with their salesforce team to support the pre-marketing efforts of the financing.
Capital	Total Capital	$219,901 MM	$19,407 MM
	Equity Capital	$161,649 MM	$9,458 MM
	Net Capital	$10,125 MM	$6,059 MM
	Excess Net Capital	$8,678 MM	$4,709 MM
	As of	9/30/2015	9/30/2015
Additional Information	Optional Additional Information	Top ranked underwriter every year since 2012. BofAML's Municipal Banking and Markets group is a fully integrated division that provides municipal clients with a central platform for their capital raising, credit and treasury needs. The public finance banking teams are able to provide the City with broad access to market information, facilitate quick response to changing market conditions, and match the City's financing needs with investor demand.	Ranked number one underwriter of senior managed negotiated transactions in the national for 12 of the past 18 years. Over the past 10 years Citi has senior managed nearly $434 billion in negotiated municipal bonds nationally. Has one of the largest bond distribution networks of any firm. With excess net capital of $4.7 billion, Citi can underwrite the largest bond issues in the municipal market.

City of Port St. Lucie Florida

RFP for Underwriting Services (Due December 1, 2015)

Summary of Responses

		Estrada Hinojosa	RBC Capital Markets
Personnel	Primary Contact	Lourdes Reyes Abadin Executive Vice President 2937 SW 27th Avenue, Suite 200B Miami, FL 33133 (305) 507-0100 / abadin@ehmuni.com	Nate Eckloff Managing Director 31801 California Street, Suite 3900 Denver, CO 80202 (303) 595-1206 / nate.eckloff@rbccm.com
	Other Team Members	Robert Estrada (Co-Lead Banker - Dallas) Adrian Galvan (Quantitative Lead - Dallas) Steven Eaddy (Credit Analysis - New York) Thomas Nolan (Sales/Trading - Dallas) Kathryn Tenison (Sales/Trading - Dallas) Randy Clontz (Sales/Trading - Dallas) Esther Young (Analysis Support - Dallas) Cristina Zeinali (Analysis Support - Miami)	Wes Bradish (Co-Lead Banker - Denver) Tom Wendelin (Quantitative/Structuring - Denver) Tom Carlson (Direct Purchase - St. Pete) Jaime Durando (Underwriting - New York) Glenn McGowan (Underwriting - New York)
Underwriting Experience (5 years)	Senior Manager	Senior Manager, Co-Senior Mgr. or Co-Manager National - $128 B | 912 issues Florida - $12 B | 76 issues	Sole Manager, Senior Manager, Co-Senior Mgr or Co-Manager National - $428 B | 4,477 issues Florida - $22 B | 232 issues
	Co-Manager		
	General Obligation Sales Tax Public Service Tax CB&A CRA & Tax Increment COPs Water & Sewer Special Assessment	Has experience from 3-22 years providing either underwriting or financial advisory services for all types of credits	Has experience from 29-49 years providing either underwriting, financial advisor, swap counterparty, balance sheet/credit provider, remarking agent and bidding agent services for all types of credits
Distribution & Marketing	Retail Brokers	The firm has 3 representatives tasked with sales, trading and underwriting.	National - 1,889 professionals Florida - 70 professionals
	Institutional Sales		Institutional Sales - 14 national Underwriters/Traders - 21 national
	Marketing	Many elements are needed to have a successful marketing program. A marketing plan that reaches every type of investor that might have an interest in purchasing these types of bonds will give the City the lowest possible borrowing costs. Key factors to consider would be to determine the market of potential buyers, educate salespeople about the City and the financing, disseminate information to potential investors, internet marketing/roadshows, consider benefit of retail-only order period and flexible takedown.	Strategy targeting a combination of investor segments, Tier I, as well as Tiers II and III, we create competition for Bonds among the investor segments preventing Tier I investors from mandating the pricing levels of the transaction. Identify the key buying constituencies and major investors, recommend education and outreach approach, and daily updates with investor and salesforce feedback.
Capital	Total Capital	$5.6 MM	$6,116 MM
	Equity Capital	$5.6 MM	$4,716 MM
	Net Capital		$1,689 MM
	Excess Net Capital	$2.9 MM	$1,542 MM
	As of	2014	9/30/2015
Additional Information	Optional Additional Information	Estrada Hinojosa is a Hispanic owned, Minority Business Enterprise established in 1992, headquartered in Dallas, Texas with 8 offices throughout the nation and 41 employees. The firm is the #1 ranked Investment Banking firm in the underwriting pool for Miami-Dade County. Capable of serving as senior manager on transactions up to $300 million.	RBC is the highest rated and well capitalized investment bank in the municipal finance business and has served the City as its investment bankers since the mid 1990's. Having served as sole manager, senior manager, balance sheet provider, swap counterparty and numerous other roles totaling over $1.2 billion during that time. In the last 5 years the professionals assigned to the City have sole managed 10 transactions totaling $231 million for the City.

City of Port St. Lucie Florida

RFP for Underwriting Services (Due December 1, 2015)

Summary of Responses

		Stifel Nicolaus	Wells Fargo
Personnel	Primary Contact	Matthew Sansbury Managing Director 111 N. Magnolia Ave, Suite 1175 Orlando, FL 32801	J. Michael Olliff 2363 Gulf to Bay Blvd., Suite 200 Clearwater, FL 33765 (727) 953-1072 / michael.olliff@wellsfargo.com
	Other Team Members	Peter Czajkowski (Oversight - St Louis) Alex Bugallo (Support - Orlando) Paul Luhmann (Quantitative - St. Louis) Timothy 'Sackmaster (Quantitative - Orlando) Alan Murphy (Underwriter - Denver) Richard Beames (Inst. Sales - San Francisco) Pamela Holton-Byrd (Spl Assmt Expert - Baltimore)	Molly Clark (Support - Clearwater) Glenn Gough (Support - Clearwater) Scott Goldstein (Quantitative - Denver) Charlie Yadon (Analytic Support - Clearwater) Walker McQuage (Underwriter - Charlotte/NY) Amanda Pogue (Underwriting - Charlotte/NY) Kit Wood (Inst. Sales - Charlotte) Don Lipkin (Credit Strategist - New York) Nancy Feldman (Credit Specialist - New York)
Underwriting Experience (5 years)	Senior Manager	National - $83,403 MM | 4,011 Issues Florida - $766 MM | 31 Issues	Summary List Provided
	Co-Manager	National - $267,616 MM | 3,075 Issues Florida - $4,561 MM | 37 Issues	
	General Obligation Sales Tax Public Service Tax CB&A CRA & Tax Increment COPs Water & Sewer Special Assessment	Senior Manager, Co-Manager & FA 54 issues 58 issues 58 issues 14 issues 4 issues 15 issues 94 issues 23 issues	Experience inclusive of predecessor firms $14.2 B | 186 issues $5.1 B | 95 issues $3.1 B | 95 issues $4.4 B | 117 issues $1.5 B| 25 issues $20 B | 256 issues $15.3 B | 252 issues $4.9 B | 28 issues
Distribution & Marketing	Retail Brokers	National - 2,080 professionals Florida - 117 professionals	National - 15,151 professionals Florida - 1,078 professionals
	Institutional Sales	Institutional Sales - 316 national, 7 Florida Underwriters - 14 national	Institutional Sales - 15 national Middle Markets Sales - 200 national
	Marketing	Marketing efforts will be on institutional investors, specifically, Tier II and Tier III accounts. Verbal and written communication efforts will be coordinated well ahead of pricing to be better positioned to provide preliminary structuring feedback from investors, alert investors of upcoming investor calls, explain the credit structure and allow for sufficient time for the salesforce to prepare portfolio management ideas.	Expect the City's bonds to draw significant interest from investors, and we will deploy our institutional, middle market, and retail sales forces to reach investors in each investor segment and across all pricing points on the yield curve. Wells Fargo will implement a marketing plan designed to educate our salesforce, other members of the underwriting syndicate, and most importantly, investors, in understanding each given financing. Will hold teach-ins and internal conference calls designed to engage our salesforce on the City's current offerings and focus them on the key qualities of each offering.
Capital	Total Capital	$1,219 MM	$150,354 MM
	Equity Capital	$1,144 MM	Over $150,354 MM Total Equity Capital $148,157 MM Total Risk-based Capital $124,871 MM Tier One Capital
	Net Capital	$333 MM	
	Excess Net Capital	$320 MM	
	As of	9/30/2015	9/30/2015
Additional Information	Optional Additional Information	For the past 5 years, no firm has senior managed more negotiated transactions nationally than Stifel. In 2015, Stifel was the #1 ranked senior manager of negotiated bonds based on number of transactions and the #7 ranked senior managed based on par amount underwritten. Since opening the Orlando public finance office in 2012, Stifel has provided underwriting services on 44 negotiated Florida municipal transactions. Since 2010, Stifel has ranked #1 in special assessment financings by both volume and number of transactions.	Wells Fargo is one of the most active players in the Florida municipal bond market, having served as senior manager on 58 negotiated municipal offerings in Florida totaling approximately $4.9 billion in par since January 2012. Year to date in 2015, Wells Fargo is the 5th most active senior manager of Florida municipal bonds and the 5th most active senior manager of municipal bonds nationally.

December 1, 2015

City of Port St. Lucie, Florida

Electronic Request For Qualifications #20160020

(E-RFQ)

Underwriting Services

G-23 Disclosure

Citigroup is providing the information contained in this document for discussion purposes only in anticipation of serving as underwriter to the City of Port St. Lucie, Florida (the "City"). The primary role of Citigroup, as an underwriter, is to purchase securities, for resale to investors, in an arm's-length commercial transaction between the City and Citigroup and that Citigroup has financial and other interests that differ from those of the City. Citigroup is not acting as a municipal advisor, financial advisor or fiduciary to the City or any other person or entity. The information provided is not intended to be and should not be construed as "advice" within the meaning of Section 15B of the Securities Exchange Act of 1934. The City should consult with its own financial and/or municipal, legal, accounting, tax and other advisors, as applicable, to the extent it deems appropriate. The City should consider whether to engage an advisor to act in a fiduciary capacity on its behalf in connection with this transaction.

citi
Public Finance Department
Municipal Securities Division

December 1, 2015

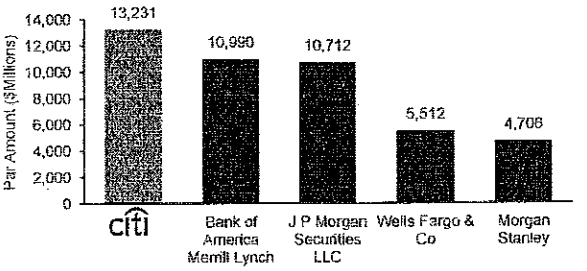
Procurement Management Department
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984-5099

Dear Ms. Shanaberger:

On behalf of Citigroup Global Markets Inc. ("Citi"), we are pleased to present you with our response to the City of Port St. Lucie's ("the City") Request for Qualifications for Underwriter Services. We appreciate this opportunity and have identified below a few of the credentials that we believe make Citi most qualified to join the City's Team of Underwriters.

- ✓ **Commitment to Florida Issuers.** Citi's regionalized approach to public finance banking gives us the ability to couple the financial and human resources of a major Wall Street firm with a detailed understanding of our local issuers' needs and challenges. ***Over the past five years***, Citi has served as senior manager for 140 Florida bond issues totalling more than $13 billion in par amount. Citi's commitment to Florida also extends far beyond our ranking as a top municipal debt underwriter. Florida is also home to Citi's largest employment commitment outside of New York. Including Citi's subsidiaries, such as Citibank, we have approximately 9,550 employees throughout the state. Citi maintains public finance offices in North Palm Beach, Tampa and Orlando and a municipal underwriting desk in Tampa to serve our Florida clients.

Florida Underwriting Rankings
(Senior Managed: 1/1/2011-11/23/2015)



Source: Thomson Reuters. True Economics to Book-Runner

- ✓ **National Leader in Public Finance Transactions.** As the number one ranked underwriter of senior managed negotiated transactions across the nation for twelve (12) of the past eighteen (18) years, Citi brings unparalleled innovation and superior experience to every municipal client we serve. Over the past ten years, Citi has senior managed nearly $434 billion in negotiated municipal bonds nationally, and our matrix organizational structure allows bankers to share our experiences vertically and horizontally to ensure innovative financing ideas and solutions are provided to our clients in all 50 states.

- ✓ **Credit Expertise.** We believe the relationships we have garnered with the rating agencies have not only helped us in achieving successful results, but also provide Citi with the most up-to-date views from the rating agencies. In particular, George Leung brings an unequaled credit viewpoint based on his experience as senior chairperson for Moody's public finance rating committee. Today, as a senior banker at Citi, George is integrally involved in Citi's efforts throughout Florida and will be a significant asset to the City in assessing, comparing and positioning its credit for the best possible ratings results.

- ✓ **Market Execution.** As illustrated in our response, Citi has one of the largest bond distribution networks of any firm. That said, demonstrating the ability to provide better execution than our competition is always difficult, as every firm in the business must have relationships with investors active in the market. The difference among firms is the strength of those relationships and

Greenwich Survey Results
(Citi Rankings 2012 - 2014)

Category	2012	2013	2014
Understanding and Acting on Customers' Needs	#1	#1	#1
Executing Large Trades (>$10mm)	#1	#1	#1
Providing Consistent Liquidity	#1	#1	#1
Fastest Bids and Offers	#1	#1	#1
Market Flow Information	#1	#1	#1
Intense Sales Coverage	#1	#1	#1
Use Research to Provide Trade Ideas	#1	#1	#1

what that strength means to issuers such as the City. **A recently released Greenwich Associates Survey presents direct feedback from the institutional investor community on the capital markets capabilities of the firms most active in the municipal market. Citi ranked number one in every category**. We are extremely proud of these results as they confirm the strength of our relationships with investors and solidify our position as a leading market maker in municipals, providing liquidity to buyers and ultimately a lower cost of funds for our primary market issuers. There is a difference among firms and it truly matters as the City looks to receive the best possible execution and the lowest possible interest rates.

- ✓ **Willingness and Ability to Underwrite Bonds.** Citi is a premier world-wide financial services company. Citi's Tier 1 estimated Basel III common ratio was 11.6% at the close of 3Q 2015, which is well above the Federal Reserve minimum 6% benchmark required for banks to be considered "well capitalized". In addition, with excess net capital of $4.7 billion as of September 30, 2015, Citi can underwrite the largest bond issues in the municipal market. Over the past year alone, we have committed nearly $1.5 billion in capital to underwrite unsold bonds for our clients in negotiated transactions.

We appreciate the opportunity to submit our proposal and eagerly await the opportunity to serve the City as underwriter. If you have any questions, please do not hesitate to contact us.

Sincerely,

Kevin Dempsey
Vice President
Tampa, FL
813-227-2057

Michael Hole
Managing Director
North Palm Beach, FL
561-694-7088

Electronic Request For Qualifications #20160020
(E-RFQ)

Prepared By:

citi®

CITIGROUP GLOBAL MARKETS INC.

December 1, 2015

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D: W-9 Form
E: Certificate of Insurance

Questionnaire

E-RFQ #20160020
Underwriting Services

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this ___1st_____ day of __December_____, 2015

Citigroup Global Markets Inc.

_____
Name of Organization / Proposer

1. Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?

Citi's work will involve professionals from its offices in North Palm Beach, Orlando, Tampa, and New York City.

CITIGROUP OFFICE LOCATIONS	
North Palm Beach 11780 US Highway One Suite 201 North Palm Beach, FL 33408	**Tampa** 100 N. Tampa Street Suite 3750 Tampa, FL 33602
Orlando 200 S. Orange Avenue Suite 2170 Orlando, FL 32801	**New York City** 390 Greenwich St 2nd Floor New York, NY 10013

The contact information for Kevin Dempsey, Citi's primary contact, is provided below.

PRIMARY CONTACT
Kevin Dempsey 100 N. Tampa Street Suite 3750 Tampa, FL 33602 Phone: 813 227-2057 Fax: 813 229-0286 Email: kevin.dempsey@citi.com

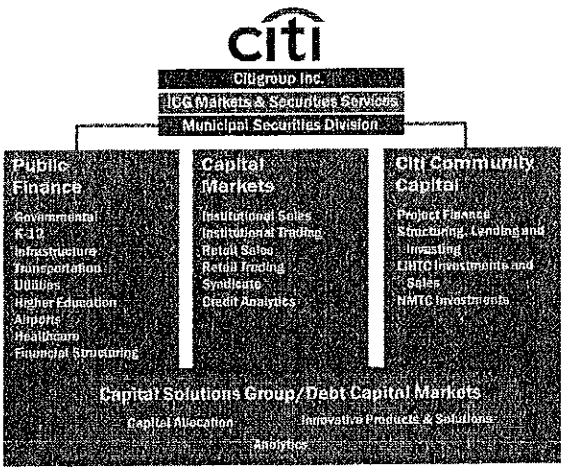
2. Is your firm a Certified Minority Business (yes or no)?

No.

3. Provide in this word document below a general information about your firm, including a brief history and summarizing the key points in this RFQ, (This is a word document, please include below and limit to one (1) page)

Citi History. Headquartered in New York City, Citigroup Inc. is a domestically-based financial services company with some 200 million customer accounts in more than 160 countries. Citi's origin dates back to the formation of Citibank in 1812. The investment banking side of the company was born from the marriages of some of this country's finest organizations. E.F. Hutton, Shearson, Salomon, Travelers Group, Citicorp and Smith Barney, are all part of the Citi family tree. With 259,000 employees and total assets of approximately $1.9 trillion, Citi is one of the leading brokerage firms supporting one of the largest distribution networks in the industry. Our investment banking business and broker/dealer, Citigroup Global Markets Inc., is a wholly owned subsidiary of Citigroup Inc., a publicly held corporation (NYSE: C).

Organizational Structure. Within Citigroup Global Markets Inc., Citi's Municipal Securities Division consists of the Public Finance Department, Capital Markets (sales, trading, and syndicate), Citi Community Capital, and the Capital Solutions Group. With 416 professionals, the Municipal Securities Division represents the largest commitment of people and capital to the municipal industry. Our matrix organizational structure provides clients with access to all of Citi's municipal products, including underwriting, sales and trading, marketing, short-term finance, credit and financial products.



Public Finance Department. At Citi, we pride ourselves on offering our clients the most comprehensive public finance investment banking services in the industry. Our clients benefit from a group of 144 Public Finance banking professionals who specialize in a variety of diverse areas including but not limited to: general obligation credits, water and sewer, utilities, sales tax, CB&A, CRA and Tax Increment, COPs, Special Assessments, Special Tax, K-12 school districts, higher education, airports, transportation, tobacco, pension obligation, affordable housing, and healthcare.

Citi's National Negotiated Senior Managed Leadership

Year	Industry Ranking	Market Share	Par Amount ($MM)
2015 YTD	#2	11.5%	31,551
2014	#3	9.2%	22,461
2013	#2	11.4%	27,687
2012	#2	10.8%	31,554
2011	#2	11.3%	24,545
2010	#1	13.9%	49,530
2009	#1	15.7%	54,625
2008	#1	15.2%	50,713
2007	#1	14.2%	49,922
2006	#1	14.0%	43,766
2005	#1	15.3%	50,341
2004	#3	12.0%	34,535
2003	#1	15.0%	45,424
2002	#2	14.9%	42,139
2001	#1	14.2%	31,322
2000	#1	14.2%	20,422
1999	#1	15.1%	24,829
1998	#1	13.7%	29,268
1997	#1	13.1%	21,619

Source: Thomson Reuters.

The firm's history of consistent participation as an underwriter in the municipal market, along with our Public Finance Department's performance, is perhaps best demonstrated by being ***ranked the number one underwriter of senior managed negotiated municipal bonds nationally for 12 of the past 18 years***. In 2015 YTD we have senior managed more than $31 billion in volume.

Syndicate, Sales & Trading Department. Citi's municipal underwriting and syndicate operations are headquartered in New York City and supported by a national network of full-service regional underwriting and trading operations. Our syndicate professionals are among the most seasoned in the industry, with our senior underwriters averaging more than 20 years of experience. Our six regional underwriting desks provide underwriting support and valuable input and distribution regarding local and regional institutional and retail bond placement. Our municipal underwriting desks' experience and resources will ensure that the City has optimal access to both local and national investors. In addition, our trading desks maintain secondary markets in all issues for which we serve as senior managing underwriter, which provides liquidity for investors and, as a result, lowers the interest rate paid on primary bond offerings. Citi's New York and Tampa (Florida regional underwriting desk) sales and marketing staffs will jointly tailor a marketing strategy for the City's bonds and manage all marketing efforts.

4. Provide the names and roles of the public finance professionals assigned to this project, their role, years of experience and qualifications applicable to this project scope and office work will be performed from.

In order to best address the City's financing objectives, Citi has assigned a team of highly skilled, senior banking professionals with extensive expertise in Florida, including extensive knowledge of utility, sales tax, COPs, CRA/TIF, special assessment, special tax (including public service tax), general obligation, and non-ad valorem general government revenue bond financings, rating agency and investor considerations, and the ability and resources to provide superior transaction execution. The staffing of our team is organized for optimal responsiveness and represents professionals with relevant experience in the areas most important to the City. No other firm can offer the City the depth and scope of resources comparable to Citi.

Finance Professional Name	Role	Yr Exp	Qualification	Office
Kevin Dempsey	Lead Banker / Primary Contact	9 Years	W&S, COPs, Special Assessment, CRA, CB&A, Special Tax	Tampa, FL
Michael Hole	Senior Banker	29 Years	W&S, COPs, Special Assessment, CRA, CB&A, Special Tax	North Palm Beach, FL
Michael Baldwin	Senior Banker	20 Years	W&S, COPs, Special Assessment, CRA, CB&A, Special Tax	Orlando, FL
Alex Donaldson	Analytical Support	4 Years	W&S, COPs, Special Assessment, CRA, CB&A, Special Tax	Orlando, FL
Kelsey Landau	Analytical Support	2 Years	W&S, COPs, Special Assessment, CRA, CB&A, Special Tax	Orlando, FL
George Leung	Ratings Expert	34 Years	Ratings/Credit Expertise	New York, NY

Marc Livolsi	Underwriter	18 Years	National Underwriting Expertise	New York, NY
Al Dopking	Underwriter	29 Years	Florida Underwriting Expertise	Tampa, FL
Tam Rasmussen	Municipal Sales	28 Years	Institutional Sales	New York, NY
Maureen McCann	Municipal Sales	30 Years	Institutional Sales	New York, NY

As shown in the chart above, Citi's work will be performed from our Florida Public Finance offices located in North Palm Beach, Tampa and Orlando, and our Public Finance Department headquarters located in New York City. **Kevin Dempsey**, Vice President, will provide Citi's overall account responsibility for the City's engagement. **Michael Hole**, Managing Director, located in our North Palm Beach Public Finance office will work closely with Mr. Dempsey and will provide day-to-day senior banking support for this engagement. Mr. Dempsey and Mr. Hole will also draw on the financing expertise of **Michael Baldwin**, a Director in Citi's Orlando office. **George Leung**, Managing Director and former Chairman of Moody's Public Finance Ratings Committee, will assist in developing the City's credit strategy. **Alex Donaldson**, Associate, and **Kelsey Landau**, Analyst, in Citi's Orlando office will provide day-to-day support. **Marc Livolsi**, a Director on Citi's Municipal Syndicate underwriting desk in New York, will market, price, and underwrite the City's Bonds. **Al Dopking**, Managing Director and Manager of Municipal Syndicate underwriting desk in Tampa, will assist in pricing and marketing of the City's transaction. Citi's key personnel will be supported by other Citi professionals, who will be integral to securing the lowest borrowing costs for any of the City's proposed financing.

5. Who will be the primary day-to-day contact for the engagement and their experience and availability?

Kevin Dempsey, Vice President, will serve as the City's primary contact and will be 100% available to assist the City for any and all projects. Located in Tampa, Mr. Dempsey is a member of Citi's Florida Public Finance Group and offers Florida-specific expertise with the credits similar to the City's. Mr. Dempsey's extensive experience includes executing in a senior/co-senior manager capacity over $9 billion of tax exempt and taxable negotiated financings for state and local governments in Florida. Mr. Dempsey has completed financings for issuers such as Broward County, Miami-Dade, Sarasota County, Marion County, City of Tampa, City of Lakeland, City of Apopka, City of Fort Lauderdale and the City of Cape Coral, to name a few, as well as School Districts for counties such as Indian River, St. Lucie, Broward and Miami-Dade, to name a few. Mr. Dempsey will draw on this diverse experience, along with the experience of the other senior bankers assigned to the City's account, to bring value to the City's underwriting team.

Primary Contact:

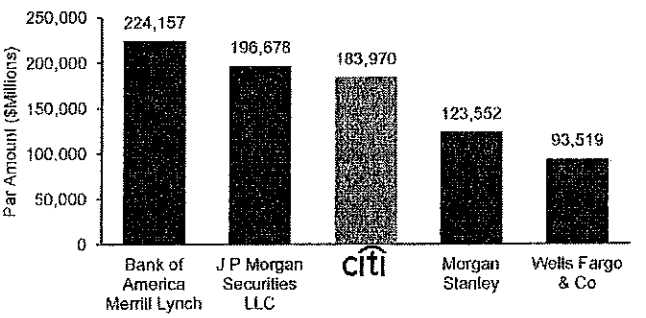
citi

Kevin Dempsey	100 N. Tampa Street
Vice President	Suite 3750
Public Finance Department	Tampa, FL 33602
Municipal Securities Division	Tel (813) 227-2057
Kevin.dempsey@citi.com	Fax (813) 229-0286

6. Provide a chronological listing of the firm's Senior Managed, and Co- Managed underwriting experience nationally for the past five (5) years.

Citi distinguishes itself from its competitors by the importance we place on municipal bonds in the firm's overall business. For the past two decades, Citi has consistently ranked among the industry's top firms in municipal underwriting volume, ranking as the #1 senior manager of negotiated municipal transactions 12 of the past 18 years. **Since 2011, Citi has senior managed 1,968 bond issues totaling over $183 billion. During the same time period, Citi has co-managed 1,777 bond issues totaling nearly $322 billion in par amount.** Our rankings are direct evidence of Citi's dedication to public finance and reflect our ability to access the widest possible spectrum of investors and to capture the lowest cost of borrowing for our clients. Please refer to **Appendix A** for a

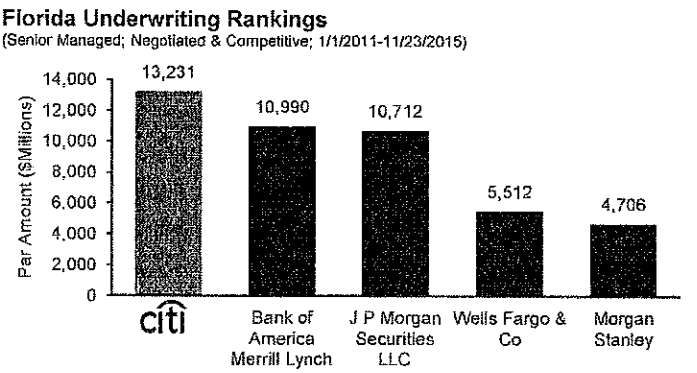
National Underwriting Rankings
(Senior Managed; Negotiated & Competitive; 1/1/2011-11/23/2015)



Source: Thomson Reuters. True Economics to Book-Runner.

complete list of transactions.

7. Provide a chronological listing of the firm's Senior Managed, and Co- Managed underwriting experience of Florida issues for the past five (5) years.



Source: Thomson Reuters. True Economics to Book-Runner.

Citi has demonstrated a similar commitment to municipal financings in the State of Florida. Since opening our first Florida public finance office in 1983, Citi has been recognized as the top underwriter of municipal financings in the State. **Since 2011, Citi is the #1 ranked Florida underwriter, having senior managed 140 Florida bond issues totaling $13.2 billion. During the same time period, Citi has served as co-manager on 106 Florida bond issues totaling nearly $12.9 billion in par amount.** Citi's regional approach to municipal investment banking has proven very effective in Citi's ability to meet the challenges and needs of our clients. Our performance is largely due to the resources the firm has committed to our Florida clients, including our largest regional underwriting and trading desk located in Tampa, sales offices located throughout the State and public finance offices in North Palm Beach, Tampa, and Orlando. We believe our rankings reflect our clients' views of the level and quality of service that we consistently deliver as senior managing underwriter. Please refer to **Appendix B** for a complete list of transactions.

8. Provide firm experience for the following in the State of Florida:

	Years Exp	Firm Responsibility
General Obligation Bonds	30 years	See below response
Sales Tax	29 years	See below response
Public Service Tax	22 years	See below response
Covenant to Budget & Appropriate (CB & A)	25 years	See below response
Community Redevelopment Agency (CRA) & Tax Increment	24 years	See below response
Certificates of Participation (COPs)	29 years	See below response
Water and Sewer Utilities	30 years	See below response
Special Assessments	30 years	See below response

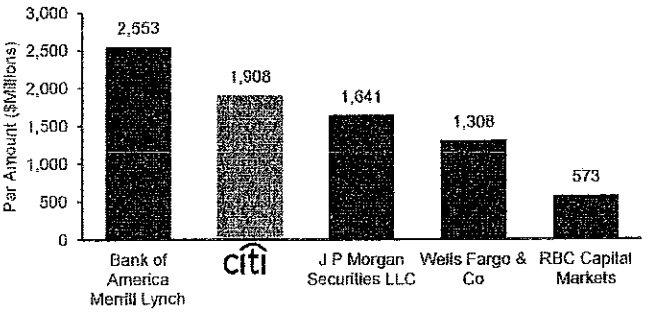
Overview. Citi opened its Florida Public Finance practice in 1983 and our Florida municipal underwriting desk in 1984. As evidenced by our response to this Request for Qualifications, Citi is the most experienced underwriter of municipal bonds in the industry. When Citi serves as a senior managing underwriter, we commit capital to ensure that our client's transactions are executed seamlessly and that the lowest possible interest rate is achieved. We have senior managed more than 1,000 issues since 1983; over 400 of these we have served as sole manager. In many of these transactions we have committed significant amounts of our own capital to underwrite these transactions and ensure our client's successful financing.

Besides committing capital to ensure a successful financing, Citi offers comprehensive investment banking services for our client's financing needs. The services we traditionally provide our clients and how we intend to fulfill requirements outlined in this request for qualifications and our approach generally to the City's bond issuance are built upon, but are not limited to, the following six components of the financing: **1.) Develop Financing Timeline** - devise a financing timeline to meet all City deadlines and effectively structure, market, and price the proposed bonds within the City's timeframe, **2.) Structuring Strategy** – structure the bonds to shape the debt service such that together with other outstanding debt, the aggregate debt service matches the City's cash flow requirements, **3.) Credit Strategy to Achieve Highest Ratings** - devise a Credit Strategy to provide the City with operating/financial flexibility yet secure strong ratings,

4.) Marketing Strategy – use Internet Roadshow and other proven marketing tools to enhance demand, **5.) Pricing Strategy** – minimize interest cost by capturing the most aggressive investors within the demand created, **6.) Liquidity** - provide liquidity and support trading on the City's bonds in the secondary market to enhance their value to investors.

General Obligation Experience. Citi is a leading underwriter of general obligation bonds in Florida for the last 30 years. ***We have senior managed 114 transactions for a total par of $8.7 billion, giving Citi a 15% market share.*** Over the last five years, we have senior managed ten transactions for a total par amount of $1.9 billion. Citi's status as a leading municipal bond underwriter for G.O. issues is largely due to our clients' confidence in our ability to effectively manage financing projects and deliver the lowest possible interest rate. Our market leadership in this sector demonstrates our ability to work effectively for our clients as we interface with financing team members, rating agencies, institutional and retail investors, and local administrators, elected and appointed officials.

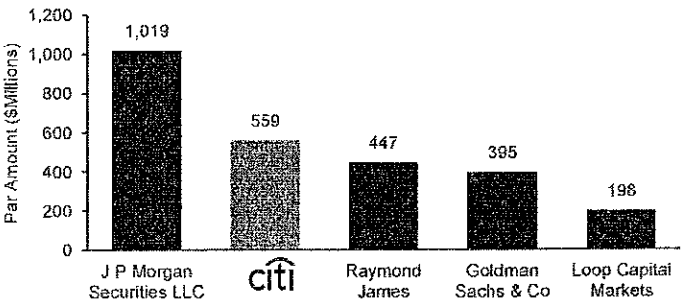
Florida General Obligation Underwriting Rankings
(Senior Managed; Negotiated & Competitive; 1/1/2011-11/23/2015)



Source: Thomson Reuters. True Economics to Book-Runner.

Sales Tax Experience. Citi has assisted many counties, cities, and other municipal issuers across the country with structuring and marketing sales tax financings. Our experience with such financings uniquely qualifies us to structure, market and aggressively price bonds backed by this type of security. ***Since 1986, Citi has been a leading underwriter for sales tax financings having senior managed 63 issues for $3.8 billion of total par.*** Over the last five years we have senior managed three sales tax financings totaling $558 million in par amount for Osceola County, Volusia County School Board and Miami-Dade County.

Florida Sales Tax Underwriting Rankings
(Senior Managed; Negotiated & Competitive; 1/1/2011-11/23/2015)



Source: Thomson Reuters. True Economics to Book-Runner.

Public Service Tax (Special Tax) Experience. Citi is a leader in underwriting special tax bonds. Special tax bonds include those secured by public service taxes, gas taxes, tourist development taxes, utility taxes and other designated non-ad valorem revenue structures. Citi's vast experience is demonstrative to the fact that we understand the nuances of each of the aforementioned security structures- specifically investor perception and rating agency criteria. This experience will prove invaluable to the City as they work to obtain the highest ratings and garner the lowest cost of funds on their capital program debt financings.

Since 1986 Citi has been a leading of Public Service Tax bonds in the Florida senior managing three transactions totaling more than $97 million in par amount. However, since 2011 there have only been three Public Service Tax Bonds issued- one of them by the City. We believe a more accurate approach is not just a firm's experience underwriting *one* particular special tax bond, but its experience underwriting *all* special tax bonds. Over the past five years, Citi has been a leading underwriter of Special Tax bonds having senior managed 11 transactions totaling $2.1 billion in par amount. Below is a list of the Special Tax Bonds underwritten by Citi in the last 5 years. *(Due to the large amount of transactions and inability to accurately depict Special Tax Bonds using SDC we have omitted an SDC comparison chart).*

Sale Date	Issuer	Issue Description	Series	Par Amount ($mm)
09/05/12	Florida State BOE	Public Ed Cap Outlay Ref Bonds	2012 Series D	229.93
10/24/12	Miami-Dade Co-Florida	Sub Special Oblig Refunding Bonds	Series 2012 A & B	489.99
01/29/13	Florida State BOE	Public Ed Capital Outlay Ref Bond	2013 Series A	324.63
03/26/13	Collier Co-Florida	Special Obligation Ref Rev Bonds	Series 2013	73.81

05/10/13	Lee Co-Florida	Tourist Dev Tax Revenue Bonds	Series 2013	41.48
12/05/13	Palm Beach Town-Florida	Public Improvement Rev Bonds	Series 2013	55.59
08/07/14	Florida State BOE	Pub Ed Capital Outlay Ref Bonds	2014 Series B	117.30
03/23/15	Florida State BOE	Pub Educ Capital Outlay Ref Bonds	2015 Series C	253.95
08/13/15	Florida State BOE	Pub Educ Capital Outlay Ref Bonds	2015 Series E	306.65
09/23/15	Florida Dept of Environ Protect	Everglades Restoration Rev Bonds	Series 2015 A	46.74
10/27/15	Florida State BOE	Public Ed Cap Outlay Ref Bonds	2015 Series F	233.14
Total				**2,173.21**

Covenant to Budget & Appropriate (CB & A) Experience. Citi's non-ad valorem, general government experience also includes appropriation-backed debt such as covenant to budget and appropriate ("CB &A") and has been a leading underwriter over the last 25 years. Over the last five years we have senior managed 7 transactions totaling $791 million in par amount.

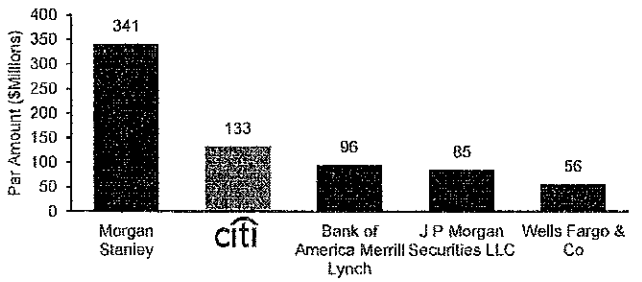
We would also like to point out that Certificates of Participation ("COPs") are also viewed as an "appropriation" credit. As noted below, Citi is by far the leading underwriter of COPs in Florida, underwriting over 50% more than our closest competitor. *(Due to the large amount of transactions and inability to accurately depict CB&A Bonds using SDC we have omitted an SDC comparison chart).*

Sale Date	Issuer	Issue Description	Series	Par Amount ($mm)
09/20/12	Fort Lauderdale City-Florida	Special Obligation Bonds	Series 2012	337.76
10/17/12	Lee Co-Florida	Non Ad Valorem Ref Revenue Bonds	Series 2012	48.39
12/05/13	Palm Beach Town-Florida	Public Improvement Rev Bonds	Series 2013	55.59
03/28/14	Orlando City-Florida	Revenue Bonds	Series 2014 A	236.29
05/09/14	Seminole Co-Florida	Special Obligations Bonds	Series 2014	28.00
05/28/15	Lee Co-Florida	Non Ad Valorem Ref Revenue Bonds	Series 2015	48.64
07/07/15	Tampa City-Florida	Non-Ad Valorem Ref Revenue Bonds	Series 2015	36.88
Total				**791.54**

Note: City of Fort Lauderdale and City of Orlando had primary special tax pledges with a back-up CB&A pledge.

Community Redevelopment Agency (CRA) & Tax Increment Experience. Since 1991, Citi is one of the leading firm senior managers of Community Redevelopment Agency ("CRA") and Tax Increment Financings ("TIF"). Over the last five years we have completed three TIF and CRA financings in the State of Florida totaling $133 million for CityPlace CDD, City of West Palm Beach CRA, and City of Hollywood CRA.

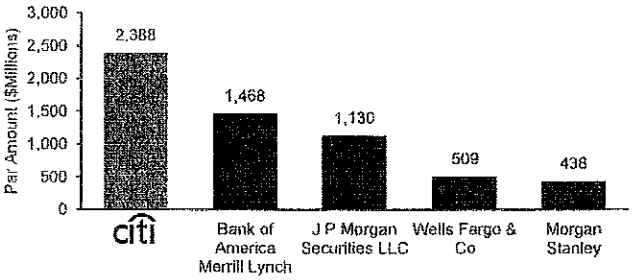
Florida CRA and TIF Underwriting Rankings
(Senior Managed; Negotiated & Competitive; 1/1/2011-11/23/2015)



Source: Thomson Reuters. True Economics to Book-Runner.

Certificates of Participation Experience. Citi is the industry leader in assisting governments in Florida with COPs and master lease transactions. Since 1986, we have senior managed 138 COPs financings in the State of Florida totaling over $11.6 billion. Over the last five years, we have senior managed 30 COPs financings totaling over $2.3 billion, representing a 37% market share. This tremendous amount of COPs underwritings has placed our bankers among the

Florida COPs Underwriting Rankings
(Senior Managed; Negotiated & Competitive; 1/1/2011-11/23/2015)



Source: Thomson Reuters. True Economics to Book-Runner.

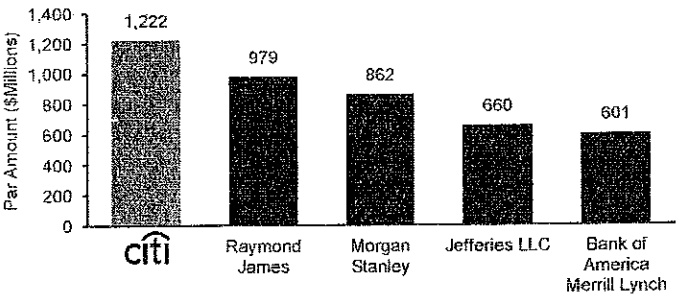
experts in this field of tax-exempt finance. We have extensive knowledge and experience that is necessary to address all of the pertinent issues regarding COPs financings including: (i) establishing the essentiality of the project with rating agencies and bond insurers, (ii) developing a strong but flexible legal document structure, and (iii) demonstrating both willingness and ability to make lease payments on the COPs. Citi has repeatedly addressed each of these issues and has the experience and expertise necessary to ensure that the issues are identified and correctly presented.

What makes our COPs experience unique is not only the sheer volume of transactions we have underwritten (i.e. market expertise), but also our commitment to providing exceptional client service and creative financing structures for COPs issues of all sizes. Our experience includes the following:

- Designed and established the inaugural South Florida Water Management District COP structure that financed the construction of certain Everglades Restoration projects;
- Establishing inaugural master lease purchase programs for new entities including the State of Florida Correctional Privatization Commission;
- Developing and optimizing Florida School District COPs programs;
- Creating flexible COPs programs that can be used to leverage excess sales tax or impact fee revenues; and
- Creating master lease programs to help finance major transportation infrastructure improvements.

Water and Sewer Experience. Citi has demonstrated a similar commitment to the water and sewer sector in Florida and has been an underwriter of water and sewer bonds for 30 years. Since 1985, Citi has senior managed 190 water and sewer transactions in Florida for a total par amount of $10.3 billion. Over the last five years, we have senior managed 20 water and sewer transactions totaling over $1.2 billion. Our extensive experience with our Florida clients has resulted in a broad base of agencies and municipal entities engaged in water and sewer financings. This has given us significant knowledge in the structuring of these related transactions tailored to the individual considerations of a particular issuer.

Florida W&S Underwriting Rankings
(Senior Managed; Negotiated & Competitive; 1/1/2011-11/23/2015)



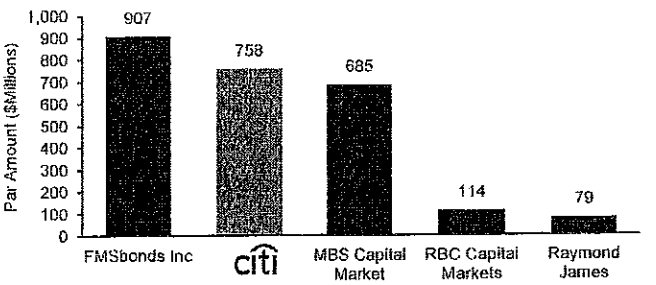
Source: Thomson Reuters. True Economics to Book-Runner.

Citi's water and sewer experience includes the following:

- ✓ Financing the construction of entire new systems;
- ✓ Rehabilitating or developing existing systems;
- ✓ Refunding system debt for savings;
- ✓ Restructuring system debt for flexibility (including the consolidation of liens);
- ✓ Establishing a leveraged state revolving loan fund (SRF) program to increase lending capacity to meet local water needs; and
- ✓ Financing the acquisition of municipal or investor-owned utilities.

Special Assessment Experience. Citi's special assessment experience spans a vast array of issuers across the county including recent work with The Villages residential CDDs No. 4, 5, 6, 7, 8, 9, 10 and 11, and commercial and mixed use projects such as City of Sunrise, Celebration Pointe CDD, CityPlace CDD, Midtown Miami CDD, Greeneway Improvement District and Boggy Creek Improvement District. Citi was successful selling each transaction notwithstanding

FloridaSpecial Assessment Underwriting Rankings
(Senior Managed; Negotiated & Competitive; 1/1/2011-11/23/2015)



Source: Thomson Reuters. True Economics to Book-Runner.

the concerns associated with the landowner/developer concentration risk of a commercial/retail development project. **Over the last five years, we have senior managed 14 special assessment transactions totaling $758 million.** We accomplished this by launching an extensive and customized marketing campaign for each financing. Citi's approach to selling all of our municipal client's assessment secured transactions is tailored to fit the unique nature of these financings and focuses on attracting the broadest base of potential buyers to help drive investor demand for these often non-rated financings. Our institutional marketing program includes an Internet Investor Roadshow presentation/conference call, one-on-one investor calls, and offering investors the opportunity to speak directly with the issuer, the developers, and other members of the working group. On several of our non-rated financings we provided onsite site investor presentations and tours. Evidence of our ability to properly structure and market these special assessment bond financings is our ability to capture broad and diverse investor participation and our clients continued commitments to rehire Citi on each of their transactions.

9. Please provide a discussion of the Proposer's marketing strategy and distribution capabilities, including number of offices, retail sales professionals, location of sales professionals and institutional sales professionals. Breakout Florida based professionals. (This is a work document, include below and 1 page only)

Creating Market Demand – Step by Step. As the #1 underwriter in the municipal market, Citi regularly trades tax-exempt and taxable paper of all maturities in even the most volatile markets. It is our constant market presence that provides a benchmark for all of our primary market offerings. Before the Preliminary Official Statement is posted and before the pre-marketing phase of the transaction begins, Citi is already familiar with the general pricing levels of similar credits in the market as well as bid wanted indications in the secondary market. Once the issue is deemed "public" and the pre-marketing phase begins, Citi can have more meaningful discussions with investors regarding the City's proposed issuance. For this reason, early public notification of the transaction (3-4 weeks prior to pricing) and dissemination of the POS (1-2 weeks prior to pricing) is recommended. In the current market, we have found that it is most efficient to first have the City's credit approved by as many buyers possible. To identify primary candidates for investing in the City's Bonds, Citi uses a data driven approach to pinpoint the highest opportunity buyers. After a list is compiled, Citi's salesforce has one-on-one conversations with targeted investors to thoroughly explain the credit and provide reasons why investors should be interested in the credit. In fact, serving as a distinguishing marketing component of ours, Citi has been holding "teach-ins" with our salesforce once the offering is public in order to spend extra time with the salesforce. These "teach-ins" have been popular as they support the Net Roadshow and one-on one investor calls, all of which help pre-market the offering and facilitate the credit approval process required by many investors in the current market. Once the investors have the green light from their credit department, pricing levels are discussed.

Throughout each step, Citi coordinates with co-managers and their respective financing teams to ensure a successful and efficient pricing is achieved. Working in tandem with co-managers allows the transaction to receive multiple points-of-view, which ultimately leads to the lowest cost of capital for the City.

Citi's Distribution Capabilities. The success of any transaction in the current market will be heavily reliant on a senior manager's marketing strategy and distribution platform. More importantly, these two components should complement each other, in conjunction with market dynamics. Recognizing the importance of widespread distribution channels, Citi has sought to innovate ways to expand our framework and make it more dynamic as markets change. Maximum market penetration will ensure that the City's bonds are priced at the lowest possible interest cost.

Citi's Retail Distribution System

	National		Florida	
Channel	Headcount	# of Offices	Headcount	# of Offices
UBS	7,000	319	951	41
TMC	7,861	861	232	32
CPB	175	33	77	25
CPWM	324	29	133	3
Total	15,360	1,242	1,393	101

While Citi's retail distribution platform has evolved over the last several years, we believe this transformation is to Citi's and our municipal clients' benefit. Today, Citi distributes to retail buyers through multiple platforms, which include: (1) a distribution agreement with UBS Financial Services; (2) a distribution agreement with TMC Bonds L.L.C. ("TMC"), one that essentially leverages the industry tendency toward "open architecture" (open on-line access); (3) Citi's Private Bank ("CPB"); (4) Citi Personal Wealth Management ("CPWM"); and (5) Separately Managed Account Coverage ("SMA"). In total this network gives the County access to 15,360 brokers across the nation, **including 1,393**

brokers in Florida located in 101 offices. Citi will look to all of our brokers across the nation, including in Florida, to distribute the City's bonds.

On the institutional side, Citi's commitment to the municipal market is unmatched as evidenced by the Greenwich Associates survey. The Greenwich Associates survey of over 70 of the most important institutional municipal bond investors ranked the overall quality of Citi's sales and trading operation as exceptional, saying, **"Citi continues to provide extraordinary service levels and leads all other dealers by a remarkable distance."** The Greenwich Associates survey confirms that Citi stands out from other firms in the quality of our relationships with institutions, the wide-range of institutional clients that we access and the full professional staffing that we provide to assist institutional transactions. As shown in the accompanying table, Citi ranked #1 for the last three years in categories such as executing large trades, providing consistent liquidity and market flow information. Citi has also consistently ranked #1 in the Greenwich Associates survey of market share in key municipal products including municipal derivatives. Our understanding of customer needs and market making support provides Citi with some of the strongest investor relationships on the street. We simply have the leading distribution network which will ensure the City realizes the greatest demand (and in turn the lowest yields) for its financings.

Greenwich Survey Results
(Citi Rankings 2012 - 2014)

Category	2012	2013	2014
Understanding and Acting on Customers' Needs	#1	#1	#1
Executing Large Trades (<$10mm)	#1	#1	#1
Providing Consistent Liquidity	#1	#1	#1
Fastest Bids and Offers	#1	#1	#1
Market Flow Information	#1	#1	#1
Intense Sales Coverage	#1	#1	#1
Use Research to Provide Trade Ideas	#1	#1	#1

10. Provide the names of two law firms and the lawyer that may be retained as underwriter's counsel should your firm be selected.

We have provided two recommendations for Underwriter's Counsel for the City's consideration. We would be happy to work with any other firms that the City suggests or work with the City to determine which Underwriters' Counsel is most qualified.

Citi's Underwriters Counsel Preferences	
GrayRobinson, PA	**Nabors Giblin & Nickerson, PA**
Brian J. Fender GrayRobinson, PA 401 East Jackson Street Suite 2700 Tampa, FL 33602 Phone: (813) 273-5000 Fax: (813) 273-5145 Email: brian.fender@gray-robinson.com	**Steven E. Miller** Nabors Giblin & Nickerson, PA 2502 Rocky Point Drive Suite 1060 Tampa, FL 33607 Phone: (813) 281-2222 Fax: (813) 281-0129 Email: smiller@ngn-tampa.com

11. Provide recommendations regarding the use or non-use of bond insurance based on the current market conditions. (This is a word document, please add below and limit to one (1) page)

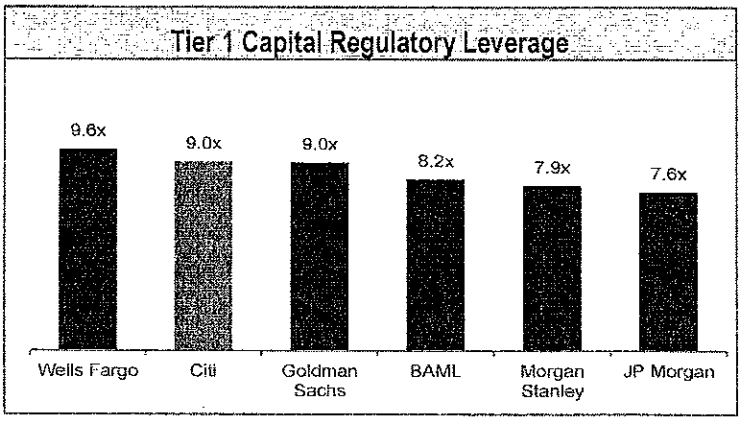
The aftermath of the financial crisis left most municipal bond insurers' policies providing little, if any, value. Since that time several bond insurers have divested their balance sheets and recapitalized in order to effectively target, and provide value to, municipal issuers. For example, Assured Guaranty maintains a "AA" S&P rating, and has been active in our markets. Build America Mutual ("BAM") is a relatively new municipal bond insurer with a "AA" S&P rating. While BAM initially targeted issues smaller than $50 million, they have since expanded their presence to issues of upwards of $100 million. Furthermore, National Public Finance Guarantee ("National"), the old MBIA, has begun insuring transactions in the primary market. While National maintains "AA+" from Kroll and "AA-" from S&P, their business model thus far seems to be focused on lessor-rated credits, given the spread compression that the bond insurers are currently experiencing.

Citi's approach to municipal bond insurance is on a case-by-case basis. Under current market conditions, we have seen insurance most beneficial for credits in the "A" to low "AA" space. Working with our clients and their financial advisors, it has been our recommendation that issuers seek a quote from the viable insurance providers with the decision as to whether or not to insure all, or part, of the transaction made closer to pricing.

Since the aftermath of the financial crisis, the City has utilized insurance on a case-by-case basis and, overall, have issued their bonds on an uninsured basis. However, we would note that a majority of the City's credits are currently rated in the aforementioned "A" to low "AA" range; therefore, should we be fortunate to serve as a senior manager to the City, we would recommend that they obtain insurance quotes. Working with our underwriters and sales force, we would make the decision as to the use of insurance as we approach the pricing date.

12. Please provide the firm's Capital Position over the last 3 years in tabular format.

Leading Capital Strength and Credit Ratings. As portrayed at right, Citi ranks as one of the strongest and best capitalized financial services companies, which provides a strong foundation for future growth. The Firm's Tier 1 Capital levels alone surpass nearly all of our competitors. This translates to a strong commitment of capital available to our municipal clients, such as the City.



In March 2015, Citi passed the Federal Reserve's bank stress test and outperformed many of our peers. As reported by Bloomberg: "Citigroup Inc's plans to return capital to shareholders got the cleanest approval from the Federal Reserve among all Wall Street Banks." This significant milestone underscores Citi's commitment to maintaining an industry-leading capital position and the strategic repositioning of the firm has led to a stabilization and/or improvement of Citi's long- and short-term ratings. Citibank, N.A. also maintains the highest short-term ratings from all rating agencies.

Citi Capital Position ($millions)			
As of	9/30/2015	12/31/2014	12/31/2013
Total Capital	$19,407	$19,646	$18,163
Equity Capital	$9,458	$9,197	$9,716
Net Capital	$6,059	$5,521	$5,401
Excess Net Capital	$4,709	$4,375	$4,571

Citi remains firmly committed to providing investor liquidity for appropriately structured financing programs. While the turbulent markets over the past few years resulted in retrenchment and restructurings at nearly all investment banks, no actions taken by Citi have changed the firm's commitment to its public finance practice or reduced the underwriting capital and professional staffing that Citi has available to serve the City. Citi allocates capital to its various business units based on their size, activity and the return that is generated by each unit. Citi has currently allocated $36 billion to the Municipal Securities Division. Citi's capital position enables us to support our clients' new issues and remarketings. In addition, the Municipal Securities Division of Citi can underwrite and own up to $1 billion of unsold balances of a single transaction without additional internal approvals. Citi does not have a specific policy on the amount of investment grade or non-investment grade bonds it can underwrite, but rather looks at each transaction on a case by case basis. It is always a priority of our division to commit the necessary capital to ensure our clients' transactions are successful. Citi maintains the largest commitment to municipal finance of any firm, and we would look forward to putting our resources to work for the City.

13. Discuss and provide specific information concerning the in-house technical support, computer modeling and financing analysis capabilities. (This is a word document, please include below, limit to ½ page

Skilled Technical Resources. Citi has developed an enviable reputation in the municipal industry for our technical proficiency in structuring tax-exempt financings, as well as our creativity in generating innovative ideas and structures. Unlike many firms, we do not have a separate group of technicians who only run computer programs, but instead emphasize technical proficiency among the banking professionals in all functional groups and levels of seniority. Our team uses state-of-the-art computers and software to perform analyses, including DBC Finance, a municipal bond

structuring program, and Microsoft Office (i.e. Excel). The goal of structuring a transaction is to simply meet all the goals of the client. To meet these objectives, Citi uses a range of analytical tools.

- **Linear Optimization Program.** Many Citi transactions are analyzed using modeling programs that permit maximum flexibility when structuring. Different financing structures are linearly optimized by our proprietary models. Given specified financing constraints (i.e., coverage requirements, refunding priorities, or cash flow constraints), these models solve for a specific structure that meets all cash flow requirements.
- **Option Adjusted Spread Model.** Some of today's investors require premium coupon bonds to avoid tax-related de minimis issues; however, such bonds are not always optimal for certain structures. Therefore, the economic benefits of various coupon options must be analyzed. Our sophisticated Option Adjusted Spread ("OAS") computer model calculates the value of a bond's redemption provision given different assumptions of future rate environments. With this model, we analyze the pricing of each and every callable premium bond. The OAS results enable issuers to issue debt under an optimal coupon structure that will minimize debt service costs, maximize proceeds and provide a high level of financial flexibility.

Citi's bankers are known for our technical expertise, and we look forward to apply these skills to the benefit of the City.

14. A description of any litigation or regulatory action filed against the Proposer since January 1, 2012 and the resolution thereof. Identify fully the extent to which your firm or individual partners or employees are the subject of any ongoing municipal securities investigation, litigation, arbitration or subject to a subpoena in connection with such investigation, litigation, or arbitration. (This is a work document, please include below)

From time to time Citigroup Global Markets Inc. ("CGMI") and its employees are the subject of inquiries and investigations conducted by federal or state regulatory agencies. CGMI routinely cooperates with such investigations. In addition, CGMI is involved in a number of civil legal proceedings and arbitration proceedings concerning matters arising in connection with its business. As a public company, Citigroup Inc., the parent company of CGMI, files periodic reports with the Securities and Exchange Commission (the "SEC") as required by the Securities Exchange Act of 1934, as amended (the "Exchange Act"), which include current descriptions of material regulatory proceedings, investigations and litigation, if any, concerning CGMI. Copies of the Litigation section of Citigroup Inc.'s 10-K, 10-Qs and 8-Ks describing such material events can be found at http://www.citigroup.com/citi/fin/sec.htm. Copies of Citigroup Inc.'s periodic reports are also on file with the SEC. CGMI's Form BD is on file with the SEC and with each State.

To the best of our knowledge, information and belief, we are not aware of any threatened or pending proceedings, investigations or litigation concerning CGMI or Citigroup Inc. which could reasonably be expected to have a material adverse effect on CGMI's ability to perform the services contemplated by the Request for Proposal.

The following are summaries of certain litigation and/or regulatory matters involving municipal securities during the last five years ending June 30, 2015:

Municipal Continuing Disclosure Cooperation Initiative: On June 18, 2015, the SEC announced that CGMI agreed to a settlement of allegations pursuant to the SEC's Municipalities Continuing Disclosure Cooperation Initiative (the "MCDC Initiative") that, in connection with CGMI's underwriting of certain municipal securities offerings, it willfully violated Section 17(a)(2) of the Securities Act of 1933 ("Securities Act") (the "Order"). The MCDC Initiative was a voluntary initiative pursuant to which the SEC encouraged municipal issuers and underwriters to self-report violations involving materially inaccurate statements relating to prior compliance with the continuing disclosure obligations specified in Rule 15c2-12 under the Exchange Act. Without admitting or denying the findings contained in the Order, with the exception of the SEC's jurisdiction over it and the subject matter of the proceedings, CGMI agreed to consent to: (a) cease and desist from committing or causing any violations and any future violations of Section 17(a)(2) of the Securities Act, (b) pay a civil money penalty in the amount of $500,000, and (c) comply with certain undertakings to retain an independent consultant to conduct a review of its policies and procedures as they relate to municipal securities underwriting due diligence.

California Public Securities Association Fees: On December 27, 2012, CGMI, along with Morgan Stanley, Goldman Sachs, Bank of America, and JP Morgan (collectively, the "Firms"), settled an inquiry made by the Financial Industry Regulatory

Authority ("FINRA"). Each Firm signed a separate letter of Acceptance, Waiver and Consent ("AWC"), in which, without admitting or denying the allegations contained therein, such Firm consented to an order alleging violations of: (i) MSRB Rule G-17, by unfairly requesting and receiving reimbursements for California Public Securities Association ("Cal PSA") underwriting assessments which were not fairly associated with the underwritings, and (ii) MSRB Rule G-27, by failing to: (a) adopt, maintain and enforce written supervisory procedures for reviewing and disclosing expenses for Cal PSA and other municipal securities associations ("MSAs"); and (b) adopt, maintain and enforce adequate systems and written supervisory procedures reasonably designed to monitor how the MSAs used the funds provided to them.

As part of its AWC, CGMI consented to the imposition of: (i) a censure; (ii) a monetary fine in the amount of $888,000; and (iii) restitution to certain issuers located in California in the amount of $391,106.53. In addition, CGMI agreed to review its written supervisory procedures and systems and to undertake the necessary revisions to its procedures and systems in order to ensure that the procedures and systems are in compliance with MSRB Rule G-27.

Auction Rate Securities: Beginning in March 2008, Citigroup Inc. and certain of its subsidiaries were named as defendants in numerous actions and proceedings brought by Citigroup shareholders and customers concerning Auction Rate Securities ("ARS"). These have included, among others: (i) numerous arbitrations filed by customers and issuers ARS against Citigroup Inc. and its subsidiaries seeking damages which are in various procedural stages; (ii) a consolidated putative class action asserting claims for federal securities and other statutory and common law violations, in which a motion to dismiss was granted and affirmed on appeal by order dated March 27, 2012; and (iii) two putative class actions asserting violations of Section 1 of the Sherman Act, which have been dismissed and the decision was affirmed by order dated March 5, 2013.

Reinvest Inquiry: CGMI received subpoenas from the U.S. Department of Justice and the SEC regarding certain practices in the municipal reinvest and derivatives markets. We have cooperated with the authorities in this investigation and have received no further requests for information.

Reinvest and Derivatives Litigation: Citibank, N.A., Citigroup Financial Products, Inc. and Citigroup Global Markets Holdings, Inc. (collectively "Citigroup") were named along with more than 32 other defendants in suits filed primarily by California municipalities. Citigroup is not named in the nation-wide class action and is only named in individual cases filed in California and New York. The plaintiffs in the suits naming Citigroup allege that the numerous defendants (providers and brokers) conspired to set prices in the marketplace for many types of municipal derivatives including guaranteed investment contracts ("GICs"), repurchase agreements, collateralized GICs, unsecured or uncollateralized GICS, forward purchase agreements, advanced refunding escrows, forward sales agreements, swaps, options, swaptions, ceilings, floors and collars. These cases were dismissed without prejudice on September 25, 2015.

15. Using the Reference Form submit three (3) references form the last three years from local governments in the State of Florida for which the firm provided underwriting services

Please refer to **Appendix C.**

Addendum Acknowledgment

Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued

Certification

This E-RFQ is submitted by: Name (print) Kevin Dempsey who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFQ is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFQ, and to accept the City's Contract and Terms and Condition in its entirety

I agree to abide by all conditions of this E-RFQ.:

	Vice President
Signature	Title

Drug-Free Workplace Form

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that

Citigroup Global Markets Inc. does:

(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

December 1, 2015

Date

Checklist

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

__1____ Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFQ #20160020, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, ,, three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

__x___ All questions on the questionnaire are complete and thoroughly answered

__x___ Each Bid Addendum (when issued) is acknowledged

__x___Certified Minority Business Certificate if Applicable

__x___W9 Form

__x___Current Certificate of Insurance

__x___ Three (3) Reference Forms from local Florida governments

__x___Drug Free Workplace Form

__x___ Have reviewed the Contract and accept all City Terms and Conditions

__x___Checklist

Appendix A	National Transaction List

National Transaction List
Negotiated & Competitive
(January 1, 2011 – Present)

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
07/02/2002	NYC Transitional Finance Auth	Future Tax Secured Ref Bonds	2003 Subseries A-1	508.10	Co-Mgr
03/05/2010	Kansas Development Fin Auth	Revenue Bonds	Series 2015 A	230.48	Sr Mgr
06/28/2010	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2012 Series E	11.07	Sr Mgr
11/10/2010	Ohio Water Development Authority	Environmental Imp Rev Ref Bonds	Series 2011	21.80	Co-Mgr
01/01/2011	Connecticut Regional SD #18	General Obligation Bonds	Issue of 2011	14.50	Co-Mgr
01/18/2011	Middlesex Co-New Jersey	GO Redevelopment Bonds	Series 2011	47.44	Co-Mgr
01/20/2011	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	Series C	875.00	Co-Mgr
01/25/2011	King Co-Washington	Sewer Revenue Bonds	Series 2011	175.00	Sr Mgr
01/25/2011	So California Pub Pwr Au (SCPPA)	Revenue Bonds	2011 Sub Ser B	27.64	Sr Mgr
01/25/2011	So California Pub Pwr Au (SCPPA)	Revenue Refunding Bonds	2011 Sub Ser A	169.35	Sr Mgr
01/25/2011	Porterville Irrigation Dt	Certificates of Participation	Series 2011 A	5.19	Sr Mgr
01/25/2011	NYS Dorm Authority	Revenue Bonds	Series 2011 A	130.93	Co-Mgr
01/27/2011	NYC Municipal Water Fin Auth	Water and Sewer Sys Rev Bonds	Series EE	450.00	Co-Mgr
02/01/2011	Travis Co (Pflugerville) ISD	Unlimited Tax Refunding Bonds	Series 2011	23.66	Sr Mgr
02/01/2011	Faulkner Co (Conway) SD #1	Construction Bonds	Series B	31.41	Co-Mgr
02/01/2011	Weston Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2011	21.92	Co-Mgr
02/01/2011	Bangor City-Maine	General Obligation Bonds	2011 Series	6.39	Co-Mgr
02/02/2011	Washington	General Obligation Bonds	Series 2011 T-2	90.38	Sr Mgr
02/03/2011	Tigard City-Oregon	GO Refunding Bonds	Series 2011 B	8.66	Sr Mgr
02/08/2011	Seattle City-Washington	Muni Light & Pwr Imp & Ref Bonds	Series 2011 A	296.32	Sr Mgr
02/08/2011	Newport Beach City-California	Revenue Bonds	Series 2011 A	105.39	Sr Mgr
02/08/2011	Washington	Certificates of Participation	Series 2011 A	14.27	Co-Mgr
02/09/2011	Oklahoma City Water Utilities Trust	Water & Sewer Sys Rev Ref Bonds	Series 2011	68.73	Co-Mgr
02/09/2011	Glens Falls-New York	Public Improvement Bonds	Series 2011	11.91	Co-Mgr
02/10/2011	ABAG Fin Au for Nonprofit Corp	Revenue Bonds	Series 2011 A	77.71	Sr Mgr
02/10/2011	Florida Board of Governors	Parking Facility Revenue Bonds	Series 2011 A	22.15	Sr Mgr
02/10/2011	Raleigh City-North Carolina	Combined Enterprise Sys Rev Bonds	Series 2011	108.34	Sr Mgr
02/10/2011	Franklin Co (Salmon River) CSD	GO Qualified Zone Academy Bonds	Series 2011	9.66	Co-Mgr
02/10/2011	NYC Transitional Finance Auth	Future Tax Secured Bonds	2011 Subseries D-1	775.00	Co-Mgr
02/10/2011	Rockville Centre Vlg-New York	Public Improvement Bonds	Series 2011	7.12	Co-Mgr
02/15/2011	Douglas Co-Georgia	GO Sales Tax Bonds	Series 2011	97.25	Sr Mgr
02/15/2011	Sturbridge Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2011	15.70	Sr Mgr
02/15/2011	La Crosse City-Wisconsin	GO Corporate Purpose Bonds	Series 2011 A	4.63	Sr Mgr
02/15/2011	Brookline Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2011	11.03	Co-Mgr
02/15/2011	Massachusetts	GO Refunding Bonds	2011 Series A	146.28	Co-Mgr
02/15/2011	Cambridge City-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2011	46.22	Co-Mgr
02/15/2011	Newtown Town-Connecticut	General Obligation Bonds	Issue of 2011	14.02	Co-Mgr
02/17/2011	Georgia Environmental Loan Acq	Loc Gov Loan Securitization Bonds	Series 2011	29.26	Co-Mgr
02/17/2011	Ohio Public Fac Commission	General Obligation Bonds	Series 2011 A	50.00	Co-Mgr
02/17/2011	Ohio Public Fac Commission	GO Infrastructure Imp Bonds	Series 2011 A	120.00	Co-Mgr
02/17/2011	Puerto Rico	GO Public Improvemnet Ref Bonds	Series 2011 A	356.52	Co-Mgr
02/22/2011	South Windsor Town-Connecticut	General Obligation Bonds	Issue of 2011	9.65	Co-Mgr
02/23/2011	Hicksville Water Dt	Water District Bonds	Series 2011	13.00	Co-Mgr
02/24/2011	Des Moines City-Iowa	Water Revenue Ref Bonds	Series 2011	10.25	Sr Mgr
02/24/2011	Forsyth Co Water & Sewerage Auth	Refunding Revenue Bonds	Series 2011	28.48	Sr Mgr
02/24/2011	Imperial Irrigation Dt	Electric System Ref Revenue Bonds	Series 2011 A	78.07	Sr Mgr
02/25/2011	Anderson Co-Tennessee	Rural Elem School & GO Ref Bonds	Series 2011 A-C	4.53	Sr Mgr
02/25/2011	Nantucket Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2011	5.00	Co-Mgr
03/01/2011	Oyster Bay Town-New York	Public Improvement Bonds	Series 2011	61.58	Sr Mgr
03/01/2011	Davis SD BOE	GO School Building Bonds	Series 2011 A	45.00	Sr Mgr
03/01/2011	Atlantic City SD BOE	School Bonds		30.00	Sr Mgr
03/01/2011	St Martin Parish SD	GO School Bonds	Series 2011	10.00	Co-Mgr
03/01/2011	San Joaquin Co Transportation Au	Measure K Sales Tax Revenue Bonds	2011 Series A	212.18	Co-Mgr
03/02/2011	Washington Health Care Facs Au	Revenue Bonds	Series 2011 B & C	175.00	Sr Mgr
03/02/2011	Washington Health Care Facs Au	Revenue Bonds	Series 2011 A	175.00	Sr Mgr
03/03/2011	Univ of Oklahoma Bd of Regents	General Revenue Bonds	Series 2011 B	34.93	Sr Mgr
03/03/2011	Kentucky Asset/Liability Comm	Funding Notes	Gen Fd 1st Ser 2011	269.82	Co-Mgr
03/09/2011	Howard Co-Maryland	Consol Pub Imp & Metro Dt Bonds	2011 Series A	161.11	Sr Mgr
03/09/2011	Florida Housing Finance Corp	Homeowner Mortgage Revenue Bonds	2011 Series A	60.00	Co-Mgr
03/10/2011	Orange Co-New York	Various Purpose Bonds	Series 2011 A	20.70	Sr Mgr
03/10/2011	Montclair Twp-New Jersey	Gen Imp & Wtr & Swr Util Bonds		19.58	Co-Mgr
03/10/2011	Montclair Twp-New Jersey	School Bonds		21.66	Co-Mgr
03/15/2011	Manhattan City-Kansas	GO Temporary Notes	Series 2011-01	5.11	Sr Mgr
03/15/2011	Milwaukee Co-Wisconsin	GO Refunding Bonds	Series 2011 A	35.10	Sr Mgr
03/15/2011	New Fairfield Town-Connecticut	General Obligation Bonds	Issue of 2011	12.00	Co-Mgr
03/15/2011	Lubbock City-Texas	GO Ref Tax Wtr Rev Certs of Oblig	Series 2011	142.69	Co-Mgr
03/17/2011	NYS Environmental Facs Corp	State Revolving Funds Rev Bonds	Series 2011 A	132.75	Sr Mgr
03/17/2011	San Francisco City/Co Redev Success Agy	Hotel Occupancy Tax Rev Ref Bonds	Series 2011	43.78	Sr Mgr
03/17/2011	Puerto Rico	GO Public Improvement Ref Bonds	Series 2011 C	442.02	Co-Mgr
03/22/2011	Clark Co SD	GO Limited Tax Refunding Bonds	Series 2011 A	69.16	Sr Mgr
03/22/2011	Santa Clara City-California	Electric Revenue Refunding Bonds	Series 2011 A	54.83	Sr Mgr
03/22/2011	Lower Pottsgrove Twp Auth	Guaranteed Sewer Revenue Notes	Series of 2011	9.93	Co-Mgr
03/22/2011	Maryland	GO State Local Facs Loan Bonds	First Ser A of 2011	130.77	Co-Mgr
03/23/2011	Vermont Municipal Bond Bank	Qualified Sch Construction Bonds	2011 Series 1	9.50	Sr Mgr

National Transaction List
Negotiated & Competitive
(January 1, 2011 – Present)

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
03/24/2011	Knox Co Hlth Ed & Hsg Facs Board	Hospital Revenue Refunding Bonds	Series 2011 A & B	103.70	Sr Mgr
03/24/2011	Connecticut	State Revolv Fund Gen Rev Bonds	2011 Series A	182.94	Co-Mgr
03/29/2011	Macomb Co Comm College Dt	GO Unltd Tax Comm Coll Facs Bonds	Series 2011	14.32	Sr Mgr
03/29/2011	Miami-Dade Co School Board	Certificates of Participation	Series 2011 B	137.66	Sr Mgr
03/29/2011	Miami-Dade Co School Board	Certificates of Participation	Series 2011 A	139.06	Sr Mgr
03/29/2011	Oregon	General Obligation Bonds	2011 Series A	16.40	Co-Mgr
03/29/2011	Oregon	General Obligation Bonds	2011 Series B	22.46	Co-Mgr
03/30/2011	Massachusetts	GO Refunding Bonds	2011 Series B	80.01	Co-Mgr
03/30/2011	Georgia Environmental Loan Acq	Loan Pool Loc Gov Loan Sec Bonds	Series 2011	202.76	Co-Mgr
03/31/2011	Village Community Dev Dt #9	Special Assessment Revenue Bonds	Series 2011	55.12	Sr Mgr
03/31/2011	Georgia State Road & Tollway Auth	Guar Rev Refunding Bonds	Series 2011 A	191.34	Sr Mgr
03/31/2011	Georgia State Road & Tollway Auth	Guar Rev Refunding Bonds	Series 2011 B	153.09	Sr Mgr
03/31/2011	Florida Housing Finance Corp	Homeowner Mtg Rev & Ref Bonds	2011 Series 1	50.36	Sr Mgr
03/31/2011	Florida Housing Finance Corp	Homeowner Mtg Rev & Ref Bonds	2011 Series 2-3	34.86	Sr Mgr
03/31/2011	Lexington Co SD #1	General Obligation Bonds	Series 2011	35.71	Sr Mgr
03/31/2011	Oregon Dept of Admin Services	Oregon St Lottery Revenue Bonds	2011 Series C	25.83	Sr Mgr
03/31/2011	Oregon Dept of Admin Services	Oregon St Lottery Revenue Bonds	2011 Series A & B	153.05	Sr Mgr
03/31/2011	Portland City-Oregon	Urban Renewal & Redev Bonds	2011 Series A	10.21	Sr Mgr
03/31/2011	Portland City-Oregon	Urban Renewal & Redev Bonds	2011 Series B	19.49	Sr Mgr
03/31/2011	Simsbury Town-Connecticut	General Obligation Bonds	Issue of 2011	5.00	Co-Mgr
03/31/2011	NYS Housing Fin-Mortgage Agcy	Mortgage Revenue Bonds	46th & 47th Series	115.41	Co-Mgr
03/31/2011	Metropolitan Transport Auth (MTA)	Dedicated Tax Fund Ref Bonds	Series 2011 A	127.45	Co-Mgr
03/31/2011	NYC Municipal Water Fin Auth	Wtr & Swr Sys Revenue Bonds	2011 Series GG	541.81	Co-Mgr
04/01/2011	Tulsa Co (Union) ISD #9	Building Bonds	Series of 2011	21.60	Sr Mgr
04/01/2011	New York City-New York	General Obligation Bonds	Ser H Subser H-4	45.13	Co-Mgr
04/01/2011	New York City-New York	General Obligation Bonds	2011 Ser I Sub I-1	400.00	Co-Mgr
04/01/2011	Lewisville City-Texas	Wtr Swr Sys Rev Ref & Imp Bonds	Series 2011	13.47	Co-Mgr
04/01/2011	Boone Co SD Finance Corp	School Building Revenue Bonds	Series 2011	16.59	Co-Mgr
04/01/2011	Starr Co (Rio Grande) CISD	Unlimited Tax Refunding Bonds	Series 2011	8.92	Co-Mgr
04/04/2011	St Paul City-Minnesota	GO Street Imp Assessment Bonds	Series 2011 B	12.50	Co-Mgr
04/05/2011	Energy Northwest	Electric Revenue Ref Bonds	Series 2011-B	29.92	Sr Mgr
04/05/2011	Energy Northwest	Electric Revenue Refunding Bonds	Series 2011-A	403.53	Sr Mgr
04/05/2011	Terrebonne Parish-Louisiana	Morganza Levee Improvement Bonds	Series ST-2011	49.00	Co-Mgr
04/06/2011	Scottsdale City-Arizona	GO Refunding Bonds	Series 2011	43.12	Co-Mgr
04/07/2011	New Brunswick Housing Authority	Lease Revenue Refunding Bonds	Series 2011	31.35	Sr Mgr
04/07/2011	Oregon Hsg & Comm Svcs Dept	Housing Revenue Bonds	2011 Series A	24.00	Co-Mgr
04/07/2011	Clifton City-New Jersey	General Obligation Bonds	Series 2011	6.78	Co-Mgr
04/07/2011	Wellesley Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2011	22.61	Co-Mgr
04/12/2011	Dutchess Co (Wappingers) CSD	School District Refunding Bonds	Series 2011	7.95	Co-Mgr
04/12/2011	Peekskill City-New York	Public Improvement Serial Bonds	Series 2011A	9.81	Co-Mgr
04/12/2011	Mansfield Twp-New Jersey	GO Gen Imp & Assessment Bonds		5.89	Co-Mgr
04/12/2011	San Jose City-California	Special Hotel Tax Revenue Bonds	Series 2011	107.43	Co-Mgr
04/12/2011	San Jose Financing Authority	Lease Revenue Bonds	Series 2011 A	30.99	Co-Mgr
04/12/2011	East Fishkill Town-New York	Public Improvement Bonds	Series 2011	7.31	Co-Mgr
04/13/2011	Essex Co Improvement Authority	GO Guar Revenue Ref Bonds	Series 2011	9.09	Sr Mgr
04/13/2011	Hennepin Co (Hopkins) ISD #270	GO School Building Bonds	Series 2011 B	3.00	Co-Mgr
04/14/2011	Denver City and Co-Colorado	Airport System Revenue Bonds	Series 2011 A	349.73	Sr Mgr
04/14/2011	Tennessee Hsg Dev Agcy (THDA)	Housing Finance Program Bonds	Issue 2011 A	40.00	Co-Mgr
04/14/2011	Collier Co Industrial Dev Auth	Healthcare Facs Revenue Bonds	Series 2011	102.12	Co-Mgr
04/14/2011	Sunshine State Govt Fin Commiss	Revenue Bonds	Series 2011 A	247.60	Co-Mgr
04/15/2011	California Statewide CDA (CSCDA)	Multi-Family Housing Rev Bonds	2011 Series A	1.55	Sr Mgr
04/15/2011	Albany Co (Cohoes) CSD	School District Serial Bonds	Series 2011	18.63	Co-Mgr
04/15/2011	Elizabeth City-New Jersey	Gen Improvement & Refunding Bonds	Series 2011	13.99	Co-Mgr
04/18/2011	Mount Laurel Twp-New Jersey	General Obligation Bonds	Series 2011 A	18.60	Sr Mgr
04/19/2011	Newberry Co-South Carolina	General Obligation Bonds	Series 2011	15.63	Sr Mgr
04/19/2011	Indiana Finance Authority	Hospital Revenue Bonds	Series 2011 A & C	87.76	Sr Mgr
04/19/2011	Orange Co (Monroe-Woodbury) CSD	School District Refunding Bonds	Series 2011	7.91	Co-Mgr
04/19/2011	Orange Co (Minisink Vly) CSD	School District Bonds	Series 2011	6.90	Co-Mgr
04/19/2011	Braintree Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2011	11.96	Co-Mgr
04/19/2011	Kentucky Turnpike Authority	Eco Dev Road Revenue & Ref Bonds	2011 Series A	115.18	Co-Mgr
04/19/2011	Philadelphia City-Pennsylvania	General Obligation Bonds	Series 2011	253.72	Co-Mgr
04/20/2011	Anne Arundel Co-Maryland	Consolidated General Imp Bonds	Series 2011	125.70	Sr Mgr
04/20/2011	Orlando Utilities Commission	Utility System Revenue Ref Bonds	Series 2011 A	98.36	Sr Mgr
04/20/2011	Franklin Co (Waynesboro) ASD	General Obligation Bonds	Series of 2011	9.10	Co-Mgr
04/21/2011	Bucks Co (Central Bucks) SD	General Obligation Bonds	Series C of 2011	47.78	Sr Mgr
04/25/2011	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2011 Series E & F	649.43	Co-Mgr
04/28/2011	Alameda Co (San Lorenzo) USD	General Obligation Bonds	Series B	27.73	Sr Mgr
04/28/2011	North Texas Tollway Auth (NTTA)	BANs	Series 2011 A & B	418.41	Sr Mgr
04/28/2011	North Texas Tollway Auth (NTTA)	Special Projects System Rev Bonds	Series 2011A-C	672.83	Sr Mgr
04/28/2011	Metropolitan Transport Auth (MTA)	Dedicated Tax Fund Bonds	Ser 2004 B- 1 2 & 4	294.46	Co-Mgr
04/28/2011	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2011 Series B1-2 & C	28.32	Co-Mgr
04/29/2011	San Diego City Housing Auth	Multi-Family Housing Rev Bonds	Series 2011 B	14.50	Sr Mgr
05/03/2011	St Lucie Co School Board	Ref Certificates of Participation	Series 2011 A	54.86	Sr Mgr
05/03/2011	San Diego Co-California	Certificates of Participation	2011 Series	19.26	Sr Mgr
05/04/2011	Alameda Co (Piedmont) USD	General Obligation Bonds	Series D	10.00	Sr Mgr
05/04/2011	Saratoga Co (Shenendehowa) CSD	GO School District Bonds	Series 2011	8.54	Co-Mgr
05/04/2011	Georgia Muni Electric Au (MEAG)	Subordinated Bonds	Series 2011 C	2.72	Co-Mgr
05/04/2011	Georgia Muni Electric Au (MEAG)	Subordinated Bonds	Series 2011 A&B	334.08	Co-Mgr

National Transaction List
Negotiated & Competitive
(January 1, 2011 – Present)

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
05/05/2011	Oregon Facilities Authority	Refunding Revenue Bonds	2011 Series A	111.47	Sr Mgr
05/05/2011	Bemidji City-Minnesota	GO Public Utility Revenue Bonds	Series 2011B	4.76	Sr Mgr
05/05/2011	Chicago City-Illinois	PFC Revenue Refunding Bonds	Series 2011 A	12.19	Sr Mgr
05/05/2011	Chicago City-Illinois	Gen Airport Third Lien Rev Bonds	Series 2011 A-C	1000.00	Sr Mgr
05/05/2011	Chicago City-Illinois	PFC Revenue Refunding Bonds	Series 2011 B	33.82	Sr Mgr
05/05/2011	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2011 Series A	26.40	Co-Mgr
05/05/2011	Massachusetts Dev Finance Agcy	Revenue Bonds	Series B 2011	83.56	Co-Mgr
05/05/2011	Massachusetts Dev Finance Agcy	Revenue Bonds	Series A 2011	259.93	Co-Mgr
05/10/2011	Adams Co (Conewago Vlly) SD	General Obligation Bonds	Series of 2011	5.00	Co-Mgr
05/11/2011	Manatee County School Board	Certificates of Participation	Series 2011 A	39.65	Sr Mgr
05/11/2011	Louisiana Public Facs Auth (LPFA)	Revenue Bonds	Series 2011	150.00	Sr Mgr
05/11/2011	Chandler City-Arizona	Excise Tax Revenue Obligations	Series 2011	15.00	Co-Mgr
05/12/2011	Kentucky Housing Corporation	Housing Revenue Bonds	2011 Series A	20.00	Sr Mgr
05/12/2011	New Jersey Trans Trust Fund Au	Transportation System Bonds	2011 Series A	600.00	Co-Mgr
05/15/2011	Falmouth Town-Maine	General Obligation Bonds	Series 2011	37.74	Sr Mgr
05/15/2011	Collin Co-Texas	Ltd Tax Permanent Imp Bonds	Series 2011	2.10	Sr Mgr
05/15/2011	Clark Co-Nevada	Airport Sys Jr Sub Lien Rev Notes	Series 2011 A	100.00	Sr Mgr
05/15/2011	Harris Co (Humble) ISD	School Building & Refunding Bonds	Series 2011 A & B	57.40	Co-Mgr
05/15/2011	Fargo City-North Dakota	Refunding Improvement Bonds	Series 2011 B	5.43	Co-Mgr
05/15/2011	Fargo City-North Dakota	Refunding Improvement Bonds	Series 2011 A	19.18	Co-Mgr
05/15/2011	Billerica Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2011	6.66	Co-Mgr
05/15/2011	Schenectady City-New York	Public Improvement Bonds	Series 2011	12.57	Co-Mgr
05/19/2011	Oregon	General Obligation Bonds	2011 Series H	68.91	Sr Mgr
05/19/2011	Oregon	General Obligation Bonds	2011 Series G	51.23	Sr Mgr
05/19/2011	Oregon	General Obligation Bonds	2011 Series F	6.29	Sr Mgr
05/19/2011	Oregon	General Obligation Bonds	2011 Series E	51.67	Sr Mgr
05/19/2011	Calhoun-Georgia	GO School Bonds	Series 2011	21.00	Sr Mgr
05/19/2011	Massachusetts Water Resources Au	General Revenue Bonds	2011 Series B	150.00	Co-Mgr
05/19/2011	Massachusetts Housing Fin Auth	Single Family Housing Rev Bonds	Series 155	24.00	Co-Mgr
05/19/2011	Charlotte-Mecklenburg Hosp Auth	Health Care Revenue Bonds	Series 2011 A	150.00	Co-Mgr
05/19/2011	Illinois Finance Authority	Revenue Bonds	Series 2011 A	234.74	Co-Mgr
05/24/2011	Alameda Co (San Leandro) USD	General Obligation Bonds	Series A	30.00	Co-Mgr
05/25/2011	Batesville School Bldg Corp	Ad Valorem Prop Tax First Mtg	Series 2011	12.00	Sr Mgr
05/25/2011	Tustin Public Financing Auth	Water Revenue Bonds	2011 Series A	20.76	Sr Mgr
05/25/2011	El Dorado Co (Buckeye) USD	Certificates of Participation	Series 2011	11.50	Co-Mgr
05/25/2011	Santa Clara Co (Los Gatos) USD	General Obligation Bonds	Series 2011 B	4.34	Co-Mgr
05/25/2011	San Diego Co (Grossmont) UHSD	General Obligation Bonds	2011 Series C	15.00	Co-Mgr
05/25/2011	San Diego Co (Grossmont) UHSD	General Obligation Bonds	2011 Series D	25.00	Co-Mgr
05/26/2011	Fairfax Co Economic Dev Auth	Transportation Dt Imp Rev Bonds	Series 2011	205.71	Sr Mgr
05/26/2011	Florida Ports Financing Comm	Refunding Revenue Bonds	Series 2011 A	66.30	Sr Mgr
05/26/2011	Florida Ports Financing Comm	Refunding Revenue Bonds	Series 2011 B	49.33	Sr Mgr
05/26/2011	Maricopa Co Community College Dt	General Obligation Bonds	Series D (2011)	150.00	Sr Mgr
05/26/2011	Florida Ports Financing Comm	Refunding Revenue Bonds	Series 2011 A	10.65	Sr Mgr
05/26/2011	Florida Ports Financing Comm	Refunding Revenue Bonds	Series 2011 B	141.67	Sr Mgr
05/26/2011	Suffolk Co-New York	Public Improvement Serial Bonds	2011 Series A	100.11	Sr Mgr
05/26/2011	Oregon	General Obligation Bonds	2011 Series I	7.49	Sr Mgr
05/26/2011	Oregon	GO Refunding Bonds	2011 Series L	90.56	Sr Mgr
05/26/2011	Oregon	General Obligation Bonds	2011 Series K	59.85	Sr Mgr
05/26/2011	Oregon	General Obligation Bonds	2011 Series J	152.72	Sr Mgr
05/26/2011	Olathe City-Kansas	GO Refunding Bonds	Series 219	8.84	Co-Mgr
05/26/2011	Las Vegas Valley Water Dt	GO Ltd Tax Refunding Bonds	Series 2011 A	58.11	Co-Mgr
05/26/2011	Puerto Rico Government Dev Bank	Senior Notes	2011 Series B	650.00	Co-Mgr
05/31/2011	Northern Kentucky Water Dt	Revenue Bonds	Series 2011	30.63	Co-Mgr
05/31/2011	Connecticut	General Obligation Bonds	2011 Ser B-1 & B-4	9.14	Co-Mgr
05/31/2011	Connecticut	General Obligation Bonds	2011 Series B-1	13.25	Co-Mgr
05/31/2011	Connecticut	General Obligation Bonds	2011 Series B-3	40.00	Co-Mgr
05/31/2011	Connecticut	General Obligation Bonds	2011 Series B-2	75.80	Co-Mgr
05/31/2011	Connecticut	General Obligation Bonds	2011 Ser B-2 & B-5	113.74	Co-Mgr
05/31/2011	Connecticut	General Obligation Bonds	2011 Series C-1	13.10	Co-Mgr
05/31/2011	Connecticut	General Obligation Bonds	2011 Series C-2	61.91	Co-Mgr
06/01/2011	Oklahoma Co (Oklahoma City) ISD #89	General Obligation Bonds	Series of 2011	11.00	Sr Mgr
06/01/2011	Durham City-North Carolina	Utility Sys Revenue & Ref Bonds	Series 2011	57.47	Sr Mgr
06/01/2011	Soquel Creek Water Dt	Certificates of Participation	2011 Series	16.80	Co-Mgr
06/01/2011	Middlesex Co (South River Boro) BOE	School Bonds	Series 2011	7.01	Co-Mgr
06/01/2011	Olmsted Co-Minnesota	GO Capital Imp Plan Bonds	Series 2011 B	8.65	Co-Mgr
06/01/2011	Massachusetts	GO Consolidated Loan Bonds	Series B & C of 2011	469.64	Co-Mgr
06/01/2011	Trinity River Authority	Reg Wst Wtr Sys Revenue Ref Bonds	Series 2011	69.28	Co-Mgr
06/01/2011	Bexar Co (Edgewood) ISD	Unlimited Tax Refunding Bonds	Series 2011	29.88	Co-Mgr
06/02/2011	Alabama Pub School & College Au	Capital Improvement Pool Bonds	Series 2011-A	51.27	Sr Mgr
06/02/2011	Wisconsin	GO Refunding Bonds	Series 1 of 2011	275.38	Sr Mgr
06/02/2011	Mesa City-Arizona	Utility System Revenue Bonds	Series 2011	53.95	Sr Mgr
06/02/2011	Virginia Public School Authority	School Educational Tech Notes	Series XI	51.93	Sr Mgr
06/02/2011	Nassau Co-New York	General Improvement Bonds	2011 Series A	82.05	Sr Mgr
06/02/2011	Salem Co-New Jersey	General Obligation Bonds	Series 2011	6.30	Co-Mgr
06/06/2011	Philadelphia Auth for Indus Dev	Revenue Bonds	Series 2011	23.61	Sr Mgr
06/07/2011	Charlottesville City-Virginia	GO Public Improvement Bonds	Series 2011	17.99	Sr Mgr
06/08/2011	Winter Park City-Florida	GO Refunding Bonds	Series 2011	6.96	Sr Mgr
06/08/2011	Riverside Co (Palm Springs) USD	GO Refunding Bonds	Series 2011	75.11	Sr Mgr
06/08/2011	NYS Dorm Authority	School Districts Revenue Bonds	Series 2011 A-D	327.32	Co-Mgr

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06/08/2011	Massachusetts St College Bldg Au	Refunding Revenue Bonds	Series 2011 A	51.61	Co-Mgr
06/09/2011	Broward Co School Board	Certificates of Participation	Series 2011 A	175.51	Sr Mgr
06/09/2011	Pennsylvania St Pub Sch Bldg Auth	Revenue Bonds	Series B of 2011	40.31	Sr Mgr
06/09/2011	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2011 A	643.37	Sr Mgr
06/09/2011	Pennsylvania St Pub Sch Bldg Auth	Revenue Bonds	Series A of 2011	31.00	Sr Mgr
06/09/2011	Univ of Massachusetts Bldg Au	Refunding Revenue Bonds	Senior Series 2011-2	101.70	Sr Mgr
06/09/2011	Westminster Redevelopment Agency	Tax Allocation Bonds	2011 Series B-T	5.56	Sr Mgr
06/09/2011	Westminster Redevelopment Agency	Tax Allocation Bonds	2011 Series A & B	34.71	Sr Mgr
06/09/2011	Anchorage City-Alaska	GO & Refunding Bonds	2011 Series A B & C	61.64	Co-Mgr
06/14/2011	Texas	Water Financial Assistance Bonds	Series 2011 A	129.54	Co-Mgr
06/15/2011	Manhattan City-Kansas	GO Temporary Notes	Series 2011-03	7.39	Sr Mgr
06/15/2011	Elgin City-Illinois	GO Corporation Purpose Bonds	Series 2011	9.70	Sr Mgr
06/15/2011	Erie Co Industrial Dev Agency	School Facility Revenue Bonds	Series 2011 A	165.32	Sr Mgr
06/15/2011	Natick Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series 2011	53.88	Sr Mgr
06/15/2011	Massachusetts Port Authority	Special Facilities Revenue Bonds	Series 2011 A	59.03	Sr Mgr
06/15/2011	Massachusetts Port Authority	Special Facilities Revenue Bonds	Series 2011 B	156.03	Sr Mgr
06/15/2011	Bristol City-Connecticut	General Obligation Bonds	Issue of 2011	40.40	Sr Mgr
06/15/2011	Washington Co (Ringgold) SD	General Obligation Bonds	Series of 2011	9.16	Co-Mgr
06/15/2011	Ohio Housing Finance Agency (OHFA)	Single Family Mortgage Rev Bonds	2011 Series I	50.00	Co-Mgr
06/15/2011	Lansing Board of Water & Light	Utility System Revenue Bonds	Series 2011 A	250.00	Co-Mgr
06/15/2011	Omaha Public Power Dt (OPPD)	Electric System Revenue Bonds	2011 Series A	143.38	Co-Mgr
06/15/2011	Stow Town-Massachusetts	GO Refunding Bonds		1.44	Co-Mgr
06/15/2011	Hays Co-Texas	Limited Tax Bonds	Series 2011 C	9.97	Co-Mgr
06/15/2011	Hays Co-Texas	Unlimited Tax Road Bonds	Series 2011	36.84	Co-Mgr
06/15/2011	Hays Co-Texas	Pass Through Toll Rev & Tax Bonds	Series 2011	42.12	Co-Mgr
06/16/2011	Imperial Irrigation Dt	Electric System Ref Revenue Bonds	Series 2011 B	75.49	Sr Mgr
06/16/2011	Ohio Public Fac Commission	General Obligation Bonds	Series 2011 A	50.00	Sr Mgr
06/16/2011	Tarrant Co Cult Ed Fac Fin Corp	Hospital Revenue Bonds	Series 2011 E	45.12	Sr Mgr
06/16/2011	Ohio Public Fac Commission	GO Job Ready Site Dev Bonds	Series 2011 A	40.00	Sr Mgr
06/16/2011	Tarrant Co Cult Ed Fac Fin Corp	Hospital Revenue Bonds	Series 2011 A	98.87	Sr Mgr
06/16/2011	Tarrant Co Cult Ed Fac Fin Corp	Hospital Revenue Bonds	Series 2011 B	50.00	Sr Mgr
06/16/2011	Maine State Housing Authority	Mortgage Purchase Bonds	2011 Series A	16.00	Co-Mgr
06/16/2011	Baltimore Mayor & City Council	GO Consolidated Pub Imp Bonds	Series 2011 B	23.60	Co-Mgr
06/16/2011	Baltimore Mayor & City Council	GO Consolidated Pub Imp Bonds	Series 2011 A	31.13	Co-Mgr
06/17/2011	NYS Environmental Facs Corp	St Clean & Drink Wtr Revolv Bonds	Series 2011 B	520.68	Co-Mgr
06/22/2011	Metro Boston Transit Pkg Corp	Systemwide Sr Lien Pkg Rev Bonds	Series 2011	304.59	Sr Mgr
06/22/2011	Kent Hospital Finance Auth	Revenue & Refunding Bonds	Series 2011 A	103.77	Sr Mgr
06/22/2011	Michigan Strategic Fund	Limited Obligation Revenue Bonds	Series 2011	119.12	Sr Mgr
06/22/2011	West Springfield Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2011	10.31	Co-Mgr
06/23/2011	Cherokee Co Water & Sewer Auth	Water & Sewerage Rev Ref Bonds	Series 2011	29.31	Sr Mgr
06/23/2011	Education Reform Sucess Inc	Certificates of Participation	Series 2011 A	72.46	Sr Mgr
06/23/2011	Education Reform Sucess Inc	Certificates of Participation	Series 2011 B	31.96	Sr Mgr
06/23/2011	Energy Northwest	Electric Revenue Bonds	Series 2011-C	4.60	Sr Mgr
06/23/2011	NYS Thruway Authority	Hway & Bridge Fund & Ref Bonds	Series 2011 A 1-2	445.00	Sr Mgr
06/23/2011	NYC Municipal Water Fin Auth	Water & Sewer Sys Revenue Bonds	2011 Series HH	662.25	Co-Mgr
06/28/2011	Loudoun Co Industrial Dev Au	Public Facility Lease Rev Bonds	Series 2011 A	33.46	Sr Mgr
06/28/2011	Loudoun Co Industrial Dev Au	Public Facility Lease Rev Bonds	Series 2011 B	2.79	Sr Mgr
06/28/2011	Florida Gulf Coast Univ Fin Corp	Capital Improvement Rev Bonds	Series 2011 A	30.00	Sr Mgr
06/28/2011	Arlington Co-Virginia	GO Public Improvement Bonds	Series 2011 A	36.48	Co-Mgr
06/28/2011	Massachusetts Housing Fin Agcy	Multi-Family Housing Bonds	2011 Series A	17.78	Co-Mgr
06/29/2011	Virginia Beach City-Virginia	GO Public Improvement Bonds	Series 2011 A	90.00	Sr Mgr
06/29/2011	NYS Housing Fin-Mortgage Agcy	Housing Revenue Bonds	2011 Series A-1	133.00	Sr Mgr
06/29/2011	NYS Housing Fin-Mortgage Agcy	Housing Revenue Bonds	2011 Series B	20.00	Sr Mgr
06/29/2011	NYS Housing Fin-Mortgage Agcy	Consol Svc Contract Rev Ref Bonds	2011 Series A	71.17	Co-Mgr
06/30/2011	So California Metro Water Dt	Water Revenue Refunding Bonds	2011 Series B	167.86	Co-Mgr
06/30/2011	Livermore City-California	Certificates of Participation	2011 Series	17.47	Co-Mgr
06/30/2011	Cincinnati City-Ohio	Unltd Tax GO Various Purp Bonds	Series 2011 D	2.00	Co-Mgr
06/30/2011	Cincinnati City-Ohio	Unltd Tax GO Various Purp Bonds	Series 2011 B	6.00	Co-Mgr
06/30/2011	Cincinnati City-Ohio	Unltd Tax GO Economic Dev Bonds	Series 2011 C	7.00	Co-Mgr
06/30/2011	Cincinnati City-Ohio	Unltd Tax GO Various Purp Ref	Series 2011 E	19.00	Co-Mgr
06/30/2011	Cincinnati City-Ohio	Unltd Tax GO Various Purp Bonds	Series 2011 A	34.00	Co-Mgr
07/01/2011	Erie Co Industrial Dev Agency	School Facility Ref Revenue Bonds	Series 2011 B	112.56	Sr Mgr
07/01/2011	Madison Area Tech College Dt	GO School Improvement Bonds	Series 2011 - 12 A	57.10	Sr Mgr
07/01/2011	Riverside Co-California	TRANs	2011-12 Series A & B	250.00	Co-Mgr
07/01/2011	Baytown City-Texas	GO & Refunding Bonds	Series 2011	22.39	Co-Mgr
07/01/2011	Chelmsford Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series B of 2011	4.87	Co-Mgr
07/01/2011	Los Angeles Co-California	TRANs	2011-2012 Ser A-C	1300.00	Co-Mgr
07/01/2011	Frisco City-Texas	GO Refunding & Improvement Bonds	Series 2011	123.43	Co-Mgr
07/06/2011	New Jersey Educational Facs Au	Revenue Bonds	2011 Series B	250.00	Sr Mgr
07/06/2011	Washoe Co SD	GO Ltd Tx Sch Imp & Ref Bonds	Series 2011 A	43.45	Sr Mgr
07/06/2011	Portland City-Oregon	Second Lien Renewal & Redev Bonds	2011 Series A	30.37	Sr Mgr
07/06/2011	Portland City-Oregon	Senior Lien Renewal & Redev Bonds	2011 Series B	29.69	Sr Mgr
07/06/2011	Mosaic Dt Community Dev Auth	Revenue Bonds	Series 2011 A-T	18.67	Co-Mgr
07/06/2011	Mosaic Dt Community Dev Auth	Revenue Bonds	Series 2011 A	46.98	Co-Mgr
07/07/2011	Nassau Co (Port Washington) UFSD	School District Ref Serial Bonds	Series 2011	21.06	Sr Mgr
07/07/2011	Maine State Housing Authority	Mortgage Purchase Bonds	2011 Series B 1-2	29.33	Sr Mgr
07/07/2011	Kentucky St Property & Bldg Comm	Revenue Bonds	Series B	12.66	Sr Mgr
07/07/2011	Kentucky St Property & Bldg Comm	Revenue & Refunding Bonds	Series A	354.64	Sr Mgr
07/07/2011	Suffolk City-Virginia	GO & Refunding Bonds	Series 2011	114.58	Sr Mgr
07/07/2011	NY Tobacco Settlement Fin Corp	Asset-Backed Revenue Bonds	Series 2011 A & B	959.20	Sr Mgr
07/07/2011	Florida Housing Finance Corp	Homeowner Mortgage Rev Bonds	2011 Series B	60.00	Co-Mgr
07/07/2011	San Diego USD	TRANs	2011-12 Series	218.00	Co-Mgr
07/08/2011	ABAG Fin Au for Nonprofit Corp	Multi-Family Housing Rev Bonds	Series 2011 A	2.37	Sr Mgr

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07/12/2011	Los Angeles City-California	TRANs	Series 2011	1204.67	Co-Mgr
07/12/2011	Puerto Rico	GO Public Improvement & Ref Bonds	Series of 2011 & D-E	602.11	Co-Mgr
07/13/2011	Palm Beach Co School Board	Certificates of Participation	Series 2011 A	44.52	Co-Mgr
07/13/2011	Palm Beach Co School Board	Certificates of Participation	Series 2011 A	67.91	Co-Mgr
07/13/2011	Nassau Co (Seaford) UFSD	School District Serial Bonds	Series 2011	16.16	Co-Mgr
07/14/2011	Georgia	General Obligation Bonds	Series 2011 D	77.00	Sr Mgr
07/14/2011	Georgia	General Obligation Bonds	Ser 201 A B & C	479.62	Sr Mgr
07/14/2011	South Dakota Housing Dev Auth	Single Family Mortgage Bonds	2011 Series I	30.00	Sr Mgr
07/14/2011	Saratoga-California	GO Refunding Bonds	Series 2011	12.00	Sr Mgr
07/14/2011	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2011 A-1	645.00	Sr Mgr
07/14/2011	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2011 A-3	150.00	Sr Mgr
07/14/2011	Vermont Municipal Bond Bank	GO & Refunding Bonds	2011 Series 2 & 4	40.04	Sr Mgr
07/14/2011	Vermont Municipal Bond Bank	General Obligation Bonds	2011 Series 3	2.94	Sr Mgr
07/15/2011	Chautauqua Co (Dunkirk) SD	School District Serial Bonds	Series 2011	13.00	Co-Mgr
07/15/2011	Alamo Community College Dt	Maintenance Tax Notes	Series 2011	54.80	Co-Mgr
07/20/2011	New Jersey Hghr Ed Stud Assis Au	Student Loan Revenue Bonds	Series 2011-1	326.50	Co-Mgr
07/20/2011	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2011 A	400.44	Co-Mgr
07/21/2011	San Diego Community College Dt	GO & Refunding Bonds	Series of 2011	372.23	Sr Mgr
07/21/2011	NYS Environmental Facs Corp	St Revolving Funds Rev Bonds	Series 2011 C	191.74	Co-Mgr
07/21/2011	San Diego Co Water Auth	Sub Lien Water Revenue Ref Bonds	Series 2011 S-1	86.53	Co-Mgr
07/21/2011	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2011 D	44.64	Co-Mgr
07/21/2011	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2011 C	864.79	Co-Mgr
07/22/2011	Jacksonville City-Florida	Sales Tax Refunding Revenue Bonds	Series 2011	79.22	Co-Mgr
07/27/2011	Regents of the Univ of California	General Revenue Bonds	2011 Series Z-1	100.00	Sr Mgr
07/27/2011	Greater Chicago Metro Wtr Rec Dt	GO Limited Tax Capital Imp Bonds	Ser A of July 2011	30.00	Co-Mgr
07/27/2011	Greater Chicago Metro Wtr Rec Dt	GO Limited Tax Capital Imp Bonds	Ser B&C of July 2011	370.00	Co-Mgr
07/28/2011	San Jose City-California	Airport Revenue Bonds	Series 2011 A-2	86.38	Sr Mgr
07/28/2011	Alexandria City-Virginia	GO Capital Improvement Bonds	Series 2011	69.95	Sr Mgr
07/28/2011	San Jose City-California	Airport Revenue Bonds	Series 2011 A-1	150.41	Sr Mgr
07/28/2011	Idaho Housing & Fin Assoc	Grant & Revenue Antic Bonds	2011 Series A	72.93	Sr Mgr
07/28/2011	Lakeland City-Florida	Hospital Revenue Refunding Bonds	Series 2011	77.58	Sr Mgr
07/28/2011	Rhode Island & Providence Plan	Lease Participation Certificates	2011 Series A	31.98	Sr Mgr
07/28/2011	Modesto Irrigation Dt	Electric System Ref Revenue Bonds	Series 2011 B	16.08	Sr Mgr
07/28/2011	Modesto Irrigation Dt	Electric System Revenue Bonds	Series 2011 A	125.38	Sr Mgr
07/28/2011	East Baton Rouge Sewerage Comm	Revenue Bonds	Series 2011 A	202.50	Sr Mgr
07/28/2011	Mississippi St Univ Ed Bldg Corp	Revenue Bonds	Series 2011	54.37	Co-Mgr
07/28/2011	Michigan State Building Auth	Revenue Bonds	Series I-B	12.20	Co-Mgr
07/28/2011	Michigan State Building Auth	Revenue & Rev Refunding Bonds	2011 Series II-A	55.57	Co-Mgr
07/28/2011	Michigan State Building Auth	Revenue & Rev Refunding Bonds	2011 Series II-A	125.13	Co-Mgr
07/28/2011	Michigan State Building Auth	Revenue & Rev Refunding Bonds	2011 Series I-A	409.55	Co-Mgr
07/28/2011	Massachusetts School Bldg Auth	Subordinated Dedicated Sales Tax	2011 Series A	142.38	Co-Mgr
07/28/2011	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2011 Series A	9.80	Co-Mgr
07/28/2011	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2011 Series A & B	16.55	Co-Mgr
07/28/2011	Ohio Public Fac Commission	Natural Resources GO Ref Bonds	Series P	35.20	Co-Mgr
07/28/2011	Ohio Public Fac Commission	Infrastructure Imp GO Ref Bonds	Series 2011 B	114.29	Co-Mgr
07/28/2011	Ohio Public Fac Commission	Higher Education GO Ref Bonds	Series 2011 A	127.77	Co-Mgr
07/28/2011	Ohio Public Fac Commission	Common Schools GO Ref Bonds	Series 2011 A	211.53	Co-Mgr
08/01/2011	Erie Co (Amherst) CSD	School Serial District Bonds	Series 2011	15.64	Sr Mgr
08/01/2011	Broken Arrow City-Oklahoma	General Obligation Bonds	Series 2011 B	11.45	Sr Mgr
08/01/2011	Broken Arrow City-Oklahoma	General Obligation Bonds	Series 2011 A	5.00	Sr Mgr
08/01/2011	Washington	Various Purpose GO Bonds	Series 2012 A	390.85	Sr Mgr
08/01/2011	Evanston City-Illinois	GO Corporate Purpose Bonds	Series 2011 A	19.24	Sr Mgr
08/01/2011	Harris Co-Texas	Toll Road Senior Lien Rev & Ref	Series 2011 A	199.92	Sr Mgr
08/01/2011	Sustainable Energy Utility Inc	Energy Efficiency Revenue Bonds	Series 2011	67.44	Sr Mgr
08/01/2011	King Co-Washington	Limited Tax GO Refunding Bonds	Series 2011	25.70	Sr Mgr
08/01/2011	Fort Worth City-Texas	General Purpose Refunding Bonds	Series 2011	46.68	Sr Mgr
08/01/2011	Bracken Co Public Prop Corp	First Mortgage Revenue Bonds	Series 2011	15.96	Co-Mgr
08/01/2011	St Tammany Parish Wide SD #12	GO School Bonds	Series 2011 A	10.00	Co-Mgr
08/01/2011	Miami-Dade Co Health Facs Auth	Hospital Revenue Refunding Bonds	Series 2011	25.55	Co-Mgr
08/01/2011	Suffolk Co (Eastport-South Manor) CSD	School District Serial Bonds	Series 2011	20.65	Co-Mgr
08/01/2011	Connecticut	General Obligation Bonds	2005 Series A-2	20.00	Co-Mgr
08/01/2011	Connecticut	General Obligation Bonds	2005 Series A-1	260.00	Co-Mgr
08/01/2011	Waterford Town-Connecticut	General Obligation Bonds	Issue of 2011	14.00	Co-Mgr
08/03/2011	Clark Co-Nevada	Airport System Sub Lien Rev Bonds	Series 2011 B-1	100.00	Sr Mgr
08/03/2011	Washington	Motor Vehicle Fuel Tax GO Bonds	Series 2012 B-2	89.35	Co-Mgr
08/04/2011	Virginia Public School Authority	Special Oblig Sch Financing Bonds	Series 2011	46.45	Sr Mgr
08/04/2011	Wisconsin	General Obligation Bonds	Series B of 2011	160.24	Sr Mgr
08/04/2011	Wisconsin	General Obligation Bonds	Series B of 2011	169.03	Sr Mgr
08/04/2011	San Francisco Public Util Comm	Water Revenue Bonds	2011 SerABCD SubBA	62.57	Sr Mgr
08/04/2011	Imperial Irrigation Dt	Electric System Ref Rev Bonds	Series 2011 C	75.75	Sr Mgr
08/04/2011	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	Ser 11 B Subser 1-2	63.68	Co-Mgr
08/05/2011	Maryland	GO Bonds State & Local Facs Loan	Second Ser A of 2011	71.73	Co-Mgr
08/09/2011	New York City-New York	General Obligation Bonds	Series A1, B & C	714.72	Sr Mgr
08/09/2011	Hempstead Town-New York	Public Improvement Bonds	2011 Series B	6.30	Co-Mgr
08/09/2011	San Jacinto Comm College Dt	Maintenance Tax Refunding Bonds	Series 2011	3.85	Co-Mgr
08/09/2011	San Jacinto Comm College Dt	Ltd Tax GO Building & Ref Bonds	Series 2011	68.06	Co-Mgr
08/10/2011	Leesburg Town-Virginia	GO & Refunding Bonds	Series 2011 A	26.99	Sr Mgr
08/10/2011	Leesburg Town-Virginia	GO Refunding Bonds	Series 2011 B	3.88	Sr Mgr
08/10/2011	Louisville-Jefferson Co Metro Gov	Health System Var Rte Rev Bonds	Series 2011 A & B	75.00	Sr Mgr
08/11/2011	Montgomery Co-Maryland	GO Consol Pub Imp Ref Bonds	Series A of 2011	237.66	Sr Mgr
08/11/2011	Portland City-Oregon	Interstate Corridor Urban Redev	2011 Series B	17.25	Sr Mgr
08/11/2011	Portland City-Oregon	Interstate Corridor Urban Redev	2011 Series A	28.89	Sr Mgr
08/11/2011	Cobb Co Development Auth	Revenue Bonds	Series 2011	30.22	Co-Mgr

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08/15/2011	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2011	17.80	Sr Mgr
08/15/2011	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2011 A & B	14.78	Sr Mgr
08/15/2011	Texas State Tech College (TSTC)	Revenue Fin Sys Imp & Ref Bonds	Series 2011	26.02	Sr Mgr
08/16/2011	Tampa Bay Water Auth	Utility System Ref Rev Bonds	Series 2011 A	140.65	Sr Mgr
08/16/2011	Westchester Co-New York	GO Refunding Bonds	2011 Series A	46.78	Sr Mgr
08/16/2011	Southeast Pennsylvania Trans Auth	Capital Grant Reciepts Bonds	Series 2011	201.62	Sr Mgr
08/16/2011	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2011 A & B	9.60	Sr Mgr
08/16/2011	Tampa Bay Water Auth	Utility System Ref Rev Bonds	Series 2011 B	148.92	Co-Mgr
08/16/2011	Norwalk City-Connecticut	General Obligation Bonds	Issue of 2011 B	18.00	Co-Mgr
08/16/2011	Port of Oakland-California	Refunding Revenue Bonds	2011 Series O	345.73	Co-Mgr
08/16/2011	Yolo Co (Davis) JUSD	GO Refunding Bonds	Series 2011	9.48	Sr Mgr
08/23/2011	Zachary CSD #1	GO School District Bonds	Series 2011	15.00	Co-Mgr
08/23/2011	Wyoming Community Dev Authority	Homeownership Mortgage Rev Bonds	2011 Series A	34.90	Co-Mgr
08/23/2011	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2002 Series B	171.97	Co-Mgr
08/23/2011	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2003 Series B	424.15	Co-Mgr
08/23/2011	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2012 Series A	450.00	Co-Mgr
08/24/2011	Los Angeles Dept of Wtr & Power	Water System Revenue Bonds	2011 Series A	307.14	Sr Mgr
08/24/2011	Puerto Rico Pub Bldgs Authority	Government Facilities Rev Bonds	Series S	303.95	Co-Mgr
08/24/2011	Puerto Rico Pub Bldgs Authority	Government Facilities Rev Bonds	Series R	756.45	Co-Mgr
08/25/2011	Pinellas Co Housing Fin Auth	Single Family Housing Rev Bonds	Series 2011 A	6.00	Co-Mgr
08/25/2011	Oregon Hsg & Comm Svcs Dept	Housing Revenue Bonds	2011 Series B	18.00	Co-Mgr
08/25/2011	Tennessee Hsg Dev Agcy (THDA)	Housing Finance Program Bonds	Issue 2011 B	40.00	Co-Mgr
08/25/2011	Shorewood Village-Wisconsin	GO Corporate Purpose Bonds	Series 2011 A	8.57	Co-Mgr
08/25/2011	Massachusetts Housing Fin Agcy	Single Family Hsg Revenue Bonds	Series 156	21.94	Co-Mgr
08/25/2011	So California Pub Pwr Au (SCPPA)	Revenue Bonds	Series 2011-1	157.47	Co-Mgr
08/30/2011	Lee Co-Florida	Airport Revenue Refunding Bonds	Series 2011 A	174.45	Sr Mgr
08/31/2011	California Dept of Wtr Resources	Power Supply Revenue Bonds	Series 2011 N	479.78	Co-Mgr
08/31/2011	California Dept of Wtr Resources	Power Supply Revenue Bonds	Series 2011 N	479.78	Co-Mgr
08/31/2011	Idaho Housing & Fin Assoc	Unemployment Compensation Rev	Series 2011	187.57	Co-Mgr
09/01/2011	Washington Co Clean Water Svc	Senior Lien Sewer Rev Ref Bonds	Series 2011 A	30.26	Sr Mgr
09/01/2011	St Mary Parish-Louisiana	Public Imp Sales Tax Bonds	Series 2011	6.87	Co-Mgr
09/01/2011	Somerset Co-New Jersey	General Obligation Bonds	Series 2011	16.09	Co-Mgr
09/01/2011	Bexar Co-Texas	Limited Tax Refunding Bonds	Series 2011	17.65	Co-Mgr
09/01/2011	Bexar Co-Texas	Comb Tax & Rev Cert of Oblig	Series 2011	59.33	Co-Mgr
09/07/2011	Ohio Housing Finance Agency (OHFA)	Single Family Mortgage Rev Bonds	2011 Series 2	70.00	Co-Mgr
09/08/2011	Empire State Development Corp	Service Contract Rev Ref Bonds	Series 2011 A	12.35	Sr Mgr
09/08/2011	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2011 Series B	28.00	Sr Mgr
09/08/2011	Lexington City-Tennessee	Electric System Revenue Bonds	Series 2011	8.25	Co-Mgr
09/09/2011	Idaho Housing & Fin Assoc	Demand Multi-Family Hsg Rev Bonds	Series 2011 A	13.85	Sr Mgr
09/09/2011	Idaho Housing & Fin Assoc	Demand Multi-Family Hsg Rev Bonds	Series 2011 B	1.10	Sr Mgr
09/15/2011	Upper Santa Clara Joint Powers Au	Refunding Revenue Bonds	Series 2011 A	52.29	Sr Mgr
09/15/2011	Calhoun Port Authority	Port & Environment Facs Rev Bonds	Series 2011 A & B	77.30	Sr Mgr
09/15/2011	Calhoun Co Navig Ind Dev Auth	Port Revenue Bonds	Series 2011 C	27.50	Sr Mgr
09/15/2011	Massachusetts Housing Fin Agcy	Multi-Family Housing Bonds	2011 Series B	31.12	Co-Mgr
09/15/2011	White Plains City-New York	Public Improvement Serial Bonds	Series 2011 A	19.03	Co-Mgr
09/16/2011	ABAG Fin Au for Nonprofit Corp	Multi-Family Housing Rev Bonds	2011 Series A	4.03	Sr Mgr
09/20/2011	Fulton Co-Georgia	Water & Sewerage Rev Ref Bonds	Series 2011	251.77	Sr Mgr
09/20/2011	San Francisco City & Co Airport Comm	Revenue Refunding Bonds	Second Series 2011 C	106.20	Co-Mgr
09/20/2011	San Francisco City & Co Airport Comm	Revenue Refunding Bonds	Second Series 2011 F	123.33	Co-Mgr
09/20/2011	San Francisco City & Co Airport Comm	Revenue Refunding Bonds	Second Series 2011 H	125.06	Co-Mgr
09/20/2011	Greater Orlando Aviation Auth	Airport Revenue Refunding Bonds	Series 2011B	70.04	Co-Mgr
09/21/2011	Illinois Finance Authority	Revenue Bonds	Series 2011 A 1-2	43.73	Sr Mgr
09/21/2011	Illinois Finance Authority	Revenue Bonds	Series 2011 B	70.00	Sr Mgr
09/22/2011	Massachusetts Dev Finance Agcy	Revenue Bonds	Series 2011	90.00	Sr Mgr
09/22/2011	Palo Alto City-California	Utility Revenue Refunding Bonds	2011 Series A	17.23	Sr Mgr
09/22/2011	Morris Co-New Jersey	General Obligation Bonds	Series of 2011	22.28	Sr Mgr
09/22/2011	NYC Municipal Water Fin Auth	Wtr & Swr 2nd Gen Res Rev Bonds	2012 Series AA	450.90	Sr Mgr
09/22/2011	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Revenue Bonds	Series 163 & 164	151.19	Co-Mgr
09/22/2011	Albany Co-New York	General Obligation Bonds	Series 2011	23.03	Co-Mgr
09/22/2011	California	RANs	2011-12 Ser A -1&2	5400.00	Co-Mgr
09/27/2011	Missouri Board of Public Bldgs	Special Obligation Ref Bonds	Series A 2011	143.02	Sr Mgr
09/27/2011	NYS Energy Research & Dev Auth	Pollution Control Revenue Bonds	2011 Series A-C	132.00	Sr Mgr
09/27/2011	Mercer Co (West Middlesex) ASD	General Obligation Bonds	Series A & B of 2011	9.96	Co-Mgr
09/27/2011	Lake Forest City-Illinois	GO Refunding Bonds	Series 2011 A	2.42	Co-Mgr
09/27/2011	Lake Forest City-Illinois	GO Refunding Bonds	Series 2011 B	24.83	Co-Mgr
09/28/2011	Brookhaven Town-New York	Public Improvement Serial Bonds	Series 2011	36.74	Sr Mgr
09/28/2011	San Diego Co Water Auth	Water Revenue Refunding Bonds	Series 2011 B	94.54	Co-Mgr
09/28/2011	Maryland	GO State & Local Facs Loan Ref	Second Ser E of 2011	254.92	Co-Mgr
09/28/2011	Long Island Power Authority	Electric Sys Gen Revenue Bonds	Series 2011 A	250.00	Co-Mgr
09/28/2011	California	General Obligation Bonds		25.21	Co-Mgr
09/28/2011	California	Various Purpose GO & Ref Bonds	Series 1 & 2	2365.69	Co-Mgr
09/29/2011	Univ of North Carolina Bd of Gov	General Revenue & Refunding Bonds	Series 2011	77.51	Sr Mgr
09/29/2011	Connecticut Hlth & Ed Facs Auth	Revenue Bonds	Series A	254.73	Sr Mgr
09/29/2011	Maine State Housing Authority	Mortgage Purchase Bonds	2011 Series C 1-2	33.32	Co-Mgr
09/29/2011	Texas Dept of Hsg & Comm Affairs	Residential Mortgage Rev Bonds	Series 2011B	87.96	Co-Mgr
09/29/2011	Rhode Island Hsg & Mtg Fin Corp	Home Funding Bonds	Series 4	14.00	Co-Mgr
09/29/2011	Metro Washington Airports Auth	Airport System Revenue Ref Bonds	Series 2011 D	10.39	Co-Mgr
09/29/2011	Metro Washington Airports Auth	Airport System Revenue Ref Bonds	Series 2011 C	185.39	Co-Mgr
09/30/2011	Montgomery Co (Springfield) TSD	General Obligation Bonds	Series of 2011	9.34	Co-Mgr
09/30/2011	Memphis Center City Rev Fin Corp	Senior & Subordinate Rev Bonds	Series 2011 A & C	96.69	Co-Mgr
09/30/2011	Memphis Center City Rev Fin Corp	Subordinate Revenue Bonds	Series 2011 B	100.25	Co-Mgr
10/01/2011	Valwood Improvement Authority	Unlimited Tax Refunding Bonds	Series 2011	2.65	Sr Mgr
10/01/2011	Harris Co MUD #419	Unlimited Tax Bonds	Series 2011	13.00	Co-Mgr

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Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
10/01/2011	Wichita City-Kansas	GO Sales Tax Bonds	Series 2011 A	5.76	Co-Mgr
10/01/2011	Garland Co (Hot Springs) SD #6	Refunding Bonds		21.67	Co-Mgr
10/01/2011	York Town-Maine	General Obligation Bonds	Series 2011	9.12	Co-Mgr
10/01/2011	Lower Colorado River Authority (LCRA)	Transmission Contract Ref Rev	Series 2011 A & B	599.20	Co-Mgr
10/01/2011	Austin City-Texas	Public Improvement Ref Bonds	Series 2011 A	68.29	Co-Mgr
10/03/2011	Peoria Co-Illinois	GO Alt Revenue Source Bonds	Series 2011	42.00	Sr Mgr
10/04/2011	Indiana Municipal Power Agency	Power Supply Revenue Bonds	2011 Series A	87.36	Sr Mgr
10/04/2011	Salt River Proj Agric Imp & Pwr Dt	Electric System Ref Revenue Bonds	2011 Series A	441.50	Sr Mgr
10/05/2011	Modesto Irrigation Dt	Electric Sys Refunding Rev Bonds	Series 2011 C	32.84	Sr Mgr
10/05/2011	Grant Co Public Utility Dt #2	Electric System Rev & Ref Bonds	Series 2011-I	156.07	Sr Mgr
10/05/2011	King Co-Washington	Sewer Revenue & Refunding Bonds	2011 Series B	494.27	Co-Mgr
10/05/2011	Jacksonville Electric Authority	Refunding Revenue Bonds	Issue 2 Series 24	7.94	Co-Mgr
10/05/2011	Jacksonville Electric Authority	Refunding Revenue Bonds	Issue 2 Ser 23 & 24	357.48	Co-Mgr
10/06/2011	Santa Cruz Co-California	Certificates of Participation	Series 2011	5.51	Sr Mgr
10/06/2011	NYS Dorm Authority	Revenue Bonds	Series 2011 A	392.20	Sr Mgr
10/06/2011	Port Authority of NY & NJ	Consolidated Bonds	168th Series	1000.00	Sr Mgr
10/06/2011	Lafayette City-Colorado	Multi-Family Housing Rev Bonds	Series 2011 A	12.45	Sr Mgr
10/06/2011	Lafayette City-Colorado	Multi-Family Housing Rev Bonds	Series 2011 B	0.40	Sr Mgr
10/06/2011	Cleveland City-Ohio	Water Revenue Bonds	Series W 2011	82.09	Co-Mgr
10/06/2011	NYS Power Authority	Revenue Bonds	Series 2011 A	108.42	Co-Mgr
10/11/2011	SC Pub Svc Au (Santee Cooper)	Revenue Obligations Ref Bonds	2011 Series C	135.86	Co-Mgr
10/12/2011	Greater Orlando Aviation Auth	Airport Facs Refunding Rev Bonds	Series 2011 C	40.43	Sr Mgr
10/12/2011	Greater Orlando Aviation Auth	Airport Facs Refunding Rev Bonds	Series 2011 D	67.95	Sr Mgr
10/12/2011	Novato Sanitary Dt	Wastewater Revenue COPs	Series 2011	22.00	Co-Mgr
10/13/2011	Cudahy City-Wisconsin	GO Corporate Purpose Bonds	Series 2011 A	11.76	Sr Mgr
10/13/2011	Hamilton Co-Ohio	Sales Tax Refunding Bonds	Series 2011 A	72.67	Sr Mgr
10/13/2011	New York City-New York	General Obligation Bonds	2012 Ser D Sub D-2	65.00	Sr Mgr
10/13/2011	Cape Fear Public Utility Auth	Water & Sewer Sys Rev Bonds	Series 2011	44.10	Sr Mgr
10/13/2011	Maplewood Twp-New Jersey	Gen Imp & Pool Utility Bonds		13.25	Co-Mgr
10/13/2011	New York City-New York	General Obligation Bonds	Subseries E-3 & H-A	145.56	Co-Mgr
10/13/2011	New York City-New York	General Obligation Bonds	2012 Ser D Sub D-1	528.64	Co-Mgr
10/13/2011	Triborough Bridge & Tunnel Auth	General Revenue Refunding Bonds	Series 2011 A	609.43	Co-Mgr
10/13/2011	California Dept of Wtr Resources	Water System Revenue Bond	Series AJ	216.93	Co-Mgr
10/18/2011	Catasauqua-Pennsylvania	General Obligation Bonds	Series of 2011	5.41	Co-Mgr
10/19/2011	Las Vegas Valley Water Dt	GO Limited Tax Refunding Bonds	Series 2011 B	129.65	Sr Mgr
10/19/2011	Las Vegas Valley Water Dt	GO Limited Tax Refunding Bonds	Series 2011 C & D	346.50	Sr Mgr
10/19/2011	Yonkers City-New York	GO & School Serial Bonds	Series 2011 A & B	98.13	Sr Mgr
10/20/2011	California St Public Works Board	Lease Revenue Bonds	Series 2011 C	151.83	Sr Mgr
10/20/2011	California St Public Works Board	Lease Revenue Bonds	Series 2011 B	100.90	Sr Mgr
10/20/2011	California St Public Works Board	Lease Revenue Bonds	2011 Series A	237.67	Sr Mgr
10/20/2011	South Carolina St Hsg Fin Dev Au	Homeownership Revenue Bonds	Series 2011-1	34.00	Sr Mgr
10/20/2011	South Dakota Housing Dev Auth	Single Family Mortgage Bonds	2011 Series 2	56.90	Co-Mgr
10/20/2011	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	NIBP 2011 Ser 2	16.47	Co-Mgr
10/20/2011	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2011 Series D	14.63	Co-Mgr
10/21/2011	San Jose City-California	Multi-Family Housing Rev Bonds	Series 2011 A 1&2	6.30	Sr Mgr
10/26/2011	Palm Beach Co Solid Waste Auth	Refunding Revenue Bonds	Series 2011	599.96	Sr Mgr
10/26/2011	Tennessee	General Obligation Bonds	Series 2011 A	255.40	Sr Mgr
10/26/2011	Tennessee	GO Refunding Bonds	Series 2011 B	62.27	Sr Mgr
10/26/2011	Hudson Yards Infrastructure Corp	Hudson Yards Senior Rev Bonds	2012 Series A	1000.00	Co-Mgr
10/27/2011	Pennsylvania	General Obligation Bonds	First Series of 2011	811.58	Sr Mgr
10/27/2011	Nassau Co (Manhasset) UFSD	School District Ref Serial Bonds	Series 2011	13.20	Co-Mgr
10/27/2011	Houston City-Texas	Public Improvement Ref Bonds	Series 2011 B	47.01	Co-Mgr
10/27/2011	Houston City-Texas	Public Improvement Ref Bonds	Series 2011 A	192.48	Co-Mgr
10/27/2011	NYS Dorm Authority	Revenue Bonds	Series 2011 A	65.39	Co-Mgr
10/27/2011	California	General Obligation Bonds	Series 2009 B	132.89	Co-Mgr
10/27/2011	California	Various Purpose GO Bonds		205.00	Co-Mgr
10/27/2011	California	Various Purpose GO Bonds		1775.00	Co-Mgr
10/28/2011	ABAG Fin Au for Nonprofit Corp	Multi-Family Housing Rev Bonds	Series 2011 A	91.00	Sr Mgr
10/31/2011	Washington	Motor Vehicle Fuel Tax GO Bonds	Series 2012 C	518.78	Sr Mgr
10/31/2011	Pennsylvania Turnpike Commission	Turnpike Sub & MLF Spec Rev Bonds	Series B of 2011	225.65	Sr Mgr
11/01/2011	Alameda Co (Berkeley) USD	GO Refunding Bonds	Series 2011	55.63	Sr Mgr
11/01/2011	Los Angeles USD	GO Refunding Bonds	2011 Series A 1-2	407.81	Sr Mgr
11/01/2011	King Co (Shoreline) SD #412	Unlimited Tax GO Bonds	Series 2011	70.00	Sr Mgr
11/01/2011	Kent Co-Michigan	Revenue Refunding Bonds	Series 2011	38.58	Sr Mgr
11/01/2011	Dallas & Fort Worth Cities-Texas	Airport Revenue Refunding Bonds	Series 2011 E	106.24	Sr Mgr
11/01/2011	Jefferson Co (General Brown) CSD	School District Bonds	Series 2011	12.70	Co-Mgr
11/01/2011	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2012 Series B & C	250.00	Co-Mgr
11/01/2011	Cinco Southwest MUD #1	Contract Revenue Bonds	Series 2011	16.04	Co-Mgr
11/01/2011	Williamson Co-Texas	Pass-Through Toll Rev & Ltd Tax	Series 2011	10.00	Co-Mgr
11/01/2011	Williamson Co-Texas	Limited Tax Refunding Bonds	Series 2011	36.57	Co-Mgr
11/01/2011	Bexar Co (Northside) ISD	Unlimited Tax Refunding Bonds	Series 2011	67.02	Co-Mgr
11/01/2011	Nueces Co (Corpus Christi) ISD	School Building Bonds	Series 2011	25.00	Co-Mgr
11/01/2011	Travis Co (Pflugerville) ISD	Refunding Bonds	Series 2011 A	28.17	Co-Mgr
11/02/2011	Minneapolis-St Paul Metro Airports Comm	Subordinate Airport Rev Ref Bonds	Series 2011 A	52.02	Co-Mgr
11/02/2011	Virginia	General Obligation Bonds	Series 2011 A-1	65.62	Co-Mgr
11/02/2011	District of Columbia	Revenue Bonds	Series 2011 B	109.34	Co-Mgr
11/03/2011	Maine State Housing Authority	Mortgage Purchase Bonds	2011 Series D	18.00	Sr Mgr
11/03/2011	Florida Housing Finance Corp	Homeowner Mortgage Rev Bonds	2011 Series C	54.82	Sr Mgr
11/03/2011	Pennsylvania St Pub Sch Bldg Auth	Revenue Bonds	Series C of 2011	185.44	Sr Mgr
11/03/2011	Pennsylvania St Pub Sch Bldg Auth	Revenue Bonds	Series D of 2011	24.72	Sr Mgr
11/03/2011	Massachusetts School Bldg Auth	Senior Dedicated Sales Tax Bonds	2011 Series B	1000.00	Sr Mgr
11/03/2011	Tennessee Hsg Dev Agcy (THDA)	Housing Finance Program Bonds	Issue 2011 C	65.29	Co-Mgr
11/03/2011	Wyoming Community Dev Authority	Homeownership Mtg Rev Bonds	2011 Series B	32.00	Co-Mgr

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11/03/2011	Connecticut Housing Finance Auth	Single Family Spec Oblig Bonds	Series 2011 1 & 2	61.33	Co-Mgr
11/03/2011	Colorado Wtr Res & Pwr Dev Au	Clean Water Revenue Bonds	2011 Series A	14.62	Co-Mgr
11/03/2011	Michigan Finance Authority	Clean & Drinking Wtr Ref Bonds	Series 2011	282.72	Co-Mgr
11/03/2011	Massachusetts Housing Fin Agcy	Housing Bonds	2011 Series B	3.55	Co-Mgr
11/03/2011	Massachusetts Housing Fin Agcy	Housing Bonds	2011 Series A	53.83	Co-Mgr
11/04/2011	Ohio Housing Finance Agency (OHFA)	Single Family Mortgage Rev Bonds	2011 Series 3	40.00	Sr Mgr
11/08/2011	Freeborn Co-Minnesota	GO Capital Imp Refunding Bonds	Series 2011 C	6.20	Co-Mgr
11/09/2011	Washington	Var & Motor Vehicle Fuel GO Bonds	Series R 2012 A&B	503.71	Sr Mgr
11/09/2011	Oregon	GO Refunding Bonds	2011 Series N	59.87	Sr Mgr
11/09/2011	Oregon	GO Refunding Bonds	2011 Series O	36.28	Sr Mgr
11/09/2011	Arizona Health Facs Authority	Revenue Bonds	2011 Series B 1&2	128.26	Sr Mgr
11/09/2011	California Health Facs Fin Auth	Revenue Bonds	2011 Series A	350.01	Sr Mgr
11/09/2011	Kent Hospital Finance Auth	Revenue Refunding Bonds	Series 2011 C	77.29	Sr Mgr
11/09/2011	Oregon	GO Refunding Bonds	2011 Series P	5.48	Sr Mgr
11/09/2011	California Health Facs Fin Auth	Health Facility Revenue Bonds	2011 Series C	75.00	Sr Mgr
11/09/2011	California St Public Works Board	Lease Revenue Bonds	Series 2011 D	496.83	Co-Mgr
11/10/2011	New Jersey Hlth Care Fac Fin Au	Revenue & Refunding Bonds	Series 2011 A	370.00	Sr Mgr
11/10/2011	New Jersey Hlth Care Fac Fin Au	Revenue & Refunding Bonds	Series 2011 B	37.01	Sr Mgr
11/10/2011	New Jersey Hlth Care Fac Fin Au	Revenue & Refunding Bonds	Series 2011 C	42.99	Sr Mgr
11/10/2011	Kentucky Economic Dev Fin Auth	Revenue Bonds	Series 2011 B-3	52.72	Sr Mgr
11/10/2011	Northampton ASD	General Obligation Bonds	Series of 2011	10.00	Co-Mgr
11/10/2011	Allegheny Co Industrial Dev Auth	Environmental Imp Rev Ref Bonds	Series 2011	28.58	Co-Mgr
11/10/2011	Bucks Co Industrial Dev Auth	Environmental Imp Rev Ref Bonds	Series 2011	19.46	Co-Mgr
11/10/2011	Fairfield Industrial Dev Board	Environmental Imp Rev Ref Bonds	Series 2011	17.12	Co-Mgr
11/10/2011	Gulf Coast Waste Disposal Auth	Environmental Imp Rev Ref Bonds	Series 2011	10.60	Co-Mgr
11/10/2011	Indiana Finance Authority	Environmental Imp Rev Ref Bonds	Series 2011	55.00	Co-Mgr
11/10/2011	Metropolitan Transport Auth (MTA)	Transportation Rev Ref Bonds	Series 2011 C	197.95	Co-Mgr
11/10/2011	Ohio Air Quality Dev Authority	Environmental Imp Rev Ref Bonds	Series 2011	10.16	Co-Mgr
11/14/2011	Connecticut	GO Refunding Bonds	2011 Series E-4	21.41	Co-Mgr
11/14/2011	Connecticut	General Obligation Bonds	2011 Series D-3	25.50	Co-Mgr
11/14/2011	Connecticut	GO Refunding Bonds	2011 Series E-2	28.69	Co-Mgr
11/14/2011	Connecticut	General Obligation Bonds	2011 Series D-6	30.00	Co-Mgr
11/14/2011	Connecticut	GO Refunding Bonds	2011 Series E-1	30.62	Co-Mgr
11/14/2011	Connecticut	General Obligation Bonds	2011 Series D-1	36.18	Co-Mgr
11/14/2011	Connecticut	General Obligation Bonds	2011 Series D-4	50.78	Co-Mgr
11/14/2011	Connecticut	General Obligation Bonds	2011 Series D-5	54.60	Co-Mgr
11/14/2011	Connecticut	GO Refunding Bonds	2011 Series E-3	70.11	Co-Mgr
11/14/2011	Connecticut	General Obligation Bonds	2011 Series D-2	352.96	Co-Mgr
11/15/2011	Marshall University	University Revenue Bonds	Series 2011	51.91	Sr Mgr
11/15/2011	Delaware	General Obligation Bonds	Series 2011	275.43	Co-Mgr
11/15/2011	Kentucky St Property & Bldg Comm	Road Fund Revenue Ref Bonds	Fourth Series	43.70	Co-Mgr
11/15/2011	Kentucky St Property & Bldg Comm	Revenue Refunding Bonds		73.91	Co-Mgr
11/15/2011	Franklin Co (Malone) CSD	GO Qual Zone Academy Bonds	Series 2011	15.17	Co-Mgr
11/15/2011	Rockland Town-Massachusetts	State Qualified GO School Bonds		30.00	Co-Mgr
11/15/2011	Laredo City-Texas	Waterworks & Sewer Sys Rev Bonds	New Series 2011	30.88	Co-Mgr
11/16/2011	Virginia Resources Auth (VRA)	State Moral Oblig & Infra Rev	Series 2011 B	40.69	Sr Mgr
11/16/2011	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 166	107.59	Sr Mgr
11/16/2011	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 167	10.70	Sr Mgr
11/16/2011	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 165	50.00	Sr Mgr
11/17/2011	Hennepin Co (Edina) ISD #273	Certificates of Participation	Series 2011 C	1.62	Sr Mgr
11/17/2011	Maryland Hlth & Hghr Ed Facs Au	Revenue Bonds	Series 2011	94.92	Sr Mgr
11/17/2011	NYC Transitional Finance Auth	Future Tax Secured Bonds	2012 Ser D Sub D-1	600.00	Sr Mgr
11/17/2011	Houston City-Texas	Airport Sys Spec Facs Rev Bonds	Series 2011	113.31	Sr Mgr
11/17/2011	Lower Neches Vlly Auth Ind Dev Corp	Revenue Bonds	Series 2011	59.15	Sr Mgr
11/17/2011	Montgomery Co (Perkiomen Valley) SD	General Obligation Bonds	Series of 2011	10.00	Co-Mgr
11/17/2011	North Carolina Housing Fin Agcy	Home Ownership Revenue Ref Bonds	Series 32	136.16	Co-Mgr
11/17/2011	West Basin Municipal Water Dt	Refunding Revenue Bonds	Series 2011 B	60.28	Co-Mgr
11/17/2011	District of Columbia	Tax Increment Revenue Bonds	Series 2011	38.65	Co-Mgr
11/17/2011	NYS Housing Fin-Mortgage Agcy	Afford Housing Revenue Bonds	2011 Series 3	5.89	Co-Mgr
11/21/2011	Warren Co-Kentucky	General Obligation Bonds	Series 2011	16.00	Co-Mgr
11/22/2011	Vermont Housing Finance Agency	Mortgage Revenue Bonds	Series 2011 A	12.00	Sr Mgr
11/22/2011	Minneapolis City-Minnesota	GO Improvement Bonds	Series 2011	8.50	Sr Mgr
11/22/2011	Carroll Co County Commissioners	Consolidated Pub Imp & Ref Bonds	Series of 2011	30.19	Sr Mgr
11/22/2011	Cambria Co (Conemaugh Vlly) SD	General Obligation Bonds	Series of 2011	9.88	Co-Mgr
11/22/2011	Chester Co (Phoenixville) ASD	General Obligation Bonds	Series of 2011	9.70	Co-Mgr
11/22/2011	Phoenix City Civic Imp Corp	Junior Lien Wtr Sys Rev Ref Bonds	Series 2011	167.51	Co-Mgr
11/22/2011	Arizona Board of Regents	Speed Revenue Bonds	Series 2011	30.92	Co-Mgr
11/22/2011	Cape Coral City-Florida	Water & Sewer Refunding Rev Bonds	Series 2011 A	106.56	Co-Mgr
11/23/2011	Puerto Rico Sales Tax Fin Corp	First Sub Sales Tax Rev Bonds	Series 2011 B	45.62	Sr Mgr
11/23/2011	Puerto Rico Sales Tax Fin Corp	Sales Tax Revenue Bonds	Series 2011 A-1	397.76	Sr Mgr
11/23/2011	Ohio	Hospital Revenue Refunding Bonds	Series 2011 C	171.00	Co-Mgr
11/28/2011	Chester Co (West Chester) ASD	General Obligation Bonds	Series of 2011	9.59	Co-Mgr
11/29/2011	Arizona Transportation Board	Subordinated Highway Rev Bonds	Series 2011 B	70.67	Co-Mgr
11/29/2011	Arizona Transportation Board	Subordinated Highway Rev Bonds	Series 2011 A	485.23	Co-Mgr
11/29/2011	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	2012 Series BB	450.00	Co-Mgr
11/29/2011	Minnesota Tobacco Securitization Auth	Tobacco Settlement Rev Bonds	Series 2011 A	74.69	Co-Mgr
11/29/2011	Minnesota Tobacco Securitization Auth	Tobacco Settlement Rev Bonds	Series 2011 B	682.27	Co-Mgr
11/30/2011	Baltimore Co-Maryland	Metro Dt & Consol Pub Imp Bonds	2011 Series	255.00	Sr Mgr
11/30/2011	Westchester Co-New York	General Obligation Bonds	2011 Series C	29.39	Sr Mgr
11/30/2011	Westchester Co-New York	General Obligation Notes	Series 2011 D	24.26	Sr Mgr
11/30/2011	Oakland Co (Hazel Park) SD	GO Ref Qual School Bond Loan Fund	Series 2011	25.50	Co-Mgr
11/30/2011	North Carolina Turnpike Authority	State Appropriation Rev Bonds	Series 2011	214.51	Co-Mgr
11/30/2011	Wayne Co Airport Auth	Airport Revenue Refunding Bonds	Series 2011 B	16.97	Co-Mgr

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
11/30/2011	Wayne Co Airport Auth	Airport Revenue Refunding Bonds	Series 2011 A	152.47	Co-Mgr
12/01/2011	Grand Forks City-North Dakota	Refunding Improvement Bonds	Series 2011 C	5.70	Sr Mgr
12/01/2011	Travis Co MUD #4	Unltd Contract Tax Ref Bonds	Series 2011	6.80	Sr Mgr
12/01/2011	Van Buren Co (Clinton) SD #1	Construction Bonds		10.52	Co-Mgr
12/01/2011	Knoxville City-Tennessee	Water System Revenue Bonds	Series W 2011	25.00	Co-Mgr
12/01/2011	Tennessee Hsg Dev Agcy (THDA)	Homeownership Program Rev Bonds	Series 2011- B&C	44.15	Co-Mgr
12/01/2011	Tennessee Hsg Dev Agcy (THDA)	Homeownership Program Rev Bonds	Series 2011-1A	97.11	Co-Mgr
12/01/2011	Government of Guam	Business Privilege Tax Bonds	Series 2011 A	235.00	Co-Mgr
12/01/2011	Hennepin Co (Minneapolis) SSD #1	GO Refunding Bonds	Series 2011 E	15.83	Co-Mgr
12/01/2011	Olathe City-Kansas	GO Refunding Bonds	Series 220	17.51	Co-Mgr
12/01/2011	New Jersey Trans Trust Fund Au	Transportation Sys Bonds	2011 Series B	1315.00	Co-Mgr
12/01/2011	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2011 Series C	33.20	Co-Mgr
12/01/2011	Maine State Housing Authority	Mortgage Purchase Bonds	2011 Series E	13.25	Co-Mgr
12/03/2011	Lehigh Co (Southern Lehigh) SD	General Obligation Bonds	Series of 2011	10.00	Co-Mgr
12/06/2011	Snohomish Co Pub Utility Dt #1	Electric System Rev Ref Bonds	Series 2011	47.97	Sr Mgr
12/06/2011	Middlesex Co-New Jersey	Refunding Certs of Participation	Series 2011	37.35	Sr Mgr
12/07/2011	Hawaii	GO & Refunding Bonds	Ser DZ, EA-ED of 11	1286.23	Co-Mgr
12/07/2011	Utah Co-Utah	Environmental Imp Rev Ref Bonds	Series 2011	32.38	Co-Mgr
12/07/2011	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2011 D	480.17	Co-Mgr
12/08/2011	New York Liberty Dev Corp	Liberty Revenue Bonds	Series 1 WTC-2011	672.48	Sr Mgr
12/08/2011	Massachusetts Water Resources Au	General Revenue Ref Bonds	2011 Series C	327.16	Co-Mgr
12/08/2011	California Municipal Fin Auth	Revenue Bonds	Series 2011	54.09	Co-Mgr
12/09/2011	Osceola Co-Florida	Infra Sales Surtax Rev Ref Bonds	Series 2011	29.50	Sr Mgr
12/13/2011	Georgetown Co SD	GO Refunding Bonds	Series 2011 B	56.60	Sr Mgr
12/13/2011	Portland City-Oregon	Limited Tax Improvement Bonds	2011 Series A	3.40	Sr Mgr
12/13/2011	Puerto Rico Sales Tax Fin Corp	Sales Tax Revenue Bonds	Senior Series 2011 D	91.16	Sr Mgr
12/13/2011	Puerto Rico Sales Tax Fin Corp	Sales Tax Revenue Bonds	Senior Series 2011 C	1006.48	Sr Mgr
12/14/2011	Manatee Co-Florida	Public Utility Ref Rev Bonds	Series 2011	22.65	Sr Mgr
12/14/2011	De Kalb Co-Georgia	Water & Sewerage Revenue Bonds	Series 2011 A	381.50	Co-Mgr
12/15/2011	Vermont Municipal Bond Bank	Refunding Bonds	2011 Series 5 & 6	69.59	Sr Mgr
12/15/2011	San Diego Co (Chula Vista) ESD	Certificates of Participation	Series 2011	25.00	Sr Mgr
12/15/2011	Virginia Public School Authority	School Tax Credit Bonds	Series 2011-2	128.96	Sr Mgr
12/15/2011	Volusia Co School Board	Sales Tax Revenue Refunding Bonds	Series 2011	39.11	Sr Mgr
12/15/2011	Eastern Carver Co (Cheska) ISD #112	GO School Building Ref Bonds	Series 2011 A	4.72	Sr Mgr
12/15/2011	Vermont Housing Finance Agency	HFA Initiative Multi-Family Bonds	Series 2011 A	4.27	Sr Mgr
12/15/2011	Connecticut	Special Tax Oblig & Ref Bonds	2011 Series A & B	455.08	Co-Mgr
12/15/2011	Yates Co (Penn Yan) CSD	School District Bonds	Series 2011	31.37	Co-Mgr
12/15/2011	NYC Transitional Finance Auth	Building Aid Revenue Bonds	2012 Subser S-1A	550.00	Co-Mgr
12/15/2011	Puerto Rico Public Finance Corp	Refunding Bonds	2011 Series B	437.65	Co-Mgr
12/16/2011	Omaha Public Power Dt (OPPD)	Electric System Revenue Bonds	2011 Series B & C	278.40	Co-Mgr
12/20/2011	Philadelphia SD	GO Refunding Bonds	Series C & D of 2011	57.52	Co-Mgr
12/20/2011	Michigan	State Trunk Line Fund Bonds	Series 2011	90.98	Co-Mgr
12/21/2011	District of Columbia Hsg Fin Agy	Multi-Family Housing Rev Bonds	Series 2011	16.50	Sr Mgr
12/21/2011	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2011 Ser F Sub F-3	30.26	Sr Mgr
12/21/2011	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2011 Ser F Sub F1-2	36.72	Sr Mgr
12/21/2011	Cook Co (Hinsdale) CCSD #181	GO Refunding Bonds	Series 2011	13.10	Co-Mgr
12/21/2011	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2011 Series E-1&2	40.80	Co-Mgr
12/21/2011	Georgia Muni Electric Au (MEAG)	Gen Power Rev Subordinated Bonds	Ser 2011 A ,D & 3	85.21	Co-Mgr
12/22/2011	North Brunswick Twp-New Jersey	GO Water & Wastewater Ref Bonds	Series 2011 B	0.40	Sr Mgr
12/22/2011	North Brunswick Twp-New Jersey	GO Wtr & Wastewater Ref Bonds	Series 2011 A	20.11	Sr Mgr
12/22/2011	Madison City-Wisconsin	Water Utility Revenue Bonds	Series 2011	19.37	Co-Mgr
12/22/2011	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2011 Series G, H & J	154.65	Co-Mgr
12/22/2011	Kentucky Housing Corporation	Housing Revenue Bonds	2011 Series B	20.00	Co-Mgr
12/22/2011	NYS Housing Fin-Mortgage Agcy	Affordable Housing Rev Bonds	2011 Series 4	35.51	Co-Mgr
12/28/2011	Gloucester Co-New Jersey	GO Refunding Bonds	Series 2011	16.50	Sr Mgr
12/28/2011	Barnesville-Georgia	Wtr & Sewerage Rev Ref & Imp	Series 2011 A & C	23.77	Sr Mgr
12/28/2011	Barnesville-Georgia	Water & Sewerage Ref & Imp Bonds	Series 2011 B	0.65	Sr Mgr
12/28/2011	North Carolina	Grant Antic Rev Vehicle Bonds	Series 2011	145.54	Co-Mgr
12/28/2011	Puerto Rico Infrastruct Fin Au	Revenue Bonds	Series 2011 B	192.83	Co-Mgr
12/28/2011	Puerto Rico Infrastruct Fin Au	Revenue Bonds	Series 2011 A	340.00	Co-Mgr
12/28/2011	Puerto Rico Government Dev Bank	Senior Notes	2011 Series H & I	1796.98	Co-Mgr
12/28/2011	Puerto Rico Infrastruct Fin Au	Revenue Bonds	Series 2011 C	136.39	Co-Mgr
12/30/2011	Monroe Co (East Irondequoit) CSD	School District Serial Bonds	Series 2011	36.99	Sr Mgr
01/01/2012	West Fargo City-North Dakota	Refunding Improvement Bonds	Series A of 2012	15.63	Co-Mgr
01/05/2012	Suffolk Co-New York	Refunding Serial Bonds	2012 Series A & B	88.12	Sr Mgr
01/10/2012	Oakland City-California	GO Refunding Bonds	Series 2012	83.78	Sr Mgr
01/12/2012	Lakeland City-Florida	Water & Wastewater Rev Ref Bonds	Series 2012 B	6.75	Sr Mgr
01/12/2012	Lakeland City-Florida	Water & Wastewater Rev Ref & Imp	Series 2012 A	37.33	Sr Mgr
01/12/2012	Carbon Co (Panther Valley) SD	General Obligation Bonds	Series of 2012 & A	7.43	Co-Mgr
01/15/2012	Laredo City-Texas	Comb Tax & Rev Cert of Obligation	Series 2012	7.69	Co-Mgr
01/18/2012	Pennsylvania Econ Dev Fin Auth	Government Lease Revenue Bonds	Series of 2012	107.36	Sr Mgr
01/19/2012	Concord City-New Hampshire	GO Capital Improvement Bonds		8.09	Co-Mgr
01/24/2012	Salt Lake Co-Utah	General Obligation Bonds	Series 2012	14.60	Sr Mgr
01/24/2012	ABAG Fin Au for Nonprofit Corp	Revenue Bonds	Series 2012 A	46.67	Sr Mgr
01/24/2012	Massachusetts	GO & Refunding Bonds	2012 Series A	462.85	Sr Mgr
01/24/2012	Ohio Public Fac Commission	General Obligation Bonds	Series 2012 I	12.00	Co-Mgr
01/24/2012	Ohio Public Fac Commission	General Obligation Bonds	Series 2012 A	80.00	Co-Mgr
01/24/2012	Ohio Public Fac Commission	GO Refunding Bonds	Series 2012 A	117.42	Co-Mgr
01/25/2012	Colorado St Bd of Comm Coll & Occ Ed	Systemwide Revenue Ref Bonds	Series 2012 A	11.50	Sr Mgr
01/25/2012	Metro Wastewater Reclamation Dt	Sewer Improvement Bonds	Series 2012 A	380.00	Sr Mgr
01/25/2012	Albany Co (Watervliet) SD	School District Serial Bonds	Series 2012	17.97	Sr Mgr
01/25/2012	Rhode Island Hlth & Ed Bldg Corp	Higher Ed Facility Rev Bonds	Series 2012	38.94	Sr Mgr
01/26/2012	North Carolina	Grant Antic Revenue Vehicle Bonds	Series 2012	179.54	Co-Mgr

National Transaction List
Negotiated & Competitive
(January 1, 2011 – Present)

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
01/26/2012	Greenwich Town-Connecticut	General Obligation Bonds	Issue of 2012	16.50	Co-Mgr
01/30/2012	Savannah Valley Utility Dt	Waterworks Revenue Ref Bonds	Series 2012 A	15.19	Sr Mgr
01/30/2012	Savannah Valley Utility Dt	Waterworks Revenue Ref Bonds	Series 2012 B	7.65	Sr Mgr
01/30/2012	Herkimer Co (Whitesboro) CSD	GO School Serial Bonds	Series 2012	33.63	Co-Mgr
01/30/2012	NYC Municipal Water Fin Auth	Wtr & Swr 2nd Gen Res Rev Bonds	Series CC & DD	400.00	Co-Mgr
02/01/2012	Kingsway RSD BOE	School Bonds		22.66	Sr Mgr
02/01/2012	Harris Co MUD #374	Refunding Bonds	Series 2012	14.89	Sr Mgr
02/01/2012	College of Charleston	Higher Ed Facs Rev Ref Bonds	Series 2012 A	25.63	Sr Mgr
02/01/2012	San Antonio City-Texas	Water System Revenue Ref Bonds	Series 2012	225.26	Sr Mgr
02/01/2012	East Greenwich Twp Bd of Ed	School Bonds		16.10	Co-Mgr
02/01/2012	Leicher Co SD Finance Corp	School Building Ref Revenue Bonds	Series of 2012	14.73	Co-Mgr
02/01/2012	Jessamine Co SD Finance Corp	School Building Revenue Bonds	Series of 2012	15.31	Co-Mgr
02/02/2012	Fairfax Co-Virginia	Public Improvement Bonds	Series 2012 A	217.66	Sr Mgr
02/02/2012	Georgia Muni Electric Au (MEAG)	Revenue Bonds	Series 2012 A	102.37	Co-Mgr
02/06/2012	Northern California Power Agency	Revenue Refunding Bonds	Series 2012 B	7.12	Sr Mgr
02/07/2012	Texas Water Development Board	Water Financial Assistance Bonds	Series 2012 A	39.93	Sr Mgr
02/07/2012	Texas Water Development Board	Water Financial Assistance Bonds	Series 2012 B	14.96	Sr Mgr
02/07/2012	Northern California Power Agency	Revenue Refunding Bonds	2012 Series A	76.67	Sr Mgr
02/07/2012	Puerto Rico Government Dev Bank	Senior Notes	2012 Series A	1000.00	Co-Mgr
02/08/2012	Alaska	GO Refunding Bonds	Series 2012 A	175.56	Co-Mgr
02/09/2012	Jacksonville Electric Authority	Electric System Sub Rev Bonds	2012 Ser A & Three	174.88	Co-Mgr
02/10/2012	Mercer Co-New Jersey	General Imp & Open Space Bonds	Series 2012	65.79	Sr Mgr
02/14/2012	Collin Co (Wylie) ISD	GO Refunding Bonds	Series 2012 A	36.16	Sr Mgr
02/14/2012	Placer Co (Tahoe Truckee) USD	GO Refunding Bonds	Series 2012	11.61	Sr Mgr
02/15/2012	Village Community Dev Dt #9	Special Assessment Rev Bonds	Series 2012	53.77	Sr Mgr
02/15/2012	Nebraska Municipal Energy Agency	Power Supply System Rev Ref Bonds	2012 Series A	63.87	Sr Mgr
02/15/2012	Harris Co (Pasadena) ISD	Unlimited Tax QSCB	Series 2012 C	29.05	Sr Mgr
02/16/2012	NYS Dorm Authority	Revenue Bonds	2012 Series 1	131.41	Co-Mgr
02/16/2012	NYS Dorm Authority	Revenue Bonds	Series 2012	89.53	Co-Mgr
02/22/2012	Arlington Co-Virginia	GO Refunding Bonds	Series 2012 B	4.54	Sr Mgr
02/22/2012	Oregon	GO Refunding Bonds	2012 Series C	13.54	Sr Mgr
02/22/2012	Arlington Co-Virginia	GO Refunding Bonds	Series 2012 A	106.45	Sr Mgr
02/22/2012	Oregon	GO Refunding Bonds	Series 2012 A-B	86.95	Sr Mgr
02/22/2012	Pierce Co (River Falls) SD	GO School Improvement & Ref Bonds	Series 2012 A	15.47	Co-Mgr
02/23/2012	Unified Gov of Wyandotte Co/Kansas City	GO Improvement Bonds	Series 2012-A	15.20	Sr Mgr
02/23/2012	Frederick Co-Maryland	GO Public Facilities Ref Bonds	Series of 2012	85.08	Sr Mgr
02/23/2012	NYS Dorm Authority	Third Gen Resolution Rev Bonds	Series 2012 A	836.10	Sr Mgr
02/23/2012	Jacksonville Electric Authority	Water & Sewer Sys Rev & Sub Bonds	2012 Series A	354.88	Co-Mgr
02/28/2012	Maryland Transportation Auth	Transport Facs Rev Ref Bonds	Series 2012	67.61	Sr Mgr
02/29/2012	Prince William Co-Virginia	GO Pub Improvement Ref Bonds	Series 2012 B	16.04	Sr Mgr
02/29/2012	Prince William Co-Virginia	GO Pub Improvement Ref Bonds	Series 2012 A	35.55	Sr Mgr
02/29/2012	Puerto Rico Aqueduct & Sewer Authority	Revenue Bonds	Sn Lien Ser 2012 B	295.25	Co-Mgr
02/29/2012	Puerto Rico Aqueduct & Sewer Authority	Revenue Bonds	Sr Lien Series 2012A	1800.45	Co-Mgr
03/01/2012	Virginia Transportation Board	Federal Transportation Rev GANs	Series 2012	297.59	Sr Mgr
03/01/2012	Louisiana Gov Env & Comm Dev	Revenue Bonds	Series 2012	33.59	Sr Mgr
03/01/2012	Regents of the University of Michigan	General Revenue Bonds	Series 2012 A	50.00	Sr Mgr
03/01/2012	Regents of the University of Michigan	General Revenue Bonds	Series 2012 B	65.00	Sr Mgr
03/01/2012	Travis Co (Pflugerville) ISD	Unlimited Tax Refunding Bonds	Series 2012	40.77	Sr Mgr
03/01/2012	Williamson-Travis Wtr Ctl Dt #1G	Unlimited Tax Refunding Bonds	Series 2012	12.12	Sr Mgr
03/01/2012	Williamson Co-Texas	Limited Tax Refunding Bonds	Series 2012	140.64	Sr Mgr
03/01/2012	Salt Lake & Sandy Metro Water Dt	Water Revenue Proj & Ref Bonds	Series 2012 A	107.65	Co-Mgr
03/01/2012	Crittenden Co (Marion) SD #3	Refunding Bonds		15.99	Co-Mgr
03/01/2012	Ballard Co SD Finance Corp	School Building Ref Revenue Bonds	Series of 2012	13.79	Co-Mgr
03/01/2012	Regents of the Univ of California	General Revenue Bonds	2012 Series AD	860.00	Co-Mgr
03/01/2012	Univ of Texas Sys Bd of Regents	Revenue Financing System Bonds	Series 2012 B	238.14	Co-Mgr
03/01/2012	Waterford Town-Connecticut	General Obligation Bonds	Issue of 2012	15.64	Co-Mgr
03/01/2012	Williamson Co Wtr Swr Irr Drain Dt #3	Unlimited Tax Refunding Bonds	Series 2012	8.29	Co-Mgr
03/07/2012	Ohio Water Development Authority	Wtr Poll Ctrl Loan Fund Ref Rev	Series 2012 A	62.56	Co-Mgr
03/08/2012	San Francisco City/Co-California	General Obligation Bonds	Series 2012 A B & C	330.98	Sr Mgr
03/08/2012	Albany Co (North Colonie) CSD	School District Ref Serial Bonds	Series 2012	16.91	Co-Mgr
03/08/2012	Essex Co (South Orange Maplewood) SD BOE	School Bonds		13.86	Co-Mgr
03/08/2012	New York City-New York	General Obligation Bonds	2012 Series E & F	1030.62	Co-Mgr
03/08/2012	California	Various Purpose GO Ref Bonds		1904.60	Co-Mgr
03/09/2012	Washington	Various Purpose GO Bonds	Series 2012 D	362.56	Sr Mgr
03/13/2012	California Dept of Wtr Resources	Central Valley Proj Water Sys Rev	Series AK	36.37	Co-Mgr
03/13/2012	Kentucky Turnpike Authority	Economic Dev Road Rev Bonds	2012 Series A	218.20	Co-Mgr
03/15/2012	Camden Co Solid Waste Management Auth	Revenue Refunding Bonds	Series 2012	4.04	Sr Mgr
03/15/2012	Seminole Co School Board	Certificates of Participation	Series 2012 A	27.10	Sr Mgr
03/15/2012	San Diego USD	GO Ref Ad Valorem Prop Tax Bonds	2012 Series R-1	65.43	Sr Mgr
03/15/2012	Alabama 21st Century Authority	Tobacco Settlement Revenue Bonds	Series 2012 A	92.81	Sr Mgr
03/15/2012	Lycoming Co (Jersey Shore) ASD	General Obligation Bonds	Series of 2012	10.00	Co-Mgr
03/15/2012	Spring Twp-Pennsylvania	General Obligation Bonds	Series of 2012	6.48	Co-Mgr
03/15/2012	Tennessee	GO Refunding Bonds	2012 Series A	449.07	Co-Mgr
03/15/2012	Gloucester City-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2012	14.35	Co-Mgr
03/19/2012	NYC Municipal Water Fin Auth	Wtr&Swr Sys 2nd Gen Res Rev Bonds	2012 Series EE	522.51	Co-Mgr
03/20/2012	Middlesex Co-New Jersey	GO Refunding Bonds	Series 2012	6.26	Sr Mgr
03/20/2012	Wisconsin	GO Refunding Bonds	Series 1 of 2012	221.46	Co-Mgr
03/20/2012	Maryland	GO State & Local Facilities Loan	2012 First Series A	56.09	Co-Mgr
03/21/2012	Vermont	General Obligation Bonds	2012 Series B	28.00	Sr Mgr
03/21/2012	Vermont	GO & Ref Vermont Citizen Bonds	2012 Series A & D	94.06	Sr Mgr
03/21/2012	Williamson Co-Tennessee	GO Refunding Bonds	Series 2012 A	17.78	Co-Mgr
03/22/2012	Newton Co SD	GO Refunding Bonds	Series 2012 B	8.94	Sr Mgr
03/22/2012	Newton Co SD	General Obligation Bonds	Series 2012 A	17.34	Sr Mgr

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
03/22/2012	Charleston Co-South Carolina	GO Transport Sales Tax Ref Bonds	Series of 2012	32.10	Sr Mgr
03/22/2012	San Diego Community College Dt	GO Refunding Bonds	Series 2012	279.76	Sr Mgr
03/22/2012	Kansas City-Missouri	GO Improvement & Refunding Bonds	Series 2012 A	216.90	Sr Mgr
03/22/2012	Cambridge City-Massachusetts	GO Refunding Bonds		24.85	Sr Mgr
03/22/2012	District of Columbia Wtr & Swr Au	Public Utility Rev & Ref Bonds	Series 2012 A & C	340.65	Co-Mgr
03/22/2012	New Jersey Inst of Tech (NJIT)	General Obligation Bonds	2012 Series A	65.50	Co-Mgr
03/22/2012	District of Columbia Wtr & Swr Au	Public Utility Sub Lien Rev Bonds	Series 2012 B-2	47.31	Co-Mgr
03/22/2012	District of Columbia Wtr & Swr Au	Public Utility Sub Lien Rev Bonds	Series 2012 B-1	52.69	Co-Mgr
03/27/2012	Los Angeles (Long Beach) USD	GO Refunding Bonds	Series 2012	81.78	Sr Mgr
03/27/2012	Canton Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2012	8.73	Co-Mgr
03/28/2012	Morris Co Improvement Authority	School District Revenue Ref Bonds	Series 2012	28.23	Sr Mgr
03/28/2012	New Britain City-Connecticut	General Obligation Bonds	Issue of 2012	34.02	Sr Mgr
03/28/2012	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 157	38.43	Co-Mgr
03/28/2012	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 158 & 159	45.26	Co-Mgr
03/28/2012	San Bernardino Co Trans Auth	Ltd Tax Sales Tax Revenue Bonds	2012 Series A	91.88	Co-Mgr
03/28/2012	Metropolitan Transport Auth (MTA)	Dedicated Tax Funds	Subseries 2002 B-2	116.05	Co-Mgr
03/29/2012	Washington	Certificates of Participation	Series 2012 A	29.01	Sr Mgr
03/29/2012	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Revenue Bonds	Series 171	12.00	Co-Mgr
03/29/2012	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Revenue Bonds	Series 170	19.94	Co-Mgr
03/29/2012	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 168 & 169	93.13	Co-Mgr
03/29/2012	Puerto Rico	GO Public Improvement Ref Bonds	Series 2012 B	415.27	Co-Mgr
04/01/2012	Collin Co (Wylie) ISD	Unlimited Tax Refunding Bonds	Series 2012 B	32.83	Sr Mgr
04/01/2012	Oklahoma City-Oklahoma	General Obligation Bonds	Series 2012	50.00	Sr Mgr
04/01/2012	Lubbock City-Texas	General Obligation Bonds	Series 2012	12.40	Sr Mgr
04/01/2012	Lubbock City-Texas	Wtrwrks Sys Surplus Rev Ctf Ob	Series 2012	66.08	Sr Mgr
04/01/2012	Lubbock City-Texas	GO Refunding Bonds	Series 2012	15.20	Sr Mgr
04/01/2012	South Carolina Trans Infras Bank	Revenue Refunding Bonds	Series 2012 A	265.97	Sr Mgr
04/01/2012	Laredo City-Texas	Intl Toll Bridge Sys Rev Ref Bond	Series 2012	7.64	Sr Mgr
04/01/2012	Corpus Christi City-Texas	Utility System Revenue Imp Bonds	Series 2012	52.50	Co-Mgr
04/02/2012	St Paul City-Minnesota	GO Capital Improvement Bonds	Series 2012 A	5.74	Co-Mgr
04/03/2012	Energy Northwest	Electric Revenue Ref Bonds	Series 2012-A	664.52	Sr Mgr
04/03/2012	Rapides Parish (Pineville) SD #52	GO School Bonds	Series 2012	20.69	Co-Mgr
04/03/2012	Montgomery Co (Pottstown) SD	General Obligation Bonds	Series of 2012	5.32	Co-Mgr
04/03/2012	Salt Lake & Sandy Metro Water Dt	Water Revenue Refunding Bonds	Series 2012 B	12.11	Co-Mgr
04/03/2012	Puerto Rico	GO Public Improvement Ref Bonds	Series 2012 A	2318.19	Co-Mgr
04/03/2012	Energy Northwest	Electric Revenue Refunding Bonds	Series 2012 B	71.62	Co-Mgr
04/03/2012	Energy Northwest	Electric Revenue Refunding Bonds	Series 2012 C	85.74	Co-Mgr
04/04/2012	Martinez City-California	General Obligation Bonds	Series B	10.00	Sr Mgr
04/04/2012	Oregon Dept of Admin Services	Ref Certificates of Participation	2012 Series A	28.39	Sr Mgr
04/04/2012	Oregon	General Obligation Bonds	2012 Series H	44.76	Sr Mgr
04/04/2012	Oregon	General Obligation Bonds	2012 Series I	54.45	Sr Mgr
04/04/2012	Oregon	General Obligation Bonds	Series 2012 J	12.54	Sr Mgr
04/04/2012	Oregon	GO Refunding Bonds	2012 Series K	18.33	Sr Mgr
04/04/2012	Oregon	GO Refunding Bonds	2012 Series L	129.31	Sr Mgr
04/04/2012	Oregon	GO Refunding Bonds	Series 2012 M	7.44	Sr Mgr
04/05/2012	Raleigh City-North Carolina	Comb Enterprise Sys Rev Ref Bonds	Series 2012 A	31.23	Sr Mgr
04/05/2012	New Jersey Educational Facs Au	Revenue Refunding Bonds	Series 2012 A	26.26	Sr Mgr
04/05/2012	Suffolk Tobacco Asset Sec Corp	Tobacco Settlement Bonds	Series 2012 A	3.74	Sr Mgr
04/05/2012	Suffolk Tobacco Asset Sec Corp	Tobacco Settlement Ass-Bck Bonds	Series 2012 B	34.64	Sr Mgr
04/05/2012	New York City-New York	General Obligation Bonds	2012 Ser G Sub G-3	300.00	Sr Mgr
04/05/2012	Maryland Nat Cap Park & Plan Comn	GO Project & Refunding Bonds	Series MC-2012 A	12.51	Co-Mgr
04/08/2012	California Statewide CDA (CSCDA)	Revenue Bonds	Series 2012 A	869.69	Sr Mgr
04/10/2012	Broward Co-Florida	Wtr & Swr Utility Rev Ref Bonds	Series 2012 C	47.66	Sr Mgr
04/10/2012	Broward Co-Florida	Wtr & Swr Utility Rev & Ref Bonds	Series 2012 A & B	251.55	Sr Mgr
04/10/2012	Idaho State Building Authority	State Building Ref Rev Bonds	Series 2012 A, C-1	42.79	Sr Mgr
04/10/2012	Passaic Co-New Jersey	General Obligation Bonds	Series 2012 A	23.16	Sr Mgr
04/11/2012	Howard Co-Maryland	Pub Imp & Metropolitan Dt Bonds	2012 Series A	57.34	Sr Mgr
04/11/2012	East Granby Town-Connecticut	General Obligation Bonds	Issue of 2012	7.20	Co-Mgr
04/12/2012	Newton City-Massachusetts	GO Muni Purpose Loan Bonds	Series of 2012	8.01	Co-Mgr
04/15/2012	Denton Co-Texas	Permanent Improvement & Ref Bonds	Series 2012	98.50	Sr Mgr
04/15/2012	Denton Co-Texas	Tax Notes	Series 2012	9.73	Sr Mgr
04/15/2012	Laredo City-Texas	GO Refunding Bonds	Seires 2012	7.64	Sr Mgr
04/15/2012	Nacogdoches City-Texas	GO Refunding Bonds	Series 2012	39.48	Co-Mgr
04/15/2012	Bexar Co (Northside) ISD	Unlimited Tax Refunding Bonds	Series 2012	95.31	Co-Mgr
04/15/2012	San Antonio City-Texas	PFC Sub Airport Sys Rev Ref Bonds	Series 2012	25.79	Co-Mgr
04/15/2012	San Antonio City-Texas	Airport System Rev Ref Bonds	Series 2012	70.14	Co-Mgr
04/17/2012	Bellevue City-Washington	Limited Tax GO Refunding Bonds	Series 2012	55.88	Sr Mgr
04/17/2012	Greenville City-South Carolina	Waterworks System Ref Rev Bonds	Series 2012	15.50	Sr Mgr
04/17/2012	California Educational Facs Auth	Revenue Bonds	Series U-2	77.76	Co-Mgr
04/18/2012	California Statewide CDA (CSCDA)	Revenue Bonds	Series 2012 B	100.00	Sr Mgr
04/18/2012	King Co-Washington	Limited Tax GO Refunding Bonds	Series 2012	68.40	Co-Mgr
04/18/2012	King Co-Washington	Sewer Revenue & Refunding Bonds	Series 2012	104.45	Co-Mgr
04/18/2012	Bridgewater Twp-New Jersey	GO Gen Imp & Sewer Utility Bonds	Series 2012	17.28	Co-Mgr
04/18/2012	New Jersey Economic Dev Auth	Cigarette Tax Revenue Ref Bonds	Series 2012	1041.75	Co-Mgr
04/18/2012	Camden Co-New Jersey	County College Bonds	Series of 2012	8.50	Co-Mgr
04/19/2012	Bend City-Oregon	Full Faith & Credit Obligations	Series 2012	9.28	Sr Mgr
04/19/2012	Fulton Co Development Authority	Revenue Refunding Bonds	Series 2012	25.67	Sr Mgr
04/19/2012	West Virginia Economic Dev Auth	Lease Revenue Refunding Bonds	Series 2012 A & B	104.54	Sr Mgr
04/19/2012	NYC Transitional Finance Auth	Future Tax Sec Subordinate Bonds	2012 Ser E Sub E-2	100.00	Sr Mgr
04/19/2012	Sacramento Suburban Water Dt	Refunding Revenue Bonds	Series 2012 A	29.20	Sr Mgr
04/19/2012	Erie Co Industrial Dev Agency	School Facility Ref Rev Bonds	Series 2012 A	209.54	Sr Mgr
04/19/2012	Massachusetts Water Resources Au	General Revenue & Ref Bonds	2012 Series A & B	236.78	Co-Mgr
04/19/2012	Sea Isle City-New Jersey	General Imp & Water/Sewer Utility		14.64	Co-Mgr

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04/19/2012	NYC Transitional Finance Auth	Future Tax Secured & Sub Bonds	2012 Ser E Sub E-1	800.00	Co-Mgr
04/24/2012	Portland City-Oregon	Limited Tax Revenue Bonds	2012 Series A	12.00	Sr Mgr
04/24/2012	California	Various Purpose GO & Ref Bonds		1343.81	Sr Mgr
04/24/2012	North Carolina Medical Care Commiss	Health Care Facs Rev Ref Bonds	Series 2012 A	45.61	Sr Mgr
04/25/2012	Lake Co School Board	Certificates of Participation	Series 2012 A	23.07	Sr Mgr
04/25/2012	Middlesex Co (Monroe) TBOE	School District Refunding Bonds	Series 2012	62.18	Sr Mgr
04/25/2012	South Orange Co Public Fin Auth	Justice Ctr Lease Rev Ref Bonds	Series 2012	34.38	Sr Mgr
04/25/2012	Oregon Dept of Admin Services	State Lottery Revenue Bonds	2012 Series A	18.86	Sr Mgr
04/25/2012	Maryland Transportation Auth	Passenger Fac Charge Rev Bonds	Series 2012 A	50.91	Sr Mgr
04/25/2012	Maryland Transportation Auth	Gov Purp Airport Pkg Rev Ref	Series 2012 A	66.97	Sr Mgr
04/25/2012	Maryland Transportation Auth	Airport Parking Revenue Ref Bonds	Series 2012 B	123.60	Sr Mgr
04/25/2012	California Health Facs Fin Auth	Revenue Bonds	Series 2012 A	163.74	Sr Mgr
04/25/2012	Oregon Dept of Admin Services	State Lottery Revenue & Ref Bonds	2012 Series B	53.54	Sr Mgr
04/25/2012	Oregon Dept of Admin Services	State Lottery Revenue Bonds	2012 Series C	2.75	Sr Mgr
04/25/2012	NYS Housing Fin-Mortgage Agcy	Gotham West Housing Rev Bonds	2012 Series A-1	173.00	Sr Mgr
04/25/2012	Wisconsin	Transportation Revenue Bonds	2012 Series 1	343.73	Co-Mgr
04/25/2012	Lockport City-Illinois	GO Alternate Rev Source Bonds	Series 2012	9.75	Co-Mgr
04/26/2012	West Stanislaus Irrigation Dt	Rev Certificates of Participation	Series 2012 A	15.22	Sr Mgr
04/26/2012	Michigan Finance Authority	Clean Wtr Revolve Fund Rev Bonds	Series 2012	131.41	Sr Mgr
04/26/2012	Norfolk City Eco Dev Auth	Hospital Facs Rev & Ref Bonds	Series 2012	68.89	Sr Mgr
04/26/2012	Arizona Board of Regents	System Revenue & Ref Bonds	Series 2012 B	6.24	Co-Mgr
04/26/2012	Arizona Board of Regents	System Revenue & Refunding Bonds	Series 2012 A	207.13	Co-Mgr
04/26/2012	SC Pub Svc Au (Santee Cooper)	Revenue Obligations	Series 2012 E	262.83	Co-Mgr
04/26/2012	SC Pub Svc Au (Santee Cooper)	Revenue Obligations	Series 2012 D	312.16	Co-Mgr
04/26/2012	Salt River Proj Agric Imp & Pwr Dt	Electric System Ref Rev Bonds	2012 Series A	236.19	Co-Mgr
04/26/2012	California St Public Works Board	Lease Revenue Bonds	2012 Series B	42.05	Co-Mgr
04/26/2012	California St Public Works Board	Lease Revenue Refunding Bonds	2012 Series C	267.53	Co-Mgr
04/30/2012	Western Washington University	Student Recreation Fee Rev & Ref	Series 2012	24.39	Sr Mgr
04/30/2012	CityPlace Community Dev Dt	Special Assess & Rev Ref Bonds	Series 2012	39.89	Sr Mgr
05/01/2012	Pleasant Hill Recreation Park Dt	General Obligation Bonds	Series B 2012	9.00	Sr Mgr
05/01/2012	Philadelphia Redev Authority	Revenue Refunding Bonds	Series 2012	91.31	Sr Mgr
05/01/2012	Collin Co-Texas	Unlimited Tax Road & Ref Bonds	Series 2012	50.80	Sr Mgr
05/01/2012	Collin Co-Texas	Limited Tax Refunding & Imp Bonds	Series 2012	20.74	Sr Mgr
05/01/2012	White Co (Beebe) SSD	Refunding Bonds		11.26	Co-Mgr
05/01/2012	Wisconsin	GO Refunding Bonds	Series 2 of 2012	143.56	Co-Mgr
05/01/2012	Saline Co (Benton) SD #8	Refunding Bonds		23.84	Co-Mgr
05/01/2012	Miller Co (Texerkana) SD #7	Refunding Bonds		15.39	Co-Mgr
05/01/2012	Burlington Co (Moorestown) TBOE	School Refunding Bonds		20.53	Co-Mgr
05/01/2012	Puerto Rico Electric Power Authority	Power Revenue & Refunding Bonds	Series 2012 A & B	650.00	Co-Mgr
05/01/2012	Oneida Co-New York	Public Improvement Serial Bonds	Series 2012	21.67	Co-Mgr
05/01/2012	Pennsylvania Industrial Dev Auth	Economic Dev Rev Ref Bonds	Series 2012	170.19	Co-Mgr
05/01/2012	Wichita City-Kansas	Water & Sewer Util Rev Bonds	Series 2012 A	17.23	Co-Mgr
05/02/2012	Vermont Housing Finance Agency	Multi Purpose Bonds	2012 Series A & C	19.42	Sr Mgr
05/02/2012	Vermont Housing Finance Agency	Multi Purpose Bonds	2012 Series B	55.87	Sr Mgr
05/02/2012	Concord City-North Carolina	Utility Sys Revenue Ref Bonds	Series 2012	17.64	Sr Mgr
05/03/2012	Orange Co School Board	Certificates of Participation	Series 2012 A	56.45	Sr Mgr
05/03/2012	Florida Board of Governors	Florida Intl Univ Dorm Rev Bonds	Series 2012 A	53.66	Sr Mgr
05/03/2012	NYS Dorm Authority	Revenue Refunding Bonds	Series 2012 A	48.93	Sr Mgr
05/03/2012	Virginia College Building Auth	Educational Facs Revenue Bonds	Series 2012 A	335.08	Sr Mgr
05/03/2012	North Carolina Medical Care Commiss	Health Care Facs Rev Ref Bonds	Series 2012 A	150.50	Sr Mgr
05/03/2012	Lee Co School Board	Certificates of Participation	Series 2012 B	149.02	Co-Mgr
05/03/2012	Orange Co School Board	Certificates of Participation	Series 2012 B	78.73	Co-Mgr
05/03/2012	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2012 C	727.43	Co-Mgr
05/03/2012	Metropolitan Transport Auth (MTA)	Transportation Revenue Ref Bonds	Subseries 2002D-1	174.73	Co-Mgr
05/07/2012	Davenport City-Iowa	GO Refunding Bonds	Series 2012 C	11.72	Co-Mgr
05/08/2012	Philadelphia City-Pennsylvania	GO Refunding Bonds	Series 2012 A	21.30	Sr Mgr
05/09/2012	Poquoson City-Virginia	GO Refunding Bonds	Series 2012	15.50	Sr Mgr
05/09/2012	Broward Co School Board	Certificates of Participation	Series 2012 A	270.65	Co-Mgr
05/09/2012	Ohio	Hospital Revenue Bonds	Series 2012 A	472.82	Co-Mgr
05/10/2012	Jackson Co SD	GO Refunding Bonds	Series 2012	58.53	Sr Mgr
05/10/2012	Illinois Finance Authority	Revenue Bonds	Series 2012 E	88.67	Sr Mgr
05/10/2012	Illinois Finance Authority	Revenue Bonds	Series 2012 A	128.68	Sr Mgr
05/10/2012	Maryland Hlth & Highr Ed Facs Au	Revenue Bonds	Series 2012 B	86.03	Sr Mgr
05/10/2012	Rutherford Co Hlth & Ed Facs Bd	Revenue Bonds	Series 2012 C	43.66	Sr Mgr
05/10/2012	Wisconsin Health and Ed Fac Auth	Revenue Bonds	Series 2012 D	88.15	Sr Mgr
05/10/2012	Burbank-Glndl-Psdna Airport Auth	Airport Revenue Bonds	2012 Series A	6.72	Sr Mgr
05/10/2012	Burbank-Glndl-Psdna Airport Auth	Airport Revenue Bonds	2012 Series B	75.45	Sr Mgr
05/11/2012	Fremont City-California	Certificates of Participation	2012 Series A	12.99	Sr Mgr
05/15/2012	Eastern Carver Co (Chaska) ISD #112	GO Alternative Fac & Ref Bonds	Series 2012 A	47.83	Sr Mgr
05/15/2012	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2012 Ser B Subser B1	24.62	Sr Mgr
05/15/2012	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2012 Ser B Subser B2	12.75	Sr Mgr
05/15/2012	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2012 Ser B Subser B3	15.01	Sr Mgr
05/15/2012	Lebanon Co (No Lebanon) SD	General Obligation Notes	Series A & B of 2012	16.02	Co-Mgr
05/15/2012	Onondaga Co (Jordan-Elbridge) CSD	GO School District Serial Bonds	Series A 2012	1.01	Co-Mgr
05/15/2012	Onondaga Co (Jordan-Elbridge) CSD	GO School District Serial Bonds	Series B-2012	18.15	Co-Mgr
05/15/2012	Chemung Co (Elmira) CSD	School District Serial Bonds	Series-2012	10.79	Co-Mgr
05/15/2012	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2012 Series A	168.38	Co-Mgr
05/15/2012	Harris Co (Houston) ISD	Var Rate Ltd Tax Refunding Bonds	Series 2012	182.08	Co-Mgr
05/16/2012	San Juan Water Dt	Refunding Revenue Bonds	Series 2012 A	15.20	Sr Mgr
05/16/2012	San Juan Water Dt	Refunding Revenue Bonds	Series 2012 B	0.71	Sr Mgr
05/16/2012	Horry Co SD	GO Refunding Bonds	Series 2012	59.46	Sr Mgr
05/16/2012	Pitt Co-North Carolina	Ref Certificates of Participation	Series 2012	21.10	Sr Mgr
05/16/2012	Norfolk City Eco Dev Auth	Health Care Facs Rev & Ref Bonds	Series 2012 B	148.74	Sr Mgr

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05/16/2012	Ramsey Co (White Bear Lake) ISD #624	GO Alternative Facs Bonds	Series 2012 A	8.85	Co-Mgr
05/16/2012	District of Columbia	Income Tax Secured Rev Ref Bonds	Series 2012 A	258.11	Co-Mgr
05/17/2012	Florida Dept of Transportation	Right-of-Way & Bridge Con Bonds	Series 2012 A	267.39	Sr Mgr
05/17/2012	Richmond Co Board of Education	GO Sales Tax Bonds	Series 2012	130.52	Sr Mgr
05/17/2012	Portland City-Oregon	Sr Lien Urban Renew & Redev Bonds	2012 Series A	69.76	Sr Mgr
05/17/2012	Lower Neches Vlly Auth Ind Dev Corp	Revenue Bonds	Series 2012	100.00	Sr Mgr
05/17/2012	Dakota Co (Lakeville) ISD #194	GO Alternative Facilities Bonds	Series 2012 B	7.72	Co-Mgr
05/17/2012	Dakota Co (Lakeville) ISD #194	GO School Building Ref Bonds	Series 2012 C	11.42	Co-Mgr
05/22/2012	Westchester Co (New Rochelle) SD	School Dt Refunding Serial Bonds	Series 2012	17.69	Sr Mgr
05/22/2012	Stafford Twp-New Jersey	GO Cap Imp & Wtr Swr Util Bonds	Series 2012	12.00	Co-Mgr
05/23/2012	Indiana Finance Authority	Revenue & Revenue Ref Bonds	Series 2012 E I K	29.74	Sr Mgr
05/23/2012	Indiana Finance Authority	Revenue & Revenue Ref Bonds	Series 2012 F G H J	15.72	Sr Mgr
05/23/2012	Cupertino City-California	Certificates of Participation	2012 Series	43.94	Sr Mgr
05/23/2012	Colorado Health Facilities Auth	Health Facilities Revenue Bonds	Series 2012	169.96	Sr Mgr
05/24/2012	Louisiana	Gasoline&Fuels Tax Rev Ref Bonds	2012 Series A-1	803.08	Sr Mgr
05/24/2012	Portland City-Oregon	Ltd Tax Rev & Refunding Bonds	2012 Series B	21.87	Sr Mgr
05/24/2012	San Diego USD	General Obligation Bonds	2012 Series E	150.00	Co-Mgr
05/29/2012	Chambersburg Boro- Pennsylvania	General Obligation Bonds	Series of 2012	9.79	Co-Mgr
05/30/2012	West Virginia School Bldg Auth	Lottery Capital Improvement Bonds	Series 2012 A	25.58	Sr Mgr
05/30/2012	Seattle City-Washington	Water System Refunding Rev Bonds	Series 2012	238.77	Sr Mgr
05/30/2012	Prince Georges Co-Maryland	Certificates of Participation	Series 2012	33.83	Sr Mgr
05/30/2012	Arizona Transportation Board	Refunding GANs	Series 2012	43.63	Sr Mgr
05/30/2012	Steuben Co (Bath) CSD	GO School District Serial Bonds	Series 2012	10.44	Co-Mgr
05/30/2012	Greater Lawrence Voc Tech HSD	GO State Qualified Ref Bonds		11.38	Co-Mgr
05/30/2012	New Hampshire	Federal Hway Grant Antic Bonds	2012 Series	98.25	Co-Mgr
05/30/2012	Claremore Public Works Auth	Utility Sys Refunding Rev Bonds	Series 2012	23.92	Co-Mgr
05/31/2012	Maine State Housing Authority	Mortgage Purchase Bonds	2012 Series A-2 & 3	36.80	Sr Mgr
05/31/2012	Maine State Housing Authority	Mortgage Purchase Bonds	2012 Series A-1	87.07	Sr Mgr
05/31/2012	Imperial Irrigation Dt	Electric System Ref Rev Bonds	Series 2012 A	32.56	Sr Mgr
05/31/2012	North Carolina Eastern Municipal Power Agency	Power System Revenue Bonds	Series 2012 C	29.39	Sr Mgr
05/31/2012	North Carolina Eastern Municipal Power Agency	Power System Rev & Ref Bonds	Series 2012 A, B & D	394.66	Sr Mgr
05/31/2012	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2012 Ser A Sub A-1&2	47.96	Sr Mgr
05/31/2012	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2012 Ser A Sub A-3	52.66	Sr Mgr
05/31/2012	Bucks Co (Council Rock) SD	General Obligation Bonds	Series A of 2012	8.95	Co-Mgr
05/31/2012	NYS Environmental Facs Corp	St Clean & Drink Wtr Rev Bonds	Series 2012 A	496.15	Co-Mgr
06/01/2012	Oklahoma Dev Finance Auth	Revenue & Refunding Bonds	Series 2012	182.06	Sr Mgr
06/01/2012	Port Authority of NY & NJ	Consolidated Bonds	173rd Series	300.00	Sr Mgr
06/01/2012	San Antonio City-Texas	Electric & Gas Sys Rev Ref Bonds	New Series 2012	655.37	Co-Mgr
06/01/2012	Union Co (El Dorado) SD #15	Refunding Bonds		20.25	Co-Mgr
06/01/2012	Travis Co MUD #4	Contract Tax Refunding Bonds	Series 2012	9.29	Co-Mgr
06/01/2012	Bexar Co (Southside) ISD	Unlimited Tax Refunding Bonds	Series 2012	5.32	Co-Mgr
06/04/2012	Northeast Wisconsin Tech Coll Dt	GO Promissory Notes	Series 2012 A	4.10	Sr Mgr
06/05/2012	South Jersey Transportation Auth	Transportation Sys Rev Ref Bonds	2012 Series	25.68	Sr Mgr
06/06/2012	San Francisco City/Co-California	Certificates of Participation	Series 2012 A	42.84	Sr Mgr
06/06/2012	Virginia Public School Authority	School Educational Tech Notes	Series XII	52.03	Sr Mgr
06/06/2012	Metro City-Oregon	GO Refunding Bonds	Series 2012 B	27.58	Sr Mgr
06/06/2012	Washington	Fed Hwy Grant Antic Rev Bonds	Series 2012 F	500.40	Sr Mgr
06/06/2012	New Jersey Hsg & Mtg Fin Agency	Multi-Family Revenue Bonds	Series E	9.31	Sr Mgr
06/06/2012	New Jersey Hsg & Mtg Fin Agency	Multi-Family Revenue Bonds	Series 2012 A & B	43.95	Sr Mgr
06/06/2012	Triborough Bridge & Tunnel Auth	General Revenue Bonds	Series 2012 A	231.49	Sr Mgr
06/06/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012	24.00	Sr Mgr
06/06/2012	Government of Guam	Business Privilege Tax Bonds	Series 2012 B-2	27.38	Co-Mgr
06/06/2012	Government of Guam	Business Privilege Tax Bonds	Series 2012 B-1	81.33	Co-Mgr
06/07/2012	Massachusetts Dev Finance Agcy	Revenue Bonds	Series 2012	14.03	Sr Mgr
06/07/2012	Central Minnesota Municipal Power Agcy	Revenue Bonds	Series 2012	32.67	Sr Mgr
06/07/2012	San Francisco Public Util Comm	Water Revenue Bonds	2012 Ser ABC Sub B	16.52	Sr Mgr
06/07/2012	San Francisco Public Util Comm	Water Revenue Refunding Bonds	2012 Ser ABC Sub C	93.75	Sr Mgr
06/07/2012	San Luis Obispo Cap Imp Bd	Refunding Lease Revenue Bonds	Series 2012	5.05	Sr Mgr
06/07/2012	Maine	General Obligation Bonds	Series 2012 A	15.45	Sr Mgr
06/07/2012	Maine	General Obligation Bonds	Series 2012 B	33.82	Sr Mgr
06/07/2012	Rosemount-Apple Vlly-Eagan ISD #196	GO School Building Ref Bonds	Series 2012 C	24.21	Co-Mgr
06/07/2012	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2012 Ser C Sub C-2	70.16	Co-Mgr
06/07/2012	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2012 Ser C Sub C-1	106.84	Co-Mgr
06/07/2012	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2012 Ser D Sub 1 & 2	150.98	Co-Mgr
06/07/2012	New York City-New York	General Obligation Bonds	2012 Series H & I	949.69	Co-Mgr
06/11/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012	16.40	Sr Mgr
06/11/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012	23.60	Sr Mgr
06/12/2012	Waukesha Co-Wisconsin	GO Promissory Notes	Series 2012	20.00	Co-Mgr
06/12/2012	Wyoming Community Dev Authority	Housing Revenue Bonds	2012 Series 2	11.50	Co-Mgr
06/12/2012	Wyoming Community Dev Authority	Housing Revenue Bonds	2012 Series 1	38.17	Co-Mgr
06/13/2012	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2012 Series A	26.32	Sr Mgr
06/13/2012	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2012 Series B & C	29.93	Sr Mgr
06/13/2012	Des Moines City-Iowa	GO Urban Renewal Bonds	Series 2012 B	6.90	Co-Mgr
06/13/2012	Virginia Resources Auth (VRA)	Infra & St Moral Oblig Rev Bonds	Series 2012 A & B	298.14	Co-Mgr
06/13/2012	Massachusetts Wtr Poll Abate Trust	State Revolving Fund & Ref Bonds	Series 16B & 2012B	254.54	Co-Mgr
06/13/2012	Syracuse City-New York	Public Improvement Serial Bonds	Series 2012	20.49	Co-Mgr
06/13/2012	Virginia Resources Auth (VRA)	Infras & St Moral Oblig Rev Bonds	Series 2012 A-1	46.07	Co-Mgr
06/14/2012	Lone Star College System	Limited Tax GO Refunding Bonds	Series 2012	21.42	Sr Mgr
06/14/2012	Ashburn City-Georgia	Combined Utility Revenue Bonds	Series 2012 A	5.09	Sr Mgr
06/14/2012	Virginia Transportation Board	Transport Capital Projs Rev Bonds	Series 2012	600.00	Sr Mgr
06/14/2012	Grant Co Public Utility Dt #2	Revenue & Refunding Bonds	Series 2012 A	54.51	Sr Mgr
06/14/2012	Grant Co Public Utility Dt #2	Revenue & Refunding Bonds	Series 2012 B	16.24	Sr Mgr
06/14/2012	Grant Co Public Utility Dt #2	Revenue & Refunding Bonds	Series 2012 M	42.40	Sr Mgr

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06/14/2012	Grant Co Public Utility Dt #2	Revenue & Refunding Bonds	Series 2012 Z	14.48	Sr Mgr
06/14/2012	Rhode Island Infrastructure Bank	Safe Drink Wtr Revolv Rev Bonds	Series 2012 A	34.62	Co-Mgr
06/15/2012	Wayne Co (Newark) CSD	GO School District Bonds	Series 2012	21.35	Sr Mgr
06/15/2012	Union Co (El Dorado) SD #15	Refunding Bonds		8.98	Co-Mgr
06/15/2012	Cattaraugus Co (Little Vlly) CSD	School District Bonds	Series 2012	31.01	Co-Mgr
06/15/2012	Lancaster Town-New York	General Obligation Serial Bonds	Series 2012	18.09	Co-Mgr
06/15/2012	Broome Co (Susquehanna Vlly) CSD	School District Serial Bonds	Series 2012	20.36	Co-Mgr
06/15/2012	Cayuga Co (Moravia) CSD	School District Serial Bonds	Series 2012	11.00	Co-Mgr
06/15/2012	Onondaga (Jamesville-Dewitt) CSD	School District Bonds	2012 Series A	11.00	Co-Mgr
06/15/2012	Ontario Co-New York	Public Improvement Serial Bonds	Series 2012	13.45	Co-Mgr
06/15/2012	Oswego Co (Hannibal) CSD	School District Serial Bonds	Series 2012	18.25	Co-Mgr
06/15/2012	Alamo Community College Dt	Limited Tax Refunding Bonds	Series 2012	74.11	Co-Mgr
06/18/2012	Williamson Co (Leander) ISD	Unlimited Tax Refunding Bonds	Series 2012 A	25.94	Co-Mgr
06/19/2012	Idaho State Building Authority	State Building Ref Revenue Bonds	Series 2012 B	38.49	Sr Mgr
06/19/2012	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2012 Subser F-2	100.00	Sr Mgr
06/19/2012	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2012 Subser F-1	800.00	Co-Mgr
06/19/2012	Orleans Co (Holley) CSD	School District Serial Bonds	Series 2012	16.00	Co-Mgr
06/19/2012	Marlborough City-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2012	11.09	Co-Mgr
06/20/2012	Lake Co School Board	Certificates of Participation	Series 2012 B	20.66	Sr Mgr
06/20/2012	Arizona Board of Regents	Ref Certificates of Participation	Series 2012 A-2	10.19	Sr Mgr
06/20/2012	Arizona Board of Regents	Ref Certificates of Participation	Series 2012 A-1,B&C	147.69	Sr Mgr
06/20/2012	Clark Co-Nevada	GO Bond Bank Pledge Rev Ref Bonds	Series 2012	85.02	Sr Mgr
06/20/2012	Salt Lake Co-Utah	Sales Tax Revenue Ref Bonds	Series 2012 A	43.73	Sr Mgr
06/20/2012	Utah State Board of Regents	Stud Ctr Bldg Fee&Unified Sys Rev	Series 2012 A	49.25	Sr Mgr
06/20/2012	Monroe Co Industrial Dev Agency	School Facility Revenue Bonds	Series 2012 B	57.91	Sr Mgr
06/20/2012	Monroe Co Industrial Dev Agency	School Facility Revenue Bonds	Series 2012 A	66.19	Sr Mgr
06/21/2012	Gilbert Town-Arizona	Street & Hway User Rev Ref Bonds	Series 2012	16.95	Sr Mgr
06/21/2012	Florida Citizens Prop Ins Corp	Senior Secured Bonds	Series 2012 A-3	200.00	Sr Mgr
06/21/2012	Nipomo Community Svcs Dt	Rev Certificates of Participation	Series 2012	9.60	Sr Mgr
06/21/2012	Florida Citizens Prop Ins Corp	Senior Secured Bonds	Series 2012 A-1	1100.00	Sr Mgr
06/21/2012	NYS Environmental Facs Corp	State Revolving Funds Rev Bonds	Series 2012 C	14.44	Co-Mgr
06/21/2012	NYS Environmental Facs Corp	State Revolving Funds Rev Bonds	Series 2012 B	88.95	Co-Mgr
06/21/2012	Monroe Co (Churchville-Chili) CSD	School District Bonds	Series 2012	33.90	Co-Mgr
06/21/2012	Putnam Co (Mahopac) CSD	School District Ref Serial Bonds	Series 2012 A	12.78	Co-Mgr
06/21/2012	Montgomery Co Ind Dev Auth	Health System Revenue Bonds	Series 2012 A	60.09	Co-Mgr
06/21/2012	Puerto Rico Pub Bldgs Authority	Govt Facs Revenue Refunding Bonds	Series U	2.61	Co-Mgr
06/21/2012	Puerto Rico Pub Bldgs Authority	Govt Facs Revenue Refunding Bonds	Series U	579.74	Co-Mgr
06/25/2012	Dunn Co-Wisconsin	GO Health Care Center Bonds		22.05	Sr Mgr
06/26/2012	Dallas City-Texas	Comb Tax & Rev Cert of Oblig	Series 2012	21.93	Sr Mgr
06/26/2012	Oregon Dept of Transportation	Sr Lien Hway User Tax Ref Bonds	Series 2012 A	129.98	Sr Mgr
06/26/2012	Oregon Dept of Transportation	Sr Lien Hway User Tax Ref Bonds	Series 2012 B	70.85	Sr Mgr
06/26/2012	WV Higher Education Policy Comm	Revenue & Revenue Refunding Bonds	Series 2012 A & B	132.17	Sr Mgr
06/27/2012	Seattle City-Washington	Drainage Wstwtr Imp Ref Rev Bonds	Series 2012	222.09	Sr Mgr
06/27/2012	Kentucky Housing Corporation	Housing Revenue Bonds	2012 Series A	187.76	Sr Mgr
06/27/2012	Michigan Finance Authority	Unemploy Oblig Assess Rev Bonds	Series 2012 A & B	2667.14	Sr Mgr
06/27/2012	Monroe Co-New York	Public Improvement Bonds	Series 2012	79.67	Sr Mgr
06/27/2012	Michigan Finance Authority	Unemploy Oblig Assess Rev Bonds	Series 2012 C	250.00	Sr Mgr
06/27/2012	NYS Housing Fin-Mortgage Agcy	Housing Revenue Bonds	2012 Series A	86.00	Sr Mgr
06/28/2012	Onondaga Co-New York	General Obligation Bonds	Series 2012	51.43	Sr Mgr
06/28/2012	Richmond City-Virginia	GO Public Improvement & Ref Bonds	Series 2012 A & B	140.71	Sr Mgr
06/28/2012	Peoria City-Arizona	Water & Wastewater Rev Ref Bonds	Series 2012	23.28	Sr Mgr
06/28/2012	Peoria City-Arizona	GO Refunding Bonds	Series B (2012)	13.69	Sr Mgr
06/28/2012	Wisconsin	Transportation Revenue Bonds	2012 Series 2	116.40	Co-Mgr
06/28/2012	Puerto Rico Public Finance Corp	Commonwealth Appropriation Bonds	2012 Series A	410.67	Co-Mgr
06/28/2012	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2012 A	1814.93	Co-Mgr
06/28/2012	Gloucester Co-New Jersey	GO & County College Bonds	Series 2012	20.54	Co-Mgr
06/28/2012	NYC Municipal Water Fin Auth	Wtr & Swr 2nd Gen Res Rev Bonds	2012 Series FF & GG	661.75	Co-Mgr
06/28/2012	So California Metro Water Dt	Water Revenue Refunding Bonds	2012 Series C	190.60	Co-Mgr
06/28/2012	So California Metro Water Dt	Water Revenue Refunding Bonds	2012 Series E 1-3	89.46	Co-Mgr
06/29/2012	Connecticut	GO Refunding Bonds	2012 Series C-8	4.50	Co-Mgr
06/29/2012	Connecticut	GO Refunding Bonds	2012 Series C-1	9.75	Co-Mgr
06/29/2012	Connecticut	GO Refunding Bonds	2012 Series C-3	10.11	Co-Mgr
06/29/2012	Connecticut	GO Refunding Bonds	2012 Series C-2	22.32	Co-Mgr
06/29/2012	Connecticut	GO Refunding Bonds	2012 Series C-5	24.57	Co-Mgr
06/29/2012	Connecticut	GO Refunding Bonds	2012 Series C-7	72.21	Co-Mgr
06/29/2012	Connecticut	GO Refunding Bonds	2012 Series C-6	105.64	Co-Mgr
06/29/2012	Connecticut	GO Refunding Bonds	2012 Series C-4	274.16	Co-Mgr
07/01/2012	Albany City-New York	General Obligation Serial Bonds	2012 Series A	24.03	Sr Mgr
07/01/2012	Bexar Co (Northside) ISD	Unltd Tax School Building Bonds	Series 2012	55.00	Sr Mgr
07/01/2012	Genesee Co (Byron-Bergen) CSD	School District Serial Bonds	Series 2012	16.55	Co-Mgr
07/01/2012	El Paso Co-Texas	Certificates of Obligation	Series 2012	98.96	Co-Mgr
07/01/2012	Corpus Christi Business Job Dev	Sales Tax Revenue Refunding Bonds	Series 2012	29.08	Co-Mgr
07/02/2012	Clark Co-Nevada	PFC Refunding Revenue Bonds	2012 Series B	64.36	Sr Mgr
07/02/2012	Clark Co-Nevada	Airport Sys Jr Sub Lien Rev Notes	Series 2012 A-2	120.00	Co-Mgr
07/02/2012	Clark Co-Nevada	Airport Sys Jr Sub Lien Rev Notes	Series 2012 A-1	180.00	Co-Mgr
07/02/2012	Nassau Co (Port Washington) UFSD	School District Refunding Bonds	Series 2012	17.34	Co-Mgr
07/03/2012	Greater Orlando Aviation Auth	Airport Facilities Ref Rev Bonds	Series 2012 A	37.07	Co-Mgr
07/03/2012	Metro Washington Airports Auth	Airport System Revenue Ref Bonds	Series 2012 B	20.79	Co-Mgr
07/03/2012	Metro Washington Airports Auth	Airport System Revenue Ref Bonds	Series 2012 A	291.04	Co-Mgr
07/03/2012	Eastern Kentucky University	General Receipts Ref Bonds	2012 Series A	27.72	Co-Mgr
07/03/2012	Riverside Co-California	TRANs	Series 2012-13	250.00	Co-Mgr
07/05/2012	Dakota Co (Farmington) ISD #192	GO School Building Ref Bonds	Series 2012 B	6.52	Sr Mgr
07/10/2012	Michigan Finance Authority	Wtr Revolve Rev & Drink Ref Bonds	Series 2012	106.35	Sr Mgr

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
07/10/2012	Michigan State Hospital Fin Auth	Hospital Revenue Refunding Bonds	Series 2012 A	107.70	Sr Mgr
07/10/2012	Portland City-Oregon	Urban Renewal & Redev Bonds	2012 Series B & C	49.42	Sr Mgr
07/10/2012	Portland City-Oregon	Urban Renewal & Redev Bonds	2012 Series A	24.25	Sr Mgr
07/10/2012	Oregon	TANs	2012 Series A	639.53	Co-Mgr
07/11/2012	Marion Co-Florida	Utility System Revenue Ref Bonds	Series 2012	39.94	Sr Mgr
07/11/2012	Franklin Co SD	General Obligation School Bonds	Series 2012	12.12	Sr Mgr
07/11/2012	Idaho Health Facilities Auth	Revenue Bonds	Series 2012 A	75.00	Sr Mgr
07/11/2012	North Carolina Medical Care Commiss	Health Care Facs Rev Ref Bonds	Series 2012 A	294.84	Sr Mgr
07/11/2012	NYS Thruway Authority	General Revenue Bonds	Series I	1122.56	Sr Mgr
07/11/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012	14.75	Sr Mgr
07/12/2012	El Dorado Irrigation Dt	Refunding Revenue Bonds	Series 2012 B	1.75	Sr Mgr
07/12/2012	El Dorado Irrigation Dt	Refunding Revenue Bonds	Series 2012 A	48.94	Sr Mgr
07/12/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012 A	5.40	Sr Mgr
07/12/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012 B	0.80	Sr Mgr
07/12/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012 A	7.65	Sr Mgr
07/12/2012	Metropolitan Pier & Expo Auth	Refunding Bonds	Series 2012 C	12.02	Co-Mgr
07/12/2012	Metropolitan Pier & Expo Auth	Refunding Bonds	Series 2012 A & B	843.29	Co-Mgr
07/12/2012	NYS Environmental Facs Corp	St Clean & Drink Wtr Rev Bonds	Series 2012 D	316.79	Co-Mgr
07/15/2012	Bexar Co (Northside) ISD	School Building Bonds	Series 2012	70.00	Sr Mgr
07/15/2012	Dallas Co (DeSoto) ISD	Unltd Tax School Building Bonds	Series 2012	5.00	Co-Mgr
07/16/2012	Long Island Power Authority	Electric Sys Gen Revenue Bonds	Series 2012 A & B	502.00	Co-Mgr
07/17/2012	Pinellas Co-Florida	Sewer Revenue Refunding Bonds	Series 2012	59.51	Sr Mgr
07/17/2012	Seattle City-Washington	Muni Light &Power Imp & Ref Bonds	Series 2012 A	293.26	Sr Mgr
07/17/2012	Fort Bend Co (Katy) ISD	Unlimited Tax Refunding Bonds	Series 2012-B	34.48	Co-Mgr
07/17/2012	Fort Bend Co (Katy) ISD	Refunding Bonds	Series 2012-C	115.00	Co-Mgr
07/18/2012	Bellevue City-Washington	Ltd Tax GO Refunding Bonds	Series 2012 B	43.19	Sr Mgr
07/18/2012	Montgomery Co-Maryland	Wtr Quality Protect Charge Bonds	Series 2012 A	37.84	Sr Mgr
07/19/2012	Tennessee Hsg Dev Agcy (THDA)	Homeownership Program Bonds	Series 2012 - 1A	27.29	Sr Mgr
07/19/2012	Tennessee Hsg Dev Agcy (THDA)	Homeownership Program Bonds	Series 2012 1B & 1C	105.83	Sr Mgr
07/19/2012	Maine State Housing Authority	Mortgage Purchase Bonds	2012 Series B-2 & 3	5.96	Co-Mgr
07/19/2012	Maine State Housing Authority	Mortgage Purchase Bonds	2012 Series B-1	18.93	Co-Mgr
07/19/2012	North Carolina Housing Fin Agcy	Home Ownership Rev Ref Bonds	Series 33	121.67	Co-Mgr
07/19/2012	NYC Transitional Finance Auth	Building Aid Revenue Bonds	2013 Series S-1	850.00	Co-Mgr
07/20/2012	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2012 E	650.00	Co-Mgr
07/25/2012	Michigan	State Trunk Line Fund Ref Bonds	Series 2012	49.31	Sr Mgr
07/25/2012	New Brunswick Parking Authority	Parking Revenue Refunding Bonds	Series 2012	39.87	Sr Mgr
07/25/2012	Schaumburg Village-Illinois	GO Refunding Bonds	Series 2012 A	69.94	Co-Mgr
07/26/2012	Hawaii Housing Fin & Dev Corp	Multi-Family Housing Rev Bonds	Series 2012 A	11.50	Sr Mgr
07/26/2012	Alexandria City-Virginia	GO Capital Improvement Bonds	Series 2012 B	76.82	Sr Mgr
07/26/2012	Loudoun Co-Virginia	GO Public Improvement Bonds	Series 2012 A	64.50	Sr Mgr
07/26/2012	New Jersey Hsg & Mtg Fin Agency	Multi-Family Conduit Rev Bonds	Series 2012 A	14.31	Sr Mgr
07/26/2012	Virginia Transportation Board	Federal Transportation Rev GANs	Series 2012 B	120.63	Sr Mgr
07/26/2012	Wisconsin	Clean Water Revenue & Ref Bonds	2012 Series 1 & 2	147.45	Co-Mgr
07/26/2012	Medfield Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series 2012	13.22	Co-Mgr
07/26/2012	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 172	150.00	Co-Mgr
07/26/2012	Quincy City-Massachusetts	GO State Qualified School Bonds		18.18	Co-Mgr
07/30/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012	11.10	Sr Mgr
07/31/2012	Las Vegas Valley Water Dt	General Obligation Water Bonds	Series 2012 B	360.00	Sr Mgr
07/31/2012	Somerset Co-New Jersey	GO Gen Imp & Open Space Bonds	Series 2012 A & B	31.00	Sr Mgr
07/31/2012	Illinois	Unemploy Ins Fd Bldg Rct Rev Bond	Series 2012 A, B & C	1469.94	Sr Mgr
07/31/2012	Osceola Co-Florida	Tourist Dev Tax Ref & Imp Bonds	Series 2012	74.79	Co-Mgr
08/01/2012	Fresno Co USD	GO Refunding Bonds	2012 Series A	39.90	Sr Mgr
08/01/2012	Tulsa Co ISD #1	Combined Purpose Bonds	Series 2012 B	38.00	Sr Mgr
08/01/2012	Bangor Public Schools	GO Unltd Tax Sch Bldg &Site Bonds	2012 Series	8.48	Sr Mgr
08/01/2012	Vermont Municipal Bond Bank	Revenue Bonds	2012 Series 2	8.86	Sr Mgr
08/01/2012	Vermont Municipal Bond Bank	Refunding Bonds	2012 Series 1 & 3	62.72	Sr Mgr
08/01/2012	NYS Dorm Authority	Revenue Bonds	Series 2012 A & B	29.56	Sr Mgr
08/01/2012	North Richland Hills City-Texas	General Obligation Bonds	Series 2012	25.83	Sr Mgr
08/01/2012	Tennessee State School Bond Au	Higher Educational Facs Bonds	Series 2012 B	103.79	Co-Mgr
08/01/2012	Tennessee State School Bond Au	Higher Ed Facilities Ref Bonds	Series 2012 C	125.64	Co-Mgr
08/01/2012	Tennessee State School Bond Au	Higher Educational Facs Bonds	Series 2012 A	208.30	Co-Mgr
08/01/2012	Miami-Dade Co-Florida	Transit Sys Sales Surtax Rev Bond	Series 2012	537.21	Co-Mgr
08/01/2012	Fort Bend Grand Parkway Toll Road Auth	Contract Tax Sub Ln Toll Rd Bonds	Series 2012	155.00	Co-Mgr
08/01/2012	Benton Co (Siloam Spring) SD #21	Refunding Bonds		14.66	Co-Mgr
08/01/2012	Benton Co (Siloam Spring) SD #21	Refunding Bonds		33.40	Co-Mgr
08/01/2012	Dallas & Fort Worth Cities-Texas	Joint Revenue Improvement Bonds	Series 2012 D	475.00	Co-Mgr
08/01/2012	Nassau Co (Malverne) UFSD	School District Serial Bonds	Series 2012	14.13	Co-Mgr
08/01/2012	Dallas & Fort Worth Cities-Texas	Joint Revenue Refunding Bonds	Series 2012 E	309.50	Co-Mgr
08/02/2012	Washington	Various Purpose GO Bonds	Series 2013 A	273.46	Sr Mgr
08/02/2012	Tahoe Forest Hospital Dt	General Obligation Bonds	Series 2012 C	26.10	Sr Mgr
08/02/2012	King Co-Washington	Limited Tax GO Refunding Bonds	2012 Series B	41.73	Co-Mgr
08/02/2012	King Co-Washington	Sewer Revenue Refunding Bonds	2012 Series B	64.26	Co-Mgr
08/02/2012	Gainesville City-Florida	Utilities System Revenue Bonds	2012 Series A	81.86	Co-Mgr
08/02/2012	Washington	Motor Vehicle Fuel Tax GO Bonds	Series 2013 B-2	31.51	Co-Mgr
08/02/2012	Winchester City-Virginia	GO Public Improvement Ref Bonds	Series 2012	29.64	Co-Mgr
08/02/2012	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2012 Series B	45.50	Co-Mgr
08/03/2012	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2012 Series E	72.00	Sr Mgr
08/07/2012	Dauphin Co General Authority	Health System Revenue Bonds	Series A of 2012	128.21	Sr Mgr
08/07/2012	Jacksonville Electric Authority	Revenue Bonds	Issue Three Ser Six	121.46	Co-Mgr
08/07/2012	Monroe Twp-New Jersey	General Obligation Bonds	Series 2012	30.08	Co-Mgr
08/08/2012	Contra Costa Water Auth	Water Treatment Rev Ref Bonds	2012 Series A	22.94	Sr Mgr
08/08/2012	San Francisco Public Util Comm	Water Revenue Refunding Bonds	2012 Series D	24.04	Sr Mgr
08/08/2012	Westchester Co-New York	GO Refunding Bonds	2012 Series A	22.35	Sr Mgr

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08/08/2012	Niagara Co (Lockport) SD	School District Serial Bonds	Series 2012	20.60	Co-Mgr
08/09/2012	Harris Co-Texas	Toll Road Senior Lien Ref Bonds	Ser 2012 A & B1-2	199.92	Sr Mgr
08/09/2012	Lincoln City-Nebraska	Revenue & Refunding Bonds	Series 2012	277.32	Co-Mgr
08/09/2012	Los Angeles Dept of Wtr & Power	Water System Revenue Bonds	2012 Series B	322.00	Co-Mgr
08/09/2012	Regents of the Univ of California	Limited Project Revenue Bonds	2012 Series H	100.42	Co-Mgr
08/09/2012	Regents of the Univ of California	Limited Project Revenue Bonds	2012 Series G	899.28	Co-Mgr
08/14/2012	Maryland	GO State & Loc Facs Loan Bonds	2012 Second Series A	26.34	Sr Mgr
08/14/2012	Erie Co-New York	GO Public Imp Serial Bonds	Series 2012 A	20.96	Sr Mgr
08/14/2012	Iowa Finance Authority	Midwest Disaster Area Rev Bonds	Series 2012	250.00	Co-Mgr
08/15/2012	Univ of Vermont St Agric College	General Obligation Bonds	Series 2012 A	50.28	Sr Mgr
08/15/2012	California Health Facs Fin Auth	Revenue Bonds	Series 2012 A	120.76	Sr Mgr
08/15/2012	California Health Facs Fin Auth	Revenue Bonds	Series 2012 B	51.56	Sr Mgr
08/15/2012	New Jersey Economic Dev Auth	Special Facility Rev Ref Bonds	Series 2012	101.29	Sr Mgr
08/15/2012	Collin Co (Wylie) ISD	Unltd Tax School Bldg & Ref Bonds	Series 2012 C	45.49	Sr Mgr
08/15/2012	Pope Co (Russellville) SD #14	Refunding Bonds		31.20	Co-Mgr
08/15/2012	Rochester City-New York	General Obligation Serial Bonds	2012 Series I	66.94	Co-Mgr
08/16/2012	Massachusetts School Bldg Auth	Sr Dedicated Sales Tax Ref Bonds	2012 Series A	766.14	Sr Mgr
08/16/2012	Edmond Public Works Authority	Sales Tax & Utility Rev Ref Bonds	Series 2012	13.26	Sr Mgr
08/16/2012	Orange Co Sanitation Dt	Wastewater Refunding Rev Bonds	Series 2012 B	66.40	Sr Mgr
08/16/2012	Jacksonville Electric Authority	Water & Sewer Sys Sub & Rev Bonds	2012 Series B	179.37	Co-Mgr
08/16/2012	Crossville City-Tennessee	GO Refunding & Improvement Bonds	Series 2012 A	4.21	Co-Mgr
08/16/2012	Crossville City-Tennessee	Wtr&Swr Rev& Tax Ref & Imp Bonds	Series 2012 C	8.66	Co-Mgr
08/16/2012	Rensselaer Co-New York	Public Improvement Bonds	Series 2012	29.16	Co-Mgr
08/20/2012	Metropolitan Transport Auth (MTA)	Transportation Rev Ref Bonds	Series 2012 D	1263.37	Co-Mgr
08/22/2012	Calhoun-Georgia	GO School Bonds	Series 2012	12.00	Sr Mgr
08/22/2012	Massachusetts Housing Fin Agcy	Housing Bonds	2012 Series A & B	75.35	Co-Mgr
08/23/2012	Jacksonville Electric Authority	Electric System Sub Rev Bonds	2012 Series B	109.61	Co-Mgr
08/23/2012	Fairfax Co Industrial Dev Auth	Health Care Revenue Bonds	Series 2012 A & B	350.00	Co-Mgr
08/23/2012	Triborough Bridge & Tunnel Auth	General Revenue Refunding Bonds	Series 2012 B	1236.90	Co-Mgr
08/23/2012	Energy Northwest	Electric Revenue Bonds	Series 2012 D	34.14	Co-Mgr
08/23/2012	Energy Northwest	Electric Revenue Bonds	Series 2012 E	748.52	Co-Mgr
08/23/2012	California	RANs	2012-13 Series A-1&2	10000.00	Co-Mgr
08/28/2012	New Orleans City-Louisiana	GO Refunding Bonds	Series 2012	167.84	Sr Mgr
08/28/2012	King Co-Washington	Limited Tax GO Refunding Bonds	2012 Series C	54.26	Sr Mgr
08/28/2012	Mississippi	GO Refunding Bonds	Series 2012 E	71.99	Sr Mgr
08/28/2012	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2013 Series A-1 & B	1050.00	Co-Mgr
08/28/2012	Mississippi	GO Refunding Bonds	Series 2012 F	171.86	Co-Mgr
08/28/2012	Suffolk Co (Sachem) CSD	School District Refunding Bonds	Series 2012	11.10	Co-Mgr
08/29/2012	San Francisco City/Co-California	General Obligation Bonds	Series 2012 D & E	289.37	Sr Mgr
08/29/2012	Kentucky Rural Water Fin Corp	Refunding Revenue Bonds	Series 2012 E	14.56	Co-Mgr
08/29/2012	Passaic Co Improvement Auth	Lease Revenue Refunding Bonds	Series 2012	57.43	Co-Mgr
08/30/2012	Jacksonville Electric Authority	Electric System Revenue Bonds	Series Three 2012 B	140.64	Sr Mgr
08/30/2012	New Castle Co-Delaware	General Obligation Bonds	Series 2012 A	59.72	Sr Mgr
08/30/2012	Bucks Co (Pennridge) SD	General Obligation Bonds	Series of 2012	7.92	Co-Mgr
08/30/2012	Chester Co-Pennsylvania	General Obligation Bonds	Series of 2012	37.18	Co-Mgr
08/30/2012	Stratford Town-Connecticut	General Obligation Bonds	Issue of 2012	21.48	Co-Mgr
08/30/2012	Hempstead Town-New York	Public Improvement Bonds	Series 2012	49.87	Co-Mgr
08/31/2012	Jacksonville Electric Authority	Power Park Sys Ref Rev Bonds	Issue Two Ser 25	3.46	Co-Mgr
08/31/2012	Jacksonville Electric Authority	Power Park Sys Ref Rev Bonds	Issue Two Ser 25	81.60	Co-Mgr
09/01/2012	Moorhead City-Minnesota	GO Improvement Bonds	Series 2012 F	10.32	Sr Mgr
09/01/2012	Tarrant Co Hospital Dt	Senior Lien Revenue Ref Bonds	Series 2012	25.89	Sr Mgr
09/01/2012	Southmost Regional Water Auth	Wtr Supply Contract Rev Ref Bonds	Series 2012	13.53	Co-Mgr
09/05/2012	Las Vegas Valley Water Dt	GO Water Refunding Bonds	Series 2012 A	39.31	Sr Mgr
09/05/2012	Pierce Co-Washington	Sewer Revenue Bonds	Series 2012	196.09	Sr Mgr
09/05/2012	California Dept of Wtr Resources	Central Valley Proj Water Sys Rev	Series AL	105.88	Co-Mgr
09/06/2012	Washington	Various Purpose GO Ref Bonds	Series R-2013 A	352.22	Sr Mgr
09/06/2012	Wayne Co Airport Auth	Airport Revenue Bonds	Series 2012 A	177.57	Sr Mgr
09/06/2012	Wayne Co Airport Auth	Airport Revenue Refunding Bonds	Series 2012 D	71.53	Sr Mgr
09/06/2012	Wayne Co Airport Auth	Airport Revenue Bonds	Series 2012 B	25.09	Sr Mgr
09/06/2012	Wayne Co Airport Auth	Airport Revenue Refunding Bonds	Series 2012 C	3.89	Sr Mgr
09/06/2012	Utah Associated Muni Pwr Sys	Revenue Bonds	Series 2012 A	67.86	Sr Mgr
09/11/2012	Morgan State University	Acad & Auxiliary Facs Ref Bonds	Series 2012	29.23	Sr Mgr
09/12/2012	Chicago City-Illinois	PFC Revenue Refunding Bonds	Series 2012 A	114.86	Sr Mgr
09/12/2012	Chicago City-Illinois	PFC Revenue Refunding Bonds	Series 2012 B	337.24	Sr Mgr
09/13/2012	Seminole Co School Board	Certificates of Participation	Series 2012 B	28.89	Sr Mgr
09/13/2012	Houston City-Texas	Comb Util Sys 1st Lien Ref Bonds	Series 2012 D	149.74	Co-Mgr
09/13/2012	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 175	82.66	Co-Mgr
09/14/2012	Duxbury Town-Massachusetts	GO Muni Purpose Loan Bonds	Series of 2012	83.45	Sr Mgr
09/15/2012	Brownsville City-Texas	Utilities System Rev Ref Bonds	Series 2012	20.69	Co-Mgr
09/19/2012	Dallas City-Texas	Wtrwks & Swr Sys Rev Ref Bonds	Series 2012 A	259.42	Sr Mgr
09/19/2012	Dallas City-Texas	Wtrwks & Swr Sys Rev Ref Bonds	Series 2012 B	106.72	Sr Mgr
09/19/2012	Yorba Linda Water Dt	Refunding Revenue Bonds	Series 2012 A	8.33	Sr Mgr
09/19/2012	Anaheim Public Financing Auth	Revenue Refunding Bonds	Series 2012 A	92.13	Sr Mgr
09/19/2012	King Co-Washington	Limited Tax GO Refunding Bonds	2012 Series C	53.41	Co-Mgr
09/19/2012	King Co-Washington	Sewer Revenue Refunding Bonds	2012 Series C	65.42	Co-Mgr
09/19/2012	Sam Rayburn Muni Power Agency	Power Supply Sys Rev Ref Bonds	Series 2012	108.94	Co-Mgr
09/19/2012	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 161	5.90	Co-Mgr
09/19/2012	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 160	32.12	Co-Mgr
09/19/2012	West Palm Beach City-Florida	Utility System Revenue Ref Bonds	Series 2012 A	43.04	Co-Mgr
09/20/2012	New Jersey Turnpike Authority	Turnpike Revenue Bonds	Series 2012 B	804.44	Sr Mgr
09/20/2012	Detroit/Wayne Co Stadium Auth	Ltd Tax GO Bldg Auth Ref Bonds	Series 2012	58.16	Sr Mgr
09/20/2012	Massachusetts Housing Fin Agcy	Housing Bonds	2012 Series C & D	158.31	Co-Mgr
09/25/2012	Westchester Co (Valhalla) UFSD	School Dt Refunding Serial Bonds	Series 2012	16.00	Co-Mgr

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09/25/2012	Anchorage City-Alaska	GO & Refunding Bonds	2012 Series A-D	92.29	Co-Mgr
09/26/2012	Richland Co-South Carolina	General Obligation Bonds	Series 2012 A	35.00	Sr Mgr
09/26/2012	Fairfax City-Virginia	GO Refunding Bonds	Series 2012 B	35.69	Sr Mgr
09/26/2012	South Carolina St Hsg Fin Dev Au	Refunding Mortgage Rev Bonds	Series 2012 A-1 & 2	65.71	Sr Mgr
09/27/2012	Clark Co (Battle Ground) SD #119	Unlimited Tax GO Refunding Bonds	Series 2012	44.30	Sr Mgr
09/27/2012	Broward Co-Florida	Airport System Revenue Bonds	Series 2012 Q-2	105.71	Sr Mgr
09/27/2012	Broward Co-Florida	Airport System Revenue Bonds	Series 2012 Q-1	515.62	Sr Mgr
09/27/2012	California Dept of Wtr Resources	Water System Revenue Bonds	Series AN	49.53	Co-Mgr
09/27/2012	California Dept of Wtr Resources	Water System Revenue Bonds	Series AO	317.51	Co-Mgr
09/28/2012	Metropolitan Transport Auth (MTA)	Transportation Rev Ref Bonds	Series 2012 F	1268.45	Co-Mgr
10/01/2012	Univ of Colorado Hospital Auth	Revenue Bonds	Series 2012 A	272.09	Sr Mgr
10/01/2012	North Richland Hills City-Texas	General Obligation Bonds	Series 2012 A	9.85	Sr Mgr
10/01/2012	Oakland Co Building Authority	Building Authority Bonds	Series 2012	14.50	Co-Mgr
10/01/2012	San Antonio Public Facilities Corp	Improvement & Ref Lease Rev Bonds	Series 2012	550.37	Co-Mgr
10/01/2012	Dallas & Fort Worth Cities-Texas	Joint Revenue Refunding Bonds	Series 2012 G	294.08	Co-Mgr
10/01/2012	Tarrant Regional Water Dt	Water Revenue Refunding Bonds	Series 2012 A	98.95	Co-Mgr
10/02/2012	Yolo Co (Washington) USD	GO Refunding Bonds	2012 Series	21.15	Sr Mgr
10/02/2012	Allentown Neighborhood Imp Zone Dev Au	Tax Revenue Bonds	Series 2012 A	183.96	Sr Mgr
10/02/2012	Allentown Neighborhood Imp Zone Dev Au	Tax Revenue Bonds	Series 2012 B	40.43	Sr Mgr
10/02/2012	St Charles Parish SD #1	GO School Bonds	Series 2012	25.00	Co-Mgr
10/03/2012	Fort Lauderdale City-Florida	Special Obligation Bonds	Series 2012	337.76	Sr Mgr
10/03/2012	Pennsylvania Hghr Ed Facs Auth	Revenue Bonds	First Series 2012	200.00	Sr Mgr
10/03/2012	Port of Seattle Ind Dev Corp	Special Facs Rev Ref Bonds	Series 2012	66.03	Sr Mgr
10/04/2012	Western Minnesota Municipal Power Agcy	Power Supply Revenue Ref Bonds	2012 Series A	49.44	Sr Mgr
10/04/2012	Florida State BOE	Public Ed Cap Outlay Ref Bonds	2012 Series D	229.93	Sr Mgr
10/04/2012	South Jersey Transportation Auth	Transportation Sys Rev Ref Bonds	2012 Series A	76.78	Sr Mgr
10/04/2012	Pasadena City-California	Electric Revenue Ref Bonds	Series 2012 A	11.78	Sr Mgr
10/04/2012	California	Various Purpose GO & Ref Bonds		1776.24	Sr Mgr
10/04/2012	Connecticut	GO Refunding Bonds	2012 Series F-1	7.52	Co-Mgr
10/04/2012	Connecticut	General Obligation Bonds	2012 Series D-3	46.08	Co-Mgr
10/04/2012	Connecticut	General Obligation Bonds	2012 Series D-2	48.57	Co-Mgr
10/04/2012	Connecticut	General Obligation Bonds	2012 Series D-1	59.49	Co-Mgr
10/04/2012	Connecticut	General Obligation Bonds	2012 Series E-2	60.75	Co-Mgr
10/04/2012	Connecticut	GO Refunding Bonds	2012 Series F-2	62.27	Co-Mgr
10/04/2012	Connecticut	General Obligation Bonds	2012 Series D-4	65.74	Co-Mgr
10/04/2012	Connecticut	General Obligation Bonds	2012 Series E-1	219.39	Co-Mgr
10/05/2012	Stanislaus Co (Ceres) USD	Certificates of Participation	Series 2012	11.86	Sr Mgr
10/09/2012	SC Pub Svc Au (Santee Cooper)	Revenue Obligation Ref Bonds	2012 Series A-C	250.88	Co-Mgr
10/10/2012	Omaha Public Power Dt (OPPD)	Electric Sys Revenue Bonds	2012 Series A & B	499.37	Sr Mgr
10/10/2012	Port Authority of NY & NJ	Consolidated Bonds	174th Series	2000.00	Sr Mgr
10/10/2012	Grand Rapids City-Michigan	Sanitary Sewer Sys Imp Rev Bonds	Series 2012	30.00	Sr Mgr
10/10/2012	NYS Municipal Bond Bank	Special School Purpose Rev Bonds	2012 Series A	281.32	Co-Mgr
10/10/2012	District of Columbia	Fed Hiway Grant Antic Rev Bonds	Series 2012	42.94	Co-Mgr
10/11/2012	Vermont	General Obligation Bonds	2012 Series E	26.77	Sr Mgr
10/11/2012	Nassau Co Interim Finance Auth	Sales Tax Secured Bonds	Series 2012 A	141.58	Co-Mgr
10/11/2012	Nassau Co Interim Finance Auth	Sales Tax Secured Bonds	Series 2012 B	176.13	Co-Mgr
10/11/2012	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2012 Series G & H	53.96	Co-Mgr
10/16/2012	King Co-Washington	Limited Tax GO Refunding Bonds	Series 2012	71.67	Sr Mgr
10/16/2012	Cherry Hill Twp-New Jersey	General Imp & Sewer Utility Bonds		41.19	Sr Mgr
10/17/2012	Wicomico Co-Maryland	GO Consol Pub Imp & Ref Bonds	Series of 2012	28.34	Sr Mgr
10/17/2012	Poway City-California	Ref Certificates of Participation	2012 Series	14.35	Sr Mgr
10/17/2012	Redlands Financing Authority	Water Revenue Refunding Bonds	Series 2012 A	3.48	Sr Mgr
10/17/2012	Redlands Financing Authority	Wastewater Revenue Ref Bonds	Series 2012 A	4.66	Sr Mgr
10/17/2012	Newport News Eco Dev Auth	Economic Dev Revenue & Ref Bonds	Series 2012 A	25.39	Co-Mgr
10/17/2012	Newport News Eco Dev Auth	Economic Dev Revenue & Ref Bonds	Series 2012 B	25.95	Co-Mgr
10/17/2012	Denver City and Co-Colorado	Airport System Revenue Bonds	Series 2012 C	30.29	Co-Mgr
10/17/2012	Denver City and Co-Colorado	Airport System Revenue Bonds	Series 2012 A	315.76	Co-Mgr
10/17/2012	Denver City and Co-Colorado	Airport System Revenue Bonds	Series 2012 B	510.14	Co-Mgr
10/18/2012	Idaho Housing & Fin Assoc	Grant & Revenue Antic Bonds	2012 Series A	34.89	Sr Mgr
10/18/2012	Pennsylvania Econ Dev Fin Auth	Unemploy Compensation Rev Bonds	Series 2012 A	1430.44	Sr Mgr
10/18/2012	Los Angeles Co Pub Works Fin Au	Lease Revenue Bonds	Series 2012	339.41	Sr Mgr
10/18/2012	Pennsylvania Econ Dev Fin Auth	Unemploy Compensation Rev Bonds	Series 2012 B	1096.97	Co-Mgr
10/18/2012	Toms River Twp-New Jersey	General Improvement Bonds	Series 2012	13.95	Co-Mgr
10/18/2012	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2012 F	60.61	Co-Mgr
10/18/2012	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2012 D & E	817.06	Co-Mgr
10/23/2012	Bay Area Toll Authority (BATA)	Toll Bridge Revenue Bonds	2012 Series F-1	907.53	Sr Mgr
10/23/2012	New York City-New York	General Obligation Bonds	2013 Ser A-1, B & C	1125.00	Co-Mgr
10/24/2012	Montgomery Co-Maryland	Consolidated Pub Imp Bonds	Series A of 2012	295.00	Sr Mgr
10/24/2012	University System of Maryland	Aux Fac & Tuition Rev Bonds	2012 Series C	115.00	Sr Mgr
10/24/2012	Cleveland City-Ohio	Water Revenue Bonds	Sr Lien Ser X 2012	44.41	Sr Mgr
10/24/2012	Cleveland City-Ohio	Water Revenue Bonds	2nd Lien Ser A 2012	76.71	Sr Mgr
10/24/2012	Westchester Co-New York	General Obligation Bonds	2012 Series B	55.41	Sr Mgr
10/24/2012	Rhode Island & Providence Plan	Consolidated Cap Dev Loan Bonds	2012 Series B	81.40	Co-Mgr
10/25/2012	Columbus Building Authority	Lease Revenue Refunding Bonds	Series 2012 A	29.83	Sr Mgr
10/25/2012	San Bernardino Co (Fontana) USD	General Obligation Bonds	Series C	47.26	Sr Mgr
10/25/2012	Westlands Water Dt	Refunding Revenue Bonds	Series 2012 A	77.00	Sr Mgr
10/25/2012	Modesto Irrigation Dt	Electric System Ref Rev Bonds	Series 2012 A	90.07	Sr Mgr
10/25/2012	Maine Municipal Bond Bank	General Purpose Bonds	2012 Series E	28.59	Co-Mgr
10/25/2012	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2012 Series I	89.18	Co-Mgr
10/25/2012	Norfolk Co-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2012	12.26	Co-Mgr
10/25/2012	Los Angeles Dept of Wtr & Power	Power System Revenue Bonds	2012 Series B	350.00	Co-Mgr
10/25/2012	Metropolitan Transport Auth (MTA)	Dedicated Tax Fund Ref Bonds	Series 2012 A	959.47	Co-Mgr
10/30/2012	Minneapolis City-Minnesota	GO Various Purpose Bonds	Series 2012	37.50	Sr Mgr

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10/30/2012	Minneapolis City-Minnesota	GO Parking Assessment Ref Bonds	Series 2012	22.06	Sr Mgr
10/30/2012	Massachusetts School Bldg Auth	Sr Dedicated Sales Tax Ref Bonds	2012 Series B	916.35	Sr Mgr
10/30/2012	New Jersey Educational Facs Au	Revenue & Refunding Bonds	Series 2012 C	33.82	Sr Mgr
10/30/2012	Rivanna Water & Sewer Authority	Regional Wtr & Swr Sys Rev Bonds	Series 2012 B	26.24	Sr Mgr
10/30/2012	New Jersey Educational Facs Au	Revenue & Refunding Bonds	Series 2012 D	17.29	Sr Mgr
10/30/2012	Unified Gov of Wyandotte Co/Kansas City	Sales Tax Revenue Ref Bonds	Sub Lien Ser 2012	12.79	Sr Mgr
10/30/2012	Warren Co SD	General Obligation Bonds	Series of 2012	23.18	Sr Mgr
10/30/2012	Sandoval Co (Rio Rancho) PSD #94	GO School Building & Ref Bonds	Series 2012	13.36	Co-Mgr
10/30/2012	Mississippi	General Obligation Bonds	Series 2012 H	136.68	Co-Mgr
10/30/2012	Islip Town-New York	Public Improvement Serial Bonds	Series 2012 A	17.89	Co-Mgr
10/31/2012	Lee Co-Florida	Non Ad Valorem Ref Revenue Bonds	Series 2012	48.39	Sr Mgr
10/31/2012	NYS Dorm Authority	School Dt Rev Fin Prog Rev Bonds	Series 2012 F-K	369.11	Co-Mgr
11/01/2012	Florida Dept of Transportation	Right-of-Way Acq Bridge Con Bonds	Series 2012 B	234.72	Sr Mgr
11/01/2012	Maryland Hlth & Hghr Ed Facs Au	Revenue Bonds	Series 2012	73.63	Sr Mgr
11/01/2012	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 176 & 177	100.04	Sr Mgr
11/01/2012	Wichita City-Kansas	GO Refunding Bonds	Series 2012 C	4.36	Co-Mgr
11/01/2012	Wichita City-Kansas	GO Sales Tax Bonds	Series 2012 D	17.70	Co-Mgr
11/01/2012	Wichita City-Kansas	GO Refunding Bonds	Series 2012 A	21.25	Co-Mgr
11/01/2012	Wichita City-Kansas	GO Sales Tax Refunding Bonds	Series 2012 E	22.87	Co-Mgr
11/01/2012	Texas Transportation Commission	First Tier Revenue Ref Bonds	Series 2012-A	585.33	Co-Mgr
11/01/2012	Texas Transportation Commission	First Tier Revenue Ref Bonds	Series 2012-B	225.00	Co-Mgr
11/01/2012	Kaufman Co (Crandall) ISD	Unlimited Tax Refunding Bonds	Series 2012 A & B	15.23	Co-Mgr
11/02/2012	Milford City-Connecticut	General Obligation Bonds	Issue of 2012	23.39	Co-Mgr
11/05/2012	New Hampshire	Turnpike System Revenue Ref Bonds	2012 Series B	65.36	Co-Mgr
11/05/2012	Delaware River & Bay Auth	Revenue & Refunding Bonds	Series 2012 A & B	96.66	Sr Mgr
11/07/2012	Columbia City-South Carolina	General Obligation Bonds	Series 2012 C	6.38	Sr Mgr
11/07/2012	Manatee Co Port Authority	Revenue Refunding Bonds	Series 2012 A	6.61	Co-Mgr
11/07/2012	Manatee Co Port Authority	Revenue Refunding Bonds	Series 2012 B	33.73	Co-Mgr
11/07/2012	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2012 Series C	50.36	Co-Mgr
11/08/2012	Miami-Dade Co-Florida	Sub Special Oblig Refunding Bonds	Series 2012 A & B	489.99	Sr Mgr
11/08/2012	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2012 Series E & F	35.75	Co-Mgr
11/08/2012	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2012 Series D	41.06	Co-Mgr
11/13/2012	Laurens Co SD	General Obligation Bonds	Series 2012	5.50	Sr Mgr
11/13/2012	East Bay MUD	Water Sys Rev Refunding Bonds	Series 2012 B	358.62	Sr Mgr
11/13/2012	Camden Co Improvement Auth	Loan Revenue Bonds	Series A of 2012	5.49	Sr Mgr
11/13/2012	Camden Co Improvement Auth	Loan Revenue Bonds	Series B of 2012	2.44	Sr Mgr
11/13/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012	21.02	Sr Mgr
11/14/2012	Maui Co-Hawaii	General Obligation Bonds	Series 2012	70.25	Sr Mgr
11/14/2012	Sacramento Regional Transit Dt	Farebox Revenue Bonds	Series 2012	86.87	Sr Mgr
11/14/2012	Cherokee Co School System	General Obligation Bonds	Series 2012	35.93	Sr Mgr
11/14/2012	Ernest N Morial Exhibition Hall Auth	Special Tax Refunding Bonds	Series 2012	52.07	Sr Mgr
11/15/2012	Chesapeake City-Virginia	Senior Toll Road Revenue Bonds	Series 2012 A & B	150.72	Sr Mgr
11/15/2012	Virginia Resources Auth (VRA)	Wtr & Swr Sys Rev Ref Bonds	Series 2012	49.32	Sr Mgr
11/15/2012	Virginia Resources Auth (VRA)	Water & Sewer Sys Rev Ref Bonds	Series 2012	6.73	Sr Mgr
11/15/2012	Vermont Municipal Bond Bank	Revenue Bonds	2012 Series 4 & 5	15.28	Sr Mgr
11/15/2012	Travis Co (Pflugerville) ISD	Unlimited Tax Refunding Bonds	Series 2012 A	38.65	Sr Mgr
11/15/2012	El Paso City-Texas	Water & Sewer Rev Ref & Imp Bonds	Series 2012 A	75.72	Sr Mgr
11/15/2012	Edina City-Minnesota	General Obligation Bonds	Series 2012 C	2.10	Co-Mgr
11/15/2012	Tennessee Hsg Dev Agcy (THDA)	Homeownership Program Bonds	Issue 2012-2A	14.36	Co-Mgr
11/15/2012	Tennessee Hsg Dev Agcy (THDA)	Homeownership Program Bonds	Issue 2012-2B & 2C	83.27	Co-Mgr
11/15/2012	NYS Environmental Facs Corp	State Revolving Fund Rev Bonds	Series 2012 F	33.47	Co-Mgr
11/15/2012	NYS Environmental Facs Corp	State Revolving Funds Rev Bonds	Series 2012 E	98.64	Co-Mgr
11/15/2012	Triborough Bridge & Tunnel Auth	General Revenue Bonds	Series 2009 A-1	126.23	Co-Mgr
11/15/2012	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Subseries 2008 B-2	110.22	Co-Mgr
11/15/2012	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2012 H	350.00	Co-Mgr
11/20/2012	Sacramento Co (Elk Grove) USD	Special Tax Refunding Bonds	2012 Series	84.07	Sr Mgr
11/20/2012	Camden Co Improvement Auth	Open Space Trust Fund Rev Bonds	Series A of 2012	7.27	Sr Mgr
11/20/2012	Univ of NM Gallup Branch Comm Coll Dt	General Obligation Bonds	Series 2012	6.00	Co-Mgr
11/20/2012	Minneapolis-St Paul Metro Airports Comm	Subordinate Airport Revenue Bonds	Series 2012 A	39.77	Co-Mgr
11/20/2012	Minneapolis-St Paul Metro Airports Comm	Subordinate Airport Rev Ref Bonds	Series 2012 B	42.02	Co-Mgr
11/20/2012	Rhode Island Hlth & Ed Bldg Corp	Higher Ed Facility Rev Ref Bonds	Series 2012 B	35.04	Co-Mgr
11/21/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012 A	15.95	Sr Mgr
11/21/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012 A	2.65	Sr Mgr
11/21/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012 B	0.75	Sr Mgr
11/21/2012	Minnesota	State Gen Fun Appropriation Ref	Series 2012 A	54.67	Co-Mgr
11/21/2012	Minnesota	State Gen Fund Appropriation Ref	Series 2012 B	601.56	Co-Mgr
11/28/2012	Boulder Central Area Gen Imp Dt	GO Refunding Bonds	Series 2012	7.28	Sr Mgr
11/28/2012	Pennsylvania St Pub Sch Bldg Auth	School Lease Revenue Bonds	Series 2012	265.00	Sr Mgr
11/28/2012	Vermont Student Assist Corp	Student Loan Asset-Backed Notes	Series 2012-1 A	755.00	Sr Mgr
11/28/2012	District of Columbia	Income Tax Secured Rev & Ref Bond	Series 2012 C & D	775.77	Co-Mgr
11/29/2012	Indiana Municipal Power Agency	Power Supply System Ref Rev Bonds	2012 Series A	51.13	Sr Mgr
11/29/2012	Virginia College Building Auth	Educational Facilities Rev Bonds	Series 2012 B	141.07	Sr Mgr
11/29/2012	Illinois Finance Authority	Revenue Bonds	Series 2012	145.62	Sr Mgr
11/29/2012	Massachusetts Housing Fin Agcy	Housing Bonds	2012 Series E & F	46.51	Sr Mgr
11/29/2012	Wisconsin	Gen Fund Annual Approp Ref Bonds	Series A of 2012	251.56	Co-Mgr
12/01/2012	Williamson Co-Texas	Limited Tax Refunding Bonds	Series 2012	32.90	Sr Mgr
12/01/2012	Oklahoma Co (Oklahoma City) ISD #89	GO Refunding Bonds	Series of 2012	12.15	Sr Mgr
12/01/2012	Weatherford City-Texas	GO Refunding Bonds	Series 2012	25.11	Sr Mgr
12/01/2012	Corpus Christi City-Texas	General Improvement Ref Bonds	Series 2012	107.66	Sr Mgr
12/01/2012	Lower Colorado River Authority (LCRA)	Refunding Revenue Bonds	Series 2012 B	200.67	Co-Mgr
12/01/2012	Lower Colorado River Authority (LCRA)	Refunding Revenue Bonds	Series 2012 A	287.46	Co-Mgr
12/01/2012	Bergen Co-New Jersey	GO Gen Imp & Spcl Svcs Sch Bonds	2012 Series C	45.80	Co-Mgr
12/03/2012	California Infrstr & Eco Dev Bank	Clean Wtr St Revolv Fd Ref Bonds	Series 2012	68.94	Co-Mgr

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12/04/2012	Marin Comm College Dt	General Obligation Bonds	Series D	47.00	Sr Mgr
12/04/2012	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2013 Ser C Sub C-2	100.00	Sr Mgr
12/04/2012	Texas Muni Gas Acq & Supply Corp III	Gas Supply Revenue Bonds	Series 2012	1395.66	Sr Mgr
12/06/2012	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2012 Series D	14.38	Co-Mgr
12/11/2012	Connecticut Housing Finance Auth	Housing Mtg Finance Program Bonds	2012 Ser F Sub F-2	42.32	Co-Mgr
12/11/2012	Connecticut Housing Finance Auth	Housing Mtg Finance Program Bonds	2012 Ser F Sub F-1	102.96	Co-Mgr
12/12/2012	Baltimore Co-Maryland	Consolidated Public Imp Bonds	2012 Series	193.00	Sr Mgr
12/12/2012	Miami-Dade Co Educational Facs Auth	Revenue Bonds	Series 2012 B	25.27	Co-Mgr
12/12/2012	Miami-Dade Co Educational Facs Auth	Revenue Bonds	Series 2012 A	94.62	Co-Mgr
12/12/2012	Massachusetts	GO Consolidated Loan Bonds	Series D of 2012	325.00	Co-Mgr
12/13/2012	Knox Co Hlth Ed & Hsg Facs Board	Hospital Revenue Refunding Bonds	Series 2012 A	107.65	Sr Mgr
12/13/2012	Maryland Transportation Auth	Passenger Fac Charge Rev Bonds	Series 2012 C	43.40	Sr Mgr
12/13/2012	Snohomish Co Housing Auth	Refunding Revenue Bonds	Series 2012	10.12	Sr Mgr
12/13/2012	Hays CISD	Unlimited Tax Refunding Bonds	Series 2012 A	91.07	Co-Mgr
12/13/2012	Pinellas Co Housing Fin Auth	Single Family Housing Rev Bonds	Series 2012 A	3.00	Co-Mgr
12/13/2012	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 162	101.57	Co-Mgr
12/13/2012	NYC Municipal Water Fin Auth	Wtr&Swr Sys 2nd Gen Res Rev Bonds	2013 Series BB	440.51	Co-Mgr
12/13/2012	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2012 Series E	157.50	Co-Mgr
12/14/2012	Atlantic City-New Jersey	Tax Appeal Refunding Bonds	Series 2012	90.38	Sr Mgr
12/17/2012	Northampton ASD	General Obligation Bonds	Series A of 2012	5.00	Co-Mgr
12/18/2012	Austin City-Texas	Electric Util Sys Rev Ref Bonds	Series 2012 B	107.72	Sr Mgr
12/18/2012	Austin City-Texas	Electric Util Sys Rev Ref Bonds	Series 2012 A	267.77	Sr Mgr
12/18/2012	Contra Costa Transportation Auth	Limited Tax Bonds	Series 2012 B	188.77	Sr Mgr
12/18/2012	Los Angeles Dept of Airports	Senior Revenue Bonds	2012 Series A	105.61	Sr Mgr
12/18/2012	Los Angeles Dept of Airports	Senior Revenue Bonds	2012 Series B & C	173.50	Sr Mgr
12/18/2012	Village Community Dev Dt #10	Special Assessment Rev Bonds	Series 2012	77.04	Sr Mgr
12/18/2012	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2012 Series G-3	3.70	Sr Mgr
12/18/2012	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2012 Series G-2	6.02	Sr Mgr
12/18/2012	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2012 Ser G Sub 1 & 4	54.42	Sr Mgr
12/18/2012	Knoxville City-Tennessee	Gas System Revenue Bonds	Series R-2012	10.00	Co-Mgr
12/19/2012	Snohomish Co Pub Utility Dt #1	Electric System Revenue Ref Bonds	Series 2012	55.61	Sr Mgr
12/19/2012	Cook Co-Illinois	GO Refunding Bonds	Series 2012 D	29.41	Sr Mgr
12/19/2012	Cook Co-Illinois	GO Refunding Bonds	Series 2012 C	380.53	Sr Mgr
12/19/2012	Capistrano USD Sch Facs Imp Dt #1	GO Refunding Bonds	Series 2012	27.46	Sr Mgr
12/19/2012	Port Authority of NY & NJ	Consolidated Bonds	176th Series	170.00	Sr Mgr
12/19/2012	Polk Co-Florida	Utility System Revenue Ref Bonds	Series 2012	43.82	Co-Mgr
12/19/2012	Philadelphia Auth for Indus Dev	City Svc Agreement Ref Rev Bonds	Series 2012	299.81	Co-Mgr
12/20/2012	Apopka City-Florida	Utility System Rev Ref Bonds	Series 2012	18.07	Sr Mgr
12/20/2012	Hawaii Housing Fin & Dev Corp	Multi-Family Housing Rev Bonds	Series 2012 A	25.80	Sr Mgr
12/20/2012	Vermont Housing Finance Agency	Multi-family Mortgage Bonds	Series 2012 A	11.17	Sr Mgr
12/20/2012	Vermont Housing Finance Agency	Multi-family Mortgage Bonds	Series 2012 B	10.08	Sr Mgr
12/20/2012	Vermont Housing Finance Agency	Multi-family Mortgage Bonds	Series 2012 C	5.21	Sr Mgr
12/20/2012	New Hampshire Muni Bond Bank	General Obligation Bonds	2012 Series D	8.16	Co-Mgr
12/20/2012	Bay Area Toll Authority (BATA)	Toll Bridge Revenue Bonds	07 Ser A1&E3 08 Ser	310.00	Co-Mgr
12/20/2012	Yonkers City-New York	GO Ref & School Ref Serial Bonds	Series 2012 A-D	106.07	Co-Mgr
12/20/2012	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	Series 2012 F	91.50	Co-Mgr
12/20/2012	Suffolk Co (Wyandanch) UFSD	General Obligation Bonds	Series 2012	15.55	Co-Mgr
12/20/2012	Tampa-Hillsborough Co Expressway Auth	Revenue & Refunding Rev Bonds	Series 2012 C & D	110.53	Co-Mgr
12/20/2012	Tampa-Hillsborough Co Expressway Auth	Refunding Revenue Bonds	Series 2012 A & B	334.17	Co-Mgr
12/20/2012	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2012 Series L-1	8.62	Co-Mgr
12/20/2012	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2012 Series K-1- A&B	115.39	Co-Mgr
12/21/2012	Chicago City BOE	Unltd Tax GO Refunding Bonds	Series 2012 B	109.83	Sr Mgr
12/21/2012	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2012	8.00	Sr Mgr
12/21/2012	Connecticut	Special Tax Oblig & Ref Bonds	2012 Series A & B	627.39	Co-Mgr
12/21/2012	Dutchess Co-New York	Public Improvement Serial Bonds	Series 2012	10.67	Co-Mgr
12/27/2012	No Sumter Co Util Dependent Dt	Sub Solid Waste Revenue Bonds	Series 2012	4.00	Sr Mgr
12/27/2012	No Sumter Co Util Dependent Dt	Solid Waste Revenue Bonds	Series 2012	50.61	Sr Mgr
12/27/2012	Albany Co-New York	GO Refunding Serial Bonds	2012 Series B	55.91	Co-Mgr
01/01/2013	Oklahoma Co (Putnam City) ISD #1	Building Bonds	Series of 2013	16.30	Sr Mgr
01/02/2013	Orlando-Orange Co Expressway Au	Refunding Revenue Bonds	Series 2013 B	174.32	Sr Mgr
01/03/2013	New York City-New York	General Obligation Bonds	2013 Series D & E	1000.09	Sr Mgr
01/07/2013	Bucks Co (Pennridge) SD	General Obligation Bonds	Series of 2013	8.93	Co-Mgr
01/10/2013	Bay Area Toll Authority (BATA)	Toll Bridge Revenue Bonds	2001 Series A	150.00	Co-Mgr
01/11/2013	Russell City-Kentucky	Revenue Bonds	Series 2013	39.08	Sr Mgr
01/11/2013	South Carolina Jobs Econ Dev Au	Economic Development Rev Bonds	Series 2013	184.87	Sr Mgr
01/11/2013	Henrico Co Econ Dev Auth	Revenue Bonds	Series 2013	58.29	Sr Mgr
01/11/2013	Norfolk City Eco Dev Auth	Revenue Bonds	Series 2013	19.96	Sr Mgr
01/11/2013	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2013	6.25	Sr Mgr
01/11/2013	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2013	6.50	Sr Mgr
01/17/2013	Arizona Board of Regents	System Revenue Refunding Bonds	Series 2012 C	43.92	Sr Mgr
01/17/2013	Tift Co Hospital Authority	Revenue Anticipation Certificates	Series 2013	83.26	Co-Mgr
01/22/2013	Massachusetts	GO Refunding Bonds	2013 Series A	230.54	Co-Mgr
01/23/2013	Alaska	General Obligation Bonds	Series 2013 A	11.95	Sr Mgr
01/23/2013	Alaska	General Obligation Bonds	Series 2013 B	150.54	Sr Mgr
01/23/2013	Pennsylvania Finance Authority	Revenue Bonds	Series A-1 of 2013	75.00	Co-Mgr
01/23/2013	Pennsylvania Finance Authority	Revenue Bonds	Ser A-2 & B of 2013	255.00	Co-Mgr
01/24/2013	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2013 A	500.00	Sr Mgr
01/29/2013	Cary Town-North Carolina	Comb Enl Sys Rev & Rev Ref Bonds	Series 2013	98.40	Sr Mgr
01/29/2013	Hennepin Co-Minnesota	General Obligation Bonds	Series 2013 B	7.08	Sr Mgr
01/29/2013	South Orange Vlg Twp-New Jersey	General Obligation Bonds	Series 2013	34.45	Sr Mgr
01/29/2013	Brookhaven Town-New York	Public Improvement Serial Bonds	2013 Series A	81.32	Sr Mgr
01/29/2013	Westchester Co (Byram Hills) CSD	School District Refunding Bonds	Series 2013	26.54	Co-Mgr
01/29/2013	Mecklenburg Co-North Carolina	GO Refunding Bonds	Series 2013 A	209.82	Co-Mgr

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01/29/2013	Triborough Bridge & Tunnel Auth	Subordinate Revenue Ref CABs	Series 2013 A	117.64	Co-Mgr
01/29/2013	Triborough Bridge & Tunnel Auth	General Revenue Refunding Bonds	Series 2013 B	257.20	Co-Mgr
01/29/2013	Triborough Bridge & Tunnel Auth	Subordinate Revenue Ref Bonds	Series 2013 A	536.33	Co-Mgr
01/29/2013	Arizona Transportation Board	Subordinated Highway Rev Bonds	Series 2013 B	112.71	Co-Mgr
01/29/2013	Arizona Transportation Board	Subordinated Highway Rev Bonds	Series 2013 A	602.77	Co-Mgr
01/30/2013	San Francisco Public Util Comm	Wastewater Revenue Bonds	2013 Series A	193.40	Sr Mgr
01/30/2013	Baltimore Mayor & City Council	GO Consol Pub Imp & Ref Bonds	Series 2013 C & D	19.69	Co-Mgr
01/30/2013	Baltimore Mayor & City Council	GO Consol Pub Imp & Ref Bonds	Series 2013 A & B	210.87	Co-Mgr
01/30/2013	San Diego Regional Airport Auth	Senior Airport Revenue Bonds	Series 2013 A	107.29	Co-Mgr
01/30/2013	San Diego Regional Airport Auth	Senior Airport Revenue Bonds	Series 2013 B	272.30	Co-Mgr
01/31/2013	St Johns Co School Board	Certificates of Participation	Series 2013	33.48	Sr Mgr
01/31/2013	New Jersey Economic Dev Auth	School Facilities Con Ref Bonds	2013 Series OO	243.27	Co-Mgr
01/31/2013	New Jersey Economic Dev Auth	School Facs Con Ref Bonds	2013 Series NN	1629.71	Co-Mgr
01/31/2013	New Jersey Economic Dev Auth	School Facilities Con Ref Notes	2013 Series I	380.52	Co-Mgr
02/01/2013	Village Community Dev Dt #6	Special Assessment Rev Ref Bonds	Series 2013	46.25	Sr Mgr
02/01/2013	JobsOhio Beverage System	Stwide Sr Ln Liquor Profit Bonds	Series 2013 A	404.79	Sr Mgr
02/01/2013	JobsOhio Beverage System	Stwide Sr Ln Liquor Profits Bonds	Series 2013 B	1105.90	Sr Mgr
02/01/2013	Harris Co (Houston) ISD	Var Rte Ltd Tax Schoolhouse Bonds	Series 2013 B	147.13	Sr Mgr
02/01/2013	Portland City-Maine	General Obligation Bonds	2013 Series	15.42	Co-Mgr
02/01/2013	Bexar Co-Texas	Combined Tax & Rev Certs of Oblig	Series 2013	83.96	Co-Mgr
02/05/2013	Washington	Motor Vehicle Fuel Tax GO Bonds	Series 2013 E	337.00	Sr Mgr
02/05/2013	Brevard Co Health Facs Auth	Health Facs Revenue Ref Bonds	Series 2013	65.91	Sr Mgr
02/07/2013	Jacksonville Electric Authority	Electric System Sub Rev Bonds	2013 Series A	69.33	Co-Mgr
02/07/2013	Government of Guam	Business Privilege Tax Bonds	Series 2013 C	22.64	Co-Mgr
02/07/2013	Tampa Bay Water Auth	Utility System Revenue Bonds	Series 2013	75.30	Co-Mgr
02/13/2013	Oregon	General Obligation Bonds	2013 Series C	27.32	Sr Mgr
02/13/2013	Oregon	General Obligation Bonds	2013 Series D	44.03	Sr Mgr
02/13/2013	Oregon	General Obligation Bonds	2013 Series A	101.07	Sr Mgr
02/13/2013	Oregon	General Obligation Bonds	2013 Series B	130.90	Sr Mgr
02/13/2013	Scottsdale Muni Property Corp	Excise Tax Revenue & Ref Bonds	Series 2013	66.00	Sr Mgr
02/14/2013	Massachusetts Dev Finance Agcy	Revenue Bonds	Series F 2013	57.79	Sr Mgr
02/15/2013	Webb Co (United) ISD	Unlimited Tax Refunding Bonds	Series 2013	22.67	Co-Mgr
02/15/2013	Kyle City-Texas	GO Refunding Bonds	Series 2013	13.72	Co-Mgr
02/20/2013	Nevada	GO Water Poll Ctrl Revolv Bonds	Series 2013 C	3.00	Sr Mgr
02/20/2013	Nevada	GO Wtr Poll Ctrl Revolv Ref Bonds	Series 2013 B	17.05	Sr Mgr
02/20/2013	North Carolina	GO Refunding Bonds	Series 2013 B	319.26	Sr Mgr
02/20/2013	Prince Georges Co-Maryland	Consolidated Public Imp Bonds	Series 2013 A	137.59	Sr Mgr
02/20/2013	Jacksonville Electric Authority	Electric Sys & Sub Revenue Bonds	Ser Three 2013 A & B	203.26	Co-Mgr
02/20/2013	Michigan Finance Authority	Clean Drink Wtr Revolv Ref Bonds	Series 2013	168.86	Co-Mgr
02/21/2013	Lake Co School Board	Certificates of Participation	Series 2013 A	20.88	Sr Mgr
02/21/2013	Sacramento Co (San Juan) USD	General Obligation Bonds	Series A	20.00	Sr Mgr
02/21/2013	Virginia Public Building Auth	Public Facilities Rev & Ref Bonds	Series 2013 A & B	215.77	Sr Mgr
02/21/2013	Oregon	General Obligation Bonds	2013 Series E	22.42	Sr Mgr
02/21/2013	Oregon	GO Refunding Bonds	2013 Series H	5.05	Sr Mgr
02/21/2013	Oregon	GO Refunding Bonds	2013 Series G	98.77	Sr Mgr
02/21/2013	Oregon	GO Refunding Bonds	2013 Series F	85.68	Sr Mgr
02/21/2013	Maine State Housing Authority	Mortgage Purchase Bonds	2013 Series A	9.00	Co-Mgr
02/21/2013	Maine State Housing Authority	Mortgage Purchase Bonds	2013 Series B	106.00	Co-Mgr
02/26/2013	Willamalane Park & Recreation Dt	General Obligation Bonds	Series 2013	20.00	Sr Mgr
02/26/2013	Salt Lake Co Housing Auth	Multi-Family Housing Rev Bonds	Series 2013	20.46	Sr Mgr
02/26/2013	Westchester Co (Lakeland) CSD	School District Refunding Bonds	Series 2013	13.84	Co-Mgr
02/27/2013	Illinois Finance Authority	Revenue Bonds	Series 2013	111.24	Sr Mgr
02/27/2013	Perry Co (Newport) SD	General Obligation Bonds	Series of 2013	6.41	Co-Mgr
02/27/2013	Bay Area Wtr Supply & Conserv Agcy	Revenue Bonds	Series 2013 B	94.31	Co-Mgr
02/27/2013	Bay Area Wtr Supply & Conserv Agcy	Revenue Bonds	Series 2013 A	241.48	Co-Mgr
02/27/2013	Roanoke City-Virginia	GO Public Imp Refunding Bonds	Series 2013 B	15.35	Co-Mgr
02/27/2013	Roanoke City-Virginia	GO Public Imp & Refunding Bonds	Series 2013 A	24.58	Co-Mgr
02/28/2013	NYS Housing Fin-Mortgage Agcy	Mortgage Revenue Bonds	Forty-Eight Series	110.91	Co-Mgr
02/28/2013	Wayne Twp-New Jersey	Gen Imp & Water Utility Bonds	Series 2013	19.80	Co-Mgr
02/28/2013	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2013 Series A	149.40	Co-Mgr
03/01/2013	Village Community Dev Dt #5	Special Assess Rev Ref Bonds	Series 2013	37.73	Sr Mgr
03/01/2013	Lower Colorado River Authority (LCRA)	Transmit Contract Ref Rev Bonds	Series 2013	311.46	Sr Mgr
03/01/2013	Scott Co SD Finance Corp	School Building Ref Rev Bonds	Series of 2013	15.11	Sr Mgr
03/01/2013	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	2013 Series CC	455.95	Co-Mgr
03/01/2013	Travis Co MUD #4	Contract Tax Refunding Bonds	Series 2013	9.90	Co-Mgr
03/05/2013	Davenport City-Iowa	GO Corporate & Refunding Bonds	Series 2013 A	21.87	Sr Mgr
03/05/2013	Harford Co-Maryland	GO Refunding Bonds	Series 2013 B	74.66	Sr Mgr
03/05/2013	California Dept of Wtr Resources	Central Valley Proj Water Sys Rev	Series AM	183.96	Co-Mgr
03/05/2013	Phoenix City Civic Imp Corp	Senior Lien Airport Rev Ref Bonds	Series 2013	196.60	Co-Mgr
03/06/2013	Univ of Oklahoma Bd of Regents	General Revenue Bonds	Series 2013 B	48.95	Co-Mgr
03/06/2013	Univ of North Carolina Bd of Gov	General Revenue Bonds	Series 2013 A	132.44	Co-Mgr
03/06/2013	Univ of North Carolina Bd of Gov	General Revenue Bonds	Series 2013 B	141.65	Co-Mgr
03/06/2013	Manatee Co-Florida	Rev Ref & Improvement Bonds	Series 2013	79.64	Co-Mgr
03/07/2013	Florida State BOE	Public Ed Capital Outlay Ref Bond	2013 Series A	324.63	Sr Mgr
03/07/2013	Cobb Co Development Auth	Stud Recreation & Act Ctr Bonds	Series 2013	43.29	Sr Mgr
03/07/2013	Ventura Co Pub Financing Auth	Lease Revenue Bonds	Series 2013 A	302.06	Sr Mgr
03/08/2013	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2012 Series L-2-B	2.06	Co-Mgr
03/08/2013	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2012 Series L-2-A	102.83	Co-Mgr
03/12/2013	Tarpon Springs City-Florida	Utility System Revenue Bonds	Series 2013 A1 & A2	35.80	Sr Mgr
03/13/2013	Tarrant Co Cult Ed Fac Fin Corp	Hospital Revenue Bonds	Series 2013 A	176.69	Sr Mgr
03/13/2013	San Diego Co Water Auth	Water Revenue Refunding Bonds	Series 2013 A	299.11	Co-Mgr
03/14/2013	Regents of the Univ of California	General Revenue Bonds	2013 Series AH	286.52	Co-Mgr
03/14/2013	Regents of the Univ of California	General Revenue Bonds	2013 Series AG	501.17	Co-Mgr

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03/14/2013	Regents of the Univ of California	General Revenue Bonds	2013 Series AF	805.91	Co-Mgr
03/15/2013	Knoxville City-Tennessee	Wastewater System Rev Ref Bonds	Series 2013 A	113.34	Sr Mgr
03/15/2013	Ohio Public Fac Commission	Common Schools GO Ref Bonds	Series 2013 A	194.78	Sr Mgr
03/15/2013	Maryland	GO State & Local Facs Loan Bonds	2013 First Ser A	500.00	Sr Mgr
03/15/2013	Collin Co (Wylie) ISD	Unlimited Tax Refunding Bonds	Series 2013	58.24	Sr Mgr
03/15/2013	North Texas Municipal Water Dt	Regional Wastewater Sys Rev Bonds	Series 2013	24.33	Co-Mgr
03/19/2013	New York City-New York	General Obligation Bonds	13 Ser F Sub F-1 G&F	872.51	Co-Mgr
03/19/2013	Empire State Development Corp	State Personal Inc Tax Rev Bonds	Series 2013 A-2	70.03	Co-Mgr
03/19/2013	Empire State Development Corp	State Personal Inc Tax Rev Bonds	Series 2013 A-1	572.39	Co-Mgr
03/19/2013	New York City-New York	General Obligation Bonds	2008 Ser J Sub J-7	74.06	Co-Mgr
03/19/2013	New York City-New York	General Obligation Bonds	2008 Ser J Sub J-8	74.06	Co-Mgr
03/19/2013	New York City-New York	General Obligation Bonds	2008 Ser J Sub J-4	100.00	Co-Mgr
03/20/2013	Rockville Mayor & Council	GO Refunding Bonds	Series 2013 B	6.03	Sr Mgr
03/20/2013	Encinitas Public Fin Auth	Lease Revenue Bonds	2013 Series A	7.87	Sr Mgr
03/20/2013	Santa Clara Co (Palo Alto) USD	General Obligation Bonds	Series 2013	70.00	Sr Mgr
03/20/2013	St Lucie Co School Board	Ref Certificates of Participation	Series 2013 A	77.26	Co-Mgr
03/20/2013	Haddonfield Boro-New Jersey	GO Gen Imp & Wtr Swr Util Bonds		14.81	Co-Mgr
03/20/2013	Univ of Massachusetts Bldg Au	Project Revenue Bonds	Senior Series 2013-2	71.79	Co-Mgr
03/20/2013	Univ of Massachusetts Bldg Au	Project Revenue Bonds	Senior Series 2013-1	212.59	Co-Mgr
03/21/2013	Alameda Co (Dublin) USD	General Obligation Bonds	Series A	32.38	Sr Mgr
03/21/2013	Middlesex Co-New Jersey	GO Gen Imp & Coll & Voc Sch Bonds	Series 2013	47.44	Sr Mgr
03/21/2013	Maryland Hlth & Hghr Ed Facs Au	Revenue Bonds	Series 2013 A	117.79	Sr Mgr
03/21/2013	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 166	25.29	Co-Mgr
03/21/2013	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 163	59.74	Co-Mgr
03/21/2013	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 164 & 165	64.98	Co-Mgr
03/21/2013	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	2013 Series DD	543.30	Co-Mgr
03/26/2013	Apex-North Carolina	GO Refunding Bonds	Series 2013	4.67	Sr Mgr
03/26/2013	Apex-North Carolina	GO Parks & Recreation Bonds	Series 2013	6.00	Sr Mgr
03/26/2013	California Dept of Wtr Resources	Water System Revenue Bonds	Series AP	45.34	Sr Mgr
03/26/2013	Port of Seattle-Washington	Limited Tax GO Refunding Bonds	Series 2013 B	75.17	Sr Mgr
03/26/2013	New Jersey Hsg & Mtg Fin Agency	Multi-Family Housing Rev Bonds	2013 Series 2	89.00	Sr Mgr
03/26/2013	New Jersey Hsg & Mtg Fin Agency	Multi-Family Housing Rev Bonds	2013 Series 3	44.52	Sr Mgr
03/26/2013	New Jersey Hsg & Mtg Fin Agency	Multi-Family Housing Rev Bonds	2013 Series 5	127.67	Sr Mgr
03/26/2013	New Jersey Hsg & Mtg Fin Agency	Multi-Family Housing Rev Bonds	2013 Series 6	17.34	Sr Mgr
03/26/2013	New Jersey Hsg & Mtg Fin Agency	Multi-Family Housing Rev Bonds	2013 Series 4	51.65	Sr Mgr
03/26/2013	New Jersey Hsg & Mtg Fin Agency	Multi-Family Housing Rev Bonds	2013 Series 1	49.54	Sr Mgr
03/26/2013	New Jersey Hsg & Mtg Fin Agency	Multi-Family Housing Rev Bonds	2013 Series 7	4.99	Sr Mgr
03/26/2013	Massachusetts Housing Fin Agcy	Housing Bonds	2013 Series A	101.50	Co-Mgr
03/27/2013	Nebraska Municipal Energy Agency	Power Supply System Revenue Bonds	2013 Series B	6.80	Sr Mgr
03/27/2013	Nebraska Municipal Energy Agency	Power Supply Sys Rev & Ref Bonds	2013 Series A	32.43	Sr Mgr
03/27/2013	Hampton Roads Regional Jail Auth	Regional Jail Facility Rev Bonds	Series 2013 A	3.35	Sr Mgr
03/27/2013	Hampton Roads Regional Jail Auth	Regional Jail Fac Rev Ref Bonds	Series 2013 B	24.70	Sr Mgr
03/27/2013	Massachusetts Water Resources Au	General Revenue Refunding Bonds	2013 Series A	170.64	Co-Mgr
03/27/2013	California	Various Purpose GO Bonds		364.26	Co-Mgr
03/27/2013	California	Various Purpose GO Bonds		505.94	Co-Mgr
03/27/2013	California	Various Purpose GO Bonds		542.64	Co-Mgr
03/27/2013	California	Various Purp GO Refunding Bonds		1058.86	Co-Mgr
03/27/2013	New Britain City-Connecticut	General Obligation Bonds	Issue of 2013	19.23	Co-Mgr
03/28/2013	Olmstead Co (Rochester) ISD #535	Full Term Certs of Participation	Series 2013 B	3.76	Sr Mgr
03/28/2013	Connecticut	General Obligation Bonds	2013 Series A-1	30.50	Sr Mgr
03/28/2013	Connecticut	General Obligation Bonds	2013 Series A-5	31.78	Sr Mgr
03/28/2013	Connecticut	General Obligation Bonds	2013 Series A-4	41.10	Sr Mgr
03/28/2013	Connecticut	General Obligation Bonds	2013 Series A-3	45.91	Sr Mgr
03/28/2013	Connecticut	General Obligation Bonds	2013 Series A-2	95.29	Sr Mgr
03/28/2013	Connecticut	General Obligation Bonds	2013 Series B	155.43	Sr Mgr
03/28/2013	Louisiana	State Highway Imp Revenue Bonds	Series 2013 A	85.40	Sr Mgr
03/28/2013	Puente Basin Water Agency	Water Revenue Bonds	2013 Series A	17.30	Sr Mgr
03/28/2013	NYC Health & Hosp Corp	Health System Bonds	2013 Series A	112.05	Sr Mgr
03/28/2013	Harris Co Cult Ed Facs Fin Corp	Hospital Revenue Refunding Bonds	Series 2013 A	321.94	Co-Mgr
04/01/2013	Massachusetts	GO Consolidated Loan Bonds	2013 Series B	75.00	Sr Mgr
04/01/2013	Irving City-Texas	Comb Tax & Rev Certs of Oblig	Series 2013 A	8.75	Sr Mgr
04/01/2013	Laredo City-Texas	Wtrwrks & Swr Sys Rev Ref Bonds	New Series 2013	17.26	Sr Mgr
04/01/2013	Lower Colorado River Authority (LCRA)	Transmission Contract Ref Bonds	Series 2013 A	197.43	Sr Mgr
04/01/2013	El Paso Co Hospital Dt	Comb Tax & Rev Certs of Oblig	Series 2013	134.29	Sr Mgr
04/01/2013	El Paso Co Hospital Dt	GO Refunding Bonds	Series 2013	110.40	Sr Mgr
04/01/2013	Irving City-Texas	Comb Tax & Rev Certs of Oblig	Series 2013 B	3.21	Co-Mgr
04/01/2013	Cedar Park City-Texas	Utility System Rev Ref Bonds	Series 2013	14.92	Co-Mgr
04/01/2013	Cedar Park City-Texas	GO Refunding Bonds	Series 2013	15.87	Co-Mgr
04/01/2013	Ellis Co (Ennis) ISD	Unlimited Tax Refunding Bonds	Series 2013	3.76	Co-Mgr
04/02/2013	Clark Co-Nevada	Fuel Tax Airport Sys Ref Rev Bond	Series 2013 A	70.97	Sr Mgr
04/02/2013	Los Angeles Dept of Wtr & Power	Power System Revenue Bonds	2013 Series A	527.31	Co-Mgr
04/02/2013	Clark Co-Nevada	GO Refunding Airport Bonds	Series 2013 B	32.92	Co-Mgr
04/02/2013	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2013 B	500.00	Co-Mgr
04/03/2013	Orlando-Orange Co Expressway Au	Revenue Refunding Bonds	Series 2013 A	242.32	Sr Mgr
04/04/2013	Maine State Housing Authority	Mortgage Purchase Bonds	2013 Series C	95.37	Sr Mgr
04/04/2013	Prince William Co-Virginia	Ref Certificates of Participation	Series 2013	35.21	Sr Mgr
04/04/2013	Howard Co-Maryland	Consol Public Imp & Ref Bonds	2013 Series A	137.07	Sr Mgr
04/04/2013	Fulton Co-Georgia	Water & Sewerage Rev Ref Bonds	Series 2013	223.27	Sr Mgr
04/04/2013	Davis SD BOE	GO Refunding Bonds	Series 2013 B	20.55	Sr Mgr
04/04/2013	Los Angeles Co Metro Trans Auth	Senior Sales Tax Rev Ref Bonds	Series 2013 A	262.26	Co-Mgr
04/09/2013	King Co-Washington	Sewer Revenue Refunding Bonds	2013 Series A	122.90	Sr Mgr
04/09/2013	Albuquerque City-New Mexico	Gross Receipt Tax Imp Rev Bonds	Series 2013	42.03	Co-Mgr
04/09/2013	Golden State Tobacco Sec Corp	Enhanced Tobacco Settlement Bonds	Series 2013 A	375.11	Co-Mgr

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04/10/2013	Central Brown Co Water Auth	Water System Revenue BANs	Series 2013 A	2.53	Sr Mgr
04/10/2013	Middletown City-Connecticut	General Obligation Bonds	Issue of 2013	27.90	Sr Mgr
04/11/2013	Greater Orlando Aviation Auth	Spl Purpose Airport Rev Ref Bonds	Series 2013	42.32	Sr Mgr
04/11/2013	Wyoming Community Dev Authority	Housing Revenue Bonds	2013 Series 1	25.13	Co-Mgr
04/11/2013	Wyoming Community Dev Authority	Housing Revenue Bonds	2013 Series 2 & 3	62.19	Co-Mgr
04/11/2013	Arizona School Facilities Board	State School Imp Rev Ref Bonds	Series 2013	316.17	Co-Mgr
04/15/2013	Denton Co-Texas	Tax Notes	Series 2013	9.93	Sr Mgr
04/15/2013	Denton Co-Texas	Permanent Improvement & Ref Bonds	Series 2013	63.35	Sr Mgr
04/15/2013	Denton Co-Texas	Permanent Improvement Ref Bonds	Series 2013	36.53	Sr Mgr
04/15/2013	Williamson Co-Texas	Limited Tax Refunding Bonds	Series 2013	71.75	Sr Mgr
04/15/2013	Williamson Co-Texas	Pass Through Toll Rev & Tax Bonds	Series 2013	14.99	Sr Mgr
04/15/2013	Hays Co-Texas	Limited Tax Refunding Bonds	Series 2013	26.23	Sr Mgr
04/15/2013	Baytown City-Texas	General Obligation Bonds	Series 2013	16.80	Co-Mgr
04/15/2013	Teaneck Twp-New Jersey	General Improvement Bonds		10.00	Co-Mgr
04/15/2013	Frisco City-Texas	GO Refunding & Improvement Bonds	Series 2013	79.96	Co-Mgr
04/15/2013	Lubbock City-Texas	Limited Tax GO Refunding Bonds	Series 2013	39.71	Co-Mgr
04/15/2013	Lubbock City-Texas	GO Refunding & Improvement Bonds	Series 2013	42.08	Co-Mgr
04/15/2013	Lubbock City-Texas	Tax & Wtrwrks Sys Certs of Oblig	Series 2013	49.44	Co-Mgr
04/15/2013	Lubbock City-Texas	Elc Light Power Sys Ref Imp Bond	Series 2013	16.57	Co-Mgr
04/16/2013	Collier Co-Florida	Special Obligation Ref Rev Bonds	Series 2013	73.81	Sr Mgr
04/16/2013	Oregon Dept of Admin Services	Oregon State Lottery Rev Bonds	2013 Series A	122.50	Sr Mgr
04/16/2013	Oregon Dept of Admin Services	Oregon State Lottery Rev Bonds	2013 Series B	28.14	Sr Mgr
04/16/2013	Oregon Dept of Admin Services	Oregon State Lottery Rev Bonds	2013 Series C	71.08	Sr Mgr
04/17/2013	Virginia Beach City-Virginia	GO Public Improvement & Ref Bonds	Series 2013 A & B	56.68	Sr Mgr
04/17/2013	Lansing Board of Water & Light	Utility System Revenue Ref Bonds	Series 2013 A	21.09	Sr Mgr
04/17/2013	South Carolina St Hsg Fin Dev Au	Homeownership Revenue Bonds	Series 2013-1	50.11	Sr Mgr
04/18/2013	NYC Transitional Finance Auth	Tax Secured Subordinate Bonds	2013 Subser F-2	100.00	Sr Mgr
04/18/2013	West Virginia School Bldg Auth	Lottery Capital Imp Rev Bonds	Series 2013 A	24.43	Sr Mgr
04/18/2013	Michigan	GO School Loan Bonds	Series 2013 A	200.00	Sr Mgr
04/18/2013	Tarrant Co Cult Ed Fac Fin Corp	Hospital Revenue Bonds	Series 2013 C	63.05	Sr Mgr
04/18/2013	Tarrant Co Cult Ed Fac Fin Corp	Hospital Revenue Bonds	Series 2013 A	168.57	Sr Mgr
04/18/2013	Tarrant Co Cult Ed Fac Fin Corp	Hospital Revenue Bonds	Series 2013 B	45.00	Sr Mgr
04/18/2013	NYC Transitional Finance Auth	Futured Tax Secured Sub Bonds	2013 Ser F-1, G & H	901.03	Co-Mgr
04/23/2013	Nevada System of Higher Ed	Universities Revenue Bonds	Series 2013 B	105.30	Sr Mgr
04/23/2013	Florida Hurricane Catastrophe Fin	Revenue Bonds	Series 2013 A	2000.00	Sr Mgr
04/23/2013	Miami-Dade Co Expressway Auth	Toll System Ref Revenue Bonds	Series 2013 A	270.22	Co-Mgr
04/23/2013	Decatur City-Alabama	Water Revenue Warrants	Series 2013	10.41	Co-Mgr
04/23/2013	California	GO Various Purpose Bonds		1135.49	Co-Mgr
04/23/2013	California	GO Various Purpose & Ref Bonds		1494.51	Co-Mgr
04/24/2013	Cayuga Co-New York	General Obligation Serial Bonds	2013 Series A	20.99	Sr Mgr
04/25/2013	Kitsap Co-Washington	Ltd Tax GO Refunding Bonds	Series 2013	48.28	Sr Mgr
04/25/2013	Boggy Creek Improvement Dt	Special Assess Rev & Ref Bonds	Series 2013	56.82	Sr Mgr
04/25/2013	Greeneway Improvement Dt	Special Assessment Rev Bonds	Series 2013	55.75	Sr Mgr
04/25/2013	Erie Co Industrial Dev Agency	School Facility Ref Rev Bonds	Series 2013 A	62.54	Sr Mgr
04/25/2013	Louisiana St Univ & Agri & Mech Coll	Auxilliary Revenue Bonds	Series 2013	101.18	Co-Mgr
04/25/2013	NYS Housing Fin-Mortgage Agcy	Mortgage Revenue Bonds	49th & 50th Series	87.92	Co-Mgr
04/30/2013	Kentucky Housing Corporation	Housing Revenue Bonds	2013 Series A	52.94	Sr Mgr
04/30/2013	Kentucky Housing Corporation	Housing Revenue Bonds	2013 Series B	54.92	Sr Mgr
04/30/2013	Forsyth Co-Georgia	General Obligation Bonds	Series 2013	14.13	Sr Mgr
04/30/2013	San Diego USD	General Obligation Bonds	2013 Series A	52.50	Co-Mgr
04/30/2013	San Diego USD	General Obligation Bonds	2013 Series B	60.50	Co-Mgr
04/30/2013	San Diego USD	General Obligation Bonds	2013 Series A-1	3.00	Co-Mgr
04/30/2013	San Diego USD	General Obligation Bonds	2013 Series C	414.00	Co-Mgr
05/01/2013	Padre Dam Muni Water Dt	Revenue Refunding Bonds	Series 2013 A	7.23	Sr Mgr
05/01/2013	Brevard Co School Board	Ref Certificates of Participation	Series 2013 A	91.32	Sr Mgr
05/01/2013	Brevard Co School Board	Ref Certificates of Participation	Series 2013 B	50.90	Sr Mgr
05/01/2013	Multnomah Co (Portland) PSD #1J	General Obligation Bonds	Series 2013 A	76.27	Sr Mgr
05/01/2013	Cll Texas Reg Mobility Au (CTRMA)	Subordinate Lien Rev Ref Bonds	Series 2013	103.96	Co-Mgr
05/01/2013	Cll Texas Reg Mobility Au (CTRMA)	Senior Lien Revenue Ref Bonds	Series 2013 A	155.81	Co-Mgr
05/01/2013	Bay Area Toll Authority (BATA)	Toll Bridge Revenue Bonds	06 & 07 C1 & 08 A1	285.00	Co-Mgr
05/02/2013	Richmond City-Virginia	Public Utility Rev & Ref Bonds	Series 2013 A & B	236.38	Sr Mgr
05/02/2013	Ohio Public Fac Commission	General Obligation Bonds	Series 2013 A	100.30	Sr Mgr
05/02/2013	Portland City-Oregon	2nd Lien Wtr Sys Rev & Ref Bonds	2013 Series A	253.64	Sr Mgr
05/06/2013	Wake Co-North Carolina	General Obligation School Bonds	Series 2013 A	51.17	Sr Mgr
05/07/2013	Tacoma City-Washington	Water System Rev & Ref Bonds	Series 2013	78.31	Sr Mgr
05/07/2013	Medford Twp-New Jersey	GO Gen & Util Improvement Bonds	Series 2013 A	12.78	Co-Mgr
05/09/2013	Virginia Public School Authority	School Financing Bonds	Series 2013 A	141.84	Sr Mgr
05/09/2013	Arlington Co-Virginia	GO Refunding Bonds	Series 2013 C	81.26	Sr Mgr
05/09/2013	Louisiana Gov Env & Comm Dev	Subordinate Lien Revenue Bonds	Series 2013 A	126.26	Sr Mgr
05/09/2013	Louisiana Gov Env & Comm Dev	Subordinate Lien Revenue Bonds	Series 2013 B	92.50	Sr Mgr
05/09/2013	Charleston Ed Excellence Fin Corp	Installmnt Purch Rev Ref Bonds	Series 2013 B	343.42	Co-Mgr
05/09/2013	Arlington Co-Virginia	GO Pub Imp & Refunding Bonds	Series 2013 A & B	124.30	Co-Mgr
05/14/2013	Yolo Co (Woodland) JUSD	GO Refunding Bonds	2013 Series	10.90	Sr Mgr
05/14/2013	Edmond Public Works Authority	Sales Tax & Utility Sys Rev Bonds	Series 2013	13.71	Sr Mgr
05/14/2013	Alameda Co (Berkeley) USD	General Obligation Bonds	Series C 2013	40.00	Sr Mgr
05/14/2013	Rhode Island Infrastructure Bank	Safe Drink Wtr Revolv Fund Bonds	Series 2013 A	35.78	Co-Mgr
05/15/2013	Iowa Finance Authority	Midwestern Disaster Area Rev Bond	Series 2013	1184.66	Sr Mgr
05/15/2013	Texas A&M University System	Revenue Financing System Bonds	Series 2013 B	265.41	Sr Mgr
05/15/2013	California Educational Facs Auth	Revenue Bonds	Series U-3 & 4	300.63	Co-Mgr
05/16/2013	Forsyth Co Water & Sewerage Auth	Refunding Revenue Bonds	Series 2013	27.44	Sr Mgr
05/16/2013	Grand Rapids City-Michigan	Sanitary Sewer Sys Rev Ref Bonds	Series 2013	37.83	Sr Mgr
05/16/2013	Cherokee Co Water & Sewer Auth	Water & Sewerage Rev Ref Bonds	Series 2013	16.26	Sr Mgr
05/16/2013	Raleigh City-North Carolina	Comb Enterprise Rev & Ref Bonds	Series 2013 A	179.68	Sr Mgr

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05/16/2013	Florida Housing Finance Corp	Homeowner Mortgage Revenue Bonds	2013 Series A	108.08	Co-Mgr
05/16/2013	Hennepin Co (Hopkins) ISD #270	GO Refunding Bonds	Series 2013 B	18.94	Co-Mgr
05/16/2013	Jacksonville Electric Authority	Electric Sys Revenue & Sub Bonds	Ser Three 2013 B & C	96.23	Co-Mgr
05/17/2013	Medford Twp-New Jersey	GO Ref Gen & Utility Imp Bonds	Series 2013 B	8.55	Co-Mgr
05/21/2013	New Jersey Turnpike Authority	Turnpike Revenue Bonds	Ser 2013 C, D& E 1-3	646.00	Sr Mgr
05/21/2013	New Jersey Turnpike Authority	Turnpike Revenue Bonds	Series 2013 F	90.88	Sr Mgr
05/21/2013	Maryland Hlth & Highr Ed Facs Au	Revenue Bonds	Series 2013 B	149.76	Sr Mgr
05/21/2013	Sacramento MUD (SMUD)	Electric Revenue Refunding Bonds	2013 Series B	118.62	Co-Mgr
05/21/2013	Sacramento MUD (SMUD)	Electric Revenue Bonds	2013 Series A	132.02	Co-Mgr
05/23/2013	Louisville-Jefferson Co Metro Swr Dt	Sewer & Drainage Sys Rev Bonds	Series 2013 A	115.79	Sr Mgr
05/23/2013	Houston City-Texas	Comb Utility Sys Rev & Ref Bonds	Series 2013 B	164.40	Co-Mgr
05/23/2013	Westchester Co (Rye) SD	School District Serial Bonds	Series 2013	16.35	Co-Mgr
05/29/2013	Charlottesville City-Virginia	GO Public Improvement Bonds	Series 2013	14.03	Sr Mgr
05/29/2013	Coast Community College Dt	GO & Refunding Bonds	Series 2013 B & B	45.48	Co-Mgr
05/29/2013	Coast Community College Dt	GO & Refunding Bonds	Series 2013 A & A	270.27	Co-Mgr
05/30/2013	Upper Occoquan Sewerage Auth	Reg Sewerage Sys Rev Ref Bonds	Series 2013 A	101.62	Sr Mgr
05/30/2013	Los Angeles (Long Beach) USD	General Obligation Bonds	Series C	50.00	Sr Mgr
05/30/2013	Annapolis City-Maryland	GO Public Improvement Bonds	2013 Series	15.37	Sr Mgr
05/30/2013	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2013 Series A	15.86	Sr Mgr
05/30/2013	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2013 Series B & C	18.40	Sr Mgr
05/30/2013	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Issue 2013-1A	27.92	Co-Mgr
05/30/2013	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Issue 2013-1 B & C	167.99	Co-Mgr
05/30/2013	Illinois Housing Dev Authority	Housing Bonds	2013 Series B	127.61	Co-Mgr
05/30/2013	Ames City-Iowa	GO Corporate Purpose & Ref Bonds	Series 2013	22.54	Co-Mgr
05/30/2013	Florida Housing Finance Corp	Homeowner Mortgage Revenue Bonds	2013 Series B	89.84	Co-Mgr
05/30/2013	Arizona Board of Regents	SPEED Revenue Bonds	Series 2013	70.13	Co-Mgr
05/30/2013	Schaumburg Village-Illinois	GO Refunding Bonds	Series 2013 A	173.86	Co-Mgr
06/01/2013	Collin Co-Texas	Unlimited Tax Road & Ref Bonds	Series 2013 A	40.30	Sr Mgr
06/01/2013	Collin Co-Texas	Unlimited Tax Refunding Bonds	Series 2013 B	15.97	Sr Mgr
06/01/2013	Cameron Co (Brownsville) ISD	Unlimited Tax Refunding Bonds	Series 2013 A	80.41	Sr Mgr
06/01/2013	San Antonio City-Texas	Elec & Gas Sys Jr Lien Rev Bond	Series 2013	375.00	Sr Mgr
06/01/2013	Tulsa Co (Jenks) ISD #5	Combined Purpose Bonds	Series of 2013	23.68	Co-Mgr
06/01/2013	Onondaga Co (Liverpool) CSD	GO School District Serial Bonds	Series 2013	30.86	Co-Mgr
06/01/2013	Collin Co-Texas	Ltd Tax Permanent Imp Bonds	Series 2013 A	2.20	Co-Mgr
06/01/2013	Collin Co-Texas	Limited Tax Refunding Bonds	Series 2013 B	15.72	Co-Mgr
06/01/2013	Guadalupe-Blanco River (GBRA)	Contract Revenue Refunding Bonds	Series 2013 B	6.83	Co-Mgr
06/01/2013	Guadalupe-Blanco River (GBRA)	Contract Revenue Refunding Bonds	Series 2013 A	55.27	Co-Mgr
06/01/2013	El Paso Co (Socorro) ISD	Unltd Tax School Building Bonds	Series 2013	95.29	Co-Mgr
06/03/2013	Arlington Co Industrial Dev Auth	Revenue & Refunding Bonds	Series 2013 B	52.39	Sr Mgr
06/03/2013	Arlington Co Industrial Dev Auth	Revenue & Refunding Bonds	Series 2013 A	23.93	Co-Mgr
06/04/2013	Orange Co Water Dt	Refunding Revenue Bonds	Series 2013 A	53.00	Co-Mgr
06/04/2013	Seattle City-Washington	Ltd Tax GO Improvement Bonds	Series 2013 A	42.32	Sr Mgr
06/04/2013	Story Co (Ames) CSD	GO School Bonds	Series 2013	20.00	Co-Mgr
06/05/2013	So California Pub Pwr Au (SCPPA)	Transmission Proj Rev Ref Bonds	2013 Sub Ser A	65.12	Sr Mgr
06/05/2013	So California Pub Pwr Au (SCPPA)	Transmission Project Rev Bonds	2013 Sub Ser B	16.62	Sr Mgr
06/05/2013	Mesa City-Arizona	Utility Systems Revenue Bonds	Series 2013	47.29	Sr Mgr
06/05/2013	Otay Water Dt	Water Revenue Refunding Bonds	Series 2013	7.74	Sr Mgr
06/05/2013	Calvert Co Commissioners	GO Consol Pub Imp & Ref Bonds	2013 Series	17.33	Sr Mgr
06/05/2013	Tucson City-Arizona	Water System Rev Ref Bonds	Series 2013 B	18.83	Co-Mgr
06/05/2013	Tucson City-Arizona	Water System Rev Ref Bonds	Series 2013 A	34.28	Co-Mgr
06/06/2013	Merced Co-California	Ref Certificates of Participation	Series 2013	10.89	Sr Mgr
06/06/2013	Monroe Co Industrial Dev Agency	School Facility Revenue Bonds	Series 2013	103.06	Sr Mgr
06/11/2013	Missouri Development Fin Board	Leasehold Revenue Ref Bonds	Series 2013 A & B	29.27	Sr Mgr
06/11/2013	Los Angeles Comm College Dt	GO Refunding Bonds	Series 2013	55.70	Sr Mgr
06/11/2013	Nipomo Community Svcs Dt	Water Revenue Refunding Bonds	Series 2013 A	2.85	Sr Mgr
06/11/2013	New York City-New York	General Obligation Bonds	2013 Series I & J	946.76	Co-Mgr
06/11/2013	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2013 C	500.00	Co-Mgr
06/13/2013	Forsyth Co SD	GO Refunding Bonds	Series 2013	134.72	Sr Mgr
06/13/2013	Growth Rem Opp W/O Tx Hike (GROWTH)	Installment Purch Rev Ref Bonds	Series 2013 A	10.88	Sr Mgr
06/13/2013	Growth Rem Opp W/O Tx Hike (GROWTH)	Installment Purch Rev Ref Bonds	Series 2013 B	85.33	Sr Mgr
06/13/2013	Tacoma City-Washington	Electric System Revenue Ref Bonds	Series 2013 B	35.62	Sr Mgr
06/13/2013	Tacoma City-Washington	Electric System Rev & Ref Bonds	Series 2013 A	181.61	Sr Mgr
06/13/2013	Massachusetts Housing Fin Agcy	Housing Bonds	2013 Series B	116.82	Co-Mgr
06/15/2013	Bexar Co (North East) ISD	Unltd Tax School Building Bonds	Series 2013 A	75.00	Sr Mgr
06/17/2013	Kentucky St Property & Bldg Comm	Revenue & Revenue Refunding Bonds	Series A & B	147.12	Sr Mgr
06/18/2013	Suffolk Co-New York	Public Improvement Serial Bonds	2013 Series A	62.32	Sr Mgr
06/18/2013	Wisconsin Health and Ed Fac Auth	Revenue Bonds	Series 2013 A	100.00	Co-Mgr
06/18/2013	Wisconsin Health and Ed Fac Auth	Revenue Bonds	Series 2013 B-2	80.47	Co-Mgr
06/18/2013	Wisconsin Health and Ed Fac Auth	Revenue Bonds	Series 2013 B-5	100.00	Co-Mgr
06/19/2013	East Valley Water Fin Authority	Revenue Bonds	Series 2013 A	12.09	Sr Mgr
06/19/2013	Erie Co (Springville-Griffith) ICSD	School District Bonds	Series 2013	10.97	Co-Mgr
06/19/2013	Jacksonville Electric Authority	District Energy Sys Ref Rev Bonds	2013 Series A	43.33	Co-Mgr
06/20/2013	Colquitt Co SD	GO Sales Tax Bonds	Series 2013	23.47	Sr Mgr
06/20/2013	Redwood City Public Fin Auth	Water Revenue Refunding Bonds	Series 2013	26.97	Sr Mgr
06/20/2013	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2013 Series I	800.00	Co-Mgr
06/21/2013	Nipomo Community Svcs Dt	Revenue Certs of Participation	Series 2013	9.66	Sr Mgr
06/25/2013	Ramsey Co (St Paul) ISD #625	GO School Building Bonds	Series 2013 A	24.49	Sr Mgr
06/25/2013	San Luis & Delta-Mendota Wtr Au	Refunding Revenue Bonds	Series 2013 A	37.55	Sr Mgr
06/25/2013	Loudoun Co-Virginia	GO Refunding Bonds	Series 2013 B	67.99	Co-Mgr
06/25/2013	Loudoun Co-Virginia	GO Pub Improvement & Ref Bonds	Series 2013 A	99.73	Co-Mgr
06/25/2013	Broome Co (Union-Endicott) CSD	School District Serial Bonds	Series 2013	12.22	Co-Mgr
06/26/2013	Sonoma Co (Santa Rosa) HSD	GO Refunding Bonds	2013 Series	51.51	Sr Mgr
06/26/2013	California Statewide CDA (CSCDA)	Multi-Family Housing Rev Bonds	2013 Series F	22.00	Sr Mgr

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06/27/2013	Portsmouth City-Virginia	GO Pub Improvement & Ref Bonds	Series 2013 A	57.60	Sr Mgr
06/27/2013	Portsmouth City-Virginia	GO & Refunding Bonds	Series 2013 B	209.48	Sr Mgr
06/27/2013	Delaware Co Reg Wtr City Ctl Au	Sewer Revenue Bonds	2013 Series	34.47	Sr Mgr
06/27/2013	Madison Area Tech College Dt	GO Promissory Notes	Series 2012-13 C	5.75	Sr Mgr
06/27/2013	Ohio Housing Finance Agency (OHFA)	Residential Mortgage Rev Bonds	2013 Series A	22.00	Sr Mgr
06/27/2013	Riverside Regional Jail Authority	Jail Facility Refunding Bonds	Series 2013	44.03	Sr Mgr
06/27/2013	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	2013 Series EE	344.34	Sr Mgr
06/27/2013	Maricopa City-Arizona	General Obligation Bonds	Series B 2013	31.61	Sr Mgr
06/27/2013	NYS Housing Fin-Mortgage Agcy	Affordable Housing Rev Bonds	2013 Series A	41.27	Co-Mgr
07/01/2013	Camden Co Improvement Auth	Lease Revenue Refunding Bonds	Series 2013 B	29.69	Sr Mgr
07/01/2013	Camden Co Improvement Auth	Lease Revenue Ref Bonds	Series 2013 A	26.88	Sr Mgr
07/01/2013	Clark Co-Nevada	Airport Sys Junior Sub Rev Notes	Series 2013 C-2	118.31	Co-Mgr
07/01/2013	Clark Co-Nevada	Airport Sys Jr Sub Lien Rev Notes	Series 2013 C-1	174.29	Co-Mgr
07/01/2013	Posey Co-Indiana	Economic Dev Rev Ref Bonds	Series 2013 A	1259.00	Co-Mgr
07/02/2013	Pasco Co School Board	Certificates of Participation	Series 2013 A	45.39	Sr Mgr
07/02/2013	Louisville-Jefferson Co Metro Gov	GO Refunding Bonds	Series 2013 D	8.67	Co-Mgr
07/02/2013	Massachusetts	GO Consolidated Loan & Ref Bonds	2013 Ser B C & D	669.05	Co-Mgr
07/02/2013	Massachusetts School Bldg Auth	Senior Dedicated Sales Tax Bonds	2013 Series A	549.00	Co-Mgr
07/03/2013	St Lucie Co-Florida	Sales Tax Refunding Rev Bonds	Series 2013 A & B	56.69	Co-Mgr
07/10/2013	Kentucky Housing Corporation	Housing Revenue Bonds	2013 Series C	36.47	Sr Mgr
07/10/2013	Kentucky Housing Corporation	Housing Revenue Bonds	2013 Series F	2.20	Sr Mgr
07/10/2013	Kentucky Housing Corporation	Housing Revenue Bonds	Series 2013 D, E & G	61.30	Sr Mgr
07/10/2013	Lewis Co Public Utility Dt #1	Revenue Refunding Bonds	Series 2013	88.00	Sr Mgr
07/10/2013	San Diego Co (Chula Vista) ESD	GO Refunding Bonds	2013 A Series	30.76	Sr Mgr
07/10/2013	LA Tobacco Settlement Fin Corp	Tobacco Asset-Backed Ref Bonds	Series 2013 A	669.75	Sr Mgr
07/10/2013	New Jersey Educational Facs Au	Revenue Bonds	2013 Series D	41.91	Sr Mgr
07/11/2013	NYS Environmental Facs Corp	Clean Wtr Drink Revolv Rev Bonds	Ser 2013 A Sub SRF	401.09	Sr Mgr
07/11/2013	Metro Washington Airports Auth	Airport System Revenue Ref Bonds	Series 2013 C	11.01	Co-Mgr
07/11/2013	Metro Washington Airports Auth	Airport System Revenue Ref Bonds	Series 2013 B	27.41	Co-Mgr
07/11/2013	Metro Washington Airports Auth	Airport System Rev & Ref Bonds	Series 2013 A	207.21	Co-Mgr
07/11/2013	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2013 D	333.79	Co-Mgr
07/15/2013	Bexar Co-Texas	Comb Tax & Rev Certs of Oblig	Series 2013 B	331.73	Co-Mgr
07/15/2013	Mitchell Co (Colorado) ISD	School Building Bonds	Series 2013	29.84	Co-Mgr
07/15/2013	Schertz-Cibolo-Universal City ISD	Unltd Tax School Bldg & Ref Bonds	Series 2013	42.40	Co-Mgr
07/16/2013	Soquel Creek Water Dt	Certificates of Participation	2013 Series	17.52	Sr Mgr
07/17/2013	Denver City and Co-Colorado	Airport System Sub Rev Bonds	Series 2013 A	326.26	Sr Mgr
07/17/2013	Denver City and Co-Colorado	Airport System Sub Revenue Bonds	Series 2013 B	393.66	Sr Mgr
07/17/2013	Maine State Housing Authority	Mortgage Purchase Bonds	2013 Series D-1	15.00	Co-Mgr
07/17/2013	Maine State Housing Authority	Mortgage Purchase Bonds	2013 Series D-2	25.00	Co-Mgr
07/18/2013	Georgia	General Obligation Bonds	Series 2013 F	94.35	Sr Mgr
07/18/2013	Georgia	General Obligation Bonds	Series 2013 E	163.22	Sr Mgr
07/18/2013	Michigan State Building Auth	Revenue & Revenue Refunding Bonds	2013 Series I-A	531.29	Sr Mgr
07/18/2013	Michigan State Building Auth	Revenue Refunding Bonds	2013 Series I-B	51.71	Sr Mgr
07/18/2013	Middlesex Co (Ayer Shirley) RSD	GO State Qualified School Bonds	Series of 2013	19.33	Co-Mgr
07/18/2013	Cape May City-New Jersey	General Obligation Bonds	Series 2013	13.04	Co-Mgr
07/18/2013	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 180	33.41	Co-Mgr
07/18/2013	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Revenue Bonds	Series 178 & 179	92.46	Co-Mgr
07/23/2013	Pennsylvania Turnpike Commission	Turnpike Revenue Bonds	Series B of 2013	265.16	Co-Mgr
07/25/2013	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2013 A	615.66	Sr Mgr
07/30/2013	Vermont Municipal Bond Bank	Bond Bank Bonds	2013 Series 1	54.90	Sr Mgr
07/30/2013	San Diego Community College Dt	General Obligation Bonds	Series 2013	60.64	Sr Mgr
07/30/2013	San Diego Community College Dt	General Obligation Bonds	Series 2013	82.00	Sr Mgr
07/30/2013	San Diego Community College Dt	General Obligation Bonds	Series 2013	234.06	Sr Mgr
07/30/2013	Philadelphia City-Pennsylvania	General Obligation Bonds	Series 2013 A	208.36	Sr Mgr
07/31/2013	East Bay Regional Park Dt	General Obligation Bonds	Series 2013 A	80.00	Sr Mgr
07/31/2013	Orange Co (Capistrano) USD	Special Tax Refunding Bonds	Series 2013	14.43	Sr Mgr
07/31/2013	Capistrano USD CFD #90-2	Special Tax Refunding Bonds	Series 2013	43.11	Sr Mgr
07/31/2013	San Francisco City & Co Airport Comm	Second Series Revenue Bonds	Series 2013 A	360.79	Sr Mgr
07/31/2013	San Francisco City & Co Airport Comm	Second Series Revenue Bonds	Series 2013 C	12.48	Sr Mgr
07/31/2013	San Francisco City & Co Airport Comm	Second Series Revenue Bonds	Series 2013 B	87.86	Sr Mgr
07/31/2013	Lee Co-Florida	Wtr & Swr Rev & Rev Ref Bonds	Series 2013 A & B	93.20	Sr Mgr
07/31/2013	Hamilton Co-Ohio	Sewer Sys Revenue Refunding Bonds	2013 Series B	79.94	Sr Mgr
07/31/2013	Hamilton Co-Ohio	Sewer Sys Imp & Ref Rev Bonds	2013 Series A	178.76	Sr Mgr
08/01/2013	Alameda Co (San Leandro) USD	General Obligation Bonds	Series B	20.10	Sr Mgr
08/01/2013	Massachusetts Housing Fin Agcy	Housing Bonds	2013 Series C & D	38.16	Sr Mgr
08/01/2013	Dallas & Fort Worth Cities-Texas	Joint Revenue Refunding Bonds	Series 2013 E	225.31	Sr Mgr
08/01/2013	Clinton Town-New Jersey	General Obligation Bonds		11.87	Co-Mgr
08/01/2013	NYS Environmental Facs Corp	State Revolving Funds Rev Bonds	Series 2013 B	186.59	Co-Mgr
08/01/2013	Grand Parkway Transport Corp	Sub Tier Toll Rev Convert CABs	Series 2013 B	277.00	Co-Mgr
08/01/2013	Grand Parkway Transport Corp	Subordinated Tier Toll Rev Bonds	Series 2013 E	381.81	Co-Mgr
08/01/2013	Grand Parkway Transport Corp	First & Sub Tier Toll Rev Bonds	Series 2013 A & B	1337.94	Co-Mgr
08/01/2013	Grand Parkway Transport Corp	Sub Tier Toll Rev Tender Bonds	Series 2013 D	106.89	Co-Mgr
08/01/2013	Grand Parkway Transport Corp	Sub Tier Toll Rev Tender Bonds	Series 2013 C	836.44	Co-Mgr
08/06/2013	Bay Area Toll Authority (BATA)	SF Bay Area Toll Bridge Rev Bonds	2013 Series S-4	900.00	Sr Mgr
08/06/2013	Frederick Co-Maryland	Spcl Tax & Increment Oblig Bonds	Series 2013 A & B	40.00	Sr Mgr
08/06/2013	Gallup-McKinley Co SD #1	GO School Building & Ref Bonds	Series 2013	13.03	Co-Mgr
08/06/2013	Dallas City-Texas	GO Refunding Bonds	Series 2013 B	42.62	Co-Mgr
08/06/2013	Dallas City-Texas	GO Refunding & Improvement Bonds	Series 2013 A	194.47	Co-Mgr
08/07/2013	Oregon	Full Faith & Credit TANs	2013 Series A	642.43	Co-Mgr
08/08/2013	Kentucky Asset/Liability Comm	Federal Highway Trust Fund Bonds	2013 First Series A	212.55	Sr Mgr
08/08/2013	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2013	11.50	Sr Mgr
08/08/2013	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2013	7.20	Sr Mgr
08/08/2013	Jacksonville Electric Authority	Water & Sewer System Rev Bonds	2013 Series B	29.71	Co-Mgr

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08/08/2013	Jacksonville Electric Authority	Water & Sewer Sys & Sub Rev Bonds	2013 Series A	173.93	Co-Mgr
08/13/2013	NYS Energy Research & Dev Auth	Residential Energy Eff Fing Bonds	Series 2013 A	24.30	Sr Mgr
08/13/2013	Metropolitan Transport Auth (MTA)	Dedicated Tax Fund Ref Bonds	Subser 2008 B-1 & 4	197.42	Sr Mgr
08/14/2013	Modesto Irrigation Dt Fin Au	Refunding Revenue Bonds	Series 2013 G	43.27	Sr Mgr
08/15/2013	Ohio Turnpike & Infrastructure Comm	Turnpike Jr Lien Revenue Bonds	2013 Series A-2 & 3	140.54	Sr Mgr
08/15/2013	Ohio Turnpike & Infrastructure Comm	Turnpike Jr Lien Revenue Bonds	2013 Series A-4	145.00	Sr Mgr
08/15/2013	Ohio Turnpike & Infrastructure Comm	Turnpike Sr & Jr Lien Rev Bonds	2013 Ser A & A-1	782.77	Sr Mgr
08/15/2013	Minnesota	GO State Trunk Highway Bonds	Series 2013 B	200.00	Sr Mgr
08/15/2013	Minnesota	GO State Various Purpose Bonds	Series 2013 A	273.35	Sr Mgr
08/15/2013	West Stanislaus Irrigation Di	Rev Certificates of Participation	Series 2013 A	12.76	Sr Mgr
08/15/2013	Fort Worth City-Texas	Comb Tax Rev Certs of Obligation	Series 2013 B	11.14	Sr Mgr
08/15/2013	Fort Worth City-Texas	General Purpose Ref & Imp Bonds	Series 2013	37.13	Sr Mgr
08/15/2013	Fort Worth City-Texas	Comb Tax & Rev Cert of Obligation	Series 2013 A	46.10	Sr Mgr
08/15/2013	Williamson Co (Leander) ISD	Unlimited Tax Refunding Bonds	Series 2013 A & B	131.67	Sr Mgr
08/15/2013	Williamson Co (Leander) ISD	Unlimited Tax Refunding CABs	Series 2013 A & B	72.66	Sr Mgr
08/15/2013	Fort Lee Boro-New Jersey	General Improvement Bonds	Series 2013	10.00	Co-Mgr
08/15/2013	New York City-New York	General Obligation Bonds	Subseries 1	134.84	Co-Mgr
08/15/2013	New York City-New York	General Obligation Bonds	Series A Subser A-1	375.00	Co-Mgr
08/15/2013	New York City-New York	General Obligation Bonds	Series B & C	372.52	Co-Mgr
08/15/2013	Texas A&M University System	Revenue Financing System Bonds	Series 2013 D	94.37	Co-Mgr
08/15/2013	Texas A&M University System	Revenue Financing System Bonds	Series 2013 C	239.97	Co-Mgr
08/20/2013	Pennsylvania Turnpike Commission	Turnpike Revenue Bonds	Series C of 2013	222.94	Sr Mgr
08/20/2013	Sacramento MUD (SMUD)	Electric Revenue Refunding Bonds	2013 Series C	57.78	Co-Mgr
08/20/2013	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2013 Series B	36.09	Co-Mgr
08/21/2013	Tampa City-Florida	Solid Waste System Ref Rev Bonds	Series 2013	25.16	Sr Mgr
08/21/2013	Regents of the Univ of California	Medical Center Pooled Rev Bonds	2013 Series J	618.63	Sr Mgr
08/21/2013	Regents of the Univ of California	Medical Center Pooled Rev Bonds	2013 Series K	31.30	Sr Mgr
08/21/2013	SC Pub Svc Au (Santee Cooper)	Revenue Obligations	Series 2013 C	250.00	Co-Mgr
08/21/2013	SC Pub Svc Au (Santee Cooper)	Revenue Obligations	Ser 2013 A & Ref B	641.39	Co-Mgr
08/21/2013	Puerto Rico Electric Power Authority	Power Revenue Bonds	Series 2013 A	673.15	Co-Mgr
08/21/2013	SC Pub Svc Au (Santee Cooper)	Revenue Obligations	Series 2013 D	450.00	Co-Mgr
08/22/2013	Washington	Certificates of Participation	Series 2013 D	34.95	Sr Mgr
08/22/2013	Iowa Finance Authority	Multi-Family Housing Rev Bonds	Series 2013 A	8.33	Sr Mgr
08/22/2013	California	RANs	2013-14 Ser A1 & A2	5500.00	Co-Mgr
08/29/2013	Bristol City-Tennessee	GO Refunding Bonds	Series 2013 B	8.59	Sr Mgr
08/29/2013	Grant Co Public Utility Dt #2	Revenue Bonds	2013 Series Z	30.38	Co-Mgr
08/29/2013	Grant Co Public Utility Dt #2	Revenue Bonds	2013 Series A	69.69	Co-Mgr
08/29/2013	Connecticut	General Obligation Bonds	2013 Series E-5	33.14	Co-Mgr
08/29/2013	Connecticut	General Obligation Bonds	2013 Series E-3	33.53	Co-Mgr
08/29/2013	Connecticut	General Obligation Bonds	2013 Series E-2	56.54	Co-Mgr
08/29/2013	Connecticut	General Obligation Bonds	2013 Series E-1	77.50	Co-Mgr
08/29/2013	Connecticut	General Obligation Bonds	2013 Series E-4	84.30	Co-Mgr
08/29/2013	Connecticut	General Obligation Bonds	2013 Series D-1	6.74	Co-Mgr
08/29/2013	Connecticut	General Obligation Bonds	2013 Series D-4	29.62	Co-Mgr
08/29/2013	Connecticut	General Obligation Bonds	2013 Series D-2	33.00	Co-Mgr
08/29/2013	Connecticut	General Obligation Bonds	2013 Series D-3	45.65	Co-Mgr
09/01/2013	Austin City-Texas	Public Improvement Bonds	Series 2013	104.67	Sr Mgr
09/01/2013	Fort Worth City-Texas	Comb Tax & Rev Certs of Oblig	Series 2013 C	19.27	Sr Mgr
09/01/2013	Tarrant Co-Texas	Ltd Tax Refunding & Imp Bonds	Series 2013	72.26	Co-Mgr
09/05/2013	Burlington Co-New Jersey	General Obligation Bonds	Series 2013 B	40.20	Co-Mgr
09/06/2013	Trumbull Town-Connecticut	General Obligation Bonds	Issue of 2013	11.61	Co-Mgr
09/10/2013	California	Var Purpose GO & Refunding Bonds		248.91	Sr Mgr
09/10/2013	NYC Housing Dev Corp	Capital Fund Grant Prog Rev Bonds	Series 2013 A & B-1	533.92	Sr Mgr
09/10/2013	Jacksonville Electric Authority	Electric Sys & Sub Revenue Bonds	Ser Three 2013 C & D	197.17	Co-Mgr
09/10/2013	Clarkstown Town-New York	Public Improvement Bonds	Series 2013	17.12	Co-Mgr
09/12/2013	AB Won Pat Intl Airport Auth	General Revenue Bonds	2013 Series A & B	46.30	Sr Mgr
09/12/2013	AB Won Pat Intl Airport Auth	General Revenue Bonds	2013 Series C	199.04	Sr Mgr
09/12/2013	New Jersey Economic Dev Auth	Rutgers Univ GO Lease Rev Bonds	Series 2013	237.06	Sr Mgr
09/12/2013	Suffolk Co (Commack) UFSD	School District Serial Bonds	Series 2013	10.05	Co-Mgr
09/17/2013	Weber Co-Utah	Special Assessment Bonds	Series 2013	17.67	Sr Mgr
09/18/2013	Orange Co School Board	Certificates of Participation	Series 2013 A	19.29	Co-Mgr
09/18/2013	Grant Co Public Utility Dt #2	Electric System Rev Ref Bonds	Series 2013 J	67.63	Co-Mgr
09/25/2013	Oklahoma City Airport Trustees	Junior Lien Bonds	Thirty First Series	39.62	Sr Mgr
09/26/2013	Maine State Housing Authority	Mortgage Purchase Bonds	2013 Series E	30.00	Sr Mgr
09/26/2013	Ohio Public Fac Commission	General Obligation Bonds	Series 2013 B	116.73	Sr Mgr
09/26/2013	Louisville-Jefferson Co Metro Gov	Health System Revenue Bonds	Series 2013 A	154.58	Sr Mgr
09/26/2013	Louisville-Jefferson Co Metro Gov	Health System Var Rate Rev Bonds	Series 2013 C	50.00	Sr Mgr
09/30/2013	Washington	Fed Highway Grant Antic Rev Bonds	Series 2014 C	285.92	Sr Mgr
10/01/2013	Illinois Housing Dev Authority	Housing Revenue Bonds	Series 2013 C	16.93	Sr Mgr
10/01/2013	Marco Island City-Florida	Utility System Ref Revenue Bonds	Series 2013	62.00	Co-Mgr
10/01/2013	Alameda Co Jt Powers Auth	Lease Revenue Bonds	2013 Series A	287.38	Co-Mgr
10/01/2013	Trinity River Authority	Reg Wstwtr Sys Rev & Ref Bonds	Series 2013	49.41	Co-Mgr
10/01/2013	Laredo City-Texas	Waterworks & Sewer Sys Rev Bonds	New Series 2013	18.26	Co-Mgr
10/01/2013	Laredo City-Texas	Tax Rev Certs & Pub Prop Oblig	Series 2013	20.09	Co-Mgr
10/02/2013	Colorado Health Facilities Auth	Health Facilities Revenue Bonds	Series 2013	63.68	Sr Mgr
10/02/2013	Rabun Co-Georgia	GO Sales Tax Bonds	Series 2013	8.63	Sr Mgr
10/02/2013	Kentucky Turnpike Authority	Economic Dev Road Rev Bonds	2013 Series A	187.63	Co-Mgr
10/02/2013	Regents of the Univ of California	General Revenue Bonds	2013 Series AI	546.24	Co-Mgr
10/02/2013	Regents of the Univ of California	General Revenue Bonds	2013 Series AK	600.00	Co-Mgr
10/02/2013	Regents of the Univ of California	General Revenue Bonds	2013 Series AJ	712.32	Co-Mgr
10/04/2013	California Municipal Fin Auth	Multi-Family Housing Rev Bonds	2013 Series A	40.00	Sr Mgr
10/10/2013	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2013 Series A-1	55.86	Co-Mgr
10/15/2013	Western Mich Univ Bd of Trustees	General Revenue & Refunding Bonds	Series 2013	93.35	Sr Mgr

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10/15/2013	Rancho Mirage Redevelopment Agcy	Tax Allocation Hsg Ref Bonds	Series 2013 A	23.33	Sr Mgr
10/15/2013	Garfield City-New Jersey	Gen Imp & Water Utility Bonds		10.29	Co-Mgr
10/15/2013	Lower Colorado River Authority (LCRA)	Refunding Revenue Bonds	Series 2013	187.99	Co-Mgr
10/16/2013	Alameda City-California	Certificates of Participation		9.61	Sr Mgr
10/16/2013	Rancho Mirage Redevelopment Agcy	Tax Allocation Refunding Bonds	Series 2013 A	16.57	Sr Mgr
10/16/2013	Rancho Mirage Redevelopment Agcy	Tax Allocation Refunding Bonds	Series 2013 A	10.47	Sr Mgr
10/16/2013	Tarrytown Village-New York	Public Improvement Bonds	Series 2013	27.02	Sr Mgr
10/16/2013	Oregon Dept of Transportation	Highway User Tax Sr Ln Rev Bonds	Series 2013 A	409.78	Sr Mgr
10/16/2013	New York City-New York	General Obligation Bonds	2003 Ser C, Sub C-A	175.68	Co-Mgr
10/16/2013	New York City-New York	General Obligation Bonds	2014 Ser D-1, E & F	716.92	Co-Mgr
10/17/2013	Washington Tobacco Settlement Auth	Tobacco Settlement Rev Ref Bonds	Series 2013	334.70	Co-Mgr
10/17/2013	Chicago City-Illinois	Gen Airport Sr Lien Rev Ref Bonds	Series 2013 A & C	434.73	Co-Mgr
10/17/2013	Chicago City-Illinois	Gen Airport Sr Lien Rev Ref Bonds	Series 2013 B & D	463.18	Co-Mgr
10/23/2013	Tulsa Stadium Trust	Revenue Refunding Bonds	Series 2013	19.36	Sr Mgr
10/23/2013	Tulsa Stadium Trust	Revenue Refunding Bonds	Series 2013	3.95	Sr Mgr
10/23/2013	Battery Park City Authority	Senior Revenue Bonds	Series 2013 B	6.70	Sr Mgr
10/23/2013	Battery Park City Authority	Senior Revenue Bonds	Series 2013 A	356.09	Sr Mgr
10/23/2013	South Brunswick Twp-New Jersey	Imp & Wtr Util & Open Space Bond	Series 2013	12.76	Co-Mgr
10/24/2013	Redlands Financing Authority	Solid Waste Revenue Bonds	Series 2013 A	13.50	Sr Mgr
10/24/2013	Connecticut	General Obligation Bonds	2013 Series A	560.43	Co-Mgr
10/24/2013	NYS Dorm Authority	State Sales Tax Revenue Bonds	Series 2013 A	959.80	Co-Mgr
10/29/2013	King Co-Washington	Sewer Revenue & Refunding Bonds	2013 Series B	74.93	Sr Mgr
10/29/2013	Sevier Co SD BOE	GO School Building Bonds	Series 2013	36.00	Sr Mgr
10/30/2013	New Jersey Hsg & Mtg Fin Agency	Multi-Family Conduit Rev Bonds	Series 2013 L	9.20	Sr Mgr
10/30/2013	NYS Housing Fin-Mortgage Agcy	Gotham West Housing Rev Bonds	2013 Series A	40.00	Sr Mgr
10/30/2013	New Berlin City-Wisconsin	GO Promissory Notes	Series 2013 B	6.64	Co-Mgr
10/30/2013	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	Series 2013 B- 3 & 4	18.84	Co-Mgr
10/30/2013	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	Series 2013 B- 1 & 2	156.55	Co-Mgr
10/30/2013	Hillsborough Co Aviation Auth	Subordinated Revenue Ref Bonds	2013 Series A	168.87	Co-Mgr
10/31/2013	Rhode Island & Providence Plan	GO Conso Cap Dev Loan Bonds	2013 Series A	40.65	Sr Mgr
10/31/2013	Rhode Island & Providence Plan	GO Capital Development Loan Bonds	2013 Series B	12.50	Sr Mgr
10/31/2013	Somerset Co-New Jersey	General Obligation Bonds	Series 2013 A,B & C	18.00	Co-Mgr
10/31/2013	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 167	50.00	Co-Mgr
10/31/2013	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2013 Series C	14.69	Co-Mgr
11/05/2013	California	Various Purpose GO Bonds		186.02	Sr Mgr
11/05/2013	California	Various Purp & Sch Facs GO Bonds		1647.49	Sr Mgr
11/05/2013	California	General Obligation Bonds	Series 2013 A & B	450.00	Sr Mgr
11/06/2013	Maine State Housing Authority	Mortgage Purchase Bonds	2013 Series F	39.59	Sr Mgr
11/07/2013	Wisconsin	GO Refunding Bonds	2013 Series 1	405.47	Sr Mgr
11/07/2013	Middlesex Co-New Jersey	GO Refunding Bonds	Series 2013	3.36	Sr Mgr
11/07/2013	Middlesex Co-New Jersey	Imp Voc Tech Sch&Coll Ref Bonds	Series 2013	27.88	Sr Mgr
11/07/2013	Norfolk City-Virginia	GO Qualified Energy Cons Bonds	Series 2013 C	2.47	Co-Mgr
11/07/2013	Norfolk City-Virginia	GO Cap Improvement & Ref Bonds	Series 2013 B	81.72	Co-Mgr
11/07/2013	Norfolk City-Virginia	GO Cap Improvement & Ref Bonds	Series 2013 A	130.99	Co-Mgr
11/07/2013	Nevada	Special Revenue Bonds	Series 2013	548.90	Co-Mgr
11/07/2013	Bloomfield Charter Twp-Michigan	GO Ltd Tax Pension Oblig Bonds	Series 2013	80.78	Co-Mgr
11/13/2013	Oregon	General Obligation Bonds	2013 Series M	4.03	Sr Mgr
11/13/2013	Oregon	General Obligation Bonds	2013 Series L	70.99	Sr Mgr
11/13/2013	Massachusetts	Federal Highway GANs	2013 Series A	252.55	Sr Mgr
11/13/2013	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2014 Ser A Sub A-1	650.00	Co-Mgr
11/14/2013	Suffolk Judicial Facilities Agcy	Lease Revenue Bonds	Series 2013	69.08	Sr Mgr
11/14/2013	Oyster Bay Town-New York	GO Pub Improvement Serial Bonds	2013 Series B	107.10	Sr Mgr
11/14/2013	Louisiana Transportation Auth	Refunding Bonds	Series 2013 A	51.53	Sr Mgr
11/14/2013	California Infrstr & Eco Dev Bank	Refunding Revenue Bonds	Series 2013	191.82	Co-Mgr
11/14/2013	Vermont	General Obligation Bonds	2013 Series A	25.00	Co-Mgr
11/15/2013	Morris Co-New Jersey	GO Gen Imp & College & Park Bonds	2013 Series B	18.91	Sr Mgr
11/15/2013	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2013 E	500.00	Co-Mgr
11/15/2013	Greenburgh Town-New York	Public Improvement Bonds	2013 Series A	11.88	Co-Mgr
11/19/2013	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Issue 2013-2A	31.30	Sr Mgr
11/19/2013	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Issue 2013-2B	90.00	Sr Mgr
11/19/2013	Oneida Co (Camden) CSD	School District Serial Bonds	Series 2013	21.60	Co-Mgr
11/20/2013	San Diego Unified Port Dt	Refunding Revenue Bonds	2013 Series A	25.48	Sr Mgr
11/21/2013	Indiana Municipal Power Agency	Power Supply System Rev Bonds	2013 Series A	108.40	Sr Mgr
11/21/2013	Oregon	General Obligation Bonds	2013 Series O	8.17	Sr Mgr
11/21/2013	Oregon	General Obligation Bonds	2013 Series N	106.94	Sr Mgr
11/21/2013	Northampton Co Indust Dev Auth	Tax Increment Fin Rev Bonds	Series of 2013	24.04	Sr Mgr
11/21/2013	Connecticut	Special Tax Obligation Bonds	2013 Series A	600.00	Co-Mgr
11/21/2013	Hawaii	General Obligation Bonds	2013 Series EM	25.00	Co-Mgr
11/21/2013	Hawaii	General Obligation Bonds	2013 Series EN	29.80	Co-Mgr
11/21/2013	Hawaii	GO Refunding Bonds	2013 Series EI	34.52	Co-Mgr
11/21/2013	Hawaii	GO & Refunding Bonds	Series 2013 EH-EL	771.55	Co-Mgr
11/21/2013	Tennessee State School Bond Au	Higher Ed Facs Second Prog Bonds	2013 Series A	149.13	Co-Mgr
11/21/2013	Virginia Transportation Board	Federal Transportation Rev GANs	Series 2013 A	273.39	Co-Mgr
11/21/2013	Massachusetts Housing Fin Agcy	Housing Bonds	2013 Series E	29.52	Co-Mgr
11/21/2013	NYC Municipal Water Fin Auth	Wtr & Swr Sys 2nd Gen Res Bonds	2014 Series BB	397.09	Co-Mgr
11/23/2013	Massachusetts	Commonwealth Transport Fund Bonds	2013 Series A	423.72	Co-Mgr
11/25/2013	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2013 Series E	22.34	Sr Mgr
11/25/2013	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2013 Series F	27.02	Sr Mgr
11/25/2013	California Statewide CDA (CSCDA)	Multi-Family Housing Rev Bonds	2013 Series T	11.20	Sr Mgr
11/26/2013	Cobb Co Development Auth	Student Housing Ref Revenue Bonds	2013 Sr A & Sub B	36.20	Sr Mgr
11/26/2013	Montgomery Co-Maryland	GO Consolidated Public Imp Bonds	2013 Series A	295.00	Sr Mgr
11/26/2013	Montgomery Co-Maryland	GO Consolidated Pub Imp Ref Bonds	2013 Series B	24.93	Sr Mgr
11/26/2013	Carroll Co County Commissioners	Public Improvement Bonds	Series of 2013	26.00	Sr Mgr

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11/26/2013	Missouri Env Imp & Energy Res Au	Water PC & Drinking Wtr Ref Bonds	Series 2013 A	101.54	Co-Mgr
11/27/2013	Port Authority of NY & NJ	Consolidated Bonds	180th Series	109.15	Co-Mgr
11/27/2013	Port Authority of NY & NJ	Consolidated Bonds	178th Series	475.68	Co-Mgr
11/27/2013	Port Authority of NY & NJ	Consolidated Bonds	179th Series	915.18	Co-Mgr
12/01/2013	Potter Co (Amarillo) ISD	Unlimited Tax Refunding Bonds	Series 2014	30.16	Sr Mgr
12/01/2013	Cinco Southwest MUD #1	Contract Revenue Bonds	Series 2013	26.66	Co-Mgr
12/01/2013	Dallas ISD	Ltd Tax Qualified Sch Cons Notes	Series 2013	143.34	Co-Mgr
12/03/2013	King Co (Bellevue) SD #405	Unlimited Tax GO Bonds	Series 2013	100.00	Sr Mgr
12/03/2013	Chester Co-Pennsylvania	General Obligation Bonds	Series A of 2013	7.17	Sr Mgr
12/03/2013	Chester Co-Pennsylvania	General Obligation Bonds	Series B of 2013	27.23	Sr Mgr
12/03/2013	Oklahoma Cap Imp Authority	State Facilities Ref Rev Bonds	Series 2013 C	10.37	Sr Mgr
12/03/2013	Jefferson Co-Alabama	Sr & Sub Lien Sewer Rev Warrants	Series 2013- B & E	105.27	Sr Mgr
12/03/2013	Jefferson Co-Alabama	Sr & Sub Lien Sewer Rev Warrants	Series 2013- C & F	474.30	Sr Mgr
12/03/2013	Jefferson Co-Alabama	Sr & Sub Lien Sewer Rev Warrants	Series 2013- A & D	1205.92	Sr Mgr
12/03/2013	Mississippi	General Obligation Bonds	Series 2013 A	179.94	Sr Mgr
12/04/2013	Rhode Island Commerce Corp	Airport Revenue Refunding Bonds	2013 Series C	2.06	Sr Mgr
12/04/2013	Rhode Island Commerce Corp	Airport Revenue Refunding Bonds	2013 Series B	30.70	Sr Mgr
12/04/2013	Montgomery Co-Maryland	Limited Obligation Certificates	Series 2013	38.02	Sr Mgr
12/04/2013	Somerset (Watchung Hills) Reg HSD	School Bonds	Series 2013	12.55	Co-Mgr
12/05/2013	Ocean Co-New Jersey	General & College Cap Imp Bonds	Series 2013	22.73	Sr Mgr
12/11/2013	Duval Co School Board	Certificates of Participation	Series 2013 A	16.09	Co-Mgr
12/12/2013	Guam Waterworks Authority	Water & Wastewater Revenue Bonds	Series 2013	172.63	Sr Mgr
12/12/2013	NY Tobacco Settlement Fin Corp	Asset-Backed Revenue Bonds	Series 2013 B	565.66	Sr Mgr
12/12/2013	NY Tobacco Settlement Fin Corp	Asset-Backed Revenue Bonds	Series 2013 A	660.09	Sr Mgr
12/12/2013	St Lucie Co Water & Sewer Dt	Utility Sys Imp & Ref Rev Bonds	Series 2013	21.11	Co-Mgr
12/12/2013	Erie Co (Orchard Park) CSD	School District Serial Bonds	Series 2013	11.41	Co-Mgr
12/17/2013	New Hampshire	GO Capital Improvement Bonds	2013 Series C	18.97	Sr Mgr
12/18/2013	Delaware River Port Auth of PA NJ	Revenue Bonds	Series of 2013	476.59	Sr Mgr
12/18/2013	New Jersey Educational Facs Au	Revenue Bonds	Series 2013 A	24.95	Sr Mgr
12/18/2013	NYS Thruway Authority	Gen Rev Jr Indebtendess Oblig	Series 2013 A	1600.00	Sr Mgr
12/18/2013	NYS Utility Debt Securitization Auth	Restructuring Bonds	Series 2013 T	482.93	Co-Mgr
12/18/2013	NYS Utility Debt Securitization Auth	Restructuring Bonds	Series 2013 TE	1539.39	Co-Mgr
12/19/2013	Lake St Clair Clean Wtr Drain Dt	Drainage District Refunding Bonds	Series 2013	13.73	Sr Mgr
12/19/2013	Ventura Co Pub Financing Auth	Lease Revenue Bonds	Series 2013 B	34.10	Sr Mgr
12/19/2013	Palm Beach Town-Florida	Public Improvement Rev Bonds	Series 2013	55.59	Sr Mgr
12/19/2013	De Kalb Co-Georgia	Water & Sewerage Rev Ref Bonds	Series 2013	134.38	Sr Mgr
12/19/2013	De Kalb Co-Georgia	GO Refunding Bonds	Series 2013	52.45	Sr Mgr
12/19/2013	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2013 Series E 2 & 3	52.19	Sr Mgr
12/19/2013	Arizona School Facilities Board	Ref Certificates of Participation	Series 2013 A-1 & 2	79.55	Co-Mgr
12/19/2013	Polk Co-Florida	Utility System Rev & Ref Bonds	Series 2013	53.63	Co-Mgr
12/19/2013	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2013 Series E-1-A&B	175.72	Co-Mgr
12/19/2013	New York City-New York	General Obligation Bonds	2014 Series G & H	800.05	Co-Mgr
12/19/2013	Triborough Bridge & Tunnel Auth	Subordinate Revenue Ref Bonds	Series 2013 D-1	165.51	Co-Mgr
12/19/2013	Triborough Bridge & Tunnel Auth	Subordinate Revenue Ref Bonds	Series 2013 D-2A & B	148.47	Co-Mgr
12/20/2013	Kentucky Pub Trans Infras Auth	First Tier Toll Revenue Bonds	Series 2013 A	174.87	Sr Mgr
12/20/2013	Kentucky Pub Trans Infras Auth	First Tier Toll Revenue Bonds	Series 2013 B	27.48	Sr Mgr
12/20/2013	Kentucky Pub Trans Infras Auth	First Tier Toll Revenue Bonds	Series 2013 C	73.33	Sr Mgr
12/20/2013	Kentucky Pub Trans Infras Auth	Subordinate Toll Revenue BANs	Series 2013 A	426.05	Sr Mgr
12/20/2013	Kentucky Pub Trans Infras Auth	Subordinate Toll Revenue BANs	Series 2013 B	26.16	Sr Mgr
12/20/2013	Home Forward	Multi-Family Housing Rev Bonds	Series 2013	8.90	Sr Mgr
12/20/2013	Los Angeles Co Redev Ref Auth	Tax Allocation Revenue Ref Bonds	Series 2013 B & C	22.00	Co-Mgr
12/20/2013	Los Angeles Co Redev Ref Auth	Tax Allocation Rev Ref Bonds	Series 2013 A	31.65	Co-Mgr
12/23/2013	Florida Housing Finance Corp	Homeowner Morigage Rev Bonds	2013 Series C	50.00	Sr Mgr
12/23/2013	Pennsylvania Econ Dev Fin Auth	Parking System Revenue Bonds	Series A B C of 2013	71.45	Co-Mgr
12/23/2013	Pennsylvania Econ Dev Fin Auth	Parking System Revenue BOnds	Series A B C of 2013	215.10	Co-Mgr
12/24/2013	Los Angeles Co Redev Ref Auth	Tax Allocation Revenue Ref Bonds	Series 2013 E	1.76	Co-Mgr
12/24/2013	Los Angeles Co Redev Ref Auth	Tax Allocation Revenue Ref Bonds	Series 2013 D E & F	89.61	Co-Mgr
12/27/2013	Pasco Co SD	Sales Tax Revenue Bonds	Series 2013	96.72	Co-Mgr
12/31/2013	Essex Co Improvement Authority	Proj Consolidation Revenue Bonds	Series 2013	52.54	Co-Mgr
01/01/2014	Upton Co-Texas	Limited Tax Notes	Series 2014	5.04	Sr Mgr
01/02/2014	Foothill/Eastern Transp Corridor Agy	Toll Road Refunding Revenue Bonds	Series 2013 A	131.26	Co-Mgr
01/02/2014	Foothill/Eastern Transp Corridor Agy	Toll Road Refunding Revenue Bonds	Series 2013 A	195.87	Co-Mgr
01/02/2014	Foothill/Eastern Transp Corridor Agy	Toll Road Refunding Revenue Bonds	Series B-1 2 3	375.00	Co-Mgr
01/02/2014	Foothill/Eastern Transp Corridor Agy	Jr & Toll Road Ref Rev Bonds	Series 2013 A & C	1572.49	Co-Mgr
01/07/2014	Vermont Municipal Bond Bank	Refunding Bonds	2014 Series 1 & 2	47.76	Sr Mgr
01/09/2014	Washington Econ Dev Fin Auth	Lease Revenue Refunding Bonds	Series 2013	29.00	Co-Mgr
01/13/2014	Franklin Co (Chambersburg) ASD	General Obligation Bonds	Series of 2014	7.29	Co-Mgr
01/14/2014	Massachusetts Dev Finance Agcy	Revenue Bonds	Series 2014 B	30.25	Co-Mgr
01/14/2014	Massachusetts Dev Finance Agcy	Revenue Bonds	Series 2014 A	50.92	Co-Mgr
01/15/2014	Johnson Co (Blue Valley) USD #229	GO School Bonds	Series 2014-A	61.00	Sr Mgr
01/15/2014	Piscataway Twp-New Jersey	General Obligation Bonds		9.50	Co-Mgr
01/22/2014	Ohio	Infrastructure Imp GO Bonds	Series 2014 A	150.00	Sr Mgr
01/22/2014	Goleta Water Dt	Ref Rev Certs of Participation	Series 2014 A	19.05	Sr Mgr
01/28/2014	Santa Cruz Co Redev Successor Agcy	Tax Allocation Refunding Bonds	2014 Series	38.88	Sr Mgr
01/28/2014	San Francisco City/Co-California	General Obligation Bonds	Series 2014 A	209.96	Sr Mgr
01/28/2014	Idaho Housing & Fin Assoc	Grant & Rev Anticipation Bonds	2014 Series A	75.15	Sr Mgr
01/29/2014	Arizona Reg Pub Transport Auth	Transport Excise Tax Rev Bonds	Series 2014	115.00	Co-Mgr
01/29/2014	Ocean Co (Point Pleasant) SD BOE	School Bonds		15.95	Co-Mgr
01/30/2014	Sacramento City USD	GO Refunding Bonds	Series 2014	44.54	Sr Mgr
01/30/2014	New Jersey Hsg & Mtg Fin Agency	Multi-Family Conduit Rev Bonds	Series 2014 G	11.51	Sr Mgr
01/30/2014	Port Authority of NY & NJ	Consolidated Bonds	181st & 182nd Series	1000.00	Sr Mgr
01/31/2014	Minnesota	St Gen Fund Appropriation Bonds	Series 2014 B	70.28	Co-Mgr
01/31/2014	Minnesota	St Gen Fund Appropriation Bonds	Series 2014 A	391.79	Co-Mgr

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02/01/2014	Rosenberg City-Texas	General Obligation Bonds	Series 2014	1.57	Sr Mgr
02/01/2014	Tarrant Regional Water Dt	Water Revenue Bonds	Series 2014	318.75	Sr Mgr
02/01/2014	Seguin City-Texas	General Obligation Bonds	Series 2014	19.79	Sr Mgr
02/01/2014	Dallas & Fort Worth Cities-Texas	Joint Revenue Refunding Bonds	Series 2014 A	201.52	Sr Mgr
02/03/2014	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2014	5.70	Sr Mgr
02/04/2014	Wisconsin	GO Refunding Bonds	2014 Series 1	181.60	Sr Mgr
02/04/2014	Sacramento City Sch Jt Powers Fin Au	Lease Revenue Refunding Bonds	2014 Series A	44.83	Sr Mgr
02/04/2014	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2001 Ser B, Sub B-3	41.14	Co-Mgr
02/04/2014	NYC Transitional Finance Auth	Future Tax Secured Sub&Ref Bonds	2014 Subser B-1 & C	955.00	Co-Mgr
02/05/2014	Clay Co (Moorhead) ISD #152	GO School Building Ref Bonds	Series 2014 A	30.79	Sr Mgr
02/05/2014	University System of Maryland	Auxiliary Fac & Tuition Rev Bonds	2014 Series A	139.90	Sr Mgr
02/05/2014	Jacksonville Electric Authority	Electric System & Sub Rev Bonds	Series Three & 2014A	287.92	Co-Mgr
02/06/2014	Fairfax Co-Virginia	Public Improvement & Ref Bonds	Series 2014 A	316.31	Sr Mgr
02/06/2014	Philadelphia City-Pennsylvania	GO Refunding Bonds	Series 2014 A	154.28	Sr Mgr
02/06/2014	California Infrstr & Eco Dev Bank	Infra State Revolv Fund Rev Bonds	Series 2014 A	95.96	Co-Mgr
02/06/2014	Geneva City-New York	Public Improvement Serial Bonds	Series 2014	13.33	Co-Mgr
02/06/2014	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	2014 Ser CC 1 & 2	351.24	Co-Mgr
02/06/2014	Triborough Bridge & Tunnel Auth	General Revenue Bonds	Series 2014 A	250.00	Co-Mgr
02/07/2014	Village Community Dev Dt #10	Special Assessment Rev Bonds	Series 2014	68.62	Sr Mgr
02/11/2014	Hollywood Beach Comm Dev Dt #1	Revenue Bonds	Series 2014	36.40	Co-Mgr
02/12/2014	ABAG Fin Au for Nonprofit Corp	Revenue Bonds	Series 2014 A	159.49	Sr Mgr
02/12/2014	Norwich City-Connecticut	General Obligation Bonds	Issue of 2014 Ser A	12.37	Co-Mgr
02/13/2014	Wisconsin	General Obligation Bonds	2014 Series A	231.41	Sr Mgr
02/13/2014	Illinois	General Obligation Bonds	Series of Feb 2014	1025.00	Sr Mgr
02/15/2014	Brazos Co (College Station) ISD	Unlimited Tax School Bldg Bonds	Series 2014	78.79	Sr Mgr
02/15/2014	Waco City-Texas	Comb Tax & Rev Certs of Oblig	Series 2014	9.65	Sr Mgr
02/15/2014	Williamson Co (Leander) ISD	Unlimited Tax Sch Building Bonds	Series 2014 C	187.52	Sr Mgr
02/15/2014	Williamson Co (Leander) ISD	Unlimited Tax Sch Building Bonds	Series 2014 A & B	17.15	Sr Mgr
02/15/2014	Addison Town-Texas	GO Refunding Bonds	Series 2014	2.15	Sr Mgr
02/15/2014	Addison Town-Texas	Comb Tax & Rev Certs of Oblig	Series 2014	7.57	Sr Mgr
02/15/2014	McAllen City-Texas	Comb Tax & Rev Certs of Oblig	Series 2014	11.85	Co-Mgr
02/19/2014	San Diego Regional Airport Auth	Senior Special Facs Revenue Bonds	Series 2014 A	29.39	Co-Mgr
02/19/2014	San Diego Regional Airport Auth	Senior Special Facs Revenue Bonds	Series 2014 B	275.90	Co-Mgr
02/20/2014	Irving City-Texas	Hotel Occupancy Tax Rev Ref Bonds	Series 2014 A	24.66	Sr Mgr
02/20/2014	Irving City-Texas	Hotel Occupancy Tax Rev Bonds	Series 2014 B	39.66	Sr Mgr
02/20/2014	Hopewell Twp-New Jersey	GO Gen Imp Open Space & Hsg Bonds		25.01	Co-Mgr
02/25/2014	Univ of Massachusetts Bldg Au	Project Revenue Bonds	Senior Series 2014-2	14.09	Sr Mgr
02/25/2014	Univ of Massachusetts Bldg Au	Project Revenue Bonds	Senior Series 2014-1	293.89	Sr Mgr
02/25/2014	Utah Charter School Fin Auth	Charter School Revenue Ref Bonds	Series 2014	10.67	Sr Mgr
02/27/2014	Cherokee Co School System	GO Refunding Bonds	Series 2014 B	34.43	Sr Mgr
02/27/2014	Middlesex Co-New Jersey	GO Improvement Refunding Bonds	Series 2014 A & B	35.95	Sr Mgr
02/27/2014	Cherokee Co School System	General Obligation Bonds	Series 2014 A	46.89	Sr Mgr
02/27/2014	Louisiana	State Highway Imp Revenue Bonds	Sereis 2014 A	198.14	Sr Mgr
02/27/2014	Maine State Housing Authority	Mortgage Purchase Bonds	2014 Series A-1	21.59	Co-Mgr
02/27/2014	Maine State Housing Authority	Mortgage Purchase Bonds	2014 Series A-2	30.00	Co-Mgr
02/28/2014	Metropolitan Transport Auth (MTA)	Transportation Rev & Ref Bonds	Series 2014 A-1 & 2	400.00	Co-Mgr
03/01/2014	Tulsa City-Oklahoma	General Obligation Bonds	Series 2014	50.00	Sr Mgr
03/01/2014	Bexar Co (Northside) ISD	Unlimited Tax Refunding Bonds	Series 2014	74.18	Co-Mgr
03/03/2014	New Jersey Hsg & Mtg Fin Agency	Multi-Family Conduit Rev Bonds	Series 2014 A	26.00	Sr Mgr
03/04/2014	Alameda Co Transportation Comm	Sales Tax Revenue Bonds	Series 2014	137.15	Sr Mgr
03/05/2014	El Dorado Irrigation Dt	Refunding Revenue Bonds	Series 2014 A	121.19	Sr Mgr
03/05/2014	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2014 Ser A Subser A2	35.13	Sr Mgr
03/05/2014	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2014 Ser A Sub 1,3,4	120.98	Sr Mgr
03/06/2014	Polk Co-Florida	Utility System Revenue Ref Bonds	Series 2014 A	16.07	Sr Mgr
03/06/2014	Polk Co-Florida	Utility System Revenue Ref Bonds	Series 2014 B	5.91	Sr Mgr
03/06/2014	Oyster Bay Town-New York	Public Improvement Serial Bonds	2014 Series A	140.23	Sr Mgr
03/06/2014	California Dept of Wtr Resources	Water System Revenue Bonds	Series AR	161.45	Sr Mgr
03/14/2014	Houston City-Texas	First Lien Revenue Ref Bonds	Series 2014 B	605.20	Co-Mgr
03/15/2014	Bedford City-Texas	Pub Prop Fin Contractual Oblig	Series 2014	2.30	Sr Mgr
03/15/2014	Temple City-Texas	Utility System Revenue Bonds	Series 2014	12.99	Co-Mgr
03/17/2014	Central New Mexico Comm Coll	GO Limited Tax Bonds	Series 2014 A	33.20	Co-Mgr
03/18/2014	Maryland	GO State & Local Facs Loan Bonds	2014 1st Series B	50.00	Sr Mgr
03/18/2014	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 170	19.02	Co-Mgr
03/18/2014	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 168-169	65.00	Co-Mgr
03/19/2014	Kentucky Asset/Liability Comm	Fed Hwy Trust & Ref Fund Bonds	2014 First Ser A & B	171.94	Co-Mgr
03/19/2014	Colonie Town-New York	Public Improvement Serial Bonds	Series 2014	12.76	Co-Mgr
03/21/2014	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2014 B	26.59	Sr Mgr
03/21/2014	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2014 A	785.56	Sr Mgr
03/25/2014	New York City-New York	General Obligation Bonds	2014 Ser I Sub I-1	650.00	Sr Mgr
03/25/2014	New York City-New York	General Obligation Bonds	Series A Subser A-6	50.25	Co-Mgr
03/25/2014	New York City-New York	General Obligation Bonds	Series J Subser J-9	100.00	Co-Mgr
03/25/2014	New York City-New York	General Obligation Bonds	Series J Subser J-11	100.00	Co-Mgr
03/26/2014	Orange Co (Anaheim) CSD	GO Refunding Bonds	2014 Series A	5.36	Sr Mgr
03/26/2014	Orange Co (Anaheim) CSD	GO Refunding Bonds	2014 Series B	45.71	Sr Mgr
03/26/2014	Connecticut Hlth & Ed Facs Auth	Revenue Bonds	Series E	83.79	Sr Mgr
03/26/2014	Jacksonville Electric Authority	Bulk Power Supply Sys Rev Bonds	Series 2014 A	72.46	Co-Mgr
03/26/2014	Connecticut	General Obligation Bonds	2014 Series A-2	18.00	Co-Mgr
03/26/2014	Connecticut	General Obligation Bonds	2014 Series A-3	20.00	Co-Mgr
03/26/2014	Connecticut	General Obligation Bonds	2014 Series A-4	75.00	Co-Mgr
03/26/2014	Connecticut	General Obligation Bonds	2014 Series A-1	240.00	Co-Mgr
03/26/2014	Connecticut	General Obligation Bonds	2014 Series B-2	4.16	Co-Mgr
03/26/2014	Connecticut	General Obligation Bonds	2014 Series B-3	7.84	Co-Mgr
03/26/2014	Connecticut	General Obligation Bonds	2014 Series B-1	10.00	Co-Mgr

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03/25/2014	Connecticut	General Obligation Bonds	2014 Series B-4	25.00	Co-Mgr
03/27/2014	Monmouth Co-New Jersey	Gen Imp & Voc School & Coll Bonds	Series 2014	89.00	Sr Mgr
03/27/2014	Norwell Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series 2014	7.32	Co-Mgr
03/27/2014	California	GO Various Purpose & Ref Bonds		1807.46	Co-Mgr
03/27/2014	NYS Environmental Facs Corp	St Clean & Drink Wtr Rev Bonds	Series 2014 A	347.39	Co-Mgr
03/31/2014	Montgomery Co (Poltsgrove) SD	General Obligation Bonds	Series of 2014	15.98	Co-Mgr
04/01/2014	Tulsa Co (Union) ISD #9	Combined Purpose Bonds	Series of 2014	21.00	Sr Mgr
04/01/2014	Napa Co-California	Certificates of Participation	Series 2014	15.59	Sr Mgr
04/01/2014	Richardson City-Texas	Comb Tax & Rev Certs of Oblig	Series 2014	7.86	Sr Mgr
04/01/2014	Washington Co (Fayetteville) SD#1	Refunding Bonds		5.91	Co-Mgr
04/02/2014	Texas Transportation Commission	State Highway Fd Rev & Ref Bonds	Series 2014-A	1157.60	Sr Mgr
04/02/2014	Texas Transportation Commission	State Highway Fund Rev Bonds	Series 2014-B	300.00	Sr Mgr
04/02/2014	San Mateo Co (Sequoia) UHSD	GO Refunding Bonds	2014 Series	105.81	Sr Mgr
04/02/2014	San Bernardino Co Trans Auth	Sales Tax Revenue Bonds	2014 Series A	110.14	Sr Mgr
04/02/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Series 1	16.82	Sr Mgr
04/02/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Series 2	30.00	Sr Mgr
04/02/2014	Englewood City-New Jersey	General Improvement Bonds	Series 2014 B	16.45	Co-Mgr
04/03/2014	Edmond Public Works Authority	Sales Tax Util Sys Rev Ref Bonds	Series 2014	22.73	Sr Mgr
04/03/2014	California St Public Works Board	Lease Revenue Bonds	2014 Series A	794.71	Co-Mgr
04/03/2014	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	2014 Series DD	547.85	Co-Mgr
04/08/2014	Clark Co-Nevada	Airport Sys Sub Ln Rev Ref Bonds	Series 2014 A-1	95.95	Co-Mgr
04/08/2014	Clark Co-Nevada	Airport Sys Sub Ln Rev Ref Bonds	Series 2014 A-2	221.87	Co-Mgr
04/09/2014	Oklahoma Cap Imp Authority	State Facilities Ref Rev Bonds	Series 2014 A	220.73	Sr Mgr
04/09/2014	Michigan Strategic Fund	Ltd Obligation Revenue Bonds	Series 2014	82.69	Sr Mgr
04/09/2014	Cumberland Co (So Middleton) SD	General Obligation Bonds	Series of 2014	10.00	Co-Mgr
04/10/2014	Louisiana Gov Env & Comm Dev	Subordinate Lien Revenue Bonds	Series 2014 A	209.79	Co-Mgr
04/10/2014	Regents of the Univ of California	General Revenue Bonds	2014 Series AN	411.21	Co-Mgr
04/10/2014	Regents of the Univ of California	General Revenue Bonds	2014 Series AM	559.15	Co-Mgr
04/15/2014	Brookhaven Town-New York	Refunding Serial Bonds	Series 2014	36.12	Sr Mgr
04/15/2014	Queen Annes Co-Maryland	Public Facilities Bonds	Series of 2014	22.39	Sr Mgr
04/15/2014	Williamson Co-Texas	Limited Tax Park Bonds	Series 2014	19.53	Sr Mgr
04/15/2014	Williamson Co-Texas	Unlimited Tax Road Bonds	Series 2014	91.75	Sr Mgr
04/15/2014	Travis Co-Texas	Ltd Tax Certs of Obligation	Series 2014	38.99	Sr Mgr
04/15/2014	Bexar Co (North East) ISD	Unlimited Tax Refunding Bonds	Series 2014 A	121.74	Sr Mgr
04/15/2014	Univ of Texas Sys Bd of Regents	Revenue Financing System Bonds	Series 2014 A	259.14	Co-Mgr
04/15/2014	Plano City-Texas	GO Refunding & Improvement Bonds	Series 2014	29.33	Co-Mgr
04/16/2014	Fairfax Co-Virginia	Sewer Revenue Refunding Bonds	Series 2014	61.76	Sr Mgr
04/16/2014	North Hempstead Town-New York	Refunding Bonds	2014 Series A	25.22	Co-Mgr
04/17/2014	California Housing Finance Agcy	Multi-Family Hsg Rev Bonds III	2014 Series A	38.92	Sr Mgr
04/17/2014	Lower Merion Twp-Pennsylvania	GO Refunding Bonds	Series A of 2014	9.41	Co-Mgr
04/17/2014	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2014 B	500.00	Co-Mgr
04/22/2014	Chesapeake City-Virginia	GO Public Improvement Ref Bonds	Series 2014 A	14.80	Co-Mgr
04/22/2014	Chesapeake City-Virginia	GO Water & Sewer Refunding Bonds	Series 2014 B	23.29	Co-Mgr
04/22/2014	Harris Co Metro Trans Auth	Sales & Use Tax Contractual Oblig	Series 2014	130.61	Co-Mgr
04/23/2014	Suffolk Co-New York	Refunding Serial Bonds	Series 2014	68.02	Sr Mgr
04/23/2014	Connecticut Housing Finance Auth	Housing Mortgage Finance Bonds	2014 Ser A Sub A1&2	100.00	Co-Mgr
04/23/2014	Massachusetts Bay Transport Auth	Senior Sales Tax Bonds	2014 Series A	200.00	Co-Mgr
04/24/2014	Energy Northwest	Electric Revenue & Ref Bonds	Series 2014 B	90.52	Co-Mgr
04/29/2014	Lawrence City-Kansas	GO Temporary Notes	Series 2014-II	5.56	Sr Mgr
04/29/2014	Seattle Museum Dev Auth	Special Oblig Refunding Bonds	Series 2014	44.38	Sr Mgr
04/29/2014	Washington Suburban Sanitary Dt	Consolidated Public Imp Bonds	Series of 2014	150.00	Sr Mgr
04/29/2014	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2014 Ser D Sub D-1	650.00	Co-Mgr
04/30/2014	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2014 Series B & C	21.75	Co-Mgr
04/30/2014	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2014 Series A	24.75	Co-Mgr
05/01/2014	Georgetown Town-Massachusetts	GO School Bonds		24.00	Sr Mgr
05/01/2014	Massachusetts	General Obligation Bonds	2014 Series 3	32.09	Sr Mgr
05/01/2014	Orange City-Texas	GO Refunding Bonds	Series 2014	3.90	Sr Mgr
05/01/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Series 4	23.60	Sr Mgr
05/01/2014	Lubbock City-Texas	Elc Light & Power Sys Rev Bonds	Series 2014	16.25	Sr Mgr
05/01/2014	Washington (Prairie Grove) SD #23	Refunding & Construction Bonds	Series B	15.63	Co-Mgr
05/01/2014	Fort Bend Co MUD #134C	Unlimited Tax Bonds	Series 2014	6.50	Co-Mgr
05/01/2014	Little Cypress-Mauriceville CISD	Limited Tax Refunding Bonds	Series 2014 B	3.72	Co-Mgr
05/01/2014	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2014 Series A	8.17	Co-Mgr
05/01/2014	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2014 Series B-1	100.00	Co-Mgr
05/01/2014	Lubbock City-Texas	GO Refunding Bonds	Series 2014	44.92	Co-Mgr
05/01/2014	Lubbock City-Texas	Tax & Wtrwrks Sys Surp Rev Certs	Series 2014	62.90	Co-Mgr
05/02/2014	Orlando City-Florida	Revenue Bonds	Series 2014 A	236.29	Sr Mgr
05/05/2014	Orange Co School Board	Certificates of Participation	Series 2014 A	63.84	Co-Mgr
05/06/2014	New Jersey Economic Dev Auth	School Facilities Con & Ref Bonds	2014 Series PP & RR	613.85	Co-Mgr
05/06/2014	New Jersey Economic Dev Auth	School Facilities Con Ref Bonds	2014 Series QQ	615.47	Co-Mgr
05/07/2014	Long Beach City-California	Harbor Revenue Refunding Bonds	Series 2014 B	20.57	Sr Mgr
05/07/2014	Long Beach City-California	Harbor Revenue Refunding Bonds	Series 2014 A	38.47	Sr Mgr
05/07/2014	Oregon	General Obligation Bonds	2014 Series B	5.05	Sr Mgr
05/07/2014	Oregon	General Obligation Bonds	2014 Series A	50.13	Sr Mgr
05/07/2014	Rhode Island & Providence Plan	GO Consolidated Cap Dev Ref Loan	2014 Series A	78.70	Co-Mgr
05/08/2014	Arizona Board of Regents	Revenue & Refunding Bonds	Series 2014	67.26	Sr Mgr
05/13/2014	Nassau Co (Herricks) UFSD	School District Serial Bonds	2014 Series A	6.02	Sr Mgr
05/13/2014	Sonoma Co (Windsor) USD	GO & Refunding Bonds	Series E 2014	12.19	Sr Mgr
05/13/2014	Cumberland Co-Pennsylvania	General Obligation Bonds	Series of 2014	4.87	Co-Mgr
05/14/2014	Missouri Hlth & Ed Facs Authority	Health Facilities Revenue Bonds	Series 2014 A	236.57	Sr Mgr
05/14/2014	San Mateo Co Jt Powers Fin Auth	Lease Revenue Bonds	2014 Series A	175.07	Sr Mgr
05/14/2014	Missouri Hlth & Ed Facs Authority	Health Facilities Revenue Bonds	Series 2014 C	50.00	Sr Mgr
05/14/2014	Missouri Hlth & Ed Facs Authority	Health Facilities Revenue Bonds	Series 2014 D & E	100.00	Sr Mgr

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05/14/2014	Energy Northwest	Revenue & Ref Bonds	Series 2014 A	543.71	Co-Mgr
05/14/2014	California Educational Facs Auth	Revenue Bonds	Series U-6	278.98	Co-Mgr
05/14/2014	Missouri Hlth & Ed Facs Authority	Health Facilities Revenue Bonds	Series 2014 B	50.00	Co-Mgr
05/15/2014	Virginia College Building Auth	Educational Facilities Rev Bonds	Series 2014 A	319.16	Sr Mgr
05/15/2014	San Marcos City-Texas	Comb Tax & Rev Cert of Oblig	Series 2014	13.73	Sr Mgr
05/15/2014	Laredo City-Texas	Intl Toll Bridge Rev Ref Bonds	Series 2014	14.18	Sr Mgr
05/15/2014	Laredo City-Texas	Intl Toll Bridge Rev Ref Bonds	Series 2014	3.89	Sr Mgr
05/15/2014	Texas Public Finance Auth (TPFA)	Unemploy Comp Oblig Assess Bonds	Series 2014 A	212.15	Co-Mgr
05/15/2014	Texas Public Finance Auth (TPFA)	Unemploy Comp Oblig Assess Bonds	Series 2014 B	497.64	Co-Mgr
05/15/2014	Essex Co (Verona) TSD BOE	School Bonds		13.85	Co-Mgr
05/15/2014	Schenectady City-New York	Public Improvement Bonds	Series 2014	37.09	Co-Mgr
05/15/2014	Fort Bend ISD	Unlimited Tax Refunding Bonds	Series 2014	71.73	Co-Mgr
05/15/2014	Cedar Park City-Texas	Pass Thru Toll Rev& Ltd Tax Bonds	Series 2014	12.23	Co-Mgr
05/19/2014	Stafford Twp-New Jersey	GO Cap Imp & Wtr Swr Util Bonds	Series 2014	10.00	Co-Mgr
05/20/2014	Midtown Miami Comm Dev Dt	Special Assess Rev & Ref Bonds	Series 2014 A & B	91.85	Sr Mgr
05/21/2014	New Jersey Environ Infrast Trust	Environmental Infra Bonds	Series 2014 A	56.55	Sr Mgr
05/21/2014	New Jersey Environ Infrast Trust	Environmental Infra Bonds	Series 2014 B	5.49	Sr Mgr
05/21/2014	Virginia Resources Auth (VRA)	Infrastructure Revenue Bonds	Series 2014 A	66.29	Co-Mgr
05/22/2014	Hennepin Co (Wayzata) ISD #284	GO School Building Bonds	Series 2014 A	109.65	Sr Mgr
05/22/2014	New Jersey Turnpike Authority	Turnpike Revenue Bonds	Series 2014 A	1000.00	Sr Mgr
05/27/2014	Seminole Co-Florida	Special Obligations Bonds	Series 2014	28.00	Sr Mgr
05/27/2014	Oneida Co-New York	Public Improvement Serial Bonds	Series 2014	26.76	Sr Mgr
05/28/2014	Riverside Co Public Fin Authority	Tax Allocation Revenue Bonds	Series 2014 A	6.51	Sr Mgr
05/28/2014	Riverside Co Public Fin Authority	Tax Allocation Revenue Bonds	Series 2014 A T	27.48	Sr Mgr
05/28/2014	Floyd Co SD	GO Sales Tax Bonds	Series 2014	27.21	Sr Mgr
05/28/2014	Florida Housing Finance Corp	Homeowner Mortgage Rev Bonds	2014 Series A	21.07	Co-Mgr
05/28/2014	Florida Housing Finance Corp	Homeowner Mortgage Rev Bonds	2014 Series B	50.00	Co-Mgr
05/29/2014	Irving City-Texas	General Obligation Bonds	Series 2014	19.86	Sr Mgr
05/29/2014	Irving City-Texas	Comb Tax & Rev Certs of Oblig	Series 2014	20.73	Sr Mgr
05/29/2014	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2014 Ser B Subser B1	49.86	Sr Mgr
05/29/2014	Jacksonville Electric Authority	Revenue Bonds	Issue Three Ser 7	88.79	Sr Mgr
05/29/2014	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Prog Bonds	Issue 2014-1A	25.98	Co-Mgr
05/29/2014	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Prog Bonds	Issue 2014-1B & 1C	124.03	Co-Mgr
06/01/2014	Tulsa Co (Jenks) ISD #5	Combined Purpose Bonds	Series of 2014	25.00	Sr Mgr
06/01/2014	South Carolina	GO State Institution Bonds	Series 2014 A & B	48.22	Sr Mgr
06/01/2014	Washtenaw Co-Michigan	Capital Improvement Ref Bonds	Series 2014	15.92	Sr Mgr
06/01/2014	Benton Co (Bentonville) SD #6	Construction Bonds	Series A	20.48	Co-Mgr
06/01/2014	El Paso Co (Socorro) ISD	Unlimited Tax Refunding Bonds	Series 2014 A	49.22	Co-Mgr
06/01/2014	San Antonio City-Texas	Electric & Gas Jr Ln Rev Bonds	Series 2014	200.00	Co-Mgr
06/02/2014	Houston City-Texas	Airport Sys Facs Rev Ref Bonds	Series 2014	309.66	Sr Mgr
06/02/2014	Thurston Co (North Thurston) PSD	Unlimited Tax GO Bonds	Series 2014	91.71	Sr Mgr
06/02/2014	Pennsylvania Turnpike Commission	Turnpike Revenue Bonds	Ser B of 2014 B 1&2	514.15	Sr Mgr
06/03/2014	Westchester Co-New York	GO Refunding Bonds	2014 Series A	9.25	Sr Mgr
06/03/2014	Oregon	General Obligation Bonds	Series 2014 D	4.55	Sr Mgr
06/03/2014	Oregon	General Obligation Bonds	Series 2014 C	63.89	Sr Mgr
06/03/2014	Univ of Massachusetts Bldg Au	Refunding Revenue Bonds	Senior Series 2014-4	157.86	Co-Mgr
06/04/2014	Illinois State Toll Highway Auth	Toll Highway Senior Revenue Bonds	2014 Series B	500.00	Sr Mgr
06/04/2014	Mojave Water Agency	Refunding Revenue Bonds	Series 2014 A	13.16	Sr Mgr
06/04/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Series 5	23.37	Sr Mgr
06/04/2014	Massachusetts	Consolidated Loans	Series of 2014 Ser 6	21.86	Sr Mgr
06/04/2014	Connecticut	GO Refunding Bonds	2014 Series C-3	13.80	Co-Mgr
06/04/2014	Connecticut	GO Refunding Bonds	2014 Series C-2	342.65	Co-Mgr
06/04/2014	Connecticut	GO Refunding Bonds	2014 Series C-1	465.78	Co-Mgr
06/05/2014	West Virginia School Bldg Auth	Lottery Capital Imp Revenue Bonds	Series 2014 A	26.06	Sr Mgr
06/10/2014	Albuquerque City-New Mexico	Gross Rcts Tax Ref & Imp Bonds	Series 2014 A	36.96	Co-Mgr
06/10/2014	Los Angeles Dept of Wtr & Power	Power System Revenue Bonds	2014 Series B	322.00	Co-Mgr
06/11/2014	East Bay MUD	Water System Revenue Ref Bonds	Series 2014 B	242.73	Sr Mgr
06/11/2014	Arlington Co-Virginia	GO Public Improvement Bonds	Series 2014 A	64.91	Sr Mgr
06/11/2014	Chicago City-Illinois	2nd Ln Revenue & Rev Ref Bonds	Series 2014 B	287.61	Co-Mgr
06/11/2014	Chicago City-Illinois	2nd Ln Revenue & Rev Ref Bonds	Series 2014 A	484.20	Co-Mgr
06/11/2014	Harris Co Cult Ed Facs Fin Corp	Hospital Revenue Bonds	Series 2014 A	145.01	Co-Mgr
06/12/2014	Lafourche Parish CSD #1	GO School Bonds	Series 2014	18.00	Sr Mgr
06/12/2014	Seattle City-Washington	Solid Waste Revenue & Ref Bonds	2014 Series	95.35	Sr Mgr
06/12/2014	Maricopa Co (Phoenix) UHSD #210	School Imp & Refunding Bonds	Series 2014 & C	145.45	Sr Mgr
06/12/2014	Long Beach City-California	Harbor Revenue Short-Term Notes	Series 2014 C	325.00	Sr Mgr
06/12/2014	Massachusetts Wtr Poll Abate Trust	State Revolving Fund Ref Bonds	Series 2014	565.61	Co-Mgr
06/15/2014	San Marcos City-Texas	GO Refunding Bonds	Series 2014	27.72	Sr Mgr
06/15/2014	Travis Co (Pflugerville) ISD	Unlimited Tax School Bldg Bonds	Series 2014 A	49.67	Sr Mgr
06/15/2014	Travis Co (Pflugerville) ISD	Unlimited Tax School Bldg Bonds	Series 2014	212.80	Sr Mgr
06/15/2014	Collin Co-Texas	Unlimited Tax Road Bonds	Series 2014	25.05	Sr Mgr
06/15/2014	Collin Co-Texas	Limited Tax Refunding & Imp Bonds	Series 2014	23.38	Sr Mgr
06/15/2014	Dallas Co (Irving) ISD	Unlimited Tax Refunding Bonds	Series 2014	1.35	Co-Mgr
06/15/2014	Dallas Co (Irving) ISD	Unlimited Tax Refunding CABs	Series 2014	3.34	Co-Mgr
06/15/2014	North Texas Municipal Water Dt	Water System Rev Ref & Imp Bonds	Series 2014	171.43	Co-Mgr
06/17/2014	Chicago Park Dt	GO Limited Tax Park Bonds	Series 2014 A	40.41	Co-Mgr
06/17/2014	Chicago Park Dt	GO Limited Tax Refunding Bonds	Series 2014 C	45.95	Co-Mgr
06/17/2014	Chicago Park Dt	GO Limited Tax Refunding Bonds	Series 2014 B	78.34	Co-Mgr
06/19/2014	Univ of North Carolina Bd of Gov	General Revenue Bonds	Series 2014	125.69	Sr Mgr
06/19/2014	Contra Costa Water Dt	Water Revenue Refunding Bonds	Series T	99.41	Co-Mgr
06/19/2014	Arizona School Facilities Board	Ref Certificates of Participation	Series 2014 A 1-3	226.13	Co-Mgr
06/19/2014	Miami-Dade Co Expressway Auth	Toll System Revenue Bonds	Series 2014 A	314.05	Co-Mgr
06/19/2014	Cayuga Co (Moravia) CSD	School District Serial Bonds	Series 2014	10.06	Co-Mgr
06/19/2014	Jefferson Co (So Jefferson) CSD	GO School District Serial Bonds	Series 2014	12.00	Co-Mgr

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06/19/2014	Massachusetts Housing Fin Agcy	Housing Bonds	2014 Series C	12.16	Co-Mgr
06/19/2014	Massachusetts Housing Fin Agcy	Housing Bonds	2014 Series A	25.79	Co-Mgr
06/19/2014	Massachusetts Housing Fin Agcy	Housing Bonds	2014 Series B	110.86	Co-Mgr
06/23/2014	Brown Co (Green Bay) APSD	GO Promissory Notes		20.00	Sr Mgr
06/23/2014	New York City-New York	General Obligation Bonds	2014 Series J & K	1018.04	Co-Mgr
06/24/2014	Broome Co (Union-Endicott) CSD	School District Bonds	Series 2014	10.55	Co-Mgr
06/24/2014	Phoenix City-Arizona	GO Refunding Bonds	Series 2014	278.02	Co-Mgr
06/24/2014	Austin City-Texas	Water & Wstewtr Sys Rev Ref Bonds	Series 2014	282.21	Co-Mgr
06/25/2014	Cowlitz Co Public Utility Dt #1	Production System Rev Ref Bonds	Series 2014	33.56	Sr Mgr
06/25/2014	Chandler City-Arizona	Street & Hway User Rev Ref Bonds	Series 2014	8.66	Sr Mgr
06/25/2014	Chandler City-Arizona	Water & Sewer Rev Ref Bonds	Series 2014	15.40	Sr Mgr
06/25/2014	Kentucky Turnpike Authority	Econ Dev Road Rev Ref Bonds	2014 Series A	121.33	Co-Mgr
06/25/2014	Syracuse City-New York	Public Improvement Serial Bonds	Series 2014 B	12.43	Co-Mgr
06/26/2014	Winston-Salem City-North Carolina	General Obligation Bonds	Series 2014	3.95	Sr Mgr
06/26/2014	Portland City-Oregon	Limited Tax Improvement Bonds	2014 Series A	7.39	Sr Mgr
06/26/2014	Fairfax Co Economic Dev Auth	Revenue Bonds	Series 2014 B	30.18	Sr Mgr
06/26/2014	Fairfax Co Economic Dev Auth	Revenue & Refunding Bonds	Series 2014 A	170.69	Sr Mgr
06/26/2014	Los Angeles Co Asset Leasing Corp	Lease Revenue Bonds	2014 Series A	29.80	Sr Mgr
06/26/2014	Cumberland Co-New Jersey	Gen Imp & County College Bonds		19.55	Co-Mgr
06/26/2014	Connecticut	General Obligation Bonds	2014 Series D-1	5.38	Co-Mgr
06/26/2014	Connecticut	General Obligation Bonds	2014 Series D-5	23.12	Co-Mgr
06/26/2014	Connecticut	General Obligation Bonds	2014 Series D-2	43.60	Co-Mgr
06/26/2014	Connecticut	General Obligation Bonds	2014 Series D-4	49.84	Co-Mgr
06/26/2014	Connecticut	General Obligation Bonds	2014 Series D-3	78.06	Co-Mgr
06/26/2014	Louisville-Jefferson Reg Airport	Airport System Revenue Ref Bonds	2014 Series B	3.29	Co-Mgr
06/26/2014	Louisville-Jefferson Reg Airport	Airport System Revenue Ref Bonds	2014 Series A	119.59	Co-Mgr
06/26/2014	Louisville-Jefferson Reg Airport	Airport System Revenue Ref Bonds	2014 Series C	126.26	Co-Mgr
06/26/2014	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	Ser 2014 C-1-A & 1-B	225.05	Co-Mgr
06/26/2014	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2014 C	500.00	Co-Mgr
06/27/2014	Palm Beach Co School Board	Certificates of Participation	Series 2014 B	166.01	Co-Mgr
07/01/2014	Tulsa Co ISD #1	Combined Purpose Bonds	Series 2014 C	40.84	Sr Mgr
07/01/2014	Clark Co-Nevada	Airport Sys Jr Sub Ln Rev Notes	Series 2014 B	103.37	Sr Mgr
07/01/2014	Orono Town-Maine	General Obligation Bonds	2014 Series	16.85	Sr Mgr
07/01/2014	Laredo City-Texas	Intl Toll Bridge Rev Ref Bonds	Series 2014	17.37	Sr Mgr
07/01/2014	Travis Co (Pflugerville) ISD	Unlimited Tax Refunding Bonds	Series 2014	107.28	Sr Mgr
07/01/2014	Houston City-Texas	Comb Utility Sys 1st Ln Rev & Ref	Series 2014 D	547.98	Co-Mgr
07/01/2014	Fort Bend Co MUD #149	Unlimited Tax Bonds	Series 2014	11.45	Co-Mgr
07/01/2014	Fort Bend Co MUD #138	Unlimited Tax Road Bonds	Series 2014	14.90	Co-Mgr
07/02/2014	Kentucky Housing Corporation	Housing Revenue Bonds	2014 Series A	61.45	Sr Mgr
07/02/2014	Lake Co Forest Preserve Dt	GO Limited Tax Refunding Bonds	Series 2014 A	28.92	Sr Mgr
07/02/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Series 7	30.00	Sr Mgr
07/02/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Series 8	28.35	Sr Mgr
07/02/2014	Michigan Finance Authority	Local Govt Loan Prog Rev Bonds	Series 2014 B	184.96	Sr Mgr
07/02/2014	NYS Environmental Facs Corp	State Revolving Funds Rev Bonds	Series 2014 B	213.64	Co-Mgr
07/02/2014	Pima Co Reg Transportation Auth	Transport Excise Tax Rev Bonds	Series 2014	130.10	Co-Mgr
07/02/2014	Monroe Co-New York	Public Improvement Bonds	2014 Series	22.92	Co-Mgr
07/03/2014	Metro Washington Airports Auth	Airport System Rev & Ref Bonds	Series 2014 A	539.25	Sr Mgr
07/03/2014	Univ of Massachusetts Bldg Au	Refunding Revenue Bonds	Series 2014-3	67.37	Co-Mgr
07/08/2014	Jacksonville Electric Authority	Revenue Bonds	Issue 3 Series Eight	63.93	Sr Mgr
07/08/2014	Chattanooga City-Tennessee	GO Refunding Bonds	Series 2014 A	25.93	Sr Mgr
07/09/2014	Washington	Motor Vehicle Fuel GO Ref Bonds	Series R-2015 B	420.55	Sr Mgr
07/09/2014	California Statewide CDA (CSCDA)	Lease Revenue Bonds	Series 2014	4.99	Sr Mgr
07/09/2014	Oregon Dept of Transportation	Hwy User Tax Rev Sr Ln Ref Bonds	Series 2014 A	194.53	Sr Mgr
07/09/2014	Washington	Various Purpose GO Bonds	Series 2015 A-2	22.58	Co-Mgr
07/10/2014	Richland Co Recreation Dt	GO Refunding Bonds	Series 2014	25.60	Sr Mgr
07/10/2014	Georgia	General Obligation Bonds	Series 2014 B	151.12	Sr Mgr
07/10/2014	Seattle City-Washington	Drainage & Wstwtr Imp & Ref Bonds	Series 2014	133.18	Sr Mgr
07/10/2014	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	2015 Series BB 3	100.00	Sr Mgr
07/10/2014	Los Angeles City-California	TRANs	2014 Series	1369.20	Co-Mgr
07/11/2014	Orange Co Industrial Dev Auth	Industrial Development Rev Bonds	Series 2014	64.10	Sr Mgr
07/15/2014	Denton Co-Texas	Permanent Improvement Bonds	Series 2014	29.25	Sr Mgr
07/15/2014	Wasatch Co SD BOE	GO Refunding Bonds	Series 2014	39.42	Sr Mgr
07/15/2014	Waxahachie City-Texas	GO Refunding Bonds	Series 2014	5.47	Sr Mgr
07/15/2014	Lufkin City-Texas	GO Refunding Bonds	Series 2014	5.35	Sr Mgr
07/15/2014	Llano Co ISD	Unltd Tax School Building Bonds	Series 2014	31.42	Sr Mgr
07/15/2014	Jacksonville Electric Authority	Water & Sewer System Rev Bonds	2014 Series A	300.20	Co-Mgr
07/16/2014	Lake Co School Board	Certificates of Participation	Series 2014 A	55.80	Sr Mgr
07/17/2014	New Hampshire Muni Bond Bank	Revenue Bonds	2014 Series A	65.70	Sr Mgr
07/17/2014	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2014 Series D-1	38.00	Co-Mgr
07/18/2014	Abington Twp-Pennsylvania	General Obligation Bonds	Series of 2014	10.33	Co-Mgr
07/23/2014	Turlock Irrigation Dt	First Priority Sub Rev Ref Bonds	Series 2014	36.93	Sr Mgr
07/23/2014	Walnut Energy Center Authority	Revenue Refunding Bonds	2014 Series A	111.94	Sr Mgr
07/23/2014	Indiana Finance Authority	Tax-Exempt Private Activity Bonds	Series 2014	243.85	Sr Mgr
07/24/2014	Western Minnesota Municipal Power Agcy	Power Supply Revenue Bonds	2014 Series A	351.26	Sr Mgr
07/24/2014	Delaware River & Bay Auth	Revenue & Refunding Bonds	Series 2014 A - C	218.07	Sr Mgr
07/24/2014	Flagler Co SD	Ref Certificates of Participation	Series 2014 A	43.87	Co-Mgr
07/24/2014	Illinois Housing Dev Authority	Home Mortgage Revenue Bonds	2014 Series A-3	4.38	Co-Mgr
07/24/2014	Illinois Housing Dev Authority	Home Mortgage Revenue Bonds	Subseries A-1 & B	34.92	Co-Mgr
07/24/2014	Illinois Housing Dev Authority	Home Mortgage Revenue Bonds	2014 Series A-2	41.28	Co-Mgr
07/29/2014	Tulare Co Transportation Auth	Sales Tax Revenue Bonds	Series 2014	69.60	Sr Mgr
07/29/2014	Oregon Dept of Admin Services	Oregon Lottery Rev Ref Bonds	Series 2014 A	18.63	Sr Mgr
07/29/2014	Oregon Dept of Admin Services	Oregon Lottery Rev Ref Bonds	Series 2014 B	89.52	Sr Mgr
07/29/2014	Oregon Dept of Admin Services	Oregon Lottery Rev Ref Bonds	Series 2014 C	105.84	Sr Mgr

National Transaction List
Negotiated & Competitive
(January 1, 2011 – Present)

Dated Date	Issuer	Issue Description	Series	Par Amt. ($ millions)	
07/29/2014	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2014	24.00	Sr Mgr
07/30/2014	Denver City and Co-Colorado	Multi-Family Housing Rev Bonds	Series 2014	16.00	Sr Mgr
07/31/2014	Vermont Municipal Bond Bank	Bond Bank & Refunding Bonds	2014 Series 3 & 4	72.06	Sr Mgr
07/31/2014	Kansas Dept of Transportation	Highway Revenue Bonds	Series 2014 A	250.00	Co-Mgr
07/31/2014	Los Angeles Co Redev Ref Auth	Tax Allocation Rev Ref Bonds	Series 2014 B	3.92	Co-Mgr
07/31/2014	Los Angeles Co Redev Ref Auth	Tax Allocation Rev Ref Bonds	Series 2014 A	33.22	Co-Mgr
08/01/2014	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2015 Subser A-4	100.00	Sr Mgr
08/01/2014	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2015 Ser A Sub A-1	675.00	Co-Mgr
08/01/2014	Fort Bend Co MUD #133	Unlimited Tax Bonds	Series 2014	12.59	Co-Mgr
08/01/2014	Hays Co (Dripping Springs) ISD	Unltd Tax School Bldg & Ref Bonds	Series 2014	163.48	Co-Mgr
08/05/2014	Maryland	GO State & Local Facs Loan Bonds	2014 Second Series A	50.39	Sr Mgr
08/05/2014	Bay Area Toll Authority (BATA)	Revenue Bonds	2014 Series F-1	200.00	Co-Mgr
08/05/2014	Bay Area Toll Authority (BATA)	Revenue Bonds	2014 Series A - C	1201.64	Co-Mgr
08/05/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Ser D Sub D-1	200.00	Co-Mgr
08/05/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Ser D Sub D-2	200.00	Co-Mgr
08/06/2014	Louisiana Gov Env & Comm Dev	System Restoration Bonds	Series 2014	243.85	Sr Mgr
08/06/2014	Louisiana Gov Env & Comm Dev	System Restoration Bonds	Series 2014	71.00	Sr Mgr
08/06/2014	Wilson Co-Tennessee	General Obligation School Bonds	Series 2014	14.61	Co-Mgr
08/07/2014	Yolo Co (Davis) USD	Certificates of Participation	Series 2014	16.14	Sr Mgr
08/07/2014	Guam Waterworks Authority	Water & Wstwtr Sys Rev Ref Bonds	Series 2014 B	15.50	Sr Mgr
08/07/2014	Oyster Bay Town-New York	General Obligation Bonds	2014 Series	170.49	Sr Mgr
08/07/2014	Guam Waterworks Authority	Water & Wstwtr Sys Rev Ref Bonds	Series 2014 A	70.00	Sr Mgr
08/07/2014	Riviera Beach City Util Spec Dt	Water & Sewer Revenue Ref Bonds	Series 2014	22.65	Sr Mgr
08/07/2014	Washington Co (Beaverton) SD #48J	GO Deferred Interest Bonds	Series 2014 A	20.39	Co-Mgr
08/07/2014	Washington Co (Beaverton) SD #48J	General Obligation Bonds	Series 2014 B	361.76	Co-Mgr
08/07/2014	Westchester Co (Tarrytowns) UFSD	School District Bonds	2014 Series	17.97	Co-Mgr
08/12/2014	Cobb Co Development Auth	Student Housing Ref Rev Bonds	Series 2014 A - C	46.56	Sr Mgr
08/13/2014	Massachusetts	GO Consolidated Loan Bonds	Series 9 of 2014	43.94	Sr Mgr
08/13/2014	Virginia Resources Auth (VRA)	Infrastructure Revenue Bonds	Series 2014 B	92.41	Co-Mgr
08/14/2014	Metropolitan Transport Auth (MTA)	Special Obligation Ref Bonds	Series 2014	348.91	Co-Mgr
08/15/2014	Karnes City ISD	Unlimited Tax School Bldg Bonds	Series 2014	14.26	Sr Mgr
08/15/2014	Potter Co (Amarillo) ISD	Unlimited Tax Refunding Bonds	Series 2014 A	26.86	Sr Mgr
08/15/2014	Denton City-Texas	GO Refunding Bonds	Series 2014	27.16	Sr Mgr
08/15/2014	Bexar Co (San Antonio) ISD	Unlimited Tax Refunding Bonds	Series 2014 B	48.88	Sr Mgr
08/19/2014	Palm Beach Co-Florida	GO Refunding Bonds	Series 2014	11.87	Sr Mgr
08/20/2014	Gallatin City-Tennessee	GO Refunding & Imp Bonds	Series 2014	14.19	Sr Mgr
08/20/2014	California State Univ Trustees	Systemwide Revenue Bonds	Series 2014 A	747.74	Co-Mgr
08/20/2014	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 171	50.00	Co-Mgr
08/20/2014	Wayne Co Airport Auth	Airport Revenue Bonds	Series 2014 C	31.85	Co-Mgr
08/20/2014	Wayne Co Airport Auth	Airport Revenue Bonds	Series 2014 B	66.60	Co-Mgr
08/20/2014	Clarkstown Town-New York	Public Improvement Bonds	Series 2014	19.25	Co-Mgr
08/21/2014	Central Brown Co Water Auth	Water System Revenue Ref Bonds	Series 2014 A	107.93	Sr Mgr
08/21/2014	Minnesota	GO St Various Purpose Ref Bonds	Series 2014 D	28.21	Sr Mgr
08/21/2014	Port Authority of NY & NJ	Consolidated Bonds	185th Series	483.46	Sr Mgr
08/21/2014	Port Authority of NY & NJ	Consolidated Bonds	184th Series	346.71	Sr Mgr
08/21/2014	Washington Econ Dev Fin Auth	Lease Revenue Refunding Bonds	Series 2014 B	6.46	Co-Mgr
08/21/2014	Washington Econ Dev Fin Auth	Lease Revenue Ref Bonds	Series 2014 A	109.21	Co-Mgr
08/21/2014	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2014 Series C-1	123.14	Co-Mgr
08/21/2014	Energy Northwest	Electric Revenue Refunding Bonds	Series 2014 C	269.42	Co-Mgr
08/21/2014	Evanston City-Illinois	GO Corporate Purpose Bonds	Series 2014	12.05	Co-Mgr
08/21/2014	Merced Irrigation Dt	Wtr & Hydroelec Sys Ref Rev Bonds	Series 2014 B	0.73	Co-Mgr
08/21/2014	Merced Irrigation Dt	Wtr & Hydroelec Sys Ref Rev Bonds	Series 2014 A	30.69	Co-Mgr
08/26/2014	Aiken Co CSD	General Obligation Bonds	Series 2014	17.50	Sr Mgr
08/26/2014	Omaha Public Power Dt (OPPD)	Electric System Sub Rev Bonds	2014 Series AA & BB	204.75	Co-Mgr
08/27/2014	Tennessee State School Bond Au	Higher Education Fac Rev Bonds	2014 Series B	212.20	Sr Mgr
08/27/2014	Tennessee State School Bond Au	Higher Education Facs Rev Bonds	2014 Series A	132.45	Sr Mgr
08/27/2014	Michigan	State Trunk Line Fund Ref Bonds	Series 2014	265.09	Sr Mgr
08/27/2014	Hays CISD	Unlimited Tax School Bldg Bonds	Series 2014	51.66	Co-Mgr
08/28/2014	Lakewood Housing Authority	Multi-Family Housing Rev Bonds	Series 2014 A	8.00	Sr Mgr
08/29/2014	California Affordable Hsg Agcy	Multi-Family Housing Rev Bonds	Series 2014 A	3.60	Sr Mgr
08/29/2014	So California Metro Water Dt	Water Revenue Refunding Bonds	2014 Series G-1-5	57.84	Co-Mgr
08/29/2014	So California Metro Water Dt	Water Revenue Refunding Bonds	2014 Series E	86.06	Co-Mgr
09/01/2014	Stephens Co (Breckenridge) ISD	Unlimited Tax Refunding Bonds	Series 2014	5.20	Sr Mgr
09/01/2014	Bellaire City-Texas	General Obligation Bonds	Series 2014	10.43	Co-Mgr
09/01/2014	Montgomery Co MUD #113	Unlimited Tax Bonds	Series 2014	17.20	Co-Mgr
09/01/2014	Northwest Harris Co MUD #19	Unlimited Tax Bonds	Series 2014 A	10.24	Co-Mgr
09/01/2014	Cinco MUD #1	Contract Revenue Bonds	Series 2014	25.34	Co-Mgr
09/01/2014	Warren City-Michigan	Downtown Dev Ltd Tax Ref Bonds	Series 2014	31.75	Co-Mgr
09/02/2014	Westchester Co (Ossining) UFSD	School District Serial Bonds	Series 2014	18.40	Sr Mgr
09/03/2014	San Diego Co-California	Certificates of Participation	Series 2014 B	2.08	Co-Mgr
09/03/2014	San Diego Co-California	Certificates of Participation	Series 2014 A	91.68	Co-Mgr
09/04/2014	Florida State BOE	Pub Ed Capital Outlay Ref Bonds	2014 Series B	117.30	Sr Mgr
09/04/2014	Michigan Finance Authority	Loc Govt Loan Prog Rev Bonds	Ser 2014 C-1,3 & 5-8	908.39	Sr Mgr
09/04/2014	Michigan Finance Authority	Local Gov Loan Program Rev Bonds	Ser 2014 D 1-4 & 6-7	845.58	Sr Mgr
09/04/2014	Michigan Finance Authority	Local Gov Loan Program Rev Bonds	Series 2014 D-5	9.27	Sr Mgr
09/04/2014	Michigan Finance Authority	Loc Govt Loan Prog Rev Bonds	Series 2014 C-2	27.47	Sr Mgr
09/04/2014	New York City-New York	General Obligation Bonds	2015 Series A & B	980.00	Co-Mgr
09/09/2014	Charleston Ed Excellence Fin Corp	Install Purchase Rev Ref Bonds	Series 2014	111.57	Co-Mgr
09/10/2014	San Diego Co Reg Trans Comm	Ltd Tax Sales Tax Revenue Bonds	2014 Series A	350.00	Sr Mgr
09/10/2014	Montebello Public Fin Auth	Lease Revenue Refunding Bonds	Series 2014 C	5.40	Sr Mgr
09/10/2014	Montebello Public Fin Auth	Lease Revenue Refunding Bonds	Series 2014 A & B	12.80	Sr Mgr
09/10/2014	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2011 B	99.56	Sr Mgr
09/10/2014	Harris Co (Houston) ISD	Limited Tax Schoolhouse Bonds	Series 2014 A	349.74	Co-Mgr

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
09/11/2014	Kern Co Housing Authority	Multi-Family Housing Rev Bonds	Series 2014	9.15	Sr Mgr
09/11/2014	Hunt Memorial Hospital Dt	GO Refunding & Imp Bonds	Series 2014	20.94	Co-Mgr
09/11/2014	Orange Co-New York	Various Purposes Bonds	2014 Series	28.70	Co-Mgr
09/12/2014	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2014	27.50	Sr Mgr
09/15/2014	Auburn Town-Massachusetts	GO Municipal Purpose Loan Bonds	Series of 2014	16.43	Sr Mgr
09/15/2014	Hays Co-Texas	Limited Tax Refunding Bonds	Series 2014	9.11	Sr Mgr
09/16/2014	Connecticut Hlth & Ed Facs Auth	Revenue Refunding Bonds	Series O	21.24	Co-Mgr
09/18/2014	Knoxville City-Tennessee	Water System Revenue Bonds	Series AA 2014	8.00	Sr Mgr
09/24/2014	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2014 Series C-1-C&E	70.10	Co-Mgr
09/24/2014	NYC Municipal Water Fin Auth	Water & Sewer System Rev Bonds	Series DD	300.00	Co-Mgr
09/24/2014	Massachusetts	GO Consolidated Loan Bonds	Seires E of 2014	350.00	Co-Mgr
09/24/2014	Massachusetts	GO Refunding Bonds	2014 Series C	429.45	Co-Mgr
09/25/2014	Maine State Housing Authority	Mortgage Purchase Bonds	2014 Series C 1	41.95	Sr Mgr
09/25/2014	Maine State Housing Authority	Mortgage Purchase Bonds	2014 Series C 2	29.00	Sr Mgr
09/25/2014	Lawrence City-Kansas	GO Improvement Bonds	Series 2014 B	18.44	Sr Mgr
09/25/2014	Palm Beach Co Health Facs Auth	Hospital Revenue Refunding Bonds	Series 2014	89.57	Sr Mgr
09/30/2014	Denver City and Co-Colorado	Master Resolution Water Rev Bonds	Series 2014 A	48.67	Sr Mgr
09/30/2014	Charles Co County Commissioners	Consolidated Public Imp Bonds	Series of 2014	39.00	Sr Mgr
09/30/2014	Denver City Co Bd of Wtr Comm	Master Resolution Water Rev Bonds	Series 2014 A	48.67	Sr Mgr
09/30/2014	Prince Georges Co-Maryland	GO Consol Pub Imp Ref Bonds	Series 2014 B	26.80	Sr Mgr
09/30/2014	Virginia Resources Auth (VRA)	Clean Wtr Revol Ref Rev Bonds	Series 2014 B	178.94	Sr Mgr
09/30/2014	Guam Power Authority	Revenue Bonds	2014 Series A	76.47	Co-Mgr
09/30/2014	Triborough Bridge & Tunnel Auth	Subordinate Revenue Ref Bonds	Ser 2000 ABCD 1-5	147.85	Co-Mgr
09/30/2014	Texas Public Finance Auth (TPFA)	Revenue Bonds	Series 2014	500.00	Co-Mgr
10/01/2014	Riverside Co Successor Redev Agy	Tax Allocation Refunding Bonds	2014 Series D	28.13	Sr Mgr
10/01/2014	Riverside Co Successor Redev Agy	Tax Allocation Refunding Bonds	2014 Series A	19.62	Sr Mgr
10/01/2014	Riverside Co Successor Redev Agy	Tax Allocation Refunding Bonds	2014 Series E	16.55	Sr Mgr
10/01/2014	Riverside Co Successor Redev Agy	Tax Allocation Hsg Ref Bonds	2014 Series A	36.47	Sr Mgr
10/01/2014	Niagara Falls Bridge Commission	Toll Bridge System Revenue Bonds	Series 2014 A	33.63	Sr Mgr
10/01/2014	Madison City-Wisconsin	GO Promissory Notes	Series 2014 A	51.66	Sr Mgr
10/01/2014	Wichita City-Kansas	General Obligation Bonds	Series 811	144.00	Sr Mgr
10/01/2014	Chambers Co-Texas	Pass Thru Toll Rev &Ltd Tax Bonds	Series 2014	9.27	Sr Mgr
10/01/2014	Crawford Co (Alma) SD #30	Refunding Bonds		26.85	Co-Mgr
10/01/2014	Harris Co MUD #501	Unlimited Tax Bonds	Series 2014	13.10	Co-Mgr
10/01/2014	Seguin City-Texas	GO Refunding Bonds	Series 2014	8.47	Co-Mgr
10/02/2014	Augusta City-Georgia	Wtr & Swrage Rev Ref & Imp Bonds	Series 2014	169.18	Sr Mgr
10/06/2014	Chicago Park Dt	GO Limited Tax Refunding Bonds	Series 2014 D	25.97	Co-Mgr
10/07/2014	New Jersey Turnpike Authority	Turnpike Revenue Bonds	Series 2014 C	201.86	Sr Mgr
10/07/2014	California	GO Various Purpose & Ref Bonds		1869.64	Sr Mgr
10/07/2014	Virginia Public School Authority	Special Obligation Bonds	Series 2014	82.55	Sr Mgr
10/07/2014	California	General Obligation Bonds		200.00	Sr Mgr
10/07/2014	California	GO Various Purpose Bonds		300.00	Sr Mgr
10/08/2014	Grand Rapids City-Michigan	Sanit Swr Sys Rev Imp & Ref Bonds	Series 2014	55.23	Sr Mgr
10/08/2014	Minneapolis-St Paul Metro Airports Comm	Subordinate Airport Rev Ref Bonds	Series 2014 B	46.59	Sr Mgr
10/08/2014	Minneapolis-St Paul Metro Airports Comm	Subordinate Airport Rev Ref Bonds	Series 2014 A	217.79	Sr Mgr
10/09/2014	Ocean Co-New Jersey	General Improvement Bonds	Series 2014	42.71	Sr Mgr
10/09/2014	Springfield Public Utilities Board	Certificates of Participation	Series 2014	36.92	Sr Mgr
10/14/2014	Suffolk Co-New York	Public Improvement Bonds	2014 Series B	52.54	Sr Mgr
10/15/2014	St Petersburg City-Florida	Public Utility Ref Revenue Bonds	Series 2014 B	43.23	Sr Mgr
10/15/2014	Miami-Dade Co Expressway Auth	Toll Sys Rev & Refunding Bonds	Series 2014 B	266.43	Sr Mgr
10/15/2014	Bowie Co (Texarkana) ISD	Unlimited Tax Refunding Bonds	Series 2014	7.59	Sr Mgr
10/15/2014	NYC Sales Tax Asset Rec Corp	Sales Tax Asset Revenue Bonds	Series A	2035.33	Co-Mgr
10/16/2014	West Virginia Water Dev Auth	Infras Excess Lottery Rev Bonds	2014 Series A	75.79	Sr Mgr
10/16/2014	Middletown Twp Sewer Authority	Guaranteed Sewer Revenue Bonds	Series of 2014	7.10	Co-Mgr
10/16/2014	Louisiana St Univ & Agri & Mech Coll	Auxiliary Revenue Refunding Bonds	Series 2014	81.88	Co-Mgr
10/16/2014	Connecticut	Spcl Tax Oblig & Ref Trans Bonds	2014 Series A & B	731.55	Co-Mgr
10/17/2014	Wisconsin Public Finance Auth	Multi-Family Housing Rev Bonds	Series 2014	2.50	Sr Mgr
10/17/2014	New Jersey Economic Dev Auth	School Facilities Con Ref Notes	2014 Series K	119.06	Co-Mgr
10/17/2014	New Jersey Economic Dev Auth	School Facs Construction Bonds	2014 Series UU	525.00	Co-Mgr
10/21/2014	Gallup-McKinley Co SD #1	GO School Building Bonds	Series 2014	9.00	Sr Mgr
10/21/2014	Port Authority of NY & NJ	Consolidated Bonds	Series 187	250.00	Co-Mgr
10/21/2014	Port Authority of NY & NJ	Consolidated Bonds	Series 186	400.00	Co-Mgr
10/22/2014	Stockton Public Fin Authority	Wastewater Revenue Ref Bonds	Series 2014	69.44	Sr Mgr
10/22/2014	Brevard Co School Board	Ref Certificates of Participation	Series 2014	62.31	Co-Mgr
10/23/2014	Lexington-Fayette Urban Co Govt	Sewer Sys Rev Refunding Bonds	Series 2014 B	10.41	Sr Mgr
10/23/2014	Forsyth Co SD	General Obligation Bonds	Series 2014	94.17	Sr Mgr
10/23/2014	District of Columbia	GO & Refunding Bonds	Series 2014 C & D	515.55	Co-Mgr
10/23/2014	Grand River Dam Authority	Revenue Bonds	Series 2014 B	84.85	Co-Mgr
10/23/2014	Grand River Dam Authority	Revenue Bonds	Series 2014 A	225.64	Co-Mgr
10/23/2014	Los Angeles Dept of Wtr & Power	Power System Revenue Bonds	2014 Series D	450.00	Co-Mgr
10/23/2014	NYS Dorm Authority	State Sales Tax Revenue Bonds	Series 2014 B	15.29	Co-Mgr
10/23/2014	NYS Dorm Authority	State Sales Tax Revenue Bonds	Series 2014 A	1044.08	Co-Mgr
10/29/2014	Chandler City-Arizona	GO Refunding Bonds	Series 2014	214.54	Co-Mgr
10/29/2014	Georgia Housing Finance Authority	Single Family Mortgage Rev Bonds	2014 Series B-2	22.83	Co-Mgr
10/29/2014	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2014 Subseries B1-3	80.18	Co-Mgr
10/29/2014	Yonkers City-New York	School Serial Bonds	Series 2014 D	21.36	Co-Mgr
10/30/2014	Knox Co-Tennessee	GO Refunding Bonds	Series 2014 B	56.84	Sr Mgr
10/30/2014	Cumberland Co Imp Auth	County GO Revenue Bonds	Series 2014	63.89	Sr Mgr
10/30/2014	Eastern Municipal Water Dt Fin Auth	Water & Wastewater Rev Ref Bonds	Series 2014 C	54.77	Sr Mgr
10/30/2014	Univ of Vermont St Agric College	General Obligation Bonds	Series 2014	68.24	Co-Mgr
10/30/2014	Newberry Investing In Children's Ed	Installment Purchase Ref Bonds	Series 2014	61.19	Co-Mgr
10/30/2014	Los Angeles Co Redev Ref Auth	Tax Allocation Rev Ref Bonds	Series 2014 D	22.44	Co-Mgr
10/30/2014	Los Angeles Co Redev Ref Auth	Tax Allocation Rev Ref Bonds	Series 2014 C	148.64	Co-Mgr

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
11/01/2014	Univ of Houston Sys Bd of Regents	Consolidated Revenue Ref Bonds	Series 2014	47.92	Sr Mgr
11/01/2014	Lavaca-Navidad River Authority	Wtr Supply Contract Rev Ref Bonds	Series 2014 C	22.06	Sr Mgr
11/01/2014	Lavaca-Navidad River Authority	Wtr Supply Contract Rev Ref Bonds	Series 2014 A & B	25.29	Sr Mgr
11/01/2014	Harris Co (Cypress-Fairbanks) ISD	Unlimited Tax Sch Bldg&Ref Bonds	Series 2014 C	435.74	Co-Mgr
11/01/2014	Walker Co (Huntsville) ISD	Unlimited Tax Refunding Bonds	Series 2014	25.64	Co-Mgr
11/01/2014	Pike Co (Centerpoint) SD #43	Refunding & Construction Bonds		10.31	Co-Mgr
11/04/2014	Fairfax Co-Virginia	Public Improvement Ref Bonds	Series 2014 B	202.19	Sr Mgr
11/04/2014	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Ser 2014 Subser D-2	165.00	Co-Mgr
11/04/2014	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Ser 2014 Subser D-1	335.00	Co-Mgr
11/05/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Series	30.02	Sr Mgr
11/05/2014	Massachusetts	GO Conso Loan Ltd Tax Bonds	2014 Series 11	6.13	Sr Mgr
11/06/2014	Milwaukee Co-Wisconsin	GO Corporate Purpose Bonds	Series 2014 A	39.24	Sr Mgr
11/06/2014	Alaska Housing Finance Corp	State Capital Project Bonds II	2014 Series D	78.11	Co-Mgr
11/06/2014	Delaware	General Obligation Bonds	Series 2014 B	306.87	Co-Mgr
11/06/2014	Anchorage City-Alaska	GO & Refunding Bonds	2014 Series A-D	215.09	Co-Mgr
11/06/2014	San Joaquin Hills Trans Corridor Agy	Sr & Jr Lien Toll Road Rev Bonds	Series 2014 A & B	1392.76	Co-Mgr
11/07/2014	Franklin Twp-New Jersey	GO Gen Imp Open Space & Wtr Bonds	Series 2014	19.76	Co-Mgr
11/10/2014	Milford City-Connecticut	General Obligation Bonds	Issue of 2014	14.20	Co-Mgr
11/13/2014	Yonkers City-New York	GO Refunding Bonds	Series 2014 A	47.36	Sr Mgr
11/13/2014	NYC Housing Dev Corp	Multi-Family Mortgage Rev Bonds	Series 2014	203.90	Sr Mgr
11/13/2014	NYC Housing Dev Corp	Multi-Family Mortgage Rev Bonds	Series 2014	346.10	Sr Mgr
11/13/2014	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2014 Series F	68.47	Sr Mgr
11/13/2014	Carroll Co County Commissioners	Conso Pub Improvement & Ref Bonds	Series of 2014	73.52	Sr Mgr
11/13/2014	Ross Valley Public Fin Auth	Revenue Bonds	2014 Series	30.16	Sr Mgr
11/13/2014	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2014 Series D-2	61.54	Co-Mgr
11/13/2014	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2014 Series D-1	96.20	Co-Mgr
11/13/2014	Hubbard Co (Park Rapids) ISD #309	GO School Building Ref Bonds	Series 2014 A	17.05	Co-Mgr
11/13/2014	Yonkers City-New York	School Refunding Bonds	Series 2014 B	14.43	Co-Mgr
11/13/2014	Anchorage City-Alaska	Sr Lien Electric Rev Ref Bonds	2014 Series A	189.58	Co-Mgr
11/13/2014	Hawaii Dept of Business Econ Dev & Tourism	Green Energy Market Sec Bonds	2014 Series A 1 & 2	150.00	Co-Mgr
11/13/2014	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2015 Ser B Subser B1	700.00	Co-Mgr
11/14/2014	Village CDD #11	Special Assessment Rev Bonds	Series 2014	56.12	Sr Mgr
11/14/2014	Anaheim Public Financing Auth	Lease Revenue Bonds	2014 Series B	6.20	Sr Mgr
11/14/2014	Anaheim Public Financing Auth	Lease Revenue Bonds	2014 Series A	252.73	Sr Mgr
11/15/2014	Coppell Recreation Dev Corp	Sales Tax Rev Ref & Imp Bonds	Series 2014	31.73	Sr Mgr
11/15/2014	Victoria Co (Victoria) ISD	Unlimited Tax Refunding Bonds	Series 2014	12.51	Sr Mgr
11/18/2014	Grant Co Public Utility Dt #2	Revenue & Refunding Bonds	Series 2014 A	150.73	Sr Mgr
11/18/2014	Grant Co Public Utility Dt #2	Revenue & Refunding Bonds	Series 2014 B	53.44	Sr Mgr
11/18/2014	Ernest N Morial Exhibition Hall Auth	Special Tax Refunding Bonds	Series 2014	65.88	Co-Mgr
11/18/2014	California St Public Works Board	Lease Revenue Refunding Bonds	2014 Series I	17.64	Co-Mgr
11/18/2014	California St Public Works Board	Lease Revenue Refunding Bonds	2014 Series H	79.76	Co-Mgr
11/18/2014	California St Public Works Board	Lease Revenue Refunding Bonds	2014 Serie G	137.75	Co-Mgr
11/19/2014	Seminole Co School Board	Certificates of Participation	Series 2014 A	20.46	Sr Mgr
11/19/2014	Unified Gov of Wyandotte Co/Kansas City	Sales Tx Spcl Oblig Rev Ref Bonds	Series 2014	10.89	Sr Mgr
11/19/2014	Montgomery Co-Maryland	GO Consolidated Public Imp Bonds	2014 Series A	500.00	Sr Mgr
11/19/2014	Massachusetts Water Resources Au	General Revenue Refunding Bonds	2014 Series E	28.25	Sr Mgr
11/19/2014	Kern Co Housing Authority	Multi-Family Housing Rev Bonds	Series 2014	7.80	Sr Mgr
11/19/2014	Wisconsin Public Finance Auth	Multi-Family Housing Rev Bonds	Series 2014	4.00	Sr Mgr
11/19/2014	Hamilton Co-Ohio	Sewer System Refunding Rev Bonds	2014 Series A	162.65	Co-Mgr
11/20/2014	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2014 Series D & E	71.55	Sr Mgr
11/20/2014	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2014 Series F	39.02	Sr Mgr
11/20/2014	Indian River Co School Bd	Ref Certificates of Participation	Series 2014 A	45.46	Sr Mgr
11/20/2014	Grant Co Public Utility Dt #2	Electric System Revenue Bonds	Series 2014 K	50.00	Sr Mgr
11/20/2014	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Issue 2014 2A	27.89	Co-Mgr
11/20/2014	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Issue 2014 2B & 2C	122.12	Co-Mgr
11/20/2014	Miami-Dade Co School Board	Certificates of Participation	Series 2014 D	277.00	Co-Mgr
11/20/2014	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 174	25.93	Co-Mgr
11/20/2014	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 172 & 173	66.50	Co-Mgr
11/20/2014	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	2015 Series EE	392.11	Co-Mgr
11/25/2014	Louisville-Jefferson Co Metro Swr Dt	Sewer & Drainage Sys Rev Bonds	Series 2014 A	80.00	Sr Mgr
11/25/2014	California	Various Purpose GO Bonds		270.00	Sr Mgr
11/25/2014	District of Columbia Hsg Fin Agy	Multi-Family Housing Rev Bonds	Series 2014	21.57	Sr Mgr
11/25/2014	East Ctrl Reg Wstwtr Treatment Facs Op Bd	Wstwtr Treatment Facs Rev Bonds	Series 2014	86.59	Co-Mgr
11/25/2014	Hawaii	GO Refunding Bonds	2014 Series ER	5.88	Co-Mgr
11/25/2014	Hawaii	GO & Refunding Bonds	Ser EG-ES of 2014	218.88	Co-Mgr
11/25/2014	Hawaii	GO & Refunding Bonds	Ser EO & EP of 2014	784.02	Co-Mgr
11/25/2014	Hollywood City-Florida	Water & Sewer Refunding Rev Bonds	Series 2014	47.16	Co-Mgr
11/26/2014	Maryland Hlth & Higher Ed Facs Au	Refunding Revenue Bonds	Series 2014	127.31	Sr Mgr
12/01/2014	Sugar Land City-Texas	Comb Tax & Rev Certs of Oblig	Series 2014	9.98	Sr Mgr
12/01/2014	Arkansas	Fed Highway Grant Antic & Tax Rev	Series 2014	206.53	Sr Mgr
12/01/2014	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2014 A	9.50	Sr Mgr
12/01/2014	Texas Coastal Water Auth (CWA)	Contract Revenue Refunding Bonds	Series 2014	38.42	Sr Mgr
12/01/2014	El Paso City-Texas	Water & Sewer Rev Ref Bonds	Series 2015	131.65	Sr Mgr
12/01/2014	Dallas Co (Irving) ISD	Unlimited Tax Refunding Bonds	Series 2015	9.25	Sr Mgr
12/01/2014	Dallas Co (Irving) ISD	Unlimited Tax Refunding Bonds	Series 2015	59.76	Sr Mgr
12/01/2014	Boone Co (Harrison) SD #1	Refunding & Construction Bonds		45.39	Co-Mgr
12/01/2014	Fort Bend Co MUD #58	Unlimited Tax Bonds	Series 2014	19.80	Co-Mgr
12/01/2014	Round Rock City-Texas	Comb Tax & Rev Certs of Oblig	Series 2014	27.27	Co-Mgr
12/02/2014	Gardena Financing Agency	Lease Revenue Refunding Bonds	Series 2014	9.11	Sr Mgr
12/02/2014	Minneapolis City-Minnesota	GO Various Purpose Bonds	Series 2014	37.40	Sr Mgr
12/02/2014	Spokane City-Washington	Water & Wastewater Sys Rev Bonds	Series 2014	181.23	Sr Mgr
12/03/2014	Cape Fear Public Utility Auth	Water & Sewer Ref Rev Bonds	Series 2014 B	4.73	Sr Mgr
12/03/2014	Cape Fear Public Utility Auth	Water & Sewer Sys Rev & Ref Bonds	Series 2014 A	60.36	Sr Mgr

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12/03/2014	Gary-Chicago Intl Airport Authority	Airport Dev Zone Revenue Bonds	Series 2014	29.86	Sr Mgr
12/03/2014	Massachusetts	GO Bonds Consolidated Loan	Series 12	34.90	Sr Mgr
12/03/2014	Massachusetts	Limited Tax GO Bonds	2014 Series 13	4.91	Sr Mgr
12/03/2014	Louisville-Jefferson Co Metro Gov	General Obligation Bonds	Series 2014 D	9.91	Co-Mgr
12/03/2014	Louisville-Jefferson Co Metro Gov	General Obligation Notes	Series 2014 E	19.56	Co-Mgr
12/04/2014	Richland Co SD #1	GO Refunding Bonds	Series 2014 C	59.12	Sr Mgr
12/04/2014	Albany Co-New York	GO Refunding Bonds	Series 2014	34.37	Sr Mgr
12/04/2014	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2014 Subseries F2	18.65	Sr Mgr
12/04/2014	Connecticut Housing Finance Auth	Housing Mortgage Fin Prog Bonds	2014 Subseries F-1	39.46	Sr Mgr
12/04/2014	Louisiana	General Obligation Bonds	Series 2014 D1 & D2	199.99	Sr Mgr
12/09/2014	Chester Water Authority	Water Revenue Bonds	Series of 2014	63.72	Sr Mgr
12/09/2014	Edmond Public Works Authority	Sales Tx & Util Sys Rev Ref Bonds	Series 2014 B	20.76	Sr Mgr
12/09/2014	Austin City-Texas	Airport System Revenue Bonds	Series 2014	244.50	Sr Mgr
12/09/2014	Vermont	General Obligation Bonds	2014 Series A	20.31	Co-Mgr
12/10/2014	Spokane SD #81	Unlimited Tax GO Bonds	Series 2014	25.53	Sr Mgr
12/10/2014	Islip Town-New York	Public Improvement Bonds	2014 Series A	18.45	Co-Mgr
12/10/2014	Massachusetts	Federal Highway GANs	2014 Series A	347.31	Co-Mgr
12/11/2014	Indiana Municipal Power Agency	Power Supply Sys Ref Rev Bonds	2014 Series A	167.73	Sr Mgr
12/11/2014	Las Vegas City-Nevada	GO Limited Tax Sewer Bonds	Series 2014 A	74.77	Sr Mgr
12/11/2014	Winston-Salem City-North Carolina	Wtr & Swr Sys Rev Ref Bonds	Series 2014 B	15.29	Sr Mgr
12/11/2014	Winston-Salem City-North Carolina	Wtr & Swr Sys Rev Ref Bonds	Series 2014 A	33.09	Sr Mgr
12/11/2014	Massachusetts Housing Fin Agcy	Housing Bonds	2014 Series D	29.58	Co-Mgr
12/11/2014	Massachusetts Housing Fin Agcy	Housing Bonds	2014 Series E	40.20	Co-Mgr
12/12/2014	Celebration Community Dev Dt	Special Assessment Revenue Bonds	Series 2014	33.73	Sr Mgr
12/15/2014	Denton Co-Texas	Permanent Improvement Ref Bonds	Series 2014	29.55	Sr Mgr
12/15/2014	Keller City-Texas	GO Refunding Bonds	Series 2015	10.48	Sr Mgr
12/15/2014	Keller City-Texas	Comb Tax & Tax Increment Bonds	Series 2015 A	9.55	Sr Mgr
12/15/2014	Fort Bend Co-Texas	Senior Lien Toll Road Rev Bonds	Series 2014	45.00	Co-Mgr
12/16/2014	South Carolina St Hsg Fin Dev Au	Mortgage Revenue Bonds	Series 2014 A-2	27.12	Sr Mgr
12/16/2014	South Carolina St Hsg Fin Dev Au	Mortgage Revenue Bonds	Series 2014 A-1	60.00	Sr Mgr
12/16/2014	Northern Virginia Transport Auth	Trans Special Tax Revenue Bonds	Series 2014	69.05	Sr Mgr
12/16/2014	Long Island Power Authority	Electric System General Rev Bonds	Series 2014 B	164.95	Co-Mgr
12/16/2014	Long Island Power Authority	Electric Sys Gen Revenue Bonds	Series 2014 A	413.07	Co-Mgr
12/16/2014	Passaic Co-New Jersey	Gen Imp Co Coll Voc School Bonds	Series 2014 A	25.68	Co-Mgr
12/17/2014	Concord City-North Carolina	Limited Obligation Bonds	Series 2014 A	29.34	Sr Mgr
12/17/2014	Concord City-North Carolina	Limited Obligation Bonds	Series 2014 B	5.26	Sr Mgr
12/17/2014	Brevard Co Health Facs Auth	Health Facilities Rev Ref Bonds	Series 2014	255.39	Sr Mgr
12/17/2014	Nassau Co (Rockville Centre) UFSD	School District Ref Serial Bonds	Series 2014	8.57	Co-Mgr
12/17/2014	Miami-Dade Co-Florida	Aviation Revenue Refunding Bonds	Series 2014 B	162.23	Co-Mgr
12/17/2014	Miami-Dade Co-Florida	Aviation Revenue Refunding Bonds	Series 2014 A	598.92	Co-Mgr
12/17/2014	NYS Thruway Authority	General Revenue Refunding Bonds	Series K	743.87	Co-Mgr
12/17/2014	East Baton Rouge Sewerage Comm	Revenue Refunding Bonds	Series 2014 A	127.46	Co-Mgr
12/17/2014	East Baton Rouge Sewerage Comm	Revenue Refunding Bonds	Series 2014 B	205.44	Co-Mgr
12/18/2014	Florida Board of Governors	Parking Facility Rev Ref Bonds	Series 2014 A	13.49	Sr Mgr
12/18/2014	Kentucky Housing Corporation	Housing Revenue Bonds	2014 Series B	30.00	Sr Mgr
12/18/2014	Port of Morrow-Oregon	Transmission Facs Rev Bonds	Series 2014	193.08	Sr Mgr
12/18/2014	Bay Area Toll Authority (BATA)	Subordinate Toll Bridge Rev Bonds	2014 Series S-6	275.00	Sr Mgr
12/18/2014	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2014 Series G	101.83	Sr Mgr
12/18/2014	Texas Transportation Commission	GO Mobility Fund Bonds	Series 2014 B	250.00	Co-Mgr
12/18/2014	Texas Transportation Commission	GO Mobility Fund & Ref Bonds	Series 2014 A	1580.16	Co-Mgr
12/18/2014	Suffolk Co (Longwood) CSD	Library Serial Bonds	Series 2014	17.60	Co-Mgr
12/18/2014	Bay Area Toll Authority (BATA)	Subordinate Toll Bridge Rev Bonds	2014 Series S-5	25.00	Co-Mgr
12/18/2014	Bay Area Toll Authority (BATA)	Toll Bridge Revenue Bonds	2014 Series F-2	80.34	Co-Mgr
12/18/2014	Bay Area Toll Authority (BATA)	Toll Bridge Revenue Bonds	2014 Series G & H	143.70	Co-Mgr
12/18/2014	Bay Area Toll Authority (BATA)	Toll Bridge Revenue Bonds	2014 Series D & E	287.41	Co-Mgr
12/18/2014	Louisiana Gov Env & Comm Dev	Revenue Bonds	Series 2014	128.33	Co-Mgr
12/18/2014	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2014 Series G-1 & 2	346.21	Co-Mgr
12/22/2014	Dallas City-Texas	GO Refunding & Improvement Bonds	Series 2014	529.37	Co-Mgr
12/23/2014	Harris Co-Houston Sports Auth	Senior Lien Revenue Ref Bonds	Series 2014 B	47.44	Sr Mgr
12/23/2014	Harris Co-Houston Sports Auth	Second Lien Revenue Ref Bonds	Series 2014 C	75.88	Sr Mgr
12/23/2014	Harris Co-Houston Sports Auth	Senior Lien Revenue Ref Bonds	Series 2014 A	90.42	Sr Mgr
12/23/2014	Harris Co-Houston Sports Auth	Senior Lien Revenue Ref Bonds	Series 2014 A	344.78	Sr Mgr
12/23/2014	New Hampshire	GO Capital Improvement Bonds	2014 Series B	55.01	Sr Mgr
12/23/2014	Riverside Co Public Fin Authority	Tax Allocation Revenue Bonds	Series 2014	11.11	Sr Mgr
12/23/2014	Massachusetts	GO Refunding Bonds	2014 Series D	58.44	Sr Mgr
12/23/2014	Florin Resource Conservation Dt	Water Revenue Refunding Bonds	2014 Series A	32.33	Sr Mgr
12/23/2014	New Hampshire	GO Refunding Bonds	2014 Series A	89.93	Co-Mgr
12/23/2014	Los Angeles Co Redev Agency	Tax Allocation Revenue Ref Bonds	Series 2014 E	6.99	Co-Mgr
12/23/2014	NYC Housing Dev Corp	Multi-Family Rental Hsg Rev Bonds	2014 Series A-2	5.00	Co-Mgr
12/23/2014	NYC Housing Dev Corp	Multi-Family Rental Hsg Rev Bonds	2014 Series A-1	230.00	Co-Mgr
12/29/2014	Peace River-Manasota Reg Wtr Supply Au	Utility System Ref Revenue Bonds	Series 2014 B	56.07	Sr Mgr
12/29/2014	South Jersey Transportation Auth	Transportation Sys Rev Ref Bonds	2014 Series B	17.26	Sr Mgr
12/29/2014	South Jersey Transportation Auth	Transportation Sys Rev Ref Bonds	2014 Series A	112.31	Sr Mgr
12/30/2014	Hennepin (Bloomington) ISD #271	GO School Building Ref Bonds	Series 2014 A	24.36	Co-Mgr
12/30/2014	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2014 F	26.10	Co-Mgr
12/30/2014	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2014 G	55.83	Co-Mgr
12/30/2014	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2014 E	468.29	Co-Mgr
12/31/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Series 14	23.16	Sr Mgr
12/31/2014	Massachusetts	GO Consolidated Loan Bonds	2014 Series 2015	2.54	Sr Mgr
01/06/2015	St Lucie Co School Board	Ref Certificates of Participation	Series 2015 A	26.08	Sr Mgr
01/06/2015	Allegheny Co (Baldwin-Whitehall) SD	General Obligation Bonds	Series of 2015	9.90	Co-Mgr
01/07/2015	Utah State Board of Regents	General Revenue & Refunding Bonds	Series 2015 A-1 & 2	45.33	Sr Mgr
01/07/2015	Bucks Co (Quakertown) CSD	General Obligation Bonds	Series of 2015	18.67	Co-Mgr

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01/07/2015	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2014 Series H-1	75.00	Co-Mgr
01/07/2015	Omaha Public Power Dt (OPPD)	Electric System Revenue Bonds	2015 Series A & B	353.06	Co-Mgr
01/08/2015	Los Angeles Comm College Dt	General Obligation Bonds	Series G	300.00	Co-Mgr
01/08/2015	Los Angeles Comm College Dt	GO & Refunding Bonds	Series A	1495.58	Co-Mgr
01/08/2015	Los Angeles Dept of Wtr & Power	Power System Revenue Bonds	2014 Series E	229.00	Co-Mgr
01/09/2015	Westchester Co (Somers) CSD	School District Ref Serial Bonds	Series 2015	17.15	Co-Mgr
01/13/2015	Las Vegas Valley Water Dt	GO Water Refunding Bonds	Series 2015	332.41	Sr Mgr
01/14/2015	Orange Co School Board	Certificates of Participation	Series 2015 C	132.34	Sr Mgr
01/14/2015	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2015 A	971.06	Sr Mgr
01/14/2015	Palm Beach Co School Board	Certificates of Participation	Series 2015 B	145.54	Co-Mgr
01/21/2015	Illinois Housing Dev Authority	Housing Bonds	2015 Subser A-2	13.30	Sr Mgr
01/21/2015	Illinois Housing Dev Authority	Housing Bonds	2015 Subser A-1	30.67	Sr Mgr
01/21/2015	St Johns Co School Board	Ref Certificates of Participation	Series 2015	54.85	Sr Mgr
01/21/2015	Illinois Housing Dev Authority	Housing Bonds	2015 Subseries A-3	22.58	Sr Mgr
01/22/2015	Ann Arbor City-Michigan	GO Limited Tax Refunding Bonds	Series 2015 A	6.34	Sr Mgr
01/22/2015	NYC Transitional Finance Auth	Building Aid Revenue Bonds	2015 Series S-1	750.00	Co-Mgr
01/22/2015	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2015 Sub A-2	250.00	Co-Mgr
01/22/2015	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2015 Sub A-1	600.00	Co-Mgr
01/27/2015	Oregon Dept of Transportation	Hway User Tax Rev Sr Ln Ref Bonds	Series 2015 A	381.31	Sr Mgr
01/28/2015	Arizona Transportation Board	Highway Revenue Ref Bonds	Series 2015	377.50	Sr Mgr
01/28/2015	Oregon Dept of Admin Services	Oregon State Lottery Rev Bonds	2015 Series A	77.81	Sr Mgr
01/28/2015	Oregon Dept of Admin Services	Oregon State Lottery Rev Bonds	2015 Series C	118.00	Sr Mgr
01/28/2015	Oregon Dept of Admin Services	Oregon State Lottery Rev Bonds	2015 Series D	164.23	Sr Mgr
01/28/2015	Oregon Dept of Admin Services	Oregon State Lottery Rev Bonds	2015 Series E	22.71	Sr Mgr
01/28/2015	Oregon Dept of Admin Services	Oregon State Lottery Rev Bonds	2015 Series B	38.95	Sr Mgr
01/28/2015	Oregon Dept of Admin Services	Oregon State Lottery Rev Bonds	2015 Series F	17.20	Sr Mgr
01/28/2015	Triborough Bridge & Tunnel Auth	General Revenue Bonds	Subseries 2003 B-2	46.05	Sr Mgr
01/28/2015	Wisconsin Public Finance Auth	Assisted Living Fac Revenue Bonds	Series 2015	12.32	Sr Mgr
01/29/2015	Florida Department of Mgmt Svcs	Ref Certificates of Participation	Series 2015 A	99.63	Sr Mgr
01/29/2015	Binghamton City-New York	Public Improvement Serial Bonds	Series 2015	12.01	Co-Mgr
02/01/2015	Garland City-Texas	GO Refunding Bonds	Series 2015 A	22.70	Sr Mgr
02/01/2015	Tarrant Regional Water Dt	Water Revenue Refunding Bonds	Series 2015	156.47	Sr Mgr
02/01/2015	Williamson Co (Round Rock) ISD	School Building Bonds	Series 2015	74.99	Sr Mgr
02/01/2015	Waco City-Texas	GO Ref & Comb Tax Rev Certs Oblig	Series 2015	86.55	Co-Mgr
02/03/2015	Cook Co (New Trier) THSD #203	GO School Building Bonds	Series 2015 A	86.97	Sr Mgr
02/04/2015	Buffalo-Hanover-Montrose ISD #877	GO School Building Bonds	Series 2015 A	32.62	Sr Mgr
02/04/2015	Colorado Hsg & Fin Au (CHFA)	Multi-Family Housing Rev Bonds	Series 2015	18.00	Sr Mgr
02/04/2015	Univ of Colorado Hospital Auth	Revenue & Refunding Bonds	Series 2015 A B C	265.59	Sr Mgr
02/04/2015	Texas Transportation Commission	Revenue Refunding Bonds	Series 2015-B	28.00	Co-Mgr
02/04/2015	Texas Transportation Commission	1st Tier Revenue Refunding Bonds	Series 2015-B	198.03	Co-Mgr
02/04/2015	Texas Transportation Commission	1st Tier Revenue Ref Put Bonds	Series 2015-A	225.00	Co-Mgr
02/04/2015	Texas Transportation Commission	2nd Tier Revenue Refunding Bonds	Series 2015-C	1157.32	Co-Mgr
02/05/2015	Monroe Co Industrial Dev Agency	School Facility Rev Bonds	Series 2015	44.23	Sr Mgr
02/05/2015	Missouri Env Imp & Energy Res Au	Water PC & Drinking Wtr Rev Bonds	Series 2015 A	29.94	Co-Mgr
02/05/2015	Pittsfield City-Massachusetts	St Qual GO Muni Purp Loan Bonds	Series of 2015	11.63	Co-Mgr
02/11/2015	Broward Co School Board	Certificates of Participation	Series 2015 B	170.81	Sr Mgr
02/11/2015	Broward Co School Board	Certificates of Participation	Series 2015 A	252.36	Sr Mgr
02/11/2015	Indiana Finance Authority	State Revolving Fund Loan Bonds	Series 2015 A	100.00	Sr Mgr
02/11/2015	Mooresville Town-North Carolina	GO Public Improvement Bonds	Series 2015	20.00	Sr Mgr
02/11/2015	Kentucky St Property & Bldg Comm	Revenue & Refunding Bonds	Series A & B	384.00	Sr Mgr
02/11/2015	Maryland Hlth & Hghr Ed Facs Au	Revenue Bonds	Series 2015	357.21	Co-Mgr
02/12/2015	Portland City-Maine	General Obligation Bonds	2015 Series A	19.93	Co-Mgr
02/15/2015	Bowie Co (Texarkana) ISD	Unltd Tax School Bldg & Ref Bonds	Series 2015	15.78	Sr Mgr
02/15/2015	Bowie Co (Texarkana) ISD	Unltd tax School Bldg & Ref Bonds	Series 2015	27.15	Sr Mgr
02/15/2015	Brazos Co (Bryan) ISD	Unlimited Tax Refunding Bonds	Series 2015 B	42.02	Sr Mgr
02/15/2015	El Paso Co (Canutillo) ISD	Unlimited Tax Refunding Bonds	Series 2015	8.08	Co-Mgr
02/17/2015	Virginia Public School Authority	School Financing Refunding Bonds	Series 2015 A	435.72	Sr Mgr
02/17/2015	Maine State Housing Authority	Mortgage Purchase Bonds	2015 Series A 1	13.49	Co-Mgr
02/17/2015	Maine State Housing Authority	Mortgage Purchase Bonds	2015 Series A 2&3	55.56	Co-Mgr
02/18/2015	Texas A&M University System	Revenue Financing System Bonds	Series 2015 A	64.67	Sr Mgr
02/18/2015	Texas A&M University System	Revenue Financing System Bonds	Series 2015 B	142.16	Sr Mgr
02/18/2015	Florida Housing Finance Corp	Homeowner Mortgage Revenue Bonds	2015 Series A	60.00	Sr Mgr
02/18/2015	Mississippi	General Obligation Bonds	Series 2015 A	154.69	Co-Mgr
02/18/2015	Mississippi	GO Refunding Bonds	Series 2015 D	179.14	Co-Mgr
02/19/2015	Palm Beach Co Solid Waste Auth	Refunding Revenue Bonds	Series 2015	50.33	Sr Mgr
02/19/2015	Lake Co School Board	Certificates of Participation	Series 2015 B	56.27	Sr Mgr
02/19/2015	Louisiana	Gas & Fuel Tax Rev Ref Bonds	2015 Series A & B	624.80	Sr Mgr
02/19/2015	Kent Co-Michigan	Revenue Refunding Bonds	Series 2015	93.93	Sr Mgr
02/19/2015	Los Angeles (Long Beach) USD	General Obligation Bonds	Series D	180.00	Sr Mgr
02/19/2015	Nevada System of Higher Ed	Universities Revenue Bonds	Series 2015 A	61.46	Sr Mgr
02/25/2015	Orange Co School Board	Certificates of Participation	Series 2015 D	114.17	Sr Mgr
02/25/2015	Grand Rapids City-Michigan	Water Supply Sys Rev Ref Bonds	Series 2015	25.37	Sr Mgr
02/25/2015	Loudoun Co Economic Dev Auth	Public Facility Lease Rev Bonds	Series 2015 A	30.99	Sr Mgr
02/25/2015	Barren Co SD Finance Corp	School Building Ref Rev Bonds	Series of 2015	6.53	Co-Mgr
02/25/2015	Connecticut Housing Finance Auth	Housing Mtg Finance Program Bonds	2015 Series A	150.00	Co-Mgr
02/25/2015	Rockville Centre Vlg-New York	Public Improvement Serial Bonds	Series 2015	14.46	Co-Mgr
02/26/2015	Santa Clara Valley Trans Auth	Sales Tax Revenue Refunding Bonds	2015 Series A	86.64	Sr Mgr
02/26/2015	Santa Clara Valley Trans Auth	Sales Tax Revenue Refunding Bonds	2015 Series B	3.34	Sr Mgr
02/26/2015	Oregon	GO Refunding Bonds	2015 Series B	69.22	Sr Mgr
02/26/2015	Oregon	GO Refunding Bonds	2015 Series A	81.97	Sr Mgr
02/26/2015	Maryland Dept of Transportation	Consolidated Transportation Bonds	Series 2015	265.54	Sr Mgr
03/01/2015	Cleveland Co (Norman) ISD #29	GO Combined Purpose Bonds	Series 2015	8.00	Sr Mgr
03/01/2015	Boone Co SD Finance Corp	School Building Ref Rev Bonds	Series 2015	12.38	Sr Mgr

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03/01/2015	Edinburg City-Texas	Combined Tax Rev Certs of Oblig	Series 2015 A & B	11.39	Sr Mgr
03/01/2015	Edinburg Loc Gov Fin Corp	Contract Revenue Bonds	Series 2015 A	37.25	Sr Mgr
03/01/2015	Edinburg Loc Gov Fin Corp	Contract Revenue Bonds	Series 2015 B	3.48	Sr Mgr
03/01/2015	Edinburg Econ Dev Corp	Sales Tax Revenue Refunding Bonds	Series 2015	19.72	Sr Mgr
03/01/2015	Collin Co (Prosper) ISD	Unlimited Tax Refunding Bonds	Series 2015	158.57	Co-Mgr
03/02/2015	Tulsa City-Oklahoma	GO Refunding Bonds	2015 Series A	45.42	Sr Mgr
03/03/2015	Tampa Bay Water Auth	Utility System Ref Rev Bonds	Series 2015 A	180.84	Sr Mgr
03/03/2015	Cherokee Co School System	General Obligation Bonds	Series 2015	108.96	Sr Mgr
03/03/2015	Colleton SD	General Obligation Bonds	Series 2015 A	10.00	Sr Mgr
03/03/2015	Hamilton Co-Ohio	Sewer System Refunding Rev Bonds	2015 Series A	52.52	Co-Mgr
03/03/2015	Glendale City-Arizona	Sr Lien Wtr & Swr Rev Ref Oblig	Series 2015	121.25	Co-Mgr
03/03/2015	East Bay MUD	Water System Revenue Ref Bonds	Series 2015 A	429.36	Co-Mgr
03/03/2015	Tampa Bay Water Auth	Utility System Ref Rev Bonds	Series 2015 B	95.98	Co-Mgr
03/03/2015	Bergen Co (Fair Lawn) SD BOE	School Bonds	Series 2015	10.68	Co-Mgr
03/04/2015	University of Washington	General Revenue & Refunding Bonds	Series 2015 A	47.72	Sr Mgr
03/04/2015	University of Washington	General Revenue Refunding Bonds	Series 2015 B	170.56	Sr Mgr
03/04/2015	Maine State Housing Authority	Mortgage Purchase Bonds	2015 Series C	40.00	Sr Mgr
03/04/2015	Forsyth Co Water & Sewerage Auth	Refunding & Improvement Rev Bonds	Series 2015	75.67	Sr Mgr
03/04/2015	Maine State Housing Authority	Mortgage Purchase Bonds	2015 Series B	32.00	Sr Mgr
03/04/2015	Fairfax Co-Virginia	Public Improvement Bonds	Series 2015 A	227.34	Sr Mgr
03/04/2015	Oconee Co SD	General Obligation Bonds	Series 2015 A	13.55	Sr Mgr
03/04/2015	So California Pub Pwr Au (SCPPA)	Transmission Proj Revenue Bonds	2015 Sub Series B	28.93	Co-Mgr
03/04/2015	So California Pub Pwr Au (SCPPA)	Transmission Proj Revenue Bonds	2015 Sub Series A	84.64	Co-Mgr
03/05/2015	Suffolk Co (Islip) UFSD	School District Serial Bonds	Series 2015	25.40	Sr Mgr
03/05/2015	Washington State Hsg Fin Comm	Multi-Family Housing Rev Bonds	Series 2015	33.00	Sr Mgr
03/05/2015	Oklahoma City-Oklahoma	GO Refunding Bonds	Series 2015	65.19	Co-Mgr
03/10/2015	Rochester City-New Hampshire	General Obligation Bonds	2015 Series B	8.65	Co-Mgr
03/11/2015	Cary Town-North Carolina	Comb Enterprise Sys Rev&Ref Bonds	Series 2015	53.79	Sr Mgr
03/11/2015	Omaha Public Power Dt (OPPD)	Separate Electric Sys Rev Bonds	2015 Series A	114.25	Co-Mgr
03/12/2015	Brevard Co School Board	Ref Certificates of Participation	Series 2015 B & C	100.70	Sr Mgr
03/12/2015	Suffolk Co-New York	Refunding Bonds	2015 Series B	54.54	Sr Mgr
03/12/2015	Suffolk Co-New York	Refunding Bonds	2015 Series A	21.64	Sr Mgr
03/12/2015	Sunrise City-Florida	Special Assessment Bonds	Series 2015	70.12	Sr Mgr
03/12/2015	Iredell Co-North Carolina	Ltd Obligation Refunding Bonds	Series 2015	24.34	Co-Mgr
03/12/2015	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2015 Ser C & D	785.80	Co-Mgr
03/13/2015	Hawaii Housing Fin & Dev Corp	Multi-Family Housing Rev Bonds	Series 2015 A	10.75	Sr Mgr
03/15/2015	Bexar Co (North East) ISD	Unlimited Tax Refunding Bonds	Series 2015	345.19	Sr Mgr
03/15/2015	Hays Co-Texas	Limited Tax Refunding Bonds	Series 2015	42.60	Co-Mgr
03/15/2015	Lockhart City-Texas	Comb Tax & Ltd Rev Cert Oblig	Series 2015	16.69	Co-Mgr
03/15/2015	Lower Colorado River Authority (LCRA)	Refunding Revenue Bonds	Series 2015 A	25.88	Co-Mgr
03/15/2015	Lower Colorado River Authority (LCRA)	Refunding Revenue Bonds	Series 2015 B	99.06	Co-Mgr
03/17/2015	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	Series FF	490.00	Sr Mgr
03/17/2015	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	Series FF	40.00	Sr Mgr
03/17/2015	Alameda Co Water Dt Financing Authority	Water System Revenue Bonds	Series 2015	27.81	Sr Mgr
03/17/2015	Maryland	GO State & Local Facs Loan Bonds	First Ser B of 2015	365.36	Sr Mgr
03/17/2015	Portland City-Oregon	Urban Renew & Redev Ref Bonds	2015 Series A	17.16	Co-Mgr
03/17/2015	Salem Co (Woodstown-Pilesgrove) RSD	School Bonds		11.91	Co-Mgr
03/17/2015	Hoboken City-New Jersey	General Obligation Bonds	Series 2015	7.91	Co-Mgr
03/17/2015	Coventry Town-Rhode Island	General Obligation Bonds	2015 Series A	15.86	Co-Mgr
03/18/2015	Clark Co SD	GO Limited Tax Refunding Bonds	Series 2015 B	129.08	Sr Mgr
03/18/2015	California	Various Purpose GO & Ref Bonds		1944.87	Co-Mgr
03/19/2015	Indiana Finance Authority	State Revolv Fd Prog Ref Bonds	Series 2015 B	139.72	Sr Mgr
03/19/2015	New York	General Obligation Bonds	Series 2015 A	142.56	Sr Mgr
03/19/2015	RI Tobacco Settlement Fin Corp	Tobacco Settle Asset-Backed Bonds	Series 2015 A & B	605.68	Sr Mgr
03/19/2015	RI Tobacco Settlement Fin Corp	Tobacco Settle Asset-Backed Bonds	Series 2015 A	15.26	Sr Mgr
03/19/2015	Gtr Richmond Convention Ctr Auth	Hotel Tax Revenue Ref Bonds	Series 2015	111.25	Co-Mgr
03/19/2015	Alaska Housing Finance Corp	State Capital Project Bonds II	2015 Series A	111.54	Co-Mgr
03/19/2015	Polk Co (Southeast Polk) CSD	GO School Refunding Bonds	Series 2015 B	9.30	Co-Mgr
03/19/2015	Polk Co (Southeast Polk) CSD	GO School Refunding Bonds	Series 2015 A	25.91	Co-Mgr
03/19/2015	Caldwell-West Caldwell SD	School Bonds	Series 2015	18.21	Co-Mgr
03/19/2015	Jacksonville Electric Authority	Electric System Revenue Bonds	Series Three 2015 A	83.33	Co-Mgr
03/19/2015	Hanover Co-Virginia	GO Refunding Bonds	Series 2015	20.54	Co-Mgr
03/19/2015	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2015 B	275.06	Co-Mgr
03/20/2015	Wilton Town-Connecticut	General Obligation Bonds	Issue of 2015	22.75	Co-Mgr
03/25/2015	Univ of Massachusetts Bldg Au	Project & Rev Ref Bonds	Sr Series 2015-1 & 2	490.62	Sr Mgr
03/25/2015	Connecticut	General Obligation Bonds	2015 Series A-1	104.02	Sr Mgr
03/25/2015	Connecticut	General Obligation Bonds	2015 Series A-1	54.04	Sr Mgr
03/25/2015	Connecticut	General Obligation Bonds	2015 Series A-4	20.00	Sr Mgr
03/25/2015	Connecticut	General Obligation Bonds	2015 Series A-3	10.00	Sr Mgr
03/25/2015	Connecticut	General Obligation Bonds	2015 Series A-2	15.97	Sr Mgr
03/25/2015	Connecticut	General Obligation Bonds	2015 Series A- 6 & 7	72.80	Sr Mgr
03/25/2015	Connecticut	General Obligation Bonds	2015 Series A-5	2.77	Sr Mgr
03/25/2015	Connecticut	General Obligation Bonds	2015 Series A-4	23.67	Sr Mgr
03/25/2015	Connecticut	General Obligation Bonds	2015 Series A-3	14.90	Sr Mgr
03/25/2015	Connecticut	General Obligation Bonds	2015 Series A-2	181.85	Sr Mgr
03/26/2015	New Orleans Aviation Board	General Airport Revenue Bonds	Series 2015 A	54.59	Sr Mgr
03/26/2015	New Orleans Aviation Board	General Airport Revenue Bonds	Series 2015 B	510.74	Sr Mgr
03/26/2015	New Jersey Hsg & Mtg Fin Agency	Multi-Family Housing Rev Bonds	2015 Series E & F	143.66	Sr Mgr
03/26/2015	New Jersey Hsg & Mtg Fin Agency	Multi-Family Housing Rev Bonds	2015 Series A & B	38.96	Sr Mgr
03/26/2015	Riverside Co Public Fin Authority	Tax Allocation Revenue Bonds	2015 Series A-T	3.14	Sr Mgr
03/26/2015	Houston City-Texas	Airport Sys Facs Rev Ref Bonds	Series 2015 C	65.79	Sr Mgr
03/26/2015	Houston City-Texas	Airport Sys Facs Rev Ref Bonds	Series 2015 B-2	47.39	Sr Mgr
03/26/2015	Fairfield Co SD	General Obligation Bonds	Series 2015	11.50	Sr Mgr

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03/26/2015	Riverside Co Public Fin Authority	Tax Allocation Revenue Bonds	2015 Series A	16.90	Sr Mgr
03/26/2015	Houston City-Texas	Airport Sys Spec Facs Rev Bonds	Series 2015 B-1	176.65	Sr Mgr
03/26/2015	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 175 & 176	32.27	Co-Mgr
03/26/2015	Massachusetts Housing Fin Agcy	Single Family Housing Rev Bonds	Series 177	57.60	Co-Mgr
03/26/2015	Massachusetts Housing Fin Agcy	Housing Bonds	2015 Series C	21.18	Co-Mgr
03/26/2015	Massachusetts Housing Fin Agcy	Housing Bonds	2015 Series B	36.08	Co-Mgr
03/26/2015	Massachusetts Housing Fin Agcy	Housing Bonds	2015 Series A	47.91	Co-Mgr
03/31/2015	Horry Co SD	GO Refunding Bonds	Series 2015 B	32.97	Sr Mgr
03/31/2015	Oregon	General Obligation Bonds	2015 Series F	171.60	Sr Mgr
03/31/2015	Oregon	General Obligation Bonds	2015 Series H	72.86	Sr Mgr
03/31/2015	Oregon	General Obligation Bonds	2015 Series I	9.13	Sr Mgr
03/31/2015	Oregon	General Obligation Bonds	2015 Series G	5.00	Sr Mgr
03/31/2015	Hardin Co SD Finance Corp	School Building Ref Revenue Bonds	Series A of 2015	9.71	Co-Mgr
03/31/2015	Pasco Co School Board	Ref Certificates of Participation	Series 2015 A	44.15	Co-Mgr
03/31/2015	Macomb Co-Michigan	Retirees Health Care Bonds	Series 2015	263.56	Co-Mgr
03/31/2015	New York City-New York	General Obligation Bonds	2015 Series C & D	799.66	Co-Mgr
03/31/2015	Michigan Finance Authority	Hospital Rev Refunding Bonds	Series 2015 A	102.00	Co-Mgr
04/01/2015	North Carolina Medical Care Commiss	Health Care Revenue Bonds	Series 2015	297.10	Sr Mgr
04/01/2015	Michigan Strategic Fund	Limited Obligation Revenue Bonds	Series 2015	40.73	Sr Mgr
04/01/2015	Williamson Co-Texas	Limited Tax Refunding Bonds	Series 2015	74.30	Sr Mgr
04/01/2015	Williamson Co-Texas	Limited Tax Refunding Bonds	Series 2015	29.29	Sr Mgr
04/01/2015	Fort Bend Co (Katy) ISD	Unlimited Tax Refunding Bonds	Series 2015 C	115.00	Co-Mgr
04/01/2015	Hays Co-Texas	Pass Through Toll Rev & Tax Bonds	Series 2015	27.41	Co-Mgr
04/01/2015	Pope Co (Dover) SD #17	Refunding & Construction Bonds		15.05	Co-Mgr
04/01/2015	Benton Co (Bentonville) SD #6	Construction Bonds	Series B	38.89	Co-Mgr
04/01/2015	Belton City-Texas	Comb Tax & Ltd Rev Certs of Oblig	Series 2015	9.67	Co-Mgr
04/02/2015	Edmond Public Works Authority	Sales Tax Util Sys Rev Ref Bonds	Series 2015	20.47	Sr Mgr
04/02/2015	Kentucky Municipal Power Agency	Power System Revenue Ref Bonds	Series 2015 A	210.60	Co-Mgr
04/02/2015	Jefferson Co SD Fin Corp	School Building Revenue Bonds	Series 2015 A	16.47	Co-Mgr
04/07/2015	Golden State Tobacco Sec Corp	Tobacco Settle Asset-Backed Bonds	Series 2015 A	1692.95	Sr Mgr
04/07/2015	Arizona Board of Regents	Revenue Bonds	Series 2015 C	15.00	Co-Mgr
04/07/2015	Arizona Board of Regents	Revenue & Refunding Bonds	Series 2015 B	164.62	Co-Mgr
04/07/2015	Arizona Board of Regents	Revenue & Refunding Bonds	Series 2015 A	182.65	Co-Mgr
04/07/2015	Bergen Co (Fort Lee) SD BOE	School Bonds	Series 2015	49.84	Co-Mgr
04/07/2015	North Hempstead Town-New York	Public Improvement Serial Bonds	2015 Series B	4.39	Co-Mgr
04/08/2015	Wright Co (Delano) ISD #879	GO School Refunding Bonds	Series 2015 A	13.14	Sr Mgr
04/09/2015	Montgomery Co-Maryland	GO Consol Public Imp Ref Bonds	2015 Series A	58.52	Sr Mgr
04/09/2015	Texas Tech Univ Board of Regents	Rev Fing System Ref & Imp Bonds	16th Series (2015 A)	73.26	Sr Mgr
04/09/2015	East Baton Rouge Par-Louisiana	Sales Tax Revenue Refunding Bonds	Series 2015	59.43	Sr Mgr
04/09/2015	Louisiana Gov Env & Comm Dev	Subordinate Lien Revenue Bonds	Series 2015	34.42	Sr Mgr
04/09/2015	Alaska	General Obligation Bonds	Series 2015 B	94.43	Sr Mgr
04/09/2015	Kent Co-Michigan	Ltd Tax GO Revenue Bonds	Series 2015	14.60	Sr Mgr
04/09/2015	Elizabeth City-New Jersey	General Obligation Bonds	Series 2015	36.58	Co-Mgr
04/09/2015	NYC Municipal Water Fin Auth	Water & Sewer System Rev Bonds	Series GG	433.16	Co-Mgr
04/14/2015	California Housing Finance Agcy	Multi-Family Hsg Rev Bonds III	2015 Series A	174.18	Sr Mgr
04/14/2015	Missouri Jt Muni Elec Util Comm	Power Project Revenue Ref Bonds	Series 2015 A	215.11	Co-Mgr
04/14/2015	Wisconsin	GO Refunding Bonds	Series of 2015	171.05	Co-Mgr
04/14/2015	Birmingham Wtrwks & Swr Board	Water Revenue Bonds	Series 2015-B	75.76	Co-Mgr
04/14/2015	Birmingham Wtrwks & Swr Board	Water Refunding Revenue Bonds	Series 2015 A	100.21	Co-Mgr
04/14/2015	Virginia Resources Auth (VRA)	Clean Wtr St Revolv Fund Bonds	Series 2015	115.23	Co-Mgr
04/15/2015	University of Kentucky	General Receipts Refunding Bonds	2015 Series C	19.70	Sr Mgr
04/15/2015	Hamilton Co-Ohio	Various Purp GO Imp & Ref Bonds	Series 2015	25.23	Sr Mgr
04/15/2015	Ellis Co (Waxahachie) ISD	Unlimited Tax Refunding Bonds	Series 2015	40.99	Sr Mgr
04/15/2015	Virginia College Building Auth	Educational Facs Revenue Bonds	Series 2015 C	6.79	Co-Mgr
04/15/2015	Virginia College Building Auth	Educational Facs Rev & Ref Bonds	Series 2015 A & B	578.11	Co-Mgr
04/15/2015	Cattaraugus Co-New York	Public Improvement Serial Bonds	Series 2015	3.75	Co-Mgr
04/15/2015	Kansas Development Fin Auth	Revenue Bonds	Series 2015 G	203.59	Co-Mgr
04/15/2015	Lubbock City-Texas	Tax Wtr Sys Rev Certs of Oblig	Series 2015	102.49	Co-Mgr
04/15/2015	Lubbock City-Texas	GO Refunding Bonds	Series 2015	129.67	Co-Mgr
04/15/2015	Matanuska-Susitna Boro-Alaska	Lease Revenue Refunding Bonds	Series 2015	105.72	Co-Mgr
04/16/2015	Growth Rem Opp W/O Tx Hike (GROWTH)	Installment Purchase Ref Bonds	Series 2015	41.90	Sr Mgr
04/16/2015	Norfolk City-Virginia	Water Revenue Refunding Bonds	Series 2015 B	54.02	Sr Mgr
04/16/2015	Norfolk City-Virginia	Water Revenue Bonds	Series 2015 A	29.66	Sr Mgr
04/16/2015	San Francisco Public Util Comm	Water Revenue Bonds	2015 Series A	429.60	Co-Mgr
04/16/2015	Securing Assets for Education (SAFE)	Installment Purchase Ref Bonds	Series 2015 B	6.83	Co-Mgr
04/16/2015	Securing Assets for Education (SAFE)	Installment Purchase Ref Bonds	Series 2015 A	71.73	Co-Mgr
04/16/2015	North Hempstead Town-New York	Refunding Serial Bonds	2015 Series A	21.25	Co-Mgr
04/16/2015	University of Connecticut	GO Refunding Bonds	2015 Series A	34.63	Co-Mgr
04/16/2015	University of Connecticut	General Obligation Bonds	2015 Series A	220.17	Co-Mgr
04/21/2015	Fulton Co Development Authority	Revenue Refunding Bonds	Series 2015	52.08	Sr Mgr
04/21/2015	Harford Co-Maryland	GO Consolidated Pub Imp Bonds	Series 2015 A	45.00	Sr Mgr
04/21/2015	Virginia Beach City-Virginia	GO Public Improvement Ref Bonds	Series 2015 B	56.53	Sr Mgr
04/21/2015	San Diego Public Facs Fin Auth	Lease Revenue Bonds	Series 2015 A & B	107.29	Sr Mgr
04/21/2015	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2015 Subseries A-1	88.91	Sr Mgr
04/21/2015	Georgia Housing Finance Authority	Single Family Mortgage Bonds	2015 Subseries A-2	14.54	Sr Mgr
04/21/2015	Florida Housing Finance Corp	Multi-Family Mortgage Rev Bonds	2015 Series C	13.30	Sr Mgr
04/21/2015	California St Public Works Board	Lease Revenue Refunding Bonds	2015 Series D	19.65	Co-Mgr
04/21/2015	California St Public Works Board	Lease Revenue Bonds	2015 Series B	22.21	Co-Mgr
04/21/2015	California St Public Works Board	Lease Revenue Refunding Bonds	2015 Series C	45.30	Co-Mgr
04/21/2015	California St Public Works Board	Lease Revenue Bonds	2015 Series A	52.27	Co-Mgr
04/21/2015	California St Public Works Board	Lease Revenue Refunding Bonds	2015 Series E	103.99	Co-Mgr
04/22/2015	Florida Keys Aqueduct Authority	Water Revenue Refunding Bonds	Series 2015 A	34.56	Sr Mgr
04/22/2015	San Mateo Co Transit Dt	Limited Tax Refunding Bonds	2015 Series B	39.97	Co-Mgr

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04/22/2015	San Mateo Co Transit Dt	Limited Tax Refunding Bonds	2015 Series A	210.28	Co-Mgr
04/22/2015	Manatee Co-Florida	Public Util Rev Ref & Imp Bonds	Series 2015	91.49	Co-Mgr
04/23/2015	Florida State BOE	Pub Educ Capital Outlay Ref Bonds	2015 Series C	253.95	Sr Mgr
04/23/2015	Port Authority of NY & NJ	Consolidated Bonds	188th Series	125.00	Co-Mgr
04/23/2015	Port Authority of NY & NJ	Consolidated Bonds	190th Series	160.00	Co-Mgr
04/23/2015	Port Authority of NY & NJ	Consolidated Bonds	189th Series	490.00	Co-Mgr
04/23/2015	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2015 Ser E Sub E-1	650.00	Co-Mgr
04/28/2015	Port of Seattle-Washington	Limited Tax GO & Refunding Bonds	Series 2015	156.99	Sr Mgr
04/28/2015	Illinois Municipal Electric Auth	Power Supply Revenue Ref Bonds	Series 2015 A	594.69	Sr Mgr
04/28/2015	Upper Santa Clara Joint Powers Au	Revenue Bonds	Series 2015 A	64.00	Sr Mgr
04/29/2015	Lone Star College System	Limited Tax GO Refunding Bonds	Series 2015 B	97.46	Co-Mgr
04/29/2015	Lone Star College System	Limited Tax GO Bonds	Series 2015 A	134.87	Co-Mgr
04/29/2015	Chicago City BOE	Unltd Tax Dedicated Alt Rev Bonds	Series 2015 E	20.00	Co-Mgr
04/29/2015	Chicago City BOE	Unltd Tax Dedicated Alt Rev Bonds	Series 2015 C	280.00	Co-Mgr
04/30/2015	Burbank-Glndl-Psdna Airport Auth	Airport Revenue Bonds	2015 Series B	30.93	Sr Mgr
04/30/2015	Burbank-Glndl-Psdna Airport Auth	Airport Revenue Bonds	2015 Series A	1.34	Sr Mgr
04/30/2015	Forsyth Co-Georgia	General Obligation Bonds	Series 2015 A	83.77	Sr Mgr
04/30/2015	Forsyth Co-Georgia	GO Refunding Bonds	Series 2015 B	60.32	Sr Mgr
04/30/2015	Colorado Hsg & Fin Au (CHFA)	Multi-Family Housing Rev Bonds	Series 2015	17.75	Sr Mgr
04/30/2015	Palm Beach Co School Board	Certificates of Participation	Series 2015 D	221.64	Co-Mgr
04/30/2015	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2015 Series A	18.31	Co-Mgr
04/30/2015	South Dakota Housing Dev Auth	Homeownership Mortgage Bonds	2015 Series B	38.41	Co-Mgr
05/01/2015	Village Community Dev Dt #7	Special Assessment Rev Ref Bonds	Series 2015	61.08	Sr Mgr
05/01/2015	Knoxville City-Tennessee	Water System Rev Refunding Bonds	Series BB-2015	23.01	Sr Mgr
05/01/2015	Fort Bend Co-Texas	Unlimited Tax Road & Ref Bonds	Series 2015 A & B	145.59	Sr Mgr
05/01/2015	Clemson University	Athletic Facilities Revenue Bonds	Series 2015	60.70	Sr Mgr
05/01/2015	Clemson University	Higher Education Revenue Bonds	Series 2015	90.29	Sr Mgr
05/01/2015	Austin Comm Coll Dt Pub Fac Corp	Lease Revenue Ref Bonds	Series 2015	105.58	Sr Mgr
05/01/2015	Comal Co (New Braunfels) ISD	Unlimited Tax Refunding Bonds	Series 2015	5.24	Sr Mgr
05/01/2015	Bexar Co (San Antonio) ISD	Unltd Tax School Bldg & Ref Bonds	Series 2015	307.29	Sr Mgr
05/01/2015	Carroll Co (Eureka Sprg) SD #21	Refunding Bonds		12.71	Co-Mgr
05/01/2015	Mississippi Co (Blytheville) SD #5	Refunding Bonds		10.75	Co-Mgr
05/01/2015	Ellsworth City-Maine	General Obligation Bonds	2015 Series A	13.48	Co-Mgr
05/01/2015	Williamson Co-Texas	Combined Tax & Rev Cert Oblig	Series 2015	59.65	Co-Mgr
05/04/2015	Michigan Finance Authority	Hospital Rev Refunding Bonds	Series 2015 B	67.95	Co-Mgr
05/05/2015	Florence Co SD #1	General Obligation Bonds	Series 2015	12.50	Sr Mgr
05/05/2015	Hennepin Co (Osseo) ISD #279	GO School Building Ref Bonds	Series 2015 C	42.08	Sr Mgr
05/05/2015	Virginia	GO Refunding Bonds	Series 2015 B	214.29	Sr Mgr
05/06/2015	New Rochelle Local Dev Corp	Revenue Bonds	Series 2015 A	44.76	Sr Mgr
05/05/2015	New Rochelle Local Dev Corp	Revenue Bonds	Series 2015 B	3.96	Sr Mgr
05/06/2015	Univ of So Florida (USF) Fin Corp	Ref Certificates of Participation	Series 2015 A	23.64	Co-Mgr
05/06/2015	Connecticut	State Revolving Fund Rev Bonds	2015 Series A	250.00	Co-Mgr
05/06/2015	Energy Northwest	Electric Revenue Refunding Bonds	Series 2015-B	375.16	Co-Mgr
05/07/2015	St Michael-Albertville ISD #885	GO School Building Ref Bonds	Series 2015 A	17.75	Sr Mgr
05/07/2015	Tennessee State School Bond Au	Higher Ed Facs 2nd Prog Bonds	2015 Series B	388.62	Sr Mgr
05/07/2015	Tennessee State School Bond Au	Higher Ed Facs 2nd Prog Bonds	2015 Series A	75.55	Sr Mgr
05/07/2015	Oregon State University	General Revenue Bonds	Series 2015 A	41.04	Sr Mgr
05/07/2015	Oregon State University	General Revenue Bonds	Series 2015 B	10.08	Sr Mgr
05/07/2015	North Dakota Public Fin Auth	Capital Finance Program Bonds	Series 2015 B	25.62	Sr Mgr
05/07/2015	California Health Facs Fin Auth	Revenue Bonds	Series 2015 A	160.46	Sr Mgr
05/07/2015	Long Beach City-California	Harbor Revenue Refunding Bonds	Series 2015 B	20.13	Co-Mgr
05/07/2015	Long Beach City-California	Harbor Revenue Refunding Bonds	Series 2015 A	44.85	Co-Mgr
05/07/2015	Miami-Dade Co-Florida	General Obligation Bonds	Series 2013-A	9.42	Co-Mgr
05/07/2015	Miami-Dade Co-Florida	General Obligation Bonds	Ser 2013-A & 2014-A	233.67	Co-Mgr
05/07/2015	Jacksonville Electric Authority	Refunding Revenue Bonds	Issue 2 Series 27	7.16	Co-Mgr
05/07/2015	Jacksonville Electric Authority	Refunding Revenue Bonds	Issue 2 Series 26	65.97	Co-Mgr
05/11/2015	Milwaukee Metro Sewerage Dt	GO Promissory Notes	Series 2015 A	100.00	Sr Mgr
05/11/2015	Kinnelon Boro-New Jersey	General & Water Bonds	Series of 2015	9.56	Co-Mgr
05/12/2015	Arizona Board of Regents	Ref Certificates of Participation	Series 2015 A	89.47	Sr Mgr
05/12/2015	Arizona Board of Regents	Ref Certificates of Participation	Series 2015 B	13.81	Sr Mgr
05/12/2015	Santa Cruz Co Redev Successor Agcy	Tax Allocation Refunding Bonds	2015 Series B	19.86	Sr Mgr
05/12/2015	Santa Cruz Co Redev Successor Agcy	Tax Allocation Refunding Bonds	2015 Series A	59.39	Sr Mgr
05/12/2015	Wayne Co Hospital Authority	Ref Rev Anticipation Certificates	Series 2015	13.79	Sr Mgr
05/12/2015	Kane Co (Aurora West) SD #129	GO Refunding School Bonds	Series 2015	41.09	Sr Mgr
05/12/2015	Suffolk Co (Hampton Bays) UFSD	School District Ref Serial Bonds	Series 2015	32.63	Sr Mgr
05/12/2015	Connecticut Hlth & Ed Facs Auth	Revenue Bonds	Series F	13.00	Sr Mgr
05/12/2015	Connecticut Hlth & Ed Facs Auth	Revenue Bonds	Series F	69.97	Sr Mgr
05/12/2015	Connecticut Hlth & Ed Facs Auth	Revenue Bonds	Series G	50.67	Sr Mgr
05/12/2015	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2015 Series A	2.05	Co-Mgr
05/12/2015	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2015 Series A	4.21	Co-Mgr
05/12/2015	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2015 Series A	26.83	Co-Mgr
05/13/2015	Utah State Board of Regents	General Revenue & Refunding Bonds	Series 2015 B	91.57	Sr Mgr
05/13/2015	Westchester Co (Mount Vernon) SD	School District Ref Serial Bonds	2015 Series	15.99	Co-Mgr
05/13/2015	New Hampshire Hlth & Ed Facs Auth	Revenue Bonds	Series 2015	116.97	Co-Mgr
05/13/2015	Gloucester Co (Paulsboro) BSD BOE	School Bonds	Series 2015	3.13	Co-Mgr
05/14/2015	Rutherford Co-Tennessee	GO Refunding Bonds	Series 2015 B	23.93	Sr Mgr
05/14/2015	Truckee Meadows Water Auth	Water Refunding Revenue Bonds	Series 2015 A	28.75	Co-Mgr
05/14/2015	St Lucie Co SD	Sales Tax Refunding Rev Bonds	Series 2015	79.88	Co-Mgr
05/14/2015	Westchester Co (Tarrytowns) UFSD	School District Refunding Bonds	2015 Series A	23.35	Co-Mgr
05/14/2015	Gilbert Town-Arizona	Sub Lien Pledged Rev Obligations	Series 2015	36.98	Co-Mgr
05/15/2015	Montgomery Co-Tennessee	GO School Bonds	Series 2015	18.06	Sr Mgr
05/15/2015	Harris Co (Humble) ISD	Unlimited Tax Refunding Bonds	Series 2015 B	27.26	Sr Mgr
05/15/2015	Dallas Co (Garland) ISD	Unltd Tax School Bldg & Ref Bonds	Series 2015 A	185.74	Sr Mgr

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05/15/2015	Dallas Co (Garland) ISD	Unltd Tax School Building Bonds	Series 2015 B	7.58	Sr Mgr
05/15/2015	Schenectady City SD	School District Serial Bonds	2015 Series A	10.36	Co-Mgr
05/15/2015	Triborough Bridge & Tunnel Auth	General Revenue Bonds	Series 2015 A	225.00	Co-Mgr
05/15/2015	Cameron Co (Harlingen) CISD	Unlimited Tax Refunding Bonds	Series 2015	38.26	Co-Mgr
05/18/2015	Atlanta Development Authority	Senior Lien Revenue Bonds	Series 2015 A-2	16.74	Sr Mgr
05/18/2015	Atlanta Development Authority	Senior & Second Lien Rev Bonds	Series 2015 A-1 & B	207.92	Sr Mgr
05/19/2015	Arizona Board of Regents	Revenue Refunding Bonds	Series 2015	45.42	Sr Mgr
05/19/2015	Western Mich Univ Bd of Trustees	General Revenue & Refunding Bonds	Series 2015 A	109.31	Sr Mgr
05/19/2015	Massachusetts School Bldg Auth	Senior Dedicated Sales Tax Bonds	2015 Series B	300.19	Sr Mgr
05/19/2015	Queen Annes Co-Maryland	Public Facilities Bonds	Series of 2015	12.20	Sr Mgr
05/20/2015	Arizona Board of Regents	Ref Certificates of Participation	Series 2015	18.83	Sr Mgr
05/20/2015	Cobb Co Development Auth	Student Housing Ref Rev Bonds	Sr Ser A, Subser B &	59.79	Sr Mgr
05/20/2015	North Carolina Dept of Transportation	Private Activity Revenue Bonds	Series 2015	100.00	Sr Mgr
05/20/2015	Knoxville City-Tennessee	Electric System Revenue Bonds	Series FF-2015	35.00	Co-Mgr
05/21/2015	Seattle City-Washington	Ltd Tax GO Imp & Refunding Bonds	Series 2015 A	160.95	Sr Mgr
05/21/2015	Oneida Co-New York	Public Improvement Serial Bonds	Series 2015	20.92	Sr Mgr
05/21/2015	Energy Northwest	Electric Revenue &Refunding Bonds	Series 2015-A	98.42	Co-Mgr
05/21/2015	Energy Northwest	Electric Revenue &Refunding Bonds	Series 2015-A	428.90	Co-Mgr
05/21/2015	Indiana Finance Authority	Lease Appropriation Ref Bonds	Series 2015 A	44.71	Co-Mgr
05/21/2015	Indiana Finance Authority	Lease Appropriation Ref Bonds	Series 2015 A	296.53	Co-Mgr
05/26/2015	Santa Fe Co-New Mexico	GO Refunding & Improvement Bonds	Series 2015	47.22	Sr Mgr
05/27/2015	Orange Co (Anaheim) UHSD	GO Refunding Bonds	2015 Series	57.46	Sr Mgr
05/27/2015	Gresham City-Oregon	Full Faith Credit Ref&Proj Oblig	Series 2015	19.96	Sr Mgr
05/27/2015	Cleveland City-Ohio	Water Revenue Bonds	Series 2015 Y	85.80	Co-Mgr
05/27/2015	San Diego USD	GO Refunding Bonds	2015 Series R-4	172.51	Co-Mgr
05/27/2015	Seminole Co-Florida	Water & Sewer Revenue Ref Bonds	Series 2015 A	149.27	Co-Mgr
05/27/2015	Albany Co (Bethlehem) CSD	School District Refunding Bonds	2015 Series	16.07	Co-Mgr
05/27/2015	Albuquerque City-New Mexico	Gross Receipts Tax Imp Rev Bonds	Series 2015 B	10.11	Co-Mgr
05/27/2015	Albuquerque City-New Mexico	Gross Receipts Tax Imp Rev Bonds	Series 2015 A	39.09	Co-Mgr
05/27/2015	Vermont Municipal Bond Bank	Refunding Bonds	2015 Series 1	30.63	Co-Mgr
05/28/2015	Onondaga Co-New York	General Obligation Bonds	Series 2015	79.90	Sr Mgr
05/28/2015	Luzerne Co-Pennsylvania	GO Refunding Bonds	Series A & B of 2015	124.78	Sr Mgr
05/28/2015	Tennessee Hsg Dev Agcy (THDA)	Housing Finance Program Bonds	Issue 2015-A	69.12	Co-Mgr
05/28/2015	Tennessee Hsg Dev Agcy (THDA)	Housing Finance Program Bonds	Issue 2015-A	94.73	Co-Mgr
05/28/2015	Connecticut	General Obligation Bonds	2015 Series B-1	10.00	Co-Mgr
05/28/2015	Connecticut	General Obligation Bonds	2015 Series B-4	85.13	Co-Mgr
05/28/2015	Connecticut	General Obligation Bonds	2015 Series B-2	107.62	Co-Mgr
05/28/2015	Connecticut	General Obligation Bonds	2015 Series B-3	297.25	Co-Mgr
05/28/2015	NYC Municipal Water Fin Auth	Wtr Swr Sys 2nd Gen Res Rev Bonds	Series HH	452.53	Co-Mgr
05/28/2015	Connecticut	GO Refunding Bonds	2015 Series D-2	20.09	Co-Mgr
05/28/2015	Connecticut	General Obligation Bonds	2015 Series C-1	23.32	Co-Mgr
05/28/2015	Connecticut	General Obligation Bonds	2015 Series C-4	50.56	Co-Mgr
05/28/2015	Connecticut	General Obligation Bonds	2015 Series C-3	62.02	Co-Mgr
05/28/2015	Connecticut	General Obligation Bonds	2015 Series C-3	64.08	Co-Mgr
05/28/2015	Connecticut	GO Refunding Bonds	2015 Series D-1	160.66	Co-Mgr
05/28/2015	North Carolina	Grant Antic Rev Vehicle Bonds	Series 2015	264.93	Co-Mgr
05/29/2015	Chicago City-Illinois	General Obligation Bonds	Series 2003 B	170.09	Co-Mgr
05/29/2015	Chicago City-Illinois	General Obligation Bonds	Series 2005 D	174.01	Co-Mgr
05/29/2015	Chicago City-Illinois	General Obligation Bonds	Series 2002 B	176.20	Co-Mgr
06/01/2015	Polk Co-Iowa	General Obligation Bonds	Series 2015 B	73.53	Sr Mgr
06/01/2015	SCAGO Ed Facs Corp (Colleton)	Installment Purch Ref Rev Bonds	Series 2015	76.99	Sr Mgr
06/01/2015	Wichita City-Kansas	GO Airport Bonds	Series 2015 C	67.62	Sr Mgr
06/01/2015	Port Authority of NY & NJ	Consolidated Bonds	191st Series	250.00	Sr Mgr
06/01/2015	Bexar Co (Northside) ISD	UNlimited Tax School Bldg Bonds	Series 2015	70.32	Sr Mgr
06/01/2015	Bexar Co (Northside) ISD	Unltd Tax School Building Bonds	Series 2015	50.00	Sr Mgr
06/01/2015	Las Vegas Valley Water Dt	GO Water Refunding Bonds	Series 2015 A	172.43	Co-Mgr
06/01/2015	Las Vegas Valley Water Dt	GO Water Refunding Bonds	Series 2015 B	177.64	Co-Mgr
06/01/2015	Lower Colorado River Authority (LCRA)	Transmission Contract Ref Bonds	Series 2015	245.97	Co-Mgr
06/02/2015	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2015 A-1	150.00	Sr Mgr
06/02/2015	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2015 A-1	225.00	Sr Mgr
06/02/2015	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2015 A-1	275.00	Sr Mgr
06/02/2015	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2015 A-1	50.00	Sr Mgr
06/02/2015	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2015 A-2	360.00	Sr Mgr
06/02/2015	Salt River Proj Agric Imp & Pwr Dt	Electric System Revenue Bonds	2015 Series A	752.46	Co-Mgr
06/02/2015	Indio Water Authority	Water Revenue Refunding Bonds	Series 2015 B	9.15	Co-Mgr
06/02/2015	Indio Water Authority	Water Revenue Refunding Bonds	Series 2015 A	51.07	Co-Mgr
06/03/2015	SMUD Financing Auth	Revenue Refunding Bonds	Series 2015	193.34	Sr Mgr
06/03/2015	Miami-Dade Co-Florida	Water & Sewer Sys Rev Ref Bonds	Series 2015	481.18	Co-Mgr
06/03/2015	Buffalo Municipal Water Fin Auth	Water System Revenue Ref Bonds	Series 2015 A	46.66	Co-Mgr
06/03/2015	Grandview Industrial Dev Auth	Spcl Assessment Revenue Bonds	Series 2015	4.15	Co-Mgr
06/03/2015	Grandview Industrial Dev Auth	Tax Increment Spcl Dt Rev Bonds	Series 2015 A	20.20	Co-Mgr
06/04/2015	Athens-Clarke Co Unified Govt	Water & Sewerage Revenue Bonds	Series 2015	210.14	Sr Mgr
06/04/2015	Dorchester Co SD #4	General Obligation Bonds	Series 2015 A	10.00	Sr Mgr
06/04/2015	San Diego Co (Grossmont) UHSD	General Obligation Bonds	2015 Series F	68.75	Co-Mgr
06/04/2015	Middlesex Co-New Jersey	GO Co Voc Tech & College Bonds		8.30	Co-Mgr
06/08/2015	Merced Irrigation Dt	Electric Sys Refunding Rev Bonds	Series 2015 A	59.01	Sr Mgr
06/08/2015	Oregon	GO Higher Education Bonds	2015 Series M	12.66	Sr Mgr
06/08/2015	Chicago City-Illinois	GO Refunding Bonds	Series 2007 E F G	153.70	Co-Mgr
06/09/2015	Pike Place Mkt Presery & Dev Auth	Special Obligation Bonds	Series 2015 B	4.55	Sr Mgr
06/09/2015	Pike Place Mkt Presery & Dev Auth	Special Oblig & Refunding Bonds	Series 2015 A	19.45	Sr Mgr
06/09/2015	Riverside Co Public Fin Authority	Lease Revenue Bonds	Series 2015	325.00	Co-Mgr
06/09/2015	Georgia Higher Ed Facs Auth	Refunding Revenue Bonds	Series 2015	85.57	Co-Mgr
06/11/2015	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Series 2015-1A	25.40	Sr Mgr

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06/11/2015	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Series 2015 1B & 1C	105.10	Sr Mgr
06/11/2015	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Series 2015-1C	19.50	Sr Mgr
06/11/2015	Olathe City-Kansas	GO Improvement Bonds	Series 226	11.70	Sr Mgr
06/11/2015	Collier Co School Board	Ref Certificates of Participation	Series 2015	36.23	Co-Mgr
06/11/2015	Erie Co (Hamburg) CSD	School District Serial Bonds	Series 2015	37.39	Co-Mgr
06/11/2015	Hamilton Twp-New Jersey	General Improvement Bonds	Series 2015 A	14.70	Co-Mgr
06/11/2015	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2015 Series B	59.84	Co-Mgr
06/15/2015	Fort Bend ISD	School Building Bonds	Series 2015 A	50.00	Sr Mgr
06/15/2015	Fort Bend ISD	School Building Bonds	Series 2015 B	49.42	Sr Mgr
06/15/2015	Williamson Co (Georgetown) ISD	Unlimited Tax School Bldg Bonds	Series 2015	5.00	Sr Mgr
06/15/2015	Webb Co (United) ISD	Unlimited Tax Refunding Bonds	2015 Series	48.27	Co-Mgr
06/17/2015	Patchogue-Medford UFSD	GO School District Serial Bonds	2015 Series A	2.20	Sr Mgr
06/17/2015	Tucson City-Arizona	Revenue Refunding Bonds	Series 2015	27.42	Sr Mgr
06/17/2015	California Infrstr & Eco Dev Bank	Infras St Revolv Fund Rev Bonds	Series 2015 A	90.07	Co-Mgr
06/18/2015	Cedar Rapids City-Iowa	General Obligation Bonds	Series 2015 A	26.27	Sr Mgr
06/18/2015	Cowlitz Co Public Utility Dt #1	Production System Rev Ref Bonds	Series 2015	15.65	Sr Mgr
06/18/2015	Cowlitz Co Public Utility Dt #1	Electric Dist Rev & Ref Bonds	Series 2015	41.50	Sr Mgr
06/18/2015	Broward Co SD	General Obligation School Bonds	Series 2015	155.06	Sr Mgr
06/18/2015	New York City-New York	General Obligation Bonds	2015 Ser F Sub F-3	200.00	Sr Mgr
06/18/2015	Tulare Co (Visalia) USD	General Obligation Bonds	Series 2015	26.10	Sr Mgr
06/18/2015	Oregon	GO Higher Education Bonds	2015 Series I	100.87	Sr Mgr
06/18/2015	Oregon	GO Higher Education Bonds	2015 Series N	2.00	Sr Mgr
06/18/2015	Las Vegas Valley Water Dt	GO Water Refunding Bonds	Series 2015 C	42.13	Co-Mgr
06/18/2015	Eastern Municipal Water Dt Fin Auth	Water and Wastewater Rev Bonds	Series 2015 B	74.43	Co-Mgr
06/18/2015	New York City-New York	General Obligation Bonds	1995 Ser F Subser F-4	50.00	Co-Mgr
06/18/2015	New York City-New York	General Obligation Bonds	2015 Ser F Sub F-1	300.00	Co-Mgr
06/18/2015	New York City-New York	General Obligation Bonds	2015 Series 1	316.69	Co-Mgr
06/19/2015	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2015 C	29.77	Sr Mgr
06/23/2015	NYS Dorm Authority	Revenue Bonds	Series 2015 A	503.64	Sr Mgr
06/23/2015	Sonoma Co Transportation Auth	Sales Tax Revenue Bonds	Series 2015	49.27	Sr Mgr
06/23/2015	Saddle Brook Twp BOE	School Bonds	Series 2015	4.61	Co-Mgr
06/23/2015	Suffolk Co-New York	Public Improvement Bonds	2015 Series A	61.65	Co-Mgr
06/24/2015	Lee Co-Florida	Non Ad Valorem Ref Revenue Bonds	Series 2015	48.64	Sr Mgr
06/24/2015	District of Columbia	GO & GO Refunding Bonds	Series 2015 A & B	534.19	Sr Mgr
06/24/2015	Erie Co Industrial Dev Agency	School Facs Ref Revenue Bonds	Series 2015 A	236.98	Sr Mgr
06/24/2015	Yukon-Oklahoma	GO Refunding Bonds	Series 2015	6.89	Sr Mgr
06/24/2015	Clinton Co (Ausable Vlly) CSD	GO School District Serial Bonds	Series 2015	11.65	Co-Mgr
06/25/2015	St Tammany Parish Wide SD #12	General Obligation School Bonds	Series 2015	30.00	Sr Mgr
06/25/2015	Lancaster Co-South Carolina	General Obligation Bonds	Series 2015 A	16.50	Sr Mgr
06/25/2015	Monmouth Co-New Jersey	General Imp & Open Space Ref Bnds	Series 2015	58.71	Sr Mgr
06/25/2015	Gloucester Co-New Jersey	County College Bonds	Series 2015	3.20	Co-Mgr
06/25/2015	Gloucester Co-New Jersey	General Obligation Bonds	Series 2015	4.30	Co-Mgr
06/25/2015	Massachusetts Housing Fin Agcy	Housing Bonds	2015 Series D	35.79	Co-Mgr
06/25/2015	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2015 Series D-1 B	2.90	Co-Mgr
06/25/2015	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2015 Series D-1 B	30.00	Co-Mgr
06/25/2015	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2015 Ser D 1 A & B	182.49	Co-Mgr
06/25/2015	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2015 Ser D 1 A & B	254.10	Co-Mgr
06/25/2015	NYC Transitional Finance Auth	Revenue Bonds	2015 Series S-2	750.00	Co-Mgr
06/29/2015	San Diego USD	GO Ad Valorem Prop Tax Bonds	2015 Series H-1	2.15	Co-Mgr
06/29/2015	San Diego USD	GO Ad Valorem Prop Tax Bonds	2015 Series H-2	29.62	Co-Mgr
06/30/2015	Los Angeles City-California	Wastewater System Revenue Bonds	Series 2015 C	100.84	Sr Mgr
06/30/2015	Los Angeles City-California	Watewater Sys & Sub Rev Bonds	Series 2015 A & D	130.51	Sr Mgr
06/30/2015	Monroe Co-New York	Public Improvment Bonds	2015 Series	85.03	Sr Mgr
06/30/2015	Snohomish Co Pub Utility Dt #1	Electric System Revenue Bonds	Series 2015	140.92	Sr Mgr
06/30/2015	Douglas Co (Millard) PSD #17	GO & Refunding Bonds	Series 2015 A & B	46.36	Sr Mgr
06/30/2015	Worcester Co-Maryland	Consolidated Public Imp Bonds	2015 Series A	12.02	Co-Mgr
06/30/2015	Michigan State Housing Dev Auth	Rental Housing Revenue Bonds	2015 Series A	11.11	Co-Mgr
06/30/2015	Michigan State Housing Dev Auth	Rental Housing Revenue Bonds	2015 Series B	12.84	Co-Mgr
06/30/2015	Michigan State Housing Dev Auth	Rental Housing Revenue Bonds	2015 Series A	93.46	Co-Mgr
07/01/2015	California Municipal Fin Auth	Revenue Bonds	Series 2015 A	120.26	Sr Mgr
07/01/2015	Clark Co-Nevada	Airport Sys Jr Sub Lien Rev Notes	Series 2015 B	165.13	Sr Mgr
07/01/2015	Houston City-Texas	Comb Util Sys 1st Lien Ref Bonds	Series 2015 D	62.65	Sr Mgr
07/01/2015	Corpus Christi City-Texas	Util Sys Jr Ln Rev&Ref Imp Bonds	Series 2015 & C	148.38	Sr Mgr
07/01/2015	Collin Co-Texas	Limited Tax Ref & Imp Bonds	Series 2015	3.68	Sr Mgr
07/01/2015	Collin Co-Texas	Unltd Tax Road & Refunding Bonds	Series 2015	67.08	Sr Mgr
07/01/2015	El Paso City-Texas	GO Refunding & Improvement Bonds	Series 2015	116.42	Sr Mgr
07/01/2015	El Paso City-Texas	Comb Tax & Rev Certs of Oblig	Series 2015	57.71	Sr Mgr
07/01/2015	Fort Worth City-Texas	Gen Purpose Ref & Imp Bonds	Series 2015 A	127.73	Sr Mgr
07/01/2015	San Antonio City-Texas	Comb Tax & Rev Certs of Oblig	Series 2015	43.82	Sr Mgr
07/01/2015	San Antonio City-Texas	Gen Imp Ref & Comb Tax Rev Certs	Series 2015	275.65	Sr Mgr
07/01/2015	Los Angeles Co-California	TRANs	2015-16 Series	900.00	Co-Mgr
07/01/2015	Waller Co (Royal) ISD	Maintenance Tax Notes	Series 2015	2.25	Co-Mgr
07/01/2015	Hardin Co (Silsbee) ISD	Maintenance Tax Notes	Series 2015	2.01	Co-Mgr
07/01/2015	Elmhurst City-Illinois	General Obligation Bonds	Series 2015	16.00	Co-Mgr
07/01/2015	San Antonio City-Texas	Airport System Revenue Imp Bonds	Series 2015	38.81	Co-Mgr
07/01/2015	San Antonio City-Texas	Customer Fac Charge Rev Bonds	Series 2015	123.90	Co-Mgr
07/02/2015	NYS Environmental Facs Corp	Clean Wtr & Drinking Rev Bonds	Series 2015 A	219.77	Co-Mgr
07/04/2015	New Jersey Educational Facs Au	Revenue Refunding Bonds	2015 Series C	22.21	Sr Mgr
07/06/2015	Poway Successor Redev Agy	Tax Allocation Refunding Bonds	2015 Series B	26.85	Co-Mgr
07/06/2015	Poway Successor Redev Agy	Tax Allocation Refunding Bonds	2015 Series A	133.11	Co-Mgr
07/07/2015	Peace River-Manasota Reg Wtr Supply Au	Utility System Ref Revenue Bonds	Series 2015	23.91	Sr Mgr
07/07/2015	Olathe City-Kansas	GO Refunding Bonds	Series 227	8.29	Sr Mgr
07/07/2015	Monterey Co (Washington) USD	GO Refunding Bonds	Series 2015	5.95	Sr Mgr

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07/07/2015	South Carolina St Hsg Fin Dev Au	Refunding Mortgage Rev Bonds	Series 2015 A-2	20.71	Sr Mgr
07/07/2015	South Carolina St Hsg Fin Dev Au	Refunding Mortgage Rev Bonds	Series 2015 A-1	18.89	Sr Mgr
07/07/2015	Seminole Co-Florida	Water & Sewer Revenue Ref Bonds	Series 2015 B	32.03	Co-Mgr
07/08/2015	Lee Co-Florida	Airport Revenue Refunding Bonds	Series 2015	33.43	Sr Mgr
07/08/2015	Riverside Co Successor Redev Agy	Tax Allocation Refunding Bonds	Series C 2015	15.03	Sr Mgr
07/08/2015	Riverside Co Successor Redev Agy	Tax Allocation Refunding Bonds	Series B 2015	64.37	Sr Mgr
07/08/2015	Philadelphia Auth for Indus Dev	Revenue Bonds	Series of 2015	130.44	Sr Mgr
07/08/2015	Montgomery Co (Abington) SD	General Obligation Bonds	Series A of 2015	14.96	Co-Mgr
07/08/2015	Miami-Dade Co-Florida	Aviation Revenue Refunding Bonds	Series 2015 B	38.50	Co-Mgr
07/08/2015	Miami-Dade Co-Florida	Aviation Rev & Ref Rev Bonds	Series 2015 A	498.34	Co-Mgr
07/09/2015	Georgia	General Obligation Bonds	Series 2015 A	287.34	Sr Mgr
07/09/2015	Georgia	General Obligation Bonds	Series 2015 B	265.10	Sr Mgr
07/09/2015	Georgia	GO Refunding Bonds	Series 2015 C	275.99	Sr Mgr
07/09/2015	Vallecitos Water Dt	Wtr & Wstwtr Enterprise Rev Bonds	2015 Series	45.32	Sr Mgr
07/09/2015	Miami-Dade Co-Florida	Public Facs Revenue & Ref Bonds	Series 2015 A	205.35	Co-Mgr
07/15/2015	Spartanburg Co SD #5	General Obligation Bonds	Series 2015 B	18.20	Sr Mgr
07/15/2015	Wisconsin	Clean Water Revenue Ref Bonds	2015 Series 1	133.24	Sr Mgr
07/15/2015	Alaska Railroad Corporation	Cap Grant Receipts & Ref Bonds	Series 2015 A & B	97.92	Sr Mgr
07/15/2015	Modesto Irrigation Dt Fin Au	Electric Sys Revenue & Ref Bonds	Series 2015 A & B	97.88	Sr Mgr
07/15/2015	Univ of Vermont St Agric College	General Obligation Bonds	Series 2015	185.93	Sr Mgr
07/15/2015	University of Alaska	General Revenue Bonds	2015 Series T	65.35	Sr Mgr
07/15/2015	Massachusetts Port Authority	Revenue Bonds	Series 2015 A	104.48	Sr Mgr
07/15/2015	Massachusetts Port Authority	Revenue Bonds	Series 2015 B	67.01	Sr Mgr
07/15/2015	Wisconsin Public Finance Auth	Multi-Family Housing Rev Bonds	Series 2015	20.90	Sr Mgr
07/15/2015	Webb Co (United) ISD	Unltd Tax School Building Bonds	Series 2015	87.96	Sr Mgr
07/15/2015	Bryan City-Texas	GO Refunding Bonds	Series 2015	19.48	Sr Mgr
07/15/2015	Atlantic Co (Gtr Egg Harbor) RHSD	School Bonds		20.30	Co-Mgr
07/15/2015	Metro Washington Airports Auth	Airport System Rev Ref Bonds	Series 2015 D	30.49	Co-Mgr
07/15/2015	Metro Washington Airports Auth	Airport System Rev Ref Bonds	Series 2015 C	35.98	Co-Mgr
07/15/2015	Metro Washington Airports Auth	Airport System Rev & Ref Bonds	Series 2015 B	227.34	Co-Mgr
07/16/2015	Cape Coral City-Florida	Water & Sewer Ref Rev Bonds	Series 2015	72.42	Sr Mgr
07/16/2015	Rhode Island Hlth & Ed Bldg Corp	Higher Education Fac Rev Bonds	Series 2015	46.91	Sr Mgr
07/16/2015	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 192	45.41	Sr Mgr
07/16/2015	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 193	20.64	Sr Mgr
07/16/2015	NYS Housing Fin-Mortgage Agcy	Homeowner Mortgage Rev Bonds	Series 194	85.02	Sr Mgr
07/16/2015	New Hampshire Muni Bond Bank	General Obligation Bonds	2015 Series C	6.25	Co-Mgr
07/16/2015	Westchester Co (Briarcliff-Manor) UFSD	School District Serial Bonds	2015 Series B	10.38	Co-Mgr
07/16/2015	Jacksonville Electric Authority	Electric System Revenue Bonds	Series Three 2015 B	9.15	Co-Mgr
07/16/2015	Jacksonville Electric Authority	Electric System Revenue Bonds	Series Three 2015 B	33.21	Co-Mgr
07/21/2015	Idaho Housing & Fin Assoc	Grant & Revenue Antic Ref Bonds	2015 Series A	172.54	Sr Mgr
07/21/2015	Broward Co-Florida	Water & Sewer Util Rev Ref Bonds	Series 2015 A & B	199.81	Co-Mgr
07/21/2015	California Municipal Fin Auth	Revenue Refunding Bonds	Series 2015 B	92.87	Co-Mgr
07/21/2015	Nassau Co (Sewanhaka) CHSD	School District Bonds	2015 Series	24.10	Co-Mgr
07/21/2015	Vernon City-California	Electric System Revenue Bonds	2015 Series A	111.72	Co-Mgr
07/21/2015	Chicago City-Illinois	General Obligation Bonds	Series 2015 A	345.53	Co-Mgr
07/21/2015	Chicago City-Illinois	General Obligation Bonds	Series 2015 B	349.80	Co-Mgr
07/21/2015	Chicago City-Illinois	General Obligation Bonds	Series 2015 B	393.06	Co-Mgr
07/22/2015	Texas Transportation Commission	State Hwy Fund 1st Tier Ref Bonds	Series 2015	781.08	Sr Mgr
07/22/2015	Delaware Co Reg Wtr Qlty Ctl Au	Sewer Revenue Bonds	2015 Series	36.21	Sr Mgr
07/22/2015	Maine State Housing Authority	Mortgage Purchase Bonds	2015 Series D	30.00	Co-Mgr
07/23/2015	Colorado Health Facilities Auth	Health Facs Rev & Ref Bonds	Series 2015 A	204.29	Sr Mgr
07/23/2015	Tampa City-Florida	Non-Ad Valorem Ref Revenue Bonds	Series 2015	36.88	Sr Mgr
07/23/2015	Seattle City-Washington	Municipal Light & Power Rev Bonds	Series 2015 B-1&2	100.00	Sr Mgr
07/23/2015	Los Angeles Co Redev Ref Auth	Tax Allocation Rev Ref Bonds	Series 2015 A	74.38	Co-Mgr
07/23/2015	Los Angeles Co Redev Ref Auth	Tax Allocation Rev Ref Bonds	Series 2015 B	81.45	Co-Mgr
07/23/2015	Danbury City-Connecticut	General Obligation Bonds	Issue of 2015	18.00	Co-Mgr
07/29/2015	Rhode Island & Providence Plan	GO Consol Cap Dev Loan Ref Bonds	Series 2015 A	175.16	Co-Mgr
07/30/2015	Miami-Dade Co School Board	Certificates of Participation	Series 2015 B	239.63	Sr Mgr
07/30/2015	Arizona School Facilities Board	Ref Certificates of Participation	Series 2015 A	263.55	Sr Mgr
07/30/2015	California Statewide CDA (CSCDA)	Multi-Family Housing Rev Bonds	2015 Series K	7.00	Sr Mgr
07/30/2015	Illinois State Toll Highway Auth	Toll Highway Senior Revenue Bonds	2015 Series A	400.00	Co-Mgr
07/30/2015	Maryland Hlth & Highr Ed Facs Au	Revenue Bonds	Series 2015	159.69	Co-Mgr
07/30/2015	NYS Dorm Authority	Sales Tax Revenue Bonds	Series 2015 A	1523.10	Co-Mgr
07/31/2015	Robbinsville Twp-New Jersey	Tax Exempt Open Space Bonds	Series 2015 A	2.38	Co-Mgr
08/01/2015	Oklahoma Co (Edmond) ISD #12	GO Building Bonds	Series 2015	9.60	Sr Mgr
08/01/2015	Brazoria Co (Brazosport) ISD	Unlimited Tax School Bldg Bonds	Series 2015	54.79	Sr Mgr
08/01/2015	Brownsville City-Texas	Utilities System Revenue Ref Bonds	Series 2015	94.77	Co-Mgr
08/01/2015	Robertson Co (Bremond) ISD	Unltd Tax School Building Bonds	Series 2015	2.95	Co-Mgr
08/01/2015	Sienna Plantation Levee Imp Dt	Unlimited Tax Levee Imp Bonds	Series 2015	3.28	Co-Mgr
08/01/2015	Carson Co (Panhandle) ISD	Unlimited Tax Refunding Bonds	Series 2015	18.42	Co-Mgr
08/01/2015	Montgomery Co MUD #112	Unlimited Tax Bonds	Series 2015	12.14	Co-Mgr
08/01/2015	Orange City-Texas	Tax & Rev Cert of Obligation	Series 2015	4.85	Co-Mgr
08/01/2015	Tarrant Co-Texas	Limited Tax Refunding & Imp Bonds	Series 2015	67.08	Co-Mgr
08/03/2015	NYC Industrial Dev Agency	Special Facility Revenue Bonds	Series 2002 B	364.86	Sr Mgr
08/03/2015	Washington State Hsg Fin Comm	Multi-Family Hsg Rev Ref Bonds	Series 2015 A	31.59	Sr Mgr
08/03/2015	Washington State Hsg Fin Comm	Multi-Family Hsg Rev & Ref Bonds	Series 2015 B	13.56	Sr Mgr
08/04/2015	Springfield Metro Sanitary Dt	GO Alternate Rev Source Bonds	Series 2015 C	2.92	Sr Mgr
08/04/2015	Springfield Metro Sanitary Dt	GO Alternate Rev Source Bonds	Series 2015 A	22.08	Sr Mgr
08/04/2015	Huntsville City-Alabama	Water Revenue Warrants	Series 2015	92.81	Co-Mgr
08/05/2015	Skagit Co (Anacortes) SD #103	Unlimited Tax GO Bonds	2015 Series	53.45	Sr Mgr
08/05/2015	Alexandria City-Virginia	GO Capital Improvement Bonds	Series 2015 B	23.22	Sr Mgr
08/05/2015	California State Univ Trustees	Systemwide Revenue Bonds	Series 2015 B	29.31	Co-Mgr
08/05/2015	California State Univ Trustees	Systemwide Revenue Bonds	Series 2015 A	1034.37	Co-Mgr

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
08/05/2015	Miami Beach-Florida	Stormwater Revenue Bonds	Series 2015	99.59	Co-Mgr
08/06/2015	Metropolitan Council	GO Transit Bonds	Series 2015 A	45.00	Sr Mgr
08/06/2015	North Gratiot Intercept Drain Dt	Drainage District Drain Ref Bonds	Series 2015	16.99	Sr Mgr
08/06/2015	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2015 Ser C Sub C-1	105.00	Sr Mgr
08/06/2015	Connecticut Housing Finance Auth	Housing Mtg Finance Prog Bonds	2015 Ser C Sub C-2	10.80	Sr Mgr
08/11/2015	Indian Wells Redev Agency	Tax Allocation Refunding Bonds	Series 2015 A	20.58	Sr Mgr
08/11/2015	Enfield Town-Connecticut	General Obligation Bonds	Issue of 2015 Ser B	20.00	Co-Mgr
08/11/2015	Vermont Municipal Bond Bank	Bond Bank Bonds	2015 Series 2	7.98	Co-Mgr
08/12/2015	Alameda Co (Hayward) USD	GO & Refunding Bonds	2015 Series	208.19	Sr Mgr
08/12/2015	Stamford City-Connecticut	General Obligation Bonds	2015 Series B	65.00	Sr Mgr
08/13/2015	Bakersfield City-California	Wasterwater Revenue Ref Bonds	Series 2015 A	25.00	Sr Mgr
08/13/2015	Bakersfield City-California	Wasterwater Revenue Ref Bonds	Series 2015 A	120.50	Sr Mgr
08/13/2015	Western Minnesota Municipal Power Agcy	Power Supply Revenue Ref Bonds	2015 Series A	34.84	Sr Mgr
08/13/2015	Imperial Irrigation Dt	Electric Revenue Refunding Bonds	Series 2015 A	19.28	Sr Mgr
08/13/2015	Imperial Irrigation Dt	Electric Revenue Refunding Bonds	Series 2015 B	19.99	Sr Mgr
08/13/2015	Spotsylvania Co-Virginia	Water & Sewer Sys Rev Ref Bonds	Series 2015	55.33	Sr Mgr
08/13/2015	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2015 Series D-2	64.14	Co-Mgr
08/13/2015	Lake Co-Florida	Capital Improvement Ref Rev Bonds	Series 2015 B	50.14	Co-Mgr
08/13/2015	Hillsborough Co Aviation Auth	Subordinate Revenue Bonds	2015 Series B	153.92	Co-Mgr
08/13/2015	Hillsborough Co Aviation Auth	Revenue & Subordinated Rev Bonds	2015 Series A	167.80	Co-Mgr
08/13/2015	New York City-New York	General Obligation Bonds	Series A & B	750.48	Co-Mgr
08/13/2015	NYS Housing Fin-Mortgage Agcy	Affordable Housing Rev Bonds	2015 Series C	92.79	Co-Mgr
08/15/2015	Denton Co-Texas	Permanent Improvement & Ref Bonds	Series 2015	61.82	Sr Mgr
08/15/2015	Denton Co-Texas	Tax Notes	Series 2015	11.02	Sr Mgr
08/15/2015	Nueces River Authority	Water Supply Facs Rev Ref Bonds	Series 2015	62.79	Sr Mgr
08/15/2015	Bexar Co (Harlandale) ISD	Unltd Tax School Building Bonds	2015 Series	3.45	Co-Mgr
08/15/2015	Bexar Co (Harlandale) ISD	Unltd Tax School Building Bonds	Series 2015	60.82	Co-Mgr
08/18/2015	Love Field Airport Modernization Corp	General Airport Revenue Bonds	Series 2015	109.24	Co-Mgr
08/18/2015	Metropolitan Transport Auth (MTA)	Transportation Rev Ref Bonds	Ser 2015 C Subser C2	72.89	Co-Mgr
08/18/2015	Metropolitan Transport Auth (MTA)	Transportation Rev Ref Bonds	Ser 2015 C Subser C1	477.11	Co-Mgr
08/18/2015	Philadelphia City-Pennsylvania	Gas Works Revenue Refunding Bonds	13th Series	261.77	Co-Mgr
08/19/2015	Rensselaer Co Indus Dev Agency	Civic Facility Revenue Bonds	Series 2015 A	19.79	Sr Mgr
08/19/2015	Minnesota	GO State Various Purpose Bonds	Series 2015 A	368.23	Sr Mgr
08/19/2015	Ocean Co-New Jersey	GO Refunding Bonds	Series 2015 A	74.95	Sr Mgr
08/19/2015	Ocean Co-New Jersey	GO Refunding Bonds	Series 2015 B	2.51	Sr Mgr
08/19/2015	Long Beach City-California	Harbor Revenue Bonds	Series 2015 C	66.09	Co-Mgr
08/19/2015	Long Beach City-California	Harbor Revenue Bonds	Series 2015 D	66.87	Co-Mgr
08/20/2015	NYS Environmental Facs Corp	State Revolv Funds Rev Bonds	Series 2015 B	80.52	Sr Mgr
08/20/2015	NYS Environmental Facs Corp	State Revolv Funds Rev Bonds	Series 2015 C	31.37	Sr Mgr
08/20/2015	NYS Environmental Facs Corp	State Revolv Funds Rev Bonds	Series 2015 D	367.46	Sr Mgr
08/20/2015	Louisiana Public Facs Auth (LPFA)	Refunding Revenue Bonds	Series 2015	114.80	Sr Mgr
08/20/2015	NYS Dorm Authority	Revenue Bonds	Series 2015 A	512.41	Sr Mgr
08/20/2015	Hillsborough Co SD	Sales Tax Rev Refunding Bonds	Series 2015 B	65.20	Co-Mgr
08/20/2015	Kansas Development Fin Auth	Revenue Bonds	Series 2015 H	1005.18	Co-Mgr
08/25/2015	Alaska Indus Dev & Export Auth	Power Revenue Refunding Bonds	Series 2015	65.72	Sr Mgr
08/25/2015	Univ of Texas Sys Bd of Regents	Permanent University Fund Bonds	Series 2015 B	220.57	Co-Mgr
08/25/2015	Westchester Co (Ardsley) UFSD	School District Bonds	Series 2015	12.50	Co-Mgr
08/26/2015	Port of Houston Auth	Unlimited Tax Refunding Bonds	Series 2015 B	25.91	Sr Mgr
08/26/2015	Port of Houston Auth	Unlimited Tax Refunding Bonds	Series 2015 C	27.26	Sr Mgr
08/26/2015	Port of Houston Auth	Unlimited Tax Refunding Bonds	Series 2015 A	62.81	Sr Mgr
08/26/2015	Wyandotte Co/Kansas City Uni Gov Pub Bldg Comr	Sales Tax Special Oblig Rev Bonds	Series 2015	65.23	Sr Mgr
08/26/2015	Port of Morrow-Oregon	Transmission Facs Rev Bonds	Series 2015	97.79	Co-Mgr
08/27/2015	New Hanover Co-North Carolina	General Obligation Bonds	Series 2015	83.26	Sr Mgr
08/27/2015	NY Convention Center Dev Corp	Revenue Refunding Bonds	Series 2015	581.29	Sr Mgr
08/28/2015	Harris Co Metro Trans Auth	Sales & Use Tax Bonds	Series 2015 A	52.58	Sr Mgr
08/28/2015	Harris Co Metro Trans Auth	Sales & Use Tax Contractual Oblig	Series 2015 B	62.49	Sr Mgr
08/28/2015	CIVICVentures	Revenue Refunding Bonds	Series 2015	93.79	Sr Mgr
08/31/2015	Montebello Successor Comm Redev Agy	Sub Tax Allocation Ref Bonds	Series 2015 A	23.78	Sr Mgr
08/31/2015	Montebello Successor Comm Redev Agy	Sub Tax Allocation Ref Bonds	Series 2015 B	1.41	Sr Mgr
08/31/2015	Michigan State Building Auth	Revenue & Refunding Bonds	2015 Series I	989.34	Co-Mgr
09/01/2015	Frisco City-Texas	Comb Tax & Surplus Rev Cert Oblig	Series 2015 B	20.74	Sr Mgr
09/01/2015	Harris Co MUD #165	Unlimited Tax Bonds	Series 2015	25.72	Co-Mgr
09/01/2015	Harris Co MUD #167	Unlimited Tax Bonds	Series 2015	10.20	Co-Mgr
09/01/2015	Pulaski Co SSD	Refunding Bonds	Series 2015	69.71	Co-Mgr
09/01/2015	Guadalupe Co (Seguin) ISD	Unltd Tax School Building Bonds	Series 2015	37.07	Co-Mgr
09/01/2015	Washington Co (Springdale) SD #50	Construction Bonds		22.81	Co-Mgr
09/01/2015	Lower Colorado River Authority (LCRA)	Refunding Revenue Bonds	Series 2015 D	133.89	Co-Mgr
09/02/2015	Minneapolis City-Minnesota	Health Care System Revenue Bonds	Series 2015 A	111.26	Sr Mgr
09/02/2015	Massachusetts Dev Finance Agcy	Revenue Bonds	Series H-2 (2015)	50.60	Sr Mgr
09/02/2015	Massachusetts Dev Finance Agcy	Revenue Bonds	Series H-1 (2015)	179.47	Sr Mgr
09/02/2015	Vermont Municipal Bond Bank	Refunding Bonds	2015 Series 3	14.54	Co-Mgr
09/02/2015	Michigan State Housing Dev Auth	Single Family Mortgage Rev Bonds	2015 Series A	77.76	Co-Mgr
09/02/2015	Cobb Co Development Auth	Pkg&Univ Fac Ref Lease Rev Bonds	Series 2015	37.29	Co-Mgr
09/03/2015	Hillsborough Co Aviation Auth	Customer Fac Charge Rev Bonds	2015 Series A	88.98	Sr Mgr
09/03/2015	Hillsborough Co Aviation Auth	Customer Fac Charge Rev Bonds	2015 Series B	294.35	Sr Mgr
09/03/2015	Government of Guam	Business Privilege Tax Ref Bonds	Series 2015 D	410.49	Sr Mgr
09/08/2015	Suffolk Co (Bay Shore) UFSD	School District Ref Serial Bonds	2015 Series	11.29	Co-Mgr
09/09/2015	Duval Co School Board	Certificates of Participation	Series 2015 B	121.85	Sr Mgr
09/09/2015	Maine State Housing Authority	Mortgage Purchase Bonds	2015 Series E-2	25.00	Sr Mgr
09/09/2015	Maine State Housing Authority	Mortgage Purchase Bonds	2015 Series E-1	83.13	Sr Mgr
09/09/2015	Maine State Housing Authority	Mortgage Purchase Bonds	2015 Series E-3	30.00	Sr Mgr
09/09/2015	Kenosha Co-Wisconsin	GO Promissory Notes	Series 2015 C	12.31	Sr Mgr
09/09/2015	Los Angeles (Beverly Hills) USD	General Obligation Bonds	Series 2015	76.00	Sr Mgr

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09/09/2015	Georgia Muni Electric Au (MEAG)	Revenue Bonds	Series 2015 A	69.25	Co-Mgr
09/09/2015	Georgia Muni Electric Au (MEAG)	Revenue Bonds	Series 2015 A	185.18	Co-Mgr
09/09/2015	California	Various Purpose GO & Ref Bonds		1925.83	Co-Mgr
09/10/2015	Florida State BOE	Pub Educ Capital Outlay Ref Bonds	2015 Series E	306.65	Sr Mgr
09/10/2015	West Palm Beach Comm Redev Agy	Tax Increment Refunding Bonds	Series 2015	44.06	Sr Mgr
09/10/2015	Metropolitan Transport Auth (MTA)	Transportation Revenue Bonds	Series 2015 E-2&3	460.00	Sr Mgr
09/10/2015	Central Puget Sound Reg Tran Au	Sales Tax Improvement Bonds	Ser 2015 S-2 A & B	150.00	Co-Mgr
09/10/2015	Central Puget Sound Reg Tran Au	Sales Tax Improvement & Ref Bonds	Series 2015 S-1	792.64	Co-Mgr
09/11/2015	NYS Dorm Authority	State Personal Inc Tax Rev Bonds	Series 2015 E	975.97	Co-Mgr
09/15/2015	Lake Havasu City-Arizona	Sr Ln Wstwtr Sys Rev GO Ref Bonds	Series 2015 A	71.78	Co-Mgr
09/15/2015	Lake Havasu City-Arizona	Sr Lien Wstwtr Sys Rev Ref Bonds	Series 2015 B	98.30	Co-Mgr
09/15/2015	Port Freeport-Texas	Sr Lien Revenue & Refunding Bonds	Series 2015 A	39.64	Co-Mgr
09/16/2015	Chicago Transit Authority	Cap Grant Rcpts Rev Ref Bonds	Series 2015	176.92	Sr Mgr
09/16/2015	San Juan Co (Farmington) MSD #5	GO School Building Bonds	Series 2015	35.00	Sr Mgr
09/17/2015	Madera Irrigation Financing Au	Water Revenue Refunding Bonds	Series 2015	24.05	Sr Mgr
09/17/2015	Madera Irrigation Financing Au	Water Revenue Refunding Bonds	Series 2015	2.68	Sr Mgr
09/17/2015	Madera Irrigation Financing Au	Water Revenue Refunding Bonds	Series 2015	2.55	Sr Mgr
09/17/2015	New Jersey Educational Facs Au	Revenue Refunding Bonds	Series 2015 G	114.53	Sr Mgr
09/17/2015	Metropolitan Transport Auth (MTA)	Transportation Revenue Ref Bonds	Series 2015 D-2	50.67	Co-Mgr
09/17/2015	Metropolitan Transport Auth (MTA)	Transportation Revenue Ref Bonds	Series 2015 D-1	244.73	Co-Mgr
09/17/2015	Black Hawk Co-Iowa	General Obligation Bonds	Series 2015 A	13.49	Co-Mgr
09/17/2015	Massachusetts Housing Fin Agcy	Housing Bonds	2015 Series E	28.52	Co-Mgr
09/23/2015	Laurens Educ Assistance Dt #55	Revenue Refunding Bonds	Series 2015	27.75	Sr Mgr
09/23/2015	Cass (Raymore-Peculiar) SD #R-II	GO Refunding Bonds	Series 2015	18.38	Co-Mgr
09/24/2015	Gloucester Co Improvement Auth	County Gtd Loan Rev Ref Bonds	Series 2015	46.61	Sr Mgr
09/24/2015	Metropolitan Pier & Expo Auth	Revenue Bonds	Series 2015 A	23.13	Sr Mgr
09/24/2015	Metropolitan Pier & Expo Auth	Revenue & Refunding Bonds	Series 2015 A & B	196.24	Sr Mgr
09/24/2015	Wyandotte City-Michigan	Electric System Rev & Ref Bonds	Series 2015 A	21.81	Sr Mgr
09/24/2015	Wyandotte City-Michigan	Electric System Rev & Ref Bonds	Series 2015 B	8.84	Sr Mgr
09/24/2015	Illinois Finance Authority	Revenue Bonds	Series 2015	100.00	Co-Mgr
09/29/2015	Illinois Finance Authority	Revenue Bonds	Series 2015 A	368.15	Co-Mgr
09/29/2015	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	Series A Subser A-1	750.00	Co-Mgr
09/30/2015	Fayette Co SD Finance Corp	School Building Ref Rev Bonds	Series 2015 A	30.23	Sr Mgr
09/30/2015	Albemarle Co Economic Dev Auth	Public Facility Revenue Bonds	Series 2015 B	38.88	Sr Mgr
09/30/2015	Philadelphia City-Pennsylvania	General Obligation Bonds	Series 2015 B	191.59	Sr Mgr
09/30/2015	Tri-Co Metro Trans Dt	Sr Ln Payroll Tax Rev & Ref Bonds	Series 2015 A & B	134.59	Co-Mgr
09/30/2015	Lucas Co-Ohio	Hospital Revenue Bonds	Series 2015 B	46.76	Co-Mgr
10/01/2015	Riverside Co Public Fin Authority	Tax Allocation Revenue Bonds	2015 Series A	54.96	Sr Mgr
10/01/2015	Riverside Co Successor Redev Agy	Tax Allocation Housing Ref Bonds	2015 Series A	13.55	Sr Mgr
10/01/2015	Los Angeles Dept of Wtr & Power	Power System Revenue Bonds	2015 Series B	268.59	Sr Mgr
10/01/2015	Portage City-Michigan	Capital Improvement Ref Bonds	Series 2015 C	11.63	Sr Mgr
10/01/2015	Sienna Plantation MUD #12	Unlimited Tax Bonds	Series 2015	25.06	Co-Mgr
10/01/2015	Montgomery Co MUD #116	Unlimited Tax Bonds	Series 2015 A	7.00	Co-Mgr
10/01/2015	Oregon	Full Faith & Credit TANs	2015 Series A	600.00	Co-Mgr
10/05/2015	Cleveland City-Ohio	Water Revenue Bonds	Series Z 2015	15.93	Co-Mgr
10/07/2015	Washington Biomedical Res Fac 3	Lease Revenue Bonds	Series 2015 B	24.46	Co-Mgr
10/07/2015	Washington Biomedical Res Fac 3	Lease Revenue Bonds	Series 2015 A	107.62	Co-Mgr
10/08/2015	California Municipal Fin Auth	Multi-Family Housing Rev Bonds	Series 2015 A	20.25	Sr Mgr
10/08/2015	Washington	Various Purpose GO Bonds	Series 2016 A-2	51.09	Co-Mgr
10/13/2015	King Co-Washington	Limited Tax GO Refunding Bonds	2015 Series C	25.97	Sr Mgr
10/13/2015	Sumter Landing Comm Dev Dt	Recreational Revenue Ref Bonds	Series 2015	55.45	Sr Mgr
10/13/2015	California St Public Works Board	Lease Revenue Refunding Bonds	2015 Series G	116.30	Co-Mgr
10/13/2015	California St Public Works Board	Lease Revenue Refunding Bonds	2015 Series F	431.89	Co-Mgr
10/14/2015	Erie Co-New York	GO Pub Imp & Ref & Swr Dt Bonds	Series 2015 A - C	56.62	Sr Mgr
10/14/2015	San Diego USD	General Obligation Bonds	Series D	75.40	Co-Mgr
10/14/2015	San Diego USD	General Obligation Bonds	Series E	78.96	Co-Mgr
10/14/2015	Massachusetts Bay Transport Auth	Senior Sales Tax Bonds	2015 Series A & B	358.41	Co-Mgr
10/15/2015	Florida Dept of Environ Protect	Everglades Restoration Rev Bonds	Series 2015 A	46.74	Sr Mgr
10/15/2015	Wayne Co Airport Auth	Airport Revenue & Refunding Bonds	Series 2015 E & F	231.91	Sr Mgr
10/15/2015	Wayne Co Airport Auth	Airport Revenue & Refunding Bonds	Series 2015 D & G	288.15	Sr Mgr
10/15/2015	Contra Costa Transportation Auth	Limited Sales Tax Revenue Bonds	Series 2015 A	166.64	Sr Mgr
10/15/2015	Kentucky Asset/Liability Comm	Project Notes	2015 Series A	106.85	Sr Mgr
10/15/2015	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Series 2015-2B	12.50	Co-Mgr
10/15/2015	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Series 2015-2A	43.07	Co-Mgr
10/15/2015	Tennessee Hsg Dev Agcy (THDA)	Residential Finance Program Bonds	Series 2015-2B	119.43	Co-Mgr
10/15/2015	Connecticut	Special Tax Oblig & Ref Bonds	Series 2015 A & B	839.77	Co-Mgr
10/15/2015	District of Columbia Wtr & Swr Au	Public Utility Sub Lien Rev Bonds	Series 2015 A	100.00	Co-Mgr
10/15/2015	District of Columbia Wtr & Swr Au	Public Utility Sub Lien Rev Bonds	Series 2015 B	250.00	Co-Mgr
10/15/2015	NYS Housing Fin-Mortgage Agcy	Affordable Housing Revenue Bonds	2015 Series D	26.05	Co-Mgr
10/15/2015	Dallas Co (Irving) ISD	Unlimited Tax Refunding Bonds	Series 2015 A	1.44	Co-Mgr
10/15/2015	Dallas Co (Irving) ISD	Unlimited Tax Refunding Bonds	Series 2015 A	137.86	Co-Mgr
10/15/2015	Maine Regional School Unit #5	General Obligation Bonds	Series 2015	13.64	Co-Mgr
10/20/2015	Snohomish Co Pub Utility Dt #1	Generation System Revenue Bonds	Series 2015	39.99	Sr Mgr
10/20/2015	Charles Co County Commissioners	GO Consolidated Public Imp Bonds	Series of 2015	31.50	Sr Mgr
10/20/2015	Port Authority of NY & NJ	Consolidated Bonds	193rd Series	305.44	Co-Mgr
10/20/2015	Port Authority of NY & NJ	Consolidated Bonds	192nd Series	500.00	Co-Mgr
10/20/2015	Port Authority of NY & NJ	Consolidated Bonds	194th Series	1194.56	Co-Mgr
10/21/2015	Massachusetts Dev Finance Agcy	Revenue Bonds	Series F (2015)	28.00	Sr Mgr
10/21/2015	Massachusetts Dev Finance Agcy	Revenue Bonds	Series F (2015)	206.52	Sr Mgr
10/21/2015	Energy Northwest	Electric Revenue Refunding Bonds	Series 2015 C	109.21	Co-Mgr
10/22/2015	Vermont	GO & Refunding Bonds	2015 Series B & C	87.07	Sr Mgr
10/22/2015	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2015 Series F	26.70	Sr Mgr
10/22/2015	Vermont	General Obligation Bonds	2015 Series A	28.52	Co-Mgr

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Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
10/22/2015	Illinois Finance Authority	Revenue Bonds	Series 2015 B	71.65	Co-Mgr
10/22/2015	NYC Housing Dev Corp	Multi-Family Housing Rev Bonds	2015 Series E-1 & 2	53.69	Co-Mgr
10/22/2015	NYS Housing Fin-Mortgage Agcy	Mortgage Revenue Bonds	Fifty-Third Series	20.14	Co-Mgr
10/22/2015	NYS Housing Fin-Mortgage Agcy	Mortgage Revenue Bonds	Fifty-Second Series	40.22	Co-Mgr
10/22/2015	NYS Housing Fin-Mortgage Agcy	Mortgage Revenue Bonds	Fifty-First Series	75.18	Co-Mgr
10/23/2015	Suffolk Co-New York	Public Improvement Serial Bonds	2015 Series B	51.18	Sr Mgr
10/27/2015	NYS Utility Debt Securitization Auth	Restructuring Bonds	Series 2015	1002.12	Sr Mgr
10/27/2015	North Carolina Housing Fin Agcy	Home Ownership Rev Ref Bonds	Series 36	66.00	Co-Mgr
10/28/2015	Maui Co-Hawaii	General Obligation Bonds	Series 2015	60.16	Sr Mgr
10/28/2015	Rochester City-New Hampshire	General Obligation Bonds	2015 Series C	11.42	Sr Mgr
10/28/2015	Palm Beach Co School Board	Certificates of Participation	Series 2015 C	62.97	Co-Mgr
10/29/2015	Hawaii	General Obligation Bonds	Series EU of 2015	35.00	Sr Mgr
10/29/2015	Hawaii	General Obligation Bonds	Series FA of 2015	25.00	Sr Mgr
10/29/2015	Hawaii	GO Refunding Bonds	Ser ET EV-EZ of 2015	686.40	Sr Mgr
10/29/2015	Hollywood Comm Redev Agency	Redev Rev & Rev Refunding Bonds	Series 2015	49.08	Sr Mgr
10/29/2015	Williamson Co-Tennessee	GO School & Public Imp Bonds	Series 2015 B	19.02	Sr Mgr
10/29/2015	Coast Community College Dt	GO Refunding Bonds	2015 Series	50.75	Co-Mgr
10/29/2015	Coast Community College Dt	GO Refunding Bonds	2015 Series	112.11	Co-Mgr
10/29/2015	Texas Public Finance Auth (TPFA)	GO & Refunding Bonds	Series 2015 C	369.80	Co-Mgr
11/01/2015	Bismarck City-North Dakota	Sanitary Sewer Revenue Bonds	Series of 2015	29.00	Sr Mgr
11/01/2015	San Antonio City-Texas	Elec & Gas Sys Jr Ln Rev Bonds	Series 2015 C	100.00	Sr Mgr
11/01/2015	Montgomery Co MUD #119	Unlimited Tax Bonds	Series 2015 A	12.58	Co-Mgr
11/01/2015	Craighead Co (Jonesboro) SD #1	Refunding Bonds		12.37	Co-Mgr
11/01/2015	Independence Co (Batesville) SD#1	Refunding Bonds		22.65	Co-Mgr
11/01/2015	Hardin Co (Lumberton) ISD	Unlimited Tax Refunding Bonds	Series 2015	5.65	Co-Mgr
11/01/2015	Montgomery Co MUD #113	Unlimited Tax Bonds	Series 2015	24.91	Co-Mgr
11/01/2015	Fort Bend Co MUD #133	Unlimited Tax Bonds	Series 2015	11.80	Co-Mgr
11/01/2015	Benton Co (Bentonville) SD #6	Construction Bonds		20.00	Co-Mgr
11/01/2015	Ctl Texas Reg Mobility Au (CTRMA)	Senior Lien Revenue & Ref Bonds	Series 2015 B	68.79	Co-Mgr
11/01/2015	Ctl Texas Reg Mobility Au (CTRMA)	Senior Lien Revenue Bonds	Series 2015 A	298.79	Co-Mgr
11/01/2015	Harris Co (Cypress-Fairbanks) ISD	Unlimited Tax Sch Building Bonds	Series 2015 B 3	45.99	Co-Mgr
11/01/2015	Harris Co (Cypress-Fairbanks) ISD	Unlimited Tax Sch Building Bonds	Series 2015 B 2	48.02	Co-Mgr
11/01/2015	Harris Co (Cypress-Fairbanks) ISD	Unlimited Tax Sch Building Bonds	Series 2015 B 1	49.74	Co-Mgr
11/03/2015	Carteret Co-North Carolina	GO School Bonds	Series 2015 B	4.60	Sr Mgr
11/03/2015	California	Various Purpose GO Ref Bonds		544.15	Sr Mgr
11/03/2015	Ohio Public Fac Commission	Higher Education GO Bonds	Series 2015 C	300.00	Sr Mgr
11/03/2015	Wicomico Co-Maryland	GO Consol Public Imp & Ref Bonds	Series of 2015	19.72	Co-Mgr
11/04/2015	Columbia Co School Board	Ref Certificates of Participation	Series 2015 A	18.80	Sr Mgr
11/04/2015	Texas Water Development Board	St Wtr Implementation Rev Bonds	Series 2015 A	798.45	Sr Mgr
11/04/2015	Texas Water Development Board	St Wtr Implementation Rev Bonds	Series 2015 B	11.96	Sr Mgr
11/04/2015	Nevada	GO Ltd Tax Cap Imp & Ref Bonds	Series 2015 D	248.94	Sr Mgr
11/04/2015	Nevada	GO Natural Resources & Ref Bonds	Series 2015 E	22.60	Sr Mgr
11/04/2015	New Jersey Turnpike Authority	Turnpike Revenue Bonds	Series 2015 E	750.00	Sr Mgr
11/05/2015	Richland Co-South Carolina	General Obligation Bonds	Series 2015 A	7.30	Sr Mgr
11/05/2015	King Co-Washington	Limited Tax GO & Refunding Bonds	2015 Series D	50.60	Sr Mgr
11/05/2015	Grant Co Public Utility Dt #2	Revenue & Refunding Bonds	2015 Series B	17.41	Co-Mgr
11/05/2015	Grant Co Public Utility Dt #2	Revenue & Refunding Bonds	2015 Series A	73.31	Co-Mgr
11/05/2015	Grant Co Public Utility Dt #2	Revenue Bonds	2015 Series M	90.00	Co-Mgr
11/05/2015	NYC Transitional Finance Auth	Future Tax Secured Sub Bonds	2016 Subser B1,C & F	749.66	Co-Mgr
11/10/2015	Evanston City-Illinois	GO Corporate Purpose Bonds	Series 2015 A	13.10	Co-Mgr
11/12/2015	Milwaukee Co-Wisconsin	GO Refunding Bonds	Series 2015 B	14.68	Sr Mgr
11/12/2015	Oak Park Village-Illinois	GO Corporate Purpose Ref Bonds	Series 2015 A	13.47	Sr Mgr
11/12/2015	Grand Forks City-North Dakota	Sales Tax Refunding Bonds	Series 2015 D	40.38	Sr Mgr
11/12/2015	Hennepin Co (Hopkins) ISD #270	GO School Building Ref Bonds	Series 2015 A	18.31	Co-Mgr
11/15/2015	The Colony City-Texas	Comb Tax & Rev Certs of Oblig	Series 2015	11.39	Co-Mgr
11/15/2015	The Colony City-Texas	GO Refunding Bonds	Series 2015	13.33	Co-Mgr
11/17/2015	Hennepin Co (Rockford) ISD #883	GO School Building Ref Bonds	Series 2015 A	17.69	Sr Mgr
11/17/2015	Ford Co (Dodge) USD #443	GO Refunding Bonds	Series 2015 B	9.48	Sr Mgr
11/18/2015	Massachusetts	Transportation Fund Rev Bonds	2015 Series A	500.00	Sr Mgr
11/18/2015	Suffolk Co (Riverhead) CSD	School District Serial Bonds	Series 2015	32.26	Co-Mgr
11/18/2015	NYS Housing Fin-Mortgage Agcy	Affordable Housing Rev Bonds	2015 Series E	53.88	Co-Mgr
11/19/2015	Sherburne Co (Elk River Area) ISD #728	GO School Building Ref Bonds	Series 2015 C	37.79	Sr Mgr
11/19/2015	West Virginia School Bldg Auth	Excess Lottery Rev Ref Bonds	Series 2015 A	63.64	Sr Mgr
11/19/2015	Anchorage City-Alaska	GO & Refunding Bonds	Series A B C & D	313.30	Co-Mgr
11/24/2015	Florida State BOE	Public Ed Cap Outlay Ref Bonds	2015 Series F	233.14	Sr Mgr
11/24/2015	New Jersey Environ Infrast Trust	Env Infrastructure Bonds	Series 2015 A 2	9.56	Sr Mgr
11/24/2015	Maine State Housing Authority	Mortgage Purchase Bonds	2015 Series F-1	8.80	Co-Mgr
11/24/2015	Maine State Housing Authority	Mortgage Purchase Bonds	2015 Series F-2 & 3	45.20	Co-Mgr
11/24/2015	Los Angeles Dept of Airports	Senior Revenue Bonds	2015 Series E	27.85	Co-Mgr
11/24/2015	Los Angeles Dept of Airports	Senior Revenue Bonds	2015 Series D	296.48	Co-Mgr
11/24/2015	New Orleans City-Louisiana	GO Refunding Bonds	Series 2015	75.44	Co-Mgr
11/24/2015	NYC Municipal Water Fin Auth	Water & Sewer System Rev Bonds	2016 Subser BB-2	21.82	Co-Mgr
11/24/2015	NYC Municipal Water Fin Auth	Water & Sewer System Rev Bonds	2016 Subseries BB-1	328.03	Co-Mgr
12/01/2015	Arundel-Kennebunk-Kennebunkport RSD #21	General Obligation Bonds	Series 2015	45.00	Sr Mgr
12/01/2015	Fox Valley Park Dt	GO Park Refunding Bonds	Series 2015 B	23.83	Sr Mgr
12/01/2015	Louisville-Jefferson Co Metro Swr Dt	General Obligation Bonds	Series 2015 A	55.17	Sr Mgr
12/01/2015	Bismarck City-North Dakota	Refunding Improvement Bonds	2015 Series K	31.91	Sr Mgr
12/01/2015	Cleveland Co (Moore) ISD #2	GO Building Bonds	Series 2015	7.06	Sr Mgr
12/01/2015	Harris Co-Texas	Toll Road Revenue Ref Bonds	Series 2015 B	161.58	Co-Mgr
12/01/2015	Harris Co MUD #500	Contract Revenue Bonds	Series 2015	15.88	Co-Mgr
12/01/2015	Harris Co MUD #391	Unlimited Tax Bonds	Series 2015 A	8.68	Co-Mgr
12/01/2015	Fort Bend Co MUD #58	Unlimited Tax Road Bonds	Series 2015 A	16.05	Co-Mgr
12/01/2015	Westchester Co-New York	General Obligation Bonds	2015 Series D	3.66	Co-Mgr

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Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
12/02/2015	Springfield City-Illinois	Sr Lien Electric Rev Ref Bonds	Series of 2015	507.74	Sr Mgr
12/02/2015	Dutchess Co-New York	Public Improvement Serial Bonds	Series 2015	27.87	Sr Mgr
12/02/2015	New Jersey Trans Trust Fund Au	Transportation Program Bonds	2015 Series AA	626.80	Co-Mgr
12/03/2015	Kane Co (Kaneland) CUSD #302	GO School Refunding Bonds	Series 2015 A	21.58	Sr Mgr
12/03/2015	De Kalb Co-Georgia	Water & Sewer Rev Ref Bonds	Series 2015	70.49	Sr Mgr
12/03/2015	Wisconsin	Environmental Imp Fund Rev Bonds	2015 Series A	43.38	Sr Mgr
12/03/2015	Carroll Co County Commissioners	Consolidated Pub Imp & Ref Bonds	Series of 2015	37.19	Sr Mgr
12/03/2015	Kentucky St Property & Bldg Comm	Road Fund Revenue Bonds		4.96	Sr Mgr
12/03/2015	Kentucky St Property & Bldg Comm	Revenue & Refunding Bonds		115.96	Sr Mgr
12/08/2015	Omaha Metropolitan Utilities Di	Water Sys Imp & Ref Rev Bonds	Series 2015	188.90	Sr Mgr
12/08/2015	Virginia Housing Dev Auth (VHDA)	Rental Housing Bonds	2015 Series E	32.39	Sr Mgr
12/09/2015	Palm Beach Co-Florida	Public Improvement Rev Bonds	Series 2015 D	56.65	Co-Mgr
12/09/2015	Palm Beach Co-Florida	Public Improvement Rev Bonds	Series 2015 C	65.36	Co-Mgr
12/10/2015	Robertson Co-Tennessee	GO Refunding Bonds	Series 2015	43.67	Sr Mgr
12/15/2015	Massachusetts School Bldg Auth	Sr Dedicated Sales Tax Ref Bonds	2015 Series C	738.41	Sr Mgr
12/15/2015	Phoenix City Civic Imp Corp	Jr Lien Airport Rev & Ref Bonds	Series 2015 A	114.44	Sr Mgr
12/15/2015	Cowlitz Co Public Utility Dt #1	Electric Dist Sys Rev Ref Bonds	Series 2015 B	38.31	Sr Mgr
12/15/2015	Vermont Municipal Bond Bank	Bond Bank Bonds	2015 Series 4	10.43	Co-Mgr
12/15/2015	Vermont Municipal Bond Bank	Refunding Bonds	2015 Series 5	52.40	Co-Mgr
12/15/2015	Miami Beach-Florida	Resort Tax Revenue Bonds	Series 2015	194.92	Co-Mgr
12/16/2015	Dakota Co (Farmington) ISD #192	GO Sch Building Refunding Bonds	Series 2015 C	28.64	Sr Mgr
12/16/2015	Miami-Dade Co School Board	Certificates of Participation	Series 2015 D	345.89	Co-Mgr
12/17/2015	Massachusetts Housing Fin Auth	Single Family Revenue Bonds	Series 180	26.53	Co-Mgr
12/17/2015	Massachusetts Housing Fin Auth	Single Family Mortgage Rev Bonds	Series 178 & 179	86.42	Co-Mgr
12/18/2015	Rhode Island Infrastructure Bank	Refunding Water Revenue Bonds	Series 2015	24.27	Co-Mgr
01/05/2016	San Diego USD	General Obligation Bonds	2016 Series G	100.00	Sr Mgr
01/05/2016	San Diego USD	General Obligation Bonds	2016 Series F	370.65	Sr Mgr
01/06/2016	Philadelphia Auth for Indus Dev	Revenue Bonds	First Series of 2016	134.08	Sr Mgr

Appendix B	Florida Transaction List

Florida Transactions
Negotiated & Competitive
(January 1, 2011 – Present)

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
02/10/2011	Florida Board of Governors	Parking Facility Revenue Bonds	Series 2011 A	22.15	Sr Mgr
03/09/2011	Florida Housing Finance Corp	Homeowner Mortgage Revenue Bonds	2011 Series A	60.00	Co-Mgr
03/29/2011	Miami-Dade Co School Board	Certificates of Participation	Series 2011 B	137.66	Sr Mgr
03/29/2011	Miami-Dade Co School Board	Certificates of Participation	Series 2011 A	139.06	Sr Mgr
03/31/2011	Village Community Dev Dt #9	Special Assessment Revenue Bonds	Series 2011	55.12	Sr Mgr
03/31/2011	Florida Housing Finance Corp	Homeowner Mtg Rev & Ref Bonds	2011 Series 1	50.36	Sr Mgr
03/31/2011	Florida Housing Finance Corp	Homeowner Mtg Rev & Ref Bonds	2011 Series 2-3	34.86	Sr Mgr
04/14/2011	Collier Co Industrial Dev Auth	Healthcare Facs Revenue Bonds	Series 2011	102.12	Co-Mgr
04/14/2011	Sunshine State Govt Fin Commiss	Revenue Bonds	Series 2011 A	247.60	Co-Mgr
04/20/2011	Orlando Utilities Commission	Utility System Revenue Ref Bonds	Series 2011 A	98.36	Sr Mgr
05/03/2011	St Lucie Co School Board	Ref Certificates of Participation	Series 2011 A	54.85	Sr Mgr
05/11/2011	Manatee County School Board	Certificates of Participation	Series 2011 A	39.85	Sr Mgr
05/25/2011	Florida Ports Financing Comm	Refunding Revenue Bonds	Series 2011 A	66.30	Sr Mgr
05/26/2011	Florida Ports Financing Comm	Refunding Revenue Bonds	Series 2011 B	49.33	Sr Mgr
05/26/2011	Florida Ports Financing Comm	Refunding Revenue Bonds	Series 2011 A	10.65	Sr Mgr
05/26/2011	Florida Ports Financing Comm	Refunding Revenue Bonds	Series 2011 B	141.67	Sr Mgr
06/09/2011	Winter Park City-Florida	GO Refunding Bonds	Series 2011	6.96	Sr Mgr
06/09/2011	Broward Co School Board	Certificates of Participation	Series 2011 A	175.51	Sr Mgr
06/28/2011	Florida Gulf Coast Univ Fin Corp	Capital Improvement Rev Bonds	Series 2011 A	30.00	Sr Mgr
07/07/2011	Florida Housing Finance Corp	Homeowner Mortgage Rev Bonds	2011 Series B	60.00	Co-Mgr
07/13/2011	Palm Beach Co School Board	Certificates of Participation	Series 2011 A	44.52	Co-Mgr
07/13/2011	Palm Beach Co School Board	Certificates of Participation	Series 2011 A	67.91	Co-Mgr
07/14/2011	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2011 A-1	645.00	Sr Mgr
07/14/2011	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2011 A-3	150.00	Sr Mgr
07/22/2011	Jacksonville City-Florida	Sales Tax Refunding Revenue Bonds	Series 2011	79.22	Co-Mgr
07/28/2011	Lakeland City-Florida	Hospital Revenue Refunding Bonds	Series 2011	77.58	Sr Mgr
08/01/2011	Miami-Dade Co Health Facs Auth	Hospital Revenue Refunding Bonds	Series 2011	25.55	Co-Mgr
08/16/2011	Tampa Bay Water Auth	Utility System Ref Rev Bonds	Series 2011 A	140.65	Sr Mgr
08/16/2011	Tampa Bay Water Auth	Utility System Ref Rev Bonds	Series 2011 B	148.92	Co-Mgr
08/25/2011	Pinellas Co Housing Fin Auth	Single Family Housing Rev Bonds	Series 2011 A	8.00	Co-Mgr
08/30/2011	Lee Co-Florida	Airport Revenue Refunding Bonds	Series 2011 A	174.45	Sr Mgr
09/20/2011	Greater Orlando Aviation Auth	Airport Revenue Refunding Bonds	Series 2011B	70.04	Co-Mgr
10/05/2011	Jacksonville Electric Authority	Refunding Revenue Bonds	Issue 2 Series 24	7.94	Co-Mgr
10/05/2011	Jacksonville Electric Authority	Refunding Revenue Bonds	Issue 2 Ser 23 & 24	357.48	Co-Mgr
10/12/2011	Greater Orlando Aviation Auth	Airport Facs Refunding Rev Bonds	Series 2011 C	40.43	Sr Mgr
10/12/2011	Greater Orlando Aviation Auth	Airport Facs Refunding Rev Bonds	Series 2011 D	67.95	Sr Mgr
10/26/2011	Palm Beach Co Solid Waste Auth	Refunding Revenue Bonds	Series 2011	599.86	Sr Mgr
11/03/2011	Florida Housing Finance Corp	Homeowner Mortgage Rev Bonds	2011 Series C	54.82	Sr Mgr
11/22/2011	Cape Coral City-Florida	Water & Sewer Refunding Rev Bonds	Series 2011 A	106.56	Co-Mgr
12/09/2011	Osceola Co-Florida	Infra Sales Surtax Rev Ref Bonds	Series 2011	29.50	Sr Mgr
12/14/2011	Manatee Co-Florida	Public Utility Ref Rev Bonds	Series 2011	22.65	Sr Mgr
12/15/2011	Volusia Co School Board	Sales Tax Revenue Refunding Bonds	Series 2011	39.11	Sr Mgr
01/12/2012	Lakeland City-Florida	Water & Wastewater Rev Ref Bonds	Series 2012 B	6.75	Sr Mgr
01/12/2012	Lakeland City-Florida	Water & Wastewater Rev Ref & Imp	Series 2012 A	37.33	Sr Mgr
02/09/2012	Jacksonville Electric Authority	Electric System Sub Rev Bonds	2012 Ser A & Three	174.88	Co-Mgr
02/15/2012	Village Community Dev Dt #9	Special Assessment Rev Bonds	Series 2012	53.77	Sr Mgr
02/23/2012	Jacksonville Electric Authority	Water & Sewer Sys Rev & Sub Bonds	2012 Series A	354.88	Co-Mgr
03/15/2012	Seminole Co School Board	Certificates of Participation	Series 2012 A	27.10	Sr Mgr
04/10/2012	Broward Co-Florida	Wtr & Swr Utility Rev Ref Bonds	Series 2012 C	47.66	Sr Mgr
04/10/2012	Broward Co-Florida	Wtr & Swr Utility Rev & Ref Bonds	Series 2012 A & B	251.55	Sr Mgr
04/25/2012	Lake Co School Board	Certificates of Participation	Series 2012 A	23.07	Sr Mgr
04/30/2012	CityPlace Community Dev Dt	Special Assess & Rev Ref Bonds	Series 2012	39.89	Sr Mgr
05/03/2012	Orange Co School Board	Certificates of Participation	Series 2012 A	56.45	Sr Mgr
05/03/2012	Florida Board of Governors	Florida Intl Univ Dorm Rev Bonds	Series 2012 A	53.66	Sr Mgr
05/03/2012	Lee Co School Board	Certificates of Participation	Series 2012 B	149.02	Co-Mgr
05/03/2012	Orange Co School Board	Certificates of Participation	Series 2012 B	78.73	Co-Mgr
05/09/2012	Broward Co School Board	Certificates of Participation	Series 2012 A	270.65	Co-Mgr
05/17/2012	Florida Dept of Transportation	Right-of-Way & Bridge Con Bonds	Series 2012 A	267.39	Sr Mgr
06/20/2012	Lake Co School Board	Certificates of Participation	Series 2012 B	20.66	Sr Mgr
06/21/2012	Florida Citizens Prop Ins Corp	Senior Secured Bonds	Series 2012 A-3	200.00	Sr Mgr
06/21/2012	Florida Citizens Prop Ins Corp	Senior Secured Bonds	Series 2012 A-1	1100.00	Sr Mgr
07/03/2012	Greater Orlando Aviation Auth	Airport Facilities Ref Rev Bonds	Series 2012 A	37.07	Co-Mgr
07/11/2012	Marion Co-Florida	Utility System Revenue Ref Bonds	Series 2012	39.94	Sr Mgr
07/17/2012	Pinellas Co-Florida	Sewer Revenue Refunding Bonds	Series 2012	59.51	Sr Mgr
07/31/2012	Osceola Co-Florida	Tourist Dev Tax Ref & Imp Bonds	Series 2012	74.79	Co-Mgr
08/01/2012	Miami-Dade Co-Florida	Transit Sys Sales Surtax Rev Bond	Series 2012	537.21	Co-Mgr
08/02/2012	Gainesville City-Florida	Utilities System Revenue Bonds	2012 Series A	81.86	Co-Mgr
08/07/2012	Jacksonville Electric Authority	Revenue Bonds	Issue Three Ser Six	121.46	Co-Mgr
08/16/2012	Jacksonville Electric Authority	Water & Sewer Sys Sub & Rev Bonds	2012 Series B	178.37	Co-Mgr
08/23/2012	Jacksonville Electric Authority	Electric System Sub Rev Bonds	2012 Series B	109.61	Co-Mgr
08/30/2012	Jacksonville Electric Authority	Electric System Revenue Bonds	Series Three 2012 B	140.64	Sr Mgr
08/31/2012	Jacksonville Electric Authority	Power Park Sys Ref Rev Bonds	Issue Two Ser 25	3.46	Co-Mgr
08/31/2012	Jacksonville Electric Authority	Power Park Sys Ref Rev Bonds	Issue Two Ser 25	81.68	Co-Mgr
09/13/2012	Seminole Co School Board	Certificates of Participation	Series 2012 B	28.89	Sr Mgr

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Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
09/19/2012	West Palm Beach City-Florida	Utility System Revenue Ref Bonds	Series 2012 A	43.04	Co-Mgr
09/27/2012	Broward Co-Florida	Airport System Revenue Bonds	Series 2012 Q-2	105.71	Sr Mgr
09/27/2012	Broward Co-Florida	Airport System Revenue Bonds	Series 2012 Q-1	515.62	Sr Mgr
10/03/2012	Fort Lauderdale City-Florida	Special Obligation Bonds	Series 2012	337.76	Sr Mgr
10/04/2012	Florida State BOE	Public Ed Cap Outlay Ref Bonds	2012 Series D	229.93	Sr Mgr
10/31/2012	Lee Co-Florida	Non Ad Valorem Ref Revenue Bonds	Series 2012	48.39	Sr Mgr
11/01/2012	Florida Dept of Transportation	Right-of-Way Acq Bridge Con Bonds	Series 2012 B	234.72	Sr Mgr
11/07/2012	Manatee Co Port Authority	Revenue Refunding Bonds	Series 2012 A	6.61	Co-Mgr
11/07/2012	Manatee Co Port Authority	Revenue Refunding Bonds	Series 2012 B	33.73	Co-Mgr
11/08/2012	Miami-Dade Co-Florida	Sub Special Oblig Refunding Bonds	Series 2012 A & B	489.99	Sr Mgr
12/12/2012	Miami-Dade Co Educational Facs Auth	Revenue Bonds	Series 2012 B	25.27	Co-Mgr
12/12/2012	Miami-Dade Co Educational Facs Auth	Revenue Bonds	Series 2012 A	94.62	Co-Mgr
12/13/2012	Pinellas Co Housing Fin Auth	Single Family Housing Rev Bonds	Series 2012 A	3.00	Co-Mgr
12/18/2012	Village Community Dev Dt #10	Special Assessment Rev Bonds	Series 2012	77.04	Sr Mgr
12/19/2012	Polk Co-Florida	Utility System Revenue Ref Bonds	Series 2012	43.82	Co-Mgr
12/20/2012	Apopka City-Florida	Utility System Rev Ref Bonds	Series 2012	18.07	Sr Mgr
12/20/2012	Tampa-Hillsborough Co Expressway Auth	Revenue & Refunding Rev Bonds	Series 2012 C & D	110.53	Co-Mgr
12/20/2012	Tampa-Hillsborough Co Expressway Auth	Refunding Revenue Bonds	Series 2012 A & B	334.17	Co-Mgr
12/27/2012	No Sumter Co Util Dependent Dt	Sub Solid Waste Revenue Bonds	Series 2012	4.00	Sr Mgr
12/27/2012	No Sumter Co Util Dependent Dt	Solid Waste Revenue Bonds	Series 2012	50.61	Sr Mgr
01/02/2013	Orlando-Orange Co Expressway Au	Refunding Revenue Bonds	Series 2013 B	174.32	Sr Mgr
01/31/2013	St Johns Co School Board	Certificates of Participation	Series 2013	33.48	Sr Mgr
02/01/2013	Village Community Dev Dt #6	Special Assessment Rev Ref Bonds	Series 2013	46.25	Sr Mgr
02/05/2013	Brevard Co Health Facs Auth	Health Facs Revenue Ref Bonds	Series 2013	65.91	Sr Mgr
02/07/2013	Jacksonville Electric Authority	Electric System Sub Rev Bonds	2013 Series A	59.33	Co-Mgr
02/07/2013	Tampa Bay Water Auth	Utility System Revenue Bonds	Series 2013	75.30	Co-Mgr
02/20/2013	Jacksonville Electric Authority	Electric Sys & Sub Revenue Bonds	Ser Three 2013 A & B	203.26	Co-Mgr
02/21/2013	Lake Co School Board	Certificates of Participation	Series 2013 A	20.98	Sr Mgr
03/01/2013	Village Community Dev Dt #5	Special Assess Rev Ref Bonds	Series 2013	37.73	Sr Mgr
03/06/2013	Manatee Co-Florida	Rev Ref & Improvement Bonds	Series 2013	79.64	Co-Mgr
03/07/2013	Florida State BOE	Public Ed Capital Outlay Ref Bond	2013 Series A	324.63	Sr Mgr
03/12/2013	Tarpon Springs City-Florida	Utility System Revenue Bonds	Series 2013 A1 & A2	35.80	Sr Mgr
03/20/2013	St Lucie Co School Board	Ref Certificates of Participation	Series 2013 A	77.26	Co-Mgr
04/03/2013	Orlando-Orange Co Expressway Au	Revenue Refunding Bonds	Series 2013 A	242.32	Sr Mgr
04/11/2013	Greater Orlando Aviation Auth	Spl Purpose Airport Rev Ref Bonds	Series 2013	42.32	Sr Mgr
04/16/2013	Collier Co-Florida	Special Obligation Ref Rev Bonds	Series 2013	73.81	Sr Mgr
04/23/2013	Florida Hurricane Catastrophe Fin	Revenue Bonds	Series 2013 A	2000.00	Sr Mgr
04/23/2013	Miami-Dade Co Expressway Auth	Toll System Ref Revenue Bonds	Series 2013 A	270.22	Co-Mgr
04/25/2013	Boggy Creek Improvement Dt	Special Assess Rev & Ref Bonds	Series 2013	56.82	Sr Mgr
04/25/2013	Greeneway Improvement Dt	Special Assessment Rev Bonds	Series 2013	55.75	Sr Mgr
05/01/2013	Brevard Co School Board	Ref Certificates of Participation	Series 2013 A	91.32	Sr Mgr
05/01/2013	Brevard Co School Board	Ref Certificates of Participation	Series 2013 B	50.90	Sr Mgr
05/16/2013	Florida Housing Finance Corp	Homeowner Mortgage Revenue Bonds	2013 Series A	108.08	Co-Mgr
05/16/2013	Jacksonville Electric Authority	Electric Sys Revenue & Sub Bonds	Ser Three 2013 B & C	96.23	Co-Mgr
05/30/2013	Florida Housing Finance Corp	Homeowner Mortgage Revenue Bonds	2013 Series B	89.84	Co-Mgr
06/19/2013	Jacksonville Electric Authority	District Energy Sys Ref Rev Bonds	2013 Series A	43.33	Co-Mgr
07/02/2013	Pasco Co School Board	Certificates of Participation	Series 2013 A	45.39	Sr Mgr
07/03/2013	St Lucie Co-Florida	Sales Tax Refunding Rev Bonds	Series 2013 A & B	56.69	Co-Mgr
07/31/2013	Lee Co-Florida	Wtr & Swr Rev & Rev Ref Bonds	Series 2013 A & B	93.20	Sr Mgr
08/06/2013	Jacksonville Electric Authority	Water & Sewer System Rev Bonds	2013 Series B	29.71	Co-Mgr
08/08/2013	Jacksonville Electric Authority	Water & Sewer Sys & Sub Rev Bonds	2013 Series A	173.93	Co-Mgr
08/21/2013	Tampa City-Florida	Solid Waste System Ref Rev Bonds	Series 2013	25.16	Sr Mgr
09/10/2013	Jacksonville Electric Authority	Electric Sys & Sub Revenue Bonds	Ser Three 2013 C & D	197.17	Co-Mgr
09/18/2013	Orange Co School Board	Certificates of Participation	Series 2013 A	19.29	Co-Mgr
10/01/2013	Marco Island City-Florida	Utility System Ref Revenue Bonds	Series 2013	62.00	Co-Mgr
10/30/2013	Hillsborough Co Aviation Auth	Subordinated Revenue Ref Bonds	2013 Series A	168.87	Co-Mgr
12/11/2013	Duval Co School Board	Certificates of Participation	Series 2013 A	16.09	Co-Mgr
12/12/2013	St Lucie Co Water & Sewer Dt	Utility Sys Imp & Ref Rev Bonds	Series 2013	21.11	Co-Mgr
12/19/2013	Palm Beach Town-Florida	Public Improvement Rev Bonds	Series 2013	55.59	Sr Mgr
12/19/2013	Polk Co-Florida	Utility System Rev & Ref Bonds	Series 2013	53.63	Co-Mgr
12/23/2013	Florida Housing Finance Corp	Homeowner Mortgage Rev Bonds	2013 Series C	50.00	Sr Mgr
12/27/2013	Pasco Co SD	Sales Tax Revenue Bonds	Series 2013	96.72	Co-Mgr
02/05/2014	Jacksonville Electric Authority	Electric System & Sub Rev Bonds	Series Three & 2014A	287.92	Co-Mgr
02/07/2014	Village Community Dev Dt #10	Special Assessment Rev Bonds	Series 2014	68.62	Sr Mgr
02/11/2014	Hollywood Beach Comm Dev Dt #1	Revenue Bonds	Series 2014	36.40	Co-Mgr
03/06/2014	Polk Co-Florida	Utility System Revenue Ref Bonds	Series 2014 A	16.07	Sr Mgr
03/06/2014	Polk Co-Florida	Utility System Revenue Ref Bonds	Series 2014 B	5.91	Sr Mgr
03/26/2014	Jacksonville Electric Authority	Bulk Power Supply Sys Rev Bonds	Series 2014 A	72.46	Co-Mgr
05/02/2014	Orlando City-Florida	Revenue Bonds	Series 2014 A	236.29	Sr Mgr
05/06/2014	Orange Co School Board	Certificates of Participation	Series 2014 A	63.84	Co-Mgr
05/20/2014	Midtown Miami Comm Dev Dt	Special Assess Rev & Ref Bonds	Series 2014 A & B	91.85	Sr Mgr
05/27/2014	Seminole Co-Florida	Special Obligations Bonds	Series 2014	28.00	Sr Mgr
05/28/2014	Florida Housing Finance Corp	Homeowner Mortgage Rev Bonds	2014 Series A	21.07	Co-Mgr
05/28/2014	Florida Housing Finance Corp	Homeowner Mortgage Rev Bonds	2014 Series B	50.00	Co-Mgr
05/29/2014	Jacksonville Electric Authority	Revenue Bonds	Issue Three Ser 7	88.79	Sr Mgr
06/19/2014	Miami-Dade Co Expressway Auth	Toll System Revenue Bonds	Series 2014 A	314.05	Co-Mgr
06/27/2014	Palm Beach Co School Board	Certificates of Participation	Series 2014 B	166.01	Co-Mgr
07/08/2014	Jacksonville Electric Authority	Revenue Bonds	Issue 3 Series Eight	63.93	Sr Mgr

Florida Transactions
Negotiated & Competitive
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Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
07/11/2014	Orange Co Industrial Dev Auth	Industrial Development Rev Bonds	Series 2014	64.10	Sr Mgr
07/15/2014	Jacksonville Electric Authority	Water & Sewer System Rev Bonds	2014 Series A	300.20	Co-Mgr
07/16/2014	Lake Co School Board	Certificates of Participation	Series 2014 A	55.80	Sr Mgr
07/24/2014	Flagler Co SD	Ref Certificates of Participation	Series 2014 A	43.87	Co-Mgr
08/07/2014	Riviera Beach City Util Spec Dt	Water & Sewer Revenue Ref Bonds	Series 2014	22.65	Sr Mgr
08/19/2014	Palm Beach Co-Florida	GO Refunding Bonds	Series 2014	11.87	Sr Mgr
09/04/2014	Florida State BOE	Pub Ed Capital Outlay Ref Bonds	2014 Series B	117.30	Sr Mgr
09/25/2014	Palm Beach Co Health Facs Auth	Hospital Revenue Refunding Bonds	Series 2014	89.57	Sr Mgr
10/15/2014	St Petersburg City-Florida	Public Utility Ref Revenue Bonds	Series 2014 B	43.23	Sr Mgr
10/15/2014	Miami-Dade Co Expressway Auth	Toll Sys Rev & Refunding Bonds	Series 2014 B	266.43	Sr Mgr
10/22/2014	Brevard Co School Board	Ref Certificates of Participation	Series 2014	62.31	Co-Mgr
11/14/2014	Village CDD #11	Special Assessment Rev Bonds	Series 2014	56.12	Sr Mgr
11/19/2014	Seminole Co School Board	Certificates of Participation	Series 2014 A	20.46	Sr Mgr
11/20/2014	Indian River Co School Bd	Ref Certificates of Participation	Series 2014 A	45.46	Sr Mgr
11/20/2014	Miami-Dade Co School Board	Certificates of Participation	Series 2014 D	277.00	Co-Mgr
11/25/2014	East Ctrl Reg Wstwtr Treatment Facs Op Bd	Wstwtr Treatment Facs Rev Bonds	Series 2014	86.59	Co-Mgr
11/25/2014	Hollywood City-Florida	Water & Sewer Refunding Rev Bonds	Series 2014	47.16	Co-Mgr
12/12/2014	Celebration Community Dev Dt	Special Assessment Revenue Bonds	Series 2014	33.73	Sr Mgr
12/17/2014	Brevard Co Health Facs Auth	Health Facilities Rev Ref Bonds	Series 2014	255.39	Sr Mgr
12/17/2014	Miami-Dade Co-Florida	Aviation Revenue Refunding Bonds	Series 2014 B	162.23	Co-Mgr
12/17/2014	Miami-Dade Co-Florida	Aviation Revenue Refunding Bonds	Series 2014 A	598.92	Co-Mgr
12/18/2014	Florida Board of Governors	Parking Facility Rev Ref Bonds	Series 2014 A	13.49	Sr Mgr
12/29/2014	Peace River-Manasota Reg Wtr Supply Au	Utility System Ref Revenue Bonds	Series 2014 B	56.07	Sr Mgr
01/06/2015	St Lucie Co School Board	Ref Certificates of Participation	Series 2015 A	26.06	Sr Mgr
01/14/2015	Orange Co School Board	Certificates of Participation	Series 2015 C	132.34	Sr Mgr
01/14/2015	Palm Beach Co School Board	Certificates of Participation	Series 2015 B	145.54	Co-Mgr
01/21/2015	St Johns Co School Board	Ref Certificates of Participation	Series 2015	54.85	Sr Mgr
01/29/2015	Florida Department of Mgmt Svcs	Ref Certificates of Participation	Series 2015 A	99.63	Sr Mgr
02/11/2015	Broward Co School Board	Certificates of Participation	Series 2015 B	170.81	Sr Mgr
02/11/2015	Broward Co School Board	Certificates of Participation	Series 2015 A	252.36	Sr Mgr
02/18/2015	Florida Housing Finance Corp	Homeowner Mortgage Revenue Bonds	2015 Series A	60.00	Sr Mgr
02/19/2015	Palm Beach Co Solid Waste Auth	Refunding Revenue Bonds	Series 2015	50.33	Sr Mgr
02/19/2015	Lake Co School Board	Certificates of Participation	Series 2015 B	56.27	Sr Mgr
02/25/2015	Orange Co School Board	Certificates of Participation	Series 2015 D	114.17	Sr Mgr
03/03/2015	Tampa Bay Water Auth	Utility System Ref Rev Bonds	Series 2015 A	180.84	Sr Mgr
03/03/2015	Tampa Bay Water Auth	Utility System Ref Rev Bonds	Series 2015 B	95.98	Co-Mgr
03/12/2015	Brevard Co School Board	Ref Certificates of Participation	Series 2015 B & C	100.70	Sr Mgr
03/12/2015	Sunrise City-Florida	Special Assessment Bonds	Series 2015	70.12	Sr Mgr
03/19/2015	Jacksonville Electric Authority	Electric System Revenue Bonds	Series Three 2015 A	83.33	Co-Mgr
03/31/2015	Pasco Co School Board	Ref Certificates of Participation	Series 2015 A	44.15	Co-Mgr
04/21/2015	Florida Housing Finance Corp	Multi-Family Mortgage Rev Bonds	2015 Series C	13.30	Sr Mgr
04/22/2015	Florida Keys Aqueduct Authority	Water Revenue Refunding Bonds	Series 2015 A	34.56	Sr Mgr
04/22/2015	Manatee Co-Florida	Public Util Rev Ref & Imp Bonds	Series 2015	91.49	Co-Mgr
04/23/2015	Florida State BOE	Pub Educ Capital Outlay Ref Bonds	2015 Series C	253.95	Sr Mgr
04/30/2015	Palm Beach Co School Board	Certificates of Participation	Series 2015 D	221.64	Co-Mgr
05/01/2015	Village Community Dev Dt #7	Special Assessment Rev Ref Bonds	Series 2015	61.08	Sr Mgr
05/06/2015	Univ of So Florida (USF) Fin Corp	Ref Certificates of Participation	Series 2015 A	23.64	Co-Mgr
05/07/2015	Miami-Dade Co-Florida	General Obligation Bonds	Series 2013-A	9.42	Co-Mgr
05/07/2015	Miami-Dade Co-Florida	General Obligation Bonds	Ser 2013-A & 2014-A	233.67	Co-Mgr
05/07/2015	Jacksonville Electric Authority	Refunding Revenue Bonds	Issue 2 Series 27	7.16	Co-Mgr
05/07/2015	Jacksonville Electric Authority	Refunding Revenue Bonds	Issue 2 Series 26	65.97	Co-Mgr
05/14/2015	St Lucie Co SD	Sales Tax Refunding Rev Bonds	Series 2015	79.88	Co-Mgr
05/27/2015	Seminole Co-Florida	Water & Sewer Revenue Ref Bonds	Series 2015 A	149.27	Co-Mgr
06/02/2015	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2015 A-1	150.00	Sr Mgr
06/02/2015	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2015 A-1	225.00	Sr Mgr
06/02/2015	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2015 A-1	275.00	Sr Mgr
06/02/2015	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2015 A-1	50.00	Sr Mgr
06/02/2015	Florida Citizens Prop Ins Corp	Coastal Account Sr Secured Bonds	Series 2015 A-2	300.00	Sr Mgr
06/03/2015	Miami-Dade Co-Florida	Water & Sewer Sys Rev Ref Bonds	Series 2015	481.18	Co-Mgr
06/11/2015	Collier Co School Board	Ref Certificates of Participation	Series 2015	36.23	Co-Mgr
06/18/2015	Broward Co SD	General Obligation School Bonds	Series 2015	155.06	Sr Mgr
06/24/2015	Lee Co-Florida	Non Ad Valorem Ref Revenue Bonds	Series 2015	48.64	Sr Mgr
07/07/2015	Peace River-Manasota Reg Wtr Supply Au	Utility System Ref Revenue Bonds	Series 2015	23.91	Sr Mgr
07/07/2015	Seminole Co-Florida	Water & Sewer Revenue Ref Bonds	Series 2015 B	32.03	Co-Mgr
07/08/2015	Lee Co-Florida	Airport Revenue Refunding Bonds	Series 2015	33.43	Sr Mgr
07/08/2015	Miami-Dade Co-Florida	Aviation Revenue Refunding Bonds	Series 2015 B	38.50	Co-Mgr
07/08/2015	Miami-Dade Co-Florida	Aviation Rev & Ref Rev Bonds	Series 2015 A	498.34	Co-Mgr
07/09/2015	Miami-Dade Co-Florida	Public Facs Revenue & Ref Bonds	Series 2015 A	205.35	Co-Mgr
07/16/2015	Cape Coral City-Florida	Water & Sewer Ref Rev Bonds	Series 2015	72.42	Sr Mgr
07/16/2015	Jacksonville Electric Authority	Electric System Revenue Bonds	Series Three 2015 B	9.15	Co-Mgr
07/16/2015	Jacksonville Electric Authority	Electric System Revenue Bonds	Series Three 2015 B	33.21	Co-Mgr
07/21/2015	Broward Co-Florida	Water & Sewer Util Rev Ref Bonds	Series 2015 A & B	199.81	Co-Mgr
07/23/2015	Tampa City-Florida	Non-Ad Valorem Ref Revenue Bonds	Series 2015	36.88	Sr Mgr
07/30/2015	Miami-Dade Co School Board	Certificates of Participation	Series 2015 B	239.63	Sr Mgr
08/05/2015	Miami Beach-Florida	Stormwater Revenue Bonds	Series 2015	99.59	Co-Mgr
08/13/2015	Lake Co-Florida	Capital Improvement Ref Rev Bonds	Series 2015 B	50.14	Co-Mgr
08/13/2015	Hillsborough Co Aviation Auth	Subordinate Revenue Bonds	2015 Series B	153.92	Co-Mgr
08/13/2015	Hillsborough Co Aviation Auth	Revenue & Subordinated Rev Bonds	2015 Series A	167.80	Co-Mgr
08/20/2015	Hillsborough Co SD	Sales Tax Rev Refunding Bonds	Series 2015 B	65.20	Co-Mgr

Florida Transactions
Negotiated & Competitive
(January 1, 2011 – Present)

Dated Date	Issuer	Issue Description		Par Amt. ($ millions)	Series
09/03/2015	Hillsborough Co Aviation Auth	Customer Fac Charge Rev Bonds	2015 Series A	88.98	Sr Mgr
09/03/2015	Hillsborough Co Aviation Auth	Customer Fac Charge Rev Bonds	2015 Series B	294.35	Sr Mgr
09/09/2015	Duval Co School Board	Certificates of Participation	Series 2015 B	121.05	Sr Mgr
09/10/2015	Florida State BOE	Pub Educ Capital Outlay Ref Bonds	2015 Series E	306.65	Sr Mgr
09/10/2015	West Palm Beach Comm Redev Agy	Tax Increment Refunding Bonds	Series 2015	44.06	Sr Mgr
10/13/2015	Sumter Landing Comm Dev Dt	Recreational Revenue Ref Bonds	Series 2015	55.45	Sr Mgr
10/15/2015	Florida Dept of Environ Protect	Everglades Restoration Rev Bonds	Series 2015 A	46.74	Sr Mgr
10/28/2015	Palm Beach Co School Board	Certificates of Participation	Series 2015 C	62.97	Co-Mgr
10/29/2015	Hollywood Comm Redev Agency	Redev Rev & Rev Refunding Bonds	Series 2015	49.08	Sr Mgr
11/04/2015	Columbia Co School Board	Ref Certificates of Participation	Series 2015 A	18.80	Sr Mgr
11/24/2015	Florida State BOE	Public Ed Cap Outlay Ref Bonds	2015 Series F	233.14	Sr Mgr
12/09/2015	Palm Beach Co-Florida	Public Improvement Rev Bonds	Series 2015 D	56.65	Co-Mgr
12/09/2015	Palm Beach Co-Florida	Public Improvement Rev Bonds	Series 2015 C	65.36	Co-Mgr
12/15/2015	Miami Beach-Florida	Resort Tax Revenue Bonds	Series 2015	194.92	Co-Mgr
12/16/2015	Miami-Dade Co School Board	Certificates of Participation	Series 2015 D	345.89	Co-Mgr

Appendix C	Reference Forms

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent:
Reference: Hillsborough County Aviation Authority Fax #: 813-870-7868
Email: DBrooke@TampaAirport.com Telephone #: 813-870-8748
Person to contact: Damian Brooke

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.
Senior Underwriter for $383 million Bond Issue

What problems were encountered?
None. Very Professional Operation, Great Team, Easy to Work With.

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	10	Final Product	10
Qualifications	10	Cooperation	10
Budget Control	10	Reliability	10

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent:
Reference: City of Fort Lauderdale Fax #: _____
Email: lfeldman@fortlauderdale.gov Telephone #: 954-828-5959
Person to contact: Lee Feldman, City Manager

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.
Underwriting Services

What problems were encountered?
No problems were encountered. Complex projects handled without issue.

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	10	Final Product	10
Qualifications	10	Cooperation	10
Budget Control	N/A	Reliability	10

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent: Citigroup Global Markets Inc.
Reference: School Board of Brevard County, Florida Fax #: 321-633-3534
Email: clark.joann@brevardschools.org Telephone #: 321-633-1000 X660
Person to contact: Jo Ann Clark, Director, Accounting Services

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.
Senior Managing Underwriter for Certificates of Participation (COP) 2007 A, B, & C; 2008; 2013 A & B (Refunding); and 2015 B & C (Refunding).

What problems were encountered?
None

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	10	Final Product	10
Qualifications	10	Cooperation	10+
Budget Control	9	Reliability	10+

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]
Comments:
Citigroup Global Markets has always provided phenomenal customer service, as well as excellent feedback and communication. We would not hesitate to recommend them to any organization in need of project underwriting.
Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

Appendix D	W-9 Form

Form **W-9**
(Rev. August 2013)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)
Citigroup Global Markets Inc.

Business name/disregarded entity name, if different from above

Check appropriate box for federal tax classification:
☐ Individual/sole proprietor ☑ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate

☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶

☐ Other (see instructions) ▶

Exemptions (see instructions):
Exempt payee code (if any)
Exemption from FATCA reporting code (if any)

Address (number, street, and apt. or suite no.)
390 Greenwich Street, 2nd Floor

Requester's name and address (optional)

City, state, and ZIP code
New York, NY 10013

List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number
			–			–				

Employer identification number
1	1	–	2	4	1	8	1	9	1

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below), and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here | **Signature of U.S. person** ▶ Yesenia Mueller | **Date** ▶ 7/18/14

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at *www.irs.gov/w9*. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

Appendix E	Certificate of Insurance

CERTIFICATE OF LIABILITY INSURANCE

REVISED

DATE (MM/DD/YYYY)
06/30/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
AON RISK SERVICES NORTHEAST, INC.
FKA AON RISK SERVICES, INC. OF NEW YORK
199 WATER STREET
NEW YORK, NY 10038
TELE. (866) 266-7475 FAX (866) 467-7847

CONTACT NAME: 301245
PHONE (A/C, No, Ext):
FAX (A/C, No):
E-MAIL ADDRESS:

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A: INSURANCE COMPANY OF STATE OF PA	
INSURER B: NATIONAL UNION FIRE INS CO PITTSBURGH PA	
INSURER C: ILLINOIS NATIONAL INSURANCE COMPANY	
INSURER D: NEW HAMPSHIRE INSURANCE COMPANY	
INSURER E:	
INSURER F:	

INSURED
CITIGROUP INC. AND ITS SUBSIDIARIES
INCL. CITIBANK, N.A.
ONE COURT SQUARE 16 FL/ ZONE 2
LONG ISLAND CITY NY 11120 USA

COVERAGES CERTIFICATE NUMBER: 508781 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY						EACH OCCURRENCE	$
	CLAIMS-MADE OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	$
							MED EXP (Any one person)	$
							PERSONAL & ADV INJURY	$
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	$
	POLICY PRO-JECT LOC						PRODUCTS - COMP/OP AGG	$
	OTHER:							$
	AUTOMOBILE LIABILITY			CA 7062950 (AOS) CA 7062951 (VA) CA 7062952 (MA)	07/01/2015	07/01/2016	COMBINED SINGLE LIMIT (Ea accident)	$ 1,000,000
X	ANY AUTO						BODILY INJURY (Per person)	$
	ALL OWNED AUTOS / SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	HIRED AUTOS / NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	$
								$
	UMBRELLA LIAB OCCUR						EACH OCCURRENCE	$
	EXCESS LIAB CLAIMS-MADE						AGGREGATE	$
	DED RETENTION $							$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y / N			WC024213496 (AOS) (A), WC024213502 (WY) (B) WC024213497 (CA) (A) WC024213498 (FL) (D) WC024213499 (MA,NB,WI) (C) WC02423500 (ME) (A) WC024213501 (NY) (D)	07/01/2015	07/01/2016	X PER STATUTE OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)		N / A				E.L. EACH ACCIDENT	$ 1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	$ 1,000,000
							E.L. DISEASE - POLICY LIMIT	$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER

FOR INFORMATIONAL PURPOSED ONLY

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Aon Risk Services Northeast, Inc.

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From: Darby, Mary Beth
Sent: Tuesday, December 01, 2015 12:37 PM
To: Pagan, Jose R; Brown, Casey B; Schlang, Amanda
Subject: FYI - Port St. Lucie has been submitted electronically

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-Bid Response Documents

Agency Name	City of Port St. Lucie, Procurement Management Department
Bid Number	RFP-20160020-0-2016/CS
Bid Name	Underwriting Services
Bid Due Date	12/1/2015 2:00:00 PM Eastern time
Bid Opening	**1 hour, 24 minutes, 34 seconds**

Document Title	Format	Size	Uploaded	Status
BofAML Response to the City of Port St. Lucie E-RFQ #1201600200	Adobe Acrobat	2,789 Kb	12/1/2015 12:35:26 PM	Complete

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Mary Beth Darby
Public Finance Investment Banking

Bank of America Merrill Lynch
Merrill Lynch, Pierce, Fenner & Smith Incorporated
250 S. Park Avenue, Suite 400, Winter Park, FL 32789
T 321.527.7817 F 212.293.9533 C 407.701.8580
marybeth.darby@baml.com

QUESTIONNAIRE

E-RFQ #20160020
Underwriting Services

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this First day of December, 2015

Merrill Lynch, Pierce, Fenner & Smith Incorporated

Name of Organization / Proposer

1. Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?

Jose Pagan will serve as primary contact and project manager for any City of Port St. Lucie financing. Additionally, he will be supported by Coleman Cordell, who will provide senior oversight and assist in coordinating professionals located throughout the State of Florida, as well as New York City, as necessary during the course of a transaction. Their contact information has been provided below.

Jose Pagan
Managing Director
Public Finance Southeast Region
Merrill Lynch, Pierce, Fenner & Smith Incorporated

355 Alhambra Circle, Suite 1360
Coral Gables, FL 33134
T: 305.468.4336 F: 212.909.9261
jose.pagan@baml.com

Bank of America Merrill Lynch

Coleman Cordell
Managing Director
Head of Florida Public Finance
Merrill Lynch, Pierce, Fenner & Smith Incorporated

250 South Park Avenue, Suite 400
Winter Park, FL 32789
T: 321.527.7816 F: 212.293.8111
coleman.w.cordell@baml.com

Bank of America Merrill Lynch

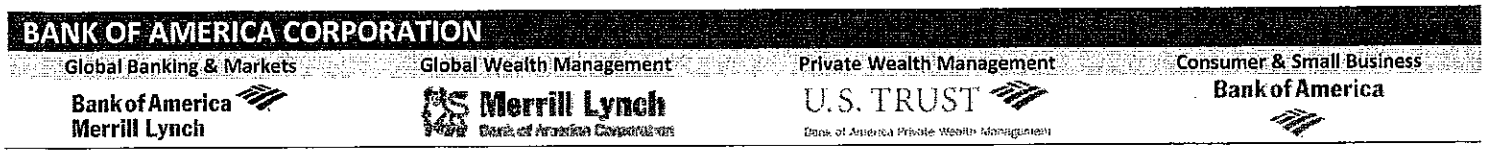
2. Is your firm a Certified Minority Business (yes or no)?

No.

3. Provide in this word document below a general information about your firm, including a brief history and summarizing the key points in this RFQ, (This is a word document, please include below and limit to one (1) page).

Firm Overview and Structure

Bank of America Corporation and its affiliates provide a full range of banking, investment, asset management, and other financial and risk management products and services. Bank of America has helped create opportunity and fuel economic growth by investing in the people, neighborhoods, institutions, and industries that have built and advanced America since its founding. This is accomplished by providing a diverse range of banking and non-banking financial services through four main business segments as illustrated below.



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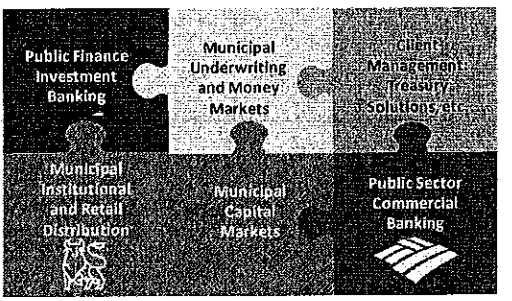
Municipal Banking and Markets Group



Headquartered in New York City, BofAML's Municipal Banking and Markets group is a fully integrated division that includes Public Finance Investment Banking, Sales, Trading, Underwriting, Municipal Credit Products, Commercial Bank Credit Products and Client Management for governmental clients. This single, coordinated business unit provides our municipal clients with a central platform for their capital raising, credit and treasury needs. Integrated client focus facilitates optimized product solutions, and streamlined coverage is led by public finance bankers who coordinate the delivery of both capital markets and bank/on-balance sheet products. With all municipal business reporting to the same leadership, our public finance banking teams are able to provide the City with broad access to market information, facilitate quick responses to changing market conditions, and match the City's financing needs with investor demands.

Industry Leadership

BofAML is the industry leader in municipal finance, having been the top ranked underwriter every year since 2012. Our Firm's commitment to municipal securities, and why BofAML is qualified to serve the City as senior manager, is underpinned by four interrelated attributes: First, **our capital strength** allows us to support our municipal clients with a broad range of capabilities. Second, the Firm has made **significant investments in staff, infrastructure and technology** to support our Municipal Markets business. Third, our fully-**integrated retail brokerage franchise** depends on our Municipal Markets group to meet the substantial demand of our retail customers. And fourth, we are a **top lender to municipal governments** and view credit and lending as complementary for our clients and how we serve them. Taking all these factors into account, we believe BofAML has one of the most stable business models on Wall Street.

4. Provide the names and roles of the public finance professionals assigned to this project, their role, years of experience and qualifications applicable to this project scope and office work will be performed from.

Finance Professional Name	Role	Yr Exp	Qualification	Office
Jose Pagan	Primary Contact and Co-Project Manager	28	Mr. Pagan has participated in the structuring and issuance of over $60 billion in municipal bonds. He has also served as senior manager for issuers such as Broward, Miami-Dade and Palm Beach counties, the school districts in those counties, as well as various municipalities within the counties.	Coral Gables, FL
Coleman Cordell	Primary Contact and Co-Project Manager	32	Mr. Cordell has been responsible for the issuance of over $60 billion in municipal financings for issuers of all types of credits, throughout Florida and the southeast. Mr. Cordell is the Head of BofAML's public finance efforts in the State of Florida.	Winter Park, FL
Amanda Schlang	Transactional Support	5	Ms. Schlang overseen the issuance of over $2 billion in municipal securities and has supported a variety of Southeastern Region municipal issuers including the Cities of Hollywood, Pembroke Pines, Miramar, Marco Island, Orlando, and Tallahassee, among others.	New York, NY
Casey Brown	Quantitative and Analytical Support	3	Mr. Brown has been the lead quantitative analyst for over $1 billion in municipal financings for Florida issuers and has served issuers all over the state through his career.	Winter Park, FL

5. Who will be the primary day-to-day contact for the engagement and their experience and availability?

Mr. Pagan will be the primary day to contact for the City and will be available to the City as needed. His experience is discussed below.

Mr. Pagan has spent over 28 years as a public finance banker, covering clients in South Florida. Mr. Pagan has participated in the structuring and issuance of over $60 billion in municipal bonds. Mr. Pagan has served as senior manager for issuers such as Broward, Miami-Dade and Palm Beach counties, the school districts in those counties, as well as various municipalities within the counties. Prior to joining the securities industry, Mr. Pagan spent six years as a practicing independent certified public accountant with a major national accounting firm. His years in public accounting provide him with an extensive background in auditing, financial reporting and financing for state and local governments. Previously, he served as Director of Finance for Miami-Dade County, Florida, for three years, where he was responsible for the financial activities of the County. Mr. Pagan has a B.S. from Bernard M. Baruch College, City University of New York, and has FINRA Series 7, 53, and 63 licensed.

6. Provide a chronological listing of the firm's Senior Managed, and Co- Managed underwriting experience nationally for the past five (5) years.

Due to the large volume of municipal transactions BofAML has participated in over the past five years, we are only providing transactions for 2015 year to date. However, we are able to provide additional years at the City's request.

Dated Date	Issue Description, Size ($MM)	Issuer Name	Firm Role
04/20/2016	University Revenue Bonds, Series 2015 E - $67.4 Million	Univ of Hawaii Board of Regents	Senior
01/05/2016	Revenue Bonds, Series of 2016 - $9.96 Million	Montgomery Co Hghr Ed & Hlth Au	Senior
12/16/2015	Certificates of Participation, Series 2015 D - $345.89 Million	Miami-Dade Co School Board	Senior
12/15/2015	Sr Dedicated Sales Tax Ref Bonds, 2015 Series C - $738.405 Million	Massachusetts School Bldg Auth	Senior
12/15/2015	Resort Tax Revenue Bonds, Series 2015 - $194.92 Million	Miami Beach City-Florida	Senior
12/15/2015	Special Purpose Rev Ref Bonds, Series 2015 - $47 Million	Hawaii Dept of Budget & Finance	Senior
12/10/2015	Trasportation Revenue Bonds, 2015 Series A - $225 Million	Wisconsin	Senior
12/08/2015	GO Public Improvement Bonds, Series 2015 B - $62.795 Million	Richmond City-Virginia	Senior
12/04/2015	Refunding Revenue Bonds, Series 2015 B - $10.25 Million	UCF Stadium Corporation	Senior
12/04/2015	Refunding Revenue Bonds, Series 2015 A - $33.995 Million	UCF Stadium Corporation	Senior
12/03/2015	GO School Building & Site Bonds, 2015 Series B - $38.72 Million	Wayne Co (Plymouth-Canton) CSD	Senior
12/03/2015	Various Purpose Serial Bonds, Series 2015 - $28.61 Million	Rockland Co-New York	Senior
12/02/2015	Hospital Mtg Rev Ref&Imp Bonds, Series 2015 - $117.2 Million	Jt Guadalupe-Seguin Hosp Bd of Mgrs	Senior
12/02/2015	Multi-Family Housing Rev Bonds, 2015 Series A-1 - $15.01 Million	Montgomery Co Hsg Opportunities Comm	Senior
12/01/2015	Unlimited Tax Road Imp Bonds, Series 2015 - $5.745 Million	Montgomery Co MUD #113	Senior
12/01/2015	Unlimited Tax Bonds, Series 2015 A - $9.7 Million	Fort Bend Co MUD #151	Senior
12/01/2015	GO Unlimited Tax School Bonds, - $38.5 Million	Holbrook Town-Massachusetts	Senior
12/01/2015	GO Consol Public Imp Bonds, 2015 Series B - $300 Million	Montgomery Co-Maryland	Senior
12/01/2015	Unlimited Tax Refunding Bonds, Series 2016 - $29.695 Million	Wilson Co (Floresville) ISD	Senior
12/01/2015	Unlimited Tax Bonds, Series 2015 A - $6.09 Million	Fort Bend Co MUD #25	Senior
11/30/2015	Housing Revenue Bonds, 2015 Series B - $25.225 Million	Wisconsin Hsg & Econ Dev Auth	Senior
11/30/2015	Housing Revenue Bonds, Series 2015 A & C - $47.945 Million	Wisconsin Hsg & Econ Dev Auth	Senior
11/24/2015	Mortgage Purchase Bonds, 2015 Series F-1 - $8.8 Million	Maine State Housing Authority	Senior
11/24/2015	Refunding Lease Revenue Bonds, Series 2015 - $9.73 Million	Yuba City Public Fin Auth	Senior
11/24/2015	Mortgage Purchase Bonds, 2015 Series F-2 & 3 - $45.2 Million	Maine State Housing Authority	Senior
11/24/2015	Consolidated Public Imp Ref Bonds, Series of 2015 - $145.325 Million	Washington Suburban Sanitary Dt	Senior
11/19/2015	Refunding Improvement Bonds, Series 2015 B - $7.25 Million	Grand Forks City-North Dakota	Senior
11/19/2015	Refunding Revenue Bonds, Series 2015 - $173.73 Million	California Statewide CDA (CSCDA)	Senior
11/19/2015	Lease Rental Revenue Bonds, Series 2015 B - $21.19 Million	Maine Governmental Facs Auth	Senior
11/19/2015	GO Refunding Bonds, Series 2015 B - $62.025 Million	Lexington-Richland Co SD #5	Senior
11/19/2015	Lease Revenue Refunding Bonds, Series 2015 A - $292.415 Million	Los Angeles Municipal Imp Corp	Senior
11/19/2015	Industrial Development Bonds, Series 2015 A - $150 Million	Montgomery Co Ind Dev Board	Senior
11/18/2015	Refunding Improvement Bonds, Series of 2015 - $9.645 Million	Williston City-North Dakota	Senior
11/18/2015	General Obligation Bonds, 2015 Series B - $145.5 Million	Washington Twp Health Care Dt	Senior
11/18/2015	Revenue Refunding Bonds, 2015 Series A - $30.29 Million	Washington Twp Health Care Dt	Senior
11/18/2015	Airport System Revenue Bonds, Series 2015 B - $9.125 Million	Hawaii	Senior
11/18/2015	Airport System Revenue Bonds, Series 2015 A - $235.135 Million	Hawaii	Senior
11/17/2015	Water System Revenue Ref Bonds, Series 2015 - $116.66 Million	Suffolk Co Water Authority	Senior
11/17/2015	Contract Tax & Imp Ref Bonds, Series 2015 A & B - $77.02 Million	Harris Co Flood Control Dt	Senior
11/17/2015	Permanent Improvement Ref Bonds, Series 2015 B - $50.095 Million	Harris Co-Texas	Senior
11/17/2015	Unltd Tax Road Refunding Bonds, Series 2015 A - $202.68 Million	Harris Co-Texas	Senior
11/17/2015	Sewer Improvement & Ref Bonds, 2015 Series B - $93.345 Million	King Co-Washington	Senior
11/12/2015	School Dt Refunding Serial Bonds, Series 2015 - $20.775 Million	Ulster Co (Marlboro) CSD	Senior
11/12/2015	Limited Revenue Obligation, Series 2015 B - $3.475 Million	San Diego Co-California	Senior
11/12/2015	Limited Revenue Obligation Bonds, Series 2015 A - $55.585 Million	San Diego Co-California	Senior
11/12/2015	Health Facilities Revenue Bonds, Series 2015 B - $31.87 Million	Missouri Hlth & Ed Facs Authority	Senior
11/10/2015	Solid Waste Disposal Ref Bonds, Series 2015 B-2 - $49.775 Million	California Poll Control Fin Auth	Senior
11/10/2015	Solid Waste Disp Ref Rev Bonds, Series 2015 B-1 - $76.225 Million	California Poll Control Fin Auth	Senior
11/05/2015	Turnpike Revenue Ref Bonds, Series 2015 B - $195.875 Million	Florida Dept of Transportation	Senior
11/05/2015	Industrial Development Rev Bonds, Series 2015 - $17.1 Million	Macon-Bibb Co Industrial Auth	Senior
11/04/2015	Revenue Bonds, Series 2015 - $294.025 Million	South Carolina State Ports Auth	Senior
11/04/2015	Turnpike Revenue Bonds, Series 2015 E - $750 Million	New Jersey Turnpike Authority	Senior
11/03/2015	Refunding Bonds, 2015 Series D - $53.605 Million	Maine Municipal Bond Bank	Senior
11/01/2015	Unlimited Tax Road Bonds, Series 2015 - $15.5 Million	Fort Bend Co MUD #134C	Senior
10/29/2015	GO Veterans Bonds, Series CM - $164.795 Million	California	Senior
10/29/2015	GO Veterans Bonds, Series CK & CL - $280.905 Million	California	Senior
10/29/2015	General Obligation Bonds, Series FA of 2015 - $25 Million	Hawaii	Senior
10/29/2015	General Obligation Bonds, Series EU of 2015 - $35 Million	Hawaii	Senior

10/29/2015	GO Refunding Bonds, Ser ET EV-EZ of 2015 - $686.395 Million	Hawaii	Senior
10/29/2015	General Obligation Bonds, Series A - $50 Million	Los Angeles Co (Downey) USD	Senior
10/29/2015	Water & Sewer Sys Rev Bonds, Ser AA Subser AA-1 - $100 Million	NYC Municipal Water Fin Auth	Senior
10/28/2015	Consol Public Improvement Bonds, Series of 2015 - $390 Million	Washington Suburban Sanitary Dt	Senior
10/27/2015	Restructuring Bonds, Series 2015 - $1002.115 Million	NYS Utility Debt Securitization Auth	Senior
10/27/2015	Home Ownership Rev Ref Bonds, Series 36 - $66 Million	North Carolina Housing Fin Agcy	Senior
10/23/2015	Multi-Family Conduit Rev Bonds, Series 2015 U - $23.755 Million	New Jersey Hsg & Mtg Fin Agency	Senior
10/22/2015	Revenue Bonds, 2015 Series C - $16.405 Million	Maine Municipal Bond Bank	Senior
10/22/2015	Transport Infras Rev Ref Bonds, Series 2015 A - $54.68 Million	Maine Municipal Bond Bank	Senior
10/22/2015	Sales Tax Secured Refunding Bonds, Series 2015 A - $116.31 Million	Nassau Co Interim Finance Auth	Senior
10/22/2015	State Sales Tax Revenue Bonds, Series 2015 B - $151.56 Million	NYS Dorm Authority	Senior
10/21/2015	Electric Revenue Refunding Bonds, Series 2015 C - $109.205 Million	Energy Northwest	Senior
10/21/2015	Sewer & Drainage Sys Rev Bonds, Series 2015 A - $175 Million	Louisville-Jefferson Co Metro Swr Dt	Senior
10/21/2015	GO Refunding Bonds, 2015 Series - $63.655 Million	San Francisco City & Co USD	Senior
10/20/2015	GO School Bonds, Series 2015 - $42 Million	St Charles Parish SD #1	Senior
10/20/2015	General Rev Pledge & Ref Bonds, Series 2015 A-E - $62.24 Million	Virginia Polytechnic Ins & State Univ	Senior
10/20/2015	General Obligation Bonds, Series 2015 C - $25 Million	Brookland-Cayce SD #2	Senior
10/15/2015	Utility System Ref Revenue Bonds, Series 2015 A - $82.975 Million	Colorado Springs City-Colorado	Senior
10/15/2015	General Obligation Bonds, 2015 Series B - $11.305 Million	Wallingford Town-Connecticut	Senior
10/15/2015	Public Utility Sub Lien Rev Bonds, Series 2015 A - $100 Million	District of Columbia Wtr & Swr Au	Senior
10/15/2015	Public Utility Sub Lien Rev Bonds, Series 2015 B - $250 Million	District of Columbia Wtr & Swr Au	Senior
10/15/2015	Construction Bonds, Series 2015 - $55.975 Million	Pulaski Co SSD	Senior
10/15/2015	Unlimited Tax Road Bonds, Series 2015 - $11.2 Million	Denton Co Fresh Wtr Supply Dt #7	Senior
10/14/2015	Infrastructure &St Mor Rev Bonds, Series 2015 C - $31.76 Million	Virginia Resources Auth (VRA)	Senior
10/13/2015	Capital Improvement Bonds, Series 2015 B - $16.225 Million	Washington Twp-Michigan	Senior
10/08/2015	General Obligation Bonds, Series 2015 - $15.545 Million	Lancaster Co SD	Senior
10/08/2015	Various Purpose GO Bonds, Series 2016 A-2 - $51.085 Million	Washington	Senior
10/08/2015	Motor Vehicle Fuel Tax GO Bonds, Series 2016 B - $188.02 Million	Washington	Senior
10/08/2015	Various Purpose GO Ref Bonds, Series R-2016 A - $188.305 Million	Washington	Senior
10/07/2015	Revenue & Refunding Bonds, Series 2015 B - $258.09 Million	Miami-Dade Co Educational Facs Auth	Senior
10/07/2015	Revenue & Refunding Bonds, Series 2015 A - $403.035 Million	Miami-Dade Co Educational Facs Auth	Senior
10/06/2015	Revenue Refunding Obligations, 2015 Series C - $270.17 Million	SC Pub Svc Au (Santee Cooper)	Senior
10/06/2015	Refunding Bonds, Series 2015 I - $178.69 Million	Indianapolis Loc Pub Imp Bond Bank	Senior
10/06/2015	General Obligation Bonds, Issue of 2015 - $25 Million	North Haven Town-Connecticut	Senior
10/01/2015	Unlimited Tax Bonds, Series 2015 A - $9 Million	Harris Co MUD #419	Senior
10/01/2015	Revenue Bonds, Series 2015 - $141.27 Million	Delaware Co Authority	Senior
10/01/2015	Hospital Revenue Bonds, Series 2015 B - $30 Million	Ohio Higher Ed Fac Commission	Senior
09/30/2015	Revenue Bonds, Series 2015 - $71.07 Million	Oregon Facilities Authority	Senior
09/30/2015	Install Purchase Rev Ref Bonds, Series 2015 - $32.92 Million	SCAGO Ed Facs Corp (Sumter #17)	Senior
09/29/2015	Future Tax Secured Sub Bonds, Series A Subser A-2 - $190 Million	NYC Transitional Finance Auth	Senior
09/29/2015	Future Tax Secured Sub Bonds, Subseries A-4 - $100 Million	NYC Transitional Finance Auth	Senior
09/24/2015	Senior Sewer Revenue Ref Bonds, Series 2015 - $313.62 Million	San Diego Public Facs Fin Auth	Senior
09/24/2015	University Revenue Bonds, Series 2015 B - $47.01 Million	Univ of Hawaii Board of Regents	Senior
09/24/2015	University Revenue Bonds, Series 2015 A C & D - $51.875 Million	Univ of Hawaii Board of Regents	Senior
09/23/2015	General Revenue & Ref Bonds, Series 2015 C - $159.16 Million	University of Washington	Senior
09/22/2015	Single Family Mortgage Rev Bonds, Series 2015-117B - $55 Million	Pennsylvania Housing Fin Agency	Senior
09/22/2015	Single Family Mortgage Rev Bonds, Series 2015-117A - $95.24 Million	Pennsylvania Housing Fin Agency	Senior
09/22/2015	Water Revenue Refunding Bonds, Series 2015 A - $184.795 Million	San Diego Co Water Auth	Senior
09/17/2015	Lease Revenue Refunding Bonds, Series 2015 - $44.175 Million	Roanoke Economic Dev Authority	Senior
09/17/2015	Certificates of Participation, - $11.87 Million	Orange Co (Ocean View) SD	Senior
09/17/2015	Installment Purchase Rev Bonds, Series 2015 B - $5 Million	Charleston Public Facs Corp	Senior
09/17/2015	Installment Purchase Rev Bonds, Series 2015 A - $26.27 Million	Charleston Public Facs Corp	Senior
09/16/2015	General Obligation Bonds, 2015 Series C - $387.025 Million	Wisconsin	Senior
09/11/2015	State Personal Inc Tax Rev Bonds, Series 2015 E - $152.96 Million	NYS Dorm Authority	Senior
09/11/2015	State Personal Inc Tax Rev Bonds, Series 2015 E - $975.97 Million	NYS Dorm Authority	Senior
09/10/2015	Revenue Bonds, Series 2015 NN2 - $24.125 Million	Southcentral Pennsylvania Gen Auth	Senior
09/10/2015	General Obligation Bonds, - $15.38 Million	Randolph Twp-New Jersey	Senior
09/09/2015	Environmental Imp Rev Bonds, Series 2015 - $23 Million	Mount Vernon City-Indiana	Senior
09/09/2015	Environmental Imp Revenue Bonds, Series 2015 - $15.2 Million	Warrick Co-Indiana	Senior
09/03/2015	GO Public Improvement Bonds, Series A of 2015 - $34.69 Million	Chesterfield Co-Virginia	Senior
09/03/2015	Public Improvement Serial Bonds, Series 2015 - $12.171 Million	Rome City-New York	Senior
09/03/2015	Refunding Revenue Bonds, Series 2015 A - $15.82 Million	Delaware Health Facilities Auth	Senior
09/03/2015	Special Obligation Bonds, Series B 2015 - $60 Million	Missouri Board of Public Bldgs	Senior
09/03/2015	Senior Revenue Bonds, Series 2015 A - $231.95 Million	Kentucky Economic Dev Fin Auth	Senior
09/02/2015	Housing Revenue Bonds, 2015 Series 4 - $47.525 Million	Wyoming Community Dev Authority	Senior
09/02/2015	Housing Revenue Bonds, 2015 Series 5 & 6 - $66.6 Million	Wyoming Community Dev Authority	Senior
09/02/2015	Storm Water Utility Revenue Bonds, Series 2015 C - $13.805 Million	Oshkosh City-Wisconsin	Senior
09/01/2015	Limited Tax Revenue Bonds, Series 2015 - $3.5 Million	DeSoto Parish School Board	Senior
09/01/2015	Fire District Serial Bonds, Series 2015 - $11.8 Million	Moleston Fire Dt	Senior

09/01/2015	General Obligation Bonds, Series 2015 - $11 Million	Spartanburg Co SD #6	Senior
08/31/2015	School Facilities Con Ref Bonds, 2015 Series YY & ZZ - $418.625 Million	New Jersey Economic Dev Auth	Senior
08/31/2015	School Facilities Con & Ref Bonds, 2015 Series WW & XX - $1759.625 Million	New Jersey Economic Dev Auth	Senior
08/27/2015	Second Lien Swr Sys Rev Ref Bonds, 2015 Series B - $63.3 Million	Portland City-Oregon	Senior
08/27/2015	Solid Waste Disposal Rev Bonds, Series 2015 - $15 Million	Maine Finance Authority	Senior
08/26/2015	General Obligation Bonds, Series B of 2015 - $21.59 Million	Centre Co (State College) ASD	Senior
08/26/2015	Transmission Facs Rev Bonds, Series 2015 - $97.79 Million	Port of Morrow-Oregon	Senior
08/20/2015	GO School Building Bonds, Series 2015 A - $55.61 Million	Scott Co (New Prague) ISD #721	Senior
08/20/2015	Refunding Revenue Bonds, Series 2015 A - $39.405 Million	Delaware Co Industrial Dev Auth	Senior
08/20/2015	Solid Waste Disposal Rev Bonds, Series 2015 - $90 Million	Essex Co Improvement Authority	Senior
08/20/2015	Revenue Bonds, Series 2015 H - $1005.18 Million	Kansas Development Fin Auth	Senior
08/19/2015	GO School Building Bonds, Series 2015 A - $55.325 Million	Nicollet Co (St Peter) ISD #508	Senior
08/19/2015	Infras & St Moral Oblig Rev Bonds, Series 2015 B - $9.315 Million	Virginia Resources Auth (VRA)	Senior
08/19/2015	Infrastructure Revenue Bonds, Series 2015 B - $42.25 Million	Virginia Resources Auth (VRA)	Senior
08/19/2015	GO State Trunk Highway Bonds, Series 2015 B - $310 Million	Minnesota	Senior
08/18/2015	Electric System Ref Revenue Bonds, Series 2015 B - $15.355 Million	Chattanooga City-Tennessee	Senior
08/18/2015	Electric Sys Ref Rev & Rev Bonds, Series 2015 A & C - $244.735 Million	Chattanooga City-Tennessee	Senior
08/18/2015	Water System Revenue Ref Bonds, Series 2015 - $47.74 Million	Azusa City-California	Senior
08/13/2015	Economic Dev Rev & Rev Ref Bonds, Series 2015 - $64.69 Million	South Carolina Jobs Econ Dev Au	Senior
08/13/2015	Subordinate Revenue Bonds, 2015 Series B - $153.915 Million	Hillsborough Co Aviation Auth	Senior
08/13/2015	Revenue & Subordinated Rev Bonds, 2015 Series A - $167.8 Million	Hillsborough Co Aviation Auth	Senior
08/13/2015	Hospital Revenue & Rev Ref Bonds, Series 2015 A & B - $92.47 Million	Kentucky Economic Dev Fin Auth	Senior
08/13/2015	Various Purpose Unltd Tax Bonds, Series 2015 A - $230.655 Million	Columbus City-Ohio	Senior
08/13/2015	Capital Finance Program Bonds, Series 2015 C - $38.28 Million	North Dakota Public Fin Auth	Senior
08/13/2015	Housing Revenue Bonds, 2015 Series B-2 - $50 Million	NYS Housing Fin-Mortgage Agcy	Senior
08/12/2015	GO School Bonds, Series 2015 - $96.36 Million	Miami-Dade Co SD	Senior
08/12/2015	GO School Bonds, Series 2015 - $96.36 Million	Miami-Dade Co SD	Senior
08/12/2015	Wastewater System Revenue Bonds, Jr & Sr Ser 2015 B,C - $120.445 Million	Honolulu City & Co-Hawaii	Senior
08/12/2015	Wastewater System Rev Bonds, Jr & Sr Ser 2015 A,B - $578.485 Million	Honolulu City & Co-Hawaii	Senior
08/12/2015	Revenue Bonds, Series 2015 D - $29.355 Million	New Mexico Finance Auth (NMFA)	Senior
08/12/2015	Special Tax Rev Refunding Bonds, Series 2015 - $8.145 Million	Rancho Calif Wtr Dt Fin Auth	Senior
08/12/2015	Revenue Bonds, Series 2015 A - $77.635 Million	Washington Health Care Facs Au	Senior
08/06/2015	Refunding Revenue Bonds, Series 2015 B - $34.775 Million	UCF Convocation Corp	Senior
08/06/2015	Refunding Revenue Bonds, Series 2015 A - $48.385 Million	UCF Convocation Corp	Senior
08/06/2015	GO Wastewater Revenue Bonds, Series 2015 C - $100 Million	Metropolitan Council	Senior
08/06/2015	Revenue Bonds, Series 2015 - $300 Million	Harris Co Cult Ed Facs Fin Corp	Senior
08/06/2015	GO State Qualified School Bonds, - $15 Million	Methuen City-Massachusetts	Senior
08/05/2015	General Revenue & Refunding Bonds, 2015 Sr Series A - $72.76 Million	Boston Water & Sewer Commission	Senior
08/04/2015	Economic Dev Income Tax Bonds, Series of 2015 - $5.605 Million	South Bend City-Indiana	Senior
08/01/2015	General Obligation Bonds, Series 2015 A - $39.15 Million	Olmsted Co-Minnesota	Senior
08/01/2015	Contract Revenue Bonds, Series 2015 - $15 Million	Fort Bend Co MUD #169	Senior
07/31/2015	Certificates of Participation, Series 2015 C - $33.565 Million	Miami-Dade Co School Board	Senior
07/31/2015	Revenue Bonds, Series 2015 - $421.43 Million	North Carolina Eastern Municipal Power Agency	Senior
07/30/2015	Toll Highway Senior Revenue Bonds, 2015 Series A - $400 Million	Illinois State Toll Highway Auth	Senior
07/30/2015	Revenue Bonds, Series of 2015 - $54.94 Million	Swarthmore Borough Authority	Senior
07/30/2015	Hospital Revenue Bonds, Series A of 2015 - $75 Million	Lehigh Co General Purpose Auth	Senior
07/30/2015	Revenue Bonds, Series 2015 - $159.685 Million	Maryland Hlth & Hghr Ed Facs Au	Senior
07/30/2015	General Obligation Bonds, Series 2015 - $69.5 Million	Sacramento Co (Natomas) USD	Senior
07/29/2015	Wtrwrks Swr Sys Cap Imp Rev Bonds, Series 2015 - $140.105 Million	Charleston City-South Carolina	Senior
07/24/2015	Lease Appropriation Bonds, Series 2015 C - $92.755 Million	Indiana Finance Authority	Senior
07/23/2015	Utilities Revenue Refunding Bonds, Series 2015 2 - $20.3 Million	Reedy Creek Improvement Dt	Senior
07/22/2015	Mortgage Purchase Bonds, 2015 Series D - $30 Million	Maine State Housing Authority	Senior
07/22/2015	State Hwy Fund 1st Tier Ref Bonds, Series 2015 - $781.08 Million	Texas Transportation Commission	Senior
07/22/2015	Certificates of Participation, Series 2015 B - $22.225 Million	San Francisco City/Co-California	Senior
07/21/2015	GO Open Space Preserve Ref Bonds, Series 2015 - $16.235 Million	Ann Arbor City-Michigan	Senior
07/21/2015	Ed Incl Athletic Facs Imp Bonds, Series 2015 B - $9.055 Million	Florida St University Fin Assist	Senior
07/21/2015	Ed Incl Athletic Facs Imp Bonds, Series 2015 A & C - $75.495 Million	Florida St University Fin Assist	Senior
07/16/2015	GO Consolidated Loan Bonds, 2015 Series C - $250 Million	Massachusetts	Senior
07/16/2015	GO Refunding Bonds, 2015 Series A - $701.705 Million	Massachusetts	Senior
07/16/2015	Lease Rental Revenue Ref Bonds, Series 2015 A - $41.115 Million	Maine Governmental Facs Auth	Senior
07/15/2015	Unlimited Tax Refunding Bonds, Series 2015 - $25.315 Million	Johnson Co (Burleson) ISD	Senior
07/15/2015	GO Corporate Purpose Bonds, Series 2015 - $30.005 Million	Kenosha SD #1	Senior
07/15/2015	Unltd Tax School Building Bonds, Series 2015 - $19.425 Million	Jefferson Co (Hamshire-Fannet) ISD	Senior
07/15/2015	Unlimited Tax School Bldg Bonds, Series 2015 - $72.545 Million	Harris Co (La Porte) ISD	Senior
07/09/2015	Refunding Revenue Bonds, Series 2015 A - $48.27 Million	Indiana Finance Authority	Senior
07/09/2015	School District Serial Bonds, Series 2015 - $12 Million	Nassau Co (Oceanside) UFSD	Senior
07/09/2015	Municipal Light & Power Rev Bonds, Series 2015 A - $171.85 Million	Seattle City-Washington	Senior
07/09/2015	Revenue Bonds, Series 2015 - $257.3 Million	Maryland Hlth & Hghr Ed Facs Au	Senior
07/08/2015	Revenue Bonds, 2015 Series A - $149.94 Million	Los Angeles Co Sanit Dt Fin Auth	Senior

07/08/2015	GO & Refunding Bonds, 2015 Series A - $151.67 Million	Riverside Co (Corona-Norco) USD	Senior
07/08/2015	GO Corporate Purpose Bnds, Series 2015 B - $9.525 Million	Green Bay City-Wisconsin	Senior
07/08/2015	General Obligation Bonds, Series B - $100 Million	Santa Clara Co (East Side) UHSD	Senior
07/07/2015	General Obligation Bonds, Series 2015 - $24.9 Million	Monterey Co (Washington) USD	Senior
07/02/2015	Clean Wtr & Drinking Rev Bonds, Series 2015 A - $219.77 Million	NYS Environmental Facs Corp	Senior
07/01/2015	Unlimited Tax Bonds, Series 2015 - $17.5 Million	Fort Bend Co Wtr Ctl & Imp Dt #2	Senior
07/01/2015	Solid Waste Disp Ref Rev Bonds, Series 2015 A1-3 - $140.43 Million	California Poll Control Fin Auth	Senior
07/01/2015	Combined Fee Rev & Ref Bonds, Series 2015 - $68.865 Million	Houston Community College (HCC)	Senior
07/01/2015	Multi-Modal Ltd Maintenance Notes, Series 2015 - $75 Million	Dallas ISD	Senior
06/30/2015	Wtr&Swr New Ln Rev Ref&Imp Bonds, Series 2015 - $17.095 Million	Irving City-Texas	Senior
06/30/2015	General Obligation Bonds, Series D 2015 - $50 Million	Alameda Co (Berkeley) USD	Senior
06/30/2015	General Obligation Warrants, Series 2015 A - $61.39 Million	Huntsville City-Alabama	Senior
06/30/2015	Revenue Bonds, Series 2015 B - $115.77 Million	Florida Municipal Power Agency (FMPA)	Senior
06/30/2015	General Obligation Bonds, Series 2015 B - $49.265 Million	Richland Co SD #1	Senior
06/30/2015	General Revenue Bonds, Series 2015 A - $30 Million	Michigan St Univ Board of Trust	Senior
06/30/2015	General Revenue Bonds, Series 2015 A - $162.89 Million	Michigan St Univ Board of Trust	Senior
06/30/2015	GO Limited Tax Notes, Series 2015 - $187.995 Million	Wayne Co-Michigan	Senior
06/29/2015	GO School District Serial Bonds, Series 2015 - $15.83 Million	Monroe Co (Brockport) CSD	Senior
06/29/2015	GO Public Improvement Bonds, Series 2015 A - $33.56 Million	Manchester City-New Hampshire	Senior
06/29/2015	University Revenue Bonds, Series B of 2015 - $21.96 Million	Union Co Higher Ed Facs Fin Auth	Senior
06/29/2015	University Revenue Bonds, Series C of 2015 - $50 Million	Union Co Higher Ed Facs Fin Auth	Senior
06/25/2015	Revenue Bonds, Series 2015 - $34.24 Million	Montgomery Co Hghr Ed & Hlth Au	Senior
06/25/2015	GO Refunding Bonds, Series 2015 - $22.87 Million	Onslow Co-North Carolina	Senior
06/25/2015	GO Refunding Bonds, Series 2015 B - $1.935 Million	Lancaster Co-South Carolina	Senior
06/25/2015	GO Public Improvement Bonds, Series 2015 - $252 Million	Atlanta City-Georgia	Senior
06/25/2015	Hospital Facility Revenue Bonds, Series 2015 A - $44.415 Million	St Paul Housing & Redev Auth	Senior
06/25/2015	Hospital Facility Revenue Bonds, Series 2015 A - $98.04 Million	St Paul Housing & Redev Auth	Senior
06/25/2015	Certificates of Participation, Series 2015 C - $76.47 Million	Charlotte City-North Carolina	Senior
06/25/2015	Housing Bonds, 2015 Series D - $35.785 Million	Massachusetts Housing Fin Agcy	Senior
06/25/2015	Public Improvement Bonds, 2015 Series A - $10 Million	Watertown City-New York	Senior
06/25/2015	GO Municipal Purpose Loan Bonds, Series 2015 - $11.197 Million	Haverhill City-Massachusetts	Senior
06/24/2015	Health System Revenue Bonds, Series 2015 A - $453.47 Million	Montgomery Co Ind Dev Auth	Senior
06/23/2015	General Obligation Bonds, Ser A & AA of 2015 - $51.975 Million	Franklin Co (Chambersburg) ASD	Senior
06/23/2015	Pledge Revenue & Ref Obligations, Series 2015 - $36.665 Million	Surprise City-Arizona	Senior
06/23/2015	Refunding Wtr & Wstwtr Rev Bonds, Series 2015 A - $50 Million	Eastern Municipal Water Dt Fin Auth	Senior
06/18/2015	Public Utility Ref Rev Bonds, Series 2015 - $532.67 Million	Springfield City-Missouri	Senior
06/18/2015	Water and Wastewater Rev Bonds, Series 2015 B - $74.43 Million	Eastern Municipal Water Dt Fin Auth	Senior
06/18/2015	Consolidated Transport Ref Bonds, Series 2015 - $259.715 Million	Maryland Dept of Transportation	Senior
06/18/2015	GO Higher Education Bonds, 2015 Series N - $2 Million	Oregon	Senior
06/18/2015	GO Higher Education Bonds, 2015 Series) - $100.865 Million	Oregon	Senior
06/18/2015	Ref Certificates of Participation, Series 2015 B - $56.47 Million	Charlotte City-North Carolina	Senior
06/17/2015	School District Serial Bonds, Series 2015 - $13 Million	Suffolk Co (Babylon) UFSD	Senior
06/16/2015	School Building & Site Bonds, Series 2015 - $15.41 Million	Van Buren Co (Hartford) PSD	Senior
06/16/2015	GO & Refunding Bonds, 1st & 2nd Ser of 15 - $1242.425 Million	Pennsylvania	Senior
06/11/2015	Water System Revenue Ref Bonds, Series 2015 - $50.625 Million	Asheville City-North Carolina	Senior
06/11/2015	Ref Certificates of Participation, Series 2015 - $36.225 Million	Collier Co School Board	Senior
06/10/2015	Sr & Sub Student Loan Rev Bonds, Series 2015 1A & 1B - $180 Million	New Jersey Hghr Ed Stud Assis Au	Senior
06/09/2015	Lease Revenue Bonds, Series 2015 - $325 Million	Riverside Co Public Fin Authority	Senior
06/09/2015	Refunding Revenue Bonds, Series 2015 - $85.57 Million	Georgia Higher Ed Facs Auth	Senior
06/08/2015	GO Refunding Bonds, Series 2007 E F G - $153.7 Million	Chicago City-Illinois	Senior
06/08/2015	GO Higher Education Bonds, 2015 Series M - $12.66 Million	Oregon	Senior
06/04/2015	Revenue Bonds, Series 2015 - $128.545 Million	Illinois Finance Authority	Senior
06/03/2015	GO & GO Refunding Bonds, Series B - $9.265 Million	San Bernardino Co (Victor) ESD	Senior
06/03/2015	General Obligation Bonds, Series B - $10.787 Million	San Bernardino Co (Victor) ESD	Senior
06/03/2015	GO Refunding Bonds, Series 2015 A - $41.76 Million	Atlantic City-New Jersey	Senior
06/03/2015	Hospital Revenue Refunding Bonds, Series 2015 A - $141.495 Million	Wisconsin Public Finance Auth	Senior
06/03/2015	General Obligation Bonds, Series 2015 B - $12 Million	Atlantic City-New Jersey	Senior
06/02/2015	GO School Building & Site Bonds, 2015 Seies II - $14.935 Million	Saginaw Co (Chesaning) USD	Senior
06/02/2015	General Obligation Bonds, Series 2015 - $140.7 Million	Santa Clara USD	Senior
06/02/2015	BANs, 2015 Series C - $40.835 Million	Nassau Co-New York	Senior
06/02/2015	Coastal Account Sr Secured Bonds, Series 2015 A-1 - $50 Million	Florida Citizens Prop Ins Corp	Senior
06/02/2015	Coastal Account Sr Secured Bonds, Series 2015 A-1 - $150 Million	Florida Citizens Prop Ins Corp	Senior
06/02/2015	Coastal Account Sr Secured Bonds, Series 2015 A-1 - $225 Million	Florida Citizens Prop Ins Corp	Senior
06/02/2015	Coastal Account Sr Secured Bonds, Series 2015 A-1 - $275 Million	Florida Citizens Prop Ins Corp	Senior
06/02/2015	Coastal Account Sr Secured Bonds, Series 2015 A-2 - $300 Million	Florida Citizens Prop Ins Corp	Senior
06/01/2015	GO Refunding Bonds, Series 2015 - $85.09 Million	Charlotte City-North Carolina	Senior
06/01/2015	Consolidated Bonds, 191st Series - $250 Million	Port Authority of NY & NJ	Senior
06/01/2015	Unlimited Tax Bonds, Series 2015 A - $11.185 Million	Fort Bend Co MUD #57	Senior
06/01/2015	Unlimited Tax Road Bonds, Series 2015 A - $10.155 Million	Harris Co MUD #433	Senior

06/01/2015	Transmission Contract Ref Bonds, Series 2015 - $245.965 Million	Lower Colorado River Authority (LCRA)	Senior
05/29/2015	General Obligation Bonds, Series 2003 B - $170.09 Million	Chicago City-Illinois	Senior
05/29/2015	General Obligation Bonds, Series 2005 D - $174.005 Million	Chicago City-Illinois	Senior
05/29/2015	General Obligation Bonds, Series 2002 B - $176.2 Million	Chicago City-Illinois	Senior
05/28/2015	Revenue Refunding Bonds, 2015 Series A - $187.345 Million	Nebraska Pub Pwr Generation Agcy	Senior
05/28/2015	Health Facs Revenue Ref Bonds, Series 2015 A - $107.29 Million	Tallahassee City-Florida	Senior
05/28/2015	Refunding Bonds, 2015 Series A & B - $70.385 Million	Maine Municipal Bond Bank	Senior
05/28/2015	Higher Ed Fac Facility Rev Bonds, - $39.4 Million	Ohio Higher Ed Fac Commission	Senior
05/28/2015	Certificates of Participation, Series 2015 - $40.925 Million	Calabasas City-California	Senior
05/28/2015	Enviromental Infrastructure Bonds, Series 2015 A-1 - $46.58 Million	New Jersey Environ Infrast Trust	Senior
05/28/2015	Prom Notes & Corp Purpose Bonds, Series 2015 N2 & B3 - $158.835 Million	Milwaukee City-Wisconsin	Senior
05/28/2015	Grant Antic Rev Vehicle Bonds, Series 2015 - $264.93 Million	North Carolina	Senior
05/27/2015	Certificates of Participation, 2015 Series - $54.57 Million	Alameda Co (Fremont) USD	Senior
05/27/2015	General Obligation Bonds, Series 2015 - $63.455 Million	Orange Co (Anaheim) UHSD	Senior
05/27/2015	Revenue Bonds, Series 2015 A & B - $130.985 Million	California Infrstr & Eco Dev Bank	Senior
05/21/2015	Electric Revenue &Refunding Bonds, Series 2015-A - $98.415 Million	Energy Northwest	Senior
05/21/2015	Electric Revenue &Refunding Bonds, Series 2015-A - $428.9 Million	Energy Northwest	Senior
05/21/2015	Refunding Bonds, 2015 Series B - $24.21 Million	New Hampshire Muni Bond Bank	Senior
05/21/2015	Revenue Bonds, Series 2015 - $49.355 Million	Build NYC Resource Corp	Senior
05/21/2015	Unltd Tax GO Improvement Bonds, Series 2015 - $169.135 Million	Seattle City-Washington	Senior
05/21/2015	Health Care Facs Revenue Bonds, Series 2015 A - $50 Million	North Carolina Medical Care Commiss	Senior
05/20/2015	Revenue Bonds, Series 2015 B - $21.645 Million	Oregon Facilities Authority	Senior
05/20/2015	Revenue Bonds, 2015 Series A - $69.645 Million	Oregon Facilities Authority	Senior
05/20/2015	Wastewater System Revenue Bonds, Series 2015-B - $30 Million	Knoxville City-Tennessee	Senior
05/19/2015	Revenue Bonds, Series of 2015 - $357.565 Million	Pennsylvania Hghr Ed Facs Auth	Senior
05/19/2015	General Improvement Bonds, Series 2015 C - $67.845 Million	Memphis City-Tennessee	Senior
05/19/2015	Revenue and Refunding Bonds, Series 2015 B - $45.18 Million	New Jersey Educational Facs Au	Senior
05/15/2015	Unltd Tax School Bldg & Ref Bonds, Series 2015 A - $218.385 Million	Harris Co (Humble) ISD	Senior
05/15/2015	Revenue Bonds, 2015 Series D - $150 Million	New Jersey Educational Facs Au	Senior
05/14/2015	Certificates of Participation, Series 2015 A - $39.335 Million	Charlotte City-North Carolina	Senior
05/12/2015	Revenue Bonds, Series F - $13 Million	Connecticut Hlth & Ed Facs Auth	Senior
05/12/2015	Revenue Bonds, Series F - $58.965 Million	Connecticut Hlth & Ed Facs Auth	Senior
05/12/2015	Refunding Limited Oblig Bonds, Series 2015 - $59.55 Million	Univ of North Carolina-Wilmington	Senior
05/12/2015	Revenue Bonds, Series G - $50.665 Million	Connecticut Hlth & Ed Facs Auth	Senior
05/12/2015	Revenue Bonds, Series 2015 A - $268.825 Million	NYS Dorm Authority	Senior
05/07/2015	Pension Obligation Ref Bonds, Series 2015 A & B - $119.46 Million	Pasadena City-California	Senior
05/07/2015	GO Consolidated Loan Bonds, 2015 Series B - $450 Million	Massachusetts	Senior
05/06/2015	Electric Revenue Refunding Bonds, Series 2015-B - $375.155 Million	Energy Northwest	Senior
05/05/2015	Revenue Refunding Bonds, Series 2015 - $45.435 Million	Sacramento Co Sanit Dt Fin Au	Senior
05/05/2015	GO Refunding Bonds, Series D of 2015 - $128.62 Million	Philadelphia SD	Senior
05/05/2015	Ref Certificates of Participation, Series 2015 - $28.21 Million	Volusia Co School Board	Senior
05/05/2015	Revenue Bonds, Series 2015 - $103.895 Million	Washington Health Care Facs Au	Senior
04/30/2015	Homeownership Mortgage Bonds, 2015 Series A - $18.305 Million	South Dakota Housing Dev Auth	Senior
04/30/2015	Homeownership Mortgage Bonds, 2015 Series C - $30 Million	South Dakota Housing Dev Auth	Senior
04/30/2015	Homeownership Mortgage Bonds, 2015 Series B - $38.405 Million	South Dakota Housing Dev Auth	Senior
04/30/2015	Revenue Bonds, Series 2015 - $31.96 Million	California Municipal Fin Auth	Senior
04/29/2015	System Revenue Refunding Bonds, Series 2015 B - $14.66 Million	Arizona Board of Regents	Senior
04/29/2015	System Revenue & Rev Ref Bonds, Series 2015 A - $103.95 Million	Arizona Board of Regents	Senior
04/23/2015	Installment Purch Ref Rev Bonds, Series 2015 A - $0.635 Million	SCAGO Ed Facs Corp (Spartanburg)	Senior
04/23/2015	Installment Purch Ref Rev Bonds, Series 2015 B - $12.88 Million	SCAGO Ed Facs Corp (Spartanburg)	Senior
04/23/2015	General Obligation Bonds, - $27.325 Million	Nashua City-New Hampshire	Senior
04/23/2015	GO Public Improvement& Ref Bonds, Series 2015 A & B - $103.02 Million	Hampton City-Virginia	Senior
04/23/2015	Consolidated Bonds, 188th Series - $125 Million	Port Authority of NY & NJ	Senior
04/23/2015	Consolidated Bonds, 190th Series - $160 Million	Port Authority of NY & NJ	Senior
04/23/2015	Consolidated Bonds, 189th Series - $490 Million	Port Authority of NY & NJ	Senior
04/23/2015	Future Tax Secured Sub Rev Bonds, 15 Ser Subser E4 - $90 Million	NYC Transitional Finance Auth	Senior
04/22/2015	GO Consol Pub Imp Proj & Ref, 2015 Series A - $184.195 Million	Howard Co-Maryland	Senior
04/22/2015	Water System Revenue & Ref Bonds, Series 2015 A & B - $73.6 Million	Western Nassau Co Water Auth	Senior
04/22/2015	Sch Loan Revolving Fund Rev Bonds, Series 2015 A - $200 Million	Michigan Finance Authority	Senior
04/21/2015	GO Refunding Bonds, Series 2015 - $7.75 Million	Berkeley Co-South Carolina	Senior
04/21/2015	GO Refunding Bonds, Series 2015 B - $69.92 Million	Harford Co-Maryland	Senior
04/21/2015	General Obligation Bonds, Series B - $40.62 Million	Alameda Co (Dublin) USD	Senior
04/21/2015	Revenue Bonds, 2015 Sr Series A - $41.365 Million	Rhode Island Student Loan Auth	Senior
04/20/2015	GO Refunding Bonds, Series B of 2015 - $13.505 Million	Philadelphia SD	Senior
04/16/2015	Power System Revenue Bonds, 2015 Series A - $104.345 Million	Los Angeles Dept of Wtr & Power	Senior
04/16/2015	Power System Revenue Bonds, 2015 Series A - $415.935 Million	Los Angeles Dept of Wtr & Power	Senior
04/16/2015	Installment Purchase Ref Bonds, Series 2015 B - $6.825 Million	Securing Assets for Education (SAFE)	Senior
04/16/2015	Installment Purchase Ref Bonds, Series 2015 A - $71.725 Million	Securing Assets for Education (SAFE)	Senior
04/16/2015	Water & Wastewater Rev Ref Bonds, Series 2015 B - $141.74 Million	Philadelphia City-Pennsylvania	Senior

04/15/2015	GO Public Improvement Bonds, Series 2015 - $94 Million	Wake Co-North Carolina	Senior
04/15/2015	Insured Revenue Bonds, Series 2015 - $63.21 Million	California Health Facs Fin Auth	Senior
04/15/2015	Educational Facs Revenue Bonds, Series 2015 C - $6.785 Million	Virginia College Building Auth	Senior
04/15/2015	Educational Facs Rev & Ref Bonds, Series 2015 A & B - $578.11 Million	Virginia College Building Auth	Senior
04/09/2015	Insured Refunding Revenue Bonds, Series 2015 - $26.895 Million	California Health Facs Fin Auth	Senior
04/09/2015	Lease Rental Revenue Bonds, Series of 2015 - $25 Million	South Bend Redev Authority	Senior
04/08/2015	GO Cons Gen Imp & Wtr &Swr Bonds, Series 2015 - $380.815 Million	Anne Arundel Co-Maryland	Senior
04/07/2015	Revenue Refunding Obligations, Series 2015 B - $64.87 Million	SC Pub Svc Au (Santee Cooper)	Senior
04/07/2015	Revenue Refunding & Imp Oblig, Series 2015 A - $598.96 Million	SC Pub Svc Au (Santee Cooper)	Senior
04/02/2015	GO Refunding Bonds, Series 2015 A - $181.805 Million	Las Vegas Conv & Visitors Auth	Senior
04/02/2015	Turnpike Revenue Refunding Bonds, Series 2015 - $144.875 Million	Maine Turnpike Authority	Senior
04/02/2015	Refunding School Bonds, - $20.775 Million	Ramapo Indian Hills RSD	Senior
04/02/2015	General Obligation Bonds, Series 2015 E - $22.06 Million	Honolulu City & Co-Hawaii	Senior
04/02/2015	General Obligation Bonds, Series 2015 A-D - $866.575 Million	Honolulu City & Co-Hawaii	Senior
04/01/2015	Installment Purch Ref Rev Bonds, Series 2015 - $262.855 Million	SCAGO Ed Facs Corp (Pickens)	Senior
04/01/2015	Unlimited Tax Levee Imp Bonds, Series 2015 - $14.5 Million	Fort Bend Co Levee Imp Dt #7	Senior
04/01/2015	General Revenue Bonds, Series 2015 - $116.15 Million	Univ of North Carolina Bd of Gov	Senior
04/01/2015	GO Refunding Bonds, Series of 2015 - $34.975 Million	Cecil Co-Maryland	Senior
04/01/2015	General Revenue Bonds, Series 2015 A - $50 Million	University of Oregon	Senior
04/01/2015	Special Obligation Bonds, Series A 2015 - $57.055 Million	Missouri Board of Public Bldgs	Senior
04/01/2015	Unlimited Tax Refunding Bonds, Series 2015 - $74.97 Million	Smith Co (Tyler) ISD	Senior
03/31/2015	Student Faciliites Sys Rev Bonds, Series 2015 A - $98.07 Million	Purdue Univ Board of Trustees	Senior
03/31/2015	Revenue Bonds, Series 2015 A - $53.37 Million	Mississippi Hosp Equip & Facs Au	Senior
03/31/2015	Airport Refunding Revenue Bonds, Series Twenty Three - $109.44 Million	Port of Portland-Oregon	Senior
03/31/2015	GO Ltd Tax Flood Ctrl Ref Bonds, Series 2015 - $186.535 Million	Clark Co-Nevada	Senior
03/31/2015	General Obligation Bonds, 2015 Series E - $100 Million	New York City-New York	Senior
03/31/2015	Health Care Revenue Bonds, Series 2015 B3 - $50 Million	Arkansas Development Fin Auth	Senior
03/31/2015	Health Care Revenue Bonds, Series 2015 B4 - $50 Million	Arkansas Development Fin Auth	Senior
03/31/2015	Health Care Revenue Bonds, Series 2015 B2 - $50 Million	Arkansas Development Fin Auth	Senior
03/31/2015	General Obligation Bonds, 2015 Series G - $5 Million	Oregon	Senior
03/31/2015	General Obligation Bonds, 2015 Series I - $9.125 Million	Oregon	Senior
03/31/2015	General Obligation Bonds, 2015 Series H - $72.86 Million	Oregon	Senior
03/31/2015	General Obligation Bonds, 2015 Series F - $171.595 Million	Oregon	Senior
03/26/2015	Housing Revenue Bonds, 2015 Series A - $65 Million	NYS Housing Fin-Mortgage Agcy	Senior
03/26/2015	Housing Revenue Bonds, 2015 Series B - $120 Million	NYS Housing Fin-Mortgage Agcy	Senior
03/25/2015	Infra Sales Surtax Rev Ref Bonds, Series 2015 - $33.49 Million	Sarasota Co-Florida	Senior
03/24/2015	General Obligation Bonds, Series of 2015 - $75.12 Million	Centre Co (State College) ASD	Senior
03/24/2015	Certificates of Participation, Series 2015 A - $29.215 Million	Washington	Senior
03/24/2015	Student Loan Asset-Backed Notes, Series 2015-1 - $302.6 Million	Michigan Finance Authority	Senior
03/19/2015	Transportation Revenue Bonds, Series 2015 B - $275.055 Million	Metropolitan Transport Auth (MTA)	Senior
03/18/2015	GO Limited Tax Refunding Bonds, Series 2015 A - $257.445 Million	Clark Co SD	Senior
03/18/2015	Various Purpose GO & Ref Bonds, - $1944.865 Million	California	Senior
03/18/2015	Hospital Facilities Imp Rev Bonds, Series 2015 - $60 Million	Southeastern Ohio Port Auth	Senior
03/17/2015	Urban Renew & Redev Ref Bonds, 2015 Series A - $17.155 Million	Portland City-Oregon	Senior
03/17/2015	GO State & Local Fac Loan Bonds, First Ser A of 2015 - $518 Million	Maryland	Senior
03/15/2015	Refunding Revenue Bonds, Series 2015 A - $25.875 Million	Lower Colorado River Authority (LCRA)	Senior
03/15/2015	Refunding Revenue Bonds, Series 2015 B - $99.06 Million	Lower Colorado River Authority (LCRA)	Senior
03/12/2015	GO School Bonds, Series 2015 - $11.675 Million	St Martin Parish SD	Senior
03/12/2015	Ltd Obligation Refunding Bonds, Series 2015 - $24.335 Million	Iredell Co-North Carolina	Senior
03/12/2015	Capital Improvement Ref Rev Bonds, Series 2015 - $79.595 Million	Miramar City-Florida	Senior
03/12/2015	General Obligation Bonds, Series 2015 - $50.615 Million	Utah Co (Provo City) SD BOE	Senior
03/12/2015	GO Refunding Bonds, Series 2015 A - $53.81 Million	York Co (Rock Hill) SD #3	Senior
03/12/2015	Hospital Revenue Bonds, Series 2015 - $100 Million	Michigan Finance Authority	Senior
03/11/2015	Special Tax Bonds, Series 2015 B - $7.17 Million	Birmingham-Jefferson Civ Ctr Au	Senior
03/11/2015	Special Tax Bonds, Series 2015 A - $39.96 Million	Birmingham-Jefferson Civ Ctr Au	Senior
03/10/2015	General Obligation Bonds, Series 2015 A - $78.335 Million	Nevada	Senior
03/04/2015	Various Purpose & GO Ref Bonds, Series R-2015 F G H - $393.385 Million	Washington	Senior
03/04/2015	General Obligation Bonds, 2015 Series C - $13.88 Million	Oregon	Senior
03/04/2015	Aux Fac &Tuition Rev Ref Bonds, 2015 Series A - $93.69 Million	University System of Maryland	Senior
03/02/2015	GO School Improvement Bonds, Series 2015 - $54.6 Million	Dane Co (Oregon) SD	Senior
03/01/2015	Unlimited Tax Refunding Bonds, Series 2015 - $127.82 Million	Dallas Co (Grand Prairie) ISD	Senior
03/01/2015	Unlimited Tax Refunding Bonds, Series 2015 - $23.435 Million	Collin Co (Lovejoy) ISD	Senior
02/26/2015	Revenue Bonds, Series 2015 B - $6.63 Million	Pennsylvania Hghr Ed Facs Auth	Senior
02/26/2015	Revenue Bonds, Series 2015 A - $83.67 Million	Pennsylvania Hghr Ed Facs Auth	Senior
02/26/2015	GO Refunding Bonds, Series 2015-D - $20.615 Million	Unified Gov of Wyandotte Co/Kansas City	Senior
02/26/2015	GO Improvement Bonds, Series 2015-A - $29.665 Million	Unified Gov of Wyandotte Co/Kansas City	Senior
02/26/2015	Capital Imp & Ref Revenue Bonds, Series 2015 - $42.775 Million	Flagler Co-Florida	Senior
02/26/2015	Fixed Rate Revenue Bonds, Series 2015 A - $301.805 Million	Pennsylvania Hghr Ed Facs Auth	Senior
02/26/2015	Revenue Bonds, Series 2015 ID - $58.62 Million	Idaho Health Facilities Auth	Senior

02/26/2015	Revenue Bonds, Series 2015 ID - $112.69 Million	Idaho Health Facilities Auth	Senior
02/26/2015	Hospital Revenue & Ref Bonds, Series 2015 MI - $271.045 Million	Michigan Finance Authority	Senior
02/26/2015	Hospital Revenue & Ref Bonds, Series 2015 MI - $290.17 Million	Michigan Finance Authority	Senior
02/26/2015	Revenue Bonds, Series 2015 MD - $164.01 Million	Montgomery Co-Maryland	Senior
02/26/2015	Water System Refunding Rev Bonds, Series 2015 A - $30.81 Million	Yucaipa Valley Water Dt	Senior
02/26/2015	Electric Sys Revenue Bonds, 2015 Series C - $94.145 Million	Omaha Public Power Dt (OPPD)	Senior
02/26/2015	Revenue Bonds, Series 2015 D - $169.657 Million	SC Pub Svc Au (Santee Cooper)	Senior
02/26/2015	Student Loan Asset-Backed Notes, Series 2015-1 - $134.605 Million	Kentucky Higher Ed Student Loan Corp	Senior
02/26/2015	Variable Rate Revenue Bonds, Series 2015 B - $60 Million	Pennsylvania Hghr Ed Facs Auth	Senior
02/25/2015	Housing Mtg Finance Program Bonds, 2015 Series A - $150 Million	Connecticut Housing Finance Auth	Senior
02/19/2015	Environment Infras Ref Bonds, Series 2015 B-R - $9.21 Million	New Jersey Environ Infrast Trust	Senior
02/18/2015	General Obligation Bonds, Series 2015 B - $128.95 Million	Mississippi	Senior
02/17/2015	Mortgage Purchase Bonds, 2015 Series A 1 - $13.485 Million	Maine State Housing Authority	Senior
02/17/2015	Mortgage Purchase Bonds, 2015 Series A 2&3 - $55.555 Million	Maine State Housing Authority	Senior
02/12/2015	Hospital Revenue Bonds, Series 2015 A - $80 Million	Pocono Mountains Ind Pk Auth	Senior
02/12/2015	General Obligation Bonds, First Series of 2015 - $1000 Million	Pennsylvania	Senior
02/12/2015	GO Energy Efficiency Imp Bonds, - $22.695 Million	Quincy City-Massachusetts	Senior
02/12/2015	GO State Qual Refunding Bonds, Series C - $18.245 Million	Springfield City-Massachusetts	Senior
02/11/2015	Revenue Bonds, Series 2015 B - $89.785 Million	Illinois Finance Authority	Senior
02/11/2015	Revenue Bonds, Series 2015 A - $394.595 Million	Illinois Finance Authority	Senior
02/11/2015	Revenue Bonds, Series 2015 - $357.205 Million	Maryland Hlth & Hghr Ed Facs Au	Senior
02/10/2015	GO Public Improvement Bonds, Series 2015 - $8.5 Million	Sanford City-North Carolina	Senior
02/05/2015	Housing Revenue Bonds, 2015 Series 1 - $12.8 Million	Wyoming Community Dev Authority	Senior
02/05/2015	Housing Revenue Bonds, 2015 Series 2 & 3 - $64.33 Million	Wyoming Community Dev Authority	Senior
02/05/2015	GO School Building Ref Bonds, Series 2015 A - $20.475 Million	Dakota Co (Farmington) ISD #192	Senior
02/05/2015	General Revenue & Ref Bonds, Series 2015 A - $50.31 Million	Wayne St Univ Bd of Governors	Senior
02/05/2015	General Revenue Bonds, 2015 Series A1 & A2 - $222.95 Million	Nebraska Public Power Dt	Senior
02/04/2015	Water & Sewer Sys Rev Ref Bonds, Series 2015 - $67.65 Million	Oklahoma City Water Utilities Trust	Senior
02/04/2015	Motor Vehicle Fuel Tax GO Bonds, Series 2015 C - $199.92 Million	Washington	Senior
02/04/2015	Various Purpose GO Ref Bonds, Series R-2015 E - $458.76 Million	Washington	Senior
02/01/2015	Unlimited Tax Schoolhouse Bonds, Series 2015 - $80 Million	Harris Co (Klein) ISD	Senior
02/01/2015	Construction Bonds, Series C - $65.465 Million	Pulaski Co (No Little Rock) SD #1	Senior
01/29/2015	Special Purpose Revenue Bonds, 2015 Series C - $57.35 Million	Hawaii Dept of Budget & Finance	Senior
01/29/2015	Special Purpose Revenue Bonds, 2015 Series A - $206.345 Million	Hawaii Dept of Budget & Finance	Senior
01/28/2015	GO Refunding Bonds, Series 2015 - $72.245 Million	Forsyth Co-North Carolina	Senior
01/27/2015	GO Municipal Purpose Loan Bonds, Series of 2015 - $26.195 Million	Sharon Town-Massachusetts	Senior
01/22/2015	Limited Tax GO Bonds, Series 2015 - $90.955 Million	Kalamazoo City-Michigan	Senior
01/22/2015	General Obligation Bonds, Issue of 2015 - $40 Million	Greenwich Town-Connecticut	Senior
01/01/2015	School Building Revenue Bonds, Series of 2015 - $45.585 Million	Taylor Co SD Finance Corp	Senior
01/06/2016	Revenue Bonds, First Series of 2016 - $134.08 Million	Philadelphia Auth for Indus Dev	Co-Manager
12/17/2015	Single Family Revenue Bonds, Series 180 - $26.53 Million	Massachusetts Housing Fin Auth	Co-Manager
12/17/2015	Single Family Mortgage Rev Bonds, Series 178 & 179 - $86.42 Million	Massachusetts Housing Fin Auth	Co-Manager
12/17/2015	Homeowner Mortgage Revenue Bonds, 2015 Series 1 - $55 Million	Florida Housing Finance Corp	Co-Manager
12/17/2015	Power Project Rev Ref Bonds, Series 2015 A - $37.24 Million	Missouri Jt Muni Elec Util Comm	Co-Manager
12/16/2015	State Capital Project Bonds II, 2015 Series C - $55.62 Million	Alaska Housing Finance Corp	Co-Manager
12/16/2015	Single Family Mortgage Rev Bonds, 2015 Series C - $56 Million	Missouri Housing Dev Commission	Co-Manager
12/15/2015	Tax Increment Revenue & Ref Bonds, Series 2015 B - $35.85 Million	Miami Beach Redevelopment Agcy	Co-Manager
12/15/2015	Tax Increment Revenue & Ref Bonds, Series 2015 A - $286.245 Million	Miami Beach Redevelopment Agcy	Co-Manager
12/10/2015	GO Refunding & Improvement Bonds, Series 2015 - $195.075 Million	Dallas City-Texas	Co-Manager
12/08/2015	Combined Ent Sys Rev Ref Bonds, Series 2015 B - $49.86 Million	Raleigh City-North Carolina	Co-Manager
12/08/2015	Single Family Mortgage Rev Bonds, Series 2018-118B - $90 Million	Pennsylvania Housing Fin Agency	Co-Manager
12/08/2015	Single Family Mortgage Rev Bonds, Series 2018-118A - $141.165 Million	Pennsylvania Housing Fin Agency	Co-Manager
12/08/2015	Housing Finance Program Bonds, 2015 Series D - $50 Million	North Dakota Housing Fin Agency	Co-Manager
12/03/2015	Environmental Imp Fund Rev Bonds, 2015 Series A - $43.38 Million	Wisconsin	Co-Manager
12/03/2015	Residential Revenue Bonds, 2015 Serise A - $24.235 Million	Maryland Dept of Hsg & Comm Dev	Co-Manager
12/03/2015	Residential Revenue Bonds, 2015 Series B - $67.19 Million	Maryland Dept of Hsg & Comm Dev	Co-Manager
12/02/2015	Single Fam Mtg Acqui Ref Bonds, Series 2015 B - $48 Million	New Hampshire Housing Fin Auth	Co-Manager
12/02/2015	Transportation Program Bonds, 2015 Series AA - $626.8 Million	New Jersey Trans Trust Fund Au	Co-Manager
12/02/2015	Special Obligation Ref Rev Bonds, Series 2015 B - $21.145 Million	Hialeah-Florida	Co-Manager
12/02/2015	Special Obligation Revenue Bonds, Series 2015 A - $25 Million	Hialeah-Florida	Co-Manager
12/01/2015	Hospital Revenue Bonds, Series of 2015 - $24.36 Million	Southcentral Pennsylvania Gen Auth	Co-Manager
11/24/2015	Water & Sewer System Rev Bonds, 2016 Subser BB-2 - $21.815 Million	NYC Municipal Water Fin Auth	Co-Manager
11/24/2015	Water & Sewer System Rev Bonds, 2016 Subseries BB-1 - $328.03 Million	NYC Municipal Water Fin Auth	Co-Manager
11/19/2015	GO & Refunding Bonds, Series A B C & D - $313.3 Million	Anchorage City-Alaska	Co-Manager
11/19/2015	Excess Lottery Rev Ref Bonds, Series 2015 A - $63.64 Million	West Virginia School Bldg Auth	Co-Manager
11/19/2015	General Obligation Bonds, 2015 Series Q - $34.215 Million	Oregon	Co-Manager
11/18/2015	GO Refunding Bonds, 2015 Series B - $97.49 Million	Tennessee	Co-Manager
11/18/2015	General Obligation Bonds, 2015 Series A - $286.275 Million	Tennessee	Co-Manager
11/18/2015	Transportation Fund Rev Bonds, 2015 Series A - $500 Million	Massachusetts	Co-Manager

11/18/2015	Affordable Housing Rev Bonds, 2015 Series E - $53.88 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
11/17/2015	Student Housing Rev Ref Bonds, Series 2015 - $65.895 Million	Chattanooga Hlth Ed & Hsg Fac Bd	Co-Manager
11/12/2015	Refunding Revenue Bonds, Series 2015 A - $189.165 Million	California Health Facs Fin Auth	Co-Manager
11/10/2015	Commonwealth Mortgage Bonds, 2015 Seres A - $140.929 Million	Virginia Housing Dev Auth (VHDA)	Co-Manager
11/06/2015	Environmental Facs Revenue Bonds, Series 2015 - $146.195 Million	Whiting City-Indiana	Co-Manager
11/05/2015	Power Proj Revenue Ref Bonds, Series 2015 A - $80.685 Million	Missouri Jt Muni Elec Util Comm	Co-Manager
11/05/2015	Future Tax Secured Sub Bonds, 2016 Subser B1,C & D - $749.66 Million	NYC Transitional Finance Auth	Co-Manager
11/05/2015	Revenue Bonds, Series 2015 C - $100.63 Million	Arizona Health Facs Authority	Co-Manager
11/04/2015	St Wtr Implementation Rev Bonds, Series 2015 B - $11.96 Million	Texas Water Development Board	Co-Manager
11/04/2015	St Wtr Implementation Rev Bonds, Series 2015 A - $798.45 Million	Texas Water Development Board	Co-Manager
11/01/2015	Senior Lien Revenue & Ref Bonds, Series 2015 B - $68.785 Million	Ctl Texas Reg Mobility Au (CTRMA)	Co-Manager
11/01/2015	Senior Lien Revenue Bonds, Series 2015 A - $298.79 Million	Ctl Texas Reg Mobility Au (CTRMA)	Co-Manager
10/29/2015	Single Family Mortgage Bonds, 2015 Series B-1 - $30 Million	Montana Board of Housing	Co-Manager
10/29/2015	Single Family Mortgage Bonds, 2015 Series B-2 - $34.4 Million	Montana Board of Housing	Co-Manager
10/29/2015	Redev Rev & Rev Refunding Bonds, Series 2015 - $49.075 Million	Hollywood Comm Redev Agency	Co-Manager
10/28/2015	Certificates of Participation, Series 2015 C - $62.97 Million	Palm Beach Co School Board	Co-Manager
10/22/2015	General Obligation Bonds, 2015 Series A - $28.515 Million	Vermont	Co-Manager
10/22/2015	Multi-Family Housing Rev Bonds, 2015 Series E-1 & 2 - $53.685 Million	NYC Housing Dev Corp	Co-Manager
10/22/2015	Mortgage Revenue Bonds, Fifty-Third-Series - $20.135 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
10/22/2015	Mortgage Revenue Bonds, Fifty-Second Series - $40.22 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
10/22/2015	Mortgage Revenue Bonds, Fifty-First Series - $75.18 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
10/21/2015	Revenue Bonds, Series F (2015) - $28 Million	Massachusetts Dev Finance Agcy	Co-Manager
10/21/2015	Revenue Bonds, Series F (2015) - $206.515 Million	Massachusetts Dev Finance Agcy	Co-Manager
10/20/2015	Generation System Revenue Bonds, Series 2015 - $39.985 Million	Snohomish Co Pub Utility Dt #1	Co-Manager
10/20/2015	Consolidated Bonds, 193rd Series - $305.44 Million	Port Authority of NY & NJ	Co-Manager
10/20/2015	Consolidated Bonds, 192nd Series - $500 Million	Port Authority of NY & NJ	Co-Manager
10/20/2015	Consolidated Bonds, 194th Series - $1194.56 Million	Port Authority of NY & NJ	Co-Manager
10/15/2015	Airport Revenue & Refunding Bonds, Series 2015 E & F - $231.91 Million	Wayne Co Airport Auth	Co-Manager
10/15/2015	Airport Revenue & Refunding Bonds, Series 2015 D & G - $288.145 Million	Wayne Co Airport Auth	Co-Manager
10/15/2015	Special Tax Oblig & Ref Bonds, Series 2015 A & B - $839.77 Million	Connecticut	Co-Manager
10/15/2015	Affordable Housing Revenue Bonds, 2015 Series D - $26.06 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
10/15/2015	Gen Airport Sr Ln Rev & Ref Bonds, Series 2015 A & C - $624.33 Million	Chicago City-Illinois	Co-Manager
10/15/2015	Gen Airport Sr Ln Rev & Ref Bonds, Series 2015 B & D - $1323.05 Million	Chicago City-Illinois	Co-Manager
10/15/2015	Housing Revenue Bonds, 2015 Series A - $33.3 Million	Massachusetts Hlth & Ed Facs Au	Co-Manager
10/14/2015	Tax Allocation Refunding Bonds, 2015 Series A - $62.135 Million	Sacramento Redev Successor Agy	Co-Manager
10/14/2015	Refunding Revenue Bonds, 2015 Series - $183.38 Million	Sacramento City Financing Auth	Co-Manager
10/14/2015	UPMC Revenue Bonds, Series 2015 B - $127.91 Million	Pennsylvania Econ Dev Fin Auth	Co-Manager
10/07/2015	Housing Revenue Bonds, Series 2015 B - $2.935 Million	Maryland Dept of Hsg & Comm Dev	Co-Manager
10/07/2015	Housing Revenue Bonds, Series 2015 B - $45.265 Million	Maryland Dept of Hsg & Comm Dev	Co-Manager
10/06/2015	Catawba Electrict Rev Ref Bonds, Series 2015 E - $17.61 Million	North Carolina Muni Pwr Agcy #1	Co-Manager
09/30/2015	Limited Obligation Bonds, Series 2015 - $46.965 Million	Onslow Co-North Carolina	Co-Manager
09/29/2015	Future Tax Secured Sub Bonds, Series A Subser A-1 - $750 Million	NYC Transitional Finance Auth	Co-Manager
09/24/2015	System 1st Tier Revenue Ref Bonds, Series 2015 B - $354.88 Million	North Texas Tollway Auth (NTTA)	Co-Manager
09/24/2015	System 1st Tier Revenue Ref Bonds, Series 2015 B - $409.21 Million	North Texas Tollway Auth (NTTA)	Co-Manager
09/17/2015	Hospital Revenue Refunding Bonds, Series 2015 - $373.96 Million	District of Columbia	Co-Manager
09/17/2015	Transportation Revenue Ref Bonds, Series 2015 D - $42.81 Million	Metropolitan Transport Auth (MTA)	Co-Manager
09/17/2015	Transportation Revenue Ref Bonds, Series 2015 D-2 - $50.665 Million	Metropolitan Transport Auth (MTA)	Co-Manager
09/17/2015	Transportation Revenue Ref Bonds, Series 2015 D - $69.495 Million	Metropolitan Transport Auth (MTA)	Co-Manager
09/17/2015	Transportation Revenue Ref Bonds, Series 2015 D-1 - $244.725 Million	Metropolitan Transport Auth (MTA)	Co-Manager
09/17/2015	Housing Bonds, 2015 Series E - $28.515 Million	Massachusetts Housing Fin Agcy	Co-Manager
09/16/2015	General Obligation Bonds, 2015 Series Three - $96.21 Million	Alaska Municipal Bond Bank	Co-Manager
09/15/2015	Special Obligation Ref Bonds, Series 2015 A - $24.155 Million	Mississippi Development Bank	Co-Manager
09/15/2015	Special Obligation Ref Bonds, Series 2015 B - $39.565 Million	Mississippi Development Bank	Co-Manager
09/15/2015	Sr Lien Revenue & Refunding Bonds, Series 2015 A - $39.635 Million	Port Freeport-Texas	Co-Manager
09/15/2015	Home Ownership Revenue Bonds, 2015 Series B - $20.795 Million	Wisconsin Hsg & Econ Dev Auth	Co-Manager
09/15/2015	Home Ownership Revenue Bonds, 2015 Series A - $137.855 Million	Wisconsin Hsg & Econ Dev Auth	Co-Manager
09/10/2015	Sales Tax Improvement Bonds, Ser 2015 S-2 A & B - $150 Million	Central Puget Sound Reg Tran Au	Co-Manager
09/10/2015	Sales Tax Improvement & Ref Bonds, Series 2015 S-1 - $792.84 Million	Central Puget Sound Reg Tran Au	Co-Manager
09/09/2015	Certificates of Participation, Series 2015 B - $121.85 Million	Duval Co School Board	Co-Manager
09/09/2015	Mortgage Purchase Bonds, 2015 Series E-2 - $25 Million	Maine State Housing Authority	Co-Manager
09/09/2015	Mortgage Purchase Bonds, 2015 Series E-1 - $83.13 Million	Maine State Housing Authority	Co-Manager
09/09/2015	Revenue Bonds, Series 2015 A - $69.245 Million	Georgia Muni Electric Au (MEAG)	Co-Manager
09/09/2015	Revenue Bonds, Series 2015 A - $185.18 Million	Georgia Muni Electric Au (MEAG)	Co-Manager
09/09/2015	Various Purpose GO & Ref Bonds, - $1925.825 Million	California	Co-Manager
09/08/2015	Ref Certificates of Participation, Series 2015 B - $32.86 Million	Marion Co School Board	Co-Manager
09/03/2015	Southwest Waterfront Rev Bonds, Series 2015 - $145.445 Million	District of Columbia	Co-Manager
09/03/2015	Customer Fac Charge Rev Bonds, 2015 Series A - $88.975 Million	Hillsborough Co Aviation Auth	Co-Manager
09/03/2015	Customer Fac Charge Rev Bonds, 2015 Series B - $294.35 Million	Hillsborough Co Aviation Auth	Co-Manager
09/03/2015	Multi-Family Dev Revenue Bonds, Series 2015 D - $6.315 Million	Maryland Dept of Hsg & Comm Dev	Co-Manager

09/02/2015	Single Family Mortgage Rev Bonds, 2015 Series A - $77.76 Million	Michigan State Housing Dev Auth	Co-Manager
09/02/2015	Revenue Bonds, Series H-2 (2015) - $50.6 Million	Massachusetts Dev Finance Agcy	Co-Manager
09/02/2015	Revenue Bonds, Series H-1 (2015) - $179.47 Million	Massachusetts Dev Finance Agcy	Co-Manager
09/01/2015	Mortgage Revenue Bonds, 2015 Series B - $8.645 Million	Oregon Hsg & Comm Svcs Dept	Co-Manager
09/01/2015	Mortgage Revenue Bonds, 2015 Series A - $79.195 Million	Oregon Hsg & Comm Svcs Dept	Co-Manager
09/01/2015	Refunding Revenue Bonds, Series 2015 D - $133.885 Million	Lower Colorado River Authority (LCRA)	Co-Manager
08/31/2015	Revenue & Refunding Bonds, 2015 Series I - $989.34 Million	Michigan State Building Auth	Co-Manager
08/28/2015	Revenue Refunding Bonds, Series 2015 - $93.79 Million	CIVICVentures	Co-Manager
08/27/2015	Single Family Mortgage Rev Bonds, Series 2015 B 1 - $23.09 Million	Missouri Housing Dev Commission	Co-Manager
08/27/2015	Single Family Mortgage Rev Bonds, Series 2015 B 2 - $50 Million	Missouri Housing Dev Commission	Co-Manager
08/27/2015	Local Govt Infrastructure Bonds, 2015 Series A- 1 & 2 - $18.865 Million	Maryland Dept of Hsg & Comm Dev	Co-Manager
08/27/2015	Water & Sewer Sys Ref Rev Bonds, Series 2015 - $459.585 Million	Charlotte City-North Carolina	Co-Manager
08/27/2015	Revenue Refunding Bonds, Series 2015 - $581.29 Million	NY Convention Center Dev Corp	Co-Manager
08/20/2015	Homeownership Opportunity Bonds, Series 66-A2 - $10.385 Million	Rhode Island Hsg & Mtg Fin Corp	Co-Manager
08/20/2015	Homeownership Opportunity Bonds, Series 66-C2 - $22.225 Million	Rhode Island Hsg & Mtg Fin Corp	Co-Manager
08/20/2015	State Revolv Funds Rev Bonds, Series 2015 C - $31.37 Million	NYS Environmental Facs Corp	Co-Manager
08/20/2015	State Revolv Funds Rev Bonds, Series 2015 B - $80.52 Million	NYS Environmental Facs Corp	Co-Manager
08/20/2015	Certificates of Participation, Series 2015 A - $193.915 Million	Colorado Reg Transportation Dt	Co-Manager
08/20/2015	Ref Certificates of Participation, Series 2015 A - $100.625 Million	Hillsborough Co SD	Co-Manager
08/20/2015	State Revolv Funds Rev Bonds, Series 2015 D - $367.455 Million	NYS Environmental Facs Corp	Co-Manager
08/20/2015	Revenue Bonds, Series 2015 A - $512.41 Million	NYS Dorm Authority	Co-Manager
08/18/2015	Transportation Rev Ref Bonds, Ser 2015 C Subser C2 - $72.89 Million	Metropolitan Transport Auth (MTA)	Co-Manager
08/18/2015	Transportation Rev Ref Bonds, Ser 2015 C Subser C1 - $477.11 Million	Metropolitan Transport Auth (MTA)	Co-Manager
08/18/2015	Gas Works Revenue Refunding Bonds, 13th Series - $261.77 Million	Philadelphia City-Pennsylvania	Co-Manager
08/13/2015	Multi-Family Housing Rev Bonds, 2015 Series D-2 - $64.14 Million	NYC Housing Dev Corp	Co-Manager
08/13/2015	Wasterwater Revenue Ref Bonds, Series 2015 A - $25 Million	Bakersfield City-California	Co-Manager
08/13/2015	Wasterwater Revenue Ref Bonds, Series 2015 A - $120.5 Million	Bakersfield City-California	Co-Manager
08/13/2015	General Obligation Bonds, Series A & B - $750.475 Million	New York City-New York	Co-Manager
08/13/2015	Affordable Housing Rev Bonds, 2015 Series C - $92.79 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
08/07/2015	Homeownership Opportunity Bonds, Series 66-A1 - $26.37 Million	Rhode Island Hsg & Mtg Fin Corp	Co-Manager
08/06/2015	Intermediate Lien Revenue Bonds, Series 2015 A - $72.01 Million	Port of Seattle-Washington	Co-Manager
08/06/2015	Intermediate Lien Revenue Bonds, Series 2015 C - $226.275 Million	Port of Seattle-Washington	Co-Manager
08/06/2015	Intermediate Lien Rev Ref Bonds, Series 2015 B - $284.44 Million	Port of Seattle-Washington	Co-Manager
08/06/2015	Housing Mtg Finance Prog Bonds, 2015 Ser C Sub C-2 - $10.8 Million	Connecticut Housing Finance Auth	Co-Manager
08/06/2015	Housing Mtg Finance Prog Bonds, 2015 Ser C Sub C-1 - $105 Million	Connecticut Housing Finance Auth	Co-Manager
08/05/2015	Systemwide Revenue Bonds, Series 2015 B - $29.305 Million	California State Univ Trustees	Co-Manager
08/05/2015	Systemwide Revenue Bonds, Series 2015 A - $1034.37 Million	California State Univ Trustees	Co-Manager
08/03/2015	Special Facility Revenue Bonds, Series 2002 B - $364.86 Million	NYC Industrial Dev Agency	Co-Manager
08/01/2015	Unlimited Tax School Bldg Bonds, Series 2015 A - $164.58 Million	Denton Co ISD	Co-Manager
08/01/2015	Unltd Tax School Building Bonds, Series 2015 A - $8.88 Million	Dallas Co (Lancaster) ISD	Co-Manager
08/01/2015	Unlimited Tax Refunding Bonds, Series 2015 B - $9.63 Million	Dallas Co (Lancaster) ISD	Co-Manager
08/01/2015	Unltd Tax School Building Bonds, Series 2015 A - $39.23 Million	Dallas Co (Lancaster) ISD	Co-Manager
07/30/2015	Certificates of Participation, Series 2015 B - $239.63 Million	Miami-Dade Co School Board	Co-Manager
07/30/2015	Water Poll Ctrl Revolv Fund Bonds, Series 2015 A - $56.275 Million	Rhode Island Infrastructure Bank	Co-Manager
07/30/2015	Revenue Bonds, Series 2015 A - $27.395 Million	Maine Hlth & Hghr Ed Facs Auth	Co-Manager
07/30/2015	Sales Tax Revenue Bonds, Series 2015 A - $1523.1 Million	NYS Dorm Authority	Co-Manager
07/29/2015	Ref Certificates of Participation, Series 2015 - $163.995 Million	Arizona	Co-Manager
07/29/2015	GO Consol Cap Dev Loan Ref Bonds, Series 2015 A - $175.155 Million	Rhode Island & Providence Plan	Co-Manager
07/23/2015	Catawba Electric Rev Ref Bonds, Series 2015 D - $23.93 Million	North Carolina Muni Pwr Agcy #1	Co-Manager
07/23/2015	Catawba Electric Rev Ref Bonds, Series 2015 A - $30 Million	North Carolina Muni Pwr Agcy #1	Co-Manager
07/23/2015	Catawba Electric Rev & Ref Bonds, Series 2015 A B C - $408.525 Million	North Carolina Muni Pwr Agcy #1	Co-Manager
07/22/2015	Hospital Refunding Revenue Bonds, Series 2015 - $5.24 Million	West Virginia Hospital Fin Auth	Co-Manager
07/22/2015	Hospital Revenue Refunding Bonds, Series 2015 - $114.925 Million	Winchester Econ Dev Au	Co-Manager
07/21/2015	Grant & Revenue Antic Ref Bonds, 2015 Series A - $172.54 Million	Idaho Housing & Fin Assoc	Co-Manager
07/16/2015	Electric System Revenue Bonds, Series Three 2015 B - $9.15 Million	Jacksonville Electric Authority	Co-Manager
07/16/2015	Electric System Revenue Bonds, Series Three 2015 B - $33.205 Million	Jacksonville Electric Authority	Co-Manager
07/16/2015	Homeowner Mortgage Rev Bonds, Series 193 - $20.64 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
07/16/2015	Homeowner Mortgage Rev Bonds, Series 192 - $45.41 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
07/16/2015	Homeowner Mortgage Rev Bonds, Series 194 - $85.02 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
07/15/2015	Clean Water Revenue Ref Bonds, 2015 Series 1 - $133.235 Million	Wisconsin	Co-Manager
07/15/2015	General Obligation Bonds, Series 2015 - $186.93 Million	Univ of Vermont St Agric College	Co-Manager
07/15/2015	General Revenue Bonds, 2015 Series T - $65.35 Million	University of Alaska	Co-Manager
07/09/2015	Educational Loan Revenue Bonds, Issue I Ser 2015 A - $184.76 Million	Massachusetts Education Fin Auth	Co-Manager
07/08/2015	Airport Revenue Refunding Bonds, Series 2015 - $33.425 Million	Lee Co-Florida	Co-Manager
07/08/2015	Revenue Bonds, Series 2015 - $27.79 Million	Maine Hlth & Hghr Ed Facs Auth	Co-Manager
07/08/2015	Revenue Bonds, Series 2015 - $78.51 Million	Washington Health Care Facs Au	Co-Manager
07/08/2015	Revenue Bonds, Series of 2015 - $130.44 Million	Philadelphia Auth for Indus Dev	Co-Manager
07/07/2015	Refunding Mortgage Rev Bonds, Series 2015 A-1 - $18.89 Million	South Carolina St Hsg Fin Dev Au	Co-Manager
07/07/2015	Refunding Mortgage Rev Bonds, Series 2015 A-2 - $20.705 Million	South Carolina St Hsg Fin Dev Au	Co-Manager

07/01/2015	Airport Sys Jr Sub Lien Rev Notes, Series 2015 B - $165.125 Million	Clark Co-Nevada	Co-Manager
06/30/2015	State Capital Project Bonds II, 2015 Series B - $93.365 Million	Alaska Housing Finance Corp	Co-Manager
06/30/2015	Electric System Revenue Bonds, Series 2015 - $140.92 Million	Snohomish Co Pub Utility Dt #1	Co-Manager
06/30/2015	Rental Housing Revenue Bonds, 2015 Series A - $11.11 Million	Michigan State Housing Dev Auth	Co-Manager
06/30/2015	Rental Housing Revenue Bonds, 2015 Series B - $12.84 Million	Michigan State Housing Dev Auth	Co-Manager
06/30/2015	Rental Housing Revenue Bonds, 2015 Series A - $93.46 Million	Michigan State Housing Dev Auth	Co-Manager
06/30/2015	Single Family Mtg Rev Ref Bonds, Series 2015 A - $58 Million	Mississippi Home Corporation	Co-Manager
06/25/2015	Multi-Family Housing Rev Bonds, 2015 Series D-1 B - $2.9 Million	NYC Housing Dev Corp	Co-Manager
06/25/2015	Multi-Family Housing Rev Bonds, 2015 Series D-1 C - $7.2 Million	NYC Housing Dev Corp	Co-Manager
06/25/2015	Multi-Family Housing Rev Bonds, 2015 Series D-1 B - $20.475 Million	NYC Housing Dev Corp	Co-Manager
06/25/2015	Multi-Family Housing Rev Bonds, 2015 Series D-1 B - $30 Million	NYC Housing Dev Corp	Co-Manager
06/25/2015	Multi-Family Housing Rev Bonds, 2015 Ser D 1 A & B - $182.49 Million	NYC Housing Dev Corp	Co-Manager
06/25/2015	Multi-Family Housing Rev Bonds, 2015 Ser D 1 A & B - $254.1 Million	NYC Housing Dev Corp	Co-Manager
06/25/2015	Revenue Bonds, 2015 Series S-2 - $750 Million	NYC Transitional Finance Auth	Co-Manager
06/24/2015	GO & GO Refunding Bonds, Series 2015 A & B - $534.19 Million	District of Columbia	Co-Manager
06/23/2015	Housing Finance Program Bonds, 2015 Series B - $46.1 Million	North Dakota Housing Fin Agency	Co-Manager
06/23/2015	Revenue Bonds, Series 2015 A - $503.64 Million	NYS Dorm Authority	Co-Manager
06/23/2015	Commonwealth Port Fund Rev Bonds, Series 2015 - $58.68 Million	Virginia Port Authority	Co-Manager
06/18/2015	GO Water Refunding Bonds, Series 2015 C - $42.125 Million	Las Vegas Valley Water Dt	Co-Manager
06/18/2015	General Obligation Bonds, 1995 Ser F Subser F4 - $50 Million	New York City-New York	Co-Manager
06/18/2015	General Obligation Bonds, 2015 Ser F Sub F-1 - $300 Million	New York City-New York	Co-Manager
06/18/2015	General Obligation Bonds, 2015 Series 1 - $316.69 Million	New York City-New York	Co-Manager
06/16/2015	Airport Revenue Refunding Bonds, Series 2015 A - $34.06 Million	Raleigh-Durham Airport Auth	Co-Manager
06/16/2015	Airport Revenue Refunding Bonds, Series 2015 B - $48.685 Million	Raleigh-Durham Airport Auth	Co-Manager
06/11/2015	Affordable Housing Revenue Bonds, 2015 Series B - $59.835 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
06/10/2015	Install Purchase Rev Ref Bonds, Series 2015 - $63.045 Million	Fort Mill School Facilities Corp	Co-Manager
06/04/2015	General Obligation Bonds, Series 2015 - $76.045 Million	Pembroke Pines City-Florida	Co-Manager
06/04/2015	Wastewater Sys Rev Ref Bonds, Series 2015 B - $41.175 Million	Los Angeles City-California	Co-Manager
06/04/2015	Wastewater System Revenue Bonds, Series 2015 A - $188.755 Million	Los Angeles City-California	Co-Manager
06/03/2015	Revenue Refunding Bonds, Series 2015 - $193.335 Million	SMUD Financing Auth	Co-Manager
06/03/2015	Revenue & Refunding Bonds, Series A & B of 2015 - $182.165 Million	Pennsylvania State University	Co-Manager
06/02/2015	Electric System Revenue Bonds, 2015 Series A - $172.035 Million	Salt River Proj Agric Imp & Pwr Dt	Co-Manager
06/02/2015	Electric System Revenue Bonds, 2015 Series A - $752.455 Million	Salt River Proj Agric Imp & Pwr Dt	Co-Manager
06/01/2015	Installment Purch Ref Rev Bonds, Series 2015 - $76.985 Million	SCAGO Ed Facs Corp (Colleton)	Co-Manager
06/01/2015	GO Water Refunding Bonds, Series 2015 A - $172.43 Million	Las Vegas Valley Water Dt	Co-Manager
06/01/2015	GO Water Refunding Bonds, Series 2015 B - $177.635 Million	Las Vegas Valley Water Dt	Co-Manager
06/01/2015	Limited Oblig Refunding Bonds, Seriess 2015 - $30.5 Million	Onslow Co-North Carolina	Co-Manager
05/28/2015	General Obligation Bonds, 2015 Series B-4 - $85.13 Million	Connecticut	Co-Manager
05/28/2015	General Obligation Bonds, 2015 Series B-2 - $107.62 Million	Connecticut	Co-Manager
05/28/2015	General Obligation Bonds, 2015 Series B-3 - $297.25 Million	Connecticut	Co-Manager
05/28/2015	Housing Revenue Bonds, Series 2015 A - $13.395 Million	Maryland Dept of Hsg & Comm Dev	Co-Manager
05/28/2015	Wtr Swr Sys 2nd Gen Res Rev Bonds, Series HH - $452.53 Million	NYC Municipal Water Fin Auth	Co-Manager
05/28/2015	GO Refunding Bonds, 2015 Series D-2 - $20.085 Million	Connecticut	Co-Manager
05/28/2015	General Obligation Bonds, 2015 Series C-1 - $23.32 Million	Connecticut	Co-Manager
05/28/2015	General Obligation Bonds, 2015 Series C-4 - $50.58 Million	Connecticut	Co-Manager
05/28/2015	General Obligation Bonds, 2015 Series C-3 - $62.02 Million	Connecticut	Co-Manager
05/28/2015	General Obligation Bonds, 2015 Series C-3 - $64.08 Million	Connecticut	Co-Manager
05/28/2015	GO Refunding Bonds, 2015 Series D-1 - $160.66 Million	Connecticut	Co-Manager
05/21/2015	Revenue Bonds, Series 2015 A - $60 Million	Tarrant Co Cult Ed Fac Fin Corp	Co-Manager
05/21/2015	Lease Appropriation Ref Bonds, Series 2015 A - $44.71 Million	Indiana Finance Authority	Co-Manager
05/21/2015	Lease Appropriation Ref Bonds, Series 2015 A - $296.53 Million	Indiana Finance Authority	Co-Manager
05/19/2015	General Revenue & Refunding Bonds, Series 2015 A - $109.31 Million	Western Mich Univ Bd of Trustees	Co-Manager
05/19/2015	Single Family Mortgage Rev Bonds, 2015 Series A - $60 Million	Missouri Housing Dev Commission	Co-Manager
05/15/2015	Revenue Refunding Bonds, 2015 Series A - $156.79 Million	New Jersey Educational Facs Au	Co-Manager
05/15/2015	General Revenue Bonds, Series 2015 A - $225 Million	Triborough Bridge & Tunnel Auth	Co-Manager
05/14/2015	Revenue Refunding Bonds, Series 2015 A - $146.325 Million	Rhode Island Hlth & Ed Bldg Corp	Co-Manager
05/13/2015	Revenue Bonds, Series 2015 - $66.1 Million	NYS Dorm Authority	Co-Manager
05/12/2015	Affordable Housing Revenue Bonds, 2015 Series A - $2.05 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
05/12/2015	Affordable Housing Revenue Bonds, 2015 Series A - $4.21 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
05/12/2015	Affordable Housing Revenue Bonds, 2015 Series A - $26.83 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
05/07/2015	Refunding Revenue Bonds, Issue 2 Series 27 - $7.155 Million	Jacksonville Electric Authority	Co-Manager
05/07/2015	Refunding Revenue Bonds, Issue 2 Series 26 - $65.97 Million	Jacksonville Electric Authority	Co-Manager
05/06/2015	Ref Certificates of Participation, Series 2012 A - $77.015 Million	Univ of So Florida (USF) Fin Corp	Co-Manager
05/06/2015	State Revolving Fund Rev Bonds, 2015 Series A - $250 Million	Connecticut	Co-Manager
04/30/2015	Combined Ent Sys Rev Ref Bonds, Series 2015 A - $47.815 Million	Raleigh City-North Carolina	Co-Manager
04/30/2015	Certificates of Participation, Series 2015 D - $221.64 Million	Palm Beach Co School Board	Co-Manager
04/29/2015	Single Family Mortgage Bonds, 2015 Series B - $25.5 Million	Colorado Hsg & Fin Au (CHFA)	Co-Manager
04/29/2015	Multi-Family Housing Rev Bonds, 2015 Series A-1 - $3.9 Million	NYC Housing Dev Corp	Co-Manager
04/29/2015	Multi-Family Housing Rev Bonds, 2015 Series A-1 - $14.525 Million	NYC Housing Dev Corp	Co-Manager

04/29/2015	Multi-Family Housing Rev Bonds, 2015 Series A-1&2 - $15.725 Million	NYC Housing Dev Corp	Co-Manager
04/29/2015	Multi-Family Housing Rev Bonds, 2015 Series B-1 - $37 Million	NYC Housing Dev Corp	Co-Manager
04/28/2015	Turnpike Subordinate Rev Bonds, Series A-2 of 2015 - $50 Million	Pennsylvania Turnpike Commission	Co-Manager
04/28/2015	Turnpike Sub Rev & Ref Bonds, Series A-1 of 2015 - $209.01 Million	Pennsylvania Turnpike Commission	Co-Manager
04/23/2015	Revenue & Revenue Ref Bonds, Series 2015 - $76.69 Million	Tampa City-Florida	Co-Manager
04/23/2015	Future Tax Secured Sub Bonds, 2015 Ser E Sub E-1 - $650 Million	NYC Transitional Finance Auth	Co-Manager
04/22/2015	Revenue Bonds, Series 2015 A - $63.85 Million	NYS Dorm Authority	Co-Manager
04/22/2015	Revenue Bonds, Series 2015 A - $627.585 Million	NYS Dorm Authority	Co-Manager
04/21/2015	Single Family Mortgage Bonds, 2015 Subseries A-2 - $14.535 Million	Georgia Housing Finance Authority	Co-Manager
04/21/2015	Single Family Mortgage Bonds, 2015 Subseries A-1 - $88.91 Million	Georgia Housing Finance Authority	Co-Manager
04/21/2015	Lease Revenue Refunding Bonds, 2015 Series D - $19.65 Million	California St Public Works Board	Co-Manager
04/21/2015	Lease Revenue Bonds, 2015 Series B - $22.205 Million	California St Public Works Board	Co-Manager
04/21/2015	Lease Revenue Refunding Bonds, 2015 Series C - $45.3 Million	California St Public Works Board	Co-Manager
04/21/2015	Lease Revenue Bonds, 2015 Series A - $52.27 Million	California St Public Works Board	Co-Manager
04/21/2015	Lease Revenue Refunding Bonds, 2015 Series E - $103.99 Million	California St Public Works Board	Co-Manager
04/20/2015	School Lease Revenue Ref Bonds, Series 2015 A - $80 Million	Pennsylvania St Pub Sch Bldg Auth	Co-Manager
04/20/2015	GO Refunding Bonds, Series C of 2015 - $44.565 Million	Philadelphia SD	Co-Manager
04/20/2015	General Obligation Bonds, Series A of 2015 - $46.77 Million	Philadelphia SD	Co-Manager
04/16/2015	Refunding Revenue Bonds, Series C of 2015 - $8.02 Million	Pennsylvania Hghr Ed Facs Auth	Co-Manager
04/16/2015	Refunding Revenue Bonds, Series A of 2015 - $89.26 Million	Pennsylvania Hghr Ed Facs Auth	Co-Manager
04/16/2015	Refunding Revenue Bonds, Series A & B of 2015 - $281.56 Million	Pennsylvania Hghr Ed Facs Auth	Co-Manager
04/16/2015	Water Revenue Bonds, 2015 Series A - $429.6 Million	San Francisco Public Util Comm	Co-Manager
04/16/2015	Water Revenue Bonds, Series 2015 A - $29.66 Million	Norfolk City-Virginia	Co-Manager
04/16/2015	Water Revenue Refunding Bonds, Series 2015 B - $54.02 Million	Norfolk City-Virginia	Co-Manager
04/16/2015	GO Refunding Bonds, 2015 Series A - $34.625 Million	University of Connecticut	Co-Manager
04/16/2015	General Obligation Bonds, 2015 Series A - $220.165 Million	University of Connecticut	Co-Manager
04/16/2015	Install Purchase Rev Ref Bonds, Series 2015 - $32.125 Million	Bldg Equity Sooner For Tomorrow (BEST)	Co-Manager
04/15/2015	Revenue Bonds, Series 2015 G - $203.585 Million	Kansas Development Fin Auth	Co-Manager
04/15/2015	Unltd Tax School Building Bonds, Series 2015 - $20.73 Million	Potter Co (Amarillo) ISD	Co-Manager
04/14/2015	Power Project Revenue Ref Bonds, Series 2015 A - $215.105 Million	Missouri Jt Muni Elec Util Comm	Co-Manager
04/14/2015	GO Refunding Bonds, Series of 2015 - $171.045 Million	Wisconsin	Co-Manager
04/09/2015	Subordinate Lien Income Tax Bonds, Series 2015 A-4 - $6.275 Million	Cleveland City-Ohio	Co-Manager
04/09/2015	Subordinate Lien Income Tax Bonds, Series 2015 A-2 - $38.9 Million	Cleveland City-Ohio	Co-Manager
04/09/2015	Subordinate Lien Income Tax Bonds, Series 2015 A- 1 & 3 - $40.93 Million	Cleveland City-Ohio	Co-Manager
04/09/2015	General Obligation Bonds, Series 2015 B - $94.425 Million	Alaska	Co-Manager
04/09/2015	Water & Sewer System Rev Bonds, Series GG - $17.13 Million	NYC Municipal Water Fin Auth	Co-Manager
04/09/2015	Water & Sewer System Rev Bonds, Series GG - $433.155 Million	NYC Municipal Water Fin Auth	Co-Manager
04/08/2015	General Revenue Pledge Ref Bonds, Series 2015 A-1 - $86.995 Million	Univ of Virginia Rector & Visitors	Co-Manager
04/08/2015	General Revenue Pledge Ref Bonds, Series 2015 A-2 - $97.735 Million	Univ of Virginia Rector & Visitors	Co-Manager
04/08/2015	General Rev Pledge Ref Bonds, Series 2015 B - $106.91 Million	Univ of Virginia Rector & Visitors	Co-Manager
04/07/2015	Revenue Bonds, Series 2015 C - $15 Million	Arizona Board of Regents	Co-Manager
04/07/2015	Revenue & Refunding Bonds, Series 2015 B - $164.615 Million	Arizona Board of Regents	Co-Manager
04/07/2015	Revenue & Refunding Bonds, Series 2015 A - $182.645 Million	Arizona Board of Regents	Co-Manager
04/07/2015	Tobacco Settle Asset-Backed Bonds, Series 2015 A - $1692.05 Million	Golden State Tobacco Sec Corp	Co-Manager
04/01/2015	Health Care Revenue Bonds, Series 2015 - $297.1 Million	North Carolina Medical Care Commiss	Co-Manager
03/31/2015	Ref Certificates of Participation, Series 2015 A - $44.145 Million	Pasco Co School Board	Co-Manager
03/31/2015	Revenue & Refunding Bonds, Series 2015 A - $167.8 Million	Lincoln City-Nebraska	Co-Manager
03/31/2015	General Obligation Bonds, 2015 Series C & D - $799.66 Million	New York City-New York	Co-Manager
03/26/2015	Single Family Mortgage Rev Bonds, Series 2015-116B - $20 Million	Pennsylvania Housing Fin Agency	Co-Manager
03/26/2015	Single Family Mortgage Rev Bonds, Series 2015-116A - $98.795 Million	Pennsylvania Housing Fin Agency	Co-Manager
03/26/2015	Single Family Housing Rev Bonds, Series 175 & 176 - $32.265 Million	Massachusetts Housing Fin Agcy	Co-Manager
03/26/2015	Single Family Housing Rev Bonds, Series 177 - $57.595 Million	Massachusetts Housing Fin Agcy	Co-Manager
03/26/2015	Housing Bonds, 2015 Series C - $21.18 Million	Massachusetts Housing Fin Agcy	Co-Manager
03/26/2015	Housing Bonds, 2015 Series B - $38.075 Million	Massachusetts Housing Fin Agcy	Co-Manager
03/26/2015	Housing Bonds, 2015 Series A - $47.91 Million	Massachusetts Housing Fin Agcy	Co-Manager
03/25/2015	Project & Rev Ref Bonds, Sr Series 2015-1 & 2 - $490.62 Million	Univ of Massachusetts Bldg Au	Co-Manager
03/25/2015	Health System Revenue Ref Bonds, Series 2015 - $73.885 Million	North Carolina Medical Care Commiss	Co-Manager
03/25/2015	Wtrwrks & Swr Sys Rev Ref Bonds, Series 2015 B - $150.63 Million	Dallas City-Texas	Co-Manager
03/25/2015	Wtrwrks & Swr Sys Rev Ref Bonds, Series 2015 A - $453.63 Million	Dallas City-Texas	Co-Manager
03/25/2015	General Obligation Bonds, 2015 Series A-5 - $2.765 Million	Connecticut	Co-Manager
03/25/2015	General Obligation Bonds, 2015 Series A-3 - $10 Million	Connecticut	Co-Manager
03/25/2015	General Obligation Bonds, 2015 Series A-3 - $14.9 Million	Connecticut	Co-Manager
03/25/2015	General Obligation Bonds, 2015 Series A-2 - $15.965 Million	Connecticut	Co-Manager
03/25/2015	General Obligation Bonds, 2015 Series A-4 - $20 Million	Connecticut	Co-Manager
03/25/2015	General Obligation Bonds, 2015 Series A-4 - $23.67 Million	Connecticut	Co-Manager
03/25/2015	General Obligation Bonds, 2015 Series A-1 - $54.035 Million	Connecticut	Co-Manager
03/25/2015	General Obligation Bonds, 2015 Series A- 6 & 7 - $72.8 Million	Connecticut	Co-Manager
03/25/2015	General Obligation Bonds, 2015 Series A-1 - $104.015 Million	Connecticut	Co-Manager
03/25/2015	General Obligation Bonds, 2015 Series A-2 - $181.85 Million	Connecticut	Co-Manager

03/25/2015	Housing Revenue Bonds, 2015 Series A 2 - $26 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
03/25/2015	Housing Revenue Bonds, 2015 Series A 1 - $60 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
03/19/2015	State Capital Project Bonds II, 2015 Series A - $111.535 Million	Alaska Housing Finance Corp	Co-Manager
03/19/2015	State Revolv Fd Prog Ref Bonds, Series 2015 B - $139.715 Million	Indiana Finance Authority	Co-Manager
03/19/2015	Electric System Revenue Bonds, Series Three 2015 A - $83.325 Million	Jacksonville Electric Authority	Co-Manager
03/19/2015	Port Facilities Revenue Ref Bonds, Series 2015 B - $56.755 Million	Virginia Port Authority	Co-Manager
03/19/2015	Port Facilities Revenue Ref Bonds, Series 2015 A - $85.13 Million	Virginia Port Authority	Co-Manager
03/19/2015	Tobacco Settle Asset-Backed Bonds, Series 2015 A - $15.255 Million	RI Tobacco Settlement Fin Corp	Co-Manager
03/19/2015	Tobacco Settle Asset-Backed Bonds, Series 2015 A & B - $605.68 Million	RI Tobacco Settlement Fin Corp	Co-Manager
03/17/2015	Wtr Swr Sys 2nd Gen Res Rev Bonds, Series FF - $40 Million	NYC Municipal Water Fin Auth	Co-Manager
03/17/2015	Wtr Swr Sys 2nd Gen Res Rev Bonds, Series FF - $490 Million	NYC Municipal Water Fin Auth	Co-Manager
03/12/2015	Jail Facilities Ref Revenue Bonds, Series 2015 - $44.765 Million	Riverside Regional Jail Authority	Co-Manager
03/12/2015	Water & Wastewater Rev Ref Bonds, Series 2015 - $1237.405 Million	Atlanta City-Georgia	Co-Manager
03/12/2015	Future Tax Secured Sub Bonds, 2015 Ser C & D - $785.795 Million	NYC Transitional Finance Auth	Co-Manager
03/11/2015	Separate Electric Sys Rev Bonds, 2015 Series A - $114.245 Million	Omaha Public Power Dt (OPPD)	Co-Manager
03/05/2015	Cap Fac Lease Appropriation Bonds, Series 2015 B - $20 Million	Ohio	Co-Manager
03/05/2015	Cap Fac Lease Appropriation Bonds, Series 2015 A - $40 Million	Ohio	Co-Manager
03/05/2015	Cap Fac Lease Appropriation Bonds, Series 2015 A - $50 Million	Ohio	Co-Manager
03/04/2015	Mortgage Purchase Bonds, 2015 Series B - $32 Million	Maine State Housing Authority	Co-Manager
03/04/2015	Mortgage Purchase Bonds, 2015 Series C - $40 Million	Maine State Housing Authority	Co-Manager
03/03/2015	Water System Revenue Ref Bonds, Series 2015 A - $429.36 Million	East Bay MUD	Co-Manager
03/03/2015	Utility System Ref Rev Bonds, Series 2015 B - $95.975 Million	Tampa Bay Water Auth	Co-Manager
03/03/2015	Utility System Ref Rev Bonds, Series 2015 A - $180.835 Million	Tampa Bay Water Auth	Co-Manager
02/26/2015	GO Refunding Bonds, 2015 Series B - $69.22 Million	Oregon	Co-Manager
02/26/2015	GO Refunding Bonds, 2015 Series A - $81.965 Million	Oregon	Co-Manager
02/26/2015	Homeowner Mortgage Rev Bonds, Series 190 - $60 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
02/26/2015	Homeowner Mortgage Rev Bonds, Series 191 - $72.935 Million	NYS Housing Fin-Mortgage Agcy	Co-Manager
02/25/2015	Certificates of Participation, Series 2015 D - $114.17 Million	Orange Co School Board	Co-Manager
02/25/2015	State Revolving Fund Rev Bonds, Series 2015 - $321.53 Million	Iowa Finance Authority	Co-Manager
02/24/2015	Senior Revenue Bonds, 2015 Series B - $47.925 Million	Los Angeles Dept of Airports	Co-Manager
02/24/2015	Subordinate Refunding Rev Bonds, 2015 Series C - $181.805 Million	Los Angeles Dept of Airports	Co-Manager
02/24/2015	Senior Revenue Bonds, 2015 Series A - $267.525 Million	Los Angeles Dept of Airports	Co-Manager
02/19/2015	Gas & Fuel Tax Rev Ref Bonds, 2015 Series A & B - $624.795 Million	Louisiana	Co-Manager
02/18/2015	Limited Tax GO Refunding Bonds, 2015 Series A - $247.825 Million	King Co-Washington	Co-Manager
02/18/2015	Sewer Revenue Refunding Bonds, 2015 Series A - $474.025 Million	King Co-Washington	Co-Manager
02/18/2015	Homeowner Mortgage Revenue Bonds, 2015 Series A - $60 Million	Florida Housing Finance Corp	Co-Manager
02/12/2015	General Obligation Bonds, Series 2015 - $189.69 Million	New Castle Co-Delaware	Co-Manager
02/05/2015	Single Family Mortgage Bonds, 2015 Series A - $99.8 Million	Colorado Hsg & Fin Au (CHFA)	Co-Manager
02/05/2015	Water PC & Drinking Wtr Rev Bonds, Series 2015 A - $29.935 Million	Missouri Env Imp & Energy Res Au	Co-Manager
02/05/2015	Revenue Bonds, Series 2015 - $126.665 Million	Maryland Hlth & Hghr Ed Facs Au	Co-Manager
02/05/2015	Leasehold Revenue Bonds, Series 2015 - $23.905 Million	St Louis Municipal Finance Corp	Co-Manager
01/29/2015	Sr Lien Local Gas Tax Rev Bonds, Series 2015 - $97.485 Million	Jacksonville Transportation Auth	Co-Manager
01/29/2015	Revenue Bonds, Series O-1&2 (2015) - $267.615 Million	Massachusetts Dev Finance Agcy	Co-Manager
01/28/2015	Oregon State Lottery Rev Bonds, 2015 Series F - $17.195 Million	Oregon Dept of Admin Services	Co-Manager
01/28/2015	Oregon State Lottery Rev Bonds, 2015 Series E - $22.71 Million	Oregon Dept of Admin Services	Co-Manager
01/28/2015	Oregon State Lottery Rev Bonds, 2015 Series B - $38.945 Million	Oregon Dept of Admin Services	Co-Manager
01/28/2015	Oregon State Lottery Rev Bonds, 2015 Series A - $77.805 Million	Oregon Dept of Admin Services	Co-Manager
01/28/2015	Oregon State Lottery Rev Bonds, 2015 Series C - $117.995 Million	Oregon Dept of Admin Services	Co-Manager
01/28/2015	Oregon State Lottery Rev Bonds, 2015 Series D - $164.23 Million	Oregon Dept of Admin Services	Co-Manager
01/28/2015	Single Fam Mtg Acquisition Bonds, 2015 Series A - $64 Million	New Hampshire Housing Fin Auth	Co-Manager
01/27/2015	Hway User Tax Rev Sr Ln Ref Bonds, Series 2015 A - $381.305 Million	Oregon Dept of Transportation	Co-Manager
01/22/2015	Building Aid Revenue Bonds, 2015 Series S-1 - $750 Million	NYC Transitional Finance Auth	Co-Manager
01/22/2015	Transportation Revenue Bonds, Series 2015 Sub A-2 - $250 Million	Metropolitan Transport Auth (MTA)	Co-Manager
01/22/2015	Transportation Revenue Bonds, Series 2015 Sub A-1 - $600 Million	Metropolitan Transport Auth (MTA)	Co-Manager
01/21/2015	Ref Certificates of Participation, Series 2015 - $54.845 Million	St Johns Co School Board	Co-Manager
01/21/2015	Revenue Bonds, Series 2014 - $117.31 Million	NYS Dorm Authority	Co-Manager
01/14/2015	State Personal Inc Tax Rev Bonds, Series 2015 A - $34.7 Million	NYS Dorm Authority	Co-Manager
01/14/2015	State Personal Inc Tax Rev Bonds, Series 2015 A - $971.055 Million	NYS Dorm Authority	Co-Manager
03/05/2010	Revenue Bonds, Series 2015 A - $230.475 Million	Kansas Development Fin Auth	Co-Manager

Source: Thompson Reuters; 1/1/2015-11/30/2015; excludes notes, private placements, and preliminary issues.

7. Provide a chronological listing of the firm's Senior Managed, and Co- Managed underwriting experience of Florida issues for the past five (5) years.

Due to the large volume of municipal transactions BofAML has participated in over the past five years, we are only providing transactions for 2015 year to date. However, we are able to provide additional years at the City's request.

Underwriting Services

Dated Date	Issue Description, Size ($MM)	Issuer Name	Firm Role
12/16/2015	Certificates of Participation, Series 2015 D - $345.89 Million	Miami-Dade Co School Board	Senior
12/15/2015	Resort Tax Revenue Bonds, Series 2015 - $194.92 Million	Miami Beach City-Florida	Senior
12/04/2015	Refunding Revenue Bonds, Series 2015 B - $10.25 Million	UCF Stadium Corporation	Senior
12/04/2015	Refunding Revenue Bonds, Series 2015 A - $33.995 Million	UCF Stadium Corporation	Senior
11/05/2015	Turnpike Revenue Ref Bonds, Series 2015 B - $195.875 Million	Florida Dept of Transportation	Senior
10/07/2015	Revenue & Refunding Bonds, Series 2015 B - $258.09 Million	Miami-Dade Co Educational Facs Auth	Senior
10/07/2015	Revenue & Refunding Bonds, Series 2015 A - $403.035 Million	Miami-Dade Co Educational Facs Auth	Senior
08/13/2015	Subordinate Revenue Bonds, 2015 Series B - $153.915 Million	Hillsborough Co Aviation Auth	Senior
08/13/2015	Revenue & Subordinated Rev Bonds, 2015 Series A - $167.8 Million	Hillsborough Co Aviation Auth	Senior
08/12/2015	GO School Bonds, Series 2015 - $96.36 Million	Miami-Dade Co SD	Senior
08/12/2015	GO School Bonds, Series 2015 - $96.36 Million	Miami-Dade Co SD	Senior
08/06/2015	Refunding Revenue Bonds, Series 2015 B - $34.775 Million	UCF Convocation Corp	Senior
08/06/2015	Refunding Revenue Bonds, Series 2015 A - $48.385 Million	UCF Convocation Corp	Senior
07/31/2015	Certificates of Participation, Series 2015 C - $33.565 Million	Miami-Dade Co School Board	Senior
07/23/2015	Utilities Revenue Refunding Bonds, Series 2015 2 - $20.3 Million	Reedy Creek Improvement Dt	Senior
07/21/2015	Ed Incl Athletic Facs Imp Bonds, Series 2015 B - $9.055 Million	Florida St University Fin Assist	Senior
07/21/2015	Ed Incl Athletic Facs Imp Bonds, Series 2015 A & C - $75.495 Million	Florida St University Fin Assist	Senior
06/30/2015	Revenue Bonds, Series 2015 B - $115.77 Million	Florida Municipal Power Agency (FMPA)	Senior
06/11/2015	Ref Certificates of Participation, Series 2015 - $36.225 Million	Collier Co School Board	Senior
06/02/2015	Coastal Account Sr Secured Bonds, Series 2015 A-1 - $50 Million	Florida Citizens Prop Ins Corp	Senior
06/02/2015	Coastal Account Sr Secured Bonds, Series 2015 A-1 - $150 Million	Florida Citizens Prop Ins Corp	Senior
06/02/2015	Coastal Account Sr Secured Bonds, Series 2015 A-1 - $225 Million	Florida Citizens Prop Ins Corp	Senior
06/02/2015	Coastal Account Sr Secured Bonds, Series 2015 A-1 - $275 Million	Florida Citizens Prop Ins Corp	Senior
06/02/2015	Coastal Account Sr Secured Bonds, Series 2015 A-2 - $300 Million	Florida Citizens Prop Ins Corp	Senior
05/28/2015	Health Facs Revenue Ref Bonds, Series 2015 A - $107.29 Million	Tallahassee City-Florida	Senior
05/05/2015	Ref Certificates of Participation, Series 2015 - $28.21 Million	Volusia Co School Board	Senior
03/25/2015	Infra Sales Surtax Rev Ref Bonds, Series 2015 - $33.49 Million	Sarasota Co-Florida	Senior
03/12/2015	Capital Improvement Ref Rev Bonds, Series 2015 - $79.595 Million	Miramar City-Florida	Senior
02/26/2015	Capital Imp & Ref Revenue Bonds, Series 2015 - $42.775 Million	Flagler Co-Florida	Senior
12/17/2015	Homeowner Mortgage Revenue Bonds, 2015 Series 1 - $55 Million	Florida Housing Finance Corp	Co-Manager
12/15/2015	Tax Increment Revenue & Ref Bonds, Series 2015 B - $35.85 Million	Miami Beach Redevelopment Agcy	Co-Manager
12/15/2015	Tax Increment Revenue & Ref Bonds, Series 2015 A - $286.245 Million	Miami Beach Redevelopment Agcy	Co-Manager
12/02/2015	Special Obligation Ref Rev Bonds, Series 2015 B - $21.145 Million	Hialeah-Florida	Co-Manager
12/02/2015	Special Obligation Revenue Bonds, Series 2015 A - $25 Million	Hialeah-Florida	Co-Manager
10/29/2015	Redev Rev & Rev Refunding Bonds, Series 2015 - $49.075 Million	Hollywood Comm Redev Agency	Co-Manager
10/28/2015	Certificates of Participation, Series 2015 C - $62.97 Million	Palm Beach Co School Board	Co-Manager
09/09/2015	Certificates of Participation, Series 2015 B - $121.85 Million	Duval Co School Board	Co-Manager
09/08/2015	Ref Certificates of Participation, Series 2015 B - $32.86 Million	Marion Co School Board	Co-Manager
09/03/2015	Customer Fac Charge Rev Bonds, 2015 Series A - $88.975 Million	Hillsborough Co Aviation Auth	Co-Manager
09/03/2015	Customer Fac Charge Rev Bonds, 2015 Series B - $294.35 Million	Hillsborough Co Aviation Auth	Co-Manager
08/20/2015	Ref Certificates of Participation, Series 2015 A - $100.625 Million	Hillsborough Co SD	Co-Manager
07/30/2015	Certificates of Participation, Series 2015 B - $239.63 Million	Miami-Dade Co School Board	Co-Manager
07/16/2015	Electric System Revenue Bonds, Series Three 2015 B - $9.15 Million	Jacksonville Electric Authority	Co-Manager
07/16/2015	Electric System Revenue Bonds, Series Three 2015 B - $33.205 Million	Jacksonville Electric Authority	Co-Manager
07/08/2015	Airport Revenue Refunding Bonds, Series 2015 - $33.425 Million	Lee Co-Florida	Co-Manager
06/04/2015	General Obligation Bonds, Series 2015 - $76.045 Million	Pembroke Pines City-Florida	Co-Manager
05/07/2015	Refunding Revenue Bonds, Issue 2 Series 27 - $7.155 Million	Jacksonville Electric Authority	Co-Manager
05/07/2015	Refunding Revenue Bonds, Issue 2 Series 26 - $65.97 Million	Jacksonville Electric Authority	Co-Manager
05/06/2015	Ref Certificates of Participation, Series 2012 A - $77.015 Million	Univ of So Florida (USF) Fin Corp	Co-Manager
04/30/2015	Certificates of Participation, Series 2015 D - $221.64 Million	Palm Beach Co School Board	Co-Manager
04/23/2015	Revenue & Revenue Ref Bonds, Series 2015 - $76.69 Million	Tampa City-Florida	Co-Manager
03/31/2015	Ref Certificates of Participation, Series 2015 A - $44.145 Million	Pasco Co School Board	Co-Manager
03/19/2015	Electric System Revenue Bonds, Series Three 2015 A - $83.325 Million	Jacksonville Electric Authority	Co-Manager
03/03/2015	Utility System Ref Rev Bonds, Series 2015 B - $95.975 Million	Tampa Bay Water Auth	Co-Manager
03/03/2015	Utility System Ref Rev Bonds, Series 2015 A - $180.835 Million	Tampa Bay Water Auth	Co-Manager
02/25/2015	Certificates of Participation, Series 2015 D - $114.17 Million	Orange Co School Board	Co-Manager
02/18/2015	Homeowner Mortgage Revenue Bonds, 2015 Series A - $60 Million	Florida Housing Finance Corp	Co-Manager
01/29/2015	Sr Lien Local Gas Tax Rev Bonds, Series 2015 - $97.485 Million	Jacksonville Transportation Auth	Co-Manager
01/21/2015	Ref Certificates of Participation, Series 2015 - $54.845 Million	St Johns Co School Board	Co-Manager

Source: Thompson Reuters; 1/1/2015-11/30/2015; includes transactions for Florida issuers; excludes notes, private placements, and preliminary issues.

8. Provide firm experience for the following in the State of Florida:

BofAML has been a municipal underwriter for over 50 years, and has a vast amount of experience with all types of credits, having served as senior manager in the State of Florida for issues such as those listed below. Additionally, the senior members of BofAML's Port St. Lucie finance team have a combined experience of over 60 years in municipal securities, with a particular focus on Florida issuers, and have specific transaction experience with all of the credits listed below.

	Years Exp	Firm Responsibility
General Obligation Bonds	30+	Senior Manager
Sales Tax	30+	Senior Manager
Public Service Tax	30+	Senior Manager
Covenant to Budget & Appropriate (CB & A)	30+	Senior Manager
Community Redevelopment Agency (CRA) & Tax Increment	30+	Senior Manager
Certificates of Participation (COPs)	30+	Senior Manager
Water and Sewer Utilities	30+	Senior Manager
Special Assessments	30+	Senior Manager

9. Please provide a discussion of the Proposer's marketing strategy and distribution capabilities, including number of offices, retail sales professionals, location of sales professionals and institutional sales professionals. Breakout Florida based professionals. (This is a work document, include below and 1 page only)

National and Florida Retail - BofAML has one of the industry's largest and most extensive wholly-owned retail distribution networks, comprised of 683 domestic branch offices supported by over 16,600 retail brokers. Our retail brokers oversee more than 7 million retail accounts nation-wide with combined client balances of more than $2.3 trillion. ***Within Florida, there are 82 offices staffed with 1,247 retail brokers.*** Due to the importance of municipal bonds to our overall retail network, we have 17 marketing professionals spread across the country in offices located in New York City, Los Angeles, Boston, Chicago, San Francisco, and St. Petersburg, who are dedicated solely to the distribution of new issue and secondary market municipal bonds to our retail brokers nationwide.

BofAML's DISTRIBUTION NETWORK HIGHLIGHTS		
Institutional	**National Retail**	**Florida Retail**
381 institutional sales reps	683 retail offices	82 retail offices
28 offices nationwide	16,605 retail brokers	1,247 retail brokers
22 muni institutional sales reps	7 million retail accounts	585,366 retail accounts
394,000 institutional accounts	$2.3 trillion retail assets	$137.9 billion retail assets
5,200 institutional muni accts	17muni retail sales reps	$16.6 billion muni assets

Institutional - BofAML has the largest institutional sales force dedicated to municipal bonds on Wall Street, with 381 institutional sales representatives located in New York and select regional offices who sell a myriad of investment products and services to over 394,000 institutional accounts nationwide. Our institutional sales force includes 22 salespeople who are exclusively dedicated to selling municipal securities. Our institutional municipal sales force members are located in both New York and Boston, which allows them to coordinate and effectively cover our more than 5,200 of municipal institutional accounts. BofAML's institutional salespeople have relationships with most of the 300 major funds, trust departments, property and casualty insurers, and corporate investors that are active buyers of municipal bonds.

Marketing Strategy -A key component of BofAML's marketing strategy would be to create targeted marketing programs for both the institutional and retail investor bases. In terms of specific investors, BofAML recommends particular strategies to target retail investors – both true "traditional" and "professional" retail, who have been active and substantial participants in recent offerings. Merrill Lynch's retail clients, for example, will be an important target to increase their holdings. BofAML would also leverage our strong relationships with institutional investors, our first-hand experience on recent higher education offerings, as well as an in-depth holder analysis to reach the broadest base of institutional investors possible. In addition to identifying those investors most active in the municipal market generally and in recent BofAML senior managed transactions, our institutional holder analysis would identify current reported holders of:

- **Port St. Lucie's bonds**, who are familiar with the City and whom we would target to expand their existing holdings; and
- **Florida issuers**, who can be targeted to expand their base of in-State investments
- **Specific security type holders**, who are familiar with the type of credits the City typically offers, but do not currently hold the City's debt and who would be looking to diversify their holdings

10. Provide the names of two law firms and the lawyer that may be retained as underwriter's counsel should your firm be selected.

BofAML has established an excellent working relationship with all of the major bond law firms in the State of Florida. If selected as senior managing underwriter, BofAML recommends one of the following firms to serve as underwriter's counsel. BofAML is committed to selecting an underwriter's counsel that is complimentary to the City's team, is value-added and enhances the financing's overall success.

Foley & Lardner LLP	Moskowitz Mandell Salim & Simowitz PA
Mr. Chauncey Lever	Mr. William G. Salim, Jr.
One Independent Dr, Suite 1300 Jacksonville, FL 32202 Phone: 904-359-8774 clever@foley.com	800 Corporate Drive, Suite 500 Fort Lauderdale, FL 33334 Phone: 954-491-2000 wsalim@mmsslaw.com

11. Provide recommendations regarding the use or non-use of bond insurance based on the current market conditions. (This is a word document, please add below and limit to one (1) page)

In the current market, there are three active providers of municipal bond insurance: i) Assured Guaranty Municipal Corp. ("AGM"), which is rated A2 and AA by Moody's and S&P, respectively; ii) Build America Mutual ("BAM"), which is rated AA by S&P; and iii) Municipal Assurance Corp. ("MAC"), which is rated AA by S&P. Both BAM and MAC are newer participants in the bond insurance market, launching in July 2012 and 2013, respectively, but both are licensed to insure bonds in the State of Florida. Given its longer history, AGM currently has the most appeal for municipal investors and thus provides the greatest pricing benefit. Depending on current market conditions and the specific credit the City is issuing under, we estimate that bond insurance could possibly provide 5-10 basis points of benefit to a proposed offerings; however, the overall benefit to the transaction would ultimately depend on the cost associated with insurance. Based on our recent experience with bond insurance in the Florida market, we would expect the bond insurer's proposals to be between 18-20 basis point of total debt service on the bonds. As senior manager, we would monitor potential opportunities to strategically employ credit enhancement leading up to pricing the City's refunding issues. For example, bond insurance may be cost effective on certain bond maturities, but not others. The ultimate yield benefit of insurance will be a function of the breadth and tone of the market as we lead up to pricing. We recommend engaging in conversations with AGM and BAM (and potentially MAC) early in the process to gauge their interest, preliminary range of pricing, and any security covenants and features that would need to be considered. Beyond that, interfacing and information flow with the insurers would

then run parallel with the rating agency process, and the decision to use them could be made at the time of pricing. Having the issue qualified for insurance in the secondary market may improve pricing and liquidity even if the insurance is not purchased by the City.

12. Please provide the firm's Capital Position over the last 3 years in tabular format.

BofAML is one of the best capitalized broker-dealers in the municipal securities industry. Our substantial capital base enables us to price bonds aggressively, maintain liquidity in the secondary market, and underwrite unsold balances – even under the most adverse market conditions. The following table summarizes the Firm's capital position for the past three years, as well as the most recent quarter.

CAPITAL POSITION – LAST THREE YEARS ($MM)

	12/31/12	12/31/13	12/31/14	9/30/15
Total Capital [1,3]	$196,680	$196,567	$209,140	$219,901
Equity Capital [1,3]	155,461	157,742	155,363	161,649
Net Capital [2]	10,336	9,953	9,669	10,125
Excess Net Capital [2]	9,653	9,002	8,371	8,678

(1) Reflects Bank of America Corporation.
(2) Reflects Merrill Lynch, Pierce, Fenner & Smith Incorporated.
(3) On 1/1/14, the Basel 3 rules became effective, subject to transition provisions primarily related to regulatory deductions and adjustments impacting common equity tier 1 capital and Tier 1 capital. We reported under Basel 1 (which included the Market Risk Final Rules) at 12/31/13, 12/31/12, and 12/31/11.

Due to BofAML's large capital position, we are able to support to our municipal issuer clients through the use of our balance sheet. This support is provided through various means, such as on balance sheet bank solutions through our affiliates, as well as underwriting unsold balances in negotiated transactions. The following tables detail recent instances of both types of aforementioned support for Florida issuers.

SELECTED RECENT CAPITAL COMMITMENTS FOR FLORIDA ISSUERS

Issuer	Sale Date	Par Amount	$ Underwritten	% Underwritten
Hillsborough County Aviation Authority, FL	07/22/15	$321,715,000	$16,600,000	5%
School Board of Miami-Dade County, FL	07/14/15	33,565,000	33,565,000	100%
University of Central Florida Convocation Corporation	06/30/15	83,160,000	33,860,000	41%
Utility Board of the City of Key West, FL	10/21/14	40,365,000	17,115,000	42%
School Board of Martin County, FL	09/04/14	29,020,000	12,865,000	44%
City of Homestead, FL	08/14/14	24,255,000	5,700,000	24%

SELECTED RECENT FLORIDA BANK MARKET SOLUTIONS

Sale Date	Issuer	Par	Product	Relevance
Jun 2015	City of Cape Coral	$95MM	Direct Purchase Bank Loan	Structured a complex financing, resulting in partial bank loan refunding with outstanding portion refunded by a concurrent public offering; resulted in over $10MM of NPV savings (11.3%).
Jul 2014	Greater Orlando Aviation Authority	$200MM	Revolving Credit Facility	Additional capacity of $50MM to increase program size and improve pricing from initial May 2013 levels; provides interim funding for airport projects in anticipation of long-term bonds
Feb 2014	School Board of Palm Beach County	$106MM	Direct Purchase Forward Bank Loan	Locked in rates nearly 18 months ahead of the call date; Achieved present value savings of approximately $7.2MM, or over 5.8% of the refunded par amount
Feb 2014	City of Lakeland	$95MM	Direct Purchase FRN	Forward direct-purchase FRN; allows the City to avoid bank liquidity provider costs and remarketing fees while providing favorable spreads to SIFMA
Feb 2014	School Board of Collier County	$165MM	Direct Purchase Bank Loan	Direct purchase to advance refund at a rate of 3.05%; resulted in over $10.7MM of NPV savings (7.1%) and $1.1MM of cash flow savings each year.
Nov 2013	Hillsborough County Aviation Authority	$74MM	Direct Purchase Bank Loan	Direct purchase provided much lower interest rates than a traditional public bond sale while minimizing costs of issuance.
Nov 2013	School Board of Lee County	$165MM	Direct Purchase Bank Loan	Provided the District with much lower interest rates than a public bond sales that resulted in over $1.3MM of NPV savings, or 5.4% of refunded par.
Nov 2013	Reedy Creek Improvement District	$112MM	Direct Purchase Forward Bank Loan	Direct purchase forward refunding; locked in rates eight months prior to closing and achieved over $10.2MM in NPV savings.
April 2013	Charlotte County	$42MM	Direct Purchase Bank Loan	Bank loan used to refinance bonds and terminate the Qualified Hedge Agreement related to such Bonds

13. Discuss and provide specific information concerning the in-house technical support, computer modeling and financing analysis capabilities. (This is a word document, please include below, limit to ½ page

Bank of America Merrill Lynch has unmatched quantitative capabilities through which we strive to ensure that each of our clients realizes the optimal plan of finance, lowest cost financing available, and most efficient financial solutions. These capabilities are managed and developed by our 10-person Municipal Quantitative Group, co-led by our department's two most experienced quantitative experts Spencer Coker and Carlos Montoya. Mr. Coker has been a quantitative specialist for 18 years, and has managed quantitative groups at two other major national underwriting firms prior to joining Bank of America Merrill Lynch. Mr. Montoya also has 18 years of industry experience and has particular expertise in housing, tobacco, and other complex tax-exempt asset securitizations.

The Quantitative Group works closely with our bankers and clients on issues including refunding analysis, debt optimization, debt restructuring, option valuation, escrow restructuring, insurance analysis, and asset-liability management. In addition, this group is responsible for the development of in-house databases and analytics including VBA/Excel add-ins, and training in and implementation of third party analytical software including Excel, DBC, What's Best linear optimization, @Risk, and MatLab. These efforts are supported by a dedicated municipal technology team that, among other things, assists with programming. These enhanced decision-making tools support our clients as they seek the lowest cost of debt and most efficient financing solutions.

14. A description of any litigation or regulatory action filed against the Proposer since January 1, 2012 and the resolution thereof. Identify fully the extent to which your firm or individual partners or employees are the subject of any ongoing municipal securities investigation, litigation, arbitration or subject to a subpoena in connection with such investigation, litigation, or arbitration. (This is a work document, please include below)

In the ordinary course of business, Merrill Lynch, Pierce, Fenner & Smith Incorporated ("MLPF&S") is subject to regulatory examinations, information gathering requests, inquiries, and investigations ("Regulatory Matters") by various federal or state securities regulatory agencies and attorneys general, other local, state, and federal agencies, and self-regulatory organizations (collectively, "Regulators"); and is routinely a defendant in or party to many pending and threatened legal actions and proceedings, including actions brought on behalf of various classes of claimants ("Legal Matters"), which may be based on alleged violations of securities, environmental, employment, and other laws. In connection with formal and informal Regulatory Matters, MLPF&S receives numerous requests, subpoenas and orders for documents, testimony and information in connection with various aspects of its regulated activities, and in some cases regulatory action has been taken against MLPF&S. MLPF&S believes that it has cooperated fully with the Regulators in all such inquiries to date and intends to continue to cooperate fully with the Regulators in all such inquiries involving MLPF&S in the future.

MLPF&S's ultimate parent is Bank of America Corporation ("BAC"). BAC makes all required disclosures in its Annual Report on Form 10-K and Quarterly Report on Form 10-Q, which are updated in its Reports on Form 8-K, all of which are filed with the Securities and Exchange Commission ("Regulatory Filings"). Merrill Lynch & Co., Inc. (the "Corporation"), the former parent of MLPF&S, also made such disclosures until it was merged into BAC on October 1, 2013. MLPF&S makes all required disclosures in its Form BD and ADV Filings ("Form BD and ADV Filings") with the Financial Industry Regulatory Authority ("FINRA"). Those Regulatory Filings and Form BD and ADV Filings include disclosures of Regulatory Matters and Legal Matters as required by federal law and applicable regulations, and are publicly available. BAC cannot confirm or deny the existence of any other, non-public Regulatory Matters conducted by any Regulator or Legal Matters unless required to do so by law. The Regulatory Filings are publicly available on the SEC's website at www.sec.gov. The Form BD Filings are publicly available on the FINRA BrokerCheck system at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/index.htm. The Form ADV filings are publicly

available on the SEC's Investment Adviser Search website at: http://www.adviserinfo.sec.gov/(S(cerr0u55hmrw5a45022y3vnz))/IAPD/Content/Search/iapd_Search.aspx.

Regulatory Filings that include information relating to Regulatory Matters or Legal Matters involving the municipal markets business of MLPF&S since January 1, 2009 can be accessed as follows and are incorporated herein by reference: the Corporation's 2012 Annual Report filed on March 1, 2013 (page 116); Quarterly Report on Form 10-Q filed on May 10, 2013 (page 76); 2011 Annual Report filed on February 24, 2012 (pages 132 and 133); 2010 Annual Report filed on March 1, 2011 (pages 137, 138 and 145); 2009 Annual Report filed on March 11, 2010 (pages 121, 122, 127 and 128); and these can be found at http://ir.ml.com/phoenix.zhtml?c=93516&p=irol-sec [Word Document Version] and BAC's 2012 Annual Report filed on February 28, 2013 (page 230); Quarterly Report on Form 10-Q filed on May 7, 2013 (page 201); 2011 Annual Report filed on February 23, 2012 (pages 223 and 224); 2010 Annual Report filed on February 25, 2011 (pages 197 and 204); 2009 Annual Report filed on February 26, 2010 (pages 161 and 169); and these can be found at http://investor.bankofamerica.com/phoenix.zhtml?c=71595&p=irol-sec [Word Document Version]. The Form BD and ADV Filings also include information relating to Regulatory Matters involving the municipal markets business of MLPF&S.

Please note that MLPF&S's principals, as identified on Schedule A of its Form BD, make required disclosures in their Form U-4, which are filed with FINRA pursuant to a directive from the SEC. These Form U-4 filings are publicly available on the FINRA BrokerCheck system at

http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/index.htm.

Please let us know if you need any additional information.

15. Using the Reference Form submit three (3) references form the last three years from local governments in the State of Florida for which the firm provided underwriting services

Please see the reference form following the Electronic Questionnaire.

professionals. Breakout Florida based professionals. (This is a work document, include below and 1 page only)

10. Provide the names of two law firms and the lawyer that may be retained as underwriter's counsel should your firm be selected.

11. Provide recommendations regarding the use or non-use of bond insurance based on the current market conditions. (This is a word document, please add below and limit to one (1) page)

12. Please provide the firm's Capital Position over the last 3 years in tabular format.

13. Discuss and provide specific information concerning the in-house technical support, computer modeling and financing analysis capabilities. (This is a word document, please include below, limit to ½ page

14. A description of any litigation or regulatory action filed against the Proposer since January 1, 2012 and the resolution thereof. Identify fully the extent to which your firm or individual partners or employees are the subject of any ongoing municipal securities investigation, litigation, arbitration or subject to a subpoena in connection with such investigation, litigation, or arbitration. (This is a work document, please include below)

15. Using the Reference Form submit three (3) references form the last three years from local governments in the State of Florida for which the firm provided underwriting services

ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued

CERTIFICATION:

This E-RFQ is submitted by: Name (print) Jose R. Pagan who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFQ is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFQ, and to accept the City's Contract and Terms and Condition in its entirety

I agree to abide by all conditions of this E-RFQ.:

Jose R Pagan

Signature

Managing Director

Title

Form **W-9**
(Rev. December 2014)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Merrill Lynch, Pierce, Fenner & Smith Incorporated

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC ☒ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☐ Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any) 5, 6, 11
Exemption from FATCA reporting code (if any) E, F, K
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
150 N. College St., NC1-028-17-06

Requester's name and address (optional)

6 City, state, and ZIP code
Charlotte, NC 28255

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number

or

Employer identification number
13-5674085

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ [signature] Date ▶ 11/2/2015

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at *www.irs.gov/fw9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income; and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 11/30/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
Marsh USA Inc.
100 North Tryon Street, Suite 3600
Charlotte, NC 28202

055943-A.-II.-15-16 DATED CASUA

CONTACT NAME:
PHONE (A/C, No, Ext): **FAX (A/C, No):**
E-MAIL ADDRESS:

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : Old Republic Insurance Co	24147
INSURER B : N/A	N/A
INSURER C : (See Page 2)	
INSURER D :	
INSURER E :	
INSURER F :	

INSURED
Bank of America Corporation
and any and all subsidiaries
Mail Code: NC1-028-16-01
One Bank of America Center
150 North College Street
Charlotte, NC 28255-0001

COVERAGES **CERTIFICATE NUMBER:** ATL-003472449-02 **REVISION NUMBER:** 4

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	X COMMERCIAL GENERAL LIABILITY			MWZY305315	08/01/2015	08/01/2016	EACH OCCURRENCE	$ 2,000,000
	CLAIMS-MADE [X] OCCUR			* This coverage applies only if required by written contract.			DAMAGE TO RENTED PREMISES (Ea occurrence)	$ Excluded
							MED EXP (Any one person)	$ Excluded
							PERSONAL & ADV INJURY	$ 2,000,000*
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	$ 2,000,000
	X POLICY [ ] PRO-JECT [ ] LOC						PRODUCTS - COMP/OP AGG	$ 2,000,000*
	OTHER:							$
A	AUTOMOBILE LIABILITY			MWTB305234	08/01/2015	08/01/2016	COMBINED SINGLE LIMIT (Ea accident)	$ 2,000,000
	X ANY AUTO						BODILY INJURY (Per person)	$
	ALL OWNED AUTOS / SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	HIRED AUTOS / NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	$
								$
	UMBRELLA LIAB / OCCUR						EACH OCCURRENCE	$
	EXCESS LIAB / CLAIMS-MADE						AGGREGATE	$
	DED / RETENTION $							$
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N			See Page 2	08/01/2015	08/01/2016	X PER STATUTE / OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? [N] N/A (Mandatory in NH)						E.L. EACH ACCIDENT	$ 1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	$ 1,000,000
							E.L. DISEASE - POLICY LIMIT	$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Re: City of Prot St. Lucie Contract # 20160020

The City of Port St. Lucie is/are additional insured under General Liability as their interest may appear, if required by written contract with the named insured.

CERTIFICATE HOLDER

City of Port St. Lucie
Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE
of Marsh USA Inc.
Marilyn F Burns

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AGENCY CUSTOMER ID: 055943
LOC #: Charlotte

ADDITIONAL REMARKS SCHEDULE

AGENCY	NAMED INSURED
Marsh USA Inc.	Bank of America Corporation and any and all subsidiaries Mail Code: NC1-028-16-01 One Bank of America Center 150 North College Street Charlotte, NC 28255-0001
POLICY NUMBER	
CARRIER / NAIC CODE	EFFECTIVE DATE:

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,
FORM NUMBER: 25 FORM TITLE: Certificate of Liability Insurance

The General Liability limit shall not exceed the amount stated in the contract between Bank of America Corporation and the certificate holder.
The certificate holder is an additional insured under General Liability as their interest may appear, but only if required by written contract with the named insured.

Workers Compensation and Employers Liability (continued from page 1)
Carriers and Policy Numbers:

Policy # RWD943546101 (08/01/2015 - 08/01/2016) XL Insurance America
Including the following state(s): AL AR AZ CA CO CT DC DE FL GA HI IA ID IL IN KS KY LA MA MD ME MI MN MO MS MT NC ND NE NH NJ NM NV NY OK OR PA RI SC SD TN TX UT VA VT WV WY

Policy #RWR943546201 (08/01/2015 - 08/01/2016) XL Insurance America
Including the following state(s): WI

Policy #RWD943546001 (08/01/2015 - 08/01/2016) XL Specialty Insurance Company
Including the following state(s): AK

Policy No. #RWE943546301 (08/01/2015 - 08/01/2016) XL Specialty Insurance Company
Including the following state(s): OH WA
This policy is subject to a Self-Insured Retention of $1,000,000 per accident.

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THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED – OWNERS, LESSEES OR CONTRACTORS – SCHEDULED PERSON OR ORGANIZATION

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s)	Location(s) Of Covered Operations
All persons or organizations as required by contract or agreement.	The locations as specified in the written contracts or agreements.
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.	

A. **Section II – Who Is An Insured** is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:

1. Your acts or omissions; or
2. The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured(s) at the location(s) designated above.

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to "bodily injury" or "property damage" occurring after:

1. All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
2. That portion of "your work" out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.

© Insurance Services Office, Inc., 2012

C. With respect to the insurance afforded to these additional insureds, the following is added to **Section III – Limits Of Insurance:**

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or
2. Available under the applicable Limits of Insurance shown in the Declarations;

whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

© Insurance Services Office, Inc., 2012

Response to St. Lucie E-RFQ #120160020

Bank of America reserves the right to obtain all insurance required under this contract through a program of self-insurance.

Bank of America cannot guarantee the requested insurance is maintained throughout the life of the contract, but intends to continue to purchase as long as it is commercially available and economically attractive. Although it is Bank of America's intent to renew all coverages as required in this contract, the Bank reserves the right to self insure any lines of coverage.

Due to the size of Bank of America, we cannot provide individual additional insured endorsements or riders. The Certificate of Insurance will evidence the City of Port St. Lucie as additional insured as required by written contract.

Bank of America maintains Workers' Compensation coverage in compliance with all statutory requirements. Bank of America maintains Employer's Liability Coverage with limits of $1,000,000 per occurrence/$1,000,000 each accident or disease/$1,000,000 aggregate.

Bank of America maintains Commercial General Liability coverage including Personal & Advertising Injury, Products & Completed Operations and Contractual Liability with limits of $2,000,000 per occurrence/$2,000,000 aggregate.

Bank of America's insurance policies are primary and non contributory to the Port of St. Lucie insurance policies only as it relates to the bank's own negligence arising out of the bank's performance of services and rights and obligations under this agreement.

Due to the size of Bank of America, we cannot provide individual additional insured endorsements or riders. The Certificate of Insurance will evidence the City of Port St. Lucie as additional insured as required by written contract.

Subrogation will be waived except with respect to Workers' Compensation insurance.

Bank of America's insurance includes its own Cross Liability clause.

Bank of America maintains Automobile Liability insurance with a limit of $2,000,000 per accident.

Bank of America maintains Professional Liability (Errors & Omissions) insurance on a claims-made basis providing coverage for loss or damage due to an act, error, omission, or negligence of Bank of America employees. Bank of America can comply with the limits request for Professional Liability.

Bank of America's insurance policies are written annually, thus eliminating the need to obtain an extended reporting provision to include run-off coverage or retroactive dates. Although it is Bank of America's intent to renew all coverages as required in this contract, the Bank reserves the right to self insure any lines of coverage.

Bank of America's General Liability and Auto Liability include a blanket additional insured provision for any entity required by contract or agreement to be an additional insured. Due to the size of Bank of America, we cannot provide individual additional insured endorsements or riders. The Certificate of Insurance will evidence the City of Port St. Lucie, a municipality of the State of Florida, its officers and shall include Contract # 20160020-Underwriting serves as additional insured as required by written contract.

Due to the volume of Bank of America's business and the structure of its corporate policies, Bank of America will endeavor to provide thirty (30) days written notice upon termination or modification of coverage. Failure to provide notice of cancellation of coverage shall impose no obligation or liability of any kind upon Bank of America, its agents or representatives.

Any Bank of America line of business requiring subcontractors is responsible for ensuring all subcontractors carry coverage which is compliant with the requirements of this contract.

Bank of America can evidence an additional ($) in Umbrella/Excess coverage in order to satisfy the minimum requirements under this RFP.

Bank of America will furnish certificates of insurance evidencing the coverage referenced herein.

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent: Bank of America Merrill Lynch
Reference: City of Miami Beach, Florida Fax #: 786-394-4397
Email: johnwoodruff@miamibeachfl.gov Telephone #: 305-673-7000
Person to contact: John Woodruff

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent: Bank of America Merrill Lynch
Reference: City of Hollywood, Florida Fax #: (954) 921-3064
Email: mlalla@hollywoodfl.org Telephone #: (954) 921-3231
Person to contact: Matthew Lalla

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent: Bank of America Merrill Lynch
Reference: City of Miramar, Florida Fax #: (954) 602-4469
Email: cdlucia@ci.miramar.fl.us Telephone #: (954) 602-3049
Person to contact: Celeste D. Lucia

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

DRUG-FREE WORKPLACE FORM

E-RFQ #20160020

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Bank of America Merrill Lynch does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

11/30/15
Date

Bank of America

Drug Free Workplace and Alcohol Policy

Bank of America strives to provide a safe, productive environment for its associates and customers. This includes a safe workplace, free of the problems associated with use and abuse of illegal drugs and unauthorized use of alcohol. Substance abuse subjects the company to unacceptable risks of workplace accidents, errors or other failures that would undermine the company's ability to operate effectively and efficiently. Therefore, to maintain a drug-free workplace, the presence or use of illegal drugs or unauthorized alcohol use on company premises is not tolerated.
Bank of America strives to raise awareness about the dangers of substance abuse, to prevent any adverse consequences associated with substance abuse and to provide resources for treatment of abuse. Associates with substance abuse problems, including alcohol, are encouraged to seek assistance before it affects their performance or the performance of others.

Basic Policy
The use or possession (including, for the purposes of this policy, the sale, purchase, transfer, transport, manufacture, distribution or dispensation) of illegal drugs by anyone on work time, on company premises, at work sites where associates may be assigned, while conducting company business or in a company vehicle is strictly prohibited. **Illegal drug** includes any substance that is not legally obtainable, any substance that may be legally available but has been illegally obtained or any substance that is being used in a manner or for a purpose other than as prescribed.

Also prohibited is the use or possession of illegal drugs on nonworking time if use or possession could:

- Affect an associate's safety on the job or ability to perform his or her job
- Interfere with job performance or safety of others
- Affect the reputation of the company with the general public or threaten its integrity

Associates who engage in any prohibited conduct or who otherwise violate this policy (or are reasonably suspected of violating this policy) are subject to disciplinary action up to and including termination, in accordance with applicable law.

The company also does not allow unauthorized use of alcoholic beverages on company premises. Use of alcoholic beverages on company premises may be approved by the appropriate level officer only for entertainment of clients or special business-related events. However, when approved alcohol is consumed, an associate must still behave in a professional manner and maintain conduct appropriate for the workplace.

The company may take disciplinary action up to and including termination, in accordance with applicable law in any of the following situations:

- If the use of any substance, including alcohol, affects or could affect an associate's job performance or safety, or is reasonably suspected of doing so, including while driving a company vehicle or while driving on company business, and/or
- If the use of any substance, including alcohol, interferes or could interfere with the job performance or safety of others, or is reasonably suspected of doing so

Also, when physician-prescribed drugs adversely affect an associate's job performance or safety, or the job performance or safety of others, it may be in the best interest of the associate, co-workers or the company that time off or other job arrangements be made, if possible. The company reserves the right to take any action deemed necessary to protect the safety and well-being of company associates, customers and others, in accordance with applicable law.

Associate assistance
The Employee Assistance Program (EAP) is designed to help associates who have personal problems that may affect their well-being and job performance. EAP provides confidential, short-term counseling for any personal issue, including marital or family conflict, stress or coping with grief after the loss of someone close. For more information, an associate or eligible family member may contact the EAP by calling **1.877.444.1012**. Also refer to EAP & LifeCare for more information.

Testing and inspections
As part of the company's commitment to provide a drug-free workplace, job applicants and associates may be subject to drug and alcohol testing, as well as inspections, searches and other actions deemed necessary to prevent the use of illegal drugs, misuse of legal substances or the unauthorized use of alcohol. Drug and alcohol testing will be conducted using scientifically valid methods and procedures permitted by applicable state and federal laws. Failure to cooperate with the company's policy and/or its drug and alcohol testing program is grounds for disciplinary action up to and including termination or, in the case of job applicants, ineligibility for hire.

Notification of convictions
An associate must report any conviction of a drug-related offense to management no later than five days after the conviction. Failure to notify your management may result in disciplinary action up to and including termination.

CHECKLIST

E-RFQ #20160020

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

__X__ Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFQ #20160020, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, „ three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

__X__ All questions on the questionnaire are complete and thoroughly answered

__X__ Each Bid Addendum (when issued) is acknowledged

__X__ Certified Minority Business Certificate if Applicable

__X__ W9 Form

__X__ Current Certificate of Insurance

__X__ Three (3) Reference Forms from local Florida governments

__X__ Drug Free Workplace Form

__X__ Have reviewed the Contract and accept all City Terms and Conditions

__X__ Checklist

TRUTH-IN-NEGOTIATION CERTIFICATE AND AFFIDAVIT

E-RFQ #20160020
Underwriting Services

STATE OF FLORIDA §
COUNTY OF ST. LUCIE §

Before me, the undersigned authority, personally appeared affiant Jose R. Pagan who being first duly sworn, deposes and says:

1. That the undersigned firm is furnishing this Truth in Negotiation Certificate pursuant to Section 287.055(5)(a) of the Florida Statutes for the undersigned firm to receive an agreement for professional services with the City of Port St. Lucie, St. Lucie County, Florida.

2. That the undersigned firm is a corporation which engages in furnishing professional Consulting services and is entering into an agreement with the City of Port St. Lucie, St. Lucie County, Florida to provide professional services for a project known as **#20160020, Underwriting Services.**

3. That the undersigned firm has furnished the City of Port St. Lucie, St. Lucie County, Florida a detailed analysis of the cost of the professional services required for the project.

4. That the wage rate information and other factual unit cost, which the undersigned firm furnished, were accurate, complete and current at the time the undersigned firm and the City of Port St. Lucie entered into the agreement for professional services on the project.

5. That the agreement which the undersigned firm and the City of Port St. Lucie entered into on this job contained a provision that the original agreement price and any additions thereto shall be adjusted to exclude any significant sums by which the City of Port St. Lucie determines the agreement price was increased due to inaccurate, incomplete or non-current wage rates or other factual unit cost and that all such agreement adjustments shall be made within one (1) year following the end of the agreement.

FURTHER AFFIANT SAYETH NAUGHT

Merrill Lynch, Pierce, Fenner & Smith Incorporated
Name of Firm

By: Jose R. Pagan Managing Director

The foregoing instrument was acknowledged before me by Jose R. Pagan

who has produced as identification or is personally known to me.

WITNESS my hand and official seal in the State of County last aforesaid this 30th day of November 2015

(SEAL)

Nery Espinosa
Signature

NERY ESPINOSA
Notary Public, State of Florida
Commission # EE 224636
My comm. expires Oct. 2, 2016

Nery Espinosa
Notary Name (typed or printed)

Executive Assistant
Title or Rank

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of.....)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 20__.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

"A City for All Ages"

CITY OF PORT ST. LUCIE

Electronic Request For Qualifications #20160020
(E-RFQ)

Underwriting Services

Prepared By:
Cheryl Shanaberger
Procurement Management Department
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984-5099
772-871-7390
Cheryls@cityofpsl.com

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INVITATION TO E-BID

This is an Electronic Request for Qualifications (RFQ) #20160020. The City of Port St. Lucie (City) requests proposals from firms to serve as Underwriter(s) to assist the City in possible future financings. The intent of this RFQ is to establish a pool of firms that the City will use as a pre-qualification list to select from and negotiate for each potential financing. A Request for Qualfications will be issued to the firms selected by the RFQ for each potential financing. The services solicited through this Request for Qualifications envisions a five-year contract term with selected Underwriting Service firms with a renewal option as per Section III.

Proposals for the project will be received by the City of Port St. Lucie, via Demandstar, in the Procurement Management Department, no later than **, 2015 at 2:00:00 P.M. EST.**

All submittals must be received by the date and time specified above, when they will be opened and the names publicly read aloud. The proposal time must be scrupulously observed. Under no circumstances shall submittals uploaded to Demandstar.com after the time specified be accepted or considered. It is the sole responsibility of the Proposer to ensure that his or her submittal is uploaded on or before the closing date and time. The City shall not be be responsible for delays caused by any power outages or internet failures. No exceptions will be made.

Electronic replies will be the **only** method allowed for Bidders to respond to this solicitation. All submittals must be compatible with Microsoft Office 2013. All must be contained in one (1) files, and in the order specified under title **SUBMITTAL OF E-RFQ subpart D & E.** E-Bidding will be done through a secure locked box. Bidders can only view/submit their E-RFQ and will not have access to any other Bidder's submittals. The Bidder's E-RFQ may be changed at the Bidder's discretion until the due date and time have been reached at which time the Bidder will no longer change or have access to the electronic bid submittal. The City will then open the E-RFQs. Bidders who are E-Bidding for the first time are strongly encouraged to contact Demandstar at (800) 711-1712 or obtain assistance by e-mailing questions to supplierservices@onvia.com.

All questions related to the Request for Qualifications must be directed to Cheryl Shanaberger in the Procurement Management Department. She can be reached at (772) 871-7390. Questions shall be submitted in writing, at least **five (5)** days prior to the RFQ opening, if possible. Questions may be emailed to Cheryls@cityofpsl.com. To ensure fair consideration for all Proposers, it must be clearly understood that Ms. Shanaberger is the only individual who is authorized to represent the City. Questions submitted to any other person in any other department will not be addressed. Additionally, the City prohibits communications initiated by a Proposer to **any** City Official or employee evaluating or considering the Request for Qualificationss **(up to and including the Mayor and City Council),** from the request of solicitation of the proposal to the time an award decision has been made, and a Contract has been executed.

The City of Port St. Lucie reserves the right to reject any and all bids, to waive any and all informalities or irregularities, and to accept or reject all or any part of any bid as it may deem to be in the best interest of the citizens of the City.

For the purpose of this bid, the term Bidder, E-Bidder, Proposer and Consultant may be used interchangeably.

Documents required for this E-RFQ: Completed Questionnaire, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, Reference Forms, Drug Free Workplace Form, and Checklist.

SUBMITTAL OF E-RFQ

All proposals shall be submitted by completing and returning the Questionnaire. The Questionnaire should be typed or printed and signed in black ink. All submittals are required to be electronic via DemandStar and be contained in one (1) files. **No** hard copies will be accepted.

A. Request Bid Specifications, #20160020 from Onvia, via phone 800-711-1712 or via internet www.cityofpsl.com

B. Download the Questionnaire and save to your hard drive, program is in Word 2013 Professional. Enter information requested on the Questionnaire.

C. Electronically sign the Questionnaire where indicated.

D. Upload Documents in one (1) pdf file and in this order; Questionnaire for E-RFQ #120160020, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, three (3) Reference Forms, Drug Free Workplace form and Checklist onto DemandStar by the due date and time.

E. Enter Zero on the web page for cost or you will receive an error message and your submittal will be denied.

F. The submittal button at the bottom of the page must be selected to complete the Bid response.

***** Only electronic replies are required. No hard copies will be accepted*****
Please try to limit each file submittal to no more than 1.5 mb

NOTE: The City may not accept proposals from firms, that have had adversarial relationships with the City or firms that have represented entities that have had adversarial relationships with the City. This includes the firm, employees and financial or legal interests.

The City will not enter into a contract or conduct business with any firm or any personnel that is listed on the Federal, State, or other local government agencies' Excluded Parties List, Suspended List or Debarment List.

TENTATIVE SCHEDULE

REVIEW AND SELECTION PROCESS

Advertisement with Onvia DemandStar	November 6 , 2015
Proposal Due	December 1, 2015 @ 2:00:00 p.m. EST
Evaluation Committee*	December 10, 2015 @ 1:00 p.m. EST
City Council Contract Approval	January 11, 2016

* Committee will meet in the Conference Room (390) in the Procurement Management Department at 1:00 p.m.

EVALUATION AND AWARD

CRITERION	*MAXIMUM SCORE*
A. Understanding of the work to be performed (Question 3)	15
B. Staff Experience and Qualifications(Question 4)	25
C. Firm Experience and Qualifications (Question 6,7, & 8)	25
D. Ability to demonstrate the firm's marketing and distribution capabilities and meet the requirements of the City with future financings. (Question 9)	20
E. Other determining Factors (Question 10,11,12,13 & 14)	10
F. Client references	5
Maximum Points	**100**

The City's Procurement Management Department reserves the right to request any additional information needed for clarification from any proposer for evaluation purposes.

SPECIAL REQUIREMENTS

Collusion - The City reserves the right to disqualify E-RFQs, upon evidence of collusion with intent to defraud or other illegal practices upon the part of the Proposer. More than one (1) E-RFQ from an individual, partnership, corporation, association, firm, or other legal entity under the same or different names will not be considered. Reasonable grounds for believing that a Proposer has an interest in more than one (1) E-RFQ for the same work will be cause for rejection of all E-RFQs in which such Proposers are believed to have an interest. Any or all E-RFQs will be rejected if there is any reason to believe that collusion exists among the Proposers.

Public Entity Statement - Section 287.133 of the Florida Statutes places the following restrictions on the ability of persons convicted of public entity crimes to transact business with the City:

"A person or affiliate who has been placed on the convicted vendor list following a conviction for a public entity may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction or repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity in excess of the threshold amount provided in s. 287.017 for CATEGORY TWO for a period of thirty-six (36) months following the date of being placed on the convicted vendor list." § 287.133(2) (a), Fla. Stat. (2010)

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"SAMPLE"
CITY OF PORT SAINT LUCIE
CONTRACT #20160020

This CONTRACT, executed this ____ day of _____, 20__ by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, , a Florida Corporation, Telephone No. Fax No. , hereinafter called "Consultant", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Consultant has agreed to perform pursuant to E-RFQ #20160020, UNDERWRITING SERVICES, in accordance with the RFQ, Contract documents and all addenda.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email (with receipt confirmed), or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 7390 Fax 772 871 7337
Email: Cherylsl@cityofpsl.com

City Project Manager: Edwin M. Fry, Jr.
Finance Director/City Treasurer
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 5191
Email: efry@cityofpsl.com

Scope of Professional Services

The Underwriter(s) will assist the City in the structuring, marketing and sale of negotiated sales of bonds to meet the financing requirements of the specific financing. Services expected of the selected Underwriter(s) will include, but not be limited to, the following:

1. Assist in the development, planning, and structuring of the City's bond issues.

2. Assist in the review and preparation of legal documents to result in a completed financing that is in the best interests of the City.

3. Marketing and underwriting of the bonds. The City will expect selected Underwriter(s) to recommend a marketing plan that result in the most favorable bond terms to the City, and to prepare a pre-pricing analysis document for timely discussion prior to the bond sale. A marketing plan would address current outstanding market conditions. The marketing plan would also address the merits of retail versus institutional sale, given market preferences at the time of sale.

4. Analyze and advise as to the security structure for bonds, including credit enhancement, and ratings, depending on the financing.

5. Assist in preparation of the Official Statement, Continuing Disclosure Agreement and other necessary bond disclosure documents.

6. Participate in meetings as requested, including due diligence and presentations to the City.

SECTION II
TIME OF PERFORMANCE

Contract period shall start _____, 20__, and terminate _____, 20____, an initial period of five (5) years with a renewal as per Section III. Each individual financing awarded from this RFQ will enter into a separate written contract that will define the time of performance relative to the RFP. In the event all work required in the proposal specifications has not been completed by the specified date, the Consultant agrees to provide work, at no additional cost to the City as authorized by the Contract Supervisor until all work specified in the proposal specifications has been rendered.

SECTION III
RENEWAL OPTION

The City may renew this Contract to provide the identical services for one (1) additional five (5) year term, if the City agrees that said services are required, then the City, without additional bidding, negotiation or City Council approval may extend this Contract.

NOTE: Consultant may exercise the option to renew by submitting a written submission three (3) months prior to the termination of the Contract period.

SECTION IV
COMPENSATION
Fee Structure will be negotiated with selected firm from each individual RFP.

The total amount to be paid by the City to the Consultant will be based on the fees as provided with each Request for Qualifications per financial issue. The City will pay to the Consultant a one-time $10.00 indemnification fee that will indemnify the City for all proposals awarded from this RFQ. The City will not pay for out-of-pocket expenses including, but not limited to, office & utilities, sub consultants fees, travel or any reimbursable expense. There will be no additional amount paid for reimbursable expenses.

The Consultant shall not be paid additional compensation for any loss or damage, arising out of the nature of the work, from the action of the elements, or from any delay or unforeseen obstruction or difficulties encountered in the performance of the work, or for any expenses incurred by or in consequence of the suspension or discontinuance of the work.

Fixed fee is to include all reimbursables, including travel, meals, copies and so forth.

SECTION V
CONFORMANCE WITH PROPOSAL

It is understood that the materials and/or work required herein are in accordance with the proposal made by the Consultant pursuant to the Request for Qualifications and Specifications on file in the Procurement Management Department of the City. All documents submitted by the Consultant in relation to said proposal, and all documents promulgated by the City for inviting proposals are, by reference, made a part hereof as if set forth herein in full.

SECTION VI
INDEMNIFICATION/INSURANCE

The Consultant agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Consultant and persons employed or utilized by the Consultant in the performance of the construction contract. As consideration for this indemnity provision the Consultant shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work. This indemnification shall survive the termination of this Contract. Nothing contained in this paragraph is intended to nor shall it constitute a waiver of the City's sovereign immunity.

The Consultant shall, on a primary basis and at its sole expense, agree to maintain in full force and effect at all times during the life of this Contract, insurance coverage, limits, including endorsements, as described herein. The requirements contained herein, as well as City's review or acceptance of insurance maintained by Consultant are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by Consultant under the Contract.

The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to

any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

The Consultant shall agree to maintain Workers' Compensation Insurance & Employers' Liability in accordance with Section 440, Florida Statutes. Employers' Liability and must include limits of at least $100,000.00 each accident, $100,000.00 each disease/employee, $500,000.00 each disease/maximum. A Waiver of Subrogation endorsement shall be provided. Coverage shall apply on a primary basis. Should scope of work performed by Consultant qualify its employee for benefits under Federal Workers' Compensation Statute (example, U.S. Longshore & Harbor Workers Act or Merchant Marine Act), proof of appropriate Federal Act coverage must be provided.

Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
Personal/advertising injury	$1,000,000
Products/completed operations aggregate	$2,000,000
General aggregate	$2,000,000
Fire damage	$100,000 any 1 fire
Medical expense	$10,000 any 1 person

An Additional Insured endorsement **must** be attached to the certificate of insurance and must include coverage for Completed Operations (should be ISO CG20101185 or CG20370704 & CG20100704) under the General Liability policy. Products & Completed Operations coverage to be provided for a minimum of five (5) years from the date of possession by City or completion of contract. Coverage is to be written on an occurrence form basis and shall apply as primary. A per project aggregate limit endorsement should be attached. Defense costs are to be in addition to the limit of liability. A waiver of subrogation shall be provided in favor of the City. Coverage for the hazards of explosion, collapse and underground property damage (XCU) must also be included when applicable to the work performed. Coverage shall extend to independent Consultants and fellow employees. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interest's provision as provided under the standard ISO form separation of insurer's clause.

The Consultant shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Consultant does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Consultant to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation shall be provided. Coverage shall apply on a primary basis.

Consultant shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Consultant's most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Consultant warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date

advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this Contract, Consultant shall agree to purchase a SERP with a minimum reporting period not less than three (3) years. If policy contains an exclusion for dishonest or criminal acts, defense coverage for the same shall be provided.

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read **"City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents and shall include Contract # 20160020-Underwriting Services"**. The Certificate of Insurance and policy shall be specifically endorsed to provide thirty (30) day written notice to the City prior to any adverse changes, cancellation, or non-renewal of coverage thereunder. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Consultant shall be required, upon thirty (30) days written notice by the City, to provide coverage at least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage shall be attached to the Certificate of Insurance. All independent Consultants and sub consultants utilized in this project shall furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

The Consultant shall agree by entering into this Contract to a Waiver of Subrogation for each required policy. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Consultant shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Consultant enter into such a Contract on a pre-loss basis.

It shall be the responsibility of the Consultant to ensure that all subconsultants comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Consultant for any and all claims under this Contract.

The Consultant may satisfy the minimum limits required above for either Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for either Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability is written on Non-Follow Form," the City shall be endorsed as an "Additional Insured."

The City, by and through its Risk Management Department, reserves the right to, but not obligation, to review and reject any insurer providing coverage.

A failure on the part of the Consultant to execute the contract and/or punctually deliver the required insurance certificates and other documentation may be cause for annulment of the award.

SECTION VII

PROHIBITION AGAINST FILING OR MAINTAINING LIENS AND SUITS

Subject to the laws of the State of Florida and of the United States, neither Consultant nor any sub consultants, supplier of materials, laborer or other person shall file or maintain any lien for labor or materials delivered in the performance of this Contract against the City. The right to maintain such lien for any or all of the above parties is hereby expressly waived.

SECTION VIII
WORK CHANGES

Not Applicable

SECTION IX
COMPLIANCE WITH LAWS

The Consultant shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Consultant will comply with all requirements of 28 C.F.R. § 35.151. Consultants and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Consultant and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Consultant in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

SECTION X
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFQ herein referenced, the terms of this Contract and RFQ herein referenced shall apply.

SECTION XI
LICENSING

Consultant warrants that he possesses all licenses and certificates necessary to perform the required work and is not in violation of any laws. Consultant warrants that his license and certificates are current and will be maintained throughout the duration of the Contract.

SECTION XII
SAFETY PRECAUTIONS

Precaution shall be exercised at all times for the protection of persons, including employees, and property. All plans and construction must be ADA compliant. The safety provisions of all applicable laws and building and construction codes shall be observed. The selected Consultant will submit all proposals in compliance with the 28 C.F.R. § 35.151, and the ADA Settlement Agreement. Where ADA and Florida Building Codes conflict the most stringent applies or ADA supersedes.

SECTION XIII
ASSIGNMENT

Consultant shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

SECTION XIV
TERMINATION

If the Consultant refuses or fails to prosecute the work with such diligence as will insure its completion within the time specified in this Contract, or as may be modified in accordance with this Contract, the City by written notice to the Consultant, may terminate Consultant's rights to proceed. On such termination, the City may take over the work and prosecute the same to completion, by contract or otherwise, and the Consultant and his sureties shall be liable, jointly and severally to the City for any additional cost incurred by it in its completion of the work.

The City may terminate this Contract with or without cause by giving the Consultant thirty (30) days' notice in writing. Upon delivery of said notice the Consultant shall discontinue all services in connection with the performance of this Contract and shall proceed to promptly cancel all related existing third party contracts. Termination of the Contract by the City pursuant to this paragraph shall terminate all of the City's obligations hereunder. In the event of termination.

SECTION XV
LAW AND VENUE

This Contract is to be construed as though made in and to be performed in the State of Florida and is to be governed by the laws of Florida in all respects without reference to the laws of any other state or nation. The venue of any action taken pursuant to this Contract shall be in St. Lucie County, Florida.

SECTION XVI
APPROPRIATION APPROVAL

The Consultant acknowledges that the City of Port St Lucie's performance and obligation to pay under this Contract is contingent upon an annual appropriation by the City Council. The Consultant agrees that, in the event such appropriation is not forthcoming, this Contract may be terminated by the City and that no charges, penalties or other costs shall be assessed.

SECTION XVII
ENTIRE AGREEMENT

The written terms and provisions of this Contract shall supersede any and all prior verbal or written statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this Contract or Contract documents.

SECTION XVIII

TRUTH-IN-NEGOTIATIONS

In accordance with the provisions of Section 287.055, Florida Statutes, the Consultant agrees to execute a truth-in-negotiations certificate and agrees that the original Contract price and any additions may be adjusted to exclude any significant sums by which the Contract price was increased due to inaccurate, incomplete or non-current wage rates and other factual unit costs.

SECTION XIX
CONFLICT OF INTEREST

The City hereby acknowledges that the Consultant may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other Cities, the Consultant shall terminate its relationship with the other City to resolve the conflict of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Consultant shall disclose all of its Treasure Coast Cities and related Scope of Work.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

The Consultant warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Consultant to solicit or secure this Contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm, other than a bona fide employee working solely for the Consultant any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this Contract.

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TRUTH-IN-NEGOTIATION CERTIFICATE AND AFFIDAVIT

E-RFQ #20160020
Underwriting Services

STATE OF FLORIDA §
COUNTY OF ST. LUCIE §

Before me, the undersigned authority, personally appeared affiant Nate Eckloff who being first duly sworn, deposes and says:

1. That the undersigned firm is furnishing this Truth in Negotiation Certificate pursuant to Section 287.055(5)(a) of the Florida Statutes for the undersigned firm to receive an agreement for professional services with the City of Port St. Lucie, St. Lucie County, Florida.

2. That the undersigned firm is a corporation which engages in furnishing professional Consulting services and is entering into an agreement with the City of Port St. Lucie, St. Lucie County, Florida to provide professional services for a project known as **#20160020, Underwriting Services.**

3. That the undersigned firm has furnished the City of Port St. Lucie, St. Lucie County, Florida a detailed analysis of the cost of the professional services required for the project.

4. That the wage rate information and other factual unit cost, which the undersigned firm furnished, were accurate, complete and current at the time the undersigned firm and the City of Port St. Lucie entered into the agreement for professional services on the project.

5. That the agreement which the undersigned firm and the City of Port St. Lucie entered into on this job contained a provision that the original agreement price and any additions thereto shall be adjusted to exclude any significant sums by which the City of Port St. Lucie determines the agreement price was increased due to inaccurate, incomplete or non-current wage rates or other factual unit cost and that all such agreement adjustments shall be made within one (1) year following the end of the agreement.

FURTHER AFFIANT SAYETH NAUGHT

RBC Capital Markets, LLC
Name of Firm
Nate Eckloff
By: Managing Director

The foregoing instrument was acknowledged before me by Nate Eckloff

who has produced Personally Known as identification or is personally known to me.

WITNESS my hand and official seal in the State of County last aforesaid this 24th day of Nov, 2015.

(SEAL)

Signature

CATHY J ANDERSON
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID # 19984004101
MY COMMISSION EXPIRES MARCH 30, 2018

Cathy J. Anderson
Notary Name (typed or printed)

Notary
Title or Rank

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of.....)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 20__.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

QUESTIONNAIRE

E-RFQ #20160020
Underwriting Services

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this 30th day of November, 2015

RBC Capital Markets, LLC
Name of Organization / Proposer

(This is a word document please add space as needed.)

1. Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person? (This is a word document add lines as needed)

Nate Eckloff, Managing Director, and Wes Bradish, Vice President, will serve as contacts for the firm. These professionals are located in the firm's Palm Beach Garden office and their contact information is provided below.

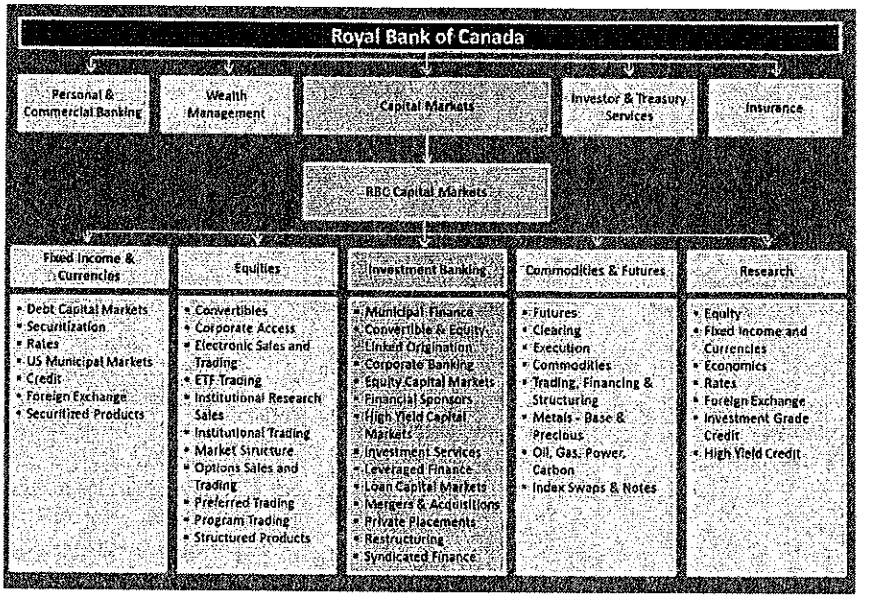
Nate Eckloff, Managing Director RBC Capital Markets, LLC 3801 PGA Boulevard, Suite 801 Palm Beach Gardens, Florida 33410-6558 (561) 691-5314 Tel (303) 595-1221 Fax nate.eckloff@rbccm.com	Wes Bradish, Vice President RBC Capital Markets, LLC 3801 PGA Boulevard, Suite 801 Palm Beach Gardens, Florida 33410-6558 (561) 691-5314 Tel (303) 595-1221 Fax wesley.bradish@rbccm.com

2. Is your firm a Certified Minority Business (yes or no)? No

3. Provide in this word document below a general information about your firm, including a brief history and summarizing the key points in this RFQ, (This is a word document, please include below and limit to one (1) page)

RBC Capital Markets, LLC (RBC) is a wholly owned indirect subsidiary of the Royal Bank of Canada and is a leader in U.S. Municipal Finance. Leveraging the size and strength of the Royal Bank of Canada, for the past 75 years we have provided products and services to hundreds of municipal issuers across a broad range of sectors. The RBC Municipal Finance Group is headquartered in New York City and employs over 330 banking, sales, trading and underwriting professionals in 29 cities. RBC maintains the largest municipal platform in the country and is structured to provide our clients a complete menu of investment banking services, including underwriting, financial advisory and investment services; and nationally and regionally focused sales and trading activities for both taxable and tax-exempt bonds. RBC's Municipal Finance Group is the largest business unit within RBC in the United States and RBC's highest priority nationally.

RBC is well positioned to serve the City of Port St. Lucie (the "City") and the City will benefit from working with a full-service financial institution with continued financial health, strong capitalization and high ratings (Aa3/AA-/AA). Established in 1869, the Royal Bank of Canada is a publicly traded corporation (RY on TSX and NYSE) with more than 79,000 employees. In addition to investment banking, we provide personal and commercial banking, wealth and asset management services, insurance, corporate banking, and transaction processing services on a global basis. The Royal Bank of Canada is one of the top 15 largest banks in the world and the fifth largest in North America as measured by market capitalization. With a strong capital base and consistent financial performance, RBC is among a small group of highly rated global banks and remains one of the few financial institutions which can be relied upon to deliver world class investment banking capabilities.



As detailed in our response, RBC is ideally suited to serve the City as underwriter for the following reasons:

1) RBC is the highest rated and most well capitalized investment bank in the municipal finance business, 2) the public finance professionals assigned to the City have served the City as its investment bankers since the mid 1990's and have served as sole manager, senior manager, balance sheet provider, swap counterparty and numerous other roles totaling over $1.2 billion for the City during that time, 3) RBC serves as sole or senior manager on more transactions annually than any other firm and consistently maintains a top 6 ranking both nationally and in Florida, 4) we have over 40 years of history serving similarly situated Florida cities and counties with all the credit structures that the City currently has outstanding, and 5) our substantial national and regional marketing and sales and trading operations coupled with our ability to underwrite unsold balances helps our clients (including the City) realize the lowest possible cost of capital (True Interest Cost) on their various bond transactions.

4. Provide the names and roles of the public finance professionals assigned to this project, their role, years of experience and qualifications applicable to this project scope and office work will be performed from.

The public finance professionals assigned to serve the City are senior bankers with a long history of service to the City. In fact, over the last five (5) years (November 1, 2010 through October 31, 2015), these professionals have sole managed 10 transactions totaling $231 million for the City's Stormwater Utility Revenue Bonds, Water & Sewer Special Assessment Bonds, Sales Tax Revenue Bonds, Utility System Revenue Bonds, General Obligation Bonds, and Public Service Tax Revenue Bonds. Per Thomson Reuters, no other firm has served as underwriter to the City for this time period.

Finance Professional Name	Role	Yr Exp	Qualification	Office
Nate Eckloff Managing Director	Senior Banker	33	Nate brings 33 years of public finance experience and will serve as the senior banker to the City. Since 1991, he has served as the senior manager on 65 bond issues for the City of Port St. Lucie totaling over $1 billion.	Palm Beach Garden
Wes Bradish Vice President	Co-Lead Banker	13	Wes brings over 13 years of public finance experience as a "generalist" investment banker. Wes has served as co-lead banker to the City and executed transactions totaling over $1 billion since 2003. Additional Florida cities/counties similarly situated to that City that he serves include: St Lucie County, Ft Pierce, Stuart, Martin County and Davie.	Palm Beach Garden

Finance Professional Name	Role	Yr Exp	Qualification	Office
Tom Wendelin Vice President	Quantitative and Structuring	20+	Tom brings over 20 years of public finance experience. He is a senior quantitative specialist and has provided structuring and technical expertise on all of the City's financings led by RBC.	Denver
Tom Carlson Director	Direct Purchase	14	Tom is a senior member of the firm's Credit Solutions Group and specializes in direct purchase products, credit facilities and public floating rate notes.	St. Petersburg
Jaime Durando Managing Director	Head of Fixed Rate Underwriting	35	Jaime is the head of RBC's municipal fixed rate desk. He brings over 30 years of municipal trading and underwriting experience. He has directed the sale of over $75 billion of senior managed transactions and has overseen the sale of all of the City's financings RBC has executed.	New York
Glenn McGowan Director	Senior Taxable Underwriter	10	Glenn is the senior underwriter for RBC's taxable desk. He brings 10 years of underwriting experience, having served on the underwriting desks of TD Securities and Goldman Sachs prior to joining RBC in 2013. Glenn served as the lead underwriter for the City's Taxable $13.525 million Public Service Tax Revenue Bonds, Series 2014.	New York

(This is a word document add lines as needed)

5. Who will be the primary day-to-day contact for the engagement and their experience and availability?

Nate Eckloff and Wes Bradish will serve as day-to-day contacts for the engagement with the City. Nate and Wes will be immediately and continuously available to the City throughout the term of the engagement. Nate has served the City since 1990; Wes since 2002. They have helped fund infrastructure for the City's amazing growth, starting with a restructuring of a 1988 Stormwater Revenue Bond issue in 1990 (the City's first bond issue) and progressing to 2014 – a total of 65 transactions. Other bond and COP issues include General Obligation Bonds, Water and Wastewater Utility Revenue Bonds, Sales Tax Revenue Bonds, Local Option Gas Tax Bonds, Assessment Bonds for the Utility and/or development, Golf Course Revenue Bonds, Stormwater Revenue Bonds, Lease Purchase Certificates of Participation, and bonds for the CRA. Resumes for Nate and Wes that highlight their industry and Florida experience are provided below.

Nate Eckloff, Managing Director, will be continuously available to serve the City of Port St. Lucie. He joined RBC in 2002. His public finance practice has been focused primarily in Florida, Colorado, Nebraska and Wyoming. Nate has assisted in the issuance of over $18 billion in municipal bonds during his 33 years in the industry. Nate has worked with Florida issuers since 1989. In Florida, his experience covers a wide range of tax-exempt and taxable municipal finance including special assessment bonds, general obligation bonds, utility revenue bonds, non ad valorem revenue bonds (sales tax, local option gas tax, etc.) and lease-purchase certificates of participation.

Nate's Florida underwriting experience includes:

- City of Port St. Lucie
- St. Lucie County
- Town of Davie
- City of Miami Beach
- City of Fernandina Beach
- South Florida Water Mgmt Dist
- Palm Beach County
- Northern Palm Beach Co Imp Dist
- Town of Oakland Park
- City of Orlando
- City of Ft. Pierce
- Okeechobee Utility Authority
- Martin County
- City of Miami
- Tradition Comm Development Dist
- City of Delray Beach
- City of Stuart
- Southern Grove CCD

Nate started his career at Coughlin and Company in Denver and prior to joining RBC Capital Markets was with a regional investment banking firm in Denver for 13 years. He has presented at numerous industry meetings including the Bond Buyer Conference, the Bond Market Association and several state and local Government Finance Officer Association meetings, including the Treasure Coast, Florida, Colorado and Hawaii organizations. For the Florida Bond Buyer Conference, his topic was "Upgrading Bond Ratings for Florida Issuers." Nate received his Juris Doctorate Degree and his Master of Business Administration from the University of Denver. He received his Bachelor's Degree in Agricultural Economics from the University of Nebraska. He has Series 7, 8, 24, 52, 53 and 63 FINRA securities licenses.

Wes Bradish, Vice President, is also available and "on call" to serve the City. He is based in the Palm Beach Gardens and Denver offices of RBC. He has been involved in the structuring, rating and marketing of over $8.5 billion in municipal infrastructure financings and serves issuers in Florida, Colorado, Nebraska and Wyoming. Wes has been one of the RBC investment bankers serving the City of Port St Lucie since 2003. Wes has served the City and other similarly situated Florida cities on a wide range of financings including water and sewer utility bonds, general obligation bonds, CRA/tax increment bonds, covenant to budget and appropriate bonds, special assessment bonds, and lease purchase COPs. Representative Florida underwriting clients include: the City of Port St. Lucie, Tradition Community Development District, Southern Grover Community Development District, St Lucie County, Martin County, and the City of Stuart.

In addition to fixed and variable rate underwritings, Wes has been involved in deploying over $1.5 billion in interest rate swaps, liquidity facilities, direct purchase transactions and other balance sheet solutions for the City and for other clients. Wes holds a bachelor's degree in Business Administration with dual areas of emphasis in Finance and Accounting from the Leeds School of Business at the University of Colorado at Boulder. He holds the Series 7, 63 and 52 FINRA licenses.

6. Provide a chronological listing of the firm's Senior Managed, and Co-Managed underwriting experience nationally for the past five (5) years.

Over the last five (5) years (November 1, 2010 through October 31, 2015), RBC has executed 4,477 negotiated long-term financings as sole manager, senior manager, co-senior manager or co-manager nationally, totaling over $428 billion. As detailed in the rankings provided below, for this time period RBC ranks as the Number 1 senior and co-managing underwriter of negotiated long-term financings nationally by number of issues, and ranks as the Number 6 senior and co-managing underwriter of negotiated long-term financings nationally by par amount. Due to the volume of transactions RBC has executed, a complete and detailed chronological listing has been provided in Appendix A.

National Senior and Co-Manager Rankings | Long-Term Negotiated Financings | Nov. 1, 2010 – Oct. 31, 2015

Ranking by Number of Issues

Rank	Underwriter	# of Deals	% Market Share	Par Amount ($millions)
1	RBC Capital Markets	4,477	12.3	428,376.2
2	Stifel Nicolaus & Co Inc	4,304	11.8	284,731.5
3	Raymond James	3,772	10.4	357,723.5
4	Piper Jaffray & Co	3,293	9.0	215,894.0
5	Bank of America Merrill Lynch	3,260	9.0	556,682.7
6	Citi	2,868	7.9	494,470.2
7	J P Morgan Securities LLC	2,782	7.6	504,088.2
8	Wells Fargo & Co	2,631	7.2	447,651.8
9	Morgan Stanley	2,506	6.9	467,114.7
10	Robert W Baird & Co Inc	1,938	5.3	57,279.0
11	D A Davidson & Co	1,836	5.0	18,892.0
12	Barclays	1,542	4.2	371,936.2
13	Loop Capital Markets	1,420	3.9	321,985.5
14	Siebert Brandford Shank & Co	1,325	3.6	317,129.4
15	Roosevelt & Cross Inc	1,287	3.5	131,166.6
16	George K Baum & Company Inc	1,267	3.5	44,488.0
17	Goldman Sachs & Co	1,223	3.4	344,555.4
18	Ramirez & Co Inc	1,158	3.2	305,251.3
19	Ameritas Investment Corp	1,123	3.1	7,808.9
20	Jefferies LLC	1,082	3.0	265,468.2

Ranking by Par Amount

Rank	Underwriter	Par Amount ($millions)	% Market Share	# of Deals
1	Bank of America Merrill Lynch	556,682.7	41.9	3,260
2	J P Morgan Securities LLC	504,088.2	37.9	2,782
3	Citi	494,470.2	37.2	2,868
4	Morgan Stanley	467,114.7	35.1	2,506
5	Wells Fargo & Co	447,651.8	33.7	2,631
6	RBC Capital Markets	428,376.2	32.2	4,477
7	Barclays	371,936.2	28.0	1,542
8	Raymond James	357,723.5	26.9	3,772
9	Goldman Sachs & Co	344,555.4	25.9	1,223
10	Loop Capital Markets	321,985.5	24.2	1,420
11	Siebert Brandford Shank & Co	317,129.4	23.9	1,325
12	Ramirez & Co Inc	305,251.3	23.0	1,158
13	Stifel Nicolaus & Co Inc	284,731.5	21.4	4,304
14	Jefferies LLC	265,468.2	20.0	1,082
15	Piper Jaffray & Co	215,894.0	16.2	3,293
16	Fidelity Capital Markets	180,832.7	13.6	602
17	Rice Financial Products Compa	150,920.3	11.4	595
18	Cabrera Capital Markets Inc	133,387.8	10.0	523
19	Roosevelt & Cross Inc	131,166.6	9.9	1,287
20	M R Beal & Co	120,573.6	9.1	439

Source: Thomson Reuters. Excludes Notes. Full credit to each manager.

7. Provide a chronological listing of the firm's Senior Managed, and Co- Managed underwriting experience of Florida issues for the past five (5) years.

Over the last five (5) years (November 1, 2010 through October 31, 2015), RBC has executed 232 negotiated long-term Florida financings as sole manager, senior manager, co-senior manager or co-manager, totaling over $22 billion. As detailed in the rankings provided below, for this time period RBC ranks as the Number 1 senior and co-managing underwriter of Florida negotiated long-term financings by number of issues, and ranks as the Number 5 senior and co-managing underwriter of Florida negotiated long-term financings by par amount. Due to the volume of transactions RBC has executed, a complete and detailed chronological listing has been provided in Appendix B.

Florida Senior and Co-Manager Rankings | Long-Term Negotiated Financings | Nov. 1, 2010 – Oct. 31, 2015

Ranking by Number of Issues

Rank	Underwriter	# of Deals	% Market Share	Par Amount ($millions)
1	RBC Capital Markets	232	25.4	22,111.9
2	Citi	231	25.3	28,637.9
3	Raymond James	225	24.6	20,101.5
4	Bank of America Merrill Lynch	218	23.8	25,297.2
5	J P Morgan Securities LLC	169	18.5	24,420.2
6	Morgan Stanley	163	17.8	26,590.2
7	Wells Fargo & Co	158	17.3	19,657.6
8	FMSbonds Inc	114	12.5	1,009.9
9	Loop Capital Markets	102	11.2	17,927.0
10	MBS Capital Market	82	9.0	803.8
11	Goldman Sachs & Co	76	8.3	15,959.9
12	Jefferies LLC	68	7.4	14,392.3
13	Barclays	67	7.3	12,382.3
14	Ramirez & Co Inc	64	7.0	13,240.5
15	Stifel Nicolaus & Co Inc	52	5.7	3,380.2
16	Siebert Brandford Shank & Co	46	5.0	10,121.5
17	Piper Jaffray & Co	37	4.0	3,473.1
18	Rice Financial Products Compa	32	3.5	7,372.5
19	Estrada Hinojosa & Company Ii	31	3.4	6,328.6
20	PNC Financial Services Group	31	3.4	2,265.6

Ranking by Par Amount

Rank	Underwriter	Par Amount ($millions)	% Market Share	# of Deals
1	Citi	28,637.9	53.2	231
2	Morgan Stanley	26,590.2	49.4	163
3	Bank of America Merrill Lynch	25,297.2	47.0	218
4	J P Morgan Securities LLC	24,420.2	45.4	169
5	RBC Capital Markets	22,111.9	41.1	232
6	Raymond James	20,101.5	37.4	225
7	Wells Fargo & Co	19,657.6	36.5	158
8	Loop Capital Markets	17,927.0	33.3	102
9	Goldman Sachs & Co	15,959.9	29.7	76
10	Jefferies LLC	14,392.3	26.8	68
11	Ramirez & Co Inc	13,240.5	24.6	64
12	Barclays	12,382.3	23.0	67
13	Siebert Brandford Shank & Co	10,121.5	18.8	46
14	Rice Financial Products Compa	7,372.5	13.7	32
15	Blaylock Beal Van LLC	7,209.4	13.4	28
16	Estrada Hinojosa & Company Ii	6,328.6	11.8	31
17	Cabrera Capital Markets Inc	6,141.2	11.4	22
18	M R Beal & Co	4,757.4	8.8	10
19	SunTrust Capital Markets Inc	3,745.7	7.0	19
20	Piper Jaffray & Co	3,473.1	6.5	37

Source: Thomson Reuters. Excludes Notes. Full Credit to each manager.

In addition to our general underwriting expertise within the State of Florida, RBC's bankers have considerable experience serving the City. Nate Eckloff has worked with the City since 1990 and has seen a metamorphosis in the credit rating of the City from a non-rated entity to one which now has its various bond issues rated in the "A/A" and "AA/Aa" categories. Nate was instrumental in assisting the City with the acquisition of the Water and Wastewater Utility in 1994 and the 9-phase expansion of the Water and Wastewater system with Special Assessment Bonds thereafter, many of which are already paid off. He also assisted with financing the expansion of the Water and Wastewater Utility System plants; the City's Stormwater System; the Saints Golf Course; St. Lucie Boulevard, Crosstown Parkway and other City streets; the Community Center, two (2) City Halls (one which is now the Police Building), and other City buildings, facilities and infrastructure. Since 2002, as the City of Port St. Lucie has experienced explosive growth, RBC has assisted the City in underwriting 44 bond issues to finance or re-finance a variety of projects.

RBC is appreciative of our long term business relationship with the City and has strived to "give back" by participating in and/or supporting numerous City related activities. Most recently this includes speaking at the City's Local Government Week on October 20, 2015. We also support the City's annual 4th of July Freedomfest, Holiday celebrations (Festival of Lights and St. Patrick's Day), the City's 50th Anniversary celebration, sponsored a hole at the Saints Golf Course, and supported various groundbreakings and other City activities. As the underwriter for the City, RBC believes in supporting important community activities not related to financings.

Going forward, as a member of the City's underwriting pool, RBC and our bankers will bring Port St. Lucie an abundance of institutional memory related to almost every major infrastructure project the City has ever undertaken. RBC will continue to monitor market conditions and inform the City how to best take advantage of the current market. RBC will work diligently with the City's Finance Department staff and your Financial Advisor to help in crafting the best possible underwritings for the City. To that end, we will work hard to help you development solid financing structures allowing for the sale of the City's bonds at the lowest possible interest rates.

8. Provide firm experience for the following in the State of Florida:

RBC's history of serving Florida issuers dates back to 1966. In that time, RBC and its predecessor firms have served in virtually every role possible, including: sole manager, senior manager, co-senior manager, co-manager, remarketing agent, placement agent, swap provider and financial advisor.

	Years Exp	Firm Responsibility
General Obligation Bonds	49	Sole manager, senior manager, co-manager, financial advisor, swap counterparty, balance sheet/credit provider, remarketing agent, bidding agent
Sales Tax	33	Sole manager, senior manager, co-manager, financial advisor, bidding agent
Public Service Tax	29	Sole manager, senior manager, co-manager, financial advisor
Covenant to Budget & Appropriate (CB & A)	49	Sole manager, senior manager, co-manager, financial advisor,
Community Redevelopment Agency (CRA) & Tax Increment	28	Sole manager, senior manager, co-manager, financial advisor, swap counterparty, bidding agent
Certificates of Participation (COPs)	31	Sole manager, senior manager, co-manager, financial advisor, swap counterparty, balance sheet/credit provider, remarketing agent, bidding agent
Water and Sewer Utilities	37	Sole manager, senior manager, co-manager, financial advisor, swap counterparty, balance sheet/credit provider, remarketing agent, bidding agent
Special Assessments	31	Sole manager, senior manager, co-manager, financial advisor, bidding agent

9. Please provide a discussion of the Proposer's marketing strategy and distribution capabilities, including number of offices, retail sales professionals, location of sales professionals and institutional sales professionals. Breakout Florida based professionals. (This is a work document, include below and 1 page only)

RBC has a well-defined marketing strategy for the City's bonds and offers the City the largest municipal sales force on Wall Street. We cover directly the full spectrum of retail and institutional purchasers, including municipal bond funds, savings and loans, insurance companies, bank portfolios and trust departments, mid to large-sized corporations, municipalities, retail and high net worth individuals. We firmly believe by employing a strategy targeting a combination of investor segments, Tier I, as well as Tiers II and III, we create competition for Bonds among the investor segments, preventing Tier I investors from mandating the pricing levels of the transaction. RBC's targeted marketing stragegy and sales professionals is outlined below.

Marketing Strategies

Marketing Strategy	Rationale
Identify the key buying constituencies and major investors by:	
Similar holdings on other similar programs	Proven comfort with credit, most likely to enter key anchor orders
Analyze recent purchases in the secondary market	Valuable feedback regarding investor cash positions and what maturity range investors are most interested in
Identify most aggressive buyers along the yield curve	Likely pockets across the investor spectrum are: 1-10 years: Individual and professional retail, trust departments and asset managers 11-20 years: Trust departments, asset managers and intermediate bond funds 20-30 years: Individual and professional retail, long-term bond funds and insurance companies
Redemption and cash flow trends	Market timing and identifying who can step up in size
Refunded CUSIPs wire	If refunding, markets to current holders of bonds to be refunded for re-purchase
Input from sales professionals and underwriters	Valuable feedback regarding couponing, length of serialization and reverse inquiries

Marketing Strategy	Rationale
Recommended education and outreach approach:	
Internal marketing channels to maximize retail demand	High net worth and SMAs are drivers in 4-9 year range
Institutional sales force "teach ins" on the credit	Motivates salesforce to make calls and convey the tailored investor message
Internet road show with playback capability	Helps generate timely credit approval
One-on-one calls for accounts with substantial buying capacity	High success rate for order placement
Daily updates with investor and salesforce feedback:	
Coupon and structure preferences	Match demand and cost effective structures in advance of the pricing date
General interest, size and spread level indications	Determines depth of major anchor orders
Competing transactions	Timing adjustments and changes in serial/term mix
Cash positions	Evaluate impact of any size premium

As one of the most active underwriters in the industry, RBC's underwriters and sales professionals are in constant contact with the investment community. By accessing the market more often than most of our competitors, we are able to price deals more aggressively. In turn, this extensive distribution system and constant market activity allows our underwriters and salespeople to efficiently collaborate and reach all investor segments without favoritism towards institutional or retail, but rather towards achieving optimal pricing levels for our clients. In Florida, RBC also provides the

City with one of the largest sales forces in the State with 74 retail sales professionals in 8 offices in St. Petersburg, Tampa, Sarasota, Naples, Fort Lauderdale, West Palm Beach, Palm Beach Gardens and Miami. RBC has over 27,500 Florida retail accounts totaling $11.4 billion.

RBC Sales, Trading & Underwriting Statistics	National	Florida
Institutional Trading & Underwriting	21	
Retail Trading	9	
Institutional Sales	14	
Short-Term Sales, Trading & UW	5	
BMA Derivatives	6	
Retail Sales – RBC Wealth Management	1,889	70

10. Provide the names of two law firms and the lawyer that may be retained as underwriter's counsel should your firm be selected.

Subject to the City's approval, RBC proposes utilizing one of the following firms as underwriter's counsel.

Michael Minton, Esq. | Joel C. Zwemer, Esq. Dean, Mead, Minton and Zwemer 1903 S. 25th Street, Suite 200 Fort Pierce, Florida 34947 (772) 464-7700 x6702 Tel mminton@deanmead.com | jzwemer@deanmead.com	Skip Miller, Esq. Greenspoon Marder Law 250 S. Australian Avenue, Suite 700 West Palm Beach, Florida 33401 (561) 838-4556 Tel skip.miller@gmlaw.com

11. Provide recommendations regarding the use or non-use of bond insurance based on the current market conditions. (This is a word document, please add below and limit to one (1) page)

Currently there are two viable bond insurers for the City to consider – AGM and BAM. AGM is an active bond insurer in the municipal market and currently rated AA (Stable) by S&P and A2 (Stable) by Moody's. The City has utilized BAM P&I and surety policies on certain of its Utility and COP/CB&A credits. Build America Mutual (BAM) is a newer company and municipal bond insurer, which currently carries an underlying rating of AA (Stable). Both insurers have roughly the same pricing benefit in the current market. National Public Finance Guaranty (formerly MBIA) is trying to re-enter the market with a AA- S&P rating but has yet to gain much market acceptance. The City has had some recent experience with National.

Retail investors tend to buy insured bonds because they generally do not have the resources required to conduct credit reviews and will accept a lower yield for insured bonds. Insurance can potentially expand the universe of buyers who are sensitive to ratings, including both retail and institutional investors.

For Port St. Lucie, depending upon the underlying bond ratings, we will recommend a dual-track approach to rating or insuring your bonds. This entails simultaneously approaching the rating agencies and bond insurers to qualify your bond issues for insurance. The decision to use bond insurance comes down to the cost of the upfront premium charged relative to the annual benefit (lower yields) the City might realize with the policy in place. Additionally, structuring benefits such as debt service reserve fund sureity available with bond insurance need to be considered. We have recently seen a benefit in certain maturities of lower "A" category Florida bonds with bond insurance. However, the City's various bond issues are all in the mid to high "A"category and RBC sees very limited pricing benefit for bond insurance at this time. The benefit could improve if credit spreads for "A" rated Florida credits widen out from current levels. Additionally, for the City's master ordinances that require the funding of a debt service reserve fund (Utility Ordinance for example), insurance may add value by allowing the City to substitute a surety for the funding of reserve with bond proceeds. With that being said, we recommend that the City request insurance quotes at no cost and make the decision closer to pricings. RBC will work with the City's Financial Advisor to determine the cost/benefit of bond insurance once the premiums are received and based on market conditions at that time.

12. Please provide the firm's Capital Position over the last 3 years in tabular format.

RBC maintains the highest ratings of any underwriter active in the U.S. municipal bond market (Aa3 / AA- / AA). In 2014, RBC ranked as the 4th strongest bank in the world by Bloomberg Markets and the #1 Trusted Investment Bank Globally by The Economist. The table below highlights our firm's most recent audited capital position as well as the most current financial position. RBC has a wealth of resources to offer the City including a strong capital position, the ability to takedown unsold bonds and access to a broad base of investors.

RBC Capital Markets' maximum legal municipal underwriting capacity is over $22 billion. This number is based upon the regulatory definition of excess net capital ($1,542,468,936 as of September 30, 2015) that must equal 7% of municipal securities underwritten that maintain two investment grade ratings. RBC does not use outside lines of credit, loans or other borrowing to support the firm's underwriting commitments. We have no set limits on the amount of bonds we can underwrite. RBC is firmly positioned to support our price views in difficult markets and take bonds into inventory as may be necessary. Our capital position is displayed below.

Capital Position

RBC Capital Markets, LLC					RBC Financial Group			
US $mils as of 12/31	2012	2013	2014	9/30/15	US $mils as of 10/31	2012	2013	2014
Total Regulatory Capital	5,409	5,545	5,808	6,116	Total Regulatory Capital	42,389	42,802	43,116
Total Equity Capital	4,009	4,145	4,408	4,716	Total Equity Capital	46,074	48,180	46,981
Net Capital	1,522	1,252	1,198	1,689	Tier 1 Capital	36,843	36,293	36,377
Excess Net Capital	1,411	1,132	1,044	1,542				

RBC's significant capital resources also facilitate our current position as a top five liquidity provider in the U.S. municipal market. RBC is one of the largest secondary market makers in the municipal market and a top primary market underwriter. RBC understands that our ability to provide a liquid secondary market for our municipal clients is crucial to achieving the lowest possible cost on new issue pricings – as primary market spreads to MMD are significantly impacted by recent secondary trading levels. Further evidence of RBC's capital commitment and support to its municipal finance activities is also demonstrated by our ability and willingness to inventory tax-exempt bonds. The table above highlights the firm's 2015 quarterly primary and secondary market trading activity for tax-exempt securities both nationally and for Florida issuers.

RBC's Trading Volume by Quarter ($000s)

	National		Florida	
2015	Primary	Secondary	Primary	Secondary
1Q	7,587,037	22,709,496	136,030	573,133
2Q	8,036,262	22,156,549	1,044,181	715,640
3Q	5,316,136	17,283,779	319,700	675,105
4Q YTD	4,024,815	8,400,425	20,945	176,995
YTD 2015	24,964,250	70,550,249	1,520,856	2,140,873

13. Discuss and provide specific information concerning the in-house technical support, computer modeling and financing analysis capabilities. (This is a word document, please include below, limit to ½ page

RBC's analysts and associates maintain and manage our client's outstanding debt profiles, provide financial analyses and computer modeling. Additionally, RBC has a dedicated team of senior experienced quantitative specialists whose sole responsibility is to handle the most complex issues in structuring and pricing municipal bond, derivative and credit transactions; provide introductory quantitative training as well as ongoing continuing education to the firm's analytical staff; and generally provide quantitative assistance as needed. RBC believes that technical support should focus on solving our clients' most challenging analytical problems. To accomplish this, we are continually developing and implementing sophisticated computer programs to analyze and determine the most efficient financing plans for our public and corporate clients. Among other things, these programs are designed to: (i) evaluate the advantages and disadvantages of alternative financing mechanisms that may be used to fund a project; (ii) permit adjustments in financing plans that may be advisable due to rapidly changing conditions in the capital markets; (iii) improve investment returns; (iv) determine optimal debt financing strategies; and (v) minimize interest and overall financing costs. In addition to using DBC Finance, RBC's quantitative group has developed a number of Excel-based proprietary models, including Dynamic Cash Flow Models that stress revenues and capital needs, a Debt Database that links to DBC to create debt maps by credit, evaluate refundings and track credit, derivative and product exposures, Refunding Efficiency Calculators, Bond Insurance Breakeven Models, etc.

RBC's in-house technical support team is among the best in the country, combining years of industry experience with complex financial analyses. RBC's extensive technical resources enable us to provide the most accurate, thorough, relevant and timely quantitative analysis possible to the City.

14. A description of any litigation or regulatory action filed against the Proposer since January 1, 2012 and the resolution thereof. Identify fully the extent to which your firm or individual partners or employees are the subject of any ongoing municipal securities investigation, litigation, arbitration or subject to a subpoena in connection with such investigation, litigation, or arbitration. (This is a work document, please include below)

RBC Capital Markets, LLC (RBCCM) is an indirect, wholly-owned subsidiary of Royal Bank of Canada, a large global institution subject to many different legal and regulatory requirements in the United States, Canada and other jurisdictions. From time to time, certain of RBCCM's regulators may conduct investigations, initiate enforcement proceedings and/or enter into settlements with RBCCM with respect to issues raised in various investigations. Similarly, RBCCM is a defendant or respondent in various litigations and arbitrations that arise in the ordinary course of business. RBCCM complies fully with its regulators in all investigations and in all settlements RBCCM reaches. The Financial Industry Regulatory Authority ("FINRA"), in furtherance of its responsibilities as the securities industry's self-regulatory organization pursuant to Section 15A(i) of the Securities Exchange Act of 1934, maintains a public database on registered broker-dealers and their associated persons known as BrokerCheck (http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/). The information made available through BrokerCheck is derived from the Central Registration Depository (CRD®), the securities industry online registration and licensing database. Information in CRD is obtained through forms that broker-dealers, their associated persons and regulators complete as part of the securities industry registration and licensing process, and to comply with comprehensive disclosure obligations imposed by FINRA and other regulators. RBCCM generally does not disclose investigations, proceedings, litigations, arbitrations, or settlements except as required through CRD, and RBCCM makes no representations as to the existence or non-existence of any such investigations, proceedings, litigations, arbitrations, or settlements beyond what is available through CRD. To the extent material to the financial results of Royal Bank of Canada, any investigation, proceeding, litigation, arbitration, or settlement involving RBCCM also is disclosed in Royal Bank of Canada's financial statements, which may be obtained by visiting www.rbc.com/investorrelations/.

15. Using the Reference Form submit three (3) references form the last three years from local governments in the State of Florida for which the firm provided underwriting services

The references that follow represent Florida local government clients served in the last three (3) years. The RBC team brings an exceptional level of industry expertise and can deliver a customized level of service and resources that most other firms would find difficult to match. Our clients can provide the most compelling testimony of our ability to create

value through the depth of our resources, the focused specialization of our professionals, our approach to client service and our ability to deliver the most efficient, custom-tailored financing solutions. We encourage you to contact these individuals.

Town of Davie	Martin County	City of Fort Pierce
William Ackerman	Taryn Kryzda	Johnna Morris
Director of Budget and Finance	County Administrator	Finance Director
6591 Orange Avenue	2401 SE Monterey Road	100 North US Highway 1
Davie, Florida 33314	Stuart, Florida 34996	Fort Pierce, Florida 34950
(954) 797-1053 Tel	(772) 288-5939 Tel	(772) 467-3073 Tel
william.ackerman@davie-fl.gov	tkryzda@martin.fl.us	jmorris@city-ftpierce.com

ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued

CERTIFICATION:

This E-RFQ is submitted by: Name (print) Nate Eckloff who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFQ is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFQ, and to accept the City's Contract and Terms and Condition in its entirety

I agree to abide by all conditions of this E-RFQ.:

Nate Eckloff — Signature

Managing Director — Title

Required Attachments

Form **W-9**
(Rev. December 2014)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
RBC Capital Markets, LLC

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate
☑ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ P
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☐ Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any) 6
Exemption from FATCA reporting code (if any) F
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
30 Hudson Street

Requester's name and address (optional)

6 City, state, and ZIP code
Jersey City, NJ 07302

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number
			–			–				

or

Employer identification number
4	1	–	1	4	1	6	3	3	0

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ [signature] Date ▶ 2/26/2015

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at *www.irs.gov/fw9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 11/19/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Marsh USA Inc. 333 South 7th Street, Suite 1400 Minneapolis, MN 55402-2400 Attn: Minneapolis.CertRequest@marsh.com Fax 212-948-0114 42 ERIC	**CONTACT NAME:** **PHONE (A/C, No, Ext):** **FAX (A/C, No):** **E-MAIL ADDRESS:**
INSURED RBC CAPITAL MARKETS, LLC 60 SOUTH 6TH ST. MINNEAPOLIS, MN 55402-1106	**INSURER(S) AFFORDING COVERAGE** — **NAIC #** INSURER A : Zurich American Insurance Company — 16535 INSURER B : Sentry Insurance A Mutual Company — 24988 INSURER C : N/A — N/A INSURER D : Sentry Casualty Company — 28460 INSURER E : Columbia Casualty Company — 31127 INSURER F :

COVERAGES **CERTIFICATE NUMBER:** CHI-006474045-01 **REVISION NUMBER:** 3

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS		
A	X COMMERCIAL GENERAL LIABILITY			GLO5415709-02	06/01/2015	06/01/2016	EACH OCCURRENCE	$	5,000,000
	CLAIMS-MADE X OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	$	100,000
							MED EXP (Any one person)	$	10,000
							PERSONAL & ADV INJURY	$	5,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	$	5,000,000
	X POLICY PRO-JECT LOC						PRODUCTS - COMP/OP AGG	$	5,000,000
	OTHER:							$	
B	AUTOMOBILE LIABILITY			90-16108-03	06/01/2015	06/01/2016	COMBINED SINGLE LIMIT (Ea accident)	$	1,000,000
	X ANY AUTO						BODILY INJURY (Per person)	$	
	ALL OWNED AUTOS / SCHEDULED AUTOS						BODILY INJURY (Per accident)	$	
	X HIRED AUTOS / X NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	$	
								$	
	UMBRELLA LIAB / OCCUR						EACH OCCURRENCE	$	
	EXCESS LIAB / CLAIMS-MADE						AGGREGATE	$	
	DED / RETENTION $							$	
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			90-16108-01 (AOS)	06/01/2015	06/01/2016	X PER STATUTE / OTH-ER		
D	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? Y/N: N — N/A			90-16108-02 (WI)	06/01/2015	06/01/2016	E.L. EACH ACCIDENT	$	1,000,000
	(Mandatory in NH)						E.L. DISEASE - EA EMPLOYEE	$	1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - POLICY LIMIT	$	1,000,000
E	Professional Liability			596470642	06/01/2015	06/01/2016			5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Re: Contract # 20160020-Underwriting Services.

City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents are included as additional insured under the General and Auto Liability policy as required by written contract. General liability coverage is primary and non-contributory as required by written contract. Waiver of subrogation applies under the General and Auto Liability and Workers' Compensation policy as required by written contract.

CERTIFICATE HOLDER

City of Port St. Lucie, Florida
121 S.W. Port St.
Port St. Lucie, FL 34984

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE
of Marsh USA Inc.

Manashi Mukherjee Manashi Mukherjee

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REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent: RBC Capital Markets, LLC
Reference: Town of Davie, Florida Fax #: (954) 797-1049
Email: william.ackerman@davie-fl.gov Telephone #: (954) 797-1053
Person to contact: William Ackerman, Director of Budget & Finance

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for Senior Managing Underwriter projects.

Describe the scope of work of the firm performed for your City.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent: RBC Capital Markets, LLC
Reference: Martin County, Florida Fax #: (772) 288-5432
Email: tkryzda@martin.fl.us Telephone #: (772) 288-5939
Person to contact: Taryn Kryzda, County Administrator

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for Senior Managing Underwriter projects.

Describe the scope of work of the firm performed for your City.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent: RBC Capital Markets, LLC
Reference: City of Fort Pierce, Florida Fax #: (772) 489-2594
Email: jmorris@city-ftpierce.com Telephone #: (772) 467-3073
Person to contact: Johnna Morris, Finance Director

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for Senior Managing Underwriter projects.

Describe the scope of work of the firm performed for your City.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

DRUG-FREE WORKPLACE FORM

E-RFQ #20160020

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that RBC Capital Markets, LLC does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Nate Eckhoff
Bidder's Signature

November 30, 2015
Date

CHECKLIST

E-RFQ #20160020

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

__✓___ Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFQ #20160020, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, „ three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

__✓__ All questions on the questionnaire are complete and thoroughly answered

__✓__ Each Bid Addendum (when issued) is acknowledged

_N/A_ Certified Minority Business Certificate if Applicable

__✓__ W9 Form

__✓__ Current Certificate of Insurance

__✓__ Three (3) Reference Forms from local Florida governments

__✓__ Drug Free Workplace Form

__✓__ Have reviewed the Contract and accept all City Terms and Conditions

__✓__ Checklist

Appendix A

Senior and Co-Managed Underwriting Experience Nationally for the Past Five (5) Years

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
06/06/2016	GO Refunding Bonds	10.115	Santa Clara Co (Mtn View- Whisman) SD	Sole Mgr
03/04/2016	GO Refunding Bonds	28.755	Los Angeles Co (El Monte) UHSD	Sole Mgr
02/03/2016	Certificates of Participation	55.995	Miami-Dade Co School Board	Co-Mgr
02/03/2016	Certificates of Participation	66.425	Miami-Dade Co School Board	Co-Mgr
01/06/2016	Revenue Bonds	134.080	Philadelphia Auth for Indus Dev	Co-Mgr
12/01/2015	Toll Road Revenue Ref Bonds	161.575	Harris Co-Texas	Co-Mgr
11/25/2015	GO Refunding Bonds	22.890	Jersey City-New Jersey	Sole Mgr
11/24/2015	General Obligation Bonds	9.035	Chester Co (Kennett) CSD	Sole Mgr
11/24/2015	Mortgage Purchase Bonds	8.800	Maine State Housing Authority	Co-Mgr
11/24/2015	General Obligation Bonds	8.985	Bucks Co (Pennsbury) SD	Sole Mgr
11/19/2015	General Obligation Bonds	3.925	Easton City-Pennsylvania	Co-Mgr
11/19/2015	General Obligation Bonds	9.090	Easton City-Pennsylvania	Co-Mgr
11/18/2015	General Obligation Bonds	9.940	Montgomery Co (Cheltenham) TSD	Sole Mgr
11/16/2015	General Obligation Bonds	9.945	Spring Grove Boro-Pennsylvania	Sole Mgr
11/16/2015	Airport System Revenue Bonds	9.575	Broward Co-Florida	Co-Mgr
11/16/2015	Airport System Rev & Ref Bonds	472.620	Broward Co-Florida	Co-Mgr
11/12/2015	General Obligation Bonds	9.995	Bucks Co (Pennsbury) SD	Sole Mgr
11/10/2015	Highway Revenue Bonds	85.000	Clark Co-Nevada	Lead Mgr
11/05/2015	Special Assessment Revenue Bonds	.970	Eastmark Community Facs Dt #1	Sole Mgr
11/05/2015	Future Tax Secured Sub Bonds	749.660	NYC Transitional Finance Auth	Co-Mgr
11/04/2015	St Wtr Implementation Rev Bonds	11.960	Texas Water Development Board	Co-Mgr
11/04/2015	St Wtr Implementation Rev Bonds	798.450	Texas Water Development Board	Co-Mgr
11/04/2015	Water & Sewer Revenue Ref Bonds	28.710	Bay Co-Florida	Co-Mgr
11/04/2015	Lease Revenue Refunding Bonds	72.825	Riverside Co Infrastructure Fin Auth	Co-Mgr
11/04/2015	Unlimited Tax GO & Ref Bonds	368.275	Pierce Co (Tacoma) SD #10	Lead Mgr
11/02/2015	Sewer Utility Refunding Bonds	7.935	Woodbridge Twp-New Jersey	Sole Mgr
11/01/2015	Unlimited Tax Refunding Bonds	3.025	Chambers Co MUD #1	Lead Mgr
11/01/2015	Refunding Bonds	15.855	Harris-Montgomery Cos MUD #386	Co-Mgr
11/01/2015	Unlimited Tax Refunding Bonds	5.360	Fort Bend Co Levee Imp Dt #15	Sole Mgr
11/01/2015	Unlimited Tax Refunding Bonds	4.960	Fort Bend Co MUD #109	Lead Mgr
11/01/2015	Unltd Tax Scool Bldg & Ref Bonds	209.045	Harris Co (Cypress-Fairbanks) ISD	Lead Mgr
11/01/2015	Refunding Bonds	4.195	Fort Bend Co MUD #162	Sole Mgr
11/01/2015	Water Supply Sys Regl Rev Bonds	30.625	Brazosport Water Authority	Co-Mgr
11/01/2015	Refunding Bonds	2.235	Harris Co MUD #249	Sole Mgr
11/01/2015	Revenue Refunding Bonds	78.935	Bexar Co-Texas	Lead Mgr
10/30/2015	General Obligation Bonds	2.540	Luzerne Co (Pittston) ASD	Sole Mgr
10/29/2015	Single Family Mortgage Bonds	30.000	Montana Board of Housing	Lead Mgr
10/29/2015	Single Family Mortgage Bonds	34.400	Montana Board of Housing	Lead Mgr
10/29/2015	General Obligation Notes & Bonds	95.995	Berks Co (Reading) SD	Sole Mgr
10/29/2015	General & Sewer Refunding Bonds	3.375	Mendham Boro-New Jersey	Sole Mgr
10/29/2015	Educational Facilities Rev Bonds	28.380	Missouri Hlth & Ed Facs Authority	Lead Mgr
10/29/2015	GO Refunding Bonds	50.746	Coast Community College Dt	Lead Mgr
10/29/2015	GO Refunding Bonds	112.110	Coast Community College Dt	Lead Mgr
10/29/2015	General Refunding Bonds	1.410	East Amwell Twp-New Jersey	Sole Mgr
10/29/2015	General Obligation Bonds	25.000	Hawaii	Co-Mgr
10/29/2015	General Obligation Bonds	35.000	Hawaii	Co-Mgr
10/29/2015	GO Refunding Bonds	686.395	Hawaii	Co-Mgr
10/29/2015	Single Family Mortgage Rev Bonds	19.870	Texas Dept of Hsg & Comm Affairs	Co-Mgr
10/29/2015	Single Family Mtg Rev Ref Bonds	33.825	Texas Dept of Hsg & Comm Affairs	Co-Mgr
10/29/2015	GO & Refunding Bonds	369.800	Texas Public Finance Auth (TPFA)	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
10/29/2015	Water & Sewer Sys Rev Bonds	50.000	NYC Municipal Water Fin Auth	Sole Mgr
10/28/2015	Certificates of Participation	62.970	Palm Beach Co School Board	Co-Mgr
10/27/2015	GO Refunding Bonds	13.100	Compton Community College Dt	Lead Mgr
10/27/2015	Water Utility Refunding Bonds	1.760	Ogdensburg-New Jersey	Sole Mgr
10/27/2015	Restructuring Bonds	1,002.115	NYS Utility Debt Securitization Auth	Lead Mgr
10/27/2015	Home Ownership Rev Ref Bonds	66.000	North Carolina Housing Fin Agcy	Co-Mgr
10/22/2015	General Revenue Bonds	42.055	Univ of Oklahoma Bd of Regents	Lead Mgr
10/22/2015	General Revenue Bonds	213.705	Univ of Oklahoma Bd of Regents	Lead Mgr
10/22/2015	GO Refunding Bonds	3.130	Los Angeles Co (San Gabriel) USD	Sole Mgr
10/22/2015	GO Refunding Bonds	33.400	Los Angeles Co (San Gabriel) USD	Sole Mgr
10/22/2015	General Obligation Bonds	60.000	San Mateo-Foster City SD	Lead Mgr
10/21/2015	General Obligation Bonds	8.120	Cheltenham Twp-Pennsylvania	Sole Mgr
10/21/2015	School Improvement Bonds	13.680	Montgomery Co (Northridge) LSD	Sole Mgr
10/20/2015	Airport Facilities Revenue Bonds	214.450	Greater Orlando Aviation Auth	Lead Mgr
10/20/2015	Homeownership Finance Bonds	52.365	Minnesota Housing Fin Agency	Lead Mgr
10/20/2015	Consolidated Bonds	305.440	Port Authority of NY & NJ	Co-Mgr
10/20/2015	Consolidated Bonds	500.000	Port Authority of NY & NJ	Co-Mgr
10/20/2015	Consolidated Bonds	1,194.560	Port Authority of NY & NJ	Co-Mgr
10/15/2015	Utility System Ref Revenue Bonds	82.975	Colorado Springs City-Colorado	Co-Mgr
10/15/2015	Residential Finance Program Bonds	12.500	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
10/15/2015	Residential Finance Program Bonds	43.070	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
10/15/2015	Residential Finance Program Bonds	119.430	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
10/15/2015	GO Refunding Bonds	136.945	San Joaquin Delta Comm Coll Dt	Sole Mgr
10/15/2015	Unlimited Tax Refunding BOnds	6.560	Bosque Co (Valley Mills) ISD	Sole Mgr
10/15/2015	Various Purpose Imp & Ref Bonds	3.185	Harrison City-Ohio	Sole Mgr
10/15/2015	Special Tax Oblig & Ref Bonds	839.770	Connecticut	Lead Mgr
10/15/2015	Unlimited Tax Refunding Bonds	7.640	Dallas Co (DeSoto) ISD	Co-Mgr
10/15/2015	Unlimited Tax Refunding Bonds	28.400	Dallas Co (DeSoto) ISD	Co-Mgr
10/15/2015	Refunding Bonds	38.660	Collin Co (Richardson) ISD	Co-Mgr
10/15/2015	Unlimited Tax Refunding Bonds	82.115	Denton Co (Northwest) ISD	Co-Mgr
10/15/2015	Unlimited Tax Refunding CABs	4.508	Rockwall ISD	Co-Mgr
10/15/2015	Unlimited Tax Refunding Bonds	27.685	Rockwall ISD	Co-Mgr
10/15/2015	Unltd Tax School Building Bonds	71.870	Dallas Co (Mesquite) ISD	Co-Mgr
10/14/2015	GO Refunding Bonds	45.966	Los Angeles Co (Glendale) USD	Sole Mgr
10/14/2015	GO Refunding Bonds	56.040	Los Angeles Co (Glendale) USD	Sole Mgr
10/14/2015	Refunding Revenue Bonds	37.050	Los Angeles Harbor Dept	Co-Mgr
10/14/2015	UPMC Revenue Bonds	127.910	Pennsylvania Econ Dev Fin Auth	Co-Mgr
10/14/2015	Multi-Family Conduit Rev Bonds	14.500	New Jersey Hsg & Mtg Fin Agency	Sole Mgr
10/14/2015	Infrastructure &St Mor Rev Bonds	31.760	Virginia Resources Auth (VRA)	Co-Mgr
10/13/2015	Lease Revenue Refunding Bonds	116.300	California St Public Works Board	Lead Mgr
10/13/2015	Lease Revenue Refunding Bonds	431.885	California St Public Works Board	Lead Mgr
10/08/2015	Multi-Family Housing Rev Bonds	10.750	Palm Beach Co Housing Fin Au	Sole Mgr
10/08/2015	Various Purpose GO Bonds	51.085	Washington	Co-Mgr
10/07/2015	Housing Revenue Bonds	2.935	Maryland Dept of Hsg & Comm Dev	Co-Mgr
10/07/2015	Housing Revenue Bonds	45.265	Maryland Dept of Hsg & Comm Dev	Co-Mgr
10/06/2015	GO Refunding Bonds	9.110	Solano Comm College Dt	Lead Mgr
10/06/2015	GO Refunding Bonds	38.567	Solano Comm College Dt	Lead Mgr
10/05/2015	Water Revenue Bonds	15.930	Cleveland City-Ohio	Lead Mgr
10/01/2015	Refunding Bonds	6.455	Harris Co MUD #157	Sole Mgr
10/01/2015	Unlimited Tax Refunding Bonds	2.580	Fort Bend Co MUD #167	Sole Mgr
10/01/2015	Refunding Bonds	4.795	Harris Co MUD #70	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
10/01/2015	Unlimited Tax Refunding Bonds	2.500	Jack Co (Perrin-Whitt) CISD	Sole Mgr
10/01/2015	Unlimited Tax Refunding Bonds	45.275	Tarrant Co (Mansfield) ISD	Co-Mgr
09/30/2015	General Obligation Bonds	9.995	Montgomery Co (Hatboro-Horsham) SD	Sole Mgr
09/30/2015	Infrastructure Prog Revenue Bonds	5.320	California Statewide CDA (CSCDA)	Sole Mgr
09/29/2015	GO Refunding Bonds	27.195	Los Angeles Co (El Monte) UHSD	Sole Mgr
09/29/2015	Future Tax Secured Sub Bonds	50.000	NYC Transitional Finance Auth	Sole Mgr
09/29/2015	Future Tax Secured Sub Bonds	750.000	NYC Transitional Finance Auth	Co-Mgr
09/28/2015	Single Family Mortgage Bonds	22.245	Iowa Finance Authority	Co-Mgr
09/28/2015	Single Family Mortgage Bonds	40.000	Iowa Finance Authority	Sole Mgr
09/28/2015	Single Family Mortgage Bonds	59.010	Iowa Finance Authority	Co-Mgr
09/24/2015	Refunding School Bonds	61.010	Essex Co (Livingston) TSD BOE	Lead Mgr
09/24/2015	GO Capital Facilities Bonds	8.245	Centerville City-Ohio	Sole Mgr
09/24/2015	Rental Housing Bonds	3.070	Minnesota Housing Fin Agency	Sole Mgr
09/24/2015	Rental Housing Bonds	7.450	Minnesota Housing Fin Agency	Sole Mgr
09/24/2015	System 1st Tier Revenue Ref Bonds	354.880	North Texas Tollway Auth (NTTA)	Co-Mgr
09/24/2015	System 1st Tier Revenue Ref Bonds	409.210	North Texas Tollway Auth (NTTA)	Co-Mgr
09/23/2015	General Obligation Bonds	8.449	Warren Co SD	Sole Mgr
09/23/2015	Pledged Excise Tax Rev Oblig	10.470	El Mirage City-Arizona	Sole Mgr
09/23/2015	Refunding School Bonds	4.185	Hunterdon (Bethlehem) TSD BOE	Sole Mgr
09/23/2015	Pledge Revenue Bonds	26.340	Graham Co Jail Dt	Co-Mgr
09/23/2015	GO Class Facs & Sch Imp Ref CABs	.095	Trumbull Co (Southington) LSD	Sole Mgr
09/23/2015	GO Class Facs & Sch Imp Ref Bonds	5.870	Trumbull Co (Southington) LSD	Sole Mgr
09/22/2015	Single Family Mortgage Rev Bonds	55.000	Pennsylvania Housing Fin Agency	Co-Mgr
09/22/2015	Single Family Mortgage Rev Bonds	95.240	Pennsylvania Housing Fin Agency	Co-Mgr
09/17/2015	General Obligation Bonds	9.920	Susquehanna Co (Forest City) RSD	Sole Mgr
09/17/2015	General Obligation Bonds	1.625	Blair Co (Hollidaysburg) ASD	Sole Mgr
09/17/2015	Transportation Revenue Ref Bonds	42.810	Metropolitan Transport Auth (MTA)	Co-Mgr
09/17/2015	Transportation Revenue Ref Bonds	50.665	Metropolitan Transport Auth (MTA)	Co-Mgr
09/17/2015	Transportation Revenue Ref Bonds	69.495	Metropolitan Transport Auth (MTA)	Co-Mgr
09/17/2015	Transportation Revenue Ref Bonds	244.725	Metropolitan Transport Auth (MTA)	Co-Mgr
09/17/2015	Housing Bonds	28.515	Massachusetts Housing Fin Agcy	Co-Mgr
09/16/2015	Cap Grant Rcpts Rev Ref Bonds	176.920	Chicago Transit Authority	Lead Mgr
09/16/2015	GO School Improvement Ref Bonds	8.655	Hamilton Co (Northwest) LSD BOE	Sole Mgr
09/16/2015	System Enterprise Revenue Bonds	42.125	Colorado St Univ Sys Bd of Gov	Co-Mgr
09/16/2015	System Enterprise Revenue Bonds	114.160	Colorado St Univ Sys Bd of Gov	Co-Mgr
09/16/2015	General Obligation Bonds	19.090	Lackawanna Co (North Pocono) SD	Sole Mgr
09/16/2015	General Obligation Bonds	96.210	Alaska Municipal Bond Bank	Lead Mgr
09/16/2015	State Appropriation Bonds	31.095	Minnesota Housing Fin Agency	Lead Mgr
09/15/2015	General Obligation Bonds	8.055	Berks Co (Antietam) SD	Sole Mgr
09/15/2015	GO Refunding Bonds	2.250	Portland City-Texas	Sole Mgr
09/15/2015	Charter School Revenue Ref Bonds	16.675	Utah Charter School Fin Auth	Lead Mgr
09/15/2015	Sr Ln Wstwtr Sys Rev GO Ref Bonds	71.775	Lake Havasu City-Arizona	Co-Mgr
09/15/2015	Sr Lien Wstwtr Sys Rev Ref Bonds	98.300	Lake Havasu City-Arizona	Co-Mgr
09/15/2015	General Obligation Bonds	5.865	Riverside Co (Coachella Valley) USD	Sole Mgr
09/15/2015	GO Refunding Bonds	11.550	Riverside Co (Coachella Valley) USD	Sole Mgr
09/15/2015	Certificates of Participations	7.355	Mesa Co (Valley) SD #51	Sole Mgr
09/15/2015	Home Ownership Revenue Bonds	20.795	Wisconsin Hsg & Econ Dev Auth	Lead Mgr
09/15/2015	Home Ownership Revenue Bonds	44.205	Wisconsin Hsg & Econ Dev Auth	Sole Mgr
09/15/2015	Home Ownership Revenue Bonds	137.855	Wisconsin Hsg & Econ Dev Auth	Lead Mgr
09/15/2015	Limited Tax Refunding Bonds	14.760	Hidalgo Co-Texas	Co-Mgr
09/15/2015	Certificates of Obligation	15.785	Hidalgo Co-Texas	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
09/15/2015	Limited Tax Refunding Bonds	24.990	Hidalgo Co-Texas	Co-Mgr
09/15/2015	Comb Tax Rev Certs of Oblig	9.085	Cleburne City-Texas	Sole Mgr
09/11/2015	GO & Refunding Bonds	39.440	Mount San Antonio Comm College Dt	Lead Mgr
09/11/2015	State Personal Inc Tax Rev Bonds	152.960	NYS Dorm Authority	Co-Mgr
09/11/2015	State Personal Inc Tax Rev Bonds	975.970	NYS Dorm Authority	Co-Mgr
09/10/2015	Sales Tax Improvement Bonds	150.000	Central Puget Sound Reg Tran Au	Co-Mgr
09/10/2015	Sales Tax Improvement & Ref Bonds	792.840	Central Puget Sound Reg Tran Au	Co-Mgr
09/10/2015	College Revenue Bonds	52.075	Pennsylvania St Pub Sch Bldg Auth	Lead Mgr
09/10/2015	Revenue Bonds	415.825	Illinois Finance Authority	Co-Mgr
09/10/2015	General Obligation Bonds	6.800	Eastmark Community Facs Dt #1	Sole Mgr
09/09/2015	Certificates of Participation	121.850	Duval Co School Board	Co-Mgr
09/09/2015	Mortgage Purchase Bonds	25.000	Maine State Housing Authority	Co-Mgr
09/09/2015	Mortgage Purchase Bonds	83.130	Maine State Housing Authority	Co-Mgr
09/09/2015	GO Refunding Bonds	37.395	Santa Clara Co (Alum Rock) UESD	Lead Mgr
09/09/2015	School Refunding Bonds	2.270	Lyndhurst BOE	Sole Mgr
09/09/2015	Various Purpose GO & Ref Bonds	1,925.825	California	Co-Mgr
09/09/2015	General Obligation Bonds	30.000	Larimer Co (Poudre) SD #R-1	Sole Mgr
09/08/2015	Ref Certificates of Participation	32.860	Marion Co School Board	Co-Mgr
09/08/2015	School Imp GO Refunding Bonds	29.005	Hamilton Co (Oak Hills) LSD	Sole Mgr
09/08/2015	School Improvement Bonds	8.510	Maricopa Co (Pendergast) ESD #92	Sole Mgr
09/04/2015	GO Refunding Bonds	19.915	Trenton City-New Jersey	Sole Mgr
09/03/2015	Sewer Revenue Bonds	265.270	Allegheny Co Sanitary Authority	Co-Mgr
09/03/2015	General Obligation Bonds	5.870	Granville Twp-Pennsylvania	Sole Mgr
09/03/2015	School Improvement GO Ref Bonds	11.215	Hamilton Co (Wyoming) SD	Sole Mgr
09/03/2015	Customer Fac Charge Rev Bonds	88.975	Hillsborough Co Aviation Auth	Co-Mgr
09/03/2015	Customer Fac Charge Rev Bonds	294.350	Hillsborough Co Aviation Auth	Co-Mgr
09/03/2015	Special Tax Bonds	11.125	California Statewide CDA (CSCDA)	Sole Mgr
09/03/2015	Multi-Family Dev Revenue Bonds	6.315	Maryland Dept of Hsg & Comm Dev	Co-Mgr
09/03/2015	Airport Revenue Refunding Bonds	97.780	Philadelphia City-Pennsylvania	Lead Mgr
09/02/2015	General Obligation Bonds	12.840	Lancaster Co-Pennsylvania	Sole Mgr
09/02/2015	Health Care System Revenue Bonds	111.255	Minneapolis City-Minnesota	Lead Mgr
09/02/2015	Housing Revenue Bonds	47.525	Wyoming Community Dev Authority	Co-Mgr
09/02/2015	Housing Revenue Bonds	66.600	Wyoming Community Dev Authority	Co-Mgr
09/02/2015	Subordinate Tax Alloc Ref Bonds	22.510	Oakland Redev Successor Agcy	Lead Mgr
09/02/2015	Subordinate Tax Alloc Ref Bonds	66.675	Oakland Redev Successor Agcy	Lead Mgr
09/02/2015	Public Improvement Revenue Bonds	57.360	Riviera Beach City-Florida	Lead Mgr
09/01/2015	Water & Sewer Sys Refunding Bonds	19.915	Tyler City-Texas	Co-Mgr
08/31/2015	General Obligation Bonds	9.970	Blair Co (Hollidaysburg) ASD	Sole Mgr
08/31/2015	Revenue Bonds	13.815	Minnesota Higher Ed Facs Auth	Sole Mgr
08/31/2015	School Facilities Con Ref Bonds	418.625	New Jersey Economic Dev Auth	Co-Mgr
08/31/2015	School Facilities Con & Ref Bonds	1,759.625	New Jersey Economic Dev Auth	Co-Mgr
08/28/2015	Multi-Family Housing Rev Bonds	15.600	Miami-Dade Co Housing Fin Auth	Sole Mgr
08/28/2015	Multi-Family Conduit Rev Bonds	6.450	New Jersey Hsg & Mtg Fin Agency	Sole Mgr
08/27/2015	GO Refunding Bonds	.140	Morrow Co (Highland) LSD	Sole Mgr
08/27/2015	GO Refunding Bonds	8.325	Morrow Co (Highland) LSD	Sole Mgr
08/27/2015	General Obligation Bonds	32.740	Bucks Co (Neshaminy) SD	Sole Mgr
08/27/2015	Single Family Mortgage Rev Bonds	23.090	Missouri Housing Dev Commission	Co-Mgr
08/27/2015	Single Family Mortgage Rev Bonds	50.000	Missouri Housing Dev Commission	Co-Mgr
08/27/2015	Local Govt Infrastructure Bonds	18.865	Maryland Dept of Hsg & Comm Dev	Co-Mgr
08/27/2015	Revenue Refunding Bonds	581.290	NY Convention Center Dev Corp	Co-Mgr
08/25/2015	Revenue Bonds	9.995	Derry Twp Indus & Comm Dev Au	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
08/25/2015	General Obligation Bonds	2.830	Lackawanna Co (Valley View) SD	Sole Mgr
08/25/2015	GO Refunding Bonds	120.205	Yosemite Comm College Dt	Lead Mgr
08/25/2015	GO Refunding Bonds	5.605	La Plata Co (Durango) SD #9-R	Sole Mgr
08/21/2015	Lease Revenue Bonds	1.000	California Comm College Fin Auth	Lead Mgr
08/21/2015	Lease Revenue Bonds	12.000	California Comm College Fin Auth	Lead Mgr
08/20/2015	Homeownership Opportunity Bonds	10.385	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
08/20/2015	Homeownership Opportunity Bonds	22.225	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
08/20/2015	State Revolv Funds Rev Bonds	31.370	NYS Environmental Facs Corp	Co-Mgr
08/20/2015	State Revolv Funds Rev Bonds	80.520	NYS Environmental Facs Corp	Co-Mgr
08/20/2015	Refunding School Bonds	27.395	West Windsor-Plainsboro RSD	Sole Mgr
08/20/2015	Ref Certificates of Participation	100.625	Hillsborough Co SD	Co-Mgr
08/20/2015	State Revolv Funds Rev Bonds	367.455	NYS Environmental Facs Corp	Co-Mgr
08/20/2015	Energy Conservation GO Bonds	3.450	Cincinnati City-Ohio	Lead Mgr
08/20/2015	Various Purp GO Refunding Bonds	14.690	Cincinnati City-Ohio	Lead Mgr
08/20/2015	Unlimited Tax Various Purp Bonds	122.530	Cincinnati City-Ohio	Lead Mgr
08/19/2015	Harbor Revenue Bonds	66.085	Long Beach City-California	Lead Mgr
08/19/2015	Harbor Revenue Bonds	66.865	Long Beach City-California	Lead Mgr
08/19/2015	Infras & St Moral Oblig Rev Bonds	9.315	Virginia Resources Auth (VRA)	Co-Mgr
08/19/2015	Infrastructure Revenue Bonds	42.250	Virginia Resources Auth (VRA)	Co-Mgr
08/18/2015	General Obligation Bonds	8.930	Lancaster Co (Elizabethtown) ASD	Sole Mgr
08/18/2015	Transportation Rev Ref Bonds	72.890	Metropolitan Transport Auth (MTA)	Co-Mgr
08/18/2015	Transportation Rev Ref Bonds	477.110	Metropolitan Transport Auth (MTA)	Co-Mgr
08/18/2015	Ref Certificates of Participation	21.585	San Diego Co (San Ysidro) SD	Sole Mgr
08/17/2015	General Obligation Bonds	35.000	Los Angeles Co (El Monte City) SD	Sole Mgr
08/17/2015	GO Refunding Bonds	10.590	Los Angeles Co (El Monte City) SD	Sole Mgr
08/15/2015	Certificates of Obligation	11.405	Flower Mound Town-Texas	Lead Mgr
08/15/2015	Comb Tax & Rev Certs Of Oblig	1.955	Abilene City-Texas	Co-Mgr
08/15/2015	GO Refunding & Improvement Bonds	37.240	Abilene City-Texas	Co-Mgr
08/15/2015	Unlimited Tax Sch Building Bonds	67.435	Denton Co (Northwest) ISD	Co-Mgr
08/15/2015	Wtr & Swr Rev Ref & Imp Bonds	8.205	Tyler City-Texas	Co-Mgr
08/13/2015	Multi-Family Housing Rev Bonds	64.140	NYC Housing Dev Corp	Lead Mgr
08/13/2015	Subordinate Revenue Bonds	153.915	Hillsborough Co Aviation Auth	Co-Mgr
08/13/2015	Revenue & Subordinated Rev Bonds	167.800	Hillsborough Co Aviation Auth	Co-Mgr
08/13/2015	General Obligation Bonds	750.475	New York City-New York	Co-Mgr
08/12/2015	Revolving Fund Revenue Bonds	45.475	New Mexico Finance Auth (NMFA)	Co-Mgr
08/11/2015	Energy System Refunding Rev Bonds	94.615	Tallahassee City-Florida	Lead Mgr
08/11/2015	Unlimited Tax Refunding Bonds	.205	Tarrant Co (Crowley) ISD	Co-Mgr
08/11/2015	College Revenue Bonds	51.640	Pennsylvania St Pub Sch Bldg Auth	Sole Mgr
08/11/2015	Residential Housing Finance Bonds	18.225	Minnesota Housing Fin Agency	Sole Mgr
08/11/2015	Residential Housing Finance Bonds	43.070	Minnesota Housing Fin Agency	Lead Mgr
08/11/2015	Residential Housing Finance Bonds	63.255	Minnesota Housing Fin Agency	Lead Mgr
08/11/2015	Multi-Family Conduit Rev Bonds	5.895	New Jersey Hsg & Mtg Fin Agency	Sole Mgr
08/07/2015	Homeownership Opportunity Bonds	26.370	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
08/06/2015	Housing Mtg Finance Prog Bonds	10.800	Connecticut Housing Finance Auth	Co-Mgr
08/06/2015	Housing Mtg Finance Prog Bonds	45.000	Connecticut Housing Finance Auth	Sole Mgr
08/06/2015	Housing Mtg Finance Prog Bonds	105.000	Connecticut Housing Finance Auth	Co-Mgr
08/06/2015	GO Refunding Bonds	2.915	Glassboro Boro-New Jersey	Sole Mgr
08/05/2015	Refunding School Bonds	12.085	Metuchen Borough BOE	Sole Mgr
08/05/2015	Systemwide Revenue Bonds	29.305	California State Univ Trustees	Co-Mgr
08/05/2015	Systemwide Revenue Bonds	1,034.370	California State Univ Trustees	Co-Mgr
08/04/2015	Revenue Bonds	11.925	Wilkes-Barre Finance Authority	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
08/04/2015	Revenue Bonds	36.025	Wilkes-Barre Finance Authority	Lead Mgr
08/04/2015	General Obligation Bonds	28.000	Los Angeles Co (Azusa) USD	Sole Mgr
08/04/2015	General Obligation Bonds	7.500	Adams Co (Hastings) PSD #18	Sole Mgr
08/01/2015	Unltd Tax School Building Bonds	11.710	San Patricio Co (Gregory-Portland) ISD	Co-Mgr
08/01/2015	School Building Bonds	8.905	Bee Co (Pettus) ISD	Lead Mgr
08/01/2015	Unlimited Tax School Bldg Bonds	43.290	Tarrant Co (Mansfield) ISD	Co-Mgr
08/01/2015	Unlimited Tax Sch Bldg &Ref Bonds	66.355	Collin Co (McKinney) ISD	Lead Mgr
08/01/2015	Unltd Tax School Building Bonds	8.150	Atascosa Co (Pleasanton) ISD	Co-Mgr
08/01/2015	Unltd Tax School Building Bonds	50.625	Atascosa Co (Pleasanton) ISD	Co-Mgr
08/01/2015	Unlimited Tax Refunding Bonds	3.083	Denton Co (Northwest) ISD	Co-Mgr
08/01/2015	Unlimited Tax Refunding Bonds	118.740	Denton Co (Northwest) ISD	Co-Mgr
08/01/2015	Unltd Tax School Building Bonds	30.730	Tarrant Co (Crowley) ISD	Co-Mgr
08/01/2015	Limited Tax Bonds	2.175	Mills Co-Texas	Lead Mgr
08/01/2015	Limited Tax Bonds	4.675	Mills Co-Texas	Lead Mgr
08/01/2015	Unlimited Tax Refunding Bonds	34.055	Cameron Co (Los Fresnos) CISD	Co-Mgr
08/01/2015	Unlimited Tax Refunding Bonds	61.270	Bexar Co (Judson) ISD	Lead Mgr
08/01/2015	Unlimited Tax Refunding Bonds	8.645	Gray Co (Pampa) ISD	Sole Mgr
07/31/2015	Sewer Revenue Bonds	5.595	Lower Lackawanna Sanitary Auth	Sole Mgr
07/30/2015	Revenue Bonds	10.000	Lancaster Co Hospital Auth	Co-Mgr
07/30/2015	Rental Housing Bonds	6.620	Minnesota Housing Fin Agency	Sole Mgr
07/30/2015	Sales Tax Revenue Bonds	1,523.100	NYS Dorm Authority	Lead Mgr
07/29/2015	General Obligation Bonds	9.995	Montgomery Co (Colonial) SD	Sole Mgr
07/29/2015	Single Family Mortgage Prog Bonds	13.812	New Mexico Mortgage Fin Auth	Lead Mgr
07/27/2015	Multi-Family Mortgage Rev Bonds	10.000	Florida Housing Finance Corp	Sole Mgr
07/23/2015	General Obligation Bonds	57.000	Douglas Co (Westside) CSD #066	Sole Mgr
07/22/2015	Higher Education Fac Rev Bonds	59.080	Ohio Higher Ed Fac Commission	Lead Mgr
07/22/2015	Mortgage Purchase Bonds	30.000	Maine State Housing Authority	Co-Mgr
07/22/2015	Passenger Facs Ref Rev Bonds	98.965	Clark Co-Nevada	Co-Mgr
07/22/2015	State Hwy Fund 1st Tier Ref Bonds	781.080	Texas Transportation Commission	Co-Mgr
07/22/2015	GO & Refunding Bonds	159.640	Riverside Co (Desert Sands) USD	Sole Mgr
07/21/2015	Grant & Revenue Antic Ref Bonds	172.540	Idaho Housing & Fin Assoc	Co-Mgr
07/21/2015	School Lease Revenue Bonds	9.710	Chester Co School Auth	Sole Mgr
07/16/2015	Electric System Revenue Bonds	9.150	Jacksonville Electric Authority	Co-Mgr
07/16/2015	Electric System Revenue Bonds	33.205	Jacksonville Electric Authority	Co-Mgr
07/15/2015	General Obligation Bonds	9.985	Montgomery Co (Upper Moreland) TSD	Sole Mgr
07/15/2015	Clean Water Revenue Ref Bonds	133.235	Wisconsin	Co-Mgr
07/15/2015	Special Tax Refunding Bonds	33.015	California Statewide CDA (CSCDA)	Sole Mgr
07/15/2015	Unltd Tax School Building Bonds	46.010	Johnson Co (Joshua) ISD	Lead Mgr
07/15/2015	Sewer Revenue Bonds	.110	Shamokin-Coal Twp Jt Sewer Auth	Sole Mgr
07/15/2015	Sewer Revenue Bonds	2.760	Shamokin-Coal Twp Jt Sewer Auth	Sole Mgr
07/15/2015	Airport System Rev Ref Bonds	30.490	Metro Washington Airports Auth	Lead Mgr
07/15/2015	Airport System Rev Ref Bonds	35.975	Metro Washington Airports Auth	Lead Mgr
07/15/2015	Airport System Rev & Ref Bonds	51.900	Metro Washington Airports Auth	Lead Mgr
07/15/2015	Airport System Rev & Ref Bonds	227.335	Metro Washington Airports Auth	Lead Mgr
07/15/2015	GO Refunding Bonds	8.305	Monterey Co (Pacific Grove) USD	Sole Mgr
07/15/2015	Special Assessment Bonds	3.745	Willow Walk Community Dev Dt	Sole Mgr
07/15/2015	Unlimited Tax Sch Building Bonds	2.850	Gray Co (Lefors) ISD	Sole Mgr
07/15/2015	Utility Sys Rev Ref & Imp Bonds	41.565	Weatherford City-Texas	Co-Mgr
07/15/2015	Comb Tax & Surplus Rev Cert Oblig	14.965	Frisco City-Texas	Co-Mgr
07/15/2015	GO Refunding & Improvement Bonds	107.710	Frisco City-Texas	Co-Mgr
07/15/2015	Unlimited Tax Refunding Bonds	29.525	Tarrant Co (Crowley) ISD	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
07/15/2015	Unltd Tax School Building Bonds	82.070	Harrison Co (Marshall) ISD	Co-Mgr
07/15/2015	Unltd Tax School Building Bonds	17.770	Collin Co (Lovejoy) ISD	Lead Mgr
07/15/2015	Unltd Tax School Building Bonds	17.165	Oldham Co (Vega) ISD	Co-Mgr
07/15/2015	Unlimited Tax Sch Bldg Bonds	10.935	Hill Co (Whitney) ISD	Lead Mgr
07/15/2015	Tax & Wtr & Swr Rev Cert Oblig	4.080	Lancaster City-Texas	Co-Mgr
07/15/2015	GO Refunding & Improvement Bonds	22.530	Lancaster City-Texas	Co-Mgr
07/13/2015	General Obligation Bonds	27.975	Adams Co (Littlestown) ASD	Sole Mgr
07/09/2015	Certificates of Participation	6.505	San Diego Co (Bonsall) USD	Sole Mgr
07/08/2015	General Obligation Bonds	5.790	Wyoming Co (Lackawanna Trail) SD	Sole Mgr
07/08/2015	General Obligation Bonds	8.060	Lehigh Co (Parkland) SD	Sole Mgr
07/08/2015	Aviation Revenue Refunding Bonds	38.500	Miami-Dade Co-Florida	Lead Mgr
07/08/2015	Aviation Rev & Ref Rev Bonds	498.340	Miami-Dade Co-Florida	Lead Mgr
07/08/2015	Revenue Bonds	130.440	Philadelphia Auth for Indus Dev	Co-Mgr
07/08/2015	Ref Certificates of Participation	25.340	Riverside Co (Desert Sands) USD	Sole Mgr
07/08/2015	GO Refunding Bonds	138.795	Philadelphia City-Pennsylvania	Co-Mgr
07/07/2015	General Obligation Bonds	10.000	Erie Co (North East) SD	Sole Mgr
07/07/2015	GO & Refunding Bonds	38.260	Los Angeles Co (Charter Oak) USD	Sole Mgr
07/07/2015	General Obligation Bonds	60.000	Los Angeles Co (Santa Monica-Malibu) USD	Co-Mgr
07/07/2015	School Improvement Ref Bonds	.260	Lawrence Co (Ironton City) SD	Sole Mgr
07/07/2015	School Improvement Ref Bonds	3.695	Lawrence Co (Ironton City) SD	Sole Mgr
07/02/2015	Guaranteed Sewer Revenue Bonds	5.705	Butler Co Mun Auth	Sole Mgr
07/02/2015	Revenue & Refunding Bonds	21.465	Connecticut Hghr Ed Supp Loan Auth	Sole Mgr
07/02/2015	GO Refunding Bonds	23.350	Lauderhill City-Florida	Sole Mgr
07/02/2015	General Obligation Bonds	12.000	Los Angeles Co (Little Lake) SD	Sole Mgr
07/02/2015	Clean Wtr & Drinking Rev Bonds	219.770	NYS Environmental Facs Corp	Co-Mgr
07/02/2015	Multi-Family Mtg Hsg Rev Bonds	14.000	West Virginia Housing Dev Fund	Sole Mgr
07/01/2015	Unlimited Tax Refunding Bonds	21.405	Harris Co MUD #278	Lead Mgr
07/01/2015	Unlimited Tax Refunding Bonds	9.970	Harris Co MUD #400	Lead Mgr
07/01/2015	Unlimited Tax Refunding Bonds	3.460	Grand Mission MUD #2	Co-Mgr
07/01/2015	Sales Tax Revenue Bonds	3.420	Portland City-Texas	Sole Mgr
07/01/2015	Comb Util Sys 1st Lien Ref Bonds	62.650	Houston City-Texas	Co-Mgr
07/01/2015	Unlimited Tax Rev Refunding Bonds	.110	Spring West MUD	Lead Mgr
07/01/2015	Unlimited Tax Rev Refunding Bonds	4.375	Spring West MUD	Lead Mgr
07/01/2015	Water Supply Sys Reg Rev Bonds	22.770	Brazosport Water Authority	Co-Mgr
07/01/2015	Unltd Tax School Bldg Bonds	67.175	Parker Co (Weatherford) ISD	Co-Mgr
07/01/2015	Unlimited Tax Refunding Bonds	6.640	West Harris Co MUD #7	Lead Mgr
07/01/2015	Util Sys Jr Ln Rev&Ref Imp Bonds	148.375	Corpus Christi City-Texas	Co-Mgr
07/01/2015	Unlimited Tax Refunding Bonds	5.165	Harris Co MUD #410	Co-Mgr
07/01/2015	Unlimited Tax Refunding Bonds	5.150	Harris Co Utility Dt #16	Lead Mgr
07/01/2015	Unltd Tax School Building Bonds	86.315	Montgomery Co (New Caney) ISD	Co-Mgr
07/01/2015	Refunding Bonds	8.635	Lubbock Co (Roosevelt) ISD	Sole Mgr
07/01/2015	Unlimited Tax Refunding Bonds	28.950	Hood Co (Granbury) ISD	Co-Mgr
07/01/2015	Unltd Tax School Building Bonds	19.725	Comal ISD	Co-Mgr
07/01/2015	Unltd Tax School Building Bonds	118.755	Comal ISD	Co-Mgr
06/30/2015	General Obligation Notes	6.180	Perry Co (Susquenita) SD	Sole Mgr
06/30/2015	Revenue Fin System Ref Bonds	3.755	Texas Public Finance Auth (TPFA)	Co-Mgr
06/30/2015	Revenue Fin System & Ref Bonds	53.335	Texas Public Finance Auth (TPFA)	Co-Mgr
06/30/2015	GO Unltd Tax School Imp Bonds	30.290	Cuyahoga Co (Cleveland) MSD	Lead Mgr
06/30/2015	GO Unltd Tax School Imp Bonds	49.200	Cuyahoga Co (Cleveland) MSD	Lead Mgr
06/30/2015	GO Unltd Tax School Imp Bonds	120.510	Cuyahoga Co (Cleveland) MSD	Lead Mgr
06/30/2015	Refunding Revenue Bonds	29.195	California Statewide CDA (CSCDA)	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
06/30/2015	General Obligation Bonds	7.075	Perry Co (Susquenita) SD	Sole Mgr
06/29/2015	Multi-Family Conduit Rev Bonds	1.890	New Jersey Hsg & Mtg Fin Agency	Sole Mgr
06/29/2015	Multi-Family Conduit Rev Bonds	9.860	New Jersey Hsg & Mtg Fin Agency	Sole Mgr
06/26/2015	General Obligation Bonds	10.000	Bristol Twp-Pennsylvania	Sole Mgr
06/25/2015	Wells Hydroelectric Rev Ref Bonds	24.155	Douglas Co Public Utility Dt #1	Co-Mgr
06/25/2015	Refunding Revenue Bonds	31.675	Douglas Co Public Utility Dt #1	Co-Mgr
06/25/2015	GO Refunding Bonds	32.545	Long Beach Comm College Dt	Lead Mgr
06/25/2015	Certificates of Participation	185.580	Maricopa Co-Arizona	Co-Mgr
06/25/2015	Housing Bonds	35.785	Massachusetts Housing Fin Agcy	Co-Mgr
06/25/2015	Multi-Family Housing Rev Bonds	2.900	NYC Housing Dev Corp	Co-Mgr
06/25/2015	Multi-Family Housing Rev Bonds	7.200	NYC Housing Dev Corp	Co-Mgr
06/25/2015	Multi-Family Housing Rev Bonds	20.475	NYC Housing Dev Corp	Co-Mgr
06/25/2015	Multi-Family Housing Rev Bonds	30.000	NYC Housing Dev Corp	Co-Mgr
06/25/2015	Multi-Family Housing Rev Bonds	182.490	NYC Housing Dev Corp	Co-Mgr
06/25/2015	Multi-Family Housing Rev Bonds	254.100	NYC Housing Dev Corp	Co-Mgr
06/25/2015	Revenue Bonds	750.000	NYC Transitional Finance Auth	Co-Mgr
06/24/2015	Non Ad Valorem Ref Revenue Bonds	48.640	Lee Co-Florida	Co-Mgr
06/24/2015	General Obligation CABs	5.305	San Mateo Co (So San Francisco) USD	Sole Mgr
06/24/2015	General Obligation Bonds	20.695	San Mateo Co (So San Francisco) USD	Sole Mgr
06/24/2015	General Revenue Bonds	21.315	Utah State Board of Regents	Sole Mgr
06/24/2015	Grad & Prof Stud Loan Rev Bonds	15.000	Illinois Finance Authority	Sole Mgr
06/24/2015	Revenue Bonds	15.000	Glendale Industrial Dev Auth	Sole Mgr
06/23/2015	General Obligation Bonds	8.865	Lancaster Co (Elizabethtown) ASD	Sole Mgr
06/23/2015	Housing Finance Program Bonds	46.100	North Dakota Housing Fin Agency	Lead Mgr
06/23/2015	GO Refunding Bonds	26.300	Yuma Co Free Library Dt	Sole Mgr
06/23/2015	Capital Improvement Revenue Bonds	13.000	St Pete Beach City-Florida	Co-Mgr
06/23/2015	Hsg Mtg Finance Program Bonds	17.700	North Dakota Housing Fin Agency	Sole Mgr
06/19/2015	GO Refunding Bonds	24.530	Los Angeles Co (Monrovia) USD	Sole Mgr
06/18/2015	Production System Rev Ref Bonds	15.645	Cowlitz Co Public Utility Dt #1	Co-Mgr
06/18/2015	Electric Dist Rev & Ref Bonds	41.500	Cowlitz Co Public Utility Dt #1	Co-Mgr
06/18/2015	General Obligation Bonds	50.000	New York City-New York	Co-Mgr
06/18/2015	General Obligation Bonds	300.000	New York City-New York	Co-Mgr
06/18/2015	General Obligation Bonds	316.690	New York City-New York	Co-Mgr
06/18/2015	GO & Refunding Bonds	93.405	Alameda USD	Lead Mgr
06/18/2015	General Obligation Bonds	50.000	New York City-New York	Sole Mgr
06/17/2015	GO Unlimited Tax Refunding Bonds	162.800	King (Lake Washington) SD #414	Co-Mgr
06/17/2015	General Obligation Bonds	16.440	Berks Co (Fleetwood) ASD	Sole Mgr
06/17/2015	General Obligation Bonds	20.000	Tucson City-Arizona	Lead Mgr
06/17/2015	GO Refunding Bonds	36.535	Tucson City-Arizona	Co-Mgr
06/16/2015	General Obligation Bonds	8.070	Lehigh Co (Parkland) SD	Sole Mgr
06/16/2015	Refunding & Improvement COP	3.455	Woodland Park City-Colorado	Sole Mgr
06/15/2015	Unlimited Tax Refunding Bonds	7.965	Gregg Co (Pine Tree) ISD	Co-Mgr
06/15/2015	Refunding Bonds	5.500	Denton Co (Krum) ISD	Co-Mgr
06/15/2015	Unlimited Tax Refunding Bonds	3.550	Parker Co (Peaster) ISD	Sole Mgr
06/15/2015	Unlimited Tax Refunding CABs	.089	Concho Co (Paint Rock) ISD	Sole Mgr
06/15/2015	Unlimited Tax Refunding Bonds	2.070	Concho Co (Paint Rock) ISD	Sole Mgr
06/15/2015	GO Refunding Bonds	5.705	Floresville City-Texas	Sole Mgr
06/12/2015	Student Loan Backed Notes	415.500	Utah State Board of Regents	Sole Mgr
06/11/2015	Residential Finance Program Bonds	19.500	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
06/11/2015	Residential Finance Program Bonds	25.400	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
06/11/2015	Residential Finance Program Bonds	105.100	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
06/11/2015	Affordable Housing Revenue Bonds	59.835	NYS Housing Fin-Mortgage Agcy	Co-Mgr
06/10/2015	Revenue Bonds	128.290	NYS Dorm Authority	Lead Mgr
06/10/2015	Excise Tax Revenue Obligations	11.810	Tempe City-Arizona	Sole Mgr
06/10/2015	GO Imp & Parking & School Bonds	32.780	Bayonne City-New Jersey	Sole Mgr
06/10/2015	Certificates of Participation	23.875	Pompano Beach City-Florida	Sole Mgr
06/10/2015	District GO Refunding Bonds	7.015	Goodyear Comm Facs Util Dt #1	Sole Mgr
06/09/2015	Sales Tax Revenue Refunding Bonds	111.715	Chicago City-Illinois	Lead Mgr
06/04/2015	General Obligation Notes	9.580	Northampton Co (Bethlehem) ASD	Co-Mgr
06/04/2015	General Obligation Bonds	33.725	Northampton Co (Bethlehem) ASD	Co-Mgr
06/04/2015	General Obligation Bonds	76.045	Pembroke Pines City-Florida	Lead Mgr
06/04/2015	Revenue Bonds	128.545	Illinois Finance Authority	Co-Mgr
06/04/2015	General Obligation Bonds	7.045	Alaska Municipal Bond Bank	Sole Mgr
06/04/2015	General Obligation Bonds	18.285	Alaska Municipal Bond Bank	Sole Mgr
06/04/2015	GO & Refunding Bonds	34.220	Alaska Municipal Bond Bank	Lead Mgr
06/03/2015	Unlimited Tax Refunding Bonds	4.310	Dallas Co (Mesquite) ISD	Co-Mgr
06/03/2015	GO Refunding Bonds	312.420	Los Angeles Comm College Dt	Co-Mgr
06/03/2015	GO Refunding Bonds	14.010	San Diego Co (San Ysidro) SD	Sole Mgr
06/03/2015	GO Refunding Bonds	31.633	San Diego Co (San Ysidro) SD	Sole Mgr
06/03/2015	General Obligation Bonds	4.115	Chester Co (Avon Grove) SD	Sole Mgr
06/02/2015	Coastal Account Sr Secured Bonds	50.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/02/2015	Coastal Account Sr Secured Bonds	150.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/02/2015	Coastal Account Sr Secured Bonds	225.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/02/2015	Coastal Account Sr Secured Bonds	275.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/02/2015	Coastal Account Sr Secured Bonds	300.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/01/2015	Unlimited Tax Refunding Bonds	12.790	Grand Mission MUD #1	Co-Mgr
06/01/2015	Unlimited Tax Refunding Bonds	5.965	Brazoria Co MUD #29	Lead Mgr
06/01/2015	Unlimited Tax Refunding Bonds	8.705	Rusk Co (Henderson) ISD	Lead Mgr
06/01/2015	Unlimited Tax Refunding Bonds	5.065	Harris Co MUD #220	Lead Mgr
06/01/2015	Unlimited Tax Refunding Bonds	3.065	Fort Bend Co MUD #24	Lead Mgr
06/01/2015	Unlimited Tax Refunding Bonds	12.765	Fort Bend Co MUD #50	Co-Mgr
06/01/2015	Unlimited Tax School Bldg Bonds	8.650	Gonzales Co (Gonzales) ISD	Sole Mgr
06/01/2015	Unlimited Tax Refunding Bonds	2.700	Harris Co MUD #420	Lead Mgr
06/01/2015	Unlimited Tax Refunding Bonds	1.895	Brazoria Co MUD #18	Co-Mgr
06/01/2015	Limited Tax Bonds	165.195	Austin Community College Dt	Co-Mgr
06/01/2015	GO Refunding Bonds	17.605	League City-Texas	Co-Mgr
06/01/2015	Consolidated Bonds	250.000	Port Authority of NY & NJ	Lead Mgr
06/01/2015	Unlimited Tax Refunding Bonds	8.270	Fort Bend Co MUD #30	Co-Mgr
06/01/2015	Education Revenue Bonds	52.150	Arlington Higher Ed Fin Corp	Sole Mgr
06/01/2015	Refunding Bonds	5.180	Fort Bend Co MUD #144	Sole Mgr
06/01/2015	Education Revenue Ref Bonds	1.955	Danbury Higher Ed Auth Inc	Sole Mgr
06/01/2015	Education Revenue Ref Bonds	5.095	Danbury Higher Ed Auth Inc	Sole Mgr
06/01/2015	Maintenance Tax Notes	3.600	Cameron Co (Brownsville) ISD	Sole Mgr
06/01/2015	Unlimited Tax Refunding Bonds	10.295	Cameron Co (Brownsville) ISD	Sole Mgr
06/01/2015	Education Revenue Ref Bonds	.185	Clyde Education Facs Corp	Sole Mgr
06/01/2015	Education Revenue Ref Bonds	6.055	Clyde Education Facs Corp	Sole Mgr
06/01/2015	Education Revenue Bonds	11.815	Arlington Higher Ed Fin Corp	Sole Mgr
06/01/2015	Education Revenue Bonds	.445	La Vernia Higher Ed Fin Corp	Lead Mgr
06/01/2015	Education Revenue Bonds	29.640	La Vernia Higher Ed Fin Corp	Lead Mgr
06/01/2015	Unlimited Tax Sch Building Bonds	220.300	Tarrant Co (Arlington) ISD	Co-Mgr
05/28/2015	Revenue Refunding Bonds	187.345	Nebraska Pub Pwr Generation Agcy	Co-Mgr
05/28/2015	Higher Ed Fac Facility Rev Bonds	39.400	Ohio Higher Ed Fac Commission	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
05/28/2015	Housing Finance Program Bonds	69.120	Tennessee Hsg Dev Agcy (THDA)	Lead Mgr
05/28/2015	Housing Finance Program Bonds	94.730	Tennessee Hsg Dev Agcy (THDA)	Lead Mgr
05/28/2015	General Obligation Bonds	43.965	Tempe City-Arizona	Sole Mgr
05/28/2015	Housing Revenue Bonds	13.395	Maryland Dept of Hsg & Comm Dev	Lead Mgr
05/28/2015	Homeownership Prog Ref Bonds	69.370	Washington State Hsg Fin Comm	Lead Mgr
05/28/2015	Single Family Hsg Rev Ref Bonds	4.971	Pinellas Co Housing Fin Auth	Lead Mgr
05/28/2015	Single Family Housing Rev Bonds	15.230	Pinellas Co Housing Fin Auth	Lead Mgr
05/28/2015	Certificates of Participation	4.920	Los Angeles Co (Azusa) USD	Sole Mgr
05/28/2015	GO Refunding Bonds	124.780	Luzerne Co-Pennsylvania	Co-Mgr
05/28/2015	Wtr Swr Sys 2nd Gen Res Rev Bonds	452.530	NYC Municipal Water Fin Auth	Co-Mgr
05/27/2015	Water Revenue Bonds	30.410	Cleveland City-Ohio	Lead Mgr
05/27/2015	Water Revenue Bonds	85.795	Cleveland City-Ohio	Lead Mgr
05/27/2015	General Obligation Bonds	9.965	Lackawanna Co (Mid Valley) SD	Sole Mgr
05/27/2015	School Improvement Bonds	35.000	Maricopa Co (Mesa) USD #4	Sole Mgr
05/26/2015	Homeownership Finance Bonds	40.226	Minnesota Housing Fin Agency	Lead Mgr
05/21/2015	General Obligation Bonds	9.730	York Co (Northern York) SD	Sole Mgr
05/21/2015	General Obligation Bonds	6.135	Luzerne Co (Crestwood) SD	Sole Mgr
05/21/2015	GO Refunding Serial Bonds	4.545	Albany City-New York	Sole Mgr
05/21/2015	GO Refunding Bonds	4.670	Albany City-New York	Sole Mgr
05/21/2015	Water and Sewer Rev Ref Bonds	67.595	Okaloosa Co-Florida	Co-Mgr
05/21/2015	GO Refunding Bonds	26.305	Weld Co (Windsor) SD #RE-4	Sole Mgr
05/21/2015	General Obligation Bonds	14.205	Lancaster Co (Penn Manor) SD	Sole Mgr
05/21/2015	General Fund Bonds	9.860	Woodland Park City-Colorado	Sole Mgr
05/21/2015	Water Refunding Bonds	1.375	Ringwood Boro-New Jersey	Sole Mgr
05/21/2015	Certificates of Participation	6.630	Greene Co (Greeneview) LSD	Sole Mgr
05/20/2015	General Obligation Bonds	6.555	Schuylkill Co (Pine Grove) ASD	Sole Mgr
05/20/2015	General Obligation Bonds	7.485	Orwigsburg-Pennsylvania	Sole Mgr
05/20/2015	GO Refunding Bonds	4.160	Mount Olive Twp-New Jersey	Sole Mgr
05/20/2015	Multi-Family Housing Rev Bonds	9.250	Florida Housing Finance Corp	Sole Mgr
05/19/2015	GO Refunding Bonds	29.955	San Mateo-Foster City SD	Co-Mgr
05/19/2015	General Obligation Bonds	4.125	Delaware Co (Radnor) TSD	Co-Mgr
05/19/2015	Single Family Mortgage Rev Bonds	60.000	Missouri Housing Dev Commission	Co-Mgr
05/15/2015	Unlimited Tax Refunding Bonds	2.587	Denton Co (Lewisville) ISD	Lead Mgr
05/15/2015	Unlimited Tax Refunding Bonds	61.645	Denton Co (Lewisville) ISD	Lead Mgr
05/15/2015	Unlimited Tax Refunding Bonds	.024	Bexar Co (East Central) ISD	Co-Mgr
05/15/2015	Unlimited Tax Refunding Bonds	8.570	Bexar Co (East Central) ISD	Co-Mgr
05/15/2015	General Revenue Bonds	225.000	Triborough Bridge & Tunnel Auth	Co-Mgr
05/15/2015	Unlimited Tax Refunding Bonds	.105	El Paso Co (Socorro) ISD	Lead Mgr
05/15/2015	Unlimited Tax Refunding Bonds	11.200	El Paso Co (Socorro) ISD	Lead Mgr
05/15/2015	Unlimited Tax Refunding Bonds	17.525	El Paso Co (Socorro) ISD	Co-Mgr
05/15/2015	Unlimited Tax Refunding Bonds	31.255	Tarrant Co (Crowley) ISD	Co-Mgr
05/15/2015	Unltd Tax School Building Bonds	7.580	Dallas Co (Garland) ISD	Co-Mgr
05/15/2015	Unltd Tax School Bldg & Ref Bonds	185.740	Dallas Co (Garland) ISD	Co-Mgr
05/15/2015	Unltd Tax School Bldg & Ref Bonds	139.525	Collin Co (Frisco) ISD	Co-Mgr
05/15/2015	Unlimited Tax Refunding Bonds	14.480	Starr Co (Rio Grande) CISD	Lead Mgr
05/15/2015	Unlimited Tax Refunding Bonds	8.025	Denton Co (Krum) ISD	Co-Mgr
05/15/2015	Limited Tax Refunding Bonds	8.665	Amarillo Junior College Dt	Sole Mgr
05/15/2015	Unlimited Tax School Bldg Bonds	88.170	Dallas Co (Duncanville) ISD	Lead Mgr
05/15/2015	Limited Tax GO Refunding Bonds	9.000	Dawson Co Hospital Dt	Lead Mgr
05/14/2015	General Obligation Bonds	6.350	Adams Co (Fairfield) ASD	Sole Mgr
05/14/2015	GO & Refunding Bonds	42.525	Kyle City-Texas	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
05/14/2015	Sewer Revenue Bonds	5.470	Berks-Montgomery Muni Auth	Sole Mgr
05/14/2015	Water Control & Imp Ref Bonds	14.460	Northern Palm Beach Co Imp Dt	Co-Mgr
05/14/2015	General Obligation Bonds	10.115	Wyoming Co (Tunkhannock) ASD	Sole Mgr
05/14/2015	GO Improvement & Refunding Bonds	113.405	King Co (Snoqualmie Vlly) SD #410	Co-Mgr
05/14/2015	Student Housing Ref Rev Bonds	16.905	Maryland Eco Dev Corp (MEDCO)	Sole Mgr
05/14/2015	Hospital Revenue Bonds	115.000	Regents of New Mexico State Univ	Sole Mgr
05/13/2015	Tax Increment Contract Rev Bonds	41.505	Downtown Redevelopment Auth	Co-Mgr
05/13/2015	General Obligation Bonds	1.931	San Bernardino Co (Central) SD	Sole Mgr
05/13/2015	General Obligation Bonds	19.795	San Bernardino Co (Central) SD	Sole Mgr
05/12/2015	General Obligation Bonds	9.435	York Co (Dallastown) ASD	Sole Mgr
05/12/2015	Certificates of Participation	28.160	Los Angeles (Hacienda-La Puente) USD	Lead Mgr
05/12/2015	Affordable Housing Revenue Bonds	2.050	NYS Housing Fin-Mortgage Agcy	Co-Mgr
05/12/2015	Affordable Housing Revenue Bonds	4.210	NYS Housing Fin-Mortgage Agcy	Co-Mgr
05/12/2015	Affordable Housing Revenue Bonds	26.830	NYS Housing Fin-Mortgage Agcy	Co-Mgr
05/08/2015	General Obligation Bonds	9.765	Bethlehem City-Pennsylvania	Sole Mgr
05/07/2015	Hospital Revenue Refunding Bonds	287.395	Indiana Finance Authority	Lead Mgr
05/07/2015	GO Refunding Bonds	10.690	Cherry Hill Twp-New Jersey	Sole Mgr
05/07/2015	Harbor Revenue Refunding Bonds	20.130	Long Beach City-California	Lead Mgr
05/07/2015	Harbor Revenue Refunding Bonds	44.845	Long Beach City-California	Lead Mgr
05/07/2015	GO Refunding Bonds	2.325	La Honda-Pescadero USD	Sole Mgr
05/07/2015	School Improvement Bonds	15.775	Pima Co (Sunnyside) USD #12	Sole Mgr
05/07/2015	General Resolution Ref Bonds	20.380	Schenectady Metroplex Dev Auth	Sole Mgr
05/07/2015	General Obligation Bonds	9.420	Miami-Dade Co-Florida	Lead Mgr
05/07/2015	General Obligation Bonds	233.665	Miami-Dade Co-Florida	Lead Mgr
05/07/2015	Student Housing Rev Bonds	.905	Illinois Finance Authority	Sole Mgr
05/07/2015	Student Housing Rev Bonds	38.595	Illinois Finance Authority	Sole Mgr
05/07/2015	Refunding Revenue Bonds	7.155	Jacksonville Electric Authority	Lead Mgr
05/07/2015	Refunding Revenue Bonds	65.970	Jacksonville Electric Authority	Lead Mgr
05/06/2015	General Obligation Bonds	9.070	Hazelton Area School Authority	Sole Mgr
05/06/2015	General Obligation CABs	45.170	San Bernardino Co (Chaffey) JUHSD	Sole Mgr
05/06/2015	General Obligation Bonds	104.825	San Bernardino Co (Chaffey) JUHSD	Sole Mgr
05/06/2015	Ref Certificates of Participation	77.015	Univ of So Florida (USF) Fin Corp	Co-Mgr
05/06/2015	State Revolving Fund Rev Bonds	250.000	Connecticut	Co-Mgr
05/05/2015	Water Revenue Bonds	18.050	North Penn Water Authority	Sole Mgr
05/05/2015	General Obligation Bonds	5.530	Montgomery Co (Methacton) SD	Sole Mgr
05/05/2015	GO Refunding Bonds	33.855	Sarasota City-Florida	Co-Mgr
05/05/2015	Revenue Bonds	47.385	East Hempfield Twp Ind Dev Auth	Sole Mgr
05/05/2015	Certificates of Participation	2.500	Carroll Co (Conotton Vlly) ULSD BOE	Sole Mgr
05/01/2015	Unlimited Tax Refunding Bonds	7.770	Harris Co MUD #368	Lead Mgr
05/01/2015	Unlimited Tax Refunding Bonds	42.475	Fort Bend Co MUD #25	Lead Mgr
05/01/2015	Unlimited Tax Refunding Bonds	16.379	Ellis Co (Ennis) ISD	Lead Mgr
05/01/2015	Unlimited Tax Refunding Bonds	54.090	Ellis Co (Ennis) ISD	Lead Mgr
05/01/2015	Unlimited Tax Refunding Bonds	47.375	Brazoria-Fort Bend Co MUD #1	Lead Mgr
05/01/2015	Unlimited Tax Refunding Bonds	50.255	Dallas Co (Mesquite) ISD	Co-Mgr
05/01/2015	Unlimited Tax Refunding Bonds	51.495	Bexar Co (North East) ISD	Lead Mgr
05/01/2015	Unltd Tax School Building Bonds	16.340	Collin Co (Richardson) ISD	Co-Mgr
05/01/2015	Unlimited Tax Refunding Bonds	18.205	Collin Co (Richardson) ISD	Co-Mgr
05/01/2015	Unlimited Tax Refunding Bonds	8.355	Moore Co (Dumas) ISD	Lead Mgr
04/30/2015	General Obligation Bonds	6.250	Smithfield Twp-Pennsylvania	Sole Mgr
04/30/2015	Certificates of Participation	221.640	Palm Beach Co School Board	Co-Mgr
04/30/2015	Airport Sys Revenue Bonds	59.915	Clark Co-Nevada	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
04/30/2015	General Obligation Bonds	6.545	Lebanon Co-Pennsylvania	Sole Mgr
04/30/2015	General Obligation Bonds	19.680	Montgomery Co (Souderton) ASD	Sole Mgr
04/30/2015	Health Facilities Revenue Bonds	150.000	Missouri Hlth & Ed Facs Authority	Lead Mgr
04/30/2015	Refunding School Bonds	9.715	Monmouth Co (Colts Neck) TSD BOE	Sole Mgr
04/30/2015	Refunding Bonds	23.230	Wood Co (Bowling Green City) SD	Sole Mgr
04/30/2015	College Revenue Refunding Bonds	40.395	Pennsylvania St Pub Sch Bldg Auth	Sole Mgr
04/30/2015	Revenue Bonds	2.995	New Jersey Economic Dev Auth	Sole Mgr
04/30/2015	Revenue Bonds	121.925	New Jersey Economic Dev Auth	Sole Mgr
04/30/2015	Multi-Family Housing Rev Bonds	5.500	Florida Housing Finance Corp	Sole Mgr
04/29/2015	Bridge Sys Rev Refunding Bonds	86.505	Delaware River Jt Toll Bridge Comm	Lead Mgr
04/29/2015	Power Supply Revenue Bonds	382.922	California Dept of Wtr Resources	Lead Mgr
04/29/2015	Power Supply Revenue Bonds	382.923	California Dept of Wtr Resources	Lead Mgr
04/29/2015	Revenue Bonds	104.215	NYS Dorm Authority	Co-Mgr
04/29/2015	GO School Improvement Ref Bonds	1.845	Lucas Co (Sylvania City) SD	Sole Mgr
04/29/2015	GO School Improvement Ref Bonds	65.605	Lucas Co (Sylvania City) SD	Sole Mgr
04/29/2015	Single Family Mortgage Bonds	25.500	Colorado Hsg & Fin Au (CHFA)	Lead Mgr
04/29/2015	Lease Revenue Bonds	79.735	Oakland-Alameda Co Coliseum Auth	Sole Mgr
04/29/2015	General Obligation Notes & Bonds	80.550	Bucks Co (Neshaminy) SD	Sole Mgr
04/28/2015	System Enterprise Revenue Bonds	32.815	Colorado St Univ Sys Bd of Gov	Lead Mgr
04/28/2015	System Enterprise Revenue Bonds	134.730	Colorado St Univ Sys Bd of Gov	Lead Mgr
04/28/2015	System Enterprise Rev Ref Bonds	67.675	Colorado St Univ Sys Bd of Gov	Lead Mgr
04/28/2015	General Obligation Bonds	54.360	Cumberland Co (Cumberland Vlly) SD	Lead Mgr
04/28/2015	General Obligation Bonds	9.680	Delaware Co (William Penn) SD	Sole Mgr
04/27/2015	General Obligation Bonds	4.185	Hempfield Twp-Pennsylvania	Sole Mgr
04/24/2015	Revenue Bonds	109.035	NYS Dorm Authority	Lead Mgr
04/24/2015	GO Refunding Bonds	4.385	Mount Arlington Boro-New Jersey	Sole Mgr
04/23/2015	General Obligation Bonds	5.710	Schuylkill (Schuykill Haven) ASD	Sole Mgr
04/23/2015	General Obligation Bonds	3.815	York Co (Northern York) SD	Sole Mgr
04/23/2015	GO Refunding Bonds	1.115	Mono Co (Eastern Sierra) USD	Sole Mgr
04/23/2015	GO Refunding Bonds	4.388	Mono Co (Eastern Sierra) USD	Sole Mgr
04/23/2015	Revenue Refunding Bonds	3.350	Commonwealth Financing Auth (PA)	Co-Mgr
04/23/2015	Revenue Bonds	96.000	Commonwealth Financing Auth (PA)	Co-Mgr
04/23/2015	Revenue Refunding Bonds	96.840	Commonwealth Financing Auth (PA)	Co-Mgr
04/23/2015	Hospital Revenue & Ref Bonds	181.210	Michigan Finance Authority	Lead Mgr
04/23/2015	Consolidated Bonds	125.000	Port Authority of NY & NJ	Co-Mgr
04/23/2015	Consolidated Bonds	160.000	Port Authority of NY & NJ	Co-Mgr
04/23/2015	Consolidated Bonds	490.000	Port Authority of NY & NJ	Co-Mgr
04/23/2015	System 2nd Tier Rev Ref Bonds	862.920	North Texas Tollway Auth (NTTA)	Co-Mgr
04/23/2015	Future Tax Secured Sub Bonds	650.000	NYC Transitional Finance Auth	Co-Mgr
04/22/2015	Limited Tax Refunding Bonds	39.965	San Mateo Co Transit Dt	Co-Mgr
04/22/2015	Limited Tax Refunding Bonds	210.280	San Mateo Co Transit Dt	Co-Mgr
04/22/2015	General Obligation Bonds	8.975	York Co (South Western) SD	Sole Mgr
04/22/2015	Refunding School Bonds	17.515	Wall Twp BOE	Sole Mgr
04/22/2015	Sewer Revenue Bonds	7.985	Scranton Sewer Authority	Sole Mgr
04/22/2015	Highway Alloc Fund Pledge Bonds	2.000	Scotts Bluff Co-Nebraska	Sole Mgr
04/21/2015	General Obligation Bonds	2.535	Upper Dublin Twp-Pennsylvania	Sole Mgr
04/21/2015	Refunding School Bonds	15.245	Ocean Co (Little Egg Harbor) TSD	Sole Mgr
04/21/2015	GO Refunding Bonds	3.555	Hardyston Twp-New Jersey	Sole Mgr
04/21/2015	Single Family Mortgage Bonds	14.535	Georgia Housing Finance Authority	Co-Mgr
04/21/2015	Single Family Mortgage Bonds	88.910	Georgia Housing Finance Authority	Co-Mgr
04/17/2015	General Obligation Bonds	91.105	Chester Co-Pennsylvania	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
04/16/2015	Water Revenue Bonds	429.600	San Francisco Public Util Comm	Co-Mgr
04/16/2015	Street & Highway Rev Ref Bonds	13.685	Pima Co-Arizona	Sole Mgr
04/16/2015	Sales Tax Revenue & Ref Bonds	52.315	St Johns Co-Florida	Sole Mgr
04/16/2015	Transportation Imp Rev Ref Bonds	24.755	St Johns Co-Florida	Sole Mgr
04/16/2015	GO School Dt Refunding Bonds	12.180	Orange Co (Warwick Vlly) CSD	Sole Mgr
04/16/2015	Water & Wastewater Rev Ref Bonds	141.740	Philadelphia City-Pennsylvania	Co-Mgr
04/16/2015	GO Refunding Bonds	34.625	University of Connecticut	Co-Mgr
04/16/2015	General Obligation Bonds	220.165	University of Connecticut	Co-Mgr
04/16/2015	Communication Svcs Tax Rev Bonds	67.800	Hillsborough Co-Florida	Lead Mgr
04/15/2015	Sewer Revenue Bonds	8.730	Mountaintop Area Jt Sanit Auth	Sole Mgr
04/15/2015	Certificates of Participation	57.025	Pima Co-Arizona	Sole Mgr
04/15/2015	Refunding & School Bonds	5.961	Union Co (Westfield) BOE	Sole Mgr
04/15/2015	Revenue Bonds	12.160	Pennsylvania Hghr Ed Facs Auth	Sole Mgr
04/15/2015	Lease Revenue Refunding Bonds	105.720	Matanuska-Susitna Boro-Alaska	Lead Mgr
04/15/2015	Comb Tax & Rev Certs of Oblig	67.350	Abilene City-Texas	Co-Mgr
04/15/2015	Unlimited Tax Refunding Bonds	24.185	Lubbock Co (Frenship) ISD	Lead Mgr
04/15/2015	Unlimited Tax Refunding Bonds	98.675	Tarrant Co (Keller) ISD	Lead Mgr
04/15/2015	Unlimited Tax Refunding Bonds	8.335	Bell Co (Copperas Cove) ISD	Co-Mgr
04/15/2015	Unlimited Tax Refunding Bonds	31.830	Tarrant Co (Grapevine-Colleyville) ISD	Co-Mgr
04/15/2015	Unlimited Tax Refunding Bonds	1.773	Tarrant Co (Keller) ISD	Lead Mgr
04/15/2015	Unlimited Tax Refunding Bonds	114.805	Tarrant Co (Keller) ISD	Lead Mgr
04/14/2015	GO Refunding Bonds	5.505	Los Angeles Co (Compton) USD	Sole Mgr
04/14/2015	Ref Certificates of Participation	20.135	Los Angeles Co Schools	Lead Mgr
04/14/2015	General Obligation Bonds	15.000	Pima Co-Arizona	Sole Mgr
04/14/2015	GO Refunding Bonds	11.590	Adams Co (Commerce) CSD #14	Sole Mgr
04/09/2015	General Obligation Bonds	5.000	Carbon Co (Lehighton) ASD	Sole Mgr
04/09/2015	Rev Fing System Ref & Imp Bonds	73.255	Texas Tech Univ Board of Regents	Co-Mgr
04/09/2015	General Obligation Bonds	94.425	Alaska	Co-Mgr
04/09/2015	Water & Sewer System Rev Bonds	17.130	NYC Municipal Water Fin Auth	Co-Mgr
04/09/2015	Water & Sewer System Rev Bonds	433.155	NYC Municipal Water Fin Auth	Co-Mgr
04/08/2015	Sub Ln Revolv Fund Ref Rev Bonds	63.390	New Mexico Finance Auth (NMFA)	Co-Mgr
04/08/2015	General Obligation Bonds	220.000	Palomar Community Coll Dt	Co-Mgr
04/08/2015	General Revenue Bonds	500.000	Regents of the Univ of California	Co-Mgr
04/07/2015	General Obligation Bonds	22.135	Bensalem Twp-Pennsylvania	Sole Mgr
04/02/2015	Turnpike Revenue Refunding Bonds	144.875	Maine Turnpike Authority	Co-Mgr
04/02/2015	Special Assessment Rev Bonds	1.942	Eastmark Community Facs Dt #1	Sole Mgr
04/02/2015	Certificates of Participation	3.655	Hamilton Co (Deer Park) CSD	Sole Mgr
04/02/2015	Student Housing Revenue Bonds	1.155	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
04/02/2015	Student Housing Revenue Bonds	69.550	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
04/01/2015	Municipal Service Revenue Bonds	10.000	Westmoreland Co Municipal Auth	Lead Mgr
04/01/2015	Unlimited Tax Refunding Bonds	6.245	Fort Bend Co MUD #146	Co-Mgr
04/01/2015	Unlimited Tax Refunding Bonds	7.530	Harris Co MUD #397	Sole Mgr
04/01/2015	Unlimited Tax Refunding Bonds	15.315	Fort Bend Co MUD #151	Sole Mgr
04/01/2015	Limited Tax Refunding Bonds	37.055	Harris Co (Deer Park) ISD	Co-Mgr
04/01/2015	Unlimited Tax Refunding Bonds	27.690	Fort Bend Co MUD # 142	Lead Mgr
04/01/2015	Refunding Bonds	6.805	Fort Bend Co MUD #34	Sole Mgr
04/01/2015	Unlimited Tax Refunding Bonds	8.625	Van Zandt Co (Van) ISD	Lead Mgr
04/01/2015	Unlimited Tax Refunding Bonds	9.230	Brazoria Co MUD #35	Sole Mgr
04/01/2015	Unltd Tax School Bldg & Ref Bonds	180.430	Galveston Co (Clear Creek) ISD	Co-Mgr
04/01/2015	GO Unlimited Tax Refunding Bonds	.215	Wayne Co (Orrville City) SD	Sole Mgr
04/01/2015	GO Unlimited Tax Refunding Bonds	4.430	Wayne Co (Orrville City) SD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
04/01/2015	Revenue Refunding Bonds	50.725	Mississippi Hosp Equip & Facs Au	Sole Mgr
04/01/2015	Refunding Bonds	5.480	Fort Bend Co MUD #118	Co-Mgr
04/01/2015	Unlimited Tax Refunding Bonds	4.060	Willow Fork Drainage Dt	Lead Mgr
04/01/2015	Unlimited Tax Refunding Bonds	11.960	Collin Co (Princeton) ISD	Lead Mgr
04/01/2015	Unlimited Tax Refunding Bonds	5.565	Fort Bend Co MUD #155	Lead Mgr
04/01/2015	Unlimited Tax Refunding Bonds	9.790	Harris Co MUD #285	Lead Mgr
04/01/2015	Unlimited Tax Refunding Bonds	8.820	Lee Co (Giddings) ISD	Sole Mgr
04/01/2015	Unlimited Tax Refunding Bonds	37.285	McLennan Co (Midway) ISD	Lead Mgr
04/01/2015	Refunding Bonds	4.940	Harris Co Wtr Ctl Imp Dt #157	Sole Mgr
04/01/2015	Refunding Bonds	4.085	Brazoria Co MUD #16	Sole Mgr
04/01/2015	Unlimited Tax School Bldg Bonds	18.655	Collin Co (Princeton) ISD	Lead Mgr
04/01/2015	Refunding Bonds	5.330	Harris Co MUD #393	Lead Mgr
04/01/2015	Unlimited Tax Refunding Bonds	78.355	Tarrant Co (Mansfield) ISD	Co-Mgr
04/01/2015	Unlimited Tax Refunding Bonds	46.455	Brazos Co (College Station) ISD	Lead Mgr
04/01/2015	Unlimited Tax Refunding Bonds	29.745	Hidalgo Co (Sharyland) ISD	Co-Mgr
03/31/2015	Infrastructure Facs Revenue Bonds	62.560	Missouri Development Fin Board	Co-Mgr
03/31/2015	Ref Certificates of Participation	44.145	Pasco Co School Board	Co-Mgr
03/31/2015	General Obligation Bonds	799.660	New York City-New York	Lead Mgr
03/31/2015	Energy Conservation GO Bonds	3.965	Hamilton Co (Oak Hills) LSD	Sole Mgr
03/31/2015	Senior Student Hsg Ref Rev Bonds	26.555	Maryland Eco Dev Corp (MEDCO)	Sole Mgr
03/30/2015	Gtd Sewer Revenue Bonds	9.020	Derry Twp Municipal Authority	Sole Mgr
03/30/2015	Education Facilities Rev Bonds	18.130	Phoenix Industrial Dev Auth	Sole Mgr
03/27/2015	Capital Improve Rev & Ref Bonds	6.835	Dupree Lakes Comm Dev Dt	Sole Mgr
03/26/2015	Single Family Mortgage Rev Bonds	20.000	Pennsylvania Housing Fin Agency	Co-Mgr
03/26/2015	Single Family Mortgage Rev Bonds	98.795	Pennsylvania Housing Fin Agency	Co-Mgr
03/26/2015	Single Family Mortgage Prog Bonds	7.230	New Mexico Mortgage Fin Auth	Sole Mgr
03/26/2015	Single Family Mortgage Rev Bonds	14.200	New Mexico Mortgage Fin Auth	Co-Mgr
03/26/2015	Single Family Mortgage Rev Bonds	20.800	New Mexico Mortgage Fin Auth	Co-Mgr
03/26/2015	General Obligation Bonds	70.110	Allegheny Co (Chartiers Vlly) SD	Co-Mgr
03/26/2015	School District Refunding Bonds	62.600	Middlesex Co (Monroe) TBOE	Lead Mgr
03/26/2015	General Obligation Bonds	58.855	Chester Co (Owen J Roberts) SD	Sole Mgr
03/26/2015	GO Refunding Bonds	5.000	Arapahoe Co (Sheridan) SD #2	Sole Mgr
03/26/2015	GO School Improvement Ref CABs	.435	Hamilton Co (Milford) EVSD BOE	Sole Mgr
03/26/2015	GO Refunding Bonds	34.930	Hamilton Co (Milford) EVSD BOE	Sole Mgr
03/26/2015	Single Family Housing Rev Bonds	32.265	Massachusetts Housing Fin Agcy	Lead Mgr
03/26/2015	Single Family Housing Rev Bonds	57.595	Massachusetts Housing Fin Agcy	Lead Mgr
03/26/2015	Housing Bonds	21.180	Massachusetts Housing Fin Agcy	Co-Mgr
03/26/2015	Housing Bonds	38.075	Massachusetts Housing Fin Agcy	Co-Mgr
03/26/2015	Housing Bonds	47.910	Massachusetts Housing Fin Agcy	Co-Mgr
03/26/2015	GO School & Transportation Bonds	56.755	Matanuska-Susitna Boro-Alaska	Sole Mgr
03/26/2015	Education Facility Rev Bonds	89.140	Phoenix Industrial Dev Auth	Sole Mgr
03/25/2015	General Obligation Bonds	4.670	Franklin Co (Tuscarora) SD	Sole Mgr
03/25/2015	Infra Sales Surtax Rev Ref Bonds	33.490	Sarasota Co-Florida	Co-Mgr
03/25/2015	Transmission Project Rev Bonds	116.535	So California Pub Pwr Au (SCPPA)	Co-Mgr
03/25/2015	Wtrwrks & Swr Sys Rev Ref Bonds	150.630	Dallas City-Texas	Co-Mgr
03/25/2015	Wtrwrks & Swr Sys Rev Ref Bonds	453.630	Dallas City-Texas	Co-Mgr
03/25/2015	General Obligation Bonds	2.765	Connecticut	Co-Mgr
03/25/2015	General Obligation Bonds	10.000	Connecticut	Co-Mgr
03/25/2015	General Obligation Bonds	14.900	Connecticut	Co-Mgr
03/25/2015	General Obligation Bonds	15.965	Connecticut	Co-Mgr
03/25/2015	General Obligation Bonds	20.000	Connecticut	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
03/25/2015	General Obligation Bonds	23.670	Connecticut	Co-Mgr
03/25/2015	General Obligation Bonds	54.035	Connecticut	Co-Mgr
03/25/2015	General Obligation Bonds	72.800	Connecticut	Co-Mgr
03/25/2015	General Obligation Bonds	104.015	Connecticut	Co-Mgr
03/25/2015	General Obligation Bonds	181.850	Connecticut	Co-Mgr
03/25/2015	General Revenue Bonds	381.785	Regents of the Univ of California	Co-Mgr
03/25/2015	Ltd Project Revenue Bonds	436.455	Regents of the Univ of California	Co-Mgr
03/25/2015	General Revenue Bonds	797.020	Regents of the Univ of California	Co-Mgr
03/25/2015	Limited Project Revenue Bonds	1,235.030	Regents of the Univ of California	Co-Mgr
03/24/2015	General Obligation Bonds	5.685	Bucks Co (Palisades) SD	Sole Mgr
03/24/2015	Various Purpose GO Sch Ref Bonds	73.770	Butler Co (Hamilton City) SD	Sole Mgr
03/24/2015	Homeownership Finance Bonds	54.530	Minnesota Housing Fin Agency	Lead Mgr
03/24/2015	GO School Improvement Bonds	8.577	Cuyahoga Co (North Olmsted City) SD	Co-Mgr
03/24/2015	GO School Improvement Bonds	72.000	Cuyahoga Co (North Olmsted City) SD	Co-Mgr
03/23/2015	General Obligation Bonds	7.510	West Hanover Twp-Pennsylvania	Sole Mgr
03/23/2015	General Obligation Notes	74.105	York Co-Pennsylvania	Co-Mgr
03/19/2015	General Obligation Bonds	4.545	Northumberland Co (Mt Carmel) ASD	Sole Mgr
03/19/2015	Electric System Revenue Bonds	83.325	Jacksonville Electric Authority	Co-Mgr
03/19/2015	Transportation Revenue Bonds	275.055	Metropolitan Transport Auth (MTA)	Co-Mgr
03/18/2015	Street & Hway User Rev Ref Bonds	17.555	Mesa City-Arizona	Sole Mgr
03/18/2015	Various Purpose GO & Ref Bonds	1,944.865	California	Co-Mgr
03/17/2015	General Obligation Bonds	8.610	Derry Twp-Pennsylvania	Sole Mgr
03/17/2015	GO Refunding Bonds	60.635	Alaska Municipal Bond Bank	Lead Mgr
03/17/2015	Wtr Swr Sys 2nd Gen Res Rev Bonds	40.000	NYC Municipal Water Fin Auth	Co-Mgr
03/17/2015	Wtr Swr Sys 2nd Gen Res Rev Bonds	490.000	NYC Municipal Water Fin Auth	Co-Mgr
03/17/2015	General Obligation Bonds	6.415	Steelton Borough-Pennsylvania	Sole Mgr
03/17/2015	General Obligation Bonds	6.740	Lancaster Co (Pequea Valley) SD	Sole Mgr
03/17/2015	Revenue Bonds	.325	New Jersey Economic Dev Auth	Sole Mgr
03/17/2015	Revenue Bonds	50.320	New Jersey Economic Dev Auth	Sole Mgr
03/15/2015	School Building & Refunding Bonds	112.505	Harris Co (Goose Creek) CISD	Lead Mgr
03/15/2015	Unlimited Tax Refunding Bonds	9.223	Rockwall ISD	Co-Mgr
03/15/2015	Unlimited Tax Refunding Bonds	80.345	Rockwall ISD	Co-Mgr
03/15/2015	Unlimited Tax Refunding Bonds	.255	Callahan Co (Clyde) CISD	Sole Mgr
03/15/2015	Unlimited Tax Refunding Bonds	7.070	Callahan Co (Clyde) CISD	Sole Mgr
03/15/2015	Reg Treated Wtr Sup Sys Ref Bonds	48.355	Upper Trinity Regional Water Dt	Co-Mgr
03/13/2015	General Improvement Ref Bonds	24.500	Perth Amboy City-New Jersey	Sole Mgr
03/13/2015	GO Open Space & Wtr Ref Bonds	5.430	Wayne Twp-New Jersey	Sole Mgr
03/13/2015	School Refudning Bonds	24.635	Essex Co (Verona) TSD BOE	Sole Mgr
03/13/2015	GO Refunding Bonds	10.805	Bloomfield Twp-New Jersey	Sole Mgr
03/12/2015	General Obligation Bonds	9.995	Lancaster Co (Columbia Boro) SD	Sole Mgr
03/12/2015	General Obligation Bonds	16.060	Lancaster Co-Pennsylvania	Sole Mgr
03/12/2015	GO School Imp Ref Bonds	3.380	Greene Co (Cedar Cliff) LSD	Sole Mgr
03/12/2015	GO Gen Assess & Water Ref Bonds	5.125	Wanaque Boro-New Jersey	Sole Mgr
03/12/2015	Future Tax Secured Sub Bonds	785.795	NYC Transitional Finance Auth	Co-Mgr
03/11/2015	Separate Electric Sys Rev Bonds	114.245	Omaha Public Power Dt (OPPD)	Lead Mgr
03/11/2015	Utility System Revenue Bonds	15.355	Venice City-Florida	Lead Mgr
03/11/2015	GO Refunding Bonds	.175	Defiance City SD	Sole Mgr
03/11/2015	GO Refunding Bonds	4.815	Defiance City SD	Sole Mgr
03/06/2015	General Obligation Bonds	5.365	Lebanon Co (Palmyra) ASD	Sole Mgr
03/05/2015	Refunding School Bonds	11.230	Morris Co (Kinnelon Boro) BOE	Sole Mgr
03/05/2015	Ref Certificates of Participation	6.470	Douglas Co SD RE#1	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
03/05/2015	Electric System Rev Ref Bonds	35.320	Southern Public Power Dt	Sole Mgr
03/05/2015	General Airport Revenue Bonds	.895	Tulsa Trustee Airport Imp Trust	Lead Mgr
03/05/2015	General Airport Rev Ref Bonds	6.670	Tulsa Trustee Airport Imp Trust	Lead Mgr
03/05/2015	General Airport Revenue Ref Bonds	24.395	Tulsa Trustee Airport Imp Trust	Lead Mgr
03/05/2015	General Airport Revenue Bonds	44.045	Tulsa Trustee Airport Imp Trust	Lead Mgr
03/04/2015	Mortgage Purchase Bonds	32.000	Maine State Housing Authority	Co-Mgr
03/04/2015	Mortgage Purchase Bonds	40.000	Maine State Housing Authority	Co-Mgr
03/04/2015	Multi-Family Housing Rev Bonds	11.500	District of Columbia Hsg Fin Agy	Sole Mgr
03/03/2015	Sewer System Refunding Rev Bonds	52.520	Hamilton Co-Ohio	Co-Mgr
03/03/2015	Univ Enterprise Ref Rev Bonds	3.925	Univ of Colorado Bd of Regents	Lead Mgr
03/03/2015	Univ Enterprise Ref Rev Bonds	102.450	Univ of Colorado Bd of Regents	Lead Mgr
03/03/2015	Water System Revenue Ref Bonds	429.360	East Bay MUD	Co-Mgr
03/03/2015	General Obligation Bonds	9.070	Lancaster Co (Manheim) TSD	Sole Mgr
03/03/2015	Sewer Revenue Bonds	20.395	Snyderville Basin Water Reclamation Dt	Sole Mgr
03/01/2015	Wtrwrks&Swr Sys Rev Ref Bonds	7.460	Bissonnet MUD	Lead Mgr
03/01/2015	Unlimited Tax Refunding Bonds	8.145	Harris Co MUD #368	Lead Mgr
03/01/2015	Unlimited Tax Refunding Bonds	4.470	West Harris Co MUD #5	Sole Mgr
03/01/2015	Unlimited Tax Refunding Bonds	19.540	Northwest Harris Co MUD #19	Lead Mgr
03/01/2015	Unlimited Tax Refunding Bonds	5.045	Harris Co MUD #396	Sole Mgr
03/01/2015	Unlimited Tax Refunding Bonds	4.940	Fort Bend Co MUD #165	Sole Mgr
03/01/2015	School Building & Refunding Bonds	137.450	Harris Co (Tomball) ISD	Co-Mgr
03/01/2015	Unlimited Tax Refunding Bonds	47.170	Collin Co (McKinney) ISD	Co-Mgr
03/01/2015	Unlimited Tax Refunding Bonds	51.500	Montgomery Co (New Caney) ISD	Co-Mgr
03/01/2015	Unlimited Tax Refunding Bonds	158.565	Collin Co (Prosper) ISD	Co-Mgr
03/01/2015	Muni Drainage Util Rev Sys Bonds	22.240	El Paso City-Texas	Lead Mgr
03/01/2015	Unlimited Tax Refunding CABs	.159	Dallas Co (Mesquite) ISD	Co-Mgr
03/01/2015	School Building & Refunding Bonds	48.170	Dallas Co (Mesquite) ISD	Co-Mgr
02/27/2015	General Obligation Bonds	19.425	Dauphin Co-Pennsylvania	Sole Mgr
02/27/2015	General Obligation Bonds	8.855	Lehigh Co (Parkland) SD	Sole Mgr
02/27/2015	General Obligation Notes & Bonds	21.550	Lackawanna Co (Scranton City) SD	Lead Mgr
02/26/2015	Sales Tax Revenue Refunding Bonds	3.340	Santa Clara Valley Trans Auth	Co-Mgr
02/26/2015	Sales Tax Revenue Refunding Bonds	86.640	Santa Clara Valley Trans Auth	Co-Mgr
02/26/2015	General Obligation Bonds	9.995	Dauphin Co (Derry) TSD	Sole Mgr
02/26/2015	General Obligation Bonds	8.880	Lehigh Co (Parkland) SD	Sole Mgr
02/26/2015	General Obligation Bonds	19.710	Delaware Co (Upper Darby) SD	Sole Mgr
02/26/2015	Refunding School Bonds	12.025	Parsippany-Troy Hills Twp BOE	Sole Mgr
02/26/2015	GO School Refunding Bonds	37.350	Matanuska-Susitna Boro-Alaska	Sole Mgr
02/26/2015	Homeowner Mortgage Rev Bonds	60.000	NYS Housing Fin-Mortgage Agcy	Co-Mgr
02/26/2015	Homeowner Mortgage Rev Bonds	72.935	NYS Housing Fin-Mortgage Agcy	Co-Mgr
02/26/2015	Revenue Refunding Bonds	7.765	Oakdale Public Fin Auth	Sole Mgr
02/25/2015	GO Limited Tax Bonds	9.775	Mifflin Co SD	Sole Mgr
02/25/2015	Refunding School Bonds	5.515	Somerset Co (Bernards) TSD BOE	Sole Mgr
02/25/2015	GO Refunding Bonds	5.415	Sonoma Co (Santa Rosa) ESD	Lead Mgr
02/25/2015	GO Refunding Bonds	27.370	Sonoma Co (Santa Rosa) HSD	Lead Mgr
02/25/2015	School Imp & Refunding Bonds	70.325	Maricopa Co (Paradise Valley) USD #69	Sole Mgr
02/25/2015	Refunding School Bonds	7.240	Passaic Co (North Haledon) SD BOE	Sole Mgr
02/25/2015	Housing Mtg Finance Program Bonds	150.000	Connecticut Housing Finance Auth	Co-Mgr
02/25/2015	GO & Refunding Bonds	178.100	West Valley-Mission Comm Coll Dt	Co-Mgr
02/25/2015	School Improvement & Ref Bonds	53.700	Maricopa Co (Madison) ESD #38	Sole Mgr
02/24/2015	Univ Enterprise Rev Ref Bonds	71.325	Univ of Colorado Bd of Regents	Lead Mgr
02/24/2015	General Obligation Bonds	4.915	Lebanon Co (Annville-Cleona) SD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
02/24/2015	GO Refunding Bonds	4.095	Salt Lake City-Utah	Sole Mgr
02/24/2015	GO Refunding Bonds	14.615	Salt Lake City-Utah	Sole Mgr
02/24/2015	State Appropriation Bonds	37.570	Minnesota Housing Fin Agency	Lead Mgr
02/23/2015	General Obligation Bonds	9.890	York Co (York Suburban) SD	Sole Mgr
02/23/2015	Multi-Family Housing Rev Bonds	7.500	Florida Housing Finance Corp	Sole Mgr
02/19/2015	Refunding Revenue Bonds	50.330	Palm Beach Co Solid Waste Auth	Co-Mgr
02/19/2015	GO Refunding Bonds	36.939	Antelope Valley Community Coll Dt	Lead Mgr
02/19/2015	GO Refunding Bonds	40.880	Antelope Valley Community Coll Dt	Lead Mgr
02/19/2015	General Obligation Bonds	6.335	Berks Co (Muhlenberg) SD	Sole Mgr
02/19/2015	General Obligation Bonds	89.998	Los Angeles (Long Beach) USD	Lead Mgr
02/19/2015	Revenue Refunding Bonds	16.975	Minneapolis City-Minnesota	Lead Mgr
02/18/2015	General Obligation Bonds	4.920	Chester Co (Oxford) ASD	Sole Mgr
02/18/2015	Refunding School Bonds	54.355	Sparta Twp SD BOE	Lead Mgr
02/18/2015	Homeowner Mortgage Rev Bonds	60.000	Florida Housing Finance Corp	Co-Mgr
02/18/2015	General Obligation Bonds	45.000	El Paso Co (Cheyenne Mtn) SD #12	Sole Mgr
02/18/2015	GO Refunding Bonds	179.135	Mississippi	Lead Mgr
02/18/2015	GO Refunding Bonds	7.490	Rio Blanco Co (Meeker) SD #RE-1	Sole Mgr
02/18/2015	GO Unltd Tax School Imp Bonds	103.000	Hamilton Co (Forest Hills) LSD	Co-Mgr
02/18/2015	Multi-Family Housing Rev Bonds	28.000	Minnesota Housing Fin Agency	Sole Mgr
02/17/2015	General Obligation Bonds	8.135	Lancaster Co (Hempfield) SD	Sole Mgr
02/17/2015	Guaranteed Water Rev Bonds	9.160	Minersville Boro Muni Authority	Sole Mgr
02/17/2015	General Obligation Bonds	6.855	Lackawanna Co (Abington Hts) SD	Co-Mgr
02/17/2015	General Obligation Bonds	15.575	Delaware Co (Radnor) TSD	Lead Mgr
02/17/2015	Mortgage Purchase Bonds	13.485	Maine State Housing Authority	Co-Mgr
02/17/2015	Mortgage Purchase Bonds	55.555	Maine State Housing Authority	Co-Mgr
02/17/2015	General Obligation Bonds	5.170	Lackawanna Co (North Pocono) SD	Sole Mgr
02/17/2015	General Obligation Bonds	31.045	York Co (Spring Grove) ASD	Sole Mgr
02/17/2015	Sewer Revenue Bonds	9.975	Suburban Lancaster Sewer Auth	Sole Mgr
02/15/2015	Unltd Tax School Building Bonds	16.890	Archer City ISD	Lead Mgr
02/15/2015	Unlimited Tax Refunding Bonds	25.615	Hood Co (Godley) ISD	Co-Mgr
02/15/2015	Unlimited Tax Refunding Bonds	.126	Tarrant Co (Lake Worth) ISD	Sole Mgr
02/15/2015	Unlimited Tax Refunding Bonds	.709	Tarrant Co (Lake Worth) ISD	Sole Mgr
02/15/2015	Unlimited Tax Refunding Bonds	1.455	Tarrant Co (Lake Worth) ISD	Sole Mgr
02/15/2015	Unlimited Tax Refunding Bonds	16.915	Tarrant Co (Lake Worth) ISD	Sole Mgr
02/15/2015	Unltd Tax School Bldg & Ref Bonds	1.108	Dallas Co (Ferris) ISD	Sole Mgr
02/15/2015	Unltd Tax School Bldg & Ref Bonds	1.795	Dallas Co (Ferris) ISD	Sole Mgr
02/15/2015	Unlimited Tax Refunding Bonds	.125	Kaufman Co (Kemp) ISD	Sole Mgr
02/15/2015	Unlimited Tax Refunding Bonds	8.850	Kaufman Co (Kemp) ISD	Sole Mgr
02/15/2015	Unlimited Tax Refunding Bonds	1.148	Denton Co (Lake Dallas) ISD	Lead Mgr
02/15/2015	Unlimited Tax Refunding Bonds	17.915	Denton Co (Lake Dallas) ISD	Lead Mgr
02/13/2015	General Obligation Notes	5.570	Adams Co (Upper Adams) SD	Sole Mgr
02/13/2015	System Project Notes	10.210	Sussex Co Muni Utilities Auth	Sole Mgr
02/12/2015	Revenue Bonds	10.605	California Statewide CDA (CSCDA)	Sole Mgr
02/12/2015	General Obligation Bonds	11.090	Morgan Co (Fort Morgan) SD #RE-3	Sole Mgr
02/12/2015	Ltd Tax GO Refunding Bonds	5.235	Adams Co (Hastings) PSD #18	Sole Mgr
02/12/2015	Water Refunding Bonds	2.195	Franklin Borough-New Jersey	Sole Mgr
02/11/2015	Certificates of Participation	252.360	Broward Co School Board	Co-Mgr
02/11/2015	Certificates of Participation	170.805	Broward Co School Board	Co-Mgr
02/11/2015	School Imp & Refunding Bonds	65.060	Maricopa Co (Tempe) ESD #3	Sole Mgr
02/11/2015	Lease Revenue Ref Bonds	23.155	Antioch Public Finance Authority	Sole Mgr
02/11/2015	Revenue Bonds	357.205	Maryland Hlth & Hghr Ed Facs Au	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
02/10/2015	General Obligation Bonds	9.290	North Londonderry Twp-Pennsylvania	Sole Mgr
02/10/2015	GO Refunding Bonds	21.405	El Paso Co (Academy) SD #20	Sole Mgr
02/05/2015	Housing Revenue Bonds	12.800	Wyoming Community Dev Authority	Co-Mgr
02/05/2015	Housing Revenue Bonds	64.330	Wyoming Community Dev Authority	Co-Mgr
02/05/2015	Single Family Mortgage Bonds	99.800	Colorado Hsg & Fin Au (CHFA)	Co-Mgr
02/05/2015	Refunding School Bonds	4.280	Union Twp BOE	Sole Mgr
02/05/2015	General Revenue Bonds	222.950	Nebraska Public Power Dt	Co-Mgr
02/04/2015	Revenue Refunding Bonds	27.995	Texas Transportation Commission	Co-Mgr
02/04/2015	1st Tier Revenue Refunding Bonds	198.025	Texas Transportation Commission	Co-Mgr
02/04/2015	1st Tier Revenue Ref Put Bonds	225.000	Texas Transportation Commission	Co-Mgr
02/04/2015	2nd Tier Revenue Refunding Bonds	1,157.320	Texas Transportation Commission	Co-Mgr
02/04/2015	GO Cap Facs Imp & Ref Bonds	17.715	Kettering City-Ohio	Sole Mgr
02/03/2015	School Improvement Bonds	3.800	Maricopa Co (Fowler) ESD #45	Sole Mgr
02/01/2015	Unlimited Tax Refunding Bonds	2.765	Harris Co MUD #5	Sole Mgr
02/01/2015	Unlimited Tax Refunding Bonds	2.335	Rolling Creek Utility Dt	Lead Mgr
02/01/2015	Unlimited Tax Refunding Bonds	.055	Harris Co MUD #166	Lead Mgr
02/01/2015	Refunding Bonds	3.725	Harris Co MUD #166	Lead Mgr
02/01/2015	Unlimited Tax Refunding Bonds	9.745	Brazoria Co MUD #23	Lead Mgr
02/01/2015	Unlimited Tax Refunding Bonds	2.810	Clay Road MUD	Co-Mgr
02/01/2015	Unlimited Tax Refunding Bonds	8.940	Cypress Hill MUD # 1	Co-Mgr
02/01/2015	State Facilities Revenue Bonds	50.000	Oklahoma Cap Imp Authority	Co-Mgr
02/01/2015	Unlimited Tax Refunding Bonds	9.180	Harris Co MUD #412	Lead Mgr
02/01/2015	Unlimited Tax Refunding Bonds	5.170	Brazoria Co MUD #19	Lead Mgr
02/01/2015	Contract Revenue Refunding Bonds	21.150	Cinco Southwest MUD #1	Lead Mgr
02/01/2015	Unlimited Tax School Bldg Bonds	61.060	Harris Co (Pasadena) ISD	Co-Mgr
02/01/2015	Unlimited Tax Refunding Bonds	83.565	Denton Co (Northwest) ISD	Co-Mgr
02/01/2015	GO Ref & Comb Tax Rev Certs Oblig	86.545	Waco City-Texas	Lead Mgr
02/01/2015	Water Revenue Refunding Bonds	156.470	Tarrant Regional Water Dt	Co-Mgr
02/01/2015	Unlimited Tax School Bldg Bonds	67.140	Williamson Co (Round Rock) ISD	Lead Mgr
02/01/2015	Unlimited Tax Refunding Bonds	12.740	Johnson Co (Cleburne) ISD	Sole Mgr
02/01/2015	Unlimited Tax Refunding Bonds	104.555	El Paso ISD	Co-Mgr
01/30/2015	General Obligation Bonds	9.995	Berks Co (Daniel Boone) ASD	Sole Mgr
01/29/2015	GO & Refunding Bonds	42.650	Los Angeles Co (Pomona) USD	Sole Mgr
01/29/2015	Revenue Refunding Bonds	133.630	Wisconsin Health and Ed Fac Auth	Sole Mgr
01/28/2015	General Obligation Bonds	9.955	Berks Co (Hamburg) ASD	Sole Mgr
01/28/2015	School Imp & Refunding Bonds	42.250	Maricopa Co (Deer Valley) USD #97	Lead Mgr
01/28/2015	GO Refunding School Bonds	23.795	Orange Co (Tustin) USD	Sole Mgr
01/28/2015	GO Refunding Bonds	115.675	Palomar Community Coll Dt	Co-Mgr
01/28/2015	Single Fam Mtg Acquisition Bonds	64.000	New Hampshire Housing Fin Auth	Lead Mgr
01/28/2015	Hospital Revenue Refunding Bonds	398.435	Michigan Finance Authority	Lead Mgr
01/27/2015	General Obligation Bonds	28.610	Lancaster Co (Warwick) SD	Sole Mgr
01/27/2015	Revenue Financing System Bonds	176.925	Texas A&M University System	Co-Mgr
01/27/2015	General Obligation Bonds	2.200	Highland Weld SD # RE-9	Sole Mgr
01/26/2015	Homeownership Finance Bonds	60.013	Minnesota Housing Fin Agency	Lead Mgr
01/22/2015	Single Family Bonds	20.000	Montana Board of Housing	Lead Mgr
01/22/2015	General Obligation Bonds	17.780	Delaware Co (Haverford) TSD	Sole Mgr
01/22/2015	Building Aid Revenue Bonds	750.000	NYC Transitional Finance Auth	Co-Mgr
01/22/2015	Transportation Revenue Bonds	250.000	Metropolitan Transport Auth (MTA)	Co-Mgr
01/22/2015	Transportation Revenue Bonds	600.000	Metropolitan Transport Auth (MTA)	Co-Mgr
01/21/2015	Housing Bonds	13.295	Illinois Housing Dev Authority	Co-Mgr
01/21/2015	Housing Bonds	30.665	Illinois Housing Dev Authority	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
01/21/2015	GO Refunding Bonds	8.515	Moffat Co (Craig) RSD #1	Sole Mgr
01/20/2015	General Obligation Note	17.075	Northampton Co (Nazareth) ASD	Sole Mgr
01/15/2015	General Obligation Bonds	73.850	York Co-Pennsylvania	Co-Mgr
01/15/2015	Unlimited Tax Refunding Bonds	2.955	Tom Green Co (San Angelo) ISD	Co-Mgr
01/15/2015	Unlimited Tax Refunding Bonds	3.350	Tom Green Co (San Angelo) ISD	Co-Mgr
01/15/2015	Unlimited Tax Refunding Bonds	93.635	Tom Green Co (San Angelo) ISD	Co-Mgr
01/15/2015	Unlimited Tax Sch Bldg Bonds	23.285	Burnet Co (Burnet) CISD	Co-Mgr
01/15/2015	Utility System Revenue Bonds	26.870	New Braunfels City-Texas	Co-Mgr
01/14/2015	Housing Finance Program Bonds	53.920	North Dakota Housing Fin Agency	Lead Mgr
01/14/2015	Certificates of Participation	145.535	Palm Beach Co School Board	Co-Mgr
01/14/2015	Refunding Revenue Bonds	135.350	American Municipal Power Inc	Lead Mgr
01/14/2015	Refunding Revenue Bonds	507.875	American Municipal Power Inc	Lead Mgr
01/14/2015	State Personal Inc Tax Rev Bonds	34.700	NYS Dorm Authority	Co-Mgr
01/14/2015	State Personal Inc Tax Rev Bonds	971.055	NYS Dorm Authority	Co-Mgr
01/13/2015	General Obligation Notes	25.505	Lancaster Co (Hempfield) SD	Sole Mgr
01/08/2015	Sewer Revenue Bonds	10.000	Shamokin-Coal Twp Jt Sewer Auth	Sole Mgr
01/08/2015	General Obligation Bonds	300.000	Los Angeles Comm College Dt	Co-Mgr
01/08/2015	GO & Refunding Bonds	1,495.575	Los Angeles Comm College Dt	Co-Mgr
01/07/2015	General Obligation Bonds	44.030	Berks Co-Pennsylvania	Lead Mgr
01/07/2015	GO Refunding Bonds	28.895	Los Angeles Co (Montebello) USD	Lead Mgr
01/07/2015	Multi-Family Housing Rev Bonds	75.000	NYC Housing Dev Corp	Co-Mgr
01/07/2015	Electric System Revenue Bonds	353.055	Omaha Public Power Dt (OPPD)	Co-Mgr
01/07/2015	GO Refunding Bonds	3.485	Boulder Co (Estes Pk) SD # R-3	Sole Mgr
01/06/2015	General Obligation Bonds	5.665	Montgomery Co (Souderton) ASD	Sole Mgr
01/01/2015	Multi-Family Housing Rev Bonds	21.750	Illinois Housing Dev Authority	Sole Mgr
12/30/2014	General Obligation Bonds	2.895	Newberry Twp-Pennsylvania	Sole Mgr
12/30/2014	General Obligation Bonds	9.990	Berks Co (Daniel Boone) ASD	Sole Mgr
12/30/2014	General Obligation Bonds	7.850	North Londonderry Twp-Pennsylvania	Sole Mgr
12/30/2014	General Obligation Bonds	8.200	Dauphin Co-Pennsylvania	Sole Mgr
12/30/2014	GO Unltd Tax Sch Imp Ref Bonds	55.700	Cuyahoga Co (Westlake City) SD	Lead Mgr
12/30/2014	General Obligation Bonds	12.025	Delaware Co (Chichester) SD	Sole Mgr
12/30/2014	GO Unlimited Tax Ref Bonds	21.600	Hamilton Co (Three Rivers) LSD	Sole Mgr
12/30/2014	School Improvement Ref Bonds	28.250	Cuyahoga Co (Rocky River) SD	Co-Mgr
12/30/2014	State Personal Inc Tax Rev Bonds	26.095	NYS Dorm Authority	Lead Mgr
12/30/2014	State Personal Inc Tax Rev Bonds	55.825	NYS Dorm Authority	Lead Mgr
12/30/2014	State Personal Inc Tax Rev Bonds	468.290	NYS Dorm Authority	Lead Mgr
12/23/2014	GO Refunding Bonds	16.535	Yuma-La Paz Co Comm Coll Dt	Sole Mgr
12/23/2014	Revenue Refunding Bonds	28.080	Gilbert Pub Fac Muni Property Cp	Lead Mgr
12/23/2014	General Obligation Bonds	25.000	Montgomery Co (Cheltenham) TSD	Sole Mgr
12/23/2014	General Obligation Bonds	30.000	Berks Co (Boyertown) ASD	Sole Mgr
12/23/2014	GO School Facs Imp Ref Bonds	37.355	Cuyahoga Co (Lakewood City) SD	Sole Mgr
12/23/2014	General Obligation Bonds	8.500	Weld Co (Ault-Highland) SD #RE-9	Sole Mgr
12/23/2014	Senior & Sub Lien Ref Rev Bonds	79.405	New Mexico Finance Auth (NMFA)	Lead Mgr
12/23/2014	Refunding COPs	11.040	Univ of Illinois Bd of Trustees	Lead Mgr
12/23/2014	Refunding COPs	54.215	Univ of Illinois Bd of Trustees	Lead Mgr
12/23/2014	Multi-Family Rental Hsg Rev Bonds	5.000	NYC Housing Dev Corp	Co-Mgr
12/23/2014	Multi-Family Rental Hsg Rev Bonds	230.000	NYC Housing Dev Corp	Co-Mgr
12/22/2014	General Obligation Bonds	9.875	Bucks Co (Pennsbury) SD	Sole Mgr
12/22/2014	General Obligation Bonds	7.290	Luzerne Co (Crestwood) SD	Sole Mgr
12/22/2014	General Obligation Bonds	9.995	Berks Co (Muhlenberg) SD	Sole Mgr
12/22/2014	General Obligation Bonds	1.030	Luzerne Co (Pittston) ASD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/22/2014	General Obligation Bonds	5.735	Luzerne Co (Pittston) ASD	Sole Mgr
12/22/2014	General Obligation Bonds	30.220	Montgomery Co (Hatboro-Horsham) SD	Sole Mgr
12/22/2014	Sanitary Sewer Sys Imp Rev Bonds	15.935	Lima City-Ohio	Sole Mgr
12/19/2014	Single Family Mtg Rev Ref Bonds	40.579	Missouri Housing Dev Commission	Co-Mgr
12/18/2014	General Obligation Bonds	5.000	Cambria Co (Gtr Johnstown) SD	Sole Mgr
12/18/2014	GO Qualified Zone Academy Bonds	8.449	Carbon Co (Lehighton) ASD	Sole Mgr
12/18/2014	Single Family Program Bonds	22.780	Washington State Hsg Fin Comm	Lead Mgr
12/18/2014	Single Family Program Bonds	27.735	Washington State Hsg Fin Comm	Lead Mgr
12/18/2014	General Obligation Bonds	9.970	Berks Co (Hamburg) ASD	Sole Mgr
12/18/2014	Toll Highway Senior Rev Bonds	264.555	Illinois State Toll Highway Auth	Lead Mgr
12/18/2014	GO Mobility Fund Bonds	250.000	Texas Transportation Commission	Co-Mgr
12/18/2014	GO Mobility Fund & Ref Bonds	1,580.160	Texas Transportation Commission	Co-Mgr
12/18/2014	Water Revenue Refunding Bonds	42.810	Brentwood Infrastructure Fin Au	Sole Mgr
12/18/2014	Certificates of Participation	60.220	Lee Co School Board	Lead Mgr
12/18/2014	Airport Bonds	1.020	Lincoln Airport Authority	Sole Mgr
12/18/2014	Airport Bonds	3.055	Lincoln Airport Authority	Sole Mgr
12/18/2014	Hospital Revenue Refunding Bonds	170.595	Michigan Finance Authority	Sole Mgr
12/18/2014	Multi-Family Dev Rev Bonds	27.590	Maryland Dept of Hsg & Comm Dev	Co-Mgr
12/18/2014	Multi-Family Housing Rev Bonds	3.260	NYC Housing Dev Corp	Sole Mgr
12/18/2014	Multi-Family Housing Rev Bonds	346.205	NYC Housing Dev Corp	Co-Mgr
12/18/2014	Affordable Housing Revenue Bonds	101.830	NYS Housing Fin-Mortgage Agcy	Co-Mgr
12/18/2014	Wastewater Imp Rev & Ref Bonds	419.030	Northeast Ohio Regional Sewer Dt	Co-Mgr
12/17/2014	Univ Revenue Refunding Bonds	39.195	Allegheny Co Higher Ed Bldg Auth	Co-Mgr
12/17/2014	GO Refunding Bonds	45.675	Tempe City-Arizona	Sole Mgr
12/17/2014	General Obligation Bonds	9.725	Montgomery Co (Colonial) SD	Sole Mgr
12/17/2014	Housing Revenue Bonds	10.060	Maryland Dept of Hsg & Comm Dev	Co-Mgr
12/17/2014	GO Refunding Bonds	6.560	Cripple Creek-Victor SD #RE-1	Sole Mgr
12/17/2014	Aviation Revenue Refunding Bonds	162.225	Miami-Dade Co-Florida	Co-Mgr
12/17/2014	Aviation Revenue Refunding Bonds	598.915	Miami-Dade Co-Florida	Co-Mgr
12/17/2014	Revenue & Revenue Refunding Bonds	218.140	Ohio	Lead Mgr
12/16/2014	GO School & Refunding Bonds	26.055	Lea Co (Hobbs) MSD #33	Lead Mgr
12/16/2014	General Obligation Bonds	83.570	Chester Co-Pennsylvania	Co-Mgr
12/16/2014	Limited Tax Refunding Bonds	365.395	Harris Co (Houston) ISD	Lead Mgr
12/16/2014	GO Refunding Bonds	7.975	Boulder Co (Estes Pk) SD # R-3	Sole Mgr
12/16/2014	Electric System General Rev Bonds	164.950	Long Island Power Authority	Co-Mgr
12/16/2014	Electric Sys Gen Revenue Bonds	413.070	Long Island Power Authority	Co-Mgr
12/16/2014	Residential Housing Finance Bonds	82.585	Minnesota Housing Fin Agency	Lead Mgr
12/16/2014	Residential Housing Finance Bonds	143.145	Minnesota Housing Fin Agency	Lead Mgr
12/15/2014	General Obligation Bonds	5.000	Derry Twp-Pennsylvania	Sole Mgr
12/15/2014	GO Refunding Bonds	21.170	Flower Mound Town-Texas	Lead Mgr
12/15/2014	GO Refunding Bonds	1.905	Bridgeport City-Texas	Sole Mgr
12/15/2014	Comb Tax & Rev Certs of Oblig	87.130	Bexar Co-Texas	Co-Mgr
12/15/2014	Ultd & Ltd & Fld Ctrl Ref Bonds	175.745	Bexar Co-Texas	Co-Mgr
12/15/2014	Unlimited Tax Refunding Bonds	78.740	El Paso ISD	Co-Mgr
12/11/2014	Water & Sewer Revenue Ref Bonds	28.270	St Johns Co-Florida	Sole Mgr
12/11/2014	Capial Improvement Rev Ref Bonds	15.300	St Johns Co-Florida	Sole Mgr
12/11/2014	Sr Lien Sales Tax Rev Ref Bonds	379.480	Dallas Area Rapid Transit Auth	Co-Mgr
12/11/2014	Housing Bonds	29.580	Massachusetts Housing Fin Agcy	Co-Mgr
12/11/2014	Housing Bonds	40.195	Massachusetts Housing Fin Agcy	Co-Mgr
12/10/2014	School Facs Cons & Imp Ref Bonds	98.600	Franklin Co (Columbus City) SD	Co-Mgr
12/10/2014	GO Refunding Bonds	31.252	Contra Costa Co (Acalanes) UHSD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/10/2014	Revenue Bonds	70.605	San Francisco Muni Transport Agy	Co-Mgr
12/10/2014	Multi-Family Housing Dev Bonds	24.000	Montgomery Co Hsg Opportunities Comm	Co-Mgr
12/10/2014	Qualified GO Refunding Bonds	18.580	Jersey City-New Jersey	Sole Mgr
12/10/2014	Qualified GO Refunding Bonds	52.555	Jersey City-New Jersey	Sole Mgr
12/10/2014	Federal Highway GANs	347.310	Massachusetts	Co-Mgr
12/09/2014	Development Revenue Bonds	88.945	Cleveland-Cuyahoga Co Port Auth	Co-Mgr
12/09/2014	GO Refunding Bonds	49.530	Adams-Weld (Brighton) SD #27J	Lead Mgr
12/09/2014	Airport System Revenue Bonds	244.495	Austin City-Texas	Co-Mgr
12/08/2014	Homeowner Revenue Bonds	20.000	Orange Co Housing Finance Auth	Lead Mgr
12/04/2014	General Revenue Bonds	162.890	Nebraska Public Power Dt	Co-Mgr
12/04/2014	Housing Mortgage Fin Prog Bonds	18.650	Connecticut Housing Finance Auth	Co-Mgr
12/04/2014	Housing Mortgage Fin Prog Bonds	39.460	Connecticut Housing Finance Auth	Co-Mgr
12/04/2014	GO Refunding Bonds	1.355	Logan Co (Buffalo) SD #RE-4	Sole Mgr
12/03/2014	GO Refunding Bonds	149.170	Denver City and Co SD #1	Co-Mgr
12/03/2014	GO Refunding Bonds	14.060	Lakewood Twp-New Jersey	Sole Mgr
12/03/2014	Refunding Bonds	129.925	New Jersey Hlth Care Fac Fin Au	Co-Mgr
12/03/2014	GO Unltd Tax School Imp Bonds	45.000	Butler Co (Middletown City) SD	Sole Mgr
12/02/2014	Sub Ln Sys Refunding Rev Bonds	100.085	University of New Mexico	Lead Mgr
12/01/2014	Sewer System Revenue Ref Bonds	205.425	Columbus City-Ohio	Co-Mgr
12/01/2014	Water Revenue Refunding Bonds	39.120	North Penn Water Authority	Sole Mgr
12/01/2014	School Revenue Bonds	30.250	Bethlehem Area SD Authority	Sole Mgr
12/01/2014	Unlimited Tax Refunding Bonds	5.510	Brazoria Co MUD #28	Lead Mgr
12/01/2014	Schoolhouse & Refunding Bonds	94.910	Harris Co (Spring Branch) ISD	Co-Mgr
12/01/2014	GO Imp Refunding Bonds	51.955	San Antonio City-Texas	Co-Mgr
12/01/2014	Gas Supply Revenue Ref Bonds	56.020	Central Plains Energy Project	Sole Mgr
12/01/2014	Gas Supply Revenue Ref Bonds	544.080	Central Plains Energy Project	Sole Mgr
12/01/2014	Unlimited Tax Refunding Bonds	9.220	Fort Bend Co MUD #50	Lead Mgr
12/01/2014	GO Refunding Bonds	24.125	Brownsville City-Texas	Co-Mgr
12/01/2014	Contract Rev Imp and Ref Bonds	41.720	Schertz-Seguin Local Gov Corp	Co-Mgr
12/01/2014	School Building Bonds	47.145	Tarrant Co (Mansfield) ISD	Co-Mgr
12/01/2014	Unlimited Tax Refunding Bonds	59.445	Tarrant Co (Mansfield) ISD	Co-Mgr
12/01/2014	Unlimited Tax School Bldg Bonds	38.825	Lubbock Co (Frenship) ISD	Lead Mgr
12/01/2014	Unlimited Tax Refunding Bonds	8.815	Wood Co (Hawkins) ISD	Sole Mgr
12/01/2014	Refunding Bonds	.004	Bexar Co (East Central) ISD	Co-Mgr
12/01/2014	Refunding Bonds	8.585	Bexar Co (East Central) ISD	Co-Mgr
11/26/2014	Turnpike Revenue Refunding Bonds	239.620	Pennsylvania Turnpike Commission	Lead Mgr
11/26/2014	General Obligation BANs	15.000	Contra Costa Co (Acalanes) UHSD	Sole Mgr
11/25/2014	General Obligation Bonds	1.850	Bethlehem City-Pennsylvania	Co-Mgr
11/25/2014	General Obligation Bonds	51.885	Bethlehem City-Pennsylvania	Co-Mgr
11/25/2014	General Obligation Bonds	7.500	Mifflin Co SD	Sole Mgr
11/25/2014	Wstwtr Treatment Facs Rev Bonds	86.590	East Ctrl Reg Wstwtr Treatment Facs Op Bd	Lead Mgr
11/25/2014	GO Refunding Bonds	55.120	Marin Co (Tamalpais) UHSD	Sole Mgr
11/25/2014	General Obligation Bonds	9.650	Montgomery Co (Colonial) SD	Sole Mgr
11/25/2014	Ltd Tax General Obligation Bonds	9.995	Chester Co (Octorara) ASD	Sole Mgr
11/25/2014	GO Unlimited Tax Bonds	152.625	Pierce Co (Tacoma) SD #10	Lead Mgr
11/25/2014	General Obligation Bonds	.707	Sonoma Co (Wilmar) USD	Sole Mgr
11/25/2014	General Obligation Bonds	1.290	Sonoma Co (Wilmar) USD	Sole Mgr
11/25/2014	GO & Refunding Bonds	218.880	Hawaii	Co-Mgr
11/25/2014	GO & Refunding Bonds	784.015	Hawaii	Co-Mgr
11/25/2014	Transportation Program Bonds	764.055	New Jersey Trans Trust Fund Au	Co-Mgr
11/25/2014	Sales Tax Revenue Bonds	22.165	Okaloosa Co-Florida	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
11/25/2014	Wastewater Revenue Ref Bonds	71.985	Oxnard Financing Authority	Sole Mgr
11/21/2014	Multi-Family Housing Rev Bonds	24.000	Florida Housing Finance Corp	Sole Mgr
11/20/2014	Residential Finance Program Bonds	27.885	Tennessee Hsg Dev Agcy (THDA)	Lead Mgr
11/20/2014	Residential Finance Program Bonds	122.115	Tennessee Hsg Dev Agcy (THDA)	Lead Mgr
11/20/2014	General Obligation Bonds	10.840	Lebanon Co (Cornwall-Lebanon) SD	Sole Mgr
11/20/2014	Single Family Housing Rev Bonds	25.925	Massachusetts Housing Fin Agcy	Co-Mgr
11/20/2014	Single Family Housing Rev Bonds	66.495	Massachusetts Housing Fin Agcy	Co-Mgr
11/20/2014	Ref Certificates of Participation	45.455	Indian River Co School Bd	Co-Mgr
11/20/2014	GO Refunding Bonds	17.975	Cerritos Community College Dt	Lead Mgr
11/20/2014	GO Refunding Bonds	80.395	Cerritos Community College Dt	Lead Mgr
11/20/2014	General Obligation Bonds	100.000	Cerritos Community College Dt	Co-Mgr
11/20/2014	Electric System Revenue Bonds	50.000	Grant Co Public Utility Dt #2	Co-Mgr
11/20/2014	General Obligation Bonds	9.880	York Co (York Suburban) SD	Sole Mgr
11/20/2014	Wtr Swr Sys 2nd Gen Res Rev Bonds	392.110	NYC Municipal Water Fin Auth	Co-Mgr
11/20/2014	Multi-Family Housing Rev Bonds	14.000	Miami-Dade Co Housing Fin Auth	Sole Mgr
11/20/2014	Education Revenue Bonds	16.880	Pima Co Industrial Dev Auth	Sole Mgr
11/19/2014	Sewer System Refunding Rev Bonds	162.650	Hamilton Co-Ohio	Co-Mgr
11/19/2014	State Comm Infra Prog Rev Bonds	11.015	California Statewide CDA (CSCDA)	Sole Mgr
11/19/2014	Refunding Bonds	4.105	Hopewell Twp-New Jersey	Sole Mgr
11/19/2014	School Improvement Bonds	29.525	Maricopa Co (Tempe) UHSD #213	Sole Mgr
11/19/2014	Multi-Family Housing Rev Bonds	15.000	District of Columbia Hsg Fin Agy	Lead Mgr
11/18/2014	General Obligation Bonds	6.005	Delaware Co (Radnor) TSD	Lead Mgr
11/18/2014	General Obligation Bonds	18.280	Chester Co (Oxford) ASD	Sole Mgr
11/18/2014	Revenue & Refunding Bonds	53.440	Grant Co Public Utility Dt #2	Co-Mgr
11/18/2014	Revenue & Refunding Bonds	150.725	Grant Co Public Utility Dt #2	Co-Mgr
11/18/2014	Sewer Revenue Bonds	10.000	Scranton Sewer Authority	Sole Mgr
11/18/2014	GO Refunding Bonds	5.610	Mansfield Twp-New Jersey	Sole Mgr
11/17/2014	General Obligation Bonds	10.000	Berks Co-Pennsylvania	Sole Mgr
11/15/2014	Unlimited Tax Refunding Bonds	.960	Van Zandt Co (Van) ISD	Lead Mgr
11/15/2014	Unlimited Tax Refunding Bonds	7.410	Van Zandt Co (Van) ISD	Lead Mgr
11/15/2014	Unlimited Tax Refunding Bonds	8.455	Tarrant Co (Kennedale) ISD	Co-Mgr
11/15/2014	Revenue Financing Sys Ref Bonds	250.700	Univ of Texas Sys Bd of Regents	Co-Mgr
11/15/2014	Unlimited Tax Refunding Bonds	26.000	Brown Co (Brownwood) ISD	Lead Mgr
11/15/2014	Unlimited Tax Refunding Bonds	8.705	Randall Co (Canyon) ISD	Sole Mgr
11/15/2014	Unlimited Tax Refunding Bonds	1.315	Shelby Co (Shelbyville) ISD	Sole Mgr
11/15/2014	Ltd & Maintenance Tax Ref Bonds	33.255	Texas Southmost College Dt	Co-Mgr
11/13/2014	Housing Mortgage Fin Prog Bonds	61.535	Connecticut Housing Finance Auth	Co-Mgr
11/13/2014	Housing Mortgage Fin Prog Bonds	96.195	Connecticut Housing Finance Auth	Co-Mgr
11/13/2014	Ref Certificates of Participation	20.520	Santa Rosa Co School Board	Lead Mgr
11/13/2014	**Utility Sys Refunding Rev Bonds**	**29.585**	**Port St Lucie City-Florida**	**Sole Mgr**
11/13/2014	GO Refunding Bonds	53.785	West Deptford Twp-New Jersey	Lead Mgr
11/13/2014	Affordable Housing Revenue Bonds	68.470	NYS Housing Fin-Mortgage Agcy	Co-Mgr
11/13/2014	General Obligation Bonds	23.896	Santa Monica Comm College Dt	Sole Mgr
11/13/2014	General Obligation Bonds	121.100	Santa Monica Comm College Dt	Sole Mgr
11/13/2014	Revenue Refunding Bonds	35.025	Marthas Vineyard Land Bank	Sole Mgr
11/13/2014	Future Tax Secured Sub Bonds	700.000	NYC Transitional Finance Auth	Co-Mgr
11/12/2014	Sub Lien System Ref Rev Bonds	3.710	University of New Mexico	Co-Mgr
11/12/2014	Sub Lien System Ref Rev Bonds	10.980	University of New Mexico	Co-Mgr
11/06/2014	Certificates of Participation	110.485	Colorado	Lead Mgr
11/06/2014	General Obligation Bonds	8.990	Lancaster Co (Manheim) CSD	Sole Mgr
11/06/2014	Single Family Mtg Class I Bonds	55.435	Colorado Hsg & Fin Au (CHFA)	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
11/06/2014	General Obligation Bonds	306.870	Delaware	Co-Mgr
11/06/2014	Revenue Bonds	350.000	California Earthquake Auth	Co-Mgr
11/05/2014	Refunding School Bonds	24.175	Flemington-Raritan RSD	Sole Mgr
11/04/2014	Transportation Revenue Bonds	165.000	Metropolitan Transport Auth (MTA)	Lead Mgr
11/04/2014	Transportation Revenue Bonds	335.000	Metropolitan Transport Auth (MTA)	Lead Mgr
11/01/2014	Unlimited Tax Refunding Bonds	28.655	Brazoria Co (Pearland) ISD	Co-Mgr
11/01/2014	Unlimited Tax Sch Bldg&Ref Bonds	435.740	Harris Co (Cypress-Fairbanks) ISD	Co-Mgr
11/01/2014	GO Ref & Certs of Oblig Bonds	9.195	Deer Park City-Texas	Lead Mgr
11/01/2014	GO Refunding Bonds	21.565	Sugar Land City-Texas	Co-Mgr
11/01/2014	Comb Tax & Surplus Rev Certs	57.580	Abilene City-Texas	Co-Mgr
11/01/2014	Sub Lien Contract Rev Ref Bonds	42.165	Canadian River Muni Water Auth	Co-Mgr
11/01/2014	Education Revenue Bonds	38.595	Texas Pub Fin Charter School Corp	Lead Mgr
11/01/2014	Unlimited Tax Refunding Bonds	8.805	Callahan Co (Clyde) CISD	Lead Mgr
10/30/2014	GO & Refunding Bonds	55.370	Alaska Municipal Bond Bank	Lead Mgr
10/30/2014	Water System Revenue Bonds	645.795	California Dept of Wtr Resources	Co-Mgr
10/30/2014	Sewer Revenue Bonds	28.625	University Area Joint Authority	Sole Mgr
10/30/2014	Homeownership Opportunity Bonds	86.505	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
10/30/2014	Luxury Tax Revenue Bonds	241.190	Casino Reinvestment Dev Auth	Co-Mgr
10/30/2014	Revenue Refunding Bonds	46.320	Montgomery Co Hghr Ed & Hlth Au	Lead Mgr
10/29/2014	GO Refunding Bonds	214.540	Chandler City-Arizona	Co-Mgr
10/29/2014	Unlimited Tax Refunding Bonds	4.044	Denton Co (Lewisville) ISD	Co-Mgr
10/29/2014	Unlimited Tax Refunding Bonds	50.295	Denton Co (Lewisville) ISD	Co-Mgr
10/29/2014	School Refunding Bonds	16.740	Mount Olive Twp BOE	Sole Mgr
10/29/2014	Refunding Revenue Bonds	9.070	Oakley Public Fin Auth	Sole Mgr
10/29/2014	Transportation Facs Ref Rev Bonds	106.570	Lee Co-Florida	Co-Mgr
10/28/2014	Homeownership Finance Bonds	39.934	Minnesota Housing Fin Agency	Lead Mgr
10/23/2014	Power System Revenue Bonds	450.000	Los Angeles Dept of Wtr & Power	Lead Mgr
10/23/2014	Multi-Family Housing Rev Bonds	10.000	Hillsborough Co Housing Fin Auth	Sole Mgr
10/23/2014	State Sales Tax Revenue Bonds	15.285	NYS Dorm Authority	Co-Mgr
10/23/2014	State Sales Tax Revenue Bonds	1,044.080	NYS Dorm Authority	Co-Mgr
10/23/2014	Mortgage Purchase Bonds	55.000	Maine State Housing Authority	Sole Mgr
10/22/2014	TANs	.245	Harrison City-Ohio	Sole Mgr
10/21/2014	Certificates of Participation	12.195	Wood Co (Northwood) LSD	Sole Mgr
10/21/2014	Consolidated Bonds	250.000	Port Authority of NY & NJ	Co-Mgr
10/21/2014	Consolidated Bonds	400.000	Port Authority of NY & NJ	Co-Mgr
10/16/2014	GO Refunding Bonds	16.640	Chaffey Community College Dt	Sole Mgr
10/16/2014	GO Refunding Bonds	68.035	Chaffey Community College Dt	Sole Mgr
10/16/2014	Infras Sales Surtax Rev Ref Bonds	37.355	Sarasota Co-Florida	Co-Mgr
10/16/2014	Auxiliary Revenue Refunding Bonds	81.880	Louisiana St Univ & Agri & Mech Coll	Co-Mgr
10/16/2014	Infras Excess Lottery Rev Bonds	75.790	West Virginia Water Dev Auth	Co-Mgr
10/16/2014	Spcl Tax Oblig & Ref Trans Bonds	731.545	Connecticut	Co-Mgr
10/16/2014	GO Sch Facs Con Imp & Ref Bonds	18.505	Greene Co (Xenia) CSD	Sole Mgr
10/16/2014	Affordable Housing Rev Bonds	55.170	NYS Housing Fin-Mortgage Agcy	Co-Mgr
10/15/2014	Toll Sys Rev & Refunding Bonds	266.425	Miami-Dade Co Expressway Auth	Co-Mgr
10/15/2014	Sales Tax Asset Revenue Bonds	2,035.330	NYC Sales Tax Asset Rec Corp	Co-Mgr
10/15/2014	Utility System Revenue Ref Bonds	102.945	Mesa City-Arizona	Co-Mgr
10/15/2014	Unltd Tax School Building Bonds	96.330	Denton Co (Lewisville) ISD	Co-Mgr
10/15/2014	Highway Improvement GO Bonds	1,260.000	Texas Transportation Commission	Co-Mgr
10/15/2014	Unlimited Tax Refunding Bonds	5.590	Willacy Co (Raymondville) ISD	Sole Mgr
10/15/2014	Unlimited Tax Refunding Bonds	8.080	Liberty Co ISD	Co-Mgr
10/15/2014	Unltd Tax Sch Bldg & Ref Bonds	.525	Bell Co (Rogers) ISD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
10/15/2014	Unldt Tax Sch Bldg & Ref Bonds	4.160	Bell Co (Rogers) ISD	Sole Mgr
10/14/2014	Infrasture Rev & Sub Ref Bonds	74.400	Brentwood Infrastructure Fin Au	Sole Mgr
10/14/2014	Hospital Revenue Ref Bonds	69.945	Indiana Finance Authority	Lead Mgr
10/10/2014	County Guar Lease Rev Ref Bonds	18.980	Camden Co Improvement Auth	Sole Mgr
10/09/2014	General Receipts Bonds	135.985	Ohio State University	Lead Mgr
10/09/2014	Capital Improvement Rev Ref Bonds	5.125	Suncoast Comm Dev Dt	Sole Mgr
10/09/2014	General Receipts Bonds	150.000	Ohio State University	Lead Mgr
10/08/2014	Subordinate Airport Rev Ref Bonds	46.590	Minneapolis-St Paul Metro Airports Comm	Lead Mgr
10/08/2014	Subordinate Airport Rev Ref Bonds	217.790	Minneapolis-St Paul Metro Airports Comm	Lead Mgr
10/08/2014	Refunding Bonds	.175	Wayne Co (Orrville City) SD	Sole Mgr
10/08/2014	Refunding Bonds	8.120	Wayne Co (Orrville City) SD	Sole Mgr
10/08/2014	Refunding School Bonds	4.260	North Brunswick Twp BOE	Sole Mgr
10/07/2014	Turnpike Revenue Bonds	201.860	New Jersey Turnpike Authority	Co-Mgr
10/07/2014	GO Refunding Bonds	5.125	Vernon Twp-New Jersey	Sole Mgr
10/07/2014	General Obligation Bonds	200.000	California	Co-Mgr
10/07/2014	GO Various Purpose Bonds	300.000	California	Co-Mgr
10/07/2014	GO Various Purpose & Ref Bonds	1,869.640	California	Co-Mgr
10/07/2014	Airport Revenue Refunding Bonds	57.350	San Jose City-California	Co-Mgr
10/07/2014	Airport Revenue Refunding Bonds	68.295	San Jose City-California	Co-Mgr
10/02/2014	School Improvement Bonds	7.675	Maricopa Co (Laveen) ESD #59	Sole Mgr
10/01/2014	Unlimited Tax Refunding Bonds	8.950	Harris Co Water Ctl & Imp Dt #119	Co-Mgr
10/01/2014	Unlimited Tax Refunding Bonds	3.630	Morton Road MUD	Lead Mgr
10/01/2014	Unlimited Tax Refunding Bonds	9.875	Harris Co MUD #285	Lead Mgr
10/01/2014	Tax Allocation Hsg Ref Bonds	36.465	Riverside Co Successor Redev Agy	Co-Mgr
10/01/2014	General Obligation Bonds	22.530	Berks Co (Schuylkill Valley) SD	Sole Mgr
10/01/2014	Unlimited Tax Refunding Bonds	7.625	Harris Co MUD #381	Lead Mgr
10/01/2014	Revenue Bonds	50.000	Monroeville Finance Authority	Lead Mgr
10/01/2014	Revenue Bonds	304.780	Pennsylvania Econ Dev Fin Auth	Lead Mgr
10/01/2014	Education Revenue & Ref Bonds	90.600	Clifton Higher Ed Finance Corp	Co-Mgr
10/01/2014	General Obligation Bonds	57.500	Lancaster Co (Manheim) TSD	Sole Mgr
10/01/2014	Unlimited Tax Refunding Bonds	65.240	Lubbock Co (Frenship) ISD	Co-Mgr
10/01/2014	Unlimited Tax Refunding Bonds	37.600	Hidalgo Co (Edinburg) CISD	Lead Mgr
10/01/2014	Single Family Mtg Rev Bonds	50.000	Missouri Housing Dev Commission	Co-Mgr
10/01/2014	Unltd Tax School Bldg & Ref Bonds	99.360	Collin Co (McKinney) ISD	Co-Mgr
10/01/2014	Unlimited Tax Refunding Bonds	8.835	Dallas Co (Duncanville) ISD	Lead Mgr
09/30/2014	General Obligation Bonds	7.365	Lancaster Co (Cocalico) SD	Sole Mgr
09/30/2014	Single Family Hsg Rev Ref Bonds	5.990	Pinellas Co Housing Fin Auth	Lead Mgr
09/30/2014	Single Family Housing Rev Bonds	12.300	Pinellas Co Housing Fin Auth	Lead Mgr
09/30/2014	GO Refunding Bonds	58.510	Norfolk City-Virginia	Lead Mgr
09/30/2014	**Public Service Tax Revenue Bonds**	**13.525**	**Port St Lucie City-Florida**	**Sole Mgr**
09/30/2014	**Public Service Tax Revenue Bonds**	**19.775**	**Port St Lucie City-Florida**	**Sole Mgr**
09/30/2014	School Improvement Bonds	6.895	Maricopa Co (Pendergast) ESD #92	Sole Mgr
09/30/2014	Sales Tax Revenue Bonds	100.000	Metro Atlanta Rapid Transit Auth	Lead Mgr
09/30/2014	College Revenue Bonds	14.410	Pennsylvania St Pub Sch Bldg Auth	Sole Mgr
09/30/2014	Revenue Bonds	500.000	Texas Public Finance Auth (TPFA)	Co-Mgr
09/29/2014	Charter School Revenue Bonds	.500	New Jersey Economic Dev Auth	Sole Mgr
09/29/2014	Charter School Revenue Bonds	9.500	New Jersey Economic Dev Auth	Sole Mgr
09/29/2014	Charter School Rev & Ref Bonds	16.900	Utah Charter School Fin Auth	Co-Mgr
09/26/2014	Special Assessment Rev Ref Bonds	11.775	Panther Trace II Comm Dev Dt	Co-Mgr
09/25/2014	Univ Enterprise Rev & Ref Bonds	303.925	Univ of Colorado Bd of Regents	Co-Mgr
09/25/2014	Mortgage Purchase Bonds	29.000	Maine State Housing Authority	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
09/25/2014	Mortgage Purchase Bonds	41.945	Maine State Housing Authority	Co-Mgr
09/25/2014	General Obligation Bonds	7.450	Middletown Twp-Pennsylvania	Sole Mgr
09/25/2014	Residential Revenue Bonds	23.885	Maryland Dept of Hsg & Comm Dev	Co-Mgr
09/25/2014	Residential Revenue Bonds	47.960	Maryland Dept of Hsg & Comm Dev	Co-Mgr
09/25/2014	Residential Revenue Bonds	53.205	Maryland Dept of Hsg & Comm Dev	Co-Mgr
09/25/2014	Multi-Family Housing Rev Bonds	15.250	Dallas Housing Finance Corp	Sole Mgr
09/25/2014	Multi-Family Housing Rev Bonds	9.400	McKinney Housing Fin Corp	Sole Mgr
09/25/2014	Multi-Family Housing Rev Bonds	10.500	Tarrant Co Housing Finance Corp	Sole Mgr
09/24/2014	Revenue Bonds	2.100	Carteret Redevelopment Agency	Sole Mgr
09/24/2014	Multi-Family Housing Rev Bonds	70.095	NYC Housing Dev Corp	Co-Mgr
09/24/2014	Second Series Revenue Bonds	97.290	San Francisco City & Co Airport Comm	Lead Mgr
09/24/2014	Second Series Revenue Bonds	376.320	San Francisco City & Co Airport Comm	Lead Mgr
09/24/2014	Water & Sewer System Rev Bonds	300.000	NYC Municipal Water Fin Auth	Co-Mgr
09/24/2014	GO Consolidated Loan Bonds	350.000	Massachusetts	Co-Mgr
09/24/2014	GO Refunding Bonds	429.445	Massachusetts	Co-Mgr
09/23/2014	General Obligation Bonds	8.535	Upper Dublin Twp-Pennsylvania	Sole Mgr
09/22/2014	General Obligation Bonds	6.380	Lackawanna Co (Dunmore) SD	Sole Mgr
09/22/2014	Multi-Family Housing Rev Bonds	6.300	Florida Housing Finance Corp	Sole Mgr
09/18/2014	GO Refunding Bonds	8.140	El Paso Co (Academy) SD #20	Sole Mgr
09/18/2014	Certificates of Participation	72.340	Lee Co School Board	Co-Mgr
09/18/2014	Revenue Bonds	44.890	Los Angeles Harbor Dept	Co-Mgr
09/18/2014	Refunding Revenue Bonds	89.105	Los Angeles Harbor Dept	Co-Mgr
09/18/2014	Revenue & Refunding Rev Bonds	203.280	Los Angeles Harbor Dept	Co-Mgr
09/17/2014	GO Refunding Bonds	40.665	Douglas Co SD RE#1	Sole Mgr
09/17/2014	General Obligation Bonds	1.100	Humboldt Co (Hydesville) ESD	Sole Mgr
09/17/2014	Refunding School Bonds	7.615	New Providence BOE	Sole Mgr
09/17/2014	Second Lien Water Revenue Bonds	367.925	Chicago City-Illinois	Co-Mgr
09/16/2014	GO Refunding Bonds	37.655	Larimer Co (Poudre) SD #R-1	Sole Mgr
09/16/2014	Ref Certificates of Participaton	21.915	Riverside Co (Coachella Valley) USD	Sole Mgr
09/16/2014	GO Refunding Bonds	6.500	Scotts Bluff (Gering) PSD #0016	Sole Mgr
09/11/2014	General Obligation Bonds	5.615	Schuylkill Haven Boro-Pennsylvania	Sole Mgr
09/11/2014	GO Unltd Tax School Imp Bonds	61.355	Butler Co (Fairfield City) SD	Sole Mgr
09/10/2014	Public Improvement Rev Ref Bonds	29.040	Pembroke Pines City-Florida	Co-Mgr
09/10/2014	Rickenbacker Causeway Rev Bonds	31.610	Miami-Dade Co-Florida	Co-Mgr
09/04/2014	GO Refunding Bonds	20.820	Grand Co (East Grand) SD #2	Sole Mgr
09/04/2014	GO Refunding Bonds	5.600	Clifton City-New Jersey	Sole Mgr
09/04/2014	General Obligation Bonds	980.000	New York City-New York	Co-Mgr
09/03/2014	School Improvement Ref Bonds	3.760	Preble Co (Eaton) CCSD	Sole Mgr
09/03/2014	GO Refunding Bonds	275.865	Wisconsin	Lead Mgr
09/03/2014	Airport Revenue Refunding Bonds	12.430	Niagara Frontier Transport Auth	Co-Mgr
09/03/2014	Airport Revenue Refunding Bonds	65.340	Niagara Frontier Transport Auth	Co-Mgr
09/01/2014	Unlimited Tax Refunding Bonds	9.565	Fort Bend Co MUD #23	Lead Mgr
09/01/2014	Unlimited Tax & Rev Ref Bonds	5.090	Chimney Hill MUD	Co-Mgr
09/01/2014	Imp & Ref Bonds & Certs of Oblig	69.870	College Station City-Texas	Co-Mgr
09/01/2014	Unlimited Tax Refunding Bonds	4.305	Fort Bend Co MUD # 143	Sole Mgr
09/01/2014	Unlimited Tax Refunding Bonds	8.575	Lee Co (Giddings) ISD	Lead Mgr
09/01/2014	Unlimited Tax Schoolhouse Bonds	30.540	Brazoria Co (Pearland) ISD	Co-Mgr
09/01/2014	Unlimited Tax Refunding Bonds	9.570	Harris Co MUD #400	Lead Mgr
09/01/2014	Unltd Tax School Building Bonds	66.300	Denton Co (Northwest) ISD	Co-Mgr
09/01/2014	Reg Wastewater Sys Rev Ref Bonds	3.230	Upper Trinity Regional Water Dt	Sole Mgr
09/01/2014	Certificates of Obligation	9.600	Austin City-Texas	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
09/01/2014	Public Improvement Bonds	10.000	Austin City-Texas	Lead Mgr
09/01/2014	Tax Increment Contract Rev Bonds	15.845	Mueller Local Govt Corp	Lead Mgr
09/01/2014	Unltd Tax School Bldg & Ref Bonds	1.420	Schertz-Cibolo-Universal City ISD	Co-Mgr
09/01/2014	Unltd Tax School Bldg & Ref Bonds	90.025	Schertz-Cibolo-Universal City ISD	Co-Mgr
08/29/2014	Sewer Revenue Bonds	10.000	Shamokin-Coal Twp Jt Sewer Auth	Sole Mgr
08/29/2014	General Obligation Bonds	9.855	Luzerne Co (Hazleton) ASD	Sole Mgr
08/29/2014	Single Family Mortgage Rev Bonds	4.335	Escambia Co Housing Finance Auth	Sole Mgr
08/29/2014	Charter School Revenue Bonds	.240	New Jersey Economic Dev Auth	Sole Mgr
08/29/2014	Charter School Revenue Bonds	7.850	New Jersey Economic Dev Auth	Sole Mgr
08/28/2014	General Obligation Bonds	9.600	Bucks Co (Pennsbury) SD	Sole Mgr
08/28/2014	General Obligation Bonds	9.595	York Co (South Western) SD	Sole Mgr
08/28/2014	Guaranteed Sewer Revenue Bonds	8.195	Warwick Twp Municipal Auth	Sole Mgr
08/28/2014	GO Refunding Bonds	43.200	Long Beach Comm College Dt	Co-Mgr
08/28/2014	Sr&Sub Loc Gov Infra Oblig Bonds	40.325	Maryland Dept of Hsg & Comm Dev	Co-Mgr
08/28/2014	Ltd Obligation Revenue Bonds	13.020	Stockton Public Fin Authority	Sole Mgr
08/28/2014	GO Various Purpose Bonds	19.005	Defiance City SD	Sole Mgr
08/27/2014	General Obligation Bonds	9.995	Franklin Co (Tuscarora) SD	Sole Mgr
08/27/2014	Multi-Family Housing Rev Bonds	5.000	Pinellas Co Housing Fin Auth	Sole Mgr
08/26/2014	Electric System Sub Rev Bonds	204.750	Omaha Public Power Dt (OPPD)	Co-Mgr
08/26/2014	Refunding School Bonds	12.430	Wanaque Board of Education	Sole Mgr
08/26/2014	Housing Revenue Bonds	34.620	Wyoming Community Dev Authority	Co-Mgr
08/26/2014	Housing Revenue Bonds	44.800	Wyoming Community Dev Authority	Co-Mgr
08/26/2014	Electric Facs Imp Lease Rev Bonds	2.535	Cons Wyoming Muni Elec Pwr Sys Jt Bd	Sole Mgr
08/26/2014	Electric Facs Imp Lease Rev Bonds	21.935	Cons Wyoming Muni Elec Pwr Sys Jt Bd	Sole Mgr
08/26/2014	Homeownership Finance Bonds	13.663	Minnesota Housing Fin Agency	Lead Mgr
08/26/2014	Homeownership Finance Bonds	18.868	Minnesota Housing Fin Agency	Lead Mgr
08/21/2014	Housing Mortgage Fin Prog Bonds	123.135	Connecticut Housing Finance Auth	Co-Mgr
08/21/2014	Housing Revenue Bonds	3.700	Maryland Dept of Hsg & Comm Dev	Co-Mgr
08/21/2014	Affordable Housing Rev Bonds	55.555	NYS Housing Fin-Mortgage Agcy	Co-Mgr
08/21/2014	Consolidated Bonds	346.705	Port Authority of NY & NJ	Co-Mgr
08/21/2014	Consolidated Bonds	483.460	Port Authority of NY & NJ	Co-Mgr
08/20/2014	Sports Facs Refunding Bonds	292.475	Illinois Sports Facilities Auth	Co-Mgr
08/20/2014	Systemwide Revenue Bonds	747.740	California State Univ Trustees	Co-Mgr
08/20/2014	Single Family Housing Rev Bonds	50.000	Massachusetts Housing Fin Agcy	Lead Mgr
08/19/2014	General Obligation Bonds	6.040	Mercer Co (Sharon City) SD	Sole Mgr
08/19/2014	General Obligation Bonds	9.465	Chester Co (Avon Grove) SD	Sole Mgr
08/19/2014	GO Refunding Bonds	5.675	Montville Twp-New Jersey	Sole Mgr
08/19/2014	Housing Revenue Bonds	23.700	NYS Housing Fin-Mortgage Agcy	Sole Mgr
08/15/2014	Unltd Tax School Building Bonds	34.325	Gregg Co (Gladewater) ISD	Co-Mgr
08/15/2014	Unlimited Tax Refunding Bonds	7.915	Johnson Co (Joshua) ISD	Co-Mgr
08/15/2014	Multi-Family Housing Rev Bonds	10.500	Florida Housing Finance Corp	Sole Mgr
08/15/2014	Unlimited Tax School Bldg CABs	1.907	Hutchinson Co (Sanford-Fritch) ISD	Lead Mgr
08/15/2014	Unlimite Tax Sch Building Bonds	6.040	Hutchinson Co (Sanford-Fritch) ISD	Lead Mgr
08/15/2014	Refunding Bonds	5.530	Pawnee ISD	Co-Mgr
08/15/2014	Unlimited Tax Refunding Bonds	.075	Fannin Co (Trenton) ISD	Sole Mgr
08/15/2014	Unlimited Tax Refunding Bonds	3.710	Fannin Co (Trenton) ISD	Sole Mgr
08/15/2014	Unlimited Tax Refunding CABs	.070	Kaufman Co (Kemp) ISD	Sole Mgr
08/15/2014	Unlimited Tax Refunding Bonds	8.725	Kaufman Co (Kemp) ISD	Sole Mgr
08/15/2014	Unlimited Tax Refunding CABs	.972	Pecos-Barstow-Toyah ISD	Sole Mgr
08/15/2014	Unlimited Tax Refunding Bonds	7.095	Pecos-Barstow-Toyah ISD	Sole Mgr
08/14/2014	General Obligation Bonds	6.200	Monroe Co (Stroudsburg) ASD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
08/14/2014	General Obligation Bonds	35.000	San Joaquin Delta Comm Coll Dt	Sole Mgr
08/14/2014	Revenue Bonds	125.085	Ashland City-Kentucky	Sole Mgr
08/13/2014	School Refunding Bonds	28.380	Bayonne City-New Jersey	Sole Mgr
08/13/2014	General Obligation Bonds	30.000	Los Angeles Co (Santa Monica-Malibu) USD	Co-Mgr
08/13/2014	GO Energy Conservation Imp Bonds	5.255	Lucas Co (Sylvania City) SD	Sole Mgr
08/12/2014	GO School Improvement Ref Bonds	6.795	Hamilton Co (Princeton City) SD BOE	Sole Mgr
08/12/2014	GO School Improvement Ref Bonds	91.320	Hamilton Co (Princeton City) SD BOE	Sole Mgr
08/07/2014	GO Refunding Bonds	17.455	Riverside Co (Coachella Valley) USD	Sole Mgr
08/06/2014	Revenue Bonds	53.495	Washington Health Care Facs Au	Co-Mgr
08/06/2014	General Obligation Bonds	145.760	University of Minnesota Regents	Lead Mgr
08/05/2014	GO & Refunding Bonds	187.495	Allegheny Co-Pennsylvania	Co-Mgr
08/05/2014	General Obligation Notes	9.995	Lampeter-Strasburg SD	Sole Mgr
08/05/2014	GO State & Local Facs Loan Bonds	50.385	Maryland	Co-Mgr
08/05/2014	Refunding School Bonds	9.595	Monmouth Co (Upper Freehold) RSD	Sole Mgr
08/05/2014	GO Consolidated Loan Bonds	200.000	Massachusetts	Co-Mgr
08/05/2014	GO Consolidated Loan Bonds	200.000	Massachusetts	Co-Mgr
08/04/2014	Turnpike Revenue Bonds	125.000	New Jersey Turnpike Authority	Lead Mgr
08/01/2014	General Obligation BANs	.700	Los Angeles Co (Lynwood) USD	Sole Mgr
08/01/2014	General Obligation BANs	8.680	Los Angeles Co (Lynwood) USD	Sole Mgr
08/01/2014	Comb Tax & Rev Cert of Obligation	3.090	Universal City-Texas	Sole Mgr
08/01/2014	Future Tax Secured Sub Bonds	675.000	NYC Transitional Finance Auth	Co-Mgr
08/01/2014	Unlimited Tax Refunding Bonds	6.280	Harris Co MUD#284	Lead Mgr
08/01/2014	Unlimited Tax Sch Building Bonds	39.900	Lubbock Co (Frenship) ISD	Co-Mgr
08/01/2014	Gas Supply Revenue Ref Bonds	321.685	Lancaster Port Authority	Sole Mgr
08/01/2014	Gas Supply Revenue Ref Bonds	175.000	New Mexico Muni Energy Acqui Auth	Sole Mgr
08/01/2014	Gas Supply Revenue Ref Bonds	551.995	New Mexico Muni Energy Acqui Auth	Sole Mgr
08/01/2014	Revenue Refunding Bonds	40.175	Traer Creek Metropolitan Dt	Sole Mgr
08/01/2014	Unltd Tax School Building Bonds	116.095	Travis Co (Manor) ISD	Co-Mgr
08/01/2014	Unltd Tax School Building Bonds	13.840	Kent Co (Snyder) CISD	Co-Mgr
07/31/2014	Guaranteed Lease Revenue Bonds	6.610	Bethlehem Area Voc Tech School Au	Sole Mgr
07/31/2014	General Obligation Bonds	10.655	Los Angeles Co (Lynwood) USD	Sole Mgr
07/31/2014	GO Refunding Bonds	8.025	Riverside Co (Banning) USD	Sole Mgr
07/31/2014	Special Oblig & Turnpike Bonds	67.270	Maine Turnpike Authority	Co-Mgr
07/31/2014	Student Loan Backed Notes	277.000	Utah State Board of Regents	Sole Mgr
07/30/2014	General Obligation Bonds	9.100	Delaware Co (Radnor) TSD	Co-Mgr
07/30/2014	General Obligation Bonds	9.910	Berks Co (Reading) SD	Sole Mgr
07/24/2014	General Obligation Bonds	9.895	York Co-Pennsylvania	Co-Mgr
07/24/2014	Open Space Trust Fund Ref Bonds	6.795	Franklin Twp-New Jersey	Sole Mgr
07/24/2014	Single Fam Mtg Acq Rev Ref Bonds	76.600	New Hampshire Housing Fin Auth	Co-Mgr
07/24/2014	Home Mortgage Revenue Bonds	4.375	Illinois Housing Dev Authority	Co-Mgr
07/24/2014	Home Mortgage Revenue Bonds	34.920	Illinois Housing Dev Authority	Co-Mgr
07/24/2014	Home Mortgage Revenue Bonds	41.280	Illinois Housing Dev Authority	Co-Mgr
07/17/2014	Multi-Family Housing Rev Bonds	38.000	NYC Housing Dev Corp	Co-Mgr
07/17/2014	GO Refunding Bonds	7.945	Readington Twp-New Jersey	Sole Mgr
07/15/2014	General Obligation Bonds	5.340	Lackawanna Co (Valley View) SD	Sole Mgr
07/15/2014	Special Assessment Rev Bonds	3.367	Eastmark Community Facs Dt #1	Sole Mgr
07/15/2014	Water & Sewer System Rev Bonds	300.200	Jacksonville Electric Authority	Co-Mgr
07/15/2014	Unltd Tax School Bldg & Ref Bonds	21.775	Collin Co (Lovejoy) ISD	Co-Mgr
07/15/2014	School Building Bonds	6.745	Parker Co (Peaster) ISD	Sole Mgr
07/15/2014	Unlimited Tax Refunding Bonds	.225	Smith Co (Chapel Hill) ISD	Lead Mgr
07/15/2014	Unlimited Tax Refunding Bonds	8.545	Smith Co (Chapel Hill) ISD	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
07/15/2014	Unltd Tax School Building Bonds	4.935	Dawson Co (Sands) CISD	Sole Mgr
07/15/2014	Unltd Tax School Bldg & Ref Bonds	.150	Burnet Co (Marble Falls) ISD	Co-Mgr
07/15/2014	Unltd Tax School Bldg & Ref Bonds	9.140	Burnet Co (Marble Falls) ISD	Co-Mgr
07/15/2014	Unlimited Tax School Bldg Bonds	49.650	Dallas Co (Coppell) ISD	Co-Mgr
07/15/2014	Unltd Tax School Building Bonds	58.500	Caldwell Co (Lockhart) ISD	Co-Mgr
07/15/2014	Unltd Tax School Building Bonds	28.695	Roberts Co (Miami) ISD	Lead Mgr
07/15/2014	GO Refunding Bonds	49.360	El Paso City-Texas	Lead Mgr
07/15/2014	Combination Tax & Rev Cert Oblig	64.605	El Paso City-Texas	Lead Mgr
07/14/2014	GO Refunding Serial Bonds	19.600	Onondaga Co-New York	Sole Mgr
07/10/2014	General Obligation Bonds	15.395	Delaware Co (Radnor) TSD	Lead Mgr
07/10/2014	Sales Tax Receipts Revenue Bonds	555.000	Chicago Transit Authority	Co-Mgr
07/10/2014	School Lease Revenue Bonds	31.135	Chester Co School Auth	Sole Mgr
07/10/2014	GO Improvement Ref Bonds	4.370	Maywood Borough-New Jersey	Sole Mgr
07/10/2014	GO School Improvement Ref Bonds	35.350	Gallia Co LSD	Sole Mgr
07/10/2014	Wtr Swr Sys 2nd Gen Res Rev Bonds	100.000	NYC Municipal Water Fin Auth	Sole Mgr
07/09/2014	Guaranteed Lease Revenue Bonds	3.900	Lancaster Co Career & Tech Ctr Auth	Sole Mgr
07/09/2014	Limited Tax GO Bonds	9.640	Chester Co (Great Valley) SD	Sole Mgr
07/09/2014	School District Revenue Bonds	30.565	Bergen Co Improvement Auth	Sole Mgr
07/09/2014	School Improvement GO Ref Bonds	.140	Defiance Co (Hicksville) EVSD	Sole Mgr
07/09/2014	School Improvement GO Ref Bonds	6.470	Defiance Co (Hicksville) EVSD	Sole Mgr
07/09/2014	Various Purpose GO Bonds	22.580	Washington	Co-Mgr
07/08/2014	Revenue Bonds	63.925	Jacksonville Electric Authority	Co-Mgr
07/03/2014	General Obligation Bonds	8.500	Adams Co (Hastings) PSD #18	Sole Mgr
07/02/2014	School Improvement Bonds	18.000	Pima Co (Sunnyside) USD #12	Sole Mgr
07/02/2014	State Revolving Funds Rev Bonds	213.635	NYS Environmental Facs Corp	Co-Mgr
07/02/2014	Transport Excise Tax Rev Bonds	130.095	Pima Co Reg Transportation Auth	Co-Mgr
07/02/2014	Sales Tax Revenue Bonds	49.245	San Joaquin Co Transportation Au	Sole Mgr
07/02/2014	Student Housing Rev Bonds	.655	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
07/02/2014	Student Housing Revenue Bonds	31.030	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
07/02/2014	GO Mobility Fund Refunding Bonds	973.775	Texas Transportation Commission	Co-Mgr
07/01/2014	Airport Sys Jr Sub Ln Rev Notes	103.365	Clark Co-Nevada	Co-Mgr
07/01/2014	Unlimited Tax Road Ref CABs	.330	Denton Co Fresh Wtr Sup #10	Sole Mgr
07/01/2014	Unlimited Tax Refunding Bonds	6.380	Denton Co Fresh Wtr Sup #10	Sole Mgr
07/01/2014	Unlimited Tax Road Ref Bonds	11.510	Denton Co Fresh Wtr Sup #10	Sole Mgr
07/01/2014	Unltd Tax School Bldg & Ref Bonds	99.995	Galveston Co (Clear Creek) ISD	Co-Mgr
07/01/2014	Unlimited Tax Refunding Bonds	6.740	Harris Co Water Ctl Imp Dt #84	Lead Mgr
07/01/2014	General Revenue Bonds	24.415	Nebraska Public Power Dt	Co-Mgr
07/01/2014	General Revenue Bonds	195.175	Nebraska Public Power Dt	Co-Mgr
07/01/2014	Refunding School Bonds	2.760	Jamesburg Board of Ed	Sole Mgr
07/01/2014	Unlimited Tax Refunding Bonds	4.175	Brazoria Co MUD #25	Lead Mgr
07/01/2014	Unlimited Tax Refunding Bonds	7.215	Harris Co Utility Dt #16	Lead Mgr
07/01/2014	Unlimited Tax Refunding Bonds	5.200	Harris Co MUD #278	Lead Mgr
07/01/2014	Unlimited Tax Sch Bldg&Ref Bonds	5.350	Henderson Co (Cross Roads) ISD	Lead Mgr
07/01/2014	Unlimited Tax Refunding Bonds	71.465	Harris Co (Goose Creek) CISD	Co-Mgr
07/01/2014	Sales Tax Revenue Bonds	100.000	Metro Atlanta Rapid Transit Auth	Lead Mgr
07/01/2014	Unlimited Tax Sch Building Bonds	64.315	Hunt Co (Greenville) ISD	Lead Mgr
07/01/2014	Unlimited Tax Refunding Bonds	43.480	Collin Co (Prosper) ISD	Co-Mgr
06/30/2014	General Obligation Notes	9.880	Berks Co (Reading) SD	Sole Mgr
06/27/2014	Certificates of Participation	166.010	Palm Beach Co School Board	Co-Mgr
06/26/2014	GO Refunding Bonds	26.660	Glendale Community College Dt	Sole Mgr
06/26/2014	GO Gen Imp & Wtr Swr Ref Bonds	9.955	Hopewell Twp-New Jersey	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
06/26/2014	Refunding School Bonds	9.595	Passaic Co (North Haledon) SD BOE	Sole Mgr
06/26/2014	General Obligation Bonds	5.380	Connecticut	Co-Mgr
06/26/2014	General Obligation Bonds	23.120	Connecticut	Co-Mgr
06/26/2014	General Obligation Bonds	43.600	Connecticut	Co-Mgr
06/26/2014	General Obligation Bonds	49.840	Connecticut	Co-Mgr
06/26/2014	General Obligation Bonds	78.060	Connecticut	Co-Mgr
06/26/2014	Water System Revenue Bonds	75.000	East Bay MUD	Co-Mgr
06/26/2014	Single Family Mortgage Rev Bonds	12.533	New Mexico Mortgage Fin Auth	Sole Mgr
06/26/2014	Multi-Family Housing Rev Bonds	225.050	NYC Housing Dev Corp	Co-Mgr
06/26/2014	Affordable Housing Revenue Bonds	127.800	NYS Housing Fin-Mortgage Agcy	Co-Mgr
06/26/2014	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	Co-Mgr
06/26/2014	Multi-Family Housing Rev Bonds	13.000	NYC Housing Dev Corp	Sole Mgr
06/25/2014	General Obligation Bonds	9.995	Manheim Twp-Pennsylvania	Sole Mgr
06/25/2014	Certificates of Participation	18.895	Riverside Co (Desert Sands) USD	Sole Mgr
06/24/2014	Housing Finance Program Bonds	27.055	North Dakota Housing Fin Agency	Sole Mgr
06/24/2014	Housing Finance Program Bonds	50.000	North Dakota Housing Fin Agency	Lead Mgr
06/24/2014	GO Refunding Bonds	8.650	Rio Blanco Co (Meeker) SD #RE-1	Sole Mgr
06/23/2014	General Obligation Bonds	1,018.040	New York City-New York	Co-Mgr
06/20/2014	Comb Tax & Ltd Surp Wtr & Swr Rev	.420	Northlake Town-Texas	Sole Mgr
06/20/2014	Comb Tax & Assess Rev Certs	4.170	Northlake Town-Texas	Sole Mgr
06/19/2014	Single Family Mortgage Rev Bonds	50.000	Missouri Housing Dev Commission	Co-Mgr
06/19/2014	Ref Certificates of Participation	226.125	Arizona School Facilities Board	Co-Mgr
06/19/2014	Toll System Revenue Bonds	314.045	Miami-Dade Co Expressway Auth	Co-Mgr
06/19/2014	Homeowner Finance Bonds	38.527	Minnesota Housing Fin Agency	Lead Mgr
06/19/2014	Student Housing Revenue Bonds	.160	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
06/19/2014	Student Housing Revenue Bonds	43.810	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
06/18/2014	State Supported Revenue Bonds	23.000	Connecticut Hghr Ed Supp Loan Auth	Co-Mgr
06/17/2014	General Obligation Bonds	4.550	York Co (Northern York) SD	Sole Mgr
06/17/2014	Certificates of Participation	5.235	Logan Co (Valley) SD #RE-1	Sole Mgr
06/16/2014	Gen Imp Ref & Co College Bonds	10.305	Sussex Co-New Jersey	Sole Mgr
06/15/2014	unlimited Tax Refunding CABs	.005	Tarrant Co (Everman) ISD	Co-Mgr
06/15/2014	Unlimited Tax Refunding Bonds	9.175	Tarrant Co (Everman) ISD	Co-Mgr
06/15/2014	Unlimited Tax School Bldg Bonds	159.485	Tarrant Co (Arlington) ISD	Co-Mgr
06/15/2014	Water System Rev Ref & Imp Bonds	171.430	North Texas Municipal Water Dt	Co-Mgr
06/15/2014	Unlimited Tax Refunding Bonds	15.760	Grayson Co (Sherman) ISD	Co-Mgr
06/12/2014	General Obligation Bonds	11.462	Dauphin Co (Middletown) ASD	Sole Mgr
06/12/2014	General Obligation Bonds	28.020	Dauphin Co (Middletown) ASD	Sole Mgr
06/12/2014	GO Refunding Bonds	16.595	Los Angeles Co (El Monte City) SD	Sole Mgr
06/12/2014	GO Refunding Bonds	16.910	Los Angeles Co (El Monte City) SD	Sole Mgr
06/12/2014	Unlimited Tax GO Bonds	150.000	Snohomish Co (Edmonds) SD #15	Co-Mgr
06/12/2014	School Imp & Refunding Bonds	145.445	Maricopa Co (Phoenix) UHSD #210	Co-Mgr
06/11/2014	Refunding School Bonds	13.970	Union Co (Berkeley Heights) TBOE	Sole Mgr
06/11/2014	Subordinate Lien Pub Rev Bonds	15.135	New Mexico Finance Auth (NMFA)	Lead Mgr
06/11/2014	General Obligation Bonds	.620	Citrus Community College Dt	Sole Mgr
06/11/2014	General Obligation CABs	6.750	Citrus Community College Dt	Sole Mgr
06/11/2014	GO Convertible CABs	11.627	Citrus Community College Dt	Sole Mgr
06/11/2014	District GO Refunding Bonds	14.755	Goodyear Comm Facs Util Dt #1	Sole Mgr
06/11/2014	Hospital Revenue Bonds	145.005	Harris Co Cult Ed Facs Fin Corp	Co-Mgr
06/11/2014	Student Housing Revenue Bonds	.080	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
06/11/2014	Student Housing Revenue Bonds	38.195	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
06/11/2014	Hospital Revenue Bonds	35.200	Harris Co Cult Ed Facs Fin Corp	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
06/10/2014	General Obligation Bonds	14.860	Lehigh Co (Parkland) SD	Sole Mgr
06/10/2014	Sch Dt Rev Bond Fin Prog Bonds	197.955	NYS Dorm Authority	Lead Mgr
06/04/2014	General Obligation Bonds	6.820	Bristol Twp-Pennsylvania	Sole Mgr
06/04/2014	General Obligation Bonds	13.685	Bristol Twp-Pennsylvania	Sole Mgr
06/03/2014	GO & Refunding Bonds	250.730	San Jose-Evergreen Comm Coll Dt	Lead Mgr
06/03/2014	Unlimited Tax GO Refunding Bonds	66.260	Pierce Co (Bethel) SD #403	Co-Mgr
06/03/2014	General Obligation Bonds	4.550	Oregon	Co-Mgr
06/03/2014	General Obligation Bonds	63.890	Oregon	Co-Mgr
06/01/2014	Unlimited Tax Refunding Bonds	3.945	Grand Mission MUD #1	Lead Mgr
06/01/2014	Unlimited Tax Refunding Bonds	9.810	Brazoria Co MUD #34	Co-Mgr
06/01/2014	Water Sys Rev Ref & Imp Bonds	38.175	Garland City-Texas	Co-Mgr
06/01/2014	Unlimited Tax Refunding Bonds	2.325	Brazoria-Fort Bend Co MUD #1	Lead Mgr
06/01/2014	Unlimited Tax Refunding Bonds	5.600	Cypress Hill MUD # 1	Lead Mgr
06/01/2014	Electric Util Sys Rev Ref Bonds	85.305	Garland City-Texas	Co-Mgr
06/01/2014	Unlimited Tax Refunding Bonds	3.820	Gray Co (Pampa) ISD	Sole Mgr
06/01/2014	Educ Rev Qual Sch Con Bonds	5.195	Houston Higher Education Fin Corp	Lead Mgr
06/01/2014	Education Revenue & Ref Bonds	46.545	Houston Higher Education Fin Corp	Lead Mgr
06/01/2014	Unlimited Tax Refunding Bonds	43.585	Travis Co (Manor) ISD	Co-Mgr
05/29/2014	Residential Finance Prog Bonds	25.975	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
05/29/2014	Residential Finance Prog Bonds	124.025	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
05/29/2014	General Obligation Bonds	7.355	Dauphin Co (Susquehanna) TSD	Sole Mgr
05/29/2014	General Obligation Bonds	9.700	Montgomery Co (Souderton) ASD	Sole Mgr
05/29/2014	School Lease Revenue Bonds	4.145	Emmaus General Authority	Sole Mgr
05/29/2014	School Lease Revenue Bonds	9.995	Emmaus General Authority	Sole Mgr
05/29/2014	Housing Mtg Finance Prog Bonds	49.855	Connecticut Housing Finance Auth	Co-Mgr
05/29/2014	Revenue Refunding Bonds	9.000	Prescott City Municipal Prop Corp	Sole Mgr
05/29/2014	Revenue Bonds	10.000	Cattaraugus Co Cap Resources Corp	Sole Mgr
05/29/2014	Revenue Bonds	88.790	Jacksonville Electric Authority	Co-Mgr
05/29/2014	Student Housing Revenue Bonds	104.115	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
05/29/2014	Affordable Housing Revenue Bonds	26.495	NYS Housing Fin-Mortgage Agcy	Co-Mgr
05/29/2014	Multi-Family Housing Rev Bonds	18.000	Florida Housing Finance Corp	Sole Mgr
05/28/2014	Homeowner Mortgage Rev Bonds	21.070	Florida Housing Finance Corp	Lead Mgr
05/28/2014	Homeowner Mortgage Rev Bonds	50.000	Florida Housing Finance Corp	Lead Mgr
05/28/2014	General Obligation Bonds	6.800	York Co (Northern York) SD	Sole Mgr
05/28/2014	GO & Refunding Bonds	27.200	Tempe City-Arizona	Sole Mgr
05/28/2014	Tax Allocation Revenue Bonds	6.505	Riverside Co Public Fin Authority	Co-Mgr
05/28/2014	Tax Allocation Revenue Bonds	27.480	Riverside Co Public Fin Authority	Co-Mgr
05/22/2014	Rental Housing Bonds	5.550	Minnesota Housing Fin Agency	Sole Mgr
05/22/2014	Dulles Toll Road Rev Ref Bonds	421.760	Metro Washington Airports Auth	Co-Mgr
05/21/2014	Housing Revenue Bonds	3.790	Maryland Dept of Hsg & Comm Dev	Lead Mgr
05/20/2014	Single Family Program Bonds	24.630	Montana Board of Housing	Lead Mgr
05/20/2014	Single Family Program Bonds	46.870	Montana Board of Housing	Lead Mgr
05/20/2014	Municipal Facs Rev Ref Bonds	12.370	Nogales Muni Dev Auth Inc	Sole Mgr
05/15/2014	General Revenue Ref Bonds	89.185	Univ of Oklahoma Bd of Regents	Lead Mgr
05/15/2014	General Obligation Bonds	8.625	Lycoming Co (Montoursville) ASD	Sole Mgr
05/15/2014	Infrastructure Revenue Bonds	4.680	California Statewide CDA (CSCDA)	Sole Mgr
05/15/2014	GO Refunding Bonds	5.600	Morristown Town-New Jersey	Sole Mgr
05/15/2014	Unemploy Comp Oblig Assess Bonds	212.145	Texas Public Finance Auth (TPFA)	Co-Mgr
05/15/2014	Unemploy Comp Oblig Assess Bonds	497.640	Texas Public Finance Auth (TPFA)	Co-Mgr
05/15/2014	GO Refunding Bonds	12.645	Parker Co-Texas	Sole Mgr
05/15/2014	Unlimited Tax Refunding Bonds	89.415	El Paso Co (Ysleta) ISD	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
05/14/2014	General Obligation Bonds	1.200	Boulder Co (Estes Pk) SD # R-3	Sole Mgr
05/14/2014	GO School Facs Imp Ref Bonds	15.010	Cuyahoga Co (Lakewood City) SD	Sole Mgr
05/14/2014	GO School Facilities Imp Bonds	49.950	Cuyahoga Co (Lakewood City) SD	Sole Mgr
05/14/2014	General Obligation Bonds	5.565	Snyder Co (Midd-West) SD	Sole Mgr
05/14/2014	Education Revenue Bonds	.315	Wisconsin Public Finance Auth	Sole Mgr
05/14/2014	Education Revenue Bonds	8.945	Wisconsin Public Finance Auth	Sole Mgr
05/13/2014	Revenue Bonds	44.925	East Hempfield Twp Ind Dev Auth	Sole Mgr
05/08/2014	GO School Improvement Bonds	9.510	Portage Co (Streetsboro) CSD	Sole Mgr
05/08/2014	Seaport Revenue Bonds	20.150	Miami-Dade Co-Florida	Co-Mgr
05/08/2014	Seaport Revenue Bonds	181.320	Miami-Dade Co-Florida	Co-Mgr
05/07/2014	General Obligation Bonds	5.500	Bucks Co (Palisades) SD	Sole Mgr
05/07/2014	GO School Improvement Ref Bonds	.140	Crawford Co (Galion) CSD	Sole Mgr
05/07/2014	Refunding School Bonds	6.155	Woodbury Board of Education	Sole Mgr
05/07/2014	GO School Improvement Ref Bonds	6.760	Crawford Co (Galion) CSD	Sole Mgr
05/07/2014	School Improvement Bonds	3.800	Graham Co (Thatcher) USD #4	Sole Mgr
05/06/2014	School Facilities Con & Ref Bonds	613.845	New Jersey Economic Dev Auth	Co-Mgr
05/06/2014	School Facilities Con Ref Bonds	615.465	New Jersey Economic Dev Auth	Co-Mgr
05/06/2014	Home Ownership Rev Ref Bonds	54.335	North Carolina Housing Fin Agcy	Lead Mgr
05/06/2014	Student Housing Revenue Bonds	.325	Toledo-Lucas Co Port Authority	Sole Mgr
05/06/2014	Student Housing Revenue Bonds	37.380	Toledo-Lucas Co Port Authority	Sole Mgr
05/01/2014	General Obligation Bonds	3.315	Susquehanna Co (Blue Ridge) SD	Sole Mgr
05/01/2014	Multi-Family Housing Rev Bonds	8.170	NYC Housing Dev Corp	Co-Mgr
05/01/2014	Education Revenue Bonds	85.645	Arlington Higher Ed Fin Corp	Sole Mgr
05/01/2014	Gas & Fuel Tax 2nd Lien Ref Bonds	121.250	Louisiana	Co-Mgr
05/01/2014	Education Revenue Bonds	6.515	Waxahachie Educ Fin Corp	Sole Mgr
05/01/2014	General Obligation Bonds	10.595	Allen City-Texas	Co-Mgr
05/01/2014	Tax & Wtrwrks Swr Cert of Oblig	32.955	Borger City-Texas	Co-Mgr
04/30/2014	Residential Housing Finance Bonds	50.000	Minnesota Housing Fin Agency	Lead Mgr
04/30/2014	Public Buildings Imp GO Bonds	2.045	Cincinnati City-Ohio	Lead Mgr
04/30/2014	Police&Fire Pension GO Ref Bonds	8.345	Cincinnati City-Ohio	Lead Mgr
04/30/2014	Var Purp GO Imp & Ref Bonds	116.595	Cincinnati City-Ohio	Lead Mgr
04/29/2014	GO School Improvement Bonds	28.925	Portage Co (Streetsboro) CSD	Sole Mgr
04/29/2014	Future Tax Secured Sub Bonds	650.000	NYC Transitional Finance Auth	Co-Mgr
04/29/2014	Multi-Family Hsg Rev Ref Bonds	23.742	Missouri Housing Dev Commission	Co-Mgr
04/24/2014	Refunding School Bonds	19.715	Parsippany-Troy Hills Twp BOE	Sole Mgr
04/24/2014	Public Imp Refunding Serial Bonds	69.430	Oyster Bay Town-New York	Sole Mgr
04/24/2014	Special Assessment Ref Bonds	53.170	Tradition Comm Dev Dt #1	Sole Mgr
04/24/2014	Lease Revenue Bonds	152.420	California St Public Works Board	Co-Mgr
04/24/2014	Lease Revenue Bonds	254.635	California St Public Works Board	Co-Mgr
04/23/2014	Housing Mortgage Finance Bonds	100.000	Connecticut Housing Finance Auth	Co-Mgr
04/22/2014	GO Refunding Bonds	92.940	University of Connecticut	Co-Mgr
04/22/2014	General Obligation Bonds	109.050	University of Connecticut	Co-Mgr
04/21/2014	General Obligation Bonds	9.820	Dauphin Co (Derry) TSD	Sole Mgr
04/17/2014	GO Refunding Bonds	16.465	Antelope Valley Community Coll Dt	Lead Mgr
04/17/2014	GO Refunding Bonds	42.845	Antelope Valley Community Coll Dt	Lead Mgr
04/17/2014	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	Co-Mgr
04/16/2014	School Imp & Refunding Bonds	95.000	Maricopa Co (Mesa) USD #4	Sole Mgr
04/16/2014	Hospital Facilities Revenue Bonds	79.080	Hamilton Co-Ohio	Sole Mgr
04/16/2014	GO Refunding Bonds	16.980	Pasadena Area Comm College Dt	Sole Mgr
04/15/2014	General Obligation Bonds	6.335	Hummelstown Boro-Pennsylvania	Sole Mgr
04/15/2014	GO Refunding Bonds	6.075	Flower Mound Town-Texas	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
04/15/2014	Certificates of Participation	15.000	Douglas Co SD RE#1	Sole Mgr
04/15/2014	Revenue Financing System Bonds	259.135	Univ of Texas Sys Bd of Regents	Co-Mgr
04/15/2014	Unlimited Tax Schoolhouse Bonds	43.865	Fort Bend Co (Lamar) CISD	Co-Mgr
04/15/2014	Unlimited Tax Schoolhouse Bonds	90.000	Fort Bend Co (Lamar) CISD	Co-Mgr
04/10/2014	General Obligation Bonds	7.455	Lehigh Co (Catasauqua) ASD	Sole Mgr
04/09/2014	General Obligation Bonds	5.065	Berks Co (Wyomissing) ASD	Sole Mgr
04/09/2014	GO Refunding Bonds	17.010	Compton Community College Dt	Lead Mgr
04/08/2014	GO Refunding Bonds	10.645	Solano Comm College Dt	Lead Mgr
04/08/2014	GO Refunding Bonds	41.165	Solano Comm College Dt	Lead Mgr
04/08/2014	Airport Sys Sub Ln Rev Ref Bonds	95.950	Clark Co-Nevada	Co-Mgr
04/08/2014	Airport Sys Sub Ln Rev Ref Bonds	221.870	Clark Co-Nevada	Co-Mgr
04/08/2014	GO & Refunding Bonds	14.870	Flagstaff City-Arizona	Sole Mgr
04/08/2014	Sch Energy Cons Imp GO Bonds	1.935	Butler Tech & Career Dev SD	Sole Mgr
04/08/2014	Special Obligation Bonds	34.605	Massachusetts Dev Finance Agcy	Co-Mgr
04/03/2014	**GO & Refunding Bonds**	**74.740**	**Port St Lucie City-Florida**	**Sole Mgr**
04/03/2014	General Obligation Bonds	9.880	Dauphin Co-Pennsylvania	Sole Mgr
04/03/2014	General Obligation School Bonds	29.400	Matanuska-Susitna Boro-Alaska	Sole Mgr
04/03/2014	GO Refunding & Utility Bonds	4.215	Sea Isle City-New Jersey	Sole Mgr
04/03/2014	Wtr Swr Sys 2nd Gen Res Rev Bonds	547.850	NYC Municipal Water Fin Auth	Co-Mgr
04/02/2014	GO Refunding Bonds	25.140	Redwoods Community College Dt	Sole Mgr
04/02/2014	GO Refunding Bonds	65.155	Norfolk City-Virginia	Co-Mgr
04/02/2014	Sales Tax Revenue Bonds	110.135	San Bernardino Co Trans Auth	Co-Mgr
04/02/2014	College Revenue Bonds	42.720	Pennsylvania St Pub Sch Bldg Auth	Sole Mgr
04/01/2014	General Obligation Bonds	29.565	Northampton Co (Easton) ASD	Sole Mgr
04/01/2014	Highway Revenue Bonds	100.000	Clark Co-Nevada	Lead Mgr
04/01/2014	First Lien Revenue Ref Bonds	692.890	Houston City-Texas	Co-Mgr
04/01/2014	Unlimited Tax Refunding Bonds	6.490	Montgomery Co MUD #83	Lead Mgr
04/01/2014	Unlimited Tax School Bldg Bonds	4.135	Tarrant Co (Lake Worth) ISD	Sole Mgr
04/01/2014	GO Refunding & Imp Bonds	88.515	El Paso City-Texas	Co-Mgr
03/31/2014	General Obligation Bonds	4.305	Berks Co (Fleetwood) ASD	Sole Mgr
03/31/2014	GO Refunding Bonds	5.545	Routt Co (Steamboat Springs) SD #RE-2	Sole Mgr
03/31/2014	GO Refunding Bonds	7.530	Routt Co (Steamboat Springs) SD #RE-2	Sole Mgr
03/31/2014	Sewer Revenue Bonds	6.000	Berks-Montgomery Muni Auth	Sole Mgr
03/31/2014	Multi-Family Housing Rev Bonds	14.000	Florida Housing Finance Corp	Sole Mgr
03/28/2014	Aviation Revenue Refunding Bonds	328.130	Miami-Dade Co-Florida	Co-Mgr
03/27/2014	General Obligation Bonds	9.725	Lebanon Co (Palmyra) ASD	Sole Mgr
03/27/2014	GO Refunding Bonds	28.665	Yuma-La Paz Co Comm Coll Dt	Sole Mgr
03/27/2014	Certificates of Participation	30.720	Arapahoe Co (Aurora) JSD #28J	Sole Mgr
03/27/2014	GO Various Purpose & Ref Bonds	1,807.455	California	Lead Mgr
03/27/2014	GO Refunding Bonds	14.370	Kern Community College Dt	Lead Mgr
03/27/2014	GO Refunding Bonds	40.035	Kern Community College Dt	Lead Mgr
03/27/2014	Power Resource Revenue Bonds	26.565	Arizona Power Authority	Co-Mgr
03/27/2014	St Clean & Drink Wtr Rev Bonds	347.385	NYS Environmental Facs Corp	Co-Mgr
03/26/2014	Residential Housing Finance Bonds	50.000	Minnesota Housing Fin Agency	Lead Mgr
03/26/2014	General Obligation Bonds	9.410	Bedford Co (Chestnut Ridge) SD	Sole Mgr
03/26/2014	Bulk Power Supply Sys Rev Bonds	72.460	Jacksonville Electric Authority	Co-Mgr
03/26/2014	Pooled Hsg Revenue & Ref Bonds	13.855	Seattle City Housing Authority	Sole Mgr
03/26/2014	Revenue Bonds	17.000	Monroe Co Industrial Dev Corp	Lead Mgr
03/26/2014	Revenue Bonds	151.880	So California Pub Pwr Au (SCPPA)	Co-Mgr
03/26/2014	Revenue Bonds	166.980	So California Pub Pwr Au (SCPPA)	Co-Mgr
03/26/2014	Infrastructure Revenue Ref Bonds	10.395	Greater Arizona Dev Auth	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
03/25/2014	General Obligation Bonds	9.855	Lackawanna Co (North Pocono) SD	Sole Mgr
03/25/2014	General Obligation Bonds	650.000	New York City-New York	Co-Mgr
03/21/2014	State Personal Inc Tax Rev Bonds	26.585	NYS Dorm Authority	Co-Mgr
03/21/2014	State Personal Inc Tax Rev Bonds	785.555	NYS Dorm Authority	Co-Mgr
03/20/2014	General Obligation Bonds	16.410	Lackawanna Co (Riverside) SD	Sole Mgr
03/20/2014	GO Refunding Bonds	3.175	Arapahoe Co (Sheridan) SD #2	Sole Mgr
03/20/2014	Revenue Bonds	14.295	Geneva Development Corp	Sole Mgr
03/20/2014	GO Refunding Bonds	5.985	Los Angeles Co (Pasadena) USD	Sole Mgr
03/20/2014	GO Refunding Bonds	96.045	Los Angeles Co (Pasadena) USD	Sole Mgr
03/19/2014	General Obligation Bonds	8.905	Lancaster Co (Warwick) SD	Sole Mgr
03/19/2014	GO Refunding Bonds	31.950	San Bernardino Co (Chaffey) JUHSD	Sole Mgr
03/18/2014	Education Facility Revenue Bonds	.165	Phoenix Industrial Dev Auth	Sole Mgr
03/18/2014	Education Facility Revenue Bonds	9.475	Phoenix Industrial Dev Auth	Sole Mgr
03/17/2014	General Obligation Bonds	5.330	Hopewell Twp-Pennsylvania	Sole Mgr
03/17/2014	General Obligation Bonds	3,500.000	Puerto Rico	Lead Mgr
03/14/2014	Revenue Refunding Bonds	4.400	Lower Twp Muni Utility Auth	Sole Mgr
03/13/2014	General Obligation Bonds	8.590	Chester Co (Oxford) ASD	Sole Mgr
03/13/2014	Ltd Tax GO Refunding Bonds	7.800	Douglas Co (Westside) CSD #066	Sole Mgr
03/13/2014	General Obligation Bonds	17.945	Sundance CFD	Sole Mgr
03/13/2014	Health Facilities Revenue Bonds	200.000	Missouri Hlth & Ed Facs Authority	Lead Mgr
03/12/2014	GO County Courthouse Imp Bonds	2.250	Wyandot Co-Ohio	Sole Mgr
03/12/2014	State Transportation Rev Bonds	70.110	New Mexico Finance Auth (NMFA)	Lead Mgr
03/11/2014	GO Limited Tax Obligation Bonds	8.345	Lancaster Co (Penn Manor) SD	Sole Mgr
03/11/2014	GO School Dt Refunding Bonds	3.735	Seneca Co (Romulus) CSD	Sole Mgr
03/11/2014	GO Refunding Bonds	11.825	Long Beach Comm College Dt	Lead Mgr
03/06/2014	School Improvement GO Bonds	8.100	Butler Tech & Career Dev SD	Sole Mgr
03/05/2014	GO Ltd Tax Refunding & Imp Bonds	9.305	Sand Creek Metropolitan Dt	Sole Mgr
03/05/2014	General Obligation Bonds	8.185	Lancaster Co-Pennsylvania	Sole Mgr
03/05/2014	General Obligation Bonds	7.180	Morgan Co (Fort Morgan) SD #RE-3	Sole Mgr
03/04/2014	General Obligation Bonds	9.980	Lebanon Co (Palmyra) ASD	Sole Mgr
03/04/2014	General Obligation Bonds	7.040	Lancaster Co (Columbia Boro) SD	Sole Mgr
03/04/2014	Governmental Loan Rev Bonds	11.175	Bergen Co Improvement Auth	Sole Mgr
03/01/2014	Unlimited Tax School Bldg Bonds	18.330	Harris Co (Goose Creek) CISD	Co-Mgr
03/01/2014	Unlimited Tax Sch Building Bonds	44.770	Guadalupe Co (Seguin) ISD	Co-Mgr
03/01/2014	Unlimited Tax Refunding Bonds	3.745	Fort Bend Co MUD # 142	Lead Mgr
03/01/2014	Combined Fee Revenue Bonds	10.000	Southwest Texas Junior College Dt	Co-Mgr
03/01/2014	Unltd Tax School Building Bonds	14.590	Gonzales Co (Gonzales) ISD	Lead Mgr
03/01/2014	Revenue Certs of Obligation	12.175	Terrell City-Texas	Co-Mgr
03/01/2014	Unlimited Tax School Bldg Bonds	62.620	Harris Co (Goose Creek) CISD	Co-Mgr
03/01/2014	Unltd Tax School Building Bonds	17.775	Harris Co (Tomball) ISD	Co-Mgr
03/01/2014	Unlimited Tax Refunding Bonds	9.295	Denton Co (Lake Dallas) ISD	Co-Mgr
03/01/2014	Unlimited Tax School Bldg Bonds	114.680	Harris Co (Cypress-Fairbanks) ISD	Co-Mgr
03/01/2014	Unlimited Tax Refunding CABs	.012	Tarrant Co (Lake Worth) ISD	Sole Mgr
03/01/2014	Unlimited Tax Refunding Bonds	5.055	Tarrant Co (Lake Worth) ISD	Sole Mgr
03/01/2014	Unlimited Tax Refunding Bonds	2.012	Johnson Co (Cleburne) ISD	Sole Mgr
03/01/2014	Unlimited Tax Refunding Bonds	6.610	Johnson Co (Cleburne) ISD	Sole Mgr
03/01/2014	Unltd Tax School Building Bonds	63.320	Harris Co (Tomball) ISD	Co-Mgr
02/28/2014	Transportation Rev & Ref Bonds	400.000	Metropolitan Transport Auth (MTA)	Co-Mgr
02/27/2014	Mortgage Purchase Bonds	21.585	Maine State Housing Authority	Co-Mgr
02/27/2014	Mortgage Purchase Bonds	30.000	Maine State Housing Authority	Co-Mgr
02/27/2014	General Obligation Bonds	9.995	Montgomery (Upper Merion) ASD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
02/27/2014	Housing Revenue Bonds	4.805	Maryland Dept of Hsg & Comm Dev	Co-Mgr
02/27/2014	GO Refunding Bonds	9.390	Moffat Co (Craig) RSD #1	Sole Mgr
02/27/2014	Student Loan Revenue Bonds	208.430	Utah State Board of Regents	Sole Mgr
02/26/2014	School Improvement Bonds	22.000	Maricopa Co (Creighton) ESD #14	Sole Mgr
02/25/2014	General Obligation Bonds	4.840	Schuylkill Co (Shenandoah Vlly) SD	Sole Mgr
02/25/2014	General Obligation Bonds	5.960	Lackawanna Co (Abington Hts) SD	Co-Mgr
02/25/2014	General Obligation Bonds	9.915	Lycoming Co (East Lycoming) SD	Sole Mgr
02/21/2014	Multi-Family Housing Rev Bonds	7.860	Michigan State Housing Dev Auth	Sole Mgr
02/21/2014	Education Facility Revenue Bonds	9.590	Phoenix Industrial Dev Auth	Sole Mgr
02/20/2014	General Obligation Bonds	9.395	Lehigh Co (Parkland) SD	Sole Mgr
02/20/2014	Hotel Occupancy Tax Rev Ref Bonds	24.660	Irving City-Texas	Co-Mgr
02/20/2014	Hotel Occupancy Tax Rev Bonds	39.655	Irving City-Texas	Co-Mgr
02/20/2014	Residential Revenue Bonds	35.565	Maryland Dept of Hsg & Comm Dev	Co-Mgr
02/20/2014	Residential Revenue Bonds	57.515	Maryland Dept of Hsg & Comm Dev	Co-Mgr
02/20/2014	General Obligation Bonds	47.205	Alaska Municipal Bond Bank	Sole Mgr
02/19/2014	General Obligation Bonds	6.240	York Co (Red Lion) ASD	Sole Mgr
02/19/2014	State Appropriation Bonds	14.540	Minnesota Housing Fin Agency	Lead Mgr
02/18/2014	General Obligation Bonds	9.600	Berks Co (Wilson) SD	Sole Mgr
02/15/2014	Unlimited Tax Sch Building Bonds	17.145	Williamson Co (Leander) ISD	Lead Mgr
02/15/2014	Unlimited Tax Sch Building Bonds	187.519	Williamson Co (Leander) ISD	Lead Mgr
02/15/2014	Unlimited Tax School Bldg Bonds	10.593	Taylor Co (Abilene) ISD	Co-Mgr
02/15/2014	Unlimited Tax School Bldg Bonds	70.885	Taylor Co (Abilene) ISD	Co-Mgr
02/15/2014	Comb Tax & Pledge Rev Cert Oblig	2.240	San Juan City-Texas	Sole Mgr
02/15/2014	Unltd Tax School Building Bonds	20.000	Tarrant Co (Azle) ISD	Co-Mgr
02/15/2014	GO Refunding & Improvement Bonds	7.175	Prosper Town-Texas	Co-Mgr
02/15/2014	Comb Tax & Surplus Rev Cert Oblig	12.570	Prosper Town-Texas	Co-Mgr
02/14/2014	Multi-Family Housing Rev Bonds	5.000	Illinois Housing Dev Authority	Sole Mgr
02/13/2014	GO Refunding Bonds	38.145	Riverside Co (Coachella Valley) USD	Sole Mgr
02/13/2014	Sub Tier Toll Revenue Ref BANs	733.465	Grand Parkway Transport Corp	Co-Mgr
02/13/2014	Unlimited Tax Schoolhouse Bonds	101.000	Brazoria Co (Alvin) ISD	Co-Mgr
02/12/2014	Sewer System Revenue Obligations	48.500	Pima Co-Arizona	Sole Mgr
02/12/2014	GO Refunding Bonds	10.830	Metuchen Boro-New Jersey	Sole Mgr
02/12/2014	Refunding School Bonds	19.890	Middlesex Co (S Brunswick) TSD BOE	Sole Mgr
02/12/2014	Certificates of Participation	52.160	Pima Co-Arizona	Sole Mgr
02/12/2014	School Improvement Bonds	34.600	Maricopa Co (Deer Valley) USD #97	Lead Mgr
02/12/2014	General Obligation Bonds	8.900	Morrill Co (Bridgeport) PSD #63	Sole Mgr
02/11/2014	General Obligation Bonds	9.995	Lebanon Co (Annville-Cleona) SD	Sole Mgr
02/11/2014	General Obligation Bonds	9.325	Lancaster Co (Hempfield) SD	Sole Mgr
02/10/2014	General Obligation Bonds	3.475	Perry Co (Susquenita) SD	Sole Mgr
02/07/2014	Pension Refunding Bonds	1.560	Woodbridge Twp-New Jersey	Sole Mgr
02/07/2014	General Improvement Ref Bonds	25.630	Woodbridge Twp-New Jersey	Sole Mgr
02/07/2014	Multi-Family Housing Rev Bonds	3.500	Michigan State Housing Dev Auth	Sole Mgr
02/07/2014	Education Facility Revenue Bonds	9.020	Phoenix Industrial Dev Auth	Sole Mgr
02/06/2014	Wtr Swr Sys 2nd Gen Res Rev Bonds	351.240	NYC Municipal Water Fin Auth	Co-Mgr
02/06/2014	Student Hsg Refunding Rev Bonds	6.350	Maryland Eco Dev Corp (MEDCO)	Sole Mgr
02/06/2014	General Revenue Bonds	200.000	Virginia Commonwealth Univ Hlth Sys	Co-Mgr
02/06/2014	GO Refunding Bonds	154.275	Philadelphia City-Pennsylvania	Co-Mgr
02/06/2014	General Revenue Bonds	250.000	Triborough Bridge & Tunnel Auth	Co-Mgr
02/05/2014	Electric System & Sub Rev Bonds	287.920	Jacksonville Electric Authority	Lead Mgr
02/04/2014	School District Refunding Bonds	10.450	Suffolk Co (Harborfields) CSD	Sole Mgr
02/04/2014	Future Tax Secured Sub Bonds	41.140	NYC Transitional Finance Auth	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
02/04/2014	Future Tax Secured Sub&Ref Bonds	855.000	NYC Transitional Finance Auth	Co-Mgr
02/03/2014	General Obligation Bonds	9.250	Berks Co (Hamburg) ASD	Sole Mgr
02/03/2014	General Obligation Bonds	3.605	Lancaster Co (Conestoga Vlly) SD	Sole Mgr
02/01/2014	Unlimited Tax & Rev Ref Bonds	5.795	Harris Co MUD #53	Lead Mgr
02/01/2014	Unlimited Tax Refunding Bonds	2.370	Mills Road MUD	Sole Mgr
02/01/2014	Unlimited Tax Refunding Bonds	9.465	Remington MUD #1	Lead Mgr
02/01/2014	Unlimited Tax Refunding Bonds	5.795	Brazoria Co MUD #19	Lead Mgr
02/01/2014	Unlimited Tax Schoolhouse Bonds	65.050	Brazoria Co (Alvin) ISD	Co-Mgr
02/01/2014	Unlimited Tax School Bldg Bonds	21.910	Cherokee Co (Jacksonville) ISD	Lead Mgr
02/01/2014	Ltd Tax General Obligation Bonds	.480	North Central Texas Com Coll Dt	Lead Mgr
02/01/2014	Ltd Tax General Obligation Bonds	8.250	North Central Texas Com Coll Dt	Lead Mgr
02/01/2014	Unltd Tax School Building Bonds	18.650	Glasscock Co ISD	Co-Mgr
02/01/2014	Unlimited Tax School Bldg Bonds	1.025	Nueces Co (London) ISD	Co-Mgr
02/01/2014	Unlimited Tax School Bldg Bonds	8.725	Nueces Co (London) ISD	Co-Mgr
02/01/2014	Limited Tax GO Refunding Bonds	3.255	Iowa Park City-Texas	Sole Mgr
02/01/2014	Unlimited Tax Refunding Bonds	16.770	Travis Co (Del Valle) ISD	Lead Mgr
02/01/2014	Water&Swr Sys Rev Ref&Imp Bonds	171.415	Fort Worth City-Texas	Lead Mgr
01/31/2014	St Gen Fund Appropriation Bonds	70.280	Minnesota	Lead Mgr
01/31/2014	St Gen Fund Appropriation Bonds	391.785	Minnesota	Lead Mgr
01/30/2014	GO Various Purpose Ref Bonds	11.035	Columbus City-Ohio	Co-Mgr
01/30/2014	GO Various Purpose Ref Bonds	22.025	Columbus City-Ohio	Co-Mgr
01/30/2014	GO Various Purpose Ref Bonds	30.830	Columbus City-Ohio	Co-Mgr
01/30/2014	GO Various Purpose Ref Bonds	33.670	Columbus City-Ohio	Co-Mgr
01/30/2014	General Obligation Bonds	10.000	Pima Co-Arizona	Sole Mgr
01/30/2014	Consolidated Bonds	1,000.000	Port Authority of NY & NJ	Lead Mgr
01/28/2014	Single Family Program Bonds	16.765	Washington State Hsg Fin Comm	Lead Mgr
01/28/2014	Single Family Program Bonds	19.935	Washington State Hsg Fin Comm	Lead Mgr
01/23/2014	Water & Wastewater Revenue Bonds	123.170	Philadelphia City-Pennsylvania	Co-Mgr
01/22/2014	General Resolution Bonds	7.440	Schenectady Metroplex Dev Auth	Sole Mgr
01/22/2014	General Resolution Bonds	10.030	Schenectady Metroplex Dev Auth	Sole Mgr
01/21/2014	Certificates of Participation	2.940	Routt Co (Steamboat Springs) SD #RE-2	Sole Mgr
01/17/2014	Multi-Family Housing Rev Bonds	12.580	Illinois Housing Dev Authority	Sole Mgr
01/16/2014	GO Transportation System Bonds	17.840	Matanuska-Susitna Boro-Alaska	Sole Mgr
01/15/2014	Unlimited Tax School Bldg Bonds	13.530	Reagan Co ISD	Lead Mgr
01/15/2014	Unlimited Tax Sch Building Bonds	45.050	Dewitt Co (Yoakum) ISD	Lead Mgr
01/15/2014	GO Refunding Bonds	3.800	Midland City-Texas	Co-Mgr
01/15/2014	Tax & Ltd Pledge Rev Cert Oblig	26.180	Midland City-Texas	Co-Mgr
01/15/2014	Unlimited Tax Sch Building Bonds	85.235	Midland ISD	Co-Mgr
01/15/2014	Maintenance Tax Ref Bonds	40.665	Alamo Community College Dt	Lead Mgr
01/14/2014	Single Family Mortgage Prog Bonds	15.000	New Mexico Mortgage Fin Auth	Co-Mgr
01/09/2014	General Obligation Bonds	9.340	Lancaster Co (Warwick) SD	Sole Mgr
01/09/2014	General Obligation Bonds	9.995	Berks Co (Exeter) TSD	Sole Mgr
01/08/2014	School Improvement Bonds	50.000	Maricopa Co (Paradise Valley) USD #69	Sole Mgr
01/08/2014	General Obligation Bonds	3.980	Berks Co (Twin Valley) SD	Sole Mgr
01/06/2014	General Obligation Bonds	19.630	Allegheny Co (West Allegheny) SD	Sole Mgr
01/06/2014	General Obligation Bonds	8.880	Delaware Co (Radnor) TSD	Co-Mgr
01/03/2014	General Obligation Bonds	10.000	Lancaster Co (Manheim) TSD	Sole Mgr
01/03/2014	General Obligation Bonds	10.000	Berks Co (Wilson) SD	Sole Mgr
01/01/2014	Unlimited Tax Refunding Bonds	48.795	Collin Co (Plano) ISD	Co-Mgr
01/01/2014	GO Refunding Bonds	110.610	El Paso City-Texas	Co-Mgr
12/31/2013	System Enterprise Revenue Bonds	138.740	Colorado St Univ Sys Bd of Gov	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/30/2013	Sch Imp GO Refunding Bonds	3.725	Greene Co (Bellbrook-Sugarcreek) LSD	Sole Mgr
12/30/2013	Qualified Energy Cons GO Bonds	10.495	Schuykill Co (Tri-Valley) SD	Sole Mgr
12/27/2013	Sales Tax Revenue Bonds	96.715	Pasco Co SD	Co-Mgr
12/23/2013	General Obligation Bonds	8.080	Berks Co (Twin Valley) SD	Sole Mgr
12/23/2013	General Obligation Bonds	9.995	Mifflin Co SD	Sole Mgr
12/23/2013	Homeowner Mortgage Rev Bonds	50.000	Florida Housing Finance Corp	Co-Mgr
12/23/2013	Multi-Family Housing Rev Bonds	6.000	Illinois Housing Dev Authority	Sole Mgr
12/20/2013	General Receipts Bonds	37.365	University of Cincinnati	Lead Mgr
12/20/2013	General Receipts Bonds	54.125	University of Cincinnati	Lead Mgr
12/20/2013	General Obligation Bonds	5.325	Los Angeles Co (Wiseburn) SD	Sole Mgr
12/19/2013	GO Refunding Bonds	62.920	Cook Co-Illinois	Co-Mgr
12/19/2013	Homeownership Opportunity Bonds	84.195	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
12/19/2013	GO Refunding Bonds	8.900	Cook Co-Illinois	Co-Mgr
12/19/2013	Multi-Family Housing Rev Bonds	78.025	NYC Housing Dev Corp	Co-Mgr
12/19/2013	Multi-Family Housing Rev Bonds	175.720	NYC Housing Dev Corp	Co-Mgr
12/19/2013	Affordable Housing Revenue Bonds	125.940	NYS Housing Fin-Mortgage Agcy	Co-Mgr
12/19/2013	Sewer Revenue Bonds	64.755	Allegheny Co Sanitary Authority	Co-Mgr
12/19/2013	General Obligation Bonds	800.050	New York City-New York	Co-Mgr
12/19/2013	Educational Fac Revenue Bonds	11.000	Phoenix Industrial Dev Auth	Sole Mgr
12/19/2013	Education Facility Rev Bonds	8.305	Phoenix Industrial Dev Auth	Sole Mgr
12/18/2013	Sewer Revenue Bonds	5.325	Mountaintop Area Jt Sanit Auth	Sole Mgr
12/18/2013	General Obligation Bonds	119.665	Bucks Co (Bristol) TSD	Co-Mgr
12/18/2013	Transportation Imp Assess Bonds	39.525	North Port City-Florida	Co-Mgr
12/18/2013	Revenue Bonds	476.585	Delaware River Port Auth of PA NJ	Lead Mgr
12/18/2013	General Obligation Bonds	495.425	District of Columbia	Co-Mgr
12/18/2013	Gen Rev Jr Indebtendess Oblig	1,600.000	NYS Thruway Authority	Co-Mgr
12/18/2013	Restructuring Bonds	482.934	NYS Utility Debt Securitization Auth	Co-Mgr
12/18/2013	Restructuring Bonds	1,539.390	NYS Utility Debt Securitization Auth	Co-Mgr
12/18/2013	Wtr Swr Sys 1st Lien Ref Bonds	216.910	Pittsburgh Water & Sewer Auth	Co-Mgr
12/17/2013	General Obligation Bonds	9.785	Montgomery Co (Cheltenham) TSD	Sole Mgr
12/17/2013	General Obligation Bonds	20.180	Berks Co (Wilson) SD	Sole Mgr
12/17/2013	Tax Increment Rev & Ref Bonds	32.280	Midtown Redevelopment Auth	Co-Mgr
12/16/2013	General Obligation Notes	2.540	Lackawanna Co (Riverside) SD	Sole Mgr
12/15/2013	Combination Tax & Rev Certs Oblig	8.430	Cleburne City-Texas	Lead Mgr
12/15/2013	Combination Tax & Rev Ref Bonds	37.390	Cleburne City-Texas	Lead Mgr
12/13/2013	Utility System Revenue Bonds	35.600	Sarasota Co-Florida	Co-Mgr
12/12/2013	General Obligation Bonds	7.725	Lancaster SD	Sole Mgr
12/12/2013	Utility Sys Imp & Ref Rev Bonds	21.105	St Lucie Co Water & Sewer Dt	Lead Mgr
12/12/2013	Housing Revenue Bonds	16.255	Maryland Dept of Hsg & Comm Dev	Co-Mgr
12/12/2013	County Guaranteed Loan Rev Bonds	38.200	Camden Co Improvement Auth	Sole Mgr
12/12/2013	Asset-Backed Revenue Bonds	565.655	NY Tobacco Settlement Fin Corp	Co-Mgr
12/12/2013	Asset-Backed Revenue Bonds	660.090	NY Tobacco Settlement Fin Corp	Co-Mgr
12/11/2013	General Airport Revenue Ref Bonds	3.275	Tulsa Trustee Airport Imp Trust	Sole Mgr
12/11/2013	General Airport Revenue Bonds	33.665	Tulsa Trustee Airport Imp Trust	Sole Mgr
12/10/2013	General Obligation Bonds	3.303	Allan Hancock Jt Comm Coll Dt	Sole Mgr
12/10/2013	General Obligation Bonds	5.470	Allan Hancock Jt Comm Coll Dt	Sole Mgr
12/09/2013	Certificates of Participation	89.510	Colorado	Lead Mgr
12/05/2013	General Obligation BANs	35.996	Los Angeles Co (Newhall) SD	Sole Mgr
12/04/2013	Revenue Bonds	75.440	San Francisco Muni Transport Agy	Co-Mgr
12/03/2013	Sr & Sub Lien Sewer Rev Warrants	105.271	Jefferson Co-Alabama	Co-Mgr
12/03/2013	Sr & Sub Lien Sewer Rev Warrants	474.295	Jefferson Co-Alabama	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/03/2013	Sr & Sub Lien Sewer Rev Warrants	1,205.920	Jefferson Co-Alabama	Co-Mgr
12/01/2013	Unlimited Tax Refunding Bonds	4.220	Brazoria Co MUD #28	Lead Mgr
12/01/2013	Unlimited Tax Refunding Bonds	9.615	Harris Co MUD #196	Lead Mgr
12/01/2013	Unlimited Tax Refunding Bonds	5.930	Harris Co MUD #368	Lead Mgr
12/01/2013	Refunding Bonds	16.835	Bexar Co-Texas	Co-Mgr
12/01/2013	Limited Tax Refunding Bonds	18.055	Bexar Co-Texas	Co-Mgr
12/01/2013	Pass-Through Rev & Ltd Tax Bonds	30.165	Bexar Co-Texas	Co-Mgr
12/01/2013	Hotel Occupancy Tax Rev Ref Bonds	26.485	Austin City-Texas	Co-Mgr
12/01/2013	Unlimited Tax School Bldg Bonds	45.945	Galveston Co (Clear Creek) ISD	Co-Mgr
11/27/2013	General Obligation Bonds	9.995	Montgomery Co (Souderton) ASD	Sole Mgr
11/27/2013	Multi-Family Housing Rev Bonds	28.000	Florida Housing Finance Corp	Sole Mgr
11/26/2013	General Obligation Bonds	1.475	Compton Community College Dt	Lead Mgr
11/26/2013	General Obligation Bonds	15.080	Compton Community College Dt	Lead Mgr
11/26/2013	Special Obligation Ref Bonds	50.855	Palm Bay City-Florida	Lead Mgr
11/23/2013	Commonwealth Transport Fund Bnds	423.720	Massachusetts	Co-Mgr
11/21/2013	General Revenue Bonds	118.270	Nebraska Public Power Dt	Co-Mgr
11/21/2013	Home Ownership Rev Ref Bonds	66.150	North Carolina Housing Fin Agcy	Lead Mgr
11/21/2013	Special Tax Obligation Bonds	600.000	Connecticut	Co-Mgr
11/21/2013	Refunding School Bonds	3.225	Hudson Co (North Bergen) TSD BOE	Sole Mgr
11/21/2013	Lease Revenue Bonds	28.455	Delaware Co Voc Tech SD	Sole Mgr
11/21/2013	General Obligation Bonds	25.000	Hawaii	Lead Mgr
11/21/2013	General Obligation Bonds	29.795	Hawaii	Lead Mgr
11/21/2013	GO & Refunding Bonds	771.545	Hawaii	Lead Mgr
11/21/2013	GO Refunding Bonds	.650	Morgan Co (Weldon Vly) SD #RE-20J	Sole Mgr
11/21/2013	General Obligation Bonds	8.165	Oregon	Co-Mgr
11/21/2013	General Obligation Bonds	106.940	Oregon	Co-Mgr
11/21/2013	Wtr & Swr Sys 2nd Gen Res Bonds	397.085	NYC Municipal Water Fin Auth	Co-Mgr
11/20/2013	General Obligation Bonds	20.070	Northampton Co (Easton) ASD	Sole Mgr
11/20/2013	General Obligation Bonds	5.535	Berks Co (Boyertown) ASD	Sole Mgr
11/20/2013	Lease Revenue Bonds	631.535	California St Public Works Board	Lead Mgr
11/19/2013	Residential Finance Program Bonds	31.300	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
11/19/2013	Residential Finance Program Bonds	90.000	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
11/19/2013	Multi-Family Conduit Rev Bonds	6.900	New Jersey Hsg & Mtg Fin Agency	Sole Mgr
11/19/2013	Single Family Mortgage Bonds	39.950	Colorado Hsg & Fin Au (CHFA)	Sole Mgr
11/18/2013	GO Refunding Bonds	1.575	Lincoln Co (Limon) SD #RE-4J	Sole Mgr
11/15/2013	Unlimited Tax School Bldg Bonds	67.435	Denton Co (Lewisville) ISD	Co-Mgr
11/15/2013	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	Co-Mgr
11/15/2013	Unlimited Tax Refunding Bonds	25.640	Fort Bend Co (Lamar) CISD	Co-Mgr
11/15/2013	Unlimited Tax Refunding Bonds	16.730	Lubbock ISD	Co-Mgr
11/14/2013	Refunding Revenue Bonds	191.820	California Infrstr & Eco Dev Bank	Lead Mgr
11/14/2013	General Obligation Bonds	72.045	Alaska Municipal Bond Bank	Lead Mgr
11/14/2013	Multi-Family Housing Rev Bonds	13.500	Palm Beach Co Housing Fin Au	Sole Mgr
11/13/2013	Future Tax Secured Sub Bonds	650.000	NYC Transitional Finance Auth	Co-Mgr
11/13/2013	Sr Lien Revolving Fund Rev Bodns	16.360	New Mexico Finance Auth (NMFA)	Lead Mgr
11/13/2013	Federal Highway GANs	252.545	Massachusetts	Co-Mgr
11/12/2013	General Obligation Bonds	5.515	Lackawanna Co (Valley View) SD	Sole Mgr
11/12/2013	General Obligation Notes	24.345	Adams Co (Bermudian Springs) SD	Sole Mgr
11/07/2013	General Obligation Bonds	7.305	Bucks Co (Pennsbury) SD	Sole Mgr
11/07/2013	General Obligation Bonds	9.780	York Co (Red Lion) ASD	Sole Mgr
11/07/2013	Special Revenue Bonds	548.900	Nevada	Lead Mgr
11/07/2013	Housing Revenue Bonds	41.795	Maryland Dept of Hsg & Comm Dev	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
11/06/2013	School Improvement Bonds	11.495	Maricopa Co (Pendergast) ESD #92	Sole Mgr
11/05/2013	Various Purp & Sch Facs GO Bonds	1,647.490	California	Co-Mgr
11/05/2013	General Obligation Bonds	450.000	California	Co-Mgr
11/01/2013	General Obligation Bonds	9.750	Lancaster Co-Pennsylvania	Sole Mgr
11/01/2013	Unlimited Tax Refunding Bonds	7.260	Harris Co MUD #400	Lead Mgr
11/01/2013	Tax & Wtrwrks & Swr Sys Surp Rev	5.245	Borger City-Texas	Co-Mgr
11/01/2013	Comb Tax & Pledge Cert of Oblig	8.500	Eagle Pass City-Texas	Co-Mgr
10/31/2013	Multi-Family Housing Rev Bonds	39.100	Massachusetts Dev Finance Agcy	Sole Mgr
10/31/2013	Var Rate Health Facs Rev Bond	100.000	Missouri Hlth & Ed Facs Authority	Sole Mgr
10/30/2013	General Obligation Bonds	9.450	Lancaster Co (Elizabethtown) ASD	Sole Mgr
10/30/2013	General Obligation Bonds	6.000	Los Angeles Co (Little Lake) SD	Sole Mgr
10/30/2013	Housing Mortgage Fin Prog Bonds	18.835	Connecticut Housing Finance Auth	Co-Mgr
10/30/2013	Housing Mortgage Fin Prog Bonds	156.550	Connecticut Housing Finance Auth	Co-Mgr
10/30/2013	Subordinated Revenue Ref Bonds	168.865	Hillsborough Co Aviation Auth	Lead Mgr
10/29/2013	University Enterprise Rev Bonds	11.245	Univ of Colorado Bd of Regents	Lead Mgr
10/29/2013	University Enterprise Rev Bonds	142.460	Univ of Colorado Bd of Regents	Lead Mgr
10/29/2013	Education Revenue Bonds	95.995	Houston Higher Education Fin Corp	Lead Mgr
10/29/2013	Tpk & Motor License Fd Rev Bonds	51.340	Pennsylvania Turnpike Commission	Lead Mgr
10/29/2013	Tpk & Motor License Fd Rev Bonds	159.100	Pennsylvania Turnpike Commission	Lead Mgr
10/28/2013	General Obligation Bonds	4.940	Chester Co (Oxford) ASD	Sole Mgr
10/24/2013	General Obligation Bonds	560.430	Connecticut	Co-Mgr
10/24/2013	School Facility Revenue Bonds	15.690	California School Finance Auth	Sole Mgr
10/24/2013	State Sales Tax Revenue Bonds	959.795	NYS Dorm Authority	Co-Mgr
10/23/2013	Ltd Tax General Obligation Bonds	9.985	Berks Co (Fleetwood) ASD	Sole Mgr
10/23/2013	General Obligation Bonds	37.950	Allegheny Co-Pennsylvania	Co-Mgr
10/23/2013	Revenue Bonds	41.470	Howard Co Housing Commission	Sole Mgr
10/17/2013	General Obligation Bonds	9.270	Lancaster Co (Warwick) SD	Sole Mgr
10/17/2013	Public Safety Bldg Lease COPs	8.585	Matanuska-Susitna Boro-Alaska	Sole Mgr
10/17/2013	Tobacco Settlement Rev Ref Bonds	334.700	Washington Tobacco Settlement Auth	Co-Mgr
10/17/2013	Ltd Tax Supported Dev Rev Bonds	12.595	Minneapolis City-Minnesota	Co-Mgr
10/16/2013	General Obligation Bonds	175.675	New York City-New York	Co-Mgr
10/16/2013	General Obligation Bonds	716.920	New York City-New York	Co-Mgr
10/16/2013	General Obligation Bonds	7.320	Redwoods Community College Dt	Sole Mgr
10/16/2013	Highway User Tax Sr Ln Rev Bonds	409.775	Oregon Dept of Transportation	Co-Mgr
10/15/2013	General Obligation Bonds	8.780	Lancaster Co (Columbia Boro) SD	Sole Mgr
10/15/2013	Refunding School Bonds	23.920	Somerset Co (Bernards) TSD BOE	Sole Mgr
10/15/2013	Unlimited Tax Sch Building Bonds	47.625	Denton Co (Northwest) ISD	Lead Mgr
10/15/2013	Refunding Revenue Bonds	187.990	Lower Colorado River Authority (LCRA)	Co-Mgr
10/15/2013	Unlimited Tax Refunding Bonds	6.525	Williamson Co (Taylor) ISD	Lead Mgr
10/15/2013	Comb Tax & Surplus Rev Cert Oblig	62.080	Abilene City-Texas	Co-Mgr
10/10/2013	Multi-Family Secured Mtg Rev Bond	44.940	NYC Housing Dev Corp	Co-Mgr
10/09/2013	Sewer Revenue Refunding Bonds	16.120	North Bergen Twp Muni Util Au	Sole Mgr
10/09/2013	Lease Revenue Bonds	135.995	California St Public Works Board	Co-Mgr
10/09/2013	Lease Revenue Bonds	161.115	California St Public Works Board	Co-Mgr
10/09/2013	Lease Revenue Bonds	163.310	California St Public Works Board	Co-Mgr
10/08/2013	UPMC Revenue Refunding Bonds	70.610	Monroeville Finance Authority	Co-Mgr
10/08/2013	UPMC Revenue Bonds	125.000	Pennsylvania Econ Dev Fin Auth	Co-Mgr
10/03/2013	GO Various Purpose Ref Bonds	9.450	Centerville City-Ohio	Sole Mgr
10/03/2013	Local Govt Infrastructure Bonds	21.380	Maryland Dept of Hsg & Comm Dev	Co-Mgr
10/02/2013	Lease Revenue Bonds	2.135	Redding Joint Powers Fin Auth	Sole Mgr
10/02/2013	Lease Revenue Bonds	9.740	Redding Joint Powers Fin Auth	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
10/01/2013	Utility System Ref Revenue Bonds	61.995	Marco Island City-Florida	Co-Mgr
10/01/2013	Communications Svcs Ref Rev Bonds	35.300	Pembroke Pines City-Florida	Co-Mgr
10/01/2013	Reg Wstwtr Sys Rev & Ref Bonds	49.405	Trinity River Authority	Co-Mgr
10/01/2013	Special Assessment Revenue Bonds	8.065	Lavon City-Texas	Sole Mgr
10/01/2013	Housing Revenue Bonds	16.926	Illinois Housing Dev Authority	Co-Mgr
09/27/2013	General Obligation Bonds	10.000	Luzerne Co (Hazleton) ASD	Sole Mgr
09/27/2013	GO Ref Alternate Rev Source Bonds	14.465	Joliet Comm College Dt #525	Sole Mgr
09/26/2013	Mortgage Purchase Bonds	30.000	Maine State Housing Authority	Co-Mgr
09/26/2013	Single Family Program Bonds	24.980	Montana Board of Housing	Lead Mgr
09/26/2013	Single Family Program Bonds	35.000	Montana Board of Housing	Lead Mgr
09/24/2013	System Enterprise Revenue Bonds	7.855	Colorado St Univ Sys Bd of Gov	Sole Mgr
09/24/2013	System Enterprise Revenue Bonds	18.610	Colorado St Univ Sys Bd of Gov	Sole Mgr
09/24/2013	Student Housing Revenue Bonds	25.175	Kanawha Co County Commission	Sole Mgr
09/23/2013	General Obligation Bonds	9.520	Dauphin Co (Susquehanna) TSD	Sole Mgr
09/20/2013	Guaranteed Lease Revenue Bonds	9.995	Lancaster Co Career & Tech Ctr Auth	Sole Mgr
09/19/2013	Water & Wastewater Ref Rev Bonds	14.730	Redding Joint Powers Fin Auth	Sole Mgr
09/19/2013	Housing Revenue Bonds	10.790	Maryland Dept of Hsg & Comm Dev	Co-Mgr
09/19/2013	Revenue Bonds	30.800	NYS Dorm Authority	Sole Mgr
09/19/2013	GO Unltd Tax School Imp Bonds	.055	Wyandot Co (Carey) EVSD	Sole Mgr
09/19/2013	GO Unltd Tax School Imp Bonds	10.850	Wyandot Co (Carey) EVSD	Sole Mgr
09/19/2013	College Revenue Bonds	51.605	Pennsylvania St Pub Sch Bldg Auth	Sole Mgr
09/18/2013	Electric Revenue Bonds	17.915	Minnesota Muni Power Agency	Co-Mgr
09/18/2013	GO School Facs Con & Imp Bonds	.065	Miami Co (Covington) EVSD	Sole Mgr
09/18/2013	GO School Facs Con & Imp Bonds	8.935	Miami Co (Covington) EVSD	Sole Mgr
09/17/2013	Wtrwrks & Swr Sys Rev Ref Bonds	156.540	Dallas City-Texas	Co-Mgr
09/16/2013	General Obligation Bonds	8.075	York Co (Northern York) SD	Sole Mgr
09/15/2013	Unlimited Tax Qual Sch Cons Bonds	17.000	Lubbock ISD	Co-Mgr
09/15/2013	Unlimited Tax School Bldg Bonds	31.915	Lubbock ISD	Co-Mgr
09/12/2013	Charter School Revenue Bonds	.935	California School Finance Auth	Sole Mgr
09/12/2013	Charter School Revenue Bonds	24.240	California School Finance Auth	Sole Mgr
09/12/2013	GO Various Purp School Imp Bonds	.185	Apollo Career Center Jt Voc SD	Sole Mgr
09/12/2013	GO Various Purp School Imp Bonds	29.815	Apollo Career Center Jt Voc SD	Sole Mgr
09/11/2013	State Univ Dorm Facs Rev Bonds	440.025	NYS Dorm Authority	Co-Mgr
09/10/2013	Electric Sys & Sub Revenue Bonds	197.165	Jacksonville Electric Authority	Lead Mgr
09/10/2013	Utility System Ref Revenue Bonds	79.745	Deltona City-Florida	Co-Mgr
09/10/2013	Capital Fund Grant Prog Rev Bonds	122.170	NYC Housing Dev Corp	Co-Mgr
09/10/2013	Capital Fund Grant Prog Rev Bonds	533.915	NYC Housing Dev Corp	Co-Mgr
09/04/2013	Housing Finance Program Bonds	69.970	North Dakota Housing Fin Agency	Co-Mgr
09/04/2013	GO Securities Var Purpose Bonds	3.390	Columbus City-Ohio	Co-Mgr
09/04/2013	GO Securities Var Purpose Bonds	21.520	Columbus City-Ohio	Co-Mgr
09/04/2013	GO Securities Var Purpose Bonds	85.730	Columbus City-Ohio	Co-Mgr
09/04/2013	GO Securities Var Purpose Bonds	216.415	Columbus City-Ohio	Co-Mgr
09/01/2013	General Obligation Bonds	9.325	Panola College Dt	Lead Mgr
09/01/2013	Unlimited Tax Refunding CABs	.005	Harris Co MUD #249	Sole Mgr
09/01/2013	Unlimited Tax Refunding Bonds	3.320	Harris Co MUD #249	Sole Mgr
09/01/2013	Joint Revenue Refunding Bonds	251.960	Dallas & Fort Worth Cities-Texas	Co-Mgr
08/29/2013	Unlimited Tax Refunding Bonds	1.420	Travis Co (Austin) ISD	Co-Mgr
08/29/2013	Unlimited Tax Refunding Bonds	7.135	Travis Co (Austin) ISD	Co-Mgr
08/29/2013	Unlimited Tax Refunding Bonds	101.100	Travis Co (Austin) ISD	Co-Mgr
08/29/2013	Transportation Program Bonds	849.200	New Jersey Trans Trust Fund Au	Co-Mgr
08/28/2013	Revenue Financing Sys Ref Bonds	62.355	Texas Public Finance Auth (TPFA)	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
08/28/2013	Educational Facs Revenue Bonds	.260	Indiana Finance Authority	Sole Mgr
08/28/2013	Educational Facs Revenue Bonds	.260	Indiana Finance Authority	Sole Mgr
08/28/2013	Educational Facs Revenue Bonds	16.990	Indiana Finance Authority	Sole Mgr
08/28/2013	Educational Facs Revenue Bonds	20.265	Indiana Finance Authority	Sole Mgr
08/28/2013	Single Family Housing Rev Bonds	55.988	Maryland Dept of Hsg & Comm Dev	Lead Mgr
08/28/2013	State Appropriation Bonds	15.460	Minnesota Housing Fin Agency	Lead Mgr
08/27/2013	General Obligation Bonds	19.640	Northampton Co-Pennsylvania	Co-Mgr
08/27/2013	General Obligation Bonds	20.285	Delaware (Rose Tree Media) SD	Sole Mgr
08/27/2013	Refunding Bonds	.625	Kaufman Co (Forney) ISD	Co-Mgr
08/27/2013	GO & Refunding Bonds	85.470	Grossmont-Cuyamaca Comm Coll Dt	Lead Mgr
08/23/2013	Gen Imp & Co Voc Tech Sch Ref	38.585	Union Co-New Jersey	Lead Mgr
08/23/2013	Rental Housing Bonds	3.265	Minnesota Housing Fin Agency	Sole Mgr
08/22/2013	General Obligation Bonds	54.805	Kern Community College Dt	Lead Mgr
08/21/2013	Power Revenue Bonds	673.145	Puerto Rico Electric Power Authority	Co-Mgr
08/16/2013	Education Revenue Bonds	.275	Wisconsin Public Finance Auth	Sole Mgr
08/16/2013	Education Revenue Bonds	11.970	Wisconsin Public Finance Auth	Sole Mgr
08/15/2013	Sales Tax Revenue Bonds	4.595	Rockwall Economic Development Corp	Sole Mgr
08/15/2013	GO Imp & Ref & Certs of Oblig	30.990	College Station City-Texas	Co-Mgr
08/15/2013	General Obligation Bonds	134.840	New York City-New York	Co-Mgr
08/15/2013	General Obligation Bonds	375.000	New York City-New York	Co-Mgr
08/15/2013	Turnpike Jr Lien Revenue Bonds	140.543	Ohio Turnpike & Infrastructure Comm	Co-Mgr
08/15/2013	Turnpike Jr Lien Revenue Bonds	145.000	Ohio Turnpike & Infrastructure Comm	Co-Mgr
08/15/2013	Turnpike Sr & Jr Lien Rev Bonds	782.765	Ohio Turnpike & Infrastructure Comm	Co-Mgr
08/15/2013	General Obligation Bonds	372.520	New York City-New York	Co-Mgr
08/15/2013	Ltd Tax General Obligation Bonds	39.460	Lee College Dt	Co-Mgr
08/15/2013	Unlimited Tax Refunding Bonds	12.020	Webb Co (United) ISD	Co-Mgr
08/15/2013	Comb Tax & Rev Certs of Oblig	13.000	Wichita Falls City-Texas	Sole Mgr
08/15/2013	GO Refunding Bonds	24.285	El Paso City-Texas	Lead Mgr
08/15/2013	Revenue Financing System Bonds	94.365	Texas A&M University System	Co-Mgr
08/15/2013	Revenue Financing System Bonds	239.965	Texas A&M University System	Co-Mgr
08/15/2013	Unlimited Tax Refunding CABs	72.656	Williamson Co (Leander) ISD	Lead Mgr
08/15/2013	Unlimited Tax Refunding Bonds	131.670	Williamson Co (Leander) ISD	Lead Mgr
08/14/2013	Franchise & Sales Tax Rev Bonds	18.500	Riverton City-Utah	Co-Mgr
08/14/2013	Rental Housing Bonds	5.065	Minnesota Housing Fin Agency	Sole Mgr
08/14/2013	School Improvement Bonds	4.320	Maricopa Co (Pendergast) ESD #92	Sole Mgr
08/08/2013	General Obligation Bonds	1.500	Greensburg City-Pennsylvania	Sole Mgr
08/08/2013	Water & Sewer System Rev Bonds	29.710	Jacksonville Electric Authority	Co-Mgr
08/08/2013	Water & Sewer Sys & Sub Rev Bonds	173.925	Jacksonville Electric Authority	Co-Mgr
08/08/2013	School Facility Revenue Bonds	12.870	California School Finance Auth	Sole Mgr
08/07/2013	Systemwide Revenue Bonds	308.855	California State Univ Trustees	Co-Mgr
08/07/2013	Water Control & Imp Ref Bonds	4.835	Northern Palm Beach Co Imp Dt	Sole Mgr
08/07/2013	General Obligation Bonds	1.595	Lancaster Co-Pennsylvania	Sole Mgr
08/07/2013	General Obligation Bonds	5.200	Lancaster Co-Pennsylvania	Sole Mgr
08/06/2013	SF Bay Area Toll Bridge Rev Bonds	900.000	Bay Area Toll Authority (BATA)	Co-Mgr
08/02/2013	Water & Sewer Sys Rev & Ref Bonds	492.665	Miami-Dade Co-Florida	Co-Mgr
08/01/2013	Mass Trans Sales Tax Approp Bonds	381.225	Missouri-Illinois Met Dt Bi-St Dev Agy	Lead Mgr
08/01/2013	General Obligation Bonds	28.534	Mount San Antonio Comm College Dt	Lead Mgr
08/01/2013	GO & Refunding Bonds	59.905	Mount San Antonio Comm College Dt	Lead Mgr
08/01/2013	GO & Refunding Bonds	102.710	Mount San Antonio Comm College Dt	Lead Mgr
08/01/2013	General Obligation Bonds	149.252	Mount San Antonio Comm College Dt	Lead Mgr
08/01/2013	Unlimited Tax Refunding Bonds	6.505	Fort Bend Co Levee Imp Dt #15	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
08/01/2013	Revenue & Refunding Bonds	163.870	West Travis Co Pub Util Agency	Co-Mgr
08/01/2013	Sub Tier Toll Rev Convert CABs	276.999	Grand Parkway Transport Corp	Co-Mgr
08/01/2013	Subordinated Tier Toll Rev Bonds	361.810	Grand Parkway Transport Corp	Co-Mgr
08/01/2013	First & Sub Tier Toll Rev Bonds	1,337.935	Grand Parkway Transport Corp	Co-Mgr
08/01/2013	School Building Bonds	7.600	Mills Co (Goldthwaite) ISD	Lead Mgr
08/01/2013	Water Fin Assistance & Ref Bonds	32.215	Texas Water Development Board	Co-Mgr
08/01/2013	Water Financial Assistance Bonds	56.515	Texas Water Development Board	Co-Mgr
08/01/2013	Tax Increment Rev Ref Bonds	11.085	Fort Collins Urban Renewal Auth	Sole Mgr
08/01/2013	Power Supply System Rev Bonds	39.565	Oklahoma Muni Power Authority	Lead Mgr
08/01/2013	Unlimited Tax School Bldg Bonds	15.210	McLennan Co (China Spring) ISD	Co-Mgr
08/01/2013	Unlimited Tax School Bldg Bonds	21.945	Mclennan Co (Lorena) ISD	Lead Mgr
08/01/2013	Unlimited Tax Sch Building Bonds	19.190	Yoakum Co (Denver City) ISD	Lead Mgr
08/01/2013	Sub Tier Toll Rev Tender Bonds	106.890	Grand Parkway Transport Corp	Co-Mgr
08/01/2013	Sub Tier Toll Rev Tender Bonds	836.440	Grand Parkway Transport Corp	Co-Mgr
08/01/2013	General Obligation Bonds	5.250	Seminole Hospital Dt	Co-Mgr
08/01/2013	General Obligation Bonds	41.970	Seminole Hospital Dt	Co-Mgr
08/01/2013	Unlimited Tax Refunding Bonds	9.280	Dallas Co (Ferris) ISD	Sole Mgr
08/01/2013	Refunding Bonds	2.486	Kaufman Co (Forney) ISD	Co-Mgr
08/01/2013	Comb Tax & Ltd Pledge Rev Certs	3.970	Pleasanton City-Texas	Sole Mgr
07/31/2013	Sewer Sys Revenue Refunding Bonds	79.935	Hamilton Co-Ohio	Lead Mgr
07/31/2013	Sewer Sys Imp & Ref Rev Bonds	178.760	Hamilton Co-Ohio	Lead Mgr
07/31/2013	Second Series Revenue Bonds	12.480	San Francisco City & Co Airport Comm	Co-Mgr
07/31/2013	Second Series Revenue Bonds	87.860	San Francisco City & Co Airport Comm	Co-Mgr
07/31/2013	Second Series Revenue Bonds	360.785	San Francisco City & Co Airport Comm	Co-Mgr
07/31/2013	Cap Facs Lease Approriation Bonds	50.000	Ohio	Lead Mgr
07/30/2013	General Obligation Bonds	4.245	Mercer Co (Greenville) ASD	Sole Mgr
07/30/2013	Ltd Tax General Obligation Bonds	9.710	York Co (Spring Grove) ASD	Sole Mgr
07/30/2013	GO Building Bonds	9.000	Albany Co (Laramie) SD #1	Lead Mgr
07/30/2013	Certificates of Participation	5.135	El Paso Co (Widefield) SD #3	Sole Mgr
07/30/2013	General Obligation Bonds	60.643	San Diego Community College Dt	Lead Mgr
07/30/2013	General Obligation Bonds	82.003	San Diego Community College Dt	Lead Mgr
07/30/2013	General Obligation Bonds	234.055	San Diego Community College Dt	Lead Mgr
07/30/2013	General Obligation Bonds	208.360	Philadelphia City-Pennsylvania	Co-Mgr
07/30/2013	General Obligation Bonds	23.485	Dauphin Co (Middletown) ASD	Sole Mgr
07/29/2013	General Improvement Ref Bonds	3.885	Cranford Twp-New Jersey	Sole Mgr
07/26/2013	Guaranteed Sewer Rev Bonds	1.695	West Salem Twp Sewage Auth	Sole Mgr
07/25/2013	Homeownership Finance Bonds	37.000	Minnesota Housing Fin Agency	Lead Mgr
07/25/2013	Housing Revenue Bonds	11.915	Maryland Dept of Hsg & Comm Dev	Lead Mgr
07/25/2013	Housing Revenue Bonds	23.270	Maryland Dept of Hsg & Comm Dev	Lead Mgr
07/25/2013	Revenue Refunding Bonds	3.440	Scotch Plains Sr Citizen Hsg Corp	Sole Mgr
07/23/2013	General Obligation Bonds	52.215	York Co (West York) ASD	Sole Mgr
07/18/2013	Hospital Revenue Refunding Bonds	56.745	New Hanover Co-North Carolina	Lead Mgr
07/17/2013	General Obligation Bonds	9.085	York Co (South Western) SD	Sole Mgr
07/17/2013	Mortgage Purchase Bonds	15.000	Maine State Housing Authority	Co-Mgr
07/17/2013	Mortgage Purchase Bonds	25.000	Maine State Housing Authority	Co-Mgr
07/17/2013	Sub Limited Prop Tax Rev Bonds	50.000	Park Creek Metropolitan Dt	Lead Mgr
07/17/2013	Multi-Family Housing Rev Bonds	8.110	Osceola Co Housing Fin Auth	Sole Mgr
07/16/2013	General Obligation Bonds	8.500	Bucks Co (Pennsbury) SD	Sole Mgr
07/16/2013	General Obligation Bonds	30.000	Los Angeles Co (Inglewood) USD	Co-Mgr
07/16/2013	Transit Excise Tax Rev Ref Bonds	328.920	Phoenix City Civic Imp Corp	Lead Mgr
07/16/2013	General Obligation Bonds	30.000	Sacramento City USD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
07/16/2013	General Obligation Bonds	40.000	Sacramento City USD	Sole Mgr
07/16/2013	Airport Bonds	2.705	Lincoln Airport Authority	Sole Mgr
07/16/2013	Airport Bonds	20.320	Lincoln Airport Authority	Sole Mgr
07/15/2013	Unltd Tax School Bldg Bonds	3.435	Jack Co (Bryson) ISD	Sole Mgr
07/15/2013	Unlimited Tax Sch Building Bonds	50.185	Midland Co (Greenwood) ISD	Co-Mgr
07/15/2013	Unlimited Tax School Bldg Bonds	5.955	Comanche Co ISD	Co-Mgr
07/15/2013	Unltd Tax School Bldg & Ref Bonds	24.945	Howard Co (Forsan) ISD	Co-Mgr
07/15/2013	Comb Tax & Surplus Rev Cert Oblig	2.085	The Colony City-Texas	Lead Mgr
07/15/2013	GO Refunding Bonds	14.515	The Colony City-Texas	Lead Mgr
07/15/2013	GO Improvement Bonds	9.465	Carrollton City-Texas	Co-Mgr
07/15/2013	Unlimited Tax Sch Building Bonds	9.490	Collin Co (Princeton) ISD	Co-Mgr
07/15/2013	Unltd Tax School Building Bonds	25.000	Frio Co (Dilley) ISD	Co-Mgr
07/15/2013	Qual School Construction Bonds	5.455	McLennan Co (McGregor) ISD	Co-Mgr
07/15/2013	Unlimited Tax Sch Building Bonds	12.885	McLennan Co (McGregor) ISD	Co-Mgr
07/15/2013	School Building Bonds	29.840	Mitchell Co (Colorado) ISD	Lead Mgr
07/11/2013	Utility System Ref Revenue Bonds	29.720	Fernandina Beach City-Florida	Lead Mgr
07/11/2013	Multi-Family Dev Rev Bonds	13.640	Maryland Dept of Hsg & Comm Dev	Co-Mgr
07/11/2013	Clean Wtr Drink Revolv Rev Bonds	401.090	NYS Environmental Facs Corp	Co-Mgr
07/11/2013	State Personal Inc Tax Rev Bonds	231.000	NYS Thruway Authority	Co-Mgr
07/11/2013	Student Housing Revenue Bonds	.225	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
07/11/2013	Student Housing Revenue Bonds	26.035	New Hope Cultural Ed Facs Fin Corp	Sole Mgr
07/11/2013	Transportation Revenue Bonds	333.790	Metropolitan Transport Auth (MTA)	Lead Mgr
07/10/2013	General Obligation Bonds	5.630	Chester Co (Owen J Roberts) SD	Sole Mgr
07/03/2013	Sales Tax Refunding Rev Bonds	56.690	St Lucie Co-Florida	Lead Mgr
07/02/2013	Certificates of Participation	45.385	Pasco Co School Board	Co-Mgr
07/02/2013	GO Consolidated Loan & Ref Bonds	669.045	Massachusetts	Co-Mgr
07/02/2013	Senior Dedicated Sales Tax Bonds	549.000	Massachusetts School Bldg Auth	Co-Mgr
07/02/2013	Job & Family Services Ref Bonds	1.270	Wyandot Co-Ohio	Sole Mgr
07/01/2013	Airport Sys Jr Sub Lien Rev Notes	174.285	Clark Co-Nevada	Lead Mgr
07/01/2013	Ultd Tax Refunding Bonds	1.060	Bexar Co (East Central) ISD	Sole Mgr
07/01/2013	Refunding Bonds	5.655	Bexar Co (East Central) ISD	Sole Mgr
07/01/2013	Unlimited Tax Schoolhouse Bonds	91.100	Harris Co (Goose Creek) CISD	Co-Mgr
07/01/2013	GO Refunding Bonds	7.660	Coppell City-Texas	Lead Mgr
07/01/2013	Comb Tax & Rev Certs of Oblig	9.095	Coppell City-Texas	Lead Mgr
07/01/2013	Unlimited Tax Refunding Bonds	11.760	Bexar Co (Judson) ISD	Lead Mgr
07/01/2013	Unlimited Tax Sch Building Bonds	78.245	Bexar Co (Judson) ISD	Lead Mgr
07/01/2013	General Obligation Bonds	33.925	Paris City-Texas	Lead Mgr
07/01/2013	Gen Imp & Comb Tax & Rev Certs	129.580	San Antonio City-Texas	Co-Mgr
07/01/2013	General Obligation Bonds	7.905	Rockwall City-Texas	Co-Mgr
07/01/2013	Comb Tax & Ltd Surplus Cert Oblig	13.715	Rockwall City-Texas	Co-Mgr
07/01/2013	Contract Revenue Bonds	20.575	Trinity River Authority	Lead Mgr
06/27/2013	General Obligation Notes & Bonds	9.705	Montgomery Co (Methacton) SD	Sole Mgr
06/27/2013	Water Revenue Bonds	8.415	Bear Valley Joint Authority	Sole Mgr
06/27/2013	General Obligation Notes	2.535	Montgomery Co (Methacton) SD	Sole Mgr
06/27/2013	Multi-Family Hsg Ref Rev Bonds	15.560	Missouri Housing Dev Commission	Co-Mgr
06/27/2013	Water Revenue Bonds	4.860	Bear Valley Joint Authority	Sole Mgr
06/27/2013	Wtr Swr Sys 2nd Gen Res Rev Bonds	344.335	NYC Municipal Water Fin Auth	Co-Mgr
06/27/2013	GO Pension Refunding Bonds	99.300	Milwaukee Co-Wisconsin	Lead Mgr
06/27/2013	Multi-Family Housing Rev Bonds	207.705	NYC Housing Dev Corp	Co-Mgr
06/27/2013	Certificates of Participation	3.605	Weld Co SD #RE-3J	Sole Mgr
06/26/2013	Gross Receipts Tax Rev Ref Bonds	38.235	Los Alamos Co Incorporated	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
06/26/2013	General Obligation Bonds	6.620	Upper Dublin Twp-Pennsylvania	Sole Mgr
06/26/2013	Excise Tax Revenue Bonds	27.240	Tempe City-Arizona	Sole Mgr
06/25/2013	General Obligation Bonds	4.825	Monroe Co (Stroudsburg) ASD	Sole Mgr
06/25/2013	Student Loan Asset Backed Notes	371.400	Vermont Student Assist Corp	Co-Mgr
06/24/2013	General Obligation Bonds	9.965	Lebanon Co (Cornwall-Lebanon) SD	Sole Mgr
06/24/2013	General Obligation Bonds	5.000	Montgomery Co (Upper Moreland) TSD	Sole Mgr
06/20/2013	Residential Housing Fin Bonds	33.305	Minnesota Housing Fin Agency	Lead Mgr
06/20/2013	Residential Housing Fin Bonds	51.865	Minnesota Housing Fin Agency	Lead Mgr
06/20/2013	General Obligation Bonds	6.605	Lancaster Co (Pequea Valley) SD	Sole Mgr
06/20/2013	General Obligation Bonds	9.730	Lancaster Co (Pequea Valley) SD	Sole Mgr
06/20/2013	Senior Student Hsg Ref Rev Bonds	15.350	Maryland Eco Dev Corp (MEDCO)	Sole Mgr
06/20/2013	Future Tax Secured Sub Bonds	800.000	NYC Transitional Finance Auth	Co-Mgr
06/19/2013	General Obligation Bonds	3.985	Lackawanna Co (Abington Hts) SD	Co-Mgr
06/19/2013	GO & Refunding Bonds	54.745	Tempe City-Arizona	Sole Mgr
06/19/2013	District Energy Sys Ref Rev Bonds	43.330	Jacksonville Electric Authority	Co-Mgr
06/19/2013	General Obligation Bonds	20.000	Tucson City-Arizona	Lead Mgr
06/19/2013	Multi-Family Housing Bonds	13.750	Bridgeport Housing Auth	Sole Mgr
06/18/2013	Lease Revenue Bonds	8.710	Pennsylvania St Pub Sch Bldg Auth	Sole Mgr
06/18/2013	General Obligation Bonds	18.110	York Co (South Eastern) SD	Sole Mgr
06/18/2013	General Obligation Bonds	5.034	Solano Comm College Dt	Lead Mgr
06/18/2013	General Obligation Bonds	9.908	Solano Comm College Dt	Lead Mgr
06/18/2013	General Obligation Bonds	30.000	Solano Comm College Dt	Lead Mgr
06/18/2013	General Obligation Bonds	75.055	Solano Comm College Dt	Lead Mgr
06/18/2013	GO Refunding Bonds	23.450	Santa Monica Comm College Dt	Lead Mgr
06/18/2013	GO Refunding Bonds	108.405	Santa Monica Comm College Dt	Lead Mgr
06/15/2013	School Bldg & Refunding Bonds	3.370	Palo Pinto Co ISD	Sole Mgr
06/15/2013	GO Limited Tax Refunding Bonds	3.830	Prosper Town-Texas	Lead Mgr
06/15/2013	Comb Tax&Surp Rev Certs of Oblig	5.235	Prosper Town-Texas	Lead Mgr
06/15/2013	Unltd Tax School Bldg & Ref Bonds	9.235	Brazos Co (Bryan) ISD	Lead Mgr
06/13/2013	GO Unlimited Tax Sch Imp Ref CABs	.230	Crawford Co (Galion) CSD	Sole Mgr
06/13/2013	GO Unli Tax Sch Imp Ref Bonds	9.070	Crawford Co (Galion) CSD	Sole Mgr
06/13/2013	School District Revenue Bonds	117.275	NYS Dorm Authority	Co-Mgr
06/13/2013	GO School Improvement Bonds	4.195	Hudson Co (Monroeville) LSD	Sole Mgr
06/13/2013	General Obligation Bonds	50.000	Tehachapi Valley Healthcare Dt	Sole Mgr
06/13/2013	Energy Cons Limited Tax GO Bonds	.645	Hamilton Co (Princeton City) SD BOE	Sole Mgr
06/13/2013	Certificates of Participation	2.300	Hamilton Co (Princeton City) SD BOE	Sole Mgr
06/12/2013	District GO Refunding Bonds	10.685	Goodyear Comm Facs Gen Dt #1	Sole Mgr
06/12/2013	Sales & Use Tax Rev Ref Bonds	1.645	Rifle City-Colorado	Sole Mgr
06/11/2013	Special Assessment Rev Bonds	2.712	Eastmark Community Facs Dt #1	Sole Mgr
06/11/2013	General Obligation Bonds	948.760	New York City-New York	Co-Mgr
06/11/2013	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	Co-Mgr
06/11/2013	Single Family Mortgage Rev Bonds	53.470	Montgomery Co Hsg Opportunities Comm	Co-Mgr
06/06/2013	General Obligation Bonds	9.995	Delaware Co (Radnor) TSD	Sole Mgr
06/06/2013	Turnpike Refunding Rev Bonds	50.390	Kansas Turnpike Authority	Co-Mgr
06/06/2013	Gen Imp & Golf Course Ref Bonds	4.960	Paramus Boro-New Jersey	Sole Mgr
06/05/2013	GO Refunding Bonds	9.900	Riverside Co (Desert Sands) USD	Sole Mgr
06/05/2013	GO Refunding Bonds	52.355	Riverside Co (Desert Sands) USD	Sole Mgr
06/05/2013	GO & GO Refunding Bonds	88.575	Pima Co-Arizona	Sole Mgr
06/04/2013	Refunding School Bonds	7.410	Passaic Co (Clifton) SD BOE	Sole Mgr
06/04/2013	GO Refunding Bonds	6.940	East Routt Co Library Dt	Sole Mgr
06/04/2013	Power System Revenue Bonds	452.145	Los Angeles Dept of Wtr & Power	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
06/03/2013	Student Housing Ref Rev Bonds	12.705	Maryland Eco Dev Corp (MEDCO)	Sole Mgr
06/01/2013	Unlimited Tax Refunding Bonds	4.470	Harris Co MUD #230	Lead Mgr
06/01/2013	Unlimited Tax Refunding CABs	.015	Forest Hills MUD	Lead Mgr
06/01/2013	Unlimited Tax Refunding Bonds	3.280	Forest Hills MUD	Lead Mgr
06/01/2013	Unlimited Tax Refunding Bonds	2.875	Brazoria Co MUD #23	Lead Mgr
06/01/2013	Unlimited Tax Refunding CABs	.015	Harris Co MUD #43	Lead Mgr
06/01/2013	Unlimited Tax Refunding Bonds	2.380	Harris Co MUD #43	Lead Mgr
06/01/2013	Single Family Mortgage Prog Bonds	11.221	New Mexico Mortgage Fin Auth	Sole Mgr
06/01/2013	Single Family Mortgage Prog Bonds	25.000	New Mexico Mortgage Fin Auth	Sole Mgr
06/01/2013	Unlimited Tax Refunding Bonds	9.390	Fort Bend Co MUD #23	Lead Mgr
06/01/2013	Unlimited Tax Refunding Bonds	80.410	Cameron Co (Brownsville) ISD	Co-Mgr
06/01/2013	Unltd Tax School Building Bonds	95.290	El Paso Co (Socorro) ISD	Lead Mgr
06/01/2013	Elec & Gas Sys Jr Lien Rev Bond	375.000	San Antonio City-Texas	Co-Mgr
05/30/2013	Residential Finance Program Bonds	27.920	Tennessee Hsg Dev Agcy (THDA)	Lead Mgr
05/30/2013	Residential Finance Program Bonds	187.985	Tennessee Hsg Dev Agcy (THDA)	Lead Mgr
05/30/2013	Guaranteed Sewer Revenue Bonds	3.180	Hazle Twp Municipal Authority	Sole Mgr
05/30/2013	Single Family Program Bomds	30.480	Montana Board of Housing	Lead Mgr
05/30/2013	Single Family Mortgage Prog Bonds	42.520	Montana Board of Housing	Lead Mgr
05/30/2013	Homeowner Mortgage Rev Bonds	89.840	Florida Housing Finance Corp	Lead Mgr
05/30/2013	Special Tax Bonds	6.245	California Statewide CDA (CSCDA)	Sole Mgr
05/30/2013	GO Sch Improvement & Ref Bonds	48.830	Franklin Co (New Albany Plain) LSD	Co-Mgr
05/30/2013	Single Family Mortgage Rev Bonds	57.430	Iowa Finance Authority	Lead Mgr
05/30/2013	Subordinate Lien Ref Rev Bonds	50.000	Sacramento Co Sanit Dt Fin Au	Sole Mgr
05/29/2013	Tourist Dev Tax Revenue Bonds	41.475	Lee Co-Florida	Lead Mgr
05/29/2013	GO & Refunding Bonds	45.475	Coast Community College Dt	Lead Mgr
05/29/2013	GO & Refunding Bonds	270.265	Coast Community College Dt	Lead Mgr
05/29/2013	GO Unlimited Tax Refunding Bonds	.720	Warren Co (Mason) SD	Sole Mgr
05/29/2013	GO Unlimited Tax Refunding Bonds	3.640	Warren Co (Mason) SD	Sole Mgr
05/29/2013	GO Refunding Bonds	16.100	Warren Co (Mason) SD	Sole Mgr
05/23/2013	Unlimited Tax Refunding Bonds	34.215	Dallas Co Util & Reclamation Dt	Co-Mgr
05/23/2013	Refunding School Bonds	20.365	Ocean Co (Toms River) RSD BOE	Sole Mgr
05/23/2013	Multi-Family Conduit Rev Bonds	5.200	New Jersey Hsg & Mtg Fin Agency	Sole Mgr
05/23/2013	Education Revenue Bonds	9.130	Pima Co Industrial Dev Auth	Sole Mgr
05/22/2013	Ref & Certs of Participation	92.880	Pima Co-Arizona	Sole Mgr
05/22/2013	Education Revenue Ref Bonds	29.675	Pima Co Industrial Dev Auth	Sole Mgr
05/21/2013	Certificates of Participation	15.655	Anoka Co (NE Metro) ISD #916	Sole Mgr
05/21/2013	Turnpike Revenue Bonds	90.880	New Jersey Turnpike Authority	Co-Mgr
05/21/2013	General Obligation Bonds	8.740	Ventura Co (Simi Valley) USD	Sole Mgr
05/21/2013	Revenue Bonds	149.760	Maryland Hlth & Hghr Ed Facs Au	Co-Mgr
05/21/2013	Turnpike Revenue Bonds	646.000	New Jersey Turnpike Authority	Lead Mgr
05/17/2013	GO School Dt Ref Serial Bonds	34.655	Suffolk Co (Smithtown) CSD	Sole Mgr
05/17/2013	GO School District Ref Bonds	21.660	Nassau Co (East Meadow) UFSD	Sole Mgr
05/17/2013	GO Refunding ERI Bonds	1.430	Elizabeth City-New Jersey	Sole Mgr
05/17/2013	GO Refunding Bonds	11.490	Elizabeth City-New Jersey	Sole Mgr
05/17/2013	General & Water Refunding Bonds	4.730	Jefferson Twp-New Jersey	Sole Mgr
05/16/2013	Toll Highway Senior Rev Bonds	500.000	Illinois State Toll Highway Auth	Co-Mgr
05/16/2013	General Obligation Bonds	23.880	Delaware Co (Chichester) SD	Sole Mgr
05/16/2013	Homeowner Mortgage Rev Bonds	108.080	Florida Housing Finance Corp	Co-Mgr
05/16/2013	Electric Sys Revenue & Sub Bonds	96.225	Jacksonville Electric Authority	Co-Mgr
05/16/2013	School Imp GO Refunding CABs	.240	Crawford Co (Bucyrus) SD	Sole Mgr
05/16/2013	School Impr GO Refunding Bonds	8.070	Crawford Co (Bucyrus) SD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
05/16/2013	General Obligation Bonds	10.000	Lackawanna Co (North Pocono) SD	Sole Mgr
05/16/2013	Revenue Bonds	48.805	East Hempfield Twp Ind Dev Auth	Sole Mgr
05/16/2013	School Improvement Bonds	3.700	Graham Co (Thatcher) USD #4	Sole Mgr
05/15/2013	General Obligation Bonds	6.435	Berks Co (Kutztown) ASD	Sole Mgr
05/15/2013	GO Refunding Bonds	8.915	Mesa City-Arizona	Sole Mgr
05/15/2013	Utility System Ref Revenue Bonds	21.010	Leesburg City-Florida	Lead Mgr
05/15/2013	GO Refunding Bonds	38.625	San Bernardino Co (Colton) JUSD	Co-Mgr
05/15/2013	Unlimited Tax Refunding Bonds	10.060	Dallas Co (DeSoto) ISD	Co-Mgr
05/15/2013	Unlimited Tax Refunding Bonds	27.202	Dallas Co (DeSoto) ISD	Co-Mgr
05/15/2013	Unlimited Tax Refunding Bonds	2.857	Tarrant Co (Arlington) ISD	Co-Mgr
05/15/2013	Unlimited Tax Refunding Bonds	73.625	Tarrant Co (Arlington) ISD	Co-Mgr
05/15/2013	Unltd Tax School Bldg & Ref Bonds	71.755	Collin Co (Richardson) ISD	Lead Mgr
05/15/2013	Unlimited Tax Refunding Bonds	28.700	Dallas (Carrollton-Farmers Branch) ISD	Co-Mgr
05/15/2013	Unlimited Tax Sch Building Bonds	41.250	Dallas (Carrollton-Farmers Branch) ISD	Co-Mgr
05/14/2013	Guaranteed Sewer Revenue Bonds	3.395	Menallen Twp Sewer Auth	Sole Mgr
05/14/2013	Capital Improvement Ref Rev Bonds	13.785	Tamarac City-Florida	Lead Mgr
05/13/2013	General Obligation Bonds	2.500	Dauphin Co (Steelton-Highspire) SD	Sole Mgr
05/09/2013	GO Refunding CABs	.405	Denton Co (Northwest) ISD	Lead Mgr
05/09/2013	Revenue Bonds	13.060	Pennsylvania St Pub Sch Bldg Auth	Sole Mgr
05/09/2013	Certificates of Participation	224.045	Colorado Reg Transportation Dt	Lead Mgr
05/09/2013	Lease Revenue Refunding Bonds	115.760	St Paul Port Authority	Sole Mgr
05/09/2013	General Receipts Bonds	16.120	University of Cincinnati	Lead Mgr
05/09/2013	Education Facility Revenue Bonds	7.815	Phoenix Industrial Dev Auth	Sole Mgr
05/08/2013	General Obligation Bonds	9.995	Montgomery (Upper Merion) ASD	Sole Mgr
05/08/2013	Water Revenue Bonds	4.635	East Cocalico Twp Authority	Sole Mgr
05/08/2013	Street & Hway User Rev Ref Bonds	8.500	Mesa City-Arizona	Sole Mgr
05/08/2013	General Obligation Bonds	9.040	Ridgewood Village-New Jersey	Sole Mgr
05/08/2013	GO Refunding Bonds	13.130	Citrus Community College Dt	Sole Mgr
05/08/2013	Educational Facs Rev Ref Bonds	39.950	Indiana Finance Authority	Lead Mgr
05/08/2013	General Obligation Bonds	25.000	Orange Co (Tustin) USD	Sole Mgr
05/08/2013	Municipal Service Revenue Bonds	132.110	Westmoreland Co Municipal Auth	Lead Mgr
05/07/2013	School Refunding Bonds	11.905	Mount Laurel Twp SD BOE	Sole Mgr
05/07/2013	Gen Imp Ref & Spcl Svc Ref Bonds	39.285	Bergen Co-New Jersey	Lead Mgr
05/07/2013	Hospital Revenue Bonds	50.680	Palm Beach Co Health Facs Auth	Sole Mgr
05/07/2013	Multi-Family Housing Bonds	12.100	Indianapolis City-Indiana	Sole Mgr
05/02/2013	Liimited Tax Refunding Bonds	.015	McLennan Co Jr College Dt	Sole Mgr
05/02/2013	General Obligation Bonds	15.000	Santa Barbara Comm College Dt	Sole Mgr
05/01/2013	Unlimited Tax Refunding Bonds	4.890	Horsepen Bayou MUD	Co-Mgr
05/01/2013	Single Family Mortgage Rev Bonds	10.000	Pennsylvania Housing Fin Agency	Co-Mgr
05/01/2013	Single Family Mortgage Rev Bonds	127.905	Pennsylvania Housing Fin Agency	Co-Mgr
05/01/2013	Unlimited Tax Refunding Bonds	8.205	Harris Co MUD #368	Lead Mgr
05/01/2013	Unlimited Tax Refunding Bonds	3.440	Northwest Harris Co MUD #19	Lead Mgr
05/01/2013	Revenue Bonds	12.880	Pennsylvania Hghr Ed Facs Auth	Sole Mgr
05/01/2013	Unlimited Tax Refunding CABs	.065	Starr Co (Rio Grande) CISD	Co-Mgr
05/01/2013	Unlimited Tax Refunding Bonds	18.525	Starr Co (Rio Grande) CISD	Co-Mgr
05/01/2013	Unlimited Tax Refunding Bonds	145.845	Harris Co (Cypress-Fairbanks) ISD	Co-Mgr
05/01/2013	GO Refunding Bonds	11.005	Georgetown City-Texas	Co-Mgr
05/01/2013	Unlimited Tax Refunding Bonds	9.230	Pecos Co (Iraan-Sheffield) ISD	Co-Mgr
04/30/2013	GO School Improvement Ref Bonds	.420	Lawrence Co (Ironton City) SD	Sole Mgr
04/30/2013	GO School Improvement Ref Bonds	7.820	Lawrence Co (Ironton City) SD	Sole Mgr
04/30/2013	Refunding School Bonds	9.240	Somerville Boro BOE	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
04/30/2013	Pension Obligation Ref Bonds	4.630	Hamilton Twp-New Jersey	Sole Mgr
04/30/2013	Gen Imp & Sewer Util Ref Bonds	33.930	Hamilton Twp-New Jersey	Lead Mgr
04/30/2013	GO Public Improvement Ref Bonds	17.880	Sullivan Co-New York	Sole Mgr
04/30/2013	Refunding Bonds	10.350	Middle Twp-New Jersey	Sole Mgr
04/30/2013	Single Family Prog Class I Bonds	53.630	Colorado Hsg & Fin Au (CHFA)	Lead Mgr
04/29/2013	General Obligation Bonds	9.780	Lehigh Co (Whitehall-Coplay) SD	Sole Mgr
04/29/2013	Single Family Mtg Rev Ref Bonds	47.840	Missouri Housing Dev Commission	Co-Mgr
04/25/2013	Auxilliary Revenue Bonds	101.180	Louisiana St Univ & Agri & Mech Coll	Co-Mgr
04/25/2013	Homeownership Finance Bonds	85.149	Minnesota Housing Fin Agency	Lead Mgr
04/25/2013	GO Refunding Bonds	29.620	Oklahoma Building Bonds Comm	Co-Mgr
04/25/2013	GO Refunding Bonds	.030	Arapahoe Co (Sheridan) SD #2	Sole Mgr
04/25/2013	GO Refunding Bonds	7.820	Arapahoe Co (Sheridan) SD #2	Sole Mgr
04/25/2013	Hospital Revenue Ref Bonds	48.145	Michigan Finance Authority	Sole Mgr
04/24/2013	Unlimited Tax Sch Bldg &Ref Bonds	70.175	Midland ISD	Co-Mgr
04/24/2013	General Obligation Bonds	10.000	Schuylkill Co (Shenandoah Vlly) SD	Sole Mgr
04/24/2013	General Obligation Bonds	4.005	Delaware Co (William Penn) SD	Sole Mgr
04/23/2013	Revenue Bonds	2,000.000	Florida Hurricane Catastrophe Fin	Co-Mgr
04/23/2013	GO Various Purpose Bonds	1,135.485	California	Co-Mgr
04/23/2013	GO Various Purpose & Ref Bonds	1,494.510	California	Co-Mgr
04/23/2013	Certs of Participation Ref Bonds	536.855	Denver City and Co SD #1	Lead Mgr
04/23/2013	Pollution Control Revenue Bonds	40.530	Appling Co Development Auth	Co-Mgr
04/23/2013	Pollution Control Revenue Bonds	114.565	Burke Co Development Authority	Co-Mgr
04/23/2013	Pollution Control Revenue Bonds	57.665	Monroe Co Development Authority	Co-Mgr
04/19/2013	General Obligation Bonds	40.910	York Co-Pennsylvania	Co-Mgr
04/18/2013	Guaranteed Sewer Revenue Bonds	7.880	East Nittany Valley Jt Municipal Au	Sole Mgr
04/18/2013	GO Refunding CABs	.395	Portage Co (Field) LSD	Sole Mgr
04/18/2013	GO Refunding Bonds	8.375	Portage Co (Field) LSD	Sole Mgr
04/18/2013	Futured Tax Secured Sub Bonds	901.025	NYC Transitional Finance Auth	Co-Mgr
04/18/2013	School Improvement Bonds	45.475	Maricopa Co (Tempe) UHSD #213	Co-Mgr
04/18/2013	Higher Educational Fac Ref Bonds	18.145	Ohio Higher Ed Fac Commission	Co-Mgr
04/17/2013	General Obligation Bonds	15.905	Dauphin Co-Pennsylvania	Sole Mgr
04/17/2013	General Obligation Bonds	9.995	Lebanon Co (Annville-Cleona) SD	Sole Mgr
04/17/2013	Refunding School Bonds	40.795	Burlington Co (Lenape) RHSD BOE	Sole Mgr
04/17/2013	Lease Revenue Refunding Bonds	24.595	Gloucester Co Improvement Auth	Sole Mgr
04/17/2013	Excise Tax Revenue Obligations	94.060	Mesa City-Arizona	Co-Mgr
04/17/2013	GO Public Improvement Ref Bonds	15.515	Oneida Co-New York	Sole Mgr
04/17/2013	Multi-Family Housing Rev Bonds	3.700	Illinois Housing Dev Authority	Sole Mgr
04/16/2013	Highway Revenue Fuel Tax Bonds	165.000	Washoe Co-Nevada	Lead Mgr
04/16/2013	School Improvement Bonds	46.000	Maricopa Co (Mesa) USD #4	Sole Mgr
04/15/2013	Tax Notes	9.925	Denton Co-Texas	Co-Mgr
04/15/2013	Permanent Improvement Ref Bonds	36.525	Denton Co-Texas	Co-Mgr
04/15/2013	Permanent Imp & Ref Bonds	63.345	Denton Co-Texas	Co-Mgr
04/15/2013	GO Refunding Bonds	7.345	Shenandoah City-Texas	Lead Mgr
04/15/2013	Unlimited Tax Refunding Bonds	8.745	Tarrant Co (Kennedale) ISD	Co-Mgr
04/11/2013	Refunding Revenue Bonds	24.090	Pennsylvania Hghr Ed Facs Auth	Co-Mgr
04/11/2013	State School Imp Rev Ref Bonds	316.165	Arizona School Facilities Board	Co-Mgr
04/11/2013	College Revenue Bonds	1.750	Pennsylvania St Pub Sch Bldg Auth	Sole Mgr
04/11/2013	College Revenue Bonds	3.540	Pennsylvania St Pub Sch Bldg Auth	Sole Mgr
04/11/2013	Student Loan Bonds & Notes	211.820	Oklahoma Student Loan Authority	Sole Mgr
04/09/2013	GO Refunding Bonds	8.265	Bethlehem City-Pennsylvania	Sole Mgr
04/09/2013	General Obligation Bonds	9.375	Lehigh Co (Parkland) SD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
04/09/2013	General Obligation Bonds	100.000	San Bernardino Co (Chaffey) JUHSD	Sole Mgr
04/08/2013	General Obligation Bonds	7.280	Quincy Twp-Pennsylvania	Sole Mgr
04/08/2013	Education Facility Rev Bonds	.140	Phoenix Industrial Dev Auth	Sole Mgr
04/08/2013	Education Fac Revenue Bonds	9.125	Phoenix Industrial Dev Auth	Sole Mgr
04/04/2013	Senior Sales Tax Rev Ref Bonds	262.195	Los Angeles Co Metro Trans Auth	Lead Mgr
04/04/2013	Mortgage Purchase Bonds	95.370	Maine State Housing Authority	Co-Mgr
04/04/2013	General Obligation Bonds	9.995	Wallingford-Swarthmore SD	Sole Mgr
04/04/2013	University Revenue Bonds	14.630	Union Co Higher Ed Facs Fin Auth	Sole Mgr
04/04/2013	State Economic Dev Rev Bonds	4.000	Ohio	Sole Mgr
04/03/2013	Revenue Refunding Bonds	242.320	Orlando-Orange Co Expressway Au	Co-Mgr
04/03/2013	School Refunding Bonds	19.470	Mercer Co (Ewing) TSD BOE	Sole Mgr
04/03/2013	Single Family Mtg Rev Ref Bonds	120.000	New Hampshire Housing Fin Auth	Co-Mgr
04/03/2013	General Obligation Bonds	16.015	Los Angeles Co (Santa Monica-Malibu) USD	Co-Mgr
04/03/2013	General Obligation Bonds	66.980	Los Angeles Co (Santa Monica-Malibu) USD	Co-Mgr
04/03/2013	Subordinate Tax Rev Ref Bonds	12.485	Arizona Tourism & Sports Auth	Sole Mgr
04/02/2013	General Obligation Bonds	2.835	Kutztown Boro-Pennsylvania	Sole Mgr
04/02/2013	General Obligation Bonds	5.570	Kutztown Boro-Pennsylvania	Sole Mgr
04/02/2013	Revenue Refunding Bonds	18.165	Vermont State Colleges	Sole Mgr
04/02/2013	GO Refunding Airport Bonds	32.915	Clark Co-Nevada	Co-Mgr
04/02/2013	Fuel Tax Airport Sys Ref Rev Bond	70.965	Clark Co-Nevada	Co-Mgr
04/02/2013	Revenue Bonds	25.000	Connecticut Hghr Ed Supp Loan Auth	Lead Mgr
04/02/2013	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	Co-Mgr
04/01/2013	GO Limited Tax Bonds	3.480	Lancaster Co (Eastern Lancaster) SD	Sole Mgr
04/01/2013	Housing Revenue Bonds	21.250	Illinois Housing Dev Authority	Lead Mgr
04/01/2013	Housing Revenue Bonds	78.750	Illinois Housing Dev Authority	Lead Mgr
04/01/2013	Unltd Tax Refunding CABs	.750	Refugio Co (Woodsboro) ISD	Sole Mgr
04/01/2013	Refunding Bonds	5.000	Refugio Co (Woodsboro) ISD	Sole Mgr
04/01/2013	Unlimited Tax Refunding Bonds	4.665	Fort Bend Co MUD # 142	Lead Mgr
04/01/2013	Unlimited Tax Refunding Bonds	36.955	Galveston ISD	Co-Mgr
04/01/2013	Unlimited Tax Refunding Bonds	8.490	Willow Fork Drainage Dt	Lead Mgr
04/01/2013	Unlimited Tax Refunding Bonds	3.820	Cypress Hill MUD # 1	Lead Mgr
04/01/2013	GO & Comb Tax Rev Certs of Oblig	5.295	Haltom City-Texas	Lead Mgr
04/01/2013	Limited Tax Refunding Bonds	40.745	Austin Community College Dt	Lead Mgr
04/01/2013	Transmission Contract Ref Bonds	197.425	Lower Colorado River Authority (LCRA)	Co-Mgr
04/01/2013	Unlimited Tax Refunding Bonds	3.755	Ellis Co (Ennis) ISD	Lead Mgr
04/01/2013	Joint Revenue Improvement Bonds	372.240	Dallas & Fort Worth Cities-Texas	Lead Mgr
03/28/2013	System Enterprise Rev Ref Bonds	16.690	Colorado St Univ Sys Bd of Gov	Co-Mgr
03/28/2013	System Enterprise & Rev Ref Bonds	181.970	Colorado St Univ Sys Bd of Gov	Co-Mgr
03/28/2013	General Obligation Bonds	8.745	Montgomery Twp-Pennsylvania	Sole Mgr
03/28/2013	General Obligation Notes	10.885	York Co (Dallastown) ASD	Sole Mgr
03/28/2013	Homeowner Mtg Rev Ref Bonds	8.270	Orange Co Housing Finance Auth	Lead Mgr
03/28/2013	General Obligation Bonds	155.430	Connecticut	Co-Mgr
03/28/2013	Refunding School Bonds	5.380	Somerset Co (Franklin) TSD BOE	Sole Mgr
03/28/2013	Private Activity Bonds	676.805	Indiana Finance Authority	Co-Mgr
03/28/2013	Revenue Bonds	14.710	Dutchess Co Local Dev Corp	Sole Mgr
03/28/2013	Sewer Revenue Bonds	26.940	Greene Twp Municipal Auth	Sole Mgr
03/28/2013	Single Family Mtg Rev Ref Bonds	44.924	Missouri Housing Dev Commission	Co-Mgr
03/28/2013	Lease Revenue Bonds	15.105	California St Public Works Board	Co-Mgr
03/28/2013	Lease Revenue Bonds	77.370	California St Public Works Board	Co-Mgr
03/28/2013	Lease Revenue Bonds	342.360	California St Public Works Board	Co-Mgr
03/28/2013	Health System Bonds	112.045	NYC Health & Hosp Corp	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
03/28/2013	GO Refunding Bonds	8.055	Tehachapi Valley Healthcare Dt	Sole Mgr
03/28/2013	Hospital Revenue Refunding Bonds	321.940	Harris Co Cult Ed Facs Fin Corp	Co-Mgr
03/28/2013	Stapleton Sr Tax Increment Bonds	171.265	Denver Urban Renewal Authority	Lead Mgr
03/28/2013	Education Loan Backed Notes	144.730	Alaska Student Loan Corp	Sole Mgr
03/28/2013	General Obligation Bonds	30.500	Connecticut	Co-Mgr
03/28/2013	General Obligation Bonds	31.775	Connecticut	Co-Mgr
03/28/2013	General Obligation Bonds	41.095	Connecticut	Co-Mgr
03/28/2013	General Obligation Bonds	45.910	Connecticut	Co-Mgr
03/28/2013	General Obligation Bonds	95.290	Connecticut	Co-Mgr
03/27/2013	Guaranteed Water & Sewer Rev Bond	6.425	Bonneauville Boro Municipal Auth	Sole Mgr
03/27/2013	Single Family Program Bonds	21.430	Washington State Hsg Fin Comm	Lead Mgr
03/27/2013	Single Family Program Bonds	41.085	Washington State Hsg Fin Comm	Lead Mgr
03/27/2013	General Obligation School Bonds	13.290	Matanuska-Susitna Boro-Alaska	Sole Mgr
03/27/2013	Various Purpose Ltd GO Bonds	3.700	Loveland City-Ohio	Sole Mgr
03/27/2013	Various Purpose GO Bonds	364.260	California	Co-Mgr
03/27/2013	Power Supply System Revenue Bonds	6.795	Nebraska Municipal Energy Agency	Co-Mgr
03/27/2013	Power Supply Sys Rev & Ref Bonds	32.430	Nebraska Municipal Energy Agency	Co-Mgr
03/27/2013	Various Purpose GO Bonds	505.935	California	Co-Mgr
03/27/2013	Various Purpose GO Bonds	542.635	California	Co-Mgr
03/27/2013	Various Purp GO Refunding Bonds	1,058.860	California	Co-Mgr
03/26/2013	Refunding School Bonds	18.700	Monmouth Co (Marlboro) TSD BOE	Sole Mgr
03/26/2013	GO Refunding Bonds	4.315	Yuma Co (Wray) SD #RD-2	Sole Mgr
03/26/2013	Multi-Family Housing Rev Bonds	44.520	New Jersey Hsg & Mtg Fin Agency	Co-Mgr
03/26/2013	Multi-Family Housing Rev Bonds	49.535	New Jersey Hsg & Mtg Fin Agency	Co-Mgr
03/26/2013	Multi-Family Housing Rev Bonds	89.000	New Jersey Hsg & Mtg Fin Agency	Co-Mgr
03/25/2013	GO Refunding & School Bonds	37.740	Trenton City-New Jersey	Lead Mgr
03/21/2013	School Dt Refunding School Bonds	11.750	Bergen Co (River Dell) RSD BOE	Sole Mgr
03/21/2013	Sewer Revenue Bonds	5.320	Suburban Lancaster Sewer Auth	Sole Mgr
03/21/2013	GO Refunding Bonds	9.570	Margate City-New Jersey	Sole Mgr
03/21/2013	GO Refunding Bonds	8.875	Routt Co (Steamboat Springs) SD #RE-2	Sole Mgr
03/21/2013	GO Refunding Bonds	4.890	Corinth Vlg-New York	Sole Mgr
03/21/2013	Revenue Bonds	117.785	Maryland Hlth & Hghr Ed Facs Au	Co-Mgr
03/21/2013	Wtr Swr Sys 2nd Gen Res Rev Bonds	543.300	NYC Municipal Water Fin Auth	Co-Mgr
03/20/2013	General Obligation Bonds	11.460	Flagstaff City-Arizona	Sole Mgr
03/20/2013	GO Qual Pub & Water Imp Ref Bonds	36.720	Jersey City-New Jersey	Co-Mgr
03/20/2013	GO Refunding Bonds	.125	Alamosa Co (Alamosa) SD #RE-11J	Sole Mgr
03/20/2013	GO Refunding Bonds	1.555	Alamosa Co (Alamosa) SD #RE-11J	Sole Mgr
03/20/2013	General Obligation Bonds	4.990	Alamosa Co (Alamosa) SD #RE-11J	Sole Mgr
03/19/2013	General Obligation Bonds	872.510	New York City-New York	Co-Mgr
03/19/2013	State Personal Inc Tax Rev Bonds	70.030	Empire State Development Corp	Lead Mgr
03/19/2013	State Personal Inc Tax Rev Bonds	572.390	Empire State Development Corp	Lead Mgr
03/19/2013	General Obligation Bonds	74.060	New York City-New York	Lead Mgr
03/19/2013	General Obligation Bonds	74.060	New York City-New York	Co-Mgr
03/19/2013	General Obligation Bonds	100.000	New York City-New York	Co-Mgr
03/18/2013	General Obligation Bonds	9.970	Montgomery Co (Cheltenham) TSD	Sole Mgr
03/15/2013	General Obligation Bonds	8.955	Lehigh Co (Catasauqua) ASD	Sole Mgr
03/15/2013	Guaranteed Sewer Revenue Bonds	2.530	Blair Twp Water & Sewer Auth	Sole Mgr
03/15/2013	Revenue Refunding Bonds	38.495	Sun Devil Energy Center	Sole Mgr
03/15/2013	GO Refunding Bonds	3.740	Wichita Falls City-Texas	Sole Mgr
03/15/2013	Wtrwrks & Swr Sys Rev Ref Bonds	8.270	Killeen City-Texas	Co-Mgr
03/15/2013	Wtrwks & Swr Sys Ref & Imp Bonds	29.030	Killeen City-Texas	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
03/15/2013	Unlimited Tax Refunding Bonds	37.610	Grayson Co (Sherman) ISD	Co-Mgr
03/14/2013	Refunding School Bonds	18.480	Morris Co (Hanover Park) RHSD	Lead Mgr
03/14/2013	Ltd Tax Oblig Co Bldg Ref Bonds	1.680	Scotts Bluff Co-Nebraska	Sole Mgr
03/14/2013	General Revenue Bonds	286.515	Regents of the Univ of California	Co-Mgr
03/14/2013	General Revenue Bonds	501.170	Regents of the Univ of California	Co-Mgr
03/14/2013	General Revenue Bonds	805.905	Regents of the Univ of California	Co-Mgr
03/13/2013	General Obligation Bonds	9.310	Montgomery Co (Springfield) TSD	Sole Mgr
03/13/2013	School District Refunding Bonds	4.230	Herkimer Co (Little Falls) SD	Sole Mgr
03/12/2013	GO & Refunding Bonds	96.045	Alaska Municipal Bond Bank	Lead Mgr
03/08/2013	Multi-Family Housing Rev Bonds	2.060	NYC Housing Dev Corp	Co-Mgr
03/08/2013	Multi-Family Housing Rev Bonds	102.825	NYC Housing Dev Corp	Co-Mgr
03/07/2013	Ref Certificates of Participation	70.910	Colorado	Co-Mgr
03/06/2013	Transportation Revenue Bonds	259.680	Wisconsin	Co-Mgr
03/06/2013	Special Tax Bonds	3.325	Victor Valley UHSD CFD 2007-1	Sole Mgr
03/06/2013	School Imp GO Refunding Bonds	.235	Warren Co (Kings) LSD BOE	Sole Mgr
03/06/2013	School Imp GO Refunding Bonds	.760	Warren Co (Kings) LSD BOE	Sole Mgr
03/06/2013	School Imp GO Refunding Bonds	18.185	Warren Co (Kings) LSD BOE	Sole Mgr
03/06/2013	School Imp GO Refunding Bonds	21.605	Warren Co (Kings) LSD BOE	Sole Mgr
03/06/2013	School Bus Acquisition Bonds	.995	Warren Co (Springboro City) CSD	Sole Mgr
03/05/2013	Central Valley Proj Water Sys Rev	183.960	California Dept of Wtr Resources	Lead Mgr
03/01/2013	Unlimited Tax Refunding Bonds	1.850	Harris Co MUD #276	Sole Mgr
03/01/2013	Unlimited Tax Refunding Bonds	7.085	Harris Co MUD #278	Lead Mgr
03/01/2013	Unlimited Tax Refunding Bonds	6.750	Brazoria Co MUD #17	Lead Mgr
03/01/2013	Unlimited Tax Sch Bldg &Ref Bonds	226.360	Harris Co (Pasadena) ISD	Co-Mgr
03/01/2013	Unlimited Tax Refunding Bonds	9.530	Brazoria Co MUD #19	Lead Mgr
03/01/2013	Unlimited Tax Refunding Bonds	9.680	Clear Brook City MUD	Lead Mgr
03/01/2013	Wtrwrks&Swr Sys Unli Tax Bonds	9.525	Harris Co MUD #53	Lead Mgr
03/01/2013	Unlimited Tax Refunding Bonds	5.030	Harris Co Water Ctl & Imp Dt #119	Lead Mgr
03/01/2013	Unlimited Tax Refunding Bonds	7.365	Grand Mission MUD #1	Lead Mgr
03/01/2013	Unlimited Tax Refunding Bonds	2.990	Bilma Public Utility Dt	Lead Mgr
03/01/2013	Unlimited Tax Refunding Bonds	3.550	Brazoria Co MUD #26	Lead Mgr
03/01/2013	Unlimited Tax Refunding Bonds	.235	Denton Co (Lewisville) ISD	Co-Mgr
03/01/2013	Unlimited Tax Refunding Bonds	5.325	Denton Co (Lewisville) ISD	Co-Mgr
03/01/2013	Unlimited Tax Refunding Bonds	22.865	Denton Co (Lewisville) ISD	Co-Mgr
03/01/2013	Unlimited Tax Refunding Bonds	39.635	Denton Co (Lewisville) ISD	Co-Mgr
03/01/2013	Water & Sewer Revenue Bonds	25.000	South Wayne Co Water & Sewer Au	Sole Mgr
03/01/2013	Unlimited Tax & Refunding Bonds	9.305	Fort Bend Co MUD #118	Lead Mgr
03/01/2013	Comb Tax & Rev Certs of Oblig	19.875	Wichita Falls City-Texas	Sole Mgr
03/01/2013	Wtr Swr Sys 2nd Gen Res Rev Bonds	455.955	NYC Municipal Water Fin Auth	Co-Mgr
03/01/2013	Unlimited Tax Sch Bldg &Ref Bonds	7.435	Hood Co (Tolar) ISD	Sole Mgr
03/01/2013	Unlimited Tax Refunding Bonds	7.985	Dallas Co (Duncanville) ISD	Co-Mgr
03/01/2013	Unlimited Tax Refunding Bonds	33.365	Dallas Co (Duncanville) ISD	Co-Mgr
03/01/2013	Unlimited Tax Refunding Bonds	44.180	Denton Co (Northwest) ISD	Lead Mgr
03/01/2013	GO Refunding Bonds	54.070	Denton Co (Northwest) ISD	Lead Mgr
02/28/2013	Homeownership Finance Bonds	75.000	Minnesota Housing Fin Agency	Lead Mgr
02/28/2013	Guaranteed Sewer Revenue Bonds	4.790	Northeastern Schuylkill Jt Muni Auth	Sole Mgr
02/28/2013	Housing Revenue Bonds	10.925	Maryland Dept of Hsg & Comm Dev	Co-Mgr
02/28/2013	Charter School Revenue Bonds	.390	New Jersey Economic Dev Auth	Sole Mgr
02/28/2013	Charter School Revenue Bonds	9.620	New Jersey Economic Dev Auth	Sole Mgr
02/27/2013	General Obligation Bonds	9.975	Northampton Co (Easton) ASD	Sole Mgr
02/27/2013	General Obligation Bonds	5.890	Cumberland Co (Big Spring) SD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
02/27/2013	School Improvement Bonds	37.850	Maricopa Co (Paradise Valley) USD #69	Sole Mgr
02/27/2013	Refunding School Bonds	23.745	Somerset Co (Bernards) TSD BOE	Lead Mgr
02/27/2013	GO Limited Tax Refunding Bonds	8.720	Sand Creek Metropolitan Dt	Sole Mgr
02/26/2013	General Obligation Bonds	5.480	York Co (Dover) ASD	Sole Mgr
02/26/2013	General Obligation Bonds	8.940	Penn Twp-Pennsylvania	Sole Mgr
02/26/2013	Guaranteed Sewer Revenue Bonds	3.830	St Thomas Twp Municipal Auth	Sole Mgr
02/26/2013	Refunding School Bonds	12.335	Bergen Co (Pascack Vlly) RHSD BOE	Sole Mgr
02/26/2013	GO Refunding Bonds	12.230	East Hartford Town-Connecticut	Sole Mgr
02/25/2013	General Obligation Bonds	9.155	Lebanon Co (Palmyra) ASD	Sole Mgr
02/22/2013	School Refunding Bonds	6.700	Bergen Co (Cresskill Boro) SD BOE	Sole Mgr
02/22/2013	General Obligation Bonds	12.710	York Co (York City) SD	Sole Mgr
02/21/2013	Ltd Tax General Obligation Bonds	4.425	Delaware Co (Ridley) SD	Sole Mgr
02/21/2013	Mortgage Purchase Bonds	9.000	Maine State Housing Authority	Co-Mgr
02/21/2013	Mortgage Purchase Bonds	106.000	Maine State Housing Authority	Co-Mgr
02/21/2013	Water & Sewer Revenue & Ref Bonds	23.680	St Johns Co-Florida	Sole Mgr
02/21/2013	Water & Sewer Revenue Ref Bonds	31.760	St Johns Co-Florida	Sole Mgr
02/21/2013	General Obligation Bonds	4.625	Franklin Co (Tuscarora) SD	Sole Mgr
02/21/2013	General Obligation Bonds	1.890	Montezuma-Cortez SD #RE-1	Sole Mgr
02/20/2013	GO & Ltd Obligation Bonds	20.475	Berks Co (Wilson) SD	Sole Mgr
02/20/2013	General Obligation Bonds	2.045	Coolbaugh Twp-Pennsylvania	Sole Mgr
02/20/2013	General Obligation Bonds	14.085	York Co (Hanover) PSD	Sole Mgr
02/20/2013	Electric Sys & Sub Revenue Bonds	203.255	Jacksonville Electric Authority	Co-Mgr
02/20/2013	General Obligation Notes	18.200	Lancaster Co (Penn Manor) SD	Sole Mgr
02/20/2013	Student Loan Backed Notes	323.620	South Carolina Student Loan Corp	Sole Mgr
02/19/2013	General Obligation Bonds	6.935	Bucks Co (Neshaminy) SD	Sole Mgr
02/19/2013	GO School Dt Refunding Bonds	4.700	Clinton Co (Plattsburgh) SD	Sole Mgr
02/19/2013	Education Facility Revenue Bonds	.075	Phoenix Industrial Dev Auth	Sole Mgr
02/19/2013	Education Facility Revenue Bonds	10.175	Phoenix Industrial Dev Auth	Sole Mgr
02/15/2013	General Obligation Bonds	10.245	York Co (South Eastern) SD	Sole Mgr
02/15/2013	Ltd Tax General Obligation Bonds	8.800	Lancaster Co (Hempfield) SD	Sole Mgr
02/15/2013	General Obligation Bonds	7.560	York Co (York Suburban) SD	Sole Mgr
02/15/2013	Tax & Wtrwrks&Swr Sys Ref Bonds	3.475	Decatur City-Texas	Sole Mgr
02/15/2013	Capital Improvement Ref Rev Bonds	20.645	St Augustine City-Florida	Sole Mgr
02/15/2013	GO Refunding Bonds	6.180	Canyon City-Texas	Sole Mgr
02/15/2013	Unltd Tax School Building Bonds	8.910	Garza Co (Post) ISD	Co-Mgr
02/15/2013	Unltd Tax School Building Bonds	9.460	Garza Co (Post) ISD	Co-Mgr
02/15/2013	GO Refunding & Improvement Bonds	61.925	Plano City-Texas	Co-Mgr
02/14/2013	General Obligation Bonds	9.645	Chester Co (Great Valley) SD	Sole Mgr
02/14/2013	Water & Sewer Ref Revenue Bonds	14.525	Melbourne City-Florida	Sole Mgr
02/14/2013	Limited Tax Refunding Bonds	92.905	Harris Co (Houston) ISD	Co-Mgr
02/14/2013	Ltd Tax Schoolhouse & Ref Bonds	209.640	Harris Co (Houston) ISD	Co-Mgr
02/14/2013	GO Refunding Bonds	145.910	North Orange Co Comm College Dt	Lead Mgr
02/14/2013	Student Dormitory Revenue Bonds	107.965	District of Columbia	Lead Mgr
02/13/2013	General Obligation Bonds	27.315	Oregon	Co-Mgr
02/13/2013	General Obligation Bonds	44.030	Oregon	Co-Mgr
02/13/2013	General Obligation Bonds	101.070	Oregon	Co-Mgr
02/13/2013	General Obligation Bonds	130.900	Oregon	Co-Mgr
02/12/2013	General Obligation Bonds	3.385	Delaware Co (Haverford) TSD	Sole Mgr
02/12/2013	GO Pension Promissory Notes	138.730	Milwaukee Co-Wisconsin	Co-Mgr
02/12/2013	GO Refunding Bonds	31.020	Douglas Co SD RE#1	Sole Mgr
02/07/2013	General Obligation Bonds	5.930	Lancaster Co (Manheim) TSD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
02/07/2013	GO Refunding Bonds	45.425	Los Angeles Co (Santa Monica-Malibu) USD	Co-Mgr
02/07/2013	Charter School Revenue Bonds	.300	California School Finance Auth	Sole Mgr
02/07/2013	Charter School Revenue Bonds	13.855	California School Finance Auth	Sole Mgr
02/07/2013	General Obligation Bonds	3.470	Montezuma Co (Dolores) SD #RE-4A	Sole Mgr
02/07/2013	Electric System Sub Rev Bonds	59.330	Jacksonville Electric Authority	Lead Mgr
02/06/2013	General Obligation Bonds	4.730	Lancaster Co (Ephrata) ASD	Sole Mgr
02/06/2013	General Obligation Bonds	9.675	Berks Co (Exeter) TSD	Sole Mgr
02/06/2013	General Obligation Bonds	13.995	Glendale Community College Dt	Sole Mgr
02/06/2013	Revenue Bonds	45.000	New Hampshire Hlth & Ed Facs Auth	Sole Mgr
02/06/2013	Education Facility Revenue Bonds	8.835	Phoenix Industrial Dev Auth	Sole Mgr
02/01/2013	Unlimited Tax Refunding Bonds	9.280	Remington MUD #1	Lead Mgr
02/01/2013	Unlimited Tax Levee Imp Ref Bonds	5.065	Fort Bend Co Levee Imp Dt #12	Lead Mgr
02/01/2013	Unlimited Tax Refunding Bonds	8.880	North Mission Glen MUD	Lead Mgr
02/01/2013	Unlimited Tax Refunding Bonds	3.785	Renn Road MUD	Lead Mgr
02/01/2013	Unlimited Tax Refunding Bonds	9.615	Harris Co MUD #285	Lead Mgr
02/01/2013	Unlimited Tax Refunding Bonds	8.085	Brazoria Co MUD #18	Lead Mgr
02/01/2013	GO Ref & Comb Tax & Rev Oblig	55.540	Waco City-Texas	Lead Mgr
02/01/2013	Unlimited Tax Refunding Bonds	3.270	Cinco MUD#10	Lead Mgr
02/01/2013	Unlimited Tax School Bldg Bonds	13.600	Lubbock Co (Frenship) ISD	Lead Mgr
02/01/2013	Unlimited Tax Refunding Bonds	17.700	Bexar Co (Judson) ISD	Lead Mgr
01/31/2013	Power Supply System Revenue Bonds	132.920	Oklahoma Muni Power Authority	Co-Mgr
01/31/2013	Refunding School Bonds	8.250	Morris Co (Chester Twp) BOE	Sole Mgr
01/31/2013	Special Purp Gen Receipts Bonds	337.955	Ohio State University	Co-Mgr
01/31/2013	School Facilities Con Ref Bonds	243.270	New Jersey Economic Dev Auth	Co-Mgr
01/31/2013	School Facs Con Ref Bonds	1,629.710	New Jersey Economic Dev Auth	Co-Mgr
01/31/2013	School Facilities Con Ref Notes	380.515	New Jersey Economic Dev Auth	Co-Mgr
01/31/2013	Turnpike Revenue Bonds	176.075	Pennsylvania Turnpike Commission	Co-Mgr
01/31/2013	Solid Waste Disp Rev Ref Bonds	155.130	Phoenix Industrial Dev Auth	Sole Mgr
01/31/2013	GO School Improvement Ref Bonds	.340	Champaign Co (Graham) LSD	Sole Mgr
01/31/2013	GO School Improvement Ref Bonds	4.125	Champaign Co (Graham) LSD	Sole Mgr
01/30/2013	GO Refunding Bonds	.290	El Paso Co (Colorado Springs) SD #11	Sole Mgr
01/30/2013	GO Refunding Bonds	83.795	El Paso Co (Colorado Springs) SD #11	Sole Mgr
01/30/2013	Single Family Mtg Rev Ref Bonds	45.220	Missouri Housing Dev Commission	Co-Mgr
01/30/2013	Single Family Mtg Rev Ref Bonds	54.010	Missouri Housing Dev Commission	Co-Mgr
01/30/2013	Revenue Refunding Bonds	98.900	Plaza Metropolitan Dt #1	Sole Mgr
01/29/2013	General Obligation Bonds	9.700	Chester Co (Oxford) ASD	Sole Mgr
01/29/2013	Subordinate Revenue Ref CABs	117.635	Triborough Bridge & Tunnel Auth	Co-Mgr
01/29/2013	General Revenue Refunding Bonds	257.195	Triborough Bridge & Tunnel Auth	Co-Mgr
01/29/2013	Subordinate Revenue Ref Bonds	536.330	Triborough Bridge & Tunnel Auth	Co-Mgr
01/29/2013	GO School Improvement Ref Bonds	45.600	Cuyahoga Co (Cleveland) MSD	Co-Mgr
01/29/2013	Sewer Revenue Bonds	6.300	Berwick Area Joint Sewer Auth	Sole Mgr
01/29/2013	Multi-Family Housing Rev Bonds	4.300	California Statewide CDA (CSCDA)	Lead Mgr
01/24/2013	Single Family Mortgage Rev Bonds	20.000	Iowa Finance Authority	Sole Mgr
01/24/2013	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	Co-Mgr
01/24/2013	Student Loan Backed Notes	540.550	North Carolina St Ed Assist Auth	Lead Mgr
01/23/2013	Single Fam Mtg Prog Class I Bonds	25.000	New Mexico Mortgage Fin Auth	Lead Mgr
01/23/2013	Revenue Bonds	75.000	Pennsylvania Finance Authority	Lead Mgr
01/23/2013	Revenue Bonds	255.000	Pennsylvania Finance Authority	Lead Mgr
01/18/2013	General Obligation Bonds	5.930	West Manheim Twp-Pennsylvania	Sole Mgr
01/17/2013	Multi-Family Housing Rev Bonds	4.600	Middletown Industrial Dev Agency	Sole Mgr
01/15/2013	Water Revenue Bonds	16.385	Northampton Borough Muni Auth	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
01/15/2013	GO Refunding Bonds	1.775	Rusk City-Texas	Lead Mgr
01/15/2013	Tax & Wtrwks & Swr Certs of Oblig	5.525	Rusk City-Texas	Lead Mgr
01/14/2013	General Obligation Bonds	2.090	Summit Hill Boro-Pennsylvania	Sole Mgr
01/11/2013	Multi-Family Conduit Rev Bonds	6.400	New Jersey Hsg & Mtg Fin Agency	Sole Mgr
01/10/2013	GO Refunding Bonds	7.155	Adams Co (Hastings) PSD #18	Sole Mgr
01/09/2013	School Improvement Bonds	23.400	Maricopa Co (Deer Valley) USD #97	Lead Mgr
01/08/2013	Guaranteed Sewer Rev Bonds	2.890	Pen Argyl Municipal Auth	Sole Mgr
01/08/2013	General Obligation Bonds	7.525	Lehigh Co (Catasauqua) ASD	Sole Mgr
01/07/2013	General Obligation Bonds	6.305	Allegheny Co (West Allegheny) SD	Sole Mgr
01/04/2013	General Obligation Bonds	17.785	Berks Co-Pennsylvania	Lead Mgr
01/04/2013	General Obligation Bonds	6.850	Chester Co (Octorara) ASD	Sole Mgr
01/03/2013	General Obligation Bonds	1,000.090	New York City-New York	Co-Mgr
01/02/2013	Refunding Revenue Bonds	174.315	Orlando-Orange Co Expressway Au	Co-Mgr
01/01/2013	Unlimited Tax Refunding Bonds	7.550	NW Harris Co MUD #32	Sole Mgr
01/01/2013	Sales Tax Imp & Refunding Bonds	44.360	Nacogdoches Co Hosp Dt	Co-Mgr
12/28/2012	General Obligation Bonds	7.280	Schuylkill (Schuykill Haven) ASD	Sole Mgr
12/28/2012	General Obligation Bonds	9.230	York Co (Northern York) SD	Sole Mgr
12/28/2012	Gtd Multi Stadium Fac Rev Bonds	10.950	Lancaster Co Redev Auth	Sole Mgr
12/27/2012	GO Pension Funding Bonds	2.160	Bridgeport Boro-Pennsylvania	Sole Mgr
12/27/2012	St Transportation Rev Ref Bonds	220.400	New Mexico Finance Auth (NMFA)	Lead Mgr
12/27/2012	General Obligation Bonds	9.715	Bucks Co (Neshaminy) SD	Sole Mgr
12/27/2012	General Obligation Bonds	9.695	Montgomery Co (Cheltenham) TSD	Sole Mgr
12/27/2012	School District Refunding Bonds	17.635	Ocean Co (Toms River) RSD BOE	Sole Mgr
12/27/2012	General Obligation Bonds	6.720	Berks Co (Exeter) TSD	Sole Mgr
12/27/2012	General Obligation Bonds	19.995	Berks Co (Exeter) TSD	Sole Mgr
12/27/2012	Single Family Mortgage Rev Bonds	17.756	Iowa Finance Authority	Lead Mgr
12/27/2012	Refunding School Bonds	8.005	Middlesex Co (Dunellen Boro) BOE	Sole Mgr
12/27/2012	General Obligation Bonds	9.285	Lancaster Co (Ephrata) ASD	Sole Mgr
12/27/2012	General Obligation Bonds	37.605	Los Angeles Co (Wiseburn) SD	Sole Mgr
12/21/2012	Special Tax Oblig & Ref Bonds	627.390	Connecticut	Lead Mgr
12/21/2012	Multi-Family Housing Rev Bonds	4.800	Hillsborough Co Housing Fin Auth	Sole Mgr
12/21/2012	Student Loan Asset-Backed Notes	160.700	Arkansas Student Loan Authority	Sole Mgr
12/20/2012	General Obligation Bonds	3.990	Luzerne Co (Pittston) ASD	Sole Mgr
12/20/2012	Guaranteed Sewer Rev Bonds	7.720	West Hanover Twp-Pennsylvania	Sole Mgr
12/20/2012	General Obligation Bonds	9.975	Chester Co (Kennett) CSD	Sole Mgr
12/20/2012	General Obligation Bonds	9.200	Lehigh Co (Parkland) SD	Sole Mgr
12/20/2012	General Improvement Ref Bonds	3.595	Piscataway Twp-New Jersey	Sole Mgr
12/20/2012	Home Funding Bonds	39.840	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
12/20/2012	GO Water Sys Ref Rev Bonds	6.715	Bethlehem Town-New York	Sole Mgr
12/20/2012	Sales Tax Revenue Bonds	474.935	Colorado Reg Transportation Dt	Lead Mgr
12/20/2012	Refunding Bonds	153.030	Delaware River Port Auth of PA NJ	Lead Mgr
12/20/2012	Multi-Family Conduit Rev Bonds	19.755	New Jersey Hsg & Mtg Fin Agency	Sole Mgr
12/20/2012	Multi-Family Housing Rev Bonds	3.770	NYC Housing Dev Corp	Co-Mgr
12/20/2012	Multi-Family Housing Rev Bonds	8.620	NYC Housing Dev Corp	Co-Mgr
12/20/2012	Multi-Family Housing Rev Bonds	53.215	NYC Housing Dev Corp	Co-Mgr
12/20/2012	Multi-Family Housing Rev Bonds	115.390	NYC Housing Dev Corp	Co-Mgr
12/20/2012	Water Revenue Refunding Bonds	56.060	North Miami Beach-Florida	Co-Mgr
12/20/2012	Utility System Revenue Bonds	20.770	Venice City-Florida	Lead Mgr
12/19/2012	General Obligation Bonds	7.245	Montgomery Co (Methacton) SD	Sole Mgr
12/19/2012	General Obligation Bonds	3.240	Dauphin Co (Derry) TSD	Sole Mgr
12/19/2012	General Obligation Bonds	4.525	Dauphin Co (Lower Dauphin) SD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/19/2012	Refunding School Bonds	5.030	Camden Co (Barrington Boro) BOE	Sole Mgr
12/19/2012	General Obligation Bonds	3.075	Northumberland Co (Mt Carmel) ASD	Sole Mgr
12/19/2012	Local Gov Infrastructure Bonds	21.755	Maryland Dept of Hsg & Comm Dev	Co-Mgr
12/19/2012	Revenue Bonds	35.275	New Hampshire Hlth & Ed Facs Auth	Sole Mgr
12/19/2012	General Obligation Bonds	16.000	Denver City and Co SD #1	Lead Mgr
12/19/2012	General Obligation Bonds	428.600	Denver City and Co SD #1	Lead Mgr
12/19/2012	Student Housing Ref Rev Bonds	21.545	Maryland Eco Dev Corp (MEDCO)	Sole Mgr
12/19/2012	Student Loan Backed Notes	239.515	New Hampshire Hgr Ed Loan Corp	Sole Mgr
12/18/2012	General Obligation Bonds	7.220	Schuylkill Co-Pennsylvania	Sole Mgr
12/18/2012	General Obligation Bonds	13.955	Schuylkill Co-Pennsylvania	Sole Mgr
12/18/2012	General Obligation Bonds	818.635	Texas Transportation Commission	Co-Mgr
12/18/2012	Electric Util Sys Rev Ref Bonds	107.715	Austin City-Texas	Co-Mgr
12/18/2012	Electric Util Sys Rev Ref Bonds	267.770	Austin City-Texas	Co-Mgr
12/18/2012	Federal Highway Grant Antic Bonds	327.935	Alabama Fed Aid Highway Fin Auth	Co-Mgr
12/18/2012	Limited Tax Refunding Bonds	201.450	Contra Costa Transportation Auth	Sole Mgr
12/17/2012	GO Alt Rev Source Refunding Bonds	9.445	Joliet Comm College Dt #525	Sole Mgr
12/15/2012	Ultd Tax School Building Bonds	13.945	Dallas Co (Grand Prairie) ISD	Co-Mgr
12/15/2012	GO Ltd Tax Refunding Bonds	14.160	Abilene City-Texas	Co-Mgr
12/14/2012	Tax Appeal Refunding Bonds	2.620	Atlantic City-New Jersey	Sole Mgr
12/13/2012	General Obligation Bonds	4.060	Derry Twp-Pennsylvania	Sole Mgr
12/13/2012	Unlimited Tax Refunding Bonds	31.660	Dallas Co Util & Reclamation Dt	Co-Mgr
12/13/2012	Single Family Mortgage Rev Bonds	106.440	Pennsylvania Housing Fin Agency	Co-Mgr
12/13/2012	Single Family Mortgage Rev Bonds	123.270	Pennsylvania Housing Fin Agency	Co-Mgr
12/13/2012	Single Family Housing Rev Bonds	3.000	Pinellas Co Housing Fin Auth	Lead Mgr
12/13/2012	Oblig Student Fee Rev Ref Bonds	87.980	University of Connecticut	Co-Mgr
12/13/2012	GO Transportation System Bonds	11.175	Matanuska-Susitna Boro-Alaska	Sole Mgr
12/13/2012	Revenue Bonds	65.025	Pennsylvania Hghr Ed Facs Auth	Sole Mgr
12/13/2012	Ref Certificates of Participation	13.000	Riverside Co (Desert Sands) USD	Sole Mgr
12/13/2012	General Obligation Bonds	74.000	Riverside Co (Desert Sands) USD	Sole Mgr
12/13/2012	Health Facilities Revenue Bonds	41.195	Iowa Finance Authority	Sole Mgr
12/13/2012	Wtr&Swr Sys 2nd Gen Res Rev Bonds	440.510	NYC Municipal Water Fin Auth	Co-Mgr
12/13/2012	Multi-Family Housing Rev Bonds	17.000	Indianapolis City-Indiana	Sole Mgr
12/12/2012	General Obligation Bonds	6.810	Bethlehem Twp-Pennsylvania	Sole Mgr
12/12/2012	General Obligation Bonds	5.180	Elk Co (St Marys) ASD	Sole Mgr
12/12/2012	Revenue Bonds	146.030	NYS Dorm Authority	Lead Mgr
12/12/2012	General Obligation Bonds	237.004	Long Beach Comm College Dt	Lead Mgr
12/12/2012	GO Refunding Bonds	13.510	Copper Mountain Comm Coll Dt	Sole Mgr
12/12/2012	GO Refunding Bonds	5.655	North Branford Town-Connecticut	Sole Mgr
12/11/2012	Aviation Revenue Refunding Bonds	106.845	Miami-Dade Co-Florida	Co-Mgr
12/11/2012	Aviation Revenue Refunding Bonds	669.670	Miami-Dade Co-Florida	Co-Mgr
12/11/2012	Water and Sewer Revenue Ref Bonds	15.930	St Augustine City-Florida	Sole Mgr
12/06/2012	Ltd Tax General Obligation Bonds	9.800	Mifflin Co SD	Sole Mgr
12/06/2012	Limited Obligation Revenue Bonds	21.030	California Statewide CDA (CSCDA)	Sole Mgr
12/06/2012	Sewer System Revenue Obligations	128.795	Pima Co-Arizona	Sole Mgr
12/06/2012	General Receipts Bonds	82.600	University of Cincinnati	Sole Mgr
12/06/2012	Certificates of Participation	195.965	Colorado	Lead Mgr
12/05/2012	Multi-Family Housing Dev Bonds	34.975	Montgomery Co Hsg Opportunities Comm	Co-Mgr
12/05/2012	GO Refunding Bonds	14.285	West Deptford Twp-New Jersey	Co-Mgr
12/04/2012	School Refunding Bonds	3.815	Hillsdale Board of Education	Sole Mgr
12/04/2012	General Obligation Bonds	26.000	Hawaii	Co-Mgr
12/04/2012	GO & Refunding Bonds	840.990	Hawaii	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/03/2012	General Obligation Bonds	8.770	Montgomery Co (Methacton) SD	Sole Mgr
12/01/2012	Unlimited Tax Refunding Bonds	6.000	Burney Road MUD	Lead Mgr
12/01/2012	Unlimited Tax Refunding Bonds	6.180	Cinco Southwest MUD #2	Sole Mgr
12/01/2012	Unlimited Tax Refunding Bonds	9.865	Harris Co MUD #196	Lead Mgr
12/01/2012	Wtr &Swr Comb Tax & Rev Ref Bonds	6.170	Mayde Creek MUD	Lead Mgr
12/01/2012	Unlimited Tax Refunding Bonds	8.380	Montgomery Co MUD #83	Lead Mgr
12/01/2012	Unlimited Tax Refunding Bonds	9.610	Fort Bend Co MUD #23	Lead Mgr
12/01/2012	Unlimited Tax Refunding Bonds	4.525	West Harris Co MUD #7	Lead Mgr
12/01/2012	Limited Tax Refunding Bonds	2.930	Caldwell Co (Lockhart) ISD	Sole Mgr
12/01/2012	GO Refunding Bonds	2.535	Crowley City-Texas	Sole Mgr
12/01/2012	Unlimited Tax Refunding Bonds	8.475	Fort Bend Co MUD #146	Lead Mgr
12/01/2012	Refunding Revenue Bonds	200.670	Lower Colorado River Authority (LCRA)	Co-Mgr
12/01/2012	Refunding Revenue Bonds	287.460	Lower Colorado River Authority (LCRA)	Co-Mgr
12/01/2012	Unlimited tax Refunding Bonds	8.640	Bell Co (Temple) ISD	Co-Mgr
11/30/2012	General Obligation Bonds	8.240	York Co (Red Lion) ASD	Sole Mgr
11/30/2012	Turnpike Revenue Bonds	70.060	Pennsylvania Turnpike Commission	Co-Mgr
11/29/2012	Education Fac Revenue Bonds	5.300	Phoenix Industrial Dev Auth	Sole Mgr
11/29/2012	GO Refunding Bonds	24.935	Trenton City-New Jersey	Co-Mgr
11/29/2012	GO Refunding & Improvement Bonds	45.975	Larimer Co (Poudre) SD #R-1	Sole Mgr
11/28/2012	Homeownership Finance Bonds	75.000	Minnesota Housing Fin Agency	Lead Mgr
11/28/2012	Income Tax Secured Rev & Ref Bond	775.770	District of Columbia	Co-Mgr
11/28/2012	Water & Sewer Revenue Bonds	28.190	Davie Town-Florida	Lead Mgr
11/27/2012	Limited Tax GO Bonds	9.995	Delaware Co (William Penn) SD	Sole Mgr
11/27/2012	General Obligation Bonds	10.000	Delaware Co (Chichester) SD	Sole Mgr
11/27/2012	Gen Imp & Open Space Ref Bonds	6.299	Hillsborough Twp-New Jersey	Sole Mgr
11/27/2012	Senior Student Hsg Ref Rev Bonds	32.145	Maryland Eco Dev Corp (MEDCO)	Sole Mgr
11/27/2012	Various Purpose Ltd Tax Ref Bonds	13.425	Columbus City-Ohio	Lead Mgr
11/27/2012	Various Purpose Ltd Tax Ref Bonds	29.600	Columbus City-Ohio	Lead Mgr
11/21/2012	State Gen Fun Appropriation Ref	54.665	Minnesota	Co-Mgr
11/21/2012	State Gen Fund Appropriation Ref	601.555	Minnesota	Co-Mgr
11/20/2012	Subordinate Airport Revenue Bonds	39.770	Minneapolis-St Paul Metro Airports Comm	Co-Mgr
11/20/2012	Subordinate Airport Rev Ref Bonds	42.015	Minneapolis-St Paul Metro Airports Comm	Co-Mgr
11/20/2012	General Obligation Bonds	35.000	San Mateo-Foster City SD	Co-Mgr
11/20/2012	General Obligation Bonds	37.280	Berks Co-Pennsylvania	Lead Mgr
11/16/2012	Certificates of Participation	29.230	Jackson Co-Mississippi	Sole Mgr
11/15/2012	General Revenue Bonds	220.150	Nebraska Public Power Dt	Co-Mgr
11/15/2012	Homeownership Program Bonds	14.355	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
11/15/2012	Homeownership Program Bonds	83.270	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
11/15/2012	State Revolving Fund Rev Bonds	33.465	NYS Environmental Facs Corp	Co-Mgr
11/15/2012	State Revolving Funds Rev Bonds	98.640	NYS Environmental Facs Corp	Co-Mgr
11/15/2012	Multi-Family Dev Revenue Bonds	4.300	Maryland Dept of Hsg & Comm Dev	Co-Mgr
11/15/2012	General Revenue Bonds	126.230	Triborough Bridge & Tunnel Auth	Co-Mgr
11/15/2012	**Special Assessment Ref Bonds**	**15.130**	**Port St Lucie City-Florida**	**Sole Mgr**
11/15/2012	Unlimited Tax Refunding Bonds	390.235	Dallas ISD	Co-Mgr
11/15/2012	Unlimited Tax School Bldg Bonds	8.900	Dallas Co (Grand Prairie) ISD	Co-Mgr
11/15/2012	Transportation Revenue Bonds	110.220	Metropolitan Transport Auth (MTA)	Co-Mgr
11/15/2012	Transportation Revenue Bonds	350.000	Metropolitan Transport Auth (MTA)	Co-Mgr
11/15/2012	Multi-Modal Sub Gen Rev Ref Bonds	60.300	Massachusetts Water Resources Au	Sole Mgr
11/15/2012	Wtrwrks & Swr Sys Rev Ref Bonds	7.250	Benbrook Water Authority	Lead Mgr
11/15/2012	Util Sys Jr Lien Rev & Ref Bonds	155.660	Corpus Christi City-Texas	Co-Mgr
11/14/2012	Sales Tax Revenue Refunding Bonds	41.030	St Johns Co-Florida	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
11/14/2012	Special Tax Refunding Bonds	52.070	Ernest N Morial Exhibition Hall Auth	Co-Mgr
11/14/2012	General Obligation Bonds	9.200	Lancaster Co (Penn Manor) SD	Sole Mgr
11/13/2012	General Obligation Bonds	5.850	Allegheny Co (West Allegheny) SD	Sole Mgr
11/13/2012	School Refunding Bonds	8.485	Springfield Twp Board of Educ	Sole Mgr
11/13/2012	Hsg Rev Bonds	45.000	NYS Housing Fin-Mortgage Agcy	Sole Mgr
11/08/2012	General Obligation Bonds	9.785	Northampton Co (Wilson) ASD	Sole Mgr
11/08/2012	Sub Special Oblig Refunding Bonds	489.990	Miami-Dade Co-Florida	Co-Mgr
11/08/2012	Hospital Revenue Refunding Bonds	232.125	Highlands Co Health Facs Auth	Sole Mgr
11/07/2012	Multi-Family Hsg Ref Rev Bonds	42.740	Missouri Housing Dev Commission	Co-Mgr
11/07/2012	Housing Revenue Bonds	4.700	Maryland Dept of Hsg & Comm Dev	Co-Mgr
11/01/2012	Unlimited Tax Refunding Bonds	3.660	Cinco MUD #5	Lead Mgr
11/01/2012	Refunding School Bonds	5.940	Somerset (Bridgewater-Raritan) RSD	Sole Mgr
11/01/2012	School Refunding Bonds	8.710	Bergen Co (Pascack Vlly) RHSD BOE	Sole Mgr
11/01/2012	School Refunding Bonds	25.440	Passaic Co (Wayne Twp) BOE	Sole Mgr
11/01/2012	Unlimited Tax Refunding Bonds	6.470	Rankin Road West MUD	Lead Mgr
11/01/2012	Water & Sewer Ref & Imp Rev Bonds	12.200	Melbourne City-Florida	Sole Mgr
11/01/2012	Revenue Refunding Bonds	29.435	Pennsylvania Hghr Ed Facs Auth	Lead Mgr
11/01/2012	Unlimited Tax Refunding Bonds	3.130	Chambers Co MUD #1	Lead Mgr
11/01/2012	Housing Revenue Bonds	40.863	Illinois Housing Dev Authority	Sole Mgr
11/01/2012	Healthcare Refunding Rev Bonds	27.015	Hamilton Co-Ohio	Sole Mgr
11/01/2012	Combined Fee Rev Building Bonds	74.790	Austin Community College Dt	Lead Mgr
11/01/2012	Unlimited Tax Refunding Bonds	15.233	Kaufman Co (Crandall) ISD	Lead Mgr
10/31/2012	GO Limited Tax Bonds	10.000	York Co (York Suburban) SD	Sole Mgr
10/31/2012	GO Refunding Bonds	214.495	Dallas City-Texas	Co-Mgr
10/31/2012	School Dt Rev Fin Prog Rev Bonds	369.105	NYS Dorm Authority	Lead Mgr
10/31/2012	Univ Enterprise Ref & Rev Bonds	142.870	Univ of Colorado Bd of Regents	Lead Mgr
10/31/2012	General Obligation Bonds	10.000	Cumberland Co (Big Spring) SD	Sole Mgr
10/31/2012	Multi-Family Housing Rev Bonds	8.000	Illinois Housing Dev Authority	Sole Mgr
10/30/2012	Ltd Tax General Obligation Bonds	9.995	Delaware Co (Radnor) TSD	Sole Mgr
10/30/2012	Sr Dedicated Sales Tax Ref Bonds	916.350	Massachusetts School Bldg Auth	Co-Mgr
10/30/2012	General Obligation BANs	14.997	Los Angeles Co (Charter Oak) USD	Sole Mgr
10/30/2012	Revenue Bonds	17.240	Onondaga Civic Dev Corp	Sole Mgr
10/30/2012	Lease Revenue Refunding Bonds	20.750	California St Public Works Board	Co-Mgr
10/30/2012	Lease Revenue Bonds	55.735	California St Public Works Board	Co-Mgr
10/30/2012	Lease Revenue Refunding Bonds	65.485	California St Public Works Board	Co-Mgr
10/30/2012	Lease Revenue Bonds	457.195	California St Public Works Board	Co-Mgr
10/26/2012	Revenue Bonds	22.150	NYS Dorm Authority	Sole Mgr
10/25/2012	Lease Revenue Bonds	17.170	Pennsylvania St Pub Sch Bldg Auth	Sole Mgr
10/25/2012	Single Family Housing Rev Bonds	12.545	Montgomery Co Hsg Opportunities Comm	Co-Mgr
10/25/2012	Dedicated Tax Fund Ref Bonds	959.466	Metropolitan Transport Auth (MTA)	Co-Mgr
10/24/2012	Water Revenue Bonds	44.410	Cleveland City-Ohio	Co-Mgr
10/24/2012	Water Revenue Bonds	76.710	Cleveland City-Ohio	Co-Mgr
10/24/2012	Bridge System Revenue Ref Bonds	20.665	Delaware River Jt Toll Bridge Comm	Co-Mgr
10/24/2012	Bridge System Revenue Ref Bonds	77.145	Delaware River Jt Toll Bridge Comm	Co-Mgr
10/24/2012	School Imp Ref &Energy Cons Bonds	8.580	Champaign Co (Graham) LSD	Sole Mgr
10/23/2012	Toll Bridge Revenue Bonds	907.525	Bay Area Toll Authority (BATA)	Co-Mgr
10/23/2012	General Obligation Bonds	1,125.000	New York City-New York	Co-Mgr
10/22/2012	General Obligation Bonds	6.530	Kennett Square-Pennsylvania	Sole Mgr
10/19/2012	Senior Mortgage Revenue Bonds	30.000	Massachusetts Dev Finance Agcy	Sole Mgr
10/18/2012	Ltd Tax GO Bonds	3.500	Lackawanna Co (Lakeland) SD	Sole Mgr
10/18/2012	Unemploy Compensation Rev Bonds	1,096.970	Pennsylvania Econ Dev Fin Auth	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
10/18/2012	Unemploy Compensation Rev Bonds	1,430.435	Pennsylvania Econ Dev Fin Auth	Co-Mgr
10/18/2012	GO Refunding Bonds	14.470	Compton Community College Dt	Lead Mgr
10/18/2012	Refunding Bonds	3.360	Silsbee-Texas	Sole Mgr
10/18/2012	General Obligation Bonds	21.190	Alaska Municipal Bond Bank	Sole Mgr
10/18/2012	State Personal Inc Tax Rev Bonds	60.605	NYS Dorm Authority	Co-Mgr
10/18/2012	State Personal Inc Tax Rev Bonds	817.055	NYS Dorm Authority	Co-Mgr
10/18/2012	Student Loan Backed Notes	518.700	Utah State Board of Regents	Sole Mgr
10/17/2012	Revenue Bonds	9.380	Minnesota Higher Ed Facs Auth	Sole Mgr
10/17/2012	Airport System Revenue Bonds	30.285	Denver City and Co-Colorado	Co-Mgr
10/17/2012	Airport System Revenue Bonds	315.780	Denver City and Co-Colorado	Co-Mgr
10/17/2012	Airport System Revenue Bonds	510.140	Denver City and Co-Colorado	Co-Mgr
10/16/2012	Unlimited Tax Refunding Bonds	4.340	Tarrant Co (Keller) ISD	Sole Mgr
10/16/2012	Housing Finance Program Bonds	14.510	North Dakota Housing Fin Agency	Lead Mgr
10/16/2012	Housing Finance Program Bonds	50.000	North Dakota Housing Fin Agency	Lead Mgr
10/15/2012	School Refunding Bonds	7.045	Bergen Co (Woodcliff Lake Boro) BOE	Sole Mgr
10/15/2012	Unlimited Tax Refunding Bonds	2.510	Camp Co (Pittsburg) ISD	Sole Mgr
10/15/2012	GO Refunding Bonds	5.450	Taylor City-Texas	Co-Mgr
10/11/2012	Lease Revenue Bonds	2.385	Newark Cultural Ed Facs Fin Corp	Sole Mgr
10/11/2012	Lease Revenue Bonds	20.680	Newark Cultural Ed Facs Fin Corp	Sole Mgr
10/11/2012	Lease Revenue Refunding Bonds	18.225	Camden Co Improvement Auth	Sole Mgr
10/11/2012	GO & GO Refunding Bonds	167.160	Allegheny Co-Pennsylvania	Co-Mgr
10/10/2012	Electric Sys Revenue Bonds	499.370	Omaha Public Power Dt (OPPD)	Co-Mgr
10/10/2012	Consolidated Bonds	2,000.000	Port Authority of NY & NJ	Lead Mgr
10/09/2012	Water Revenue Bonds	32.655	North Penn Water Authority	Sole Mgr
10/09/2012	Ltd Tax General Obligation Bonds	9.065	Lancaster Co (Eastern Lancaster) SD	Sole Mgr
10/04/2012	Sales Tax Revenue Bonds	111.085	San Fran Bay Area Rapid Trans Dt	Lead Mgr
10/04/2012	Sales Tax Revenue Bonds	130.475	San Fran Bay Area Rapid Trans Dt	Lead Mgr
10/04/2012	General Obligation Bonds	46.075	Connecticut	Co-Mgr
10/04/2012	General Obligation Bonds	48.565	Connecticut	Co-Mgr
10/04/2012	General Obligation Bonds	59.490	Connecticut	Co-Mgr
10/04/2012	General Obligation Bonds	60.745	Connecticut	Co-Mgr
10/04/2012	GO Refunding Bonds	62.270	Connecticut	Co-Mgr
10/04/2012	General Obligation Bonds	65.735	Connecticut	Co-Mgr
10/04/2012	General Obligation Bonds	219.390	Connecticut	Co-Mgr
10/04/2012	Various Purpose GO & Ref Bonds	1,776.240	California	Lead Mgr
10/03/2012	Refunding School Bonds	8.620	Metuchen Borough BOE	Sole Mgr
10/03/2012	GO Pub Improvement Ref Bonds	3.090	Saratoga Co-New York	Sole Mgr
10/03/2012	Public Improvement Bonds	19.790	Huntington Town-New York	Sole Mgr
10/03/2012	School Facs Con Refunding Bonds	24.365	New Jersey Economic Dev Auth	Lead Mgr
10/03/2012	School Facs Construction Bonds	136.880	New Jersey Economic Dev Auth	Lead Mgr
10/03/2012	School Facs Construction Notes	238.120	New Jersey Economic Dev Auth	Lead Mgr
10/03/2012	Revenue Bonds	200.000	Pennsylvania Hghr Ed Facs Auth	Co-Mgr
10/01/2012	General Obligation Bonds	9.385	Northampton Co-Pennsylvania	Co-Mgr
10/01/2012	General Obligation Bonds	60.745	Northampton Co-Pennsylvania	Co-Mgr
10/01/2012	Unlimited Tax Refunding Bonds	27.805	Collin Co (Plano) ISD	Co-Mgr
10/01/2012	Utility Sys Rev & Refunding Bonds	23.940	New Braunfels City-Texas	Co-Mgr
10/01/2012	Improvement & Ref Lease Rev Bonds	550.374	San Antonio Public Facilities Corp	Co-Mgr
10/01/2012	GO Refunding Bonds	20.710	El Paso City-Texas	Lead Mgr
10/01/2012	Comb Tax & Rev Cert of Obligation	58.730	El Paso City-Texas	Lead Mgr
10/01/2012	Water Revenue Refunding Bonds	98.960	Tarrant Regional Water Dt	Co-Mgr
10/01/2012	Revenue Refunding Bonds	5.785	North Texas Municipal Water Dt	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
09/28/2012	Limited Tax GO Obligations	9.735	York Co (South Eastern) SD	Sole Mgr
09/28/2012	Transportation Rev Ref Bonds	1,268.445	Metropolitan Transport Auth (MTA)	Co-Mgr
09/27/2012	Revenue Bonds	10.000	Montgomery Co Intermediate Unit #23	Sole Mgr
09/27/2012	Refunding School Bonds	8.495	Bergen Co (Maywood Boro) BOE	Sole Mgr
09/27/2012	Charter School Revenue Bonds	.285	New Jersey Economic Dev Auth	Sole Mgr
09/27/2012	Charter School Revenue Bonds	17.770	New Jersey Economic Dev Auth	Sole Mgr
09/26/2012	Refunding School Bonds	21.075	Morris Co (Madison) BOE SD	Sole Mgr
09/26/2012	School Improvement GO Bonds	25.035	Hamilton Co (Wyoming) SD	Sole Mgr
09/26/2012	Student Loan Program Rev Bonds	66.800	Minnesota Office of Higher Education	Sole Mgr
09/26/2012	Student Loan Program Rev Bonds	308.200	Minnesota Office of Higher Education	Sole Mgr
09/25/2012	General Obligation Bonds	7.270	Dauphin Co (Susquehanna) TSD	Sole Mgr
09/25/2012	GO Various Purp Imp & Ref Bonds	6.810	Lima City-Ohio	Sole Mgr
09/20/2012	Utility Sys Ref & Imp Rev Bonds	54.450	Daytona Beach City-Florida	Co-Mgr
09/20/2012	Turnpike Revenue Bonds	804.435	New Jersey Turnpike Authority	Co-Mgr
09/20/2012	GO Refunding Bonds	3.990	El Paso Co (Widefield) SD #3	Sole Mgr
09/19/2012	Guaranteed Sewer Revenue Bonds	7.250	Jackson Twp Authority	Co-Mgr
09/19/2012	Refunding School Bonds	7.140	Union Co (Garwood Boro) SD BOE	Sole Mgr
09/19/2012	School District Ref Serial Bonds	8.540	Otsego Co (Otego-Unadilla) CSD	Sole Mgr
09/19/2012	Utility System Revenue Ref Bonds	43.040	West Palm Beach City-Florida	Co-Mgr
09/18/2012	General Obligation Bonds	5.945	Middle Smithfield Twp-Pennsylvania	Sole Mgr
09/18/2012	Single Family Mortgage Prog Bonds	27.765	New Mexico Mortgage Fin Auth	Lead Mgr
09/18/2012	Single Family Mortgage Prog Bonds	32.135	New Mexico Mortgage Fin Auth	Lead Mgr
09/17/2012	General Obligation Bonds	9.045	Beaver Falls City-Pennsylvania	Sole Mgr
09/15/2012	Ultd Tax School Building Bonds	57.460	Lubbock ISD	Co-Mgr
09/15/2012	Rev Financing Sys Rev Ref Bonds	4.710	Texas Public Finance Auth (TPFA)	Co-Mgr
09/15/2012	Rev Financing Sys Rev Ref Bonds	5.415	Texas Public Finance Auth (TPFA)	Co-Mgr
09/15/2012	Pass-Through Toll Rev & Tax Bonds	34.910	Bowie Co-Texas	Co-Mgr
09/13/2012	Refunding School Bonds	3.785	Cumberland RSD	Sole Mgr
09/13/2012	General Obligation & Ref Bonds	128.345	West Valley-Mission Comm Coll Dt	Lead Mgr
09/13/2012	General Obligation BANs	19.996	Los Angeles Co (Newhall) SD	Sole Mgr
09/12/2012	General Obligation & Ref Bonds	59.150	Chaffey Community College Dt	Lead Mgr
09/12/2012	Multi-Family Housing Dev Bonds	18.190	Montgomery Co Hsg Opportunities Comm	Co-Mgr
09/12/2012	Multi-Family Housing Dev Bonds	24.230	Montgomery Co Hsg Opportunities Comm	Co-Mgr
09/12/2012	Transit Excise Tax Rev Ref Oblig	41.390	Tempe City-Arizona	Sole Mgr
09/12/2012	General Obligation Bonds	15.305	Chaffey Community College Dt	Lead Mgr
09/12/2012	Education Loan Rev Ref Bonds	53.120	Alaska Student Loan Corp	Sole Mgr
09/12/2012	Education Loan Rev Ref Bonds	93.435	Alaska Student Loan Corp	Sole Mgr
09/11/2012	General Obligation & Ref Bonds	222.568	El Camino Community College Dt	Lead Mgr
09/10/2012	General Obligation Bonds	9.995	Montgomery (Upper Merion) ASD	Sole Mgr
09/10/2012	GO Refunding Bonds	30.930	West Deptford Twp-New Jersey	Lead Mgr
09/10/2012	Special Obligation Rev Bonds	2.305	Miamisburg City-Ohio	Sole Mgr
09/06/2012	Sewer Revenue Refunding Bonds	4.195	Pequannock River Basin Reg Swr Au	Sole Mgr
09/06/2012	Ltd Tax General Obligation Bonds	9.210	Delaware Co (Ridley) SD	Sole Mgr
09/06/2012	Refunding Serial Bonds	29.495	Orange Co-New York	Sole Mgr
09/06/2012	Revenue Bonds	36.110	Cumberland Co Muni Auth	Lead Mgr
09/06/2012	State Personal Inc Tax Rev Bonds	523.065	NYS Thruway Authority	Co-Mgr
09/06/2012	Water & Sewer Revenue Bonds	17.175	Oakland Park City-Florida	Sole Mgr
09/05/2012	Central Valley Proj Water Sys Rev	105.875	California Dept of Wtr Resources	Lead Mgr
09/01/2012	Limited Tax Refunding Bonds	2.075	Lamar Co-Texas	Sole Mgr
09/01/2012	GO Refunding & Improvement Bonds	9.210	Commerce City-Texas	Co-Mgr
09/01/2012	Unlimited Tax Refunding Bonds	5.100	Fort Bend Co MUD #130	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
09/01/2012	Tax & Wtr & Swr Sys Cert of Oblig	9.090	Canyon City-Texas	Lead Mgr
09/01/2012	Toll Road Sr Lien Rev Ref Bonds	98.010	Harris Co-Texas	Co-Mgr
09/01/2012	Toll Road Sr Lien Rev Ref Bonds	252.845	Harris Co-Texas	Co-Mgr
08/31/2012	Power Park Sys Ref Rev Bonds	3.455	Jacksonville Electric Authority	Lead Mgr
08/31/2012	Power Park Sys Ref Rev Bonds	81.680	Jacksonville Electric Authority	Lead Mgr
08/30/2012	Electric System Revenue Bonds	140.640	Jacksonville Electric Authority	Co-Mgr
08/30/2012	State Revolving Fund Prog Bonds	139.255	Indiana Finance Authority	Co-Mgr
08/30/2012	Revenue Refunding Bonds	7.000	Stony Brook Reg Sewerage Auth	Sole Mgr
08/30/2012	Housing Revenue Bonds	5.505	Maryland Dept of Hsg & Comm Dev	Lead Mgr
08/30/2012	Gen Resolution Ref Bonds	13.240	Schenectady Metroplex Dev Auth	Sole Mgr
08/30/2012	Sales & Use Tax Revenue Bonds	6.650	Roaring Fork Transportation Auth	Sole Mgr
08/29/2012	General Obligation Bonds	9.470	Montgomery Co (Springfield) TSD	Sole Mgr
08/29/2012	Hospital Revenue Refunding Bonds	276.965	Kansas Development Fin Auth	Co-Mgr
08/29/2012	General Obligation Bonds	20.235	Lancaster Co-Pennsylvania	Sole Mgr
08/28/2012	General Obligation Bonds	9.995	Dauphin Co (Susquehanna) TSD	Sole Mgr
08/28/2012	General Obligation Bonds	3.030	West Earl Township- Pennsylvania	Sole Mgr
08/28/2012	Guaranteed Sewer Revenue Bonds	4.560	Mount Joy Borough Auth	Sole Mgr
08/28/2012	Homeownership Finance Bonds	50.000	Minnesota Housing Fin Agency	Lead Mgr
08/28/2012	General Obligation Bonds	4.155	Schuylkill Co (Pottsville) ASD	Sole Mgr
08/28/2012	Refunding Revenue Bonds	10.040	Aspen Valley Hospital Dt	Sole Mgr
08/28/2012	Future Tax Secured Sub Bonds	1,050.000	NYC Transitional Finance Auth	Co-Mgr
08/23/2012	General Obligation Bonds	8.895	Montgomery Co (Upper Dublin) SD	Sole Mgr
08/23/2012	General Obligation Bonds	31.730	Bucks Co (Pennsbury) SD	Sole Mgr
08/23/2012	Refunding School Pension Bonds	2.955	Saddle Brook Twp BOE	Sole Mgr
08/23/2012	Refunding School Bonds	3.530	Saddle Brook Twp BOE	Sole Mgr
08/23/2012	Electric System Sub Rev Bonds	109.605	Jacksonville Electric Authority	Co-Mgr
08/23/2012	General Revenue Refunding Bonds	1,236.898	Triborough Bridge & Tunnel Auth	Co-Mgr
08/23/2012	Residential Revenue Bonds	44.450	Maryland Dept of Hsg & Comm Dev	Co-Mgr
08/23/2012	Student Loan Backed Notes	600.000	North Carolina St Ed Assist Auth	Lead Mgr
08/22/2012	Sales&Mtr Vehicle ExciseTax Bonds	313.710	Central Puget Sound Reg Tran Au	Co-Mgr
08/22/2012	General Receipts Bonds	23.170	Ohio State University	Co-Mgr
08/22/2012	General Receipts Bonds	91.165	Ohio State University	Co-Mgr
08/22/2012	Pension Refunding Bonds	.955	Lakewood Twp-New Jersey	Sole Mgr
08/22/2012	GO Improvement Refunding Bonds	7.705	Lakewood Twp-New Jersey	Sole Mgr
08/21/2012	General Obligation Notes	7.575	Adams Co (Upper Adams) SD	Sole Mgr
08/21/2012	GO Public Improvement Ref Bonds	28.695	Islip Town-New York	Sole Mgr
08/21/2012	General Receipts Bonds	152.835	Cleveland State University	Lead Mgr
08/21/2012	Hospital Revenue Bonds	98.205	Michigan Finance Authority	Lead Mgr
08/20/2012	Transportation Rev Ref Bonds	1,263.365	Metropolitan Transport Auth (MTA)	Co-Mgr
08/20/2012	General Obligation Bonds	9.670	Chester Co (Owen J Roberts) SD	Sole Mgr
08/16/2012	Sr Dedicated Sales Tax Ref Bonds	766.140	Massachusetts School Bldg Auth	Co-Mgr
08/16/2012	Water & Sewer Sys Sub & Rev Bonds	178.365	Jacksonville Electric Authority	Co-Mgr
08/16/2012	Ltd Tax GO Various Purp Ref Bonds	2.280	Symmes Twp-Ohio	Sole Mgr
08/15/2012	School Refunding Bonds	8.960	Cresskill Boro-New Jersey	Sole Mgr
08/15/2012	Unlimited Tax Sch Building Bonds	3.600	Gaines Co (Loop) ISD	Sole Mgr
08/15/2012	**Utility System Ref Revenue Bonds**	**21.375**	**Port St Lucie City-Florida**	**Sole Mgr**
08/15/2012	Refunding Bonds	5.120	Lawrence Twp-New Jersey	Sole Mgr
08/15/2012	Univ Enterprise Ref Rev Bonds	53.000	Univ of Colorado Bd of Regents	Co-Mgr
08/15/2012	Comb Tax Rev & Cert of Oblig Bond	4.810	Alvarado-Texas	Co-Mgr
08/15/2012	GO Refunding Bonds	40.960	Long Beach Comm College Dt	Co-Mgr
08/15/2012	Unlimited Tax School Bldg Bonds	14.115	Wichita Co (Iowa Park) CISD	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
08/15/2012	Unltd Tax School Bldg & Ref Bonds	53.975	Collin Co (McKinney) ISD	Co-Mgr
08/14/2012	School Imrpovement Bonds	7.570	Maricopa Co (Pendergast) ESD #92	Sole Mgr
08/14/2012	GO State & Loc Facs Loan Bonds	26.340	Maryland	Co-Mgr
08/14/2012	Midwest Disaster Area Rev Bonds	250.000	Iowa Finance Authority	Co-Mgr
08/09/2012	Revenue & Refunding Bonds	277.315	Lincoln City-Nebraska	Co-Mgr
08/09/2012	GO School Dt Ref Serial Bonds	11.815	Westchester Co (Mamaroneck) UFSD	Sole Mgr
08/09/2012	GO Refunding Bonds	9.605	Keenesburg SD #RE-3J	Sole Mgr
08/09/2012	Water System Revenue Bonds	92.715	Los Angeles Dept of Wtr & Power	Co-Mgr
08/09/2012	GO Refunding Bonds	9.605	Weld Co SD #RE-3J	Sole Mgr
08/09/2012	Limited Project Revenue Bonds	100.420	Regents of the Univ of California	Co-Mgr
08/09/2012	Limited Project Revenue Bonds	899.275	Regents of the Univ of California	Co-Mgr
08/08/2012	Rental Housing Refunding Bonds	47.785	Pennsylvania Housing Fin Agency	Co-Mgr
08/07/2012	Revenue Bonds	121.475	Jacksonville Electric Authority	Co-Mgr
08/07/2012	Auxiliary Revenue Refunding Bonds	41.615	Louisiana St Univ & Agri & Mech Coll	Co-Mgr
08/07/2012	Refunding Bonds	2.060	Muskingum Co (Franklin) LSD	Sole Mgr
08/02/2012	Single Family Homeownership Bonds	20.065	Montana Board of Housing	Lead Mgr
08/02/2012	Single Family Homeownership Bonds	36.215	Montana Board of Housing	Lead Mgr
08/02/2012	Motor Vehicle Fuel Tax GO Bonds	31.510	Washington	Co-Mgr
08/01/2012	Unltd Tax School Building Bonds	27.530	Rusk Co (Tatum) ISD	Lead Mgr
08/01/2012	Unlimited Tax Refunding Bonds	30.260	Harris Co (Pasadena) ISD	Co-Mgr
08/01/2012	Unlimited Tax Refunding Bonds	2.930	Spring Meadows MUD	Lead Mgr
08/01/2012	Certificates of Participation	15.500	Douglas Co SD RE#1	Sole Mgr
08/01/2012	Unlimited Tax Refunding Bonds	8.285	Harris Co MUD #5	Lead Mgr
08/01/2012	Unlimited Tax Refunding Bonds	6.385	Harris Co MUD#284	Lead Mgr
08/01/2012	GO Refunding Bonds	43.900	Mississippi	Co-Mgr
08/01/2012	GO Refunding Bonds	57.120	Mississippi	Co-Mgr
08/01/2012	Unlimited Tax Refunding Bonds	9.505	Northwest Harris Co MUD #16	Lead Mgr
08/01/2012	Refunding Second Senior Rev Bonds	106.160	Oklahoma Turnpike Authority	Sole Mgr
08/01/2012	Unlimited Tax Refunding Bonds	9.175	Galveston ISD	Co-Mgr
08/01/2012	Unlimited Tax School Bldg Bonds	9.040	McMullen Co ISD	Co-Mgr
08/01/2012	Comb Util 1st Lien Rev Ref Bonds	249.075	Houston City-Texas	Sole Mgr
08/01/2012	Unltd Tax School Building Bonds	14.105	Live Oak Co (Three Rivers) ISD	Lead Mgr
08/01/2012	General Purpose Ref & Imp Bonds	135.485	Fort Worth City-Texas	Co-Mgr
07/31/2012	General Improvement Ref Bonds	4.955	Lavallette Boro-New Jersey	Sole Mgr
07/31/2012	Revenue Bonds	356.465	Monroeville Finance Authority	Lead Mgr
07/31/2012	Senior Lien Revenue Bonds	241.950	Virginia Small Business Fin Auth	Co-Mgr
07/30/2012	Ltd Tax General Obligation Bonds	9.250	Delaware (Rose Tree Media) SD	Sole Mgr
07/30/2012	Pension Obligation Bonds	212.540	Oakland City-California	Lead Mgr
07/26/2012	General Obligation Bonds	3.410	Dauphin Co (Steelton-Highspire) SD	Sole Mgr
07/26/2012	Refunding Bonds	3.745	Montvale Borough-New Jersey	Sole Mgr
07/26/2012	Housing Revenue Bonds	9.340	Maryland Dept of Hsg & Comm Dev	Co-Mgr
07/26/2012	Student Housing Revenue Bonds	14.170	Maryland Eco Dev Corp (MEDCO)	Sole Mgr
07/26/2012	Senior Student Housing Rev Bonds	15.590	Maryland Eco Dev Corp (MEDCO)	Sole Mgr
07/25/2012	Gen Imp & Wtr&Swr Util Ref Bonds	10.210	Newton Town-New Jersey	Sole Mgr
07/25/2012	GO & Refunding Bonds	18.205	El Mirage City-Arizona	Sole Mgr
07/25/2012	Ref Certificates of Participation	16.010	Los Angeles Co Sch Business Svcs	Lead Mgr
07/25/2012	School Improvement Ref Bonds	7.075	Scioto Co (Wheelersburg) LSD	Sole Mgr
07/24/2012	School Improvement Bonds	38.000	Maricopa Co (Phoenix) UHSD #210	Lead Mgr
07/24/2012	Revenue Bonds	15.960	Illinois Finance Authority	Sole Mgr
07/20/2012	Transportation Revenue Bonds	650.000	Metropolitan Transport Auth (MTA)	Co-Mgr
07/19/2012	General Obligation Bonds	6.725	Allegheny Co (West Allegheny) SD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
07/19/2012	Mortgage Purchase Bonds	5.955	Maine State Housing Authority	Co-Mgr
07/19/2012	Mortgage Purchase Bonds	18.930	Maine State Housing Authority	Co-Mgr
07/19/2012	Homeownership Program Bonds	27.285	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
07/19/2012	Homeownership Program Bonds	105.825	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
07/19/2012	Multi-Family Bonds	10.500	Colorado Hsg & Fin Au (CHFA)	Sole Mgr
07/19/2012	Home Ownership Rev Ref Bonds	121.670	North Carolina Housing Fin Agcy	Co-Mgr
07/19/2012	Gen Imp & Swim Pool Util Ref Bond	2.760	Westfield Town-New Jersey	Sole Mgr
07/19/2012	Building Aid Revenue Bonds	850.000	NYC Transitional Finance Auth	Co-Mgr
07/16/2012	General Obligation Bonds	13.010	Lackawanna Co (North Pocono) SD	Sole Mgr
07/16/2012	Electric Sys Gen Revenue Bonds	502.000	Long Island Power Authority	Co-Mgr
07/15/2012	GO Refunding Bonds	6.810	Cooke Co-Texas	Co-Mgr
07/15/2012	GO Refunding Bonds	13.585	Kaufman Co-Texas	Lead Mgr
07/15/2012	Unlimited Tax School Bldg Bonds	24.645	Hill Co (Whitney) ISD	Lead Mgr
07/15/2012	Unlimited Tax Refunding Bonds	9.060	Yoakum Co (Denver City) ISD	Sole Mgr
07/15/2012	Unlimited Tax Refunding Bonds	17.998	Dallas Co (Lancaster) ISD	Co-Mgr
07/15/2012	Ultd Tax Schools Bldg & Ref Bonds	70.461	Collin Co (Allen) ISD	Lead Mgr
07/12/2012	GO Public Improvement Ref Bonds	17.110	Rensselaer Co-New York	Sole Mgr
07/12/2012	St Clean & Drink Wtr Rev Bonds	316.790	NYS Environmental Facs Corp	Co-Mgr
07/11/2012	GO School District Ref Bonds	2.620	Clinton Co (No Adirondack) CSD	Sole Mgr
07/11/2012	Wastewater Facs Rev Ref Bonds	3.165	Sussex Co Muni Utilities Auth	Sole Mgr
07/11/2012	General Revenue Bonds	1,122.560	NYS Thruway Authority	Co-Mgr
07/11/2012	Lease Revenue Bonds	3.020	Riverside Co Asset Leasing Corp	Co-Mgr
07/11/2012	Lease Revenue Bonds	87.510	Riverside Co Asset Leasing Corp	Co-Mgr
07/11/2012	Revenue Bonds	63.795	San Francisco Muni Transport Agy	Co-Mgr
07/10/2012	Refunding School Bonds	2.805	Stanhope Boro BOE	Sole Mgr
07/10/2012	Aux & Campus Facs Sys Rev Bonds	46.235	Utah State Board of Regents	Lead Mgr
07/05/2012	Certificates of Participation	29.420	Miami-Dade Co School Board	Co-Mgr
07/05/2012	Certificates of Participation	58.780	Miami-Dade Co School Board	Co-Mgr
07/05/2012	Certificates of Participation	90.585	Miami-Dade Co School Board	Co-Mgr
07/05/2012	Airport Bonds	4.200	Lincoln Airport Authority	Sole Mgr
07/03/2012	Ed Tech GO Lease Pur Argt & Note	1.000	Taos Co (Questa) ISD #9	Sole Mgr
07/03/2012	General Obligation Bonds	9.105	Montgomery Co (Upper Moreland) TSD	Sole Mgr
07/03/2012	Refunding School Pension Bonds	3.790	Wall Twp BOE	Sole Mgr
07/03/2012	Homeownership Opportunity Bonds	25.260	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
07/03/2012	Homeownership Opportunity Bonds	60.355	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
07/03/2012	General Obligation Bonds	125.000	Los Angeles Co (Pasadena) USD	Sole Mgr
07/02/2012	PFC Refunding Revenue Bonds	64.360	Clark Co-Nevada	Co-Mgr
07/02/2012	General Obligation Notes	11.625	Lampeter-Strasburg SD	Sole Mgr
07/01/2012	Wtrwrks&Swr Sys Comb & Rev Ref	2.235	Brazoria Co MUD #2	Lead Mgr
07/01/2012	Unlimited Tax Refunding Bonds	5.315	Brazoria Co MUD #3	Lead Mgr
07/01/2012	Unlimited Tax Refunding Bonds	4.905	Cinco MUD #8	Lead Mgr
07/01/2012	Unlimited Tax Refunding Bonds	3.965	Harris Co MUD #371	Lead Mgr
07/01/2012	Wtrwrks&Swr Sys Unltd Tax Rev Ref	5.885	Harris Co MUD #53	Lead Mgr
07/01/2012	Unlimited Tax Refunding Bonds	5.770	Bissonnet MUD	Lead Mgr
07/01/2012	Unlimited Tax Refunding Bonds	5.140	Willow Fork Drainage Dt	Lead Mgr
07/01/2012	Unlimited Tax Refunding Bonds	2.125	Harris Co MUD #230	Lead Mgr
07/01/2012	Permanent University Fund Bonds	70.680	Texas A&M University System	Co-Mgr
07/01/2012	Permanent University Fund Bonds	125.750	Texas A&M University System	Co-Mgr
07/01/2012	School Building Bonds	54.900	Bell Co (Belton) ISD	Co-Mgr
07/01/2012	Unlimited Tax School Bldg Bonds	11.500	Gaines Co (Seagraves) ISD	Lead Mgr
07/01/2012	Unltd Tax School Building Bonds	3.715	Dawson Co (Klondike) CISD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
07/01/2012	Wtr & Wstwtr Sys Rev Ref Bonds	336.820	Austin City-Texas	Co-Mgr
07/01/2012	School Building Bonds	11.700	Mitchell Co (Loraine) ISD	Lead Mgr
07/01/2012	School Building & Refunding Bonds	180.610	Bexar Co (North East) ISD	Lead Mgr
07/01/2012	Comb Tax Rev Crt Ob&Gen Imp Bonds	167.940	San Antonio City-Texas	Co-Mgr
06/29/2012	Lease Revenue Bonds	9.995	Lancaster Co Career & Tech Ctr Auth	Sole Mgr
06/29/2012	Revenue Bonds	20.540	American Municipal Power Inc	Co-Mgr
06/29/2012	Revenue Bonds	525.545	American Municipal Power Inc	Co-Mgr
06/29/2012	GO Refunding Bonds	4.500	Connecticut	Co-Mgr
06/29/2012	GO Refunding Bonds	9.745	Connecticut	Co-Mgr
06/29/2012	GO Refunding Bonds	10.110	Connecticut	Co-Mgr
06/29/2012	GO Refunding Bonds	22.315	Connecticut	Co-Mgr
06/29/2012	GO Refunding Bonds	24.570	Connecticut	Co-Mgr
06/29/2012	GO Refunding Bonds	72.205	Connecticut	Co-Mgr
06/29/2012	GO Refunding Bonds	105.640	Connecticut	Co-Mgr
06/29/2012	GO Refunding Bonds	274.160	Connecticut	Co-Mgr
06/29/2012	Charter School Revenue Bonds	.420	Cobb Co Development Auth	Sole Mgr
06/29/2012	Charter School Revenue Bonds	15.340	Cobb Co Development Auth	Sole Mgr
06/28/2012	School Building & Refunding Bonds	91.585	Denton Co (Northwest) ISD	Lead Mgr
06/28/2012	Transportation Revenue Bonds	116.400	Wisconsin	Co-Mgr
06/28/2012	Gen Imp & Special Svs Voc Sch Ref	18.160	Bergen Co-New Jersey	Lead Mgr
06/28/2012	General Obligation Bonds	9.125	Berks Co (Boyertown) ASD	Sole Mgr
06/28/2012	Health System Revenue Bonds	93.755	Lancaster Co Hospital Auth	Co-Mgr
06/28/2012	GO Refunding Bonds	12.590	Albany City-New York	Sole Mgr
06/28/2012	General Obligation Bonds	10.000	Chester Co (Oxford) ASD	Sole Mgr
06/28/2012	GO Refunding Bonds	3.395	Hammonton-New Jersey	Sole Mgr
06/28/2012	Revenue Refunding Bonds	26.695	Geneva Development Corp	Sole Mgr
06/28/2012	Revenue Bonds	160.065	Berks Co Municipal Authority	Co-Mgr
06/28/2012	State Personal Inc Tax Rev Bonds	1,814.925	NYS Dorm Authority	Co-Mgr
06/28/2012	GO Various Prupose Ref Bonds	4.085	Miami Twp-Ohio	Sole Mgr
06/28/2012	Unemployment Comp Spec Rev Bnds	84.790	Colorado Hsg & Fin Au (CHFA)	Co-Sr Mgr
06/28/2012	Unemployment Comp Spec Rev Bnds	540.015	Colorado Hsg & Fin Au (CHFA)	Co-Sr Mgr
06/28/2012	Wtr & Swr 2nd Gen Res Rev Bonds	661.745	NYC Municipal Water Fin Auth	Co-Mgr
06/28/2012	GO Limited Tax Ref & Imp Bonds	9.735	Sand Creek Metropolitan Dt	Sole Mgr
06/28/2012	Revenue Bonds	36.680	Portage Co Port Authority	Sole Mgr
06/28/2012	Variable Rate Revenue Bonds	91.775	Berks Co Municipal Authority	Co-Mgr
06/28/2012	Revenue Bonds	174.200	Berks Co Municipal Authority	Sole Mgr
06/27/2012	Excise Tax & Rev Ref Oblig Bonds	30.500	Tempe City-Arizona	Sole Mgr
06/27/2012	GO Qualified School Con Bonds	7.350	Norfolk City-Virginia	Co-Mgr
06/27/2012	GO Capital Improvement Bonds	114.270	Norfolk City-Virginia	Co-Mgr
06/27/2012	GO Ltd Tax Various Purp Ref Bonds	1.415	Loveland City-Ohio	Sole Mgr
06/27/2012	Water System Revenue Obligations	31.555	Tucson City-Arizona	Co-Mgr
06/26/2012	General Obligation Bonds	16.415	Chester Co (Great Valley) SD	Sole Mgr
06/26/2012	Ltd Tax General Obligation Bonds	7.750	Lancaster SD	Sole Mgr
06/26/2012	Sr Lien Hway User Tax Ref Bonds	70.850	Oregon Dept of Transportation	Co-Mgr
06/26/2012	Sr Lien Hway User Tax Ref Bonds	129.980	Oregon Dept of Transportation	Co-Mgr
06/26/2012	Refunding School Bonds	6.510	Ocean Co (Southern) RHSD BOE	Sole Mgr
06/26/2012	Healthcare Facilities Rev Bonds	324.085	Hamilton Co-Ohio	Lead Mgr
06/25/2012	General Obligation Bonds	9.500	Dauphin Co (Lower Dauphin) SD	Sole Mgr
06/25/2012	General Obligation Bonds	22.025	Berks Co (Wilson) SD	Sole Mgr
06/22/2012	Water Revenue Bonds	5.190	Whitehall Twp Auth	Sole Mgr
06/21/2012	State Revolving Funds Rev Bonds	14.440	NYS Environmental Facs Corp	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
06/21/2012	State Revolving Funds Rev Bonds	88.950	NYS Environmental Facs Corp	Co-Mgr
06/21/2012	Street & Hway User Rev Ref Bonds	16.945	Gilbert Town-Arizona	Co-Mgr
06/21/2012	Water & Wastewater Revenue Bonds	35.630	California Statewide CDA (CSCDA)	Sole Mgr
06/21/2012	General Obligation Bonds	25.960	Lancaster Co (Conestoga Vlly) SD	Sole Mgr
06/21/2012	Sub Lien Excise Rev Ref Bonds	32.715	Phoenix City Civic Imp Corp	Lead Mgr
06/21/2012	Sub Excise Lien Rev Ref Bonds	33.095	Phoenix City Civic Imp Corp	Lead Mgr
06/21/2012	GO & GO Refunding Bonds	19.770	Tempe City-Arizona	Sole Mgr
06/21/2012	GO Refunding Bonds	29.850	Mount San Antonio Comm College Dt	Lead Mgr
06/21/2012	Govt Facs Revenue Refunding Bonds	2.605	Puerto Rico Pub Bldgs Authority	Co-Mgr
06/21/2012	Govt Facs Revenue Refunding Bonds	579.740	Puerto Rico Pub Bldgs Authority	Co-Mgr
06/21/2012	Senior Secured Bonds	1,100.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/21/2012	Senior Secured Bonds	200.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/20/2012	Subordinate Lien Water Rev Bonds	85.000	Marin Municipal Water Dt	Lead Mgr
06/20/2012	General Obligation Bonds	13.960	Bucks Co (Palisades) SD	Sole Mgr
06/20/2012	GO Refunding Bonds	6.170	Clifton City-New Jersey	Sole Mgr
06/20/2012	Infrastructure Revenue Ref Bonds	22.395	Brentwood Infrastructure Fin Au	Sole Mgr
06/19/2012	Single Family Mortgage Prog Bonds	40.000	New Mexico Mortgage Fin Auth	Lead Mgr
06/19/2012	GO Refunding Bonds	7.290	El Paso Co (Cheyenne Mtn) SD #12	Sole Mgr
06/19/2012	Certificates of Participation	26.250	Hamilton Co (Cincinnati City) SD	Lead Mgr
06/19/2012	Future Tax Secured Sub Bonds	800.000	NYC Transitional Finance Auth	Co-Mgr
06/18/2012	Turnpike Revenue Bonds	141.255	New Jersey Turnpike Authority	Co-Mgr
06/18/2012	Unlimirted Tax Refunding Bonds	25.943	Williamson Co (Leander) ISD	Lead Mgr
06/15/2012	General Obligation Bonds	3.140	West Lampeter Twp-Pennsylvania	Sole Mgr
06/15/2012	Muni Drainage Util Sys Rev Bonds	14.075	El Paso City-Texas	Co-Mgr
06/15/2012	General Obligation Bonds	3.680	Prosper Town-Texas	Co-Mgr
06/15/2012	Comb Tax & Rev Certs of Oblig	5.755	Prosper Town-Texas	Co-Mgr
06/14/2012	GO Refunding Bonds	28.450	Yavapai Co Community College Dt	Sole Mgr
06/14/2012	General Obligation Bonds	3.035	Upper Darby Twp-Pennsylvania	Sole Mgr
06/14/2012	General Improvement Ref Bonds	8.095	Elizabeth City-New Jersey	Sole Mgr
06/14/2012	School Refunding Bonds	26.065	Florence Twp Board of Education	Lead Mgr
06/14/2012	General Obligation Bonds	9.620	Schuylkill Co (Pine Grove) ASD	Sole Mgr
06/14/2012	Sch Dt Rev Bond Fin Prog Bonds	224.875	NYS Dorm Authority	Co-Mgr
06/14/2012	GO Refunding Bonds	22.190	San Bernardino Co (Colton) JUSD	Lead Mgr
06/14/2012	Student Loan Revenue Bonds	12.685	Maine Educational Loan Authority	Sole Mgr
06/14/2012	Housing Revenue Bonds	16.670	Wisconsin Hsg & Econ Dev Auth	Sole Mgr
06/13/2012	State Revolving Fund & Ref Bonds	254.540	Massachusetts Wtr Poll Abate Trust	Co-Mgr
06/13/2012	GO & GO Refunding Bonds	76.225	Pima Co-Arizona	Sole Mgr
06/13/2012	GO School Imp Refunding Bonds	20.975	Mahoning Co (Austintown) LSD	Sole Mgr
06/12/2012	Ref Certificates of Participation	160.190	Los Angeles USD	Lead Mgr
06/12/2012	GO Refunding Bonds	38.505	Cabrillo Comm College Dt	Sole Mgr
06/12/2012	GO Sch District Refunding Bonds	10.825	Westchester Co (Scarsdale) UFSD	Sole Mgr
06/12/2012	Various Purpose GO Bonds	16.640	Phoenix City-Arizona	Co-Mgr
06/12/2012	Various Purpose GO Bonds	103.360	Phoenix City-Arizona	Co-Mgr
06/12/2012	GO Refunding Bonds	176.465	Phoenix City-Arizona	Co-Mgr
06/11/2012	GO Refunding Bonds	2.380	Brackenridge Borough-Pennsylvania	Sole Mgr
06/08/2012	General Obligation Bonds	6.875	Newberry Twp-Pennsylvania	Sole Mgr
06/08/2012	Water & Sewer Revenue Ref Bonds	17.900	Dunedin City-Florida	Co-Mgr
06/07/2012	GO Imp & Open Space Ref Bonds	6.525	Montville Twp-New Jersey	Sole Mgr
06/07/2012	Turnpike Refunding Revenue Bonds	35.735	Kansas Turnpike Authority	Co-Mgr
06/07/2012	Revenue Bonds	32.670	Central Minnesota Municipal Power Agcy	Co-Mgr
06/07/2012	Unltd Tax Various Purp GO Bonds	10.000	Cincinnati City-Ohio	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
06/07/2012	Unltd Tax Various Purp GO Ref	13.165	Cincinnati City-Ohio	Co-Mgr
06/07/2012	Economic Dev Rev Ref Bonds	13.950	Cincinnati City-Ohio	Co-Mgr
06/07/2012	Unltd Tax Various Purp GO Bonds	46.155	Cincinnati City-Ohio	Co-Mgr
06/07/2012	Revenue Bonds	62.915	New Hampshire Hlth & Ed Facs Auth	Sole Mgr
06/07/2012	General Obligation Bonds	949.685	New York City-New York	Co-Mgr
06/06/2012	Refunding School Bonds	17.335	Warren Co (Warren Hills) Reg SD BOE	Sole Mgr
06/06/2012	Homeownership Opportunity Bonds	25.000	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
06/06/2012	Homeownership Opportunity Bonds	37.900	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
06/06/2012	Water System Revenue Bonds	276.765	Los Angeles Dept of Wtr & Power	Lead Mgr
06/05/2012	Ltd Tax General Obligation Bonds	8.875	York Co (Spring Grove) ASD	Sole Mgr
06/05/2012	Senior Revenue Refunding Bonds	176.740	Arizona Tourism & Sports Auth	Sole Mgr
06/04/2012	General Obligation Bonds	9.995	Montgomery Co (Methacton) SD	Sole Mgr
06/04/2012	Ltd Tax General Obligation Bonds	29.155	Berks Co (Muhlenberg) SD	Sole Mgr
06/01/2012	Unlimited Tax Refunding Bonds	9.365	Northwest Harris MUD #30	Sole Mgr
06/01/2012	Unlimited Tax Refunding Bonds	5.740	Fort Bend Co MUD #106	Lead Mgr
06/01/2012	Unlimited Tax Refunding Bonds	9.605	Harris Co MUD #304	Lead Mgr
06/01/2012	Unlimited Tax Refunding Bonds	6.205	Brazoria Co MUD #17	Lead Mgr
06/01/2012	Unlimited Tax Refunding Bonds	7.880	Fort Bend Co MUD #42	Lead Mgr
06/01/2012	Unlimited Tax Refunding Bonds	6.110	Harris Co MUD #166	Lead Mgr
06/01/2012	Unlimited Tax Refunding Bonds	4.850	Clay Road MUD	Lead Mgr
06/01/2012	GO Imp Ref Bonds & Certs of Oblig	37.500	College Station City-Texas	Co-Mgr
06/01/2012	Pass Through Toll Rev & Tax Bonds	36.680	Titus Co-Texas	Lead Mgr
06/01/2012	Pass Through Toll Rev & Tax Bonds	44.345	Titus Co-Texas	Lead Mgr
06/01/2012	Unlimited Tax Refunding Bonds	3.020	Castlewood MUD	Lead Mgr
06/01/2012	Utility System Revenue Ref Bonds	9.220	Trinity Bay Conservation Dt	Lead Mgr
06/01/2012	Comb Tax & Rev Certs of Oblig	10.750	Brownwood City-Texas	Co-Mgr
06/01/2012	Revenue Refunding Bonds	23.680	Monroe Co Industrial Dev Corp	Sole Mgr
06/01/2012	Electric & Gas Sys Rev Ref Bonds	655.370	San Antonio City-Texas	Co-Mgr
06/01/2012	Certificates of Obligation	20.000	Walker Co-Texas	Lead Mgr
06/01/2012	Unlimited Tax Levee Imp Ref Bonds	8.625	Fort Bend Co Levee Imp Dt #11	Co-Mgr
06/01/2012	Water & Sewer System Rev Bonds	8.415	Garland City-Texas	Co-Mgr
06/01/2012	Unlimited Tax Refunding Bonds	3.924	Kaufman Co (Forney) ISD	Lead Mgr
06/01/2012	Contract Revenue Bonds	25.425	Schertz-Seguin Local Gov Corp	Co-Mgr
06/01/2012	Unlimited Tax Refunding Bonds	17.515	Hidalgo Co (Edinburg) CISD	Co-Mgr
05/31/2012	Mortgage Purchase Bonds	36.800	Maine State Housing Authority	Co-Mgr
05/31/2012	Mortgage Purchase Bonds	87.070	Maine State Housing Authority	Co-Mgr
05/31/2012	Refunding School Bonds	6.175	Mount Olive Twp BOE	Sole Mgr
05/31/2012	St Clean & Drink Wtr Rev Bonds	496.145	NYS Environmental Facs Corp	Co-Mgr
05/30/2012	Water Financial Assist Ref Bonds	15.725	Texas Water Development Board	Lead Mgr
05/30/2012	Water Financial Assist Ref Bonds	22.215	Texas Water Development Board	Lead Mgr
05/30/2012	Revenue Refunding Bonds	3.725	Lower Twp Muni Utility Auth	Sole Mgr
05/30/2012	Refunding School Bonds	9.955	Madison Borough BOE	Sole Mgr
05/30/2012	Gross Receipts Tax Ref Rev Bonds	3.005	Lincoln Co-New Mexico	Sole Mgr
05/30/2012	GO & Refunding Bonds	286.875	Chicago City-Illinois	Co-Mgr
05/30/2012	GO & Refunding Bonds	307.975	Chicago City-Illinois	Co-Mgr
05/30/2012	GO Refunding Bonds	7.805	Norfolk City-Virginia	Co-Mgr
05/30/2012	GO Refunding Bonds	69.030	Norfolk City-Virginia	Co-Mgr
05/24/2012	School Refunding Bonds	5.790	Essex Co (Cedar Grove) SD BOE	Sole Mgr
05/24/2012	Limited Tax GO Bonds	9.945	Montgomery Co (Upper Dublin) SD	Sole Mgr
05/24/2012	GO & Refunding Bonds	52.795	Alaska Municipal Bond Bank	Sole Mgr
05/24/2012	General Obligation Bonds	21.420	Snyder Co (Midd-West) SD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
05/23/2012	Refunding School Bonds	23.540	North Brunswick Twp BOE	Sole Mgr
05/23/2012	Public Imp Refunding Bonds	11.090	White Plains City-New York	Sole Mgr
05/23/2012	School District Refunding Bonds	6.105	Ocean Co (Barnegat) TSD BOE	Sole Mgr
05/22/2012	General Obligation Bonds	3.190	Susquehanna Co (Forest City) RSD	Sole Mgr
05/22/2012	Refunding School Bonds	9.700	Somerset Co (Bernards) TSD BOE	Lead Mgr
05/22/2012	Higher Ed Facility Revenue Bonds	31.105	Ohio Higher Ed Fac Commission	Lead Mgr
05/21/2012	Revenue Bonds	18.865	Pennsylvania Hghr Ed Facs Auth	Sole Mgr
05/18/2012	General Obligation Bonds	2.565	Berks Co (Antietam) SD	Sole Mgr
05/17/2012	Refunding School Bonds	41.460	Middlesex Co (Woodbridge) TBOE	Sole Mgr
05/17/2012	Revenue Refunding Bonds	16.255	Camden Co Improvement Auth	Sole Mgr
05/17/2012	Ref Certificates of Participation	56.095	Colorado	Co-Mgr
05/17/2012	Transportation Imp Rev Ref Bonds	25.050	St Johns Co-Florida	Lead Mgr
05/17/2012	Revenue Bonds	13.420	Dutchess Co Local Dev Corp	Sole Mgr
05/17/2012	Local Gov Infrastructure Bonds	13.970	Maryland Dept of Hsg & Comm Dev	Co-Mgr
05/17/2012	Wastewater Sys Revenue Ref Bonds	16.550	Harrison City-Ohio	Sole Mgr
05/17/2012	Rental Housing Bonds	8.965	Minnesota Housing Fin Agency	Sole Mgr
05/16/2012	Unlimited Tax Refunding Bonds	2.710	Tarrant Co (Keller) ISD	Sole Mgr
05/16/2012	Refunding School Bonds	2.025	Ocean Co (Point Pleasant) SD BOE	Sole Mgr
05/16/2012	GO Refunding Bonds	5.775	Dennis Town-Massachusetts	Sole Mgr
05/16/2012	Income Tax Secured Rev Ref Bonds	56.000	District of Columbia	Co-Mgr
05/16/2012	Income Tax Secured Rev Ref Bonds	258.110	District of Columbia	Co-Mgr
05/15/2012	General Obligation Bonds	8.960	Wallingford-Swarthmore SD	Sole Mgr
05/15/2012	Unlimited Tax Refunding Bonds	22.465	Denton Co (Lewisville) ISD	Co-Mgr
05/15/2012	Unltd Tax School Bldg & Ref Bonds	100.105	Denton Co (Lewisville) ISD	Co-Mgr
05/15/2012	General Obligation Bonds	10.000	Berks Co (Reading) SD	Sole Mgr
05/15/2012	Senior Lien Toll Road Rev Bonds	30.775	Fort Bend Co-Texas	Lead Mgr
05/15/2012	School Building & Refunding Bonds	89.845	Collin Co (Richardson) ISD	Lead Mgr
05/15/2012	Wtrwrks & Swr Sys Rev Ref & Imp	5.925	Lewisville City-Texas	Co-Mgr
05/15/2012	GO Refunding & Improvement Bonds	25.750	Lewisville City-Texas	Co-Mgr
05/15/2012	Consolidated Fund Rev & Ref Bonds	21.370	Navarro Co College Dt	Co-Mgr
05/15/2012	Unltd Tax School Bldg & Ref Bonds	54.965	Dallas (Carrollton-Farmers Branch) ISD	Co-Mgr
05/10/2012	General Obligation Bonds	4.480	Lehigh Co (Whitehall-Coplay) SD	Sole Mgr
05/10/2012	General Improvement Ref Bonds	3.145	North Bergen Twp-New Jersey	Sole Mgr
05/10/2012	Water Revenue Bonds	9.995	Northampton Borough Muni Auth	Sole Mgr
05/09/2012	Multi-Family Housing Dev Bonds	24.935	Montgomery Co Hsg Opportunities Comm	Co-Mgr
05/09/2012	Ltd Tax Oblig Bldg Imp Ref Bonds	4.255	Douglas Co (Westside) CSD #066	Sole Mgr
05/08/2012	General Obligation Bonds	39.705	Lancaster Co (Hempfield) SD	Sole Mgr
05/03/2012	Certificates of Participation	149.015	Lee Co School Board	Co-Mgr
05/03/2012	Subordinated Water Rev Ref Bonds	188.610	San Diego Public Facs Fin Auth	Co-Mgr
05/03/2012	Economic Dev Revenue Bonds	16.210	Knox Co-Indiana	Co-Mgr
05/03/2012	Economic Dev Rev & Ref Bonds	67.185	Knox Co-Indiana	Co-Mgr
05/03/2012	GO Refunding Bonds	8.400	El Paso Co (Colorado Springs) SD #11	Sole Mgr
05/03/2012	Transportation Revenue Bonds	727.430	Metropolitan Transport Auth (MTA)	Co-Mgr
05/03/2012	Revenue Bonds	37.195	Niagara Area Development Corp	Sole Mgr
05/03/2012	Demand Revenue Ref Bonds	4.705	Niagara Area Development Corp	Sole Mgr
05/03/2012	Transportation Revenue Ref Bonds	174.725	Metropolitan Transport Auth (MTA)	Co-Mgr
05/02/2012	Gas Project Revenue Bonds	608.675	Central Plains Energy Project	Co-Mgr
05/01/2012	General Obligation Bonds	9.995	York Co (York City) SD	Sole Mgr
05/01/2012	Unlimited Tax Refunding Bonds	7.755	Bilma Public Utility Dt	Lead Mgr
05/01/2012	GO School District Ref Bonds	7.470	Warren Co (Queensbury) UFSD	Sole Mgr
05/01/2012	Power Revenue & Refunding Bonds	650.000	Puerto Rico Electric Power Authority	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
05/01/2012	Unlimited Tax Refunding Bonds	6.095	Henderson Co (Mabank) ISD	Co-Mgr
05/01/2012	Hospital Revenue & Ref Bonds	149.700	Michigan Finance Authority	Sole Mgr
05/01/2012	Economic Dev Rev Ref Bonds	170.185	Pennsylvania Industrial Dev Auth	Co-Mgr
05/01/2012	Unlimited Tax Refunding Bonds	4.980	Grant Road Public Utility Dt	Lead Mgr
05/01/2012	Wtrwrks & Swr Sys Comb & Rev Ref	9.605	Kings Manor MUD	Lead Mgr
05/01/2012	Joint Revenue Ref & Imp Bonds	274.925	Dallas & Fort Worth Cities-Texas	Co-Mgr
05/01/2012	Unlimited Tax Refunding Bonds	3.520	Rolling Creek Utility Dt	Lead Mgr
05/01/2012	GO Refunding Bonds	11.695	Murphy City-Texas	Co-Mgr
05/01/2012	Multi-Family Housing Rev Notes	2.670	Chicago City-Illinois	Lead Mgr
05/01/2012	Multi-Family Housing Rev Bonds	6.122	Chicago City-Illinois	Lead Mgr
05/01/2012	Unlimited Tax Refunding Bonds	9.370	Bexar Co (Judson) ISD	Co-Mgr
05/01/2012	Unlimited Tax Refunding Bonds	15.240	Comal ISD	Lead Mgr
04/30/2012	Sewer Revenue Bonds	2.995	Red Lion Municipal Authority	Sole Mgr
04/26/2012	General Improvement Ref Bonds	3.645	Springfield Twp-New Jersey	Sole Mgr
04/26/2012	Residential Housing Finance Bonds	50.945	Minnesota Housing Fin Agency	Lead Mgr
04/26/2012	Residential Housing Finance Bonds	99.805	Minnesota Housing Fin Agency	Lead Mgr
04/26/2012	Public Improvement Ref Bonds	25.365	Essex Co-New York	Sole Mgr
04/26/2012	GO School Fac Con & Imp Bonds	16.100	Montgomery Co (Miamisburg) SD	Sole Mgr
04/26/2012	Revenue Bonds	21.640	Schenectady Co Capital Resource Corp	Sole Mgr
04/26/2012	Open Space Farmland Preserve Bond	281.140	Garden State Preservation Trust	Co-Mgr
04/25/2012	School District Refunding Bonds	62.175	Middlesex Co (Monroe) TBOE	Co-Mgr
04/24/2012	Refunding Revenue Bonds	14.775	Oakley Public Fin Auth	Sole Mgr
04/24/2012	School District Refunding Bonds	5.155	Albany Co (Guilderland) CSD	Sole Mgr
04/24/2012	Sewre Sys Mortgage Rev Ref Bonds	10.295	Springboro City-Ohio	Sole Mgr
04/24/2012	Various Purpose GO & Ref Bonds	1,343.805	California	Co-Mgr
04/24/2012	GO Refunding Bonds	31.340	Adams-Weld (Brighton) SD #27J	Lead Mgr
04/23/2012	General Obligation Bonds	9.800	Wallingford-Swarthmore SD	Sole Mgr
04/19/2012	General Obligation Bonds	9.990	Monroe Co (Stroudsburg) ASD	Sole Mgr
04/19/2012	Student Housing Revenue Bonds	44.155	Oregon Facilities Authority	Sole Mgr
04/19/2012	Lease Revenue Refunding Bonds	104.535	West Virginia Economic Dev Auth	Co-Mgr
04/19/2012	Future Tax Secured & Sub Bonds	800.000	NYC Transitional Finance Auth	Co-Mgr
04/18/2012	Gen Imp Ref & Wtr Util Ref Bonds	4.475	Hawthorne Boro-New Jersey	Sole Mgr
04/18/2012	Cigarette Tax Revenue Ref Bonds	1,041.745	New Jersey Economic Dev Auth	Co-Mgr
04/17/2012	Refunding School Bonds	12.130	Freehold Twp BOE	Sole Mgr
04/17/2012	Various Purp Ref Ltd Tax GO Bonds	3.740	Wyoming City-Ohio	Sole Mgr
04/15/2012	Tax Notes	9.730	Denton Co-Texas	Co-Mgr
04/15/2012	Permanent Imp & Ref Bonds	98.495	Denton Co-Texas	Co-Mgr
04/15/2012	Unlimited Tax Refunding Bonds	6.230	Dallas Co (Cedar Hill) ISD	Co-Mgr
04/15/2012	Unlimited Tax Refunding Bonds	18.718	Dallas Co (Cedar Hill) ISD	Co-Mgr
04/15/2012	GO Refunding Bonds	39.480	Nacogdoches City-Texas	Lead Mgr
04/15/2012	Waterworks & Sewer Sys Rev Bonds	4.300	Burleson City-Texas	Co-Mgr
04/15/2012	GO Refunding Bonds	5.040	Burleson City-Texas	Co-Mgr
04/15/2012	GO Refunding & Improvement Bonds	33.590	Denton City-Texas	Lead Mgr
04/15/2012	Certificates of Obligation	44.675	Denton City-Texas	Lead Mgr
04/15/2012	GO Refunding Bonds	26.839	Midland College Dt	Co-Mgr
04/15/2012	Revenue Refunding Bonds	9.615	North Texas Municipal Water Dt	Lead Mgr
04/15/2012	Contract Revenue Refunding Bonds	10.005	North Texas Municipal Water Dt	Co-Mgr
04/13/2012	General Obligation Bonds	55.900	Lancaster Co-Pennsylvania	Sole Mgr
04/12/2012	General Obligation Bonds	9.180	Steelton Borough-Pennsylvania	Sole Mgr
04/12/2012	General Obligation Bonds	9.870	Berks Co-Pennsylvania	Sole Mgr
04/12/2012	GO & Refunding Bonds	71.280	Hartford City-Connecticut	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
04/12/2012	GO Unlimited Tax Refunding Bonds	6.400	Montgomery Co (Oakwood) CSD	Sole Mgr
04/12/2012	Charter School Revenue Bonds	.355	New Jersey Economic Dev Auth	Sole Mgr
04/12/2012	Charter School Revenue Bonds	11.590	New Jersey Economic Dev Auth	Sole Mgr
04/11/2012	Revenue Bonds	51.860	Mississippi Med Ctr Ed Bldg Corp	Co-Mgr
04/11/2012	Revenue Bonds	53.390	Mississippi Med Ctr Ed Bldg Corp	Co-Mgr
04/10/2012	GO & Refunding Bonds	76.130	Allegheny Co (Pittsburgh) SD	Co-Mgr
04/10/2012	General Obligation Bonds	15.001	Compton Community College Dt	Lead Mgr
04/10/2012	GO Refunding Bonds	1.355	Arapahoe Co (Sheridan) SD #2	Sole Mgr
04/05/2012	Sub Lien Revenue Ref Bonds	217.135	Houston City-Texas	Co-Mgr
04/05/2012	Sub Lien Revenue Ref Bonds	286.585	Houston City-Texas	Co-Mgr
04/05/2012	Ref Certificates of Participation	13.290	Routt Co Public Bldg Auth	Sole Mgr
04/04/2012	Revenue Bonds	12.240	Connecticut Hlth & Ed Facs Auth	Sole Mgr
04/04/2012	General Obligation Bonds	82.826	Cerritos Community College Dt	Sole Mgr
04/03/2012	Ref Certificates of Participation	124.565	Hillsborough Co School Board	Co-Mgr
04/03/2012	General Obligation Bonds	1.750	Northumberland Co (Mt Carmel) ASD	Sole Mgr
04/03/2012	GO Public Improvement Ref Bonds	2,318.190	Puerto Rico	Co-Mgr
04/03/2012	Lease Revenue Bonds	932.995	California St Public Works Board	Co-Mgr
04/02/2012	General Obligation Bonds	10.000	Monroe Co (Stroudsburg) ASD	Sole Mgr
04/01/2012	Unlimited Tax Refunding Bonds	9.570	Clear Brook City MUD	Lead Mgr
04/01/2012	Unlimited Tax Refunding Bonds	7.880	Brazoria Co MUD #26	Lead Mgr
04/01/2012	Unlimited Tax Refunding Bonds	9.865	Harris Co MUD #105	Sole Mgr
04/01/2012	Unlimited Tax Refunding Bonds	4.025	Harris Co MUD #64	Sole Mgr
04/01/2012	Unlimited Tax Refunding Bonds	9.495	North Mission Glen MUD	Lead Mgr
04/01/2012	General Improvement Ref Bonds	33.410	San Antonio City-Texas	Co-Mgr
04/01/2012	GO Ref & Comb Tax & Ltd Pledge	6.745	Crowley City-Texas	Sole Mgr
04/01/2012	General Obligation Bonds	2.350	Joshua City-Texas	Sole Mgr
04/01/2012	GO Refunding Bonds	12.240	Lancaster City-Texas	Lead Mgr
03/30/2012	Water Revenue Bonds	2.805	Conemaugh Twp Municipal Auth	Sole Mgr
03/30/2012	General Obligation Bonds	10.000	Wallingford-Swarthmore SD	Sole Mgr
03/30/2012	General Obligation Bonds	33.590	Luzerne Co (Hazleton) ASD	Sole Mgr
03/30/2012	Revenue Refunding Bonds	15.250	Lafayette Yard Comm Dev Corp	Sole Mgr
03/29/2012	General Obligation Bonds	9.630	Muhlenberg Twp-Pennsylvania	Sole Mgr
03/29/2012	Homeowner Mortgage Rev Bonds	12.000	NYS Housing Fin-Mortgage Agcy	Co-Mgr
03/29/2012	Homeowner Mortgage Rev Bonds	19.940	NYS Housing Fin-Mortgage Agcy	Co-Mgr
03/29/2012	Homeowner Mortgage Rev Bonds	93.125	NYS Housing Fin-Mortgage Agcy	Co-Mgr
03/29/2012	GO Port Refunding Bonds	5.770	Matanuska-Susitna Boro-Alaska	Sole Mgr
03/29/2012	GO School & Sch Refunding Bonds	100.480	Matanuska-Susitna Boro-Alaska	Sole Mgr
03/28/2012	Refunding Bonds	3.410	Raritan Twp-New Jersey	Sole Mgr
03/28/2012	School District Refunding Bonds	13.525	Manchester Twp SD BOE	Sole Mgr
03/28/2012	Revenue Refunding Bonds	13.085	Connecticut Hghr Ed Supp Loan Auth	Sole Mgr
03/28/2012	GO Refunding Bonds	23.880	State Center Comm College Dt	Lead Mgr
03/28/2012	Dedicated Tax Funds	116.050	Metropolitan Transport Auth (MTA)	Co-Mgr
03/27/2012	General Obligation Bonds	8.705	Zelienople-Pennsylvania	Co-Mgr
03/27/2012	Sewer Revenue Refunding Bonds	5.930	Neptune Twp Sewerage Auth	Sole Mgr
03/27/2012	General Obligation Bonds	9.365	York Co (Hanover) PSD	Sole Mgr
03/27/2012	Hospital Facs Rev Ref Imp Bonds	122.000	Gallia Co-Ohio	Sole Mgr
03/26/2012	General Obligation Bonds	2.780	Archbald Borough-Pennsylvania	Sole Mgr
03/26/2012	GO Sch District Refunding Bonds	2.630	Montgomery Co (St Johnsville) CSD	Sole Mgr
03/22/2012	School District Refunding Bonds	5.385	Rensselaer Co (Lansingburgh) CSD	Sole Mgr
03/22/2012	GO Limited Tax Bonds	106.000	Nevada	Co-Mgr
03/22/2012	GO Refunding Bonds	279.755	San Diego Community College Dt	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
03/21/2012	School District Ref Serial Bonds	10.325	Albany Co (Guilderland) CSD	Sole Mgr
03/21/2012	Pledged Rev Refunding Oblig	12.530	Flagstaff City-Arizona	Sole Mgr
03/21/2012	School District Ref Serial Bonds	4.295	Franklin Co (Tupper Lake) CSD	Sole Mgr
03/20/2012	School District Refunding Bonds	16.650	Saratoga Co (Ballston Spa) CSD	Sole Mgr
03/20/2012	Revenue Refunding Cap Loan Notes	10.945	Des Moines Airport Authority	Sole Mgr
03/20/2012	GO School District Ref Ser Bonds	6.000	Rensselaer Co (Averill Park) CSD	Sole Mgr
03/20/2012	GO Refunding Bonds	9.860	Adams Co (Hastings) PSD #18	Sole Mgr
03/20/2012	School Refunding Bonds	8.900	Mount Laurel Twp SD BOE	Sole Mgr
03/20/2012	General Obligation Bonds	7.785	Lebanon Co (East Lebanon) SD	Sole Mgr
03/20/2012	GO State & Local Facilities Loan	56.085	Maryland	Co-Mgr
03/20/2012	GO Refunding Bonds	8.775	Aspen Fire Protection Dt	Sole Mgr
03/19/2012	General Obligation Bonds	8.190	Centre Co (Penns Valley) ASD	Sole Mgr
03/19/2012	Wtr&Swr Sys 2nd Gen Res Rev Bonds	522.505	NYC Municipal Water Fin Auth	Co-Mgr
03/16/2012	Refunding School Bonds	9.880	Long Hill Twp BOE SD	Sole Mgr
03/15/2012	Refunding School Bonds	8.415	Morris Co (Jefferson) TSD	Co-Mgr
03/15/2012	General Obligation Bonds	1.550	Carbon Co (Palmerton) ASD	Sole Mgr
03/15/2012	General Obligation Bonds	3.110	Dauphin Co (Derry) TSD	Sole Mgr
03/15/2012	GO School District Ref Bonds	10.060	Nassau Co (Farmingdale) UFSD	Sole Mgr
03/15/2012	GO Limited Tax Bonds	7.800	Lancaster Co (Manheim) TSD	Sole Mgr
03/15/2012	General Obligation Bonds	10.000	Delaware Co (Haverford) TSD	Sole Mgr
03/15/2012	General Improvement Ref Bonds	3.815	Mount Olive Twp-New Jersey	Sole Mgr
03/15/2012	Refunding School Bonds	5.665	Mercer Co (Hopewell Vlly) RSD BOE	Sole Mgr
03/15/2012	Refunding Bonds	3.655	Harris Co Fresh Wtr Supply Dt#51	Sole Mgr
03/15/2012	General Improvement Ref Bonds	9.965	Mahwah Twp-New Jersey	Sole Mgr
03/15/2012	Lease Revenue Refunding Bonds	9.495	California Comm College Fin Auth	Sole Mgr
03/15/2012	Schoolhouse & Refunding Bonds	171.185	Fort Bend Co (Lamar) CISD	Co-Mgr
03/15/2012	University Revenue Bonds	35.870	Allegheny Co Higher Ed Bldg Auth	Sole Mgr
03/15/2012	Local Hway & Bridge Svc Contract	235.480	NYS Thruway Authority	Co-Mgr
03/14/2012	Guaranteed Revenue Bonds	6.465	Wrightsville Borough Muni Auth	Sole Mgr
03/14/2012	Refunding School Bonds	9.175	Green Brook Twp Board of Educ	Sole Mgr
03/14/2012	General Obligation Bonds	9.151	Los Angeles Co (El Monte City) SD	Sole Mgr
03/14/2012	Utility Revenue Refunding Bonds	20.910	Panama City Beach-Florida	Sole Mgr
03/14/2012	GO Refunding Bonds	62.985	Rancho-Santiago Comm College Dt	Lead Mgr
03/13/2012	Central Valley Proj Water Sys Rev	36.370	California Dept of Wtr Resources	Lead Mgr
03/13/2012	GO Refunding Bonds	2.185	Douglas Co (Westside) CSD #066	Sole Mgr
03/13/2012	GO Refunding Bonds	8.660	Orange Co (Centralia) SD	Sole Mgr
03/08/2012	School Financing Refunding Bonds	282.230	Virginia Public School Authority	Co-Mgr
03/08/2012	General Obligation Bonds	1,030.615	New York City-New York	Co-Mgr
03/08/2012	Various Purpose GO Ref Bonds	1,904.595	California	Co-Mgr
03/08/2012	Capital Fac Lease-App Bonds	28.055	Ohio	Co-Mgr
03/08/2012	Capital Fac Lease-App Bonds	32.700	Ohio	Co-Mgr
03/07/2012	Refunding School Bonds	29.525	Middlesex Co (S Brunswick) TSD BOE	Sole Mgr
03/07/2012	Water Quality Revenue Ref Bonds	47.600	Arizona Water Infrastructure Fin Auth	Co-Mgr
03/07/2012	Water Quality Revenue Ref Bonds	164.610	Arizona Water Infrastructure Fin Auth	Co-Mgr
03/07/2012	Wtr Poll Ctrl Loan Fund Ref Rev	62.555	Ohio Water Development Authority	Lead Mgr
03/06/2012	Sub Lien System Rev Ref Bonds	35.215	University of New Mexico	Co-Mgr
03/06/2012	GO Refunding Bonds	7.840	Pitkin Co (Aspen) SD #1	Sole Mgr
03/05/2012	General Obligation Bonds	8.810	Lehigh Co (Parkland) SD	Sole Mgr
03/05/2012	General Receipts Bonds	89.040	University of Cincinnati	Sole Mgr
03/02/2012	Education Revenue Bonds	10.000	Pima Co Industrial Dev Auth	Sole Mgr
03/01/2012	Gross Receipts Wstwtr Sys Ref Rev	14.280	Santa Fe City-New Mexico	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
03/01/2012	Gross Receipts Tax Imp & Ref Rev	32.725	Santa Fe City-New Mexico	Co-Mgr
03/01/2012	Unlimited Tax Refunding Bonds	9.575	Fort Bend Co MUD #118	Lead Mgr
03/01/2012	Water Sys Rev Ref & Imp Bonds	150.375	Tarrant Regional Water Dt	Co-Mgr
03/01/2012	Unlimited Tax Refunding Bonds	9.700	Fort Bend Co MUD #30	Lead Mgr
03/01/2012	Unlimited Tax Refunding Bonds	8.255	Harris Co MUD #276	Sole Mgr
03/01/2012	Wtrwrks Swr Sys Rev Ref Bonds	7.350	Big Oaks MUD	Sole Mgr
03/01/2012	Unlimited Tax Refunding Bonds	7.575	Fort Bend Co MUD #117	Lead Mgr
03/01/2012	Unlimited Tax Refunding Bonds	3.690	Harris Co MUD #372	Sole Mgr
03/01/2012	Joint Revenue Refunding Bonds	433.770	Dallas & Fort Worth Cities-Texas	Co-Mgr
03/01/2012	Unlimited Tax Refunding Bonds	7.580	Harris Co MUD #127	Lead Mgr
03/01/2012	Unlimited Tax Refunding Bonds	7.760	Cinco MUD #14	Sole Mgr
03/01/2012	Unlimited Tax Refunding Bonds	5.020	Cypress Hill MUD # 1	Lead Mgr
03/01/2012	Unlimited Tax Refunding Bonds	8.995	Harris Co MUD #368	Lead Mgr
03/01/2012	System Enterprise Revenue Bonds	126.245	Colorado St Univ Sys Bd of Gov	Lead Mgr
03/01/2012	Residential Revenue Bonds	2.000	NYC Housing Dev Corp	Lead Mgr
03/01/2012	Residential Revenue Bonds	65.800	NYC Housing Dev Corp	Lead Mgr
03/01/2012	GO Refunding Bonds	8.420	Boulder Co (Estes Pk) SD # R-3	Sole Mgr
03/01/2012	Waterworks & Sewer Sys Rev Ref	8.300	Longview City-Texas	Lead Mgr
03/01/2012	Sales Tax Revenue Bonds	5.520	Orange Economic Dev Corporation	Co-Mgr
03/01/2012	Hotel Occ Tax Sub Lien Rev Ref Bo	20.185	Austin City-Texas	Co-Mgr
03/01/2012	Refunding Bonds	6.020	Harris Co MUD #249	Sole Mgr
03/01/2012	Revenue Bonds	83.510	Pell City Spec Care Facs Fin Au	Sole Mgr
03/01/2012	Pass-Through Toll Rev & Ref Bonds	40.910	Galveston Co-Texas	Co-Mgr
03/01/2012	Unlimited Tax Refunding Bonds	61.558	Kendall Co (Boerne) ISD	Co-Mgr
02/29/2012	Sewer Revenue Bonds	4.820	Suburban Lancaster Sewer Auth	Sole Mgr
02/29/2012	Revenue Bonds	1,800.450	Puerto Rico Aqueduct & Sewer Authority	Co-Mgr
02/28/2012	General Obligation Bonds	4.280	Mercer Co (Greenville) ASD	Sole Mgr
02/28/2012	General Obligation Bonds	9.390	Montgomery Co (Springfield) TSD	Sole Mgr
02/28/2012	School Refunding Bonds	8.000	Camden Co (Haddonfield) SD BOE	Sole Mgr
02/28/2012	General Revenue Bonds	212.400	Nebraska Public Power Dt	Co-Mgr
02/28/2012	Co-Guaranteed Rev Ref Bonds	9.130	Gloucester Co Improvement Auth	Sole Mgr
02/28/2012	General Obligation Bonds	114.495	Pittsburgh City-Pennsylvania	Co-Mgr
02/28/2012	GO Refunding Bonds	149.920	Arapahoe Co (Aurora) JSD #28J	Sole Mgr
02/28/2012	GO Refunding Bonds	71.095	Douglas Co SD RE#1	Sole Mgr
02/27/2012	Utility System Revenue Bonds	45.895	Boynton Beach City-Florida	Sole Mgr
02/24/2012	Education Revenue Bonds	10.000	Phoenix Industrial Dev Auth	Sole Mgr
02/23/2012	Water & Sewer Sys Rev & Sub Bonds	354.875	Jacksonville Electric Authority	Co-Mgr
02/23/2012	General Obligation Bonds	70.000	San Jose-Evergreen Comm Coll Dt	Lead Mgr
02/23/2012	General Improvement Ref Bonds	9.880	Wayne Twp-New Jersey	Sole Mgr
02/23/2012	General Obligation Bonds	20.000	San Jose-Evergreen Comm Coll Dt	Lead Mgr
02/23/2012	Third Gen Resolution Rev Bonds	838.100	NYS Dorm Authority	Co-Mgr
02/22/2012	General Obligation Bonds	3.780	Berks Co (Hamburg) ASD	Sole Mgr
02/22/2012	General Obligation Bonds	3.570	Penn Twp-Pennsylvania	Sole Mgr
02/22/2012	School Improvement GO Ref Bonds	2.635	Hamilton Co (Milford) EVSD BOE	Sole Mgr
02/22/2012	Excise Tax Rev Ref Obligations	9.355	Casa Grande-Arizona	Sole Mgr
02/22/2012	GO Refunding Bonds	13.535	Oregon	Co-Mgr
02/22/2012	GO Refunding Bonds	86.945	Oregon	Co-Mgr
02/21/2012	Refunding School Bonds	10.115	Atlantic Co (Hamilton) TBOE	Sole Mgr
02/21/2012	School Improvement Bonds	54.000	Maricopa Co (Paradise Valley) USD #69	Sole Mgr
02/16/2012	Refunding Pension School Bonds	5.230	Atlantic City SD BOE	Sole Mgr
02/16/2012	General Obligation Bonds	8.180	Berks Co (Exeter) TSD	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
02/16/2012	GO Refunding Bonds	6.070	Bridgewater Twp-New Jersey	Sole Mgr
02/16/2012	GO School District Ref Bonds	13.790	Jefferson Co (So Jefferson) CSD	Sole Mgr
02/15/2012	General Obligation Bonds	17.570	Allegheny Co (West Allegheny) SD	Sole Mgr
02/15/2012	Power Supply System Rev Ref Bonds	63.870	Nebraska Municipal Energy Agency	Co-Mgr
02/15/2012	GO Refunding Bonds	5.480	Scotts Bluff Co-Nebraska	Sole Mgr
02/15/2012	Revenue Financing Sys Ref Bonds	195.850	Univ of Texas Sys Bd of Regents	Lead Mgr
02/15/2012	GO Refunding Bonds	14.250	Roaring Fork SD #RE-1	Sole Mgr
02/15/2012	Unlimited Tax Refunding Bonds	17.300	Collin Co (Richardson) ISD	Lead Mgr
02/15/2012	Unlimited Tax School Bldg Bonds	23.170	Lubbock ISD	Co-Mgr
02/14/2012	Capital Imp Revenue Ref Bonds	24.060	Brentwood Infrastructure Fin Au	Sole Mgr
02/14/2012	Refunding School Bonds	16.460	Bergen Co (Paramus) SD BOE	Sole Mgr
02/14/2012	Revenue Bonds	47.740	Connecticut Hlth & Ed Facs Auth	Lead Mgr
02/14/2012	GO Refunding Bonds	25.545	El Paso Co (Academy) SD #20	Sole Mgr
02/14/2012	GO Refunding Bonds	61.375	Sussex Co-Delaware	Co-Mgr
02/13/2012	School Fac Revenue Bonds	8.455	California Statewide CDA (CSCDA)	Sole Mgr
02/09/2012	Electric System Sub Rev Bonds	174.880	Jacksonville Electric Authority	Co-Mgr
02/09/2012	General Improvement Ref Bonds	13.330	Maplewood Twp-New Jersey	Sole Mgr
02/08/2012	Excise Tax Revenue Ref Oblig	8.395	Sedona City-Arizona	Sole Mgr
02/07/2012	Refunding School Bonds	29.900	Mercer Co (East Windsor) RSD	Lead Mgr
02/07/2012	Refunding Project School Bonds	17.165	Somerset (Bridgewater-Raritan) RSD	Sole Mgr
02/07/2012	GO Refunding Bonds	2.400	Mesa Co (Plateau Vlly) SD #50	Sole Mgr
02/07/2012	Senior Notes	1,000.000	Puerto Rico Government Dev Bank	Co-Mgr
02/06/2012	GO School District Ref Ser Bonds	9.400	Westchester (Edgemont) UFSD	Sole Mgr
02/03/2012	General Fund Revenue Ref Bonds	30.645	Grand Junction City-Colorado	Sole Mgr
02/02/2012	Stormwater System Rev Ref Bonds	19.365	Clearwater City-Florida	Co-Mgr
02/02/2012	Refunding School Bonds	9.365	Somerset (Watchung Hills) Reg HSD	Sole Mgr
02/02/2012	Ltd Tax GO Sewer System Ref Bonds	22.740	Mason City-Ohio	Sole Mgr
02/01/2012	Rev Financing Sys Ref & Imp Bonds	163.240	Texas Tech Univ Board of Regents	Lead Mgr
02/01/2012	General Improvement Ref Bonds	8.490	Upper Freehold Twp-New Jersey	Sole Mgr
02/01/2012	Unlimited Tax Refunding Bonds	9.750	Brazoria Co MUD #18	Lead Mgr
02/01/2012	GO Refunding Bonds	21.755	Butte-Glenn Community College Dt	Sole Mgr
02/01/2012	Unltd Tax Levee Imp Ref Bonds	9.530	Fort Bend Co Levee Imp Dt #12	Lead Mgr
02/01/2012	General Obligation Bonds	58.975	Pinal Co Comm College Dt	Sole Mgr
02/01/2012	School Building Bonds	33.965	Dimmit Co (Carrizo Springs) CISD	Co-Mgr
02/01/2012	Refunding Bonds	8.230	West Harris Co MUD #10	Lead Mgr
02/01/2012	Unlimited Tax Refunding Bonds	5.110	Galveston Co MUD #14	Sole Mgr
02/01/2012	Unlimited Tax Refunding Bonds	2.805	Harris Co MUD #391	Sole Mgr
02/01/2012	Unlimited Tax Refunding Bonds	46.115	Collin Co (Plano) ISD	Co-Mgr
02/01/2012	Unlimited Tax Refunding Bonds	9.520	Reagan Co ISD	Lead Mgr
02/01/2012	GO Limited Tax Refunding Bonds	13.940	Allen City-Texas	Lead Mgr
01/31/2012	GO Refunding Bonds	2.380	Sussex Co-New Jersey	Sole Mgr
01/31/2012	School Refunding Bonds	12.840	Manalapan-Englishtown Reg BOE	Sole Mgr
01/31/2012	GO Refunding Bonds	11.065	South Orange Vlg Twp-New Jersey	Sole Mgr
01/30/2012	Wtr & Swr 2nd Gen Res Rev Bonds	400.000	NYC Municipal Water Fin Auth	Co-Mgr
01/27/2012	Housing Revenue Bonds	53.540	Wisconsin Hsg & Econ Dev Auth	Sole Mgr
01/26/2012	Educational Facs Rev & Ref Bonds	19.815	Lincoln City-Nebraska	Co-Mgr
01/26/2012	Refunding School Bonds	19.205	Somerset Hills SD BOE	Sole Mgr
01/26/2012	Student Loan Backed Bonds	276.000	South Texas Higher Ed Authority	Lead Mgr
01/25/2012	General Obligation Bonds	6.980	Berks Co (Antietam) SD	Sole Mgr
01/25/2012	Sewer Revenue Bonds	8.710	Greene Twp Municipal Auth	Sole Mgr
01/25/2012	General Obligation Bonds	150.570	Nashua City-New Hampshire	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
01/25/2012	GO School Imp Refunding Bonds	8.610	Geauga Co (Kenston) LSD	Sole Mgr
01/25/2012	Education Revenue Bonds	16.425	Phoenix Industrial Dev Auth	Sole Mgr
01/24/2012	General Obligation Bonds	5.890	Luzerne Co (Pittston) ASD	Sole Mgr
01/24/2012	Permanent Improvement TANs	4.685	Hamilton Co (Princeton City) SD BOE	Sole Mgr
01/24/2012	Certificates of Participation	15.225	Hamilton Co (Princeton City) SD BOE	Sole Mgr
01/19/2012	Guaranteed Sewer Revenue Bonds	5.325	North Sewickley Twp Sewer Auth	Sole Mgr
01/19/2012	GO Ltd Tax Building Imp Ref Bonds	1.240	Fayette Co-Ohio	Sole Mgr
01/18/2012	GO Refunding Bonds	6.455	Grand Co (East Grand) SD #2	Sole Mgr
01/17/2012	General Obligation Bonds	9.995	Northampton Co (Easton) ASD	Sole Mgr
01/15/2012	Unlimited Tax Refunding Bonds	5.970	Walker Co (New Waverly) ISD	Sole Mgr
01/15/2012	Unltd Tax School Building Bonds	8.996	Anderson Co (Frankston) ISD	Lead Mgr
01/15/2012	Tax & Pledge Rev Certs of Oblig	29.445	Midland City-Texas	Co-Mgr
01/15/2012	Unlimited Tax Refunding Bonds	7.235	Wichita Co (Burkburnett) ISD	Sole Mgr
01/15/2012	General Obligation Bonds	8.050	Chester Co (Kennett) CSD	Sole Mgr
01/12/2012	Refunding School Bonds	2.785	Passaic Co (Clifton) SD BOE	Sole Mgr
01/12/2012	Multi-Family Mortgage Rev Bonds	16.000	Miami-Dade Co Housing Fin Auth	Sole Mgr
01/11/2012	General Obligation Bonds	4.190	Williams Twp-Pennsylvania	Sole Mgr
01/11/2012	GO Unltd Tax School Imp Ref Bonds	20.855	Cuyahoga Co (Cleveland) MSD	Lead Mgr
01/10/2012	General Obligation Bonds	4.020	Berks Co (Muhlenberg) SD	Sole Mgr
01/10/2012	General Obligation Bonds	5.070	Northampton Co (Bethlehem) ASD	Sole Mgr
01/05/2012	Guaranteed Sewer Revenue Bonds	2.905	Pen Argyl Municipal Auth	Sole Mgr
01/05/2012	General Obligation Bonds	9.995	Berks Co (Twin Valley) SD	Sole Mgr
01/05/2012	School District Refunding Bonds	15.045	Monmouth Co (Millstone) TSD BOE	Sole Mgr
01/05/2012	GO School District Ref Ser Bonds	11.290	Westchester Co (Hendrick Hudson) CSD	Sole Mgr
01/05/2012	**Sales Tax Refunding Rev Bonds**	**13.915**	**Port St Lucie City-Florida**	**Sole Mgr**
01/01/2012	Unlimited Tax Refunding Bonds	8.940	Harris Co Water Ctl Imp Dt #11	Lead Mgr
01/01/2012	GO Refunding Bonds	20.030	Pasadena City-Texas	Lead Mgr
01/01/2012	Refunding Bonds	9.900	Remington MUD #1	Lead Mgr
01/01/2012	GO Refunding Bonds	8.945	Brazos Co (College Station) ISD	Lead Mgr
01/01/2012	Unlimited Tax Refunding Bonds	4.805	Fort Bend Co MUD #109	Lead Mgr
01/01/2012	Unlimited Tax Refunding Bonds	9.825	Brazoria Co MUD #19	Lead Mgr
12/30/2011	GO Refunding Bonds	8.070	Logan Co (Valley) SD #RE-1	Sole Mgr
12/29/2011	Water Revenue Bonds	2.765	Greenville Boro Muni Water Auth	Sole Mgr
12/29/2011	General Obligation Bonds	8.730	Kutztown Boro-Pennsylvania	Sole Mgr
12/29/2011	General Obligation Bonds	10.000	Berks Co (Exeter) TSD	Sole Mgr
12/29/2011	GO Refunding Bonds	36.460	Marin Co (Tamalpais) UHSD	Sole Mgr
12/29/2011	GO Unltd Tax School Imp Ref Bonds	28.360	Geauga Co (Kenston) LSD	Sole Mgr
12/29/2011	Capital Fund Program Rev Bonds	19.900	Charlotte Housing Authority	Sole Mgr
12/28/2011	General Obligation Bonds	41.820	Arapahoe Co (Englewood) SD #1	Sole Mgr
12/28/2011	Capital Improvement Ref Rev Bonds	10.440	St Augustine City-Florida	Sole Mgr
12/28/2011	Revenue Bonds	192.830	Puerto Rico Infrastruct Fin Au	Co-Mgr
12/28/2011	Revenue Bonds	340.000	Puerto Rico Infrastruct Fin Au	Co-Mgr
12/28/2011	Revenue Bonds	136.385	Puerto Rico Infrastruct Fin Au	Co-Mgr
12/27/2011	Wstwtr Sys Rev Ref & Imp Bonds	3.140	Celina City-Ohio	Sole Mgr
12/22/2011	General Obligation Bonds	9.990	Luzerne Co (Hazleton) ASD	Sole Mgr
12/22/2011	Refunding School Bonds	10.630	Clinton Twp Board of Education	Sole Mgr
12/22/2011	Single Family Home Mtg Bonds	22.050	New Jersey Hsg & Mtg Fin Agency	Co-Mgr
12/22/2011	Single Family Home Mtg Bonds	82.105	New Jersey Hsg & Mtg Fin Agency	Co-Mgr
12/22/2011	Home Ownership Revenue Bonds	40.000	North Carolina Housing Fin Agcy	Co-Mgr
12/22/2011	Income Tax Secured Revenue Bonds	400.720	District of Columbia	Co-Mgr
12/22/2011	Multi-Family Housing Rev Bonds	154.650	NYC Housing Dev Corp	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/22/2011	Affordable Housing Rev Bonds	35.510	NYS Housing Fin-Mortgage Agcy	Co-Mgr
12/22/2011	Single Family Mortgage Rev Bonds	10.800	Minneapolis-St Paul Hsg Fin Bd	Lead Mgr
12/21/2011	General Obligation Bonds	2.640	Adams Co (Upper Adams) SD	Sole Mgr
12/21/2011	General Obligation Bonds	6.400	Susquehanna (Mountain View) SD	Sole Mgr
12/21/2011	Unlimited Tax Refunding Bonds	197.935	Dallas ISD	Lead Mgr
12/21/2011	Single Family Mortgage Rev Bonds	50.000	Missouri Housing Dev Commission	Co-Mgr
12/21/2011	GO Refunding Bonds	8.260	El Paso Co (Academy) SD #20	Sole Mgr
12/21/2011	GO Refunding Bonds	22.885	Pima Co (Tucson) USD #1	Co-Mgr
12/21/2011	GO Refunding Bonds	28.115	Pima Co (Tucson) USD #1	Co-Mgr
12/21/2011	Single Family Mtg Aquisition Rev	16.000	New Hampshire Housing Fin Auth	Co-Mgr
12/21/2011	Multi-Family Housing Rev Bonds	4.550	New Jersey Hsg & Mtg Fin Agency	Sole Mgr
12/20/2011	General Obligation Bonds	16.970	Philadelphia SD	Lead Mgr
12/20/2011	GO Refunding Bonds	57.515	Philadelphia SD	Co-Mgr
12/20/2011	General Obligation Bonds	144.625	Philadelphia SD	Lead Mgr
12/20/2011	Multi-Family Housing Rev Bonds	8.370	California Housing Finance Agcy	Sole Mgr
12/20/2011	GO Refunding Bonds	7.250	Shasta-Tehama-Trinity Jt Comm Coll Dt	Sole Mgr
12/20/2011	School Improvement Bonds	30.000	Maricopa Co (Deer Valley) USD #97	Lead Mgr
12/19/2011	Limited Tax GO Bonds	9.970	Allegheny Co (West Allegheny) SD	Sole Mgr
12/16/2011	General Obligation Bonds	10.000	Lancaster Co-Pennsylvania	Sole Mgr
12/16/2011	Electric System Revenue Bonds	278.395	Omaha Public Power Dt (OPPD)	Co-Mgr
12/16/2011	Multi-Family Mortgage Rev Bonds	1.500	Orange Co Housing Finance Auth	Sole Mgr
12/16/2011	Multi-Family Mortgage Bonds	3.500	Orange Co Housing Finance Auth	Sole Mgr
12/15/2011	Guaranteed Sewer Rev Bonds	4.005	Hamburg Municipal Authority	Sole Mgr
12/15/2011	General Obligation Bonds	3.885	Middletown Twp-Pennsylvania	Sole Mgr
12/15/2011	Sch Dt Rev Financing Prog Bonds	134.400	NYS Dorm Authority	Lead Mgr
12/15/2011	Single Family Housing Rev Bonds	22.555	Maryland Dept of Hsg & Comm Dev	Co-Mgr
12/15/2011	Highway Revenue Bonds	117.365	Hawaii	Co-Mgr
12/15/2011	Special Tax Oblig & Ref Bonds	455.075	Connecticut	Co-Mgr
12/15/2011	GO School Facilities Imp Bonds	6.000	Lorain Co (Columbia) LSD	Sole Mgr
12/15/2011	Building Aid Revenue Bonds	550.000	NYC Transitional Finance Auth	Co-Mgr
12/15/2011	Refunding Bonds	437.645	Puerto Rico Public Finance Corp	Co-Mgr
12/15/2011	GO Refunding Bonds	2.655	Cleburne City-Texas	Sole Mgr
12/15/2011	Unlimited Tax Refunding Bonds	30.575	Tarrant Co (White Settlement) ISD	Co-Mgr
12/14/2011	Revenue Refunding Bonds	52.600	Univ of Colorado Bd of Regents	Co-Mgr
12/14/2011	Guar Renewable Energy Lease Rev	26.715	Morris Co Improvement Authority	Sole Mgr
12/14/2011	Airport Revenue Ref Bonds	233.830	Philadelphia City-Pennsylvania	Lead Mgr
12/14/2011	General Obligation Notes	61.350	Lancaster Co (Manheim) TSD	Sole Mgr
12/14/2011	General Obligation Bonds	25.285	Lancaster Co (Hempfield) SD	Sole Mgr
12/13/2011	Sewer System Revenue Obligation	189.160	Pima Co-Arizona	Sole Mgr
12/13/2011	Sales Tax Revenue Bonds	1,006.475	Puerto Rico Sales Tax Fin Corp	Co-Mgr
12/13/2011	Multi-Family Mortgage Rev Bonds	3.400	Florida Housing Finance Corp	Sole Mgr
12/09/2011	Multi-Family Housing Rev Bonds	13.600	Phoenix Industrial Dev Auth	Sole Mgr
12/08/2011	Home First Mortgage Revenue Bonds	52.000	Indiana Housing Comm Dev Auth	Lead Mgr
12/08/2011	Homeownership Finance Bonds	42.685	Minnesota Housing Fin Agency	Lead Mgr
12/08/2011	GO & Refunding Bonds	211.635	University of Connecticut	Co-Mgr
12/08/2011	Certificates of Participation	146.635	Colorado	Lead Mgr
12/08/2011	Sports Fishing Ref Rev Bonds	28.830	Alaska	Lead Mgr
12/08/2011	Guar Renewable Energy Lease Rev	33.100	Morris Co Improvement Authority	Co-Mgr
12/07/2011	General Obligation Bonds	2.065	Carbon Co-Pennsylvania	Sole Mgr
12/07/2011	General Obligation Bonds	5.605	Carbon Co-Pennsylvania	Sole Mgr
12/07/2011	Hospital Revenue Bonds	209.980	Indiana Finance Authority	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/07/2011	Transportation Revenue Bonds	480.165	Metropolitan Transport Auth (MTA)	Co-Mgr
12/06/2011	Refunding School Bonds	5.685	Waldwick Boro Bd of Educ	Sole Mgr
12/05/2011	General Obligation Bonds	8.245	Lampeter-Strasburg SD	Sole Mgr
12/01/2011	Unlimited Tax Refunding Bonds	3.955	Harris Co MUD #165	Sole Mgr
12/01/2011	Utility System Revenue Ref Bonds	19.355	Mauriceville MUD-Texas	Lead Mgr
12/01/2011	School Refunding Bonds	11.685	Bergen Co (Leonia) SD BOE	Sole Mgr
12/01/2011	Homeownership Program Rev Bonds	44.150	Tennessee Hsg Dev Agcy (THDA)	Lead Mgr
12/01/2011	Homeownership Program Rev Bonds	97.105	Tennessee Hsg Dev Agcy (THDA)	Lead Mgr
12/01/2011	Unlimited Tax Refunding Bonds	2.705	Burney Road MUD	Lead Mgr
12/01/2011	Transportation Sys Bonds	1,315.000	New Jersey Trans Trust Fund Au	Co-Mgr
12/01/2011	Wharves & Terminal Rev Ref Bonds	25.925	Galveston City-Texas	Co-Mgr
12/01/2011	Single Family Mortgage Bonds	33.200	Georgia Housing Finance Authority	Co-Mgr
12/01/2011	Multi-Family Mortgage Rev Bonds	16.685	Maryland Dept of Hsg & Comm Dev	Co-Mgr
12/01/2011	Unlimited Tax Refunding Bonds	3.595	Travis Co MUD #3	Lead Mgr
12/01/2011	Single Family Mtg Purch Rev Bonds	20.000	Hawaii Housing Fin & Dev Corp	Sole Mgr
12/01/2011	Mortgage Purchase Bonds	13.250	Maine State Housing Authority	Co-Mgr
12/01/2011	Turnpike Revenue Bonds	52.365	Pennsylvania Turnpike Commission	Lead Mgr
12/01/2011	Turnpike Revenue Bonds	110.080	Pennsylvania Turnpike Commission	Lead Mgr
12/01/2011	Subordinate Lien Contract Rev	81.630	Canadian River Muni Water Auth	Co-Mgr
12/01/2011	Unlimited Tax Refunding Bonds	8.815	Galveston ISD	Co-Mgr
12/01/2011	GO Refunding Bonds	2.950	Forest Hill City-Texas	Sole Mgr
12/01/2011	GO Refunding Bonds	2.545	Palestine City-Texas	Sole Mgr
12/01/2011	Wtrwks & Swr Sys Rev Ref Bonds	2.320	Mansfield City-Texas	Lead Mgr
12/01/2011	Comb Tax & Rev Certs of Oblig	3.075	Mansfield City-Texas	Lead Mgr
12/01/2011	Comb Tax & Rev Certs of Oblig	3.415	Mansfield City-Texas	Lead Mgr
12/01/2011	Muni Drain Util Rev Ref Bonds	3.740	Mansfield City-Texas	Lead Mgr
12/01/2011	GO Refunding Bonds	5.855	Mansfield City-Texas	Lead Mgr
12/01/2011	Sales Tax Revenue Ref Bonds	3.090	Mansfield Econ Dev Corp	Lead Mgr
12/01/2011	Sales Tax Revenue Ref Bonds	4.995	Mansfield Park Fac Dev Corp	Lead Mgr
12/01/2011	GO Refunding Bonds	5.955	Euless City-Texas	Sole Mgr
12/01/2011	Unlimited Tax Levee Imp Ref Bonds	6.655	Fort Bend Co Levee Imp Dt #10	Sole Mgr
12/01/2011	GO Refunding Bonds	17.755	League City-Texas	Lead Mgr
12/01/2011	Comb Tax & Wtrwks & Swr Sys Rev	32.290	League City-Texas	Lead Mgr
11/30/2011	Hospital Revenue Bonds	33.640	West Shore Area Authority	Co-Mgr
11/30/2011	General Obligation Bonds	9.995	Northampton Co (Easton) ASD	Sole Mgr
11/30/2011	Refunding School Bonds	6.555	Mendham Twp Board of Education	Sole Mgr
11/30/2011	Municipal Facs Revenue Ref Bonds	26.810	Goodyear Public Imp Corp	Sole Mgr
11/30/2011	State Appropriation Rev Bonds	214.505	North Carolina Turnpike Authority	Co-Mgr
11/30/2011	Infrastructure Imp GO Ref Bonds	18.320	Ohio Public Fac Commission	Co-Mgr
11/30/2011	Higher Ed GO Refunding Bonds	28.765	Ohio Public Fac Commission	Co-Mgr
11/30/2011	Common Schools GO Ref Bonds	63.000	Ohio Public Fac Commission	Co-Mgr
11/29/2011	Refunding School Bonds	17.850	West Morris Regl High SD	Co-Mgr
11/29/2011	GO Refunding Bonds	17.200	La Plata Co (Durango) SD #9-R	Lead Mgr
11/29/2011	Wtr Swr Sys 2nd Gen Res Rev Bonds	450.000	NYC Municipal Water Fin Auth	Co-Mgr
11/29/2011	Tobacco Settlement Rev Bonds	74.685	Minnesota Tobacco Securitization Auth	Co-Mgr
11/29/2011	Tobacco Settlement Rev Bonds	682.270	Minnesota Tobacco Securitization Auth	Co-Mgr
11/29/2011	GO Reno Sparks Auth Ref Bpnds	88.680	Washoe Co-Nevada	Sole Mgr
11/29/2011	Lease Revenue Bonds	295.200	California St Public Works Board	Lead Mgr
11/29/2011	Higher Ed Facs Rev Ref Bonds	23.255	Rhode Island Hlth & Ed Bldg Corp	Co-Mgr
11/23/2011	Sales Tax Revenue Bonds	397.758	Puerto Rico Sales Tax Fin Corp	Co-Mgr
11/23/2011	Revenue Bonds	101.205	Allegheny Co Hospital Dev Auth	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
11/22/2011	General Obligation Bonds	10.000	Bucks Co (Pennsbury) SD	Sole Mgr
11/22/2011	GO School District Ref Bonds	17.710	Suffolk Co (Longwood) CSD	Sole Mgr
11/22/2011	Homeowner Mortgage Rev Bonds	11.000	Orange Co Housing Finance Auth	Co-Mgr
11/22/2011	Utility System Revenue Bonds	6.630	Washington Twp Muni Util Auth	Sole Mgr
11/22/2011	Single Family Housing Rev Bonds	6.000	Pinellas Co Housing Fin Auth	Lead Mgr
11/21/2011	Multi-family Mortgage Rev Bonds	1.310	Hillsborough Co Housing Fin Auth	Sole Mgr
11/17/2011	Single Family Homeownership Bonds	5.720	Montana Board of Housing	Lead Mgr
11/17/2011	Single Family Homeownership Bonds	32.455	Montana Board of Housing	Lead Mgr
11/17/2011	Home Ownership Revenue Ref Bonds	136.160	North Carolina Housing Fin Agcy .	Lead Mgr
11/17/2011	Revenue Bonds	94.920	Maryland Hlth & Hghr Ed Facs Au	Co-Mgr
11/17/2011	Future Tax Secured Bonds	600.000	NYC Transitional Finance Auth	Co-Mgr
11/17/2011	Afford Housing Revenue Bonds	5.890	NYS Housing Fin-Mortgage Agcy	Co-Mgr
11/16/2011	Electric Sys Rev Refunding Bonds	120.235	Pinal Co Electrical Dt #3	Co-Mgr
11/16/2011	Airport System Revenue Bonds	74.385	Cleveland City-Ohio	Co-Mgr
11/16/2011	Homeowner Mortgage Rev Bonds	10.695	NYS Housing Fin-Mortgage Agcy	Co-Mgr
11/16/2011	Homeowner Mortgage Rev Bonds	50.000	NYS Housing Fin-Mortgage Agcy	Co-Mgr
11/16/2011	Homeowner Mortgage Rev Bonds	107.585	NYS Housing Fin-Mortgage Agcy	Co-Mgr
11/15/2011	General Obligation Bonds	9.965	York Co (Spring Grove) ASD	Sole Mgr
11/15/2011	Refunding School Bonds	5.970	Perth Amboy Board of Education	Sole Mgr
11/15/2011	General Obligation Bonds	275.425	Delaware	Co-Mgr
11/15/2011	Unlimited Tax Refunding Bonds	2.715	Brown Co (Early) ISD	Sole Mgr
11/15/2011	Refunding Bonds	8.730	Nueces Co (Robstown) ISD	Co-Mgr
11/14/2011	Single Family Mortgage Rev Bonds	20.000	Iowa Finance Authority	Lead Mgr
11/14/2011	State-Supported Special Bonds	20.000	Connecticut Housing Finance Auth	Co-Mgr
11/14/2011	GO Refunding Bonds	21.410	Connecticut	Co-Mgr
11/14/2011	General Obligation Bonds	25.500	Connecticut	Co-Mgr
11/14/2011	General Obligation Bonds	30.000	Connecticut	Co-Mgr
11/14/2011	GO Refunding Bonds	30.615	Connecticut	Co-Mgr
11/14/2011	General Obligation Bonds	36.175	Connecticut	Co-Mgr
11/14/2011	General Obligation Bonds	50.775	Connecticut	Co-Mgr
11/14/2011	General Obligation Bonds	54.595	Connecticut	Co-Mgr
11/14/2011	GO Refunding Bonds	70.105	Connecticut	Co-Mgr
11/14/2011	General Obligation Bonds	352.955	Connecticut	Co-Mgr
11/10/2011	General Obligation Bonds	5.910	Lackawanna Co (North Pocono) SD	Sole Mgr
11/10/2011	Transportation Rev Ref Bonds	197.950	Metropolitan Transport Auth (MTA)	Co-Mgr
11/09/2011	General Obligation Bonds	4.020	Sandycreek Twp-Pennsylvania	Sole Mgr
11/09/2011	Lease Revenue Bonds	496.830	California St Public Works Board	Lead Mgr
11/08/2011	Education Revenue Bonds	7.955	Florence Town Inc Ind Dev Auth	Sole Mgr
11/07/2011	General Obligation Bonds	2.535	Leetsdale Boro-Pennsylvania	Sole Mgr
11/03/2011	Mortgage Purchase Bonds	18.000	Maine State Housing Authority	Co-Mgr
11/03/2011	Housing Finance Program Bonds	65.290	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
11/03/2011	Homeowner Mortgage Rev Bonds	54.820	Florida Housing Finance Corp	Co-Mgr
11/03/2011	Homeownership Revenue Bonds	12.735	North Dakota Housing Fin Agency	Co-Mgr
11/03/2011	Univ Enterprise Revenue Bonds	203.425	Univ of Colorado Bd of Regents	Co-Mgr
11/03/2011	Senior Dedicated Sales Tax Bonds	1,000.000	Massachusetts School Bldg Auth	Co-Mgr
11/03/2011	Revenue Bonds	24.716	Pennsylvania St Pub Sch Bldg Auth	Co-Mgr
11/03/2011	Revenue Bonds	185.436	Pennsylvania St Pub Sch Bldg Auth	Co-Mgr
11/02/2011	Subordinate Airport Rev Ref Bonds	52.015	Minneapolis-St Paul Metro Airports Comm	Co-Mgr
11/02/2011	GO & Sewer Revenue Ref Bonds	9.560	Florham Park Boro-New Jersey	Sole Mgr
11/01/2011	GO Refunding Bonds	407.805	Los Angeles USD	Co-Mgr
11/01/2011	General Obligation Bonds	33.330	Northampton Co (Bethlehem) ASD	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
11/01/2011	Unlimited Tax Refunding Bonds	2.585	Harris Co MUD#284	Lead Mgr
11/01/2011	Gen Improvement Refunding Bonds	3.660	Secaucus Town-New Jersey	Sole Mgr
11/01/2011	Unlimited Tax Refunding Bonds	3.535	Morton Road MUD	Lead Mgr
11/01/2011	Wtrwks & Swr Sys Comb & Rev Ref	4.225	Chimney Hill MUD	Lead Mgr
11/01/2011	Future Tax Secured Sub Bonds	250.000	NYC Transitional Finance Auth	Co-Mgr
11/01/2011	Unlimited Tax Refunding Bonds	3.205	Harris Co MUD #285	Lead Mgr
11/01/2011	Unlimited Tax Refunding Bonds	55.805	Dallas Co (Grand Prairie) ISD	Co-Mgr
11/01/2011	Refunding Bonds	7.290	Malcomson Road Utility Dt	Sole Mgr
11/01/2011	Combined Fee Revenue Bonds	4.470	Amarillo Junior College Dt	Sole Mgr
11/01/2011	Water System Revenue Bonds	81.100	North Fort Bend Water Authority	Lead Mgr
11/01/2011	GO Refunding Bonds	17.995	Garland City-Texas	Lead Mgr
11/01/2011	Water & Sewer Revenue Ref Bonds	30.150	Garland City-Texas	Co-Mgr
11/01/2011	GO Refunding Bonds	41.360	Garland City-Texas	Co-Mgr
11/01/2011	Refunding Bonds	9.195	Orange Co (Orangefield) ISD	Co-Mgr
11/01/2011	Water & Wstwtr Sys Rev Ref Bonds	237.530	Austin City-Texas	Co-Mgr
11/01/2011	Unlimited Tax Refunding Bonds	52.335	Dallas Co (Garland) ISD	Co-Mgr
10/31/2011	General Obligation Bonds	3.895	Montgomery Co (Upper Moreland) TSD	Sole Mgr
10/27/2011	Public Improvement Ref Bonds	47.010	Houston City-Texas	Co-Mgr
10/27/2011	Public Improvement Ref Bonds	192.475	Houston City-Texas	Co-Mgr
10/27/2011	Single Family Housing Rev Bonds	40.000	Maryland Dept of Hsg & Comm Dev	Co-Mgr
10/27/2011	Revenue Bonds	65.390	NYS Dorm Authority	Co-Mgr
10/27/2011	GO & Refunding Bonds	70.000	Meridian Metropolitan Dt	Sole Mgr
10/27/2011	General Obligation Bonds	132.890	California	Co-Mgr
10/27/2011	Various Purpose GO Bonds	205.000	California	Co-Mgr
10/27/2011	Various Purpose GO Bonds	1,774.995	California	Co-Mgr
10/27/2011	GO Refunding Bonds	6.520	El Paso Co (Cheyenne Mtn) SD #12	Sole Mgr
10/26/2011	Refunding Revenue Bonds	599.860	Palm Beach Co Solid Waste Auth	Co-Mgr
10/26/2011	General Receipts Bonds	500.000	Ohio State University	Co-Mgr
10/26/2011	Hudson Yards Senior Rev Bonds	1,000.000	Hudson Yards Infrastructure Corp	Co-Mgr
10/25/2011	General Obligation Bonds	4.700	Montgomery Co (Methacton) SD	Sole Mgr
10/25/2011	GO School Improvement Ref Bonds	6.540	Cuyahoga Co (Westlake City) SD	Sole Mgr
10/20/2011	Single Family Housing Rev Bonds	12.425	Montgomery Co Hsg Opportunities Comm	Co-Mgr
10/20/2011	Single Family Mortgage Rev Bonds	8.000	Dakota Co Comm Dev Agency	Sole Mgr
10/20/2011	Lease Revenue Bonds	100.895	California St Public Works Board	Co-Mgr
10/20/2011	Lease Revenue Bonds	151.825	California St Public Works Board	Co-Mgr
10/20/2011	Lease Revenue Bonds	237.665	California St Public Works Board	Co-Mgr
10/19/2011	Water & Sewer Revenue Bonds	49.930	Davie Town-Florida	Lead Mgr
10/19/2011	Pledge Revenue Ref Obligation	11.730	Page-Arizona	Sole Mgr
10/18/2011	GO Refunding Bonds	6.020	Morgan Co (Fort Morgan) SD #RE-3	Sole Mgr
10/15/2011	Unltd Tax Refunding Bonds	3.695	Cornerstones MUD	Sole Mgr
10/15/2011	Comb Tax & Rev Certs of Oblig	3.200	Wichita Falls City-Texas	Sole Mgr
10/15/2011	GO Refunding Bonds	4.365	Wichita Falls City-Texas	Sole Mgr
10/14/2011	General Obligation Bonds	12.560	Lehigh Co (Parkland) SD	Sole Mgr
10/13/2011	General Obligation Bonds	5.100	Lebanon Co (Annville-Cleona) SD	Sole Mgr
10/13/2011	General Obligation Bonds	37.310	Adams Co (Gettysburg) ASD	Sole Mgr
10/13/2011	Sales Tax Refunding Bonds	72.665	Hamilton Co-Ohio	Co-Mgr
10/13/2011	General Obligation Bonds	145.555	New York City-New York	Co-Mgr
10/13/2011	General Obligation Bonds	528.640	New York City-New York	Co-Mgr
10/13/2011	Refunding Second Senior Rev Bonds	524.010	Oklahoma Turnpike Authority	Lead Mgr
10/13/2011	General Revenue Refunding Bonds	609.430	Triborough Bridge & Tunnel Auth	Co-Mgr
10/13/2011	Water System Revenue Bond	216.930	California Dept of Wtr Resources	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
10/12/2011	Refunding School Bonds	27.280	Piscataway Twp BOE	Sole Mgr
10/12/2011	Gen Imp & Parking Util Ref Bonds	12.815	Princeton Borough-New Jersey	Sole Mgr
10/12/2011	Highway Project Advancement Notes	77.835	Mesa City-Arizona	Co-Mgr
10/12/2011	Airport Facs Refunding Rev Bonds	40.425	Greater Orlando Aviation Auth	Co-Mgr
10/12/2011	Airport Facs Refunding Rev Bonds	67.945	Greater Orlando Aviation Auth	Co-Mgr
10/12/2011	General Obligation Bonds	10.000	Tuscarora SD Authority	Sole Mgr
10/11/2011	General Obligation Bonds	13.770	Monroe Co (Stroudsburg) ASD	Sole Mgr
10/11/2011	Single Fmly Mtg Prog Class Bonds	18.200	New Mexico Mortgage Fin Auth	Lead Mgr
10/06/2011	Home First Mortgage Revenue Bonds	24.000	Indiana Housing Comm Dev Auth	Co-Mgr
10/06/2011	General Receipts Bonds	29.125	University of Cincinnati	Sole Mgr
10/05/2011	Refunding Bonds	5.550	Mahwah Twp Board of Education	Sole Mgr
10/05/2011	Refunding Revenue Bonds	357.475	Jacksonville Electric Authority	Co-Mgr
10/05/2011	Airport System Revenue Bonds	15.310	Denver City and Co-Colorado	Co-Mgr
10/05/2011	Airport System Revenue Bonds	198.370	Denver City and Co-Colorado	Co-Mgr
10/04/2011	Airport System Rev Ref Bonds	300.885	Hawaii	Co-Mgr
10/04/2011	Refunding School Bonds	7.165	Point Pleasant Boro-New Jersey	Sole Mgr
10/01/2011	Unltd Tax Refunding Bonds	5.640	Fort Bend Co MUD #112	Sole Mgr
10/01/2011	Unlimited Tax Refunding Bonds	9.265	Montgomery Co MUD #46	Sole Mgr
10/01/2011	Refunding Bonds	3.480	Fort Bend Co MUD #2	Lead Mgr
10/01/2011	Unlimited Tax Refunding Bonds	4.995	Montgomery Co MUD #39	Sole Mgr
10/01/2011	Unlimited Tax Refunding Bonds	7.975	West Harris Co MUD #11	Sole Mgr
10/01/2011	GO Qualified Energy Cons Bonds	3.140	Belchertown-Massachusetts	Sole Mgr
10/01/2011	Unlimited Tax Refunding Bonds	9.905	Remington MUD #1	Lead Mgr
10/01/2011	Refunding Bonds	5.640	Harris Co MUD #109	Sole Mgr
10/01/2011	Refunding Bonds	4.745	Stanley Lake MUD	Sole Mgr
10/01/2011	Transmission Contract Ref Rev	599.195	Lower Colorado River Authority (LCRA)	Co-Mgr
10/01/2011	Unltd Tax Contract Rev Ref Bonds	3.860	Paseo De La Resaca MUD #3	Sole Mgr
09/30/2011	General Obligation Notes	10.000	Monroe Co (Pocono Mountain) SD	Sole Mgr
09/29/2011	Mortgage Purchase Bonds	33.320	Maine State Housing Authority	Co-Mgr
09/29/2011	Homeownership Program Bonds	66.430	Washington State Hsg Fin Comm	Co-Mgr
09/29/2011	Unltd Tax Road Refunding Bonds	122.565	Harris Co-Texas	Co-Mgr
09/29/2011	Homeownership Finance Bonds	65.000	Minnesota Housing Fin Agency	Lead Mgr
09/29/2011	Refunding School Bonds	8.200	Green Brook Twp Board of Educ	Sole Mgr
09/29/2011	Home Funding Bonds	14.000	Rhode Island Hsg & Mtg Fin Corp	Co-Mgr
09/29/2011	Multi-Family Mortgage Rev Bonds	3.950	Orange Co Housing Finance Auth	Sole Mgr
09/29/2011	GO Refunding Bonds	6.545	Montrose Co SD #RE-1J	Sole Mgr
09/28/2011	Hospital Revenue Refunding Bonds	93.965	New Hanover Co-North Carolina	Lead Mgr
09/28/2011	Single Family Mtg Acq Rev Bonds	20.000	New Hampshire Housing Fin Auth	Lead Mgr
09/28/2011	Sales & Use Tax Contractual Oblig	510.415	Harris Co Metro Trans Auth	Co-Mgr
09/28/2011	GO State & Local Facs Loan Ref	254.915	Maryland	Co-Mgr
09/28/2011	Community Infra Prog Rev Bonds	9.190	California Statewide CDA (CSCDA)	Sole Mgr
09/28/2011	Certificates of Participation	2.400	Hamilton Co (Three Rivers) LSD	Sole Mgr
09/28/2011	Electric Sys Gen Revenue Bonds	250.000	Long Island Power Authority	Co-Mgr
09/28/2011	General Obligation Bonds	25.210	California	Co-Mgr
09/28/2011	Various Purpose GO & Ref Bonds	2,365.685	California	Co-Mgr
09/28/2011	Adjustable Demand Revenue Bonds	50.000	Glendale Industrial Dev Auth	Sole Mgr
09/28/2011	Student Loan Revenue Bonds	143.520	Utah State Board of Regents	Sole Mgr
09/28/2011	Student Loan Backed Notes	397.000	Utah State Board of Regents	Lead Mgr
09/27/2011	General Obligation Bonds	9.875	Montgomery Co (Methacton) SD	Sole Mgr
09/27/2011	Electric System Rev Ref Bonds	6.670	Norris Public Power Dt	Sole Mgr
09/27/2011	**Wtr&Swr Special Assess Ref Bonds**	**10.910**	**Port St Lucie City-Florida**	**Sole Mgr**

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
09/27/2011	**Wtr&Swr Special Assess Ref Bonds**	**20.665**	**Port St Lucie City-Florida**	**Sole Mgr**
09/27/2011	GO Refunding Bonds	14.810	Pitkin Co (Aspen) SD #1	Sole Mgr
09/22/2011	Unlimited Tax School Bldg Bonds	47.415	Denton Co (Northwest) ISD	Lead Mgr
09/22/2011	GO Refunding Bonds	43.550	San Bernardino Co (Chaffey) JUHSD	Sole Mgr
09/22/2011	GO Refunding Bonds	2.840	El Paso (Peyton) Jt SD #23	Sole Mgr
09/22/2011	Homeowner Mortgage Rev Bonds	151.190	NYS Housing Fin-Mortgage Agcy	Co-Mgr
09/21/2011	General Obligation Bonds	6.540	Kutztown Boro-Pennsylvania	Sole Mgr
09/21/2011	Sewer Revenue Bonds	3.000	West Earl Sewer Authority	Sole Mgr
09/21/2011	GO Refunding Bonds	53.245	Roaring Fork SD #RE-1	Sole Mgr
09/19/2011	General Obligation Bonds	6.340	York Co (Red Lion) ASD	Sole Mgr
09/15/2011	General Obligation Bonds	9.995	York Co (Dover) ASD	Sole Mgr
09/15/2011	School Refunding Bonds	20.530	Matawan-Aberdeen RSD	Sole Mgr
09/15/2011	Gen Imp Ref & Swr Util Ref Bonds	13.035	Woodbridge Twp-New Jersey	Sole Mgr
09/15/2011	GO & Refunding Bonds	78.115	Alaska Municipal Bond Bank	Co-Mgr
09/15/2011	State Facilities Refunding Bonds	9.215	Ohio Building Authority	Co-Mgr
09/15/2011	State Facilities Refunding Bonds	38.595	Ohio Building Authority	Co-Mgr
09/15/2011	State Facilities Refunding Bonds	101.530	Ohio Building Authority	Co-Mgr
09/15/2011	Water Sys Contract Rev Ref Bonds	2.140	Gulf Coast Water Authority	Co-Mgr
09/14/2011	GO School Refunding Bonds	9.260	Montclair Twp-New Jersey	Sole Mgr
09/13/2011	General Obligation Bonds	3.645	Bridgeport Boro-Pennsylvania	Sole Mgr
09/13/2011	GO & Refunding Bonds	15.860	Flagstaff City-Arizona	Sole Mgr
09/08/2011	Revenue Bonds	50.000	Central Bradford Progress Auth	Sole Mgr
09/08/2011	Revenue Bonds	102.370	Central Bradford Progress Auth	Sole Mgr
09/08/2011	General Refunding Bonds	5.015	Sparta Twp-New Jersey	Sole Mgr
09/08/2011	GO School District Ref Bonds	12.625	Suffolk Co (Hauppauge) UFSD	Sole Mgr
09/08/2011	Certificates of Participation	6.340	Alameda Co (Castro Valley) USD	Sole Mgr
09/08/2011	GO Unltd Tax School Ref Bonds	20.565	Butler Co (Fairfield City) SD	Sole Mgr
09/08/2011	Educational Facility Rev Bonds	.545	Pima Co Industrial Dev Auth	Sole Mgr
09/08/2011	Educational Facility Rev Bonds	32.575	Pima Co Industrial Dev Auth	Sole Mgr
09/07/2011	Water & Sewer Rev Ref Bonds	47.025	Clearwater City-Florida	Co-Mgr
09/07/2011	General Obligation Bonds	75.225	Allegheny Co-Pennsylvania	Lead Mgr
09/07/2011	GO Sewer Refunding Bonds	2.995	Wanaque Boro-New Jersey	Sole Mgr
09/07/2011	Transportation Refunding RANs	104.650	Colorado Dept of Transportation	Lead Mgr
09/07/2011	GO School Improvement Ref & CABs	9.030	Lucas Co (Sylvania City) SD	Sole Mgr
09/07/2011	Central Vlly Wtr Swr Rev Bonds	92.275	California Dept of Wtr Resources	Co-Mgr
09/01/2011	GO Refunding Bonds	3.960	Alvarado-Texas	Sole Mgr
09/01/2011	Permanent University Fund Bonds	87.145	Texas A&M University System	Co-Mgr
09/01/2011	Qual Sch Constr Main Tax Notes	2.560	Liberty Co ISD	Sole Mgr
08/31/2011	Tax Inc Contract Rev & Ref Bonds	33.130	Midtown Redevelopment Auth	Co-Mgr
08/31/2011	Unlimited Tax Refunding Bonds	91.625	Travis Co (Austin) ISD	Lead Mgr
08/31/2011	School Refunding Bonds	6.230	Essex Co (South Orange Maplewood) SD	Sole Mgr
08/31/2011	Power Supply Revenue Bonds	479.782	California Dept of Wtr Resources	Co-Mgr
08/31/2011	Power Supply Revenue Bonds	479.783	California Dept of Wtr Resources	Co-Mgr
08/31/2011	Excise Tax Rev Ref Obligations	18.300	Tempe City-Arizona	Sole Mgr
08/31/2011	Local Govt Infrastructure Bonds	12.275	Maryland Dept of Hsg & Comm Dev	Co-Mgr
08/25/2011	Single Family Mortgage Prog Bonds	16.000	New Mexico Mortgage Fin Auth	Lead Mgr
08/25/2011	Single Family Housing Rev Bonds	8.000	Pinellas Co Housing Fin Auth	Lead Mgr
08/25/2011	Home Ownership Revenue Bonds	34.000	North Carolina Housing Fin Agcy	Co-Mgr
08/25/2011	Housing Finance Program Bonds	40.000	Tennessee Hsg Dev Agcy (THDA)	Co-Mgr
08/25/2011	School Refunding Bonds	3.660	Camden Co (Berlin Boro) SD BOE	Sole Mgr
08/25/2011	Single Family Housing Rev Bonds	40.310	Maryland Dept of Hsg & Comm Dev	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
08/25/2011	School Fac Revenue Bonds	22.565	California Statewide CDA (CSCDA)	Sole Mgr
08/24/2011	Guar Water & Sewer Rev Bonds	2.230	Bonneauville Boro Municipal Auth	Sole Mgr
08/24/2011	General Obligation Bonds	8.815	Wyoming Co (Tunkhannock) ASD	Sole Mgr
08/24/2011	General Obligation Bonds	4.820	Derry Twp-Pennsylvania	Sole Mgr
08/24/2011	General Obligation Notes	37.095	Wallingford-Swarthmore SD	Sole Mgr
08/24/2011	Lease Revenue Refunding Bonds	3.440	Camden Co Improvement Auth	Sole Mgr
08/24/2011	Government Facilities Rev Bonds	303.945	Puerto Rico Pub Bldgs Authority	Co-Mgr
08/24/2011	Water System Revenue Bonds	307.140	Los Angeles Dept of Wtr & Power	Co-Mgr
08/24/2011	Taxable Student Loan Backed Notes	522.635	New Hampshire Hgr Ed Loan Corp	Lead Mgr
08/24/2011	Education Loan Revenue Bonds	10.000	New Hampshire Hlth & Ed Facs Auth	Sole Mgr
08/24/2011	Education Loan Revenue Bonds	125.400	New Hampshire Hlth & Ed Facs Auth	Sole Mgr
08/23/2011	General Obligation Bonds	9.400	Allegheny Co (West Allegheny) SD	Sole Mgr
08/23/2011	Lease Revenue Bonds	4.905	California Comm College Fin Auth	Sole Mgr
08/23/2011	Lease Revenue Bonds	5.000	California Comm College Fin Auth	Sole Mgr
08/23/2011	Lease Revenue Bonds	20.525	California Comm College Fin Auth	Sole Mgr
08/23/2011	Revenue Bonds	7.740	Connecticut Hlth & Ed Facs Auth	Sole Mgr
08/23/2011	Future Tax Secured Sub Bonds	424.145	NYC Transitional Finance Auth	Co-Mgr
08/23/2011	Future Tax Secured Sub Bonds	450.000	NYC Transitional Finance Auth	Co-Mgr
08/23/2011	Veterans Compensation GO Bonds	15.910	Ohio Public Fac Commission	Co-Mgr
08/18/2011	GO Refunding Bonds	6.635	Glassboro Boro-New Jersey	Sole Mgr
08/18/2011	Electric System Rev Ref Bonds	3.385	Norris Public Power Dt	Sole Mgr
08/18/2011	Electric System Rev Ref Bonds	9.455	Norris Public Power Dt	Sole Mgr
08/17/2011	Unltd Tax Qualified Sch Con Bonds	7.170	Gregg Co (Pine Tree) ISD	Co-Mgr
08/17/2011	General Obligation Bonds	.325	Georgetown-Delaware	Sole Mgr
08/17/2011	General Obligation Bonds	6.880	Georgetown-Delaware	Sole Mgr
08/16/2011	Utility System Ref Rev Bonds	140.645	Tampa Bay Water Auth	Co-Mgr
08/16/2011	Utility System Ref Rev Bonds	148.920	Tampa Bay Water Auth	Co-Mgr
08/16/2011	General Obligation Bonds	2.820	Los Angeles Co (Glendale) USD	Lead Mgr
08/16/2011	General Obligation Bonds	4.300	Los Angeles Co (Glendale) USD	Lead Mgr
08/16/2011	General Obligation Bonds	46.880	Los Angeles Co (Glendale) USD	Lead Mgr
08/16/2011	Refunding Revenue Bonds	345.730	Port of Oakland-California	Co-Mgr
08/15/2011	Unlimited Tax School Bldg Bonds	22.010	Gregg Co (Pine Tree) ISD	Co-Mgr
08/15/2011	Water Sys Contract Rev Ref Bonds	5.760	Gulf Coast Water Authority	Co-Mgr
08/15/2011	Permanent Improvement Ref Bonds	15.905	Denton Co-Texas	Lead Mgr
08/15/2011	Unltd Tax School Building Bonds	12.220	Kaufman Co (Forney) ISD	Lead Mgr
08/15/2011	Unltd Tax Qual Sch Constr Bonds	61.115	Bexar Co (San Antonio) ISD	Lead Mgr
08/11/2011	Homeownership Revenue Bonds	36.000	North Dakota Housing Fin Agency	Lead Mgr
08/11/2011	Water System Rev & Ref Bonds	84.310	Cincinnati City-Ohio	Co-Mgr
08/11/2011	School Improvement Bonds	12.000	Maricopa Co (Madison) ESD #38	Sole Mgr
08/10/2011	Refunding School Bonds	9.875	Somerset Hills SD BOE	Sole Mgr
08/09/2011	General Obligation Bonds	714.715	New York City-New York	Co-Mgr
08/08/2011	Guaranteed Water Revenue Bonds	2.900	Hamburg Municipal Authority	Sole Mgr
08/05/2011	GO Bonds State & Local Facs Loan	71.730	Maryland	Co-Mgr
08/04/2011	Refunding School Bonds	12.785	Morris Co (Jefferson) TSD	Co-Mgr
08/03/2011	Motor Vehicle Fuel Tax GO Bonds	89.350	Washington	Co-Mgr
08/03/2011	Airport System Sub Lien Rev Bonds	100.000	Clark Co-Nevada	Sole Mgr
08/01/2011	Refunding Bonds	3.245	Louetta North Public Utility Dt	Sole Mgr
08/01/2011	Refunding Bonds	8.170	Kingsbridge MUD	Sole Mgr
08/01/2011	Refunding Bonds	4.850	Interstate MUD	Sole Mgr
08/01/2011	Unltd Tax School Building Bonds	8.595	Live Oak Co (George West) ISD	Co-Mgr
08/01/2011	Unlimited Tax Refunding Bonds	2.300	Cinco MUD #8	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
08/01/2011	School Building Bonds	124.695	Hurst-Euless-Bedford ISD	Co-Mgr
08/01/2011	General Obligation Bonds	20.000	Connecticut	Co-Mgr
08/01/2011	General Obligation Bonds	260.000	Connecticut	Co-Mgr
08/01/2011	Wtr & Swr Sys Rev Ref & Imp Bonds	151.160	Fort Worth City-Texas	Co-Mgr
08/01/2011	Comb Tax & Ltd Surplus Rev Certs	4.675	Rockwall City-Texas	Co-Mgr
08/01/2011	Unltd Tax School Bldg & Ref Bonds	92.000	Tarrant Co (Grapevine-Colleyville) ISD	Co-Mgr
08/01/2011	Unlimited Tax Refunding Bonds	11.745	Dallas Co (Ferris) ISD	Lead Mgr
08/01/2011	Joint Revenue Refunding Bonds	221.750	Dallas & Fort Worth Cities-Texas	Co-Mgr
07/29/2011	Refunding School Bonds	17.190	Somerset (Watchung Hills) Reg HSD	Sole Mgr
07/28/2011	General Obligation Bonds & Notes	3.430	Dauphin Co (Steelton-Highspire) SD	Sole Mgr
07/28/2011	General Obligation Bonds	7.120	West Hanover Twp-Pennsylvania	Sole Mgr
07/28/2011	Refunding Bonds	38.740	Collin Co (McKinney) ISD	Co-Mgr
07/28/2011	General Obligation Bonds	9.800	Cumberland Co (Big Spring) SD	Sole Mgr
07/28/2011	Single Family Mortgage Rev Bonds	24.000	Iowa Finance Authority	Co-Mgr
07/28/2011	Homeowner Mortgage Rev Bonds	10.000	Orange Co Housing Finance Auth	Lead Mgr
07/28/2011	General Obligation Bonds	9.590	Lebanon Co (Palmyra) ASD	Sole Mgr
07/28/2011	GO Refunding Bonds	21.555	Los Angeles Co (Glendale) USD	Lead Mgr
07/28/2011	GO & Refunding Bonds	344.020	Texas Public Finance Auth (TPFA)	Co-Mgr
07/27/2011	Single Family Mortgage Rev Bonds	7.200	Minneapolis-St Paul Hsg Fin Bd	Lead Mgr
07/27/2011	General Revenue Bonds	550.000	Regents of the Univ of California	Co-Mgr
07/27/2011	Special Obligation Bonds	36.610	Mississippi Development Bank	Sole Mgr
07/26/2011	Wtrwks & Swr Sys Rev Ref Bonds	239.425	Dallas City-Texas	Co-Mgr
07/25/2011	GO Limited Tax Obligations Bonds	8.300	Delaware (Rose Tree Media) SD	Sole Mgr
07/22/2011	Lease Revenue Bonds	6.800	NYS Dorm Authority	Sole Mgr
07/21/2011	St Revolving Funds Rev Bonds	191.735	NYS Environmental Facs Corp	Co-Mgr
07/21/2011	General Obligation Bonds	6.885	Kennett Square-Pennsylvania	Sole Mgr
07/21/2011	GO & Refunding Bonds	372.230	San Diego Community College Dt	Lead Mgr
07/21/2011	Revenue Bonds	69.760	Pennsylvania Hghr Ed Facs Auth	Sole Mgr
07/21/2011	Special Obligation Rev Ref Bonds	70.645	Miami City-Florida	Lead Mgr
07/21/2011	State Personal Inc Tax Rev Bonds	44.635	NYS Dorm Authority	Co-Mgr
07/21/2011	State Personal Inc Tax Rev Bonds	864.790	NYS Dorm Authority	Co-Mgr
07/20/2011	Homeownership Finance Bonds	42.000	Minnesota Housing Fin Agency	Lead Mgr
07/20/2011	School Building Bonds	39.065	Collin Co (Richardson) ISD	Lead Mgr
07/20/2011	General Receipts Bonds	18.660	Youngstown State University	Lead Mgr
07/20/2011	GO Ref & Sewer Util Ref Bonds	5.270	Elizabeth City-New Jersey	Sole Mgr
07/20/2011	Transportation Revenue Bonds	400.440	Metropolitan Transport Auth (MTA)	Co-Mgr
07/19/2011	Revenue Bonds	21.235	Albany Capital Resource Corp	Sole Mgr
07/15/2011	General Obligation Bonds	3.385	Lackawanna Co (Valley View) SD	Sole Mgr
07/15/2011	Sewer Revenue Bonds	6.000	Berks-Montgomery Muni Auth	Sole Mgr
07/15/2011	Wtr Sys Contract Rev Ref Bonds	2.250	Gulf Coast Water Authority	Co-Mgr
07/15/2011	Unltd Tax School Building Bonds	14.000	Cooke Co (Muenster) ISD	Lead Mgr
07/15/2011	Unltd Tax School Building Bonds	12.996	Andrews Co (Andrews) ISD	Co-Mgr
07/15/2011	GO Refunding Bonds	1.705	White Settlement City-Texas	Lead Mgr
07/15/2011	Maintenance Tax Notes	54.795	Alamo Community College Dt	Co-Mgr
07/14/2011	Student Housing Revenue Bonds	15.300	Wyoming Community Dev Authority	Sole Mgr
07/13/2011	Certificates of Participation	44.520	Palm Beach Co School Board	Co-Mgr
07/13/2011	Certificates of Participation	67.905	Palm Beach Co School Board	Co-Mgr
07/13/2011	Healthcare Revenue Bonds	8.000	Hamilton Co-Ohio	Sole Mgr
07/12/2011	GO Public Improvement & Ref Bonds	602.105	Puerto Rico	Co-Mgr
07/07/2011	General Improvement Ref Bonds	8.170	Branchburg Twp-New Jersey	Sole Mgr
07/07/2011	Mortgage Purchase Bonds	29.325	Maine State Housing Authority	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
07/07/2011	Homeowner Mortgage Rev Bonds	60.000	Florida Housing Finance Corp	Co-Mgr
07/07/2011	Refunding School Bonds	2.150	Tinton Falls Boro Bd of Education	Sole Mgr
07/07/2011	GO Refunding Bonds	13.735	Perth Amboy City-New Jersey	Sole Mgr
07/07/2011	General Obligation Bonds	11.900	San Bernardino Co (Colton) JUSD	Lead Mgr
07/07/2011	Asset-Backed Revenue Bonds	959.195	NY Tobacco Settlement Fin Corp	Co-Mgr
07/06/2011	GO Refunding Bonds	5.285	Los Angeles Co (Little Lake) SD	Sole Mgr
07/01/2011	Unlimited Tax Refunding Bonds	5.030	Cypress Hill MUD # 1	Lead Mgr
07/01/2011	Refunding Bonds	3.535	Horsepen Bayou MUD	Sole Mgr
07/01/2011	Unlimited Tax Refunding Bonds	3.230	Harris Co MUD #5	Lead Mgr
07/01/2011	Healthcare Revenue Bonds	17.500	Hamilton Co-Ohio	Sole Mgr
07/01/2011	Unlimited Tax Refunding Bonds	48.960	Williamson Co (Leander) ISD	Lead Mgr
07/01/2011	Tax Notes	9.445	San Antonio City-Texas	Co-Mgr
07/01/2011	Unlimited Tax Sch Building Bonds	33.670	Brooks Co ISD	Co-Mgr
07/01/2011	Comb Tax & Ltd Pledge rev Certs	2.500	Crowley City-Texas	Sole Mgr
06/30/2011	General Obligation Bonds	.440	Luzerne Co (Crestwood) SD	Sole Mgr
06/30/2011	General Obligation Bonds	5.190	Luzerne Co (Crestwood) SD	Sole Mgr
06/30/2011	Refunding School Bonds	12.100	Clinton Twp Board of Education	Sole Mgr
06/30/2011	General Obligation Bonds	15.655	Dauphin Co-Pennsylvania	Sole Mgr
06/30/2011	Water Revenue Bonds	2.315	Whitehall Twp Auth	Sole Mgr
06/30/2011	Revenue Bonds	3.985	Connecticut Hlth & Ed Facs Auth	Sole Mgr
06/30/2011	Revenue Bonds	12.110	Connecticut Hlth & Ed Facs Auth	Sole Mgr
06/30/2011	Power System Revenue Bonds	694.130	Los Angeles Dept of Wtr & Power	Co-Mgr
06/30/2011	Second Resolution Revenue Bonds	52.120	Albany Muni Water Finance Auth	Sole Mgr
06/29/2011	Refunding School Bonds	4.470	Morris Co (Morris Plains Boro) BOE	Sole Mgr
06/29/2011	Sch Energy Conservation Imp Bonds	3.000	Hamilton Co (Cincinnati City) SD	Sole Mgr
06/29/2011	Home First Mortgage Revenue Bonds	24.000	Indiana Housing Comm Dev Auth	Co-Mgr
06/29/2011	Single Family Mortgage Rev Bonds	11.750	Dakota Co Comm Dev Agency	Sole Mgr
06/29/2011	GO School Improvement Ref Bonds	5.830	Highland Co (Hillsboro) SD	Sole Mgr
06/29/2011	Utility System Revenue Ref Bonds	19.200	West Palm Beach City-Florida	Sole Mgr
06/29/2011	Revenue Bonds	43.905	Connecticut Hlth & Ed Facs Auth	Lead Mgr
06/29/2011	Affordable Housing Revenue Bonds	29.000	NYS Housing Fin-Mortgage Agcy	Co-Mgr
06/28/2011	General Obligation Bonds	6.030	Dauphin Co (Susquehanna) TSD	Sole Mgr
06/28/2011	General Obligation Bonds	4.990	Lebanon Co (East Lebanon) SD	Sole Mgr
06/28/2011	Ltd Tax Golf Course GO Bonds	7.300	Mason City-Ohio	Sole Mgr
06/28/2011	Revenue Refunding Bonds	2.880	Eagle Co Air Terminal Corp	Sole Mgr
06/28/2011	Refunding Revenue Bonds	7.190	Eagle Co Air Terminal Corp	Sole Mgr
06/27/2011	General Obligation Bonds	5.075	Erie Co (Fairview) SD	Sole Mgr
06/23/2011	General Revenue Bonds	11.270	Univ of Oklahoma Bd of Regents	Lead Mgr
06/23/2011	General Revenue Bonds	62.620	Univ of Oklahoma Bd of Regents	Lead Mgr
06/23/2011	General Obligation Bonds	28.100	Lancaster Co (Donegal) SD	Sole Mgr
06/23/2011	General Obligation Bonds	2.300	Susquehanna Co (Blue Ridge) SD	Sole Mgr
06/23/2011	Excise Tax Revenue Bonds	7.300	Tempe City-Arizona	Sole Mgr
06/23/2011	Excise Tax Revenue Bonds	31.825	Tempe City-Arizona	Sole Mgr
06/23/2011	Lease Revenue Refunding Bonds	21.580	Oxnard Financing Authority	Sole Mgr
06/23/2011	Water & Sewer Sys Revenue Bonds	662.245	NYC Municipal Water Fin Auth	Co-Mgr
06/22/2011	General Obligation Bonds	9.465	Lycoming Co (East Lycoming) SD	Sole Mgr
06/22/2011	General Obligation Bonds	9.995	Montgomery (Upper Merion) ASD	Sole Mgr
06/22/2011	General Obligation Bonds	3.430	Lycoming Co (East Lycoming) SD	Sole Mgr
06/21/2011	GO Refunding Bonds	20.875	San Juan Co (Bloomfield) SD #6	Co-Mgr
06/21/2011	**Stormwater Utility Ref Rev Bonds**	**11.325**	**Port St Lucie City-Florida**	**Sole Mgr**
06/21/2011	General Obligation Bonds	25.000	San Diego Co (Carlsbad) USD	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
06/21/2011	General Obligation Bonds	52.998	San Diego Co (Carlsbad) USD	Lead Mgr
06/21/2011	Education Revenue Bonds	9.990	Wisconsin Public Finance Auth	Sole Mgr
06/20/2011	School District Refunding Bonds	18.535	Middlesex Co (Monroe) TBOE	Sole Mgr
06/17/2011	St Clean & Drink Wtr Revolv Bonds	520.675	NYS Environmental Facs Corp	Co-Mgr
06/16/2011	GO & GO Refunding Bonds	8.755	Delaware Co (William Penn) SD	Sole Mgr
06/16/2011	Mortgage Purchase Bonds	16.000	Maine State Housing Authority	Co-Mgr
06/16/2011	GO SD Refunding Serial Bonds	13.645	Nassau (Hewlett-Woodmere) UFSD	Sole Mgr
06/16/2011	General Obligation Bonds	68.730	Southwestern Comm College Dt	Co-Mgr
06/16/2011	General Obligation Bonds	50.000	Ohio Public Fac Commission	Co-Mgr
06/16/2011	GO Job Ready Site Dev Bonds	40.000	Ohio Public Fac Commission	Co-Mgr
06/15/2011	Guaranteed Sewer Revenue Bonds	13.150	Millcreek Twp Sewer Auth	Sole Mgr
06/15/2011	Refunding School Bonds	3.055	Sussex Co (Green) TSD	Sole Mgr
06/15/2011	Water Sys Contract Rev Ref Bonds	4.320	Gulf Coast Water Authority	Co-Mgr
06/15/2011	Various Purpose Ltd Tax GO Bonds	6.960	Mason City-Ohio	Sole Mgr
06/15/2011	Electric System Revenue Bonds	143.375	Omaha Public Power Dt (OPPD)	Co-Mgr
06/15/2011	Comb Tax & Rev Certs of Oblig	4.260	Garland City-Texas	Lead Mgr
06/15/2011	Water & Sewer Sys Revenue Bonds	19.205	Garland City-Texas	Co-Mgr
06/15/2011	Comb Tax & Rev Certs of Oblig	9.235	Elgin City-Texas	Co-Mgr
06/15/2011	Unlimited Tax Refunding Bonds	28.622	Ellis Co (Waxahachie) ISD	Co-Mgr
06/15/2011	GO Refunding & Improvement Bonds	8.420	Cedar Park City-Texas	Lead Mgr
06/14/2011	Transport Excise Tax Rev Bonds	136.480	Pima Co Reg Transportation Auth	Lead Mgr
06/09/2011	General Obligation Bonds	9.000	Schuylkill (Schuykill Haven) ASD	Sole Mgr
06/09/2011	GO Bonds of Sch Facs Imp Dt	25.000	Orange Co (Tustin) USD	Sole Mgr
06/09/2011	General Obligation Bonds	5.375	Tempe City-Arizona	Sole Mgr
06/09/2011	Revenue Bonds	31.000	Pennsylvania St Pub Sch Bldg Auth	Co-Mgr
06/09/2011	Revenue Bonds	40.308	Pennsylvania St Pub Sch Bldg Auth	Co-Mgr
06/09/2011	University Revenue Bonds	18.000	Allegheny Co Higher Ed Bldg Auth	Sole Mgr
06/08/2011	School Districts Revenue Bonds	327.315	NYS Dorm Authority	Lead Mgr
06/07/2011	Senior Lien Excise Tax Rev & Ref	51.835	Phoenix City Civic Imp Corp	Lead Mgr
06/07/2011	Sr Lien & Sub Excise Tax Rev Ref	109.500	Phoenix City Civic Imp Corp	Lead Mgr
06/07/2011	GO Refunding Bonds	5.875	El Paso Co (Manitou Spr) SD #14	Sole Mgr
06/06/2011	General Obligation Bonds	1.800	Lackawanna Co (Riverside) SD	Sole Mgr
06/02/2011	General Obligation Bonds	4.875	Bucks Co (New Hope-Solebury) SD	Sole Mgr
06/02/2011	General Obligation BANs	30.200	Los Angeles Co (El Monte) UHSD	Sole Mgr
06/02/2011	Student Loan Backed Notes	516.000	North Carolina St Ed Assist Auth	Lead Mgr
06/01/2011	Unlimited Tax Refunding Bonds	2.935	Cinco MUD#10	Lead Mgr
06/01/2011	GO School District Ref Bonds	46.790	Suffolk Co (Smithtown) CSD	Sole Mgr
06/01/2011	Water Sys Contract Rev Ref Bonds	21.240	Gulf Coast Water Authority	Lead Mgr
06/01/2011	GO Refunding & Improvement Bonds	12.665	Rockwall City-Texas	Co-Mgr
06/01/2011	Refunding Bonds	5.230	Harris Co MUD #55	Co-Mgr
06/01/2011	Unlimited Tax Refunding Bonds	3.955	Northwest Harris Co MUD #19	Lead Mgr
06/01/2011	GO Consolidated Loan Bonds	469.635	Massachusetts	Co-Mgr
06/01/2011	Reg Wst Wtr Sys Revenue Ref Bonds	69.280	Trinity River Authority	Lead Mgr
06/01/2011	Senior & Sub Lien Revenue Bonds	375.929	Ctl Texas Reg Mobility Au (CTRMA)	Co-Mgr
06/01/2011	Comb Tax & Rev Certs of Oblig	1.815	Woodway City-Texas	Sole Mgr
06/01/2011	GO Refunding Bonds	4.380	Woodway City-Texas	Sole Mgr
06/01/2011	Comb Tax & Ltd Rev Certs of Oblig	7.365	Watauga City-Texas	Lead Mgr
05/31/2011	GO & Refunding Bonds	9.950	Delaware Co (William Penn) SD	Sole Mgr
05/31/2011	General Obligation Bonds	15.720	Lancaster SD	Sole Mgr
05/31/2011	General Obligation Bonds	9.135	Connecticut	Co-Mgr
05/31/2011	General Obligation Bonds	13.245	Connecticut	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
05/31/2011	General Obligation Bonds	40.000	Connecticut	Co-Mgr
05/31/2011	General Obligation Bonds	75.800	Connecticut	Co-Mgr
05/31/2011	General Obligation Bonds	113.735	Connecticut	Co-Mgr
05/31/2011	General Obligation Bonds	13.095	Connecticut	Co-Mgr
05/31/2011	General Obligation Bonds	61.905	Connecticut	Co-Mgr
05/26/2011	Single Family Homeownership Bonds	16.400	Montana Board of Housing	Lead Mgr
05/26/2011	Revenue Bonds	13.855	Monroe Co Industrial Dev Corp	Sole Mgr
05/26/2011	General Revenue Bonds	61.440	Nebraska Public Power Dt	Co-Mgr
05/26/2011	Senior Notes	650.000	Puerto Rico Government Dev Bank	Co-Mgr
05/26/2011	GO Refunding Bonds	16.990	Warwick City-Rhode Island	Sole Mgr
05/26/2011	Refundng Revenue Bonds	3.930	Kent Co-Delaware	Sole Mgr
05/26/2011	General Obligation Bonds	7.490	Oregon	Co-Mgr
05/26/2011	General Obligation Bonds	59.845	Oregon	Co-Mgr
05/26/2011	GO Refunding Bonds	90.555	Oregon	Co-Mgr
05/26/2011	General Obligation Bonds	152.720	Oregon	Co-Mgr
05/26/2011	Multi-Family Mortgage Rev Bonds	7.450	Florida Housing Finance Corp	Sole Mgr
05/25/2011	General Obligation Bonds	4.870	St Marys-Pennsylvania	Sole Mgr
05/25/2011	General Obligation Bonds	75.000	Pima Co-Arizona	Sole Mgr
05/25/2011	Single Family Mortgage Rev Bonds	20.000	New Hampshire Housing Fin Auth	Co-Mgr
05/25/2011	Multi-Family Mortgage Rev Bonds	8.680	Maryland Dept of Hsg & Comm Dev	Lead Mgr
05/25/2011	Revenue Bonds	25.265	Massachusetts Dev Finance Agcy	Sole Mgr
05/25/2011	Hospital Revenue Bonds	111.435	Indiana Finance Authority	Sole Mgr
05/24/2011	General Obligation Bonds	10.000	Berks Co (Wilson) SD	Sole Mgr
05/19/2011	Single Family Mortgage Prog Bonds	31.500	New Mexico Mortgage Fin Auth	Lead Mgr
05/19/2011	General Obligation Bonds	4.410	Slatington-Pennsylvania	Sole Mgr
05/19/2011	Multi-Family Housing Bonds	12.445	New Hampshire Housing Fin Auth	Sole Mgr
05/19/2011	GO SD Refunding Serial Bonds	10.705	Suffolk Co (Babylon) UFSD	Sole Mgr
05/19/2011	GO Library & SD Ref Serial Bonds	8.395	Suffolk Co (West Babylon) UFSD	Sole Mgr
05/19/2011	General Obligation Bonds	6.290	Oregon	Co-Mgr
05/19/2011	General Obligation Bonds	51.225	Oregon	Co-Mgr
05/19/2011	General Obligation Bonds	51.670	Oregon	Co-Mgr
05/19/2011	General Obligation Bonds	68.905	Oregon	Co-Mgr
05/19/2011	General Receipts Bonds	28.080	University of Cincinnati	Sole Mgr
05/19/2011	Multi-Family Mortgage Rev Bonds	5.640	Florida Housing Finance Corp	Co-Mgr
05/19/2011	Multi-Family Mortgage Rev Bonds	.195	Florida Housing Finance Corp	Sole Mgr
05/19/2011	Ref Certificates of Participation	13.485	Colorado Springs Pub Facs Auth	Sole Mgr
05/17/2011	Guaranteed Sewer Revenue Bonds	7.650	Ephrata Borough Authority	Sole Mgr
05/17/2011	General Obligation Bonds	7.785	Columbia Co (Millville) ASD	Sole Mgr
05/16/2011	Revenue Bonds	156.705	Pennsylvania Hghr Ed Facs Auth	Co-Mgr
05/15/2011	GO Refunding Bonds	9.110	Alvin City-Texas	Co-Mgr
05/15/2011	School Building & Refunding Bonds	57.395	Harris Co (Humble) ISD	Lead Mgr
05/15/2011	Airport Sys Jr Sub Lien Rev Notes	100.000	Clark Co-Nevada	Co-Mgr
05/15/2011	School Building & Refunding Bonds	83.981	Collin Co (Frisco) ISD	Co-Mgr
05/12/2011	Unltd Tax Refunding Bonds	2.007	Hood Co (Tolar) ISD	Sole Mgr
05/12/2011	Sr Ltd Property Tax Rev Ref Bonds	47.385	Park Creek Metropolitan Dt	Lead Mgr
05/12/2011	School Improvement Bonds	9.000	Maricopa Co (Mesa) USD #4	Sole Mgr
05/12/2011	Transportation System Bonds	600.000	New Jersey Trans Trust Fund Au	Co-Mgr
05/11/2011	Single Family Mortgage Bonds	39.200	Colorado Hsg & Fin Au (CHFA)	Lead Mgr
05/11/2011	GO Refunding Bonds	10.360	Chandler City-Arizona	Lead Mgr
05/10/2011	Unlimited Tax Refunding Bonds	42.335	Collin Co (Richardson) ISD	Lead Mgr
05/10/2011	Refunding School Bonds	17.215	Montgomery Twp Board of Educ	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
05/10/2011	GO Refunding Bonds	1.690	Egg Harbor City-New Jersey	Sole Mgr
05/06/2011	General Obligation Bonds	2.050	Baden-Pennsylvania	Sole Mgr
05/05/2011	Single Family Mortgage Rev Bonds	40.000	Missouri Housing Dev Commission	Co-Mgr
05/05/2011	General Obligation Bonds	4.670	Franklin Co (Tuscarora) SD	Sole Mgr
05/05/2011	Residential Revenue Bonds	70.825	Maryland Dept of Hsg & Comm Dev	Co-Mgr
05/05/2011	Lease Revenue Bonds	33.770	Summit Co Dev Finance Auth	Sole Mgr
05/04/2011	General Obligation Bonds	9.995	Berks Co (Brandywine Hts) ASD	Sole Mgr
05/04/2011	Ltd Tax General Obligation Bonds	3.850	Berks Co (Brandywine Hts) ASD	Sole Mgr
05/03/2011	Ltd Tax Obligation GO Bonds	3.095	Berks Co (Antietam) SD	Sole Mgr
05/03/2011	General Obligation Bonds	3.855	Lebanon Co-Pennsylvania	Sole Mgr
05/03/2011	School & Gen Assessment Ref Bonds	11.310	Summit City-New Jersey	Sole Mgr
05/03/2011	Revenue Bonds	204.545	California Health Facs Fin Auth	Sole Mgr
05/01/2011	Unlimited Tax Refunding Bonds	9.445	Tarrant Co (Keller) ISD	Co-Mgr
05/01/2011	Comb Tax & Rev Certs of Oblig	7.075	Burleson City-Texas	Lead Mgr
05/01/2011	GO Refunding Bonds	10.770	Burleson City-Texas	Lead Mgr
05/01/2011	Wtrwks & Swr Sys Rev Ref Bonds	8.500	Benbrook Water Authority	Co-Mgr
05/01/2011	Unltd Tax School Bldg & Ref Bonds	25.255	Johnson Co (Burleson) ISD	Lead Mgr
05/01/2011	Unlimited Tax Bonds	13.655	Tarrant Co (Arlington) ISD	Co-Mgr
05/01/2011	Unltd Tax School Building Bonds	50.717	Tarrant Co (Arlington) ISD	Co-Mgr
04/28/2011	Turnpike Revenue Bonds	68.660	Pennsylvania Turnpike Commission	Lead Mgr
04/28/2011	Dedicated Tax Fund Bonds	294.460	Metropolitan Transport Auth (MTA)	Co-Mgr
04/28/2011	Revenue Bonds	96.645	NYS Dorm Authority	Co-Mgr
04/28/2011	Ed Facilities Revenue Bonds	3.950	California School Finance Auth	Sole Mgr
04/28/2011	Revenue Bonds	17.780	Pennsylvania Hghr Ed Facs Auth	Sole Mgr
04/28/2011	Special Assessment Rev Imp Bonds	12.880	Sunshine Water Control Dt	Co-Mgr
04/28/2011	Revenue Bonds	50.000	NYS Dorm Authority	Co-Mgr
04/28/2011	Turnpike Revenue Bonds	92.035	Pennsylvania Turnpike Commission	Lead Mgr
04/28/2011	Tax Increment Ref Revenue Bonds	9.075	Sheridan Redevelopment Agency	Sole Mgr
04/28/2011	Tax Increment Ref Revenue Bonds	65.000	Sheridan Redevelopment Agency	Sole Mgr
04/27/2011	GO & GO Ltd Tax Bonds	41.780	Berks Co (Hamburg) ASD	Sole Mgr
04/25/2011	Future Tax Secured Sub Bonds	649.425	NYC Transitional Finance Auth	Co-Mgr
04/21/2011	General Obligation Bonds	5.001	Glendale Community College Dt	Sole Mgr
04/21/2011	Var Rte Hospital Rev Ref Bonds	36.500	Jackson Co Hospital Fin Auth	Sole Mgr
04/20/2011	Homeownership Finance Bonds	67.500	Minnesota Housing Fin Agency	Lead Mgr
04/20/2011	General Obligation Notes	.320	Reading City-Pennsylvania	Lead Mgr
04/20/2011	General Obligation Notes	20.945	Reading City-Pennsylvania	Lead Mgr
04/20/2011	Student Loan Backed Bonds	509.000	Louisiana Public Facs Auth (LPFA)	Lead Mgr
04/19/2011	GO Limited Tax Obligations Bonds	6.595	Lancaster Co (Cocalico) SD	Sole Mgr
04/18/2011	General Obligation Bonds	18.120	Luzerne Co (Pittston) ASD	Sole Mgr
04/15/2011	Federally Taxable GO Bonds	4.220	Lackawanna Co (Scranton City) SD	Sole Mgr
04/15/2011	General Obligation Bonds	24.095	Lackawanna Co (Scranton City) SD	Sole Mgr
04/15/2011	Comb Tax & Rev Certs of Oblig	6.100	Kerrville City-Texas	Co-Mgr
04/14/2011	Unlted Tax Refunding Bonds	8.880	Tarrant Co (Kennedale) ISD	Co-Mgr
04/14/2011	Housing Finance Program Bonds	40.000	Tennessee Hsg Dev Agcy (THDA)	Lead Mgr
04/14/2011	Pledged Revenue Obligations	14.000	Yavapai Co Community College Dt	Sole Mgr
04/14/2011	Revenue Bonds	247.600	Sunshine State Govt Fin Commiss	Co-Mgr
04/14/2011	Ref Certificates of Participation	396.235	Denver City and Co SD #1	Co-Mgr
04/14/2011	Certificates of Participation	100.000	Denver City and Co SD #1	Sole Mgr
04/13/2011	Water & Sewer Ref Revenue Bonds	74.855	Lee Co-Florida	Co-Mgr
04/13/2011	College Revenue Bonds	70.585	Pennsylvania St Pub Sch Bldg Auth	Co-Mgr
04/12/2011	Multi-family Housing Rev Bonds	10.250	Pinellas Co Housing Fin Auth	Sole Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
04/07/2011	Revenue Bonds	25.905	Tompkins Co Development Corp	Sole Mgr
04/06/2011	General Obligation Bonds	8.785	Montgomery Co (Upper Moreland) TSD	Sole Mgr
04/06/2011	GO Refunding Bonds	43.115	Scottsdale City-Arizona	Co-Mgr
04/06/2011	Excise Tax Revenue Ref Bonds	12.015	Scottsdale Preserve Authority	Co-Mgr
04/05/2011	General Obligation Bonds	9.775	Chester Co (Owen J Roberts) SD	Sole Mgr
04/05/2011	Capital Improvement Revenue Bonds	16.215	St Augustine City-Florida	Sole Mgr
04/05/2011	GO School District Ref Bonds	8.405	Blind Brook-Rye UFSD	Sole Mgr
04/04/2011	General Obligation Bonds	3.175	Lackawanna Co (Old Forge) SD	Sole Mgr
04/01/2011	Unlimited Tax Refunding Bonds	4.565	Montgomery Co MUD #67	Sole Mgr
04/01/2011	General Obligation Bonds	7.535	York Co (Dover) ASD	Sole Mgr
04/01/2011	General Obligation Bonds	45.125	New York City-New York	Co-Mgr
04/01/2011	General Obligation Bonds	400.000	New York City-New York	Co-Mgr
04/01/2011	Unlimited Tax Refunding Bonds	8.060	San Patricio Co (Ingleside) ISD	Co-Mgr
04/01/2011	Limited Tax Notes	.375	Mineral Wells-Texas	Sole Mgr
04/01/2011	GO Refunding Bonds	5.355	Mineral Wells-Texas	Sole Mgr
04/01/2011	GO Refunding Bonds	28.030	San Angelo City-Texas	Co-Mgr
04/01/2011	Water Facilities Revenue Bonds	40.000	Connecticut Development Auth	Sole Mgr
03/31/2011	Homeowner Mtg Rev & Ref Bonds	34.855	Florida Housing Finance Corp	Co-Mgr
03/31/2011	Homeowner Mtg Rev & Ref Bonds	50.355	Florida Housing Finance Corp	Co-Mgr
03/31/2011	Mortgage Revenue Bonds	115.410	NYS Housing Fin-Mortgage Agcy	Co-Mgr
03/31/2011	Dedicated Tax Fund Ref Bonds	127.450	Metropolitan Transport Auth (MTA)	Co-Mgr
03/31/2011	Wtr & Swr Sys Revenue Bonds	541.810	NYC Municipal Water Fin Auth	Co-Mgr
03/30/2011	General Obligation Notes	12.160	York Co (Dallastown) ASD	Sole Mgr
03/30/2011	Sewer Sys Rev Refunding Bonds	43.625	Pima Co-Arizona	Sole Mgr
03/30/2011	Sub Lien Water & Sewer Rev Oblig	15.480	Goodyear City-Arizona	Co-Mgr
03/30/2011	Certificates of Participation	2.310	Greene Co (Cedar Cliff) LSD	Sole Mgr
03/30/2011	Rental Housing Bonds	8.890	Minnesota Housing Fin Agency	Lead Mgr
03/29/2011	General Obligation Bonds	30.645	Monroe Co (Stroudsburg) ASD	Sole Mgr
03/29/2011	Certificates of Participation	137.660	Miami-Dade Co School Board	Co-Mgr
03/29/2011	Certificates of Participation	139.055	Miami-Dade Co School Board	Co-Mgr
03/28/2011	Guaranteed Sewer Revenue Bonds	6.765	Montgomery Twp Muni Swr Auth	Sole Mgr
03/24/2011	Homeownership Program Bonds	40.000	Washington State Hsg Fin Comm	Lead Mgr
03/24/2011	Single Family Mortgage Rev Bonds	158.840	Pennsylvania Housing Fin Agency	Lead Mgr
03/24/2011	General Improvement Ref Bonds	6.050	Piscataway Twp-New Jersey	Sole Mgr
03/24/2011	Revenue Bonds	10.750	Vermont Ed & Hlth Bldgs Fin Agy	Sole Mgr
03/24/2011	State Revolv Fund Gen Rev Bonds	182.935	Connecticut	Co-Mgr
03/23/2011	General Obligation Bonds	3.975	Derry Twp-Pennsylvania	Sole Mgr
03/23/2011	GO Refunding Bonds	8.910	Clifton City-New Jersey	Sole Mgr
03/23/2011	School Improvement Bonds	9.950	Pima Co (Flowing Wells) USD #8	Sole Mgr
03/22/2011	GO Limited Tax Bonds	9.945	York Co (York Suburban) SD	Sole Mgr
03/22/2011	Certificates of Participation	4.320	Lake Co (Willoughby-Eastlake) SD BOE	Sole Mgr
03/22/2011	Special Obligation TANs	8.625	Lake Co (Willoughby-Eastlake) SD BOE	Sole Mgr
03/22/2011	Certificates of Participation	9.785	Lake Co (Willoughby-Eastlake) SD BOE	Sole Mgr
03/22/2011	GO State Local Facs Loan Bonds	130.770	Maryland	Co-Mgr
03/17/2011	State Revolving Funds Rev Bonds	132.745	NYS Environmental Facs Corp	Co-Mgr
03/17/2011	GO Refunding Bonds	12.775	Albany City-New York	Sole Mgr
03/17/2011	Revenue Bonds	49.795	New Hampshire Hlth & Ed Facs Auth	Co-Mgr
03/17/2011	GO Public Improvement Ref Bonds	442.015	Puerto Rico	Co-Mgr
03/16/2011	School Improvement Bonds	30.000	Maricopa Co (Deer Valley) USD #97	Lead Mgr
03/15/2011	School Improvement Ref Bonds	3.075	Western Brown LSD	Sole Mgr
03/15/2011	Open Space Cap Imp Trust Fd Bonds	60.595	Boulder Co-Colorado	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
03/15/2011	Tax Wtr Swr Surplus Rev Ref Bonds	6.340	Decatur City-Texas	Sole Mgr
03/15/2011	Unlimited Tax Refunding Bonds	1.243	McLennan Co (Connally) ISD	Sole Mgr
03/15/2011	Unlimited Tax Refunding Bonds	3.130	Wise Co Water Supply Dt	Sole Mgr
03/10/2011	General Obligation Bonds	3,700.000	Illinois	Co-Mgr
03/10/2011	GO School Bonds	33.785	Matanuska-Susitna Boro-Alaska	Sole Mgr
03/10/2011	Refunding School Bonds	3.130	Union Co (Mountainside Boro) BOE	Sole Mgr
03/10/2011	Student Housing Revenue Bonds	132.225	Illinois Finance Authority	Sole Mgr
03/10/2011	GO Refunding Bonds	4.440	Belle Creek Metropolitan Dt #1	Sole Mgr
03/09/2011	Homeowner Mortgage Rev Bonds	60.000	Florida Housing Finance Corp	Lead Mgr
03/09/2011	Revenue Bonds	27.140	Minnesota Higher Ed Facs Auth	Sole Mgr
03/09/2011	Student Loan Backed Notes	445.000	North Carolina St Ed Assist Auth	Lead Mgr
03/08/2011	Guaranteed Water Revenue Bonds	4.715	Millcreek Twp Water Auth	Sole Mgr
03/07/2011	General Obligation Bonds	8.925	Lackawanna Co (Scranton City) SD	Sole Mgr
03/02/2011	Education Revenue Bonds	.380	La Vernia Higher Ed Fin Corp	Lead Mgr
03/02/2011	Education Revenue Bonds	38.880	La Vernia Higher Ed Fin Corp	Lead Mgr
03/02/2011	General Obligation Bonds	7.170	York Co (Red Lion) ASD	Sole Mgr
03/01/2011	GO & Refunding Bonds	90.050	Allegheny Co-Pennsylvania	Co-Mgr
03/01/2011	Special Rev Transport Ref Bonds	252.845	Allegheny Co Port Authority	Lead Mgr
03/01/2011	GO Refunding Bonds	5.550	Jefferson Co-Texas	Sole Mgr
02/28/2011	Hospital Revenue Bonds	20.000	Natrona Co-Wyoming	Sole Mgr
02/24/2011	Multi-Family Mortgage Rev Bonds	2.190	Maryland Dept of Hsg & Comm Dev	Co-Mgr
02/24/2011	School District Refunding Bonds	3.890	St Lawrence (Ogdensburg) CSD	Sole Mgr
02/23/2011	GO Limited Tax Bonds	7.500	Chester Co (Octorara) ASD	Sole Mgr
02/23/2011	Student Housing Revenue Bonds	59.610	Illinois Finance Authority	Sole Mgr
02/22/2011	General Obligation Bonds	20.670	Chester Co (Downingtown) ASD	Sole Mgr
02/22/2011	Co-Guar Cap Imp Rev Ref Bonds	2.120	Middlesex Co Improvement Auth	Sole Mgr
02/22/2011	General Obligation Bonds	6.965	Lancaster Co (Cocalico) SD	Sole Mgr
02/18/2011	General Obligation Bonds	2.490	Berks Co (Daniel Boone) ASD	Sole Mgr
02/17/2011	Ltd Tax General Obligation Bonds	1.700	Loveland City-Ohio	Sole Mgr
02/17/2011	GO School Energy Con Imp Bonds	2.030	Preble Co (Eaton) SD	Sole Mgr
02/17/2011	GO Public Improvemnet Ref Bonds	356.520	Puerto Rico	Co-Mgr
02/16/2011	General Obligation Bonds	8.825	Dauphin Co (Derry) TSD	Sole Mgr
02/15/2011	General Obligation Notes & Bonds	19.905	Southern Columbia (Catawissa) ASD	Sole Mgr
02/15/2011	Unlimited Tax School Bldg Bonds	13.300	Jack Co (Bryson) ISD	Lead Mgr
02/15/2011	Hospital Revenue Bonds	60.830	West Shore Area Authority	Co-Mgr
02/15/2011	GO Water Supply Sys Ref Bonds	3.390	Oxford-Ohio	Sole Mgr
02/15/2011	Dormitory Revenue Bonds	16.525	Escambia Co Housing Finance Auth	Sole Mgr
02/15/2011	Nonprofit Housing Bonds	21.750	Minnesota Housing Fin Agency	Lead Mgr
02/15/2011	School Building & Refunding Bonds	33.087	Brown Co (Brownsboro) ISD	Lead Mgr
02/15/2011	Unlimited Tax Refunding Bonds	1.535	Wood Co (Yantis) ISD	Sole Mgr
02/15/2011	GO Refunding Bonds	2.945	Colleyville City-Texas	Lead Mgr
02/15/2011	Comb Tax Increment Rev Ref Bonds	5.255	Colleyville City-Texas	Lead Mgr
02/10/2011	GO Refunding Bonds	9.640	Yavapai Co Community College Dt	Sole Mgr
02/10/2011	School Improvement Bonds	1.600	Maricopa Co (Paradise Valley) USD #69	Sole Mgr
02/10/2011	School Improvement Bonds	24.025	Maricopa Co (Paradise Valley) USD #69	Sole Mgr
02/10/2011	Future Tax Secured Bonds	775.000	NYC Transitional Finance Auth	Co-Mgr
02/09/2011	General Obligation Bonds	10.960	Berks Co (Fleetwood) ASD	Sole Mgr
02/01/2011	General Receipts Bonds	17.000	University of Cincinnati	Sole Mgr
02/01/2011	Ltd Tx Comb Tx Rev Certs of Oblig	6.305	Grand Prairie City-Texas	Co-Mgr
02/01/2011	Wtr & Wstwtr Sys Rev Ref Bonds	8.940	Grand Prairie City-Texas	Co-Mgr
02/01/2011	GO Ltd Tax Refunding & Imp Bonds	14.930	Grand Prairie City-Texas	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
02/01/2011	Unltd Tax School Building Bonds	42.665	Cherokee Co (Jacksonville) ISD	Lead Mgr
02/01/2011	Unlimited Tax Refunding Bonds	17.850	Andrews Co (Andrews) ISD	Co-Mgr
02/01/2011	Unlimited Tax Refunding Bonds	3.770	Wichita Co (Iowa Park) CISD	Sole Mgr
02/01/2011	Multi-Family Hsg Rev Ref Bonds	17.150	Arlington Co Industrial Dev Auth	Sole Mgr
01/27/2011	GO Refunding Bonds	7.125	Harrison Twp-New Jersey	Sole Mgr
01/27/2011	GO Refunding Bonds	7.125	Stafford Twp-New Jersey	Sole Mgr
01/27/2011	GO Limited Tax Bonds	2.100	Glen Metropolitan Dt #1	Sole Mgr
01/27/2011	Water and Sewer Sys Rev Bonds	450.000	NYC Municipal Water Fin Auth	Co-Mgr
01/20/2011	Future Tax Secured Sub Bonds	875.000	NYC Transitional Finance Auth	Co-Mgr
01/15/2011	Tx Wtr Swr Sys Rev Certs of Oblig	3.035	Euless City-Texas	Sole Mgr
01/15/2011	GO Refunding Bonds	6.945	Euless City-Texas	Sole Mgr
01/06/2011	GO School Improvement Bonds	1.325	Hamilton Co (No College Hill) SD	Sole Mgr
01/05/2011	School District Refunding Bonds	3.940	Alleghany Co (Bolivar-Richburg) CSD	Sole Mgr
01/04/2011	General Obligation Bonds	100.000	New York City-New York	Co-Mgr
01/01/2011	Unlimited Tax Refunding Bonds	8.740	Comal ISD	Lead Mgr
12/30/2010	General Obligation Bonds	10.790	Carbon Co (Palmerton) ASD	Sole Mgr
12/30/2010	Installment Purchase COPs	1.515	Pennsylvania	Sole Mgr
12/30/2010	Installment Purchase COPs	2.940	Pennsylvania	Sole Mgr
12/30/2010	Installment Purchase COPs	7.930	Pennsylvania	Sole Mgr
12/30/2010	Student Loan Revenue Bonds	25.265	Utah State Board of Regents	Lead Mgr
12/30/2010	Student Loan Revenue Bonds	364.150	Utah State Board of Regents	Lead Mgr
12/30/2010	Installment Purchase COPs	3.405	Pennsylvania	Sole Mgr
12/29/2010	Loan Revenue Bonds	17.100	Camden Co Improvement Auth	Sole Mgr
12/29/2010	General Obligation Bonds	12.000	Columbia-Pennsylvania	Sole Mgr
12/29/2010	General Obligation Bonds	12.000	Lancaster Co (Columbia Boro) SD	Sole Mgr
12/29/2010	Ltd Tax Supported Dev Rev Bonds	1.930	Minneapolis City-Minnesota	Co-Mgr
12/29/2010	Ltd Tax Supported Dev Rev Bonds	23.070	Minneapolis City-Minnesota	Co-Mgr
12/29/2010	Recovery Zone Economic Dev Bonds	12.480	Essex Co Improvement Authority	Sole Mgr
12/29/2010	Airport Refunding Bonds	2.435	Lincoln Airport Authority	Sole Mgr
12/29/2010	Transportation Revenue Bonds	750.000	Metropolitan Transport Auth (MTA)	Co-Mgr
12/28/2010	Aux & Campus Facs Sys Rev Bonds	2.570	Utah State Board of Regents	Co-Mgr
12/28/2010	Aux & Campus Sys Facs Rev Bonds	42.525	Utah State Board of Regents	Co-Mgr
12/23/2010	Measure M2 Sales Tax Rev Bonds	59.030	Orange Co Local Transport Auth	Co-Mgr
12/23/2010	Measure M2 Sales Tax Rev Bonds	293.540	Orange Co Local Transport Auth	Co-Mgr
12/23/2010	Special Obligation Ref GANs	380.530	Massachusetts	Co-Mgr
12/23/2010	Utilities System Revenue Bonds	48.760	Colorado Springs City-Colorado	Co-Mgr
12/23/2010	Utilities Sytem Revenue Bonds	107.260	Colorado Springs City-Colorado	Co-Mgr
12/23/2010	Utilities System Revenue Bonds	117.835	Colorado Springs City-Colorado	Co-Mgr
12/22/2010	Refunding Bonds	45.725	Pima Co (Tucson) USD #1	Co-Mgr
12/22/2010	Income Tax Secured Revenue Bonds	342.615	District of Columbia	Co-Mgr
12/22/2010	Aviation Sys Rev Cap Loan Notes	10.125	Des Moines City-Iowa	Sole Mgr
12/22/2010	Aviation Sys Rev Cap Loan Notes	10.330	Des Moines City-Iowa	Sole Mgr
12/22/2010	Aviation Sys Rev Cap Loan Notes	15.990	Des Moines City-Iowa	Sole Mgr
12/22/2010	General Obligation Bonds	5.000	Arapahoe Co (Aurora) JSD #28J	Lead Mgr
12/22/2010	General Obligation Bonds	37.315	Arapahoe Co (Aurora) JSD #28J	Lead Mgr
12/22/2010	Mortgage Purchase Bonds	28.940	Maine State Housing Authority	Co-Mgr
12/22/2010	General Obligation Bonds	1.190	Scotts Bluff (Gering) PSD #0016	Sole Mgr
12/22/2010	General Obligation Bonds	6.710	Scotts Bluff (Gering) PSD #0016	Sole Mgr
12/22/2010	Housing Revenue Bonds	13.625	Wisconsin Hsg & Econ Dev Auth	Co-Mgr
12/22/2010	Housing Revenue Bonds	29.150	Wisconsin Hsg & Econ Dev Auth	Co-Mgr
12/22/2010	General Obligation Bonds	181.330	District of Columbia	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/22/2010	Multi-Family Housing Rev Bonds	11.300	Essex Co Improvement Authority	Sole Mgr
12/21/2010	General Obligation Bonds	16.855	York Co (Southern York) SD	Sole Mgr
12/21/2010	General Obligation Bonds	60.041	Rio Hondo Comm College Dt	Co-Mgr
12/21/2010	General Obligation Bonds	902.000	New York City-New York	Co-Mgr
12/21/2010	Var Rate Demand Revenue Bonds	20.145	Connecticut Hlth & Ed Facs Auth	Sole Mgr
12/20/2010	Multi-Family Mortgage Rev Bonds	12.250	Florida Housing Finance Corp	Sole Mgr
12/20/2010	General Obligation Bonds	60.000	Larimer Co (Poudre) SD #R-1	Sole Mgr
12/16/2010	Guaranteed Revenue Bonds	2.345	Elk Co Industrial Dev Auth	Sole Mgr
12/16/2010	Limited Obligation Bonds	20.420	Buncombe Co-North Carolina	Co-Mgr
12/16/2010	Limited Obligation Bonds	31.500	Buncombe Co-North Carolina	Co-Mgr
12/16/2010	Highway Revenue Bonds	5.385	Washoe Co-Nevada	Co-Mgr
12/16/2010	Highway Revenue Bonds	5.840	Washoe Co-Nevada	Co-Mgr
12/16/2010	Sales Tax Improvement Bonds	20.000	Washoe Co-Nevada	Co-Mgr
12/16/2010	General Obligation Bonds	22.435	Berks Co (Wyomissing) ASD	Sole Mgr
12/16/2010	Residential Mortage Rev Bonds	40.000	Maryland Dept of Hsg & Comm Dev	Co-Mgr
12/16/2010	Certificates of Participation	2.000	Colorado	Lead Mgr
12/16/2010	Certificates of Participation	95.690	Colorado	Lead Mgr
12/16/2010	Certificates of Participation	119.840	Colorado	Lead Mgr
12/16/2010	Student Loan Rev Bonds	25.570	Rhode Island Student Loan Auth	Co-Mgr
12/16/2010	Highway Revenue Bonds	58.775	Washoe Co-Nevada	Co-Mgr
12/16/2010	Communications Svc Tax Rev Bonds	18.760	Sarasota Co-Florida	Sole Mgr
12/16/2010	Unemploy Comp Oblig Assess Bonds	849.465	Texas Public Finance Auth (TPFA)	Co-Mgr
12/16/2010	Refunding Revenue Bonds	120.275	NYS Dorm Authority	Co-Mgr
12/16/2010	Education Revenue Bonds	6.995	Florence Town Inc Ind Dev Auth	Sole Mgr
12/16/2010	Multi-Family Housing Rev Bonds	8.000	District of Columbia Hsg Fin Agy	Sole Mgr
12/15/2010	General Obligation Bonds	3.040	Lehigh Co (Catasauqua) ASD	Sole Mgr
12/15/2010	Unemployment Compensation Oblig	1,110.415	Texas Public Finance Auth (TPFA)	Co-Mgr
12/15/2010	Revenue Bonds	300.000	Colorado Bridge Enterprise	Lead Mgr
12/15/2010	Refunding Certs of Participation	3.215	Los Angeles Co (Santa Monica-Malibu) USD	Co-Mgr
12/15/2010	Refunding Certs of Participation	8.015	Los Angeles Co (Santa Monica-Malibu) USD	Co-Mgr
12/15/2010	Revenue Bonds	13.635	New Jersey Educational Facs Au	Sole Mgr
12/15/2010	Multi-Family Housing Rev Bonds	1.800	Florida Housing Finance Corp	Sole Mgr
12/15/2010	Unltd Tax School Building Bonds	50.000	Collin Co (Frisco) ISD	Lead Mgr
12/15/2010	Cap Asset Acq Spec Oblig Bonds	40.280	Miami-Dade Co-Florida	Co-Mgr
12/15/2010	Turnpike Revenue Bonds	1,850.000	New Jersey Turnpike Authority	Co-Mgr
12/15/2010	General Obligation Bonds	12.045	Aspen Valley Hospital Dt	Sole Mgr
12/15/2010	General Obligation Bonds	37.955	Aspen Valley Hospital Dt	Sole Mgr
12/15/2010	Educational Facs Rev & Ref Bonds	13.180	Douglas Co-Nebraska	Lead Mgr
12/15/2010	Educational Facs Rev & Ref Bonds	33.435	Douglas Co-Nebraska	Lead Mgr
12/15/2010	Revenue Bonds	124.995	Maryland Hlth & Hghr Ed Facs Au	Co-Mgr
12/15/2010	Wtrwrks & Swr Sys Rev Ref Bonds	4.860	Cleburne City-Texas	Lead Mgr
12/15/2010	Ltd Tax GO Refunding Bonds	5.848	Cleburne City-Texas	Lead Mgr
12/15/2010	Single Family Mortgage Rev Bonds	82.930	Indiana Housing Comm Dev Auth	Sole Mgr
12/15/2010	Education Loan Bonds	167.300	New Mexico Ed Assist Foundation	Co-Mgr
12/15/2010	Comb Tax & Ltd Rev Cert of Oblig	1.400	Abilene City-Texas	Co-Mgr
12/15/2010	GO Refunding Bonds	21.170	Abilene City-Texas	Co-Mgr
12/14/2010	General Obligation Bonds	29.640	Montgomery Co (Cheltenham) TSD	Sole Mgr
12/14/2010	Revenue Bonds	100.050	Lafayette Public Trust Fin Auth	Lead Mgr
12/14/2010	GO Parkland Acquisition&Imp Bonds	7.820	Symmes Twp-Ohio	Sole Mgr
12/14/2010	General Obligation Bonds	35.430	Alaska	Co-Mgr
12/14/2010	General Obligation Bonds	45.000	Alaska	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/14/2010	General Obligation Bonds	119.570	Alaska	Co-Mgr
12/14/2010	Revenue Bonds	22.942	Pennsylvania St Pub Sch Bldg Auth	Co-Mgr
12/14/2010	Revenue Bonds	57.473	Pennsylvania St Pub Sch Bldg Auth	Co-Mgr
12/09/2010	GO Wastewater System Imp Bonds	5.490	Harrison City-Ohio	Sole Mgr
12/08/2010	Revenue Bonds	11.085	Vermont State Colleges	Sole Mgr
12/08/2010	Revenue Bonds	30.265	Vermont State Colleges	Sole Mgr
12/08/2010	State Personal Inc Tax Rev Bonds	328.365	Empire State Development Corp	Co-Mgr
12/08/2010	State Personal Inc Tax Rev Bonds	367.295	Empire State Development Corp	Co-Mgr
12/08/2010	State Personal Inc Tax Rev Bonds	413.760	Empire State Development Corp	Co-Mgr
12/07/2010	General Obligation Bonds	7.335	Mercer Co (Sharon City) SD	Sole Mgr
12/07/2010	General Obligation Bonds	19.520	Allegheny Co (Pittsburgh) SD	Lead Mgr
12/07/2010	Transportation Revenue Bonds	754.305	Metropolitan Transport Auth (MTA)	Co-Mgr
12/07/2010	Education Revenue Bonds	11.630	Florence Town Inc Ind Dev Auth	Sole Mgr
12/07/2010	Multi-Family Mortgage Rev Bonds	9.500	Florida Housing Finance Corp	Sole Mgr
12/02/2010	Unlimited Tax GO Refunding Bonds	21.970	Stark Co (Louisville) CSD	Lead Mgr
12/02/2010	General Obligation Bonds	23.375	Maui Co-Hawaii	Co-Mgr
12/02/2010	General Obligation Bonds	50.320	Maui Co-Hawaii	Co-Mgr
12/02/2010	Housing Finance Program Bonds	15.105	North Dakota Housing Fin Agency	Co-Mgr
12/02/2010	Housing Finance Program Bonds	56.115	North Dakota Housing Fin Agency	Co-Mgr
12/02/2010	Multi-Family Mortgage Rev Bonds	6.880	Maryland Dept of Hsg & Comm Dev	Co-Mgr
12/02/2010	Cap Asset Acq Spcl Oblig Bonds	38.050	Miami-Dade Co-Florida	Co-Mgr
12/02/2010	Mortgage Purchase Bonds	14.000	Maine State Housing Authority	Co-Mgr
12/02/2010	Various Purpose GO Bonds	1,250.000	California	Lead Mgr
12/02/2010	Power System Revenue Bonds	760.200	Los Angeles Dept of Wtr & Power	Co-Mgr
12/01/2010	Wtrwrks&Swr Sys Com Rev Ref Bonds	7.100	Palmer Plantation MUD #2	Lead Mgr
12/01/2010	Mortgage Purchase Bonds	18.245	Maine State Housing Authority	Co-Mgr
12/01/2010	Mortgage Purchase Bonds	33.435	Maine State Housing Authority	Co-Mgr
12/01/2010	Unlimited Tax Refunding Bonds	6.560	Harris Co MUD #371	Lead Mgr
12/01/2010	Unlimited Tax Refunding Bonds	4.680	Harris Co MUD #196	Lead Mgr
12/01/2010	Refunding Bonds	8.080	Clear Brook City MUD	Sole Mgr
12/01/2010	Wtrwrks & Swr Rev Ref Ref Bonds	10.810	Longview City-Texas	Lead Mgr
12/01/2010	Unlimited Tax Refunding Bonds	1.860	Nacogdoches Co (Garrison) ISD	Sole Mgr
12/01/2010	Various Purpose GO Bonds	250.000	California	Lead Mgr
12/01/2010	Various Purpose GO Bonds	3,025.000	California	Lead Mgr
12/01/2010	Unltd Tax School Building Bonds	103.840	Williamson Co (Round Rock) ISD	Co-Mgr
12/01/2010	Refunding Bonds	4.175	Liberty Co ISD	Co-Mgr
12/01/2010	Ultd Tax School Building Bonds	13.830	Tarrant Co (Carroll) ISD	Co-Mgr
12/01/2010	Unltd Tax School Building Bonds	28.000	Yoakum Co (Plains) ISD	Co-Mgr
11/30/2010	Hospital Revenue Refunding Bonds	72.165	Harris Co Cult Ed Facs Fin Corp	Sole Mgr
11/30/2010	GO Sch Bldg & Athletic Facs Bonds	1.250	Lucas Co (Springfield) LSD	Sole Mgr
11/30/2010	Electric Revenue Bonds	113.625	Minnesota Muni Power Agency	Sole Mgr
11/30/2010	GO Capital Improvement Bonds	6.940	Tiffin City-Ohio	Sole Mgr
11/30/2010	Student Loan Backed Notes	920.000	South Carolina Student Loan Corp	Lead Mgr
11/24/2010	Rental Housing Bonds	14.235	Minnesota Housing Fin Agency	Lead Mgr
11/23/2010	General Improvement Ref Bonds	5.230	Princeton Twp-New Jersey	Sole Mgr
11/23/2010	Sales Tax Revenue Bonds	79.140	Colorado Reg Transportation Dt	Co-Mgr
11/23/2010	Sales Tax Revenue Bonds	300.000	Colorado Reg Transportation Dt	Co-Mgr
11/23/2010	GO Ltd Tax Various Purpose Bonds	2.810	Darke Co-Ohio	Sole Mgr
11/23/2010	Single Family Mortgage Rev Bonds	14.850	Dakota Co Comm Dev Agency	Sole Mgr
11/23/2010	Building Aid Revenue Bonds	54.250	NYC Transitional Finance Auth	Co-Mgr
11/23/2010	Building Aid Revenue Bonds	295.750	NYC Transitional Finance Auth	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
11/22/2010	Single Family Mortgage Rev Bonds	40.000	Missouri Housing Dev Commission	Co-Mgr
11/19/2010	Revenue Bonds	2.500	Illinois Finance Authority	Co-Mgr
11/19/2010	Revenue Bonds	6.000	Illinois Finance Authority	Co-Mgr
11/19/2010	Revenue Bonds	12.150	Illinois Finance Authority	Co-Mgr
11/19/2010	Revenue Bonds	18.075	Illinois Finance Authority	Co-Mgr
11/19/2010	Revenue Bonds	35.225	Illinois Finance Authority	Co-Mgr
11/19/2010	Revenue Bonds	123.400	Illinois Finance Authority	Co-Mgr
11/19/2010	Multi-Family Housing Rev Bonds	18.035	Santa Clara Co Housing Auth	Sole Mgr
11/19/2010	Revenue Bonds	5.000	Illinois Finance Authority	Co-Mgr
11/18/2010	Refunding School Bonds	2.970	Hanover Twp BOE	Sole Mgr
11/18/2010	Mortgage Revenue Bonds	44.000	NYS Housing Fin-Mortgage Agcy	Co-Mgr
11/18/2010	Medical Center Pooled Rev Bonds	9.175	Regents of the Univ of California	Co-Mgr
11/18/2010	Medical Center Pooled Rev Bonds	48.140	Regents of the Univ of California	Co-Mgr
11/18/2010	Medical Center Pooled Rev Bonds	700.000	Regents of the Univ of California	Co-Mgr
11/18/2010	Wtr & Swr 2nd Gen Res Rev Bonds	750.000	NYC Municipal Water Fin Auth	Co-Mgr
11/17/2010	Certificates of Participation	18.325	Mason City-Ohio	Sole Mgr
11/17/2010	Electric Sys Revenue Bonds	120.000	Omaha Public Power Dt (OPPD)	Co-Mgr
11/17/2010	Education Revenue Bonds	6.665	Florence Town Inc Ind Dev Auth	Sole Mgr
11/16/2010	GO Refunding Bonds	2.645	Santa Clara Co (Mtn View-Whisman) SD	Sole Mgr
11/16/2010	Refunding School Bonds	9.760	Branchburg Twp BOE	Sole Mgr
11/15/2010	GO Refunding Bonds	37.150	College Station City-Texas	Co-Mgr
11/15/2010	Waterwork & Sewer Rev Ref Bonds	15.285	Pasadena City-Texas	Lead Mgr
11/15/2010	Unlimited Tax Refunding Bonds	4.080	Montgomery Co (New Caney) ISD	Sole Mgr
11/15/2010	Refunding & Schoolhouse Bonds	62.910	Harris Co (Spring Branch) ISD	Lead Mgr
11/15/2010	Unlimited Tax Schoolhouse Bonds	75.000	Harris Co (Spring Branch) ISD	Lead Mgr
11/15/2010	Comb Tax & Rev Certs of Oblig	3.400	Spearman City-Texas	Sole Mgr
11/10/2010	Multi-Family Mtg Hsg Rev Bonds	3.010	Florida Housing Finance Corp	Sole Mgr
11/10/2010	University Revenue Bonds	25.915	Delaware Co Authority	Sole Mgr
11/10/2010	Second Lien Water Revenue Bonds	29.665	Chicago City-Illinois	Co-Mgr
11/10/2010	Second Lien Water Revenue Bonds	33.915	Chicago City-Illinois	Co-Mgr
11/10/2010	Second Lien Water Revenue Bonds	250.000	Chicago City-Illinois	Co-Mgr
11/10/2010	Education Revenue Bonds	6.645	Florence Town Inc Ind Dev Auth	Sole Mgr
11/04/2010	Gas Supply Var Rate Rev Bonds	778.765	California Statewide CDA (CSCDA)	Sole Mgr
11/01/2010	Permanent Improvement Bonds	75.000	Andrews Co-Texas	Co-Mgr
11/01/2010	GO Refunding Bonds	8.785	Allen City-Texas	Co-Mgr
11/01/2010	Utility System Revenue Bonds	30.000	Boerne City-Texas	Co-Mgr
11/01/2010	Wastewater System Rev Bonds	9.785	San Antonio River Authority	Lead Mgr
11/01/2010	Water & Wstwtr Sys Rev Ref Bonds	76.855	Austin City-Texas	Co-Mgr
11/01/2010	Water & Wstwtr Sys Rev Ref Bonds	100.970	Austin City-Texas	Co-Mgr
11/01/2010	Unlimited Tax Refunding Bonds	52.632	Williamson Co (Leander) ISD	Lead Mgr
10/18/2005	Multi-Family Housing Dev Bonds	61.265	Montgomery Co Hsg Opportunities Comm	Co-Mgr
07/02/2002	Future Tax Secured Ref Bonds	508.095	NYC Transitional Finance Auth	Co-Mgr

Source: Thomson Reuters

(This is a word document add lines as needed)

Appendix B

Senior and Co-Managed Underwriting Experience in Florida for the Past Five (5) Years

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
02/03/2016	Certificates of Participation	55.995	Miami-Dade Co School Board	Co-Mgr
02/03/2016	Certificates of Participation	66.425	Miami-Dade Co School Board	Co-Mgr
11/16/2015	Airport System Revenue Bonds	9.575	Broward Co-Florida	Co-Mgr
11/16/2015	Airport System Rev & Ref Bonds	472.620	Broward Co-Florida	Co-Mgr
11/04/2015	Water & Sewer Revenue Ref Bonds	28.710	Bay Co-Florida	Co-Mgr
10/28/2015	Certificates of Participation	62.970	Palm Beach Co School Board	Co-Mgr
10/20/2015	Airport Facilities Revenue Bonds	214.450	Greater Orlando Aviation Auth	Lead Mgr
10/08/2015	Multi-Family Housing Rev Bonds	10.750	Palm Beach Co Housing Fin Au	Sole Mgr
09/09/2015	Certificates of Participation	121.850	Duval Co School Board	Co-Mgr
09/08/2015	Ref Certificates of Participation	32.860	Marion Co School Board	Co-Mgr
09/03/2015	Customer Fac Charge Rev Bonds	88.975	Hillsborough Co Aviation Auth	Co-Mgr
09/03/2015	Customer Fac Charge Rev Bonds	294.350	Hillsborough Co Aviation Auth	Co-Mgr
09/02/2015	Public Improvement Revenue Bonds	57.360	Riviera Beach City-Florida	Lead Mgr
08/28/2015	Multi-Family Housing Rev Bonds	15.600	Miami-Dade Co Housing Fin Auth	Sole Mgr
08/20/2015	Ref Certificates of Participation	100.625	Hillsborough Co SD	Co-Mgr
08/13/2015	Subordinate Revenue Bonds	153.915	Hillsborough Co Aviation Auth	Co-Mgr
08/13/2015	Revenue & Subordinated Rev Bonds	167.800	Hillsborough Co Aviation Auth	Co-Mgr
08/11/2015	Energy System Refunding Rev Bonds	94.615	Tallahassee City-Florida	Lead Mgr
07/27/2015	Multi-Family Mortgage Rev Bonds	10.000	Florida Housing Finance Corp	Sole Mgr
07/16/2015	Electric System Revenue Bonds	9.150	Jacksonville Electric Authority	Co-Mgr
07/16/2015	Electric System Revenue Bonds	33.205	Jacksonville Electric Authority	Co-Mgr
07/15/2015	Special Assessment Bonds	3.745	Willow Walk Community Dev Dt	Sole Mgr
07/08/2015	Aviation Revenue Refunding Bonds	38.500	Miami-Dade Co-Florida	Lead Mgr
07/08/2015	Aviation Rev & Ref Rev Bonds	498.340	Miami-Dade Co-Florida	Lead Mgr
07/02/2015	GO Refunding Bonds	23.350	Lauderhill City-Florida	Sole Mgr
06/24/2015	Non Ad Valorem Ref Revenue Bonds	48.640	Lee Co-Florida	Co-Mgr
06/23/2015	Capital Improvement Revenue Bonds	13.000	St Pete Beach City-Florida	Co-Mgr
06/10/2015	Certificates of Participation	23.875	Pompano Beach City-Florida	Sole Mgr
06/04/2015	General Obligation Bonds	76.045	Pembroke Pines City-Florida	Lead Mgr
06/02/2015	Coastal Account Sr Secured Bonds	50.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/02/2015	Coastal Account Sr Secured Bonds	150.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/02/2015	Coastal Account Sr Secured Bonds	225.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/02/2015	Coastal Account Sr Secured Bonds	275.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/02/2015	Coastal Account Sr Secured Bonds	300.000	Florida Citizens Prop Ins Corp	Co-Mgr
05/28/2015	Single Family Hsg Rev Ref Bonds	4.971	Pinellas Co Housing Fin Auth	Lead Mgr
05/28/2015	Single Family Housing Rev Bonds	15.230	Pinellas Co Housing Fin Auth	Lead Mgr
05/21/2015	Water and Sewer Rev Ref Bonds	67.595	Okaloosa Co-Florida	Co-Mgr
05/20/2015	Multi-Family Housing Rev Bonds	9.250	Florida Housing Finance Corp	Sole Mgr
05/14/2015	Water Control & Imp Ref Bonds	14.460	Northern Palm Beach Co Imp Dt	Co-Mgr
05/07/2015	General Obligation Bonds	9.420	Miami-Dade Co-Florida	Lead Mgr
05/07/2015	General Obligation Bonds	233.665	Miami-Dade Co-Florida	Lead Mgr
05/07/2015	Refunding Revenue Bonds	7.155	Jacksonville Electric Authority	Lead Mgr
05/07/2015	Refunding Revenue Bonds	65.970	Jacksonville Electric Authority	Lead Mgr
05/06/2015	Ref Certificates of Participation	77.015	Univ of So Florida (USF) Fin Corp	Co-Mgr
05/05/2015	GO Refunding Bonds	33.855	Sarasota City-Florida	Co-Mgr
04/30/2015	Certificates of Participation	221.640	Palm Beach Co School Board	Co-Mgr
04/30/2015	Multi-Family Housing Rev Bonds	5.500	Florida Housing Finance Corp	Sole Mgr
04/16/2015	Sales Tax Revenue & Ref Bonds	52.315	St Johns Co-Florida	Sole Mgr
04/16/2015	Transportation Imp Rev Ref Bonds	24.755	St Johns Co-Florida	Sole Mgr
04/16/2015	Communication Svcs Tax Rev Bonds	67.800	Hillsborough Co-Florida	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
03/31/2015	Ref Certificates of Participation	44.145	Pasco Co School Board	Co-Mgr
03/27/2015	Capital Improve Rev & Ref Bonds	6.835	Dupree Lakes Comm Dev Dt	Sole Mgr
03/25/2015	Infra Sales Surtax Rev Ref Bonds	33.490	Sarasota Co-Florida	Co-Mgr
03/19/2015	Electric System Revenue Bonds	83.325	Jacksonville Electric Authority	Co-Mgr
03/11/2015	Utility System Revenue Bonds	15.355	Venice City-Florida	Lead Mgr
02/23/2015	Multi-Family Housing Rev Bonds	7.500	Florida Housing Finance Corp	Sole Mgr
02/19/2015	Refunding Revenue Bonds	50.330	Palm Beach Co Solid Waste Auth	Co-Mgr
02/18/2015	Homeowner Mortgage Rev Bonds	60.000	Florida Housing Finance Corp	Co-Mgr
02/11/2015	Certificates of Participation	252.360	Broward Co School Board	Co-Mgr
02/11/2015	Certificates of Participation	170.805	Broward Co School Board	Co-Mgr
01/14/2015	Certificates of Participation	145.535	Palm Beach Co School Board	Co-Mgr
12/18/2014	Certificates of Participation	60.220	Lee Co School Board	Lead Mgr
12/17/2014	Aviation Revenue Refunding Bonds	162.225	Miami-Dade Co-Florida	Co-Mgr
12/17/2014	Aviation Revenue Refunding Bonds	598.915	Miami-Dade Co-Florida	Co-Mgr
12/11/2014	Water & Sewer Revenue Ref Bonds	28.270	St Johns Co-Florida	Sole Mgr
12/11/2014	Capial Improvement Rev Ref Bonds	15.300	St Johns Co-Florida	Sole Mgr
12/08/2014	Homeowner Revenue Bonds	20.000	Orange Co Housing Finance Auth	Lead Mgr
11/25/2014	Wstwtr Treatment Facs Rev Bonds	86.590	East Ctrl Reg Wstwtr Treatment Facs Op Bd	Lead Mgr
11/25/2014	Sales Tax Revenue Bonds	22.165	Okaloosa Co-Florida	Lead Mgr
11/21/2014	Multi-Family Housing Rev Bonds	24.000	Florida Housing Finance Corp	Sole Mgr
11/20/2014	Ref Certificates of Participation	45.455	Indian River Co School Bd	Co-Mgr
11/20/2014	Multi-Family Housing Rev Bonds	14.000	Miami-Dade Co Housing Fin Auth	Sole Mgr
11/13/2014	Ref Certificates of Participation	20.520	Santa Rosa Co School Board	Lead Mgr
11/13/2014	**Utility Sys Refunding Rev Bonds**	**29.585**	**Port St Lucie City-Florida**	**Sole Mgr**
10/29/2014	Transportation Facs Ref Rev Bonds	106.570	Lee Co-Florida	Co-Mgr
10/23/2014	Multi-Family Housing Rev Bonds	10.000	Hillsborough Co Housing Fin Auth	Sole Mgr
10/16/2014	Infras Sales Surtax Rev Ref Bonds	37.355	Sarasota Co-Florida	Co-Mgr
10/15/2014	Toll Sys Rev & Refunding Bonds	266.425	Miami-Dade Co Expressway Auth	Co-Mgr
10/09/2014	Capital Improvement Rev Ref Bonds	5.125	Suncoast Comm Dev Dt	Sole Mgr
09/30/2014	Single Family Hsg Rev Ref Bonds	5.990	Pinellas Co Housing Fin Auth	Lead Mgr
09/30/2014	Single Family Housing Rev Bonds	12.300	Pinellas Co Housing Fin Auth	Lead Mgr
09/30/2014	**Public Service Tax Revenue Bonds**	**13.525**	**Port St Lucie City-Florida**	**Sole Mgr**
09/30/2014	**Public Service Tax Revenue Bonds**	**19.775**	**Port St Lucie City-Florida**	**Sole Mgr**
09/26/2014	Special Assessment Rev Ref Bonds	11.775	Panther Trace II Comm Dev Dt	Co-Mgr
09/22/2014	Multi-Family Housing Rev Bonds	6.300	Florida Housing Finance Corp	Sole Mgr
09/18/2014	Certificates of Participation	72.340	Lee Co School Board	Co-Mgr
09/10/2014	Public Improvement Rev Ref Bonds	29.040	Pembroke Pines City-Florida	Co-Mgr
09/10/2014	Rickenbacker Causeway Rev Bonds	31.610	Miami-Dade Co-Florida	Co-Mgr
08/29/2014	Single Family Mortgage Rev Bonds	4.335	Escambia Co Housing Finance Auth	Sole Mgr
08/27/2014	Multi-Family Housing Rev Bonds	5.000	Pinellas Co Housing Fin Auth	Sole Mgr
08/15/2014	Multi-Family Housing Rev Bonds	10.500	Florida Housing Finance Corp	Sole Mgr
07/15/2014	Water & Sewer System Rev Bonds	300.200	Jacksonville Electric Authority	Co-Mgr
07/08/2014	Revenue Bonds	63.925	Jacksonville Electric Authority	Co-Mgr
06/27/2014	Certificates of Participation	166.010	Palm Beach Co School Board	Co-Mgr
06/19/2014	Toll System Revenue Bonds	314.045	Miami-Dade Co Expressway Auth	Co-Mgr
05/29/2014	Revenue Bonds	88.790	Jacksonville Electric Authority	Co-Mgr
05/29/2014	Multi-Family Housing Rev Bonds	18.000	Florida Housing Finance Corp	Sole Mgr
05/28/2014	Homeowner Mortgage Rev Bonds	21.070	Florida Housing Finance Corp	Lead Mgr
05/28/2014	Homeowner Mortgage Rev Bonds	50.000	Florida Housing Finance Corp	Lead Mgr
05/08/2014	Seaport Revenue Bonds	20.150	Miami-Dade Co-Florida	Co-Mgr
05/08/2014	Seaport Revenue Bonds	181.320	Miami-Dade Co-Florida	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
04/24/2014	Special Assessment Ref Bonds	53.170	Tradition Comm Dev Dt #1	Sole Mgr
04/03/2014	**GO & Refunding Bonds**	**74.740**	**Port St Lucie City-Florida**	**Sole Mgr**
03/31/2014	Multi-Family Housing Rev Bonds	14.000	Florida Housing Finance Corp	Sole Mgr
03/28/2014	Aviation Revenue Refunding Bonds	328.130	Miami-Dade Co-Florida	Co-Mgr
03/26/2014	Bulk Power Supply Sys Rev Bonds	72.460	Jacksonville Electric Authority	Co-Mgr
02/05/2014	Electric System & Sub Rev Bonds	287.920	Jacksonville Electric Authority	Lead Mgr
12/27/2013	Sales Tax Revenue Bonds	96.715	Pasco Co SD	Co-Mgr
12/23/2013	Homeowner Mortgage Rev Bonds	50.000	Florida Housing Finance Corp	Co-Mgr
12/18/2013	Transportation Imp Assess Bonds	39.525	North Port City-Florida	Co-Mgr
12/13/2013	Utility System Revenue Bonds	35.600	Sarasota Co-Florida	Co-Mgr
12/12/2013	Utility Sys Imp & Ref Rev Bonds	21.105	St Lucie Co Water & Sewer Dt	Lead Mgr
11/27/2013	Multi-Family Housing Rev Bonds	28.000	Florida Housing Finance Corp	Sole Mgr
11/26/2013	Special Obligation Ref Bonds	50.855	Palm Bay City-Florida	Lead Mgr
11/14/2013	Multi-Family Housing Rev Bonds	13.500	Palm Beach Co Housing Fin Au	Sole Mgr
10/30/2013	Subordinated Revenue Ref Bonds	168.865	Hillsborough Co Aviation Auth	Lead Mgr
10/01/2013	Utility System Ref Revenue Bonds	61.995	Marco Island City-Florida	Co-Mgr
10/01/2013	Communications Svcs Ref Rev Bonds	35.300	Pembroke Pines City-Florida	Co-Mgr
09/10/2013	Electric Sys & Sub Revenue Bonds	197.165	Jacksonville Electric Authority	Lead Mgr
09/10/2013	Utility System Ref Revenue Bonds	79.745	Deltona City-Florida	Co-Mgr
08/08/2013	Water & Sewer System Rev Bonds	29.710	Jacksonville Electric Authority	Co-Mgr
08/08/2013	Water & Sewer Sys & Sub Rev Bonds	173.925	Jacksonville Electric Authority	Co-Mgr
08/07/2013	Water Control & Imp Ref Bonds	4.835	Northern Palm Beach Co Imp Dt	Sole Mgr
08/02/2013	Water & Sewer Sys Rev & Ref Bonds	492.665	Miami-Dade Co-Florida	Co-Mgr
07/17/2013	Multi-Family Housing Rev Bonds	8.110	Osceola Co Housing Fin Auth	Sole Mgr
07/11/2013	Utility System Ref Revenue Bonds	29.720	Fernandina Beach City-Florida	Lead Mgr
07/03/2013	Sales Tax Refunding Rev Bonds	56.690	St Lucie Co-Florida	Lead Mgr
07/02/2013	Certificates of Participation	45.385	Pasco Co School Board	Co-Mgr
06/19/2013	District Energy Sys Ref Rev Bonds	43.330	Jacksonville Electric Authority	Co-Mgr
05/30/2013	Homeowner Mortgage Rev Bonds	89.840	Florida Housing Finance Corp	Lead Mgr
05/29/2013	Tourist Dev Tax Revenue Bonds	41.475	Lee Co-Florida	Lead Mgr
05/16/2013	Homeowner Mortgage Rev Bonds	108.080	Florida Housing Finance Corp	Co-Mgr
05/16/2013	Electric Sys Revenue & Sub Bonds	96.225	Jacksonville Electric Authority	Co-Mgr
05/15/2013	Utility System Ref Revenue Bonds	21.010	Leesburg City-Florida	Lead Mgr
05/14/2013	Capital Improvement Ref Rev Bonds	13.785	Tamarac City-Florida	Lead Mgr
05/07/2013	Hospital Revenue Bonds	50.680	Palm Beach Co Health Facs Auth	Sole Mgr
04/23/2013	Revenue Bonds	2,000.000	Florida Hurricane Catastrophe Fin	Co-Mgr
04/03/2013	Revenue Refunding Bonds	242.320	Orlando-Orange Co Expressway Au	Co-Mgr
03/28/2013	Homeowner Mtg Rev Ref Bonds	8.270	Orange Co Housing Finance Auth	Lead Mgr
02/21/2013	Water & Sewer Revenue & Ref Bonds	23.680	St Johns Co-Florida	Sole Mgr
02/21/2013	Water & Sewer Revenue Ref Bonds	31.760	St Johns Co-Florida	Sole Mgr
02/20/2013	Electric Sys & Sub Revenue Bonds	203.255	Jacksonville Electric Authority	Co-Mgr
02/15/2013	Capital Improvement Ref Rev Bonds	20.645	St Augustine City-Florida	Sole Mgr
02/14/2013	Water & Sewer Ref Revenue Bonds	14.525	Melbourne City-Florida	Sole Mgr
02/07/2013	Electric System Sub Rev Bonds	59.330	Jacksonville Electric Authority	Lead Mgr
01/02/2013	Refunding Revenue Bonds	174.315	Orlando-Orange Co Expressway Au	Co-Mgr
12/21/2012	Multi-Family Housing Rev Bonds	4.800	Hillsborough Co Housing Fin Auth	Sole Mgr
12/20/2012	Water Revenue Refunding Bonds	56.060	North Miami Beach-Florida	Co-Mgr
12/20/2012	Utility System Revenue Bonds	20.770	Venice City-Florida	Lead Mgr
12/13/2012	Single Family Housing Rev Bonds	3.000	Pinellas Co Housing Fin Auth	Lead Mgr
12/11/2012	Aviation Revenue Refunding Bonds	106.845	Miami-Dade Co-Florida	Co-Mgr
12/11/2012	Aviation Revenue Refunding Bonds	669.670	Miami-Dade Co-Florida	Co-Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
12/11/2012	Water and Sewer Revenue Ref Bonds	15.930	St Augustine City-Florida	Sole Mgr
11/28/2012	Water & Sewer Revenue Bonds	28.190	Davie Town-Florida	Lead Mgr
11/15/2012	**Special Assessment Ref Bonds**	**15.130**	**Port St Lucie City-Florida**	**Sole Mgr**
11/14/2012	Sales Tax Revenue Refunding Bonds	41.030	St Johns Co-Florida	Sole Mgr
11/08/2012	Sub Special Oblig Refunding Bonds	489.990	Miami-Dade Co-Florida	Co-Mgr
11/08/2012	Hospital Revenue Refunding Bonds	232.125	Highlands Co Health Facs Auth	Sole Mgr
11/01/2012	Water & Sewer Ref & Imp Rev Bonds	12.200	Melbourne City-Florida	Sole Mgr
09/20/2012	Utility Sys Ref & Imp Rev Bonds	54.450	Daytona Beach City-Florida	Co-Mgr
09/19/2012	Utility System Revenue Ref Bonds	43.040	West Palm Beach City-Florida	Co-Mgr
09/06/2012	Water & Sewer Revenue Bonds	17.175	Oakland Park City-Florida	Sole Mgr
08/31/2012	Power Park Sys Ref Rev Bonds	3.455	Jacksonville Electric Authority	Lead Mgr
08/31/2012	Power Park Sys Ref Rev Bonds	81.680	Jacksonville Electric Authority	Lead Mgr
08/30/2012	Electric System Revenue Bonds	140.640	Jacksonville Electric Authority	Co-Mgr
08/23/2012	Electric System Sub Rev Bonds	109.605	Jacksonville Electric Authority	Co-Mgr
08/16/2012	Water & Sewer Sys Sub & Rev Bonds	178.365	Jacksonville Electric Authority	Co-Mgr
08/15/2012	**Utility System Ref Revenue Bonds**	**21.375**	**Port St Lucie City-Florida**	**Sole Mgr**
08/07/2012	Revenue Bonds	121.475	Jacksonville Electric Authority	Co-Mgr
07/05/2012	Certificates of Participation	29.420	Miami-Dade Co School Board	Co-Mgr
07/05/2012	Certificates of Participation	58.780	Miami-Dade Co School Board	Co-Mgr
07/05/2012	Certificates of Participation	90.585	Miami-Dade Co School Board	Co-Mgr
06/21/2012	Senior Secured Bonds	1,100.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/21/2012	Senior Secured Bonds	200.000	Florida Citizens Prop Ins Corp	Co-Mgr
06/08/2012	Water & Sewer Revenue Ref Bonds	17.900	Dunedin City-Florida	Co-Mgr
05/17/2012	Transportation Imp Rev Ref Bonds	25.050	St Johns Co-Florida	Lead Mgr
05/03/2012	Certificates of Participation	149.015	Lee Co School Board	Co-Mgr
04/03/2012	Ref Certificates of Participation	124.565	Hillsborough Co School Board	Co-Mgr
03/14/2012	Utility Revenue Refunding Bonds	20.910	Panama City Beach-Florida	Sole Mgr
02/27/2012	Utility System Revenue Bonds	45.895	Boynton Beach City-Florida	Sole Mgr
02/23/2012	Water & Sewer Sys Rev & Sub Bonds	354.875	Jacksonville Electric Authority	Co-Mgr
02/09/2012	Electric System Sub Rev Bonds	174.880	Jacksonville Electric Authority	Co-Mgr
02/02/2012	Stormwater System Rev Ref Bonds	19.365	Clearwater City-Florida	Co-Mgr
01/12/2012	Multi-Family Mortgage Rev Bonds	16.000	Miami-Dade Co Housing Fin Auth	Sole Mgr
01/05/2012	**Sales Tax Refunding Rev Bonds**	**13.915**	**Port St Lucie City-Florida**	**Sole Mgr**
12/28/2011	Capital Improvement Ref Rev Bonds	10.440	St Augustine City-Florida	Sole Mgr
12/16/2011	Multi-Family Mortgage Rev Bonds	1.500	Orange Co Housing Finance Auth	Sole Mgr
12/16/2011	Multi-Family Mortgage Bonds	3.500	Orange Co Housing Finance Auth	Sole Mgr
12/13/2011	Multi-Family Mortgage Rev Bonds	3.400	Florida Housing Finance Corp	Sole Mgr
11/22/2011	Homeowner Mortgage Rev Bonds	11.000	Orange Co Housing Finance Auth	Co-Mgr
11/22/2011	Single Family Housing Rev Bonds	6.000	Pinellas Co Housing Fin Auth	Lead Mgr
11/21/2011	Multi-family Mortgage Rev Bonds	1.310	Hillsborough Co Housing Fin Auth	Sole Mgr
11/03/2011	Homeowner Mortgage Rev Bonds	54.820	Florida Housing Finance Corp	Co-Mgr
10/26/2011	Refunding Revenue Bonds	599.860	Palm Beach Co Solid Waste Auth	Co-Mgr
10/19/2011	Water & Sewer Revenue Bonds	49.930	Davie Town-Florida	Lead Mgr
10/12/2011	Airport Facs Refunding Rev Bonds	40.425	Greater Orlando Aviation Auth	Co-Mgr
10/12/2011	Airport Facs Refunding Rev Bonds	67.945	Greater Orlando Aviation Auth	Co-Mgr
10/05/2011	Refunding Revenue Bonds	357.475	Jacksonville Electric Authority	Co-Mgr
09/29/2011	Multi-Family Mortgage Rev Bonds	3.950	Orange Co Housing Finance Auth	Sole Mgr
09/27/2011	**Wtr&Swr Special Assess Ref Bonds**	**10.910**	**Port St Lucie City-Florida**	**Sole Mgr**
09/27/2011	**Wtr&Swr Special Assess Ref Bonds**	**20.665**	**Port St Lucie City-Florida**	**Sole Mgr**
09/07/2011	Water & Sewer Rev Ref Bonds	47.025	Clearwater City-Florida	Co-Mgr
08/25/2011	Single Family Housing Rev Bonds	8.000	Pinellas Co Housing Fin Auth	Lead Mgr

Dated Date	Issue Description	Size ($ mils)	Issuer Name	Firm Role
08/16/2011	Utility System Ref Rev Bonds	140.645	Tampa Bay Water Auth	Co-Mgr
08/16/2011	Utility System Ref Rev Bonds	148.920	Tampa Bay Water Auth	Co-Mgr
07/28/2011	Homeowner Mortgage Rev Bonds	10.000	Orange Co Housing Finance Auth	Lead Mgr
07/21/2011	Special Obligation Rev Ref Bonds	70.645	Miami City-Florida	Lead Mgr
07/13/2011	Certificates of Participation	44.520	Palm Beach Co School Board	Co-Mgr
07/13/2011	Certificates of Participation	67.905	Palm Beach Co School Board	Co-Mgr
07/07/2011	Homeowner Mortgage Rev Bonds	60.000	Florida Housing Finance Corp	Co-Mgr
06/29/2011	Utility System Revenue Ref Bonds	19.200	West Palm Beach City-Florida	Sole Mgr
06/21/2011	**Stormwater Utility Ref Rev Bonds**	**11.325**	**Port St Lucie City-Florida**	**Sole Mgr**
05/26/2011	Multi-Family Mortgage Rev Bonds	7.450	Florida Housing Finance Corp	Sole Mgr
05/19/2011	Multi-Family Mortgage Rev Bonds	5.640	Florida Housing Finance Corp	Co-Mgr
05/19/2011	Multi-Family Mortgage Rev Bonds	.195	Florida Housing Finance Corp	Sole Mgr
04/28/2011	Special Assessment Rev Imp Bonds	12.880	Sunshine Water Control Dt	Co-Mgr
04/14/2011	Revenue Bonds	247.600	Sunshine State Govt Fin Commiss	Co-Mgr
04/13/2011	Water & Sewer Ref Revenue Bonds	74.855	Lee Co-Florida	Co-Mgr
04/12/2011	Multi-family Housing Rev Bonds	10.250	Pinellas Co Housing Fin Auth	Sole Mgr
04/05/2011	Capital Improvement Revenue Bonds	16.215	St Augustine City-Florida	Sole Mgr
03/31/2011	Homeowner Mtg Rev & Ref Bonds	34.855	Florida Housing Finance Corp	Co-Mgr
03/31/2011	Homeowner Mtg Rev & Ref Bonds	50.355	Florida Housing Finance Corp	Co-Mgr
03/29/2011	Certificates of Participation	137.660	Miami-Dade Co School Board	Co-Mgr
03/29/2011	Certificates of Participation	139.055	Miami-Dade Co School Board	Co-Mgr
03/09/2011	Homeowner Mortgage Rev Bonds	60.000	Florida Housing Finance Corp	Lead Mgr
02/15/2011	Dormitory Revenue Bonds	16.525	Escambia Co Housing Finance Auth	Sole Mgr
12/20/2010	Multi-Family Mortgage Rev Bonds	12.250	Florida Housing Finance Corp	Sole Mgr
12/16/2010	Communications Svc Tax Rev Bonds	18.760	Sarasota Co-Florida	Sole Mgr
12/15/2010	Multi-Family Housing Rev Bonds	1.800	Florida Housing Finance Corp	Sole Mgr
12/15/2010	Cap Asset Acq Spec Oblig Bonds	40.280	Miami-Dade Co-Florida	Co-Mgr
12/07/2010	Multi-Family Mortgage Rev Bonds	9.500	Florida Housing Finance Corp	Sole Mgr
12/02/2010	Cap Asset Acq Spcl Oblig Bonds	38.050	Miami-Dade Co-Florida	Co-Mgr
11/10/2010	Multi-Family Mtg Hsg Rev Bonds	3.010	Florida Housing Finance Corp	Sole Mgr

Source: Thomson Reuters

(This is a word document add lines as needed)

Wells Fargo Securities
Southeast Public Finance
2363 Gulf-to-Bay Boulevard
Clearwater, Florida 33765
(727) 953-1072

December 1, 2015

Ms. Cheryl Shanaberger, MPA, CPPO, Director
Procurement Management Department
City of Port St. Lucie, Florida
121 SW Port St. Lucie Blvd.
Port St. Lucie, FL 34984

Mr. Edwin M. Fry, Jr.
Finance Director / City Treasurer
City of Port St. Lucie, Florida
121 SW Port St. Lucie Blvd.
Port St. Lucie, FL 34984

Dear Ms. Shanaberger and Mr. Fry,

Well Fargo Securities[1] ("Wells Fargo") is pleased to submit our response to the City of Port St. Lucie's (the "City") Request for Qualifications for Underwriting Services. We understand the commitment and services required to serve as an underwriter to the City, and our industry-leading financial strength, distribution networks, underwriting experience, and credit expertise enable us to provide the City with an exceptional level of investment banking and underwriting services.

EXPERIENCED PROFESSIONALS

Wells Fargo's public finance team has significant experience in Florida. Mr. Michael Olliff, Vice President, located in our Clearwater office, will serve as day-to-day contact and primary representative on behalf of Wells Fargo. Mr. Olliff is authorized to make representations on behalf of Wells Fargo and has 19 years of municipal finance experience. He has served as Wells Fargo's lead banker on approximately $5 billion of municipal debt transactions. Mr. Olliff will also be supported by experienced professionals in our Clearwater office, as well as specialty banking teams from across Wells Fargo's national municipal platform.

ONE OF THE BEST-CAPITALIZED AND HIGHEST-RATED U.S. BANKS

Wells Fargo Bank, National Association ("WFBNA") is one of the best capitalized institutions in the nation with over $150 billion of total capital as of September 30, 2015, and one of the strongest institutions in the nation with credit ratings of Aa1 from Moody's, AA- from S&P, and AA from Fitch. Wells Fargo's public finance practice, also known as the Municipal Products Group ("WFBNA MPG"), operates within WFBNA. Our strong ratings and exceptional capital levels position us as a powerful underwriter and a prominent credit provider for municipal issuers across the country and in Florida specifically, **as evidenced by our ranking as the #3 underwriter of competitive bonds in the State of Florida since January 1, 2012, having submitted the winning bid on 14 issues with a total combined par amount of more than $2.2 billion representing 17.8% market share.**[2]

LEADING FLORIDA UNDERWRITER AND TOP 5 RANKED NATIONAL UNDERWRITER

Wells Fargo is one of the most active players in the Florida municipal bond market, having served as senior manager on 58 negotiated municipal offerings in Florida totaling approximately $4.9 billion in par since January 1, 2012. Year to date in 2015, Wells Fargo is the 5th most active senior manager of Florida municipal bonds and the 5th most active senior manager of municipal bonds nationally.[3]

INSTITUTIONAL AND RETAIL DISTRIBUTION

Wells Fargo's affiliate, Wells Fargo Securities, LLC ("WFSLLC"), maintains institutional sales offices worldwide covering large Tier 1 high grade accounts, and a middle market network covering 10,000 Tier 2 and Tier 3 clients. This middle markets channel allows us to cover local community banks, smaller insurance companies, private corporations and public entities, many of which we believe would show interest in the City's bonds. These accounts have the potential to expand the City's investor base, lowering the cost of funds for the City's financings.

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[1] Wells Fargo Securities is the trade name for certain capital markets and investment banking services of Wells Fargo & Company and its subsidiaries, including Wells Fargo Bank, National Association.
[2] Source: Thomson Reuters SDC Data. Represents competitive municipal Florida municipal new issues underwritten from 1/1/2012 to 11/30/2015 by Wells Fargo Securities; true economics to book running senior manager.
[3] Source: Thomson Reuters SDC Data. Represents combined negotiated and competitive municipal new issues senior managed from 1/1/2012 through 11/30/2015 by Wells Fargo Securities. Data includes privates and notes.

Wells Fargo Securities
Southeast Public Finance
2363 Gulf-to-Bay Boulevard
Clearwater, Florida 33765
(727) 953-1072

Wells Fargo Advisors[4] ("WFA"), an affiliate of Wells Fargo and wholly owned subsidiary of Wells Fargo & Company, is the 3rd largest retail brokerage firm in the country[5]. WFA employs over 15,000 financial advisors and has approximately 7.2 million active customer accounts with over $1.4 trillion in client assets. WFA's retail distribution capabilities are of exceptional importance and value.

WFA maintains a strong Florida presence with over 1,078 registered financial advisors in 76 dedicated brokerage offices and hundreds of bank branches. Currently, WFA holds over 586,000 active accounts in the State with client assets of approximately $125 billion. These advisors are located throughout Florida and have direct contact with potential retail buyers of the City's bonds.

FLORIDA PRESENCE

Wells Fargo maintains a significant presence in the Florida market, with 27 professionals in Florida dedicated to serving Florida municipal clients, including 7 Public Finance team members in our Clearwater Public Finance office and 20 Government Banking team members located throughout the state. In total, Wells Fargo & Company (the "Company") employs 14,376 team members in Florida, including 54 team members in Port St. Lucie.

The Company is also an excellent corporate citizen and our employees take pride in supporting their communities. In 2014, Wells Fargo & Company and its employees contributed more than $14 million to charitable causes in Florida and volunteered more than 93,000 hours to community organizations in the state. Wells Fargo & Company is not merely a Wall Street firm looking for investment banking business in Florida; we are a member of the community and have a vested interest in the success of the City of Port St. Lucie and the State of Florida as a whole.

CLOSING

Thank you for the opportunity to present our credentials to serve as underwriter for the City.

Sincerely,

J. Michael Olliff
Vice President
(727) 953-1072

[4] Wells Fargo Advisors is the trade name used by two separate registered broker-dealers and non-bank affiliates and wholly owned subsidiaries of Wells Fargo & Company: Wells Fargo Advisors, LLC and Wells Fargo Advisors Financial Network, LLC (members SIPC).

[5] Peer group analysis based on number of financial advisors as disclosed in company reports, as of December 31, 2014. WFA statistical information is as of December 31, 2014. *Data is a combination of Wells Fargo Advisors, LLC; Wells Fargo Advisors Financial Network; LLC and First Clearing, LLC.* First Clearing, LLC is a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.

QUESTIONNAIRE

E-RFQ #20160020
Underwriting Services

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this 1st day of December, 2015

WELLS FARGO BANK, N.A. MUNICIPAL PRODUCTS GROUP
Name of Organization / Proposer

1. Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?

J. Michael Olliff
2363 Gulf to Bay Blvd., Suite 200
Clearwater, Florida 33765
PH: (727) 953-1072
FX: (727) 953-1077
Email: michael.olliff@wellsfargo.com

2. Is your firm a Certified Minority Business (yes or no)? NO

3. Provide in this word document below a general information about your firm, including a brief history and summarizing the key points in this RFQ, (This is a word document, please include below and limit to one (1) page)

Brief History: Wells Fargo & Company (the "Company" or "WFBNA") was founded in New York in 1852 by Henry Wells and William Fargo to provide banking and express delivery services west of the Mississippi River. The Company rapidly grew throughout the West, and by 1918 Wells Fargo & Company's express and monetary services linked 10,000 locations across the country. Today, the Company is led by a 14 member board of directors, including Chairman, President, and CEO John Stumpf, and is organized under the laws of Delaware with principal office in San Francisco. The stock is publicly traded on the New York Stock Exchange under the symbol WFC. Wells Fargo & Company serves one in three U.S. households, operates in 35 countries, serves 70 million customers globally, and employs more than 270,000 team members worldwide. The Company is the #1 retail mortgage lender, #1 overall auto lender, #1 small business lender, and, for 5 consecutive years, Wells Fargo & Company has had the #1 national workplace employee giving campaign.

Wells Fargo Securities ("Wells Fargo" or "WFS") is the trade name for certain capital markets and investment banking services of the Company and its subsidiaries, including WFBNA. WFBNA is headquartered in Sioux Falls, South Dakota and the earliest predecessor of the bank was originally chartered as a national bank in June 1863. WFBNA is a national banking association organized under federal law and governed by the Office of the Comptroller of the Currency ("OCC"). WFBNA's senior management is located in San Francisco, California; WFBNA's Public Finance department management is located in New York, New York, and management for the Sales and Trading Syndicate is located in Charlotte, North Carolina.

Wells Fargo's public finance practice, also known as the Municipal Products Group ("WFBNA MPG"), operates within WFBNA's Government & Institutional Banking ("GIB"). Available records show that WFBNA MPG (or a predecessor bank subsequently merged into WFBNA) was registered as a municipal securities dealer dating back to before 1966. WFBNA MPG operates from 17 offices nationwide and provides a full range of capital markets products, including banking and origination; underwriting; credit analysis; sales and trading; derivative and reinvestment solutions; and infrastructure/P3 advisory. Wells Fargo's investment banking services for the City will be provided out of our Clearwater, Florida office.

Key Points of RFQ: In the current market environment, it will be critical for the City to engage strong and stable financial institutions. Wells Fargo exhibits such qualities, and is a leader among its peers. WFBNA is currently rated Aa1 by Moody's, AA- by S&P and AA by Fitch; these are among the highest ratings assigned to any bank in the country. As of November 20, 2015, the Company ranks 1st in market capitalization ($285 billion) among major banks. ***As detailed further in our response to the City's RFP, our strong and historically stable ratings and exceptional capital position have made us a powerful underwriter and a prominent credit provider for municipal issuers across the country.***

Wells Fargo's commitment to serving municipal issuers across the nation is demonstrated through the strategic expansion of its public finance practice. In an effort to offer municipal clients a higher level of service and expertise, Wells Fargo has continuously sought to broaden the services and products offered to municipal issuers. **While many of Wall Street's largest firms have made extensive public finance cutbacks in recent years, Wells Fargo has increased its Municipal Products Group staff from 153 professionals in 2009 to 213 professionals today located in 17 different offices dedicated to public finance, trading, underwriting, institutional sales, risk management, and analytics for municipal clients.**

Current Wells Fargo Municipal Products Group Staffing	
Public Finance	172
Municipal Sales and Trading	41
Total	213

4. Provide the names and roles of the public finance professionals assigned to this project, their role, years of experience and qualifications applicable to this project scope and office work will be performed from.

Finance Professional Name	Role	Yr Exp	Qualification	Office
Michael Olliff Vice President	Engagement Leader and Primary Day to Day Contact.	19	Florida Public Finance Banking Experience. Analytical Expertise. FINRA Series 7 and 63.	Clearwater, FL
Molly Clark Vice President	Primary Direct Engagement Support.	14	Florida Public Finance Banking Experience. Analytical Expertise. FINRA Series 7 and 63.	Clearwater, FL
Glenn Gough Vice President	Additional Banking and Analytical Support.	8	Florida Public Finance Banking Experience. Analytical Expertise. FINRA Series 7 and 63.	Clearwater, FL
Scott Goldstein Director	Primary Analytical Support.	26	Quantitative Expert. Exposure to issuers nationwide. FINRA Series 7 and 63.	Denver, CO
Charlie Yadon Associate	Additional Analytical Support.	5	Florida Public Finance Banking Experience. Analytical Expertise. FINRA Series 52 and 63.	Clearwater, FL
Walker McQuage Managing Director	Lead Underwriter.	18	National Fixed Rate Underwriting Experience. FINRA Series 3, 7, 24, 53, and 63.	Charlotte, NC and New York, NY
Amanda Pogue Vice President	Lead Underwriting Support.	4	Southeast Fixed Rate Underwriting Experience. FINRA Series 7 and 63	Charlotte, NC and New York, NY
Kit Wood Managing Director	National Institutional Sales Manager.	16	National Institutional Sales. Relationships with Institutional Investors Nationwide. FINRA Series 7, 24, 53, and 63	New York, NY
Don Lipkin	Credit Strategist.	29	Municipal Credit Analyst / Strategist. FINRA Series 7, 16, and 53	New York, NY
Nancy Feldman	Credit Specialist.	16	Credit Specialist / Rating Strategist. FINRA Series 7, 24, 53, and 63.	New York, NY

(This is a word document add lines as needed)

5. Who will be the primary day-to-day contact for the engagement and their experience and availability?

Mr. Michael Olliff will be the primary day-to-day contact for this engagement. Mr. Olliff brings a vast array of experience to the City, with 19 years of experience in the municipal market. Mr. Olliff has served as Senior/Sole

Manager for Florida governments on a combined total of over $2 billion in financings during his career. Mr. Olliff will work closely with the proposed team to ensure that the City has the full resources of Wells Fargo at its disposal in order to complete a successful financing. Mr. Olliff will be supported by members of Wells Fargo's public finance; municipal sales and trading; and commercial banking teams. **Mr. Olliff will be fully available to the City for any of its financings.** Mr. Olliff will be available for all in person meetings, conference calls, and will commit whatever amount of time is necessary to see the City's financings through to a successful close.

6. Provide a chronological listing of the firm's Senior Managed, and Co- Managed underwriting experience nationally for the past five (5) years.

Dated Date	Issue Description	Size ($MM)	Issuer Name	Firm Role
12/17/15	Single Family Revenue Bonds	26.530	Massachusetts Housing Fin Auth	CO-MGR
12/17/15	Single Family Mortgage Rev Bonds	86.420	Massachusetts Housing Fin Auth	CO-MGR
12/17/15	GO & Refunding Bonds	31.855	Los Angeles Co (Norwalk-La Mirada) USD	SOLE
12/16/15	State Capital Project Bonds II	55.620	Alaska Housing Finance Corp	CO-MGR
12/15/15	Tax Increment Revenue & Ref Bonds	286.245	Miami Beach Redevelopment Agcy	CO-MGR
12/15/15	Tax Increment Revenue & Ref Bonds	35.850	Miami Beach Redevelopment Agcy	CO-MGR
12/15/15	Sr Dedicated Sales Tax Ref Bonds	738.405	Massachusetts School Bldg Auth	CO-MGR
12/10/15	Single Family Program Bonds	38.845	Washington State Hsg Fin Comm	CO-MGR
12/10/15	Single Family Program Bonds	25.000	Washington State Hsg Fin Comm	CO-MGR
12/10/15	Sales Tax Revenue & Ref Bonds	181.570	Metro Atlanta Rapid Transit Auth	SOLE
12/09/15	Unlimited Tax GO Bonds	29.000	Spokane SD #81	SOLE
12/09/15	GO School & Refunding Bonds	55.300	Polk Co (Johnston) CSD	SOLE
12/08/15	Single Family Mortgage Rev Bonds	141.165	Pennsylvania Housing Fin Agency	SENIOR
12/08/15	Single Family Mortgage Rev Bonds	90.000	Pennsylvania Housing Fin Agency	SENIOR
12/08/15	Housing Finance Program Bonds	50.000	North Dakota Housing Fin Agency	SENIOR
12/08/15	General Obligation Bonds	116.300	Mississippi	CO-MGR
12/08/15	General Obligation Bonds	90.145	King Co (Bellevue) SD #405	SOLE
12/08/15	GO Refunding Bonds	25.780	San Gorgonio Memorial Healthcare Dt	CO-MGR
12/03/15	Educational Facs Rev Ref Bonds	153.895	Virginia College Building Auth	SOLE
12/03/15	Educational Facs Rev Bonds	53.615	Virginia College Building Auth	SOLE
12/02/15	Special Obligation Revenue Bonds	25.000	Hialeah-Florida	CO-MGR
12/02/15	Special Obligation Ref Rev Bonds	21.145	Hialeah-Florida	CO-MGR
12/02/15	Revenue Bonds	39.725	California Statewide CDA (CSCDA)	SOLE
12/02/15	Ref Certificates of Participation	55.350	Pasadena City-California	SOLE
12/02/15	General Revenue Ref Bonds	64.770	Los Angeles Co Metro Trans Auth	CO-

				MGR
12/01/15	Toll Road Revenue Ref Bonds	161.575	Harris Co-Texas	CO-MGR
12/01/15	General Obligation Bonds	585.000	Connecticut	CO-MGR
12/01/15	General Obligation Bonds	65.000	Connecticut	CO-MGR
11/24/15	Water Financial Assistance Bonds	234.795	Texas Water Development Board	CO-MGR
11/24/15	Water & Sewer System Rev Bonds	328.030	NYC Municipal Water Fin Auth	CO-MGR
11/24/15	Water & Sewer System Rev Bonds	21.815	NYC Municipal Water Fin Auth	CO-MGR
11/24/15	Lease Revenue Refunding Bonds	103.430	San Francisco St Off Bldg Auth	CO-MGR
11/24/15	Lease Revenue Refunding Bonds	59.070	Oakland State Building Auth	CO-MGR
11/24/15	General Revenue Bonds	76.980	Univ of North Carolina Bd of Gov	SENIOR
11/24/15	General Revenue Bonds	10.735	Univ of North Carolina Bd of Gov	SENIOR
11/24/15	GO Refunding Bonds	75.440	New Orleans City-Louisiana	CO-MGR
11/19/15	Ltd Obligation Refunding Bonds	24.425	Wilkes Co-North Carolina	SOLE
11/19/15	Lease Rental Revenue Bonds	21.190	Maine Governmental Facs Auth	SENIOR
11/19/15	General Obligation Bonds	34.215	Oregon	CO-MGR
11/19/15	GO & Refunding Bonds	313.300	Anchorage City-Alaska	CO-MGR
11/18/15	Transportation Fund Rev Bonds	500.000	Massachusetts	CO-MGR
11/18/15	GO Refunding Bonds	46.855	Arapahoe Co (Cherry Creek) SD #5	SOLE
11/18/15	Affordable Housing Rev Bonds	53.880	NYS Housing Fin-Mortgage Agcy	CO-MGR
11/17/15	Water System Revenue Bonds	49.105	Suffolk Co Water Authority	SOLE
11/17/15	Student Housing Rev Ref Bonds	65.895	Chattanooga Hlth Ed & Hsg Fac Bd	CO-MGR
11/17/15	Refunding Revenue Bonds	26.270	Bulloch Co Development Authority	SOLE
11/17/15	GO Capital Loan Bonds	7.125	Dallas Co-Iowa	SOLE
11/15/15	Unlimited Tax School Bldg Bonds	68.125	Collin Co (Frisco) ISD	SOLE
11/10/15	Revenue Bonds	18.465	Vermont Ed & Hlth Bldgs Fin Agy	CO-MGR
11/10/15	Highway Revenue Bonds	85.000	Clark Co-Nevada	CO-MGR
11/10/15	General Obligation Bonds	77.500	York Co (Fort Mill) SD #4	SOLE
11/10/15	Commonwealth Mortgage Bonds	140.929	Virginia Housing Dev Auth (VHDA)	SENIOR
11/05/15	Power Proj Revenue Ref Bonds	80.685	Missouri Jt Muni Elec Util Comm	CO-MGR
11/05/15	GO Refunding Bonds	8.135	Kern Co (Standard) SD	SOLE
11/05/15	Future Tax Secured Sub Bonds	749.660	NYC Transitional Finance Auth	CO-MGR
11/05/15	Future Tax Secured Sub Bonds	51.380	NYC Transitional Finance Auth	SOLE

11/04/15	Water & Sewer Revenue Ref Bonds	28.710	Bay Co-Florida	SENIOR
11/04/15	Revenue Bonds	294.025	South Carolina State Ports Auth	CO-MGR
11/04/15	GO Limited Tax Bonds	47.305	Nevada	SOLE
11/03/15	Refunding Bonds	53.605	Maine Municipal Bond Bank	SENIOR
11/01/15	Unltd Tax Scool Bldg & Ref Bonds	209.045	Harris Co (Cypress-Fairbanks) ISD	CO-MGR
11/01/15	Refunding Bonds	15.855	Harris-Montgomery Cos MUD #386	SENIOR
11/01/15	Limited Tax Refunding Bonds	8.810	Texas Southmost College Dt	SENIOR
11/01/15	Electric & Gas Systems Rev Bonds	235.000	San Antonio City-Texas	SENIOR
11/01/15	Combined Tax & Ltd Rev Cert Oblig	50.000	Tom Green Co-Texas	SENIOR
10/28/15	Certificates of Participation	62.970	Palm Beach Co School Board	CO-MGR
10/27/15	Wtrwrks & Swr Sys Rev Ref Bonds	23.445	Dorchester Co-South Carolina	SOLE
10/27/15	Turnpike Subordnate Revenue Bonds	131.160	Pennsylvania Turnpike Commission	CO-MGR
10/27/15	Turnpike Subordnate Revenue Bonds	61.055	Pennsylvania Turnpike Commission	CO-MGR
10/27/15	Restructuring Bonds	1,002.115	NYS Utility Debt Securitization Auth	CO-MGR
10/27/15	Home Ownership Rev Ref Bonds	66.000	North Carolina Housing Fin Agcy	CO-MGR
10/27/15	GO Permanent Imp Revolv Bonds	5.355	Bloomington City-Minnesota	SOLE
10/22/15	Transport Infras Rev Ref Bonds	54.680	Maine Municipal Bond Bank	SENIOR
10/22/15	State Sales Tax Revenue Bonds	291.000	NYS Dorm Authority	SOLE
10/22/15	Revenue Bonds	212.960	California Infrstr & Eco Dev Bank	SOLE
10/22/15	Revenue Bonds	128.000	California Infrstr & Eco Dev Bank	SOLE
10/22/15	Revenue Bonds	16.405	Maine Municipal Bond Bank	SENIOR
10/22/15	Multi-Family Housing Rev Bonds	53.685	NYC Housing Dev Corp	CO-MGR
10/22/15	General Revenue Bonds	213.705	Univ of Oklahoma Bd of Regents	CO-MGR
10/22/15	General Revenue Bonds	42.055	Univ of Oklahoma Bd of Regents	CO-MGR
10/21/15	Sr Lien Utility Sys Rev Ref Bonds	89.675	Yuma Municipal Property Corp	SOLE
10/21/15	Revenue Bonds	206.515	Massachusetts Dev Finance Agcy	CO-MGR
10/21/15	Revenue Bonds	28.000	Massachusetts Dev Finance Agcy	CO-MGR
10/21/15	Hospital Revenue Bonds	100.000	Colorado Health Facilities Auth	SOLE
10/20/15	Homeownership Finance Bonds	52.365	Minnesota Housing Fin Agency	CO-MGR
10/20/15	General Rev Pledge & Ref Bonds	62.240	Virginia Polytechnic Ins & State Univ	CO-MGR
10/20/15	Consolidated Bonds	1,194.560	Port Authority of NY & NJ	SENIOR
10/20/15	Consolidated Bonds	500.000	Port Authority of NY & NJ	SENIOR
10/20/15	Consolidated Bonds	305.440	Port Authority of NY & NJ	SENIOR
10/20/15	Airport Facilities Revenue Bonds	214.450	Greater Orlando Aviation Auth	CO-MGR

10/19/15	GO School Bldg & Imp Bonds	10.000	Grant Co (Platteville) SD	SOLE
10/15/15	Unltd Tax School Building Bonds	71.870	Dallas Co (Mesquite) ISD	CO-MGR
10/15/15	Special Tax Oblig & Ref Bonds	839.770	Connecticut	CO-MGR
10/15/15	Residential Finance Program Bonds	119.430	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
10/15/15	Residential Finance Program Bonds	43.070	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
10/15/15	Residential Finance Program Bonds	12.500	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
10/15/15	Ltd & Unlt Tax Refunding Bonds	219.345	Harris Co (Spring Branch) ISD	SENIOR
10/15/15	Limited Sales Tax Revenue Bonds	166.640	Contra Costa Transportation Auth	CO-MGR
10/15/15	General Obligation Bonds	10.020	York Co (Fort Mill) SD #4	SOLE
10/15/15	Airport Revenue & Refunding Bonds	288.145	Wayne Co Airport Auth	CO-MGR
10/15/15	Airport Revenue & Refunding Bonds	231.910	Wayne Co Airport Auth	CO-MGR
10/15/15	Affordable Housing Revenue Bonds	26.060	NYS Housing Fin-Mortgage Agcy	CO-MGR
10/14/15	Teeter Obligation Notes	87.040	Riverside Co-California	SENIOR
10/13/15	Lease Revenue Refunding Bonds	431.885	California St Public Works Board	CO-MGR
10/13/15	Lease Revenue Refunding Bonds	116.300	California St Public Works Board	CO-MGR
10/13/15	Install Purchase Ref Rev Bonds	31.585	SCAGO Ed Facs Corp (Union)	SENIOR
10/13/15	General Receipts Refunding Bonds	5.960	Western Kentucky University	SOLE
10/08/15	Various Purpose GO Bonds	51.085	Washington	CO-MGR
10/08/15	Sales Tax Revenue Ref Bonds	186.640	San Fran Bay Area Rapid Trans Dt	SENIOR
10/08/15	Limited Obligation Bonds	24.485	Summerville-South Carolina	SOLE
10/08/15	GO Refunding Bonds	276.805	San Fran Bay Area Rapid Trans Dt	SENIOR
10/07/15	Lease Revenue Bonds	107.615	Washington Biomedical Res Fac 3	CO-MGR
10/07/15	Lease Revenue Bonds	24.455	Washington Biomedical Res Fac 3	CO-MGR
10/06/15	Revenue Refunding Obligations	270.170	SC Pub Svc Au (Santee Cooper)	CO-MGR
10/06/15	Catawba Electrict Rev Ref Bonds	17.610	North Carolina Muni Pwr Agcy #1	CO-MGR
10/05/15	Utility System Rev Ref Bonds	52.285	Emerald Coast Utility Auth	CO-MGR
10/01/15	Wtrwrks & Swr Sys Rev Ref Bonds	31.055	Beaumont City-Texas	CO-MGR
10/01/15	Revenue Financing Sys Ref Bonds	73.035	Univ of No Texas Bd of Regents	CO-MGR
10/01/15	GO State Qualified Ref Bonds	25.185	Springfield City-Massachusetts	SOLE
10/01/15	Education Revenue Bonds	70.885	Clifton Higher Ed Finance Corp	CO-MGR

09/30/15	Refunding Revenue Bonds	12.445	Savannah Economic Dev Auth	SOLE
09/30/15	Refunding Revenue Bonds	3.410	Savannah Economic Dev Auth	SOLE
09/30/15	GO Mobility Fund Refunding Bonds	911.360	Texas Transportation Commission	CO-MGR
09/29/15	General Obligation RANs	400.000	Massachusetts	SENIOR
09/29/15	General Obligation RANs	400.000	Massachusetts	SENIOR
09/29/15	Future Tax Secured Sub Bonds	750.000	NYC Transitional Finance Auth	CO-MGR
09/29/15	Future Tax Secured Sub Bonds	60.000	NYC Transitional Finance Auth	SOLE
09/23/15	Student Fee & Hsg Sys Rev Bonds	24.455	Utah State Board of Regents	SOLE
09/22/15	Single Family Mortgage Rev Bonds	95.240	Pennsylvania Housing Fin Agency	CO-MGR
09/22/15	Single Family Mortgage Rev Bonds	55.000	Pennsylvania Housing Fin Agency	CO-MGR
09/17/15	Transportation Revenue Ref Bonds	244.725	Metropolitan Transport Auth (MTA)	CO-MGR
09/17/15	Transportation Revenue Ref Bonds	69.495	Metropolitan Transport Auth (MTA)	CO-MGR
09/17/15	Transportation Revenue Ref Bonds	50.665	Metropolitan Transport Auth (MTA)	CO-MGR
09/17/15	Transportation Revenue Ref Bonds	42.810	Metropolitan Transport Auth (MTA)	CO-MGR
09/17/15	Installment Purchase Rev Bonds	26.270	Charleston Public Facs Corp	SENIOR
09/17/15	Installment Purchase Rev Bonds	5.000	Charleston Public Facs Corp	SENIOR
09/17/15	Housing Bonds	28.515	Massachusetts Housing Fin Agcy	CO-MGR
09/17/15	Hospital Revenue Refunding Bonds	373.960	District of Columbia	CO-MGR
09/17/15	Dedicated Tax Fund BANs	500.000	Metropolitan Transport Auth (MTA)	SENIOR
09/16/15	State Appropriation Bonds	31.095	Minnesota Housing Fin Agency	CO-MGR
09/16/15	State Agency Facs Ref Rev Bonds	39.535	Oklahoma Cap Imp Authority	SENIOR
09/16/15	Highway Revenue Refunding Bonds	190.875	Kansas Dept of Transportation	SENIOR
09/16/15	Electric Revenue Bonds	51.935	Piedmont Muni Power Agency	SENIOR
09/15/15	Wtr & Swr Sys Imp & Ref Rev Bonds	52.670	Sumter City-South Carolina	SOLE
09/15/15	Sr Lien Revenue & Refunding Bonds	39.635	Port Freeport-Texas	CO-MGR
09/15/15	GO Limited Tax Bonds	26.000	Las Vegas City-Nevada	SOLE
09/11/15	State Personal Inc Tax Rev Bonds	975.970	NYS Dorm Authority	CO-MGR
09/11/15	State Personal Inc Tax Rev Bonds	152.960	NYS Dorm Authority	CO-MGR
09/10/15	Sales Tax Improvement Bonds	150.000	Central Puget Sound Reg Tran Au	CO-MGR
09/10/15	Sales Tax Improvement & Ref Bonds	792.840	Central Puget Sound Reg Tran Au	CO-MGR
09/10/15	Revenue Bonds	415.825	Illinois Finance Authority	CO-MGR
09/10/15	Health Care Facs Revenue Bonds	153.240	Birmingham Spec Care Facs Fin Au	SOLE

09/09/15	Various Purpose GO & Ref Bonds	1,925.825	California	CO-MGR
09/09/15	Revenue Bonds	376.600	Cobb-Marietta Coliseum & Exhib Au	SOLE
09/09/15	Revenue Bonds	185.180	Georgia Muni Electric Au (MEAG)	SENIOR
09/09/15	Revenue Bonds	69.245	Georgia Muni Electric Au (MEAG)	SENIOR
09/08/15	Ref Certificates of Participation	32.860	Marion Co School Board	CO-MGR
09/03/15	Southwest Waterfront Rev Bonds	145.445	District of Columbia	SENIOR
09/03/15	Revenue Bonds	76.455	California Educational Facs Auth	CO-MGR
09/01/15	Waterworks & Swr Sys Rev Bonds	15.045	Laredo City-Texas	SENIOR
09/01/15	Revenue Refunding Bonds	200.180	Univ of Colorado Hospital Auth	SOLE
09/01/15	Revenue Bonds	102.365	Pennsylvania Hghr Ed Facs Auth	SOLE
09/01/15	Refunding Revenue Bonds	133.885	Lower Colorado River Authority (LCRA)	CO-MGR
09/01/15	Mortgage Revenue Bonds	79.195	Oregon Hsg & Comm Svcs Dept	CO-MGR
09/01/15	Mortgage Revenue Bonds	8.645	Oregon Hsg & Comm Svcs Dept	CO-MGR
09/01/15	Health Care Facs Revenue Bonds	55.095	Jacksonville City-Florida	SOLE
09/01/15	Dormitory Revenue Bonds	34.680	Iowa State Board of Regents	SOLE
09/01/15	Comb Tax & Rev Certs of Oblig	19.765	Laredo City-Texas	SENIOR
08/28/15	Revenue Refunding Bonds	93.790	CIVICVentures	CO-MGR
08/28/15	Revenue Bonds	102.230	California Educational Facs Auth	SENIOR
08/28/15	Revenue Bonds	10.300	Connecticut Hlth & Ed Facs Auth	SOLE
08/27/15	Water & Sewer Sys Ref Rev Bonds	459.585	Charlotte City-North Carolina	SENIOR
08/27/15	Revenue Refunding Bonds	581.290	NY Convention Center Dev Corp	CO-MGR
08/27/15	Revenue Refunding Bonds	117.175	New Jersey Educational Facs Au	CO-MGR
08/27/15	Housing Revenue Bonds	96.300	NYS Housing Fin-Mortgage Agcy	SOLE
08/27/15	Housing Revenue Bonds	27.320	NYS Housing Fin-Mortgage Agcy	SOLE
08/27/15	First Lien Swr Sys Rev Ref Bonds	329.805	Portland City-Oregon	SOLE
08/26/15	Water & Sewer Sys Rev Ref Bonds	21.050	Georgetown Co Wtr & Swr Dt	SOLE
08/26/15	Unlimited Tax Refunding Bonds	62.805	Port of Houston Auth	CO-MGR
08/26/15	Unlimited Tax Refunding Bonds	27.260	Port of Houston Auth	CO-MGR
08/26/15	Unlimited Tax Refunding Bonds	25.905	Port of Houston Auth	CO-MGR
08/26/15	Transmission Facs Rev Bonds	97.790	Port of Morrow-Oregon	CO-MGR
08/25/15	Wtrwrks & Swr Sys Imp Rev Bonds	11.605	Fort Mill Town-South Carolina	SOLE
08/20/15	State Revolv Funds Rev Bonds	367.455	NYS Environmental Facs Corp	CO-MGR
08/20/15	State Revolv Funds Rev Bonds	80.520	NYS Environmental Facs Corp	CO-MGR
08/20/15	State Revolv Funds Rev Bonds	31.370	NYS Environmental Facs Corp	CO-

				MGR
08/20/15	Revenue Bonds	1,005.180	Kansas Development Fin Auth	SENIOR
08/20/15	Ref Certificates of Participation	100.625	Hillsborough Co SD	SENIOR
08/20/15	Certificates of Participation	193.915	Colorado Reg Transportation Dt	SENIOR
08/19/15	General Obligation Bonds	157.835	Connecticut	SOLE
08/19/15	General Obligation Bonds	80.610	Connecticut	SOLE
08/19/15	General Obligation Bonds	11.555	Connecticut	SOLE
08/19/15	GO State Various Purpose Bonds	7.200	Minnesota	SOLE
08/19/15	GO Refunding Bonds	74.950	Ocean Co-New Jersey	CO-MGR
08/19/15	GO Refunding Bonds	2.510	Ocean Co-New Jersey	CO-MGR
08/18/15	Wtr & Wstwtr Sys Rev Ref Bonds	249.145	Austin City-Texas	CO-MGR
08/18/15	Wtr & Wstwtr Sys Rev Ref Bonds	40.000	Austin City-Texas	CO-MGR
08/18/15	Transportation Rev Ref Bonds	477.110	Metropolitan Transport Auth (MTA)	CO-MGR
08/18/15	Transportation Rev Ref Bonds	72.890	Metropolitan Transport Auth (MTA)	CO-MGR
08/18/15	General Airport Revenue Bonds	109.235	Love Field Airport Modernization Corp	CO-MGR
08/18/15	Gas Works Revenue Refunding Bonds	261.770	Philadelphia City-Pennsylvania	SENIOR
08/17/15	GO OPEB Refunding Bonds	14.695	Washington (Stillwater) ISD #834	SOLE
08/13/15	Wtrwrks & Swr Sys Imp & Ref Bonds	7.780	Hartsville City-South Carolina	SOLE
08/13/15	Power Supply Revenue Ref Bonds	34.835	Western Minnesota Municipal Power Agcy	CO-MGR
08/13/15	Multi-Family Housing Rev Bonds	64.140	NYC Housing Dev Corp	CO-MGR
08/13/15	General Obligation Bonds	750.475	New York City-New York	CO-MGR
08/13/15	Electric Revenue Refunding Bonds	19.990	Imperial Irrigation Dt	CO-MGR
08/13/15	Electric Revenue Refunding Bonds	19.275	Imperial Irrigation Dt	CO-MGR
08/13/15	Educational Facs Revene Bonds	290.065	Virginia College Building Auth	SOLE
08/13/15	Affordable Housing Rev Bonds	92.790	NYS Housing Fin-Mortgage Agcy	CO-MGR
08/12/15	Revolving Fund Revenue Bonds	45.475	New Mexico Finance Auth (NMFA)	CO-MGR
08/12/15	GO Corporate Purpose Bonds	10.000	Monroe Co-Wisconsin	SOLE
08/11/15	Residential Housing Finance Bonds	63.255	Minnesota Housing Fin Agency	CO-MGR
08/11/15	Residential Housing Finance Bonds	43.070	Minnesota Housing Fin Agency	CO-MGR
08/06/15	Refunding Revenue Bonds	48.385	UCF Convocation Corp	CO-MGR
08/06/15	Refunding Revenue Bonds	34.775	UCF Convocation Corp	CO-MGR
08/06/15	Housing Mtg Finance Prog Bonds	105.000	Connecticut Housing Finance Auth	CO-

				MGR
08/06/15	Housing Mtg Finance Prog Bonds	10.800	Connecticut Housing Finance Auth	CO-MGR
08/05/15	Wtr & Elc Sys Ref & Imp Rev Bonds	51.280	Columbia City-Missouri	SOLE
08/05/15	Systemwide Revenue Bonds	1,034.370	California State Univ Trustees	SENIOR
08/05/15	Systemwide Revenue Bonds	29.305	California State Univ Trustees	SENIOR
08/05/15	Stormwater Revenue Bonds	99.590	Miami Beach-Florida	SENIOR
08/05/15	Rental Housing Bonds	22.625	Virginia Housing Dev Auth (VHDA)	SOLE
08/05/15	GO Refunding Bonds	10.595	Alexandria City-Virginia	SOLE
08/03/15	Revenue Anticipation Certificates	75.375	Gwinnett Co Hospital Authority	SOLE
08/01/15	Unltd Tax School Building Bonds	50.625	Atascosa Co (Pleasanton) ISD	CO-MGR
08/01/15	Unltd Tax School Building Bonds	11.710	San Patricio Co (Gregory-Portland) ISD	CO-MGR
08/01/15	Unltd Tax School Building Bonds	8.150	Atascosa Co (Pleasanton) ISD	CO-MGR
08/01/15	Unlimited Tax Refunding Bonds	133.150	Comal ISD	CO-MGR
08/01/15	Unlimited Tax Refunding Bonds	34.055	Cameron Co (Los Fresnos) CISD	CO-MGR
08/01/15	Permanent Improvement & Ref Bonds	191.370	Harris Co-Texas	CO-MGR
08/01/15	Home Owner Mtg-Backed Securities	9.000	Utah Housing Corporation (UHC)	SOLE
08/01/15	General Improvement Bonds	90.520	Corpus Christi City-Texas	CO-MGR
08/01/15	Comb Tax Elec Sys Rev Ref Bonds	53.870	Garland City-Texas	SOLE
07/31/15	Revenue Bonds	421.430	North Carolina Eastern Municipal Power Agency	CO-MGR
07/30/15	Sales Tax Revenue Bonds	1,523.100	NYS Dorm Authority	CO-MGR
07/30/15	Revenue Bonds	27.395	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
07/30/15	Ref Certificates of Participation	263.545	Arizona School Facilities Board	CO-MGR
07/30/15	Hospital Revenue Bonds	94.850	Harris Co Cult Ed Facs Fin Corp	SOLE
07/30/15	Hospital Revenue Bonds	75.000	Lehigh Co General Purpose Auth	CO-MGR
07/29/15	Wtrwrks Swr Sys Cap Imp Rev Bonds	140.105	Charleston City-South Carolina	SENIOR
07/29/15	Water Revenue Bonds	12.240	North Kern/Cawelo Financing Auth	SOLE
07/24/15	Lease Appropriation Bonds	92.755	Indiana Finance Authority	CO-MGR
07/23/15	General Fund TRANs	600.000	Colorado	SENIOR
07/23/15	Catawba Electric Rev Ref Bonds	30.000	North Carolina Muni Pwr Agcy #1	CO-MGR
07/23/15	Catawba Electric Rev Ref Bonds	23.930	North Carolina Muni Pwr Agcy #1	CO-MGR
07/23/15	Catawba Electric Rev & Ref Bonds	408.525	North Carolina Muni Pwr Agcy #1	CO-MGR
07/22/15	Passenger Facs Ref Rev Bonds	98.965	Clark Co-Nevada	SENIOR

07/22/15	Hospital Revenue Refunding Bonds	114.925	Winchester Econ Dev Au	CO-MGR
07/22/15	Hospital Refunding Revenue Bonds	5.240	West Virginia Hospital Fin Auth	CO-MGR
07/21/15	Senior Lien Revenue BANs	193.695	Central Florida Expressway Au	SOLE
07/21/15	Revenue Bonds	114.485	California Educational Facs Auth	SOLE
07/21/15	Grant & Revenue Antic Ref Bonds	172.540	Idaho Housing & Fin Assoc	CO-MGR
07/20/15	Storm Water & Flood Mgt Rev Bonds	22.845	Boulder City-Colorado	SOLE
07/16/15	Water & Sewer Ref Rev Bonds	72.415	Cape Coral City-Florida	CO-MGR
07/16/15	Lease Rental Revenue Ref Bonds	41.115	Maine Governmental Facs Auth	SENIOR
07/16/15	GO Refunding Bonds	701.705	Massachusetts	CO-MGR
07/16/15	GO Consolidated Loan Bonds	250.000	Massachusetts	CO-MGR
07/16/15	Enterprise System Rev Ref Bonds	41.110	Oak Island Town-North Carolina	SENIOR
07/16/15	Electric System Revenue Bonds	33.205	Jacksonville Electric Authority	CO-MGR
07/16/15	Electric System Revenue Bonds	9.150	Jacksonville Electric Authority	CO-MGR
07/15/15	Unltd Tax School Bldg & Ref Bonds	249.660	Montgomery Co ISD	CO-MGR
07/15/15	Revenue Bonds	100.000	Oregon Health Science University	SENIOR
07/15/15	General Revenue Bonds	65.350	University of Alaska	CO-MGR
07/15/15	General Obligation Bonds	37.000	Los Angeles Co (Covina Valley) USD	SOLE
07/15/15	Cap Grant Receipts & Ref Bonds	97.915	Alaska Railroad Corporation	CO-MGR
07/13/15	GO School Building & Imp Bonds	10.000	Columbia Co (Randolph) SD	SOLE
07/13/15	GO Promissory Bonds	5.620	Calumet Co (Chilton) SD	SOLE
07/09/15	Revenue Bonds	257.300	Maryland Hlth & Hghr Ed Facs Au	CO-MGR
07/09/15	General Obligation Bonds	182.730	Georgia	SOLE
07/09/15	GO Refunding Bonds	29.340	Durham Co-North Carolina	SOLE
07/08/15	Revenue Bonds	27.790	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
07/08/15	General Obligation Ed-Tech Bonds	16.200	Santa Clara Co (East Side) UHSD	SOLE
07/08/15	GO Refunding Bonds	138.795	Philadelphia City-Pennsylvania	SENIOR
07/02/15	TRANs	210.000	Houston City-Texas	SENIOR
07/02/15	Clean Wtr & Drinking Rev Bonds	219.770	NYS Environmental Facs Corp	CO-MGR
07/01/15	Water Revenue Refunding Bonds	188.900	So California Metro Water Dt	SOLE
07/01/15	Unltd Tax Schoolhouse & Ref Bonds	224.600	Harris Co (Klein) ISD	CO-MGR
07/01/15	Unltd Tax Schoolhouse & Ref Bonds	213.170	Fort Bend Co (Lamar) CISD	SENIOR
07/01/15	Unltd Tax School Building Bonds	75.000	Ellis Co (Waxahachie) ISD	SOLE
07/01/15	Unltd Tax Sch Bldg & Ref Bonds	93.420	Bexar Co (Northside) ISD	CO-MGR

07/01/15	Unlimited Tax Refunding CABs	.980	Waller Co (Royal) ISD	SOLE
07/01/15	Unlimited Tax Refunding Bonds	5.585	Waller Co (Royal) ISD	SOLE
07/01/15	TRANs	90.000	San Bernardino Co-California	SOLE
07/01/15	Revenue Refunding Bonds	157.095	South Carolina Trans Infras Bank	SOLE
07/01/15	Revenue Refunding Bonds	6.500	Melrose City-Minnesota	SOLE
07/01/15	Home Owner Mtg-backed Exempt Secs	26.100	Idaho Housing & Fin Assoc	SOLE
07/01/15	Home Owned Mtg-Backed Sec	32.448	Utah Housing Corporation (UHC)	SOLE
07/01/15	General Obligation Bonds	9.000	Selma City-Texas	SOLE
07/01/15	General Obligation Bonds	5.875	Moore City-Oklahoma	SOLE
07/01/15	GO Refunding & Improvement Bonds	116.415	El Paso City-Texas	CO-MGR
07/01/15	Customer Fac Charge Rev Bonds	123.900	San Antonio City-Texas	SENIOR
07/01/15	Comb Tax & Rev Certs of Oblig	57.710	El Paso City-Texas	CO-MGR
07/01/15	Airport System Revenue Imp Bonds	38.805	San Antonio City-Texas	SENIOR
06/30/15	Water & Sewer Rev Refunding Bonds	9.525	Hendersonville Utility Dt	SOLE
06/30/15	State Capital Project Bonds II	93.365	Alaska Housing Finance Corp	CO-MGR
06/30/15	Revenue Bonds	187.500	California Statewide CDA (CSCDA)	SENIOR
06/30/15	Revenue Bonds	115.770	Florida Municipal Power Agency (FMPA)	SENIOR
06/30/15	Install Purchase Revenue Bonds	42.000	Florence Co Public Facilities Corp	SENIOR
06/25/15	Water Revenue Bonds	5.730	Portland Water Dt	SOLE
06/25/15	Revenue Bonds	750.000	NYC Transitional Finance Auth	CO-MGR
06/25/15	Revenue Bonds	80.885	Dutchess Co Local Dev Corp	SENIOR
06/25/15	Refunding Ltd Obligation Bonds	66.555	Mooresville Town-North Carolina	SENIOR
06/25/15	Multi-Family Housing Rev Bonds	254.100	NYC Housing Dev Corp	CO-MGR
06/25/15	Multi-Family Housing Rev Bonds	182.490	NYC Housing Dev Corp	CO-MGR
06/25/15	Multi-Family Housing Rev Bonds	30.000	NYC Housing Dev Corp	CO-MGR
06/25/15	Multi-Family Housing Rev Bonds	28.500	NYC Housing Dev Corp	SOLE
06/25/15	Multi-Family Housing Rev Bonds	20.475	NYC Housing Dev Corp	CO-MGR
06/25/15	Multi-Family Housing Rev Bonds	7.200	NYC Housing Dev Corp	CO-MGR
06/25/15	Multi-Family Housing Rev Bonds	2.900	NYC Housing Dev Corp	CO-MGR
06/25/15	Housing Bonds	35.785	Massachusetts Housing Fin Agcy	CO-MGR
06/25/15	General Obligation Bonds	37.199	Ventura Co (Conejo Valley) USD	SOLE
06/25/15	Co Gtd Solid Wst Rev Ref Bonds	14.595	Cumberland Co Imp Auth	SOLE
06/25/15	Certificates of Participation	76.470	Charlotte City-North Carolina	SENIOR
06/25/15	Capital Improvement Revenue Bonds	24.330	Sumter Co-Florida	CO-MGR
06/25/15	Airport Revenue Refunding Bonds	17.310	St Louis City-Missouri	CO-

				MGR
06/24/15	Sewage Works & Ref Rev Bonds	6.920	Chandler Town-Indiana	SOLE
06/24/15	Health System Revenue Bonds	453.470	Montgomery Co Ind Dev Auth	SENIOR
06/24/15	GO & GO Refunding Bonds	534.190	District of Columbia	CO-MGR
06/23/15	Revenue Bonds	503.640	NYS Dorm Authority	CO-MGR
06/23/15	Housing Finance Program Bonds	46.100	North Dakota Housing Fin Agency	CO-MGR
06/23/15	Comb Enterprise Sys Rev Ref Bonds	33.985	Greensboro City-North Carolina	SENIOR
06/22/15	Water System Ref Revenue Bonds	16.665	Broad River Water Authority	SOLE
06/18/15	Ref Certificates of Participation	56.470	Charlotte City-North Carolina	CO-MGR
06/18/15	Hospital Revenue Refunding Bonds	43.445	Colorado Health Facilities Auth	SOLE
06/18/15	General Obligation Bonds	316.690	New York City-New York	CO-MGR
06/18/15	General Obligation Bonds	300.000	New York City-New York	CO-MGR
06/18/15	General Obligation Bonds	50.000	New York City-New York	CO-MGR
06/18/15	GO Higher Education Bonds	100.865	Oregon	CO-MGR
06/18/15	GO Higher Education Bonds	2.000	Oregon	CO-MGR
06/17/15	Water System Revenue Bonds	110.715	East Bay MUD	SOLE
06/17/15	Water System Revenue Bonds	74.335	East Bay MUD	SOLE
06/15/15	GO School Building & Imp Bonds	9.500	Kewaunee SD	SOLE
06/12/15	Housing Revenue Bonds	413.000	NYS Housing Fin-Mortgage Agcy	SOLE
06/12/15	Housing Revenue Bonds	107.000	NYS Housing Fin-Mortgage Agcy	SOLE
06/11/15	Water System Revenue Ref Bonds	50.625	Asheville City-North Carolina	CO-MGR
06/11/15	Residential Finance Program Bonds	105.100	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
06/11/15	Residential Finance Program Bonds	25.400	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
06/11/15	Residential Finance Program Bonds	19.500	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
06/11/15	Ref Certificates of Participation	36.225	Collier Co School Board	CO-MGR
06/11/15	Install Purchase Ref Rev Bonds	13.595	SCAGO Ed Facs Corp (Spartanburg)	SOLE
06/11/15	Health Care Facs Rev Ref Bonds	306.395	St Paul Housing & Redev Auth	CO-MGR
06/11/15	Health Care Facs Rev Ref Bonds	191.830	St Paul Housing & Redev Auth	CO-MGR
06/11/15	GO School Building Ref Bonds	18.665	Ramsey Co (St Paul) ISD #625	SOLE
06/10/15	Sewer Revenue Bonds	200.030	Riverside City-California	SOLE
06/10/15	Revenue Bonds	110.000	El Paso Co-Colorado	SOLE
06/10/15	GO Unlimited Tax Refunding Bonds	43.955	King Co (Highline) SD #401	SOLE
06/10/15	GO School Improvement Bonds	10.000	Buffalo Co (Durand) SD	SOLE

06/09/15	Sewer Revenue Interim Debenture	13.000	Lyon Co-Nevada	SOLE
06/09/15	Refunding Revenue Bonds	85.570	Georgia Higher Ed Facs Auth	CO-MGR
06/09/15	Public Facilities Rev & Ref Bonds	367.710	Virginia Public Building Auth	SOLE
06/09/15	Economic Development Rev Bonds	14.175	South Carolina Jobs Econ Dev Au	SOLE
06/08/15	GO Higher Education Bonds	12.660	Oregon	CO-MGR
06/04/15	Revenue Bonds	147.545	Cumberland Co Muni Auth	SENIOR
06/03/15	Revenue Refunding Bonds	21.510	Univ of No Colorado Bd of Trust	SOLE
06/03/15	Revenue & Refunding Bonds	182.165	Pennsylvania State University	CO-MGR
06/03/15	Hospital Revenue Bonds	195.720	Harris Co Cult Ed Facs Fin Corp	CO-MGR
06/03/15	Hospital Revenue Bonds	110.000	Harris Co Cult Ed Facs Fin Corp	SENIOR
06/03/15	GO Limited Tax Refunding Bonds	68.190	Franklin Co-Ohio	SENIOR
06/02/15	Coastal Account Sr Secured Bonds	300.000	Florida Citizens Prop Ins Corp	CO-MGR
06/02/15	Coastal Account Sr Secured Bonds	275.000	Florida Citizens Prop Ins Corp	CO-MGR
06/02/15	Coastal Account Sr Secured Bonds	225.000	Florida Citizens Prop Ins Corp	CO-MGR
06/02/15	Coastal Account Sr Secured Bonds	150.000	Florida Citizens Prop Ins Corp	CO-MGR
06/02/15	Coastal Account Sr Secured Bonds	50.000	Florida Citizens Prop Ins Corp	CO-MGR
06/01/15	Unlimited Tax Sch Building Bonds	220.300	Tarrant Co (Arlington) ISD	CO-MGR
06/01/15	Transmission Contract Ref Bonds	245.965	Lower Colorado River Authority (LCRA)	CO-MGR
06/01/15	Limited Tax Refunding Bonds	19.855	Laredo Comm College Dt	SENIOR
06/01/15	Home Owner Mtg-backed Sec	33.000	Utah Housing Corporation (UHC)	SOLE
06/01/15	GO School Improvement Bonds	9.240	St Croix Co (Glenwood City) SD	SOLE
06/01/15	GO Refunding Bonds	85.090	Charlotte City-North Carolina	CO-MGR
06/01/15	Combined Fee Revenue Ref Bonds	5.305	Laredo Comm College Dt	SENIOR
05/28/15	Wtr Swr Sys 2nd Gen Res Rev Bonds	452.530	NYC Municipal Water Fin Auth	CO-MGR
05/28/15	Revenue Refunding Bonds	187.345	Nebraska Pub Pwr Generation Agcy	CO-MGR
05/28/15	Refunding Bonds	70.385	Maine Municipal Bond Bank	SENIOR
05/28/15	Infrastructure Revenue Bonds	83.775	Virginia Resources Auth (VRA)	SENIOR
05/28/15	Infras & St Moral Oblig Rev Bonds	16.335	Virginia Resources Auth (VRA)	SENIOR
05/28/15	Housing Finance Program Bonds	94.730	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
05/28/15	Housing Finance Program Bonds	69.120	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
05/28/15	Grant Antic Rev Vehicle Bonds	264.930	North Carolina	CO-MGR
05/28/15	General Obligation Bonds	297.250	Connecticut	CO-MGR

05/28/15	General Obligation Bonds	107.620	Connecticut	CO-MGR
05/28/15	General Obligation Bonds	85.130	Connecticut	CO-MGR
05/28/15	General Obligation Bonds	64.080	Connecticut	CO-MGR
05/28/15	General Obligation Bonds	62.020	Connecticut	CO-MGR
05/28/15	General Obligation Bonds	50.580	Connecticut	CO-MGR
05/28/15	General Obligation Bonds	23.320	Connecticut	CO-MGR
05/28/15	General Obligation Bonds	10.000	Connecticut	CO-MGR
05/28/15	GO Refunding Bonds	160.660	Connecticut	CO-MGR
05/28/15	GO Refunding Bonds	20.085	Connecticut	CO-MGR
05/26/15	Homeownership Finance Bonds	40.226	Minnesota Housing Fin Agency	CO-MGR
05/21/15	Revenue Refunding Bonds	57.050	Oregon Health Science University	SOLE
05/21/15	Revenue Refunding Bonds	36.300	New Jersey Economic Dev Auth	CO-MGR
05/21/15	Revenue Bonds	80.145	Maryland Hlth & Hghr Ed Facs Au	CO-MGR
05/21/15	Lease Appropriation Ref Bonds	296.530	Indiana Finance Authority	CO-MGR
05/21/15	Lease Appropriation Ref Bonds	44.710	Indiana Finance Authority	CO-MGR
05/21/15	Health Care Facs Revenue Bonds	50.000	North Carolina Medical Care Commiss	CO-MGR
05/21/15	GO Improvement Bonds	28.175	Seattle City-Washington	SOLE
05/21/15	Electric Revenue &Refunding Bonds	428.900	Energy Northwest	CO-MGR
05/21/15	Electric Revenue &Refunding Bonds	98.415	Energy Northwest	CO-MGR
05/20/15	Power Revenue Bonds	32.025	San Francisco Public Util Comm	SOLE
05/20/15	Power Revenue Bonds	7.530	San Francisco Public Util Comm	SOLE
05/20/15	Home Owner Mtg-backed Sec	32.001	Utah Housing Corporation (UHC)	SOLE
05/19/15	School Tech & Security Notes	65.465	Virginia Public School Authority	SOLE
05/15/15	Unltd Tax School Building Bonds	7.580	Dallas Co (Garland) ISD	CO-MGR
05/15/15	Unltd Tax School Bldg & Ref Bonds	185.740	Dallas Co (Garland) ISD	CO-MGR
05/15/15	Unltd Tax School Bldg & Ref Bonds	139.525	Collin Co (Frisco) ISD	CO-MGR
05/15/15	Multi-Family Mortgage Rev Bonds	15.943	Collier Co Housing Finance Auth	SOLE
05/15/15	General Revenue Bonds	225.000	Triborough Bridge & Tunnel Auth	CO-MGR
05/14/15	Sales Tax Refunding Rev Bonds	79.880	St Lucie Co SD	CO-MGR

05/14/15	Revenue Bonds	20.865	Georgia Priv College & Univ Auth	SOLE
05/14/15	Revenue Bonds	17.365	Georgia Priv College & Univ Auth	SOLE
05/14/15	General Obligation Bonds	334.990	Louisiana	SOLE
05/12/15	Water System Revenue Ref Bonds	16.645	Tacoma City-Washington	SOLE
05/12/15	Water System Revenue Ref Bonds	6.365	Tacoma City-Washington	SOLE
05/12/15	Sub Excise Tax Revenue Ref Bonds	319.305	Phoenix City Civic Imp Corp	SENIOR
05/12/15	Sub Excise Tax Revenue Ref Bonds	60.895	Phoenix City Civic Imp Corp	SENIOR
05/12/15	Revenue Bonds	170.000	NYS Housing Fin-Mortgage Agcy	SOLE
05/11/15	Sewer Revenue Refunding Bonds	32.020	Des Moines Metro Wstwtr Rec Au	SOLE
05/08/15	General Receipts Float Rt Notes	24.085	University of Cincinnati	SENIOR
05/07/15	Refunding Revenue Bonds	65.970	Jacksonville Electric Authority	CO-MGR
05/07/15	Refunding Revenue Bonds	7.155	Jacksonville Electric Authority	CO-MGR
05/07/15	Lease Revenue Ref Bonds	23.845	Powhatan Co Economic Dev Auth	SOLE
05/07/15	Hospital Revenue Refunding Bonds	287.395	Indiana Finance Authority	CO-MGR
05/07/15	Hospital Refunding Revenue Bonds	68.360	Greenville Health System	SOLE
05/07/15	Higher Ed Facs 2nd Prog Bonds	388.615	Tennessee State School Bond Au	CO-MGR
05/07/15	Higher Ed Facs 2nd Prog Bonds	75.550	Tennessee State School Bond Au	CO-MGR
05/07/15	GO & Refunding Bonds	90.135	Hamilton Co-Tennessee	SOLE
05/06/15	State Revolving Fund Rev Bonds	250.000	Connecticut	CO-MGR
05/06/15	Ref Certificates of Participation	77.015	Univ of So Florida (USF) Fin Corp	SENIOR
05/06/15	GO Corporate Purpose Bonds	4.815	Oregon Village-Wisconsin	SOLE
05/06/15	Electric Revenue Refunding Bonds	375.155	Energy Northwest	CO-MGR
05/01/15	Wastewater Sys Rev Ref Bonds	129.825	Knoxville City-Tennessee	SOLE
05/01/15	Unlimited Tax Road Bonds	90.205	Williamson Co-Texas	CO-MGR
05/01/15	Revenue Bonds	115.000	Port of Corpus Christi Auth	SOLE
05/01/15	Refunding Revenue Bonds	94.975	Pennsylvania Hghr Ed Facs Auth	SOLE
05/01/15	Home Owner Mtg-backed Exempt Secs	16.997	Idaho Housing & Fin Assoc	SOLE
05/01/15	GO School Building Bonds	9.625	N Ottawa Co (Ottawa) USD #239	SOLE
05/01/15	GO Refunding School Bonds	6.200	Iowa Co (Williamsburg) CSD	SOLE
04/30/15	Transportation Revenue Ref Bonds	207.240	Wisconsin	CO-MGR
04/30/15	Revenue Bonds	61.865	Kansas Development Fin Auth	SOLE
04/30/15	Limited Oblig Refunding Bonds	74.705	Mecklenburg Co-North Carolina	SENIOR
04/30/15	Limited Oblig Refunding Bonds	45.320	Mecklenburg Co-North Carolina	SENIOR
04/30/15	Lease Revenue Refunding Notes	151.380	Golden Empire School Fin Auth	SOLE
04/30/15	Homeownership Mortgage Bonds	38.405	South Dakota Housing Dev Auth	CO-MGR
04/30/15	Homeownership Mortgage Bonds	18.305	South Dakota Housing Dev Auth	CO-

				MGR
04/30/15	Health Facilities Revenue Bonds	150.000	Missouri Hlth & Ed Facs Authority	CO-MGR
04/30/15	General Obligation Bonds	55.770	Oregon	CO-MGR
04/30/15	General Obligation Bonds	36.705	Oregon	CO-MGR
04/30/15	General Obligation Bonds	30.300	Multnomah Co (Portland) PSD #1J	SOLE
04/30/15	General Obligation Bonds	3.645	Oregon	CO-MGR
04/30/15	Certificates of Participation	221.640	Palm Beach Co School Board	CO-MGR
04/30/15	Airport Sys Revenue Bonds	59.915	Clark Co-Nevada	CO-MGR
04/29/15	Various Purpose GO Bonds	60.750	California	SOLE
04/29/15	Various Purpose GO Bonds	44.605	California	SOLE
04/29/15	Unltd Tax Dedicated Alt Rev Bonds	20.000	Chicago City BOE	CO-MGR
04/29/15	Unldt Tax Dedicated Alt Rev Bonds	280.000	Chicago City BOE	CO-MGR
04/29/15	System Revenue Refunding Bonds	14.660	Arizona Board of Regents	CO-MGR
04/29/15	System Revenue & Rev Ref Bonds	103.950	Arizona Board of Regents	CO-MGR
04/29/15	Revenue Bonds	373.390	Washington Health Care Facs Au	CO-MGR
04/29/15	Power Supply Revenue Bonds	382.923	California Dept of Wtr Resources	CO-MGR
04/29/15	Power Supply Revenue Bonds	382.922	California Dept of Wtr Resources	CO-MGR
04/29/15	Lease Revenue Refunding Bonds	30.015	Utah State Bldg Ownership Auth	SOLE
04/29/15	Hospital Facs Rev Ref & Imp Bonds	159.205	Allen Co-Ohio	CO-MGR
04/23/15	Water Revenue Bonds	59.790	Kansas City-Missouri	SOLE
04/23/15	GO Coporate Purpose Bonds	7.805	Fontana-On-Geneva Lake Vill-Wisconsin	SOLE
04/23/15	Future Tax Secured Sub Bonds	650.000	NYC Transitional Finance Auth	CO-MGR
04/23/15	Consolidated Bonds	490.000	Port Authority of NY & NJ	CO-MGR
04/23/15	Consolidated Bonds	160.000	Port Authority of NY & NJ	CO-MGR
04/23/15	Consolidated Bonds	125.000	Port Authority of NY & NJ	CO-MGR
04/22/15	Revenue Bonds	627.585	NYS Dorm Authority	CO-MGR
04/22/15	Revenue Bonds	63.850	NYS Dorm Authority	CO-MGR
04/22/15	Public Util Rev Ref & Imp Bonds	91.485	Manatee Co-Florida	SENIOR
04/22/15	General Obligation Bonds	231.360	North Carolina	SOLE
04/22/15	Educational Facs Revenue Bonds	32.040	Virginia College Building Auth	SOLE

04/22/15	Educational Facilities Rev Bonds	15.000	Virginia College Building Auth	SOLE
04/21/15	Revenue Bonds	95.885	California Municipal Fin Auth	CO-MGR
04/21/15	Lease Revenue Bonds	107.290	San Diego Public Facs Fin Auth	CO-MGR
04/21/15	GO Refunding Bonds	50.060	Johnston Co-North Carolina	SENIOR
04/20/15	Hospital Refunding Revenue Bonds	137.480	Greenville Health System	SOLE
04/16/15	Water & Wastewater Revenue Bonds	275.820	Philadelphia City-Pennsylvania	CO-MGR
04/16/15	Utility Refunding Revenue Bonds	12.605	Florida Governmental Utility Authority	SOLE
04/16/15	Refunding Revenue Bonds	281.560	Pennsylvania Hghr Ed Facs Auth	CO-MGR
04/16/15	Refunding Revenue Bonds	89.260	Pennsylvania Hghr Ed Facs Auth	CO-MGR
04/16/15	Refunding Revenue Bonds	8.020	Pennsylvania Hghr Ed Facs Auth	CO-MGR
04/16/15	Install Purchase Rev Ref Bonds	39.320	Lexington One School Facs Corp	SOLE
04/16/15	General Obligation Bonds	220.165	University of Connecticut	CO-MGR
04/16/15	General Obligation Bonds	101.600	New Jersey Inst of Tech (NJIT)	CO-MGR
04/16/15	General Obligation Bonds	15.080	New Jersey Inst of Tech (NJIT)	CO-MGR
04/16/15	GO Refunding Bonds	44.320	St Louis Co (Duluth) ISD #709	SOLE
04/16/15	GO Refunding Bonds	34.625	University of Connecticut	CO-MGR
04/15/15	Water Revenue Refunding Bonds	5.290	Kern Delta Water Dt	SOLE
04/15/15	Unlimited Tax Sch Bldg&Ref Bonds	19.110	Dallas Co (Sunnyvale) ISD	SENIOR
04/15/15	Unlimited Tax Refunding Bonds	98.675	Tarrant Co (Keller) ISD	CO-MGR
04/15/15	Unlimited Tax Qual Sch Cons Bonds	4.085	Dallas Co (Sunnyvale) ISD	SENIOR
04/15/15	Tax Wtr Sys Rev Certs of Oblig	102.490	Lubbock City-Texas	SENIOR
04/15/15	Limited Oblig Refunding Bonds	41.655	Rockingham Co-North Carolina	CO-MGR
04/15/15	General Obligation Bonds	9.995	Coon Rapids City-Minnesota	SOLE
04/15/15	GO Refunding Bonds	129.665	Lubbock City-Texas	SENIOR
04/15/15	GO Refunding Bonds	64.740	Laredo City-Texas	CO-MGR
04/15/15	Educational Facs Revenue Bonds	6.785	Virginia College Building Auth	CO-MGR
04/15/15	Educational Facs Rev & Ref Bonds	578.110	Virginia College Building Auth	CO-MGR
04/15/15	Comb Tax and Rev Certs of Oblig	8.000	Andrews City-Texas	SOLE
04/14/15	Power Project Revenue Ref Bonds	215.105	Missouri Jt Muni Elec Util Comm	CO-MGR
04/14/15	Hospital & Refunding Rev Bonds	154.905	South Broward Hospital Dt	SOLE
04/14/15	GO Promissory Notes	2.820	Marshfield City-Wisconsin	CO-MGR
04/09/15	Water & Sewer System Rev Bonds	433.155	NYC Municipal Water Fin Auth	CO-MGR

04/09/15	Water & Sewer System Rev Bonds	17.130	NYC Municipal Water Fin Auth	CO-MGR
04/09/15	Subordinate Lien Income Tax Bonds	40.930	Cleveland City-Ohio	SENIOR
04/09/15	Subordinate Lien Income Tax Bonds	38.900	Cleveland City-Ohio	SENIOR
04/09/15	Subordinate Lien Income Tax Bonds	6.275	Cleveland City-Ohio	SENIOR
04/09/15	Rev Financing Sys Ref & Imp Bonds	245.315	Texas Tech Univ Board of Regents	SENIOR
04/09/15	Refunding Revenue Bonds	66.750	California Municipal Fin Auth	SOLE
04/08/15	General Revenue Pledge Ref Bonds	97.735	Univ of Virginia Rector & Visitors	SENIOR
04/08/15	General Revenue Pledge Ref Bonds	86.995	Univ of Virginia Rector & Visitors	SENIOR
04/08/15	General Revenue Bonds	500.000	Regents of the Univ of California	CO-MGR
04/08/15	General Rev Pledge Ref Bonds	106.910	Univ of Virginia Rector & Visitors	SENIOR
04/08/15	General Obligation Bonds	8.090	Upper Allen Twp-Pennsylvania	SOLE
04/07/15	Tobacco Settle Asset-Backed Bonds	1,692.050	Golden State Tobacco Sec Corp	CO-MGR
04/07/15	Revenue Refunding Obligations	64.870	SC Pub Svc Au (Santee Cooper)	CO-MGR
04/07/15	Revenue Refunding & Imp Oblig	598.960	SC Pub Svc Au (Santee Cooper)	CO-MGR
04/07/15	Revenue Bonds	15.000	Arizona Board of Regents	SENIOR
04/07/15	Revenue & Refunding Bonds	182.645	Arizona Board of Regents	SENIOR
04/07/15	Revenue & Refunding Bonds	164.615	Arizona Board of Regents	SENIOR
04/07/15	Lease Rev Refunding Bonds	8.140	Grayson Co Public Prop Corp	SOLE
04/02/15	Turnpike Revenue Refunding Bonds	144.875	Maine Turnpike Authority	CO-MGR
04/02/15	Refunding Bonds	81.470	New Hampshire Muni Bond Bank	CO-MGR
04/02/15	Power System Revenue Ref Bonds	210.600	Kentucky Municipal Power Agency	CO-MGR
04/02/15	GO Refunding Bonds	160.415	Scottsdale City-Arizona	SENIOR
04/01/15	Unltd Tax School Bldg & Ref Bonds	180.430	Galveston Co (Clear Creek) ISD	SENIOR
04/01/15	Unlimited Tax Refunding Bonds	74.970	Smith Co (Tyler) ISD	CO-MGR
04/01/15	Revenue Bonds	49.775	Ohio Higher Ed Fac Commission	SOLE
04/01/15	Revenue Bonds	20.425	Ohio Higher Ed Fac Commission	SOLE
04/01/15	Limited Tax Refunding Bonds	37.055	Harris Co (Deer Park) ISD	CO-MGR
04/01/15	Home Ownership Mtg-Backed Bonds	25.192	Utah Housing Corporation (UHC)	SOLE
04/01/15	Home Owner Mtg-backed Exempt Secs	10.000	Idaho Housing & Fin Assoc	SOLE
04/01/15	Health Care Revenue Bonds	297.100	North Carolina Medical Care Commiss	CO-MGR
04/01/15	General Revenue Bonds	116.150	Univ of North Carolina Bd of Gov	CO-MGR
04/01/15	GO School Building Bonds	59.095	Oak Creek-Franklin JSD	SOLE
04/01/15	GO Refunding Bonds	45.965	Wichita City-Kansas	SOLE
04/01/15	GO Refunding Bonds	27.550	Nueces Co-Texas	CO-MGR

04/01/15	GO Jail Crossover Refunding Bonds	8.485	Kanabec Co-Minnesota	SOLE
04/01/15	Educational Facilities Rev Bonds	96.420	Volusia Co Educational Fac Auth	CO-MGR
04/01/15	Consolidated Revenue Bonds	146.960	Indiana University	SENIOR
04/01/15	Comb Tax & Rev Certs of Oblig	18.070	Nueces Co-Texas	CO-MGR
03/31/15	Revenue & Refunding Bonds	167.800	Lincoln City-Nebraska	CO-MGR
03/31/15	Infrastructure Facs Revenue Bonds	62.560	Missouri Development Fin Board	CO-MGR
03/31/15	General Obligation Bonds	799.660	New York City-New York	CO-MGR
03/31/15	General Obligation Bonds	171.595	Oregon	CO-MGR
03/31/15	General Obligation Bonds	72.860	Oregon	CO-MGR
03/31/15	General Obligation Bonds	9.125	Oregon	CO-MGR
03/31/15	General Obligation Bonds	5.000	Oregon	CO-MGR
03/31/15	GO Public Improvement Ref Bonds	50.485	Henrico Co-Virginia	SENIOR
03/26/15	Single Family Mortgage Rev Bonds	98.795	Pennsylvania Housing Fin Agency	CO-MGR
03/26/15	Single Family Mortgage Rev Bonds	20.000	Pennsylvania Housing Fin Agency	CO-MGR
03/26/15	Single Family Housing Rev Bonds	57.595	Massachusetts Housing Fin Agcy	CO-MGR
03/26/15	Single Family Housing Rev Bonds	32.265	Massachusetts Housing Fin Agcy	CO-MGR
03/26/15	Housing Bonds	47.910	Massachusetts Housing Fin Agcy	CO-MGR
03/26/15	Housing Bonds	38.075	Massachusetts Housing Fin Agcy	CO-MGR
03/26/15	Housing Bonds	21.180	Massachusetts Housing Fin Agcy	CO-MGR
03/25/15	Single Family Mortgage Ref Bonds	28.285	Utah Housing Corporation (UHC)	SENIOR
03/25/15	Refunding & Improvement Rev Bonds	33.895	San Juan Co-New Mexico	CO-MGR
03/25/15	Project & Rev Ref Bonds	490.620	Univ of Massachusetts Bldg Au	CO-MGR
03/25/15	Ltd Project Revenue Bonds	436.455	Regents of the Univ of California	CO-MGR
03/25/15	Limited Project Revenue Bonds	1,235.030	Regents of the Univ of California	CO-MGR
03/25/15	Health System Revenue Ref Bonds	73.885	North Carolina Medical Care Commiss	SENIOR
03/25/15	General Revenue Bonds	797.020	Regents of the Univ of California	CO-MGR
03/25/15	General Revenue Bonds	381.785	Regents of the Univ of California	CO-MGR
03/24/15	TANs	18.000	Merrimack Co-New Hampshire	SOLE
03/24/15	Homeownership Finance Bonds	54.530	Minnesota Housing Fin Agency	CO-

				MGR
03/20/15	BANs	24.287	East Brunswick Twp-New Jersey	SOLE
03/19/15	Transportation Revenue Bonds	275.055	Metropolitan Transport Auth (MTA)	CO-MGR
03/19/15	General Obligation Bonds	66.740	Hartford Co Metropolitan Dt	SOLE
03/19/15	GO School Building Bonds	3.655	Redwood Area Schools ISD #2897	SOLE
03/19/15	GO Refunding Bonds	4.600	San Carlos-California	SOLE
03/19/15	Electric System Revenue Bonds	83.325	Jacksonville Electric Authority	CO-MGR
03/18/15	Various Purpose GO & Ref Bonds	1,944.865	California	CO-MGR
03/18/15	Private Activity Revenue Bonds	721.485	Pennsylvania Econ Dev Fin Auth	SENIOR
03/18/15	Ltd Obligation Refunding Bonds	35.135	Pitt Co-North Carolina	SENIOR
03/18/15	Hospital Revenue Bonds	90.615	Butler Co Hospital Authority	CO-MGR
03/18/15	GO Alt Rev Source Ref Bonds	17.165	Will Co-Illinois	SOLE
03/17/15	Wtr Swr Sys 2nd Gen Res Rev Bonds	490.000	NYC Municipal Water Fin Auth	CO-MGR
03/17/15	Wtr Swr Sys 2nd Gen Res Rev Bonds	40.000	NYC Municipal Water Fin Auth	CO-MGR
03/17/15	Urban Renew & Redev Ref Bonds	17.155	Portland City-Oregon	CO-MGR
03/17/15	Revenue Bonds	38.995	Romeoville Village-Illinois	SOLE
03/17/15	General Obligation School Bonds	100.000	Mecklenburg Co-North Carolina	SOLE
03/15/15	Unlimited Tax Refunding Bonds	308.045	Harris Co (Cypress-Fairbanks) ISD	CO-MGR
03/15/15	School Building & Refunding Bonds	112.505	Harris Co (Goose Creek) CISD	CO-MGR
03/15/15	Refunding Revenue Bonds	99.060	Lower Colorado River Authority (LCRA)	CO-MGR
03/15/15	Refunding Revenue Bonds	25.875	Lower Colorado River Authority (LCRA)	CO-MGR
03/15/15	Permanent University Fund Bonds	145.445	Texas A&M University System	SOLE
03/12/15	Special Emergency Note	.457	Manasquan Borough-New Jersey	SOLE
03/12/15	Ref Certificates of Participation	100.695	Brevard Co School Board	CO-MGR
03/12/15	Jail Facilities Ref Revenue Bonds	44.765	Riverside Regional Jail Authority	CO-MGR
03/12/15	GO Refunding Bonds	26.795	Los Angeles Co (Glendora) USD	SOLE
03/12/15	Future Tax Secured Sub Bonds	785.795	NYC Transitional Finance Auth	SENIOR
03/11/15	Separate Electric Sys Rev Bonds	114.245	Omaha Public Power Dt (OPPD)	SENIOR
03/11/15	Second Lien Revenue Bonds	32.425	Semitropic Improvement Dt	SOLE
03/11/15	General Revenue & Refunding Bonds	151.840	Washington State University	CO-MGR
03/11/15	Comb Enterprise Sys Rev&Ref Bonds	53.785	Cary Town-North Carolina	CO-MGR
03/10/15	GO Refunding Bonds	39.490	Glendale City-Arizona	CO-MGR
03/05/15	Senior Excise Tax Rev Ref Oblig	100.430	Glendale City-Arizona	CO-

				MGR
03/05/15	Senior Excise Tax Rev Ref Oblig	13.700	Glendale City-Arizona	CO-MGR
03/05/15	Sales Tax Revenue & Ref Bonds	12.655	St Gabriel City-Louisiana	SOLE
03/05/15	Public Educ Cap Outlay Ref Bonds	233.825	Florida State BOE	SOLE
03/05/15	Ltd Tax School Building Ref Bonds	9.835	Cass Co (Fargo) PSD #1	SOLE
03/04/15	Transmission Proj Revenue Bonds	84.640	So California Pub Pwr Au (SCPPA)	SENIOR
03/04/15	Transmission Proj Revenue Bonds	28.925	So California Pub Pwr Au (SCPPA)	SENIOR
03/04/15	Forest Park Lease Rev Ref Bonds	7.790	St Louis Municipal Finance Corp	CO-MGR
03/03/15	Water System Revenue Ref Bonds	68.370	East Bay MUD	CO-MGR
03/03/15	Water System Revenue Bonds	30.810	Tigard City-Oregon	SENIOR
03/03/15	Wastewater Sys Rev Ref Bonds	2.795	East Bay MUD	CO-MGR
03/03/15	Utility System Ref Rev Bonds	180.835	Tampa Bay Water Auth	CO-MGR
03/03/15	Utility System Ref Rev Bonds	95.975	Tampa Bay Water Auth	CO-MGR
03/02/15	GO School Building Bonds	10.000	Fond du Lac Co (Ripon) ASD	SOLE
03/01/15	Unlimited Tax Refunding Bonds	117.665	Montgomery Co (Conroe) ISD	CO-MGR
03/01/15	Unlimited Tax Refunding Bonds	77.245	Lubbock Co (Lubbock-Cooper) ISD	SENIOR
03/01/15	Unlimited Tax Refunding Bonds	8.840	Hays Co (Wimberley) ISD	CO-MGR
03/01/15	Unlimited Tax Refunding Bonds	.934	Lubbock Co (Lubbock-Cooper) ISD	SENIOR
03/01/15	School Building & Refunding Bonds	137.450	Harris Co (Tomball) ISD	CO-MGR
03/01/15	Ltd Tax Refunding Bonds	18.100	Cameron Co-Texas	SENIOR
03/01/15	Home Ownership Bonds	13.000	Utah Housing Corporation (UHC)	SOLE
02/26/15	School Bonds	81.480	Somerset Co (Franklin) TSD BOE	SOLE
02/26/15	Revenue Bonds	169.657	SC Pub Svc Au (Santee Cooper)	CO-MGR
02/26/15	Revenue Bonds	164.010	Montgomery Co-Maryland	CO-MGR
02/26/15	Revenue Bonds	112.690	Idaho Health Facilities Auth	CO-MGR
02/26/15	Revenue Bonds	58.620	Idaho Health Facilities Auth	CO-MGR
02/26/15	Revenue Bonds	57.595	Jackson St Univ Ed Bldg Corp	CO-MGR
02/26/15	Revenue Bonds	13.065	Jackson St Univ Ed Bldg Corp	CO-MGR
02/26/15	Hospital Revenue & Ref Bonds	290.170	Michigan Finance Authority	CO-MGR
02/26/15	Hospital Revenue & Ref Bonds	271.045	Michigan Finance Authority	CO-MGR
02/25/15	Sr & Sub Sales Tax Rev Ref Bonds	860.660	Utah Transit Authority	CO-MGR
02/25/15	Housing Mtg Finance Program Bonds	150.000	Connecticut Housing Finance Auth	CO-

				MGR
02/25/15	Higher Ed Fac Revenue Bonds	29.630	Ohio Higher Ed Fac Commission	CO-MGR
02/25/15	Higher Ed Fac Revenue Bonds	22.000	Ohio Higher Ed Fac Commission	CO-MGR
02/25/15	Certificates of Participation	114.170	Orange Co School Board	CO-MGR
02/24/15	Subordinate Refunding Rev Bonds	181.805	Los Angeles Dept of Airports	CO-MGR
02/24/15	State Appropriation Bonds	37.570	Minnesota Housing Fin Agency	CO-MGR
02/24/15	Senior Revenue Bonds	267.525	Los Angeles Dept of Airports	CO-MGR
02/24/15	Senior Revenue Bonds	47.925	Los Angeles Dept of Airports	CO-MGR
02/24/15	All Reqt Power Supply Proj Bonds	200.000	Florida Municipal Power Agency (FMPA)	SENIOR
02/19/15	Revenue Refunding Bonds	93.930	Kent Co-Michigan	CO-MGR
02/19/15	Revenue Refunding Bonds	16.975	Minneapolis City-Minnesota	CO-MGR
02/19/15	Refunding Revenue Bonds	50.330	Palm Beach Co Solid Waste Auth	CO-MGR
02/19/15	GO Refunding Bonds	103.980	Nashville-Davidson Co Metro Govt	CO-MGR
02/19/15	GO Refunding Bonds	59.730	Nashville-Davidson Co Metro Govt	CO-MGR
02/18/15	Revenue Financing System Bonds	142.155	Texas A&M University System	CO-MGR
02/18/15	Revenue Financing System Bonds	64.670	Texas A&M University System	CO-MGR
02/18/15	1st Lien Wstwtr Util Rev Bonds	158.775	Indiana Finance Authority	CO-MGR
02/15/15	Unltd Tax School Building Bonds	91.975	Tarrant Co (Birdville) ISD	CO-MGR
02/15/15	Unlimited Tax Refunding Bonds	118.775	Denton Co ISD	CO-MGR
02/15/15	Unlimited Tax Refunding Bonds	96.400	Tarrant Co (Birdville) ISD	CO-MGR
02/15/15	Unlimited Tax Refunding Bonds	1.912	Tarrant Co (Birdville) ISD	CO-MGR
02/12/15	Health Center Revenue Bonds	44.195	Lancaster Co Hospital Auth	SENIOR
02/11/15	Revenue Bonds	357.205	Maryland Hlth & Hghr Ed Facs Au	CO-MGR
02/11/15	GO School Building Bonds	8.470	Douglas Co (Minnewaska) ISD #2149	SOLE
02/11/15	Certificates of Participation	252.360	Broward Co School Board	CO-MGR
02/11/15	Certificates of Participation	170.805	Broward Co School Board	CO-MGR
02/09/15	Wtrwks Sys &Swg Sys Rev Ref Bonds	4.780	Menasha City-Wisconsin	SOLE
02/05/15	Water PC & Drinking Wtr Rev Bonds	29.935	Missouri Env Imp & Energy Res Au	SENIOR

02/05/15	General Revenue Bonds	222.950	Nebraska Public Power Dt	CO-MGR
02/04/15	General Obligation Bonds	58.120	Washington	SOLE
02/03/15	Revenue & Refunding Revenue Bonds	134.295	Washington Health Care Facs Au	SENIOR
02/03/15	Revenue & Refunding Revenue Bonds	133.055	Washington Health Care Facs Au	SENIOR
02/01/15	Water Sys Jr Ln Rev & Ref Bonds	303.235	San Antonio City-Texas	SENIOR
02/01/15	Revenue Bonds	10.000	El Paso County 911 Dt	SOLE
02/01/15	Home Ownership Mtg-Backed Sec	18.000	Utah Housing Corporation (UHC)	SOLE
02/01/15	Higher Education Revenue Bonds	87.020	Coastal Carolina University	SOLE
01/29/15	Revenue Bonds	267.615	Massachusetts Dev Finance Agcy	CO-MGR
01/29/15	Common Schools GO Ref Bonds	72.395	Ohio Public Fac Commission	SOLE
01/28/15	Refunding Revenue Bonds	16.405	South Carolina Jobs Econ Dev Au	SOLE
01/28/15	Highway Revenue Ref Bonds	377.500	Arizona Transportation Board	CO-MGR
01/28/15	GO Refunding Bonds	171.830	Shelby Co-Tennessee	SOLE
01/27/15	Hway User Tax Rev Sr Ln Ref Bonds	381.305	Oregon Dept of Transportation	CO-MGR
01/26/15	Homeownership Finance Bonds	60.013	Minnesota Housing Fin Agency	CO-MGR
01/22/15	Transportation Revenue Bonds	600.000	Metropolitan Transport Auth (MTA)	CO-MGR
01/22/15	Transportation Revenue Bonds	250.000	Metropolitan Transport Auth (MTA)	CO-MGR
01/22/15	Building Aid Revenue Bonds	750.000	NYC Transitional Finance Auth	CO-MGR
01/21/15	Revenue Bonds	117.310	NYS Dorm Authority	SENIOR
01/21/15	GO Refunding Bonds	49.990	Miami-Dade Co-Florida	SOLE
01/21/15	Certificates of Participation	306.820	Miami-Dade Co School Board	CO-MGR
01/15/15	Unltd Tax School Building Bonds	64.910	Travis Co (Del Valle) ISD	CO-MGR
01/15/15	Unlimited Tax Sch Bldg Bonds	23.285	Burnet Co (Burnet) CISD	CO-MGR
01/15/15	Unlimited Tax Refunding Bonds	93.635	Tom Green Co (San Angelo) ISD	CO-MGR
01/15/15	Unlimited Tax Refunding Bonds	3.350	Tom Green Co (San Angelo) ISD	CO-MGR
01/15/15	Unlimited Tax Refunding Bonds	2.955	Tom Green Co (San Angelo) ISD	CO-MGR
01/15/15	GO Refunding Bonds	257.415	Wisconsin	CO-MGR
01/14/15	State Personal Inc Tax Rev Bonds	971.055	NYS Dorm Authority	CO-MGR
01/14/15	State Personal Inc Tax Rev Bonds	34.700	NYS Dorm Authority	CO-MGR
01/14/15	Revenue Bonds	95.100	American Municipal Power Inc	SOLE
01/14/15	Refunding Revenue Bonds	507.875	American Municipal Power Inc	SENIOR
01/14/15	Refunding Revenue Bonds	135.350	American Municipal Power Inc	SOLE

01/14/15	Certificates of Participation	145.535	Palm Beach Co School Board	CO-MGR
01/14/15	Certificates of Participation	132.340	Orange Co School Board	CO-MGR
01/13/15	GO Water Refunding Bonds	332.405	Las Vegas Valley Water Dt	CO-MGR
01/07/15	State Revolving Fund Bonds	228.155	Massachusetts Clean Water Trust	CO-MGR
01/07/15	Revenue Bonds	85.105	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
01/07/15	Refunding Revenue Bonds	31.595	California Educational Facs Auth	SOLE
01/07/15	Multi-Family Housing Rev Bonds	75.000	NYC Housing Dev Corp	CO-MGR
01/07/15	Electric System Revenue Bonds	353.055	Omaha Public Power Dt (OPPD)	CO-MGR
01/01/15	Home Ownership Mtg-Backed Sec	18.000	Utah Housing Corporation (UHC)	SOLE
12/30/14	State Personal Inc Tax Rev Bonds	468.290	NYS Dorm Authority	CO-MGR
12/30/14	State Personal Inc Tax Rev Bonds	55.825	NYS Dorm Authority	CO-MGR
12/30/14	State Personal Inc Tax Rev Bonds	26.095	NYS Dorm Authority	CO-MGR
12/30/14	GO School Building Bonds	10.000	Fond du Lac Co (Ripon) ASD	SOLE
12/24/14	Revenue Bonds	51.485	California Educational Facs Auth	CO-MGR
12/23/14	Senior Lien Revenue Ref Bonds	344.780	Harris Co-Houston Sports Auth	CO-MGR
12/23/14	Senior Lien Revenue Ref Bonds	90.423	Harris Co-Houston Sports Auth	CO-MGR
12/23/14	Senior Lien Revenue Ref Bonds	47.435	Harris Co-Houston Sports Auth	CO-MGR
12/23/14	Second Lien Revenue Ref Bonds	75.875	Harris Co-Houston Sports Auth	CO-MGR
12/23/14	Revenue Refunding Bonds	28.080	Gilbert Pub Fac Muni Property Cp	CO-MGR
12/23/14	Revenue Bonds	303.550	Arizona Health Facs Authority	SENIOR
12/23/14	Reg Sewerage System Rev Ref Bonds	163.885	Upper Occoquan Sewerage Auth	SOLE
12/23/14	Multi-Family Rental Hsg Rev Bonds	230.000	NYC Housing Dev Corp	SENIOR
12/23/14	Multi-Family Rental Hsg Rev Bonds	5.000	NYC Housing Dev Corp	SENIOR
12/23/14	GO Refunding Bonds	144.460	Massachusetts	SOLE
12/23/14	GO Refunding Bonds	89.925	New Hampshire	CO-MGR
12/23/14	Full Term Certs of Participation	125.570	Hennepin Co (Minneapolis) SSD #1	SOLE
12/22/14	GO Refunding & Improvement Bonds	529.365	Dallas City-Texas	SENIOR
12/19/14	General Receipts Bonds	84.545	University of Cincinnati	SENIOR
12/18/14	Water & Sewer Rev Ref Bonds	15.695	Orange Water & Sewer Authority	SOLE
12/18/14	Unlimited &Ltd Tax Ref Bonds	152.120	Fort Bend Co (Katy) ISD	SENIOR
12/18/14	Transmission Facs Rev Bonds	193.075	Port of Morrow-Oregon	CO-MGR
12/18/14	Single Family Program Bonds	27.735	Washington State Hsg Fin Comm	CO-

				MGR
12/18/14	Single Family Program Bonds	22.780	Washington State Hsg Fin Comm	CO-MGR
12/18/14	Revenue Refunding Bonds	304.770	Illinois Finance Authority	CO-MGR
12/18/14	Revenue Bonds	29.225	Delaware Economic Dev Auth	SOLE
12/18/14	Revenue Bonds	4.320	Florence Town Inc Ind Dev Auth	SOLE
12/18/14	Refunding Revenue Antic Certs	27.660	Carrollton Payroll Dev Auth	SOLE
12/18/14	Refunding Revenue Antic Certs	8.175	Carrollton Payroll Dev Auth	SOLE
12/18/14	Multi-Family Housing Rev Bonds	346.205	NYC Housing Dev Corp	CO-MGR
12/18/14	Mortgage Revenue Bonds	30.900	Oregon Hsg & Comm Svcs Dept	CO-MGR
12/18/14	General Obligation Bonds	36.000	Douglas Co (Lawrence) SD #497	SOLE
12/18/14	GO School Improvement Bonds	9.850	Clark Co (Black River Falls) SD	SOLE
12/18/14	GO Ref Alt Rev Source Bonds	9.195	Will Co-Illinois	SOLE
12/18/14	GO Mobility Fund Bonds	250.000	Texas Transportation Commission	SOLE
12/18/14	GO Mobility Fund & Ref Bonds	1,580.160	Texas Transportation Commission	SENIOR
12/18/14	Certificates of Participation	60.220	Lee Co School Board	CO-MGR
12/18/14	1st Tr Sr Sales Tax Rev Ref Bonds	135.715	Los Angeles Co Metro Trans Auth	SOLE
12/17/14	Various Purp Sales Tax Rev Bonds	137.890	Cuyahoga Co-Ohio	CO-MGR
12/17/14	Revenue Bonds	77.700	NYS Dorm Authority	SENIOR
12/17/14	Project Revenue & Ref Bonds	137.550	Massachusetts St College Bldg Au	CO-MGR
12/17/14	General Revenue Refunding Bonds	743.865	NYS Thruway Authority	CO-MGR
12/17/14	Economic Development Rev Bonds	22.185	Cuyahoga Co-Ohio	CO-MGR
12/17/14	Economic Development Rev Bonds	20.890	Cuyahoga Co-Ohio	CO-MGR
12/17/14	Combined Pub Util Sys Rev Bonds	8.265	Greenwood City-South Carolina	SOLE
12/17/14	Aviation Revenue Refunding Bonds	598.915	Miami-Dade Co-Florida	SENIOR
12/17/14	Aviation Revenue Refunding Bonds	162.225	Miami-Dade Co-Florida	SENIOR
12/16/14	Residential Housing Finance Bonds	143.145	Minnesota Housing Fin Agency	CO-MGR
12/16/14	Residential Housing Finance Bonds	82.585	Minnesota Housing Fin Agency	CO-MGR
12/16/14	Electric System General Rev Bonds	164.950	Long Island Power Authority	CO-MGR
12/16/14	Electric System General Rev Bonds	150.000	Long Island Power Authority	SENIOR
12/16/14	Electric Sys Gen Revenue Bonds	413.070	Long Island Power Authority	CO-MGR
12/15/14	Sports Venue Sales Tax Ref Bonds	20.545	Laredo City-Texas	SENIOR
12/11/14	Power Supply Sys Ref Rev Bonds	167.730	Indiana Municipal Power Agency	CO-MGR
12/11/14	Housing Bonds	40.195	Massachusetts Housing Fin Agcy	CO-MGR

12/11/14	Housing Bonds	29.580	Massachusetts Housing Fin Agcy	CO-MGR
12/10/14	Power Proj Revenue Ref Bonds	192.605	Missouri Jt Muni Elec Util Comm	SENIOR
12/10/14	Pollution Control Rev Ref Bonds	100.000	Columbia Industrial Dev Board	SOLE
12/10/14	Federal Highway GANs	347.310	Massachusetts	CO-MGR
12/10/14	BANs	.881	Frelinghuysen Twp-New Jersery	SOLE
12/10/14	Annual Appropriation Bonds	92.660	Missouri Development Fin Board	SOLE
12/09/14	Sales Tax Revenue Bonds	28.195	St Paul City-Minnesota	CO-MGR
12/09/14	Sales Tax Revenue Bonds	8.070	St Paul City-Minnesota	CO-MGR
12/09/14	General Obligation Bonds	53.245	Vermont	SOLE
12/04/14	Toll Highway Senior Revenue Bonds	400.000	Illinois State Toll Highway Auth	SENIOR
12/04/14	Housing Mortgage Fin Prog Bonds	39.460	Connecticut Housing Finance Auth	CO-MGR
12/04/14	Housing Mortgage Fin Prog Bonds	18.650	Connecticut Housing Finance Auth	CO-MGR
12/04/14	General Revenue Bonds	162.890	Nebraska Public Power Dt	CO-MGR
12/04/14	General Improvement Ref Bonds	14.610	Madison Boro-New Jersey	SOLE
12/03/14	Water & Sewer Sys Rev & Ref Bonds	60.355	Cape Fear Public Utility Auth	CO-MGR
12/03/14	Water & Sewer Ref Rev Bonds	4.730	Cape Fear Public Utility Auth	CO-MGR
12/03/14	Subordinate Revenue & Ref Bonds	400.605	Baltimore Mayor & City Council	SENIOR
12/03/14	Grant Antcipation Bonds	44.810	Maine Municipal Bond Bank	CO-MGR
12/03/14	General Obligation Bonds	35.965	Oregon	CO-MGR
12/03/14	GO Public Improvement Bonds	47.375	Loudoun Co-Virginia	SOLE
12/01/14	Water & Sewer Rev Ref Bonds	131.650	El Paso City-Texas	CO-MGR
12/01/14	Tax & Lease Rev Antic & Ref Bonds	160.140	Franklin Co Convention Fac Auth	CO-MGR
12/01/14	Schoolhouse & Refunding Bonds	168.730	Brazoria Co (Alvin) ISD	CO-MGR
12/01/14	Schoolhouse & Refunding Bonds	94.910	Harris Co (Spring Branch) ISD	SENIOR
12/01/14	Home Ownership Mtg-backed Sec	10.811	Idaho Housing & Fin Assoc	SOLE
12/01/14	Home Ownership Mtg Backed Sec	22.000	Utah Housing Corporation (UHC)	SOLE
12/01/14	Commonwealth Mortgage Bonds	120.390	Virginia Housing Dev Auth (VHDA)	CO-MGR
12/01/14	Athletics Facilities Rev Bonds	41.240	Clemson University	SOLE
12/01/14	Acad & Admin Facs Revenue Bonds	54.255	College of Charleston	SOLE
11/26/14	Refunding Revenue Bonds	127.305	Maryland Hlth & Hghr Ed Facs Au	SENIOR
11/25/14	General Revenue Ref Bonds	21.210	Univ of North Carolina Bd of Gov	SOLE
11/25/14	GO School Improvement Bonds	7.500	Winnebago Co (Menasha) JSD	SOLE
11/25/14	GO Refunding Bonds	5.880	Hawaii	CO-MGR

11/25/14	GO & Refunding Bonds	784.015	Hawaii	CO-MGR
11/25/14	GO & Refunding Bonds	218.880	Hawaii	CO-MGR
11/20/14	Wtr Swr Sys 2nd Gen Res Rev Bonds	392.110	NYC Municipal Water Fin Auth	CO-MGR
11/20/14	Single Family Housing Rev Bonds	66.495	Massachusetts Housing Fin Agcy	SENIOR
11/20/14	Single Family Housing Rev Bonds	25.925	Massachusetts Housing Fin Agcy	SENIOR
11/20/14	Residential Finance Program Bonds	122.115	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
11/20/14	Residential Finance Program Bonds	27.885	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
11/20/14	Rental Housing Bonds	135.515	Virginia Housing Dev Auth (VHDA)	CO-MGR
11/20/14	Ref Certificates of Participation	45.455	Indian River Co School Bd	CO-MGR
11/20/14	Multi-Family Mortgage Rev Bonds	26.265	NYC Housing Dev Corp	SOLE
11/20/14	Homeownership Mortgage Bonds	71.545	South Dakota Housing Dev Auth	CO-MGR
11/20/14	Homeownership Mortgage Bonds	39.015	South Dakota Housing Dev Auth	CO-MGR
11/20/14	General Obligation Bonds	100.000	Cerritos Community College Dt	SENIOR
11/20/14	GO Refunding Bonds	80.395	Cerritos Community College Dt	CO-MGR
11/20/14	GO Refunding Bonds	17.975	Cerritos Community College Dt	CO-MGR
11/20/14	Drainage System Refunding Bonds	14.900	New Orleans City-Louisiana	CO-MGR
11/20/14	Cap Imp Special Rev & Ref Bonds	85.010	Orlando City-Florida	CO-MGR
11/19/14	Revenue Bonds	236.170	Maryland Hlth & Hghr Ed Facs Au	SENIOR
11/19/14	Revenue Bonds	16.180	North Charleston Sewer Dt	SOLE
11/19/14	Limited Oblig Refunding Bonds	299.020	North Carolina	SOLE
11/18/14	Lease Revenue Refunding Bonds	137.750	California St Public Works Board	CO-MGR
11/18/14	Lease Revenue Refunding Bonds	79.760	California St Public Works Board	CO-MGR
11/18/14	Lease Revenue Refunding Bonds	17.635	California St Public Works Board	CO-MGR
11/15/14	Ltd & Maintenance Tax Ref Bonds	33.255	Texas Southmost College Dt	SENIOR
11/14/14	Lease Revenue Bonds	252.725	Anaheim Public Financing Auth	CO-MGR
11/14/14	Lease Revenue Bonds	6.200	Anaheim Public Financing Auth	CO-MGR
11/13/14	Utility Ref Rev Bonds	20.625	Florida Governmental Utility Authority	SOLE
11/13/14	Sr Lien Electric Rev Ref Bonds	180.575	Anchorage City-Alaska	SENIOR
11/13/14	Limited Obligation Bonds	45.445	Chatham Co-North Carolina	CO-MGR
11/13/14	Housing Mortgage Fin Prog Bonds	96.195	Connecticut Housing Finance Auth	CO-MGR

11/13/14	Housing Mortgage Fin Prog Bonds	61.535	Connecticut Housing Finance Auth	CO-MGR
11/13/14	Future Tax Secured Sub Bonds	700.000	NYC Transitional Finance Auth	CO-MGR
11/12/14	Certificates of Participation	12.005	Osceola Co School Board	SOLE
11/06/14	Sr & Jr Lien Toll Road Rev Bonds	1,392.760	San Joaquin Hills Trans Corridor Agy	CO-MGR
11/06/14	Hospital Revenue Bonds	56.145	Ohio Higher Ed Fac Commission	CO-MGR
11/06/14	GO Refunding Bonds & Warrants	85.590	Birmingham City-Alabama	SENIOR
11/06/14	GO & Refunding Bonds	215.090	Anchorage City-Alaska	CO-MGR
11/06/14	BANs	.822	Pine Beach Boro-New Jersey	SOLE
11/06/14	Airport Refunding Revenue Bonds	74.290	Charlotte City-North Carolina	CO-MGR
11/06/14	Airport Refunding Revenue Bonds	31.100	Charlotte City-North Carolina	CO-MGR
11/05/14	Limited Oblig Refunding Bonds	21.370	Raleigh City-North Carolina	CO-MGR
11/05/14	Light & Power Imp & Ref Rev Bonds	265.210	Seattle City-Washington	SOLE
11/04/14	Transportation Revenue Bonds	335.000	Metropolitan Transport Auth (MTA)	CO-MGR
11/04/14	Transportation Revenue Bonds	165.000	Metropolitan Transport Auth (MTA)	CO-MGR
11/04/14	Storm Water Fee Rev & Ref Bonds	101.815	Charlotte City-North Carolina	SENIOR
11/01/14	Unltd Tax Sch Bldg & Ref Bonds	129.205	Montgomery Co (Conroe) ISD	CO-MGR
11/01/14	Unlimited Tax Refunding Bonds	21.085	Montgomery Co (Conroe) ISD	CO-MGR
11/01/14	Sub Lien Contract Rev Ref Bonds	42.165	Canadian River Muni Water Auth	CO-MGR
11/01/14	Sr Lien Revenue Refunding Bonds	72.510	North Harris Co Regional Water Au	CO-MGR
11/01/14	Project Revenue Refunding Bonds	50.045	McLennan Co Public Fac Corp	CO-MGR
11/01/14	Limited Tax Refunding Bonds	12.180	Harris Co (Deer Park) ISD	SENIOR
11/01/14	Home Ownership Mtg Backed Sec	20.000	Utah Housing Corporation (UHC)	SOLE
11/01/14	GO Refunding Bonds	34.215	Garland City-Texas	SOLE
11/01/14	GO Refunding Bonds	28.160	Birmingham City-Alabama	SOLE
11/01/14	GO Refunding Bonds	21.565	Sugar Land City-Texas	SENIOR
10/30/14	Revenue Refunding Bonds	24.490	Richmond Co Development Auth	SOLE
10/30/14	Revenue Refunding Bonds	9.025	Richmond Co Development Auth	SOLE
10/30/14	Local Gov Loan Program Rev Bonds	23.525	Michigan Finance Authority	CO-MGR
10/30/14	Local Gov Loan Prog Rev Bonds	271.825	Michigan Finance Authority	SENIOR
10/30/14	Installment Purchase Ref Bonds	61.185	Newberry Investing In Children's Ed	SENIOR
10/30/14	General Improvement Bonds	9.950	Princeton-New Jersey	CO-MGR
10/29/14	Unlimited Tax Refunding Bonds	50.295	Denton Co (Lewisville) ISD	CO-MGR

10/29/14	Unlimited Tax Refunding Bonds	4.044	Denton Co (Lewisville) ISD	CO-MGR
10/29/14	Housing Development Rev Bonds	25.710	Oregon Hsg & Comm Svcs Dept	SOLE
10/29/14	GO Refunding Bonds	214.540	Chandler City-Arizona	SENIOR
10/29/14	GO Refunding Bonds	27.255	Los Angeles Co (Las Virgenes) USD	SOLE
10/29/14	GO Capital Improvement Plan Bonds	4.595	Minnetrista City-Minnesota	SOLE
10/28/14	Wasterwater BANs	1.446	Landis-North Carolina	SOLE
10/28/14	Revenue Ref Obligations Bonds	31.795	SC Pub Svc Au (Santee Cooper)	CO-MGR
10/28/14	Refunding Rev Obligations Bonds	704.525	SC Pub Svc Au (Santee Cooper)	CO-MGR
10/28/14	Homeownership Finance Bonds	39.934	Minnesota Housing Fin Agency	CO-MGR
10/23/14	Water System Revenue Bonds	50.000	Suffolk Co Water Authority	SOLE
10/23/14	State Sales Tax Revenue Bonds	1,044.080	NYS Dorm Authority	CO-MGR
10/23/14	State Sales Tax Revenue Bonds	15.285	NYS Dorm Authority	CO-MGR
10/23/14	Revenue & Refunding Bonds	109.750	Maine Municipal Bond Bank	SENIOR
10/22/14	Ref Certificates of Participation	62.310	Brevard Co School Board	SENIOR
10/22/14	General Obligation Bonds	93.500	Shreveport City-Louisiana	SENIOR
10/21/14	State Revolv Fund Prog Rev Bonds	9.060	South Dakota Conservancy Dt	CO-MGR
10/21/14	State Revolv Fun Prog Rev Bonds	50.755	South Dakota Conservancy Dt	CO-MGR
10/21/14	Consolidated Bonds	400.000	Port Authority of NY & NJ	SENIOR
10/21/14	Consolidated Bonds	250.000	Port Authority of NY & NJ	SENIOR
10/17/14	School Facs Construction Bonds	525.000	New Jersey Economic Dev Auth	CO-MGR
10/17/14	School Facilities Con Ref Notes	119.060	New Jersey Economic Dev Auth	CO-MGR
10/16/14	Spcl Tax Oblig & Ref Trans Bonds	731.545	Connecticut	CO-MGR
10/16/14	Revenue Bonds	30.000	NYS Housing Fin-Mortgage Agcy	SOLE
10/16/14	Housing Revenue Bonds	260.000	NYS Housing Fin-Mortgage Agcy	SOLE
10/15/14	Unltd Tax School Building Bonds	96.330	Denton Co (Lewisville) ISD	CO-MGR
10/15/14	Unlimited Tax Sch Building Bonds	11.650	Lubbock ISD	CO-MGR
10/15/14	Teeter Obligation Notes	99.360	Riverside Co-California	CO-MGR
10/15/14	Teeter Obligation Notes	.815	Riverside Co-California	CO-MGR
10/15/14	Sales Tax Asset Revenue Bonds	2,035.330	NYC Sales Tax Asset Rec Corp	CO-MGR
10/15/14	GO Temporary Notes	94.435	Wichita City-Kansas	SOLE
10/15/14	GO Temporary Notes	80.550	Wichita City-Kansas	SOLE
10/15/14	GO Temporary Notes	10.285	Wichita City-Kansas	SOLE
10/09/14	General Revenue Refunding Bonds	265.600	Univ of North Carolina Bd of Gov	SENIOR

10/09/14	General Receipts Bonds	150.000	Ohio State University	CO-MGR
10/09/14	General Receipts Bonds	135.985	Ohio State University	CO-MGR
10/08/14	Subordinate Airport Rev Ref Bonds	217.790	Minneapolis-St Paul Metro Airports Comm	CO-MGR
10/08/14	Subordinate Airport Rev Ref Bonds	46.590	Minneapolis-St Paul Metro Airports Comm	CO-MGR
10/08/14	Ref Certificates of Participation	29.020	Martin Co School Board	CO-MGR
10/08/14	Equipment Lease Agreement	18.000	Winston-Salem City-North Carolina	SOLE
10/07/14	Power Project Revenue Ref Bonds	155.730	Missouri Jt Muni Elec Util Comm	CO-MGR
10/07/14	Limited Obligation Bonds	24.450	Greensboro City-North Carolina	SENIOR
10/07/14	Hospital Revenue Refunding Bonds	43.135	Winchester Econ Dev Au	SENIOR
10/07/14	Hospital Revenue Ref Bonds	19.080	West Virginia Hospital Fin Auth	SENIOR
10/07/14	Hospital Revenue Bonds	12.000	Winchester Econ Dev Au	SOLE
10/07/14	General Obligation Bonds	200.000	California	SENIOR
10/07/14	GO Various Purpose Bonds	300.000	California	SENIOR
10/07/14	GO Various Purpose & Ref Bonds	1,869.640	California	SENIOR
10/02/14	Health System Revenue Bonds	62.700	Geisinger Authority	CO-MGR
10/02/14	Health System Revenue Bonds	48.040	Geisinger Authority	CO-MGR
10/01/14	Wtrwrks & Sewer Sys Rev Bonds	11.585	Laredo City-Texas	CO-MGR
10/01/14	Unlimited Tax Refunding Bonds	65.240	Lubbock Co (Frenship) ISD	CO-MGR
10/01/14	Unlimited Tax Refunding Bonds	14.435	Denton Co ISD	CO-MGR
10/01/14	Tax & Rev Pub Prop Cert of Oblig	12.380	Laredo City-Texas	CO-MGR
10/01/14	Sales Tax Revenue Ref Bonds	43.470	Polk Co SD	SOLE
10/01/14	Revenue Bonds	180.970	Georgia Priv College & Univ Auth	SOLE
10/01/14	Intl Toll Bridge System Rev Bonds	9.585	Laredo City-Texas	CO-MGR
10/01/14	Home Ownership Mtg Backed Sec	27.000	Utah Housing Corporation (UHC)	SOLE
10/01/14	GO Community Develeopment Bonds	5.405	Germantown Village-Wisconsin	SOLE
09/30/14	Veterans General Obligation Bonds	60.955	California	SOLE
09/30/14	Revenue Refunding Bonds	11.820	Colorado Health Facilities Auth	SOLE
09/30/14	General Obligation RANs	1,200.000	Massachusetts	SENIOR
09/30/14	GO Refunding Bonds	58.510	Norfolk City-Virginia	CO-MGR
09/25/14	Hospital Revenue Bonds	80.170	Douglas Co Hosp Auth #2	SENIOR
09/25/14	Educational Facilities Rev Bonds	42.155	Indiana Finance Authority	SOLE
09/24/14	Water & Sewer System Rev Bonds	300.000	NYC Municipal Water Fin Auth	CO-MGR
09/24/14	Multi-Family Housing Rev Bonds	70.095	NYC Housing Dev Corp	SENIOR
09/24/14	General Obligation Bonds	78.370	Greenville Co SD	SOLE

09/24/14	GO Refunding Bonds	429.445	Massachusetts	CO-MGR
09/24/14	GO Consolidated Loan Bonds	350.000	Massachusetts	CO-MGR
09/23/14	RANs	2,800.000	California	SENIOR
09/23/14	Hospital Revenue Bonds	85.180	Greenville Health System	CO-MGR
09/23/14	GO School Bldg Refunding Bonds	59.275	St Michael-Albertville ISD #885	SOLE
09/18/14	University Capital Project Bonds	23.000	University of Pittsburgh	SOLE
09/18/14	Revenue Bonds	44.890	Los Angeles Harbor Dept	SENIOR
09/18/14	Revenue & Refunding Rev Bonds	203.280	Los Angeles Harbor Dept	SENIOR
09/18/14	Refunding Revenue Bonds	89.105	Los Angeles Harbor Dept	SENIOR
09/18/14	Certificates of Participation	72.340	Lee Co School Board	SENIOR
09/17/14	Special Obligation Bonds	288.675	Pennsylvania Turnpike Commission	SENIOR
09/17/14	Public Facs Revenue Bonds	29.735	Virginia Public Building Auth	SOLE
09/17/14	Certificates of Participation	30.895	Alaska	CO-MGR
09/16/14	Revenue Bonds	53.860	Ohio Higher Ed Fac Commission	SOLE
09/15/14	Unlimited Tax Refunding CABs	.010	Hays Co (Wimberley) ISD	SENIOR
09/15/14	Unlimited Tax Refunding Bonds	8.810	Hays Co (Wimberley) ISD	SENIOR
09/10/14	Public Improvement Rev Ref Bonds	29.040	Pembroke Pines City-Florida	SENIOR
09/10/14	Income Tax Secured Rev Ref Bonds	155.665	District of Columbia	CO-MGR
09/10/14	GO Transportation Refunding Bonds	36.926	Clark Co-Nevada	SOLE
09/10/14	GO Alternative Facilities Bonds	5.900	Ramsey Co (White Bear Lake) ISD #624	SOLE
09/09/14	Install Purchase Rev Ref Bonds	111.565	Charleston Ed Excellence Fin Corp	SENIOR
09/04/14	Hospital Revenue Bonds	190.420	Philadelphia Auth for Indus Dev	CO-MGR
09/04/14	Hospital Revenue & Ref Bonds	170.895	Miami Beach Health Facs Auth	CO-MGR
09/04/14	General Obligation Bonds	980.000	New York City-New York	CO-MGR
09/03/14	TRANs	5,400.000	Texas	SENIOR
09/03/14	General Obligation Bonds	38.715	West Haven City-Connecticut	SOLE
09/03/14	General Obligation Bonds	9.100	West Haven City-Connecticut	SOLE
09/01/14	Water Sys Rev Refunding Bonds	46.030	West Harris Co Regional Wtr Au	CO-MGR
09/01/14	Water & Sewer Sys Revenue Bonds	17.130	Conroe City-Texas	CO-MGR
09/01/14	Permanent Improvement & Ref Bonds	40.410	Pearland City-Texas	CO-MGR
09/01/14	Limited Tax Certs of Obligation	31.100	Conroe City-Texas	CO-MGR
09/01/14	Home Ownership Mtg-backed Sec	20.000	Utah Housing Corporation (UHC)	SOLE
08/28/14	Unlimited Tax Refunding Bonds	89.595	Travis Co (Austin) ISD	SENIOR
08/28/14	Unlimited Tax Refunding Bonds	54.815	Travis Co (Austin) ISD	SENIOR
08/28/14	Limited Obligation Bonds	66.715	Raleigh City-North Carolina	CO-MGR

08/26/14	Housing Revenue Bonds	260.000	NYS Housing Fin-Mortgage Agcy	SOLE
08/26/14	Housing Revenue Bonds	27.000	NYS Housing Fin-Mortgage Agcy	SENIOR
08/26/14	Housing Revenue Bonds	15.000	NYS Housing Fin-Mortgage Agcy	SOLE
08/26/14	Housing Revenue Bonds	13.000	NYS Housing Fin-Mortgage Agcy	SENIOR
08/26/14	Homeownership Finance Bonds	18.868	Minnesota Housing Fin Agency	CO-MGR
08/26/14	Homeownership Finance Bonds	13.663	Minnesota Housing Fin Agency	CO-MGR
08/26/14	Electric System Sub Rev Bonds	204.750	Omaha Public Power Dt (OPPD)	CO-MGR
08/22/14	Tax Increment Revenue Bonds	55.885	SE Overtown/Park West Comm Redev Agency	SOLE
08/21/14	Housing Mortgage Fin Prog Bonds	123.135	Connecticut Housing Finance Auth	CO-MGR
08/21/14	Hospital Refunding Revenue Bonds	53.740	Greenville Health System	SOLE
08/21/14	Home Ownership Mtg-Backed Bonds	20.000	Idaho Housing & Fin Assoc	SOLE
08/21/14	Consolidated Bonds	483.460	Port Authority of NY & NJ	CO-MGR
08/21/14	Consolidated Bonds	346.705	Port Authority of NY & NJ	CO-MGR
08/20/14	Systemwide Revenue Bonds	747.740	California State Univ Trustees	CO-MGR
08/20/14	Single Family Housing Rev Bonds	50.000	Massachusetts Housing Fin Agcy	CO-MGR
08/20/14	Revenue Bonds	166.135	Idaho Health Facilities Auth	CO-MGR
08/20/14	Airport Revenue Bonds	66.595	Wayne Co Airport Auth	CO-MGR
08/20/14	Airport Revenue Bonds	31.845	Wayne Co Airport Auth	CO-MGR
08/19/14	Public Improvement Ref Bonds	257.365	Houston City-Texas	CO-MGR
08/19/14	General Obligation Bonds	111.065	Tennessee	CO-MGR
08/19/14	GO Refunding Bonds	79.160	Tennessee	CO-MGR
08/19/14	Certificates of Participation	80.100	Minnesota	SOLE
08/15/14	Unlimited Tax School Bldg Bonds	35.110	Denton Co (Argyle) ISD	CO-MGR
08/14/14	Special Obligation Ref Bonds	348.910	Metropolitan Transport Auth (MTA)	SENIOR
08/14/14	Highway Revenue Bonds	135.660	Hawaii	CO-MGR
08/14/14	Highway Revenue Bonds	28.020	Hawaii	CO-MGR
08/13/14	Infrastructure Revenue Bonds	92.405	Virginia Resources Auth (VRA)	CO-MGR
08/12/14	Student Housing Ref Rev Bonds	46.560	Cobb Co Development Auth	CO-MGR
08/12/14	Revenue Refunding Bonds	162.085	Louisiana Public Facs Auth (LPFA)	CO-MGR
08/12/14	Refunding Ltd Obligation Bonds	27.990	Winston-Salem State University	SENIOR

08/12/14	Educational Facilities Rev Bonds	150.000	Missouri Hlth & Ed Facs Authority	SENIOR
08/07/14	TRANs	44.700	San Diego Co SD	CO-MGR
08/07/14	Hospital Revenue Bonds	158.105	Utah Co-Utah	SENIOR
08/07/14	Hospital Revenue Bonds	40.000	Utah Co-Utah	SOLE
08/06/14	TANs	225.000	Harris Co-Texas	SENIOR
08/06/14	GO Refunding Bonds	187.085	Alabama	SOLE
08/06/14	GANs	381.790	New Jersey Transit Corporation	CO-MGR
08/06/14	GANs	101.895	New Jersey Transit Corporation	CO-MGR
08/05/14	Revenue Bonds	1,201.635	Bay Area Toll Authority (BATA)	CO-MGR
08/05/14	Revenue Bonds	200.000	Bay Area Toll Authority (BATA)	CO-MGR
08/05/14	Power System Revenue Bonds	198.750	Los Angeles Dept of Wtr & Power	SENIOR
08/05/14	GO School Building & Ref Bonds	169.305	Bernalillo Co (Albuquerque) MSD #12	CO-MGR
08/05/14	GO Consolidated Loan Bonds	200.000	Massachusetts	CO-MGR
08/05/14	GO Consolidated Loan Bonds	200.000	Massachusetts	CO-MGR
08/01/14	Unltd Tax School Building Bonds	116.095	Travis Co (Manor) ISD	CO-MGR
08/01/14	Limited Tax Refunding Bonds	73.510	Montgomery Co-Texas	SENIOR
08/01/14	Home Ownership Securities Homes	24.000	Utah Housing Corporation (UHC)	SOLE
08/01/14	GO School Building Bonds	9.945	Ward Co (Minot) PSD #1	SOLE
08/01/14	GO Refunding & Improvement Bonds	40.405	Frisco City-Texas	SENIOR
08/01/14	Future Tax Secured Sub Bonds	675.000	NYC Transitional Finance Auth	CO-MGR
08/01/14	Comb Tax & Rev Certs of Oblig	13.810	Frisco City-Texas	SENIOR
07/31/14	Special Oblig & Turnpike Bonds	67.270	Maine Turnpike Authority	CO-MGR
07/31/14	Highway Revenue Bonds	250.000	Kansas Dept of Transportation	CO-MGR
07/24/14	Revenue Bonds	43.185	Maine Hlth & Hghr Ed Facs Auth	SENIOR
07/24/14	Power Supply Revenue Bonds	351.255	Western Minnesota Municipal Power Agcy	CO-MGR
07/24/14	Home Mortgage Revenue Bonds	41.280	Illinois Housing Dev Authority	CO-MGR
07/24/14	Home Mortgage Revenue Bonds	34.920	Illinois Housing Dev Authority	CO-MGR
07/24/14	Home Mortgage Revenue Bonds	4.375	Illinois Housing Dev Authority	CO-MGR
07/24/14	GO Refunding Bonds	24.730	Isle of Wight Co-Virginia	CO-MGR
07/24/14	GO Refunding Bonds	12.290	Isle of Wight Co-Virginia	CO-MGR
07/21/14	Home Owner Mtg Backed	13.000	Utah Housing Corporation (UHC)	SOLE
07/17/14	Multi-Family Housing Rev Bonds	38.000	NYC Housing Dev Corp	CO-

				MGR
07/16/14	Revenue Bonds	66.230	California Educational Facs Auth	SOLE
07/16/14	GO Corporate Purpose Bonds	8.690	Verona City-Wisconsin	SOLE
07/15/14	Wtrwks & Swr Sys Rev & Ref Bonds	69.300	Beaumont City-Texas	SENIOR
07/15/14	Wtrwks & Swr Sys Rev & Ref Bonds	9.755	Beaumont City-Texas	SENIOR
07/15/14	Water & Sewer System Rev Bonds	300.200	Jacksonville Electric Authority	CO-MGR
07/15/14	Sales Tax Revenue Bonds	25.000	Frisco Economic Development Corp	SOLE
07/15/14	Limited Tax Bonds	87.915	Laredo Comm College Dt	SENIOR
07/15/14	General Revenue & Ref Bonds	76.200	Utah State Board of Regents	CO-MGR
07/15/14	General Obligation Water BANs	6.133	Vance Co Water Dt	SOLE
07/15/14	GO Refunding Bonds	49.360	El Paso City-Texas	CO-MGR
07/15/14	Combination Tax & Rev Cert Oblig	64.605	El Paso City-Texas	CO-MGR
07/15/14	Comb Tax & Surplus Rev Cert Ob	90.000	Frisco City-Texas	SOLE
07/10/14	Wtr Swr Sys 2nd Gen Res Rev Bonds	100.000	NYC Municipal Water Fin Auth	SOLE
07/10/14	Revenue Bonds	378.510	Sacramento Co Sanit Dt Fin Au	SENIOR
07/10/14	General Obligation Bonds	329.250	Georgia	SOLE
07/09/14	Various Purpose GO Bonds	22.580	Washington	CO-MGR
07/09/14	Revenue Bonds	642.275	SC Pub Svc Au (Santee Cooper)	CO-MGR
07/09/14	Hwy User Tax Rev Sr Ln Ref Bonds	194.530	Oregon Dept of Transportation	CO-MGR
07/08/14	Revenue Bonds	63.925	Jacksonville Electric Authority	CO-MGR
07/03/14	Refunding Revenue Bonds	67.365	Univ of Massachusetts Bldg Au	SENIOR
07/03/14	Airport System Rev & Ref Bonds	539.250	Metro Washington Airports Auth	CO-MGR
07/02/14	Transport Excise Tax Rev Bonds	130.095	Pima Co Reg Transportation Auth	SENIOR
07/02/14	State Revolving Funds Rev Bonds	213.635	NYS Environmental Facs Corp	CO-MGR
07/02/14	GO Mobility Fund Refunding Bonds	973.775	Texas Transportation Commission	CO-MGR
07/01/14	Unltd Tax School Bldg & Ref Bonds	99.995	Galveston Co (Clear Creek) ISD	CO-MGR
07/01/14	Unlimited Tax Refunding Bonds	71.465	Harris Co (Goose Creek) CISD	CO-MGR
07/01/14	TRANs	900.000	Los Angeles Co-California	CO-MGR
07/01/14	TRANs	250.000	Riverside Co-California	CO-MGR
07/01/14	TRANs	200.000	Kern Co-California	SOLE
07/01/14	General Revenue Bonds	195.175	Nebraska Public Power Dt	SENIOR
07/01/14	General Revenue Bonds	24.415	Nebraska Public Power Dt	SENIOR
07/01/14	Gas Revenue Bonds	100.000	Georgia Municipal Gas Auth (MGAG)	CO-MGR

07/01/14	GO School Bonds	9.710	Polk Co (Ankeny) CSD	SOLE
06/30/14	Refunding Revenue Bonds	71.780	Oregon Facilities Authority	SOLE
06/27/14	Limited Obligation Bonds	43.990	Johnston Co-North Carolina	CO-MGR
06/27/14	Certificates of Participation	166.010	Palm Beach Co School Board	CO-MGR
06/26/14	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	CO-MGR
06/26/14	Multi-Family Housing Rev Bonds	225.050	NYC Housing Dev Corp	CO-MGR
06/26/14	General Obligation Bonds	78.060	Connecticut	CO-MGR
06/26/14	General Obligation Bonds	49.840	Connecticut	CO-MGR
06/26/14	General Obligation Bonds	43.600	Connecticut	CO-MGR
06/26/14	General Obligation Bonds	23.120	Connecticut	CO-MGR
06/26/14	General Obligation Bonds	22.385	Dover City-New Hampshire	SOLE
06/26/14	General Obligation Bonds	11.495	Dover City-New Hampshire	SOLE
06/26/14	General Obligation Bonds	5.380	Connecticut	CO-MGR
06/25/14	Water Revenue Refunding Bonds	46.660	Calleguas Municipal Water Dt	SOLE
06/25/14	General Obligation Bonds	20.000	Tucson City-Arizona	SOLE
06/25/14	GO Alternative Facilities Bonds	5.065	Dakota Co (Lakeville) ISD #194	SOLE
06/24/14	Utility Sys Imp & Ref Rev Bonds	190.620	Unified Gov of Wyandotte Co/Kansas City	CO-MGR
06/24/14	GO Refunding Bonds	278.015	Phoenix City-Arizona	CO-MGR
06/23/14	General Obligation Bonds	1,018.040	New York City-New York	CO-MGR
06/19/14	Ref Certificates of Participation	226.125	Arizona School Facilities Board	CO-MGR
06/19/14	Housing Bonds	110.860	Massachusetts Housing Fin Agcy	CO-MGR
06/19/14	Housing Bonds	25.790	Massachusetts Housing Fin Agcy	CO-MGR
06/19/14	Housing Bonds	12.155	Massachusetts Housing Fin Agcy	CO-MGR
06/19/14	Homes Bonds	10.000	Utah Housing Corporation (UHC)	SOLE
06/19/14	Homeowner Finance Bonds	38.527	Minnesota Housing Fin Agency	CO-MGR
06/19/14	Home Ownership Mtg Backed Sec	10.000	Utah Housing Corporation (UHC)	SOLE
06/19/14	General Revenue Bonds	125.685	Univ of North Carolina Bd of Gov	CO-MGR
06/18/14	Multi-Family Housing Rev Bonds	13.183	Los Angeles City-California	SOLE
06/18/14	Full Faith & Credit Ref Oblig	22.530	Multnomah Co-Oregon	SOLE
06/15/14	Unlimited Tax School Bldg Bonds	159.485	Tarrant Co (Arlington) ISD	CO-MGR
06/15/14	Unlimited Tax Sch Building Bonds	87.690	Webb Co (United) ISD	SENIOR

06/12/14	State Revolving Fund Ref Bonds	565.610	Massachusetts Wtr Poll Abate Trust	CO-MGR
06/12/14	GO School & Alt Rev Source Bonds	20.445	Knox Co (Galesburg) CUSD #205	SOLE
06/11/14	Water System Rev Ref Bonds	128.315	East Bay MUD	SENIOR
06/11/14	Revenue Bonds	8.135	Boulder Co-Colorado	SOLE
06/11/14	Revenue Bonds	8.000	Colorado Ed and Culture Fac Auth	SOLE
06/11/14	Hospital Revenue Bonds	145.005	Harris Co Cult Ed Facs Fin Corp	CO-MGR
06/11/14	Hospital Revenue Bonds	35.200	Harris Co Cult Ed Facs Fin Corp	SOLE
06/10/14	Water & Sewer System Revenue BANs	180.000	Charlotte City-North Carolina	SOLE
06/05/14	Revenue Bonds	133.550	Kansas Development Fin Auth	SOLE
06/05/14	Refunding Revenue Bonds	49.775	NYC Trust for Cultural Resources	CO-MGR
06/05/14	General Receipts Rev & Ref Bonds	135.035	Miami University	CO-MGR
06/04/14	Multi-Family Conduit Rev Bonds	27.500	New Jersey Hsg & Mtg Fin Agency	SOLE
06/03/14	Refunding Revenue Bonds	157.855	Univ of Massachusetts Bldg Au	CO-MGR
06/03/14	1st & 2nd Lien Ref St Road Bonds	900.990	Missouri Highway & Transport Com	CO-MGR
06/01/14	Electric Util Sys Rev Ref Bonds	85.305	Garland City-Texas	CO-MGR
06/01/14	Electric & Gas Jr Ln Rev Bonds	200.000	San Antonio City-Texas	CO-MGR
06/01/14	Academic Building Revenue Bonds	27.500	Iowa State Board of Regents	SOLE
05/29/14	Revenue Bonds	88.790	Jacksonville Electric Authority	CO-MGR
05/29/14	Residential Finance Prog Bonds	124.025	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
05/29/14	Residential Finance Prog Bonds	25.975	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
05/29/14	Refunding Revenue Bonds	50.225	NYC Trust for Cultural Resources	SENIOR
05/29/14	Mortgage Revenue Bonds	57.710	Oregon Hsg & Comm Svcs Dept	CO-MGR
05/29/14	Mortgage Revenue Bonds	29.960	Oregon Hsg & Comm Svcs Dept	CO-MGR
05/29/14	Housing Mtg Finance Prog Bonds	49.855	Connecticut Housing Finance Auth	CO-MGR
05/29/14	City Parks Leasehold Imp Bonds	26.000	St Louis Municipal Finance Corp	SENIOR
05/29/14	Certificates of Participation	230.885	Cuyahoga Co-Ohio	CO-MGR
05/28/14	Local Government Loan Prog Bonds	75.000	Michigan Finance Authority	SOLE
05/28/14	General Purpose Bonds	25.310	Albuquerque City-New Mexico	SOLE
05/28/14	GO Storm Sewer & Gen Purp Bonds	37.125	Albuquerque City-New Mexico	SOLE
05/22/14	Turnpike Revenue Bonds	1,000.000	New Jersey Turnpike Authority	CO-MGR
05/22/14	System Facilities Revenue Bonds	294.510	University of Missouri Curators	CO-MGR

05/22/14	Revenue Bonds	19.250	Maine Municipal Bond Bank	CO-MGR
05/22/14	GO Refunding Bonds	6.195	Newport News City-Virginia	CO-MGR
05/22/14	GO Gen Imp & Ref & Wtr Ref Bonds	116.105	Newport News City-Virginia	CO-MGR
05/21/14	Sewerage System Rev Bonds	26.195	Buncombe Co Metro Sewerage Dt	SENIOR
05/20/14	Revenue Bonds	66.755	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
05/20/14	Revenue Bonds	4.665	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
05/20/14	General Obligation Sewer BANs	2.947	Goldston Town-North Carolina	SOLE
05/16/14	General Obligation BANs	6.744	Holmdel Twp-New Jersey	SOLE
05/15/14	Unemploy Comp Oblig Assess Bonds	497.640	Texas Public Finance Auth (TPFA)	CO-MGR
05/15/14	Unemploy Comp Oblig Assess Bonds	212.145	Texas Public Finance Auth (TPFA)	CO-MGR
05/15/14	Speed Revenue Bonds	77.620	Arizona Board of Regents	CO-MGR
05/15/14	General Revenue Ref Bonds	89.185	Univ of Oklahoma Bd of Regents	CO-MGR
05/15/14	GO Corporate Purpose Bonds	8.165	Beloit City-Wisconsin	SOLE
05/14/14	Revenue Bonds	278.980	California Educational Facs Auth	CO-MGR
05/14/14	Revenue Bonds	124.115	California Educational Facs Auth	SENIOR
05/14/14	Health Facilities Revenue Bonds	100.000	Missouri Hlth & Ed Facs Authority	SENIOR
05/14/14	GO Refunding Bonds	4.270	Dane Co (Monona Grove) SD	SOLE
05/13/14	Electric & Water Revenue Bonds	63.756	Lakeland City-Florida	SOLE
05/13/14	BANs	.565	Califon Boro-New Jersey	SOLE
05/12/14	GO Medium-Term Redev Ref Bonds	9.400	Mesquite City-Nevada	SOLE
05/08/14	Seaport Revenue Bonds	181.320	Miami-Dade Co-Florida	CO-MGR
05/08/14	Seaport Revenue Bonds	20.150	Miami-Dade Co-Florida	CO-MGR
05/08/14	Revenue & Refunding Bonds	67.260	Arizona Board of Regents	CO-MGR
05/08/14	Health Care Facilities Rev Bonds	120.000	Rochester City-Minnesota	SOLE
05/08/14	Health Care Facilities Rev Bonds	90.000	Phoenix Industrial Dev Auth	SOLE
05/08/14	General Obligation Bonds	750.000	Illinois	SENIOR
05/08/14	Combined Enterprise Sys Rev Bonds	37.640	High Point City-North Carolina	SENIOR
05/07/14	General Obligation Bonds	50.130	Oregon	CO-MGR
05/07/14	General Obligation Bonds	5.045	Oregon	CO-MGR
05/07/14	GO & Refunding Bonds	97.150	Scottsdale City-Arizona	CO-MGR
05/06/14	School Facilities Con Ref Bonds	615.465	New Jersey Economic Dev Auth	CO-MGR
05/06/14	School Facilities Con & Ref Bonds	613.845	New Jersey Economic Dev Auth	CO-MGR

05/06/14	Power System Revenue Bonds	200.000	Los Angeles Dept of Wtr & Power	SOLE
05/06/14	Home Ownership Rev Ref Bonds	54.335	North Carolina Housing Fin Agcy	CO-MGR
05/06/14	Certificates of Participation	63.840	Orange Co School Board	CO-MGR
05/01/14	Water PC Loan Fund Rev Bonds	333.815	Ohio Water Development Authority	CO-MGR
05/01/14	Various Purpose GO Bonds	575.000	California	SOLE
05/01/14	Various Purpose GO Bonds	175.000	California	SOLE
05/01/14	Unlimited Tax Refunding Bonds	7.070	Hill Co (Hillsboro) ISD	SOLE
05/01/14	Tax & Wtrwrks Sys Surp Rev Certs	62.900	Lubbock City-Texas	CO-MGR
05/01/14	Refunding Imrovement Bonds	9.760	Fargo City Park Dt	SOLE
05/01/14	Multi-Family Housing Rev Bonds	100.000	NYC Housing Dev Corp	CO-MGR
05/01/14	Home Ownership Mtg Rev Bonds	21.000	Idaho Housing & Fin Assoc	SOLE
05/01/14	Home Ownership Mtg Backed Sec	9.000	Utah Housing Corporation (UHC)	SOLE
05/01/14	Health System Revenue & Ref Bonds	45.855	Oklahoma Dev Finance Auth	SOLE
05/01/14	GO Refunding Bonds	131.105	Charlotte City-North Carolina	SENIOR
05/01/14	GO Refunding Bonds	44.920	Lubbock City-Texas	CO-MGR
04/30/14	Street Imp Special Assess Bonds	28.375	St Paul City-Minnesota	SOLE
04/30/14	Residential Housing Finance Bonds	50.000	Minnesota Housing Fin Agency	CO-MGR
04/30/14	Pooled Loan Revenue Bonds	70.120	Bergen Co Improvement Auth	CO-MGR
04/30/14	Homeownership Mortgage Bonds	24.745	South Dakota Housing Dev Auth	CO-MGR
04/30/14	Homeownership Mortgage Bonds	21.750	South Dakota Housing Dev Auth	CO-MGR
04/30/14	GO Library Bonds	14.830	St Paul Public Library Agency	SOLE
04/29/14	Lease Revenue Notes	150.920	Golden Empire School Fin Auth	SOLE
04/29/14	Future Tax Secured Sub Bonds	650.000	NYC Transitional Finance Auth	CO-MGR
04/23/14	Housing Mortgage Finance Bonds	100.000	Connecticut Housing Finance Auth	CO-MGR
04/22/14	Sales & Use Tax Contractual Oblig	130.605	Harris Co Metro Trans Auth	SENIOR
04/22/14	General Obligation Bonds	109.050	University of Connecticut	SENIOR
04/22/14	GO Water & Sewer Refunding Bonds	23.285	Chesapeake City-Virginia	SENIOR
04/22/14	GO Refunding Bonds	92.940	University of Connecticut	SENIOR
04/22/14	GO Public Improvement Ref Bonds	14.795	Chesapeake City-Virginia	SENIOR
04/22/14	Electric Utility System Rev Bonds	158.840	Holland City-Michigan	CO-MGR
04/17/14	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	SENIOR
04/16/14	Water Supply System Bonds	220.500	Karegnondi Water Authority	CO-MGR
04/15/14	Unlimited Tax Schoolhouse Bonds	90.000	Fort Bend Co (Lamar) CISD	CO-MGR
04/15/14	Unlimited Tax Schoolhouse Bonds	43.865	Fort Bend Co (Lamar) CISD	CO-

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04/15/14	Unlimited Tax Road Bonds	91.750	Williamson Co-Texas	CO-MGR
04/15/14	Revenue Financing System Bonds	259.135	Univ of Texas Sys Bd of Regents	SENIOR
04/15/14	Limited Tax Park Bonds	19.530	Williamson Co-Texas	CO-MGR
04/14/14	Educational Fac Rev Ref Bonds	3.906	Wisconsin Public Finance Auth	SOLE
04/14/14	Educational Fac Rev Ref Bonds	1.879	Wisconsin Public Finance Auth	SOLE
04/10/14	Water & Sewer Revenue Bonds	74.165	Henrico Co-Virginia	CO-MGR
04/10/14	Unlimited Tax GO Imp Bonds	16.400	Seattle City-Washington	SOLE
04/10/14	General Revenue Bonds	559.150	Regents of the Univ of California	SENIOR
04/10/14	General Revenue Bonds	411.210	Regents of the Univ of California	SENIOR
04/03/14	Wtr Swr Sys 2nd Gen Res Rev Bonds	547.850	NYC Municipal Water Fin Auth	CO-MGR
04/03/14	Second Lien Revenue Bonds	19.955	Semitropic Improvement Dt	SOLE
04/03/14	Revenue Bonds	51.275	Alaska Indus Dev & Export Auth	CO-MGR
04/03/14	Lease Revenue Bonds	794.710	California St Public Works Board	SENIOR
04/03/14	Consolidated Public Imp Bonds	48.300	Worcester Co-Maryland	SOLE
04/02/14	Subordinate Lien Revenue Bonds	55.600	M-S-R Public Power Agency	SOLE
04/02/14	Institutional Ent Rev Ref Bonds	52.465	Univ of No Colorado Bd of Trust	SOLE
04/01/14	Turnpike Revenue Bonds	236.115	Pennsylvania Turnpike Commission	CO-MGR
04/01/14	Home Ownership Mtg Backed Sec	10.000	Utah Housing Corporation (UHC)	SOLE
04/01/14	General Revenue Bonds	58.745	Univ of North Carolina-Charlotte	SENIOR
04/01/14	Com Tax & Airport Certs of Oblig	40.000	El Paso City-Texas	SENIOR
03/28/14	Aviation Revenue Refunding Bonds	328.130	Miami-Dade Co-Florida	CO-MGR
03/27/14	St Clean & Drink Wtr Rev Bonds	347.385	NYS Environmental Facs Corp	CO-MGR
03/27/14	Ref Certificates of Participation	19.600	Kern Community College Dt	SOLE
03/27/14	Homeowner Mortgage Rev Bonds	80.190	NYS Housing Fin-Mortgage Agcy	CO-MGR
03/27/14	Homeowner Mortgage Rev Bonds	31.650	NYS Housing Fin-Mortgage Agcy	CO-MGR
03/27/14	Homeowner Mortgage Rev Bonds	12.000	NYS Housing Fin-Mortgage Agcy	CO-MGR
03/27/14	GO Various Purpose & Ref Bonds	1,807.455	California	CO-MGR
03/27/14	Certificates of Participation	39.775	Charlotte City-North Carolina	SENIOR
03/26/14	Residential Housing Finance Bonds	50.000	Minnesota Housing Fin Agency	CO-MGR
03/26/14	Bulk Power Supply Sys Rev Bonds	72.460	Jacksonville Electric Authority	CO-MGR
03/25/14	General Obligation Bonds	650.000	New York City-New York	CO-MGR
03/25/14	GO School Building Bonds	9.565	Isanti Co (Cambridge) ISD #911	SOLE
03/24/14	GO Project & Refunding Bonds	450.790	Chicago City-Illinois	SENIOR

03/24/14	GO Project & Refunding Bonds	432.630	Chicago City-Illinois	SENIOR
03/21/14	State Personal Inc Tax Rev Bonds	785.555	NYS Dorm Authority	CO-MGR
03/21/14	State Personal Inc Tax Rev Bonds	26.585	NYS Dorm Authority	CO-MGR
03/19/14	Highway Revenue Bonds	86.020	Nevada	SOLE
03/19/14	Certificates of Participation	6.560	Olmstead Co (Rochester) ISD #535	SOLE
03/18/14	Single Family Housing Rev Bonds	65.000	Massachusetts Housing Fin Agcy	CO-MGR
03/18/14	Single Family Housing Rev Bonds	19.020	Massachusetts Housing Fin Agcy	CO-MGR
03/18/14	Refunding Revenue Bonds	66.060	Oregon Facilities Authority	CO-MGR
03/18/14	Refunding Revenue Bonds	40.140	Washington Health Care Facs Au	CO-MGR
03/18/14	General Obligation BANs	16.000	Naugatuck Boro-Connecticut	SOLE
03/15/14	Unlimited Tax Refunding CABs	.075	Parker Co (Aledo) ISD	CO-MGR
03/15/14	Unlimited Tax Refunding Bonds	9.255	Parker Co (Aledo) ISD	CO-MGR
03/14/14	Unlimited Tax Refunding Bonds	45.650	Tarrant Co (Keller) ISD	SOLE
03/14/14	First Lien Revenue Ref Bonds	605.195	Houston City-Texas	CO-MGR
03/13/14	Homeowner Mortgage Revenue Bonds	96.480	NYS Housing Fin-Mortgage Agcy	CO-MGR
03/13/14	Homeowner Mortgage Revenue Bonds	63.640	NYS Housing Fin-Mortgage Agcy	CO-MGR
03/13/14	Homeowner Mortgage Revenue Bonds	18.960	NYS Housing Fin-Mortgage Agcy	CO-MGR
03/13/14	GO Community College Bonds	21.605	Community College Dt #514	CO-MGR
03/13/14	GO Community College Bonds	1.370	Community College Dt #514	CO-MGR
03/12/14	State Transportation Rev Bonds	70.110	New Mexico Finance Auth (NMFA)	CO-MGR
03/11/14	Various Purp Ltd Tax Ref Bonds	92.690	Franklin Co-Ohio	CO-MGR
03/06/14	Sales Tax Appropriation Bonds	54.070	Metropolitan Park & Recreation Dt	CO-MGR
03/06/14	General Obligation Bonds	225.000	Delaware	SOLE
03/05/14	General Revenue Bonds	12.380	Univ of Oklahoma Bd of Regents	CO-MGR
03/05/14	General Revenue Bonds	11.980	Univ of Oklahoma Bd of Regents	CO-MGR
03/04/14	Limited Obligation Bonds	44.515	Myrtle Beach City-South Carolina	SOLE
03/04/14	Limited Obligation Bonds	17.400	Myrtle Beach City-South Carolina	SOLE
03/04/14	General Obligation Bonds	61.905	Minneapolis City-Minnesota	SENIOR
03/04/14	GO Public Improvement Bonds	19.000	Johnston Co-North Carolina	SOLE
03/03/14	GO School Improvement Bonds	10.000	Dunn Co (Menomonie) ASD	SOLE
03/03/14	GO Refunding School Bonds	26.470	Kane Co (Batavia) CUSD #101	CO-

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03/01/14	Unltd Tax School Building Bonds	63.320	Harris Co (Tomball) ISD	CO-MGR
03/01/14	Unltd Tax School Building Bonds	17.775	Harris Co (Tomball) ISD	SENIOR
03/01/14	Unlimited Tax School Bldg Bonds	114.680	Harris Co (Cypress-Fairbanks) ISD	SOLE
03/01/14	Unlimited Tax School Bldg Bonds	62.620	Harris Co (Goose Creek) CISD	CO-MGR
03/01/14	Unlimited Tax School Bldg Bonds	18.330	Harris Co (Goose Creek) CISD	CO-MGR
03/01/14	Unlimited Tax School Bldg Bonds	9.265	Yoakum Co (Plains) ISD	CO-MGR
02/28/14	Transportation Rev & Ref Bonds	400.000	Metropolitan Transport Auth (MTA)	CO-MGR
02/28/14	Multi-Family Mortgage Bonds	12.670	NYC Housing Dev Corp	SOLE
02/27/14	Wastewater System GO Ref Bonds	14.160	East Bay MUD	SOLE
02/27/14	Revenue Bonds	57.000	Sunshine State Govt Fin Commiss	CO-MGR
02/26/14	GO Refunding Bonds	17.695	Alpharetta City-Georgia	SOLE
02/25/14	Project Revenue Bonds	293.890	Univ of Massachusetts Bldg Au	CO-MGR
02/25/14	Project Revenue Bonds	14.085	Univ of Massachusetts Bldg Au	CO-MGR
02/25/14	GO Water BANs	4.766	Nash Co Central Nash Wtr & Swr Dt	SOLE
02/24/14	Sch Infra Sales Svc&Tax Ref Bonds	6.940	Linn Co (Linn-Mar) CSD	SOLE
02/20/14	Short Term Note	200.000	Port of Morrow-Oregon	SOLE
02/20/14	General Obligation Bonds	149.275	Louisiana	SOLE
02/20/14	GO Consol Public Imp Bonds	140.000	Baltimore Co-Maryland	SENIOR
02/19/14	State Appropriation Bonds	14.540	Minnesota Housing Fin Agency	CO-MGR
02/19/14	GO School District Serial Bonds	7.190	Orange Co (Montgomery Vlly) CSD	SOLE
02/19/14	GO Refunding Bonds	130.590	Cook Co-Illinois	CO-MGR
02/19/14	Auxiliary Facs System Rev Bonds	159.985	Univ of Illinois Bd of Trustees	SENIOR
02/19/14	Auxiliary Facs System Rev Bonds	50.000	Univ of Illinois Bd of Trustees	SOLE
02/19/14	Auxiliary Facs System Rev Bonds	17.845	Univ of Illinois Bd of Trustees	SENIOR
02/15/14	Water System Revenue Bonds	50.145	Bell Co Water Ctl Imp Dt #1	SENIOR
02/14/14	General Obligation BANs	25.140	Fall River City-Massachusetts	SOLE
02/13/14	Sub Tier Toll Revenue Ref BANs	733.465	Grand Parkway Transport Corp	CO-MGR
02/13/14	General Revenue Bonds	53.685	Univ of North Carolina Bd of Gov	SENIOR
02/13/14	General Obligation Bonds	99.295	Regional Transportation Auth	SOLE
02/11/14	Water Revenue Bonds	86.350	Johnson Co Water Dt #1	SOLE
02/06/14	Wtr Swr Sys 2nd Gen Res Rev Bonds	351.240	NYC Municipal Water Fin Auth	CO-MGR
02/06/14	General Revenue Bonds	250.000	Triborough Bridge & Tunnel Auth	CO-MGR
02/06/14	General Revenue Bonds	200.000	Virginia Commonwealth Univ Hlth Sys	SENIOR
02/06/14	GO Refunding Bonds	154.275	Philadelphia City-Pennsylvania	CO-

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02/06/14	GO Refunding Bonds	19.500	Greensboro City-North Carolina	SOLE
02/05/14	General Obligation Bonds	87.880	Washington	SOLE
02/05/14	Electric System & Sub Rev Bonds	287.920	Jacksonville Electric Authority	SENIOR
02/04/14	GO Refunding Bonds	181.595	Wisconsin	CO-MGR
02/04/14	Future Tax Secured Sub&Ref Bonds	855.000	NYC Transitional Finance Auth	CO-MGR
02/04/14	Future Tax Secured Sub Bonds	41.140	NYC Transitional Finance Auth	CO-MGR
02/01/14	Unlimited Tax Sch Building Bonds	16.180	Tarrant Co (Arlington) ISD	SENIOR
02/01/14	Unlimited Tax Sch Bldg&Ref Bonds	76.920	Montgomery Co (Conroe) ISD	SENIOR
02/01/14	Unlimited Tax Road Bonds	27.770	Kaufman Co-Texas	SENIOR
02/01/14	Unlimited Tax Road Bonds	1.394	Kaufman Co-Texas	SENIOR
02/01/14	Unlimited Tax Refunding Bonds	20.245	Tarrant Co (Birdville) ISD	CO-MGR
01/31/14	Water and Sewer Ref Bonds	5.205	Hendersonville Utility Dt	SOLE
01/31/14	St Gen Fund Appropriation Bonds	391.785	Minnesota	CO-MGR
01/31/14	St Gen Fund Appropriation Bonds	70.280	Minnesota	CO-MGR
01/31/14	GO Refunding Bonds	84.335	Massachusetts	SOLE
01/30/14	Revenue Bonds	250.000	Massachusetts Dev Finance Agcy	CO-MGR
01/30/14	Hospital Revenue Bonds	46.770	Florence Co-South Carolina	SOLE
01/30/14	GO & Swim Pool Utility BANs	8.876	Cranford Twp-New Jersey	SOLE
01/28/14	Single Family Program Bonds	19.935	Washington State Hsg Fin Comm	CO-MGR
01/28/14	Single Family Program Bonds	16.765	Washington State Hsg Fin Comm	CO-MGR
01/28/14	Grant & Rev Anticipation Bonds	75.145	Idaho Housing & Fin Assoc	CO-MGR
01/23/14	Water & Wastewater Revenue Bonds	123.170	Philadelphia City-Pennsylvania	CO-MGR
01/15/14	Unlimited Tax School Bldg Bonds	78.170	Hood Co (Granbury) ISD	CO-MGR
01/15/14	Unlimited Tax Sch Building Bonds	85.235	Midland ISD	CO-MGR
01/15/14	Unlimited Tax Refunding Bonds	44.850	El Paso Co (Socorro) ISD	SOLE
01/15/14	Unlimited Tax Refunding Bonds	26.725	Dallas Co (Garland) ISD	SOLE
01/15/14	Unlimited Tax Refunding Bonds	15.767	Kaufman Co (Forney) ISD	CO-MGR
01/15/14	Unlimited Tax Refunding Bonds	1.546	Kaufman Co (Forney) ISD	CO-MGR
01/15/14	Tax & Ltd Pledge Rev Cert Oblig	26.180	Midland City-Texas	SENIOR
01/15/14	Revenue Refunding Bonds	40.025	Kent Hospital Finance Auth	SOLE
01/15/14	GO Refunding Bonds	3.800	Midland City-Texas	SENIOR
01/09/14	Special Oblig Court Facs Bonds	23.065	Miami-Dade Co-Florida	SOLE
01/03/14	Ltd Tax General Obligation Bonds	28.210	Bothell City-Washington	SOLE

01/02/14	Toll Road Refunding Revenue Bonds	375.000	Foothill/Eastern Transp Corridor Agy	SOLE
01/02/14	Toll Road Refunding Revenue Bonds	195.865	Foothill/Eastern Transp Corridor Agy	CO-MGR
01/02/14	Toll Road Refunding Revenue Bonds	131.262	Foothill/Eastern Transp Corridor Agy	CO-MGR
01/02/14	Jr & Toll Road Ref Rev Bonds	1,572.490	Foothill/Eastern Transp Corridor Agy	CO-MGR
01/02/14	GO Refunding Bonds	6.135	Sartell City-Minnesota	SOLE
12/31/13	Facilities Improvement Rev Bonds	55.480	Montana Bd of Regents of Higher Ed	SENIOR
12/23/13	Solid Waste Sys Revenue Bonds	105.390	Lancaster Co Solid Waste Mgt Au	CO-MGR
12/23/13	Multi-Family Mortgage Bonds	49.330	NYC Housing Dev Corp	SOLE
12/23/13	Guaranteed Authority Bonds	24.000	Lancaster Co Solid Waste Mgt Au	CO-MGR
12/20/13	Revenue Bonds	33.000	NYS Housing Fin-Mortgage Agcy	SOLE
12/19/13	Ref Certificates of Participation	79.550	Arizona School Facilities Board	CO-MGR
12/19/13	General Obligation Facility Bonds	28.175	Lincoln W Haymarket Jt Pub Agcy	SOLE
12/19/13	General Obligation Bonds	800.050	New York City-New York	CO-MGR
12/19/13	General Obligation Bonds	350.000	Illinois	CO-MGR
12/19/13	GO Refunding Bonds	52.445	De Kalb Co-Georgia	CO-MGR
12/19/13	Employer Assessment Revenue Bonds	369.700	NYS Dorm Authority	CO-MGR
12/19/13	Comb Util Sys Ref&Imp Rev Bonds	10.000	Bennettsville City-South Carolina	SOLE
12/19/13	BANs	.488	Mount Arlington Boro-New Jersey	SOLE
12/18/13	Sales Tax Revenue Ref Sr Bonds	63.785	Los Angeles Co Metro Trans Auth	SOLE
12/18/13	Restructuring Bonds	1,539.390	NYS Utility Debt Securitization Auth	CO-MGR
12/18/13	Restructuring Bonds	482.934	NYS Utility Debt Securitization Auth	CO-MGR
12/18/13	General Obligation Bonds	495.425	District of Columbia	CO-MGR
12/18/13	Gen Rev Jr Indebtendess Oblig	1,600.000	NYS Thruway Authority	CO-MGR
12/12/13	General Obligation Bonds	73.995	Riverside Co (Alvord) USD	SOLE
12/12/13	General Obligation Bonds	5.004	Riverside Co (Alvord) USD	SOLE
12/12/13	Asset-Backed Revenue Bonds	660.090	NY Tobacco Settlement Fin Corp	CO-MGR
12/12/13	Asset-Backed Revenue Bonds	565.655	NY Tobacco Settlement Fin Corp	CO-MGR
12/11/13	Special Source Revenue Bonds	86.405	Charleston Co-South Carolina	SENIOR
12/11/13	Multi-Family Mortgage Bonds	33.000	NYC Housing Dev Corp	SOLE
12/11/13	GO Improvement Bonds	8.865	Litchfield City-Minnesota	SOLE
12/10/13	Hospital Revenue Bonds	90.735	Ohio Higher Ed Fac Commission	CO-MGR
12/06/13	BANs & Water Sewer Utility	1.694	Hightstown Boro-New Jersey	SOLE

12/06/13	BANs	93.985	Hudson Co-New Jersey	SOLE
12/05/13	Water System Revenue Bonds	380.000	Los Angeles Dept of Wtr & Power	SENIOR
12/05/13	2nd Lien Revenue Refunding Bonds	150.365	Chicago City-Illinois	CO-MGR
12/05/13	2nd Lien Revenue Refunding Bonds	118.600	Chicago City-Illinois	CO-MGR
12/05/13	2nd Lien Revenue Refunding Bonds	64.995	Chicago City-Illinois	CO-MGR
12/04/13	Sch Infra Sales Svcs & Use Tax	9.740	Polk Co (Johnston) CSD	SOLE
12/04/13	Ref Certificates of Participation	41.125	Hennepin Co (Minneapolis) SSD #1	SOLE
12/04/13	Hospital Revenue Bonds	70.530	Winchester Econ Dev Au	SOLE
12/04/13	GO School Building Bonds	20.525	Hennepin Co (Minneapolis) SSD #1	SOLE
12/04/13	GO Refunding Bonds	13.245	Hennepin Co (Minneapolis) SSD #1	SOLE
12/03/13	Water Revenue Refunding Bonds	7.540	Poweshiek Water Association	SOLE
12/03/13	Revenue Ref & Subordinate Bonds	568.855	Baltimore Mayor & City Council	CO-MGR
12/03/13	Electric Revenue Refunding Bonds	11.800	St George City-Utah	SOLE
12/01/13	Unlimited Tax School Bldg Bonds	144.470	Galveston Co (Clear Creek) ISD	SENIOR
12/01/13	Unlimited Tax Refunding Bonds	33.185	Fort Bend Co (Lamar) CISD	SOLE
12/01/13	GO State Institution Bonds	14.125	South Carolina	SENIOR
12/01/13	GO State Institution Bonds	3.045	South Carolina	SENIOR
12/01/13	GO St Research Univ Infra Bonds	15.235	South Carolina	SOLE
12/01/13	Commonwealth Mortgage Bonds	81.717	Virginia Housing Dev Auth (VHDA)	SENIOR
11/27/13	Sewer & Drainage Sys Sub BANs	226.340	Louisville-Jefferson Co Metro Swr Dt	SOLE
11/27/13	Consolidated Bonds	915.175	Port Authority of NY & NJ	SENIOR
11/27/13	Consolidated Bonds	475.675	Port Authority of NY & NJ	SENIOR
11/27/13	Consolidated Bonds	109.150	Port Authority of NY & NJ	SENIOR
11/26/13	Water PC & Drinking Wtr Ref Bonds	101.535	Missouri Env Imp & Energy Res Au	CO-MGR
11/26/13	Student Housing Ref Revenue Bonds	36.195	Cobb Co Development Auth	CO-MGR
11/26/13	Educational Facilities Rev Bonds	77.215	Missouri Hlth & Ed Facs Authority	SOLE
11/25/13	School Refunding Bonds	5.100	Cocke Co-Tennessee	SOLE
11/25/13	Homeownership Mortgage Bonds	27.015	South Dakota Housing Dev Auth	CO-MGR
11/25/13	Homeownership Mortgage Bonds	22.335	South Dakota Housing Dev Auth	CO-MGR
11/23/13	Commonwealth Transport Fund Bonds	423.720	Massachusetts	CO-MGR
11/22/13	Revenue Bonds	10.000	Connecticut Hlth & Ed Facs Auth	SOLE
11/21/13	Wtr & Swr Sys 2nd Gen Res Bonds	397.085	NYC Municipal Water Fin Auth	CO-MGR
11/21/13	Special Tax Obligation Bonds	600.000	Connecticut	CO-MGR
11/21/13	School Financing Bonds	45.075	Virginia Public School Authority	SOLE
11/21/13	Power Supply System Rev Bonds	108.400	Indiana Municipal Power Agency	CO-MGR
11/21/13	Housing Bonds	29.520	Massachusetts Housing Fin Agcy	CO-

				MGR
11/21/13	Home Ownership Rev Ref Bonds	66.150	North Carolina Housing Fin Agcy	CO-MGR
11/21/13	General Revenue Bonds	118.270	Nebraska Public Power Dt	CO-MGR
11/21/13	General Obligation Bonds	29.795	Hawaii	CO-MGR
11/21/13	General Obligation Bonds	25.000	Hawaii	CO-MGR
11/21/13	General Obligation Bonds	13.615	Richland Co-South Carolina	SOLE
11/21/13	GO Refunding Bonds	34.515	Hawaii	CO-MGR
11/21/13	GO & Refunding Bonds	771.545	Hawaii	CO-MGR
11/20/13	Mortgage Revenue Bonds	33.225	Oregon Hsg & Comm Svcs Dept	CO-MGR
11/20/13	Mortgage Revenue Bonds	6.360	Oregon Hsg & Comm Svcs Dept	CO-MGR
11/20/13	GO Environmental Prog Ref Bonds	30.000	Michigan	SOLE
11/19/13	Revenue Bonds	55.410	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
11/19/13	Residential Finance Program Bonds	90.000	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
11/19/13	Residential Finance Program Bonds	31.300	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
11/15/13	Unlimited Tax School Bldg Bonds	67.435	Denton Co (Lewisville) ISD	CO-MGR
11/15/13	Unlimited Tax Refunding Bonds	25.640	Fort Bend Co (Lamar) CISD	SENIOR
11/15/13	Unlimited Tax Refunding Bonds	5.525	Waller ISD	SOLE
11/15/13	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	CO-MGR
11/15/13	General Obligation BANs	1.984	Maywood Borough-New Jersey	SOLE
11/14/13	Revenue Bonds	254.765	Colorado Health Facilities Auth	CO-MGR
11/14/13	Revenue Bonds	205.090	Chattanooga Hlth Ed & Hsg Fac Bd	CO-MGR
11/14/13	Revenue Bonds	78.535	Kentucky Economic Dev Fin Auth	CO-MGR
11/14/13	Revenue Bonds	62.210	Washington Health Care Facs Au	CO-MGR
11/14/13	General Obligation Bonds	100.000	California	SENIOR
11/14/13	General Obligation Bonds	100.000	California	CO-MGR
11/14/13	General Obligation Bonds	65.605	Charleston Co SD	SOLE
11/14/13	General Obligation Bonds	42.810	Vermont	SOLE
11/13/13	Revolving Fund Revenue Bonds	10.550	New Mexico Finance Auth (NMFA)	SENIOR
11/13/13	Revolving Fund Revenue Bonds	3.745	New Mexico Finance Auth (NMFA)	SENIOR
11/13/13	General Obligation Bonds	70.985	Oregon	CO-MGR
11/13/13	General Obligation Bonds	4.030	Oregon	CO-

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11/13/13	Future Tax Secured Sub Bonds	650.000	NYC Transitional Finance Auth	CO-MGR
11/13/13	Future Tax Secured Sub Bonds	125.000	NYC Transitional Finance Auth	SOLE
11/13/13	Federal Highway GANs	252.545	Massachusetts	CO-MGR
11/07/13	Special Revenue Bonds	548.900	Nevada	CO-MGR
11/07/13	GO TRANs	405.000	District of Columbia	SENIOR
11/07/13	GO Refunding Bonds	405.470	Wisconsin	CO-MGR
11/07/13	GO Qualified Energy Cons Bonds	2.470	Norfolk City-Virginia	CO-MGR
11/07/13	GO Cap Improvement & Ref Bonds	130.990	Norfolk City-Virginia	CO-MGR
11/07/13	GO Cap Improvement & Ref Bonds	81.715	Norfolk City-Virginia	CO-MGR
11/06/13	GO State Various Purpose Bonds	283.820	Minnesota	SOLE
11/06/13	GO School Building Ref Bonds	8.905	Chisago Co (Chisago Lakes) ISD #2144	SOLE
11/05/13	Various Purp & Sch Facs GO Bonds	1,647.490	California	CO-MGR
11/05/13	General Obligation Bonds	450.000	California	CO-MGR
11/01/13	Utility Sys Jr Lien Rev Imp Bonds	97.930	Corpus Christi City-Texas	CO-MGR
11/01/13	Unltd Tax School Bldg & Ref Bonds	45.625	Harris Co (Aldine) ISD	SENIOR
10/31/13	Single Family Housing Rev Bonds	50.000	Massachusetts Housing Fin Agcy	CO-MGR
10/31/13	General Obligation Bonds	37.750	New Haven City-Connecticut	CO-MGR
10/31/13	Certificates of Participation	32.870	San Francisco City/Co-California	SOLE
10/30/13	Housing Mortgage Fin Prog Bonds	156.550	Connecticut Housing Finance Auth	CO-MGR
10/30/13	Housing Mortgage Fin Prog Bonds	18.835	Connecticut Housing Finance Auth	CO-MGR
10/30/13	Health System Revenue Bonds	115.000	Geisinger Authority	SENIOR
10/30/13	BANs	7.500	Howell Twp-New Jersey	SOLE
10/29/13	General Obligation Bonds	162.690	Stratford Town-Connecticut	SENIOR
10/24/13	State Sales Tax Revenue Bonds	959.795	NYS Dorm Authority	CO-MGR
10/24/13	Revenue Bonds	1.150	Maine Municipal Bond Bank	SOLE
10/24/13	Junior Revenue Bonds	199.330	Battery Park City Authority	SOLE
10/24/13	General Obligation Bonds	560.430	Connecticut	CO-MGR
10/24/13	Bond Bank Bonds	13.525	Maine Municipal Bond Bank	SENIOR
10/23/13	Senior Revenue Bonds	356.085	Battery Park City Authority	CO-MGR
10/23/13	Senior Revenue Bonds	6.700	Battery Park City Authority	CO-MGR
10/22/13	School Building Revenue Bonds	5.870	Grayson Co SD Fin Corp	SOLE

10/22/13	GO Water BANs	11.760	Vance Co Water Dt	SOLE
10/21/13	Home Ownership Mtg Backed Sec	2.000	Utah Housing Corporation (UHC)	SOLE
10/17/13	Revenue Bonds	5.000	New Rochelle Local Dev Corp	SOLE
10/16/13	Teeter Obligation Notes	118.135	Riverside Co-California	SENIOR
10/16/13	Teeter Obligation Notes	1.635	Riverside Co-California	SENIOR
10/16/13	Refunding Bonds	38.355	Indianapolis Loc Pub Imp Bond Bank	SENIOR
10/16/13	General Obligation Bonds	716.920	New York City-New York	CO-MGR
10/16/13	General Obligation Bonds	175.675	New York City-New York	CO-MGR
10/15/13	Unlimited Tax Refunding Bonds	81.175	Bexar Co (Northside) ISD	SENIOR
10/15/13	Unlimited Tax Refunding Bonds	55.670	El Paso Co (Socorro) ISD	SENIOR
10/15/13	Refunding Revenue Bonds	187.990	Lower Colorado River Authority (LCRA)	CO-MGR
10/15/13	GO Temporary Notes	29.665	Wichita City-Kansas	SOLE
10/15/13	GO Temporary Notes	9.680	Wichita City-Kansas	SOLE
10/15/13	GO School Improvement Bonds	8.200	Waukesha Co (New Berlin) SD	SOLE
10/10/13	Water Revenue Bonds	30.000	Davis Public Facs Fin Auth	SOLE
10/10/13	Rental Housing Bonds	58.435	Virginia Housing Dev Auth (VHDA)	CO-MGR
10/10/13	General Obligation Bonds	10.000	Alcoa City-Tennessee	SOLE
10/10/13	General Obligation Bonds	8.265	Edina City-Minnesota	SOLE
10/10/13	GO Refunding Bonds	16.660	Stratford Town-Connecticut	SOLE
10/09/13	City Agreement Rev Ref Bonds	85.050	Philadelphia Municipal Authority	CO-MGR
10/08/13	Revenue Bonds	126.525	NYS Dorm Authority	CO-MGR
10/08/13	Revenue Bonds	32.280	NYS Dorm Authority	CO-MGR
10/03/13	Water Supply Revenue Bonds	35.000	Genesee Co-Michigan	CO-MGR
10/03/13	Special Purpose Revenue Bonds	158.980	Hawaii Dept of Budget & Finance	CO-MGR
10/03/13	Limited Obligation Bonds	28.965	Raleigh City-North Carolina	SENIOR
10/03/13	Hospital Revenue Ref & Imp Bonds	210.675	West Virginia Hospital Fin Auth	SENIOR
10/03/13	GO School Building Bonds	75.000	Utah Co (Alpine) SD BOE	SOLE
10/02/13	General Revenue Bonds	712.315	Regents of the Univ of California	CO-MGR
10/02/13	General Revenue Bonds	600.000	Regents of the Univ of California	CO-MGR
10/02/13	General Revenue Bonds	546.235	Regents of the Univ of California	CO-MGR
10/02/13	General Revenue Bonds	150.000	Regents of the Univ of California	SOLE
10/01/13	Waterworks & Sewer Sys Rev Bonds	18.255	Laredo City-Texas	SENIOR
10/01/13	Utilities System Imp Rev Bonds	127.560	Colorado Springs City-Colorado	CO-MGR
10/01/13	Tax Rev Certs & Pub Prop Oblig	20.090	Laredo City-Texas	SENIOR
10/01/13	Public Improvement Ref Bonds	71.455	Austin City-Texas	CO-

				MGR
10/01/13	Public Improvement Ref Bonds	43.250	Austin City-Texas	CO-MGR
10/01/13	Oil Franchise Tax Rev Ref Bonds	59.820	Pennsylvania Turnpike Commission	CO-MGR
10/01/13	Homes Ownership Mtg Backed Sec	2.000	Utah Housing Corporation (UHC)	SOLE
10/01/13	Homes Ownership Mtg Backed Sec	2.000	Utah Housing Corporation (UHC)	SOLE
10/01/13	Home Ownership Mtg Backed Sec	5.000	Utah Housing Corporation (UHC)	SOLE
10/01/13	Commonwealth Mortgage Bonds	101.914	Virginia Housing Dev Auth (VHDA)	CO-MGR
09/30/13	Temporary Notes	24.585	Topeka City-Kansas	SOLE
09/27/13	Subordinate Lien Revenue Bonds	57.485	M-S-R Public Power Agency	SOLE
09/26/13	Parking Facility Revenue Bonds	48.365	Florida Board of Governors	SOLE
09/25/13	General Obligation Bonds	40.355	New Haven City-Connecticut	CO-MGR
09/24/13	GO School Building Bonds	43.400	Bernalillo Co (Albuquerque) MSD #12	CO-MGR
09/24/13	GO Public Improvement Bonds	6.360	Danville City-Virginia	SOLE
09/20/13	Seaport Revenue & Ref Bonds	255.965	Miami-Dade Co-Florida	CO-MGR
09/20/13	Seaport Revenue & Ref Bonds	126.685	Miami-Dade Co-Florida	CO-MGR
09/20/13	GO School Ref & Improvement Bonds	5.450	Elizabethton City-Tennessee	SOLE
09/19/13	Mini-Bond Transaction	1.792	Colorado Hsg & Fin Au (CHFA)	SOLE
09/18/13	Certificates of Participation	19.290	Orange Co School Board	CO-MGR
09/15/13	Unlimited Tax School Bldg Bonds	31.915	Lubbock ISD	SENIOR
09/12/13	Water & Wastewater Rev Ref Bonds	178.735	Atlanta City-Georgia	SENIOR
09/12/13	Water & Sewerage Ref Rev Bonds	71.545	Cobb Co-Georgia	SOLE
09/12/13	Rutgers Univ GO Lease Rev Bonds	237.055	New Jersey Economic Dev Auth	CO-MGR
09/12/13	Revenue Bonds	110.175	New Jersey Hlth Care Fac Fin Au	CO-MGR
09/12/13	Higher Educ Facility Rev Bonds	52.395	Ohio Higher Ed Fac Commission	SENIOR
09/12/13	General Revenue Bonds	199.040	AB Won Pat Intl Airport Auth	CO-MGR
09/12/13	General Revenue Bonds	48.295	AB Won Pat Intl Airport Auth	CO-MGR
09/12/13	General Revenue Bonds	21.410	Univ of North Carolina Bd of Gov	SENIOR
09/12/13	General Revenue Bonds	2.000	Univ of North Carolina Bd of Gov	SOLE
09/11/13	State Univ Dorm Facs Rev Bonds	440.025	NYS Dorm Authority	CO-MGR
09/10/13	Electric Sys & Sub Revenue Bonds	197.165	Jacksonville Electric Authority	CO-MGR
09/10/13	Capital Fund Grant Prog Rev Bonds	533.915	NYC Housing Dev Corp	CO-MGR
09/10/13	Capital Fund Grant Prog Rev Bonds	122.170	NYC Housing Dev Corp	CO-MGR

09/05/13	Second Lien Revenue Bonds	9.645	Semitropic Improvement Dt	SOLE
09/05/13	Liqour Operation Revenue Bonds	220.660	Maine Municipal Bond Bank	SENIOR
09/05/13	General Revenue Bonds	146.410	University of Washington	CO-MGR
09/05/13	General Obligation Bonds	34.370	Charlotte City-North Carolina	SOLE
09/05/13	GO Refunding Bonds	104.250	Charlotte City-North Carolina	SENIOR
09/05/13	Airport Revenue Bonds	144.875	Charleston Co Airport Dt	CO-MGR
09/05/13	Airport Revenue Bonds	29.610	Charleston Co Airport Dt	CO-MGR
09/03/13	TRANs	7,200.000	Texas	SENIOR
09/01/13	Higher Education Revenue Bonds	100.000	Red River Education Finance Corp	CO-MGR
09/01/13	General Obligation CABs	.065	Andrews Co Hospital Dt	CO-MGR
09/01/13	General Obligation Bonds	19.435	Andrews Co Hospital Dt	CO-MGR
09/01/13	Comb Tax & Rev Certs of Oblig	51.335	Odessa City-Texas	CO-MGR
08/29/13	Special Revenue Bonds	45.125	El Paso Downtown Dev Corp	CO-MGR
08/29/13	Special Revenue Bonds	15.660	El Paso Downtown Dev Corp	CO-MGR
08/29/13	General Obligation Bonds	84.300	Connecticut	CO-MGR
08/29/13	General Obligation Bonds	77.495	Connecticut	CO-MGR
08/29/13	General Obligation Bonds	56.540	Connecticut	CO-MGR
08/29/13	General Obligation Bonds	45.645	Connecticut	CO-MGR
08/29/13	General Obligation Bonds	33.525	Connecticut	CO-MGR
08/29/13	General Obligation Bonds	33.140	Connecticut	CO-MGR
08/29/13	General Obligation Bonds	33.000	Connecticut	CO-MGR
08/29/13	General Obligation Bonds	29.615	Connecticut	CO-MGR
08/29/13	General Obligation Bonds	6.740	Connecticut	CO-MGR
08/28/13	Revenue Bonds	238.000	Maryland Hlth & Hghr Ed Facs Au	CO-MGR
08/27/13	Student Bldg Fee Revenue Bonds	43.310	Utah State Board of Regents	SOLE
08/27/13	Refunding Revenue Bonds	115.000	California Infrstr & Eco Dev Bank	SOLE
08/27/13	Refunding Revenue Bonds	78.000	California Infrstr & Eco Dev Bank	SENIOR
08/22/13	Veterans Bonds	74.998	Texas	CO-MGR
08/22/13	Veterans Bonds	74.997	Texas	CO-MGR
08/22/13	RANs	5,500.000	California	CO-

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08/22/13	Educational Facs Revenue Bonds	5.510	Indiana Finance Authority	SOLE
08/21/13	Revenue Obligations	641.385	SC Pub Svc Au (Santee Cooper)	CO-MGR
08/21/13	Revenue Obligations	450.000	SC Pub Svc Au (Santee Cooper)	CO-MGR
08/21/13	Revenue Obligations	250.000	SC Pub Svc Au (Santee Cooper)	CO-MGR
08/21/13	Power Revenue Bonds	673.145	Puerto Rico Electric Power Authority	CO-MGR
08/21/13	Medical Center Pooled Rev Bonds	618.630	Regents of the Univ of California	CO-MGR
08/21/13	Medical Center Pooled Rev Bonds	31.300	Regents of the Univ of California	CO-MGR
08/21/13	General Obligation Bonds	55.545	Washington	SOLE
08/20/13	Affordable Housing Revenue Bonds	36.085	NYS Housing Fin-Mortgage Agcy	CO-MGR
08/15/13	Turnpike Sr & Jr Lien Rev Bonds	782.765	Ohio Turnpike & Infrastructure Comm	CO-MGR
08/15/13	Turnpike Jr Lien Revenue Bonds	145.000	Ohio Turnpike & Infrastructure Comm	CO-MGR
08/15/13	Turnpike Jr Lien Revenue Bonds	140.543	Ohio Turnpike & Infrastructure Comm	CO-MGR
08/15/13	TRANs	90.000	San Francisco City & Co USD	SOLE
08/15/13	Revenue Financing System Bonds	239.965	Texas A&M University System	SENIOR
08/15/13	Revenue Financing System Bonds	94.365	Texas A&M University System	SENIOR
08/15/13	Revenue Bonds	57.865	Georgia Priv College & Univ Auth	SOLE
08/15/13	Revenue Bonds	13.920	Build NYC Resource Corp	SOLE
08/15/13	Revenue Bonds	2.500	Build NYC Resource Corp	SOLE
08/15/13	General Obligation Bonds	375.000	New York City-New York	CO-MGR
08/15/13	General Obligation Bonds	372.520	New York City-New York	CO-MGR
08/15/13	General Obligation Bonds	134.840	New York City-New York	CO-MGR
08/15/13	GO Refunding Bonds	24.285	El Paso City-Texas	CO-MGR
08/15/13	Emory University Revenue Bonds	205.900	Georgia Priv College & Univ Auth	CO-MGR
08/15/13	Emory University Revenue Bonds	135.100	Georgia Priv College & Univ Auth	SENIOR
08/14/13	Hospital Revenue Bonds	62.500	Colorado Health Facilities Auth	SOLE
08/14/13	Hospital Revenue Bonds	55.180	Colorado Health Facilities Auth	SOLE
08/08/13	Water & Sewer System Rev Bonds	29.710	Jacksonville Electric Authority	CO-MGR
08/08/13	Water & Sewer Sys & Sub Rev Bonds	173.925	Jacksonville Electric Authority	CO-MGR
08/07/13	TANs	295.000	Harris Co-Texas	SENIOR
08/07/13	Systemwide Revenue Bonds	308.855	California State Univ Trustees	CO-MGR
08/06/13	SF Bay Area Toll Bridge Rev Bonds	900.000	Bay Area Toll Authority (BATA)	CO-

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08/06/13	GO Refunding Bonds	42.615	Dallas City-Texas	CO-MGR
08/06/13	GO Refunding & Improvement Bonds	194.470	Dallas City-Texas	CO-MGR
08/02/13	Water & Sewer Sys Rev & Ref Bonds	492.665	Miami-Dade Co-Florida	CO-MGR
08/01/13	Unlimited Tax Sch Building Bonds	19.190	Yoakum Co (Denver City) ISD	CO-MGR
08/01/13	Subordinated Tier Toll Rev Bonds	361.810	Grand Parkway Transport Corp	CO-MGR
08/01/13	Sub Tier Toll Rev Tender Bonds	836.440	Grand Parkway Transport Corp	CO-MGR
08/01/13	Sub Tier Toll Rev Tender Bonds	106.890	Grand Parkway Transport Corp	CO-MGR
08/01/13	Sub Tier Toll Rev Convert CABs	276.999	Grand Parkway Transport Corp	CO-MGR
08/01/13	State Revolving Funds Rev Bonds	166.585	NYS Environmental Facs Corp	CO-MGR
08/01/13	Multi-Family Hsg Rev Ref Bonds	10.600	Maple Grove City-Minnesota	SOLE
08/01/13	Multi-Family Hsg Rev Ref Bonds	10.570	Mendota Heights City-Minnesota	SOLE
08/01/13	Mass Trans Sales Tax Approp Bonds	381.225	Missouri-Illinois Met Dt Bi-St Dev Agy	CO-MGR
08/01/13	Housing Bonds	38.160	Massachusetts Housing Fin Agcy	CO-MGR
08/01/13	Hospital Rev Refunding Bonds	105.445	Miami-Dade Co Health Facs Auth	CO-MGR
08/01/13	Home Ownership Mtg Backed Secs	4.713	Minnesota Housing Fin Agency	SOLE
08/01/13	Home Ownership Mtg Backed Sec	24.471	Minnesota Housing Fin Agency	SOLE
08/01/13	General Revenue Bonds	78.325	Univ of Alabama Board of Trustees	SOLE
08/01/13	General Revenue Bonds	20.425	Univ of Alabama Board of Trustees	SOLE
08/01/13	General Obligation CABs	1.250	Seminole Hospital Dt	CO-MGR
08/01/13	General Obligation Bonds	41.970	Seminole Hospital Dt	CO-MGR
08/01/13	General Obligation Bonds	5.250	Seminole Hospital Dt	CO-MGR
08/01/13	First & Sub Tier Toll Rev Bonds	1,337.935	Grand Parkway Transport Corp	CO-MGR
07/31/13	Second Series Revenue Bonds	360.785	San Francisco City & Co Airport Comm	CO-MGR
07/31/13	Second Series Revenue Bonds	87.860	San Francisco City & Co Airport Comm	CO-MGR
07/31/13	Second Series Revenue Bonds	12.480	San Francisco City & Co Airport Comm	CO-MGR
07/31/13	Revenue Bonds	47.340	Swarthmore Borough Authority	CO-MGR
07/31/13	Limited Obligation Bonds	26.365	Johnston Co-North Carolina	CO-MGR
07/31/13	General Obligation Bonds	172.660	University of Connecticut	CO-MGR

07/31/13	GO Refunding Bonds	51.250	University of Connecticut	CO-MGR
07/30/13	TANs	4.000	Lacey-New Jersey	SOLE
07/30/13	General Obligation Bonds	208.360	Philadelphia City-Pennsylvania	CO-MGR
07/25/13	Hospital Revenue Bonds	189.065	Tarrant Co Cult Ed Fac Fin Corp	CO-MGR
07/25/13	BANs	7.977	Pequannock Twp-New Jersey	SOLE
07/24/13	Ref Certificates of Participation	111.780	Colorado	SENIOR
07/24/13	Higher Ed Facility Rev Bonds	43.610	Ohio Higher Ed Fac Commission	CO-MGR
07/23/13	Water BANs	3.615	Edgecombe Water & Sewer Dt #4	SOLE
07/23/13	Severance Tax Bonds	157.560	New Mexico	SOLE
07/18/13	Revenue Refunding Bonds	51.710	Michigan State Building Auth	CO-MGR
07/18/13	Revenue & Revenue Refunding Bonds	531.290	Michigan State Building Auth	CO-MGR
07/17/13	Tax Increment Bonds	6.315	Rock Hill City-South Carolina	SOLE
07/17/13	Tax Increment Bonds	3.360	Rock Hill City-South Carolina	SOLE
07/17/13	GO Refunding Bonds	17.650	Santa Barbara Co (Goleta) USD	SOLE
07/16/13	Transit Excise Tax Rev Ref Bonds	328.920	Phoenix City Civic Imp Corp	CO-MGR
07/15/13	Unltd Tax School Building Bonds	56.795	Potter Co (Amarillo) ISD	CO-MGR
07/15/13	Unlimited Tax School Bldg Bonds	152.020	Smith Co (Tyler) ISD	CO-MGR
07/15/13	Unlimited Tax School Bldg Bonds	16.780	Hays Co (Wimberley) ISD	SENIOR
07/15/13	Unlimited Tax School Bldg Bonds	8.619	Hays Co (Wimberley) ISD	SENIOR
07/15/13	Unlimited Tax Sch Building Bonds	50.185	Midland Co (Greenwood) ISD	CO-MGR
07/15/13	Unlimited Tax Sch Building Bonds	12.885	McLennan Co (McGregor) ISD	SENIOR
07/15/13	Qual School Construction Bonds	5.455	McLennan Co (McGregor) ISD	SENIOR
07/15/13	GO Ref & Comb Tax Certs of Oblig	26.510	New Braunfels City-Texas	CO-MGR
07/15/13	Certificates of Obligation	41.080	Beaumont City-Texas	SENIOR
07/15/13	Certificates of Obligation	18.000	Webb Co-Texas	CO-MGR
07/11/13	Transportation Revenue Bonds	333.790	Metropolitan Transport Auth (MTA)	CO-MGR
07/11/13	General Revenue Bonds	36.900	Univ of North Carolina Bd of Gov	SENIOR
07/11/13	Clean Wtr Drink Revolv Rev Bonds	401.090	NYS Environmental Facs Corp	CO-MGR
07/11/13	Airport System Revenue Ref Bonds	27.405	Metro Washington Airports Auth	SENIOR
07/11/13	Airport System Revenue Ref Bonds	11.005	Metro Washington Airports Auth	SENIOR
07/11/13	Airport System Rev & Ref Bonds	207.205	Metro Washington Airports Auth	SENIOR
07/10/13	TRANs	65.000	St Louis City-Missouri	SENIOR
07/10/13	General Obligation Bonds	1,300.000	Illinois	SENIOR
07/10/13	General Fund TRANs	500.000	Colorado	SENIOR

07/10/13	GO Refunding Bonds	29.520	Crow Wing Co-Minnesota	SOLE
07/10/13	Educational Facilities Rev Bonds	34.960	Lexington Ind Dev Auth	SOLE
07/02/13	TRANs	180.000	Houston City-Texas	SENIOR
07/02/13	Senior Dedicated Sales Tax Bonds	549.000	Massachusetts School Bldg Auth	CO-MGR
07/02/13	Pub Ed Capital Outlay Ref Bonds	297.965	Florida State BOE	SOLE
07/02/13	General Obligation Bonds	32.310	St Louis Co (Hazelwood) SD	CO-MGR
07/02/13	GO Refunding Bonds	4.985	St Louis Co (Hazelwood) SD	CO-MGR
07/02/13	GO Consolidated Loan & Ref Bonds	669.045	Massachusetts	CO-MGR
07/02/13	GO Capital Appreciation Bonds	7.689	St Louis Co (Hazelwood) SD	CO-MGR
07/01/13	Unlimited Tax Schoolhouse Bonds	91.100	Harris Co (Goose Creek) CISD	CO-MGR
07/01/13	Unlimited Tax Sch Building Bonds	103.000	Fort Bend Co (Katy) ISD	CO-MGR
07/01/13	TRANs	150.000	San Bernardino Co-California	SOLE
07/01/13	TRANs	115.210	San Diego Co SD	CO-MGR
07/01/13	Gen Imp & Comb Tax & Rev Certs	129.580	San Antonio City-Texas	SENIOR
07/01/13	GO Refunding Bonds	134.100	Rutgers State University	CO-MGR
07/01/13	GO & Refunding Bonds	692.990	Rutgers State University	CO-MGR
07/01/13	Construction Bonds	125.000	Pulaski Co (No Little Rock) SD #1	SOLE
06/28/13	GO School Building & Site Bonds	40.090	Jackson Co (Northwest) CSD	SOLE
06/27/13	Wtr Swr Sys 2nd Gen Res Rev Bonds	344.335	NYC Municipal Water Fin Auth	CO-MGR
06/27/13	Sales Tax Revenue Bonds	2.831	Bay City Community Dev Corp	SOLE
06/27/13	Revenue Bonds	24.400	Athens-Clarke Co Dev Auth	SENIOR
06/27/13	Residential Mortgage Rev Bonds	22.000	Ohio Housing Finance Agency (OHFA)	CO-MGR
06/27/13	Ref Certificates of Participation	56.465	Arizona Board of Regents	CO-MGR
06/27/13	Ref Certificates of Participation	8.315	Arizona Board of Regents	CO-MGR
06/27/13	Multi-Family Housing Rev Bonds	207.705	NYC Housing Dev Corp	CO-MGR
06/27/13	Multi-Family Housing Rev Bonds	49.110	NYC Housing Dev Corp	SOLE
06/27/13	GO Pub Improvement & Ref Bonds	57.600	Portsmouth City-Virginia	CO-MGR
06/27/13	GO & Refunding Bonds	209.475	Portsmouth City-Virginia	CO-MGR
06/27/13	Certificates of Participation	41.880	Osceola Co School Board	SENIOR
06/27/13	Affordable Housing Rev Bonds	41.270	NYS Housing Fin-Mortgage Agcy	SENIOR
06/27/13	Affordable Housing Rev Bonds	20.330	NYS Housing Fin-Mortgage Agcy	SENIOR
06/26/13	Sr Single Family Mtg Rev Bonds	49.930	Delaware State Housing Authority	CO-MGR

06/26/13	Rev Certificates of Participation	29.610	Rosedale-Rio Bravo Wtr Storage Dt	SOLE
06/25/13	Ltd Tax General Obligation Bonds	8.145	Bothell City-Washington	SOLE
06/25/13	Ltd Tax General Obligation Bonds	1.520	Bothell City-Washington	SOLE
06/25/13	GO School Bldg Refunding Bonds	28.635	Ramsey Co (St Paul) ISD #625	SOLE
06/20/13	Speed Revenue Bonds	75.190	Arizona Board of Regents	CO-MGR
06/20/13	Sewer Sys Ltd Tax GO Ref Bonds	51.015	Greene Co-Ohio	SOLE
06/20/13	Hospital Revenue Ref Bonds	26.790	Sweetwater Co-Wyoming	SOLE
06/20/13	Future Tax Secured Sub Bonds	800.000	NYC Transitional Finance Auth	CO-MGR
06/20/13	Facility Revenue Bonds	21.290	District Energy Corporation	CO-MGR
06/20/13	Electric System Rev & Ref Bonds	75.525	Lincoln City-Nebraska	CO-MGR
06/20/13	Airport Revenue Refunding Bonds	31.460	St Louis City-Missouri	SENIOR
06/19/13	Lease Revenue Refunding Bonds	30.445	San Jose Financing Authority	CO-MGR
06/19/13	District Energy Sys Ref Rev Bonds	43.330	Jacksonville Electric Authority	CO-MGR
06/18/13	Revenue Bonds	100.000	Wisconsin Health and Ed Fac Auth	CO-MGR
06/18/13	Revenue Bonds	100.000	Wisconsin Health and Ed Fac Auth	CO-MGR
06/18/13	Revenue Bonds	80.465	Wisconsin Health and Ed Fac Auth	SOLE
06/13/13	Lease Rental Revenue Bonds	30.290	Maine Governmental Facs Auth	CO-MGR
06/13/13	Housing Bonds	116.820	Massachusetts Housing Fin Agcy	CO-MGR
06/13/13	General Obligation Bonds	151.090	Maricopa Co Community College Dt	SOLE
06/12/13	Unltd Tax School Building Bonds	17.633	Bexar Co (Southwest) ISD	CO-MGR
06/12/13	Mortgage Revenue Bonds	61.300	Oregon Hsg & Comm Svcs Dept	CO-MGR
06/12/13	Mortgage Revenue Bonds	29.095	Oregon Hsg & Comm Svcs Dept	CO-MGR
06/12/13	Mortgage Revenue Bonds	21.885	Oregon Hsg & Comm Svcs Dept	CO-MGR
06/11/13	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	CO-MGR
06/11/13	General Obligation Bonds	948.760	New York City-New York	CO-MGR
06/06/13	Revenue Bonds	10.000	Illinois Finance Authority	SOLE
06/06/13	Ltd Obligation Refunding Bonds	21.310	Randolph Co-North Carolina	SENIOR
06/06/13	BANs	9.800	Atlantic Highlands Boro-New Jersey	SOLE
06/05/13	Revenue Bonds	48.625	California Educational Facs Auth	SOLE
06/05/13	Revenue Bonds	6.370	California Educational Facs Auth	SOLE
06/05/13	Infrastructure Revenue Bonds	92.810	Virginia Resources Auth (VRA)	CO-MGR
06/04/13	Ref Certificates of Participation	20.285	Alachua Co School Board	SENIOR

06/04/13	Ltd GO Improvement & Ref Bonds	55.075	Seattle City-Washington	SOLE
06/03/13	Single Family Mortgage Ref Bonds	106.400	Utah Housing Corporation (UHC)	SENIOR
06/01/13	Water System Revenue Ref Bonds	35.860	West Harris Co Regional Wtr Au	CO-MGR
06/01/13	Unlimited Tax Refunding Bonds	40.360	Cameron Co (Brownsville) ISD	SENIOR
06/01/13	Unlimited Tax Refunding Bonds	1.940	Cameron Co (Brownsville) ISD	SENIOR
06/01/13	General Improvement Bonds	82.025	Corpus Christi City-Texas	CO-MGR
05/30/13	Subordinate Lien Ref Rev Bonds	50.000	Sacramento Co Sanit Dt Fin Au	SOLE
05/30/13	SPEED Revenue Bonds	70.125	Arizona Board of Regents	SENIOR
05/30/13	Residential Finance Program Bonds	187.985	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
05/30/13	Residential Finance Program Bonds	27.920	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
05/30/13	Ref Certificates of Participation	128.245	Charlotte City-North Carolina	SOLE
05/30/13	Homeownership Mortgage Bonds	18.400	South Dakota Housing Dev Auth	CO-MGR
05/30/13	Homeownership Mortgage Bonds	15.860	South Dakota Housing Dev Auth	CO-MGR
05/29/13	Hospital Revenue Bonds	75.425	Ohio Higher Ed Fac Commission	SOLE
05/29/13	Hospital Revenue Bonds	62.650	Ohio Higher Ed Fac Commission	CO-MGR
05/29/13	Hospital Revenue Bonds	42.795	Ohio Higher Ed Fac Commission	CO-MGR
05/28/13	Lease Revenue Refunding Bonds	305.535	San Jose Financing Authority	CO-MGR
05/28/13	GO Water BANs	4.766	Nash Co Central Nash Wtr & Swr Dt	SOLE
05/24/13	BANs	5.840	Lodi Borough-New Jersey	SOLE
05/23/13	Unlimited Tax Refunding Bonds	34.215	Dallas Co Util & Reclamation Dt	SENIOR
05/23/13	School Technology & Security Note	58.355	Virginia Public School Authority	SOLE
05/23/13	Revenue Bonds	64.030	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
05/23/13	Revenue Bonds	15.905	Maine Municipal Bond Bank	SENIOR
05/23/13	Refunding Revenue Bonds	20.490	Peach Co Development Auth	SOLE
05/23/13	Health Care Rev & Ref Rev Bonds	127.260	Charlotte-Mecklenburg Hosp Auth	SENIOR
05/21/13	Revenue Bonds	149.760	Maryland Hlth & Hghr Ed Facs Au	CO-MGR
05/21/13	Hospital Revenue Bonds	116.705	Indiana Finance Authority	SENIOR
05/21/13	Hospital Revenue Bonds	46.130	St Joseph Co Hospital Authority	SENIOR
05/21/13	GO Refunding Bonds	30.695	Charleston Co-South Carolina	SOLE
05/21/13	Build Illinois SalesTax Rev Bonds	300.000	Illinois	SOLE
05/16/13	Toll Highway Senior Rev Bonds	500.000	Illinois State Toll Highway Auth	CO-MGR
05/16/13	Revenue Refunding Bonds	21.100	Athens-Clarke Co Unified Govt	SENIOR
05/16/13	Pub Imp Ref & Certs of Oblig Bond	274.370	Houston City-Texas	CO-MGR
05/16/13	Ltd Tax Pub Improvement Ref Bonds	76.530	Houston City-Texas	CO-MGR

05/16/13	Electric Sys Revenue & Sub Bonds	96.225	Jacksonville Electric Authority	SENIOR
05/16/13	Comb Enterprise Rev & Ref Bonds	179.675	Raleigh City-North Carolina	CO-MGR
05/15/13	Unlimited Tax Refunding Bonds	73.625	Tarrant Co (Arlington) ISD	SENIOR
05/15/13	Unlimited Tax Refunding Bonds	19.040	Collin Co (Frisco) ISD	CO-MGR
05/15/13	Unlimited Tax Refunding Bonds	2.857	Tarrant Co (Arlington) ISD	SENIOR
05/15/13	School Building & Refunding Bonds	66.785	Collin Co (Frisco) ISD	CO-MGR
05/15/13	School Building & Refunding Bonds	1.687	Collin Co (Frisco) ISD	CO-MGR
05/15/13	Revenue Bonds	300.625	California Educational Facs Auth	CO-MGR
05/15/13	Multi Modal Revenue Bonds	33.130	Loudoun Co Industrial Dev Au	SOLE
05/15/13	General Fund TANs	200.000	Fulton Co-Georgia	SOLE
05/15/13	GO Prom Notes & Corp Purp Bonds	56.830	Milwaukee City-Wisconsin	SOLE
05/15/13	GO Prom Notes & Corp Purp Bonds	34.775	Milwaukee City-Wisconsin	SOLE
05/15/13	Auxiliary Facs Sys Rev Ref Bonds	212.540	Univ of Illinois Bd of Trustees	SOLE
05/14/13	Revenue Bonds	118.000	Baptist Health Care Auth	CO-MGR
05/10/13	Limited Obligation Bonds	15.160	Rock Hill City-South Carolina	SOLE
05/09/13	Turnpike Revenue Refunding Bonds	183.140	Florida Dept of Transportation	SOLE
05/09/13	Revenue Bonds	60.675	Massachusetts Dev Finance Agcy	CO-MGR
05/09/13	Installment Purch Rev Ref Bonds	343.420	Charleston Ed Excellence Fin Corp	SENIOR
05/09/13	General Obligation BANs	31.300	Charleston Co SD	SOLE
05/08/13	Refunding Bonds	29.525	New Jersey Hlth Care Fac Fin Au	SOLE
05/08/13	Ltd Obligation Refunding Bonds	21.555	Randolph Co-North Carolina	SENIOR
05/07/13	Health Care Facs Rev & Ref Bonds	152.400	North Carolina Medical Care Commiss	CO-MGR
05/07/13	Health Care Facs Rev & Ref Bonds	146.365	Prince William Co Industrial Dev Auth	CO-MGR
05/07/13	Certificates of Participation	170.985	Charlotte City-North Carolina	CO-MGR
05/07/13	Certificates of Participation	35.575	San Francisco City/Co-California	SOLE
05/02/13	GO BANs	17.555	Milford City-Connecticut	SOLE
05/02/13	2nd Lien Wtr Sys Rev & Ref Bonds	253.635	Portland City-Oregon	CO-MGR
05/01/13	Utility System Revenue Ref Bonds	118.185	Brownsville City-Texas	CO-MGR
05/01/13	Unltd Tax School Building Bonds	64.195	Bexar Co (Southwest) ISD	CO-MGR
05/01/13	Unlimited Tax Refunding Bonds	145.845	Harris Co (Cypress-Fairbanks) ISD	SENIOR
05/01/13	Unlimited Tax Refunding Bonds	56.075	Ellis Co (Midlothian) ISD	CO-MGR
05/01/13	Unlimited Tax Refunding Bonds	.283	Ellis Co (Midlothian) ISD	CO-MGR
05/01/13	Solid Waste Disposal Rev Bonds	33.565	Trinity River Authority	SOLE
05/01/13	Single Family Mortgage Rev Bonds	127.905	Pennsylvania Housing Fin Agency	CO-

				MGR
05/01/13	Single Family Mortgage Rev Bonds	10.000	Pennsylvania Housing Fin Agency	CO-MGR
05/01/13	Sewerage System Rev Ref Bonds	30.230	Buncombe Co Metro Sewerage Dt	SENIOR
05/01/13	Revenue Bonds	95.000	Allen Co-Ohio	SOLE
05/01/13	Refunding Bonds	12.810	Ellis Co (Midlothian) ISD	CO-MGR
05/01/13	Ref Certificates of Participation	91.320	Brevard Co School Board	CO-MGR
05/01/13	Ref Certificates of Participation	50.900	Brevard Co School Board	CO-MGR
05/01/13	Lease Revenue Refunding Bonds	325.985	California St Public Works Board	SENIOR
05/01/13	Lease Revenue Bonds	59.385	California St Public Works Board	SENIOR
05/01/13	Health Facilities Revenue Bonds	120.265	Cape Girardeau Co Indus Dev Auth	CO-MGR
05/01/13	Health Facilities Revenue Bonds	40.290	Cape Girardeau Co Indus Dev Auth	SOLE
05/01/13	Certificates of Participation	68.230	Miami-Dade Co School Board	CO-MGR
05/01/13	Certificates of Participation	8.160	Miami-Dade Co School Board	CO-MGR
05/01/13	Academic & Admin Facs Rev Bonds	24.835	College of Charleston	SOLE
04/30/13	Revenue Bonds	49.885	Massachusetts Clean Energy Coop Corp	SOLE
04/30/13	Power Supply System Rev Bonds	58.450	Connecticut Muni Elec Energy Coop	CO-MGR
04/30/13	Power Supply System Rev Bonds	16.715	Connecticut Muni Elec Energy Coop	CO-MGR
04/25/13	Transportation System Bonds	538.845	New Jersey Trans Trust Fund Au	CO-MGR
04/25/13	Transportation System Bonds	338.220	New Jersey Trans Trust Fund Au	CO-MGR
04/25/13	Mortgage Revenue Bonds	87.920	NYS Housing Fin-Mortgage Agcy	CO-MGR
04/25/13	Everglades Restoration Rev Bonds	46.445	Florida Dept of Environ Protect	SOLE
04/24/13	Unlimited Tax Sch Bldg &Ref Bonds	70.175	Midland ISD	CO-MGR
04/24/13	Health Care Facs Revenue Bonds	17.260	Rochester City-Minnesota	SOLE
04/24/13	Airport Revenue Refunding Bonds	21.870	Virginia Capital Region Airport Comm	CO-MGR
04/23/13	Revenue Bonds	2,000.000	Florida Hurricane Catastrophe Fin	CO-MGR
04/23/13	Pollution Control Revenue Bonds	114.565	Burke Co Development Authority	CO-MGR
04/23/13	Pollution Control Revenue Bonds	57.665	Monroe Co Development Authority	CO-MGR
04/23/13	Pollution Control Revenue Bonds	40.530	Appling Co Development Auth	CO-MGR
04/23/13	Hospital Revenue Bonds	196.400	Harris Co Health Facs Dev Corp	SENIOR
04/23/13	GO Various Purpose Bonds	1,135.485	California	CO-MGR
04/23/13	GO Various Purpose & Ref Bonds	1,494.510	California	CO-

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04/23/13	Certs of Participation Ref Bonds	536.855	Denver City and Co SD #1	CO-MGR
04/18/13	Futured Tax Secured Sub Bonds	901.025	NYC Transitional Finance Auth	SENIOR
04/17/13	Utility System Revenue Ref Bonds	21.085	Lansing Board of Water & Light	CO-MGR
04/17/13	GO Refunding Bonds	5.130	Davie Co-North Carolina	SOLE
04/16/13	BANs	3.081	Phillipsburg-New Jersey	SOLE
04/11/13	Refunding Limited Oblig Bonds	9.400	Western Carolina University	SOLE
04/11/13	General Obligation Bonds	48.160	Hartford City-Connecticut	SENIOR
04/11/13	General Obligation BANs	64.650	Hartford City-Connecticut	SOLE
04/11/13	GO Refunding Bonds	124.605	Hartford City-Connecticut	SENIOR
04/10/13	Ltd Obligation Refunding Bonds	25.195	Randolph Co-North Carolina	SENIOR
04/10/13	Hospital Revenue Refunding Bonds	41.435	Harris Co Cult Ed Facs Fin Corp	SOLE
04/09/13	TRANs	60.000	Sacramento City USD	SOLE
04/09/13	BANs	4.000	Willingboro Twp-New Jersey	SOLE
04/04/13	Turnpike Revenue Bonds	1,400.000	New Jersey Turnpike Authority	CO-MGR
04/04/13	Certificates of Participation	40.975	Charlotte City-North Carolina	SENIOR
04/02/13	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	CO-MGR
04/02/13	Revenue Bonds	247.280	Maryland Hlth & Hghr Ed Facs Au	CO-MGR
04/02/13	Revenue Bonds	115.055	Maryland Hlth & Hghr Ed Facs Au	CO-MGR
04/02/13	Power System Revenue Bonds	527.310	Los Angeles Dept of Wtr & Power	SENIOR
04/01/13	Var Rate Refunding Rev Bonds	81.490	California Infrstr & Eco Dev Bank	SOLE
04/01/13	Unltd Tax Schoolhouse & Ref Bonds	106.785	Harris Co (Klein) ISD	CO-MGR
04/01/13	Transmission Contract Ref Bonds	197.425	Lower Colorado River Authority (LCRA)	CO-MGR
03/28/13	Utility Revenue Bonds	26.065	Florida Governmental Utility Authority	SOLE
03/28/13	Utility Revenue Bonds	19.675	Florida Governmental Utility Authority	SOLE
03/28/13	Utility Revenue Bonds	17.495	Florida Governmental Utility Authority	SOLE
03/28/13	Utility Revenue Bonds	1.260	Florida Governmental Utility Authority	SOLE
03/28/13	Utility Revenue Bonds	.595	Florida Governmental Utility Authority	SOLE
03/28/13	Utility Revenue Bonds	.465	Florida Governmental Utility Authority	SOLE
03/28/13	Limited Obligation Bonds	112.470	Durham Capital Financing Corp	SENIOR
03/28/13	Lease Revenue Bonds	342.360	California St Public Works Board	CO-MGR
03/28/13	Lease Revenue Bonds	77.370	California St Public Works Board	CO-MGR
03/28/13	Lease Revenue Bonds	15.105	California St Public Works Board	CO-MGR
03/28/13	Hospital Revenue Refunding Bonds	321.940	Harris Co Cult Ed Facs Fin Corp	CO-MGR
03/28/13	Health System Bonds	112.045	NYC Health & Hosp Corp	CO-MGR

03/28/13	General Revenue Pledge Ref Bonds	168.830	Univ of Virginia Rector & Visitors	CO-MGR
03/28/13	General Obligation Bonds	177.495	Portland Community College Dt	SOLE
03/28/13	General Obligation Bonds	155.430	Connecticut	CO-MGR
03/28/13	General Obligation Bonds	95.290	Connecticut	CO-MGR
03/28/13	General Obligation Bonds	45.910	Connecticut	CO-MGR
03/28/13	General Obligation Bonds	41.095	Connecticut	CO-MGR
03/28/13	General Obligation Bonds	31.775	Connecticut	CO-MGR
03/28/13	General Obligation Bonds	30.500	Connecticut	CO-MGR
03/28/13	BANs	3.809	Vernon Twp-New Jersey	SOLE
03/27/13	Various Purpose GO Bonds	542.635	California	CO-MGR
03/27/13	Various Purpose GO Bonds	505.935	California	CO-MGR
03/27/13	Various Purpose GO Bonds	364.260	California	CO-MGR
03/27/13	Various Purp GO Refunding Bonds	1,058.860	California	CO-MGR
03/27/13	Single Family Program Bonds	41.085	Washington State Hsg Fin Comm	CO-MGR
03/27/13	Single Family Program Bonds	21.430	Washington State Hsg Fin Comm	CO-MGR
03/27/13	General Revenue Refunding Bonds	170.635	Massachusetts Water Resources Au	CO-MGR
03/26/13	Sales Tax Revenue Refunding Bonds	96.580	Colorado Reg Transportation Dt	CO-MGR
03/26/13	Housing Bonds	101.500	Massachusetts Housing Fin Agcy	CO-MGR
03/21/13	Wtr Swr Sys 2nd Gen Res Rev Bonds	543.300	NYC Municipal Water Fin Auth	CO-MGR
03/21/13	Single Family Housing Rev Bonds	64.975	Massachusetts Housing Fin Agcy	CO-MGR
03/21/13	Single Family Housing Rev Bonds	59.740	Massachusetts Housing Fin Agcy	CO-MGR
03/21/13	Single Family Housing Rev Bonds	25.285	Massachusetts Housing Fin Agcy	CO-MGR
03/21/13	Revenue Bonds	117.785	Maryland Hlth & Hghr Ed Facs Au	CO-MGR
03/21/13	BANs	8.000	Atlantic Highlands Boro-New Jersey	SOLE
03/20/13	Ref Certificates of Participation	77.255	St Lucie Co School Board	SENIOR
03/20/13	Project Revenue Bonds	212.585	Univ of Massachusetts Bldg Au	CO-MGR
03/20/13	Project Revenue Bonds	71.790	Univ of Massachusetts Bldg Au	CO-MGR
03/20/13	GO Qual Pub & Water Imp Ref Bonds	36.720	Jersey City-New Jersey	SENIOR

03/20/13	Clean Water Revenue Ref Bonds	82.845	Wisconsin	CO-MGR
03/19/13	University Revenue Bonds	39.395	Allegheny Co Higher Ed Bldg Auth	CO-MGR
03/19/13	State Personal Inc Tax Rev Bonds	572.390	Empire State Development Corp	SENIOR
03/19/13	State Personal Inc Tax Rev Bonds	70.030	Empire State Development Corp	SENIOR
03/19/13	Refunding Ltd Obligation Bonds	29.310	Union Co-North Carolina	SENIOR
03/19/13	Ltd Tax General Obligation Bonds	398.775	Houston Community College (HCC)	CO-MGR
03/19/13	General Obligation Bonds	872.510	New York City-New York	CO-MGR
03/19/13	GO Refunding Bonds	42.890	Union Co-North Carolina	CO-MGR
03/15/13	Unlimited Tax Refunding Bonds	50.110	Tarrant Co (Grapevine-Colleyville) ISD	CO-MGR
03/15/13	Unlimited Tax Refunding Bonds	32.200	Harris Co (Humble) ISD	CO-MGR
03/15/13	Unlimited Tax Refunding Bonds	16.130	Tarrant Co (Grapevine-Colleyville) ISD	CO-MGR
03/14/13	Revenue Bonds	48.265	Wisconsin Health and Ed Fac Auth	SOLE
03/14/13	Industrial Development Rev Bonds	90.745	Pima Co Industrial Dev Auth	CO-MGR
03/14/13	General Revenue Bonds	805.905	Regents of the Univ of California	SENIOR
03/14/13	General Revenue Bonds	501.170	Regents of the Univ of California	SENIOR
03/14/13	General Revenue Bonds	286.515	Regents of the Univ of California	CO-MGR
03/14/13	GO Sewer Utility BANs	1.591	Aberdeen Twp-New Jersey	SOLE
03/13/13	General Obligation TANs	107.000	Anchorage City-Alaska	SOLE
03/12/13	Revenue Bonds	22.780	Colorado Ed and Culture Fac Auth	SOLE
03/08/13	Multi-Family Housing Rev Bonds	102.825	NYC Housing Dev Corp	CO-MGR
03/08/13	Multi-Family Housing Rev Bonds	2.060	NYC Housing Dev Corp	CO-MGR
03/07/13	Water & Sewer Sys Rev Ref Bonds	68.410	Henrico Co-Virginia	CO-MGR
03/07/13	Stud Recreation & Act Ctr Bonds	43.290	Cobb Co Development Auth	CO-MGR
03/06/13	Rev Ref & Improvement Bonds	79.640	Manatee Co-Florida	SENIOR
03/06/13	General Revenue Bonds	141.650	Univ of North Carolina Bd of Gov	SENIOR
03/06/13	General Revenue Bonds	132.440	Univ of North Carolina Bd of Gov	SENIOR
03/06/13	General Obligation Bonds	490.000	Santa Clara Co-California	SOLE
03/05/13	General Obligation Bonds	26.400	Walker Co-Georgia	SOLE
03/01/13	Wtr Swr Sys 2nd Gen Res Rev Bonds	455.955	NYC Municipal Water Fin Auth	CO-MGR
03/01/13	Unlimited Tax Sch Bldg &Ref Bonds	226.360	Harris Co (Pasadena) ISD	CO-MGR
03/01/13	Unlimited Tax Refunding Bonds	39.635	Denton Co (Lewisville) ISD	CO-MGR
03/01/13	Unlimited Tax Refunding Bonds	22.865	Denton Co (Lewisville) ISD	CO-MGR

03/01/13	Unlimited Tax Refunding Bonds	5.325	Denton Co (Lewisville) ISD	CO-MGR
03/01/13	Unlimited Tax Refunding Bonds	.235	Denton Co (Lewisville) ISD	CO-MGR
03/01/13	Pass-Through Toll Rev & Ref Bonds	23.590	Grayson Co-Texas	SENIOR
03/01/13	Industrial Development Rev Bonds	13.000	Orange Co Industrial Dev Auth	SOLE
03/01/13	Homeownership Mortgage Bonds	160.000	Virginia Housing Dev Auth (VHDA)	CO-MGR
02/28/13	Single Family Mtg Rev Ref Bonds	58.150	Delaware State Housing Authority	CO-MGR
02/28/13	Mortgage Revenue Bonds	110.905	NYS Housing Fin-Mortgage Agcy	CO-MGR
02/28/13	Hospital Revenue Refunding Bonds	166.490	Hillsborough Co Ind Dev Auth	CO-MGR
02/28/13	Commonwealth Mortgage Bonds	80.000	Virginia Housing Dev Auth (VHDA)	SOLE
02/21/13	Revolv Fund Gen Rev & Ref Bonds	162.170	Connecticut	CO-MGR
02/21/13	General Obligation Bonds	22.415	Oregon	CO-MGR
02/21/13	GO Refunding Bonds	98.765	Oregon	CO-MGR
02/21/13	GO Refunding Bonds	85.675	Oregon	CO-MGR
02/21/13	GO Refunding Bonds	5.050	Oregon	CO-MGR
02/20/13	Ref Certificates of Participation	36.005	Arizona Board of Regents	SENIOR
02/20/13	Electric Sys & Sub Revenue Bonds	203.255	Jacksonville Electric Authority	CO-MGR
02/20/13	Clean Drink Wtr Revolv Ref Bonds	168.855	Michigan Finance Authority	CO-MGR
02/14/13	Revenue Bonds	290.365	California Health Facs Fin Auth	SENIOR
02/14/13	Multi-Family Mortgage Rev Bonds	62.250	NYC Housing Dev Corp	SOLE
02/13/13	Revenue Bonds	143.900	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
02/13/13	Refunding & Improvement Rev Bonds	138.325	West Virginia University Bd of Gov	SOLE
02/13/13	Refunding & Improvement Rev Bonds	72.180	West Virginia University Bd of Gov	SOLE
02/13/13	General Obligation Bonds	75.000	Scottsdale City-Arizona	SOLE
02/12/13	Turnpike Revenue Bonds	306.065	Florida Dept of Transportation	SOLE
02/12/13	General Obligation Bonds	48.000	Santa Clara Co (Fremont) UHSD	SOLE
02/12/13	GO Refunding Bonds	20.210	St Louis Spcl Admin Bd Trans SD	CO-MGR
02/12/13	GO Refunding Bonds	14.620	St Louis Spcl Admin Bd Trans SD	CO-MGR
02/07/13	HlthCare Facs Rev & Ref Rev Bonds	25.605	North Carolina Medical Care Commiss	SENIOR
02/07/13	GO Ref Alt Rev Bonds & Debt Cert	50.185	Winnebago Co-Illinois	SENIOR
02/07/13	Electric System Sub Rev Bonds	59.330	Jacksonville Electric Authority	CO-MGR
02/01/13	Unltd Tax School Building Bonds	16.390	Tarrant Co (Arlington) ISD	CO-MGR
02/01/13	Unlimited Tax Refunding Bonds	44.275	Williamson Co (Hutto) ISD	CO-

				MGR
02/01/13	Unlimited Tax Refunding Bonds	9.220	Wise Co (Decatur) ISD	SENIOR
02/01/13	Unlimited Tax Refunding Bonds	.575	Williamson Co (Hutto) ISD	CO-MGR
02/01/13	Senior Lien Rev Refunding Bonds	106.320	North Harris Co Regional Water Au	CO-MGR
02/01/13	Rev Financing Sys Rev & Ref Bonds	87.060	Texas St Univ Sys Bd of Regents	CO-MGR
02/01/13	Rental Car Special Fac Rev Bonds	143.770	Austin City-Texas	SENIOR
02/01/13	Certificates of Participation	86.130	Mecklenburg Co-North Carolina	SOLE
01/31/13	School Facs Con Ref Bonds	1,629.710	New Jersey Economic Dev Auth	CO-MGR
01/31/13	School Facilities Con Ref Notes	380.515	New Jersey Economic Dev Auth	CO-MGR
01/31/13	School Facilities Con Ref Bonds	243.270	New Jersey Economic Dev Auth	CO-MGR
01/31/13	Certificates of Participation	33.480	St Johns Co School Board	CO-MGR
01/30/13	Wastewater Revenue Bonds	193.400	San Francisco Public Util Comm	CO-MGR
01/29/13	Subordinated Highway Rev Bonds	602.765	Arizona Transportation Board	SENIOR
01/29/13	Subordinated Highway Rev Bonds	112.705	Arizona Transportation Board	SENIOR
01/29/13	Subordinate Revenue Ref CABs	117.635	Triborough Bridge & Tunnel Auth	CO-MGR
01/29/13	Subordinate Revenue Ref Bonds	536.330	Triborough Bridge & Tunnel Auth	CO-MGR
01/29/13	General Revenue Refunding Bonds	257.195	Triborough Bridge & Tunnel Auth	CO-MGR
01/29/13	GO Refunding Bonds	209.815	Mecklenburg Co-North Carolina	SENIOR
01/29/13	Comb Ent Sys Rev & Rev Ref Bonds	98.400	Cary Town-North Carolina	CO-MGR
01/24/13	Transportation Revenue Bonds	500.000	Metropolitan Transport Auth (MTA)	CO-MGR
01/23/13	General Obligation Bonds	150.535	Alaska	CO-MGR
01/23/13	General Obligation Bonds	11.945	Alaska	CO-MGR
01/22/13	System Revenue & Refunding Bonds	84.855	Arizona Board of Regents	SENIOR
01/22/13	System Revenue & Refunding Bonds	26.095	Arizona Board of Regents	SENIOR
01/22/13	GO Refunding Bonds	230.540	Massachusetts	CO-MGR
01/15/13	Special Obligation Bonds	21.065	Mississippi Development Bank	CO-MGR
01/15/13	Special Obligation Bonds	15.100	Mississippi Development Bank	CO-MGR
01/15/13	Consolidated Bonds	350.000	Port Authority of NY & NJ	SOLE
01/08/13	General Revenue Bonds	10.210	Univ of North Carolina Bd of Gov	SOLE
01/03/13	General Obligation Bonds	1,000.090	New York City-New York	CO-MGR
01/03/13	Educ Loan Program TRANs	160.000	Colorado	SENIOR

01/02/13	Refunding Revenue Bonds	174.315	Orlando-Orange Co Expressway Au	CO-MGR
12/27/12	Subordinate Excise Tax Ref Bonds	16.850	Glendale Muni Property Corp	SENIOR
12/27/12	St Transportation Rev Ref Bonds	220.400	New Mexico Finance Auth (NMFA)	CO-MGR
12/27/12	Sr & Sub Excise Tax Rev Ref Bonds	223.025	Glendale Muni Property Corp	SENIOR
12/27/12	GO Refunding Bonds	2.060	Portsmouth City-Virginia	SENIOR
12/27/12	GO Public Imp & Refunding Bonds	22.210	Portsmouth City-Virginia	SENIOR
12/21/12	Utility Refunding Revenue Bonds	31.080	Florida Governmental Utility Authority	SOLE
12/21/12	Utility Refunding Revenue Bonds	20.275	Florida Governmental Utility Authority	SOLE
12/21/12	Special Tax Oblig & Ref Bonds	627.390	Connecticut	CO-MGR
12/21/12	Multi-Family Housing Rev Bonds	21.250	California Municipal Fin Auth	SOLE
12/20/12	Sales Tax Revenue Bonds	474.935	Colorado Reg Transportation Dt	CO-MGR
12/20/12	Project Revenue Bonds	153.840	Massachusetts St College Bldg Au	SOLE
12/20/12	Multi-Family Housing Rev Bonds	115.390	NYC Housing Dev Corp	CO-MGR
12/20/12	Multi-Family Housing Rev Bonds	53.215	NYC Housing Dev Corp	CO-MGR
12/20/12	Multi-Family Housing Rev Bonds	8.620	NYC Housing Dev Corp	CO-MGR
12/20/12	Multi-Family Housing Rev Bonds	3.770	NYC Housing Dev Corp	CO-MGR
12/20/12	Mortgage Bonds	182.660	Virginia Housing Dev Auth (VHDA)	SOLE
12/20/12	Mortgage Bonds	47.340	Virginia Housing Dev Auth (VHDA)	SOLE
12/20/12	Housing Revenue Bonds	6.540	Oregon Hsg & Comm Svcs Dept	CO-MGR
12/20/12	General Revenue Bonds	95.970	Regents of the University of Michigan	SOLE
12/20/12	General Revenue Bonds	75.745	Regents of the University of Michigan	SOLE
12/20/12	GO School Building & Ref Bonds	64.675	Utah Co (Alpine) SD BOE	SOLE
12/19/12	TRANs	127.000	Philadelphia City-Pennsylvania	SENIOR
12/19/12	Health Care Facs Rev Ref Bonds	108.195	North Carolina Medical Care Commiss	CO-MGR
12/19/12	General Obligation Bonds	428.600	Denver City and Co SD #1	CO-MGR
12/19/12	General Obligation Bonds	16.000	Denver City and Co SD #1	CO-MGR
12/19/12	City Svc Agreement Ref Rev Bonds	299.805	Philadelphia Auth for Indus Dev	CO-MGR
12/18/12	State Building Revenue BANs	20.000	New Jersey Building Authority	SOLE
12/18/12	Senior Revenue Bonds	173.500	Los Angeles Dept of Airports	CO-MGR
12/18/12	Senior Revenue Bonds	105.610	Los Angeles Dept of Airports	CO-MGR
12/18/12	Housing Mtg Finance Prog Bonds	54.415	Connecticut Housing Finance Auth	CO-MGR
12/18/12	Housing Mtg Finance Prog Bonds	6.015	Connecticut Housing Finance Auth	CO-MGR

12/18/12	Housing Mtg Finance Prog Bonds	3.700	Connecticut Housing Finance Auth	CO-MGR
12/18/12	Health Facilities Revenue Bonds	22.275	Missouri Hlth & Ed Facs Authority	SOLE
12/18/12	General Obligation Bonds	818.635	Texas Transportation Commission	SENIOR
12/18/12	General Obligation Bonds	99.570	Texas Transportation Commission	SOLE
12/18/12	GO Sales Tax Bonds	33.300	De Kalb Co SD	SOLE
12/14/12	Tax Appeal Refunding Notes	1.730	Pleasantville City-New Jersey	SOLE
12/13/12	Wtr&Swr Sys 2nd Gen Res Rev Bonds	440.510	NYC Municipal Water Fin Auth	CO-MGR
12/13/12	Unlimited Tax Refunding Bonds	31.660	Dallas Co Util & Reclamation Dt	SENIOR
12/13/12	Special Oblig Revenue Ref Bonds	44.725	Miami City-Florida	SOLE
12/13/12	Single Family Mortgage Rev Bonds	123.270	Pennsylvania Housing Fin Agency	CO-MGR
12/13/12	Single Family Mortgage Rev Bonds	106.440	Pennsylvania Housing Fin Agency	CO-MGR
12/13/12	Single Family Housing Rev Bonds	101.565	Massachusetts Housing Fin Agcy	CO-MGR
12/13/12	Sanit Swr Sys Imp & Ref Rev Bond	78.650	Kansas City-Missouri	SENIOR
12/13/12	Oblig Student Fee Rev Ref Bonds	87.980	University of Connecticut	CO-MGR
12/12/12	Metropolitan District Bonds	60.000	Baltimore Co-Maryland	SOLE
12/12/12	Hospital Revenue Bonds	152.280	Lehigh Co General Purpose Auth	SENIOR
12/12/12	GO Consolidated Loan Bonds	325.000	Massachusetts	CO-MGR
12/11/12	Refunding Bonds	30.140	Maine Municipal Bond Bank	SENIOR
12/11/12	Refunding Bonds	11.835	Maine Municipal Bond Bank	SENIOR
12/11/12	Housing Mtg Finance Program Bonds	102.955	Connecticut Housing Finance Auth	CO-MGR
12/11/12	Housing Mtg Finance Program Bonds	42.315	Connecticut Housing Finance Auth	CO-MGR
12/11/12	Catawba Electric Revenue Bonds	41.185	North Carolina Muni Pwr Agcy #1	CO-MGR
12/11/12	Catawba Electric Rev & Ref Bonds	563.845	North Carolina Muni Pwr Agcy #1	CO-MGR
12/11/12	Aviation Revenue Refunding Bonds	669.670	Miami-Dade Co-Florida	CO-MGR
12/11/12	Aviation Revenue Refunding Bonds	106.845	Miami-Dade Co-Florida	CO-MGR
12/04/12	Sewer & Drainage Sys Sub BANs	226.340	Louisville-Jefferson Co Metro Swr Dt	SOLE
12/04/12	Refunding & Improvement Rev Bonds	34.860	Florida Municipal Loan Council	SOLE
12/04/12	General Obligation Bonds	26.000	Hawaii	CO-MGR
12/04/12	Gas Supply Revenue Bonds	1,395.655	Texas Muni Gas Acq & Supply Corp III	CO-MGR
12/04/12	GO Debt Certificates	4.885	Cook Kane Lake Comm Coll Dt #512	SOLE
12/04/12	GO & Refunding Bonds	840.990	Hawaii	CO-MGR
12/04/12	Future Tax Secured Sub Bonds	130.000	NYC Transitional Finance Auth	SOLE
12/04/12	First Mortgage Refunding Bonds	9.385	Allen Co Public Library Bldg Corp	SOLE

12/03/12	Hospital Revenue Bonds	37.595	Colorado Health Facilities Auth	SOLE
12/01/12	Unltd Tax School Bldg & Ref Bonds	99.545	Collin Co (Frisco) ISD	SENIOR
12/01/12	Unlimited Tax Refunding Bonds	9.375	Chambers Co (Barbers Hill) ISD	SOLE
12/01/12	Unlimited Tax Refunding Bonds	5.420	Kaufman Co (Forney) ISD	CO-MGR
12/01/12	Sub Lien Contract Rev Ref Bonds	39.505	Canadian River Muni Water Auth	CO-MGR
12/01/12	Refunding Revenue Bonds	287.460	Lower Colorado River Authority (LCRA)	CO-MGR
12/01/12	Refunding Revenue Bonds	200.670	Lower Colorado River Authority (LCRA)	CO-MGR
12/01/12	GO Refunding Bonds	15.940	Victoria City-Texas	CO-MGR
12/01/12	GO Refunding Bonds	9.075	Victoria City-Texas	CO-MGR
11/29/12	Revenue Refunding Bonds	151.455	Nashville-Davidson Metro H&E Bd	SENIOR
11/29/12	Refunding Revenue Bonds	201.925	Orlando-Orange Co Expressway Au	CO-MGR
11/29/12	Power Supply System Ref Rev Bonds	51.130	Indiana Municipal Power Agency	CO-MGR
11/29/12	Housing Bonds	46.510	Massachusetts Housing Fin Agcy	CO-MGR
11/28/12	Water Revenue Bonds	19.835	Puente Basin Water Agency	SOLE
11/28/12	School Lease Revenue Bonds	264.995	Pennsylvania St Pub Sch Bldg Auth	CO-MGR
11/28/12	Refunding Revenue Bonds	17.505	Richmond Co Development Auth	SOLE
11/28/12	Income Tax Secured Rev & Ref Bond	775.770	District of Columbia	CO-MGR
11/28/12	GO Refunding Bonds	29.760	Ramsey Co (Mounds View) ISD #621	SOLE
11/28/12	GO Environmental Prog Ref Bonds	92.305	Michigan	SOLE
11/27/12	Hospital Revenue Bonds	376.195	Indiana Finance Authority	SENIOR
11/27/12	GO Refunding Bonds	33.750	St Louis Spcl Admin Bd Trans SD	CO-MGR
11/21/12	State Gen Fund Appropriation Ref	601.555	Minnesota	CO-MGR
11/21/12	State Gen Fun Appropriation Ref	54.665	Minnesota	CO-MGR
11/20/12	Subordinate Airport Revenue Bonds	39.770	Minneapolis-St Paul Metro Airports Comm	CO-MGR
11/20/12	Subordinate Airport Rev Ref Bonds	42.015	Minneapolis-St Paul Metro Airports Comm	CO-MGR
11/20/12	General Obligation Bonds	17.520	Cleveland City-Ohio	CO-MGR
11/15/12	Util Sys Jr Lien Rev & Ref Bonds	155.660	Corpus Christi City-Texas	CO-MGR
11/15/12	Transportation Revenue Bonds	350.000	Metropolitan Transport Auth (MTA)	CO-MGR
11/15/12	Transportation Revenue Bonds	110.220	Metropolitan Transport Auth (MTA)	CO-MGR
11/15/12	Senior Toll Road Revenue Bonds	150.723	Chesapeake City-Virginia	CO-MGR

11/15/12	School Financing Bonds	65.950	Virginia Public School Authority	SOLE
11/15/12	Homeownership Program Bonds	83.270	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
11/15/12	Homeownership Program Bonds	14.355	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
11/15/12	General Revenue Bonds	220.150	Nebraska Public Power Dt	CO-MGR
11/15/12	General Revenue Bonds	126.230	Triborough Bridge & Tunnel Auth	CO-MGR
11/15/12	GO Refunding Bonds	2.055	Williamsburg Co-South Carolina	SOLE
11/15/12	Facilities Ref Revenue Bonds	28.365	Montana Bd of Regents of Higher Ed	SOLE
11/15/12	Facilities Ref Revenue Bonds	20.460	Montana Bd of Regents of Higher Ed	SOLE
11/14/12	Wastewater Sys Ref Rev Bonds	141.730	Metropolitan St Louis Sewer Dt	CO-MGR
11/14/12	Revenue Bonds	234.635	California Health Facs Fin Auth	SENIOR
11/14/12	Revenue Bonds	32.500	California Health Facs Fin Auth	SOLE
11/14/12	Comb Util Sys Rev Imp & Ref Bonds	27.950	Rock Hill City-South Carolina	SOLE
11/13/12	Water Sys Rev Refunding Bonds	358.620	East Bay MUD	CO-MGR
11/13/12	Storm Water Fee Rev Ref Bonds	20.585	Charlotte City-North Carolina	CO-MGR
11/13/12	Revenue Bonds	60.000	Washington Health Care Facs Au	CO-MGR
11/09/12	Facilities Revenue Bonds	62.165	Indiana Finance Authority	CO-MGR
11/08/12	Sub Special Oblig Refunding Bonds	489.990	Miami-Dade Co-Florida	CO-MGR
11/08/12	Refunding & Imp Revenue Bonds	41.620	Florida Municipal Loan Council	SOLE
11/08/12	Hospital Revenue Refunding Bonds	232.125	Highlands Co Health Facs Auth	CO-MGR
11/08/12	Homeownership Mortgage Bonds	41.060	South Dakota Housing Dev Auth	CO-MGR
11/08/12	Homeownership Mortgage Bonds	35.750	South Dakota Housing Dev Auth	CO-MGR
11/08/12	GO Refunding Bonds	38.165	Salt Lake Co-Utah	SOLE
11/08/12	Ed Facs Rev Ref & Imp Bonds	39.325	Franklin Co Hlth & Ed Facs Bd	CO-MGR
11/07/12	Revenue Refunding Bonds	33.730	Manatee Co Port Authority	SENIOR
11/07/12	Revenue Refunding Bonds	6.605	Manatee Co Port Authority	SENIOR
11/07/12	Installment Purch Rev Ref Bonds	49.805	Bldg Equity Sooner For Tomorrow (BEST)	SENIOR
11/05/12	Turnpike System Revenue Ref Bonds	65.355	New Hampshire	SENIOR
11/05/12	General Obligation Bonds	60.160	Charleston Co SD	SOLE
11/01/12	Water & Wastewater Rev Ref Bonds	70.370	Philadelphia City-Pennsylvania	CO-MGR
11/01/12	Veterans Bonds	50.000	Texas	CO-MGR
11/01/12	Veterans Bonds	50.000	Texas	CO-MGR
11/01/12	Unltd Tax School Bldg & Ref Bonds	205.215	Montgomery Co (Conroe) ISD	CO-MGR

11/01/12	Unlimited Tax Refunding Bonds	146.390	Comal ISD	CO-MGR
11/01/12	Unlimited Tax Refunding Bonds	81.950	Galveston Co (Clear Creek) ISD	CO-MGR
11/01/12	Unlimited Tax Refunding Bonds	52.810	Galveston Co (Clear Creek) ISD	CO-MGR
11/01/12	Unli Tax School Bldg & Ref Bonds	75.965	Harris Co (Cypress-Fairbanks) ISD	SENIOR
11/01/12	Revenue Refunding Bonds	29.435	Pennsylvania Hghr Ed Facs Auth	CO-MGR
11/01/12	Public Safety Lease Rev Ref Bonds	14.935	Loudoun Co Industrial Dev Au	SOLE
11/01/12	Homeowner Mortgage Rev Bonds	100.035	NYS Housing Fin-Mortgage Agcy	CO-MGR
11/01/12	General Obligation Bonds	110.664	Wisconsin	SOLE
11/01/12	General Obligation Bonds	107.317	Wisconsin	SOLE
11/01/12	General Obligation Bonds	75.089	Wisconsin	SOLE
10/31/12	School Dt Rev Fin Prog Rev Bonds	369.105	NYS Dorm Authority	CO-MGR
10/31/12	Multi-Family Housing Rev Bonds	35.335	Oregon Hsg & Comm Svcs Dept	CO-MGR
10/31/12	Multi-Family Housing Rev Bonds	1.425	Oregon Hsg & Comm Svcs Dept	CO-MGR
10/31/12	Housing Revenue Bonds	40.000	NYS Housing Fin-Mortgage Agcy	SOLE
10/31/12	Hospital Revenue Ref Bonds	40.210	North Carolina Medical Care Commiss	CO-MGR
10/31/12	BANs	7.500	Howell Twp-New Jersey	SOLE
10/31/12	BANs	3.469	Manalapan-New Jersey	SOLE
10/30/12	Water BANs	3.615	Edgecombe Water & Sewer Dt #4	SOLE
10/30/12	Tpk & Motor License Fd Rev Bonds	213.845	Pennsylvania Turnpike Commission	CO-MGR
10/30/12	Sr Dedicated Sales Tax Ref Bonds	916.350	Massachusetts School Bldg Auth	CO-MGR
10/30/12	Lease Revenue Refunding Bonds	65.485	California St Public Works Board	CO-MGR
10/30/12	Lease Revenue Refunding Bonds	20.750	California St Public Works Board	CO-MGR
10/30/12	Lease Revenue Bonds	457.195	California St Public Works Board	CO-MGR
10/30/12	Lease Revenue Bonds	55.735	California St Public Works Board	CO-MGR
10/25/12	Hospital Revenue Bonds	221.440	Utah Co-Utah	SENIOR
10/25/12	Dedicated Tax Fund Ref Bonds	959.466	Metropolitan Transport Auth (MTA)	SENIOR
10/24/12	Revenue Bonds	150.000	Idaho Health Facilities Auth	SOLE
10/24/12	GO Highway Capital Imp Bonds	154.405	Ohio	SENIOR
10/24/12	Bridge System Revenue Ref Bonds	77.145	Delaware River Jt Toll Bridge Comm	CO-MGR
10/24/12	Bridge System Revenue Ref Bonds	20.665	Delaware River Jt Toll Bridge Comm	CO-MGR
10/23/12	Toll Bridge Revenue Bonds	907.525	Bay Area Toll Authority (BATA)	CO-MGR
10/23/12	General Obligation Bonds	1,125.000	New York City-New York	CO-

				MGR
10/23/12	General Obligation Bonds	100.000	New York City-New York	SOLE
10/22/12	Gen & Power & Proj One Sub Bonds	234.760	Georgia Muni Electric Au (MEAG)	CO-MGR
10/18/12	Unemploy Compensation Rev Bonds	1,430.435	Pennsylvania Econ Dev Fin Auth	CO-MGR
10/18/12	Unemploy Compensation Rev Bonds	1,096.970	Pennsylvania Econ Dev Fin Auth	CO-MGR
10/18/12	State Personal Inc Tax Rev Bonds	817.055	NYS Dorm Authority	CO-MGR
10/18/12	State Personal Inc Tax Rev Bonds	60.605	NYS Dorm Authority	CO-MGR
10/18/12	Refunding Lease Revenue Bonds	6.405	University of North Dakota Foundation	SOLE
10/18/12	Hsg & Aux Facs Ref Rev Bonds	17.360	North Dakota St Board Hghr Ed	SOLE
10/18/12	Grant & Revenue Antic Bonds	34.890	Idaho Housing & Fin Assoc	CO-MGR
10/17/12	Facilities Revenue Ref Bonds	74.195	Indiana Finance Authority	CO-MGR
10/17/12	Airport System Revenue Bonds	510.140	Denver City and Co-Colorado	CO-MGR
10/17/12	Airport System Revenue Bonds	315.780	Denver City and Co-Colorado	CO-MGR
10/17/12	Airport System Revenue Bonds	30.285	Denver City and Co-Colorado	CO-MGR
10/16/12	General Revenue Bonds	265.655	Univ of Alabama Board of Trustees	SOLE
10/15/12	General Obligation Bonds	2.000	Easton City-Pennsylvania	SOLE
10/11/12	General Obligation Bonds	26.765	Vermont	CO-MGR
10/10/12	Transportation Dt Imp Rev Bonds	42.390	Fairfax Co Economic Dev Auth	CO-MGR
10/10/12	Senior Lien Refunding Rev Bonds	380.315	Port of Oakland-California	CO-MGR
10/10/12	Senior Lien Refunding Rev Bonds	3.575	Port of Oakland-California	CO-MGR
10/10/12	Fed Hway Grant Antic Rev Bonds	42.935	District of Columbia	CO-MGR
10/10/12	Electric Sys Revenue Bonds	499.370	Omaha Public Power Dt (OPPD)	CO-MGR
10/04/12	Water System Revenue Bonds	80.000	Suffolk Co Water Authority	SOLE
10/04/12	Various Purpose GO & Ref Bonds	1,776.240	California	CO-MGR
10/04/12	System 1st Tier Revenue Ref Bonds	383.625	North Texas Tollway Auth (NTTA)	CO-MGR
10/04/12	Hospital Revenue Bonds	29.310	Colorado Health Facilities Auth	SOLE
10/04/12	General Obligation Bonds	219.390	Connecticut	CO-MGR
10/04/12	General Obligation Bonds	65.735	Connecticut	CO-MGR
10/04/12	General Obligation Bonds	60.745	Connecticut	CO-MGR
10/04/12	General Obligation Bonds	59.490	Connecticut	CO-

				MGR
10/04/12	General Obligation Bonds	48.565	Connecticut	CO-MGR
10/04/12	General Obligation Bonds	46.075	Connecticut	CO-MGR
10/04/12	GO Refunding Bonds	62.270	Connecticut	CO-MGR
10/04/12	GO Refunding Bonds	7.520	Connecticut	CO-MGR
10/03/12	Health Facilities Revenue Bonds	50.000	Missouri Hlth & Ed Facs Authority	CO-MGR
10/03/12	General Obligation RANs	600.000	Massachusetts	SENIOR
10/02/12	Revenue Bonds	28.435	North Carolina Medical Care Commiss	SOLE
10/01/12	Waterworks & Sewer Sys Rev Bonds	41.120	Laredo City-Texas	CO-MGR
10/01/12	Unlimited Tax Refunding Bonds	27.805	Collin Co (Plano) ISD	CO-MGR
10/01/12	Unlimited Tax Refunding Bonds	24.875	Denton Co ISD	CO-MGR
10/01/12	Sales Tax Revenue & Ref Bonds	25.385	Conroe Industrial Dev Corp	CO-MGR
10/01/12	Refunding Revenue Bonds	87.510	Univ of Colorado Hospital Auth	SOLE
10/01/12	Pass-Thru Toll Rev & Ref Bonds	15.880	Montgomery Co-Texas	SENIOR
10/01/12	Improvement & Ref Lease Rev Bonds	550.374	San Antonio Public Facilities Corp	CO-MGR
10/01/12	Certificates of Obligation	13.350	Montgomery Co-Texas	SENIOR
10/01/12	Certificate of Obligation	4.605	Laredo City-Texas	CO-MGR
10/01/12	Cert of Oblig & Contractual Oblig	14.145	Laredo City-Texas	CO-MGR
09/28/12	Transportation Rev Ref Bonds	1,268.445	Metropolitan Transport Auth (MTA)	CO-MGR
09/27/12	Revenue Bonds	70.000	Wisconsin Health and Ed Fac Auth	CO-MGR
09/27/12	GO Public Improv & Ref Bonds	48.465	Isle of Wight Co-Virginia	CO-MGR
09/26/12	Revenue & Refunding Bonds	87.410	Jacksonville Port Authority	SENIOR
09/26/12	General Obligation Bonds	38.465	New Hampshire Muni Bond Bank	SENIOR
09/25/12	Revenue Bonds	25.630	Minnesota Higher Ed Facs Auth	SOLE
09/25/12	GO & Refunding Bonds	92.290	Anchorage City-Alaska	SENIOR
09/24/12	Refunding Certs of Participation	44.450	Polk Co School Board	SOLE
09/24/12	Refunding Certs of Participation	36.805	Polk Co School Board	SOLE
09/21/12	Gas Revenue Refunding Bonds	55.000	Georgia Municipal Gas Auth (MGAG)	SENIOR
09/21/12	Gas Revenue Bonds	15.000	Georgia Municipal Gas Auth (MGAG)	SENIOR
09/21/12	Gas Revenue & Refunding Bonds	39.000	Georgia Municipal Gas Auth (MGAG)	SENIOR
09/20/12	Ltd Tax GO Bldg Auth Ref Bonds	58.160	Detroit/Wayne Co Stadium Auth	CO-MGR
09/20/12	Housing Bonds	158.310	Massachusetts Housing Fin Agcy	CO-MGR

09/19/12	Wtrwks & Swr Sys Rev Ref Bonds	259.420	Dallas City-Texas	CO-MGR
09/19/12	Wtrwks & Swr Sys Rev Ref Bonds	106.720	Dallas City-Texas	CO-MGR
09/19/12	Single Family Housing Rev Bonds	32.120	Massachusetts Housing Fin Agcy	CO-MGR
09/19/12	Single Family Housing Rev Bonds	5.900	Massachusetts Housing Fin Agcy	CO-MGR
09/19/12	Certificates of Participation	12.015	SC Assoc of Govt Orgs (SCAGO)	SOLE
09/19/12	1st Lien Wstwtr Utility Rev Bonds	192.125	Indiana Finance Authority	CO-MGR
09/15/12	Utilities System Rev Ref Bonds	20.690	Brownsville City-Texas	CO-MGR
09/15/12	Ultd Tax School Building Bonds	57.460	Lubbock ISD	SENIOR
09/13/12	Homeowner Mortgage Rev Bonds	82.660	NYS Housing Fin-Mortgage Agcy	CO-MGR
09/12/12	Revenue Bonds	77.520	Florida Municipal Power Agency (FMPA)	SOLE
09/12/12	PFC Revenue Refunding Bonds	337.240	Chicago City-Illinois	CO-MGR
09/12/12	PFC Revenue Refunding Bonds	114.855	Chicago City-Illinois	CO-MGR
09/07/12	Wtrwrks & Swr Sys Rev Ref Bonds	16.000	Mount Pleasant Town-South Carolina	SOLE
09/07/12	Infrastructure Imp Revenue Bonds	59.110	Florida Municipal Loan Council	SENIOR
09/06/12	State Personal Inc Tax Rev Bonds	523.065	NYS Thruway Authority	CO-MGR
09/06/12	Revenue Bonds	67.860	Utah Associated Muni Pwr Sys	CO-MGR
09/06/12	Revenue Bonds	36.110	Cumberland Co Muni Auth	CO-MGR
09/06/12	Co Guar Refunding Rev Bonds	10.515	Bergen Co Improvement Auth	SOLE
09/06/12	Airport Revenue Refunding Bonds	71.525	Wayne Co Airport Auth	CO-MGR
09/06/12	Airport Revenue Refunding Bonds	3.885	Wayne Co Airport Auth	CO-MGR
09/05/12	GO Water Refunding Bonds	39.310	Las Vegas Valley Water Dt	CO-MGR
09/01/12	Wtr Supply Contract Rev Ref Bonds	13.530	Southmost Regional Water Auth	CO-MGR
09/01/12	Water System Revenue & Ref Bonds	163.435	San Antonio City-Texas	SENIOR
09/01/12	Water & Sewer System Rev Bonds	18.130	Conroe City-Texas	CO-MGR
09/01/12	Toll Road Sr Lien Rev Ref Bonds	252.845	Harris Co-Texas	SENIOR
09/01/12	Toll Road Sr Lien Rev Ref Bonds	98.010	Harris Co-Texas	SENIOR
09/01/12	Revenue Refunding Bonds	68.310	Midland Co Fresh Wtr Supply Dt #1	CO-MGR
09/01/12	Revenue Notes	128.266	Midland Co Fresh Wtr Supply Dt #1	CO-MGR
09/01/12	General Obligation Bonds	30.400	Andrews Co Hospital Dt	CO-MGR
09/01/12	Certificates of Obligation	8.970	Conroe City-Texas	CO-MGR

08/31/12	Qualified Gen Improvement Bonds	24.875	Jersey City-New Jersey	SOLE
08/31/12	Power Park Sys Ref Rev Bonds	81.680	Jacksonville Electric Authority	CO-MGR
08/31/12	Power Park Sys Ref Rev Bonds	3.455	Jacksonville Electric Authority	CO-MGR
08/30/12	Water Banking Revenue Ref Bonds	77.460	Semitropic Improvement Dt	SOLE
08/30/12	Water Banking Revenue Ref Bonds	12.020	Semitropic Improvement Dt	SOLE
08/30/12	TRANs	9,800.000	Texas	SENIOR
08/30/12	TANs	12.000	Nassau Co (Jericho) UFSD	SOLE
08/30/12	Revenue Bonds	19.945	Maryland Hlth & Hghr Ed Facs Au	SOLE
08/30/12	Electric System Revenue Bonds	140.640	Jacksonville Electric Authority	CO-MGR
08/30/12	Better Jacksonville Ref Rev Bonds	114.890	Jacksonville City-Florida	CO-MGR
08/29/12	Revenue Bonds	16.875	North Carolina Cap Facs Fin Agency	SOLE
08/29/12	Hospital Revenue Refunding Bonds	276.965	Kansas Development Fin Auth	CO-MGR
08/29/12	Hospital Revenue Refunding Bonds	99.120	Highlands Co Health Facs Auth	SOLE
08/28/12	Water Revenue Bonds	68.050	Springfield City-Illinois	SOLE
08/28/12	TRANs	7.600	West Covina-California	SOLE
08/28/12	Hospital Revenue Bonds	30.000	Colorado Health Facilities Auth	SOLE
08/28/12	Future Tax Secured Sub Bonds	1,050.000	NYC Transitional Finance Auth	CO-MGR
08/23/12	Special Obligations Ref Bonds	278.835	Missouri Board of Public Bldgs	SOLE
08/23/12	Sales Tax Revenue Bonds	90.000	Cook Co-Illinois	SENIOR
08/23/12	RANs	10,000.000	California	SENIOR
08/23/12	General Revenue Refunding Bonds	1,236.898	Triborough Bridge & Tunnel Auth	CO-MGR
08/23/12	GO Refunding Bonds	10.830	Greenville City-South Carolina	SOLE
08/23/12	Electric System Sub Rev Bonds	109.605	Jacksonville Electric Authority	CO-MGR
08/22/12	Systemwide Revenue Bonds	436.220	California State Univ Trustees	CO-MGR
08/22/12	Systemwide Revenue Bonds	16.700	California State Univ Trustees	CO-MGR
08/22/12	Sewer Revenue Bonds	14.295	University Area Joint Authority	SOLE
08/22/12	Housing Bonds	75.350	Massachusetts Housing Fin Agcy	CO-MGR
08/22/12	General Receipts Bonds	91.165	Ohio State University	CO-MGR
08/22/12	General Receipts Bonds	23.170	Ohio State University	CO-MGR
08/22/12	General Obligation & Ref Bonds	60.610	Suffolk City-Virginia	CO-MGR
08/20/12	Transportation Rev Ref Bonds	1,263.365	Metropolitan Transport Auth (MTA)	CO-MGR
08/20/12	State Aid Revenue Notes	454.100	Michigan Finance Authority	CO-MGR
08/16/12	Water & Sewer Sys Sub & Rev Bonds	178.365	Jacksonville Electric Authority	CO-

				MGR
08/16/12	Sr Dedicated Sales Tax Ref Bonds	766.140	Massachusetts School Bldg Auth	CO-MGR
08/16/12	GO State Trunk Highway Bonds	234.000	Minnesota	SOLE
08/15/12	Water System Revenue Ref Bonds	7.400	Bell Co Water Ctl Imp Dt #1	SOLE
08/15/12	Special Oblig School Fing Bonds	65.675	Virginia Public School Authority	SOLE
08/15/12	Internal Improvement Bonds	37.350	Johnson Co-Kansas	SOLE
08/15/12	GO Refunding Bonds	47.700	New Haven City-Connecticut	CO-MGR
08/15/12	GO Ref & Comb Tax Rev Cert Oblig	46.970	League City-Texas	CO-MGR
08/09/12	Water System Revenue Bonds	322.000	Los Angeles Dept of Wtr & Power	SENIOR
08/09/12	Water System Revenue Bonds	92.715	Los Angeles Dept of Wtr & Power	CO-MGR
08/09/12	Revenue & Refunding Bonds	277.315	Lincoln City-Nebraska	CO-MGR
08/09/12	Limited Project Revenue Bonds	899.275	Regents of the Univ of California	CO-MGR
08/09/12	Limited Project Revenue Bonds	100.420	Regents of the Univ of California	CO-MGR
08/09/12	Electric Sytem Revenue Ref Bonds	73.620	Sikeston City-Missouri	CO-MGR
08/09/12	Educational Facilities Rev Bonds	200.785	Missouri Hlth & Ed Facs Authority	CO-MGR
08/08/12	Water Revenue Refunding Bonds	24.040	San Francisco Public Util Comm	CO-MGR
08/07/12	Revenue Bonds	121.475	Jacksonville Electric Authority	SENIOR
08/07/12	Health System Revenue Bonds	128.210	Dauphin Co General Authority	CO-MGR
08/02/12	Revenue Anticipation Ref Cert	18.925	Carrollton Payroll Dev Auth	SOLE
08/02/12	Multi-Family Housing Bonds	8.260	New Hampshire Housing Fin Auth	SOLE
08/02/12	Hospital Revenue Bonds	138.415	Montgomery Co Hghr Ed & Hlth Au	CO-MGR
08/01/12	Unlimited Tax Refunding Bonds	30.260	Harris Co (Pasadena) ISD	SENIOR
08/01/12	Unlimited Tax Refunding Bonds	8.795	Grayson Co (Sherman) ISD	CO-MGR
08/01/12	Revenue & Tax Bonds	40.000	Cameron Co-Texas	CO-MGR
08/01/12	Ref Bonds & Certs of Obligation	46.530	Beaumont City-Texas	SENIOR
08/01/12	Hospital Revenue Refunding Bonds	39.335	Gregg Co Health Facs Dev Corp	SOLE
08/01/12	General Purpose Ref & Imp Bonds	135.485	Fort Worth City-Texas	CO-MGR
08/01/12	Dormitory Revenue Bonds	29.000	Iowa State Board of Regents	SOLE
07/31/12	Hospital Revenue Bonds	65.000	Univ of Alabama Board of Trustees	SOLE
07/31/12	General Obligation Water Bonds	360.000	Las Vegas Valley Water Dt	CO-MGR
07/30/12	Revenue Bonds	11.410	Minnesota Higher Ed Facs Auth	SOLE
07/30/12	Certificates of Participation	104.236	SC Assoc of Govt Orgs (SCAGO)	SOLE
07/26/12	Homeowner Mortgage Rev Bonds	150.000	NYS Housing Fin-Mortgage Agcy	CO-

				MGR
07/26/12	Federal Transportation Rev GANs	120.625	Virginia Transportation Board	CO-MGR
07/24/12	Transmission Facilities Rev Bonds	84.740	Port of Morrow-Oregon	CO-MGR
07/24/12	TANs	290.000	Miami-Dade Co SD	SOLE
07/24/12	Sanitary Sewer BANs	4.676	Trinity City-North Carolina	SOLE
07/20/12	Transportation Revenue Bonds	650.000	Metropolitan Transport Auth (MTA)	CO-MGR
07/19/12	Homeownership Program Bonds	105.825	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
07/19/12	Homeownership Program Bonds	27.285	Tennessee Hsg Dev Agcy (THDA)	CO-MGR
07/19/12	Home Ownership Rev Ref Bonds	121.670	North Carolina Housing Fin Agcy	CO-MGR
07/19/12	Building Aid Revenue Bonds	850.000	NYC Transitional Finance Auth	CO-MGR
07/18/12	General Revenue Bonds	100.000	Univ of North Carolina Bd of Gov	SOLE
07/17/12	Unlimited Tax Refunding Bonds	34.475	Fort Bend Co (Katy) ISD	CO-MGR
07/17/12	Revenue Bonds	43.690	Carrollton Payroll Dev Auth	SOLE
07/17/12	Revenue & Refunding Bonds	75.800	Kaweah Delta Health Care Dt	SENIOR
07/17/12	Refunding Bonds	115.000	Fort Bend Co (Katy) ISD	CO-MGR
07/17/12	Muni Light & Power Imp Rev Bonds	43.000	Seattle City-Washington	SOLE
07/16/12	Electric Sys Gen Revenue Bonds	502.000	Long Island Power Authority	CO-MGR
07/15/12	Unltd Tax School Building Bonds	15.935	Erath Co (Stephenville) ISD	CO-MGR
07/15/12	Unlimited Tax School Bldg Bonds	24.645	Hill Co (Whitney) ISD	CO-MGR
07/15/12	Unlimited Tax Refunding Bonds	17.150	Montgomery Co (New Caney) ISD	CO-MGR
07/15/12	Ultd Tax Schools Bldg & Ref Bonds	70.461	Collin Co (Allen) ISD	CO-MGR
07/12/12	St Clean & Drink Wtr Rev Bonds	316.790	NYS Environmental Facs Corp	CO-MGR
07/12/12	Limited Obligation Bonds	16.390	Duplin Co-North Carolina	SOLE
07/12/12	General Rev & Rev Ref Bonds	52.360	Univ of North Carolina Bd of Gov	SENIOR
07/11/12	TRANs	50.000	Salt Lake Co-Utah	SENIOR
07/11/12	Health Care Facs Rev Ref Bonds	294.840	North Carolina Medical Care Commiss	CO-MGR
07/11/12	General Revenue Bonds	1,122.560	NYS Thruway Authority	CO-MGR
07/11/12	Educational Facilities Rev Bonds	62.490	North Carolina Cap Facs Fin Agency	CO-MGR
07/10/12	Wtr Revolve Rev & Drink Ref Bonds	106.350	Michigan Finance Authority	CO-MGR
07/10/12	Urban Renewal & Redev Bonds	49.415	Portland City-Oregon	CO-MGR

07/10/12	Urban Renewal & Redev Bonds	24.250	Portland City-Oregon	CO-MGR
07/10/12	General Revenue Refunding Bonds	127.095	Univ of North Carolina Bd of Gov	CO-MGR
07/03/12	Lease Revenue & Refunding Bonds	90.745	San Diego Public Facs Fin Auth	CO-MGR
07/03/12	Airport System Revenue Ref Bonds	291.035	Metro Washington Airports Auth	CO-MGR
07/03/12	Airport System Revenue Ref Bonds	20.790	Metro Washington Airports Auth	CO-MGR
07/02/12	TRANs	1,100.000	Los Angeles Co-California	SENIOR
07/02/12	TRANs	18.000	Santa Barbara Co Schools Fin Au	SOLE
07/02/12	Revenue Bonds	98.130	Washington Health Care Facs Au	SENIOR
07/01/12	Unlimited Tax Refunding Bonds	37.380	Brazoria Co (Alvin) ISD	SENIOR
07/01/12	Unlimited Tax Refunding Bonds	32.865	Potter Co (Amarillo) ISD	CO-MGR
07/01/12	School Building Bonds	54.900	Bell Co (Belton) ISD	CO-MGR
07/01/12	Permanent University Fund Bonds	125.750	Texas A&M University System	CO-MGR
07/01/12	Permanent University Fund Bonds	70.680	Texas A&M University System	CO-MGR
07/01/12	GO Wastewater Rev Ref Bonds	214.035	Minneapolis-St Paul Metro Council	SOLE
07/01/12	Comb Tax Rev Crt Ob&Gen Imp Bonds	167.940	San Antonio City-Texas	CO-MGR
06/29/12	Utility Revenue Bonds	20.640	Florida Governmental Utility Authority	SOLE
06/29/12	Utility Revenue Bonds	.705	Florida Governmental Utility Authority	SOLE
06/29/12	Revenue Bonds	525.545	American Municipal Power Inc	CO-MGR
06/29/12	Revenue Bonds	20.540	American Municipal Power Inc	CO-MGR
06/29/12	GO Refunding Bonds	274.160	Connecticut	SENIOR
06/29/12	GO Refunding Bonds	105.640	Connecticut	SENIOR
06/29/12	GO Refunding Bonds	72.205	Connecticut	SENIOR
06/29/12	GO Refunding Bonds	24.570	Connecticut	SENIOR
06/29/12	GO Refunding Bonds	22.315	Connecticut	SENIOR
06/29/12	GO Refunding Bonds	10.110	Connecticut	SENIOR
06/29/12	GO Refunding Bonds	9.745	Connecticut	SENIOR
06/29/12	GO Refunding Bonds	4.500	Connecticut	SENIOR
06/29/12	College Revenue Bonds	40.000	Pennsylvania St Pub Sch Bldg Auth	SOLE
06/29/12	Certificates of Participation	24.930	Clay Co School Board	SOLE
06/28/12	Wtr & Swr 2nd Gen Res Rev Bonds	661.745	NYC Municipal Water Fin Auth	CO-MGR
06/28/12	Waterworks System Revolv Notes	68.700	San Antonio City-Texas	SOLE
06/28/12	Unemployment Comp Spec Rev Bonds	540.015	Colorado Hsg & Fin Au (CHFA)	CO-MGR
06/28/12	Unemployment Comp Spec Rev Bonds	84.790	Colorado Hsg & Fin Au (CHFA)	CO-MGR

06/28/12	State Personal Inc Tax Rev Bonds	1,814.925	NYS Dorm Authority	SENIOR
06/28/12	Revenue Bonds	40.725	Maine Hlth & Hghr Ed Facs Auth	SENIOR
06/28/12	Limited Obligation Bonds	18.710	Hoke Co-North Carolina	SOLE
06/28/12	Housing Revenue Bonds	9.460	Oregon Hsg & Comm Svcs Dept	CO-MGR
06/28/12	Hospital Revenue &Refunding Bonds	37.590	Brazoria Co Hlth Facs Dev Corp	SOLE
06/28/12	Health System Revenue Bonds	93.755	Lancaster Co Hospital Auth	CO-MGR
06/28/12	Gen Imp Open Space Rec Ctr Bonds	97.800	Monmouth Co-New Jersey	SOLE
06/28/12	GO Refunding Bonds	15.440	High Point City-North Carolina	SOLE
06/28/12	GO Public Improvement Ref Bonds	100.030	Richmond City-Virginia	SENIOR
06/28/12	GO Public Improvement Bonds	7.500	Richmond City-Virginia	SENIOR
06/28/12	Comb Enterprise Sys Rev Ref Bonds	32.125	High Point City-North Carolina	SENIOR
06/28/12	Airport Revenue Refunding Bonds	31.395	St Louis City-Missouri	SENIOR
06/27/12	Unemploy Oblig Assess Rev Bonds	2,667.135	Michigan Finance Authority	CO-MGR
06/27/12	TANs	375.000	Harris Co-Texas	SENIOR
06/27/12	Revenue Anticipation Certificates	51.885	Floyd Co Hospital Authority	SENIOR
06/27/12	Limited Obligation Bonds	28.800	Chapel Hill Town-North Carolina	CO-MGR
06/27/12	GO Public Improvement Bonds	5.785	High Point City-North Carolina	SOLE
06/21/12	Pass-Through Toll Rev & Ref Bonds	20.260	Grayson Co-Texas	SENIOR
06/21/12	Hospital Revenue Bonds	182.380	Ohio	CO-MGR
06/21/12	Health System Revenue Bonds	60.090	Montgomery Co Ind Dev Auth	CO-MGR
06/21/12	Govt Facs Revenue Refunding Bonds	579.740	Puerto Rico Pub Bldgs Authority	CO-MGR
06/21/12	Govt Facs Revenue Refunding Bonds	2.605	Puerto Rico Pub Bldgs Authority	CO-MGR
06/21/12	Comb Util Sys 1st Lien Ref Bonds	100.000	Houston City-Texas	SOLE
06/20/12	Water Revenue Refunding Bonds	29.115	Calleguas Municipal Water Dt	SOLE
06/20/12	Revenue & Revenue Ref Bonds	74.390	Washington Health Care Facs Au	CO-MGR
06/20/12	Installment Purchase Rev Bonds	52.520	North Charleston Pub Fac Corp	SOLE
06/19/12	Unltd Tax School Bldg & Ref Bonds	147.680	Fort Bend Co (Katy) ISD	CO-MGR
06/19/12	Stormwater System Revenue Bonds	46.725	Charleston City-South Carolina	SENIOR
06/19/12	Future Tax Secured Sub Bonds	800.000	NYC Transitional Finance Auth	CO-MGR
06/18/12	Turnpike Revenue Bonds	141.255	New Jersey Turnpike Authority	CO-MGR
06/15/12	Unltd Tax School Bldg & Ref Bonds	71.190	Collin Co (Frisco) ISD	CO-MGR
06/15/12	Refunding Bonds	33.835	Tarrant Co (Grapevine-Colleyville) ISD	CO-MGR
06/15/12	Co Coll & Voc-Tech Sch & Gen Imp	100.000	Union Co-New Jersey	SOLE
06/14/12	Special Facilities Revenue Bonds	146.260	Love Field Airport Modernization Corp	CO-MGR

06/13/12	State Revolving Fund & Ref Bonds	254.540	Massachusetts Wtr Poll Abate Trust	CO-MGR
06/13/12	State Revolving Fund & Ref Bonds	116.880	Massachusetts Wtr Poll Abate Trust	SOLE
06/13/12	Revenue Bonds	104.090	Wisconsin Health and Ed Fac Auth	CO-MGR
06/13/12	Infras & St Moral Oblig Rev Bonds	46.070	Virginia Resources Auth (VRA)	SENIOR
06/13/12	Infra & St Moral Oblig Rev Bonds	298.140	Virginia Resources Auth (VRA)	SENIOR
06/13/12	Homeownership Mortgage Bonds	29.925	South Dakota Housing Dev Auth	CO-MGR
06/13/12	Homeownership Mortgage Bonds	28.320	South Dakota Housing Dev Auth	CO-MGR
06/13/12	Electric System General Rev Bonds	149.000	Long Island Power Authority	SOLE
06/12/12	Various Purpose GO Bonds	103.360	Phoenix City-Arizona	CO-MGR
06/12/12	Various Purpose GO Bonds	16.640	Phoenix City-Arizona	CO-MGR
06/12/12	GO Refunding Bonds	176.465	Phoenix City-Arizona	CO-MGR
06/12/12	First Tier Current Interest Bonds	25.930	North Texas Tollway Auth (NTTA)	CO-MGR
06/11/12	Revenue Bonds	21.910	Athens Housing Authority	SENIOR
06/08/12	Water & Sewer Revenue Ref Bonds	17.900	Dunedin City-Florida	CO-MGR
06/08/12	Revenue Bonds	15.010	DeKalb Newton Gwinnett Cos Jt Dev	SOLE
06/08/12	Revenue Bonds	1.715	DeKalb Newton Gwinnett Cos Jt Dev	SOLE
06/07/12	Water Revenue Bonds	591.610	San Francisco Public Util Comm	SOLE
06/07/12	Housing Mtg Finance Prog Bonds	150.975	Connecticut Housing Finance Auth	CO-MGR
06/07/12	Housing Mtg Finance Prog Bonds	106.835	Connecticut Housing Finance Auth	CO-MGR
06/07/12	Housing Mtg Finance Prog Bonds	70.155	Connecticut Housing Finance Auth	CO-MGR
06/07/12	Hospital Refunding Revenue Bonds	92.900	Greenville Health System	CO-MGR
06/07/12	General Obligation Bonds	949.685	New York City-New York	CO-MGR
06/01/12	Unlimited Tax Refunding Bonds	88.855	Fort Bend ISD	CO-MGR
06/01/12	Refunding Revenue Bonds	13.560	Indiana Finance Authority	SOLE
06/01/12	GO School Building Ref Bonds	28.355	Ramsey Co (St Paul) ISD #625	SOLE
05/31/12	St Clean & Drink Wtr Rev Bonds	496.145	NYS Environmental Facs Corp	CO-MGR
05/31/12	Revenue Bonds	59.780	Maryland Hlth & Hghr Ed Facs Au	SENIOR
05/31/12	Power System Revenue Bonds	29.385	North Carolina Eastern Municipal Power Agency	CO-MGR
05/31/12	Power System Rev & Ref Bonds	394.660	North Carolina Eastern Municipal Power Agency	CO-MGR
05/31/12	General Obligation Bonds	51.095	West Haven City-Connecticut	SOLE
05/31/12	General Obligation BANs	6.150	West Haven City-Connecticut	SOLE
05/31/12	GO Refunding Bonds	69.135	Maricopa Co Community College Dt	SOLE

05/30/12	General Obligation Bonds	84.150	Knoxville City-Tennessee	SOLE
05/29/12	Water BANs	3.366	Landis-North Carolina	SOLE
05/29/12	7Mill Tax Pldg Ref Imp Rev Bonds	163.880	Chatham Co Hospital Authority	SENIOR
05/24/12	Wastewater System Rev Ref Bonds	183.365	Los Angeles City-California	CO-MGR
05/24/12	Revenue & Refunding Bonds	44.315	Maine Municipal Bond Bank	SENIOR
05/24/12	Refunding Bonds	22.120	Maine Municipal Bond Bank	SENIOR
05/24/12	Limited Obligation Bonds	34.105	Jacksonville-North Carolina	SENIOR
05/24/12	Financing Agency Rev Bonds	46.595	Vermont Ed & Hlth Bldgs Fin Agy	CO-MGR
05/23/12	Transport Contract Rev Ref Bonds	86.275	Fairfax Co Economic Dev Auth	SOLE
05/23/12	State Revolv Fund Prog Rev Bonds	69.775	South Dakota Conservancy Dt	SENIOR
05/23/12	State Revolv Fund Prog Rev Bonds	53.530	South Dakota Conservancy Dt	SENIOR
05/23/12	Community Invest Tax Ref Rev Bond	51.625	Hillsborough Co-Florida	SOLE
05/23/12	Comb Enterprise Sys Rev Ref Bonds	35.185	Greensboro City-North Carolina	SENIOR
05/23/12	Comb Enterprise Sys Rev Ref Bonds	3.200	Greensboro City-North Carolina	SENIOR
05/22/12	Wtrwrks & Swr Sys Ref Rev Bonds	110.600	Charleston City-South Carolina	SENIOR
05/21/12	Special Obligation Ref Bonds	25.400	Columbia City-Missouri	SOLE
05/17/12	Sr Lien Urban Renew & Redev Bonds	69.760	Portland City-Oregon	CO-MGR
05/17/12	Refunding Bonds	51.450	New Hampshire Muni Bond Bank	CO-MGR
05/17/12	Health Care Rev & Ref Rev Bonds	155.060	Charlotte-Mecklenburg Hosp Auth	CO-MGR
05/16/12	Water & Sewer Revenue Ref Bonds	64.585	Fort Lauderdale City-Florida	SOLE
05/16/12	Health Care Facs Rev & Ref Bonds	148.740	Norfolk City Eco Dev Auth	CO-MGR
05/15/12	Unltd Tax School Bldg & Ref Bonds	100.105	Denton Co (Lewisville) ISD	CO-MGR
05/15/12	Unltd Tax School Bldg & Ref Bonds	54.965	Dallas (Carrollton-Farmers Branch) ISD	CO-MGR
05/15/12	Unlimited Tax Refunding Bonds	22.465	Denton Co (Lewisville) ISD	CO-MGR
05/15/12	Housing Mtg Finance Prog Bonds	168.380	Connecticut Housing Finance Auth	CO-MGR
05/15/12	Housing Mortgage Fin Prog Bonds	24.620	Connecticut Housing Finance Auth	CO-MGR
05/15/12	Housing Mortgage Fin Prog Bonds	15.010	Connecticut Housing Finance Auth	CO-MGR
05/15/12	Housing Mortgage Fin Prog Bonds	12.745	Connecticut Housing Finance Auth	CO-MGR
05/15/12	Hospital Revenue Refunding Bonds	200.000	Riverton City-Utah	SOLE
05/15/12	GO Altern Rev Source Ref Bonds	15.770	Will Co-Illinois	SOLE
05/14/12	Hospital Revenue Refunding Bonds	101.930	Michigan State Hospital Fin Auth	CO-MGR
05/14/12	Gas Revenue Bonds	80.000	Georgia Municipal Gas Auth (MGAG)	SENIOR
05/10/12	Hospital Facs Rev Ref & Imp Bonds	273.620	Allen Co-Ohio	CO-MGR
05/10/12	Comb Enterprise Sys Rev Ref Bonds	10.745	Thomasville City-North Carolina	SOLE

05/09/12	Hospital Revenue Bonds	472.820	Ohio	CO-MGR
05/03/12	Transportation Revenue Ref Bonds	174.725	Metropolitan Transport Auth (MTA)	CO-MGR
05/03/12	Transportation Revenue Bonds	727.430	Metropolitan Transport Auth (MTA)	SENIOR
05/03/12	Revenue Bonds	97.560	Maryland Hlth & Hghr Ed Facs Au	CO-MGR
05/03/12	Ltd Obligation Refunding Bonds	9.615	Mooresville Town-North Carolina	SOLE
05/03/12	Health Care Facs Rev Ref Bonds	150.500	North Carolina Medical Care Commiss	CO-MGR
05/03/12	Environmental Infrastructure Bond	20.490	New Jersey Environ Infrast Trust	SOLE
05/03/12	Certificates of Participation	149.015	Lee Co School Board	SENIOR
05/03/12	Certificates of Participation	78.730	Orange Co School Board	CO-MGR
05/03/12	Certificates of Participation	56.445	Orange Co School Board	CO-MGR
05/03/12	Airport General Revenue Bonds	248.355	Atlanta City-Georgia	CO-MGR
05/03/12	Airport General Revenue Bonds	225.740	Atlanta City-Georgia	CO-MGR
05/02/12	Revenue Bonds	33.155	District of Columbia	SENIOR
05/01/12	Water System Revenue Bonds	97.720	Tigard City-Oregon	SENIOR
05/01/12	Unlimited Tax Refunding Bonds	82.755	Galveston Co (Clear Creek) ISD	SENIOR
05/01/12	Refunding Limited Oblig Bonds	27.850	Union Co-North Carolina	CO-MGR
05/01/12	Power Revenue & Refunding Bonds	650.000	Puerto Rico Electric Power Authority	CO-MGR
05/01/12	General Obligation Bonds	38.500	Platte Co (Park Hill) SD	SOLE
05/01/12	GO Refunding Bonds	143.555	Wisconsin	CO-MGR
05/01/12	GO Refunding Bonds	28.845	Union Co-North Carolina	SENIOR
04/30/12	Revenue Bonds	30.000	Mobile Special Care Facs Fin Auth	SOLE
04/30/12	Revenue & Rev Refunding Bonds	74.795	Florida Higher Ed Facs Fin Auth	SENIOR
04/26/12	Refunding Improvement Bonds	34.180	Fargo City-North Dakota	SOLE
04/26/12	Open Space Farmland Preserve Bond	281.140	Garden State Preservation Trust	SENIOR
04/26/12	Lease Revenue Refunding Bonds	267.625	California St Public Works Board	CO-MGR
04/26/12	Lease Revenue Bonds	42.050	California St Public Works Board	CO-MGR
04/26/12	Clean Wtr Revolve Fund Rev Bonds	131.410	Michigan Finance Authority	CO-MGR
04/25/12	Wtrwks & Swr Sys Rev Ref Bonds	22.760	Dorchester Co-South Carolina	SOLE
04/25/12	System Revenue Refunding Bonds	21.860	Arizona Board of Regents	SENIOR
04/25/12	System Revenue Bonds	74.050	Arizona Board of Regents	SENIOR
04/25/12	Revenue Bonds	49.995	Maryland Hlth & Hghr Ed Facs Au	SENIOR
04/25/12	Health System Revenue Bonds	82.620	Indiana Finance Authority	SOLE
04/25/12	Gotham West Housing Rev Bonds	87.000	NYS Housing Fin-Mortgage Agcy	SOLE
04/24/12	Various Purpose GO & Ref Bonds	1,343.805	California	CO-MGR

04/24/12	Health Care Facs Rev Ref Bonds	45.610	North Carolina Medical Care Commiss	CO-MGR
04/20/12	Revenue Bonds	8.370	Colorado Ed and Culture Fac Auth	SOLE
04/19/12	Pub Ed Capital Outlay Ref Bonds	223.585	Florida State BOE	SOLE
04/19/12	General Revenue & Ref Bonds	236.775	Massachusetts Water Resources Au	CO-MGR
04/19/12	GO Commercial Paper Bonds	17.957	Charlotte City-North Carolina	SENIOR
04/19/12	Future Tax Secured & Sub Bonds	800.000	NYC Transitional Finance Auth	CO-MGR
04/18/12	Waterworks Sys Ref Rev Bonds	18.950	Anderson Reg Jt Muni Water Sys	SOLE
04/18/12	Cigarette Tax Revenue Ref Bonds	1,041.745	New Jersey Economic Dev Auth	CO-MGR
04/17/12	Revenue Refunding Bonds	47.745	Fairfax Co Economic Dev Auth	SOLE
04/17/12	General Obligation Bonds	100.000	Santa Clara Co (East Side) UHSD	SOLE
04/17/12	GO School Refunding Bonds	25.660	Chesapeake City-Virginia	SENIOR
04/17/12	GO Pub Imp & Wtr & Swr Ref Bonds	35.005	Chesapeake City-Virginia	SENIOR
04/15/12	Unlimited Tax Refunding Bonds	60.868	Denton Co ISD	CO-MGR
04/15/12	GO Refunding & Improvement Bonds	33.590	Denton City-Texas	CO-MGR
04/15/12	Certificates of Obligation	44.675	Denton City-Texas	CO-MGR
04/12/12	Rev Anticipation Certificates	3.780	Carrollton Payroll Dev Auth	SOLE
04/12/12	Refunding Revenue Bonds	74.885	Utah Associated Muni Pwr Sys	CO-MGR
04/12/12	Pub Facs Company Ltd Oblig Bonds	58.980	Orange Co-North Carolina	CO-MGR
04/12/12	GO Refunding Bonds	175.495	Charlotte City-North Carolina	SENIOR
04/11/12	Utility System Revenue Ref Bonds	17.370	Lansing Board of Water & Light	CO-MGR
04/11/12	Revenue Refunding Bonds	19.130	North Charleston Sewer Dt	SOLE
04/11/12	General Obligation TANs	98.000	Cobb Co-Georgia	SOLE
04/10/12	General Obligation Bonds	13.570	Passaic Co-New Jersey	SOLE
04/05/12	Sub Lien Revenue Ref Bonds	286.585	Houston City-Texas	CO-MGR
04/05/12	Sub Lien Revenue Ref Bonds	217.135	Houston City-Texas	CO-MGR
04/05/12	General Obligation Bonds	370.000	New York City-New York	SOLE
04/05/12	General Obligation Bonds	75.000	New York City-New York	SOLE
04/05/12	Comb Utility Sys Rev Ref Bonds	38.280	Rock Hill City-South Carolina	SOLE
04/04/12	Water & Sewer Sys Rev Ref Bonds	43.295	Anderson City-South Carolina	SOLE
04/04/12	Health Care Facilities Rev Bonds	200.000	Rochester City-Minnesota	SENIOR
04/03/12	Water Revenue Refunding Bonds	12.105	Salt Lake & Sandy Metro Water Dt	SENIOR
04/03/12	Ref Certificates of Participation	124.565	Hillsborough Co School Board	CO-MGR
04/03/12	Lease Revenue Bonds	932.995	California St Public Works Board	CO-MGR
04/03/12	Infrastructure Imp GO Ref Bonds	40.150	Ohio Public Fac Commission	CO-MGR

04/03/12	Higher Education GO Ref Bonds	102.615	Ohio Public Fac Commission	CO-MGR
04/03/12	Higher Education GO Bonds	300.000	Ohio Public Fac Commission	CO-MGR
04/03/12	GO Public Improvement Ref Bonds	2,318.190	Puerto Rico	CO-MGR
04/02/12	Pollution Control Revenue Bonds	10.055	Monroe Co Development Authority	CO-MGR
04/01/12	Unltd Tax Schoolhouse & Ref Bonds	69.505	Harris Co (Klein) ISD	CO-MGR
04/01/12	Unlimited Tax Refunding Bonds	45.890	Webb Co (United) ISD	CO-MGR
04/01/12	Unlimited Tax Refunding Bonds	41.160	Harris Co (Goose Creek) CISD	CO-MGR
04/01/12	Unlimited Tax Refunding Bonds	15.470	Dallas Co (Sunnyvale) ISD	CO-MGR
04/01/12	Unlimited Tax Refunding Bonds	10.650	Williamson Co (Hutto) ISD	CO-MGR
04/01/12	Revenue Refunding Bonds	2.625	Barrow City-Alaska	SOLE
04/01/12	Revenue Bonds	5.120	Hale Center Education Facs Corp	SOLE
04/01/12	Refunding Bonds	13.920	Williamson Co (Hutto) ISD	CO-MGR
04/01/12	Permanent Improvement Ref Bonds	7.560	Conroe City-Texas	CO-MGR
03/30/12	Renewal BANs	50.000	Suffolk Co Water Authority	SOLE
03/29/12	Transportation Ref Rev Bonds	209.390	Jacksonville City-Florida	CO-MGR
03/29/12	Special Obligation Bonds	15.985	Kansas City-Missouri	CO-MGR
03/29/12	Special Oblig Ref & Imp Bonds	59.280	Kansas City-Missouri	CO-MGR
03/29/12	Revenue Refunding Bonds	67.000	Nashville-Davidson Metro H&E Bd	SOLE
03/29/12	Revenue Refunding Bonds	67.000	Nashville-Davidson Metro H&E Bd	SENIOR
03/29/12	Revenue Refunding Bonds	42.315	Nashville-Davidson Metro H&E Bd	SENIOR
03/29/12	Jacksonville Sales Tax Ref Rev Bd	280.050	Jacksonville City-Florida	CO-MGR
03/29/12	Homeowner Mortgage Revenue Bonds	19.940	NYS Housing Fin-Mortgage Agcy	CO-MGR
03/29/12	Homeowner Mortgage Revenue Bonds	12.000	NYS Housing Fin-Mortgage Agcy	CO-MGR
03/29/12	Homeowner Mortgage Rev Bonds	93.125	NYS Housing Fin-Mortgage Agcy	CO-MGR
03/28/12	Single Family Housing Rev Bonds	45.255	Massachusetts Housing Fin Agcy	CO-MGR
03/28/12	Single Family Housing Rev Bonds	38.425	Massachusetts Housing Fin Agcy	CO-MGR
03/28/12	Power Supply System Revenue Bonds	32.950	Missouri Jt Muni Elec Util Comm	CO-MGR
03/28/12	Limited Obligation Bonds	16.845	Guilford Co-North Carolina	SOLE
03/28/12	GO Refunding Bonds	6.970	Portsmouth City-Virginia	SENIOR
03/28/12	GO Public Utility & Ref Bonds	75.785	Portsmouth City-Virginia	SENIOR

03/28/12	Dedicated Tax Funds	116.050	Metropolitan Transport Auth (MTA)	CO-MGR
03/27/12	Water and Sewer Sys Revenue Bonds	40.710	Loudoun Co Sanitation Auth	SOLE
03/22/12	Sewer & Water Rev Ref Bonds	17.375	Maine Municipal Bond Bank	SENIOR
03/22/12	Electric Revenue Refunding Bonds	4.485	Piedmont Muni Power Agency	SENIOR
03/22/12	Electric Revenue & Ref Bonds	33.020	Piedmont Muni Power Agency	SENIOR
03/22/12	2nd Gen Hway & Bridge Trust Fund	780.495	NYS Thruway Authority	CO-MGR
03/21/12	Revenue Bonds	251.045	California Health Facs Fin Auth	CO-MGR
03/21/12	Ref Certificates of Participation	50.675	Los Angeles Co-California	SENIOR
03/21/12	GO Various Purpose Ref Bonds	123.425	Columbus City-Ohio	CO-MGR
03/21/12	GO & Ref Vermont Citizen Bonds	94.060	Vermont	CO-MGR
03/20/12	TANs	20.000	Merrimack Co-New Hampshire	SOLE
03/20/12	Sewer System Revenue Ref Bonds	71.395	South Carolina Renew Water Res	SOLE
03/20/12	Refunding Revenue Bonds	26.790	Colorado Health Facilities Auth	SOLE
03/20/12	GO Refunding Bonds	221.460	Wisconsin	CO-MGR
03/20/12	GO Refunding & Improvement Bonds	61.450	St Louis Co (Hazelwood) SD	CO-MGR
03/20/12	Enterprise Systems Rev Ref Bonds	44.200	Mooresville Town-North Carolina	SENIOR
03/19/12	Wtr&Swr Sys 2nd Gen Res Rev Bonds	522.505	NYC Municipal Water Fin Auth	CO-MGR
03/15/12	Schoolhouse & Refunding Bonds	171.185	Fort Bend Co (Lamar) CISD	CO-MGR
03/15/12	Local Hway & Bridge Svc Contract	235.480	NYS Thruway Authority	SENIOR
03/15/12	GO Refunding Bonds	449.070	Tennessee	CO-MGR
03/15/12	GO Refunding Bonds	15.215	Elgin City-Illinois	CO-MGR
03/15/12	Certificates of Participation	55.000	Pueblo Co-Colorado	SOLE
03/14/12	GO Qualified School Con Bonds	17.145	Guilford Co-North Carolina	SENIOR
03/14/12	GO Public Improvement & Ref Bonds	159.245	Guilford Co-North Carolina	SENIOR
03/08/12	Various Purpose GO Ref Bonds	1,904.595	California	SENIOR
03/08/12	School Financing Refunding Bonds	282.230	Virginia Public School Authority	SENIOR
03/08/12	General Obligation Bonds	1,030.615	New York City-New York	CO-MGR
03/08/12	GO Refunding Bonds	36.375	Hennepin Co-Minnesota	SOLE
03/08/12	Capital Fac Lease-App Bonds	32.700	Ohio	SENIOR
03/08/12	Capital Fac Lease-App Bonds	28.055	Ohio	SENIOR
03/06/12	Public Ed Cap Outlay Ref Bonds	285.820	Florida State BOE	SOLE
03/01/12	Water Revenue Proj & Ref Bonds	107.645	Salt Lake & Sandy Metro Water Dt	SENIOR
03/01/12	Water Commercial Paper Prog Notes	75.000	Phoenix City Civic Imp Corp	SOLE
03/01/12	Unlimited Tax Refunding Bonds	30.190	Taylor Co (Abilene) ISD	CO-MGR
03/01/12	Subordinate Excise Tax Rev Notes	15.000	Salt Lake City-Utah	SOLE

03/01/12	Revenue Bonds	32.145	Delaware Economic Dev Auth	SOLE
03/01/12	Rev Fin Sys Sr Lien Ref Bonds	22.295	Alamo Community College Dt	CO-MGR
03/01/12	Rev Fin Sys Sr Lien & Ref Bonds	55.800	Alamo Community College Dt	CO-MGR
03/01/12	Residential Revenue Bonds	65.800	NYC Housing Dev Corp	CO-MGR
03/01/12	Residential Revenue Bonds	2.000	NYC Housing Dev Corp	CO-MGR
03/01/12	Refunding Revenue Bonds	149.275	Massachusetts St College Bldg Au	CO-MGR
03/01/12	GO Refunding Bonds	30.950	Frisco City-Texas	SENIOR
03/01/12	GO Refunding Bonds	26.005	Nueces Co-Texas	CO-MGR
03/01/12	Federal Transportation Rev GANs	297.590	Virginia Transportation Board	CO-MGR
03/01/12	Electric & Gas Systems Rev Bonds	521.000	San Antonio City-Texas	CO-MGR
03/01/12	Certs of Oblig & Ltd Tax Ref Bond	45.810	Montgomery Co-Texas	CO-MGR
02/29/12	Revenue Bonds	1,800.450	Puerto Rico Aqueduct & Sewer Authority	CO-MGR
02/29/12	GO TANs	120.000	Anchorage City-Alaska	SOLE
02/28/12	Revenue Bonds	20.000	North Carolina Cap Facs Fin Agency	SOLE
02/28/12	General Revenue Bonds	212.400	Nebraska Public Power Dt	CO-MGR
02/28/12	GO Unlimited Tax Refunding Bonds	65.805	Will Co Forest Preserve Dt	SOLE
02/23/12	Water & Sewer Sys Rev & Sub Bonds	354.875	Jacksonville Electric Authority	CO-MGR
02/23/12	General Revenue Bonds	99.660	Univ of North Carolina-Charlotte	CO-MGR
02/23/12	General Revenue Bonds	36.940	Univ of North Carolina-Charlotte	CO-MGR
02/23/12	Airport System Revenue Bonds	235.150	Cleveland City-Ohio	CO-MGR
02/21/12	Motor Vehicle Fuel Tax GO Ref	271.055	Washington	SOLE
02/15/12	Unlmtd Tax School Building Bonds	19.420	Travis Co (Lago Vista) ISD	CO-MGR
02/15/12	Unlimited Tax School Bldg Bonds	23.170	Lubbock ISD	SENIOR
02/15/12	Unlimited Tax Refunding Bonds	28.290	Bell Co (Killeen) ISD	CO-MGR
02/15/12	Revenue Financing Sys Ref Bonds	195.850	Univ of Texas Sys Bd of Regents	CO-MGR
02/15/12	GO Municipal Purpose Loan Bonds	40.485	Cambridge City-Massachusetts	SOLE
02/14/12	GO Refunding Bonds	61.375	Sussex Co-Delaware	SENIOR
02/09/12	Hospital Revenue Bonds	88.460	Roanoke Economic Dev Authority	CO-MGR
02/09/12	GO Refunding Bonds	129.870	Denver City and Co SD #1	CO-MGR
02/09/12	Electric System Sub Rev Bonds	174.880	Jacksonville Electric Authority	CO-MGR

02/08/12	GO Refunding Bonds	175.560	Alaska	CO-MGR
02/07/12	Wtrwrks & Sewerage Rev Ref Bonds	13.160	Joliet City-Illinois	SOLE
02/07/12	Senior Notes	1,000.000	Puerto Rico Government Dev Bank	CO-MGR
02/07/12	Revenue Refunding Bonds	36.000	Ohio Air Quality Dev Authority	SENIOR
02/07/12	Revenue Refunding Bonds	30.000	Rockport City-Indiana	SENIOR
02/07/12	Revenue Refunding Bonds	7.300	Butler Co Industrial Dev Auth	SENIOR
02/07/12	Revenue Bonds	20.000	California Educational Facs Auth	SOLE
02/07/12	Refunding School Bonds	29.900	Mercer Co (East Windsor) RSD	CO-MGR
02/07/12	GO Refunding Bonds	23.490	Waukegan City-Illinois	SOLE
02/02/12	Stormwater System Rev Ref Bonds	19.365	Clearwater City-Florida	SENIOR
02/02/12	Revenue Bonds	369.570	Illinois Finance Authority	SENIOR
02/02/12	Revenue Bonds	102.365	Georgia Muni Electric Au (MEAG)	CO-MGR
02/01/12	Water System Revenue Ref Bonds	225.255	San Antonio City-Texas	CO-MGR
02/01/12	Water & Sewer Rev Ref Bonds	52.780	El Paso City-Texas	CO-MGR
02/01/12	Unltd Tax School Bldg & Ref Bonds	106.920	Harris Co (Cypress-Fairbanks) ISD	CO-MGR
02/01/12	Unltd Tax School Bldg & Ref Bonds	73.540	Harris Co (Pasadena) ISD	SENIOR
02/01/12	Unlimited Tax School Bldg Bonds	65.395	Chambers Co (Barbers Hill) ISD	SENIOR
02/01/12	Unlimited Tax Sch Building Bonds	24.885	Tarrant Co (Arlington) ISD	CO-MGR
02/01/12	School Building Revenue Ref Bonds	43.530	Kenton Co SD Fin Corp	SOLE
02/01/12	School Building & Refunding Bonds	125.810	Montgomery Co (Conroe) ISD	SENIOR
02/01/12	Rev Financing Sys Ref & Imp Bonds	163.240	Texas Tech Univ Board of Regents	SENIOR
02/01/12	Refunding Revenue Bonds	21.200	Clemson University	SOLE
02/01/12	Health Care Facility Rev Bonds	26.250	Birmingham Spec Care Facs Fin Au	SOLE
01/31/12	Revenue Bonds	27.670	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
01/30/12	Wtr & Swr 2nd Gen Res Rev Bonds	400.000	NYC Municipal Water Fin Auth	CO-MGR
01/26/12	Grant Antic Revenue Vehicle Bonds	179.540	North Carolina	CO-MGR
01/25/12	Revenue Bonds	23.955	Arizona Board of Regents	CO-MGR
01/25/12	General Obligation Bonds	150.570	Nashua City-New Hampshire	CO-MGR
01/25/12	GO Refunding Bonds	25.230	Hennepin Co-Minnesota	SOLE
01/24/12	General Obligation Bonds	80.000	Ohio Public Fac Commission	CO-MGR
01/24/12	General Obligation Bonds	12.000	Ohio Public Fac Commission	CO-MGR
01/24/12	GO Refunding Bonds	117.420	Ohio Public Fac Commission	CO-MGR
01/20/12	General Obligation Bonds	525.000	Illinois	SOLE

01/19/12	Refunding Bonds	39.325	New Jersey Hlth Care Fac Fin Au	SOLE
01/18/12	General Obligation Bonds	22.580	Trenton City-New Jersey	SOLE
01/15/12	Unltd Tax School Bldg & Ref Bonds	85.532	Collin Co (Frisco) ISD	CO-MGR
01/15/12	Tax & Pledge Rev Certs of Oblig	29.445	Midland City-Texas	SENIOR
01/05/12	Turnpike System Revenue Ref Bonds	42.115	New Hampshire	CO-MGR
01/04/12	Revenue Bonds	331.320	Massachusetts Dev Finance Agcy	CO-MGR
01/04/12	Project Revenue Bonds	154.345	Massachusetts St College Bldg Au	CO-MGR
01/04/12	Certificates of Participation	36.510	Charlotte City-North Carolina	SENIOR
01/01/12	Unltd Tax School Building Bonds	51.185	Hidalgo Co (Sharyland) ISD	CO-MGR
01/01/12	Unlimited Tax School Bldg Bonds	28.620	Denton Co (Lewisville) ISD	SENIOR
12/29/11	General Obligation Bonds	9.995	Northampton Co (Nazareth) ASD	SOLE
12/28/11	Revenue Bonds	340.000	Puerto Rico Infrastruct Fin Au	SENIOR
12/28/11	Revenue Bonds	192.830	Puerto Rico Infrastruct Fin Au	SENIOR
12/28/11	Revenue Bonds	136.385	Puerto Rico Infrastruct Fin Au	SENIOR
12/28/11	Grant Antic Rev Vehicle Bonds	145.535	North Carolina	CO-MGR
12/22/11	Multi-Family Housing Rev Bonds	154.650	NYC Housing Dev Corp	CO-MGR
12/22/11	Income Tax Secured Revenue Bonds	400.720	District of Columbia	SENIOR
12/22/11	Home Ownership Revenue Bonds	40.000	North Carolina Housing Fin Agcy	CO-MGR
12/21/11	Sports Facs Lease Rev Ref Bonds	62.915	Maryland Stadium Authority	CO-MGR
12/21/11	Sports Facs Lease Rev Ref Bonds	31.435	Maryland Stadium Authority	CO-MGR
12/21/11	Refunding Bonds	200.595	New Jersey Hlth Care Fac Fin Au	SENIOR
12/21/11	Housing Mortgage Fin Prog Bonds	40.800	Connecticut Housing Finance Auth	CO-MGR
12/21/11	Housing Mortgage Fin Prog Bonds	36.720	Connecticut Housing Finance Auth	CO-MGR
12/21/11	General Obligation Bonds	53.610	University of Minnesota Regents	SOLE
12/21/11	Gen Power Rev Subordinated Bonds	85.205	Georgia Muni Electric Au (MEAG)	CO-MGR
12/21/11	Enterprise System Rev Ref Bonds	22.960	Union Co-North Carolina	SOLE
12/21/11	Electric Sys Rev Warrants Bonds	28.285	Huntsville City-Alabama	CO-MGR
12/20/11	Waterworks & Sewerage Rev Ref	9.120	Joliet City-Illinois	SOLE
12/20/11	General Obligation Bonds	144.625	Philadelphia SD	CO-MGR
12/20/11	General Obligation Bonds	16.970	Philadelphia SD	CO-MGR
12/16/11	Electric System Revenue Bonds	278.395	Omaha Public Power Dt (OPPD)	CO-MGR
12/15/11	TRANs	2,150.000	New Jersey	SENIOR

12/15/11	Special Tax Oblig & Ref Bonds	455.075	Connecticut	CO-MGR
12/15/11	Revenue Refunding Bonds	32.580	Athens-Clarke Co Dev Auth	SENIOR
12/15/11	Revenue Bonds	64.280	Westchester Co Health Care Corp	SENIOR
12/15/11	Refunding Bonds	437.645	Puerto Rico Public Finance Corp	CO-MGR
12/15/11	GO Refunding Bonds	18.535	Brownsville City-Texas	CO-MGR
12/15/11	Building Aid Revenue Bonds	550.000	NYC Transitional Finance Auth	CO-MGR
12/14/11	Water & Sewerage Revenue Bonds	381.500	De Kalb Co-Georgia	CO-MGR
12/14/11	Public Utility Ref Rev Bonds	22.650	Manatee Co-Florida	CO-MGR
12/14/11	Combined Util Sys Rev BANs	13.075	Rock Hill City-South Carolina	SOLE
12/14/11	Airport Revenue Ref Bonds	233.830	Philadelphia City-Pennsylvania	CO-MGR
12/13/11	Sales Tax Revenue Bonds	1,006.475	Puerto Rico Sales Tax Fin Corp	CO-MGR
12/13/11	Home Purchase Revenue Bonds	97.120	California Department of Veteran Affairs	CO-MGR
12/13/11	Home Purchase Revenue Bonds	4.030	California Department of Veteran Affairs	CO-MGR
12/12/11	Refunding Revenue Antic Certs	51.190	Fulton Co Hospital Authority	SOLE
12/12/11	Refunding Revenue Antic Certs	16.260	Fulton Co Hospital Authority	SOLE
12/09/11	BANs	138.885	Hudson Co-New Jersey	SOLE
12/08/11	State Personal Inc Tax Rev Bonds	38.405	NYS Dorm Authority	SENIOR
12/08/11	Rental Housing Bonds	155.800	Virginia Housing Dev Auth (VHDA)	SENIOR
12/08/11	Rental Housing Bonds	19.500	Virginia Housing Dev Auth (VHDA)	CO-MGR
12/08/11	Liberty Revenue Bonds	672.480	New York Liberty Dev Corp	SENIOR
12/08/11	Lease Revenue Bonds	170.910	Maryland Eco Dev Corp (MEDCO)	SOLE
12/08/11	Justice Ctr Lease Rev Ref Bonds	49.825	St Louis Municipal Finance Corp	CO-MGR
12/08/11	Homeownership Finance Bonds	42.685	Minnesota Housing Fin Agency	CO-MGR
12/08/11	Guar Renewable Energy Lease Rev	33.100	Morris Co Improvement Authority	SENIOR
12/08/11	General Revenue Ref Bonds	327.160	Massachusetts Water Resources Au	CO-MGR
12/08/11	GO & Refunding Bonds	211.635	University of Connecticut	CO-MGR
12/08/11	Certificates of Participation	146.635	Colorado	CO-MGR
12/07/11	Transportation Revenue Bonds	480.165	Metropolitan Transport Auth (MTA)	CO-MGR
12/07/11	TRANs	173.000	Philadelphia City-Pennsylvania	SENIOR
12/01/11	Waterworks & Sewer Sys Rev Bonds	8.900	Myrtle Beach City-South Carolina	SOLE
12/01/11	Various Purpose Limited Tax Notes	24.850	Columbus City-Ohio	SOLE
12/01/11	Transportation Sys Bonds	1,315.000	New Jersey Trans Trust Fund Au	CO-MGR

12/01/11	Subordinate Lien Contract Rev	81.630	Canadian River Muni Water Auth	SENIOR
12/01/11	Revenue Refunding Bonds	48.250	Athens Housing Authority	SENIOR
12/01/11	Limited Tax GO Bonds	15.530	King Co-Washington	SOLE
12/01/11	Hospital Refunding Revenue Bonds	135.145	Lucas Co-Ohio	SENIOR
12/01/11	Hospital Refunding Revenue Bonds	9.455	Lenawee Co Hospital Fin Auth	SENIOR
12/01/11	General Revenue Bonds	120.000	Virginia Commonwealth Univ Hlth Sys	CO-MGR
12/01/11	GO Refunding Bonds	17.755	League City-Texas	CO-MGR
12/01/11	Comb Tax & Wtrwks & Swr Sys Rev	32.290	League City-Texas	CO-MGR
12/01/11	Comb Tax & Rev Certs of Oblig	9.820	McAllen City-Texas	CO-MGR
11/30/11	State Appropriation Rev Bonds	214.505	North Carolina Turnpike Authority	CO-MGR
11/30/11	Revenue Bonds	38.935	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
11/30/11	Poll Ctrl & Drinking Wtr Ref Rev	106.830	Missouri Env Imp & Energy Res Au	CO-MGR
11/30/11	Airport Revenue Refunding Bonds	152.465	Wayne Co Airport Auth	CO-MGR
11/30/11	Airport Revenue Refunding Bonds	16.965	Wayne Co Airport Auth	CO-MGR
11/29/11	Wtr Swr Sys 2nd Gen Res Rev Bonds	450.000	NYC Municipal Water Fin Auth	CO-MGR
11/29/11	Tobacco Settlement Rev Bonds	682.270	Minnesota Tobacco Securitization Auth	CO-MGR
11/29/11	Tobacco Settlement Rev Bonds	74.685	Minnesota Tobacco Securitization Auth	CO-MGR
11/29/11	Subordinated Highway Rev Bonds	485.230	Arizona Transportation Board	CO-MGR
11/29/11	Subordinated Highway Rev Bonds	70.670	Arizona Transportation Board	CO-MGR
11/29/11	Capital Imp Ltd Obligation Bonds	400.000	North Carolina	SOLE
11/23/11	Sales Tax Revenue Bonds	397.758	Puerto Rico Sales Tax Fin Corp	CO-MGR
11/23/11	Hospital Revenue Refunding Bonds	170.995	Ohio	CO-MGR
11/23/11	BANs	2.002	Manalapan-New Jersey	SOLE
11/22/11	Water & Sewer Refunding Rev Bonds	106.560	Cape Coral City-Florida	CO-MGR
11/22/11	Speed Revenue Bonds	30.915	Arizona Board of Regents	SENIOR
11/22/11	RANs	600.000	Massachusetts	SENIOR
11/22/11	GO Library Referendum Ref Bonds	42.200	Minneapolis City-Minnesota	SOLE
11/22/11	Electric Revenue Bonds	57.185	Delaware Municipal Electric Corp	CO-MGR
11/17/11	Revenue Bonds	94.920	Maryland Hlth & Hghr Ed Facs Au	CO-MGR
11/17/11	Home Ownership Revenue Ref Bonds	136.160	North Carolina Housing Fin Agcy	CO-MGR

11/17/11	Future Tax Secured Bonds	600.000	NYC Transitional Finance Auth	CO-MGR
11/16/11	Water & Wstwtr Rev & Ref Bonds	184.855	Philadelphia City-Pennsylvania	CO-MGR
11/16/11	Revenue Bonds	104.780	California Infrstr & Eco Dev Bank	CO-MGR
11/16/11	Revenue Bonds	15.310	California Infrstr & Eco Dev Bank	CO-MGR
11/16/11	Gulf Opp Zone Ind Rev Bonds	64.700	Mississippi Business Fin Corp	SOLE
11/16/11	Electric Sys Rev Refunding Bonds	120.235	Pinal Co Electrical Dt #3	CO-MGR
11/16/11	Educational Facilities Rev Bonds	163.335	Virginia College Building Auth	SOLE
11/16/11	Airport System Revenue Bonds	74.385	Cleveland City-Ohio	CO-MGR
11/15/11	Waterworks & Sewer Sys Rev Bonds	30.875	Laredo City-Texas	CO-MGR
11/15/11	Unlimited Tax Refunding Bonds	9.255	Montgomery Co (New Caney) ISD	CO-MGR
11/15/11	Revenue Bonds	112.460	Marysville-California	SENIOR
11/15/11	Revenue Bonds	11.745	Fulton Co Development Authority	SENIOR
11/15/11	GO Refunding Bonds	24.440	Orange Co-North Carolina	SOLE
11/14/11	General Obligation Bonds	352.955	Connecticut	CO-MGR
11/14/11	General Obligation Bonds	54.595	Connecticut	CO-MGR
11/14/11	General Obligation Bonds	50.775	Connecticut	CO-MGR
11/14/11	General Obligation Bonds	36.175	Connecticut	CO-MGR
11/14/11	General Obligation Bonds	30.000	Connecticut	CO-MGR
11/14/11	General Obligation Bonds	25.500	Connecticut	CO-MGR
11/14/11	Gas Revenue Refunding Bonds	55.000	Georgia Municipal Gas Auth (MGAG)	SENIOR
11/14/11	GO Refunding Bonds	70.105	Connecticut	CO-MGR
11/14/11	GO Refunding Bonds	30.615	Connecticut	CO-MGR
11/14/11	GO Refunding Bonds	28.690	Connecticut	CO-MGR
11/14/11	GO Refunding Bonds	21.410	Connecticut	CO-MGR
11/10/11	Transportation Rev Ref Bonds	197.950	Metropolitan Transport Auth (MTA)	CO-MGR
11/10/11	Revenue Bonds	416.990	Colorado Health Facilities Auth	CO-MGR
11/10/11	Rev Ref Certificates Antic Notes	143.205	Orange Co Sanitation Dt	SOLE
11/10/11	General Obligation Bonds	58.440	Charleston Co SD	SOLE
11/09/11	School RANs	145.000	Milwaukee City-Wisconsin	SOLE
11/09/11	Lease Revenue Bonds	496.830	California St Public Works Board	CO-MGR

11/09/11	Facilities Improvement Rev Bonds	14.100	Montana Bd of Regents of Higher Ed	SOLE
11/09/11	Airport Special Facs Rev Bonds	60.295	Charlotte City-North Carolina	CO-MGR
11/09/11	Airport Revenue Bonds	76.100	Charlotte City-North Carolina	CO-MGR
11/09/11	Airport Revenue Bonds	34.250	Charlotte City-North Carolina	CO-MGR
11/04/11	Sales Tax Receipts Revenue Bonds	476.905	Chicago Transit Authority	SENIOR
11/04/11	Cap Grant Receipts Rev Ref Bonds	56.525	Chicago Transit Authority	SENIOR
11/03/11	Special Tax Rev & Rev Ref Bonds	290.000	Detroit Reg Convention Facs Auth	SOLE
11/03/11	Special Tax Rev & Rev Ref Bonds	25.000	Detroit Reg Convention Facs Auth	SOLE
11/03/11	Single Family Spec Oblig Bonds	61.330	Connecticut Housing Finance Auth	CO-MGR
11/03/11	Senior Dedicated Sales Tax Bonds	1,000.000	Massachusetts School Bldg Auth	CO-MGR
11/03/11	Housing Bonds	53.830	Massachusetts Housing Fin Agcy	CO-MGR
11/03/11	Housing Bonds	3.650	Massachusetts Housing Fin Agcy	CO-MGR
11/03/11	Clean & Drinking Wtr Ref Bonds	282.720	Michigan Finance Authority	CO-MGR
11/02/11	TANs	125.000	Broward Co SD	SOLE
11/02/11	Subordinate Airport Rev Ref Bonds	52.015	Minneapolis-St Paul Metro Airports Comm	CO-MGR
11/02/11	Rev Certificates of Participation	20.600	West Kern Water Dt	SOLE
11/02/11	Hospital Revenue Refunding Bonds	195.705	Ohio	CO-MGR
11/02/11	General Obligation Bonds	85.620	Virginia	CO-MGR
11/02/11	Economic Recovery Refunding Bonds	438.635	California	SENIOR
11/01/11	Unlimited Tax Refunding Bonds	19.480	Tarrant Co (Arlington) ISD	CO-MGR
11/01/11	Unlimited Tax Refunding Bonds	14.095	Lubbock ISD	SENIOR
11/01/11	Revenue Bonds	109.100	Washington Health Care Facs Au	CO-MGR
11/01/11	Maintainance Tax Notes	40.730	Laredo Comm College Dt	SENIOR
11/01/11	Limited Tax Refunding Bonds	36.330	Del Mar College Dt	SENIOR
11/01/11	Limited Tax Notes	5.745	Temple City-Texas	SENIOR
11/01/11	General Obligation Notes	70.425	Chicago City-Illinois	SENIOR
11/01/11	General Obligation Bonds	33.330	Northampton Co (Bethlehem) ASD	CO-MGR
11/01/11	GO Refunding Bonds	13.450	Temple City-Texas	SENIOR
11/01/11	Future Tax Secured Sub Bonds	250.000	NYC Transitional Finance Auth	CO-MGR
11/01/11	Combined Fee Revenue Bonds	12.390	Laredo Comm College Dt	SENIOR
10/31/11	Turnpike Sub & MLF Spec Rev Bonds	225.650	Pennsylvania Turnpike Commission	CO-MGR
10/27/11	Various Purpose GO Bonds	1,774.995	California	CO-MGR

10/27/11	Various Purpose GO Bonds	205.000	California	CO-MGR
10/27/11	Refunding Serial Bonds	50.375	Maine Municipal Bond Bank	SENIOR
10/27/11	Qualified School Constr Bonds	8.515	Maine Municipal Bond Bank	SENIOR
10/27/11	Hospital Revenue Bonds	260.795	Philadelphia Hosp & Hghr Ed Auth	CO-MGR
10/27/11	General Obligation Bonds	132.890	California	CO-MGR
10/27/11	GO School Bonds	51.000	Mecklenburg Co-North Carolina	SENIOR
10/27/11	GO Refunding Bonds	32.055	Raleigh City-North Carolina	SENIOR
10/27/11	GO Refunding Bonds	1.870	Raleigh City-North Carolina	SENIOR
10/27/11	GO Public Improvement Bonds	49.000	Mecklenburg Co-North Carolina	SENIOR
10/27/11	Combined Enterprise Sys Rev Bonds	18.370	Burlington-North Carolina	SENIOR
10/26/11	State Revolving Fund Prog Bonds	191.385	Indiana Finance Authority	CO-MGR
10/26/11	Refunding Revenue Bonds	599.860	Palm Beach Co Solid Waste Auth	CO-MGR
10/26/11	Hudson Yards Senior Rev Bonds	1,000.000	Hudson Yards Infrastructure Corp	CO-MGR
10/26/11	General Obligation Bonds	255.400	Tennessee	CO-MGR
10/26/11	GO Refunding Bonds	62.270	Tennessee	CO-MGR
10/25/11	General Revenue Bonds	48.870	University of Alaska	SOLE
10/25/11	General Obligation Bonds	6.600	Lexington Co SD #1	SOLE
10/20/11	Single Family Mortgage Bonds	56.900	South Dakota Housing Dev Auth	CO-MGR
10/20/11	Refunding Bonds	43.970	New Hampshire Muni Bond Bank	CO-MGR
10/19/11	Revenue Bonds	125.000	Louisiana Public Facs Auth (LPFA)	CO-MGR
10/19/11	GO Environmental Prog & Ref Bonds	44.035	Michigan	SOLE
10/15/11	Unlimited Tax Refunding Bonds	62.078	Collin Co (Frisco) ISD	CO-MGR
10/14/11	GO Refunding Bonds	54.035	Mercer Co-New Jersey	CO-MGR
10/13/11	Water & Sewer Sys Rev Bonds	44.100	Cape Fear Public Utility Auth	CO-MGR
10/13/11	State Personal Inc Tax Rev Bonds	466.035	NYS Dorm Authority	SOLE
10/13/11	General Revenue Refunding Bonds	609.430	Triborough Bridge & Tunnel Auth	CO-MGR
10/13/11	General Obligation Bonds	528.640	New York City-New York	CO-MGR
10/13/11	General Obligation Bonds	145.555	New York City-New York	CO-MGR
10/13/11	BANs	6.800	Mahwah Twp-New Jersey	SOLE
10/12/11	Rev Certificates of Participation	14.295	Rosedale-Rio Bravo Wtr Storage Dt	SOLE
10/12/11	Rev Certificates of Participation	1.280	Rosedale-Rio Bravo Wtr Storage Dt	SOLE
10/12/11	Airport Facs Refunding Rev Bonds	67.945	Greater Orlando Aviation Auth	CO-MGR

10/12/11	Airport Facs Refunding Rev Bonds	40.425	Greater Orlando Aviation Auth	CO-MGR
10/06/11	Revenue Bonds	392.200	NYS Dorm Authority	CO-MGR
10/06/11	Revenue Bonds	108.415	NYS Power Authority	CO-MGR
10/06/11	Permanent Improvement & Ref Bonds	92.780	Harris Co-Texas	CO-MGR
10/05/11	Refunding Revenue Bonds	357.475	Jacksonville Electric Authority	CO-MGR
10/05/11	Refunding Revenue Bonds	7.935	Jacksonville Electric Authority	CO-MGR
10/04/11	Refunding Revenue Bonds	26.315	Michigan Public Power Agency	SOLE
10/04/11	Power Supply Revenue Bonds	87.355	Indiana Municipal Power Agency	CO-MGR
10/04/11	General Obligation Bonds	38.355	St Louis Spcl Admin Bd Trans SD	CO-MGR
10/04/11	GO Refunding Bonds	6.100	St Louis Spcl Admin Bd Trans SD	CO-MGR
10/04/11	GO Qualified Zone Academy Bonds	35.000	St Louis Spcl Admin Bd Trans SD	CO-MGR
10/03/11	Revenue Bonds	100.000	California Educational Facs Auth	SOLE
10/01/11	Unlimited Tax Refunding Bonds	31.915	Galveston Co (Clear Creek) ISD	SENIOR
10/01/11	Transmission Contract Ref Rev	599.195	Lower Colorado River Authority (LCRA)	CO-MGR
10/01/11	Higher Education Revenue Bonds	40.000	Red River Education Finance Corp	SOLE
09/29/11	Water & Sewer Sys Revenue Bonds	100.000	NYC Municipal Water Fin Auth	SOLE
09/29/11	Revenue Bonds	162.430	Wisconsin Health and Ed Fac Auth	CO-MGR
09/29/11	Lottery Revenue Refunding Bonds	242.240	Florida State BOE	SOLE
09/29/11	Limited Obligation Bonds	38.305	Orange Co-North Carolina	CO-MGR
09/29/11	Homeownership Finance Bonds	65.000	Minnesota Housing Fin Agency	CO-MGR
09/29/11	Combined Utility Rev Ref Bonds	2.984	Monroe-Georgia	SOLE
09/29/11	Airport System Revenue Ref Bonds	185.390	Metro Washington Airports Auth	CO-MGR
09/29/11	Airport System Revenue Ref Bonds	10.385	Metro Washington Airports Auth	CO-MGR
09/28/11	Various Purpose GO & Ref Bonds	2,365.685	California	CO-MGR
09/28/11	Sales & Use Tax Contractual Oblig	510.415	Harris Co Metro Trans Auth	CO-MGR
09/28/11	General Obligation Bonds	25.210	California	CO-MGR
09/28/11	Gas Works Revenue Refunding Bonds	88.855	Philadelphia City-Pennsylvania	CO-MGR
09/28/11	Electric Sys Gen Revenue Bonds	250.000	Long Island Power Authority	CO-MGR
09/28/11	Comb Util Sys First Lien Rev Ref	166.660	Houston City-Texas	SENIOR
09/27/11	Water Revenue Refunding Bonds	157.100	So California Metro Water Dt	CO-

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09/27/11	Rental Housing Bonds	17.480	Virginia Housing Dev Auth (VHDA)	CO-MGR
09/27/11	Homeownership Mortgage Bonds	74.650	Virginia Housing Dev Auth (VHDA)	CO-MGR
09/22/11	RANs	5,400.000	California	SENIOR
09/22/11	Homeowner Mortgage Revenue Bonds	151.190	NYS Housing Fin-Mortgage Agcy	CO-MGR
09/20/11	TANs	120.000	Suffolk Co-New York	SENIOR
09/15/11	Wtr & Swr Sys Imp & Ref Rev Bonds	121.050	Tampa City-Florida	SENIOR
09/15/11	State Facilities Refunding Bonds	101.530	Ohio Building Authority	CO-MGR
09/15/11	State Facilities Refunding Bonds	38.595	Ohio Building Authority	CO-MGR
09/15/11	State Facilities Refunding Bonds	9.215	Ohio Building Authority	CO-MGR
09/15/11	Multi-Family Housing Bonds	31.120	Massachusetts Housing Fin Agcy	CO-MGR
09/14/11	State Personal Inc Tax Rev Bonds	351.895	NYS Thruway Authority	CO-MGR
09/08/11	General Revenue Bonds	354.875	Regents of the Univ of California	CO-MGR
09/08/11	General Revenue Bonds	44.840	Regents of the Univ of California	CO-MGR
09/07/11	Water & Sewer Rev Ref Bonds	47.025	Clearwater City-Florida	SENIOR
09/07/11	GO Refunding Bonds	20.635	Guilford Co-North Carolina	SOLE
09/07/11	GO Aid Anticipation Cert Indebt	2.300	Martin Co West ISD #2448	SOLE
09/01/11	Water & Sewer System Rev Bonds	5.160	Conroe City-Texas	CO-MGR
09/01/11	School Building Bonds	69.001	Grayson Co (Denison) ISD	CO-MGR
09/01/11	Sales Tax Revenue Bonds	13.845	Conroe Industrial Dev Corp	CO-MGR
09/01/11	Revenue Obligations Ref Bonds	288.515	SC Pub Svc Au (Santee Cooper)	CO-MGR
09/01/11	Permanent University Fund Bonds	87.145	Texas A&M University System	CO-MGR
09/01/11	Limited Obligation Ref Bonds	23.520	Rockingham Co-North Carolina	CO-MGR
09/01/11	GO Aid Antic Certs of Indebt	1.625	Wadena-Deer Creek ISD #2155	SOLE
09/01/11	Contract Revenue Bonds	8.205	Jefferson Co Drainage Dt #6	CO-MGR
09/01/11	Certificates of Obligation	18.235	Conroe City-Texas	CO-MGR
08/31/11	Unemployment Compensation Rev	187.570	Idaho Housing & Fin Assoc	CO-MGR
08/31/11	Revenue Bonds	36.535	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
08/31/11	Revenue Bonds	3.440	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
08/31/11	Power Supply Revenue Bonds	479.783	California Dept of Wtr Resources	CO-

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08/31/11	Power Supply Revenue Bonds	479.782	California Dept of Wtr Resources	CO-MGR
08/30/11	GO Refunding Alternate Bonds	5.955	Winnebago Co-Illinois	CO-MGR
08/30/11	911 Revenue Bonds	60.380	Minnesota	CO-MGR
08/25/11	Single Family Hsg Revenue Bonds	21.940	Massachusetts Housing Fin Agcy	CO-MGR
08/25/11	Home Ownership Revenue Bonds	34.000	North Carolina Housing Fin Agcy	CO-MGR
08/24/11	Water System Revenue Bonds	307.140	Los Angeles Dept of Wtr & Power	CO-MGR
08/24/11	Sewer & Drainage Sys Rev Bonds	263.360	Louisville-Jefferson Co Metro Swr Dt	SOLE
08/24/11	Limited Obligation Bonds	20.715	Fayetteville State University	SENIOR
08/24/11	Government Facilities Rev Bonds	303.945	Puerto Rico Pub Bldgs Authority	CO-MGR
08/24/11	GO Aid Antic Cert of Indebtedness	2.330	Morrison Co (Royalton) ISD #485	SOLE
08/23/11	Wtr PC Loan Fd Rev Ref Bonds	101.210	Ohio Water Development Authority	CO-MGR
08/23/11	Future Tax Secured Sub Bonds	450.000	NYC Transitional Finance Auth	CO-MGR
08/23/11	Future Tax Secured Sub Bonds	424.145	NYC Transitional Finance Auth	CO-MGR
08/23/11	Future Tax Secured Sub Bonds	171.970	NYC Transitional Finance Auth	CO-MGR
08/22/11	State Aid Revenue Notes	694.145	Michigan Finance Authority	CO-MGR
08/16/11	Utility System Ref Rev Bonds	148.920	Tampa Bay Water Auth	CO-MGR
08/16/11	Utility System Ref Rev Bonds	140.645	Tampa Bay Water Auth	CO-MGR
08/16/11	Capital Grant Reciepts Bonds	201.615	Southeast Pennsylvania Trans Auth	CO-MGR
08/15/11	Unltd Tax School Building Bonds	16.793	Dallas Co (Sunnyvale) ISD	CO-MGR
08/15/11	Unlimited Tax School Bldg Bonds	22.010	Gregg Co (Pine Tree) ISD	CO-MGR
08/11/11	Revenue Bonds	280.750	Maine Hlth & Hghr Ed Facs Auth	CO-MGR
08/11/11	Revenue Bonds	30.215	Cobb Co Development Auth	SENIOR
08/11/11	GO Aid Antic Cert of Indebtedness	1.100	Lake Crystal Wellcome ISD #2071	SOLE
08/11/11	BANs	5.475	Mahwah Twp-New Jersey	SOLE
08/10/11	General Obligation & Ref Bonds	282.820	Texas Public Finance Auth (TPFA)	CO-MGR
08/10/11	GO Refunding Bonds	3.880	Leesburg Town-Virginia	CO-MGR
08/10/11	GO & Refunding Bonds	26.990	Leesburg Town-Virginia	CO-MGR
08/09/11	General Obligation Bonds	714.715	New York City-New York	CO-MGR

08/05/11	GO Bonds State & Local Facs Loan	15.900	Maryland	SOLE
08/04/11	Housing Mortgage Fin Prog Bonds	63.675	Connecticut Housing Finance Auth	CO-MGR
08/03/11	Water & Sewer Sys Rev Ref Bonds	93.390	Charlotte City-North Carolina	SENIOR
08/01/11	Utility System Revenue Ref Bonds	10.195	Iowa State Board of Regents	SOLE
08/01/11	Unltd Tax School Bldg & Ref Bonds	92.000	Tarrant Co (Grapevine-Colleyville) ISD	CO-MGR
08/01/11	School Building Bonds	124.695	Hurst-Euless-Bedford ISD	CO-MGR
08/01/11	General Purpose Refunding Bonds	46.680	Fort Worth City-Texas	CO-MGR
08/01/11	GO Water Revenue Bonds	.610	Brewster-Minnesota	SOLE
07/28/11	Revenue Bonds	12.195	Michigan State Building Auth	CO-MGR
07/28/11	Revenue & Rev Refunding Bonds	409.550	Michigan State Building Auth	CO-MGR
07/28/11	Revenue & Rev Refunding Bonds	125.126	Michigan State Building Auth	CO-MGR
07/28/11	Revenue & Rev Refunding Bonds	55.574	Michigan State Building Auth	CO-MGR
07/28/11	Interim RANs	5,400.000	California	SENIOR
07/28/11	Grant & Revenue Antic Bonds	72.925	Idaho Housing & Fin Assoc	CO-MGR
07/28/11	GO School Building Bonds	102.925	Salt Lake Co (Granite) SD BOE	SOLE
07/28/11	Electric System Revenue Bonds	125.380	Modesto Irrigation Dt	CO-MGR
07/28/11	Electric System Ref Revenue Bonds	16.075	Modesto Irrigation Dt	CO-MGR
07/21/11	TANs	450.000	Harris Co-Texas	SENIOR
07/21/11	State Personal Inc Tax Rev Bonds	864.790	NYS Dorm Authority	SENIOR
07/21/11	State Personal Inc Tax Rev Bonds	44.635	NYS Dorm Authority	SENIOR
07/21/11	St Revolving Funds Rev Bonds	191.735	NYS Environmental Facs Corp	CO-MGR
07/21/11	Airport System Sub Lien Rev Ref	449.975	Houston City-Texas	CO-MGR
07/21/11	Airport System Sub Lien Rev Ref	116.930	Houston City-Texas	CO-MGR
07/20/11	Transportation Revenue Bonds	400.440	Metropolitan Transport Auth (MTA)	CO-MGR
07/20/11	Homeownership Finance Bonds	42.000	Minnesota Housing Fin Agency	CO-MGR
07/19/11	Operating Notes	800.000	Wisconsin	SENIOR
07/19/11	GO Sidewalk BANs	2.590	Carrboro-North Carolina	SOLE
07/15/11	School Building & Refunding Bonds	64.285	Collin Co (Allen) ISD	CO-MGR
07/14/11	Turnpike Revenue Bonds	150.165	Florida Dept of Transportation	SOLE
07/14/11	Single Family Mortgage Bonds	30.000	South Dakota Housing Dev Auth	CO-MGR
07/14/11	Ltd Obligation Refunding Bonds	37.755	Cumberland Co-North Carolina	CO-MGR

07/14/11	Hospital Revenue Bonds	23.160	Teton Co Hospital Dt	SOLE
07/14/11	Coastal Account Sr Secured Bonds	645.000	Florida Citizens Prop Ins Corp	CO-MGR
07/14/11	Coastal Account Sr Secured Bonds	150.000	Florida Citizens Prop Ins Corp	CO-MGR
07/14/11	Coastal Account Sr Secured Bonds	105.000	Florida Citizens Prop Ins Corp	CO-MGR
07/13/11	Educational Facs Revenue Bonds	10.300	Indiana Finance Authority	SOLE
07/13/11	Certificates of Participation	67.905	Palm Beach Co School Board	CO-MGR
07/13/11	Certificates of Participation	44.520	Palm Beach Co School Board	CO-MGR
07/12/11	GO Public Improvement & Ref Bonds	602.105	Puerto Rico	CO-MGR
07/07/11	Revenue Bonds	19.285	Bartow-Cartersville Jt Dev Auth	SOLE
07/07/11	GO & Refunding Bonds	114.575	Suffolk City-Virginia	CO-MGR
07/07/11	Asset-Backed Revenue Bonds	959.195	NY Tobacco Settlement Fin Corp	CO-MGR
07/06/11	TRANs	50.000	Berkeley City-California	SOLE
07/06/11	General Obligation Bonds	563.060	Utah	CO-MGR
07/06/11	General Obligation Bonds	46.860	Utah	CO-MGR
07/06/11	Cash Anticipation Warrant Certs	51.193	Iowa School Corporation	SOLE
07/06/11	Cash Anticipation Warrant Certs	31.500	Iowa School Corporation	SOLE
07/05/11	GO Water BANs	17.500	Pender Co-North Carolina	SOLE
07/01/11	TRANs	220.000	Houston City-Texas	SOLE
07/01/11	TRANs	80.000	San Francisco City & Co USD	SOLE
07/01/11	TRANs	26.600	Santa Barbara Co Schools Fin Au	SOLE
07/01/11	Revenue Bonds	119.085	Pennsylvania Hghr Ed Facs Auth	SENIOR
07/01/11	Inst Enterprise Rev Ref Bonds	41.690	Univ of No Colorado Bd of Trust	SOLE
07/01/11	Inst Enterprise Rev Ref Bonds	21.130	Univ of No Colorado Bd of Trust	SOLE
07/01/11	Helath System Rev & Ref Bonds	97.760	Oklahoma Dev Finance Auth	SOLE
07/01/11	General Obligation Bonds	91.140	Santa Clara USD	SOLE
07/01/11	General Obligation Bonds	25.000	Santa Clara USD	CO-MGR
07/01/11	Gen Imp Voc Tech Sch Coll Redev	80.000	Union Co-New Jersey	SOLE
07/01/11	Gen Imp & Comb Tax Rev Cert Oblig	139.265	San Antonio City-Texas	CO-MGR
07/01/11	GO School Building Ref Bonds	16.540	Ramsey Co (Mounds View) ISD #621	SOLE
07/01/11	College Student Loan Ref Bonds	6.570	Texas	CO-MGR
07/01/11	College Student Loan Bonds	118.650	Texas	CO-MGR
07/01/11	College Student Loan Bonds	27.020	Texas	CO-MGR
07/01/11	BANs	130.000	Union Co-New Jersey	SOLE
06/30/11	Power System Revenue Bonds	694.130	Los Angeles Dept of Wtr & Power	CO-

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06/30/11	Comb Enterprise Sys Rev Ref Bonds	19.620	Monroe City-North Carolina	SENIOR
06/30/11	Airport Revenue Refunding Bonds	29.535	St Louis City-Missouri	CO-MGR
06/29/11	Housing Revenue Bonds	67.000	NYS Housing Fin-Mortgage Agcy	SOLE
06/29/11	Educational Facilities Rev Bonds	119.335	Missouri Hlth & Ed Facs Authority	CO-MGR
06/29/11	Educational Facilities Rev Bonds	77.495	Missouri Hlth & Ed Facs Authority	CO-MGR
06/29/11	Certificates of Participation	166.210	Palm Beach Co SD	SENIOR
06/28/11	Multi-Family Housing Bonds	17.775	Massachusetts Housing Fin Agcy	CO-MGR
06/28/11	Health System Revenue Bonds	100.000	Dauphin Co General Authority	SOLE
06/28/11	GO Notes & Refunding Bonds	47.890	Portsmouth City-Virginia	SENIOR
06/23/11	Water & Sewer Sys Revenue Bonds	662.245	NYC Municipal Water Fin Auth	CO-MGR
06/23/11	Revenue Obligations	336.632	SC Pub Svc Au (Santee Cooper)	CO-MGR
06/23/11	Revenue Bonds	71.715	Koyukuk City-Alaska	SOLE
06/23/11	Multi-Family Housing Rev Bonds	12.350	Los Angeles City-California	SOLE
06/23/11	General Obligation Bonds	54.820	New York City-New York	SOLE
06/23/11	Comb Util Sys First Lien Rev Bond	426.410	Houston City-Texas	CO-MGR
06/22/11	Revenue Refunding Bonds	14.010	Connecticut Hlth & Ed Facs Auth	SOLE
06/22/11	Revenue Bonds	27.035	Connecticut Hlth & Ed Facs Auth	SOLE
06/22/11	Limited Obligation Revenue Bonds	119.115	Michigan Strategic Fund	CO-MGR
06/17/11	St Clean & Drink Wtr Revolv Bonds	520.675	NYS Environmental Facs Corp	CO-MGR
06/16/11	Hospital Revenue Bonds	37.500	Tarrant Co Cult Ed Fac Fin Corp	SOLE
06/16/11	Hospital Revenue Bonds	37.500	Tarrant Co Cult Ed Fac Fin Corp	SOLE
06/16/11	Gas Revenue Bonds	40.000	Georgia Municipal Gas Auth (MGAG)	CO-MGR
06/16/11	Gas Revenue Bonds	10.000	Georgia Municipal Gas Auth (MGAG)	CO-MGR
06/15/11	Waterworks Sys Adv Ref Rev Bonds	27.745	Anderson Reg Jt Muni Water Sys	SOLE
06/15/11	Utility System Revenue Bonds	250.000	Lansing Board of Water & Light	CO-MGR
06/15/11	Permanent Improvement & Ref Bonds	17.805	Arlington City-Texas	SOLE
06/15/11	General Obligation Serial Bonds	20.000	New Rochelle City-New York	SOLE
06/15/11	Electric System Revenue Bonds	143.375	Omaha Public Power Dt (OPPD)	CO-MGR
06/14/11	Transport Excise Tax Rev Bonds	136.480	Pima Co Reg Transportation Auth	CO-MGR
06/14/11	Homeownership Mortgage Bonds	70.000	Virginia Housing Dev Auth (VHDA)	CO-MGR
06/09/11	Refunding Revenue Bonds	135.040	Univ of Massachusetts Bldg Au	SOLE
06/09/11	Health System Revenue Bonds	136.680	Geisinger Authority	SENIOR
06/09/11	GO Prom Notes & Corp Purp Bonds	122.515	Milwaukee City-Wisconsin	SOLE

06/08/11	School Districts Revenue Bonds	327.315	NYS Dorm Authority	CO-MGR
06/07/11	Tax Allocation Bonds	41.050	Santa Monica Redev Agency	CO-MGR
06/07/11	Sr Lien & Sub Excise Tax Rev Ref	109.500	Phoenix City Civic Imp Corp	CO-MGR
06/07/11	Senior Lien Excise Tax Rev & Ref	51.835	Phoenix City Civic Imp Corp	CO-MGR
06/02/11	Revenue Bonds	28.000	California Infrstr & Eco Dev Bank	SOLE
06/02/11	Infra & St Moral Oblig Rev Bonds	72.270	Virginia Resources Auth (VRA)	CO-MGR
06/02/11	Infra & St Moral Oblig Rev Bonds	9.245	Virginia Resources Auth (VRA)	CO-MGR
06/01/11	Unlimited Tax Refunding Bonds	133.615	Montgomery Co (Conroe) ISD	CO-MGR
06/01/11	Unlimited Tax Refunding Bonds	9.205	Harris Co (Alief) ISD	SENIOR
06/01/11	Special Project Revenue Bonds	83.155	San Jacinto River Authority	CO-MGR
06/01/11	Rev Financing System Rev Bonds	86.775	Texas St Univ Sys Bd of Regents	CO-MGR
06/01/11	Refunding Revenue Bonds	177.530	Kansas City Industrial Dev Au	CO-MGR
06/01/11	Ltd Tax Ref & Certs of Obligation	29.130	Cameron Co-Texas	SENIOR
06/01/11	Airport Revenue Bonds	16.330	El Paso City-Texas	SOLE
05/31/11	General Obligation Bonds	113.735	Connecticut	CO-MGR
05/31/11	General Obligation Bonds	75.800	Connecticut	CO-MGR
05/31/11	General Obligation Bonds	61.905	Connecticut	CO-MGR
05/31/11	General Obligation Bonds	40.000	Connecticut	CO-MGR
05/31/11	General Obligation Bonds	13.245	Connecticut	CO-MGR
05/31/11	General Obligation Bonds	13.095	Connecticut	CO-MGR
05/31/11	General Obligation Bonds	9.135	Connecticut	CO-MGR
05/26/11	Revenue Bonds	77.275	Maine Municipal Bond Bank	SENIOR
05/26/11	Revenue Bonds	12.650	Maine Municipal Bond Bank	SENIOR
05/26/11	General Revenue Bonds	61.440	Nebraska Public Power Dt	SENIOR
05/26/11	GO Various Purpose Bonds	27.570	Minneapolis City-Minnesota	SOLE
05/25/11	University Revenue Bonds	40.000	District of Columbia	SENIOR
05/25/11	Telecom & Franchise Tax Rev Bonds	21.095	Utah Infrastructure Agency	CO-MGR
05/25/11	Telecom & Franchise Tax Rev Bonds	8.405	Utah Infrastructure Agency	CO-MGR
05/25/11	Revenue Bonds	600.000	Virginia Transportation Board	SOLE
05/25/11	Revenue Bonds	200.830	Univ of Colorado Hospital Auth	SOLE
05/24/11	Rental Housing Bonds	11.700	Virginia Housing Dev Auth (VHDA)	CO-

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05/23/11	Revenue Bonds	300.000	American Municipal Power Inc	SOLE
05/23/11	Revenue Bonds	55.035	American Municipal Power Inc	SOLE
05/20/11	Revenue Bonds	90.000	Illinois Finance Authority	CO-MGR
05/20/11	Revenue Bonds	48.235	Florida Municipal Loan Council	SOLE
05/20/11	Revenue Bonds	46.250	Illinois Finance Authority	SENIOR
05/19/11	Single Family Housing Rev Bonds	24.000	Massachusetts Housing Fin Auth	CO-MGR
05/19/11	Health Care Revenue Bonds	149.995	Charlotte-Mecklenburg Hosp Auth	CO-MGR
05/19/11	General Revenue Bonds	150.000	Massachusetts Water Resources Au	CO-MGR
05/18/11	Revenue Bonds	13.450	Kansas Development Fin Auth	SENIOR
05/17/11	Water & Elc Rev Ref & Imp Bonds	84.180	Columbia City-Missouri	SOLE
05/16/11	Revenue Bonds	156.705	Pennsylvania Hghr Ed Facs Auth	CO-MGR
05/15/11	Unlimited Tax Refunding Bonds	33.660	Denton Co (Lewisville) ISD	SENIOR
05/15/11	Unli Tax School Building Bonds	9.500	Chambers Co (Barbers Hill) ISD	CO-MGR
05/15/11	School Building & Refunding Bonds	83.981	Collin Co (Frisco) ISD	SENIOR
05/12/11	GO Public Project Bonds	20.460	Owensboro City-Kentucky	SOLE
05/11/11	Certificates of Participation	39.850	Manatee County School Board	CO-MGR
05/11/11	BANs	1.494	Linden City-New Jersey	SOLE
05/10/11	Refunding Gas Revenue Bonds	60.000	Georgia Municipal Gas Auth (MGAG)	SENIOR
05/05/11	Refunding Revenue Bonds	111.470	Oregon Facilities Authority	CO-MGR
05/05/11	Health Care Facs Revenue Bonds	285.000	Rochester City-Minnesota	SOLE
05/04/11	Subordinated Bonds	334.080	Georgia Muni Electric Au (MEAG)	CO-MGR
05/04/11	Subordinated Bonds	2.715	Georgia Muni Electric Au (MEAG)	CO-MGR
05/03/11	Ref Certificates of Participation	54.850	St Lucie Co School Board	CO-MGR
05/01/11	Dormitory Revenue Refunding Bonds	17.295	Iowa State Board of Regents	SOLE
05/01/11	Comb Tax & Rev Certs of Oblig	98.810	Sugar Land City-Texas	SOLE
04/29/11	School Revenue Bonds	40.470	Bethlehem Area SD Authority	SOLE
04/28/11	Tpke & Motor Lic Fd Rev Sub Bonds	238.275	Pennsylvania Turnpike Commission	CO-MGR
04/28/11	TANs	40.000	Essex Co-New Jersey	SOLE
04/28/11	Revenue Bonds	35.000	Providence Public Buildings Auth	SOLE
04/28/11	Revenue Bonds	17.830	Georgia Priv College & Univ Auth	SOLE
04/28/11	Refunding Electric Revenue Bonds	56.450	Riverside City-California	SOLE
04/28/11	Dedicated Tax Fund Bonds	294.460	Metropolitan Transport Auth (MTA)	CO-MGR
04/26/11	Water Revenue Bonds	32.440	Madera Irrigation Financing Au	SOLE
04/26/11	Sch Infra Sales Svc & Use Tax Rev	52.780	Black Hawk Co (Waterloo) CSD	SOLE

04/26/11	Revenue Bonds	42.500	Montgomery Co-Ohio	SOLE
04/25/11	Future Tax Secured Sub Bonds	649.425	NYC Transitional Finance Auth	SENIOR
04/21/11	BANs	13.433	Rockland Co-New York	SOLE
04/20/11	Ref Certificates of Participation	8.465	Arizona Board of Regents	SOLE
04/20/11	Homeownership Finance Bonds	67.500	Minnesota Housing Fin Agency	CO-MGR
04/20/11	General Obligation Notes	20.945	Reading City-Pennsylvania	CO-MGR
04/20/11	General Obligation Notes	.320	Reading City-Pennsylvania	CO-MGR
04/20/11	Bond Bank Bonds	35.035	Indianapolis Loc Pub Imp Bond Bank	CO-MGR
04/19/11	Hospital Revenue Bonds	42.085	Montana Facility Finance Auth	SOLE
04/18/11	GO Refunding Bonds	8.805	Mount Laurel Twp-New Jersey	SOLE
04/15/11	Unlimited Tax Refunding Bonds	18.415	Webb Co (Laredo) ISD	SENIOR
04/15/11	General Improvement Bonds	3.735	Palisades Park Borough-New Jersey	CO-MGR
04/15/11	Comb Tax & Rev Certs of Oblig	6.100	Kerrville City-Texas	CO-MGR
04/14/11	Ref Certificates of Participation	396.235	Denver City and Co SD #1	CO-MGR
04/14/11	Certificates of Participation	100.000	Denver City and Co SD #1	SOLE
04/13/11	Educational Facs Revenue Bonds	32.000	Shelby Co Hlth Ed & Hsg Facs Bd	CO-MGR
04/12/11	Special Hotel Tax Revenue Bonds	107.425	San Jose City-California	CO-MGR
04/12/11	Revenue Bonds	100.000	Montgomery Co-Ohio	SENIOR
04/12/11	Lease Revenue Bonds	30.985	San Jose Financing Authority	CO-MGR
04/07/11	University Revenue Bonds	45.000	District of Columbia	SOLE
04/07/11	General Obligation TANs	112.000	Anchorage City-Alaska	SOLE
04/06/11	Rev Certificates of Participation	8.400	Quartz Hill Water Dt	SOLE
04/05/11	Refunding Revenue Bonds	85.000	California Municipal Fin Auth	SOLE
04/05/11	Refunding Revenue Bonds	51.685	California Municipal Fin Auth	SOLE
04/05/11	GO Refunding Bonds	28.960	Contra Costa Co (Liberty) UHSD	SOLE
04/05/11	GO Convention Center Ref Bonds	71.250	Minneapolis City-Minnesota	SOLE
04/01/11	Unli Tax School Building Bonds	8.845	Galveston Co (Texas City) ISD	SENIOR
04/01/11	Limited Tax Bonds	68.500	Odessa Jr College Dt	CO-MGR
04/01/11	General Obligation Bonds	400.000	New York City-New York	CO-MGR
04/01/11	General Obligation Bonds	45.125	New York City-New York	CO-MGR
04/01/11	General Obligation Bonds	32.000	Biddeford City-Maine	SOLE
03/31/11	Wtr & Swr Sys Revenue Bonds	541.810	NYC Municipal Water Fin Auth	CO-MGR
03/31/11	Pollution Control Revenue Bonds	92.240	Burke Co Development Authority	CO-MGR
03/31/11	Pollution Control Revenue Bonds	47.610	Monroe Co Development Authority	CO-

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03/31/11	Pollution Control Revenue Bonds	40.530	Appling Co Development Auth	CO-MGR
03/31/11	Guaranteed Lease Revenue Bonds	17.805	Bethlehem Authority	SENIOR
03/31/11	General Airport Revenue Ref Bonds	91.430	Connecticut	SOLE
03/31/11	GO Refunding Bonds & Notes	14.665	Bethlehem City-Pennsylvania	SENIOR
03/31/11	Dedicated Tax Fund Ref Bonds	127.450	Metropolitan Transport Auth (MTA)	CO-MGR
03/31/11	Co Guar Pooled Loan Rev Ref Bonds	37.785	Burlington Co Bridge Commission	SOLE
03/30/11	General Obligation Bonds	478.185	New York	SOLE
03/30/11	General Obligation Bonds	21.865	New York	SOLE
03/30/11	GO Refunding Bonds	97.925	New York	SOLE
03/29/11	Certificates of Participation	139.055	Miami-Dade Co School Board	CO-MGR
03/29/11	Certificates of Participation	137.660	Miami-Dade Co School Board	CO-MGR
03/24/11	State Revolv Fund Gen Rev Bonds	182.935	Connecticut	CO-MGR
03/24/11	Single Family Mortgage Rev Bonds	158.840	Pennsylvania Housing Fin Agency	CO-MGR
03/22/11	First Lien Water System Rev Bonds	82.835	Portland City-Oregon	SOLE
03/21/11	Hospital Revenue Refunding Bonds	59.600	Salt Lake Co-Utah	SOLE
03/17/11	State Revolving Funds Rev Bonds	132.745	NYS Environmental Facs Corp	CO-MGR
03/17/11	GO Public Improvement Ref Bonds	442.015	Puerto Rico	CO-MGR
03/17/11	Electric Revenue Bonds	1.940	Piedmont Muni Power Agency	SOLE
03/10/11	TANs	55.000	Rockland Co-New York	SOLE
03/10/11	RANs	45.000	Rockland Co-New York	SOLE
03/10/11	Public School Bonds	37.000	Hancock Co Board of Education	SOLE
03/10/11	General Obligation Bonds	3,700.000	Illinois	CO-MGR
03/10/11	BANs	109.445	Oyster Bay Town-New York	SENIOR
03/09/11	First Lien Rev Refunding Bonds	273.275	Houston City-Texas	CO-MGR
03/08/11	Water Revenue Bonds	.624	Timber Lakes Water Spec Svc Dt	SOLE
03/08/11	General Obligation Bonds	25.000	Salt Lake Co-Utah	SOLE
03/04/11	TANs	9.800	Cumberland Co-Maine	SOLE
03/02/11	Sewer & Drainage Sys Sub BANs	226.340	Louisville-Jefferson Co Metro Swr Dt	SOLE
03/02/11	Revenue Refunding Bonds	5.825	Florida Municipal Loan Council	SOLE
03/02/11	Revenue Bonds	150.000	Pennsylvania Hghr Ed Facs Auth	CO-MGR
03/02/11	Educational Facilities Rev Bonds	15.000	Virginia Small Business Fin Auth	SOLE
03/01/11	Wtrwks Swr Sys Rev Ref Imp Bonds	69.005	Irving City-Texas	CO-MGR
03/01/11	Unltd Tax School Building Bonds	82.410	Montgomery Co (Conroe) ISD	CO-MGR
03/01/11	Hospital Revenue Bonds	50.000	West Virginia Hospital Fin Auth	SOLE

03/01/11	Electric Revenue Refunding Bonds	120.000	Piedmont Muni Power Agency	SENIOR
02/24/11	Utility Infra Revenue Ref Bonds	40.195	Maryland Eco Dev Corp (MEDCO)	SOLE
02/23/11	Unlimited Tax School Bldg Bonds	88.325	Williamson Co (Georgetown) ISD	CO-MGR
02/23/11	Revenue Fund Bonds	3.400	Minnesota State Coll and Univ	SOLE
02/22/11	School Facilities Con Ref Bonds	498.035	New Jersey Economic Dev Auth	CO-MGR
02/22/11	School Facilities Con Ref Bonds	312.495	New Jersey Economic Dev Auth	CO-MGR
02/22/11	School Facilities Con Ref Bonds	63.530	New Jersey Economic Dev Auth	CO-MGR
02/17/11	Revenue Anticipation Certificates	88.425	Gwinnett Co Hospital Authority	SOLE
02/17/11	GO Public Improvemnet Ref Bonds	356.520	Puerto Rico	CO-MGR
02/16/11	Revenue Bonds	300.000	NYS Dorm Authority	CO-MGR
02/16/11	Hospital Revenue Refunding Bonds	60.170	North Carolina Medical Care Commiss	CO-MGR
02/16/11	Cap Improvement Ltd Oblig Bonds	500.000	North Carolina	SOLE
02/15/11	Unlimited Tax School Bldg Bonds	80.000	Bexar Co (Northside) ISD	SOLE
02/15/11	Unlimited Tax School Bldg Bonds	44.090	Lubbock ISD	CO-MGR
02/15/11	GO School Bonds	22.000	Hampden (Hampden-Wilbraham) RSD	SOLE
02/15/11	GO Sales Tax Revenue Ref Bonds	44.000	Bemidji City-Minnesota	SOLE
02/15/11	GO Refunding Bonds	17.405	Lincoln Co-North Carolina	SOLE
02/10/11	University Revenue Bonds	53.505	Allegheny Co Higher Ed Bldg Auth	SENIOR
02/10/11	Insured Revenue Bonds	29.470	NYS Dorm Authority	SENIOR
02/10/11	Future Tax Secured Bonds	775.000	NYC Transitional Finance Auth	CO-MGR
02/09/11	Water & Sewer Sys Rev Ref Bonds	68.725	Oklahoma City Water Utilities Trust	CO-MGR
02/09/11	TANs	8.000	Hillside Twp-New Jersey	SOLE
02/09/11	Hospital Revenue Bonds	181.410	Lucas Co-Ohio	SENIOR
02/09/11	Hospital Refunding Revenue Bonds	17.445	Lenawee Co Hospital Fin Auth	SENIOR
02/03/11	General Obligation Bonds	17.000	Tigard City-Oregon	SOLE
02/03/11	Comb Tax & Tax Inc Certs of Oblig	2.210	Amarillo City-Texas	CO-MGR
02/03/11	Comb Tax & Revenue Certs of Oblig	3.750	Amarillo City-Texas	CO-MGR
02/01/11	System Revenue Bonds	51.890	Arizona Board of Regents	CO-MGR
02/01/11	Single Family Mortgage Bonds	16.905	Utah Housing Corporation (UHC)	CO-MGR
02/01/11	Single Family Mortgage Bonds	12.725	Utah Housing Corporation (UHC)	CO-MGR
02/01/11	General Obligation Bonds	5.490	Copperas Cove City-Texas	CO-MGR
02/01/11	Contract Revenue & Ltd Tax Bonds	39.000	Copperas Cove City-Texas	CO-MGR

01/27/11	Water and Sewer Sys Rev Bonds	450.000	NYC Municipal Water Fin Auth	CO-MGR
01/27/11	Revenue Financing Sys Bonds	31.500	Texas Public Finance Auth (TPFA)	CO-MGR
01/27/11	Revenue Bonds	80.275	Maine Municipal Bond Bank	SENIOR
01/27/11	Qualified School Con Bonds	9.210	Maine Municipal Bond Bank	SENIOR
01/27/11	BANs	2.185	Westchester Co (New Rochelle) SD	SOLE
01/26/11	Limited Obligation Bonds	14.805	Cumberland Co-North Carolina	CO-MGR
01/26/11	General Obligation Bonds	299.340	Chicago City-Illinois	CO-MGR
01/25/11	GANs	158.585	Arizona Transportation Board	CO-MGR
01/20/11	School Facs Constr Ref Bonds	215.620	New Jersey Economic Dev Auth	CO-MGR
01/20/11	School Facilities Con Ref Bonds	777.260	New Jersey Economic Dev Auth	CO-MGR
01/20/11	School Facilities Con Ref Bonds	123.220	New Jersey Economic Dev Auth	CO-MGR
01/20/11	Future Tax Secured Sub Bonds	875.000	NYC Transitional Finance Auth	CO-MGR
01/15/11	Public Improvement Bonds	1.600	Marcellus Town-New York	CO-MGR
01/13/11	Revenue Bonds	50.000	Massachusetts Dev Finance Agcy	SOLE
01/06/11	General Bonds	13.395	Vernon Twp-New Jersey	CO-MGR
01/04/11	General Obligation Bonds	100.000	New York City-New York	CO-MGR
01/03/11	GO Refunding Bonds	150.000	Philadelphia SD	SOLE
01/03/11	GO Refunding Bonds	125.880	Philadelphia SD	CO-MGR
01/01/11	General Obligation Bonds	1.500	South Berwick-Maine	CO-MGR
01/01/11	GO Refunding Bonds	17.785	Beaumont City-Texas	CO-MGR
01/01/11	Certificates of Obligation	35.380	Beaumont City-Texas	CO-MGR
12/30/10	Revenue Bonds	24.040	Albany Dougherty Payroll Dev Au	SOLE
12/30/10	General Improvement Bonds	15.000	Ocean Co-New Jersey	CO-MGR
12/29/10	Transportation Revenue Bonds	750.000	Metropolitan Transport Auth (MTA)	CO-MGR
12/29/10	School District Bonds	3.685	Genesee Co (Batavia) SD	CO-MGR
12/29/10	Revenue Bonds	36.475	Savannah Economic Dev Auth	SOLE
12/29/10	Public Utils Rev Ref & Imp Bonds	6.720	Manatee Co-Florida	CO-MGR
12/29/10	Public Utilities Rev Imp Bonds	45.300	Manatee Co-Florida	CO-MGR
12/29/10	Public Utilities Rev Imp Bonds	17.925	Manatee Co-Florida	CO-MGR

12/29/10	Public Utilities Rev Imp Bonds	8.190	Manatee Co-Florida	CO-MGR
12/29/10	Power Revenue Bonds	355.730	Puerto Rico Electric Power Authority	CO-MGR
12/29/10	General Revenue Bonds	14.720	Univ of North Carolina Bd of Gov	SOLE
12/29/10	General Revenue Bonds	4.525	Univ of North Carolina Bd of Gov	SOLE
12/29/10	General Revenue Bonds	.205	Univ of North Carolina Bd of Gov	SOLE
12/29/10	General Obligation Bonds	13.025	St Louis Park City-Minnesota	CO-MGR
12/29/10	Combined Enterprise Sys Rev Bonds	11.825	Burlington-North Carolina	SENIOR
12/29/10	Combined Enterprise Sys Rev Bonds	10.700	Burlington-North Carolina	SENIOR
12/28/10	Various Purpose GO Pub Proj Bonds	6.305	Lexington-Fayette Urban Co Govt	CO-MGR
12/28/10	Sales Tax Revenue Ref Bonds	14.467	West Valley City-Utah	SOLE
12/28/10	Public Improvement Ref Bonds	2.085	Spring Valley Village-New York	CO-MGR
12/28/10	General Obligation Bonds	11.035	Pike Co Public Library Dt	CO-MGR
12/23/10	Reg Sewerage System Revenue Bonds	79.975	Upper Occoquan Sewerage Auth	SOLE
12/23/10	Reg Sewerage System Revenue Bonds	5.205	Upper Occoquan Sewerage Auth	SOLE
12/23/10	Recovery Zone Facility Bonds	9.600	California Enterprise Dev Auth	SOLE
12/23/10	Master Lease Prog Cert of Part	15.140	Univ of So Florida (USF) Fin Corp	CO-MGR
12/23/10	Master Lease Prog Cert of Part	2.860	Univ of So Florida (USF) Fin Corp	CO-MGR
12/23/10	General Obligation Bonds	59.000	St Louis Co (Hazelwood) SD	CO-MGR
12/23/10	General Obligation Bonds	1.000	St Louis Co (Hazelwood) SD	CO-MGR
12/23/10	Electric Utility GO Ref Bonds	25.100	Vineland City-New Jersey	SOLE
12/22/10	TANs	390.000	Suffolk Co-New York	SENIOR
12/22/10	Multi-Family Housing Rev Bonds	57.910	NYC Housing Dev Corp	CO-MGR
12/22/10	Multi-Family Housing Rev Bonds	34.415	NYC Housing Dev Corp	CO-MGR
12/22/10	Income Tax Secured Revenue Bonds	342.615	District of Columbia	CO-MGR
12/22/10	General Obligation Bonds	181.330	District of Columbia	CO-MGR
12/22/10	GO Ltd Tax Building Auth Bonds	200.000	Wayne Co Building Authority	CO-MGR
12/22/10	Enterprise Systems Revenue Bonds	32.000	Sanford City-North Carolina	SENIOR
12/22/10	Enterprise Systems Revenue Bonds	18.230	Sanford City-North Carolina	SENIOR
12/22/10	Enterprise Systems Revenue Bonds	2.585	Sanford City-North Carolina	SENIOR
12/21/10	Revenue Bonds	19.800	NYC Capital Resource Corp	SOLE
12/21/10	Hospital Revenue Bonds	8.800	New Mexico Hosp Equip Loan	SOLE
12/21/10	General Obligation Bonds	902.000	New York City-New York	CO-MGR
12/21/10	General Obligation Bonds	56.644	St Louis Spcl Admin Bd Trans SD	CO-

				MGR
12/21/10	General Obligation Bonds	25.000	St Louis Spcl Admin Bd Trans SD	CO-MGR
12/21/10	Co Guar Pooled Loan Revenue Bonds	17.675	Burlington Co Bridge Commission	SOLE
12/21/10	Auxi Facility & Tuition Rev Bonds	80.830	University System of Maryland	SOLE
12/20/10	Revenue Bonds	355.035	American Municipal Power Inc	SOLE
12/18/10	St Revolving Fund Prog Rev Bonds	53.685	South Dakota Conservancy Dt	CO-MGR
12/18/10	St Revolving Fund Prog Rev Bonds	38.695	South Dakota Conservancy Dt	CO-MGR
12/17/10	Utility Revenue Refunding Bonds	12.535	Florida Governmental Utility Authority	SOLE
12/17/10	Utility Refunding Revenue Bonds	6.315	Florida Governmental Utility Authority	SOLE
12/16/10	Revenue Bonds	26.160	Univ of North Carolina-Asheville	SOLE
12/16/10	Revenue Bonds	20.000	Cuyahoga Co-Ohio	CO-MGR
12/16/10	Recovery Zone Facility Bonds	24.255	Los Angeles Co Reg Financing Au	SOLE
12/16/10	Multi-Family Housing Bonds	69.955	Massachusetts Housing Fin Agcy	CO-MGR
12/16/10	General Improvement Bonds	71.745	Nassau Co-New York	CO-MGR
12/16/10	General Improvement Bonds	53.255	Nassau Co-New York	CO-MGR
12/16/10	General Improvement Bonds	20.775	Monmouth Co-New Jersey	SOLE
12/16/10	Gen Imp & Reclamation Ctr Ref	32.575	Monmouth Co-New Jersey	SOLE
12/16/10	Gen Cap Imp & Swr Util Bonds	22.420	Howell Twp-New Jersey	CO-MGR
12/16/10	GO Village Hall Con Bonds	2.100	Waite Hill Village-Ohio	SOLE
12/16/10	Economic Development Rev Bonds	123.115	Cuyahoga Co-Ohio	CO-MGR
12/16/10	Economic Dev Revenue Bonds	200.235	Cuyahoga Co-Ohio	CO-MGR
12/16/10	Comb Utility Sys Revenue BANs	13.000	Rock Hill City-South Carolina	SOLE
12/16/10	Airport Revenue Refunding Bonds	222.490	Wayne Co Airport Auth	CO-MGR
12/16/10	Airport Revenue Refunding Bonds	188.415	Wayne Co Airport Auth	CO-MGR
12/16/10	Airport Revenue Refunding Bonds	28.045	Wayne Co Airport Auth	CO-MGR
12/16/10	Airport Revenue Refunding Bonds	8.795	Wayne Co Airport Auth	CO-MGR
12/16/10	Airport Revenue Bonds	116.000	Wayne Co Airport Auth	SOLE
12/15/10	Unltd Tax School Building Bonds	50.000	Collin Co (Frisco) ISD	CO-MGR
12/15/10	Unltd Tax GO Refunding Bonds	3.610	Edgartown Town-Massachusetts	CO-MGR
12/15/10	Unltd Tax GO Refunding Bonds	.510	Edgartown Town-Massachusetts	CO-MGR
12/15/10	Turnpike Revenue Bonds	1,850.000	New Jersey Turnpike Authority	CO-MGR
12/15/10	School District Bonds	16.000	Monroe Co (Greece) CSD	CO-

				MGR
12/15/10	School District Bonds	1.500	Suffolk Co (Copiague) UFSD	CO-MGR
12/15/10	Revolving Funds Revenue Bonds	145.040	Kansas Development Fin Auth	CO-MGR
12/15/10	Revolving Funds Revenue Bonds	60.520	Kansas Development Fin Auth	CO-MGR
12/15/10	Revolving Funds Revenue Bonds	8.390	Kansas Development Fin Auth	CO-MGR
12/15/10	Revenue Bonds	72.310	Northern Illinois Muni Pwr Agcy	CO-MGR
12/15/10	Refunding Bonds	2.800	North Arlington-New Jersey	CO-MGR
12/15/10	Public Improvement Bonds	2.390	LeRay-New York	CO-MGR
12/15/10	General Obligation Bonds	17.280	Reading City-Pennsylvania	SOLE
12/15/10	GO Refunding Bonds	6.480	Madison Town-Connecticut	CO-MGR
12/15/10	GO Public Improvement Bonds	17.990	Tompkins Co-New York	CO-MGR
12/15/10	GO Comm Preservation Bonds	2.030	Sudbury Town-Massachusetts	CO-MGR
12/15/10	City Guaranteed Parking Rev Bonds	117.270	New Brunswick Parking Authority	CO-MGR
12/15/10	City Guaranteed Parking Rev Bonds	26.200	New Brunswick Parking Authority	CO-MGR
12/15/10	City Guaranteed Parking Rev Bonds	24.600	New Brunswick Parking Authority	CO-MGR
12/15/10	City Guaranteed Parking Rev Bonds	5.930	New Brunswick Parking Authority	CO-MGR
12/15/10	Certificates of Participation	212.900	Colorado Reg Transportation Dt	CO-MGR
12/15/10	Certificates of Participation	100.000	Colorado Reg Transportation Dt	CO-MGR
12/15/10	Cap Asset Acq Spec Oblig Bonds	40.280	Miami-Dade Co-Florida	CO-MGR
12/14/10	Wtrwrks&Swr Sys Ref&Cap Imp Rev	131.040	Charleston City-South Carolina	SENIOR
12/14/10	Pollution Control Revenue Bonds	36.700	Coconino Co PC Corp	SOLE
12/14/10	General Obligation Bonds	213.555	Chicago City-Illinois	CO-MGR
12/10/10	Power Project Revenue Bonds	73.420	Missouri Jt Muni Elec Util Comm	CO-MGR
12/10/10	Power Project Revenue Bonds	4.585	Missouri Jt Muni Elec Util Comm	CO-MGR
12/09/10	School District Bonds	9.645	Suffolk Co (Hauppauge) UFSD	CO-MGR
12/08/10	State Personal Inc Tax Rev Bonds	413.760	Empire State Development Corp	CO-MGR
12/08/10	State Personal Inc Tax Rev Bonds	367.295	Empire State Development Corp	CO-MGR
12/08/10	State Personal Inc Tax Rev Bonds	328.365	Empire State Development Corp	CO-MGR

12/07/10	Transportation Revenue Bonds	754.305	Metropolitan Transport Auth (MTA)	CO-MGR
12/07/10	Revenue Bonds	260.000	American Municipal Power Inc	SENIOR
12/07/10	Revenue Bonds	45.495	American Municipal Power Inc	SENIOR
12/07/10	Revenue Bonds	20.000	American Municipal Power Inc	SENIOR
12/07/10	Revenue Bonds	4.570	American Municipal Power Inc	SENIOR
12/07/10	Public Improvement Bonds	3.000	Spring Valley Village-New York	CO-MGR
12/02/10	Power System Revenue Bonds	760.200	Los Angeles Dept of Wtr & Power	CO-MGR
12/01/10	Unltd Tax School Building Bonds	28.000	Yoakum Co (Plains) ISD	CO-MGR
12/01/10	Unltd & Ltd Sch Bldg & Ref Bonds	47.690	Fort Bend Co (Katy) ISD	SENIOR
12/01/10	School Building Revenue Bonds	8.555	Nelson Co SD Finance Corp	CO-MGR
12/01/10	School Building Revenue Bonds	4.425	Montgomery Co SD Fin Corp	CO-MGR
12/01/10	Qualified Zone Academy Bonds	1.825	Johnson Co SD Fin Corp	CO-MGR
12/01/10	Qualified Sch Construction Bonds	12.576	Montgomery Co SD Fin Corp	CO-MGR
12/01/10	Public Improvement Bonds	21.021	Dutchess Co-New York	CO-MGR
12/01/10	Dormitory Revenue Ref Bonds	10.160	Iowa State Board of Regents	SENIOR
12/01/10	Dormitory Revenue Bonds	16.790	Iowa State Board of Regents	SENIOR
12/01/10	Contract Revenue Bonds	13.680	Cinco Southwest MUD #1	SENIOR
11/30/10	Solid Waste Disp Rev Ref Bonds	70.000	North Carolina Cap Facs Fin Agency	SOLE
11/30/10	Solid Waste Disp Rev Ref Bonds	25.000	Yavapai Co Ind Dev Auth	SOLE
11/30/10	Solid Waste Disp Rev Ref Bonds	15.000	North Carolina Cap Facs Fin Agency	SOLE
11/30/10	Gulf Opp Zone Ind Dev Rev Bonds	150.000	Mississippi Business Fin Corp	SOLE
01/01/10	School Building Revenue Bonds	3.000	Covington ISD Finance Corp	CO-MGR

7. Provide a chronological listing of the firm's Senior Managed, and Co- Managed underwriting experience of Florida issues for the past five (5) years.

Dated Date	Issue Description	Size ($MM)	Issuer Name	Firm Role
12/15/15	Tax Increment Revenue & Ref Bonds	286.245	Miami Beach Redevelopment Agcy	CO-MGR
12/15/15	Tax Increment Revenue & Ref Bonds	35.850	Miami Beach Redevelopment Agcy	CO-MGR
12/02/15	Special Obligation Revenue Bonds	25.000	Hialeah-Florida	CO-MGR
12/02/15	Special Obligation Ref Rev Bonds	21.145	Hialeah-Florida	CO-MGR
11/04/15	Water & Sewer Revenue Ref Bonds	28.710	Bay Co-Florida	SENIOR
10/28/15	Certificates of Participation	62.970	Palm Beach Co School Board	CO-MGR
10/20/15	Airport Facilities Revenue Bonds	214.450	Greater Orlando Aviation Auth	CO-

				MGR
10/05/15	Utility System Rev Ref Bonds	52.285	Emerald Coast Utility Auth	CO-MGR
09/08/15	Ref Certificates of Participation	32.860	Marion Co School Board	CO-MGR
09/01/15	Health Care Facs Revenue Bonds	55.095	Jacksonville City-Florida	SOLE
08/20/15	Ref Certificates of Participation	100.625	Hillsborough Co SD	SENIOR
08/06/15	Refunding Revenue Bonds	48.385	UCF Convocation Corp	CO-MGR
08/06/15	Refunding Revenue Bonds	34.775	UCF Convocation Corp	CO-MGR
08/05/15	Stormwater Revenue Bonds	99.590	Miami Beach-Florida	SENIOR
07/21/15	Senior Lien Revenue BANs	193.695	Central Florida Expressway Au	SOLE
07/16/15	Water & Sewer Ref Rev Bonds	72.415	Cape Coral City-Florida	CO-MGR
07/16/15	Electric System Revenue Bonds	33.205	Jacksonville Electric Authority	CO-MGR
07/16/15	Electric System Revenue Bonds	9.150	Jacksonville Electric Authority	CO-MGR
06/30/15	Revenue Bonds	115.770	Florida Municipal Power Agency (FMPA)	SENIOR
06/25/15	Capital Improvement Revenue Bonds	24.330	Sumter Co-Florida	CO-MGR
06/11/15	Ref Certificates of Participation	36.225	Collier Co School Board	CO-MGR
06/02/15	Coastal Account Sr Secured Bonds	300.000	Florida Citizens Prop Ins Corp	CO-MGR
06/02/15	Coastal Account Sr Secured Bonds	275.000	Florida Citizens Prop Ins Corp	CO-MGR
06/02/15	Coastal Account Sr Secured Bonds	225.000	Florida Citizens Prop Ins Corp	CO-MGR
06/02/15	Coastal Account Sr Secured Bonds	150.000	Florida Citizens Prop Ins Corp	CO-MGR
06/02/15	Coastal Account Sr Secured Bonds	50.000	Florida Citizens Prop Ins Corp	CO-MGR
05/15/15	Multi-Family Mortgage Rev Bonds	15.943	Collier Co Housing Finance Auth	SOLE
05/14/15	Sales Tax Refunding Rev Bonds	79.880	St Lucie Co SD	CO-MGR
05/07/15	Refunding Revenue Bonds	65.970	Jacksonville Electric Authority	CO-MGR
05/07/15	Refunding Revenue Bonds	7.155	Jacksonville Electric Authority	CO-MGR
05/06/15	Ref Certificates of Participation	77.015	Univ of So Florida (USF) Fin Corp	SENIOR
04/30/15	Certificates of Participation	221.640	Palm Beach Co School Board	CO-MGR
04/22/15	Public Util Rev Ref & Imp Bonds	91.485	Manatee Co-Florida	SENIOR
04/16/15	Utility Refunding Revenue Bonds	12.605	Florida Governmental Utility Authority	SOLE
04/14/15	Hospital & Refunding Rev Bonds	154.905	South Broward Hospital Dt	SOLE
04/01/15	Educational Facilities Rev Bonds	96.420	Volusia Co Educational Fac Auth	CO-MGR
03/19/15	Electric System Revenue Bonds	83.325	Jacksonville Electric Authority	CO-

				MGR
03/12/15	Ref Certificates of Participation	100.695	Brevard Co School Board	CO-MGR
03/05/15	Public Educ Cap Outlay Ref Bonds	233.825	Florida State BOE	SOLE
03/03/15	Utility System Ref Rev Bonds	180.835	Tampa Bay Water Auth	CO-MGR
03/03/15	Utility System Ref Rev Bonds	95.975	Tampa Bay Water Auth	CO-MGR
02/25/15	Certificates of Participation	114.170	Orange Co School Board	CO-MGR
02/24/15	All Reqt Power Supply Proj Bonds	200.000	Florida Municipal Power Agency (FMPA)	SENIOR
02/19/15	Refunding Revenue Bonds	50.330	Palm Beach Co Solid Waste Auth	CO-MGR
02/11/15	Certificates of Participation	252.360	Broward Co School Board	CO-MGR
02/11/15	Certificates of Participation	170.805	Broward Co School Board	CO-MGR
01/21/15	GO Refunding Bonds	49.990	Miami-Dade Co-Florida	SOLE
01/21/15	Certificates of Participation	306.820	Miami-Dade Co School Board	CO-MGR
01/14/15	Certificates of Participation	145.535	Palm Beach Co School Board	CO-MGR
01/14/15	Certificates of Participation	132.340	Orange Co School Board	CO-MGR
12/18/14	Certificates of Participation	60.220	Lee Co School Board	CO-MGR
12/17/14	Aviation Revenue Refunding Bonds	598.915	Miami-Dade Co-Florida	SENIOR
12/17/14	Aviation Revenue Refunding Bonds	162.225	Miami-Dade Co-Florida	SENIOR
11/20/14	Ref Certificates of Participation	45.455	Indian River Co School Bd	CO-MGR
11/20/14	Cap Imp Special Rev & Ref Bonds	85.010	Orlando City-Florida	CO-MGR
11/13/14	Utility Ref Rev Bonds	20.625	Florida Governmental Utility Authority	SOLE
11/12/14	Certificates of Participation	12.005	Osceola Co School Board	SOLE
10/22/14	Ref Certificates of Participation	62.310	Brevard Co School Board	SENIOR
10/08/14	Ref Certificates of Participation	29.020	Martin Co School Board	CO-MGR
10/01/14	Sales Tax Revenue Ref Bonds	43.470	Polk Co SD	SOLE
09/18/14	Certificates of Participation	72.340	Lee Co School Board	SENIOR
09/10/14	Public Improvement Rev Ref Bonds	29.040	Pembroke Pines City-Florida	SENIOR
09/04/14	Hospital Revenue & Ref Bonds	170.895	Miami Beach Health Facs Auth	CO-MGR
08/22/14	Tax Increment Revenue Bonds	55.885	SE Overtown/Park West Comm Redev Agency	SOLE
07/15/14	Water & Sewer System Rev Bonds	300.200	Jacksonville Electric Authority	CO-MGR
07/08/14	Revenue Bonds	63.925	Jacksonville Electric Authority	CO-MGR
06/27/14	Certificates of Participation	166.010	Palm Beach Co School Board	CO-MGR
05/29/14	Revenue Bonds	88.790	Jacksonville Electric Authority	CO-

				MGR
05/13/14	Electric & Water Revenue Bonds	63.756	Lakeland City-Florida	SOLE
05/08/14	Seaport Revenue Bonds	181.320	Miami-Dade Co-Florida	CO-MGR
05/08/14	Seaport Revenue Bonds	20.150	Miami-Dade Co-Florida	CO-MGR
05/06/14	Certificates of Participation	63.840	Orange Co School Board	CO-MGR
03/28/14	Aviation Revenue Refunding Bonds	328.130	Miami-Dade Co-Florida	CO-MGR
03/26/14	Bulk Power Supply Sys Rev Bonds	72.460	Jacksonville Electric Authority	CO-MGR
02/27/14	Revenue Bonds	57.000	Sunshine State Govt Fin Commiss	CO-MGR
02/05/14	Electric System & Sub Rev Bonds	287.920	Jacksonville Electric Authority	SENIOR
01/09/14	Special Oblig Court Facs Bonds	23.065	Miami-Dade Co-Florida	SOLE
09/26/13	Parking Facility Revenue Bonds	48.365	Florida Board of Governors	SOLE
09/20/13	Seaport Revenue & Ref Bonds	255.965	Miami-Dade Co-Florida	CO-MGR
09/20/13	Seaport Revenue & Ref Bonds	126.685	Miami-Dade Co-Florida	CO-MGR
09/18/13	Certificates of Participation	19.290	Orange Co School Board	CO-MGR
09/10/13	Electric Sys & Sub Revenue Bonds	197.165	Jacksonville Electric Authority	CO-MGR
08/08/13	Water & Sewer System Rev Bonds	29.710	Jacksonville Electric Authority	CO-MGR
08/08/13	Water & Sewer Sys & Sub Rev Bonds	173.925	Jacksonville Electric Authority	CO-MGR
08/02/13	Water & Sewer Sys Rev & Ref Bonds	492.665	Miami-Dade Co-Florida	CO-MGR
08/01/13	Hospital Rev Refunding Bonds	105.445	Miami-Dade Co Health Facs Auth	CO-MGR
07/02/13	Pub Ed Capital Outlay Ref Bonds	297.965	Florida State BOE	SOLE
06/27/13	Certificates of Participation	41.880	Osceola Co School Board	SENIOR
06/19/13	District Energy Sys Ref Rev Bonds	43.330	Jacksonville Electric Authority	CO-MGR
06/04/13	Ref Certificates of Participation	20.285	Alachua Co School Board	SENIOR
05/16/13	Electric Sys Revenue & Sub Bonds	96.225	Jacksonville Electric Authority	SENIOR
05/09/13	Turnpike Revenue Refunding Bonds	183.140	Florida Dept of Transportation	SOLE
05/01/13	Ref Certificates of Participation	91.320	Brevard Co School Board	CO-MGR
05/01/13	Ref Certificates of Participation	50.900	Brevard Co School Board	CO-MGR
05/01/13	Certificates of Participation	68.230	Miami-Dade Co School Board	CO-MGR
05/01/13	Certificates of Participation	8.160	Miami-Dade Co School Board	CO-MGR
04/25/13	Everglades Restoration Rev Bonds	46.445	Florida Dept of Environ Protect	SOLE
04/23/13	Revenue Bonds	2000.000	Florida Hurricane Catastrophe Fin	CO-

				MGR
03/28/13	Utility Revenue Bonds	26.065	Florida Governmental Utility Authority	SOLE
03/28/13	Utility Revenue Bonds	19.675	Florida Governmental Utility Authority	SOLE
03/28/13	Utility Revenue Bonds	17.495	Florida Governmental Utility Authority	SOLE
03/28/13	Utility Revenue Bonds	1.260	Florida Governmental Utility Authority	SOLE
03/28/13	Utility Revenue Bonds	.595	Florida Governmental Utility Authority	SOLE
03/28/13	Utility Revenue Bonds	.465	Florida Governmental Utility Authority	SOLE
03/20/13	Ref Certificates of Participation	77.255	St Lucie Co School Board	SENIOR
03/06/13	Rev Ref & Improvement Bonds	79.640	Manatee Co-Florida	SENIOR
03/01/13	Industrial Development Rev Bonds	13.000	Orange Co Industrial Dev Auth	SOLE
02/28/13	Hospital Revenue Refunding Bonds	166.490	Hillsborough Co Ind Dev Auth	CO-MGR
02/20/13	Electric Sys & Sub Revenue Bonds	203.255	Jacksonville Electric Authority	CO-MGR
02/12/13	Turnpike Revenue Bonds	306.065	Florida Dept of Transportation	SOLE
02/07/13	Electric System Sub Rev Bonds	59.330	Jacksonville Electric Authority	CO-MGR
01/31/13	Certificates of Participation	33.480	St Johns Co School Board	CO-MGR
01/02/13	Refunding Revenue Bonds	174.315	Orlando-Orange Co Expressway Au	CO-MGR
12/21/12	Utility Refunding Revenue Bonds	31.080	Florida Governmental Utility Authority	SOLE
12/21/12	Utility Refunding Revenue Bonds	20.275	Florida Governmental Utility Authority	SOLE
12/13/12	Special Oblig Revenue Ref Bonds	44.725	Miami City-Florida	SOLE
12/11/12	Aviation Revenue Refunding Bonds	669.670	Miami-Dade Co-Florida	CO-MGR
12/11/12	Aviation Revenue Refunding Bonds	106.845	Miami-Dade Co-Florida	CO-MGR
12/04/12	Refunding & Improvement Rev Bonds	34.860	Florida Municipal Loan Council	SOLE
11/29/12	Refunding Revenue Bonds	201.925	Orlando-Orange Co Expressway Au	CO-MGR
11/08/12	Sub Special Oblig Refunding Bonds	489.990	Miami-Dade Co-Florida	CO-MGR
11/08/12	Refunding & Imp Revenue Bonds	41.620	Florida Municipal Loan Council	SOLE
11/08/12	Hospital Revenue Refunding Bonds	232.125	Highlands Co Health Facs Auth	CO-MGR
11/07/12	Revenue Refunding Bonds	33.730	Manatee Co Port Authority	SENIOR
11/07/12	Revenue Refunding Bonds	6.605	Manatee Co Port Authority	SENIOR
09/26/12	Revenue & Refunding Bonds	87.410	Jacksonville Port Authority	SENIOR
09/24/12	Refunding Certs of Participation	44.450	Polk Co School Board	SOLE
09/24/12	Refunding Certs of Participation	36.805	Polk Co School Board	SOLE
09/12/12	Revenue Bonds	77.520	Florida Municipal Power Agency (FMPA)	SOLE
09/07/12	Infrastructure Imp Revenue Bonds	59.110	Florida Municipal Loan Council	SENIOR
08/31/12	Power Park Sys Ref Rev Bonds	81.680	Jacksonville Electric Authority	CO-MGR
08/31/12	Power Park Sys Ref Rev Bonds	3.455	Jacksonville Electric Authority	CO-MGR
08/30/12	Electric System Revenue Bonds	140.640	Jacksonville Electric Authority	CO-

				MGR
08/30/12	Better Jacksonville Ref Rev Bonds	114.890	Jacksonville City-Florida	CO-MGR
08/29/12	Hospital Revenue Refunding Bonds	99.120	Highlands Co Health Facs Auth	SOLE
08/23/12	Electric System Sub Rev Bonds	109.605	Jacksonville Electric Authority	CO-MGR
08/16/12	Water & Sewer Sys Sub & Rev Bonds	178.365	Jacksonville Electric Authority	CO-MGR
08/07/12	Revenue Bonds	121.475	Jacksonville Electric Authority	SENIOR
07/24/12	TANs	290.000	Miami-Dade Co SD	SOLE
06/29/12	Utility Revenue Bonds	20.640	Florida Governmental Utility Authority	SOLE
06/29/12	Utility Revenue Bonds	.705	Florida Governmental Utility Authority	SOLE
06/29/12	Certificates of Participation	24.930	Clay Co School Board	SOLE
06/08/12	Water & Sewer Revenue Ref Bonds	17.900	Dunedin City-Florida	CO-MGR
05/23/12	Community Invest Tax Ref Rev Bond	51.625	Hillsborough Co-Florida	SOLE
05/16/12	Water & Sewer Revenue Ref Bonds	64.585	Fort Lauderdale City-Florida	SOLE
05/03/12	Certificates of Participation	149.015	Lee Co School Board	SENIOR
05/03/12	Certificates of Participation	78.730	Orange Co School Board	CO-MGR
05/03/12	Certificates of Participation	56.445	Orange Co School Board	CO-MGR
04/30/12	Revenue & Rev Refunding Bonds	74.795	Florida Higher Ed Facs Fin Auth	SENIOR
04/19/12	Pub Ed Capital Outlay Ref Bonds	223.585	Florida State BOE	SOLE
04/03/12	Ref Certificates of Participation	124.565	Hillsborough Co School Board	CO-MGR
03/29/12	Transportation Ref Rev Bonds	209.390	Jacksonville City-Florida	CO-MGR
03/29/12	Jacksonville Sales Tax Ref Rev Bd	280.050	Jacksonville City-Florida	CO-MGR
03/06/12	Public Ed Cap Outlay Ref Bonds	285.820	Florida State BOE	SOLE
02/23/12	Water & Sewer Sys Rev & Sub Bonds	354.875	Jacksonville Electric Authority	CO-MGR
02/09/12	Electric System Sub Rev Bonds	174.880	Jacksonville Electric Authority	CO-MGR
02/02/12	Stormwater System Rev Ref Bonds	19.365	Clearwater City-Florida	SENIOR
12/14/11	Public Utility Ref Rev Bonds	22.650	Manatee Co-Florida	CO-MGR
11/22/11	Water & Sewer Refunding Rev Bonds	106.560	Cape Coral City-Florida	CO-MGR
11/02/11	TANs	125.000	Broward Co SD	SOLE
10/26/11	Refunding Revenue Bonds	599.860	Palm Beach Co Solid Waste Auth	CO-MGR
10/12/11	Airport Facs Refunding Rev Bonds	67.945	Greater Orlando Aviation Auth	CO-MGR
10/12/11	Airport Facs Refunding Rev Bonds	40.425	Greater Orlando Aviation Auth	CO-MGR
10/05/11	Refunding Revenue Bonds	357.475	Jacksonville Electric Authority	CO-MGR

10/05/11	Refunding Revenue Bonds	7.935	Jacksonville Electric Authority	CO-MGR
09/29/11	Lottery Revenue Refunding Bonds	242.240	Florida State BOE	SOLE
09/15/11	Wtr & Swr Sys Imp & Ref Rev Bonds	121.050	Tampa City-Florida	SENIOR
09/07/11	Water & Sewer Rev Ref Bonds	47.025	Clearwater City-Florida	SENIOR
08/16/11	Utility System Ref Rev Bonds	148.920	Tampa Bay Water Auth	CO-MGR
08/16/11	Utility System Ref Rev Bonds	140.645	Tampa Bay Water Auth	CO-MGR
07/14/11	Turnpike Revenue Bonds	150.165	Florida Dept of Transportation	SOLE
07/14/11	Coastal Account Sr Secured Bonds	645.000	Florida Citizens Prop Ins Corp	CO-MGR
07/14/11	Coastal Account Sr Secured Bonds	150.000	Florida Citizens Prop Ins Corp	CO-MGR
07/14/11	Coastal Account Sr Secured Bonds	105.000	Florida Citizens Prop Ins Corp	CO-MGR
07/13/11	Certificates of Participation	67.905	Palm Beach Co School Board	CO-MGR
07/13/11	Certificates of Participation	44.520	Palm Beach Co School Board	CO-MGR
06/29/11	Certificates of Participation	166.210	Palm Beach Co SD	SENIOR
05/20/11	Revenue Bonds	48.235	Florida Municipal Loan Council	SOLE
05/11/11	Certificates of Participation	39.850	Manatee County School Board	CO-MGR
05/03/11	Ref Certificates of Participation	54.850	St Lucie Co School Board	CO-MGR
03/29/11	Certificates of Participation	139.055	Miami-Dade Co School Board	CO-MGR
03/29/11	Certificates of Participation	137.660	Miami-Dade Co School Board	CO-MGR
03/02/11	Revenue Refunding Bonds	5.825	Florida Municipal Loan Council	SOLE
12/29/10	Public Utils Rev Ref & Imp Bonds	6.720	Manatee Co-Florida	CO-MGR
12/29/10	Public Utilities Rev Imp Bonds	45.300	Manatee Co-Florida	CO-MGR
12/29/10	Public Utilities Rev Imp Bonds	17.925	Manatee Co-Florida	CO-MGR
12/29/10	Public Utilities Rev Imp Bonds	8.190	Manatee Co-Florida	CO-MGR
12/23/10	Master Lease Prog Cert of Part	15.140	Univ of So Florida (USF) Fin Corp	CO-MGR
12/23/10	Master Lease Prog Cert of Part	2.860	Univ of So Florida (USF) Fin Corp	CO-MGR
12/17/10	Utility Revenue Refunding Bonds	12.535	Florida Governmental Utility Authority	SOLE
12/17/10	Utility Refunding Revenue Bonds	6.315	Florida Governmental Utility Authority	SOLE
12/15/10	Cap Asset Acq Spec Oblig Bonds	40.280	Miami-Dade Co-Florida	CO-MGR

8. Provide firm experience for the following in the State of Florida:

	Years Exp	Firm Responsibility
General Obligation Bonds	Since 1980 based on available records*	WFS has completed 186 GO financings in Florida totaling more than $14.2 billion in par
Sales Tax	Since 1980 based on available records*	WFS has completed 95 sales tax financings in Florida totaling more than $5.1 billion in par
Public Service Tax	Since 1980 based on available records*	WFS has completed 95 public service tax financings in Florida totaling more than $3.1 billion in par
Covenant to Budget & Appropriate (CB & A)	Since 1980 based on available records*	WFS has completed 117 CB&A financings in Florida totaling more than $4.4 billion in par
Community Redevelopment Agency (CRA) & Tax Increment	Since 1988 based on available records*	WFS has completed 25 CRA financings in Florida totaling more than $1.5 billion in par
Certificates of Participation (COPs)	Since 1988 based on available records*	WFS has completed 256 COP financings in Florida totaling more than $20.0 billion in par
Water and Sewer Utilities	Since 1980 based on available records*	WFS has completed 252 water and sewer financings in Florida totaling more than $15.3 billion in par
Special Assessments	Since 1988 based on available records*	WFS has completed 28 special assessment financings in Florida totaling more than $4.9 billion in par

*Inclusive of experience of predecessor firms

9. Please provide a discussion of the Proposer's marketing strategy and distribution capabilities, including number of offices, retail sales professionals, location of sales professionals and institutional sales professionals. Breakout Florida based professionals. (This is a work document, include below and 1 page only)

Marketing. We expect the City's bond sales to draw significant interest from investors, and we will deploy our institutional, middle market, and retail sales forces to reach investors in each investor segment and across all pricing points on the yield curve. Our strong distribution networks translate into maximum market access for our municipal clients' bonds. Under normal market conditions, ***the greater the audience of investors, the larger the pool of capital available to the City, which can result in lower overall interest rates and reduced borrowing costs to the City.*** For any of the City's issues, Wells Fargo will implement a marketing plan designed to educate our sales force, other members of the underwriting syndicate, and most importantly, investors, in understanding each given financing. Wells Fargo's public finance team will hold teach-ins and internal conference calls designed to engage our salesforce on the City's current offering and focus them on the key qualities of each offering, thereby providing them with the necessary tools to educate their investor clients on the value of the City's proposed bonds.

Municipal Institutional Sales. Wells Fargo's municipal institutional sales force includes 15 sales professionals located in New York, Charlotte, San Francisco, and Philadelphia. These professionals distribute municipal products in the primary and secondary markets, serving the investment and risk management needs of institutional investors nationwide. Wells Fargo's account base of over 425 institutions encompasses the key national buyers of municipal securities, including the top 50 national money market funds, with assets over $1 billion. These investors include money managers, insurance companies, bank portfolios, hedge funds, and pension funds. We provide a full range of services to these important investors, including a dedicated credit team on the desk.

Middle Market Sales. One of Wells Fargo's distinctive characteristics and competitive advantages is its ability to reach middle market investors. Most national underwriters do not focus on this investor segment, because large institutional investors are the dominant players in the municipal market. While Wells Fargo is a top institutional investor counterparty as described above, we also focus on smaller institutional investors who tend to be more "buy and hold" investors than their larger institutional counterparts. In 16 regional sales offices, Wells Fargo has approximately 200 middle-market sales professionals in our affiliate Wells Fargo Securities, LLC ("WFSLLC"), serving over 6,400 state and local community banks, asset managers, regional depositories, corporate trust departments, corporations, municipalities, and local insurance companies. In addition to purchasing the debt of large recognizable issuers, the added benefit of middle markets customers comes from their knowledge of local credits and regions. An order from a middle market investor can range from small odd lot orders to $50 million+ blocks of bonds. Wells Fargo's corporate banking relationships with these enterprises have enhanced our access to middle market clients; for example, Wells Fargo was the #1 book-runner of traditional middle-market loan syndications for Q1 2015.[6] Orders from WFSLLC's middle market customers have made a significant difference in new-issue pricings by creating competition for bonds.

Retail Sales. Wells Fargo Advisors (*"WFA") is the 3rd largest retail brokerage firm in the country,*[7] with 15,151 full-service retail financial advisors across 1,375 offices nationwide. WFA has approximately 7.2 million active accounts with close to $1.4 trillion in total client assets. WFS' daily inventory of bonds targeted for retail investors typically exceeds $200 million. WFA currently maintains *76 dedicated brokerage offices and hundreds of bank branches across the state of Florida, staffed by 1,078 financial advisors* serving over 586,000 active retail accounts with total retail account holdings of approximately $125 billion. Of this amount, approximately $4.1 billion are bonds from Florida-based issuers held in more than 123,000 accounts. In total, WFA's retail clients currently hold over $84 billion in municipal bonds. Finally, in addition to the 1,078 financial advisors in Florida, over 14,000 WFA financial advisors in other states are registered to sell securities in Florida.

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[6] Source: Thomson Reuters, LPC. Note: Traditional Middle Market is defined as deals less than or equal to $100 million.

[7] Peer group analysis based on number of financial advisors as disclosed in company reports. WFA statistical information as of November 24, 2015. *Data is a combination of Wells Fargo Advisors, LLC; Wells Fargo Advisors Financial Network; LLC and First Clearing, LLC.* First Clearing, LLC is a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.

10. Provide the names of two law firms and the lawyer that may be retained as underwriter's counsel should your firm be selected.

Bryant Miller Olive	Holland & Knight
Duane Draper, Esq. One Tampa City Center, Suite 2700 Tampa, FL 33602 (813) 222-1722 ddraper@bmolaw.com	Michael Wiener, Esq. 2115 Harden Blvd. Lakeland, FL 33803 (863) 499-5362 michael.wiener@hklaw.com

11. Provide recommendations regarding the use or non-use of bond insurance based on the current market conditions. (This is a word document, please add below and limit to one (1) page)

The insurance landscape changed dramatically in the wake of the financial crisis. At the peak in 2005, insured bonds made up 57.1% of new municipal fixed rate issuance. Through November 27, 2015, approximately 6.33% of 2015 new issue fixed rate principal has been issued with bond insurance.[8] Assured Guaranty ("AGC"), Municipal Assurance Corp. ("MAC"), and Build America Mutual ("BAM") are currently the only viable bond insurers writing bond insurance policies in Florida. While there is little competition among the bond insurance providers, they remain eager to insure bonds and are actively seeking new municipal clients due to the drastic reduction in the use of bond insurance.

The value of bond insurance depends largely on the underlying credit of the bonds. For issues backed by the City's General Obligation, Public Service Tax, and Stormwater revenues, each having at least one AA-category underlying rating, our underwriting desk does not expect that bond insurance would offer any pricing benefit under current market conditions. For issues backed by the City's Utility or Sales Tax credits, each carrying A-category underlying ratings, our underwriting desk would expect from five to ten basis points in pricing benefit from insurance, as AGC, MAC, and BAM's current ratings would elevate the ratings on the bonds over the expected underlying ratings.

Should the City contemplate a Utility issue, Sales Tax issue, or other issue with A-category (or lower) underlying ratings, Wells Fargo would determine the overall effectiveness of bond insurance using a cost-benefit approach. Provided that the cost of the bond insurance does not outweigh the pricing benefit of the bond insurance in the projected total interest cost of the issue, we would recommend the City purchase bond insurance. In the event that the cost of the bond insurance premium is larger than the pricing benefit from the insurance, Wells Fargo would recommend against using bond insurance.

An additional consideration for the use of bond insurance on fixed rate financings is the need for and availability of a surety policy to meet the debt service reserve requirement. The City's Utility System, Public Service Tax, Stormwater, and Sales Tax Bond Ordinances require the funding of a debt service reserve fund, and the City has in certain past instances met its Reserve Requirements using debt service reserve surety policies. In the event the City wishes to meet a Reserve Requirement for a planned financing using a debt service reserve surety policy, the City may receive better pricing on a surety policy if it is also insuring the bond issue or a portion of the bond issue. Again if this is the case, Wells Fargo will use a cost benefit approach to identify the best outcome for the City from an all in pricing perspective.

[8] Source: Thomson Reuters

12. Please provide the firm's Capital Position over the last 3 years in tabular format.

Wells Fargo Bank, N.A. ("WFBNA") is the legal entity that provides municipal securities underwriting services for Wells Fargo & Company. As a national bank registered with the SEC as a municipal securities dealer, WFBNA is not subject to the SEC's net capital rules that apply to broker-dealers. WFBNA is, however, subject to capital regulations prescribed by the Office of the Comptroller of the Currency ("OCC"), which is the regulatory authority for national banks. As of September 30, 2015, WFBNA maintained total capital of over $150 billion, total risk-based capital of over $148 billion, and Tier 1 capital of over $124 billion. Since WFBNA currently meets the definition of a "well capitalized" bank under the OCC's capital regulations, WFBNA is authorized under applicable federal banking rules to underwrite municipal securities without any regulatory-defined capital constraint. WFBNA does not have, nor does it require, any committed or standby lines of credit or other forms of financing from other financial institutions to support its ability to underwrite municipal securities. WFBNA's only constraint as to the par value of municipal securities it underwrites is its own internal credit decision process.

Wells Fargo Bank, National Association	
Capital Position (3rd Quarter, 2015)	
Total Capital	$150,354,000,000
Total Risk-based Capital	$148,157,000,000
Tier One Capital	$124,871,000,000

Source: Wells Fargo Bank, N.A. Call Report

Wells Fargo Bank, National Association	
Capital Position (2nd Quarter, 2015)	
Total Capital	$145,411,000,000
Total Risk-based Capital	$144,041,000,000
Tier One Capital	$119,892,000,000

Source: Wells Fargo Bank, N.A. Call Report

Wells Fargo Bank, National Association	
Capital Position (1st Quarter, 2015)	
Total Capital	$137,844,000,000
Total Risk-based Capital	$136,449,000,000
Tier One Capital	$109,987,000,000

Source: Wells Fargo Bank, N.A. Call Report

A capital position of this magnitude gives WFBNA the strength to underwrite securities of significant size and to commit capital to unsold balances in an effort to support an issuer's offering (depending on market conditions). While a number of firms have a large capital base, it is most important that such firms evidence their willingness to deploy that capital in the municipal market on behalf of their clients. WFBNA has demonstrated its ability to commit capital in support of our municipal clients by, as a national bank conducting municipal securities underwriting, directly underwriting unsold balances on negotiated primary market issues, actively bidding for competitive issues, supporting the secondary markets with an average daily inventory of fixed rate municipal securities in 2014 of approximately $763 million and variable rate municipal and related securities of approximately $1.3 billion; and, as a commercial bank, providing credit enhancement, liquidity support, and direct purchase credit to municipal issuers.

13. Discuss and provide specific information concerning the in-house technical support, computer modeling and financing analysis capabilities. (This is a word document, please include below, limit to ½ page

Wells Fargo's public finance group is unique in that its quantitative efforts are directed by a single, centralized group of highly experienced technical bankers. The Quantitative Structuring Group is composed of six senior-level professionals with over 140 years of combined experience at more than 18 Wall Street firms—heading the efforts at six. The group has impressive experience crafting aggressive allocations, minimizing transferred proceeds, exploiting yield blending, and employing various other advanced technical analyses. The group's combined depth and knowledge are unparalleled in the industry and have garnered consistent accolades from clients, financial advisors, attorneys, and other professionals. The Quantitative Structuring Group's primary responsibility is to conduct or supervise all financial analysis and modeling for our municipal clients. Members of the group have significant experience working on all types of financings and have structured some of the most complex transactions executed. **Mr. Scott Goldstein, Director, will support the City on behalf of Wells Fargo's Quantitative Structuring Group.**

Wells Fargo primarily uses DBC Finance to size and structure debt issues for our municipal clients. DBC Finance, which is an industry standard and DBC®'s flagship program, is a general bond structuring tool that performs all debt service calculations, bond solutions, issue sizing, and refunding analyses. DBC Finance enables us to model complex financial structures, including advance refundings, escrow structurings, and multi-project financings. Other software and systems used in our bond structuring efforts include What's Best, FinCAD, Intuitive Analytics, and other proprietary trading systems, including Excel based models.

The Quantitative Structuring Group conducts one of the most comprehensive quantitative training programs in the industry for analysts and associates, called Quantitative School. The program consists of multiple three-day training sessions over a several-week period and is designed to equip participants with a broad array of analytical skills and techniques to add to the overall knowledge and sophistication of our public finance teams. Considerable time is also devoted to key legal and tax issues of muni bond transactions.

14. A description of any litigation or regulatory action filed against the Proposer since January 1, 2012 and the resolution thereof. Identify fully the extent to which your firm or individual partners or employees are the subject of any ongoing municipal securities investigation, litigation, arbitration or subject to a subpoena in connection with such investigation, litigation, or arbitration. (This is a word document, please include below).

Wells Fargo Bank N.A. ("WFBNA") is a subsidiary of Wells Fargo & Company ("WFC"), a corporation organized under the laws of Delaware. WFC's principal office is located in San Francisco, California. On December 31, 2008, WFC acquired the former Wachovia Corporation and its subsidiaries, including a predecessor firm, Wachovia Bank, N.A ("WBNA"). On March 20, 2010, WBNA merged into WFBNA.

As with any large diversified financial services company of its size in the highly-regulated banking and securities field, Wells Fargo Bank, N.A. and Wells Fargo & Company are subject to receiving inquiries and subpoenas from regulators and law enforcement from time to time, as well as being subject to civil litigation. Wells Fargo responds regularly to inquiries and investigations by governmental entities and, as a highly regulated diversified financial institution has in the past entered into settlements of some of those investigations, including those specified below. None have resulted in any material restrictions on Wells Fargo's ability to operate its businesses, and none are or have been material to the operation of the businesses.

During the first quarter of 2010, WBNA entered into agreements with the U.S. Department of Justice and banking regulators concerning WBNA's Bank Secrecy Act (BSA) and Anti-Money Laundering (AML) compliance program between 2004 and 2007. See the press release dated March 17, 2010 at https://www.wellsfargo.com/press/2010/20100317_Wachovia. The deferred prosecution agreement discussed in the press release was dismissed in 2011.

During the fourth quarter of 2011, WFBNA entered into a settlement with various regulators regarding municipal derivatives contracts. Please see the Legal Actions section of WFC's 2011 Annual Report for additional information regarding the municipal derivatives bid practices settlement with the Office of the Comptroller of the Currency, Securities and Exchange Commission, the U.S. Internal Revenue Service, U.S. Department of Justice and a group of state Attorneys General. See press release dated December 8, 2011 at https://www.wellsfargo.com/press/2011/20111208_WFReachesSettlement.

Wells Fargo Bank, N.A. has paid municipal fines in connection with a small number of houses for alleged violations of local housing ordinances, some of which are characterized as misdemeanors.

Access to the Legal Proceedings sections of Wachovia Corporation's 10-K dated February 28, 2008; and 10-Q's dated October 30, 2008, August 11, 2008, and May 12, 2008 are available via the internet link below:

http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0000072971&type=10&dateb=20090101&owner=exclude&count=100

Copies of the Legal Proceedings sections from Wells Fargo & Company recent public filings are available via the internet link below:

https://www.wellsfargo.com/invest_relations/filings

Wells Fargo & Company Annual Report Link:
https://www.wellsfargo.com/invest_relations/annual

Furthermore, no member of the proposed deal team identified in this RFP has a reportable item on his or her U-4 securities agent registration regarding investigations during his or her tenure with WFBNA Municipal Product Group.

15. Using the Reference Form submit three (3) references form the last three years from local governments in the State of Florida for which the firm provided underwriting services

ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued
n/a	n/a

CERTIFICATION:

This E-RFQ is submitted by: Name (print) J. MICHAEL OLLIFF who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFQ is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFQ, and to accept the City's Contract and Terms and Condition in its entirety

I agree to abide by all conditions of this E-RFQ.:

J Michael Olliff
Signature

Vice President
Title

Form **W-9**
(Rev. August 2013)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)
WELLS FARGO BANK, N.A.

Business name/disregarded entity name, if different from above

Check appropriate box for federal tax classification:
☐ Individual/sole proprietor ☑ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate

☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶

☐ Other (see instructions) ▶

Exemptions (see instructions):
Exempt payee code (if any) 5
Exemption from FATCA reporting code (if any)

Address (number, street, and apt. or suite no.)
90 SOUTH 7TH STREET, N9305-164

Requester's name and address (optional)

City, state, and ZIP code
MINNEAPOLIS, MN 55402-3903

List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number
[ ][ ][ ] - [ ][ ] - [ ][ ][ ][ ]

Employer identification number
9 4 - 1 3 4 7 3 9 3

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below), and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ Paul J. Steinberg Date ▶ 3-11-2014

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at *www.irs.gov/w9*. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

ACORD®

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 3/26/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
Wells Fargo Insurance Services USA, Inc.
3475 Piedmont Rd
Suite 800
Atlanta, GA 30305

CONTACT NAME: Wells Fargo Certificate Service Center
PHONE (A/C, No, Ext): 404-923-3719 FAX (A/C, No): 1-877-362-9069
E-MAIL ADDRESS: wfis.certificaterequest@wellsfargo.com

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : Old Republic Insurance Company	24147
INSURER B :	
INSURER C :	
INSURER D :	
INSURER E :	
INSURER F :	

INSURED
Wells Fargo & Company
Wells Fargo Center (MAC N9305-144)
90 South 7th Street, 14th Floor
Minneapolis, MN 55402

COVERAGES **CERTIFICATE NUMBER:** 8910420 **REVISION NUMBER:** See below

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY						EACH OCCURRENCE	$
	☐ CLAIMS-MADE ☐ OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	$
							MED EXP (Any one person)	$
							PERSONAL & ADV INJURY	$
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	$
	☐ POLICY ☐ PRO-JECT ☐ LOC						PRODUCTS - COMP/OP AGG	$
	OTHER:							$
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)	$
	☐ ANY AUTO						BODILY INJURY (Per person)	$
	☐ ALL OWNED AUTOS ☐ SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	☐ HIRED AUTOS ☐ NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	$
								$
	☐ UMBRELLA LIAB ☐ OCCUR						EACH OCCURRENCE	$
	☐ EXCESS LIAB ☐ CLAIMS-MADE						AGGREGATE	$
	☐ DED ☐ RETENTION $							$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y / N						☐ PER STATUTE ☐ OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? ☐ N / A (Mandatory in NH)						E.L. EACH ACCIDENT	$
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	$
							E.L. DISEASE - POLICY LIMIT	$
A	Professional Liability (E&O) Errors & Omissions Claims Made			MWZZ 304057	04/01/2015	04/01/2020	$5,000,000 Per Occurrence $5,000,000 Agg	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Evidence of Coverage

CERTIFICATE HOLDER

Wells Fargo & Company
Wells Fargo Center (MAC N9305-144)
90 South 7th Street, 14th Floor
Minneapolis, MN 55402

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

The ACORD name and logo are registered marks of ACORD © 1988-2014 ACORD CORPORATION. All rights reserved.

(This certificate replaces certificate# 8910412 issued on 3/26/2015)

Wells Fargo & Company Risk & Insurance Management
Wells Fargo Center, MAC# N9305-144
Sixth and Marquette
Minneapolis, MN 55479

DIRECT QUESTIONS/COMMENTS REGARDING THIS EVIDENCE OF INSURANCE TO YOUR WELLS FARGO CONTACT.

Date: 11/23/2015

EVIDENCE OF CASUALTY INSURANCE COVERAGE

Insured:
Wells Fargo & Company and Its Subsidiaries

Insurance Company:
Old Republic Insurance Company

This form certifies that the insurance policy described below has been issued to Wells Fargo & Company and its Subsidiaries.

Coverage	Covered Location(s)	Limits of Liability	Policy Period	Policy Number
Commercial General Liability Including premises operations, occurrence form; blanket contractual liability; host liquor liability coverage	Omnibus Additional Insured endorsement extends coverage to any person or organization for whom Wells Fargo & Company or its Subsidaries have agreed under contract or agreement to provide insurance. In no event shall the insurance provided hereunder exceed the scope of coverage required by contract or agreement	$10,000,000 Each Occurrence $10,000,000 Aggregate $10,000,000 Personal & Adv. Injury $10,000,000 Products and Completed Operations	4/01/15 - 4/01/20	MWZY304056
Automobile Liability	Covers all owned, non-owned and hired automobiles	$10,000,000 Each Occurrence Combined Single Limit	4/01/15 - 4/01/20	MWTB304054
Workers' Compensation and Employers' Liability	Provides workers' compensation coverage for employees in all states - except statutory workers' compensation provided either through a Monopolistic State Fund or Self-Insurance in the following states: Ohio, North Dakota, Washington and Wyoming or by the Wells Fargo Injury Benefit Plan in Texas.	Statutory - WC $1,000,000 - EL Each Accident $1,000,000 - EL Disease Each Employee	4/01/15 - 4/01/20	MWC302638 00

Evidence of Insurance Issued To:
Cheryl Shanaberger, Procurement

City of Port St. Lucie
121 SW Port St. Lucie Blvd
Port St. Lucie, FL 34984

Wells Fargo & Company Risk & Insurance Management

Jill M. Combs
Risk & Insurance Manager

The information provided in the Evidence of Insurance document is basic in nature and should not be viewed as a definitive position to any coverage issue, claim or loss scenario. In the event of a claim or loss, the actual policy terms shall apply.

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent: Wells Fargo Bank, N.A. Municipal Products Group
Reference: Manatee County Florida
Email: jan.brewer@mymanatee.org
Person to contact: Jan Brewer, Director of Financial Management

Fax #: (941) 742-5826
Telephone #: (941) 745-3726

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your County.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent: Wells Fargo Bank, N.A. Municipal Products Group
Reference: City of Pembroke Pines
Email: lchong@ppines.com
Person to contact: Lisa Chong, Finance Director

Fax #: (954) 435-6524
Telephone #: (954) 435-6728 x441

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent: Wells Fargo Bank, N.A. Municipal Products Group
Reference: School District of Brevard County Fax #: (321)
Email: preston.judy@brevardschools.org Telephone #: (321) 631-1911 x600
Person to contact: Judy Preston, Associate Superintendent, Financial Services

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your School District.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

DRUG-FREE WORKPLACE FORM

E-RFQ #20160020

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that WELLS FARGO BANK, N.A. MUNICIPAL PRODUCTS GROUP does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

PLEASE SEE ATTACHED
Bidder's Signature

12/01/2015
Date

Qualifying Language to Accompany City of Port St. Lucie RFQ Regarding Drug-Free Workplace Form

Wells Fargo Bank, N.A. Drug-Free Workplace Policy does comply with almost all elements of the City of Port St. Lucie's Drug-Free Workplace form and requirements. Wells Fargo's Policy does not comply with two elements of the form as described below:

- Section 4 requiring employee notification of a drug-related conviction within five (5) calendar days after such conviction
- Section 5 requiring an employee who has been convicted of drug abuse to satisfactorily participate in a drug abuse assistance or rehabilitation program

Wells Fargo makes every effort to strictly maintain a drug-free workplace to ensure the safety of all customers, clients, and team members. As stated in Wells Fargo's policy on Drugs and Alcohol, Wells Fargo is dedicated to maintaining a drug-free workplace. All team members are required to perform their job duties unimpaired by illegal drugs, alcohol, or the improper use of legal substances. Wells Fargo employees are prohibited from working or reporting to work when impaired by alcohol or drugs. The policy goes on to state that any team member who is unfit to work because of alcohol or drug use may be sent home. In addition, he or she is subject to corrective action, which may include termination of employment.

As a federally insured depository institution, Wells Fargo is prohibited from hiring or continuing the employment of a person who has a criminal record involving dishonesty, breach of trust, money laundering, or the distribution, manufacturing or trafficking in controlled substances. Criminal record includes convictions and entry into a pre-trial diversion or similar program with respect to such a crime. Wells Fargo also does not employ persons whom it determines may pose an unacceptable risk to the safety of its customers and team members, including persons convicted of certain serious crimes involving sex and/or violence. As such, all persons offered employment with Wells Fargo must undergo a criminal background check. There are no exceptions to this requirement. The fingerprint-based background screening includes a check against the FBI's National Criminal Information Center (NCIC) database as well as the OFAC\SDN list. If a background check reveals a conviction for a covered criminal offense, then that person is ineligible for employment with Wells Fargo unless he or she has received a written waiver from the FDIC. Similarly, if it subsequently becomes known to Wells Fargo that an employee has been convicted of a covered criminal offense, then that person's employment is terminated.

CHECKLIST

E-RFQ #20160020

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

__X__ Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFQ #20160020, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

__X__ All questions on the questionnaire are complete and thoroughly answered

__X__ Each Bid Addendum (when issued) is acknowledged

__X__ Certified Minority Business Certificate if Applicable

__X__ W9 Form

__X__ Current Certificate of Insurance

__X__ Three (3) Reference Forms from local Florida governments

__X__ Drug Free Workplace Form

__X__ Have reviewed the Contract and accept all City Terms and Conditions

__X__ Checklist

SECTION VI
INDEMNIFICATION/INSURANCE

Below we are proposing certain suggested deletions and additions, with accompanying comments, to Section VI Indemnification / Insurance. If selected Wells Fargo would be pleased to discuss the items below. Suggested deletions are shown in ~~red strikeout text~~. Suggested additions are shown in **bold blue text.** Clarifying comments are provided in *italics.*

~~The Consultant agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Consultant and persons employed or utilized by the Consultant in the performance of the construction contract. As consideration for this indemnity provision the Consultant shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work. This indemnification shall survive the termination of this Contract. Nothing contained in this paragraph is intended to nor shall it constitute a waiver of the City's sovereign immunity.~~

If selected, Wells Fargo is willing to discuss indemnification and allocation of responsibility between the parties. Generally, Wells Fargo is open to discussing its responsibility for claims or expenses arising directly from the grossly negligent acts or willful misconduct of Wells Fargo or its principals, employees, or agents (as determined in a final judgement by a competent court of law) related to the underwriting or services provided pursuant to this request for proposal executed with the City of Port St. Lucie. Any such allocation of responsibility mutually agreed upon by the parties shall be as set forth in the final agreement governing the underwriting services to be provided pursuant to this Request for Proposal.

The Consultant shall, on a primary basis and at its sole expense, agree to maintain in full force and effect at all times during the life of this Contract, insurance coverage, limits, including endorsements, as described herein. The requirements contained herein, as well as City's review or acceptance of insurance maintained by Consultant are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by Consultant under the Contract.

The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

The Consultant shall agree to maintain Workers' Compensation Insurance & Employers' Liability in accordance with Section 440, Florida Statutes. Employers' Liability and must include limits of at least $100,000.00 each accident, $100,000.00 each disease/employee, $500,000.00 each disease/maximum. A Waiver of Subrogation endorsement shall be provided. Coverage shall apply on a primary basis. Should scope of work performed by Consultant qualify its employee for benefits under Federal Workers' Compensation Statute

(example, U.S. Longshore & Harbor Workers Act or Merchant Marine Act), proof of appropriate Federal Act coverage must be provided.

Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
Personal/advertising injury	$1,000,000
Products/completed operations aggregate	$2,000,000
General aggregate	$2,000,000
Fire damage	$100,000 any 1 fire
~~Medical expense~~	~~$10,000 any 1 person~~

Comment 1: *We do not have medical expense coverage under our Commercial General Liability policy.*

An Additional Insured endorsement **must** be attached to the certificate of insurance and must include coverage for Completed Operations (should be ISO CG20101185 or CG20370704 & CG20100704) under the General Liability policy. Products & Completed Operations coverage to be provided for a minimum of five (5) years from the date of possession by City or completion of contract. Coverage is to be written on an occurrence form basis and shall apply as primary. ~~A per project aggregate limit endorsement should be attached.~~ Defense costs are to be in addition to the limit of liability. A waiver of subrogation shall be provided in favor of the City. Coverage for the hazards of explosion, collapse and underground property damage (XCU) must also be included when applicable to the work performed. Coverage shall extend to ~~independent Consultants and fe~~llow employees. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interest's provision as provided under the standard ISO form separation of insurer's clause.

Comment 2: *We use ISO CG 20 26 04 13 for our additional insured endorsement. We can comply with the request for additional insured status, however we do not tailor endorsements for specific contracts. Our policies have an omnibus additional insured endorsement which recognizes business associates as additional insureds so long as a contractual requirement to do so exists.*
Comment 3: *Our aggregate is not on a per project basis, however we have a much higher aggregate than is requested.*
Comment 4: *We do not have coverage for independent consultants*

The Consultant shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Consultant does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Consultant to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation shall be provided. Coverage shall apply on a primary basis.

Consultant shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Consultant's most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Consultant warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period

(SERP) during the life of this Contract, Consultant shall agree to purchase a SERP with a minimum reporting period not less than three (3) years. ~~If policy contains an exclusion for dishonest or criminal acts, defense coverage for the same shall be provided.~~

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read **"City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents and shall include Contract # 20160020-Underwriting Services"**. ~~The Certificate of Insurance and policy shall be specifically endorsed to~~ **Consultant shall** provide thirty (30) day written notice to the City prior to any **material** adverse changes **that result in noncompliance with the insurance requirements outlined herein**, cancellation, or non-renewal of coverage thereunder. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Consultant shall be required, upon thirty (30) days written notice by the City, to provide coverage at least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage shall be attached to the Certificate of Insurance. All independent Consultants and sub consultants utilized in this project shall furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

Comment 5: *The type of notification requested is reserved for the First Named Insured which is Wells Fargo. Wells Fargo can agree to notify the City of Port St. Lucie of any cancellation resulting in non-renewal or material alteration resulting in noncompliance with the contractual insurance requirement. We can no longer have cancellation language on a certificate of insurance.*

The Consultant shall agree by entering into this Contract to a Waiver of Subrogation for each required policy, **except Professional Liability**. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Consultant shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Consultant enter into such a Contract on a pre-loss basis.

Comment 6: *We do not waive subrogation on our Professional Liability policy.*

It shall be the responsibility of the Consultant to ensure that all subconsultants comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Consultant for any and all claims under this Contract.

The Consultant may satisfy the minimum limits required above for either Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for either Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability is written on Non-Follow Form," the City shall be endorsed as an "Additional Insured."

~~The City, by and through its Risk Management Department, reserves the right to, but not obligation, to review and reject any insurer providing coverage.~~

Comment 7: *Our insurers play an integral part in our risk financing strategy. We cannot agree to have them subject to the approval of a business associate. We will use financially sound insurers.*

A failure on the part of the Consultant to execute the contract and/or punctually deliver the required insurance certificates and other documentation may be cause for annulment of the award.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

Below we have added a clarifying comment to Section XX Prohibition Against Contingent Fees.

The Consultant warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Consultant to solicit or secure this Contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm, other than a bona fide employee working solely for the Consultant any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this Contract.

Comment: *In August 2005, the Municipal Securities Rulemaking Board ("MSRB") revised Rule G-38 to prohibit brokers, dealers, and municipal securities dealers ("Dealers") from paying external third parties to solicit municipal business for the Dealer. As such, and in compliance with Rule G-38, Wells Fargo Bank, N.A. Municipal Products Group ("WFBNA MPG") does not engage external third parties to solicit municipal securities business for WFBNA MPG.*

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User: **Shanaberger, CPPO, Cheryl** Organization: **City of Port St. Lucie, Procurement Management Department** Logout | Help

DEMANDSTAR by ONVIA

My DemandStar Buyers Account Info

Log Bid **[View Bids]** **Log Quote** **View Quotes** **Supplier Search** **Build Broadcast List** **Reports**

Bid Details

Bid Information

EDIT

Agency	City of Port St. Lucie, Procurement Management Department
Bid Type	Request for Proposal
Bid Number	RFP-20160020-0-2016/CS
Fiscal Year	2016
Bid Writer	Cheryl Shanaberger, CPPO
Bid Name	Underwriting Services
Bid Status	Under Evaluation
Bid Status Text	None
Award To	
Due Date/Time	12/1/2015 2:00 PM Eastern
Broadcast Date	11/6/2015
Bid Bond	no
Project Estimated Budget	
Plan (blueprint) Distribution Options	None
Distribution Method	Download and Mail
Distributed By	Onvia DemandStar
Distribution Notes	None
Scope of Work	The Underwriter(s) will assist the City in the structuring, marketing and sale of negotiated sales of bonds to meet the financing requirements of the specific financing. Services expected of the selected Underwriter(s) will include, but not be limited to, the following: 1. Assist in the development, planning, and structuring of the City's bond issues. 2. Assist in the review and preparation of legal documents to result in a completed financing that is in the best interests of the City. 3. Marketing and underwriting of the bonds. The City will expect selected Underwriter(s) to recommend a marketing plan that result in the most favorable bond terms to the City, and to prepare a pre-pricing analysis document for timely discussion prior to the bond sale. A marketing plan would address current outstanding market conditions. The marketing plan would also address the merits of retail versus institutional sale, given market preferences at the time of sale. 4. Analyze and advise as to the security structure for bonds, including credit enhancement, and ratings, depending on the financing. 5. Assist in preparation of the Official Statement, Continuing Disclosure Agreement and other necessary bond disclosure documents. 6. Participate in meetings as requested, including due diligence and presentations to the City.
E-Bidding	Yes

E-Bidding

EDIT

View Supplier Info on Tabulation Sheet prior to bid opening	Yes
Required Documents	1. Checklist 2. Questionnaire 3. Drug Free Workplace Form 4. Current Certificate of Insurance 5. W-9 form

Legal Ad

VIEW

Please select either the View or Edit button to manage legal ad.

Pre-Bid Conference

None

Publications

No Publications Data Found

Documents

EDIT

Bid Package Request for Qualfications (Complete)

Award Noitice of No Response (Complete)

Commodity Codes

SRV-918-49 - Finance/Economics Consulting
SRV-946-00 - FINANCIAL SERVICES
SRV-946-25 - Banking Services
SRV-946-48 - Financial Advisor
SRV-946-49 - Financial Services (Not Otherwise Classified)
SRV-946-56 - Investment Management Services

Statistics

Planholders There are 12 planholders for this bid

Broadcast List 518 suppliers have been notified

Supplemental Suppliers 28 Supplemental Suppliers

Filtered No

Post-Bid Viewers 7 viewer(s)

<< Return

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User: **Shanaberger, CPPO, Cheryl** Organization: **City of Port St. Lucie, Procurement Management Department** Logout | Help

DEMANDSTAR by ONVIA

My DemandStar Buyers Account Info

Log Bid **[View Bids]** **Log Quote** **View Quotes** **Supplier Search** **Build Broadcast List** **Reports**

Tabulation Sheet

Agency Name	City of Port St. Lucie, Procurement Management Department
Bid Number	RFP-20160020-0-2016/CS
Bid Name	Underwriting Services
Bid Due Date	12/1/2015 2:00:00 PM Eastern time
Bid Opening	**Closed**

6 total responses found. ✓ online, offline, • not submitting, not received

	Company	Responded	Address	Bid Amt	Alt Bid Amt	Documents	Sent	Notes	Actions
Complete									
1.	Citi	12/1/2015 11:08:07 AM	200 S. Orange Avenue, Suite 2170 Orlando, FL 32801	$0.00		Checklist Questionnaire Drug Free Workplace Form Current Certificate of Insurance W-9 form	✓ ✓ ✓ ✓ ✓		Details, Documents, History
2.	Estrada Hinojosa & Company, Inc.	12/1/2015 1:58:28 PM	1717 Main Street Suite: 4700 Dallas , TX 75201	$0.00		Checklist Questionnaire Drug Free Workplace Form Current Certificate of Insurance W-9 form	✓ ✓ ✓ ✓ ✓		Details, Documents, History
3.	J.P. Morgan	12/1/2015 10:39:50 AM	383 Madison New York, NY 10179	$0.00		Checklist Questionnaire Drug Free Workplace Form Current Certificate of Insurance W-9 form	✓ ✓ ✓ ✓ ✓	We are putting in a bid amount of $0 because the RFQ submission for the City is for an underwriting pool and there is no specific bid amount needed.	Details, Documents, History
4.	RBC Capital Markets	11/30/2015 9:34:48 AM	100 2nd Ave S Suite 800 St. Petersburg, FL 33701	$0.00		Checklist Questionnaire Drug Free Workplace Form Current Certificate of Insurance W-9 form	✓ ✓ ✓ ✓ ✓		Details, Documents, History
5.	Stifel, Nicolaus, & Company, Incorporated	11/30/2015 4:59:05 PM	111 N. Magnolia Avenue Suite 1175 Orlando, FL 32801	$0.00		Checklist Questionnaire Drug Free Workplace Form Current Certificate of Insurance W-9 form	✓ ✓ ✓ ✓ ✓		Details, Documents, History
6.	Wells Fargo	12/1/2015 11:21:27 AM	2363 Gulf to Bay Blvd. 2nd Floor Clearwater, FL 33765	$0.00		Checklist Questionnaire Drug Free Workplace Form Current Certificate of Insurance W-9 form	✓ ✓ ✓ ✓ ✓		Details, Documents, History

<< Return

Manage Bid Tabulation

Planholder Responses

When adding a manual response, you can select a vendor off the Planholders List or add a new vendor.

(Select a Planholder) Add a Planholder **Edit Planholders...**

Publish Tabulation Sheet

Once you have reviewed the tabulation sheet details, you may create a downloadable ☐ PDF version.

☐ Include Non-Compliant Supplier details

Publish as PDF **Bid Documents...**

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"A City for All Ages"

CITY OF PORT ST. LUCIE

Electronic Request For Qualifications #20160020
(E-RFQ)

Underwriting Services

Prepared By:
Cheryl Shanaberger
Procurement Management Department
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984-5099
772-871-7390
Cheryls@cityofpsl.com

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INVITATION TO E-BID

This is an Electronic Request for Qualifications (RFQ) #20160020. The City of Port St. Lucie (City) requests proposals from firms to serve as Underwriter(s) to assist the City in possible future financings. The intent of this RFQ is to establish a pool of firms that the City will use as a pre-qualification list to select from and negotiate for each potential financing. A Request for Qualfications will be issued to the firms selected by the RFQ for each potential financing. The services solicited through this Request for Qualifications envisions a five-year contract term with selected Underwriting Service firms with a renewal option as per Section III.

Proposals for the project will be received by the City of Port St. Lucie, via Demandstar, in the Procurement Management Department, no later than **, 2015 at 2:00:00 P.M. EST.**

All submittals must be received by the date and time specified above, when they will be opened and the names publicly read aloud. The proposal time must be scrupulously observed. Under no circumstances shall submittals uploaded to Demandstar.com after the time specified be accepted or considered. It is the sole responsibility of the Proposer to ensure that his or her submittal is uploaded on or before the closing date and time. The City shall not be be responsible for delays caused by any power outages or internet failures. No exceptions will be made.

Electronic replies will be the **only** method allowed for Bidders to respond to this solicitation. All submittals must be compatible with Microsoft Office 2013. All must be contained in one (1) files, and in the order specified under title **SUBMITTAL OF E-RFQ subpart D & E**. E-Bidding will be done through a secure locked box. Bidders can only view/submit their E-RFQ and will not have access to any other Bidder's submittals. The Bidder's E-RFQ may be changed at the Bidder's discretion until the due date and time have been reached at which time the Bidder will no longer change or have access to the electronic bid submittal. The City will then open the E-RFQs. Bidders who are E-Bidding for the first time are strongly encouraged to contact Demandstar at (800) 711-1712 or obtain assistance by e-mailing questions to supplierservices@onvia.com.

All questions related to the Request for Qualifications must be directed to Cheryl Shanaberger in the Procurement Management Department. She can be reached at (772) 871-7390. Questions shall be submitted in writing, at least **five (5)** days prior to the RFQ opening, if possible. Questions may be emailed to Cheryls@cityofpsl.com. To ensure fair consideration for all Proposers, it must be clearly understood that Ms. Shanaberger is the only individual who is authorized to represent the City. Questions submitted to any other person in any other department will not be addressed. Additionally, the City prohibits communications initiated by a Proposer to **any** City Official or employee evaluating or considering the Request for Qualificationss **(up to and including the Mayor and City Council)**, from the request of solicitation of the proposal to the time an award decision has been made, and a Contract has been executed.

The City of Port St. Lucie reserves the right to reject any and all bids, to waive any and all informalities or irregularities, and to accept or reject all or any part of any bid as it may deem to be in the best interest of the citizens of the City.

For the purpose of this bid, the term Bidder, E-Bidder, Proposer and Consultant may be used interchangeably.

Documents required for this E-RFQ: Completed Questionnaire, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, Reference Forms, Drug Free Workplace Form, and Checklist.

SUBMITTAL OF E-RFQ

All proposals shall be submitted by completing and returning the Questionnaire. The Questionnaire should be typed or printed and signed in black ink. All submittals are required to be electronic via DemandStar and be contained in one (1) files. **No** hard copies will be accepted.

A. Request Bid Specifications, #20160020 from Onvia, via phone 800-711-1712 or via internet www.cityofpsl.com

B. Download the Questionnaire and save to your hard drive, program is in Word 2013 Professional. Enter information requested on the Questionnaire.

C. Electronically sign the Questionnaire where indicated.

D. Upload Documents in one (1) pdf file and in this order; Questionnaire for E-RFQ #120160020, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, three (3) Reference Forms, Drug Free Workplace form and Checklist onto DemandStar by the due date and time.

E. Enter Zero on the web page for cost or you will receive an error message and your submittal will be denied.

F. The submittal button at the bottom of the page must be selected to complete the Bid response.

***** Only electronic replies are required. No hard copies will be accepted*****
Please try to limit each file submittal to no more than 1.5 mb

NOTE: The City may not accept proposals from firms, that have had adversarial relationships with the City or firms that have represented entities that have had adversarial relationships with the City. This includes the firm, employees and financial or legal interests.

The City will not enter into a contract or conduct business with any firm or any personnel that is listed on the Federal, State, or other local government agencies' Excluded Parties List, Suspended List or Debarment List.

TENTATIVE SCHEDULE

REVIEW AND SELECTION PROCESS

Advertisement with Onvia DemandStar	November 6 , 2015
Proposal Due	December 1, 2015 @ 2:00:00 p.m. EST
Evaluation Committee*	December 10, 2015 @ 1:00 p.m. EST
City Council Contract Approval	January 11, 2016

* Committee will meet in the Conference Room (390) in the Procurement Management Department at 1:00 p.m.

EVALUATION AND AWARD

CRITERION	*MAXIMUM SCORE*
A. Understanding of the work to be performed (Question 3)	15
B. Staff Experience and Qualifications(Question 4)	25
C. Firm Experience and Qualifications (Question 6,7, & 8)	25
D. Ability to demonstrate the firm's marketing and distribution capabilities and meet the requirements of the City with future financings. (Question 9)	20
E. Other determining Factors (Question 10,11,12,13 & 14)	10
F. Client references	5
Maximum Points	**100**

The City's Procurement Management Department reserves the right to request any additional information needed for clarification from any proposer for evaluation purposes.

SPECIAL REQUIREMENTS

Collusion - The City reserves the right to disqualify E-RFQs, upon evidence of collusion with intent to defraud or other illegal practices upon the part of the Proposer. More than one (1) E-RFQ from an individual, partnership, corporation, association, firm, or other legal entity under the same or different names will not be considered. Reasonable grounds for believing that a Proposer has an interest in more than one (1) E-RFQ for the same work will be cause for rejection of all E-RFQs in which such Proposers are believed to have an interest. Any or all E-RFQs will be rejected if there is any reason to believe that collusion exists among the Proposers.

Public Entity Statement - Section 287.133 of the Florida Statutes places the following restrictions on the ability of persons convicted of public entity crimes to transact business with the City:

"A person or affiliate who has been placed on the convicted vendor list following a conviction for a public entity may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction or repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity in excess of the threshold amount provided in s. 287.017 for CATEGORY TWO for a period of thirty-six (36) months following the date of being placed on the convicted vendor list." § 287.133(2) (a), Fla. Stat. (2010)

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"SAMPLE"
CITY OF PORT SAINT LUCIE
CONTRACT #20160020

This CONTRACT, executed this ____ day of _____, 20__ by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, , a Florida Corporation, Telephone No. Fax No. , hereinafter called "Consultant", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Consultant has agreed to perform pursuant to E-RFQ #20160020, UNDERWRITING SERVICES, in accordance with the RFQ, Contract documents and all addenda.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email (with receipt confirmed), or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 7390 Fax 772 871 7337
Email: Cheryls@cityofpsl.com

City Project Manager: Edwin M. Fry, Jr.
Finance Director/City Treasurer
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 5191
Email: efry@cityofpsl.com

Scope of Professional Services

The Underwriter(s) will assist the City in the structuring, marketing and sale of negotiated sales of bonds to meet the financing requirements of the specific financing. Services expected of the selected Underwriter(s) will include, but not be limited to, the following:

1. Assist in the development, planning, and structuring of the City's bond issues.

2. Assist in the review and preparation of legal documents to result in a completed financing that is in the best interests of the City.

3. Marketing and underwriting of the bonds. The City will expect selected Underwriter(s) to recommend a marketing plan that result in the most favorable bond terms to the City, and to prepare a pre-pricing analysis document for timely discussion prior to the bond sale. A marketing plan would address current outstanding market conditions. The marketing plan would also address the merits of retail versus institutional sale, given market preferences at the time of sale.

4. Analyze and advise as to the security structure for bonds, including credit enhancement, and ratings, depending on the financing.

5. Assist in preparation of the Official Statement, Continuing Disclosure Agreement and other necessary bond disclosure documents.

6. Participate in meetings as requested, including due diligence and presentations to the City.

SECTION II
TIME OF PERFORMANCE

Contract period shall start _____, 20__, and terminate _____, 20____, an initial period of five (5) years with a renewal as per Section III. Each individual financing awarded from this RFQ will enter into a separate written contract that will define the time of performance relative to the RFP. In the event all work required in the proposal specifications has not been completed by the specified date, the Consultant agrees to provide work, at no additional cost to the City as authorized by the Contract Supervisor until all work specified in the proposal specifications has been rendered.

SECTION III
RENEWAL OPTION

The City may renew this Contract to provide the identical services for one (1) additional five (5) year term, if the City agrees that said services are required, then the City, without additional bidding, negotiation or City Council approval may extend this Contract.

NOTE: Consultant may exercise the option to renew by submitting a written submission three (3) months prior to the termination of the Contract period.

SECTION IV
COMPENSATION
Fee Structure will be negotiated with selected firm from each individual RFP.

The total amount to be paid by the City to the Consultant will be based on the fees as provided with each Request for Qualifications per financial issue. The City will pay to the Consultant a one-time $10.00 indemnification fee that will indemnify the City for all proposals awarded from this RFQ. The City will not pay for out-of-pocket expenses including, but not limited to, office & utilities, sub consultants fees, travel or any reimbursable expense. There will be no additional amount paid for reimbursable expenses.

The Consultant shall not be paid additional compensation for any loss or damage, arising out of the nature of the work, from the action of the elements, or from any delay or unforeseen obstruction or difficulties encountered in the performance of the work, or for any expenses incurred by or in consequence of the suspension or discontinuance of the work.

Fixed fee is to include all reimbursables, including travel, meals, copies and so forth.

SECTION V
CONFORMANCE WITH PROPOSAL

It is understood that the materials and/or work required herein are in accordance with the proposal made by the Consultant pursuant to the Request for Qualifications and Specifications on file in the Procurement Management Department of the City. All documents submitted by the Consultant in relation to said proposal, and all documents promulgated by the City for inviting proposals are, by reference, made a part hereof as if set forth herein in full.

SECTION VI
INDEMNIFICATION/INSURANCE

The Consultant agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Consultant and persons employed or utilized by the Consultant in the performance of the construction contract. As consideration for this indemnity provision the Consultant shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work. This indemnification shall survive the termination of this Contract. Nothing contained in this paragraph is intended to nor shall it constitute a waiver of the City's sovereign immunity.

The Consultant shall, on a primary basis and at its sole expense, agree to maintain in full force and effect at all times during the life of this Contract, insurance coverage, limits, including endorsements, as described herein. The requirements contained herein, as well as City's review or acceptance of insurance maintained by Consultant are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by Consultant under the Contract.

The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to

any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

The Consultant shall agree to maintain Workers' Compensation Insurance & Employers' Liability in accordance with Section 440, Florida Statutes. Employers' Liability and must include limits of at least $100,000.00 each accident, $100,000.00 each disease/employee, $500,000.00 each disease/maximum. A Waiver of Subrogation endorsement shall be provided. Coverage shall apply on a primary basis. Should scope of work performed by Consultant qualify its employee for benefits under Federal Workers' Compensation Statute (example, U.S. Longshore & Harbor Workers Act or Merchant Marine Act), proof of appropriate Federal Act coverage must be provided.

Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
Personal/advertising injury	$1,000,000
Products/completed operations aggregate	$2,000,000
General aggregate	$2,000,000
Fire damage	$100,000 any 1 fire
Medical expense	$10,000 any 1 person

An Additional Insured endorsement **must** be attached to the certificate of insurance and must include coverage for Completed Operations (should be ISO CG20101185 or CG20370704 & CG20100704) under the General Liability policy. Products & Completed Operations coverage to be provided for a minimum of five (5) years from the date of possession by City or completion of contract. Coverage is to be written on an occurrence form basis and shall apply as primary. A per project aggregate limit endorsement should be attached. Defense costs are to be in addition to the limit of liability. A waiver of subrogation shall be provided in favor of the City. Coverage for the hazards of explosion, collapse and underground property damage (XCU) must also be included when applicable to the work performed. Coverage shall extend to independent Consultants and fellow employees. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interest's provision as provided under the standard ISO form separation of insurer's clause.

The Consultant shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Consultant does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Consultant to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation shall be provided. Coverage shall apply on a primary basis.

Consultant shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Consultant's most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Consultant warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date

advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this Contract, Consultant shall agree to purchase a SERP with a minimum reporting period not less than three (3) years. If policy contains an exclusion for dishonest or criminal acts, defense coverage for the same shall be provided.

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read **"City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents and shall include Contract # 20160020-Underwriting Services"**. The Certificate of Insurance and policy shall be specifically endorsed to provide thirty (30) day written notice to the City prior to any adverse changes, cancellation, or non-renewal of coverage thereunder. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Consultant shall be required, upon thirty (30) days written notice by the City, to provide coverage at least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage shall be attached to the Certificate of Insurance. All independent Consultants and sub consultants utilized in this project shall furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

The Consultant shall agree by entering into this Contract to a Waiver of Subrogation for each required policy. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Consultant shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Consultant enter into such a Contract on a pre-loss basis.

It shall be the responsibility of the Consultant to ensure that all subconsultants comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Consultant for any and all claims under this Contract.

The Consultant may satisfy the minimum limits required above for either Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for either Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability is written on Non-Follow Form," the City shall be endorsed as an "Additional Insured."

The City, by and through its Risk Management Department, reserves the right to, but not obligation, to review and reject any insurer providing coverage.

A failure on the part of the Consultant to execute the contract and/or punctually deliver the required insurance certificates and other documentation may be cause for annulment of the award.

SECTION VII

PROHIBITION AGAINST FILING OR MAINTAINING LIENS AND SUITS

Subject to the laws of the State of Florida and of the United States, neither Consultant nor any sub consultants, supplier of materials, laborer or other person shall file or maintain any lien for labor or materials delivered in the performance of this Contract against the City. The right to maintain such lien for any or all of the above parties is hereby expressly waived.

SECTION VIII
WORK CHANGES

Not Applicable

SECTION IX
COMPLIANCE WITH LAWS

The Consultant shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Consultant will comply with all requirements of 28 C.F.R. § 35.151. Consultants and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Consultant and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Consultant in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

SECTION X
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFQ herein referenced, the terms of this Contract and RFQ herein referenced shall apply.

SECTION XI
LICENSING

Consultant warrants that he possesses all licenses and certificates necessary to perform the required work and is not in violation of any laws. Consultant warrants that his license and certificates are current and will be maintained throughout the duration of the Contract.

SECTION XII
SAFETY PRECAUTIONS

Precaution shall be exercised at all times for the protection of persons, including employees, and property. All plans and construction must be ADA compliant. The safety provisions of all applicable laws and building and construction codes shall be observed. The selected Consultant will submit all proposals in compliance with the 28 C.F.R. § 35.151, and the ADA Settlement Agreement. Where ADA and Florida Building Codes conflict the most stringent applies or ADA supersedes.

SECTION XIII
ASSIGNMENT

Consultant shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

SECTION XIV
TERMINATION

If the Consultant refuses or fails to prosecute the work with such diligence as will insure its completion within the time specified in this Contract, or as may be modified in accordance with this Contract, the City by written notice to the Consultant, may terminate Consultant's rights to proceed. On such termination, the City may take over the work and prosecute the same to completion, by contract or otherwise, and the Consultant and his sureties shall be liable, jointly and severally to the City for any additional cost incurred by it in its completion of the work.

The City may terminate this Contract with or without cause by giving the Consultant thirty (30) days' notice in writing. Upon delivery of said notice the Consultant shall discontinue all services in connection with the performance of this Contract and shall proceed to promptly cancel all related existing third party contracts. Termination of the Contract by the City pursuant to this paragraph shall terminate all of the City's obligations hereunder. In the event of termination.

SECTION XV
LAW AND VENUE

This Contract is to be construed as though made in and to be performed in the State of Florida and is to be governed by the laws of Florida in all respects without reference to the laws of any other state or nation. The venue of any action taken pursuant to this Contract shall be in St. Lucie County, Florida.

SECTION XVI
APPROPRIATION APPROVAL

The Consultant acknowledges that the City of Port St Lucie's performance and obligation to pay under this Contract is contingent upon an annual appropriation by the City Council. The Consultant agrees that, in the event such appropriation is not forthcoming, this Contract may be terminated by the City and that no charges, penalties or other costs shall be assessed.

SECTION XVII
ENTIRE AGREEMENT

The written terms and provisions of this Contract shall supersede any and all prior verbal or written statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this Contract or Contract documents.

SECTION XVIII

TRUTH-IN-NEGOTIATIONS

In accordance with the provisions of Section 287.055, Florida Statutes, the Consultant agrees to execute a truth-in-negotiations certificate and agrees that the original Contract price and any additions may be adjusted to exclude any significant sums by which the Contract price was increased due to inaccurate, incomplete or non-current wage rates and other factual unit costs.

SECTION XIX
CONFLICT OF INTEREST

The City hereby acknowledges that the Consultant may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other Cities, the Consultant shall terminate its relationship with the other City to resolve the conflict of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Consultant shall disclose all of its Treasure Coast Cities and related Scope of Work.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

The Consultant warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Consultant to solicit or secure this Contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm, other than a bona fide employee working solely for the Consultant any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this Contract.

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TRUTH-IN-NEGOTIATION CERTIFICATE AND AFFIDAVIT

E-RFQ #20160020
Underwriting Services

STATE OF FLORIDA §
COUNTY OF ST. LUCIE §

Before me, the undersigned authority, personally appeared affiant _____ who being first duly sworn, deposes and says:

1. That the undersigned firm is furnishing this Truth in Negotiation Certificate pursuant to Section 287.055(5)(a) of the Florida Statutes for the undersigned firm to receive an agreement for professional services with the City of Port St. Lucie, St. Lucie County, Florida.

2. That the undersigned firm is a corporation which engages in furnishing professional Consulting services and is entering into an agreement with the City of Port St. Lucie, St. Lucie County, Florida to provide professional services for a project known as **#20160020, Underwriting Services.**

3. That the undersigned firm has furnished the City of Port St. Lucie, St. Lucie County, Florida a detailed analysis of the cost of the professional services required for the project.

4. That the wage rate information and other factual unit cost, which the undersigned firm furnished, were accurate, complete and current at the time the undersigned firm and the City of Port St. Lucie entered into the agreement for professional services on the project.

5. That the agreement which the undersigned firm and the City of Port St. Lucie entered into on this job contained a provision that the original agreement price and any additions thereto shall be adjusted to exclude any significant sums by which the City of Port St. Lucie determines the agreement price was increased due to inaccurate, incomplete or non-current wage rates or other factual unit cost and that all such agreement adjustments shall be made within one (1) year following the end of the agreement.

FURTHER AFFIANT SAYETH NAUGHT

_____
Name of Firm

_____
By: President

The foregoing instrument was acknowledged before me by _____

who has produced _____as identification or is personally known to me.

WITNESS my hand and official seal in the State of County last aforesaid this _____ day of _____, 20__.

(SEAL)

_____
Signature

_____
Notary Name (typed or printed)

_____
Title or Rank

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of.....)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 20__.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

QUESTIONNAIRE

E-RFQ #20160020
Underwriting Services

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this _____ day of _____, 2015

_____
Name of Organization / Proposer

(This is a word document please add space as needed.)

1. Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?
   (This is a word document add lines as needed)

2. Is your firm a Certified Minority Business (yes or no)?_____

3. Provide in this word document below a general information about your firm, including a brief history and summarizing the key points in this RFQ, (This is a word document, please include below and limit to one (1) page)

4. Provide the names and roles of the public finance professionals assigned to this project, their role, years of experience and qualifications applicable to this project scope and office work will be performed from.

Finance Professional Name	Role	Yr Exp	Qualification	Office

(This is a word document add lines as needed)

5. Who will be the primary day-to-day contact for the engagement and their experience and availability?

6. Provide a chronological listing of the firm's Senior Managed, and Co- Managed underwriting experience nationally for the past five (5) years.

Dated Date	Issue Description, Size	Issuer Name	Firm Role

(This is a word document add lines as needed)

7. Provide a chronological listing of the firm's Senior Managed, and Co- Managed underwriting experience of Florida issues for the past five (5) years.

Dated Date	Issue Description, Size	Issuer Name	Firm Role

(This is a word document add lines as needed)

8. Provide firm experience for the following in the State of Florida:

	Years Exp	Firm Responsibility
General Obligation Bonds		
Sales Tax		
Public Service Tax		
Covenant to Budget & Appropriate (CB & A)		
Community Redevelopment Agency (CRA) & Tax Increment		
Certificates of Participation (COPs)		
Water and Sewer Utilities		
Special Assessments		

9. Please provide a discussion of the Proposer's marketing strategy and distribution capabilities, including number of offices, retail sales professionals, location of sales professionals and institutional sales

professionals. Breakout Florida based professionals. (This is a work document, include below and 1 page only)

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10. Provide the names of two law firms and the lawyer that may be retained as underwriter's counsel should your firm be selected.

11. Provide recommendations regarding the use or non-use of bond insurance based on the current market conditions. (This is a word document, please add below and limit to one (1) page)

12. Please provide the firm's Capital Position over the last 3 years in tabular format.

13. Discuss and provide specific information concerning the in-house technical support, computer modeling and financing analysis capabilities. (This is a word document, please include below, limit to ½ page

14. A description of any litigation or regulatory action filed against the Proposer since January 1, 2012 and the resolution thereof. Identify fully the extent to which your firm or individual partners or employees are the subject of any ongoing municipal securities investigation, litigation, arbitration or subject to a subpoena in connection with such investigation, litigation, or arbitration. (This is a work document, please include below)

15. Using the Reference Form submit three (3) references form the last three years from local governments in the State of Florida for which the firm provided underwriting services

ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued

CERTIFICATION:

This E-RFQ is submitted by: Name (print) _____ who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFQ is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFQ, and to accept the City's Contract and Terms and Condition in its entirety

I agree to abide by all conditions of this E-RFQ.:

_____ _____

Signature Title

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFQ 20160020

Title: Underwriting Services

Respondent:
Reference: _____ Fax #: _____
Email: _____ Telephone #: _____
Person to contact: _____

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

DRUG-FREE WORKPLACE FORM

E-RFQ #20160020

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that _____ does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

_____
Bidder's Signature

_____
Date

CHECKLIST

E-RFQ #20160020

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

_____ Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFQ #20160020, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, ,, three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

_____ All questions on the questionnaire are complete and thoroughly answered

_____ Each Bid Addendum (when issued) is acknowledged

_____Certified Minority Business Certificate if Applicable

_____W9 Form

_____Current Certificate of Insurance

_____ Three (3) Reference Forms from local Florida governments

_____Drug Free Workplace Form

_____ Have reviewed the Contract and accept all City Terms and Conditions

_____Checklist

GOLDMAN SACHS & CO.
200 West Street, 32nd Fl
New York, NY 10282
(202) 902-6495

David Levy – Managing Director
david.a.levy@gs.com

JEFFERIES & COMPANY, INC.
200 S. Orange Avenue, Suite 1440
Orlando, FL 32801
(407) 583-0856

Rawn N. Williams – Managing Director
rwilliams@jefferies.com

JPMORGAN
1450 Brickell Avenue, Fl 33
Miami, FL 33131
(305) 579-9482 Ph

T.J. Whitehouse – Executive Director
Thomas.j.whitehouse@jpmorgan.com

LOOP CAPITAL MARKETS
111 West Jackson Blvd., Suite 1901
Chicago, IL 60604
(850) 332-7136

Keith Thompson – Senior Vice President
keith.thompson@loopcapital.com

MESIROW FINANCIAL
600 Brickell Avenue, Suite 2525
Miami, FL 33131
(212) 530-7647 Ph

Carlos Desmaras – Managing Director
cdemaras@mesirowfinancial.com

MORGAN STANLEY
1560 Sawgrass Corporate Parkway, Ste 479
Sunrise, FL 33323
(954) 331-1595

J.W. Howard - Executive Vice President
james.howard@morganstanley.com

PIPER JAFFRAY & CO.
3238 Players Club Circle
Memphis, TN 38125
(901) 869-5798

Jere McGuffe – Managing Director
Jere.s.mcguffee@pjc.com

PNC CAPITAL MARKETS LLC
420 S. Orange Ave., Suite 300
Orlando, FL 32801
(407) 428-3021

John M. Martinez – Director
john.martinez@pnc.com

RAYMOND JAMES
807 W. Morse Boulevard, Suite 200
Winter Park, FL 32789
(407) 644-3173

Jon Eichelberger – Managing Director
jon.eichelberger@raymondjames.com

RBC CAPITAL MARKETS
1801 California St. Suite 3850
Denver, CO 80202-5822
(303) 595-1206

Nate Eckloff – Managing Director
Nate.eckloff@rbccm.com

Wesley Bradish
Wesley.bradish@rbccm.com

RICE FINANCIAL PRODUCTS
13035 W. Linebaugh Ave., Suite 101B
Tampa, FL 33626
(813) 814-4037 Ph

Kevin M. Schuyler – Managing Director
kevin.schuyler@ricefin.com

SAMUEL A. RAMIREZ & CO. INC.
4767 New Broad Street
Orlando, FL 32814
(407) 513-9777 Ph

Nadine Mentor – Senior Vice President
nadine.mentor@ramirezco.com

SIEBERT BRANDFORD SHANK & CO., LLC
535 Griswold, Suite 2250
Detroit, MI 48226
(313) 496-4500

Sean Werdlow - Senior Managing Director
swerdlow@sbsco.com

STIFEL NICOLAUS & COMPANY, INC.
111 N. Magnolia Avenue, Suite 1175
Orlando, FL 32801
(407) 956-6806

Matthew Sansbury – Senior Vice President
sansburym@stifel.com

SUNTRUST ROBINSON HUMPHREY, INC.
200 South Orange Avenue
Orlando, FL 32801
(407) 237-2504 Ph

Tim Kiley – Managing Director
tim.kiley@suntrust.com

TD SECURITIES
31 West 52nd Street
New York, NY 10019
(212) 827-7357 Ph

Efrain Zamora, Director
efrain.zamora@tdsecurities.com

WELLS FARGO SECURITIES
2363 Gulf-to-Bay Blvd
Clearwater, Florida 33765
(727) 953-1072

David Thornton – Managing Director
david.thornton@wellsfargo.com

NOTICE
RFQ # 20160020
Date: December 3, 2015

RFQ Underwriting Services

Please provide why your firm did not respond to this Request for Qualifications. Thank you in advance and please email your response to Cheryl Shanaberger at cherylS@cityofpsl.com

[ ] Insufficient time to respond to the Invitation to Bid

[ ] We do not offer this product or service.

[ ] Our schedule would not permit us to perform.

[ ] We are unable to meet specifications.

[ ] We are unable to meet Contract Terms & Conditions

[ ] Scope of Work is unclear

[ ] We are unable to meet insurance requirements.

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT
Request for Proposal #20160020
Evaluation Committee Members / Participants

I, _____, an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to **Underwriting Services** project during the evaluation process and before contract award.

Initials	**Applicability of the Florida Sunshine Law**

I acknowledge I have been informed and am aware the State of Florida Sunshine Law applies to meetings of the evaluation committee where decisions for recommendations to the City Council apply. I further recognize that discussions between committee members outside of public Evaluation Committee meetings is prohibited and in violation of the State of Florida Sunshine Law. All questions by evaluation committee members shall be directed to the Contract Administrator (PMD).

Initials	**Restricted Communications / Cone of Silence**

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Communication related to this RFP is further restricted with any entity outside the City of Port St. Lucie. Including, but not limited to, sub-contractors of prime respondents, companies in the industry, other agencies or utility entities, etc . . .

Initials	**Release or Distribution of Information and/or Material**

Evaluation committee members have been provided vendor responses either in hard copy or electronic format. This information, hard copy or electronic, is NOT to be reproduced or distributed under any circumstance. Some information within the proposals may be proprietary and/or confidential. Release of any information will be coordinated by PMD only.

Initials	Non-Collusion

I acknowledge the following with regards to non-collusion in the evaluation process and my scoring:

1. The scores assigned in my evaluation have been arrived at independently and without consultation, communication or agreement with any other Evaluator other than those discussions taking place in a meeting of the Evaluation Committee meeting arranged by PMD.

2. That my scoring of each proposal has not been disclosed to any other Evaluator or person, and they will not be disclosed to other Evaluator except by the PMD during a meeting of the Evaluation Committee.

3. No attempt has been made or will be made to coerce or affect the scoring of any Evaluator.

Initials	Conflict of Interest

I certify that I have no present conflict of interest on the above referenced project, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation of any consultant/contractor/vendor for selection on any contract if I have a conflict of interest or a potential conflict of interest. As set forth in Sections 112.313 Florida Statutes, employees may not have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or accept any obligation of any kind which is in conflict with the proper conduct of their duties in the public interest.

I recognize that employees are expected to honor the ethical obligations inherent in public service. These obligations go beyond mere legal obligations and demand from the employee a greater sensitivity to his or her conduct, as well as the public's perception of such conduct.

Employees are expected to safeguard their ability to make objective, fair, and impartial decisions, and therefore may not accept benefits of any sort under circumstances in which it could be inferred by a reasonable observer that the benefit was intended to influence a pending or future decision of theirs, or to reward a past decision. Employees should avoid any conduct (whether in the context of business, financial, or social relationships) which might undermine the public trust, whether or not that conduct is unethical or lends itself to the appearance of ethical impropriety.

I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: _____

Printed: _____

Date: _____

RFP # 20160020 Title: Underwriting Services

Respondent: _____

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
C. Firm Experience (6 thru 8)	5	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
D. Firms marketing (9)	4	0 1 2 3 4 5	0 1 2 3 4 5
Comments			
E. Other Factors (10-14)	2	0 1 2 3 4 5	0 1 2 3 4 5
F. Client References	1	0 1 2 3 4 5	0 1 2 3 4 5

RFP # 20160020 Title: Underwriting Services

Respondent: _____

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: _____ Dept.: _____
(*please print*)

Signature: _____ Date: _____

GUIDELINES FOR USING RFP EVALUATION FORM #20160020

The principle of fair and open competition in public procurement prohibits the use of any evaluation criteria other than those listed in the solicitation. These criteria must be applied to the responses without change, deletion, or expansion. A protest from a respondent whose proposal was rejected by an evaluation committee using criteria not identified in the published solicitation has a good chance of successfully protesting the award.

Weighting Scores:

Criteria that have more importance (weight) than others are assigned a multiplier or weight factor. Weights are fixed values that indicate the *relative importance* of the criterion, not the quality of the response. The weight factor 2 might represent *important; 4, moderately important;* and 5, *very important.* The established weights must be applied to the responses without change, deletion, or expansion.

Assigning points:

Points are awarded according to the quality of the response with respect to each criterion. On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. (The 0 to 5 point scale is the easiest to apply).

Completing the form:

The form has four columns (lettered A through F). When the evaluator receives the form, Columns A and B is completely filled out: Column A identifies the criterion being scored; Column B shows the weight (1, 3, or 5, for example) assigned to each criterion. Columns C and D are filled out and contain the maximum number of points that can be assigned (on the 0 to 5 point scale shown previously, the maximum is 5).

In the first step in the independent review each committee member chooses the best response to each criterion from the responses received. That response is awarded the maximum point value, and the other responses are assigned fewer points according to how they compare with the best response. (In other words, the best response is determined in relation to the other responses rather than in relation to an ideal.) This process does not eliminate the possibility of multiple firms receiving the same score on one or more of the individual criteria. However, it is incumbent of the committee member to assess each response to identify, even if it is just one item, which differentiates one submittal as the very best in relation to the others. It is important to note that this in an **independent** review and there is to be no discussion or meeting at this time concerning the selection. You may make notes or mark down questions that may be addressed only during the committee meeting.

In order to work with responses that fail to address certain criteria or that take exception to them, the person may evaluate below the assigned point range and award zero or negative scores. For example, if a respondent states explicitly that a particular requirement will not be met, a negative score equal to the highest possible number of points (say, -5) is circled. If a respondent simply fails to address a requirement, a point value of zero is selected. The use of negative or zero scores should be discussed at the committee meeting. Below are some helpful tips:

- If the requirement (objective) is particularly difficult to meet and the proposal offers an approach which, with little or no risk, will yield a result which exceeds requirements qualitatively, the item should score 5 points, dependent upon the level of exceptional features offered.

- If the requirement is relatively difficult to meet, the majority of the factors are acceptable, no major deficiencies or risks exist therein, and the collective approach yields a qualitative benefit beyond that which is minimal, a score of 4 points should be assigned, dependent upon the benefits to be attained.

- If the majority of the factors meet standards, the requirement is not overly difficult to meet, and the factors which are deficient are of a very minor nature or are susceptible to easy correction, the item should be scored 3.

- If the majority of important factors are acceptable, but one or more factors is deficient and some minor risk is involved in the correction thereof, the score for the item should be 2.

- If a majority of the factors for the item are deficient and their correction, either collectively or individually, poses a serious problem in correction or has a "domino" effect on other design features, or the approach poses a high risk without means for correction, if the approach fails, or the approach is undesirable, a score of 1 should be assigned, with the lower score indicating a serious or severe condition.

As part of the independent review, committee members make brief comments under each criterion explaining the reasoning behind their scores. These notes may be used for reference during the full panel discussions if another committee member questions the points assigned.

Each committee member returns the evaluation form to the Contract Specialist on the date specified by following the routing instructions. The points in column C will be tallied by the Contract Specialist and the scores and rankings will be distributed to each committee member at the start of the public evaluation committee meeting.

When the full committee meets to review the proposals, some evaluators may wish to change their points due to information revealed at the panel meeting. For example, if a respondent included information in an attachment instead of in the main section of the proposal, committee members who overlooked the attachment and awarded lower points because of missing information would need to adjust their points in column D after evaluating the information.

Evaluators during the public committee meeting may adjust point values in Column D (Review with Panel). If no adjustment then Column C will be used for the total score.

The points entered in Column C must be independently arrived at and not represent a consensus of the panel. The only exception occurs when points are assigned as a result of a professional review (for example, the Finance or Procurement Management Department reports on the financial stability of each respondent and assigns a score to be entered on all panel members' forms)

Applying the criteria

A. Understanding of the project and the local government's objectives. Is the proposal narrative a virtual copy of the RFQ, or does it indicate that some independent thought was given to its preparation? Does the offer show understanding of the local government's view of the service? Question #3

B Qualifications and experience of principals and staff. Do the qualifications of the principals, project manager, and project staff indicate that the firm can complete the tasks in a professional and satisfactory manner? A company's reputation often rests on the accomplishments of one or more of its principals. Does the proposal indicate that the principals of the firm intend to be heavily involved in the performance of the contract or involved only in an administrative or oversight capacity? Are the staff members profiled in the proposal those who will actually undertake the project? If not, their qualifications, no matter how impressive must be disregarded. Is the experience recent enough to incorporate current changes in the industry? Question #4 & 5

C. Experience and history of firm. This criterion examines corporate rather than individual experience. If the firm limits its work to specialized areas, does the principal area of specialization match the scope of work of the RFP, or is the work to be subcontracted? Question # 6, 7 & 8

D. Marketing approach and distribution capabilities. How does the respondent propose to perform the tasks? Is the methodology proposed well defined or vague? How well organized is the respondent's effort? Will there capabilities meet the City's needs? Question #9

E. Other determining factors. Is the information provided specific to the City or just a general response not requiring any research of the City. Does the firm have a strong Capital Position currently? Any litigation that demonstrates not being pro-active in their response or lack of concern? Questions 10-14

F. Do the references indicate that the firm can handle the size and scope of the project? Review references

BID OPENING ATTENDANCE
RFQ-20160020
Underwriting Services
December 1, 2015 @ 2:00 p.m.

	Name (Please PRINT legibly)	Agency	E-Mail Address	Telephone # & FAX #
1.	Cheryl Shanaberger	PSL		T
				F
2.	Wanda Thies	PSL		T
				F
3.				T
				F
4.				T
				F
5.				T
				F
6.				T
				F
7.				T
				F

EVALUATION ATTENDANCE
RFQ-20160020
Underwriting Services
December 10, 2015 @ 1:00 p.m.

	Name (Please PRINT legibly)	Agency	E-Mail Address	Telephone # & FAX #
1.	Cheryl Shanabergh	PSL		T
				F
2.	Tom O'Reilly	PSL		T
				F
3.	Ed Fry	PSL		T
				F
4.	David Pollard	P.S.L. - SMB		T 772-871-7391
				F
5.				T
				F
6.				T
				F
7.				T
				F

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT
Request for Proposal #20160020
Evaluation Committee Members / Participants

I, Edwin M. Fry, Jr., an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to **Underwriting Services** project during the evaluation process and before contract award.

Initials EF	**Applicability of the Florida Sunshine Law**

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Initials EF	**Restricted Communications / Cone of Silence**

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Initials EF	**Release or Distribution of Information and/or Material**

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Initials BF	**Non-Collusion**

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Initials BF	**Conflict of Interest**

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I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: Baldwin M. Fry, Jr.

Printed: Baldwin M. Fry, Jr.

Date: 12/10/2015

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT
Request for Proposal #20160020
Evaluation Committee Members / Participants

I, David Pollard, an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to **Underwriting Services** project during the evaluation process and before contract award.

Initials

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I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: David K. Pollard

Printed: David Pollard

Date: 12-16-15

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT
Request for Proposal #20160020
Evaluation Committee Members / Participants

I, Tom O'Reilly, an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to **Underwriting Services** project during the evaluation process and before contract award.

Initials TO	**Applicability of the Florida Sunshine Law**

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Initials TO	**Conflict of Interest**

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I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: Tom O'Reilly

Printed: Tom O'Reilly

Date: 12/8/15

RFP # 20160020 Title: Underwriting Services

Respondent: Citigroup

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Kevin - 9 years Michael - 29 years			
C. Firm Experience (6 thru 8)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: National - Top 5 Florida - Top 5			
D. Firms marketing (9)	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments Very strong, ranked #1 by institutional investors			
E. Other Factors (10-14)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Excellent capital position strong back office			
F. Client References	1	0 1 2 3 4 5	0 1 2 3 4 5

RFP # 20160020 Title: Underwriting Services

Respondent: Citi group

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Foy, Jr. Dept.: Finance
(*please print*)

Signature: _____ Date: 12/10/15

RFP # 20160020 Title: Underwriting Services

Respondent: Merrill Lynch

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Jose - 28 years Coleman - 32 years			
C. Firm Experience (6 thru 8)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: National - top five Florida - top five			
D. Firms marketing (9)	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments Very strong			
E. Other Factors (10-14)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Excellent capital position Strong back office			
F. Client References	1	0 1 2 3 4 5	0 1 2 3 4 5

RFP # 20160020 Title: Underwriting Services

Respondent: Merrill Lynch

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Fry, Jr. (*please print*) Dept.: Finance

Signature: _____ Date: 12/10/15

RFP # 20160020 Title: Underwriting Services

Respondent: Estrada Hinojosa

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Loaeles - 27 years Robert - 30 years			
C. Firm Experience (6 thru 8)	5	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: National - under #20 Florida - #19 - 15 Yrs #16 - par			
D. Firms marketing (9)	4	0 1 (2) 3 4 5	0 1 2 3 4 5
Comments small sales force			
E. Other Factors (10-14)	2	0 1 (2) 3 4 5	0 1 2 3 4 5
weakest capital position of respondents. Good back office.			
F. Client References	1	0 1 2 3 4 5	0 1 2 3 4 5

RFP # 20160020 Title: Underwriting Services

Respondent: Estrada Hinojosa

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Fox, Jr. (*please print*) Dept.: Finance

Signature: _____ Date: 12/10/15

RFP # 20160020 Title: Underwriting Services

Respondent: RBC Capital Markets

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Nate - 33 years Wes - 13 years			
C. Firm Experience (6 thru 8)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: National - #1 - issues #6 - par Florida - #1 - issues #5 - par			
D. Firms marketing (9)	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments Very strong			
E. Other Factors (10-14)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Excellent capital position Strong back office			
F. Client References	1	0 1 2 3 4 5	0 1 2 3 4 5

RFP # 20160020 Title: Underwriting Services

Respondent: R BC

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Fry, Jr. Dept.: Finance
(please print)

Signature: _____ Date: 12/18/15

RFP # 20160020 Title: Underwriting Services

Respondent: Stifel / Nicolaus

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: Matthew - 13 years Alex - 14 years			
C. Firm Experience (6 thru 8)	5	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: National - Top 5 - issues #13 - par Florida - #15 - issues below #20 - par			
D. Firms marketing (9)	4	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments strong			
E. Other Factors (10-14)	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Good capital position Good back office			
F. Client References	1	0 1 2 3 4 5	0 1 2 3 4 5

RFP # 20160020 Title: Underwriting Services

Respondent: Fitzel Nicolas

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Fry, Jr. Dept.: Finance
(please print)

Signature: _____ Date: 12/10/15

RFP # 20160020 Title: Underwriting Services

Respondent: Wells Fargo

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: Michael - 19 years Molly - 14 years			
C. Firm Experience (6 thru 8)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: National - Top five Florida - Top five			
D. Firms marketing (9)	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments Very strong			
E. Other Factors (10-14)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Excellent capital position strong back office			
F. Client References	1	0 1 2 3 4 5	0 1 2 3 4 5

RFP # 20160020 Title: Underwriting Services

Respondent: Wells Fargo

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Fry, JR. Dept.: Finance
(please print)

Signature: _____ Date: 12/10/15

RFP # 20160020 Title: Underwriting Services

Respondent: Citigroup

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: 4 assigned w/ the lots of yrs. 2 as underwriters			
C. Firm Experience (6 thru 8)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Top FL. Exp. Strong Capital			
D. Firms marketing (9)	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments			
E. Other Factors (10-14)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
F. Client References	1	0 1 2 3 4 (5)	0 1 2 3 4 5
Several Strong			

RFP # 20160020 Title: Underwriting Services

Respondent: _____

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard (*please print*) Dept.: OMB 12.10.15

Signature: David K. Pollard Date: 12.10.15

RFP # 20160020 Title: Underwriting Services

Respondent: Bank of America / M.L.

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: 2 staff members with great rep.			
C. Firm Experience (6 thru 8)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Strong rankings Strong Capital			
D. Firms marketing (9)	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments			
E. Other Factors (10-14)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Capital			
F. Client References	1	0 1 2 3 4 (5)	0 1 2 3 4 5
Strong			

RFP # 20160020 Title: Underwriting Services

Respondent: _____

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard (*please print*) Dept.: DMB 12-10-15

Signature: David K. Pollard Date: 12-10-15

RFP # 20160020 Title: Underwriting Services

Respondent: Estrada Hinojosa

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Firm-22 yrs			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Good yrs Exp.			
C. Firm Experience (6 thru 8)	5	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: Firm is 22 yrs old			
D. Firms marketing (9)	4	0 1 (2) 3 4 5	0 1 2 3 4 5
Comments Limited Staffing			
E. Other Factors (10-14)	2	0 1 (2) 3 4 5	0 1 2 3 4 5
Less Capital			
F. Client References	1	0 1 (2) 3 4 5	0 1 2 3 4 5
some 9 scores on one 10's on one ~~7~~ A 6 + 7 on one review ~~none completed~~			

RFP # 20160020 Title: Underwriting Services

Respondent: _____

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard Dept.: OMB
(*please print*)

Signature: David K. Pollard Date: 12-10-15

RFP # 20160020 Title: Underwriting Services

Respondent: RBC

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Exp. with P.S.L. not as large as others			
C. Firm Experience (6 thru 8)	5	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Less Capital than others			
D. Firms marketing (9)	4	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments Less staffing than CIT + BOA			
E. Other Factors (10-14)	2	0 1 2 3 (4) 5	0 1 2 3 4 5
F. Client References	1	0 1 2 3 4 (5)	0 1 2 3 4 5
Strong - Scores of 10			

RFP # 20160020 Title: Underwriting Services

Respondent: _____

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard (*please print*) Dept.: OMB 12-10-15

Signature: David L. Pollard Date: 12-10-15

RFP # 20160020 Title: Underwriting Services

Respondent: Stifel Nicholas

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Many members with strong exp. - Primary contact is managing director			
C. Firm Experience (6 thru 8)	5	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Less capital			
D. Firms marketing (9)	4	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments			
E. Other Factors (10-14)	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Less capital			
F. Client References	1	0 1 2 (3) 4 5	0 1 2 3 4 5
one strong — one with 7's — ?			

RFP # 20160020 Title: Underwriting Services

Respondent: _____

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard (*please print*) Dept.: OMB 12.10.15

Signature: David K. Pollard Date: 12-10-15

RFP # 20160020 Title: Underwriting Services

Respondent: Wells Fargo

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Lots of ASSignedStaff Contract is strong.			
C. Firm Experience (6 thru 8)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Lots of Issues Strong Capital Level			
D. Firms marketing (9)	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments			
E. Other Factors (10-14)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
High Capital			
F. Client References	1	0 1 2 3 (4) 5	0 1 2 3 4 5
none recid ?			

RFP # 20160020 Title: Underwriting Services

Respondent: _____

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard (*please print*) Dept.: OMB 12-10-15

Signature: David L Pollard Date: 12-10-15

RFP # 20160020 Title: Underwriting Services

Respondent: Citigroup

RECEIVED
DEC 09 2015
Procurement Management Department

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Understanding of the work(3) ✓✓	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:		15	
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: ✓✓		25	
C. Firm Experience (6 thru 8) ✓✓	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:		25	
D. Firms marketing (9) ✓✓	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments		20	
E. Other Factors (10-14) ✓✓	2	0 1 2 3 4 (5)	0 1 2 3 4 5
		10	
F. Client References	1	0 1 2 3 4 (5)	0 1 2 3 4 5
Hillsborough Co Aviation Auth City of Ft. Lauderdale School Board of Brevard		5	

100

RFP # 20160020 Title: Underwriting Services

Respondent: Citigroup

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Tom O'Reilly (*please print*) Dept.: Finance

Signature: Tom O'Reilly Date: 12/8/15

RFP # 20160020 Title: Underwriting Services

Respondent: B.O.A.

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Understanding of the work(3) ✓	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:		15	
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: ✓ ✓		20	
C. Firm Experience (6 thru 8) ✓ ✓	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:		25	
D. Firms marketing (9) ✓ ✓	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments		20	
E. Other Factors (10-14) ✓	2	0 1 2 3 (4) 5	0 1 2 3 4 5
		8	
F. Client References	1	0 1 2 3 (4) 5	0 1 2 3 4 5
Miami Beach Hollywood Miramar		4	

92

RFP # 20160020 Title: Underwriting Services

Respondent: B.O.A.

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Tom O'Reilly (*please print*) Dept.: Finance

Signature: Tom O'Reilly Date: 12/8/15

RFP # 20160020 Title: Underwriting Services

Respondent: Estrada Hinojosa

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Understanding of the work(3) ✓	3	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:		9	
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: ✓ (Miami)		15	
C. Firm Experience (6 thru 8) ✓	5	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: (Miami) 36 in FL		15	
D. Firms marketing (9)	4	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments ✓ (Dallas)		16	
E. Other Factors (10-14) ✓	2	0 1 2 (3) 4 5	0 1 2 3 4 5
		6	
F. Client References	1	0 1 2 (3) 4 5	0 1 2 3 4 5
Miami Dade County Public Schools 1 1 No P.B. County Impr. District		3	

RFP # 20160020 Title: Underwriting Services

Respondent: Estrada Hinojosa

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Tom O'Reilly (*please print*) Dept.: Finance

Signature: Tom O'Reilly Date: 12/8/15

RFP # 20160020 Title: Underwriting Services

Respondent: RBC

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: ✓✓✓		15	
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: ✓✓		20	
C. Firm Experience (6 thru 8) ✓✓	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:		25	
D. Firms marketing (9) ✓	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments		20	
E. Other Factors (10-14) ✓	2	0 1 2 3 (4) 5	0 1 2 3 4 5
		8	
F. Client References	1	0 1 2 3 (4) 5	0 1 2 3 4 5
Davie Martin County Fort Pierce ✓		4	

92

RFP # 20160020 Title: Underwriting Services

Respondent: R.B.C.

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Tom O'Reilly (*please print*) Dept.: Finance

Signature: Tom O'Reilly Date: 12/8/15

RFP # 20160020 Title: Underwriting Services

Respondent: Stifel

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: ✓ ✓ SAO		12	
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: ✓ (schools)		20	
C. Firm Experience (6 thru 8)	5	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: ✓ (n)		15	
D. Firms marketing (9)	4	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments ✓		16	
E. Other Factors (10-14)	2	0 1 2 (3) 4 5	0 1 2 3 4 5
✓ ✓		6	
F. Client References	1	0 1 2 (3) 4 5	0 1 2 3 4 5
Hialeah Leesburg Daytona Beach		3	

92

RFP # 20160020 Title: Underwriting Services

Respondent: Stifel

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Tom O'Reilly (*please print*) Dept.: Finance

Signature: Tom O'Reilly Date: 12/8/15

RFP # 20160020 Title: Underwriting Services

Respondent: Wells Fargo

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Understanding of the work(3)	3	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: ✓✓		12	
B. Staff Experience and Qualifications (4 & 5)	5	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: ✓✓		20	
C. Firm Experience (6 thru 8)	5	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: ✓✓		25	
D. Firms marketing (9)	4	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments ✓✓		20	
E. Other Factors (10-14)	2	0 1 2 3 (4) 5	0 1 2 3 4 5
✓✓		8	
F. Client References	1	0 1 2 3 (4) 5	0 1 2 3 4 5
Manatee County Pembroke Pines Brevard County School District		4	

RFP # 20160020 Title: Underwriting Services

Respondent: Wells Fargo

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Tom O'Reilly Dept.: Finance

(please print)

Signature: Tom O'Reilly Date: 12/8/15

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 13C
Meeting Date: ~~1/11/16~~

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager
Edwin M. Fry, Finance Director

FROM: Cheryl Shanaberger- Director, Procurement Management Department

Agenda Item: Motion: E-RFQ # 20160014- Bond Counsel and Bond Disclosure Counsel

Submittal Date: 12/20/2015

STRATEGIC PLAN LINK: Goal 1, Financially Sound City and Principle D, Financially Responsible Manner – Maintaining a responsible level of debt consistent with city financial policies and national standards

BACKGROUND: The City of Port St. Lucie requires Bond Counsel and Bond Disclosure Counsel with each bond issue. Therefore, the City issued a Request for Qualifications on October 29, 2015. During the advertisement period, five hundred eighty-two (582) suppliers were notified, and ten (10) of those downloaded documents and six (6) submitted proposals. The evaluation committee met in a public meeting on December 4th and December 8th, 2015, to review and discuss their individual scores. After a brief discussion regarding the points, the committee members submitted their final group scores and consensus ranking.

ANALYSIS: The committee reviewed the qualifications and experience of each firm. The committee also was provided a 3rd party review from the City's Financial Advisor, Ed Stull. All the firms that submitted proposals were qualified and had the capacity to perform the services needed by the City. The committee reviewed the group scores and discussed each firm's expertise and experience in the two disciplines and that the group scores were a composite of these areas. The City's intent is to have the best counsel for each discipline. The committee then chose to consensus rank the firms for the final selection. The committee selected for Bond Counsel Nabors Giblin & Nickerson as primary and Greenberg Traurig as secondary. The committee selected for Bond Disclosure Counsel Bryant Miller Olive P.A. as primary and Gray Robinson, P.A. as secondary.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: Reviewed by Ella Gilbert on 11/12/15 and approved as to form.

STAFF RECOMMENDATION: Approve firms selected by the evaluation committee and enter into contracts with Nabors Giblin & Nickerson for Bond Counsel and Bryant Miller Olive P.A. for Disclosure Counsel for a five (5) year term with a renewal of the same term. Fees and costs will be negotiated with each Bond Agreement.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: Five (5) minutes

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: N/A

ATTACHMENTS: Contracts, (Audio from both meetings included in cd format), Evaluation Group Score Totals, Third party review, copies of winning firms Bid response, RFQ documents, Addenda, Bid Details, Attendance sheets, Evaluation Guidelines, Participation Agreements, Individual Evaluator Scores, Unsuccessful firms' Bid responses

*All the attached items have been sent to the City Clerk. Any items not provided in the City Council packets are available upon request from the City Clerk.

CITY OF PORT SAINT LUCIE
CONTRACT #20160014

This CONTRACT, executed this ____ day of _____, 20__ by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, Nabors Giblin & Nickerson P.A., a Florida Corporation, 2502 Rocky Point Drive, Suite 1060, Tampa, FL 33607, Telephone No 813 281 2222. Fax No. 813 281 0129 hereinafter called "Consultant", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Consultant has agreed to perform pursuant to E-RQP #20160014, Bond Counsel, in accordance with the RFQ, Contract documents and all addenda.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email (with receipt confirmed), or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 7390 Fax 772 871 7337
Email: Cheryls@cityofpsl.com

City Project Manager: Edwin M. Fry, Jr.
Finance Director/City Treasurer
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 5191
Email: efry@cityofpsl.com

Bond Counsel: Steven E. Miller
Nabors, Giblin & Nickerson, P.A.
2502 Rocky Point Drive, Suite 1060
Tampa, FL 33607
Telephone: 813 281 2222 Fax 813 281 0129
Email: smiller@ngn-tampa.com

Scope of Professional Services

The Bond Counsel must provide the full scope of legal services in preparing and marketing bond issues for the City. The services to be performed by the Bond Counsel include, but are not limited to, the following:

1. Reviewing the advice to the City as to the legal feasibility of any financing program proposed by the City's Financial Advisor and advising as to compliance with applicable law and pending or proposed revision in the law, including U.S. Treasury regulations.

2. Reviewing any conduit bond issues for compliance with all applicable securities and tax law and regulations.

3. Advising as to procedures, required approvals and filings, schedule of events for timely issuance, potential cost-saving techniques and other legal matters relative to the issuance of bonds, whether the financing is undertaken by competitive bid or negotiated sale.

4. Attending conferences of City officials, staff members, the City's Financial Advisor, and if a negotiated sale is undertaken, representatives of the selected underwriters, when so requested.

5. Attending any requested meetings of the City Commission and its committees.

6. Preparing all bond documents and any amendments thereto in order to authorize the issuance of the bonds.

7. Preparing any trust indenture; escrow deposit agreement; trustee, registrar or paying agent agreement; and any other agreements or similar documents necessary, related or incidental to the financing.

8. Preparing all pleadings and other documents (e.g., complaint, notice of service, proposed answer, memorandum of law, proposed order, etc.) and conducting the validation hearing of any appeals related thereto or arising there from.

9. Reviewing the transcript of all proceedings in connection with the foregoing and indicating any necessary corrective action.

10. If sale is by competitive bid, assisting in the preparation of the bid documents, notice of sale, evaluation of bids and any other documentation or action necessary to conduct a sale of the bonds in that manner.

11. Preparation of the preliminary official statement and official statement and preparation of the award resolution, and any amendments thereto, for the sale of the bonds and reviewing the bond purchase agreement.

12. Preparing, obtaining, delivering, and filing all closing papers necessary in connection with the sale and issuance of the bonds, including, but not limited to, certified copies of all minutes, ordinances, resolutions and orders; certificates such as officers, seal, incumbency, signature, no prior pledge, arbitrage and others; and verifications, consents and opinions from accountants, Consultants, special consultants, and attorneys.

13. Issuing of standard, comprehensive bond counsel opinion as to the legality of the bonds, the security of their payment and the exemption from federal income taxation of the interest on the bonds as well as the customary 10(b)(5) opinion as to the accuracy of the Official Statement.

SECTION II
TIME OF PERFORMANCE

Contract period shall start January 18, 2016_, and terminate January 17, 2021, for an initial period of five (5) years with a renewal as per Section III. In the event all work required in the proposal specifications has not been completed by the specified date, the Consultant agrees to provide work, at no additional cost to the City as authorized by the Contract Supervisor until all work specified in the proposal specifications has been rendered.

SECTION III
RENEWAL OPTION

The City may renew this Contract to provide the identical services for one (1) additional five (5) year term, if the City agrees that said services are required and that the cost is acceptable, then the City, without additional bidding, negotiation or City Council approval may extend this Contract.

NOTE: Contractor may exercise the option to renew by submitting a written submission three (3) months prior to the termination of the Contract period.

SECTION IV
COMPENSATION

The total amount to be paid by the City to the Consultant will be based on the fees negotiated with each Bond Issue Compensation will be included in the debt issue. The City will pay to the Consultant a one-time only fee of $10.00 as an indemnification fee. The City will not pay for out-of-pocket expenses including, but not limited to, office & utilities, sub consultants fees, travel or any reimbursable expense. There will be no additional amount paid for reimbursable expenses.

The Consultant shall not be paid additional compensation for any loss or damage, arising out of the nature of the work, from the action of the elements, or from any delay or unforeseen obstruction or difficulties encountered in the performance of the work, or for any expenses incurred by or in consequence of the suspension or discontinuance of the work.

Fees are to include all reimbursable, including travel, meals, copies and so forth.

SECTION V
CONFORMANCE WITH PROPOSAL

It is understood that the materials and/or work required herein are in accordance with the proposal made by the Consultant pursuant to the Request for Proposal and Specifications on file in the Procurement Management Department of the City. All documents submitted by the Consultant in relation to said proposal, and all documents promulgated by the City for inviting proposals are, by reference, made a part hereof as if set forth herein in full.

SECTION VI
INDEMNIFICATION/INSURANCE

The Consultant agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Consultant and persons employed or utilized by the Consultant in the performance of the construction contract. As consideration for this indemnity provision the Consultant shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work. This indemnification shall survive the termination of this Contract. Nothing contained in this paragraph is intended to nor shall it constitute a waiver of the City's sovereign immunity.

The Consultant shall, on a primary basis and at its sole expense, agree to maintain in full force and effect at all times during the life of this Contract, insurance coverage, limits, including endorsements, as described herein. The requirements contained herein, as well as City's review or acceptance of insurance maintained by Consultant are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by Consultant under the Contract.

The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

The Consultant shall agree to maintain Workers' Compensation Insurance & Employers' Liability in accordance with Section 440, Florida Statutes. Employers' Liability and must include limits of at least $100,000.00 each accident, $100,000.00 each disease/employee, $500,000.00 each disease/maximum. A Waiver of Subrogation endorsement shall be provided. Coverage shall apply on a primary basis. Should scope of work performed by Consultant qualify its employee for benefits under Federal Workers' Compensation Statute (example, U.S. Longshore & Harbor Workers Act or Merchant Marine Act), proof of appropriate Federal Act coverage must be provided.

Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
Personal/advertising injury	$1,000,000
Products/completed operations aggregate	$2,000,000
General aggregate	$2,000,000
Fire damage	$100,000 any 1 fire
Medical expense	$10,000 any 1 person

An Additional Insured endorsement **must** be attached to the certificate of insurance, CG2026 .under the General Liability policy. Coverage is to be written on an occurrence form basis and shall apply as primary. A per project aggregate limit endorsement should be attached. Defense costs are to be in addition to the limit of liability. A waiver of subrogation shall be provided in favor of the City. Coverage shall extend to independent Consultants and fellow employees. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interest's provision as provided under the standard ISO form separation of insurer's clause.

The Consultant shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Consultant does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Consultant to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation shall be provided. Coverage shall apply on a primary basis.

Consultant shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Consultant's most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Consultant warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this Contract, Consultant shall agree to purchase a SERP with a minimum reporting period not less than three (3) years. If policy contains an exclusion for dishonest or criminal acts, defense coverage for the same shall be provided.

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read **"City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents and shall include Contract # 20160014-Bond Counsel."**. The Certificate of Insurance and policy shall be specifically endorsed to provide thirty (30) day written notice to the City prior to any adverse changes, cancellation, or non-renewal of coverage thereunder. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Consultant shall be required, upon thirty (30) days written notice by the City, to provide coverage at least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage shall be attached to the Certificate of Insurance. All independent Consultants and subconsultants utilized in this project shall furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

The Consultant shall agree by entering into this Contract to a Waiver of Subrogation for each required policy. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Consultant shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery Against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Consultant enter into such a Contract on a pre-loss basis.

It shall be the responsibility of the Consultant to ensure that all subconsultants comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Consultant for any and all claims under this Contract.

The Consultant may satisfy the minimum limits required above for either Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for either Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability is written on Non-Follow Form," the City shall be endorsed as an "Additional Insured."

The City, by and through its Risk Management Department, reserves the right to, but not obligation, to review and reject any insurer providing coverage.

A failure on the part of the Consultant to execute the contract and/or punctually deliver the required insurance certificates and other documentation may be cause for annulment of the award.

SECTION VII
PROHIBITION AGAINST FILING OR MAINTAINING LIENS AND SUITS

Subject to the laws of the State of Florida and of the United States, neither Consultant nor any subconsultants, supplier of materials, laborer or other person shall file or maintain any lien for labor or materials delivered in the performance of this Contract against the City. The right to maintain such lien for any or all of the above parties is hereby expressly waived.

SECTION VIII
WORK CHANGES

Not Applicable

SECTION IX
COMPLIANCE WITH LAWS

The Consultant shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Consultant will comply with all requirements of 28 C.F.R. § 35.151. Consultants and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Consultant and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Consultant in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who

willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

SECTION X
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFP herein referenced, the terms of this Contract and RFP herein referenced shall apply.

SECTION XI
LICENSING

Consultant warrants that he possesses all licenses and certificates necessary to perform the required work and is not in violation of any laws. Consultant warrants that his license and certificates are current and will be maintained throughout the duration of the Contract.

SECTION XII
SAFETY PRECAUTIONS

Precaution shall be exercised at all times for the protection of persons, including employees, and property. All plans and construction must be ADA compliant. The safety provisions of all applicable laws and building and construction codes shall be observed.

SECTION XIII
ASSIGNMENT

Consultant shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

SECTION XIV
TERMINATION

If the Consultant refuses or fails to prosecute the work with such diligence as will insure its completion within the time specified in this Contract, or as may be modified in accordance with this Contract, the City by written notice to the Consultant, may terminate Consultant's rights to proceed. On such termination, the City may take over the work and prosecute the same to completion, by contract or otherwise, and the Consultant and his sureties shall be liable, jointly and severally to the City for any additional cost incurred by it in its completion of the work.

The City may terminate this Contract with or without cause by giving the Consultant thirty (30) days' notice in writing. Upon delivery of said notice the Consultant shall discontinue all services in connection with the performance of this Contract and shall proceed to promptly cancel all related existing third party contracts. Termination of the Contract by the City pursuant to this paragraph shall terminate all of the City's obligations hereunder. In the event of termination.

SECTION XV
LAW AND VENUE

This Contract is to be construed as though made in and to be performed in the State of Florida and is to be governed by the laws of Florida in all respects without reference to the laws of any other state or nation. The venue of any action taken pursuant to this Contract shall be in St. Lucie County, Florida.

SECTION XVI
APPROPRIATION APPROVAL

The Consultant acknowledges that the City of Port St Lucie's performance and obligation to pay under this Contract is contingent upon an annual appropriation by the City Council. The Consultant agrees that, in the event such appropriation is not forthcoming, this Contract may be terminated by the City and that no charges, penalties or other costs shall be assessed.

SECTION XVII
ENTIRE AGREEMENT

The written terms and provisions of this Contract shall supersede any and all prior verbal or written statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this Contract or Contract documents.

SECTION XVIII
TRUTH-IN-NEGOTIATIONS

N/A

SECTION XIX
CONFLICT OF INTEREST

The City hereby acknowledges that the Consultant may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other Cities, the Consultant shall terminate its relationship with the other City to resolve the conflict of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Consultant shall disclose all of its Treasure Coast Cities and related Scope of Work.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

The Consultant warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Consultant to solicit or secure this Contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm, other than a bona fide employee working solely for the Consultant any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this Contract.

(Balance of Page Left Blank)

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of Nabors, Giblin& Nickerson, P.A..)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 2016_.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

CITY OF PORT SAINT LUCIE
CONTRACT #20160014

This CONTRACT, executed this ____ day of _____, 20__ by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, Bryant Miller Olive, P.A., 101 N. Monroe Street, Suite 900, Tallahassee, FL 32301, Telephone No 305 374 7349, Fax No. 305 374 0895 hereinafter called "Consultant", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Consultant has agreed to perform pursuant to E-RQP #20160014, Bond Disclosure Counsel, in accordance with the RFQ, Contract documents and all addenda.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email (with receipt confirmed), or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 7390 Fax 772 871 7337
Email: Cherylsl@cityofpsl.com

City Project Manager: Edwin M. Fry, Jr.
Finance Director/City Treasurer
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 5191
Email: efry@cityofpsl.com

Bond Disclosure Counsel: JoLinda Herring, Esq.
Bryant Miller Olive P.A.
1 SE Third Avenue, Suite 2200
Miami, FL 33131
Telephone: 3305 374 7349 Fax 305 374 0895
Email: jherring@bmolaw.com

Scope of Professional Services

The Bond Disclosure Counsel must provide the full scope of legal services in preparing and marketing bond issues for the City. The services to be performed by the Bond Disclosure Counsel include, but are not limited to, the following:

1. Reviewing the advice to the City as to the legal feasibility of any financing program proposed by the City's Financial Advisor and advising as to compliance with applicable law and pending or proposed revision in the law, including U.S. Treasury regulations.

2. Reviewing any conduit bond issues for compliance with all applicable securities and tax law and regulations.

3. Advising as to procedures, required approvals and filings, schedule of events for timely issuance, potential cost-saving techniques and other legal matters relative to the issuance of bonds, whether the financing is undertaken by competitive bid or negotiated sale.

4. Attending conferences of City officials, staff members, the City's Financial Advisor, and if a negotiated sale is undertaken, representatives of the selected underwriters, when so requested.

5. Attending any requested meetings of the City Commission and its committees.

6. Preparing all bond documents and any amendments thereto in order to authorize the issuance of the bonds.

7. Preparing any trust indenture; escrow deposit agreement; trustee, registrar or paying agent agreement; and any other agreements or similar documents necessary, related or incidental to the financing.

8. Preparing all pleadings and other documents (e.g., complaint, notice of service, proposed answer, memorandum of law, proposed order, etc.) and conducting the validation hearing of any appeals related thereto or arising there from.

9. Reviewing the transcript of all proceedings in connection with the foregoing and indicating any necessary corrective action.

10. If sale is by competitive bid, assisting in the preparation of the bid documents, notice of sale, evaluation of bids and any other documentation or action necessary to conduct a sale of the bonds in that manner.

11. Preparation of the preliminary official statement and official statement and preparation of the award resolution, and any amendments thereto, for the sale of the bonds and reviewing the bond purchase agreement.

12. Preparing, obtaining, delivering, and filing all closing papers necessary in connection with the sale and issuance of the bonds, including, but not limited to, certified copies of all minutes, ordinances, resolutions and orders; certificates such as officers, seal, incumbency, signature, no prior pledge, arbitrage and others; and verifications, consents and opinions from accountants, Consultants, special consultants, and attorneys.

13. Issuing of standard, comprehensive Bond Disclosure Counsel opinion as to the legality of the bonds, the security of their payment and the exemption from federal income taxation of the interest on the bonds as well as the customary 10(b)(5) opinion as to the accuracy of the Official Statement.

SECTION II
TIME OF PERFORMANCE

Contract period shall start January 18, 2016_, and terminate January 17, 2021, for an initial period of five (5) years with a renewal as per Section III. In the event all work required in the proposal specifications has not been completed by the specified date, the Consultant agrees to provide work, at no additional cost to the City as authorized by the Contract Supervisor until all work specified in the proposal specifications has been rendered.

SECTION III
RENEWAL OPTION

The City may renew this Contract to provide the identical services for one (1) additional five (5) year term, if the City agrees that said services are required and that the cost is acceptable, then the City, without additional bidding, negotiation or City Council approval may extend this Contract.

NOTE: Contractor may exercise the option to renew by submitting a written submission three (3) months prior to the termination of the Contract period.

SECTION IV
COMPENSATION

The total amount to be paid by the City to the Consultant will be based on the fees negotiated with each Bond Issue Compensation will be included in the debt issue. The City will pay to the Consultant a one-time only fee of $10.00 as an indemnification fee. The City will not pay for out-of-pocket expenses including, but not limited to, office & utilities, sub consultants fees, travel or any reimbursable expense. There will be no additional amount paid for reimbursable expenses.

The Consultant shall not be paid additional compensation for any loss or damage, arising out of the nature of the work, from the action of the elements, or from any delay or unforeseen obstruction or difficulties encountered in the performance of the work, or for any expenses incurred by or in consequence of the suspension or discontinuance of the work.

Fees are to include all reimbursable, including travel, meals, copies and so forth.

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SECTION VI
INDEMNIFICATION/INSURANCE

The Consultant agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Consultant and persons employed or utilized by the Consultant in the performance of the construction contract. As consideration for this indemnity provision the Consultant shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work. This indemnification shall survive the termination of this Contract. Nothing contained in this paragraph is intended to nor shall it constitute a waiver of the City's sovereign immunity.

The Consultant shall, on a primary basis and at its sole expense, agree to maintain in full force and effect at all times during the life of this Contract, insurance coverage, limits, including endorsements, as described herein. The requirements contained herein, as well as City's review or acceptance of insurance maintained by Consultant are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by Consultant under the Contract.

The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

The Consultant shall agree to maintain Workers' Compensation Insurance & Employers' Liability in accordance with Section 440, Florida Statutes. Employers' Liability and must include limits of at least $100,000.00 each accident, $100,000.00 each disease/employee, $500,000.00 each disease/maximum. A Waiver of Subrogation endorsement shall be provided. Coverage shall apply on a primary basis. Should scope of work performed by Consultant qualify its employee for benefits under Federal Workers' Compensation Statute (example, U.S. Longshore & Harbor Workers Act or Merchant Marine Act), proof of appropriate Federal Act coverage must be provided.

Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
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Fire damage	$100,000 any 1 fire
Medical expense	$10,000 any 1 person

An Additional Insured endorsement **must** be attached to the certificate of insurance, CG2026 .under the General Liability policy. Coverage is to be written on an occurrence form basis and shall apply as primary. A per project aggregate limit endorsement should be attached. Defense costs are to be in addition to the limit of liability. A waiver of subrogation shall be provided in favor of the City. Coverage shall extend to independent Consultants and fellow employees. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interest's provision as provided under the standard ISO form separation of insurer's clause.

The Consultant shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Consultant does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Consultant to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation shall be provided. Coverage shall apply on a primary basis.

Consultant shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Consultant's most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Consultant warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this Contract, Consultant shall agree to purchase a SERP with a minimum reporting period not less than three (3) years. If policy contains an exclusion for dishonest or criminal acts, defense coverage for the same shall be provided.

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read **"City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents and shall include Contract # 20160014-Bond Disclosure Counsel."** The Certificate of Insurance and policy shall be specifically endorsed to provide thirty (30) day written notice to the City prior to any adverse changes, cancellation, or non-renewal of coverage thereunder. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Consultant shall be required, upon thirty (30) days written notice by the City, to provide coverage at least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage shall be attached to the Certificate of Insurance. All independent Consultants and subconsultants utilized in this project shall furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

The Consultant shall agree by entering into this Contract to a Waiver of Subrogation for each required policy. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Consultant shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery Against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Consultant enter into such a Contract on a pre-loss basis.

It shall be the responsibility of the Consultant to ensure that all subconsultants comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Consultant for any and all claims under this Contract.

The Consultant may satisfy the minimum limits required above for either Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for either Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability is written on Non-Follow Form," the City shall be endorsed as an "Additional Insured."

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SECTION VIII
WORK CHANGES

Not Applicable

SECTION IX
COMPLIANCE WITH LAWS

The Consultant shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Consultant will comply with all requirements of 28 C.F.R. § 35.151. Consultants and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Consultant and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Consultant in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who

willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

SECTION X
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFP herein referenced, the terms of this Contract and RFP herein referenced shall apply.

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LICENSING

Consultant warrants that he possesses all licenses and certificates necessary to perform the required work and is not in violation of any laws. Consultant warrants that his license and certificates are current and will be maintained throughout the duration of the Contract.

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Consultant shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

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TERMINATION

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SECTION XV
LAW AND VENUE

This Contract is to be construed as though made in and to be performed in the State of Florida and is to be governed by the laws of Florida in all respects without reference to the laws of any other state or nation. The venue of any action taken pursuant to this Contract shall be in St. Lucie County, Florida.

SECTION XVI
APPROPRIATION APPROVAL

The Consultant acknowledges that the City of Port St Lucie's performance and obligation to pay under this Contract is contingent upon an annual appropriation by the City Council. The Consultant agrees that, in the event such appropriation is not forthcoming, this Contract may be terminated by the City and that no charges, penalties or other costs shall be assessed.

SECTION XVII
ENTIRE AGREEMENT

The written terms and provisions of this Contract shall supersede any and all prior verbal or written statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this Contract or Contract documents.

SECTION XVIII
TRUTH-IN-NEGOTIATIONS

N/A

SECTION XIX
CONFLICT OF INTEREST

The City hereby acknowledges that the Consultant may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other Cities, the Consultant shall terminate its relationship with the other City to resolve the conflict of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Consultant shall disclose all of its Treasure Coast Cities and related Scope of Work.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

The Consultant warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Consultant to solicit or secure this Contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm, other than a bona fide employee working solely for the Consultant any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this Contract.

(Balance of Page Left Blank)

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of Bryant Miller Olive P.A.)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 2016_.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

Bryant Miller Olive P.A.		PB		EF		DP		TOTAL
Criterion	WTG							
A. Staff Experience & Qualifications	9	5	45	4	36	5	45	
B. Firm Experience and Qualifications	9	5	45	5	45	5	45	
C. Other Factors	2	5	10	5	10	3	6	
Total			100		91		96	287
Ranking			1		3		2	
GrayRobinson, P.A.		PB		EF		DP		
Criterion	WTG							
A. Staff Experience & Qualifications	9	5	45	4	36	5	45	
B. Firm Experience and Qualifications	9	5	45	4	36	5	45	
C. Other Factors	2	4	8	5	10	4	8	
Total			98		82		98	278
Ranking			2		5		1	
GreenbergTraurig		PB		EF		DP		
Criterion	WTG							
A. Staff Experience & Qualifications	9	4	36	5	45	5	45	
B. Firm Experience and Qualifications	9	5	45	5	45	5	45	
C. Other Factors	2	4	8	4	8	4	8	
Total			89		98		98	285
Ranking			3		2		1	
Greenspoon Marder, P.A>		PB		EF		DP		
Criterion	WTG							
A. Staff Experience & Qualifications	9	3	27	5	45	5	45	
B. Firm Experience and Qualifications	9	3	27	4	36	4	36	
C. Other Factors	2	2	4	4	8	4	8	
Total			58		89		89	236
Ranking			6		4		4	
Criterion	WTG							
Holland & Knight		PB		EF		DP		
Criterion	WTG							
A. Staff Experience & Qualifications	9	5	45	3	27	5	45	
B. Firm Experience and Qualifications	9	4	36	5	45	5	45	
C. Other Factors	2	4	8	5	10	3	6	
Total			89		82		96	267
Ranking			3		5		2	
Nabors Giblin & Nickerson		PB		EF		DP		
Criterion	WTG							
A. Staff Experience & Qualifications	9	4	36	5	45	4	36	
B. Firm Experience and Qualifications	9	5	45	5	45	5	45	
C. Other Factors	2	4	8	5	10	3	6	
Total			89		100		87	276
Ranking			3		1		5	

	Scores	Rank		
Bryant Miller Olive P.A.	**287**	1	3	2
GrayRobinson, P.A.	**278**	2	5	1
GreenbergTraurig	**285**	3	2	1
Greenspoon Marder, P.A>	236	6	4	3
Holland & Knight	267	3	5	2
Nabors Giblin & Nickerson	**276**	3	1	4
Committee chose to not group score or hear presentations.				
After discussion of the raw scores the committee chose to consesus rank the firms per task.				
Below is the final committee selection:				
Committee Selected for Bond Counsel				
Nabors Giblin & Nickerson		Primary		
GreenbergTraurig		Secondary		
Committee Selected for Bond Disclosure				
Bryant Miller Olive P.A.		Primary		
GrayRobinson, P.A.		Secondary		

20160014 Bond Counsel and Bond Disclosure Counsel
INDIVIDUAL SCORES

Bryant Miller Olive P.A.		PB		EF		DP		TOTAL
Criterion	WTG							
A. Staff Experience & Qualifications	9	5	45	4	36	4	36	
B. Firm Experience and Qualifications	9	5	45	5	45	4	36	
C. Other Factors	2	5	10	5	10	3	6	
Total			100		91		78	269
Ranking			1		3		6	
Gray Robinson, P.A.		PB		EF		DP		
Criterion	WTG							
A. Staff Experience & Qualifications	9	5	45	4	36	5	45	
B. Firm Experience and Qualifications	9	5	45	4	36	5	45	
C. Other Factors	2	4	8	5	10	4	8	
Total			98		82		98	278
Ranking			2		5		2	
Greenberg Traurig		PB		EF		DP		
Criterion	WTG							
A. Staff Experience & Qualifications	9	4	36	5	45	5	45	
B. Firm Experience and Qualifications	9	5	45	5	45	5	45	
C. Other Factors	2	4	8	4	8	5	10	
Total			89		98		100	287
Ranking			3		2		1	
Greenspoon Marder, P.A.		PB		EF		DP		
Criterion	WTG							
A. Staff Experience & Qualifications	9	3	27	5	45	5	45	
B. Firm Experience and Qualifications	9	3	27	4	36	4	36	
C. Other Factors	2	2	4	4	8	4	8	
Total			58		89		89	236
Ranking			6		4		4	
Criterion	WTG							
Holland & Knight		PB		EF		DP		
Criterion	WTG							
A. Staff Experience & Qualifications	9	5	45	3	27	5	45	
B. Firm Experience and Qualifications	9	4	36	5	45	5	45	
C. Other Factors	2	4	8	5	10	3	6	
Total			89		82		96	267
Ranking			3		5		3	
Nabors Giblin & Nickerson		PB		EF		DP		
Criterion	WTG							
A. Staff Experience & Qualifications	9	4	36	5	45	4	36	
B. Firm Experience and Qualifications	9	5	45	5	45	5	45	
C. Other Factors	2	4	8	5	10	3	6	
Total			89		100		87	276
Ranking			3		1		5	
	Scores	Rank						

20160014 Bond Counsel and Bond Disclosure Counsel
INDIVIDUAL SCORES

Bryant Miller Olive P.A.	**269**	1	3	6
Gray Robinson, P.A.	**278**	**2**	**5**	**2**
Greenberg Traurig	**287**	**3**	**2**	**1**
Greenspoon Marder, P.A.	236	6	4	4
Holland & Knight	267	3	5	3
Nabors Giblin & Nickerson	**276**	3	1	1

City of Port St. Lucie

Summary of Responses to RFP #20160014 for Bond Counsel or Bond Disclosure Counsel

Firm Name	Staff Experience and Qualifications	Firm Experience and Qualifications	Other Factors
Bryant Miller Olive P.A.	JoLinda Herring, 20 years; Kenneth Artin, 29 years & President of NABL; Rhonda Bonds-Collins – 19 years; Jason Breth – 8 years Strong staff experience	Extensive Florida experience with both bond issues and bank loans. Proposed for either bond counsel and disclosure counsel. Strong firm experience	Minimum fees of $25,000 for bond issue and $15,000 for bank loans Disclosure counsel – 70% of bond counsel fee
Gray Robinson, P.A.	Frank Fleischer, 41 years; Brian Fender, 16 years; Jennifer LaRocco– 8 years Very good staff experience	Good experience with bond issues as disclosure counsel. Minimal experience as bond counsel on bonds and bank loans. Very good firm experience as disclosure counsel.	Has served as the City's Disclosure Counsel Disclosure counsel – 75% of bond counsel fee
Greenberg Traurig, P.A.	Albert del Castillo, 33 years; Lori Smith-Lalla, 28 years; Vanessa Albert Lowry – 27 years; Strong staff experience	Extensive Florida experience with both bond issues and bank loans. Proposed for either bond counsel or disclosure counsel. Strong firm experience	Mr. del Castillo has served as the City's bond counsel since the mid-1990s Not specific in the response regarding fees

City of Port St. Lucie

Summary of Responses to RFP #20160014 for Bond Counsel or Bond Disclosure Counsel

Firm Name	Staff Experience and Qualifications	Firm Experience and Qualifications	Other Factors
Greenspoon Marder, P.A.	Denise Ganz, 30 years; Skip Miller, 36 years; Noreen Dryer– 34 years; Jeannette Bond – 36 years Very good to strong staff experience	Good Florida experience with bond issues as bond counsel, disclosure counsel and underwriter's counsel. Some experience as bond counsel on bank loans. Very good firm experience.	Has a local Port St. Lucie office Minimum/maximum fees of $25,000/$95,000 for bond counsel and $20,000/$76,000 for disclosure counsel. Bond counsel for bank loans at 80% of bond counsel fee.
Holland & Knight LLP	Mike Weiner, 12 years; John Theberge, 32 years; Rick Stephens– 43 years; Wanda Whigham- 11 years; Michael Millett – less than 1 year Very good staff experience	Extensive Florida experience with both bond issues and bank loans. Proposed for either bond counsel or disclosure counsel. Strong firm experience	Minimum fees of $22,500 for bond or disclosure counsel on bond issues; $25,000 for bank loans.
Nabors Giblin & Nickerson P.A.	Steven Miller, 23 years; L. Thomas Giblin, 37 years; Strong staff experience	Extensive Florida experience with both bond issues and bank loans. Proposed for either bond counsel and disclosure counsel. Strong firm experience	Minimum fees of $20,000 as bond counsel for bond issue and $10,000-$20,000 for bank loans Disclosure counsel – 80% of bond counsel fee

Bond Counsel	Bryant	GaryRobinson	GreenbergTraurig	Greenspoon	Holland	Nabor
	per bond principal amount Minimun fee at $25,000 for Public and Bank loan min at $15,000	per bond	$ per bond sliding scale	Flat Fee Max $95,000	Fixed Rate	per bond
Bond Disclosure						
	70% Min $20,000	75% of the Bond Counsel fees	% of bond Counsel fee	Flat Fee Max $76,000	80% of Bond Counsel with min of $22,500	70 to 90% of bond counsel

TAMPA
2502 Rocky Point Drive
Suite 1060
Tampa, Florida 33607
(813) 281-2222 Tel
(813) 281-0129 Fax

Nabors Giblin & Nickerson P.A.

TALLAHASSEE
1500 Mahan Drive
Suite 200
Tallahassee, Florida 32308
(850) 224-4070 Tel
(850) 224-4073 Fax

FORT LAUDERDALE
110 East Broward Boulevard
Suite 1700
Fort Lauderdale, Florida 33301
(954) 315-3852 Tel

November 20, 2015

City of Port St. Lucie
Procurement Management Department
121 SW Port St. Lucie Boulevard
Port St. Lucie, Florida 34984-5099

Re: City of Port St. Lucie Electronic Request For Proposal #20160014 (E-RFP) - Bond or Disclosure Counsel

Ladies and Gentlemen:

Nabors, Giblin & Nickerson, P.A. ("NG&N" or the "Firm") is pleased to submit its proposal to provide Bond Counsel or Disclosure Counsel services to the City of Port St. Lucie (the "City"). Our proposal is intended to focus on the unique experience of NG&N and its members which we feel are specially tailored to assist the City.

In responding to this Request for Proposal, we have tried to limit our response to those items specifically requested by the City and to those items which we believe would have a direct bearing on the City's decision. Our experience working with Florida local governments on financing matters is not only vast, but unsurpassed in the State. This experience, we believe, will provide the City with significant benefits.

We believe that NG&N is the right firm to provide the City with counsel on financing matters for the following reasons:

1. Extensive Experience Working with Florida Local Governments. Over the last five years, we have served as Bond Counsel in approximately 550 financings for Florida local governments with an aggregate principal amount of over $16.5 billion. The Firm has also served as Disclosure Counsel in approximately 135 transactions with an aggregate principal amount of over $11.5 billion. In addition, the Firm has served as Underwriter's Counsel in approximately 112 transactions with an aggregate principal amount of over $7.5 billion. We presently serve as Bond or Disclosure Counsel to more than 125 different Florida local governments. There are virtually no legal issues we have not seen or addressed with respect to Florida local government debt issues. In 2013, we ranked as the #1 Bond Counsel firm in the Southeast based upon volume of bonds issued. In 2015 to date, we are ranked #3 in the Southeast Region and #2 in Florida for volume of bond sales.

2. Key People. Steven E. Miller and L. Thomas Giblin would be assigned to work on the City's account. Steven E. Miller would take the lead for the Firm in servicing the City's account. Mr. Miller has over 23 years of experience in municipal finance law. Mr. Giblin has over 37 years of experience in municipal finance law. He is President of the Firm and head of its 11 person municipal finance department.

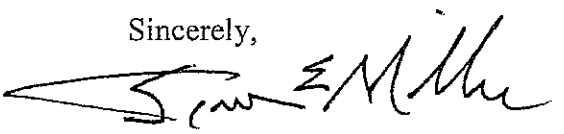
3. Problem Solvers. NG&N has always prided itself in being problem solvers. Time and time again, while working with local government staff, NG&N has provided creative solutions to issues while being sensitive to political and economic constraints. The City would benefit from NG&N's broad experience in developing solutions to difficult local government issues.

4. Broad Local Government Experience. We believe that one of the many things that separates NG&N from other firms practicing in governmental finance is that we have a much larger general governmental practice. This breadth of practice provides us with experience that cannot be gained merely by providing services as Bond Counsel or Disclosure Counsel. The Firm is actively involved in all aspects of representation of governmental entities, including lobbying, drafting and changing legislation, as needed, arguing significant public policy issues before the Florida Supreme Court, risk management, taxation, public-private partnerships, creation and maximization of revenue streams and the myriad of other issues unique to local governments. Since we have limited our practice solely to the representation of local governments and others involved in governmental finance transactions, we avoid conflicts such as representing the Authority in one matter and representing private parties with adversarial interests to the Authority in another matter.

5. Assessment Transactions. NG&N has unique and extensive experience in the structure and implementation of special assessments and the statutory requirements for utilization of the ad valorem tax bill collection process authorized by section 197.3632, Florida Statutes. NG&N has served as Special Counsel to numerous Florida local governments on the use of non-ad valorem special assessments to fund the following: water and sewer facilities; solid waste disposal and collection; fire rescue services; road resurfacing and construction; roads and interchanges; road maintenance; drainage improvements; and surface and stormwater management systems. We have served as Special Counsel in the imposition of non-ad valorem assessments for collection on the ad valorem tax bills to over 60 local governments. NG&N has provided special assessment legal services to the City for several years.

Should you have any questions with regard to this proposal, please do not hesitate to contact me. My email address is smiller@ngn-tampa.com.

Sincerely,



Steven E. Miller

QUESTIONNAIRE

E-RFP #20160014
Bond Counsel or Bond Disclosure Counsel

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this 18th day of November, 2015

NABORS, GIBLIN & NICKERSON, P.A.
Name of Organization / Proposer

1. Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?

   If the Firm is selected as the City's Bond Counsel or Disclosure Counsel, all services would be performed from the Firm's Tampa office located at 2502 Rocky Point Drive, Suite 1060, Tampa, Florida 33607; telephone 813/281-2222; facsimile 813/281-0129. Steven E. Miller would be the lead attorney and contact person for the City's account. Mr. Miller's email address is smiller@ngn-tampa.com.

2. Is your firm a Certified Minority Business (yes or no)? No

3. List all proposed personnel, assigned to this project, including title, qualifications, and years of experience applicable to this project scope.

Staff Name	Title	Yr Exp	Qualification
Steven E. Miller	Shareholder	23	Please see qualifications below
L. Thomas Giblin	President/Shareholder	37	Please see qualifications below

If selected, Steven E. Miller and L. Thomas Giblin would be assigned to work with the City. Mr. Miller would be the lead attorney for the Firm. Messrs. Miller and Giblin are located in the Firm's Tampa office. In addition, other lawyers would be assigned to work with the City on an as-needed basis.

Mr. Miller graduated from the University of Florida College of Law in 1989, with honors. Mr. Miller joined the Firm in March 1992 and has focused entirely on the practice of governmental finance since joining the Firm. From March 1990 through February 1992, Mr. Miller was associated with the law firm of Trenam, Simmons, Kemker, Scharf, Barkin, Frye & O'Neill, P.A., Tampa, Florida, where he practiced in several corporate transactional areas, including municipal finance. As a shareholder in the Firm, Mr. Miller has served as Bond Counsel, Underwriters' Counsel, Disclosure Counsel and Trustee's Counsel in a variety of tax-exempt financings involving cities, counties, school

districts, special districts and authorities and private borrowers. Transactions in which Mr. Miller has been involved include financings of all types of general governmental infrastructure, water, sewer and solid waste facilities, toll facilities, educational facilities, health care facilities, manufacturing facilities and transportation facilities. Mr. Miller received his Bachelor of Science degree in finance, with high honors, from the University of Florida in 1985.

Mr. Giblin has been involved exclusively in municipal finance for over 37 years. From February 1977 through December 1982, he was associated with the New York City law firm of Mudge Rose Guthrie & Alexander in its municipal bond department. He has functioned as Bond Counsel and Underwriter's Counsel for bond and note issues in approximately 25 states, including Florida, New York, New Jersey, California, Texas and Pennsylvania. The types of issues include general obligation, health care, industrial development, sewer, water, electric, solid waste, transportation, housing and special tax and revenue. Mr. Giblin is President of the Firm and head of its public finance practice. Mr. Giblin received his J.D. from the University of Wisconsin Law School in 1975.

Following are detailed individual resumes for Messrs. Miller and Giblin.

STEVEN E. MILLER

Shareholder • Tampa Office
smiller@ngn-tampa.com

Mr. Miller graduated from the University of Florida College of Law in 1989, with honors. Mr. Miller joined the Firm in March 1992 and has focused entirely on the practice of governmental finance for over 22 years. From March 1990 through February 1992, Mr. Miller was associated with the law firm of Trenam, Simmons, Kemker, Scharf, Barkin, Frye & O'Neill, P.A., Tampa, Florida, where he practiced in several corporate transactional areas, including public finance. As a shareholder in the Firm, Mr. Miller has served as Bond Counsel, Underwriters' Counsel, Disclosure Counsel, Bank's Counsel and Trustee's Counsel in a variety of tax-exempt financings involving cities, counties, school districts, community redevelopment agencies, special districts and authorities and private borrowers.

Transactions in which Mr. Miller has been involved include financings of all types of general governmental infrastructure, water, sewer and solid waste facilities, toll facilities, educational facilities, health care facilities, manufacturing facilities, community redevelopment improvements, charter schools and transportation facilities. Some of the clients for which Mr. Miller has primary or secondary responsibility include Brevard County, Charlotte County, Collier County, Hernando County, Hillsborough County, Lee County, Monroe County, Pinellas County, St. Johns County, the City of Cape Coral, the City of Fort Myers, the City of Lakeland, the City of Melbourne, the City of Miramar, the City of North Port, the City of Punta Gorda, the City of Sunrise, the City of Tampa, the City of Venice, the City of Sebastian and Tampa Bay Water. Mr. Miller received his Bachelor of Science degree in finance, with high honors, from the University of Florida in 1985 and his J.D. from the University of Florida with honors in 1989. Mr. Miller has been selected to the prestigious Florida Super Lawyers and Best Lawyers in America for the last several years.

Professional, Civic & Community Involvement

- The Florida Bar, Member
- Frequent speaker on public finance topics at conferences for the Florida Government Finance Officers Association, Florida Association of Court Clerks, Florida Association of Counties and other local government organizations
- Elder, Palma Ceia Presbyterian Church
- Big Brothers, Big Sisters

Education

- J.D., University of Florida, 1989 (with honors)
- B.S. (Finance), University of Florida, 1985 (with high honors)

Prior Professional Experience

- Trenam, Kemker, Scharf, Barkin, Frye, O'Neill & Mullis, P.A., Tampa, Florida, 1990-1992

Area of Practice

- Public Finance

L. THOMAS GIBLIN

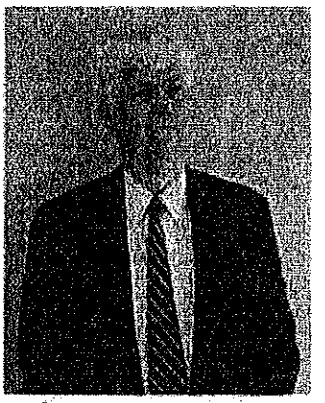
President & Shareholder • Tampa Office
tgiblin@ngn-tampa.com

Mr. Giblin has been involved exclusively in municipal finance for over 37 years. From February 1977 through December 1982, he was associated with the New York City law firm of Mudge Rose Guthrie & Alexander in its municipal bond department. He has functioned as Bond Counsel, Disclosure Counsel and Underwriter's Counsel for bond and note issues in approximately 25 states, including Florida, New York, New Jersey, California, Texas and Pennsylvania. The types of issues include general obligation, health care, industrial development, sewer, water, electric, solid waste, transportation, special assessment, housing and special tax and revenue. Mr. Giblin is President of NG&N and head of its public finance practice. Mr. Giblin received his J.D. from the University of Wisconsin Law School in 1975.

- Represents clients in all aspects of public finance, including tax-exempt obligations issued to finance and refinance transportation improvements (including toll facilities), water and sewer utilities, electric utilities, port and airport improvements, solid waste disposal facilities, and general governmental facilities.

- Represents clients in the creation of public-private partnerships for various purposes, including transportation and redevelopment.

- Represented Tampa Bay Water, the governmental water utility serving the Tampa Bay area, in changing its governance structure, as well as drafting a new master water supply contract for all the member governments. This engagement also included acquiring the water assets of the member governments and financing various elements of Tampa Bay Water's capital improvement program through the issuance of approximately $2 billion of debt.



- Served as Bond Counsel to the Florida Ports Financing Commission in establishment of a first of its kind pool program for ports located in the State of Florida. The program involved use of State transportation trust fund monies, matching monies by the Ports and issuance of approximately $600 million of debt.

Bar Membership

- Member, The Florida Bar

Education

- J.D., University of Wisconsin, 1975
- B.A., Rutgers University, 1971

Prior Professional Experience

- Mudge, Rose, Guthrie & Alexander, New York, New York, 1977-1982

Areas of Practice

- Public Finance
- Special Project Financing

4. List the experience of the firm and staff as Bond Counsel or Disclosure Counsel for financings for the past five years. Emphasis should be place on experience with Florida local governments.

Staff Name	Financings, amount & type	Project Owner and email address

Please refer to the following table, which contains the requested information but has been expanded to indicate the date of closing and the role of our firm for each financing.

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
9/30/2015	Steve Miller	Bond Counsel	$17,687,000 Collier County Water-Sewer District Water and Sewer Refunding Revenue Bond, Series 2015 (Revenue) (Bank Loan)	Collier County crystal.kinzel@collierclerk.com
9/30/2015	John Stokes	Bond Counsel	$77,705,000 Refunding Certificates of Participation (School Board of Hillsborough County, Florida Master Lease Program), Series 2015B (COP) (Bank Loan)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
9/24/2015	Ritesh Patel	Bond Counsel	$30,000,000 School District of Manatee County, Florida Tax Anticipation Notes, Series 2015 (TAN) (Bank Loan)	School District of Manatee County roberts2r@manateeschools.net
9/22/2015	Mark Mustian	Bond Counsel	$32,135,000 City of Panama City, Florida Water and Sewer Revenue Notes, Series 2015 (Revenue)	City of Panama City jbrown@pcgov.org
9/17/2015	Mark Mustian	Bond Counsel	$22,545,720.83 City of Lake Worth, Florida Schedule of Property No. 1 and No. 2 to Master Equipment Lease/Purchase Agreement (Lease-Purchase)	City of Lake Worth mbornstein@lakeworth.org
9/17/2015	John Stokes	Bond Counsel	Branch Banking and Trust Company Series 2015 $20,000,000 Term Loan to Health First, Inc. (Revenue) (Bank Loan)	Health First Inc. craig.springer@health-first.org
9/8/2015	Steve Miller	Disclosure Counsel	$32,860,000 Refunding Certificates of Participation (School Board of Marion County, Florida Master Lease Program), Series 2015B (COP)	School Board of Marion County theresa.boston-ellis@marion.k12.fl.us
9/3/2015	Steve Miller	Bond Counsel	$19,000,000 School District of Charlotte County, Florida Tax Anticipation Notes, Series 2015 (TAN)	School District of Charlotte County gregory.griner@yourcharlotteschools.net
9/3/2015	Steve Miller	Disclosure Counsel	$19,000,000 School District of Charlotte County, Florida Tax Anticipation Notes, Series 2015 (TAN)	School District of Charlotte County gregory.griner@yourcharlotteschools.net
9/2/2015	Tom Giblin	Bond Counsel	$5,465,000 Orange County, Florida Sales Tax Revenue Bond, Series 2015 (Revenue) (Bank Loan)	Orange County fred.winterkamp@ocfl.net

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
8/31/2015	Danny Tyler	Bond Counsel	$1,310,000 Union Park Community Development District (Pasco County, Florida) Capital Improvement Revenue Bonds Series 2015A-1 (Special Assessment)	Union Park CDD Bruce.stdenis@dpfg.com
8/31/2015	Danny Tyler	Bond Counsel	$1,685,000 Union Park Community Development District (Pasco County, Florida) Capital Improvement Revenue Bonds Series 2015A-2 (Special Assessment)	Union Park CDD Bruce.stdenis@dpfg.com
8/31/2015	Danny Tyler	Bond Counsel	$1,625,000 Union Park Community Development District (Pasco County, Florida) Capital Improvement Revenue Bonds Series 2015A-3 (Special Assessment)	Union Park CDD Bruce.stdenis@dpfg.com
8/27/2015	Steve Miller	Bond Counsel	$13,675,000 City of Cape Coral, Florida Special Obligation Revenue Note, Series 2015 (Revenue) (Bank Loan)	City of Cape Coral vhaterman@capecoral.net
8/27/2015	Steve Miller	Bond Counsel	$13,000,000 Sumter County Industrial Development Authority Industrial Development Revenue Note (Goodwill Industries-Suncoast, Inc. Project), Series 2015 (IDB) (Bank Loan)	Sumter County IDA bradley.arnold@sumtercountyfl.gov
8/26/2015	Mark Mustian	Bond Counsel	$2,500,000 Bay County, Florida Taxable Series 2015 Revenue Note (Revenue) (Bank Loan)	Bay County jrogers@baycoclerk.com
8/26/2015	Mark Mustian	Bond Counsel	$7,171,000 Indian River County, Florida Water and Sewer Revenue Refunding Note, Series 2015 (Revenue) (Bank Loan)	Indian River County jbrown@ircgov.com
8/20/2015	John Stokes	Bond Counsel	$100,625,000 Refunding Certificates of Participation (School Board of Hillsborough County, Florida Master Lease Program), Series 2015A (COP)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
8/20/2015	John Stokes	Bond Counsel	$65,195,000 School District of Hillsborough County, Florida Sales Tax Revenue Refunding Bonds, Series 2015B (Revenue)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
8/20/2015	Ritesh Patel	Bond Counsel	$9,500,000 School District of Flagler County, Florida Tax Anticipation Note, Series 2015 (TAN) (Bank Loan)	School District of Flagler County tantt@flaglerschools.com
8/20/2015	Ritesh Patel	Bond Counsel	$4,500,000 School District of Sumter County, Florida Tax Anticipation Note, Series 2015 (TAN) (Bank Loan)	School District of Sumter County shirler@sumter.k12.fl.us
8/13/2015	Tom Giblin	Bond Counsel	$50,140,000 Lake County, Florida Capital Improvement Refunding Revenue Bonds, Series 2015B (Revenue)	Lake County mmarsh@co.lake.fl.us
8/12/2015	Ritesh Patel	Disclosure Counsel	$192,720,000 School District of Miami-Dade County, Florida General Obligation School Bonds, Series 2015 (General obligation)	School Board of Miami-Dade County leofernandez@dadeschools.net

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
8/11/2015	Mark Mustian	Disclosure Counsel	$94,615,000 City of Tallahassee, Florida Energy System Refunding Revenue Bonds, Series 2015 (Revenue)	City of Tallahassee Lewis.Shelley@talgov.com
8/10/2015	Danny Tyler	Bond Counsel	$3,295,000 Reserve at Pradera Community Development District (Hillsborough County, Florida) (Bank Qualified) Capital Improvement Revenue Bonds, Series 2015 (Special Assessment)	Reserve at Pradera CDD gcox@rizzetta.com
7/31/2015	Tom Giblin	Bond Counsel	$250,000,000 Greater Orlando Aviation Authority Tax-Exempt Series 2015 Revolving Credit Note (Revenue) (Bank Loan)	Greater Orlando Aviation Authority jchurchill@goaa.org
7/31/2015	Tom Giblin	Bond Counsel	$250,000,000 Greater Orlando Aviation Authority Taxable Series 2015 Revolving Credit Note (Revenue) (Bank Loan)	Greater Orlando Aviation Authority jchurchill@goaa.org
7/31/2015	Ritesh Patel	Disclosure Counsel	$33,565,000 Certificates of Participation (The School Board of Miami-Dade County, Florida Master Lease Program), Series 2015C (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
7/30/2015	Ritesh Patel	Disclosure Counsel	$239,630,000 Certificate of Participation (The School Board of Miami-Dade County, Florida Master Lease Program), Series 2015B (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
7/29/2015	Steve Miller	Disclosure Counsel	$139,215,000 Hillsborough County, Florida Community Investment Tax Refunding Revenue Bonds, Series 2015 (Revenue)	Hillsborough County wiseb@hillsboroughcounty.org
7/28/2015	Mark Mustian	Bond Counsel	$2,000,000 Suwannee County, Florida Sales Tax Revenue Bonds, Series 2015 (Revenue) (Bank Loan)	Suwannee County kenw@suwgov.org
7/28/2015	Ritesh Patel	Disclosure Counsel	$305,000,000 The School District of Miami-Dade County, Florida Tax Anticipation Notes, Series 2015 (TAN)	School Board of Miami-Dade County leofernandez@dadeschools.net
7/23/2015	Steve Miller	Bond Counsel	$86,790,000 City of Tampa, Florida Water and Sewer Systems Refunding Revenue Bonds, Series 2015 (Revenue)	City of Tampa sonya.little@tampagov.net
7/23/2015	Steve Miller	Bond Counsel	$36,880,000 City of Tampa, Florida Non-Ad Valorem Refunding Revenue Bonds, Series 2015 (Revenue)	City of Tampa sonya.little@tampagov.net
7/23/2015	John Stokes	Disclosure Counsel	$20,300,000 Reedy Creek Improvement District (Florida) (Located in Orange and Osceola Counties) Utilities Revenue Refunding Bonds, Series 2015-2 (Revenue)	Reedy Creek Improvement District mark.w.swanson@disney.com
7/21/2015	Tom Giblin	Bond Counsel	$42,255,000 Broward County, Florida Water and Sewer Utility Revenue Refunding Bonds, Series 2015A (Revenue)	Broward County hrendleman@broward.org

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
7/21/2015	Tom Giblin	Bond Counsel	$157,555,000 Broward County, Florida Water and Sewer Utility Revenue Refunding Bonds, Series 2015B (Revenue)	Broward County hrendleman@broward.org
7/21/2015	Tom Giblin	Disclosure Counsel	$193,695,000 Central Florida Expressway Authority Senior Lien Revenue Bond Anticipation Notes, Series 2015 (Revenue)	Central Florida Expressway Authority lumbardl@oocea.com
7/21/2015	John Stokes	Bond Counsel	$63,530,000 FSU Financial Assistance, Inc. Educational, Including Athletic, Facilities Improvement Revenue Bonds, Series 2015A (Revenue)	FSU Financial Assistance, Inc. jpsinclair@fsu.edu
7/21/2015	John Stokes	Bond Counsel	$9,055,000 FSU Financial Assistance, Inc. Taxable Educational, Including Athletic, Facilities Improvement Revenue Bonds, Series 2015B (Revenue)	FSU Financial Assistance, Inc. jpsinclair@fsu.edu
7/21/2015	John Stokes	Bond Counsel	$11,965,000 FSU Financial Assistance, Inc. Educational, Including Athletic, Facilities Improvement Revenue Bonds, Series 2015C (Revenue)	FSU Financial Assistance, Inc. jpsinclair@fsu.edu
7/17/2015	John Stokes	Bond Counsel	$53,760,000 School District of Hillsborough County, Florida Sales Tax Refunding Revenue Bond, Series 2015A (Revenue)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
7/16/2015	Steve Miller	Bond Counsel	$72,415,000 City of Cape Coral, Florida Water and Sewer Refunding Revenue Bonds, Series 2015 (Revenue)	City of Cape Coral vbateman@capecoral.net
7/14/2015	Chris Traber	Bond Counsel	$8,025,000 Collier County Industrial Development Authority Continuing Care Community Revenue Bonds (The Arlington of Naples Project), Series 2015A (IDB)	Collier County Industrial Development Authority (Lutheran Life Communities; lfedyk@lutheranlifecommunities.org)
7/14/2015	Chris Traber	Bond Counsel	$12,900,000 Collier County Industrial Development Authority Continuing Care Community Revenue Bonds (The Arlington of Naples Project), Series 201B (IDB)	Collier County Industrial Development Authority (Lutheran Life Communities; lfedyk@lutheranlifecommunities.org)
7/9/2015	Danny Tyler	Bond Counsel	$5,635,000 Lakeside Community Development District (Pasco County, FL) Capital Improvement Revenue Bonds, Series 2015 (Special Assessment)	Lakeside CDD cperkins@rizzetta.com
7/8/2015	Tom Giblin	Disclosure Counsel	$33,425,000 Lee County, Florida Airport Revenue Refunding Bonds, Series 2015 (Non-AMT) (Revenue)	Lee County Port Authority tmallow@leeclerk.org
7/8/2015	John Stokes	Disclosure Counsel	$498,340,000 Miami-Dade County, Florida Aviation Revenue and Revenue Refunding Bonds, Series 2015A (AMT) (Revenue)	Miami-Dade County marquez@miamidade.gov
7/8/2015	John Stokes	Disclosure Counsel	$38,500,000 Miami-Dade County, Florida Aviation Revenue Refunding Bonds, Series 2015B (Non-AMT) (Revenue)	Miami-Dade County marquez@miamidade.gov

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
7/7/2015	John Stokes	Bond Counsel	$32,025,000 Seminole County, Florida Water and Sewer Revenue Refunding Bonds, Series 2015B (Revenue)	Seminole County asingleton@seminolecountyfl.gov
7/1/2015	John Stokes	Bond Counsel	$8,794,000 City of Fort Walton Beach, Florida Utility System Revenue Refunding Note, Series 2015 (Revenue) (Bank Loan)	City of Fort Walton Beach bferris@fwb.org
6/30/2015	Steve Miller	Bond Counsel	$94,740,000 City of Cape Coral, Florida Water and Sewer Refunding Revenue Bonds, Series 2015A (Revenue) (Bank Loan)	City of Cape Coral vbateman@capecoral.net
6/30/2015	Mark Mustian	Bond Counsel	$3,000,000 Jackson County Hospital District Hospital Revenue Note, Series 2015 (Revenue) (Bank Loan)	Jackson County Hospital District krovito@jackhosp.org
6/30/2015	Danny Tyler	Bond Counsel	$20,485,000 Cypress Creek of Hillsborough County Community Development District (Hillsborough County, Florida) Capital Improvement Revenue and Refunding Bonds, Series 2015A-1 (Special Assessment)	Cypress Creek CDD Bruce.stdenis@dpfg.com
6/30/2015	Danny Tyler	Bond Counsel	$2,065,000 Cypress Creek of Hillsborough County Community Development District (Hillsborough County, Florida Capital Improvement Revenue Bonds, Series 2015A-2 (Special Assessment)	Cypress Creek CDD Bruce.stdenis@dpfg.com
6/29/2015	John Stokes	Bond Counsel	$20,000,000 City of Jacksonville, Florida Healthcare Facilities Authority Health Facilities Revenue Bond (UF Health Jacksonville Project), Series 2015 (IDB)	City of Jacksonville (UF Health Jacksonvlle; bill.ryan@jax.ufl.edu)
6/24/2015	Tom Giblin	Bond Counsel	$48,640,000 Lee County, Florida Non-Ad Valorem Refunding Revenue Bonds, Series 2015 (Revenue)	Lee County tmallow@leeclerk.org
6/24/2015	Tom Giblin	Bond Counsel	$20,950,000 Lake County, Florida Limited General Obligation Refunding Bond, Series 2015 (General obligation) (Bank Loan)	Lake County mmarsh@co.lake.fl.us
6/24/2015	Tom Giblin	Bond Counsel	$25,845,000 Lake County, Florida Capital Improvement Refunding Revenue Bond, Series 2015A (Revenue) (Bank Loan)	Lake County mmarsh@co.lake.fl.us
6/23/2015	Steve Miller	Bond Counsel	$13,000,000 City of St. Pete Beach, Florida Capital Improvement Revenue Bonds, Series 2015 (Revenue)	City of St. Pete Beach wsaunders@stpetebeach.org
6/18/2015	Ritesh Patel	Disclosure Counsel	$155,055,000 School District of Broward County, Florida General Obligation Bonds, Series 2015 (General obligation)	School Board of Broward County ivan.perrone@browardschools.com
6/11/2015	John Stokes	Bond Counsel	$36,225,000 Refunding Certificates of Participation (School Board of Collier County, Florida Master Lease Program), Series 2015 (COP)	School Board of Collier County mcguirph@ collierschools.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
6/10/2015	Danny Tyler	Bond Counsel	$2,275,000 Connerton West Community Development District (Pasco County, Florida) Capital Improvement Revenue and Refunding Bonds, Series 2015A-1 (Special Assessment)	Connerton West CDD sbrizendine@rizzetta.com
6/10/2015	Danny Tyler	Bond Counsel	$3,110,000 Connerton West Community Development District (Pasco County, Florida) Capital Improvement Revenue and Refunding Bonds, Series 2015A-2 (Special Assessment)	Connerton West CDD sbrizendine@rizzetta.com
6/2/2015	Danny Tyler	Bond Counsel	$4,570,000 Estancia at Wiregrass Community Development District Capital Improvement Revenue Bonds, Series 2015 (Special Assessment)	Estancia at Wiregrass CDD sbrizendine@rizzetta.com
5/28/2015	Mark Mustian	Bond Counsel	$107,290,000 City of Tallahassee, Florida Health Facilities Revenue Refunding Bonds, Series 2015A (Tallahassee Memorial HealthCare, Inc. Project) (IDB)	City of Tallahassee Lewis.Shelley@talgov.com
5/28/2015	John Stokes	Disclosure Counsel	$197,475,000 Miami-Dade County, Florida Transit System Sales Surtax Revenue Refunding Bonds, Series 2015 (Revenue)	Miami-Dade County marquez@miamidade.gov
5/27/2015	John Stokes	Bond Counsel	$149,270,000 Seminole County, Florida Water and Sewer Revenue Refunding Bonds, Series 2015 (Revenue)	Seminole County asingleton@seminolecountyfl.gov
5/22/2015	Mark Mustian	Bond Counsel	$7,000,000 St. Lucie County, Florida Capital Improvement Revenue Bonds, Series 2015 (Revenue) (Bank Loan)	St. Lucie County franciss@stlucieclerk.com
5/22/2015	Steve Miller	Bond Counsel	$3,000,000 City of St. Pete Beach, Florida Stormwater Improvement Assessment Bond, Series 2015 (Special Assessment) (Bank Loan)	City of St. Pete Beach wsaunders@stpetebeach.org
5/21/2015	Mark Mustian	Bond Counsel	$67,595,000 Okaloosa County, Florida Water and Sewer Revenue Refunding Bonds, Series 2015 (Revenue)	Okaloosa County gstanford@clerkofcourts.cc
5/21/2015	Mark Mustian	Disclosure Counsel	$67,595,000 Okaloosa County, Florida Water and Sewer Revenue Refunding Bonds, Series 2015 (Revenue)	Okaloosa County gstanford@clerkofcourts.cc
5/20/2015	Mark Mustian	Disclosure Counsel	$63,155,000 Palm Beach County, Florida Public Improvement Revenue Bonds (Various Capital Improvements Project), Series 2015A (Revenue)	Palm Beach County pking@pbcgov.org
5/19/2015	Mark Mustian	Bond Counsel	$4,744,000 City of Palm Bay, Florida Franchise Fee Revenue Note, Series 2015 (Revenue) (Bank Loan)	City of Palm Bay Yvonne.mcdonald@palmbayflorida.org
5/14/2015	Steve Miller	Bond Counsel	$51,790,000 City of Cape Coral, Florida Special Obligation Refunding Revenue Bonds, Series 2015 (Special Obligation)	City of Cape Coral vbateman@capecoral.net

Closing Date	Staff Name	Role	Financings: amount & type	Issuer (Project Owner) and email address
5/14/2015	Steve Miller	Bond Counsel	$79,880,000 School District of St. Lucie County, Florida Sales Tax Refunding Revenue Bonds, Series 2015 (Revenue)	School District of St. Lucie County timothy.bargeron@stlucieschools.org
5/6/2015	Tom Giblin	Bond Counsel	$20,250,000 Charlotte County, Florida Capital Improvement Refunding Revenue Bonds, Series 2015 (Revenue)	Charlotte County ann.larrivee@co.charlotte.fl.us
4/30/2015	Ritesh Patel	Disclosure Counsel	$221,640,000 Certificates of Participation (The School Board of Palm Beach County, Florida), Series 2015D (COP)	School Board of Palm Beach County leanne.evans@palmbeachschools.org
4/29/2015	Steve Miller	Disclosure Counsel	$25,500,000 Flagler County, Florida General Obligation Refunding Bonds, Series 2015 (General obligation)	Flagler County lbrown@flaglercounty.org
4/23/2015	Mark Mustian	Bond Counsel	$3,800,000 Alachua County, Florida Capital Improvement Revenue Note, Series 2015A (Revenue) (Bank Loan)	Alachua County nth@alachuaclerk.org
4/23/2015	Mark Mustian	Bond Counsel	$12,637,000 Alachua County, Florida Public Improvement Revenue Refunding Note, Series 2015B (Revenue) (Bank Loan)	Alachua County nth@alachuaclerk.org
4/22/2015	John Stokes	Bond Counsel	$26,170,000 Osceola County, Florida Infrastructure Sales Surtax Revenue Refunding Bond, Series 2015 (Revenue)	Osceola County andrew.mai@osceola.org
4/22/2015	John Stokes	Bond Counsel	$8,445,000 Osceola County, Florida Limited General Obligation Refunding Bond, Series 2015 (General obligation)	Osceola County andrew.mai@osceola.org
4/21/2015	Steve Miller	Bond Counsel	$5,000,000 City of St. Pete Beach, Florida Wastewater Utility System Revenue Bond, Series 2015 (Revenue)	City of St. Pete Beach wsaunders@stpetebeach.org
4/16/2015	Steve Miller	Disclosure Counsel	$67,800,000 Hillsborough County, Florida Communications Services Tax Revenue Bonds, Series 2015 (Revenue)	Hillsborough County wiseb@hillsboroughcounty.org
4/16/2015	Steve Miller	Bond Counsel	$52,315,000 St. Johns County, Florida, Sales Tax Revenue and Refunding Bonds, Series 2015 (Revenue)	St. Johns County fincoc@sjccoc.us
4/16/2015	Steve Miller	Bond Counsel	$24,755,000 St. Johns County, Florida, Transportation Improvement Revenue Refunding Bonds, Series 2015 (Revenue)	St. Johns County fincoc@sjccoc.us
4/16/2015	Tom Giblin	Bond Counsel	$12,605,000 Florida Governmental Utility Authority Utility Refunding Revenue Bonds (Golden Gate Utility System), Series 2015 (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
4/15/2015	Mark Mustian	Bond Counsel	$40,330,000 Bay County, Florida Sales Tax Revenue Refunding Notes, Series 2015 (Revenue) (Bank Loan)	Bay County jrogers@baycoclerk.com
4/14/2015	Mark Mustian	Bond Counsel	$5,775,000 City of Newberry, Florida Public Improvement Revenue Refunding Bonds, Series 2015 (Revenue) (Bank Loan)	City of Newberry Dallas.Lee@ci.newberry.fl.us

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
4/9/2015	Mark Mustian	Bond Counsel	$20,369,000 Indian River County, Florida Limited General Obligation Refunding Note, Series 2015 (General obligation) (Bank Loan)	Indian River County jbrown@ircgov.com
4/9/2015	Mark Mustian	Bond Counsel	$2,470,000 City of Lynn Haven, Florida Capital Improvement Revenue Refunding Bonds, Series 2015 (Revenue)	City of Lynn Haven citymanager@cityoflynnhaven.com
4/9/2015	Mark Mustian	Disclosure Counsel	$2,470,000 City of Lynn Haven, Florida Capital Improvement Revenue Refunding Bonds, Series 2015 (Revenue)	City of Lynn Haven citymanager@cityoflynnhaven.com
4/3/2015	Tom Giblin	Bond Counsel	$960,000 City of Apopka Special Obligation Improvement Revnue Note, Series 2015 (Special Obligation) (Bank Loan)	City of Apopka pbarclay@apopka.net
4/2/2015	Ritesh Patel	Bond Counsel	$18,485,242 Refunding Certificates of Participation (School Board of Duval County, Florida Master Lease Program), Series 2015A (Forward Delivery) (COP)	School District of Duval County edwardsl5@duvalschools.org
3/31/2015	Mark Mustian	Bond Counsel	$14,626,000 Florida Community Services Corp. of Walton County Water and Sewer Revenue Refunding Note, Series 2015 (Revenue) (Bank Loan)	Florida Community Services Corp. of Walton County melissap@regionalutilities.net
3/31/2015	Ritesh Patel	Bond Counsel	$14,335,000 Refunding Certificates of Participation (School Board of Sumter County, Florida), Series 2015A (COP)	School District of Sumter County shirler@sumter.k12.fl.us
3/31/2015	Danny Tyler	Bond Counsel	$10,345,000 Water's Edge Community Development District Capital Improvement Revenue Refunding Bonds, Series 2015A-1 (Senior Lien) (Special Assessment)	Water's Edge CDD ksuit@rizzetta.com
3/31/2015	Danny Tyler	Bond Counsel	$495,000 Water's Edge Community Development District Capital Improvement Revenue Refunding Bonds, Series 2015A-2 (Subordinate Lien) (Special Assessment)	Water's Edge CDD ksuit@rizzetta.com
3/30/2015	Danny Tyler	Bond Counsel	$5,115,000 Lake Ashton Community Development District (Polk County, FL) Capital Improvement Revenue Refunding Bonds, Series 2015A-1 (Special Assessment)	Lake Ashton CDD gflint@gmscfl.com
3/30/2015	Danny Tyler	Bond Counsel	$720,000 Lake Ashton Community Development District (Polk County, FL) Capital Improvement Revenue Refunding Bonds, Series 2015A-2 (Special Assessment)	Lake Ashton CDD gflint@gmscfl.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
3/27/2015	Danny Tyler	Bond Counsel	$6,835,000 Dupree Lakes Community Development District (Pasco County, Florida) Capital Improvement Revenue and Refunding Bonds, Series 2015 (Special Assessment)	Dupree Lakes CDD lhernandez@gmssf.com
3/26/2015	John Stokes	Bond Counsel	$58,660,000 Osceola County, Florida Sales Tax Revenue Bonds, Series 2015A (Revenue)	Osceola County andrew.mai@osceola.org
3/24/2015	Steve Miller	Disclosure Counsel	$37,630,000 City of Sunrise, Florida General Obligation Bonds, Series 2015 (Parks, Recreation and Leisure Projects) (General obligation)	City of Sunrise wdunbar@sunrisefl.gov
3/23/2015	John Stokes	Bond Counsel	$6,000,000 The School Board of Hillsborough County, Florida Capital Improvement and Refunding Revenue Bonds, Series 2015 (Revenue)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
3/23/2015	John Stokes	Disclosure Counsel	$6,000,000 The School Board of Hillsborough County, Florida Capital Improvement and Refunding Revenue Bonds, Series 2015 (Revenue)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
3/23/2015	Danny Tyler	Bond Counsel	$7,030,000 Lakewood Ranch Community Development District 6, Capital Improvement Revenue Refunding Bonds, Series 2015 (Special Assessment)	Lakewood Ranch CDD #6 Steve.zielinski@lwrtownhall.com
3/20/2015	Mark Mustian	Bond Counsel	$11,390,000 St. Lucie County, Florida Transportation Revenue Refunding Bond, Series 2015 (Revenue) (Bank Loan)	St. Lucie County franciss@stlucieclerk.com
3/13/2015	Steve Miller	Bond Counsel	$11,485,000 City of Miramar, Florida Utility System Refunding Revenue Bonds, Series 2015 (Revenue) (Bank Loan)	City of Miramar kwoodsrichardson@ci.miramar.fl.us
3/12/2015	Steve Miller	Bond Counsel	$75,595,000 City of Miramar, Florida Capital Improvement Refunding Revenue Bonds, Series 2015 (Revenue)	City of Miramar kwoodsrichardson@ci.miramar.fl.us
3/12/2015	Tom Giblin	Disclosure Counsel	$70,120,000 City of Sunrise, Florida Special Assessment Bonds, Federally Taxable Series 2015 (Parking Garages Project) (Special Assessment)	City of Sunrise wdunbar@sunrisefl.gov
3/12/2015	Danny Tyler	Bond Counsel	$1,975,000 Lexington Community Development District Special Assessment Revenue Refunding Bonds, Series 2015 (Special Assessment) (Bank Loan)	Lexington CDD jim.hayford@stservices.com
3/11/2015	Steve Miller	Bond Counsel	$15,355,000 City of Venice, Florida Utility System Revenue Bonds, Series 2015 (Revenue)	City of Venice jsnyder@venicegov.com
3/6/2015	John Stokes	Bond Counsel	$20,000,000 Shands Jacksonville Medical Center, Inc. Compass Bank Revolving Line of Credit (Revenue)	Shands Jacksonville Medical Center, Inc. bill.ryan@jax.ufl.edu

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
3/5/2015	Danny Tyler	Bond Counsel	$2,530,000 Ave Maria Stewardship Community District (Collier County) Capital Improvement Revenue Bonds, Series 2015 (Special Assessment)	Ave Maria Stewardship CDD ppimentel@sdsinc.org
3/3/2015	Tom Giblin	Bond Counsel	$11,080,000 Canaveral Port Authority Port Improvement Revenue Refunding Bond, Series 2015 (Revenue)	Canaveral Port Authority rrees@portcanaveral.com
3/3/2015	Tom Giblin	Bond Counsel	$180,835,000 Tampa Bay Water, A Regional Water Supply Authority, Utility System Refunding Revenue Bonds, Series 2015A (Revenue)	Tampa Bay Water csackett@tampabaywater.org
3/3/2015	Tom Giblin	Bond Counsel	$95,975,000 Tampa Bay Water, A Regional Water Supply Authority, Taxable Utility System Refunding Revenue Bonds, Series 2015B (Revenue)	Tampa Bay Water csackett@tampabaywater.org
2/26/2015	John Stokes	Bond Counsel	$29,810,000 Seminole County, Florida Sales Tax Revenue Refunding Bonds, Series 2015 (Revenue)	Seminole County asingleton@seminolecountyfl.gov
2/26/2015	Steve Miller	Disclosure Counsel	$42,775,000 Flagler County, Florida Capital Improvement and Refunding Revenue Bonds, Series 2015 (Revenue)	Flagler County lbrown@flaglercounty.org
2/26/2015	Danny Tyler	Bond Counsel	$21,215,000 Trout Creek Community Development District (St. Johns County, Florida) Capital Improvement Revenue Bonds, Series 2015 (Special Assessment)	Trout Creek CDD mdobbins@rizzetta.com
2/25/2015	Danny Tyler	Bond Counsel	$6,775,000 Sail Harbour Community Development District (Lee County, FL) Special Assessment Refunding Bonds, Series 2015 (Special Assessment) (Bank Loan)	Sail Harbour CDD mrosen@sdsinc.org
2/19/2015	Danny Tyler	Bond Counsel	$19,165,000 Miromar Lakes Community Development District (Lee County, FL) Capital Improvement Revenue Refunding Bonds, Series 2015 (Special Assessment)	Miromar Lakes CDD ward9490@comcast.net
2/12/2015	John Stokes	Bond Counsel	$29,470,000 City of Pompano Beach, Florida Revenue Bonds (John Knox Village Project), Series 2015 (IDB)	City of Pompano Beach John Knox Village jeccleston@jkvfl.com
2/11/2015	John Stokes	Disclosure Counsel	$170,805,000 Certificates of Participation (School Board of Broward County, Florida), Series 2015B (COP)	School Board of Broward County ivan.perrone@browardschools.com
2/5/2015	John Stokes	Bond Counsel	$180,000,000 City of Lakeland, Florida Hospital Revenue Bonds (Lakeland Regional Health Systems), Series 2015 (IDB)	City of Lakeland (Lakeland Regional Medical Center; bill.bierschenk@lrmc.com)
1/28/2015	Danny Tyler	Bond Counsel	$7,490,000 Habitat Community Development District Capital Improvement Revenue Refunding Bonds, Series 2015 (Special Assessment)	Habitat CDD cal.teague@stservices.com

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1/28/2015	Danny Tyler	Bond Counsel	$5,625,000 Villagewalk of Bonita Springs Community Development District (Lee County, Florida) Capital Improvement Revenue Refunding Bonds, Series 2015 (Special Assessment)	Villagewalk of Bonita Springs CDD mrosen@sdsinc.org
1/21/2015	John Stokes	Bond Counsel	$54,845,000 Refunding Certificates of Participation (School Board of St. Johns County, Florida Master Lease Program), Series 2015 (COP)	School Board of St. Johns County michael.degutis@stjohns.k12.fl.us
1/21/2015	John Stokes	Disclosure Counsel	$306,820,000 Certificates of Participation (The School Board of Miami-Dade County, Florida Master Lease Program), Series 2015A (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
1/20/2015	Mark Mustian	Bond Counsel	$18,519,000 Putnam County, Florida Public Improvement Revenue Bond Anticipation Note, Series 2015 (BAN) (Bank Loan)	Putnam County russ.castleberry@putnam-fl.com
1/20/2015	Mark Mustian	Bond Counsel	$1,775,800 City of Macclenny, Florida Public Improvement Revenue Bond Anticipation Note, Series 2015 (BAN)	City of Macclenny frank@frankmaloney.us
1/14/2015	John Stokes	Disclosure Counsel	$145,535,000 Certificates of Participation (The School Board of Palm Beach County, Florida), Series 2015B (COP)	School Board of Palm Beach County leanne.evans@palmbeachschools.org
1/6/2015	Steve Miller	Bond Counsel	$26,080,000 Refunding Certificates of Participation (School Board of St. Lucie County, Florida Master Lease Program), Series 2015A (COP)	School District of St. Lucie County timothy.bargeron@stlucieschools.org
1/6/2015	Steve Miller	Disclosure Counsel	$63,020,000 Tampa Sports Authority Local Option Sales Tax Refunding Revenue Bonds (Stadium Project), Series 2015 (Revenue)	Tampa Sports Authority jbaker@TampaSportsAuthority.com
1/6/2015	Steve Miller	Disclosure Counsel	$19,240,000 Tampa Sports Authority Sales Tax Payments Refunding Revenue Bonds (Stadium Project), Series 2015 (Revenue)	Tampa Sports Authority jbaker@TampaSportsAuthority.com
12/29/2014	Tom Giblin	Bond Counsel	$56,065,000 Peace River/Manasota Regional Water Supply Authority Utility System Refunding Revenue Bonds, Series 2014B (Revenue)	Peace River/Manasota Regional Water Supply Authority plehman@regionalwater.org
12/22/2014	Danny Tyler	Bond Counsel	$4,810,000 St. Lucie West Services District Stormwater Utility Revenue Bonds, Series 2014 (Revenue) (Bank Loan)	St. Lucie West Services District dpickle@slwsd.org
12/19/2014	Danny Tyler	Bond Counsel	$2,085,000 Lakewood Ranch Community Development District 4 Special Assessment Revenue Refunding Bonds, Series 2014 (Special Assessment)	Lakewood Ranch CDD 4 Steve.zielinski@lwrtownhall.com
12/18/2014	John Stokes	Disclosure Counsel	$60,220,000 Certificates of Participation (School Board of Lee County, Florida Master Lease Program), Series 2014B (COP)	School Board of Lee County markas@leeschools.net

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
12/17/2014	John Stokes	Bond Counsel	$255,385,000 Brevard County Health Facilities Authority Revenue Refunding Bonds, Series 2014 (Health First, Inc. Project) (Revenue)	Brevard County Health Facilities Authority (Health First Inc.; craig.springer@health-first.org)
12/16/2014	Danny Tyler	Bond Counsel	$5,020,000 Bridgewater of Wesley Chapel Community Development District (Pasco County, Florida) Capital Improvement Revenue Refunding Bonds, Series 2014 (Special Assessment) (Bank Loan)	Bridgewater of Wesley Chapel CDD sbrizendine@rizzetta.com
12/11/2014	Steve Miller	Bond Counsel	$15,300,000 St. Johns County, Florida, Capital Improvement Revenue Refunding Bonds, Series 2014 (Revenue)	St. Johns County fincoc@sjccoc.us
12/11/2014	Steve Miller	Bond Counsel	$28,270,000 St. Johns County, Florida, Water and Sewer Revenue and Refunding Bonds, Series 2014 (Revenue)	St. Johns County fincoc@sjccoc.us
12/5/2014	John Stokes	Bond Counsel	$4,980,000 FSU Financial Assistance, Inc. Educational, Including Athletic, Facilities Improvement Revenue Refunding Bonds, Series 2014A (Revenue)	FSU Financial Assistance, Inc. jpsinclair@fsu.edu
12/4/2014	John Stokes	Bond Counsel	$50,000,000 Certificates of Participation (School Board of Duval County, Florida Master Lease Program), Series 2014B (QZAB) (COP)	School District of Duval County edwardsl5@duvalschools.org
11/25/2014	Mark Mustian	Bond Counsel	$22,165,000 Okaloosa County, Florida Sales Tax Revenue Bonds, Series 2014 (Revenue)	Okaloosa County gstanford@clerkofcourts.cc
11/25/2014	Mark Mustian	Disclosure Counsel	$22,165,000 Okaloosa County, Florida Sales Tax Revenue Bonds, Series 2014 (Revenue)	Okaloosa County gstanford@clerkofcourts.cc
11/21/2014	John Stokes	Bond Counsel	Conversion to SIFMA Index Rate related to $109,795,000 Certificates of Participation (School Board of Hillsborough County, Florida Master Lease Program), Series 2008A (COP)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
11/20/2014	Mark Mustian	Bond Counsel	$1,062,889.25 St. Lucie County, Florida Schedule of Property No. 1 to Master Equipment Lease/Purchase Agreement (Lease-Purchase) (Bank Loan)	St. Lucie County franciss@stlucieclerk.com
11/20/2014	John Stokes	Bond Counsel	$43,870,000 Refunding Certificates of Participation (The School Board of Indian River County, Florida Master Lease Program), Series 2014A (COP)	School District of Indian River County carter.morrison@indianriverschools.org
11/20/2014	Ritesh Patel	Disclosure Counsel	$276,995,000 Certificates of Participation (The School Board of Miami-Dade County, Florida Master Lease Program), Series 2014D (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
11/20/2014	Mark Mustian	Bond Counsel	$2,715,000.00 City of Lynn Haven, Florida Capital Improvement Revenue Bonds, Series 2014 (Revenue)	City of Lynn Haven citymanager@cityoflynnhaven.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
11/20/2014	Mark Mustian	Disclosure Counsel	$2,715,000.00 City of Lynn Haven, Florida Capital Improvement Revenue Bonds, Series 2014 (Revenue)	City of Lynn Haven citymanager@cityoflynnhaven.com
11/19/2014	Steve Miller	Disclosure Counsel	$20,455,000 Certificates of Participation (The School Board of Seminole County, Florida Master Lease Program), Series 2014A (COP)	School Board of Seminole County bill_kelly@scps.k12.fl.us
11/19/2014	Danny Tyler	Bond Counsel	$2,665,000 Harbourage at Braden River Community Development District (Manatee County, Florida) Capital Improvement Revenue Refunding Bonds, Series 2014 (Special Assessment) (Bank Loan)	Harbourage at Braden River CDD Bruce.stdenis@dpfg.com
11/13/2014	Tom Giblin	Bond Counsel	$20,625,000 Florida Governmental Utility Authority Utility Refunding Revenue Bonds (Lehigh Utility System), Series 2014 (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
11/10/2014	Mark Mustian	Bond Counsel	$6,017,876 Gadsden County, Florida Revenue Bond (Public Safety Academy Housing, Inc. Project), Series 2014A (IDB)	Gadsden County jprice@gadsdencountyfl.us
11/10/2014	Mark Mustian	Bond Counsel	$575,000 Gadsden County, Florida Revenue Bond (Public Safety Academy Housing Inc. Project), Taxable Series 2014B (IDB)	Gadsden County jprice@gadsdencountyfl.us
11/6/2014	Mark Mustian	Bond Counsel	$925,000 Wakulla County, Florida Solid Waste Revenue Note, Series 2014 (Revenue)	Wakulla County GJames@wakullaclerk.com
11/6/2014	Steve Miller	Bond Counsel	$85,000,000 School District of Pinellas County, Florida Tax Anticipation Notes, Series 2014 (TAN)	School District of Pinellas County smithk@pcsb.org
11/6/2014	Steve Miller	Disclosure Counsel	$85,000,000 School District of Pinellas County, Florida Tax Anticipation Notes, Series 2014 (TAN)	School District of Pinellas County smithk@pcsb.org
11/6/2014	Ritesh Patel	Disclosure Counsel	$40,000,000 School District of Lee County, Florida Tax Anticipation Notes, Series 2014 (TAN)	School Board of Lee County markas@leeschools.net
11/3/2014	Mark Mustian	Bond Counsel	$10,495,000 St. Lucie County, Florida Capital Improvement Revenue Refunding Bond, Series 2014 (Revenue) (Bank Loan)	St. Lucie County franciss@stlucieclerk.com
11/3/2014	Mark Mustian	Bond Counsel	$27,000,000 Walton County, Florida Sales Tax Revenue Note, Series 2014 (Revenue) (Bank Loan)	Walton County quiwanda@co.walton.fl.us
10/30/2014	Mark Mustian	Bond Counsel	$22,543,400 Madison County Health and Hospital District Hospital Revenue Bonds, Series 2014 (Revenue) (Bank Loan)	Madison County Health and Hospital District Lawhatter@aol.com
10/30/2014	John Stokes	Bond Counsel	$45,015,000 Florida Development Finance Corporation Educational Facilities Revenue Bonds (Renaissance Charter School, Inc. Projects), Series 2014A (IDB)	Florida Development Finance Corporation (Renaissance Charter Schools: Red Apple Development; rgarcia@charterschoolsusa.com)

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
10/30/2014	John Stokes	Bond Counsel	$8,160,000 Florida Development Finance Corporation Taxable Educational Facilities Revenue Bonds (Renaissance Charter School, Inc. Projects), Series 2014B (IDB)	Florida Development Finance Corporation (Renaissance Charter Schools; Red Apple Development; rgarcia@charterschoolsusa.com)
10/29/2014	Tom Giblin	Bond Counsel	$106,570,000 Lee County, Florida Transportation Facilities Refunding Revenue Bonds, Series 2014 (Revenue)	Lee County tmallow@leeclerk.org
10/22/2014	John Stokes	Bond Counsel	$250,000,000 Alachua County Health Facilities Authority Health Facilities Revenue Bonds, Series 2014A (Shands Teaching Hospital and Clinics, Inc. at the University of Florida Project) (IDB)	Alachua County Health Facilities Authority (Shands Teaching Hospital and Clinics, Inc.; bill.ryan@jax.ufl.edu)
10/22/2014	John Stokes	Bond Counsel	$50,000,000 Alachua County Health Facilities Authority Health Facilities Revenue Bonds, Series 2014B (Shands Teaching Hospital and Clinics, Inc. at the University of Florida Project) (IDB)	Alachua County Health Facilities Authority (Shands Teaching Hospital and Clinics, Inc.; bill.ryan@jax.ufl.edu)
10/21/2014	Steve Miller	Bond Counsel	$31,885,000 Monroe County, Florida Infrastructure Sales Surtax Improvement and Refunding Revenue Bond, Series 2014 (Revenue) (Bank Loan)	Monroe County madok-kevin@monroecounty-fl.gov
10/21/2014	Steve Miller	Bond Counsel	$16,000,000 Monroe County, Florida Infrastructure Sales Surtax Master Revenue Bond (PNC Bank Line of Credit), Series 2014 (Revenue) (Bank Loan)	Monroe County madok-kevin@monroecounty-fl.gov
10/15/2014	Steve Miller	Bond Counsel	$25,475,000 Brevard County, Florida Water and Wastewater Utility Revenue Bonds, Series 2014 (Revenue)	Brevard County Steve.Burdett@brevardclerk.us
10/15/2014	Danny Tyler	Bond Counsel	$2,715,000 Waterleaf Community Development District (Hillsborough County, Florida) Capital Improvement Revenue Bonds, Series 2014A (Special Assessment)	Waterleaf CDD Bruce.stdenis@dpfg.com
10/10/2014	Chris Traber	Bond Counsel	$19,470,000 Lee County Industrial Development Authority Healthcare Facilities Revenue Bonds (Cypress Cove at HealthPark Florida, Inc. Memory Care Project), Series 2014 (IDB)	Lee County Industrial Development Authority (Cypress Cove at HealthPark Florida; janderson@cchpf.net)
10/10/2014	Mark Mustian	Bond Counsel	$3,333,000 Putnam County, Florida Sewer Revenue Bond, Series 2014 (Revenue)	Putnam County russ.castleberry@putnam-fl.com
10/9/2014	Danny Tyler	Bond Counsel	$5,125,000 Suncoast Community Development District (Pasco County, Florida) Capital Improvement Revenue Refunding Bonds, Series 2014 (Special Assessment)	Suncoast CDD blamb@merituscorp.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
10/8/2014	Ritesh Patel	Bond Counsel	$29,020,000 Refunding Certificates of Participation (School Board of Martin County, Florida Master Lease Program), Series 2014A (COP)	School Board of Martin County dibarth@martin.k12.fl.us
10/6/2014	Tom Giblin	Bond Counsel	$27,390,000 Peace River/Manasota Regional Water Supply Authority Utility System Refunding Revenue Bond, Series 2014A (Revenue) (Bank Loan)	Peace River/Manasota Regional Water Supply Authority plehman@regionalwater.org
9/30/2014	Chris Traber	Bond Counsel	$16,675,000 St. Johns County Industrial Development Authority Healthcare Facilities Revenue Bonds (BVM Florida Obligated Group Project), Series 2014A (IDB)	St. Johns County IDA fincoc@sjccoc.us
9/30/2014	Chris Traber	Bond Counsel	$3,205,000 St. Johns county Industrial Development Authority Taxable Healthcare Facilities Revenue Bonds (BVM Florida Obligated Group Project), Series 2014B (IDB)	St. Johns County IDA fincoc@sjccoc.us
9/26/2014	Danny Tyler	Bond Counsel	$13,620,000 Wiregrass Community Development District Capital Improvement Revenue Bonds, Series 2014 (Special Assessment)	Wiregrass CDD sbrizendine@rizzetta.com
9/25/2014	Steve Miller	Bond Counsel	$25,000,000 School District of St. Lucie County, Florida Tax Anticipation Notes, Series 2014 (TAN)	School District of St. Lucie County timothy.bargeron@stlucieschools.org
9/25/2014	Steve Miller	Disclosure Counsel	$25,000,000 School District of St. Lucie County, Florida Tax Anticipation Notes, Series 2014 (TAN)	School District of St. Lucie County timothy.bargeron@stlucieschools.org
9/18/2014	Ritesh Patel	Disclosure Counsel	$72,340,000 Certificates of Participation (School Board of Lee County, Florida Master Lease Program), Series 2014A (COP)	School Board of Lee County markas@leeschools.net
9/17/2014	Steve Miller	Bond Counsel	$16,000,000 School District of Charlotte County, Florida Tax Anticipation Notes, Series 2014 (TAN)	School District of Charlotte County gregory.griner@yourcharlotteschools.net
9/17/2014	Steve Miller	Disclosure Counsel	$16,000,000 School District of Charlotte County, Florida Tax Anticipation Notes, Series 2014 (TAN)	School District of Charlotte County gregory.griner@yourcharlotteschools.net
9/12/2014	Mark Mustian	Bond Counsel	$9,900,000 Alachua County, Florida Public Improvement Revenue Note, Series 2014 (Revenue) (Bank Loan)	Alachua County nth@alachuaclerk.org
9/10/2014	John Stokes	Disclosure Counsel	$31,610,000 Miami-Dade County, Florida Rickenbacker Causeway Revenue Bonds, Series 2014 (Revenue)	Miami-Dade County marquez@miamidade.gov
9/9/2014	John Stokes	Bond Counsel	$80,100,000 Osceola County, Florida Transportation Improvement Refunding Bonds (Osceola Parkway Project), Series 2014 (Revenue)	Osceola County andrew.mai@osceola.org

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
9/9/2014	Danny Tyler	Bond Counsel	$3,425,000 DG Farms Community Development District Capital Improvement Revenue Bonds, Series 2014A-1 (Special Assessment)	DG Farms CDD Bruce.stdenis@dpfg.com
9/9/2014	Danny Tyler	Bond Counsel	$4,200,000 DG Farms Community Development District Capital Improvement Revenue Bonds, Series 2014A-2 (Special Assessment)	DG Farms CDD Bruce.stdenis@dpfg.com
9/9/2014	Danny Tyler	Bond Counsel	$2,530,000 DG Farms Community Development District Capital Improvement Revenue Bonds, Series 2014A-3 (Special Assessment)	DG Farms CDD Bruce.stdenis@dpfg.com
9/5/2014	Tom Giblin	Bond Counsel	$1,200,000 City of Apopka, Florida Special Obligation Improvement Revenue Note, Series 2014 (Revenue) (Bank Loan)	City of Apopka pbarclay@apopka.net
9/5/2014	Tom Giblin	Bond Counsel	$1,100,000 City of Apopka, Florida Sanitation System Improvement Revenue Note, Series 2014 (Revenue) (Bank Loan)	City of Apopka pbarclay@apopka.net
9/3/2014	Chris Traber	Bond Counsel	$3,105,919 Marion County, Florida Special Assessment Bond, Series 2014A (Special Assessment)	Marion County john@marioncountyclerk.org
8/28/2014	Danny Tyler	Bond Counsel	$12,025,000 St. Lucie West Services District Utility Revenue Bond, Series 2014 (Special Assessment) (Bank Loan)	St. Lucie West Services District dpickle@slwsd.org
8/28/2014	Ritesh Patel	Bond Counsel	$1,250,000 City of Palmetto Florida Special Obligation Improvement Revenue Note, Series 2014 (Revenue) (Bank Loan)	City of Palmetto ksimpson@palmettofl.org
8/26/2014	Mark Mustian	Bond Counsel	$635,000 Bay County, Florida Taxable Series 2014A Revenue Note (Revenue) (Bank Loan)	Bay County jrogers@baycoclerk.com
8/25/2014	Danny Tyler	Bond Counsel	$10,440,000 Waterford Landing Community Development District (Fort Myers, Florida) Capital Improvement Revenue Bonds, Series 2014 (Special Assessment)	Waterford Landing CDD wrathellc@whhassociates.com
8/25/2014	Danny Tyler	Bond Counsel	$4,905,000 Lakewood Ranch Community Development District 6 Capital Improvement Revenue Refunding Bonds, Series 2014 (Special Assessment)	Lakewood Ranch CDD #6 Steve.zielinski@lwrtownhall.com
8/22/2014	Danny Tyler	Bond Counsel	$13,355,000 Urban Orlando Community Development District Capital Improvement Revenue Refunding Bonds, Series 2014 (Special Assessment)	Urban Orlando CDD Gary@moyer-group.com
8/21/2014	Mark Mustian	Bond Counsel	$6,000,000 Okaloosa County, Florida Sales Tax Bond Anticipation Note, Series 2014 (BAN) (Bank Loan)	Okaloosa County gstanford@clerkofcourts.cc

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
8/21/2014	Steve Miller	Bond Counsel	$8,500,000 School District of Flagler County, Florida Tax Anticipation Note, Series 2014 (TAN) (Bank Loan)	School District of Flagler County tantt@flaglerschools.com
8/21/2014	Ritesh Patel	Bond Counsel	$3,000,000.00 School District of Sumter County, Florida Tax Anticipation Note, Series 2014 (TAN) (Bank Loan)	School District of Sumter County shirler@sumter.k12.fl.us
8/21/2014	Ritesh Patel	Bond Counsel	$8,000,000 School District of Martin County, Florida Tax Anticipation Note, Series 2014 (TAN) (Bank Loan)	School Board of Martin County dibarth@martin.k12.fl.us
8/21/2014	Danny Tyler	Bond Counsel	$2,895,000 Park Creek Community Development District Capital Improvement Revenue Bonds, Series 2014A (Special Assessment)	Park Creek CDD Bruce.stdenis@dpfg.com
8/18/2014	Danny Tyler	Bond Counsel	$3,225,000 The Crossings at Fleming Island Community Development District Special Assessment Refunding Bonds, Series 2014A-4 (Special Assessment)	The Crossings at Fleming Island CDD jperry@govmgtsvc.com
8/15/2014	Steve Miller	Bond Counsel	$15,730,000 Brevard County, Florida Non-Ad Valorem Revenue Note, Series 2014 (Revenue) (Bank Loan; Energy Savings Contract)	Brevard County Steve.Burdett@brevardclerk.us
8/15/2014	Danny Tyler	Bond Counsel	$8,375,000 Grand Hampton Community Development District Capital Improvement Revenue Refunding Bonds, Series 2014 (Special Assessment) (Bank Loan)	Grand Hampton CDD rcox@rizzetta.com
8/14/2014	John Stokes	Bond Counsel	$32,000,000 School District of Manatee County, Florida Tax Anticipation Notes, Series 2014 (TAN) (Bank Loan)	School District of Manatee County roberts2r@manateeschools.net
8/14/2014	Danny Tyler	Bond Counsel	$7,490,000 Wynnfield Lakes Community Development District (City of Jacksonville, Florida) Special Assessment Refunding Bonds, Series 2014 (Bank Qualified) (Special Assessment)	Wynnfield Lakes CDD ddenagy@govmgtsvc.com
8/13/2014	Tom Giblin	Bond Counsel	$12,590,000 Lee County, Florida Five Cent Local Option Gas Tax Refunding Revenue Bond, Series 2014 (Revenue) (Bank Loan)	Lee County tmallow@leeclerk.org
8/13/2014	Chris Traber	Bond Counsel	$16,005,000 Lee County Industrial Development Authority Utility System Refunding Revenue Bond, Series 2014 (Bonita Springs Utilities, Inc. Project) (IDB) (Bank Loan)	Lee County Industrial Development Authority (Bonita Springs Utilities; dbautista@bsu.us)
8/13/2014	Danny Tyler	Bond Counsel	$17,490,000 Heritage Bay Community Development District Capital Improvement Revenue Refunding Bonds, Series 2014 (Special Assessment)	Heritage Bay CDD cal.teague@stservices.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
8/4/2014	Steve Miller	Bond Counsel	$10,000,000 Hernando County, Florida 2014 Line of Credit Agreement with SunTrust Equipment Finance & Leasing Corp. (Revenue) (Bank Loan)	Hernando County agillis@co.hernando.fl.us
7/31/2014	Ritesh Patel	Disclosure Counsel	$310,000,000 The School District of Miami-Dade County, Florida Tax Anticipation Notes, Series 2014 (TAN)	School Board of Miami-Dade County leofernandez@dadeschools.net
7/28/2014	Steve Miller	Bond Counsel	$5,300,000 City of Cape Coral, Florida Capital Improvement Refunding Revenue Bond, Series 2014 (Revenue) (Bank Loan)	City of Cape Coral vbateman@capecoral.net
7/25/2014	Steve Miller	Bond Counsel	$21,433,000 City of Cape Coral, Florida Gas Tax Refunding Revenue Bond, Series 2014 (Revenue) (Bank Loan)	City of Cape Coral vbateman@capecoral.net
7/24/2014	Steve Miller	Bond Counsel	$43,870,000 Refunding Certificates of Participation (School Board of Flagler County, Florida Master Lease Program), Series 2014A (COP)	School District of Flagler County tantt@flaglerschools.com
7/16/2014	Chris Traber	Bond Counsel	$4,586,855 Marion County, Florida Utilities System Revenue Subordinate Note, Series 2014 (Revenue)	Marion County john@marioncountyclerk.org
7/8/2014	John Stokes	Bond Counsel	$13,400,000 Florida Development Finance Corporation Educational Facilities Revenue Bonds (Henderson Hammock Charter School Project), Series 2014A (IDB)	Florida Development Finance Corporation (Charter Schools USA; rgarcia@charterschoolsusa.com)
7/8/2014	John Stokes	Bond Counsel	$1,230,000 Florida Development Finance Corporation Educational Facilities Revenue Bonds (Henderson Hammock Charter School Project), Series 2014B (IDB)	Florida Development Finance Corporation (Charter Schools USA; rgarcia@charterschoolsusa.com)
6/27/2014	John Stokes	Bond Counsel	ISDA Master Agreement between Shands Teaching Hospital and Clinics, Inc. and Bank of America, N.A. - Total Return Swap (Swap)	Shands Teaching Hospital and Clinics, Inc. bill.ryan@jax.ufl.edu
6/19/2014	Steve Miller	Disclosure Counsel	$37,120,000 City of Fort Myers, Florida Capital Improvement and Refunding Revenue Bonds, Series 2014A (Revenue)	City of Fort Myers mjoyner@cityftmyers.com
6/19/2014	Steve Miller	Disclosure Counsel	$2,790,000 City of Fort Myers, Florida Taxable Capital Improvement Refunding Revenue Bonds, Series 2014B (Revenue)	City of Fort Myers mjoyner@cityftmyers.com
6/17/2014	Mark Mustian	Bond Counsel	$18,926,000 Bay County, Florida Water System Revenue Note, Series 2014 (Revenue) (Bank Loan)	Bay County jrogers@baycoclerk.com
6/17/2014	Mark Mustian	Bond Counsel	$10,445,000 City of Callaway/Bay County, Florida Wastewater System Revenue Refunding Note, Series 2014 (Revenue) (Bank Loan)	City of Callaway, Florida mfuller@cityofcallaway.com

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6/13/2014	Tom Giblin	Bond Counsel	$105,000,000 Canaveral Port Authority Port Improvement Revenue Bonds, Series 2014 (Revenue) (Bank Loan)	Canaveral Port Authority rrees@portcanaveral.com
6/6/2014	Danny Tyler	Bond Counsel	$4,450,000 Long Lake Ranch Community Development District Capital Improvement Revenue Bonds, Series 2014A-1 (Special Assessment)	Long Lake Ranch CDD jroethke@rizzetta.com
6/6/2014	Danny Tyler	Bond Counsel	$5,050,000 Long Lake Ranch Community Development District Capital Improvement Revenue Bonds, Series 2014A-2 (Special Assessment)	Long Lake Ranch CDD jroethke@rizzetta.com
6/5/2014	Steve Miller	Bond Counsel	$32,025,000 Brevard County, Florida Local Option Fuel Tax Refunding Revenue Bond, Series 2014 (Revenue) (Bank Loan)	Brevard County Steve.Burdett@brevardclerk.us
5/29/2014	Steve Miller	Bond Counsel	$89,780,000 Collier County, Florida Gas Tax Refunding Revenue Bond, Series 2014 (Revenue)	Collier County crystal.kinzel@collierclerk.com
5/28/2014	Danny Tyler	Bond Counsel	$16,220,000 Pier Park Community Development District Capital Improvement Revenue Refunding Bond, Series 2014 (Special Assessment)	Pier Park CDD pwilliams@rizzetta.com
5/27/2014	John Stokes	Bond Counsel	$28,000,000 Seminole County, Florida Special Obligation Bonds, Series 2014 (Revenue)	Seminole County asingleton@seminolecountyfl.gov
5/8/2014	John Stokes	Bond Counsel	$3,430,000 The School Board of Highlands County, Florida Capital Improvement Refunding Revenue Bonds, Series 2014 (Revenue)	School Board of Highlands County birtr@highlands.k12.fl.us
4/23/2014	Steve Miller	Bond Counsel	$3,790,000 City of Cocoa Beach, Florida Capital Improvement Revenue Note, Series 2014A (Revenue) (Bank Loan)	City of Cocoa Beach rmclemore@cityofcocoabeach.com
4/17/2014	Steve Miller	Disclosure Counsel	Up to $275,541,000 Hillsborough County, Florida Capital Improvement Program Commercial Paper Notes, Series A, Series B (AMT) and Series C (Taxable) (Commercial Paper)	Hillsborough County wiseb@hillsboroughcounty.org
4/16/2014	Danny Tyler	Bond Counsel	$2,395,000 South Fork East Community Development District Capital Improvement Revenue Bonds, Series 2014 (Special Assessment)	South Fork East CDD
4/15/2014	Steve Miller	Bond Counsel	$10,000,000 City of North Port, Florida Utility System Refunding Revenue Note, Series 2014A (Revenue) (Bank Loan)	City of North Port jlewis@cityofnorthport.com
4/15/2014	Steve Miller	Bond Counsel	$1,670,000 City of North Port, Florida Utility System Refunding Revenue Note, Series 2014B (Revenue) (Bank Loan)	City of North Port jlewis@cityofnorthport.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
4/11/2014	John Stokes	Bond Counsel	$34,765,000 Osceola County, Florida Expressway System Senior Lien Revenue Bonds, Series 2014A (Poinciana Parkway Project) (Revenue)	Osceola County andrew.mai@osceola.org
4/11/2014	John Stokes	Bond Counsel	$7,373,771.30 Osceola County, Florida Expressway System Senior Lien Revenue Capital Appreciation Bonds, Series 2014B-1 (Poinciana Parkway Project) (Revenue)	Osceola County andrew.mai@osceola.org
4/11/2014	John Stokes	Bond Counsel	$27,570,693.20 Osceola County, Florida Expressway System Senior Lien Revenue Convertible Capital Appreciation Bonds, Series 2014B-2 (Poinciana Parkway Project) (Revenue)	Osceola County andrew.mai@osceola.org
4/11/2014	Ritesh Patel	Bond Counsel	$35,604,818 Refunding Certificates of Participation (School Board of Duval County, Florida Master Lease Program), Series 2014A (COP) (Bank Loan)	School District of Duval County edwardsl5@duvalschools.org
4/2/2014	John Stokes	Bond Counsel	$39,950,000 Refunding Certificates of Participation (School Board of Hillsborough County, Florida Master Lease Program), Series 2014A (COP)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
3/20/2014	Mark Mustian	Bond Counsel	$3,333,000 Putnam County, Florida Sewer Revenue Bond Anticipation Note, Series 2014 (BAN) (Bank Loan)	Putnam County russ.castleberry@putnam-fl.com
3/13/2014	Chris Traber	Bond Counsel	$68,950,000 Alachua County Health Facilities Authority Health Facilities Revenue Bonds (East Ridge Retirement Village, Inc. Project), Series 2014 (IDB)	Alachua County Health Facilities Authority (East Ridge; duvallvictoria@eastridgerc.com)
3/11/2014	John Stokes	Disclosure Counsel	$70,980,000 Certificates of Participation (The School Board of Miami-Dade County, Florida Master Lease Program), Series 2014A (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
3/5/2014	Steve Miller	Bond Counsel	$2,840,000 City of Cocoa Beach, Florida Capital Improvement Refunding Revenue Note, Series 2014B (Revenue) (Bank Loan)	City of Cocoa Beach rmclemore@cityofcocoabeach.com
2/27/2014	Mark Mustian	Disclosure Counsel	$28,500,000 Sunshine State Financing Commission Multimodal Revenue Bonds, Series 2011B-1 and $28,500,000 Sunshine State Financing Commission Multimodal Revenue Bonds, Series 2011C-1 (Miami-Dade County Program) (Revenue)	Sunshine State Governmental Financing Commission ssgfc@embarqmail.com
2/20/2014	Mark Mustian	Bond Counsel	$4,500,000 Suwannee County, Florida Sales Tax Revenue Bonds, Series 2014A and Series 2014B (Revenue)	Suwannee County kenw@suwgov.org

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
2/18/2014	John Stokes	Bond Counsel	$164,765,000 Refunding Certificates of Participation (School Board of Collier County, Florida Master Lease Program), Series 2014A (COP)	School Board of Collier County mcguirph@ collierschools.com
2/11/2014	Ritesh Patel	Disclosure Counsel	$96,475,000 School District of Miami-Dade County, Florida General Obligation School Bonds, Series 2014A (General obligation)	School Board of Miami-Dade County leofernandez@dadeschools.net
1/31/2014	Chris Traber	Bond Counsel	$2,700,000 Capital Trust Agency Subordinate Mortgage Revenue Bonds (The Springs at South Biscayne Project), Series 2014B (IDB)	Capital Trust Agency edgray3@muniad.com
1/31/2014	Chris Traber	Bond Counsel	$21,800,000 Capital Trust Agency First Mortgage Revenue Bonds (The Springs at South Biscayne Project), Series 2014A (IDB)	Capital Trust Agency edgray3@muniad.com
1/22/2014	Mark Mustian	Disclosure Counsel	$75,000,000 School District of Leon County, Florida Sales Tax Revenue Bonds, Series 2014 (Revenue)	School Board of Leon County wimberleym@leonschools.net
1/16/2014	Mark Mustian	Bond Counsel	$8,920,000 Okaloosa County, Florida Airport Revenue Refunding Bond, Series 2014 (AMT) (Revenue) (Bank Loan)	Okaloosa County gstanford@clerkofcourts.cc
1/10/2014	John Stokes	Bond Counsel	ISDA Master Agreement between Shands Teaching Hospital and Clinics, Inc. and Bank of America, N.A. - Total Return Swap (Swap)	Shands Teaching Hospital and Clinics, Inc. bill.ryan@jax.ufl.edu
1/8/2014	Chris Traber	Bond Counsel	$128,295,000 Collier County Industrial Development Authority Continuing Care Community Revenue Bonds (The Arlington of Naples Project), Series 2013A (IDB)	Collier County Industrial Development Authority (Lutheran Life Communities; cmoellenkamp@lutheranlifecommunities.org)
1/8/2014	Chris Traber	Bond Counsel	$12,000,000 Collier County Industrial Development Authority Continuing Care Community Revenue Bonds (The Arlington of Naples Project), Series 2013B-1 (IDB)	Collier County Industrial Development Authority (Lutheran Life Communities; cmoellenkamp@lutheranlifecommunities.org)
1/8/2014	Chris Traber	Bond Counsel	$50,000,000 Collier County Industrial Development Authority Continuing Care Community Revenue Bonds (The Arlington of Naples Project), Series 2013B-2 (IDB)	Collier County Industrial Development Authority (Lutheran Life Communities; cmoellenkamp@lutheranlifecommunities.org)
1/8/2014	Steve Miller	Bond Counsel	$6,458,000 City of Venice, Florida Recreation Capital Improvement General Obligation Refunding Bond, Series 2014 (General obligation) (Bank Loan)	City of Venice jsnyder@venicegov.com
1/6/2014	Chris Traber	Bond Counsel	$10,000,000 Key Largo Wastewater Treatment District Utility Revenue Refunding Bond, Series 2014 (Revenue) (Bank Loan)	Key Largo Wastewater Treatment District paul.christian@klwtd.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
12/30/2013	Danny Tyler	Bond Counsel	$9,855,000 Capital Region Community Development District Capital Improvement Revenue Refunding Bonds, Series 2013 (Special Assessment)	Capital Region CDD jperry@govmgtsvc.com
12/30/2013	Danny Tyler	Bond Counsel	$3,430,000 Artisan Lakes Community Development District Capital Improvement Revenue Bonds. Series 2013A-1 (Special Assessment)	Artisan Lakes CDD pwilliams@rizzetta.com
12/30/2013	Danny Tyler	Bond Counsel	$2,585,000 Artisan Lakes Community Development District Capital Improvement Revenue Bonds, Series 2013A-2 (Special Assessment)	Artisan Lakes CDD pwilliams@rizzetta.com
12/30/2013	Danny Tyler	Bond Counsel	$2,500,000 Artisan Lakes Community Development District Capital Improvement Revenue Bonds, Series 2013A-3 (Special Assessment)	Artisan Lakes CDD pwilliams@rizzetta.com
12/23/2013	Mark Mustian	Bond Counsel	Reissuance $15,000,000 Bay County, Florida Industrial Development Revenue Bonds (Goodwill Industries - Big Bend Inc. Project), Series 2012 (IDB)	Bay County jrogers@baycoclerk.com
12/20/2013	Mark Mustian	Bond Counsel	$650,000 Bay County, Florida Taxable Series 2013A Credit Note (Revenue) (Bank Loan)	Bay County jrogers@baycoclerk.com
12/20/2013	Danny Tyler	Bond Counsel	Reissuance of $13,350,000 Parkway Center Community Development District Special Assessment Revenue Refunding Bonds, Series 2004 (Special Assessment)	Parkway Center CDD blamb@merituscorp.com
12/19/2013	Mark Mustian	Disclosure Counsel	$65,330,000 Sunshine State Governmental Financing Commission Multimodal Revenue Bonds, Series 2010A-1 and $60,670,000 Sunshine State Governmental Financing Commission Multimodal Revenue Bonds, Series 2010B-1 (Revenue)	Sunshine State Governmental Financing Commission ssgfc@embarqmail.com
12/19/2013	Mark Mustian	Disclosure Counsel	$47,620,000 Sunshine State Governmental Financing Commission Multimodal Revenue Bonds, Series, 2010A, $47,620,000 Sunshine State Governmental Financing Commission Multimodal Revenue Bonds, Series 2010B and $28,500,000 Sunshine State Governmental Financing Commission Multimodal Revenue Bonds, Series 2011C (Revenue)	Sunshine State Governmental Financing Commission ssgfc@embarqmail.com
12/19/2013	Chris Traber	Bond Counsel	$10,000,000 Key Largo Wastewater Treatment District Utility Revenue Refunding Bond, Series 2013 (Revenue) (Bank Loan)	Key Largo Wastewater Treatment District paul.christian@klwtd.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
12/18/2013	Steve Miller	Bond Counsel	$2,893,000 City of Sebastian, Florida Infrastructure Sales Surtax Refunding Revenue Note, Series 2013 (Revenue) (Bank Loan)	City of Sebastian jgriffin@cityofsebastian.org
12/18/2013	Steve Miller	Bond Counsel	$3,096,000 City of Sebastian, Florida Stormwater Utility Refunding Revenue Note, Series 2013 (Revenue) (Bank Loan)	City of Sebastian jgriffin@cityofsebastian.org
12/18/2013	Steve Miller	Bond Counsel	$39,525,000 City of North Port, Florida Transportation Improvement Assessment Bonds, Series 2013 (Special Assessment)	City of North Port jlewis@cityofnorthport.com
12/17/2013	Mark Mustian	Disclosure Counsel	$28,500,000 Sunshine State Governmental Financing Commission Multimodal Revenue Bonds, Series 2011B (Miami-Dade County Program) (Revenue)	Sunshine State Governmental Financing Commission ssgfc@embarqmail.com
12/11/2013	John Stokes	Bond Counsel	$16,085,000 Certificates of Participation (School Board of Duval County, Florida Master Lease Program), Series 2013A (COP)	School District of Duval County edwardsl5@duvalschools.org
11/29/2013	Ritesh Patel	Bond Counsel	$2,947,929 City of Apopka, Florida Special Obligation Refunding Revenue Note, Series 2013C (Revenue) (Bank Loan)	City of Apopka pbarclay@apopka.net
11/27/2013	Junious Brown	Disclosure Counsel	$28,000,000 Florida Housing Finance Corporation Multifamily Housing Revenue Bonds, 2013 Series C (Reef Club Apartments) (Housing)	Florida Housing Finance Corporation ddodson@dalcorcompanies.com
11/26/2013	Mark Mustian	Disclosure Counsel	$50,855,000 City of Palm Bay, Florida Taxable Special Obligation Refunding Bonds, Series 2013 (Revenue)	City of Palm Bay Yvonne.mcdonald@palmbayflorida.org
11/21/2013	John Stokes	Bond Counsel	$59,405,000 Florida Development Finance Corporation Healthcare Facilities Revenue Bonds (UF Health - Jacksonville Project), Series 2013B (IDB)	Florida Development Finance Corporation (UF Health Jacksonville; bill.ryan@jax.ufl.edu)
11/21/2013	John Stokes	Bond Counsel	$64,240,000 Florida Development Finance Corporation Healthcare Facilities Revenue Bonds (UF Health - Jacksonville Project), Series 2013A (IDB)	Shands Jacksonville Medical Center, Inc. (UF Health Jacksonville; bill.ryan@jax.ufl.edu)
11/15/2013	Tom Giblin	Bond Counsel	$15,053,000 Canaveral Port Authority Port Improvement Revenue Bond, Series 2013 (Revenue) (Bank Loan)	Canaveral Port Authority rrees@portcanaveral.com
11/14/2013	John Stokes	Bond Counsel	$28,890,000 Collier County Educational Facilities Authority Educational Facilities Revenue Bonds (Hodges University, Inc. Project), Series 2013 (IDB)	Collier County Educational Facilities Authority (Hodges University, Inc.; etillery@hodges.edu)
11/1/2013	John Stokes	Bond Counsel	$73,040,000 Florida Development Finance Corporation Educational Facilities Revenue Bonds (Renaissance Charter School, Inc. Projects), Series 2013A (IDB) (Bank Loan)	Florida Development Finance Corporation (Renaissance Charter Schools; rgarcia@charterschoolsusa.com)

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
11/1/2013	John Stokes	Bond Counsel	$7,485,000 Florida Development Finance Corporation Taxable Educational Facilities Revenue Bonds (Renaissance Charter School, Inc. Projects), Series 2013B (IDB) (Bank Loan)	Florida Development Finance Corporation (Renaissance Charter Schools; rgarcia@charterschoolsusa.com)
10/24/2013	Steve Miller	Bond Counsel	$10,000,000 School District of St. Lucie County, Florida Tax Anticipation Note, Series 2013 (TAN)	School District of St. Lucie County timothy.bargeron@stlucieschools.org
10/24/2013	Steve Miller	Disclosure Counsel	$10,000,000 School District of St. Lucie County, Florida Tax Anticipation Note, Series 2013 (TAN)	School District of St. Lucie County timothy.bargeron@stlucieschools.org
10/24/2013	Danny Tyler	Bond Counsel	$15,430,000 Estancia at Wiregrass Community Development District Capital Improvement Revenue Bonds, Series 2013 (Special Assessment)	Estancia at Wiregrass CDD sbrizendine@rizzetta.com
10/23/2013	Tom Giblin	Disclosure Counsel	$165,305,000 Broward County, Florida Airport System Revenue Bonds, Series 2013A (AMT) (Revenue)	Broward County hrendleman@broward.org
10/23/2013	Tom Giblin	Disclosure Counsel	$55,400,000 Broward County, Florida Airport System Revenue Bonds, Series 2013B (Non-AMT) (Revenue)	Broward County hrendleman@broward.org
10/23/2013	Tom Giblin	Disclosure Counsel	$210,975,000 Broward County, Florida Airport System Revenue Bonds, Series 2013C (Non-AMT) (Revenue)	Broward County hrendleman@broward.org
10/21/2013	Danny Tyler	Bond Counsel	$2,065,000 Park Creek Community Development District Capital Improvement Revenue Bonds, Series 2013A-1 (Special Assessment)	Park Creek CDD Bruce.stdenis@dpfg.com
10/21/2013	Danny Tyler	Bond Counsel	$2,750,000 Park Creek Community Development District Capital Improvement Revenue Bonds, Series 2013A-2 (NRO) (Special Assessment)	Park Creek CDD Bruce.stdenis@dpfg.com
10/18/2013	Ritesh Patel	Bond Counsel	$$29,162,000 Refunding Certificates of Participation (School Board of Hernando County, Florida Master Lease Program), Series 2013A (COP) (Bank Loan)	School District of Hernando County sittig_k@hcsb.k12.fl.us
10/18/2013	Ritesh Patel	Bond Counsel	$10,000,000 School District of Indian River County, Florida Tax Anticipation Note, Series 2013 (TAN)	School District of Indian River County carter.morrison@indianriverschools.org
10/18/2013	Ritesh Patel	Disclosure Counsel	$10,000,000 School District of Indian River County, Florida Tax Anticipation Note, Series 2013 (TAN)	School District of Indian River County carter.morrison@indianriverschools.org
10/3/2013	Steve Miller	Bond Counsel	$83,000,000 School District of Pinellas County, Florida Tax Anticipation Note, Series 2013 (TAN)	School District of Pinellas County smithk@pcsb.org

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10/3/2013	Steve Miller	Disclosure Counsel	$83,000,000 School District of Pinellas County, Florida Tax Anticipation Note, Series 2013 (TAN)	School District of Pinellas County smithk@pcsb.org
10/3/2013	Danny Tyler	Bond Counsel	$1,860,000 Mirabella Community Development District Capital Improvement Revenue Bonds, Series 2013 (Special Assessment)	Mirabella CDD pwilliams@rizzetta.com
9/26/2013	John Stokes	Disclosure Counsel	$76,320,000 Miami-Dade County, Florida Capital Asset Acquisition Special Obligation and Refunding Bonds, Series 2013A (Revenue)	Miami-Dade County marquez@miamidade.gov
9/26/2013	John Stokes	Disclosure Counsel	$24,330,000 Miami-Dade County, Florida Capital Asset Acquisition Special Obligation Refunding Bonds, Series 2013B (Revenue)	Miami-Dade County marquez@miamidade.gov
9/25/2013	Steve Miller	Bond Counsel	$59,815,000 City of Miramar, Florida Special Obligation Refunding and Improvement Revenue Bonds, Series 2013 (Revenue)	City of Miramar kwoodsrichardson@ci.miramar.fl.us
9/19/2013	Ritesh Patel	Bond Counsel	$9,900,000 School Board of Martin County, Florida Tax Anticipation Note, Series 2013 (TAN)	School Board of Martin County dibarth@martin.k12.fl.us
9/18/2013	Steve Miller	Bond Counsel	$10,440,000 City of Cape Coral, Florida Water and Sewer Refunding Revenue Bond, Series 2013 (Revenue) (Bank Loan)	City of Cape Coral vbateman@capecoral.net
9/13/2013	Danny Tyler	Bond Counsel	$2,630,000 Waterleaf Community Development District Capital Improvement Revenue Bonds, Series 2013A-1 (Special Assessment)	Waterleaf CDD Bruce.stdenis@dpfg.com
9/13/2013	Danny Tyler	Bond Counsel	$3,470,000 Waterleaf Community Development District Capital Improvement Revenue Bonds, Series 2013A-2 (Special Assessment)	Waterleaf CDD Bruce.stdenis@dpfg.com
9/13/2013	Danny Tyler	Bond Counsel	$2,040,000 Waterleaf Community Development District Capital Improvement Revenue Bonds, Series 2013A-3 (Special Assessment)	Waterleaf CDD Bruce.stdenis@dpfg.com
9/12/2013	Mark Mustian	Bond Counsel	$2,735,000 Town of Cross City, Florida Water and Sewer Revenue Bond, Series 2013 (Revenue)	Town of Cross City legalonly@hotmail.com
9/6/2013	Steve Miller	Bond Counsel	$16,000,000 School District of Charlotte County, Florida Tax Anticipation Note, Series 2013 (TAN)	School District of Charlotte County gregory.griner@yourcharlotteschools.net
9/6/2013	Steve Miller	Disclosure Counsel	$16,000,000 School District of Charlotte County, Florida Tax Anticipation Note, Series 2013 (TAN)	School District of Charlotte County gregory.griner@yourcharlotteschools.net
8/29/2013	Mark Mustian	Bond Counsel	$3,000,000 Bay County, Florida Taxable Series 2013 Credit Note (Revenue) (Bank Loan)	Bay County jrogers@baycoclerk.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
8/22/2013	Ritesh Patel	Bond Counsel	$2,450,000.00 School District of Sumter County, Florida Tax Anticipation Note, Series 2013 (TAN) (Bank Loan)	School District of Sumter County shirler@sumter.k12.fl.us
8/22/2013	John Stokes	Bond Counsel	$8,115,000 FSU Financial Assistance, Inc. Educational, Including Athletic, Facilities Improvement Revenue Refunding Bonds, Series 2013A (Revenue) (Bank Loan)	FSU Financial Assistance, Inc. jpsinclair@fsu.edu
8/21/2013	Steve Miller	Bond Counsel	$25,155,000 City of Tampa, Florida Solid Waste System Refunding Revenue Bonds, Series 2013 (Revenue)	City of Tampa sonya.little@tampagov.net
8/15/2013	Mark Mustian	Bond Counsel	$25,191,482.26 Walton County, Florida Sales Surtax Revenue Refunding Note, Series 2013 (Revenue) (Bank Loan)	Walton County quiwanda@co.walton.fl.us
8/14/2013	Tom Giblin	Bond Counsel	$35,540,000 Lee County, Florida Non-Ad Valorem Refunding Revenue Bond, Series 2013 (Revenue) (Bank Loan)	Lee County tmallow@leeclerk.org
8/8/2013	Steve Miller	Bond Counsel	$8,000,000 School District of Flagler County, Florida Tax Anticipation Note, Series 2013 (TAN) (Bank Loan)	School District of Flagler County tantt@flaglerschools.com
8/6/2013	Chris Traber	Bond Counsel	$7,330,000 Lee County Industrial Development Authority Utility System Refunding Revenue Bond, Series 2013 (Bonita Springs Utilities, Inc. Project) (IDB) (Bank Loan)	Lee County Industrial Development Authority (Bonita Springs Utilities; dbautista@bsu.us)
8/6/2013	Chris Traber	Bond Counsel	$1,980,000 Town of Fort Myers Beach, Florida Utility Revenue Refunding Bond, Series 2013 (Revenue) (Bank Loan)	Town of Fort Myers Beach Maureen@fortmyersbeachfl.gov
8/2/2013	John Stokes	Disclosure Counsel	$340,265,000 Miami-Dade County, Florida Water and Sewer System Revenue Bonds, Series 2013A (Revenue)	Miami-Dade County marquez@miamidade.gov
8/2/2013	John Stokes	Disclosure Counsel	$152,400,000 Miami-Dade County, Florida Water and Sewer System Revenue Refunding Bonds, Series 2013B (Revenue)	Miami-Dade County marquez@miamidade.gov
8/1/2013	John Stokes	Bond Counsel	$12,600,000 Florida Development Finance Corporation Educational Facilities Revenue Bonds (Out-of-Door Academy of Sarasota, Inc. Projects), Series 2013A (IDB)	Florida Development Finance Corporation (The Out-of-Door Academy; acappar@oda.edu)
8/1/2013	John Stokes	Bond Counsel	$6,400,000 Florida Development Finance Corporation Educational Facilities Revenue Bonds (Out-of-Door Academy of Sarasota, Inc. Projects), Series 2013B (IDB)	Florida Development Finance Corporation (The Out-of-Door Academy; acappar@oda.edu)
7/31/2013	Tom Giblin	Bond Counsel	$53,755,000 Lee County, Florida Water and Sewer Revenue Bonds, Series 2013A (Revenue)	Lee County tmallow@leeclerk.org
7/31/2013	Tom Giblin	Bond Counsel	$39,440,000 Lee County, Florida Water and Sewer Refunding Revenue Bonds, Series 2013B (Revenue)	Lee County tmallow@leeclerk.org

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
7/30/2013	Danny Tyler	Bond Counsel	$3,070,000 Union Park Community Development District Capital Improvement Revenue Bonds, Series 2013A-1 (Special Assessment)	Union Park CDD Bruce.stdenis@dpfg.com
7/30/2013	Danny Tyler	Bond Counsel	$3,150,000 Union Park Community Development District Capital Improvement Revenue Bonds, Series 2013A-2 (NRO) (Special Assessment)	Union Park CDD Bruce.stdenis@dpfg.com
7/30/2013	Danny Tyler	Bond Counsel	$3,410,000 Union Park Community Development District Capital Improvement Revenue Bonds, Series 2013A-3 (NRO) (Special Assessment)	Union Park CDD Bruce.stdenis@dpfg.com
7/25/2013	Ritesh Patel	Disclosure Counsel	$250,000,000 School District of Miami-Dade County, Florida Tax Anticipation Notes, Series 2013 (TAN)	School Board of Miami-Dade County leofernandez@dadeschools.net
7/24/2013	John Stokes	Bond Counsel	$50,000,000 School District of Manatee County, Florida Tax Anticipation Note, Series 2013 (TAN) (Bank Loan)	School District of Manatee County roberts2r@manateeschools.net
7/24/2013	Ritesh Patel	Disclosure Counsel	$190,005,000 School District of Miami-Dade County, Florida General Obligation School Bonds, Series 2013 (General obligation)	School Board of Miami-Dade County leofernandez@dadeschools.net
7/18/2013	Steve Miller	Bond Counsel	$13,435,000 Brevard County, Florida Subordinated Sales Tax Refunding Revenue Bond, Series 2013 (Revenue) (Bank Loan)	Brevard County Steve.Burdett@brevardclerk.us
7/18/2013	Steve Miller	Bond Counsel	$26,335,000 Brevard County, Florida Limited Ad Valorem Tax Refunding Bond, Series 2013 (General obligation) (Bank Loan)	Brevard County Steve.Burdett@brevardclerk.us
7/10/2013	John Stokes	Disclosure Counsel	$54,915,000 Reedy Creek Improvement District (Florida) Utilities Revenue Refunding Bonds, Series 2013-1 (Revenue)	Reedy Creek Improvement District mark.w.swanson@disney.com
7/9/2013	Tom Giblin	Bond Counsel	$37,895,000 Orange County, Florida Public Service Tax Refunding Revenue Bonds, Series 2013 (Revenue)	Orange County fred.winterkamp@ocfl.net
7/9/2013	Steve Miller	Bond Counsel	$36,160,000 Hernando County, Florida Water and Sewer Refunding Revenue Bonds, Series 2013 (Revenue)	Hernando County agillis@co.hernando.fl.us
7/9/2013	Chris Traber	Disclosure Counsel	$89,600,000 City of Palm Coast, Florida Utility System Improvement and Refunding Revenue Bonds, Series 2013 (Revenue)	City of Palm Coast cquinn@palmcoastgov.com
7/1/2013	Ritesh Patel	Bond Counsel	$10,000,000 School District of Monroe County, Florida Revolving Line of Credit (Revenue) (Bank Loan)	School District of Monroe County james.drake@keysschools.com
6/28/2013	Mark Mustian	Bond Counsel	$4,470,000 Columbia County, Florida Gas Tax Revenue Bond, Series 2013 (Revenue) (Bank Loan)	Columbia County ben_scott@columbiacountyfla.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
6/28/2013	John Stokes	Bond Counsel	$8,500,000 City of Fort Walton Beach, Florida Capital Improvement Revenue Note, Series 2013A (Revenue) (Bank Loan)	City of Fort Walton Beach bferris@fwb.org
6/27/2013	Steve Miller	Bond Counsel	$17,769,080 Collier County, Water-Sewer District Water and Sewer Refunding Revenue Bond, Series 2013 (Revenue) (Bank Loan)	Collier County crystal.kinzel@collierclerk.com
6/27/2013	Steve Miller	Disclosure Counsel	$41,880,000 Certificates of Participation (School Board of Osceola County, Florida Master Lease Program), Series 2013A (COP)	School Board of Osceola County seist@osceola.k12.fl.us
6/27/2013	John Stokes	Bond Counsel	$100,000,000 Shands Jacksonville Medical Center, Inc. Taxable Notes, Series 2013 (Revenue)	Shands Jacksonville Medical Center, Inc. bill.ryan@jax.ufl.edu
6/21/2013	Mark Mustian	Bond Counsel	$2,527,000 City of Waldo, Florida Sewer Revenue Bond, Series 2013 (Revenue)	City of Waldo kim@waldo-fl.com
6/14/2013	Mark Mustian	Bond Counsel	$6,800,000 Florida Community Services Corp. of Walton County Water and Sewer System Revenue Refunding Note, Series 2013 (Revenue)	Florida Community Services Corp. of Walton County melissap@regionalutilities.net
6/14/2013	Mark Mustian	Disclosure Counsel	$6,800,000 Florida Community Services Corp. of Walton County Water and Sewer System Revenue Refunding Note, Series 2013 (Revenue)	Florida Community Services Corp. of Walton County melissap@regionalutilities.net
5/31/2013	Steve Miller	Bond Counsel	$7,557,900 Collier County Community Redevelopment Agency Taxable Note (Fifth Third Bank), Series 2013 (Revenue) (Bank Loan)	Collier County crystal.kinzel@collierclerk.com
5/31/2013	Mark Mustian	Bond Counsel	$875,000 Big Bend Water Authority Water and Sewer System Revenue Bond, Series 2013	Big Bend Water Authority hlhart43@gmail.com
5/30/2013	Danny Tyler	Bond Counsel	$2,735,000 Reserve Community Development District Utility Revenue Refunding Bonds, Series 2013 (Special Assessment) (Bank Loan)	Reserve CDD rhans@govmgtsvc.com
5/29/2013	Tom Giblin	Bond Counsel	$41,475,000 Lee County, Florida Tourist Development Tax Revenue Bonds, Series 2013 (Revenue)	Lee County tmallow@leeclerk.org
5/24/2013	Mark Mustian	Bond Counsel	$1,180,000 Cedar Key Water and Sewer District Water Revenue Bond, Series 2013 (Revenue)	Cedar Key Water and Sewer District jkmcpherson@att.net
5/22/2013	Tom Giblin	Bond Counsel	$150,000,000 Greater Orlando Aviation Authority Tax-Exempt Series 2013 Revolving Credit Note (Revenue)	Greater Orlando Aviation Authority jchurchill@goaa.org
5/22/2013	Tom Giblin	Bond Counsel	$150,000,000 Greater Orlando Aviation Authority Taxable Series 2013 Revolving Credit Note (Revenue)	Greater Orlando Aviation Authority jchurchill@goaa.org

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
5/21/2013	Tom Giblin	Bond Counsel	$5,065,000 Broward County, Florida Taxable Fuel System Revenue Bonds, Series 2013B (Ft. Lauderdale Fuel Facilities LLC Project) (Taxable) (IDB)	Broward County hrendleman@broward.org
5/21/2013	Tom Giblin	Bond Counsel	$32,755,000 Broward County, Florida Fuel System Revenue Bonds, Series 2013A (Ft. Lauderdale Fuel Facilities LLC Project) (AMT) (IDB)	Broward County hrendleman@broward.org
5/16/2013	John Stokes	Bond Counsel	$5,000,000 School District of Manatee County, Florida Revenue Anticipation Note, Series 2013 (RAN) (Bank Loan)	School District of Manatee County roberts2r@manateeschools.net
5/6/2013	John Stokes	Bond Counsel	$4,470,610 City of Fort Walton Beach, Florida Capital Improvement Refunding Revenue Note, Series 2013 (Revenue) (Bank Loan)	City of Fort Walton Beach bferris@fwb.org
5/1/2013	John Stokes	Disclosure Counsel	$68,230,000 Certificates of Participation (The School Board of Miami-Dade County, Florida Master Lease Program), Series 2013A (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
5/1/2013	John Stokes	Disclosure Counsel	$8,160,000 Certificates of Participation (The School Board of Miami-Dade County, Florida Master Lease Program), Series 2013B (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
4/25/2013	Mark Mustian	Disclosure Counsel	$19,800,000 Bay County, Florida Educational Facilities Revenue Bonds (Bay Haven Charter Academy, Inc. Project), Series 2013A (Revenue)	Bay County jrogers@baycoclerk.com
4/25/2013	Mark Mustian	Disclosure Counsel	$465,000 Bay County, Florida Taxable Educational Facilities Revenue Bonds (Bay Haven Charter Academy, Inc. Project), Series 2013B (Revenue)	Bay County jrogers@baycoclerk.com
4/25/2013	Steve Miller	Bond Counsel	Reissuance of Hillsborough County Industrial Development Authority Healthcare Facilities Refunding Revenue Bonds (LifeLink Foundation, Inc. Project), Series 2009 (IDB)	Hillsborough County Industrial Development Authority (LifeLink Foundation; bryan.mcdonald@lifelinkfound.org)
4/24/2013	Danny Tyler	Bond Counsel	$19,025,000 St. Lucie West Services District Water Management Benefit Special Assessment Refunding Bonds, Series 2013 (Special Assessment) (Bank Loan)	St. Lucie West Services District dpickle@slwsd.org
4/24/2013	Danny Tyler	Bond Counsel	$5,470,000 St. Lucie West Services District Utility Revenue Bonds, Series 2013 (Special Assessment) (Bank Loan)	St. Lucie West Services District dpickle@slwsd.org
4/23/2013	Tom Giblin	Bond Counsel	$2,000,000,000 Florida Hurricane Catastrophe Fund Finance Corporation Revenue Bonds, Series 2013A (Revenue)	Florida Hurricane Fund Finance Corporation anne.bert@sbafla.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
4/23/2013	Steve Miller	Bond Counsel	$1,255,000 Fort Myers Beach Fire Control District Revenue Note (BB&T), Series 2013 (Revenue) (Bank Loan)	Fort Myers Beach Fire Control District info@fmbfire.org
4/17/2013	Chris Traber	Bond Counsel	$1,866,400 Marion County, Florida Special Assessment Bond, Series 2013A (Special Assessment) (Bank Loan)	Marion County john@marioncountyclerk.org
4/16/2013	Steve Miller	Bond Counsel	$73,805,000 Collier County, Florida Special Obligation Refunding Revenue Bonds, Series 2013 (Revenue)	Collier County crystal.kinzel@collierclerk.com
4/12/2013	Mark Mustian	Bond Counsel	$3,254,000 Flagler County, Florida Water and Sewer System Revenue Bond, Series 2013 (Revenue)	Flagler County lbrown@flaglercounty.org
4/12/2013	Mark Mustian	Bond Counsel	$875,000 Big Bend Water Authority Water and Sewer System Revenue Bond Anticipation Note, Series 2013 (Revenue) (Bank Loan)	Big Bend Water Authority hlhart43@gmail.com
4/9/2013	Mark Mustian	Bond Counsel	$15,650,000 Nassau County, Florida Water and Sewer System Revenue Refunding Bond, Series 2013 (Revenue) (Bank Loan)	Nassau County tselby@nassaucountyfl.com
4/9/2013	Ritesh Patel	Bond Counsel	$1,100,000 City of Apopka, Florida Special Obligation Improvement Revenue Note, Series 2013A (Revenue) (Bank Loan)	City of Apopka pbarclay@apopka.net
4/9/2013	Ritesh Patel	Bond Counsel	$1,160,500 City of Apopka, Florida Special Obligation Refunding Revenue Note, Series 2013B (Revenue) (Bank Loan)	City of Apopka pbarclay@apopka.net
4/1/2013	John Stokes	Bond Counsel	$10,000,000 Seminole County, Florida Capital Improvement Revenue Bond, Series 2013A (Revenue) (Bank Loan)	Seminole County asingleton@seminolecountyfl.gov
4/1/2013	John Stokes	Bond Counsel	$8,140,000 Seminole County, Florida Capital Improvement Revenue Bond, Series 2013B (Revenue) (Bank Loan)	Seminole County asingleton@seminolecountyfl.gov
4/1/2013	Tom Giblin	Bond Counsel	$41,385,000 Charlotte County, Florida Utility System Refunding Revenue Bond, Series 2013 (Revenue) (Bank Loan)	Charlotte County ann.larrivee@co.charlotte.fl.us
3/28/2013	Tom Giblin	Bond Counsel	$19,675,000 Florida Governmental Utility Authority Utility Revenue Bonds (Pasco Aqua Utility System), Series 2013A (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
3/28/2013	Tom Giblin	Bond Counsel	$465,000 Florida Governmental Utility Authority Taxable Utility Revenue Bonds (Pasco Aqua Utility System), Series 2013B (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
3/28/2013	Tom Giblin	Bond Counsel	$17,495,000 Florida Governmental Utility Authority Utility Revenue Bonds (Lake Aqua Utility System), Series 2013A (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
3/28/2013	Tom Giblin	Bond Counsel	$595,000 Florida Governmental Utility Authority Taxable Utility Revenue Bonds (Lake Aqua Utility System), Series 2013B (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
3/28/2013	Tom Giblin	Bond Counsel	$26,065,000 Florida Governmental Utility Authority Utility Revenue Bonds (Unified Utility System), Series 2013 (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
3/28/2013	Tom Giblin	Bond Counsel	$1,260,000 Florida Governmental Utility Authority Taxable Utility Revenue Bonds (Unified Utility System), Series 2013 (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
3/27/2013	Danny Tyler	Bond Counsel	$4,000,000 South Fork East Community Development District Capital Improvement Revenue Refunding Convertible Capital Appreciation Bonds, Series 2013A (Special Assessment)	South Fork East CDD blamb@dms-us.com
3/27/2013	Danny Tyler	Bond Counsel	$1,720,000 South Fork East Community Development District Capital Improvement Revenue Refunding Convertible Capital Appreciation Bonds, Series 2013B (Special Assessment)	South Fork East CDD blamb@dms-us.com
3/20/2013	Steve Miller	Bond Counsel	$77,255,000 Refunding Certificates of Participation (School Board of St. Lucie County, Florida Master Lease Program), Series 2013A (COP)	School District of St. Lucie County timothy.bargeron@stlucieschools.org
3/20/2013	Danny Tyler	Bond Counsel	$2,940,000 Diamond Hill Community Development District Capital Improvement Revenue Refunding Bonds, Series 2013 (Special Assessment) (Bank Loan)	Diamond Hill CDD rcox@rizzetta.com
3/20/2013	John Stokes	Bond Counsel	$125,000,000 Shands HealthCare System Taxable Revenue Notes, Series 2013A (Shands Teaching Hospital and Clinics, Inc. at the University of Florida) (Revenue)	Shands Teaching Hospital and Clinics, Inc. bill.ryan@jax.ufl.edu
3/14/2013	Ritesh Patel	Bond Counsel	$5,717,000 School District of Monroe County, Florida Subordinated Sales Tax Revenue Refunding Bond, Series 2013 (Revenue) (Bank Loan)	School District of Monroe County james.drake@keysschools.com
3/12/2013	Steve Miller	Disclosure Counsel	$7,425,000 City of Tarpon Springs, Florida Utility System Revenue Bonds, Series 2013A-1 (Revenue)	City of Tarpon Springs mlecouris@ctsfl.us
3/12/2013	Steve Miller	Disclosure Counsel	$28,370,000 City of Tarpon Springs, Florida Utility System Revenue Bonds, Series 2013A-2 (Revenue)	City of Tarpon Springs mlecouris@ctsfl.us
2/21/2013	Steve Miller	Bond Counsel	$19,785,000 St. Johns County, Florida Water and Sewer Revenue and Refunding Bonds, Series 2013A (Revenue)	St. Johns County fincoc@sjccoc.us

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
2/21/2013	Steve Miller	Bond Counsel	$35,655,273.85 St. Johns County, Florida Water and Sewer Revenue Refunding Bonds, Series 2013B (Revenue)	St. Johns County fincoc@sjccoc.us
2/15/2013	John Stokes	Bond Counsel	Branch Banking and Trust Company Series 2013B $36,000,000 Term Loan to Health First, Inc. (Revenue) (Bank Loan)	Health First Inc. craig.springer@health-first.org
2/15/2013	John Stokes	Bond Counsel	Branch Banking and Trust Company Series 2013C $10,000,000 Term Loan to Health First, Inc. (Revenue) (Bank Loan)	Health First Inc. craig.springer@health-first.org
2/14/2013	Steve Miller	Disclosure Counsel	$14,525,000 City of Melbourne, Florida Water and Sewer Refunding Revenue Bonds, Series 2013 (Revenue)	City of Melbourne citymanager@melbourneflorida.org
2/7/2013	Tom Giblin	Bond Counsel	$75,295,000 Tampa Bay Water, A Regional Water Supply Authority, Utility System Revenue Bonds, Series 2013 (Revenue)	Tampa Bay Water csackett@tampabaywater.org
2/5/2013	John Stokes	Bond Counsel	$65,910,000 Brevard County Health Facilities Authority Health Facilities Revenue Refunding Bonds, Series 2013A (Health First, Inc. Project) (IDB)	Brevard County Health Facilities Authority (Health First Inc.; craig.springer@health-first.org)
1/31/2013	Mark Mustian	Disclosure Counsel	$72,430,000 Palm Beach County, Florida Water and Sewer Revenue Refunding Bonds, Series 2013 (Revenue)	Palm Beach County pking@pbcgov.org
1/31/2013	John Stokes	Bond Counsel	$33,480,000 Certificates of Participation (School Board of St. Johns County, Florida Master Lease Program), Series 2013 (COP)	School Board of St. Johns County michael.degutis@stjohns.k12.fl.us
12/21/2012	Tom Giblin	Bond Counsel	$31,080,000 Florida Governmental Utility Authority Utility Refunding Revenue Bonds (Lehigh Utility System), Series 2012 (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
12/21/2012	Tom Giblin	Bond Counsel	$20,275,000 Florida Governmental Utility Authority Utility Refunding Revenue Bonds (Golden Gate Utility System), Series 2012 (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
12/20/2012	Steve Miller	Bond Counsel	$24,805,000 City of Tampa, Florida Utilities Tax Refunding Revenue Bonds, Series 2012A (Revenue)	City of Tampa sonya.little@tampagov.net
12/20/2012	Steve Miller	Bond Counsel	$13,215,000 City of Tampa, Florida Utilities Tax Revenue Bonds, Series 2012B (Revenue)	City of Tampa sonya.little@tampagov.net
12/20/2012	Steve Miller	Bond Counsel	$7,870,000 City of Tampa, Florida Taxable Utilities Tax Refunding Revenue Bonds, Series 2012C (Revenue)	City of Tampa sonya.little@tampagov.net
12/20/2012	Tom Giblin	Bond Counsel	$18,065,000 City of Apopka, Florida Utility System Refunding Revenue Bonds, Series 2012 (Revenue)	City of Apopka pbarclay@apopka.net

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
12/20/2012	John Stokes	Bond Counsel	$9,510,000 Certificates of Participation (School Board of St. Johns County, Florida Master Lease Program), Series 2012 (COP) (Bank Loan)	School Board of St. Johns County michael.degutis@stjohns.k12.fl.us
12/20/2012	Tom Giblin	Bond Counsel	$56,060,000 City of North Miami Beach, Florida Water Revenue Refunding Bonds, Series 2012 (Revenue)	City of North Miami Beach barbara.trinka@citynmb.com
12/20/2012	Tom Giblin	Disclosure Counsel	$192,435,000 Tampa-Hillsborough County Expressway Authority Refunding Revenue Bonds, Series 2012A (Revenue)	Tampa-Hillsborough County Expressway Authority lynne@tampa-xway.com
12/20/2012	Tom Giblin	Disclosure Counsel	$141,730,000 Tampa-Hillsborough County Expressway Authority Refunding Revenue Bonds, Series 2012B (Revenue)	Tampa-Hillsborough County Expressway Authority lynne@tampa-xway.com
12/20/2012	Tom Giblin	Disclosure Counsel	$40,420,000 Tampa-Hillsborough County Expressway Authority Taxable Revenue Bonds, Series 2012C (Revenue)	Tampa-Hillsborough County Expressway Authority lynne@tampa-xway.com
12/20/2012	Tom Giblin	Disclosure Counsel	$70,105,000 Tampa-Hillsborough County Expressway Authority Taxable Refunding Revenue Bonds, Series 2012D (Revenue)	Tampa-Hillsborough County Expressway Authority lynne@tampa-xway.com
12/19/2012	Ritesh Patel	Bond Counsel	$29,000,000 Certificates of Participation (School Board of Duval County, Florida Master Lease Program), Series 2012 (QZAB - Federally Taxable - Issuer Subsidy) (COP) (Bank Loan)	School District of Duval County edwardsl5@duvalschools.org
12/12/2012	Danny Tyler	Bond Counsel	$6,735,000 University Square Community Development District Taxable Capital Improvement Revenue Refunding Bonds, Series 2012 (Special Assessment)	University Square CDD ward9490@comcast.net
12/10/2012	John Stokes	Bond Counsel	$37,500,000 Alachua County Health Facilities Authority Health Facilities Revenue Bonds (Shands Healthcare Project), Series 2012B (IDB)	Alachua County Health Facilities Authority (Shands Healthcare; bill.ryan@jax.ufl.edu)
12/10/2012	John Stokes	Bond Counsel	$34,320,000 Alachua County Health Facilities Authority Health Facilities Revenue Bonds (Shands Healthcare Project), Series 2012A (IDB)	Alachua County Health Facilities Authority (Shands Healthcare; bill.ryan@jax.ufl.edu)
12/7/2012	Mark Mustian	Bond Counsel	$13,700,000 Bay County, Florida Capital Improvement Revenue Note, Series 2012 (Revenue)	Bay County jrogers@baycoclerk.com
12/7/2012	Mark Mustian	Bond Counsel	$4,615,000 Jefferson County, Florida Gas Tax Revenue Bonds, Series 2012 (Revenue)	Jefferson County kreams@jeffersonclerk.com
12/7/2012	Mark Mustian	Disclosure Counsel	$4,615,000 Jefferson County, Florida Gas Tax Revenue Bonds, Series 2012 (Revenue)	Jefferson County kreams@jeffersonclerk.com
11/30/2012	Ritesh Patel	Bond Counsel	$3,250,000 Lakewood Ranch Community Development District 2 Special Revenue Improvement and Refunding Notes, Series 2012 (Special Assessment) (Bank Loan)	Lakewood Ranch CDD #2 steve.zielinski@lwrtownhall.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
11/28/2012	John Stokes	Bond Counsel	$55,800,000 Florida Development Finance Corporation Educational Facilities Revenue Bonds (Renaissance Charter School, Inc. Project), Series 2012A (IDB)	Florida Development Finance Corporation (Renaissance Charter Schools; rgarcia@charterschoolsusa.com)
11/28/2012	John Stokes	Bond Counsel	$55,800,000 Florida Development Finance Corporation Taxable Educational Facilities Revenue Bonds (Renaissance Charter School, Inc. Project), Series 2012B (IDB)	Florida Development Finance Corporation (Renaissance Charter Schools; rgarcia@charterschoolsusa.com)
11/16/2012	Mark Mustian	Bond Counsel	$22,540,000 Madison County Hospital District Hospital Revenue Bond Anticipation Notes, Series 2012 (Revenue)	Madison County Hospital District Lawhatter@aol.com
11/14/2012	Mark Mustian	Bond Counsel	$5,655,000 Levy County, Florida Public Improvement Revenue Refunding Bonds, Series 2012 (Revenue)	Levy County moodyf@circuit8.org
11/14/2012	Mark Mustian	Disclosure Counsel	$5,655,00 Levy County, Florida Public Improvement Revenue Refunding Bonds, Series 2012 (Revenue)	Levy County moodyf@circuit8.org
11/14/2012	Steve Miller	Bond Counsel	$35,284,000 St. Johns County, Florida Sales Tax Revenue Refunding Bonds, Series 2012A (Revenue)	St. Johns County fincoc@sjccoc.us
11/14/2012	Steve Miller	Bond Counsel	$5,745,000 St. Johns County, Florida Sales Tax Revenue Refunding Bonds, Series 2012B (Revenue)	St. Johns County fincoc@sjccoc.us
11/13/2012	Steve Miller	Bond Counsel	$24,430,000 Hernando County, Florida Non-Ad Valorem Refunding Revenue Note, Series 2012 (Revenue) (Bank Loan)	Hernando County agillis@co.hernando.fl.us
11/13/2012	Steve Miller	Bond Counsel	$7,500,000 Hernando County, Florida Line of Credit Agreement with SunTrust Equipment Finance & Leasing Corp. (Revenue) (Bank Loan)	Hernando County agillis@co.hernando.fl.us
11/13/2012	Junious Brown	Bond Counsel	$9,000,000 Housing Finance Authority of Lee County, Florida Collateralized Revenue Bonds (Rolling Green Apartments), Series 2012 (Housing)	Lee County Housing Finance Authority philburnett@embarqmail.com
11/6/2012	Steve Miller	Bond Counsel	$10,000,000 School District of St. Lucie County, Florida Tax Anticipation Note, Series 2012 (TAN) (Bank Loan)	School District of St. Lucie County timothy.bargeron@stlucieschools.org
11/2/2012	John Stokes	Bond Counsel	$12,000,000 School District of Indian River County, Florida Tax Anticipation Notes, Series 2012 (TAN)	School District of Indian River County carter.morrison@indianriverschools.org
11/2/2012	John Stokes	Disclosure Counsel	$12,000,000 School District of Indian River County, Florida Tax Anticipation Notes, Series 2012 (TAN)	School District of Indian River County carter.morrison@indianriverschools.org

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
11/1/2012	Steve Miller	Disclosure Counsel	$12,200,000 City of Melbourne, Florida Water and Sewer Refunding and Improvement Revenue Bonds, Series 2012 (Revenue)	City of Melbourne citymanager@melbourneflorida.org
10/18/2012	Mark Mustian	Bond Counsel	$5,000,000 Okaloosa County, Florida Beach Restoration Revenue Note, Series 2012 (Revenue) (Bank Loan)	Okaloosa County gstanford@clerkofcourts.cc
10/18/2012	John Stokes	Bond Counsel	$22,000,000 Seminole County, Florida Capital Improvement Revenue Bond, Series 2012 (Revenue) (Bank Loan)	Seminole County asingleton@seminolecountyfl.gov
10/17/2012	John Stokes	Bond Counsel	$13,065,000 FSU Financial Assistance, Inc. Educational, Including Athletic, Facilities Improvement Revenue Bonds, Series 2012C (Revenue)	FSU Financial Assistance, Inc. jpsinclair@fsu.edu
10/5/2012	Steve Miller	Bond Counsel	$19,000,000 School District of Charlotte County, Florida Tax Anticipation Notes, Series 2012 (TAN)	School District of Charlotte County gregory.griner@yourcharlotteschools.net
10/5/2012	Steve Miller	Disclosure Counsel	$19,000,000 School District of Charlotte County, Florida Tax Anticipation Notes, Series 2012 (TAN)	School District of Charlotte County gregory.griner@yourcharlotteschools.net
10/3/2012	Tom Giblin	Bond Counsel	$96,195,000 Orange County, Florida Sales Tax Revenue Refunding Bonds, Series 2012C (Revenue)	Orange County fred.winterkamp@ocfl.net
10/1/2012	Mark Mustian	Bond Counsel	$6,213,421.40 Nassau County, Florida Gas Tax Revenue Bond, Series 2009-1 (Revenue) (Bank Loan)	Nassau County tselby@nassaucountyfl.com
9/28/2012	Chris Traber	Bond Counsel	$66,550,000 Lee County Industrial Development Authority Industrial Development Revenue Bonds (Cypress Cove at HealthPark Florida, Inc. Project), Series 2012 (IDB)	Lee County Industrial Development Authority (Cypress Cove at HealthPark Florida; janderson@cchpf.net)
9/20/2012	Steve Miller	Bond Counsel	$6,000,000 School District of Flagler County, Florida Tax Anticipation Note, Series 2012 (TAN) (Bank Loan)	School District of Flagler County tantt@flaglerschools.com
9/19/2012	Danny Tyler	Bond Counsel	$7,610,000 Pelican Marsh Community Development District Special Assessment Revenue Refunding Bonds, Series 2012 (Special Assessment)	Pelican Marsh CDD neil@dmgfl.com
9/18/2012	Danny Tyler	Bond Counsel	$12,345,000 Miromar Lakes Community Development District Capital Improvement Revenue Refunding Bonds, Series 2012 (Special Assessment)	Miromar Lakes CDD ward9490@comcast.net
9/13/2012	Steve Miller	Disclosure Counsel	$28,885,000 Certificates of Participation (School Board of Seminole County, Florida Master Lease Program), Series 2012B (COP)	School Board of Seminole County bill_kelly@scps.k12.fl.us

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
9/13/2012	John Stokes	Bond Counsel	$2,250,000 School District of Sumter County, Florida Tax Anticipation Note, Series 2012 (TAN)	School Board of Sumter County shirler@sumter.k12.fl.us
9/12/2012	Steve Miller	Disclosure Counsel	$17,025,000 City of Fort Myers, Florida Utility System Refunding Revenue Bonds, Series 2012 (Revenue)	City of Fort Myers mjoyner@cityftmyers.com
9/6/2012	John Stokes	Bond Counsel	$13,000,000 School District of Monroe County, Florida Tax Anticipation Note, Series 2012 (TAN) (Bank Loan)	School District of Monroe County james.drake@keysschools.com
8/31/2012	Tom Giblin	Bond Counsel	$41,290,000 Charlotte County, Florida Limited General Obligation Refunding Bond, Series 2012 (General obligation) (Bank Loan)	Charlotte County ann.larrivee@co.charlotte.fl.us
8/31/2012	John Stokes	Bond Counsel	$32,000,000 Alachua County Health Facilities Authority Health Facilities Revenue Bond (Florida Clinical Practice Association, Inc. Project), Series 2012 (IDB) (Bank Loan)	Alachua County Health Facilities Authority (Florida Clinical Practice Association; wtharp@ufl.edu)
8/30/2012	Mark Mustian	Bond Counsel	$75,195,000 Walton County, Florida Sales Surtax Revenue Notes, Series 2012A and 2012B (Revenue) (Bank Loan)	Walton County quiwanda@co.walton.fl.us
8/29/2012	Tom Giblin	Bond Counsel	$19,990,000 Lee County, Florida Water and Sewer Refunding Revenue Bonds, Series 2012A (Revenue)	Lee County tmallow@leeclerk.org
8/29/2012	Tom Giblin	Bond Counsel	$7,490,000 Lee County, Florida Water and Sewer Refunding Revenue Bonds, Series 2012B (Revenue)	Lee County tmallow@leeclerk.org
8/29/2012	Danny Tyler	Bond Counsel	$2,060,000 Tara Community Development District 1 Capital Improvement Revenue Refunding Bonds, Series 2012A-1 (Senior Lien) and $575,000 Series 2012A-2 (Subordinate Lien) (Special Assessment)	Tara CDD 1 Msyvret@rizzetta.com
8/29/2012	Danny Tyler	Disclosure Counsel	$2,635,000 Tara Community Development District 1 Capital Improvement Revenue Refunding Bonds, Series 2012A-1 (Senior Lien) and $575,000 Series 2012A-2 (Subordinate Lien) (Special Assessment)	Tara CDD 1 Msyvret@rizzetta.com
8/27/2012	John Stokes	Bond Counsel	$20,685,000 Lee County Industrial Development Authority Industrial Development Revenue Bonds (Lee County Community Charter Schools, LLC Project), Series 2012A (IDB)	Lee County Industrial Development Authority (Lee County Community Charter Schools, LLC / Red Apple Development; rgarcia@charterschoolsusa.com)
8/27/2012	John Stokes	Bond Counsel	$2,120,000 Lee County Industrial Development Authority Taxable Industrial Development Revenue Bonds (Lee County Community Charter Schools, LLC Project), Series 2012B (IDB)	Lee County Industrial Development Authority (Lee County Community Charter Schools, LLC / Red Apple Development; rgarcia@charterschoolsusa.com)

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
8/22/2012	Chris Traber	Bond Counsel	$1,913,421 Marion County, Florida Special Assessment Bond, Series 2012A (Special Assessment) (Bank Loan)	Marion County john@marioncountyclerk.org
8/16/2012	Mark Mustian	Bond Counsel	$9,615,000 Alachua County, Florida Limited General Obligation Bonds (Alachua County Forever Project), Series 2010-1 (General obligation) (Bank Loan)	Alachua County nth@alachuaclerk.org
8/15/2012	Danny Tyler	Bond Counsel	$8,655,000 Vasari Community Development District Capital Improvement Revenue Refunding Bonds, Series 2012 (Special Assessment)	Vasari CDD cal.teague@stservices.com
8/8/2012	Chris Traber	Bond Counsel	$18,500,000 Lee County Industrial Development Authority Utility System Refunding Revenue Bond, Series 2012B (Bonita Springs Utilities, Inc. Project) (IDB) (Bank Loan)	Lee County Industrial Development Authority (Bonita Springs Utilities; dbautista@bsu.us)
8/7/2012	John Stokes	Bond Counsel	$50,000,000 School District of Manatee County, Florida Tax Anticipation Note, Series 2012 (TAN)	School District of Manatee County roberts2r@manateeschools.net
8/3/2012	Tom Giblin	Bond Counsel	$2,770,000 City of Hallandale Beach, Florida Promissory Note, Series 2012 (Revenue) (Bank Loan)	City of Hallandale Beach rgonzalez@cohb.org
8/1/2012	John Stokes	Disclosure Counsel	$537,210,000 Miami-Dade County, Florida Transit System Sales Surtax Revenue Bonds, Series 2012 (Revenue)	Miami-Dade County marquez@miamidade.gov
7/31/2012	John Stokes	Bond Counsel	$74,790,000 Osceola County, Florida Tourist Development Tax Revenue Refunding and Improvement Bonds, Series 2012 (Revenue)	Osceola County andrew.mai@osceola.org
7/24/2012	John Stokes	Disclosure Counsel	$290,000,000 School District of Miami-Dade County, Florida Tax Anticipation Notes, Series 2012 (TAN)	School Board of Miami-Dade County leofernandez@dadeschools.net
7/17/2012	Tom Giblin	Disclosure Counsel	$59,510,000 Pinellas County, Florida Sewer Revenue Refunding Bonds, Series 2012 (Revenue)	Pinellas County fdean@co.pinellas.fl.us
7/11/2012	Chris Traber	Bond Counsel	$39,940,000 Marion County, Florida Utilities System Revenue Refunding Bonds, Series 2012 (Revenue)	Marion County john@marioncountyclerk.org
7/5/2012	John Stokes	Disclosure Counsel	$149,365,000 Certificates of Participation (School Board of Miami-Dade County, Florida Master Lease Program), Series 2012A (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
7/5/2012	John Stokes	Disclosure Counsel	$13,765,000 Certificates of Participation (School Board of Miami-Dade County, Florida Master Lease Program), Series 2012B-1 (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
7/5/2012	John Stokes	Disclosure Counsel	$15,655,000 Certificates of Participation (School Board of Miami-Dade County, Florida Master Lease Program), Series 2012B-2 (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
7/3/2012	Chris Traber	Bond Counsel	$37,065,000 Greater Orlando Aviation Authority Airport Facilities Refunding Revenue Bonds, Series 2012A (AMT) of the City of Orlando, Florida (Revenue)	Greater Orlando Aviation Authority jchurchill@goaa.org
6/29/2012	Tom Giblin	Bond Counsel	$49,095,000 Charlotte County, Florida Taxable Promissory Note, Series 2012 (Murdock Village) (Revenue) (Bank Loan)	Charlotte County ann.larrivee@co.charlotte.fl.us
6/29/2012	Tom Giblin	Bond Counsel	$20,640,000 Florida Governmental Utility Authority Utility Revenue Bonds (Consolidated Utility System), Series 2012A (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
6/29/2012	Tom Giblin	Bond Counsel	$705,000 Florida Governmental Utility Authority Taxable Utility Revenue Bonds (Consolidated Utility System), Series 2012B (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
6/29/2012	Tom Giblin	Bond Counsel	$5,000,000 Florida Governmental Utility Authority Revenue Subordinate Bond (Consolidated Utility System), Series 2012 (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
6/27/2012	Danny Tyler	Bond Counsel	$3,315,000 Waterlefe Community Development District (Manatee County, Florida) Capital Improvement Revenue Refunding Bonds, Series 2012 (Special Assessment)	Waterlefe CDD Msyvret@rizzetta.com
6/21/2012	Steve Miller	Disclosure Counsel	$67,445,000 Hillsborough County, Florida Capital Improvement Program Refunding Revenue Bonds, Series 2012 (Revenue)	Hillsborough County wiseb@hillsboroughcounty.org
6/15/2012	Tom Giblin	Bond Counsel	$9,885,000 City of Aventura, Florida Promissory Note, Series 2012 (SunTrust Bank) (Revenue) (Bank Loan)	City of Aventura braducci@cityofaventura.com
6/7/2012	Danny Tyler	Bond Counsel	$29,100,000 Ave Maria Stewardship Community District (Collier County, Florida) Capital Improvement Revenue Refunding Bonds, Series 2012 (Special Assessment)	Ave Maria Stewardship CDD ppimentel@sdsinc.org
6/5/2012	Steve Miller	Bond Counsel	$38,680,000 Collier County, Florida Gas Tax Refunding Revenue Bonds, Series 2012 (Revenue)	Collier County crystal.kinzel@collierclerk.com
6/1/2012	Steve Miller	Bond Counsel	$550,000 Collier County, Florida Limited General Obligation Note (Radio Road MSTU Project), Series 2012 (General obligation) (Bank Loan)	Collier County crystal.kinzel@collierclerk.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
5/31/2012	Mark Mustian	Bond Counsel	$15,000,000 Bay County, Florida Industrial Development Revenue Bonds (Goodwill Industries - Big Bend Inc.), Series 2012 Project), Series 2012 (IDB) (Bank Loan)	Bay County jrogers@baycoclerk.com
5/30/2012	Tom Giblin	Disclosure Counsel	$217,080,000 Broward County, Florida Airport System Revenue Refunding Bonds, Series 2012P-1 (AMT) (Revenue)	Broward County hrendleman@broward.org
5/30/2012	Tom Giblin	Disclosure Counsel	$92,775,000 Broward County, Florida Airport System Revenue Refunding Bonds, Series 2012P-2 (AMT) (Revenue)	Broward County hrendleman@broward.org
5/30/2012	John Stokes	Bond Counsel	Reissuance of $65,041,666.61 Brevard County Health Facilities Authority Health Facilities Revenue Bonds, Series 2009A (Health First, Inc. Project) (IDB) (Bank Loan)	Health First Inc. craig.springer@health-first.org
5/29/2012	Danny Tyler	Bond Counsel	$19,450,000 Venetian Community Development District (City of Venice, Florida) Capital Improvement Revenue and Refunding Bonds, Series 2012 (Special Assessment)	Venetian CDD ppimentel@sdsinc.org
5/25/2012	Steve Miller	Bond Counsel	$12,500,000 School District of St. Lucie County, Florida Revenue Anticipation Note, Series 2012A (RAN) (Bank Loan)	School District of St. Lucie County timothy.bargeron@stlucieschools.org
5/23/2012	Steve Miller	Disclosure Counsel	$38,130,000 Hillsborough County, Florida Community Investment Tax Refunding Revenue Bonds, Series 2012A (Revenue)	Hillsborough County wiseb@hillsboroughcounty.org
5/23/2012	Steve Miller	Disclosure Counsel	$51,625,000 Hillsborough County, Florida Community Investment Tax Refunding Revenue Bonds, Series 2012B (Revenue)	Hillsborough County wiseb@hillsboroughcounty.org
5/17/2012	John Stokes	Bond Counsel	$3,500,000 School District of Manatee County, Florida Revenue Anticipation Note, Series 2012 (RAN) (Bank Loan)	School District of Manatee County roberts2r@manateeschools.net
5/17/2012	John Stokes	Bond Counsel	$5,000,000 School District of Manatee County, Florida Revenue Anticipation Note, Series 2012A (RAN) (Bank Loan)	School District of Manatee County roberts2r@manateeschools.net
5/17/2012	Steve Miller	Bond Counsel	$25,050,000 St. Johns County, Florida Transportation Improvement Revenue Refunding Bonds, Series 2012 (Revenue)	St. Johns County fincoc@sjccoc.us
5/17/2012	Danny Tyler	Bond Counsel	$13,455,000 Mediterra South Community Development District (Collier County, Florida) Capital Improvement Revenue Refunding Bonds, Series 2012 (Special Assessment)	Mediterra South CDD adamsc@whhassociates.com
5/11/2012	Steve Miller	Bond Counsel	$2,296,000 City of Sebastian, Florida Gas Tax Revenue Note (TD Bank, N.A.), Series 2012 (Revenue) (Bank Loan)	City of Sebastian jgriffin@cityofsebastian.org

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
5/10/2012	John Stokes	Bond Counsel	$15,094,000 Lee County Industrial Development Authority Healthcare Facilities Revenue Bonds, Series 2012 (Bonita Community Health Center, Inc. Project) (IDB) (Bank Loan)	Lee County Industrial Development Authority (Bonita Community Health Center; ghiggins@bonitahealthcenter.com)
5/9/2012	John Stokes	Bond Counsel	$20,555,000 Brevard County Health Facilities Authority Health Facilities Revenue Bonds, Series 2012A (Health First, Inc. Project) (IDB) (Bank Loan)	Health First Inc. craig.springer@health-first.org
5/3/2012	John Stokes	Disclosure Counsel	$149,015,000 Certificates of Participation (School Board of Lee County, Florida Master Lease Program), Series 2012B (COP)	School Board of Lee County markas@leeschools.net
4/27/2012	John Stokes	Bond Counsel	$12,350,000 Southeast Volusia Hospital District Revenue Refunding Bonds (Bert Fish Medical Center, Inc.), Series 2012 (IDB) (Bank Loan)	Southeast Volusia Hospital District (Bert Fish Medical Center, Inc.; al.allred@bertfish.com)
4/26/2012	Tom Giblin	Bond Counsel	$16,805,000 Orange County, Florida Taxable Sales Tax Revenue Refunding Bonds, Series 2012A (Revenue)	Orange County fred.winterkamp@ocfl.net
4/26/2012	Tom Giblin	Bond Counsel	$97,295,000 Orange County, Florida Sales Tax Revenue Refunding Bonds, Series 2012B (Revenue)	Orange County fred.winterkamp@ocfl.net
4/12/2012	Mark Mustian	Bond Counsel	$4,868,000 Florida Community Services Corp. of Walton County Water and Sewer Revenue Refunding Note, Series 2012 (Revenue) (Bank Loan)	Florida Community Services Corp. of Walton County melissap@regionalutilities.net
4/3/2012	John Stokes	Bond Counsel	$124,565,000 Refunding Certificates of Participation (School Board of Hillsborough County, Florida), Series 2012A (COP)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
4/3/2012	John Stokes	Bond Counsel	$49,490,000 School Board of Manatee County, Florida Sales Tax Revenue Refunding Bonds, Series 2012 (Revenue) (Bank Loan)	School Board of Manatee County roberts2r@manateeschools.net
3/30/2012	Steve Miller	Bond Counsel	$3,658,461.86 City of Cape Coral, Florida Master Tax-Exempt Lease-Purchase Agreement with U.S. Bancorp Government Leasing and Finance, Inc. (Lease-Purchase) (Bank Loan)	City of Cape Coral vbateman@capecoral.net
3/30/2012	Danny Tyler	Bond Counsel	$2,195,000 The Preserve at Wilderness Lake Community Development District Capital Improvement Revenue Refunding Bonds, Series 2012 (Bank Qualified) (Special Assessment)	The Preserve at Wilderness Lake CDD paltman@dms-us.com
3/15/2012	Chris Traber	Bond Counsel	$6,665,000 Lee County Industrial Development Authority Utility System Refunding Revenue Bond, Series 2012A (Bonita Springs Utilities, Inc. Project) (IDB) (Bank Loan)	Lee County Industrial Development Authority (Bonita Springs Utilities; dbautista@bsu.us)

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
3/15/2012	Steve Miller	Disclosure Counsel	$27,095,000 Certificates of Participation (School Board of Seminole County, Florida Master Lease Program), Series 2012A (COP)	School Board of Seminole County bill_kelly@scps.k12.fl.us
3/15/2012	John Stokes	Bond Counsel	PNC Bank, National Association $10,000,000 Term Loan to Shands Teaching Hospital and Clinics, Inc. (IDB) (Bank Loan)	Shands Teaching Hospital and Clinics, Inc. bill.ryan@jax.ufl.edu
3/14/2012	Mark Mustian	Disclosure Counsel	$20,910,000 City of Panama City Beach, Florida Utility Revenue Refunding Bonds, Series 2012 (Revenue)	City of Panama City hwhite@pcbgov.com
3/14/2012	John Stokes	Bond Counsel	$35,580,000 FSU Financial Assistance, Inc. Educational, Including Athletic, Facilities Improvement Revenue Refunding Bonds, Series 2012A (Revenue)	FSU Financial Assistance, Inc. jpsinclair@fsu.edu
3/14/2012	John Stokes	Bond Counsel	$5,925,000 FSU Financial Assistance, Inc. Taxable Educational, Including Athletic, Facilities Improvement Revenue Refunding Bonds, Series 2012B (Revenue)	FSU Financial Assistance, Inc. jpsinclair@fsu.edu
3/6/2012	Tom Giblin	Bond Counsel	$8,747,000 Canaveral Port Authority Port Revenue Refunding Bond, Series 2012 (Revenue) (Bank Loan)	Canaveral Port Authority rrees@portcanaveral.com
3/1/2012	Steve Miller	Bond Counsel	$30,090,000 City of Tampa, Florida Occupational License Tax Refunding Bonds, Taxable Series 2012K-1 (Revenue)	City of Tampa sonya.little@tampagov.net
2/28/2012	Steve Miller	Bond Counsel	$6,000,000 Brevard County, Florida Non-Ad Valorem Revenue Note, Series 2012 (Revenue) (Bank Loan)	Brevard County Steve.Burdett@brevardclerk.us
2/24/2012	Mark Mustian	Bond Counsel	$15,605,000 Okaloosa County, Florida Water and Sewer Revenue Note, Series 2012 (Revenue) (Bank Loan)	Okaloosa County gstanford@clerkofcourts.cc
2/24/2012	John Stokes	Bond Counsel	$7,500,000 City of Bradenton, Florida Capital Improvement Revenue Bonds, Series 2012 (Revenue) (Bank Loan)	City of Bradenton carl.callahan@cityofbradenton.com
2/2/2012	Danny Tyler	Disclosure Counsel	$19,365,000 City of Clearwater, Florida Stormwater System Revenue Refunding Bonds, Series 2012 (Revenue)	City of Clearwater clement.vericker@myclearwater.com
2/1/2012	John Stokes	Bond Counsel	$12,565,000 Osceola County, Florida Taxable Tourist Development Tax (Fifth Cent) Revenue Bonds, Series 2012 (Rida Conference Center Phase One Project) (Revenue)	Osceola County andrew.mai@osceola.org
1/31/2012	Steve Miller	Bond Counsel	$17,669,950 City of Cape Coral, Florida Special Obligation Refunding Revenue Note, Series 2012 (Revenue) (Bank Loan)	City of Cape Coral vbateman@capecoral.net

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
1/12/2012	Steve Miller	Bond Counsel	$7,085,000 City of Miramar, Florida Transportation Revenue Note, Series 2012 (Revenue) (Bank Loan)	City of Miramar kwoodsrichardson@ci.miramar.fl.us
1/9/2012	Steve Miller	Bond Counsel	$92,295,000 Collier County, Florida Special Obligation Refunding Revenue Bonds, Series 2011 (Revenue)	Collier County crystal.kinzel@collierclerk.com
1/5/2012	Steve Miller	Bond Counsel	$12,725,000 Refunding Certificates of Participation (School Board of St. Lucie County, Florida Master Lease Program), Series 2011B (COP)	School Board of St. Lucie County timothy.bargeron@stlucieschools.org
12/29/2011	Steve Miller	Disclosure Counsel	$68,605,000 City of Fort Myers, Florida Utility System Refunding and Revenue Bonds, Series 2011 (Revenue)	City of Fort Myers mjoyner@cityftmyers.com
12/22/2011	Steve Miller	Bond Counsel	$20,045,000 City of Tampa, Florida Taxable Non-Ad Valorem Revenue Bonds, Series 2011 (Revenue)	City of Tampa sonya.little@tampagov.net
12/20/2011	Mark Mustian	Bond Counsel	$20,770,000 Housing Finance Authority of Lee County, Florida Single Family Housing Revenue Bonds, 2009 Series B (GSE Program - Multi-County) (Housing)	Lee County Housing Finance Authority philburnett@embarqmail.com
12/16/2011	John Stokes	Bond Counsel	$8,937,938 Osceola County, Florida Master Equipment Lease/Purchase (Revenue) (Bank Loan)	Osceola County andrew.mai@osceola.org
12/16/2011	John Stokes	Bond Counsel	$4,910,000 School District of Duval County, Florida Revenue Anticipation Note, Series 2011 (RAN) (Bank Loan)	School District of Duval County edwardsl5@duvalschools.org
12/13/2011	Chris Traber	Bond Counsel	$12,065,000 Lee County Industrial Development Authority Healthcare Facilities Refunding Revenue Bond, Series 2011B (Shell Point/Alliance Obligated Group) (Shell Point Project) (IDB)	Lee County Industrial Development Authority (Shell Point Village; peterdys@shellpoint.org)
12/13/2011	Chris Traber	Bond Counsel	$35,000,000 Lee County Industrial Development Authority Healthcare Facilities Refunding Revenue Bond, Series 2011A (Shell Point/Alliance Obligated Group) (Shell Point Project) (IDB)	Lee County Industrial Development Authority (Shell Point Village; peterdys@shellpoint.org)
12/9/2011	John Stokes	Bond Counsel	$29,500,000 Osceola County, Florida Infrastructure Sales Surtax Revenue Refunding Bonds, Series 2011 (Revenue)	Osceola County andrew.mai@osceola.org
12/7/2011	Tom Giblin	Bond Counsel	$1,672,000 City of North Miami Beach, Florida Promissory Note, Series 2011A (Branch Banking and Trust Company) (Revenue) (Bank Loan)	City of North Miami Beach barbara.trinka@citynmb.com
11/23/2011	John Stokes	Bond Counsel	$3,610,000 School Board of Sumter County, Florida Capital Improvement and Refunding Revenue Certificates, Series 2011 (Revenue)	School Board of Sumter County shirler@sumter.k12.fl.us

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
11/23/2011	John Stokes	Disclosure Counsel	$3,610,000 School Board of Sumter County, Florida Capital Improvement and Refunding Revenue Certificates, Series 2011 (Revenue)	School Board of Sumter County shirler@sumter.k12.fl.us
11/22/2011	Steve Miller	Bond Counsel	$106,560,000 City of Cape Coral, Florida Water and Sewer Refunding Revenue Bonds, Series 2011A (Revenue)	City of Cape Coral vbateman@capecoral.net
11/22/2011	Tom Giblin	Bond Counsel	$12,370,000 Broward County, Florida Port Facilities Refunding Revenue Bonds, Series 2011A (Non-AMT) (Revenue)	Broward County hrendleman@broward.org
11/22/2011	Tom Giblin	Bond Counsel	$100,695,000 Broward County, Florida Port Facilities Refunding Revenue Bonds, Series 2011B (AMT) (Revenue)	Broward County hrendleman@broward.org
11/22/2011	Tom Giblin	Bond Counsel	$54,195,000 Broward County, Florida Port Facilities Refunding Revenue Bonds, Series 2011C (Taxable) (Revenue)	Broward County hrendleman@broward.org
11/16/2011	Danny Tyler	Bond Counsel	$4,400,000 Cypress Shadows Community Development District Capital Improvement Revenue Bonds, Series 2011 (Special Assessment)	Cypress Shadows CDD paltman@dms-us.com
11/10/2011	Danny Tyler	Bond Counsel	$4,040,000 Pasco Community Development District, Fort Myers, Florida, Capital Improvement Revenue Bonds, Series 2011A-1 (Special Assessment)	Paseo CDD Msyvret@rizzetta.com
11/10/2011	Danny Tyler	Bond Counsel	$55,945,000 Pasco Community Development District, Fort Myers, Florida, Capital Improvement Revenue Bonds, Series 2011A-2 (Special Assessment)	Paseo CDD Msyvret@rizzetta.com
11/9/2011	Tom Giblin	Bond Counsel	$30,700,000 Lee County, Florida Transportation Facilities Refunding Revenue Bond, Series 2011 (Revenue) (Bank Loan)	Lee County tmallow@leeclerk.org
11/8/2011	Steve Miller	Bond Counsel	$2,500,000 Lee County, Florida Revolving Line of Credit Master Note (Fifth Third Bank) (Revenue) (Bank Loan)	Lee County tmallow@leeclerk.org
11/4/2011	John Stokes	Bond Counsel	$16,000,000 School District of Indian River County, Florida Tax Anticipation Notes, Series 2011 (TAN)	School District of Indian River County carter.morrison@indianriverschools.org
11/4/2011	John Stokes	Disclosure Counsel	$16,000,000 School District of Indian River County, Florida Tax Anticipation Notes, Series 2011 (TAN)	School District of Indian River County carter.morrison@indianriverschools.org
11/3/2011	Steve Miller	Bond Counsel	$50,000,000 School District of Pinellas County, Florida Tax Anticipation Notes, Series 2011 (TAN)	School District of Pinellas County smithk@pcsb.org
11/3/2011	Steve Miller	Disclosure Counsel	$50,000,000 School District of Pinellas County, Florida Tax Anticipation Notes, Series 2011 (TAN)	School District of Pinellas County smithk@pcsb.org

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
11/3/2011	Chris Traber	Bond Counsel	$83,970,000 Alachua County Health Facilities Authority Health Facilities Revenue Bonds (Terraces at Bonita Springs Project), Series 2011A (IDB)	Alachua County Health Facilities Authority (SantaFe Senior Living; Les.Magee@avmed.org)
11/3/2011	Chris Traber	Bond Counsel	$10,000,000 Alachua County Health Facilities Authority Health Facilities Revenue Bonds (Terraces at Bonita Springs Project) Tax-Exempt Mandatory Paydown Securities (TEMPS-80[sm]), Series 2011B-1 (IDB)	Alachua County Health Facilities Authority (SantaFe Senior Living; Les.Magee@avmed.org)
11/3/2011	Chris Traber	Bond Counsel	$49,150,000 Alachua County Health Facilities Authority Health Facilities Revenue Bonds (Terraces at Bonita Springs Project) Tax-Exempt Mandatory Paydown Securities (TEMPS-70[sm]), Series 2011B-2 (IDB)	Alachua County Health Facilities Authority (SantaFe Senior Living; Les.Magee@avmed.org)
10/31/2011	John Stokes	Bond Counsel	$46,340,000 Collier County Industrial Development Authority Healthcare Facilities Revenue Bonds, Series 2011A (NCH Healthcare System Project) (IDB)	Collier County Industrial Development Authority (NCH Healthcare; vicki.orr@nchmd.org)
10/31/2011	John Stokes	Bond Counsel	$9,500,000 School District of Monroe County, Florida Tax Anticipation Note, Series 2011 (TAN) (Bank Loan)	School District of Monroe County james.drake@keysschools.com
10/25/2011	Danny Tyler	Bond Counsel	$24,000,000 St. Lucie West Services District Utility Revenue Refunding Bonds, Series 2011 (Special Assessment)	St. Lucie West Services District dpickle@slwsd.org
10/21/2011	Steve Miller	Bond Counsel	$24,625,000 City of Bonita Springs, Florida Non-Ad Valorem Revenue Note, Series 2011 (Revenue) (Bank Loan)	City of Bonita Springs carl.schwing@cityofbonitasprings.org
10/19/2011	John Stokes	Disclosure Counsel	Remarketing of $79,525,000 Sarasota County Public Hospital District Variable Rate Hospital Revenue Refunding Bonds (Sarasota Memorial Hospital Project), Series 2009B (Revenue)	Sarasota County Public Hospital Board Don-Stitt@smh.com
10/12/2011	Chris Traber	Bond Counsel	$40,425,000 Greater Orlando Aviation Authority Airport Facilities Refunding Revenue Bonds, Series 2011C (Non-AMT) of the City of Orlando, Florida (Revenue)	Greater Orlando Aviation Authority jchurchill@goaa.org
10/12/2011	Chris Traber	Bond Counsel	$67,945,000 Greater Orlando Aviation Authority Airport Facilities Refunding Revenue Bonds, Series 2011D (Taxable) of the City of Orlando, Florida (Revenue)	Greater Orlando Aviation Authority jchurchill@goaa.org
10/6/2011	Steve Miller	Bond Counsel	$9,000,000 School District of Flagler County, Florida Tax Anticipation Notes, Series 2011 (TAN) (Bank Loan)	School District of Flagler County tantt@flaglerschools.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
9/30/2011	Steve Miller	Bond Counsel	$15,000,000 School District of Charlotte County, Florida Tax Anticipation Notes, Series 2011 (TAN)	School District of Charlotte County gregory.griner@yourcharlotteschools.net
9/22/2011	Steve Miller	Bond Counsel	$175,000,000 City of Cape Coral, Florida Water and Sewer Refunding Revenue Bonds, Series 2011 (Revenue)	City of Cape Coral vbateman@capecoral.net
9/21/2011	Mark Mustian	Bond Counsel	$26,144,000 Bay County, Florida Capital Improvement Revenue Refunding Bond, Series 2011 (Revenue) (Bank Loan)	Bay County jrogers@baycoclerk.com
9/20/2011	Tom Giblin	Bond Counsel	$70,040,000 Greater Orlando Aviation Authority Airport Facilities Refunding Revenue Bonds, Series 2011B (AMT) of the City of Orlando, Florida (Revenue)	Greater Orlando Aviation Authority jchurchill@goaa.org
9/15/2011	Steve Miller	Bond Counsel	$121,050,000 City of Tampa, Florida Water and Sewer Systems Improvement and Refunding Revenue Bonds, Series 2011 (Revenue)	City of Tampa sonya.little@tampagov.net
9/15/2011	Mark Mustian	Bond Counsel	$153,000 Town of Grand Ridge, Florida Public Improvement Revenue Bond, Series 2011 (Revenue)	Town of Grand Ridge townofgrandridge@emarbqmail.com
9/14/2011	Danny Tyler	Bond Counsel	$4,740,000 Capital Region Community Development District Capital Improvement Revenue Refunding Bonds, Series 2011A-1 (Special Assessment)	Capital Region CDD jperry@govmgtsvc.com
9/14/2011	Danny Tyler	Bond Counsel	$3,305,000 Capital Region Community Development District Capital Improvement Revenue Refunding Bonds, Series 2011A-2 (Special Assessment)	Capital Region CDD jperry@govmgtsvc.com
9/13/2011	Steve Miller	Bond Counsel	$27,850,000 Brevard County, Florida South Brevard Recreational Special District Limited Ad Valorem Tax Refunding Bond (Parks and Recreation Program), Series 2011 (General obligation) (Bank Loan)	Brevard County Steve.Burdett@brevardclerk.us
9/13/2011	Steve Miller	Bond Counsel	$8,075,000 Brevard County, Florida Limited Ad Valorem Tax Refunding Bond (Merritt Island Recreation Municipal Service Taxing Unit Parks and Recreation Program), Series 2011 (General obligation) (Bank Loan)	Brevard County Steve.Burdett@brevardclerk.us
9/13/2011	Steve Miller	Bond Counsel	$9,465,000 Brevard County, Florida North Brevard Recreational Special District Limited Ad Valorem Tax Refunding Bond (Parks and Recreation Program), Series 2011 (General obligation) (Bank Loan)	Brevard County Steve.Burdett@brevardclerk.us

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
9/7/2011	Danny Tyler	Disclosure Counsel	$47,025,000 City of Clearwater, Florida Water and Sewer Revenue Refunding Bonds, Series 2011 (Revenue)	City of Clearwater clement.vericker@myclearwater.com
9/3/2011	Steve Miller	Disclosure Counsel	$15,000,000 School District of Charlotte County, Florida Tax Anticipation Notes, Series 2011 (TAN)	School District of Charlotte County gregory.griner@yourcharlotteschools.net
9/1/2011	Mark Mustian	Bond Counsel	$29,545,000 Bay County, Florida Water and Sewer System Revenue Bond, Series 2011 (Revenue) (Bank Loan)	Bay County jrogers@baycoclerk.com
8/30/2011	Tom Giblin	Disclosure Counsel	$174,450,000 Lee County, Florida Airport Revenue Refunding Bonds, Series 2011A (AMT) (Revenue)	Lee County tmallow@leeclerk.org
8/22/2011	John Stokes	Bond Counsel	Conversion to SIFMA Index Rate related to $109,795,000 Certificates of Participation (School Board of Hillsborough County, Florida Master Lease Program), Series 2008A (COP)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
8/18/2011	John Stokes	Bond Counsel	$50,000,000 School District of Manatee County, Florida Tax Anticipation Note, Series 2011 (TAN)	School District of Manatee County roberts2r@manateeschools.net
8/16/2011	Tom Giblin	Bond Counsel	$140,645,000 Tampa Bay Water, A Regional Water Supply Authority, Utility System Refunding Revenue Bonds, Series 2011A (Revenue)	Tampa Bay Water csackett@tampabaywater.org
8/16/2011	Tom Giblin	Bond Counsel	$148,920,000 Tampa Bay Water, A Regional Water Supply Authority, Utility System Refunding Revenue Bonds, Series 2011B (Revenue)	Tampa Bay Water csackett@tampabaywater.org
8/3/2011	Chris Traber	Bond Counsel	$21,710,000 Marion County, Florida Utility System Revenue Refunding Bonds, Series 2011 (Revenue) (Bank Loan)	Marion County john@marioncountyclerk.org
7/28/2011	John Stokes	Bond Counsel	$77,580,000 City of Lakeland, Florida Hospital Revenue Refunding Bonds (Lakeland Regional Health Systems), Series 2011 (IDB)	City of Lakeland (Lakeland Regional Medical Center; bill.bierschenk@lrmc.com)
7/27/2011	Mark Mustian	Bond Counsel	$8,685,000 Florida Community Services Corp. of Walton County Water and Sewer Revenue Refunding Bonds, Series 2011 (Revenue)	Florida Community Services Corp. of Walton County melissap@regionalutilities.net
7/27/2011	Mark Mustian	Disclosure Counsel	$8,685,000 Florida Community Services Corp. of Walton County Water and Sewer Revenue Refunding Bonds, Series 2011 (Revenue)	Florida Community Services Corp. of Walton County melissap@regionalutilities.net
7/27/2011	John Stokes	Disclosure Counsel	$200,000,000 School District of Miami-Dade County, Florida Tax Anticipation Notes, Series 2011 (TAN)	School District of Miami-Dade County leofernandez@dadeschools.net

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
7/22/2011	Steve Miller	Bond Counsel	$3,670,000 City of Rockledge, Florida Sewer Refunding Revenue Bond, Series 2011 (Revenue) (Bank Loan)	City of Rockledge dhenderson@cityofrockledge.org
7/20/2011	Chris Traber	Bond Counsel	$2,532,444 Marion County, Florida Special Assessment Bond, Series 2011 (Special Assessment) (Bank Loan)	Marion County john@marioncountyclerk.org
7/12/2011	Mark Mustian	Bond Counsel	$2,340,000 Bay County, Florida Capital Improvement Revenue Bonds, Series 2011 (Revenue) (Bank Loan)	Bay County jrogers@baycoclerk.com
7/7/2011	Tom Giblin	Bond Counsel	$104,645,000 Tampa Bay Water, A Regional Water Supply Authority, Utility System Refunding Revenue Bonds, Series 2011 (Revenue)	Tampa Bay Water csackett@tampabaywater.org
7/1/2011	John Stokes	Bond Counsel	$1,799,909 School District of Hernando County, Florida Revenue Anticipation Note, Series 2011 (RAN) (Bank Loan)	School District of Hernando County sittig_k@hcsb.k12.fl.us
6/30/2011	John Stokes	Bond Counsel	$5,285,000 City of Bradenton, Florida Community Redevelopment Agency Increment Tax Revenue Bonds, Series 2011 (Downtown Riverwalk Area Project) (Revenue)	City of Bradenton Community Redevelopment Agency kkyser@ddabradenton.com
6/29/2011	Tom Giblin	Bond Counsel	$3,635,000 Lake County, Florida Sales Tax Refunding Revenue Bond (Pari-mutuel Revenues Replacement Program), Series 2011 (Revenue) (Bank Loan)	Lake County mmarsh@co.lake.fl.us
6/29/2011	Chris Traber	Bond Counsel	$10,900,000 Collier County Industrial Development Authority Continuing Care Community Revenue Bond Anticipation Notes (The Arlington of Naples Project), Series 2011 (IDB)	Collier County Industrial Development Authority (Lutheran Life Communities; cmoellenkamp@lutheranlifecommunities.org)
6/16/2011	Mark Mustian	Bond Counsel	$9,500,000 Alachua County, Florida Gas Tax Revenue Bonds, Series 2011 (Revenue) (Bank Loan)	Alachua County nth@alachuaclerk.org
6/16/2011	Mark Mustian	Disclosure Counsel	$6,525,000 Sunshine State Governmental Financing Commission Multimodal Revenue Bonds, Series 2011D (Miami-Dade County Program) (Revenue)	Sunshine State Governmental Financing Commission ssgfc@embarqmail.com
6/10/2011	Tom Giblin	Bond Counsel	$14,835,000 City of North Miami Beach, Florida Promissory Note, Series 2011 (JPMorgan Chase Bank, N.A.) (Revenue) (Bank Loan)	City of North Miami Beach barbara.trinka@citynmb.com
5/27/2011	Danny Tyler	Bond Counsel	$12,505,000 River Hall Community Development District Capital Improvement Revenue Bonds, Series 2011A-1 (Special Assessment)	River Hall CDD Msyvret@rizzetta.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
5/27/2011	Danny Tyler	Bond Counsel	$13,860,000 River Hall Community Development District Capital Improvement Revenue Bonds, Series 2011A-2 (Special Assessment)	River Hall CDD Msyvret@rizzetta.com
5/26/2011	Tom Giblin	Bond Counsel	$66,300,000 Florida Ports Financing Commission Refunding Revenue Bonds (State Transportation Trust Fund - Intermodal Program), Series 2011A (NON-AMT) (Revenue)	Florida Ports Financing Commission doug@flaports.org
5/26/2011	Tom Giblin	Bond Counsel	$49,325,000 Florida Ports Financing Commission Refunding Revenue Bonds (State Transportation Trust Fund - Intermodal Program), Series 2011B (AMT) (Revenue)	Florida Ports Financing Commission doug@flaports.org
5/26/2011	Tom Giblin	Bond Counsel	$10,650,000 Florida Ports Financing Commission Refunding Revenue Bonds (State Transportation Trust Fund), Series 2011A (NON-AMT) (Revenue)	Florida Ports Financing Commission doug@flaports.org
5/26/2011	Tom Giblin	Bond Counsel	$141,670,000 Florida Ports Financing Commission Refunding Revenue Bonds (State Transportation Trust Fund), Series 2011B (AMT) (Revenue)	Florida Ports Financing Commission doug@flaports.org
5/24/2011	Chris Traber	Bond Counsel	$600,000 Florida Governmental Utility Authority Taxable Promissory Note (North Fort Myers Utility System), Series 2011 (Revenue) (Bank Loan)	Florida Governmental Utility Authority ddilena@govmserv.com
5/23/2011	Junious Brown	Bond Counsel	$5,960,000 Alachua County Library District General Obligation Refunding Bonds, Series 2011 (General obligation) (Bank Loan)	Alachua County Library District nth@alachuaclerk.org
5/18/2011	Mark Mustian	Bond Counsel	$3,600,000 Okaloosa County, Florida Capital Improvement Revenue Bond, Series 2011 (Revenue) (Bank Loan)	Okaloosa County gstanford@clerkofcourts.cc
5/18/2011	John Stokes	Bond Counsel	$8,500,000 School District of Manatee County, Florida Revenue Anticipation Notes, Series 2011 (RAN) (Bank Loan)	School District of Manatee County roberts2r@manateeschools.net
5/18/2011	John Stokes	Bond Counsel	$5,000,000 School District of Manatee County, Florida Revenue Anticipation Notes, Series 2011A (RAN) (Bank Loan)	School District of Manatee County roberts2r@manateeschools.net
5/11/2011	John Stokes	Bond Counsel	$39,850,000 Certificates of Participation (School Board of Manatee County, Florida Master Lease Program), Series 2011 (COP)	School Board of Manatee County roberts2r@manateeschools.net
5/3/2011	Steve Miller	Bond Counsel	$54,850,000 Refunding Certificates of Participation (School Board of St. Lucie County, Florida Master Lease Program), Series 2011A (COP)	School Board of St. Lucie County timothy.bargeron@stlucieschools.org

Closing Date	Staff Name	Role	Financings. amount & type	Issuer (Project Owner) and email address
5/1/2011	Mark Mustian	Bond Counsel	$21,000,000 Bay County, Florida Utility System Revenue Bond Anticipation Notes, Series 2011 (BAN) (Bank Loan)	Bay County jrogers@baycoclerk.com
4/29/2011	John Stokes	Bond Counsel	$819,000 City of Fort Walton Beach, Florida Capital Improvement Revenue Note, Series 2011 (Revenue) (Bank Loan)	City of Fort Walton Beach bferris@fwb.org
4/20/2011	Tom Giblin	Bond Counsel	$64,900,000 Charlotte County, Florida Utility System Refunding Revenue Bonds, Series 2011 (Revenue)	Charlotte County ann.larrivee@co.charlotte.fl.us
4/14/2011	Mark Mustian	Disclosure Counsel	$247,600,000 Sunshine State Governmental Financing Commission Revenue Bonds, Series 2011A (Miami-Dade County Program) (Revenue)	Sunshine State Governmental Financing Commission ssgfc@embarqmail.com
4/14/2011	Mark Mustian	Disclosure Counsel	$28,500,000 Sunshine State Financing Commission Multimodal Revenue Bonds, Series 2011B (Miami-Dade County Program) (Revenue)	Sunshine State Governmental Financing Commission ssgfc@embarqmail.com
4/14/2011	Mark Mustian	Disclosure Counsel	$28,500,000 Sunshine State Financing Commission Multimodal Revenue Bonds, Series 2011C (Miami-Dade County Program) (Revenue)	Sunshine State Governmental Financing Commission ssgfc@embarqmail.com
4/14/2011	John Stokes	Bond Counsel	$102,115,000 Collier County Industrial Development Authority Healthcare Facilities Revenue Bonds, Series 2011 (NCH Healthcare Project) (IDB)	Collier County Industrial Development Authority (NCH Healthcare; vicki.orr@nchmd.org)
4/13/2011	Tom Giblin	Bond Counsel	$74,855,000 Lee County, Florida Water and Sewer Refunding Revenue Bonds, Series 2011 (Revenue)	Lee County tmallow@leeclerk.org
3/29/2011	John Stokes	Disclosure Counsel	$139,055,000 Certificates of Participation (School Board of Miami-Dade County, Florida Master Lease Program), Series 2011A (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
3/29/2011	John Stokes	Disclosure Counsel	$137,660,000 Certificates of Participation (School Board of Miami-Dade County, Florida Master Lease Program), Series 2011B (COP)	School Board of Miami-Dade County leofernandez@dadeschools.net
3/28/2011	Danny Tyler	Bond Counsel	$7,010,000 Southern Hills Plantation I Community Development District Capital Improvement Revenue Bonds, Series 2011A-1 (Special Assessment)	Southern Hills Plantation I CDD pwilliams@rizzetta.com
3/28/2011	Danny Tyler	Bond Counsel	$5,095,000 Southern Hills Plantation I Community Development District Capital Improvement Revenue Bonds, Series 2011A-2 (Special Assessment)	Southern Hills Plantation I CDD pwilliams@rizzetta.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
3/17/2011	Steve Miller	Bond Counsel	$17,690,000 City of Cape Coral, Florida Special Obligation Revenue Bonds, Series 2011 (Revenue)	City of Cape Coral vbateman@capecoral.net
3/17/2011	Chris Traber	Bond Counsel	$6,355,000 Greater Orlando Aviation Authority Airport Facilities Refunding Revenue Bond, Series 2011A (Non-AMT) of the City of Orlando, Florida (Revenue)	Greater Orlando Aviation Authority jchurchill@goaa.org
3/15/2011	Mark Mustian	Bond Counsel	$11,159,000 Nassau County, Florida South Amelia Island Shore Stabilization Special Assessment Bond, Series 2011 (Special Assessment) (Bank Loan)	Nassau County tselby@nassaucountyfl.com
3/9/2011	Junious Brown	Disclosure Counsel	$150,000,000 Florida Housing Finance Corporation Homeowner Mortgage Revenue Bonds (Special Program) consisting of $60,000,000 2011 Series A (Non-AMT) and $90,000,000 2009 Series B, Subseries B-2 (Non-AMT) (Housing)	Florida Housing Finance Corporation N/A
2/24/2011	Steve Miller	Bond Counsel	$12,695,000 City of Tampa, Florida Solid Waste System Refunding Revenue Bond, Series 2011 (Revenue)	City of Tampa sonya.little@tampagov.net
2/15/2011	Mark Mustian	Disclosure Counsel	$16,525,000 Escambia County Housing Finance Authority Dormitory Revenue Bonds (University of West Florida Foundation, Inc. Project), Series 2011 (Housing)	Escambia County Housing Finance Authority karyn.norton@escambiahfa.com
1/25/2011	Tom Giblin	Bond Counsel	$5,565,000 City of Aventura, Florida Promissory Note, Series 2011 (Revenue) (Bank Loan)	City of Aventura braducci@cityofaventura.com
1/14/2011	Mark Mustian	Bond Counsel	$7,060,000 City of Newberry, Florida Public Improvement Revenue Bonds, Series 2011 (Revenue) (Bank Loan)	City of Newberry Dallas.Lee@ci.newberry.fl.us
1/14/2011	John Stokes	Bond Counsel	ISDA Master Agreement between Shands Teaching Hospital and Clinics, Inc. and Merrill Lynch Capital Services Inc. -- 2011 Basis Swap (Swap)	Shands Teaching Hospital and Clinics, Inc. bill.ryan@jax.ufl.edu
12/31/2010	Chris Traber	Bond Counsel	$23,000,000 Lee County Industrial Development Authority Healthcare Facilities Revenue Bond (Park Royal Psychiatric Hospital), Series 2010 (IDB)	Lee County Industrial Development Authority (The Pavilion at HealthPark; jharper@pavilionhealthpark.com)
12/30/2010	Chris Traber	Bond Counsel	$9,540,000 Lee County Industrial Development Authority Industrial Revenue Bond (Waste Pro Project), Series 2010 (IDB) (Bank Loan)	Lee County Industrial Development Authority (Waste Pro USA, Inc.; dphillips@wasteprousa.com)
12/30/2010	John Stokes	Bond Counsel	$30,000,000 West Orange Healthcare District Healthcare Revenue Bonds, Series 2010 (Revenue)	West Orange Healthcare District Michael.mueller@healthcentral.org

Closing Date	Staff Name	Role	Financings. amount & type	Issuer (Project Owner) and email address
12/30/2010	Mark Mustian	Disclosure Counsel	$112,950,000 Sunshine State Governmental Financing Commission Multimodal Revenue Bonds, Series 2010A (Revenue)	Sunshine State Governmental Financing Commission ssgfc@embarqmail.com
12/30/2010	Mark Mustian	Disclosure Counsel	$112,950.000 Sunshine State Governmental Financing Commission Multimodal Revenue Bonds, Series 2010B (Revenue)	Sunshine State Governmental Financing Commission ssgfc@embarqmail.com
12/30/2010	John Stokes	Bond Counsel	$30,000,000 Collier County Industrial Development Authority Healthcare Facilities Revenue Bonds (NCH Healthcare System Projects), Series 2010 (IDB) (Bank Loan)	Collier County Industrial Development Authority (NCH Healthcare; vicki.orr@nchmd.org)
12/30/2010	John Stokes	Bond Counsel	$30,000,000 North Broward Hospital District Revenue Bond, Series 2010 (Revenue)	Broward Health awallace@browardhealth.org
12/28/2010	Steve Miller	Bond Counsel	$9,475,000 Brevard County, Florida Non-Ad Valorem Revenue Note, Series 2010 (Revenue) (Bank Loan)	Brevard County Steve.Burdett@brevardclerk.us
12/22/2010	John Stokes	Bond Counsel	$37,935,000 Certificates of Participation (School Board of Hillsborough County, Florida Master Lease Program), Series 2010B (Qualified School Construction Bonds - Federally Taxable - Issuer Subsidy) (COP)	School Board of Hillsborough County gretchen.saunders@sdhc.k12.fl.us
12/21/2010	Tom Giblin	Bond Counsel	$42,000,000 Canaveral Port Authority Port Improvement Revenue Bonds, Series 2010 (Revenue) (Bank Loan)	Canaveral Port Authority rrees@portcanaveral.com
12/21/2010	Chris Traber	Bond Counsel	$27,000,000 Lee County Industrial Development Authority Healthcare Facilities Revenue Bonds (LeeSar, Inc. Project), Series 2010 (IDB) (Bank Loan)	Lee County Industrial Development Authority (LeeSar; greynolds@leesar.com)
12/21/2010	Chris Traber	Bond Counsel	$26,300,000 Lee County Industrial Development Authority Educational Facilities Revenue Bond (Edison State College Financing Corporation Project), Series 2010 (IDB) (Bank Loan)	Lee County Industrial Development Authority (Edison State College Financing Corporation; gdoeble@edison.edu)
12/17/2010	Steve Miller	Bond Counsel	$24,480,000 Brevard County, Florida Recovery Zone Facility Revenue Bond (Embraer Project), Series 2010A (Revenue) (Bank Loan; private activity; RZFBs)	Brevard County (Embraer; cappleton@embraer.com)
12/17/2010	Steve Miller	Bond Counsel	$4,176,000 Brevard County, Florida Recovery Zone Facility Revenue Bond (Embraer Project), Series 2010B (Revenue) (Bank Loan; private activity; RZFBs)	Brevard County (Embraer; cappleton@embraer.com)
12/17/2010	John Stokes	Bond Counsel	$27,765,000 Refunding Certificates of Participation (School Board of Collier County, Florida Master Lease Program), Series 2010 (COP)	School Board of Collier County mcguirph@ collierschools.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
12/17/2010	Tom Giblin	Bond Counsel	$12,535,000 Florida Governmental Utility Authority Taxable Utility Refunding Revenue Bonds (Lehigh Utility System), Series 2010 (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
12/17/2010	Tom Giblin	Bond Counsel	$6,315,000 Florida Governmental Utility Authority Taxable Utility Refunding Revenue Bonds (Golden Gate Utility System), Series 2010 (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
12/17/2010	John Stokes	Bond Counsel	$26,261,000 Certificates of Participation (The School Board of Indian River County, Florida Master Lease Program), Series 2010A (Qualified School Construction Bonds - Federally Taxable - Issuer Subsidy) (COP)	School Board of Indian River County carter.morrison@indianriverschools.org
12/16/2010	Mark Mustian	Bond Counsel	$15,000,000 Alachua County, Florida Limited General Obligation Bonds (Alachua County Forever Project), Series 2010 (General obligation)	Alachua County nth@alachuaclerk.org
12/15/2010	Chris Traber	Bond Counsel	$15,395,000 Orange County, Florida Promissory Note, Series 2010 (Revenue) (Bank Loan)	Orange County fred.winterkamp@ocfl.net
12/14/2010	Steve Miller	Bond Counsel	$11,610,000 City of Tampa, Florida Utilities Tax Revenue Bonds (Federally Taxable Build America Bonds - Direct Payment), Series 2010A (Revenue)	City of Tampa sonya.little@tampagov.net
12/14/2010	Steve Miller	Bond Counsel	$8,045,000 City of Tampa, Florida Utilities Tax Revenue Bonds (Federally Taxable Recovery Zone Economic Development Bonds - Direct Payment), Series 2010B (Revenue)	City of Tampa sonya.little@tampagov.net
12/14/2010	Steve Miller	Bond Counsel	$2,355,000 Town of Longboat Key, Florida General Obligation Refunding Note, Series 2010 (General obligation) (Bank Loan)	Town of Longboat Key dbullock@longboatkey.org
12/7/2010	Mark Mustian	Bond Counsel	$20,770,000 Brevard County Housing Finance Authority Single Family Housing Revenue Bonds, 2009 Series B (GSE Program Multi-County) (Housing)	Brevard County Housing Finance Authority angelaabbott@cfl.rr.com
12/7/2010	John Stokes	Bond Counsel	Conversion to Index Rate Period of $59,405,000 Jacksonville Economic Development Commission Hospital Revenue Bonds (Shands Jacksonville Medical Center, Inc. Project), Series 2008 (IDB)	Jacksonville Economic and Development Commission (Shands Jacksonville; bill.ryan@jax.ufl.edu)
12/1/2010	Mark Mustian	Bond Counsel	$21,000,000 Bay County, Florida Utility System Revenue Bond Anticipation Notes, Series 2010 (BAN) (Bank Loan)	Bay County jrogers@baycoclerk.com
11/30/2010	Steve Miller	Bond Counsel	$24,620,000 Collier County, Florida Special Obligation Refunding Revenue Bonds, Series 2010B (Revenue)	Collier County crystal.kinzel@collierclerk.com

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
11/16/2010	John Stokes	Bond Counsel	$23,825,000 Higher Educational Facilities Financing Authority Educational Facilities Revenue Bonds, Series 2010 (Bethune-Cookman University, Inc. Project) (IDB)	Higher Educational Facilities Financing Authority (Bethune-Cookman University; petersm@cookman.edu
11/10/2010	Tom Giblin	Bond Counsel	$66,980,000 Tampa Bay Water, A Regional Water Supply Authority, Utility System Refunding Revenue Bonds, Series 2010 (Revenue)	Tampa Bay Water csackett@tampabaywater.org
11/5/2010	Steve Miller	Bond Counsel	$19,550,000 Brevard County, Florida Subordinated Sales Tax Refunding Revenue Bond, Series 2010 (Revenue) (Bank Loan)	Brevard County Steve.Burdett@brevardclerk.us
11/5/2010	John Stokes	Bond Counsel	$10,000,000 School District of Monroe County, Florida Tax Anticipation Note, Series 2010 (TAN)	School District of Monroe County james.drake@keysschools.com
11/2/2010	Tom Giblin	Bond Counsel	$13,140,000 Peace River/Manasota Regional Water Supply Authority Utility System Revenue Bonds, Series 2010A (Revenue)	Peace River/Manasota Regional Water Supply Authority plehman@regionalwater.org
11/2/2010	Tom Giblin	Bond Counsel	$29,555,000 Peace River/Manasota Regional Water Supply Authority Utility System Revenue Bonds, Series 2010B (Federally Taxable Build America Bonds - Direct Subsidy) (Revenue)	Peace River/Manasota Regional Water Supply Authority plehman@regionalwater.org
11/1/2010	John Stokes	Bond Counsel	$2,257,400 School Board of Columbia County, Florida Sales Tax Revenue Certificate, Series 2010 (Revenue)	School Board of Columbia County pennerb@columbiak12.com
10/29/2010	John Stokes	Bond Counsel	$21,600,000 Certificates of Participation (School Board of Manatee County, Florida Master Lease Program), Series 2010A (Qualified School Construction Bonds - Federally Taxable - Issuer Subsidy) (COP)	School Board of Manatee County roberts2r@manateeschools.net
10/20/2010	John Stokes	Bond Counsel	$57,245,000 Florida Development Finance Corporation Educational Facilities Revenue Bonds (Renaissance Charter School, Inc. Projects), Series 2010A (IDB)	Florida Development Finance Corp. (Red Apple Development; ctsang@charterschoolsusa.com)
10/20/2010	John Stokes	Bond Counsel	$10,955,000 Florida Development Finance Corporation Taxable Educational Facilities Revenue Bonds (Renaissance Charter School, Inc. Projects), Series 2010B (IDB)	Florida Development Finance Corp. (Red Apple Development; ctsang@charterschoolsusa.com)
10/15/2010	Steve Miller	Bond Counsel	$20,000,000 School District of St. Lucie County, Florida Tax Anticipation Notes, Series 2010 (TAN)	School District of St. Lucie County timothy.bargeron@stlucieschools.org
10/15/2010	Steve Miller	Disclosure Counsel	$20,000,000 School District of St. Lucie County, Florida Tax Anticipation Notes, Series 2010 (TAN)	School District of St. Lucie County timothy.bargeron@stlucieschools.org

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
10/13/2010	Tom Giblin	Bond Counsel	$121,145,000 Florida Governmental Utility Authority Refunding Revenue Bonds (Aloha Utility System), Series 2010B (Federally Taxable - Build America Bonds - Direct Subsidy) (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
10/13/2010	Tom Giblin	Bond Counsel	$2,760,000 Florida Governmental Utility Authority Taxable Utility Refunding Revenue Bonds (Aloha Utility System), Series 2010A (Revenue)	Florida Governmental Utility Authority ddilena@govmserv.com
10/13/2010	Steve Miller	Bond Counsel	$85,000,000 School District of Pinellas County, Florida Tax Anticipation Notes, Series 2010 (TAN)	School District of Pinellas County smithk@pcsb.org
10/13/2010	Steve Miller	Disclosure Counsel	$85,000,000 School District of Pinellas County, Florida Tax Anticipation Notes, Series 2010 (TAN)	School District of Pinellas County smithk@pcsb.org
10/8/2010	Steve Miller	Bond Counsel	$10,000,000 School District of Flagler County, Florida Tax Anticipation Note, Series 2010 (TAN) (Bank Loan)	School District of Flagler County tantt@flaglerschools.com
10/7/2010	John Stokes	Bond Counsel	$30,000,000 School District of Indian River County, Florida Tax Anticipation Note, Series 2010 (TAN)	School District of Indian River County carter.morrison@indianriverschools.org
10/7/2010	John Stokes	Disclosure Counsel	$30,000,000 School District of Indian River County, Florida Tax Anticipation Note, Series 2010 (TAN)	School District of Indian River County carter.morrison@indianriverschools.org
10/6/2010	Tom Giblin	Bond Counsel	$37,403,000 Lee County, Florida Tourist Development Tax Revenue Bonds, Series 2010B (Federally Taxable Build America Bonds - Recovery Zone Economic Development - Direct Subsidy) (Revenue)	Lee County tmallow@leeclerk.org
10/6/2010	Tom Giblin	Bond Counsel	$42,480,000 Lee County, Florida Tourist Development Tax Revenue Bonds, Series 2010A (Federally Taxable Build America Bonds - Direct Subsidy) (Revenue)	Lee County tmallow@leeclerk.org
10/6/2010	Tom Giblin	Bond Counsel	$1,275,000 Lee County, Florida Tourist Development Tax Revenue Bonds, Series 2010C (Revenue)	Lee County tmallow@leeclerk.org
10/6/2010	Chris Traber	Bond Counsel	$524,481.64 Marion County, Florida Special Assessment Bond, Series 2010A (Special Assessment) (Bank Loan)	Marion County john@marioncountyclerk.org
10/6/2010	Steve Miller	Bond Counsel	$38,675,000 City of Tampa, Florida Sales Tax Refunding Revenue Bonds, Series 2010 (Revenue)	City of Tampa sonya.little@tampagov.net

Closing Date	Staff Name	Role	Financings, amount & type	Issuer (Project Owner) and email address
10/5/2010	Mark Mustian	Bond Counsel	$6,470,000 Housing Finance Authority of Lee County, Florida Multifamily Housing Revenue Bonds, Series 2010A (Janie's Garden Phase 2 Project) (Housing)	Lee County Housing Finance Authority philburnett@embarqmail.com
10/5/2010	Mark Mustian	Bond Counsel	$1,880,000 Housing Finance Authority of Lee County, Florida Multifamily Housing Revenue Bonds, Series 2010B (Janie's Garden Phase 2 Project) (Housing)	Lee County Housing Finance Authority philburnett@embarqmail.com
10/1/2010	Steve Miller	Bond Counsel	$14,932,256 City of North Port, Florida Utility System Refunding Revenue Bonds, Series 2010 (Revenue) (Bank Loan)	City of North Port jlewis@cityofnorthport.com

5. Provide firm experience for the following:

Experience with Bank Loans to Florida municipalities as;	Years Exp	Responsibility
Bank Counsel	31	Please see below
Bond Counsel	31	Please see below
Bond Counsel on Bond Issues	31	Please see below
Disclosure Counsel on Bond Issues	31	Please see below

The Firm fully understands the services and support required by the RFP. Our experience has shown that the scope of work for the City will go well beyond merely drafting of documents and the delivery of an opinion at closing. We believe that the vast experience our attorneys have with local government issues will enable the City to draw upon resources which are not available from other firms providing Bond Counsel services or Disclosure Counsel services. We also make a commitment to the City to provide services and advice on an ongoing basis with respect to various questions that continue long after a transaction is closed. We hereby commit to the City to provide such services in a timely manner.

The Firm employs a total of 44 employees, of which 24 are attorneys, four are managers (one also being a Florida Registered Paralegal), 12 are paralegals/legal assistants and the remaining four are administrative support staff, all proficient in the use of our state-of-the art computer and communications equipment. Of the 24 attorneys, 15 are partners, six are associates and three are Of Counsel. The Tampa office employs a total of 18 employees, including ten attorneys, one Florida Registered Paralegal/office manager, six paralegals/legal assistants and one administrative support staff. Of the ten attorneys in the Tampa office, six are partners, three are associates and one is Of Counsel. The number of qualified bond lawyers that are located in such office assures that we will always be available to the City upon very short notice. Nabors, Giblin & Nickerson, P.A., will provide the City with the most comprehensive and highest quality representation on a timely basis. The Firm prides itself on responding to its clients' needs in an extremely timely manner. The Firm's attorneys and staff will always be accessible to the City, whether by telephone, in person, by computer or otherwise.

The Firm is committed to developing and implementing innovative solutions to all types of local government problems, including finance-related issues. The Firm represents local governments throughout the State in a broad range of related government issues by means of a public finance practice, a capital finance practice, a litigation practice, a public utilities practice, a financial transactions practice

and a legislative consulting practice. These several practice areas have proved to be complimentary to each other over the years.

The Firm has been consistently ranked by industry journals among the top Florida firms as both Bond Counsel and Disclosure Counsel. The Firm's attorneys who are involved in the issuance of tax-exempt indebtedness have over 200 years of combined public finance experience.

Over the last five years, the Firm has served as Bond Counsel in more than 550 financings for Florida local governments with an aggregate principal amount of over $16.5 billion. The Firm has also served as Disclosure Counsel in approximately 135 transactions with an aggregate principal amount of over $11.5 billion. In addition, the Firm has served as Underwriters Counsel in approximately 112 transactions with an aggregate principal amount of over $7.5 billion. There are virtually no legal issues we have not seen or addressed with respect to Florida local government debt issues. In 2013, we ranked as the #1 Bond Counsel firm in the Southeast based upon volume of bonds issued. In 2015 to date, we are ranked #3 in the Southeast Region and #2 in Florida for volume of bond sales.

Experience with Bank Loans

Use of bank loans has become more commonplace by Florida local governments in recent years. Banks have been utilized to provide low interest rate loans for short to intermediate terms which are more cost effective than public offerings. The term sheets provided by banks often contain provisions, such as increases to the interest rate upon certain conditions, which are not beneficial to governments. In addition, banks often request changes to existing bond resolutions which may adversely affect existing bondholders. As a Firm, we pride ourselves on recognizing those issues and working with our clients to favorably resolve them. We also closely supervise the form of opinion requested by the banks of the counsel to the local government. In the past five years we have completed more than 200 bank loans for our Florida local government clients; seven as Bank Counsel and the remainder as Bond Counsel.

Bond Counsel

Members of the Firm have drafted and worked with a wide range of municipal debt instruments, including bond resolutions and ordinances, indentures of trust and loan agreements. In addition, the Firm has experience with a wide range of capital market transactions, including daily and weekly demand obligations (both self and third party liquidity enhancers), term bonds, commercial paper, capital appreciation bonds, forward supply transactions and numerous derivative transactions based on notional principal amounts. These include swaptions, fixed to variable swaps, variable to fixed swaps, interest rate locks and guaranteed investment contracts, as well as the termination and unwinding of such instruments.

The Firm has issued over 500 tax opinions on tax-exempt bond issues in the past five years. We believe our clients are well satisfied with our experience and responsiveness in tax matters relating to compliance with the Internal Revenue Code Regulations and Rulings regarding arbitrage considerations in tax-exempt financings. We answer clients' tax questions and deal regularly with the intricacies of compliance with the arbitrage rebate regulations, including those regulations allowing a safe harbor for avoiding rebate. We take pride in our federal tax expertise, and believe that we are at the forefront of federal tax issues applicable to municipal bonds.

In our role as Bond Counsel we perform our own tax work. Our position with respect to the arbitrage and rebate regulations is in line with the majority of nationally recognized Bond Counsel firms within the United States. With respect to arbitrage rebate requirements, we have provided continuing support to our clients in analyzing and attempting to comply with their rebate obligations. At times, we have found it necessary to request rulings from the Internal Revenue Service with respect to specific tax issues. Our experience in requesting and obtaining such rulings has proved invaluable to its clients.

General Approach. We strongly encourage each of our clients to work with us in evaluating proposed bond structures. Given our level of experience, we can provide guidance in the evaluation of refunding opportunities and review of bond structures. For example, in consulting with our clients relating to the issuance of variable rate debt, we can provide advice not only as to how such debt may be legally structured, but we will also share our experiences relating to the various banking institutions who would provide liquidity and/or credit support. In addition, we encourage clients to work with us on refunding scenarios so as to provide maximum flexibility in determining the timing of the refunding, as well as the provision of escrow securities.

Documents. The Firm routinely drafts bond resolutions for our clients, and we take pride in the creativity and flexibility that we build into the bond resolutions that we draft. We recognize that the security provisions of bond resolutions for local government issuers have changed over the last several years. The goal of most of these provisions is to maintain (or even improve) the issuer's existing ratings while still providing the bondholder adequate security without excessive costs to the issuer. The Firm has been instrumental in assisting their large municipal clients with strong credit ratings to modernize their bond resolutions/indentures to provide, among other things, greater financial and operational flexibility to permit the implementation of various new business strategies geared towards achieving greater costs savings.

In our role as Bond Counsel, upon receiving the salient terms of a particular transaction, will immediately prepare a draft of the authorizing or supplemental resolution for internal review by staff and the City's financial advisors, and upon receipt of direction and input from those persons will then distribute the document to the working group. The Firm will typically distribute closing papers within two to three days of receiving the pricing results.

Sale of Bonds. In the case of a competitive sale, in addition to the authorizing documents and closing papers, the Firm will be actively involved in the preparation of the bid documents, notices of sale, and all other documentation or action necessary or required to conduct a sale of the bonds.

In the case of a negotiated sale, in addition to the authorizing documents and closing papers, the Firm will be actively involved in reviewing, commenting and negotiating the terms of the bond purchase agreement, as well as the preparing and/or assisting in the preparation of any other documentation or action necessary to conduct a sale of the bonds. We are particularly sensitive to bond purchase agreements that ask the City Attorney to provide an "over-reaching" opinion which is out of the mainstream of issuer's counsel opinions.

The Firm will be actively involved in reviewing, commenting and assisting in the preparation of the preliminary and final official statement in addition to preparing the award resolution, and any amendments thereto, for the sale of the bonds as well as the "deemed final" certificate.

Transcripts. The Firm commits to providing a loose transcript of any financing they close within five working days of the closing, with bound or electronic transcripts to be provided within four weeks after closing, pending confirmation and receipt of (a) documentation for which it is necessary to record in the public records of a county, and (b) receipt of the title insurance policy, if any. However, loose transcripts of the financing could be provided in the interim without the final recorded documents and without any title policies if the City so desires. In addition, with internet and e-mail used as the primary means of communication today, during the closing phase of each transaction the Firm is able to provide the working group real time access to the closing documents as they are finalized via the use of scanned documents and FTP servers. This same process is used to provide the client, its staff and accountants access to the signed closing documents during the period between closing and the time final bound transcripts are shipped.

Opinions. The Firm will issue a National Association of Bond Lawyers standard, comprehensive Bond Counsel opinion as to the legality of the bonds or other debt instruments, the security for their payment and the exemption from federal income taxation of the interest on the bonds, the customary 10b-5 opinion as to the information contained in the official statements, as well as the supplemental and reliance opinions to the underwriters and other relevant parties. Unlike some Bond Counsels, we do not rely upon the opinion of issuer's counsel to provide our Bond Counsel opinion.

Disclosure Counsel

As Disclosure Counsel, the Firm would have the principal responsibility to advise the City regarding its obligations in connection with its primary and secondary (i.e., remarketings) offerings of municipal debt, including, but not limited to, (1) the establishment of appropriate due diligence procedures, including, but not limited to, due diligence regarding conflicts or potential conflicts of interest among the parties to a municipal debt transaction, (2) drafting and providing advice regarding all aspects of the preparation and distribution of offering documents of the City and the supplementation or amendment of such documents upon a change in circumstances, (3) coordination with other professionals involved in the transaction to obtain appropriate opinions, analysis, certifications and consents, (4) reviewing and negotiating all other legal documents involved in the transaction, (5) assisting the financing team with the structuring and implementation of the transaction, and (6) the delivery of an opinion regarding compliance with all applicable disclosure and securities laws.

As Disclosure Counsel, the Firm would also be responsible for advising the City in connection with its continuing disclosure obligations under Rule 15c2-12 (the "Rule") of the Securities and Exchange Commission ("SEC"), including, but not limited to: (1) preparation of an appropriate continuing disclosure undertaking, (2) advising the City with respect to any material event notices, (3) advising the City in connection with the preparation of its annual report to bondholders, including the provision of advice regarding the use of the Internet to provide such information, and (4) in connection with primary offerings of municipal debt, delivering an opinion regarding compliance with the Rule.

In addition, the SEC has indicated that information released to the public can reasonably be assumed to reach the marketplace of investors and therefore, is subject to the anti-fraud provisions of the federal securities laws. Consequently, all public disclosures of information by the County may have to be scrutinized for compliance with such laws. As Disclosure Counsel, we would be responsible for working closely with Coity staff to (1) review with City staff existing policies of the City regarding

public information disclosures, (2) provide advice regarding the classification of the types of public information disclosed and the level of scrutiny to be applied to such information to assure its accuracy and completeness, (3) advise the City on the implementation of any policies and procedures regarding public information disclosures, including the establishment of a disclosure office, (4) advise the City in connection with public disclosures over the Internet, and (5) update the City on any changes in applicable laws, regulations or rulings regarding this issue. In addition, as Disclosure Counsel the Firm can assist the City in responding sufficiently to all public and media requests for financial, political and other information.

If selected to serve as Disclosure Counsel, we will be responsive to all inquiries of the City relating to continuing disclosure requirements, and will review all information prepared by City personnel which satisfies such requirements. The Firm believes in being proactive in working with its Disclosure Counsel clients. In that vein, we strongly encourage our clients to work with us when they gather information and data to meet their continuing disclosure requirements under the Rule. We have worked with our Disclosure Counsel clients in creating a checklist for the staff to use on an annual basis to ensure compliance with the provisions of the Rule. In addition, a number of our clients want to provide disclosure beyond the requirements of the Rule in order to encourage more positive bondholder relations. We have worked with those clients in determining what information is material to investors. We have also cautioned clients in terms of providing information that may be misconstrued by the marketplace or be considered in some fashion misleading. When going beyond the requirements of the Rule, it is important for governments to be cautious with their approach and to provide information on a consistent basis.

It is also critical to make sure that the City's continuing disclosure certificates or agreements fully comply with the Rule and are user friendly. Some certificates and agreements we have reviewed have not kept up with many of the changes and subsequent SEC interpretations of the Rule. Others are overly complicated or burdensome to the client. We pride ourselves in developing continuing disclosure certificates and agreements that fully comply with the current state of the Rule while also not being overly complicated and difficult for the client to work with. It is also important that all of the City's continuing disclosure certificates and agreements are consistent as to reporting deadlines, material events and the type of information that will be required to be provided on an ongoing basis. We find with many local governments that their various agreements are inconsistent, which results in difficulties in fully complying with the Rule.

As far as complying with actual provision of continuing disclosure information in accordance with the Rule, we believe that it is extremely important for clients to establish a system to ensure that ALL of the required information is provided ON TIME. This usually necessitates identifying one or two staff people to have the ultimate responsibility for ongoing compliance. Statistics show that the majority of local governments either do not provide the information timely or they do not provide all of the required information. As noted above, it is important to establish at the time of the initial disclosure, timing that can easily be met by the client and the type of information to be provided on an ongoing basis.

One of the most challenging aspects of complying with the Rule is determining what events are "material events" under the Rule and when or if such events should be disclosed. Some of our clients require us to review all of the annual reports prior to them being sent to the information repository, which we would do as Disclosure Counsel. Others simply call us from time to time to make sure they

are fully complying with the annual reporting requirements. While we believe our clients should be careful in disclosing events that are not enumerated in the Rule, we believe that clients should not be afraid to disclose material events and should disclose events when there is any doubt about their materiality. Ultimately, more complete disclosure is preferred over under-disclosure. When we determine that it should be disclosed, we usually prepare and disseminate the material events notice.

In sum, we believe that our extensive experience as the leading Florida public finance law firm makes us the most qualified law firm to provide primary Disclosure Counsel services to the City. The Firm fully understands the requirements and demands attendant with the City's proposed financing transactions. The market expectations with respect to disclosure have changed and will likely continue to change. We believe that the vast experience our attorneys have with local government issues will enable the City to comply with all of its disclosure responsibilities in this new environment. We do not believe the other firms proposing to provide Disclosure Counsel services have the depth or breadth of resources that the Firm can and will provide to the City.

6. Description of duties the Proposer expects to be performed by City staff.

We understand that staffs' normal duties and responsibilities do not go away when a bond issue or debt financing begins. We try to be sensitive to the fact that a debt issuance usually results in an increase in staff workloads. To that end, whether the Firm is serving as Bond Counsel or Disclosure Counsel, the Firm attempts to minimize the burden on the issuer's staff to the extent possible. However, staff availability is critical to a successful financing and their involvement is necessary.

Through our years of experience, we have learned that staff involvement varies greatly from issuer to issuer. With some local governments, the city manager or county manager or other top administrator is principally involved. With other issuers, the attorneys' office takes the lead. With many, the finance director, a key finance person or budget officer heads the transaction for the issuer. For enterprise fund related transactions, a utility director or finance officer may be the point person. If engaged by the City, we will first determine with the City who should be involved and we will work with them and others if necessary.

As Bond Counsel to the City, we would expect involvement from someone in the administration/finance department and the City attorney's office for most debt issues. For Utility financings, we would expect significant involvement from the public works director and staff. Many of the efforts will occur in the beginning of the transaction when decisions concerning the structure of the financing will take place. Because the City has a very experienced financial advisor, the level of responsibility should be limited to weighing options presented and making decisions. As bond documents are negotiated, prepared, revised and finalized, the involvement of City staff should be mostly limited to reviewing the documents to make sure they are consistent with the City's understanding of the transaction and making business decisions. Key staff will be expected to participate in meetings and conference calls with other members of the financing team as the transaction develops. Usually the City Attorney will have greater input during this process with respect to various legal issues. Staff will also be involved when the item is finally presented for approval by the City Council and when the transaction is finally closed.

As Disclosure Counsel to the City, the Firm would likely require a bit more involvement from City staff to compile the disclosure material that is required to be set forth in the offering documents.

We would also advise that a thorough review of the offering documents be done by at least one senior staff member to ensure that nothing about the City is being disclosed incorrectly. If the financing is not exempt from the continuing disclosure rules promulgated by the SEC, we will have to work with City staff in ensuring that someone will be responsible for continuing disclosure obligations of the City. It is critical that staff is involved in this issue at the beginning of the process to ensure that he or she understands fully the City's obligations in this area.

If a bond transaction is rated by any of the major ratings agencies, some City staff will need to be involved in the collection and presentation of materials to such agencies. Again, because the City has a very experienced financial advisor this should be mostly painless but it will take some time and involvement by City Staff. In addition, as Bond Counsel or Disclosure Counsel, the Firm will need to conduct a certain amount of due diligence. This too could involve some time on the part of staff to collect information and answer questions.

7. Information on the nature and magnitude of any litigation or proceeding whereby, during the past five (5) years, a court or any administrative agency has ruled against the firm in any matter related to the professional activities of the firm or the firm's insurance company has had to pay a claim or settlement in conjunction with such activities.

Except as stated below, no judgments have been entered against either the Firm or any of its attorneys by any court or administrative agency during the past five years and the Firm's insurance company has not had to pay a claim or settlement in conjunction with any such activities.

The Firm was named as a Third Party Defendant in a matter before the Circuit Court of the Second Judicial Circuit, in and for Leon County, Florida. The style of that case is Government Services Group, Inc. v. Florida Rural Broadband Alliance, LLC v. Nabors, Giblin & Nickerson, P.A., Case No. 11-CA-2159. A brief summary of the action is set forth below.

The primary dispute in this litigation involved issues related to the economic stimulus package passed by Congress in 2009. That package awarded and oversaw two rounds of federal grants for the purpose of deploying a microwave-based middle-mile broadband network across rural areas of the country as part of the Broadband Technology Opportunities Program. A grant was awarded to an entity called the Florida Rural Broadband Alliance (FRBA). NG&N was hired as general counsel to FRBA to assist in the obtaining of the grant funds and administering the program. An entity called Government Services Group, Inc. (GSG) was retained to serve as Manager of the program. As a result of a dispute between GSG and FRBA, GSG was terminated as Manager in June 2011. GSG subsequently filed suit against FRBA alleging breach of contract and other grounds. FRBA filed a counterclaim against GSG alleging various grounds and filed a cross claim against NG&N alleging breach of fiduciary duty. Though FRBA alleged a breach of fiduciary duty by the Firm, the attorney who actually provided representation to FRBA is no longer with NG&N and upon her leaving the Firm, was actually hired by FRBA to continue representing them. NG&N denied all claims. The case was recently settled.

8. Briefly describe the structure of compensation and the method which your firm proposes to utilize to determine the dollar value for your services. (This is a word document please add space as needed) Limit response to 1 page)

It is difficult to propose an exact fee without knowing the scope of work, revenue source and whether the transaction is a refunding or new money issue. We often provide a fee schedule to our clients as a guidepost to help identify fees. The fee schedule can be adjusted up or down depending on the nature and difficulty of the work involved. All Bond Counsel fees would be determined at the beginning of each transaction. We would charge less for bank loans. Usually, we would charge a fee of $10,000 - $15,000 for bank loans of less than $5,000,000 and $12,500 - $20,000 for bank loans greater than $5,000,000. In the event the City determines to enter into a public-private partnership transaction and the private entity pays the fees of Bond Counsel, we would suggest the fees be negotiated among the affected parties.

For services as Bond Counsel fee for publicly offered transactions in terms of dollar/bond (where term "bond" is $1,000 of the amount issued), we would propose:

$1.75 per $1,000 bond - first $25,000,000
$1.50 per $1,000 bond - next $25,000,000
$0.75 per $1,000 bond - next $50,000,000
$0.40 per $1,000 bond - thereafter

Payment of the fee described above shall be contingent upon successful issuance of the Bonds. Depending on the complexity, or lack thereof, of the legal work associated with the issuance of Bonds, the above fee schedule can be adjusted up or down upon the written consent of both parties.

Bond Counsel fee for privately placed transactions in terms of dollar/bond (where term "bond" is $1,000 of the amount issued):

$1.50 per $1,000 bond - first $25,000,000
$1.00 per $1,000 bond - next $25,000,000
$0.60 per $1,000 bond - next $50,000,000
$0.30 per $1,000 bond - thereafter

Minimum fee per transaction to serve as Bond Counsel:

$20,000

Disclosure Counsel typically charges a percentage of the fee paid to Bond Counsel. That percentage ranges between 70-90%. For example, the Firm serves as Disclosure Counsel to Pinellas County, the Cities of Fort Myers and Sunrise, and Tampa-Hillsborough County Expressway Authority and is paid a fee of 80% of Bond Counsel. We would propose a fee of 80% of Bond Counsel for serving as Disclosure Counsel to the City. Our minimum fee would be $20,000. All fees would be contingent upon successful closing.

We would also propose a fee for legal work outside the normal scope of Bond or Disclosure Counsel services which would be based on hourly rate charges which would be keyed in to the level of

experience of the attorney working on the transaction. We would charge $300 per hour for senior partners, $225 per hour for other partners and $175 per hour for associates. We would not submit a bill for hourly services unless explicitly authorized in writing by City.

The Firm is amenable to making its fee billings inclusive of expenses unless the County specifically authorizes such expenses to be billed under extraordinary circumstances.

9. Using the Reference Form submit three (3) references form the last three years from local governments in the State of Florida for which the firm acted as bond and or disclosure counsel.

Please see the Reference Forms attached.

ADDENDUM ACKNOWLEDGMENT -' Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued
1	11-4-15

CERTIFICATION:

This E-RFP is submitted by: Name (print) Steven E. Miller who is a shareholder of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract and Terms and Condition in its entirety

I agree to abide by all conditions of this E-RFP.:

Signature

Shareholder
Title

(Balance of Page Intentionally Left Blank)

Form **W-9**
(Rev. December 2014)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Nabors, Giblin & Nickerson, P.A.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☑ Other (see instructions) ▶ **Professional Association**

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any) _____
Exemption from FATCA reporting code (if any) _____
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
2502 Rocky Point Drive, Suite 1060

Requester's name and address (optional)

6 City, state, and ZIP code
Tampa, Florida 33607

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number
			–		–				

or

Employer identification number
5	9	–	2	4	2	7	5	4	0

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ _____ Date ▶ November 18, 2015

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at *www.irs.gov/fw9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

CERTIFICATE OF LIABILITY INSURANCE

DATE(MM/DD/YYYY): 11/12/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
KOUWENHOVEN & ASSOC
365 Wekiva Springs Rd #251
Longwood, FL 32779

CONTACT NAME:
PHONE (A/C, No, Ext): (407)774-5556 FAX (A/C, No): (407)774-7820
E-MAIL ADDRESS: maryanne@kouwen.com

INSURER(S) AFFORDING COVERAGE	NAIC#
INSURER A: Underwriters at Lloyd's of London	AA1126623
INSURER B: Torus Specialty Insurance Co.	44776
INSURER C:	
INSURER D:	
INSURER E:	
INSURER F:	

INSURED
Nabors, Giblin & Nickerson, P.A.
1500 Mahan Drive, Suite 200
Tallahassee, FL 32308

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY COMMERCIAL GENERAL LIABILITY [X] CLAIMS-MADE [ ] OCCUR [X] Lawyers Prof Liab (Primary) GEN'L AGGREGATE LIMIT APPLIES PER: [ ] POLICY [ ] PRO-JECT [ ] LOC			B0702BN301760J	11/1/15	11/1/16	EACH OCCURRENCE	$ 5,000,000
							DAMAGE TO RENTED PREMISES (Ea occurrence)	$
							MED EXP (Any one person)	$
							PERSONAL & ADV INJURY	$
							GENERAL AGGREGATE	$ 5,000,000
							PRODUCTS - COMP/OP AGG	$
								$
	AUTOMOBILE LIABILITY ANY AUTO ALL OWNED AUTOS HIRED AUTOS SCHEDULED AUTOS NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident)	$
							BODILY INJURY (Per person)	$
							BODILY INJURY (Per accident)	$
							PROPERTY DAMAGE (Per accident)	$
								$
B	[ ] UMBRELLA LIAB [ ] OCCUR [X] EXCESS LIAB [X] CLAIMS-MADE [ ] DED [ ] RETENTION $			05337E150APL	11/1/15	11/1/16	EACH OCCURRENCE	$ 3,000,000
							AGGREGATE	$ 3,000,000
								$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) Y/N [ ] If yes, describe under DESCRIPTION OF OPERATIONS below	N/A						WC STATU-TORY LIMITS [ ] OTH-ER	
							E.L. EACH ACCIDENT	$
							E.L. DISEASE - EA EMPLOYEE	$
							E.L. DISEASE - POLICY LIMIT	$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Retroactive Date: None (Full Prior Acts)
Deductible: $75,000 Per Claim
Other Locations:
1500 Mahan Drive, Suite 200, Tallahassee, FL 32308
110 East Broward Blvd., Suite 1700, Ft. Lauderdale, FL 33301

CERTIFICATE HOLDER

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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NABOR-1 OP ID: GS

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 01/28/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Earl Bacon Agency, Inc 3131 Lonnbladh Road P.O. Box 12039 Tallahassee, FL 32317 John R. Nylen, Jr. Phone: 850-878-2121 Fax: 850-878-2128	CONTACT NAME: PHONE (A/C, No, Ext): FAX (A/C, No): E-MAIL ADDRESS:
INSURED Nabors, Giblin & Nickerson, P.A. P.O. Box 11008 Tallahassee, FL 32302	INSURER(S) AFFORDING COVERAGE — NAIC # INSURER A: Zenith Insurance Co — 13269 INSURER B: American States Insurance Co — 19704 INSURER C: INSURER D: INSURER E: INSURER F:

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
B	GENERAL LIABILITY [X] COMMERCIAL GENERAL LIABILITY [ ] CLAIMS-MADE [X] OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: [ ] POLICY [ ] PRO-JECT [ ] LOC	X	X	01CI3265936	01/28/2015	01/28/2016	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence) MED EXP (Any one person) PERSONAL & ADV INJURY GENERAL AGGREGATE PRODUCTS - COMP/OP AGG	$ 1,000,000 $ 1,000,000 $ 10,000 $ 1,000,000 $ 2,000,000 $ 2,000,000
B	AUTOMOBILE LIABILITY [ ] ANY AUTO [ ] ALL OWNED AUTOS [ ] SCHEDULED AUTOS [X] HIRED AUTOS [X] NON-OWNED AUTOS			01CI3265936	01/28/2015	01/28/2016	COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident)	$ 1,000,000 $ $ $
B	[X] UMBRELLA LIAB [X] OCCUR [ ] EXCESS LIAB [ ] CLAIMS-MADE [ ] DED [X] RETENTION $ 10,000			01SU41768160	01/28/2015	01/28/2016	EACH OCCURRENCE AGGREGATE	$ 5,000,000 $ 5,000,000
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? Y/N [ ] (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N/A	X	Z830151627	01/28/2015	01/28/2016	[X] WC STATU-TORY LIMITS [ ] OTH-ER E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT	$ 1,000,000 $ 1,000,000 $ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, If more space is required)

RE: Contract #Y10-179B-LC.

Forms Attached: CG 76 35 02 07 & WC 00 03 13

Via e-mail ocfl@certfocus.com

CERTIFICATE HOLDER

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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DRUG-FREE WORKPLACE FORM

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that NABORS, GIBLIN & NICKERSON, P.A. does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

November 18, 2015
Date

CHECKLIST

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

✓ Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFP #20160014, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

✓ All questions on the questionnaire are complete and thoroughly answered

✓ Each Bid Addendum (when issued) is acknowledged

N/A Certified Minority Business Certificate if Applicable

✓ W9 Form

✓ Current Certificate of Insurance

✓ Three (3) Reference Forms from local Florida governments

✓ Drug Free Workplace Form

✓ Have reviewed the Contract and accept all City Terms and Conditions

✓ Checklist

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: Nabors, Giblin & Nickerson, P.A.
Reference: Lee County, Florida Fax #: 239/485-2106
Email: rwesch@leegov.com Telephone #: 239/533-2236
Person to contact: Richard Wesch, County Attorney

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference forprojects.

Describe the scope of work of the firm performed for your City.
Bond finance, refinance, litigation and land use law.

What problems were encountered?

None

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism 10
Qualifications 10
Budget Control 10
Final Product 10
Cooperation 10
Reliability 10

Would you contract with this Firm again? Yes [x] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: Nabors, Giblin & Nickerson, P.A.
Reference: City of Tampa Fax #: 813/274-8127
Email: sonya.little@tampagov.net Telephone #: 813/274-8151
Person to contact: Sonya Little, Chief Financial Officer

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference forprojects.

Describe the scope of work of the firm performed for your City.
Bond Counsel

What problems were encountered?
Never any Problems. Always Pro-active.

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	10	Final Product	10
Qualifications	10	Cooperation	10
Budget Control	10	Reliability	10

Would you contract with this Firm again? Yes [✓] No [ ] Maybe [ ]
Comments:
Professional, Knowledgeable and Pro-active

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: Nabors, Giblin & Nickerson, P.A.
Reference: City of Cape Coral, Florida Fax #: 239/574-0734
Email: vbateman@capecoral.net Telephone #: 239/574-0435
Person to contact: Victoria Bateman, Financial Services Director

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference forprojects.

Describe the scope of work of the firm performed for your City.

What problems were encountered? NONE

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	10	Final Product	10
Qualifications	10	Cooperation	10
Budget Control	8	Reliability	10

Would you contract with this Firm again? Yes [✓] No [ ] Maybe [ ]
Comments:

Thank you.

VICTORIA L BATEMAN
239 574 0497

For PMD Use Only	
Reference Checked	
Clerk Checked	

QUESTIONNAIRE
E-RFP #20160014
Bond Counsel or Bond Disclosure Counsel

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this 20th day of November, 2015

BRYANT MILLER OLIVE P.A.
Name of Organization / Proposer
(This is a word document please add space as needed.)

1. **Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?**

JoLinda Herring, Esq., Shareholder
BRYANT MILLER OLIVE P.A.
1 SE Third Avenue, Suite 2200
Miami, Florida 33131
Telephone: 305-374-7349
Fax: 305-374-0895
Email: jherring@bmolaw.com

2. **Is your firm a Certified Minority Business (yes or no)?** *No*

3. **List all proposed personnel, assigned to this project, including title, qualifications, and years of experience applicable to this project scope.**

Staff Name	Title	Yr Exp	Qualification
JoLinda Herring, Esq.	*Shareholder*	*20*	*Bond Counsel and Bond Disclosure Counsel*
Kenneth R. Artin, Esq.	*Shareholder*	*29*	*Disclosure Counsel*
Rhonda D. Bond-Collins, Esq.	*Of Counsel*	*19*	*Bond Counsel*
Jason M. Breth, Esq.	*Associate*	*8*	*Disclosure Counsel*

*See Attached Exhibit "A" for biographies of assigned personnel

(This is a word document add lines as needed)

4. **List the experience of the firm and staff as Bond Counsel or Disclosure Counsel for financings for the past five years. Emphasis should be placed on experience with Florida local governments.**

(This is a word document add lines as needed)

Staff Name	Financings, amount & type	Project Owner and email address
See Attached Exhibit "B" for a representative listing of transactions.		

5. **Provide firm experience for the following:**

Experience with Bank Loans to Florida municipalities as;	Years Exp	Responsibility
Bank Counsel	30	*See below*
Bond Counsel	45	*See below*
Bond Counsel on Bond Issues	45	*See Attached Exhibit "C"*
Disclosure Counsel on Bond Issues	15	*See Attached Exhibit "D"*

Bond Counsel Bank Loan Experience. Over the past five years, Bryant Miller Olive P.A. ("BMO") has served as Bond Counsel on over 300 bank loan financings totaling in the aggregate over $3.6 billion in bonds. BMO has extensive experience working with and negotiating against the major banking institutions in the State of Florida and their counsel to obtain the most advantageous financing terms for its clients. As an example, with JoLinda Herring as the lead, BMO served as bond counsel to the City of Lake City in a $4,847,450 bank loan. During the course of preparing the authorizing resolution for the city, the bank proposed a number of unfavorable default provisions, including a 2% increase in the interest rate and acceleration of the principal and interest on the bond. Due to BMO's experience working with this bank on other financings, BMO recognized these default provisions as extraordinary. BMO negotiated with the bank's counsel and successfully had those provisions removed.

Bank Counsel Bank Loan Experience. BMO has represented many major financial institutions such as Bank of America, N.A., Compass Bank, Fifth Third Bank, PNC Bank, Regions Bank, SunTrust Bank, TD Bank, N.A. and Wells Fargo Bank, N.A. Our experience and insight in representing banks would prove invaluable to the City because we will be able to help the City negotiate the bank's provisions, and help the City to understand what is standard and customary in the business.

BMO most recently served as bank counsel on the following issues as an example: Putnam County, Florida $18,519,600 Bond Anticipation Note, Series 2015; City of Boca Raton, Florida $15,555,000 Water and Sewer Refunding Revenue Bonds, Series 2015, Town of Cutler Bay, Florida $13,000,000

Florida's Sales Tax Revenue Note Series 2015A and Taxable Sales Tax Revenue Refunding Note, Series 2015B; and City of Deland, Florida $925,000 General Obligation Refunding Note, Series 2015; and City of Cape Coral, Florida $1,500,000 Fire Protection Assessment Revenue Note, Series 2015.

6. **Description of duties the Proposer expects to be performed by City staff.**

BMO will always strive to make the City of Port St. Lucie, Florida (the "City") and its staff's job easier by providing excellent client service. Our philosophy is that we are an extension of the City's staff. Therefore, our expectation of the duties of the City will be as follows:

- *City Attorney- Work with BMO on City Charter issues and litigation issues and provide an opinion of Issuer's Counsel.*

- *Finance Director/Treasurer- Work with BMO to provide information on the project being financed and structuring issues for accurate drafting by BMO. In addition, for publicly offered transactions, the Finance Director/Treasurer will be the point of contact for providing information to be inserted into the Official Statement. It is expected that BMO will synthesize such information for inclusion in the Official Statement, if BMO is acting as Disclosure Counsel.*

- *City Clerk - Provide appropriate copies of resolution and ordinances, as requested.*

- *All staff - Be generally available to answer questions, and review and comment on documents prepared by BMO.*

7. **Information on the nature and magnitude of any litigation or proceeding whereby, during the past five (5) years, a court or any administrative agency has ruled against the firm in any natter related to the professional activities of the firm or the firm's insurance company has had to pay a claim or settlement in conjunction with such activities.**

   None.

8. **Briefly describe the structure of compensation and the method which your firm proposes to utilize to determine the dollar value for your services. (This is a word document please add space as needed) Limit response to 1 page)**

Fees for our services as Bond Counsel and Disclosure Counsel in any short or long-term financing are based upon the scope and complexity of our responsibilities, the estimated time we must devote and the size and nature of the financing on which our legal opinion is being rendered. Consequently, the best interest of both parties usually dictate that fee arrangements be agreed upon for each bond issue when the elements of the financing are known and can be considered on a specific factual basis. If selected by the City to provide Bond Counsel or Disclosure Counsel services to the City, we would be willing to negotiate actual fees based on the scope of services to be provided with respect to any financing matter.

It has been our experience that numerous questions arise after bonds have been issued, such as document interpretation and federal tax arbitrage compliance issues. BMO provides such services at no additional cost.

Bond Counsel Services. Our fees are normally calculated on a per bond ($1,000) principal amount) basis. BMO proposes a minimum Bond Counsel fee for direct City issues of $25,000 for publicly offered bond issues and $15,000 for bank loans. Expenses would be reimbursed at actual out of pocket costs. A fee schedule can be negotiated at the time of entering into a contract for services.

For conduit financings, BMO would negotiate such fees for Bond Counsel services at the time of each transaction.

Disclosure Counsel Services. BMO proposes a fee for Disclosure Counsel services of 70% of the Bond Counsel fee, subject to a minimum fee of $20,000 per issue.

Fees for Bond Counsel and Disclosure Counsel services will be the same for a negotiated or competitive sale.

9. **Using the Reference Form submit three (3) references from the last three years from local governments in the State of Florida for which the firm acted as bond and or disclosure counsel.**

See attached Reference Forms.

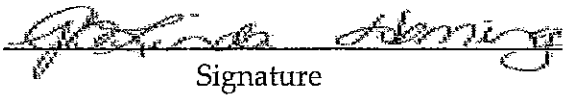
ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued
1	*November 4, 2015*

CERTIFICATION:

This E-RFP is submitted by: Name (print) **JoLinda Herring**, who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract and Terms and Condition in its entirety

I agree to abide by all conditions of this E-RFP.:



Signature

Shareholder

Title

EXHIBIT A

JoLinda L. Herring

Ms. Herring joined Bryant Miller Olive as a law clerk in 1994. Upon admission to The Florida Bar in 1996, she joined the firm as a practicing attorney and co-chairs the Public Finance Group. Ms. Herring's practice is dedicated to municipal law, governmental tax-exempt financings and blue sky securities law. Ms. Herring has a specialty in representing pooled programs. She currently serves as Bond Counsel to the Sunshine State Governmental Financing Commission and was also instrumental in creating the Florida Municipal Loan Council and the Florida Rural Utility Financing Commission and currently serves as Bond Counsel to both entities. She has served as Bond Counsel, Disclosure Counsel and Underwriter's Counsel to numerous governmental entities across the State of Florida as well as governmental entities in the State of Connecticut and State of Louisiana. She is a member of National Association of Bond Lawyers and is listed in the Municipal Bond Attorneys' section of The Bond Buyer's Municipal Marketplace. She graduated from Florida State University College of Law in 1996. Ms. Herring also received an M.B.A. in Finance with honors from Vanderbilt University in 1987.

Kenneth R. Artin

Mr. Artin has been actively practicing public finance law beginning in 1986. He has represented municipalities, counties, school districts, and special taxing districts as Disclosure Counsel, Bond Counsel and Special Tax Counsel. Mr. Artin is President of the National Association of Bond Lawyers (NABL). Through his involvement with NABL, Mr. Artin is well positioned to assist Citizens in keeping abreast of the most current information affecting the world of public finance. He has had the opportunity and responsibility to prepare and/or comment on numerous white papers issued by NABL on various topics that are both timely and relevant to the public finance industry, as well to proposed rules and regulations issued by various industry regulatory groups such as the Municipal Securities Rulemaking Board (MSRB) and the Securities and Exchange Commission (SEC). He was co-chair in NABL's recent pension fund disclosure project. Mr. Artin is rated "AV Preeminent" by Martindale-Hubbell and has earned the status of Florida Trend's Legal Elite. He is listed in the Municipal Bond Attorneys' section of The Bond Buyer's Municipal Marketplace. He graduated from the State University of New York at Buffalo's Law School in 1982 and from Southern Methodist University with an LL.M. in taxation in 1983. Mr. Artin is the Managing Shareholder of the Firm's Washington, DC office.

Rhonda D. Bond-Collins

Ms. Bond-Collins' practice focuses on tax-exempt financings. She regularly serves as bond counsel, underwriter's counsel, disclosure counsel, borrower's counsel, issuer's counsel,

purchaser's counsel and trustee's counsel on a variety of tax-exempt financings, including single and multifamily housing, airports, colleges and universities, utilities, water and sewer, special districts, including community development districts and transportation. Her experience in the public finance arena also includes regularly serving as bank counsel on governmental finance transactions. She also has experience in commercial real estate transactions representing both purchasers and sellers. Ms. Bond-Collins received a B.S. degree in Business and Management from the University of Maryland, University College in 1991 and received her J.D. degree from the American University Washington College of Law in 1996. Ms. Bond-Collins is also a frequent panelist at various public finance conferences, including the National Association of Bond Lawyers.

Jason M. Breth

Mr. Breth is a member of BMO's Public Finance Practice group and has assisted on public finance matters, including assisting with bond, disclosure and underwriters' counsel representation of numerous local government entities throughout the State of Florida, including municipalities, counties, school districts, state agencies, special districts and interlocal agencies. Specifically, Mr. Breth has served as bond counsel, disclosure counsel or underwriters' counsel on financings by: the Florida Municipal Loan Council; the Florida Housing Finance Corporation; and the school districts of Bay, Brevard, Escambia, Gadsden, Hillsborough, Jackson, Lake, Leon, Manatee, Pasco, St. Johns, St. Lucie, Volusia and Walton. Mr. Breth has been involved in two of the more significant public finance decisions rendered by the Florida Supreme Court in recent years. Mr. Breth was involved in researching legal issues in the case of *Strand v. Escambia County*, which reaffirmed the constitutionality of issuing tax increment financing bonds without first holding a public referendum. Mr. Breth was also a one of the attorneys in the consolidated cases of the *Miccosukee Tribe of Indians v. South Florida Water Management District* and *New Hope Sugar v. South Florida Water Management District*, affirming the constitutionality of issuance of certificates of participation without first holding a public referendum and validating the issuance of certificates of participation for the purchase of 73,000 acres to restore the Everglades. While at law school, Mr. Breth was a member of the Florida State University Law Review, the Florida State University Business Law Review and the Phi Delta Phi Honor Society and was inducted into the Florida State University Chapter of the Order of the Coif, an honor society for law school students who earn a Juris Doctor degree and graduate in the top 10 percent of their class. In May 2004, he graduated summa cum laude from Virginia Tech where he received a Bachelor of Arts in Political Science.

EXHIBIT B-1
BOND COUNSEL

Delivered	Attorney	Par Value	Issuer	Issue Name	Type of Security
2/1/2011	JoLinda Herring	$4,010,000.00	City of Panama City Beach, Florida	Utility System Refunding Revenue Bonds, Series 2011	Utility system revenues and p
3/17/2011	JoLinda Herring	$7,895,040.00	Leon County, Florida	Capital Improvement Revenue Refunding Bonds, Series 2011	Local government half cent sa second guaranteed entitlemer
4/14/2011	JoLinda Herring	$247,600,000.00	Sunshine State Governmental Financing	Multimodal Revenue Bonds, Series	Non-Ad Valorem Revenues, a
4/19/2011	JoLinda Herring	$4,847,450.00	City of Lake City, Florida	Sales Tax Revenue and Refunding Bond, Series 2011	Half Cent Sales Tax Revenues
4/28/2011	JoLinda Herring	$16,000,000.00	Town of Surfside, Florida	Utility System Revenue Bonds, Series 2011	Utility System Revenue
4/28/2011	JoLinda Herring	$25,570,000.00	Riviera Beach Community Redevelopment Agency	Redevelopment Note, Series 2011	Pledge Revenues
6/30/2011	JoLinda Herring	$43,860,000.00	Sunshine State Governmental Financing Commission	Revenue Bonds, Series 2011A Loan to City of Coral Gables, Florida	Non-Ad Valorem Revenues
3/14/2012	JoLinda Herring	$20,910,000.00	City of Panama City Beach, Florida	Water and Sewer Utility Revenue Refunding Bonds, Series 2012	Water and sewer system reve
3/21/2012	JoLinda Herring	$8,190,000.00	City of North Miami Beach, Florida	General Obligation Refunding Bond, Series 2012	Ad valorem revenues
6/5/2012	JoLinda Herring	$49,350,000.00	Sunshine State Governmental Financing Commission	Loan to City of Coral Gables, Florida), Series 2012	Non-ad valorem revenues
8/15/2012	JoLinda Herring	$38,655,000.00	Space Coast Infrastructure Agency	Infrastructure Improvement Revenue Bonds (I-95 Brevard County DBF	Florida Department of Transp
9/7/2012	JoLinda Herring	$59,110,000.00	Florida Municipal Loan Council	Infrastructure Improvement Revenue Bonds (9B Design-Build-Finance Project),	FDOT Payments
11/21/2012	JoLinda Herring	$5,050,000.00	City of Hallandale Beach, Florida	Revenue Notes, Series 2012	Covenant to budget and appr
2/27/2013	JoLinda Herring	$72,430,000.00	Palm Beach County, Florida	Water and Sewer Revenue Refunding Bonds, Series 2013	Water and sewer system reve
9/19/2013	JoLinda Herring	$3,719,000.00	City of North Miami Beach, Florida	Transit System Surtax Refunding Revenue Bond, Series 2013	Transit system surtax
4/16/2014	JoLinda Herring	$275,541,000.00	Hillsborough County, Florida	Capital Improvement Program Commercial Paper Notes, Series A, Series	Non-ad valorem revenues; co taxes, direct-pay letter of cred
6/13/2014	Rhonda Bond-Collins	$7,680,000.00	City of Winter Park, Florida	Electric Refunding Revenue Bond, Series 2014	Electric system revenues
7/23/2014	JoLinda Herring	$16,200,000.00	Leon County, Florida	Capital Improvement Revenue Refunding Bond, Series 2014	(i) the Local Government Hall Revenues (iii) the Second Gua
9/30/2014	JoLinda Herring	$8,500,000.00	Escambia County, Florida	Capital Improvement Revenue Note, Series 2014	Covenant to budget and appr
10/1/2014	JoLinda Herring	$72,445,000.00	Palm Beach County, Florida	Public Improvement Revenue Refunding Bonds, Series 2014A	Covenant to budget and appr

EXHIBIT B-1
BOND COUNSEL

Delivery Date	Attorney	Par Value	Issuer	Description	Pledged Security
5/6/2015	Rhonda Bond-Collins	$77,015,000.00	USF Financing Corporation	REFUNDING CERTIFICATES OF PARTICIPATION (USF Financing Corporation Master Lease Program),	System revenues
8/6/2015	Rhonda Bond-Collins	$48,385,000.00	University of Central Florida	Refunding Revenue Bonds, Series 2015A	Pledged revenues
8/6/2015	Rhonda Bond-Collins	$34,775,000.00	University of Central Florida	Taxable Refunding Revenue Bonds, Series 2015B	Pledged revenues
8/11/2015	JoLinda Herring	$94,615,000.00	City of Tallahassee, Florida	Energy System Revenue Refunding Bonds, Series 2015	Net revenues of the City's ene
8/19/2015	JoLinda Herring	$90,055,000.00	City of Gulf Breeze, Florida	Capital Funding previous Bonds, Series 1997B	Loan repayments
8/25/2015	Rhonda Bond-Collins	$10,000,000.00	City of Maitland, Florida	Utility Revenue Note, Series 2015	Net revenues of the Water an
9/1/2015	Rhonda Bond-Collins	$6,220,000.00	City of Titusville, Florida	Limited Ad Valorem Tax Refunding Bond, Series 2015	Ad Valorem taxes
9/1/2015	JoLinda Herring	$3,910,000.00	Jackson County, Florida	Utility System Revenue Refunding Bond, Series 2015	Net revenues and County Rev
9/30/2015	JoLinda Herring	$44,255,000.00	City of Tallahassee, Florida	Utility Systems Refunding Revenue Bonds, Series 2015	(i) Money in certain of the fun Resolution; (ii) the Net Reven
10/8/2015	Rhonda Bond-Collins	$1,690,000.00	City of Starke, Florida	Utility Refunding Revenue Bond, Series 2015	Utility Servcies Tax
10/14/2015	JoLinda Herring	$18,805,000.00	Palm Beach County, Florida	Public Improvement Revenue Bonds, Series 2015B (Max Planck Project)	Payable from non ad valorem

EXHIBIT B-2
Disclosure Counsel

Delivered	Attorney	Par Value	Issuer	Project Name	T
11/4/2010	JoLinda Herring	$2,150,000.00	City of Miami Gardens, Florida	Certificates of Participation, Series 2010A-1 (Miami Gardens Leasing Corporation)	T
11/4/2010	JoLinda Herring	$52,850,000.00	City of Miami Gardens, Florida	Certificates of Participation, Series 2010A-2 (Federally Taxable - Build America Bonds-Direct Payment)	T
12/23/2010	Ken Artin	$24,770,000.00	City of Cocoa, Florida	Water and Sewer System Revenue Bonds, Series 2010	U
5/12/2011	JoLinda Herring	$3,105,000.00	City of Lynn Haven, Florida	Capital Improvement Revenue Refunding Bonds, Series 2011	N
5/19/2011	JoLinda Herring	$6,000,000.00	City of Quincy, Florida	Utility System Revenue Bonds, Series 2011	U
6/8/2011	Ken Artin	$6,955,000.00	City of Winter Park, Florida	General Obligation Refunding Bonds, Series 2011	P T
6/22/2011	Ken Artin	$33,460,000.00	City of Daytona Beach, Florida	Capital Improvement Revenue Bonds, Series 2011A	P
6/22/2011	Ken Artin	$2,160,000.00	City of Daytona Beach, Florida	Capital Improvement Revenue Bonds, Series 2011B	N a
7/7/2011	Ken Artin	$30,005,000.00	Kissimmee Utility Authority	Electric System Refunding Revenue Bonds, Series 2011	E
7/21/2011	JoLinda Herring	$70,645,000.00	City of Miami, Florida	Special Obligation Non-Ad Valorem Revenue Refunding Bonds, Series 2011A	N
10/20/2011	Ken Artin	$14,155,000.00	City of Winter Park, Florida	Water and Sewer Refunding Revenue Bonds, Series 2011	W
7/18/2012	Ken Artin	$46,205,000.00	The FAU Finance Corporation	Capital Improvement Revenue Bonds (Student Housing Project), Series 2012A	S
9/20/2012	Ken Artin	$54,450,000.00	City of Daytona Beach, Florida	Utility System Refunding and Improvement Revenue Bonds, Series 2012	U
9/5/2013	Ken Artin	$40,950,000.00	Reedy Creek Improvement District (FLORIDA)(Located in Orange and Osceola	Ad Valorem Tax Refunding Bonds, Series 2013B	A
9/5/2013	Ken Artin	$344,960,000.00	Reedy Creek Improvement District (FLORIDA)(Located in Orange and Osceola	Ad Valorem Tax Bonds, Series 2013A	A
8/21/2014	JoLinda Herring	$7,000,000.00	Capital Trust Agency	Industrial Development Refunding and Revenue Bonds, Series 2014 (Holly-Navarre Water System, Inc.)	T a
10/15/2014	JoLinda Herring	$25,475,000.00	Brevard County, Florida	Water and Wastewater Utility Revenue Bonds, Series 2014	P F
11/20/2014	JoLinda Herring	$40,365,000.00	Utility Board of the City of Key West, Florida	Electric System Refunding Revenue Bonds, 2014	P a
11/25/2014	JoLinda Herring	$47,160,000.00	City of Hollywood, Florida	Water and Sewer Refunding Revenue Bonds, Series 2014	L
3/12/2015	Jason Breth	$12,095,000.00	School Board of Brevard County, Florida	Refunding Certificates of Participation Series 2015B	L
3/12/2015	Jason Breth	$88,600,000.00	School Board of Brevard County, Florida	Refunding Certificates of Participation Series 2015C	L
4/23/2015	Ken Artin	$50,925,000.00	Reedy Creek Improvement District (FLORIDA)(Located in Orange and Osceola Counties)	Ad Valorem Tax Refunding Bonds, Series 2015A	A
10/29/2015	JoLinda Herring	$49,075,000.00	City of Hollywood, Florida	Community Redevelopment Agency Redevelopment Revenue and Revenue Refunding Bonds (Beach CRA) Series 2015	T

EXHIBIT C

Bond Counsel Experience

Bryant Miller Olive P.A. ("BMO") is a professional association of attorneys organized under the laws of the State of Florida, established in 1970. BMO has continuously appeared in *The Bond Buyer's Municipal Marketplace* (the "Red Book") as a nationally recognized Bond Counsel firm since 1973. For additional information about BMO, its practice areas, and its attorneys, please visit our website at www.bmolaw.com. BMO has offices in Tampa, Miami, Jacksonville, Tallahassee, Orlando, Washington, D.C., and Atlanta and employs a professional staff of 36 attorneys, 20 of whom are focused on the area of public finance law, giving BMO more Florida-based municipal bond attorneys than any other law firm. As part of BMO's public finance group, BMO has three in-house Section 103 federal tax attorneys (BMO does not subcontract its federal tax law work or have its bond attorneys do their own tax work).

BMO has been the top-ranked Bond Counsel firm in the State of Florida by Securities Data Company, Inc. ("SDC") for the last 5 years, based upon the number of transactions completed. In the past five years, BMO has served as bond counsel, disclosure counsel, underwriter's counsel, special counsel and swap counsel on more than 1,000 financings involving more than $50 billion in principal amount of governmental bonds which financed a wide range of projects including general governmental projects, public utilities, water and sewer improvements, recreation, parking and public safety facilities, education projects (K-12 and higher education), electric generation and transportation facilities, solid waste management facilities, healthcare, and affordable housing.

As the largest and most resourceful public finance legal group in Florida, BMO offers the best of both worlds: the depth and experience of a large firm, and the responsiveness, efficiency, creativity and minimal conflicts of a small firm.

Tax Expertise. Clearly, one of the most important aspects of a tax-exempt bond issue is the tax-exempt status of the bonds. BMO and its tax practitioners are regularly involved in assisting its public finance clients in complying with the provisions of the Internal Revenue Code of 1986, as amended (the "Code") and the Income Tax Regulations and rulings issued thereunder (the "Regulations") relating to the issuance of tax-exempt bonds. This includes advising clients with respect to the complex provisions of the Code and Regulations relating to arbitrage and rebate. BMO also applies our team approach to providing tax counsel. BMO regularly monitors the current position of the Internal Revenue Service on various matters of interest to its public finance clients, including the Service's recently expanded enforcement activities in the bond area.

For each issue, the bond attorney and tax attorney will regularly consult throughout the development of the specific plan of finance, the drafting of the basic bond documents, and the development of the specialized documents and certificates which are necessary to evidence the issuer's compliance with all relevant provisions of the federal tax law. In addition, prior to delivery of an approving opinion of bond counsel regarding the tax status of the bonds, a BMO tax

lawyer who is not primarily assigned to the transaction undertakes a review of the transaction to confirm compliance with the provisions of the Code.

Bond Counsel Experience in Complex and Innovative Financings

BMO has been extremely innovative in municipal finance throughout its history and we are proud of this tradition of innovation in serving the needs of our public finance clients. BMO believes that innovation in public finance does not take place in a vacuum. We believe it results when a thorough understanding of a client's financing objectives is combined with an in-depth knowledge of all areas of law potentially affecting the structure of a financing, including state bond and regulatory law, federal income tax law, and federal and state securities laws. Attorneys at BMO have been at the forefront of developing innovative programs that have helped the State of Florida and its local governments save millions of dollars. Complex transactions are the mainstay of the Firm's practice. Members of the Firm are noted for excelling on challenging deals.

Bond Counsel Experience with the City

BMO has served as Bond Counsel to the City in the past and are currently serving as Bond Counsel on the defeasance of the City's $78,435,000 Utility System Revenue Bonds, Series 2006. Because of our familiarity with the City, its staff, and its policies and procedures the transition to Bryant Miller Olive P.A. as Bond Counsel would be an easy and seamless transition.

EXHIBIT D

Disclosure Counsel Experience

BMO is highly qualified to perform any services related to market disclosure and compliance with SEC rules. Since at least 2005, BMO has been recognized as the leading disclosure and underwriter's counsel firm in the southeast United States. BMO devotes a significant part of its Florida public finance practice to federal securities law matters, including both primary market disclosure and secondary market disclosure, and allocates a significant amount of time and resources to assisting its clients in this regard. According to SDC, BMO ranked as the number two Disclosure Counsel firm in Florida based on number of transactions in 2014.

BMO has maintained an excellent and longstanding reputation in the public finance community for its primary disclosure and due diligence investigations relating to municipal bond offerings throughout Florida, which experience is and has been readily transferable and applicable to the more recent secondary market requirements. In recent years, disclosure in municipal offerings has received dramatically increased attention and scrutiny. At the same time, the complexity of disclosure related issues has significantly increased.

BMO currently serves as ongoing Disclosure Counsel to Alachua County, Brevard County, Broward County, Charlotte County, Citrus County, Collier County, Hernando County, Highlands County, Lake County, Lee County, Martin County, Monroe County, Osceola County, Polk County, Sarasota County, St. Johns County, St. Lucie County and Volusia County, the Cities of Clermont, Melbourne, North Port, Cocoa, Gainesville, Hollywood and Ocala, Citizens Property Insurance Corporation, the Florida Hurricane Catastrophe Fund, the Kissimmee Utility Authority, the Fort Pierce Utilities Authority, the Hillsborough County Port District, the Florida Governmental Utility Authority, the Collier County Water-Sewer District. BMO is also Disclosure Counsel to several school districts including Miami-Dade Public Schools (the fourth largest school district in the United States) and Hillsborough County Public Schools (the eighth largest school district in the United States). BMO also serves as either Bond or Disclosure Counsel for the Cities of Jacksonville (consolidated Duval County) Miami, and Orlando and as both Bond and Disclosure Counsel to Halifax Hospital Medical Center.

BMO's tradition as a law firm serving the vast array of needs for Florida's local governments has proven beneficial to our clients. Because of this focus, our attorneys are consistently on top of not only Florida's municipal bond laws, but also the impacts of potential and recent legislative developments. For example, BMO was actively assisting several clients in the preparation of preliminary and official statements during 2008 and 2009 when the Florida Legislature enacted several pieces of legislation impacting the levy and collection of ad valorem property taxes. During that time, BMO's Disclosure Counsel clients benefited from the regular (sometimes daily) updates provided by BMO's state and local government attorneys to our public finance team.

BMO will be prepared, whenever the City speaks to the market, to provide Disclosure Counsel services in connection with disclosure required at the time of initial public offerings and remarketings, continuing disclosure filings and material event notices, including the use of print or electronic media such as press releases and the use of the City's website for communicating with the market. In an effort that began years ago, BMO has been on the forefront in the use of electronic media to speak to the market. We are prepared to assist the City in the responsibilities and risks in using electronic media in such a manner.

BMO will also provide guidance to the City Council and staff as to their individual responsibilities under the various securities laws. It is important for Council members to understand that no matter which law firm or staff member prepares the offering material related to a bond offering, the SEC has made it very clear in numerous enforcement actions that the individual Council members have the ultimate responsibility for the disclosure material. This does not mean each Council member must be a financial or securities law expert, but rather, using their own frame of reference, each Council member has a responsibility to ask questions or point out inconsistencies with information in the offering material and their understanding of the facts. We stand ready to provide training to Council members and staff with respect to these matters.

Form **W-9**
(Rev. August 2013)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)
Bryant Miller Olive P.A.

Business name/disregarded entity name, if different from above

Check appropriate box for federal tax classification:
☐ Individual/sole proprietor ☑ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate

☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶

☐ Other (see instructions) ▶

Exemptions (see instructions):
Exempt payee code (if any)
Exemption from FATCA reporting code (if any)

Address (number, street, and apt. or suite no.)
101 N. Monroe Street, Suite 900

Requester's name and address (optional)

City, state, and ZIP code
Tallahassee, FL 32301

List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number
			–			–				

Employer identification number
5	9	–	1	3	1	5	8	0	1

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below), and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here | **Signature of U.S. person ▶** [signature] **Date ▶** November 4, 2015

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at *www.irs.gov/w9*. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

BRYAN-1 OP ID: SG

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 09/17/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Shea Barclay (Tampa) Mike Shea 100 No. Tampa St., Ste 3530 Tampa, FL 33602 Sandy Garrick Phone: 813-251-2580 Fax: 813-251-2585	CONTACT NAME: Sandy Garrick PHONE (A/C, No, Ext): 407-321-0991 FAX (A/C, No): 407-321-0993 E-MAIL ADDRESS: sgarrick@sheabarclay.com
INSURED Bryant Miller Olive PA & Integrity Public Finance Consulting, LLC Joe Reichert 201 N. Franklin St #2700 Tampa, FL 33602	INSURER(S) AFFORDING COVERAGE / NAIC # INSURER A : Hartford Fire Ins. Co. 19682 INSURER B : Continental Casualty - CNA INSURER C : INSURER D : INSURER E : INSURER F :

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY X COMMERCIAL GENERAL LIABILITY CLAIMS-MADE X OCCUR			21SBABU3023	12/28/2014	12/28/2015	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence)	$ 1,000,000 $ 1,000,000
B	X General Liability			5085748421	12/28/2014	12/28/2015	MED EXP (Any one person) PERSONAL & ADV INJURY	$ 10,000 $ 1,000,000
A	X Cont Lia						GENERAL AGGREGATE	$ 2,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER: X POLICY PRO-JECT LOC						PRODUCTS - COMP/OP AGG 	$ 2,000,000 $
A	AUTOMOBILE LIABILITY ANY AUTO ALL OWNED AUTOS SCHEDULED AUTOS X HIRED AUTOS X NON-OWNED AUTOS			21SBABU3023	12/28/2014	12/28/2015	COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident) 	$ 1,000,000 $ $ $ $
	X UMBRELLA LIAB X OCCUR EXCESS LIAB CLAIMS-MADE DED X RETENTION $ 10,000			21SBABU3023 & B5085748483	12/28/2014	12/28/2015	EACH OCCURRENCE AGGREGATE 	$ 2,000,000 $ 2,000,000 $
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y / N ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? N / A (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below						WC STATU-TORY LIMITS OTH-ER E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT	 $ $ $
A	Crime			21SBABU3023	12/28/2014	12/28/2015	EmDishone	250,000
A	Contents			21SBABU3023	12/28/2014	12/28/2015	Special	587,500

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

CERTIFICATE HOLDER

PINELLC

Purchasing Dept
Board of County Commissioners
400 S. Ft. Harrison Ave
6th Floor Annex
Clearwater, FL 33756

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE
Sandy Garrick

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BRYAN-1 OP ID: SK

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 09/18/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Hamrick Insurance Associates P. O. Box 1873 Tallahassee, FL 32302 Teresa Hamrick	CONTACT NAME: Suzanne Kilgore PHONE (A/C, No, Ext): 850-219-4404 FAX (A/C, No): 850-878-8762 E-MAIL ADDRESS: suzanne.kilgore@hamrickinsurance.net
INSURED Bryant Miller Olive PA Suite 900 101 N Monroe St Tallahassee, FL 32301	INSURER(S) AFFORDING COVERAGE — NAIC # INSURER A : Bridgefield Employers Ins. Co. — 10701 INSURER B : INSURER C : INSURER D : INSURER E : INSURER F :

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	GENERAL LIABILITY						EACH OCCURRENCE	$
	COMMERCIAL GENERAL LIABILITY						DAMAGE TO RENTED PREMISES (Ea occurrence)	$
	CLAIMS-MADE OCCUR						MED EXP (Any one person)	$
							PERSONAL & ADV INJURY	$
							GENERAL AGGREGATE	$
	GEN'L AGGREGATE LIMIT APPLIES PER:						PRODUCTS - COMP/OP AGG	$
	POLICY PRO-JECT LOC							$
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)	$
	ANY AUTO						BODILY INJURY (Per person)	$
	ALL OWNED AUTOS / SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	HIRED AUTOS / NON-OWNED AUTOS						PROPERTY DAMAGE (PER ACCIDENT)	$
								$
	UMBRELLA LIAB OCCUR						EACH OCCURRENCE	$
	EXCESS LIAB CLAIMS-MADE						AGGREGATE	$
	DED RETENTION $							$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) Y / N If yes, describe under DESCRIPTION OF OPERATIONS below	N / A	X	0830-52583	04/19/2015	04/19/2016	X WC STATU-TORY LIMITS X OTH-ER	
							E.L. EACH ACCIDENT	$ 1,000,000
							E.L. DISEASE - EA EMPLOYEE	$ 1,000,000
							E.L. DISEASE - POLICY LIMIT	$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

The insurance policy carrier shall give 30 days notice of cancellation or non-renewal. 10 days notice of cancellation for non payment of premium.

CERTIFICATE HOLDER

PINELAS

Pinellas County
Board of County Commissioners
Annex Building 6th Floor
400 South Fort Harrison Avenue
Clearwater, FL 33756

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Teresa Hamrick

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ALAS
Attorneys' Liability Assurance Society, Inc.

December 29, 2014

Bryant Miller Olive PA
101 North Monroe Street
Suite 900
Tallahassee, FL 32301

To Whom It May Concern:

CONFIRMATION OF INSURANCE

We hereby confirm that Bryant Miller Olive PA has Professional Liability Coverage under Policy ALA#1943 with an annual limit of $20,000,000 per claim and $40,000,000 in the aggregate with the right, under stated conditions, to purchase extended reporting rights upon termination of such Policy by ALAS.

The self-insured retention under such Policy is $250,000 each claim up to an aggregate of $500,000 and $100,000 each claim thereafter.

The Policy effective date is from January 1, 2015 to January 1, 2016.

Such Policy is subject to the terms, conditions, limitations and exclusions stated therein.

ATTORNEYS' LIABILITY ASSURANCE SOCIETY, INC.,
A RISK RETENTION GROUP

By: Darren C. Baker Date: 12/29/14

Darren C. Baker
Assistant Director of Underwriting

311 S. Wacker Drive, Suite 5700
Chicago, IL 60606-6629
tel 312.697.6900
fax 312.697.6901
alas.com

CHECKLIST

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

✓ Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFP #20160014, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, ,, three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

✓ All questions on the questionnaire are complete and thoroughly answered

✓ Each Bid Addendum (when issued) is acknowledged

N/A Certified Minority Business Certificate if Applicable

✓ W9 Form

✓ Current Certificate of Insurance

✓ Three (3) Reference Forms from local Florida governments

✓ Drug Free Workplace Form

✓ Have reviewed the Contract and accept all City Terms and Conditions

✓ Checklist

DRUG-FREE WORKPLACE FORM

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that BRYANT MILLER OLIVE P.A. does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Glenda Hanig

Bidder's Signature

Date: November 20, 2015

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: BRYANT MILLER OLIVE P.A.
Reference: City of Coral Gables, Florida Fax #: 305-460-5376
Email: dgomez@coralgables.com Telephone #: 305-460-5275
Person to contact: Diana Gomez, Finance Director

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.

Bond counsel

What problems were encountered?

None

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	10	Final Product	10
Qualifications	10	Cooperation	10
Budget Control	10	Reliability	10

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]
Comments:

BMO is very responsive to our needs. Very reliable and confident in services provided. JoLinda Herring goes above and beyond to ensure the work gets completed accurately and in a timely manner. Very happy with her services.

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

Diana Gomez, Finance Director

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: BRYANT MILLER OLIVE P.A.
Reference: City of Tallahassee, Florida Fax #: 850-891-0031
Email: jim.cooke@talgov.com Telephone #: 850-891-8146
Person to contact: James O. Cooke, IV, City Treasurer-Clerk

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.
Bryant Miller Olive serves as Bond Counsel for the City of Tallahassee.

What problems were encountered?
We have encountered no problems with Bryant Miller Olive.

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	10	Final Product	10
Qualifications	10	Cooperation	10
Budget Control	10	Reliability	10

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]

Comments:
In addition to duties as Bond Counsel for the City of Tallahassee, Bryant Miller Olive serves as Special Counsel to monitor the City's interests on conduit debit and other debt related matters.

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

User: **Shanaberger, CPPO, Cheryl** Organization: **City of Port St. Lucie, Procurement Management Department** Logout | Help

DEMANDSTAR by ONVIA

My DemandStar Buyers Account Info

Log Bid **[View Bids]** **Log Quote** **View Quotes** **Supplier Search** **Build Broadcast List** **Reports**

Bid Details

Bid Information

EDIT

Agency	City of Port St. Lucie, Procurement Management Department
Bid Type	Request for Proposal
Bid Number	RFP-20160014-0-2016/CS
Fiscal Year	2016
Bid Writer	Cheryl Shanaberger, CPPO
Bid Name	Bond Counsel or Bond Disclosure Counsel
Bid Status	Under Evaluation
Bid Status Text	None
Award To	
Due Date/Time	11/20/2015 2:00 PM Eastern
Broadcast Date	10/29/2015
Bid Bond	no
Project Estimated Budget	
Plan (blueprint) Distribution Options	None
Distribution Method	Download and Mail
Distributed By	Onvia DemandStar
Distribution Notes	None
Scope of Work	The Bond Counsel or Disclosure Counsel must provide the full scope of legal services in preparing and marketing bond issues for the City. The services to be performed by the Bond Counsel or Disclosure Counsel include, but are not limited to, the following: 1. Reviewing the advice to the City as to the legal feasibility of any financing program proposed by the City's Financial Advisor and advising as to compliance with applicable law and pending or proposed revision in the law, including U.S. Treasury regulations. 2. Reviewing any conduit bond issues for compliance with all applicable securities and tax law and regulations 3. Advising as to procedures, required approvals and filings, schedule of events for timely issuance, potential cost-saving techniques and other legal matters relative to the issuance of bonds, whether the financing is undertaken by competitive bid or negotiated sale. 4. Attending conferences of City officials, staff members, the City's Financial Advisor, and if a negotiated sale is undertaken, representatives of the selected underwriters, when so requested. 5. Attending any requested meetings of the City Commission and its committees. 6. Preparing bond documents and any amendments thereto in order to authorize the issuance of the bonds. 7. Preparing any trust indenture; escrow deposit agreement; trustee, registrar or paying agent agreement; and any other agreements or similar documents necessary, related or incidental to the financing. 8. Preparing all pleadings (e.g., complaint, notice of service, proposed answer, memorandum of law, proposed order, etc.) and conducting the validation hearing of any appeals related thereto or arising there from. 9. Reviewing the transcript of all proceedings in connection with the foregoing and indicating any necessary corrective action. 10. If sale is by competitive bid, assisting in the preparation of the bid documents, notice of sale, evaluation of bids and any other documentation or action necessary to conduct a sale of the bonds in that manner. 11. Preparation of the preliminary official statement and official statement and preparation of the award resolution, and any amendments thereto, for the sale of the bonds and reviewing the bond purchase agreement. 12. Preparing, obtaining, delivering, and filing all closing papers necessary in connection with the sale and issuance of the bonds, including, but not limited to, certified copies of all minutes, ordinances, resolutions and orders; certificates such as officers, seal, incumbency, signature, no prior pledge, arbitrage and others; and verifications, consents and opinions from accountants, Consultants, special consultants, and attorneys. 13. Issuing of standard, comprehensive bond counsel opinion as to the legality of the bonds, the security of their payment and the exemption from federal income

taxation of the interest on the bonds as well as the customary 10(b)(5) opinion as to the accuracy of the Official Statement.

E-Bidding Yes

E-Bidding

EDIT

View Supplier Info on Tabulation Sheet prior to bid opening: Yes

Required Documents:
1. Checklist
2. Questionnaire
3. Drug Free Workplace Form
4. Current Certificate of Insurance
5. Reference Check Form
6. W-9 form

Legal Ad

VIEW

Please select either the View or Edit button to manage legal ad.

Pre-Bid Conference

None

Publications

No Publications Data Found

Documents

EDIT

Bid Package:
Request for Proposal (Complete)
Addendum #1 (1 Page, Complete)

Award:
Public Notice of Evaluation Committee Meeting (1 Page, Complete)
Bid Tabulation (1 Page, Complete)
Public Notice of Evaluation Committee Meeting #2 (1 Page, Complete)

Commodity Codes

SRV-918-49 - Finance/Economics Consulting
SRV-946-00 - FINANCIAL SERVICES
SRV-946-30 - Cash/Securities and Bonding Services
SRV-946-38 - Custom Brokerage Services (Incl. Stock and Bonds)
SRV-946-48 - Financial Advisor
SRV-958-39 - Financial Management Services

Statistics

Planholders: There are 10 planholders for this bid

Broadcast List: 582 suppliers have been notified

Supplemental Suppliers: 11 Supplemental Suppliers

Filtered: No

Post-Bid Viewers: 9 viewer(s)

<< Return

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"A City for All Ages"

CITY OF PORT ST. LUCIE

Electronic Request F or Proposal #20160014
(E-RFP)

Bond Counsel or Bond Disclosure Counsel

Prepared By:
Cheryl Shanaberger
Procurement Management Department
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984-5099
772-871-7390
Cheryls@cityofpsl.com

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INVITATION TO E-BID

This is an Electronic Request for Proposal #20160014. The City of Port St. Lucie (City) requests proposals from law firms with experience in municipal bond law to serve as Bond Counsel or Disclosure Counsel to the City in connection with financings, transactions and legal matters relating to the ongoing management of the City's debt. Proposals to act as Bond Counsel or Disclosure Counsel should illustrate how your firm can offer complete services to meet the City's needs in future financings and re-financings. The services solicited through this request for proposals envision a five-year contract term with selected Bond Counsel or Disclosure Counsel with an extension to be granted at the sole discretion of the City Council.

Proposals for the project will be received by the City of Port St. Lucie, via Demandstar, in the Procurement Management Department, no later than **November 20, 2015 at 2:00:00 P.M. EST.**

All submittals must be received by the date and time specified above, when they will be opened and the names publicly read aloud. The proposal time must be scrupulously observed. Under no circumstances shall submittals uploaded to Demandstar.com after the time specified be accepted or considered. It is the sole responsibility of the Proposer to ensure that his or her submittal is uploaded on or before the closing date and time. The City shall not be be responsible for delays caused by any power outages or internet failures. No exceptions will be made.

Electronic replies will be the **only** method allowed for Bidders to respond to this solicitation. All submittals must be compatible with Microsoft Office 2013. All must be contained in one (1) files, and in the order specified under title **SUBMITTAL OF E-RFP subpart D & E**. E-Bidding will be done through a secure locked box. Bidders can only view/submit their E-RFP and will not have access to any other Bidder's submittals. The Bidder's E-RFP may be changed at the Bidder's discretion until the due date and time have been reached at which time the Bidder will no longer change or have access to the electronic bid submittal. The City will then open the E-RFPs. Bidders who are E-Bidding for the first time are strongly encouraged to contact Demandstar at (800) 711-1712 or obtain assistance by e-mailing questions to supplierservices@onvia.com.

All questions related to the Request for Proposal must be directed to Cheryl Shanaberger in the Procurement Management Department. She can be reached at (772) 871-7390. Questions shall be submitted in writing, at least **five (5)** days prior to the RFP opening, if possible. Questions may be emailed to Cheryls@cityofpsl.com. To ensure fair consideration for all Proposers, it must be clearly understood that Ms. Shanaberger is the only individual who is authorized to represent the City. Questions submitted to any other person in any other department will not be addressed. Additionally, the City prohibits communications initiated by a Proposer to **any** City Official or employee evaluating or considering the Request For Proposals **(up to and including the Mayor and City Council)**, from the request of solicitation of the proposal to the time an award decision has been made, and a Contract has been executed.

The City of Port St. Lucie reserves the right to reject any and all bids, to waive any and all informalities or irregularities, and to accept or reject all or any part of any bid as it may deem to be in the best interest of the citizens of the City.

For the purpose of this bid, the term Bidder, E-Bidder, Proposer and Consultant may be used interchangeably.

Documents required for this E-RFP: Completed Questionnaire, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, Reference Forms,, Drug Free Workplace Form, and Checklist

SUBMITTAL OF E-RFP

All proposals shall be submitted by completing and returning the Questionnaire. The Questionnaire should be typed or printed and signed in black ink. All submittals are required to be electronic via DemandStar and be contained in one (1) files. **No** hard copies will be accepted.

A. Request Bid Specifications, #20160014 from Onvia, via phone 800-711-1712 or via internet www.cityofpsl.com

B. Download the Questionnaire and save to your hard drive, program is in Word 2013 Professional. Enter information requested on the Questionnaire.

C. Electronically sign the Questionnaire where indicated.

D. Upload Documents in one (1) pdf file and in this order; Questionnaire for E-RFP #120160014, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, three (3) Reference Forms, Drug Free Workplace form and Checklist onto DemandStar by the due date and time.

E. Enter Zero on the web page for cost or you will receive an error message and your submittal will be denied.

F. The submittal button at the bottom of the page must be selected to complete the Bid response.

***** Only electronic replies are required. No hard copies will be accepted*****
Please try to limit each file submittal to no more than 1.5 mb

NOTE: The City may not accept proposals from firms, that have had adversarial relationships with the City or firms that have represented entities that have had adversarial relationships with the City. This includes the firm, employees and financial or legal interests.

The City will not enter into a contract or conduct business with any firm or any personnel that is listed on the Federal, State, or other local government agencies' Excluded Parties List, Suspended List or Debarment List.

TENTATIVE SCHEDULE

REVIEW AND SELECTION PROCESS

Advertisement with Onvia DemandStar	October 29, 2015
Proposal Due	November 20, 2015 @ 2:00:00 p.m. EST
Evaluation Committee*	December ~~4~~ 2, 2015 @ ~~3:00~~ 10:00 p.m. EST
Q & A (if requested) **	December 11, 2015 @ 8:30- a.m. – 12:00 p.m.
Negotiations	December ~~16~~ 3 & 2, 2015 @ 11:00 a.m.
City Council Contract Approval	~~January 11, 2016~~ 12/14

* Committee will meet in the Conference Room (390) in the Procurement Management Department at 3:00 p.m.
**Committee will meet in the Conference Room (390) in the Procurement Management Department at 8:30 a.m. . – noon.

EVALUATION AND AWARD

CRITERION	*MAXIMUM SCORE*
A. Staff Experience and Qualifications(Question 3 & 4)	45
B. Firm Experience and Qualifications (Question 4 & 5)	45
C. Other Factors Question 6,7,8 & 9)	10
Maximum Points	**100**

The City's Procurement Management Department reserves the right to request any additional information needed for clarification from any proposer for evaluation purposes.

SPECIAL REQUIREMENTS

Collusion - The City reserves the right to disqualify E-RFPs, upon evidence of collusion with intent to defraud or other illegal practices upon the part of the Proposer. More than one (1) E-RFP from an individual, partnership, corporation, association, firm, or other legal entity under the same or different names will not be considered. Reasonable grounds for believing that a Proposer has an interest in more than one (1) E-RFP for the same work will be cause for rejection of all E-RFPs in which such Proposers are believed to have an interest. Any or all E-RFPs will be rejected if there is any reason to believe that collusion exists among the Proposers.

Public Entity Statement - Section 287.133 of the Florida Statutes places the following restrictions on the ability of persons convicted of public entity crimes to transact business with the City:

"A person or affiliate who has been placed on the convicted vendor list following a conviction for a public entity may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction or repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity in excess of the threshold amount provided in s. 287.017 for CATEGORY TWO for a period of thirty-six (36) months following the date of being placed on the convicted vendor list." § 287.133(2) (a), Fla. Stat. (2010)

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"SAMPLE"
CITY OF PORT SAINT LUCIE
CONTRACT #20160014

This CONTRACT, executed this ____ day of _____, 20__ by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, , a Florida Corporation, Telephone No. Fax No. , hereinafter called "Consultant", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Consultant has agreed to perform pursuant to E-RFP #20160014, Bond Counsel or Bond Disclosure Counsel, in accordance with the RFP, Contract documents and all addenda.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email (with receipt confirmed), or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 7390 Fax 772 871 7337
Email: Cherysl@cityofpsl.com

City Project Manager: Edwin M. Fry, Jr.
Finance Director/City Treasurer
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 871 5191
Email: efry@cityofpsl.com

Scope of Professional Services

The Bond Counsel or Disclosure Counsel must provide the full scope of legal services in preparing and marketing bond issues for the City. The services to be performed by the Bond Counsel or Disclosure Counsel include, but are not limited to, the following:

1. Reviewing the advice to the City as to the legal feasibility of any financing program proposed by the City's Financial Advisor and advising as to compliance with applicable law and pending or proposed revision in the law, including U.S. Treasury regulations.

2. Reviewing any conduit bond issues for compliance with all applicable securities and tax law and regulations.

3. Advising as to procedures, required approvals and filings, schedule of events for timely issuance, potential cost-saving techniques and other legal matters relative to the issuance of bonds, whether the financing is undertaken by competitive bid or negotiated sale.

4. Attending conferences of City officials, staff members, the City's Financial Advisor, and if a negotiated sale is undertaken, representatives of the selected underwriters, when so requested.

5. Attending any requested meetings of the City Commission and its committees.

6. Preparing bond documents and any amendments thereto in order to authorize the issuance of the bonds.

7. Preparing any trust indenture; escrow deposit agreement; trustee, registrar or paying agent agreement; and any other agreements or similar documents necessary, related or incidental to the financing.

8. Preparing all pleadings (e.g., complaint, notice of service, proposed answer, memorandum of law, proposed order, etc.) and conducting the validation hearing of any appeals related thereto or arising there from.

9. Reviewing the transcript of all proceedings in connection with the foregoing and indicating any necessary corrective action.

10. If sale is by competitive bid, assisting in the preparation of the bid documents, notice of sale, evaluation of bids and any other documentation or action necessary to conduct a sale of the bonds in that manner.

11. Preparation of the preliminary official statement and official statement and preparation of the award resolution, and any amendments thereto, for the sale of the bonds and reviewing the bond purchase agreement.

12. Preparing, obtaining, delivering, and filing all closing papers necessary in connection with the sale and issuance of the bonds, including, but not limited to, certified copies of all minutes, ordinances, resolutions and orders; certificates such as officers, seal, incumbency, signature, no prior pledge, arbitrage and others; and verifications, consents and opinions from accountants, Consultants, special consultants, and attorneys.

13. Issuing of standard, comprehensive bond counsel opinion as to the legality of the bonds, the security of their payment and the exemption from federal income
taxation of the interest on the bonds as well as the customary 10(b)(5) opinion as to the accuracy of the Official Statement.

SECTION II
TIME OF PERFORMANCE

Contract period shall start _____, 20__, and terminate _____, 20____, an initial period of five (5) years with a renewal as per Section III... In the event all work required in the proposal specifications has not been completed by the specified date, the Consultant agrees to provide work, at no additional cost to the City as authorized by the Contract Supervisor until all work specified in the proposal specifications has been rendered.

SECTION III
RENEWAL OPTION

The City may renew this Contract to provide the identical services for one (1) additional five (5) year term, if the City agrees that said services are required and that the cost is acceptable, then the City, without additional bidding, negotiation or City Council approval may extend this Contract.

NOTE: Contractor may exercise the option to renew by submitting a written submission three (3) months prior to the termination of the Contract period.

SECTION IV
COMPENSATION
Fee Structure will be negotiated with selected firm.

The total amount to be paid by the City to the Consultant will be based on the fees below. Compensation will be included in the debt issue. The City will pay to the Consultant a one-time $10.00 indemnification fee. The City will not pay for out-of-pocket expenses including, but not limited to, office & utilities, sub consultants fees, travel or any reimbursable expense. There will be no additional amount paid for reimbursable expenses.

The Consultant shall not be paid additional compensation for any loss or damage, arising out of the nature of the work, from the action of the elements, or from any delay or unforeseen obstruction or difficulties encountered in the performance of the work, or for any expenses incurred by or in consequence of the suspension or discontinuance of the work.

FEE TABLE

DESCRIPTION	Fee: $

Fixed fee is to include all reimbursables, including travel, meals, copies and so forth.

SECTION V
CONFORMANCE WITH PROPOSAL

It is understood that the materials and/or work required herein are in accordance with the proposal made by the Consultant pursuant to the Request for Proposal and Specifications on file in the Procurement Management Department of the City. All documents submitted by the Consultant in relation to said proposal, and all documents promulgated by the City for inviting proposals are, by reference, made a part hereof as if set forth herein in full.

SECTION VI
INDEMNIFICATION/INSURANCE

The Consultant agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Consultant and persons employed or utilized by the Consultant in the performance of the construction contract. As consideration for this indemnity provision the Consultant shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work. This indemnification shall survive the termination of this Contract. Nothing contained in this paragraph is intended to nor shall it constitute a waiver of the City's sovereign immunity.

The Consultant shall, on a primary basis and at its sole expense, agree to maintain in full force and effect at all times during the life of this Contract, insurance coverage, limits, including endorsements, as described herein. The requirements contained herein, as well as City's review or acceptance of insurance maintained by Consultant are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by Consultant under the Contract.

The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self-insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

The Consultant shall agree to maintain Workers' Compensation Insurance & Employers' Liability in accordance with Section 440, Florida Statutes. Employers' Liability and must include limits of at least $100,000.00 each accident, $100,000.00 each disease/employee, $500,000.00 each disease/maximum. A Waiver of Subrogation endorsement shall be provided. Coverage shall apply on a primary basis. Should scope of work performed by Consultant qualify its employee for benefits under Federal Workers' Compensation Statute (example, U.S. Longshore & Harbor Workers Act or Merchant Marine Act), proof of appropriate Federal Act coverage must be provided.

Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
Personal/advertising injury	$1,000,000
Products/completed operations aggregate	$2,000,000
General aggregate	$2,000,000
Fire damage	$100,000 any 1 fire
Medical expense	$10,000 any 1 person

An Additional Insured endorsement **must** be attached to the certificate of insurance and must include coverage for Completed Operations (should be ISO CG20101185 or CG20370704 & CG20100704) under the General Liability policy. Products & Completed Operations coverage to be provided for a minimum of five (5) years from the date of possession by City or completion of contract. Coverage is to be written on an occurrence form basis and shall apply as primary. A per project aggregate limit endorsement should be attached. Defense costs are to be in addition to the limit of liability. A waiver of subrogation shall be provided in favor of the City. Coverage for the hazards of explosion, collapse and underground property damage (XCU) must also be included when applicable to the work performed. Coverage shall extend to independent Consultants and fellow employees. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interest's provision as provided under the standard ISO form separation of insurer's clause.

The Consultant shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Consultant does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Consultant to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation shall be provided. Coverage shall apply on a primary basis.

Consultant shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Consultant's most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Consultant warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this Contract, Consultant shall agree to purchase a SERP with a minimum reporting period not less than three (3) years. If policy contains an exclusion for dishonest or criminal acts, defense coverage for the same shall be provided.

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read **"City of Port St. Lucie, a municipality of the State of Florida, its officers, employees and agents and shall include Contract # 20160014-Bond Councel or Disclosure Councel."**. The Certificate of Insurance and policy shall be specifically endorsed to provide thirty (30) day written notice to the City prior to any adverse changes, cancellation, or non-renewal of coverage thereunder. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Consultant shall be required, upon thirty (30) days written notice by the City, to provide coverage at

least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage shall be attached to the Certificate of Insurance. All independent Consultants and subconsultants utilized in this project shall furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

The Consultant shall agree by entering into this Contract to a Waiver of Subrogation for each required policy. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Consultant shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery Against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Consultant enter into such a Contract on a pre-loss basis.

It shall be the responsibility of the Consultant to ensure that all subconsultants comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Consultant for any and all claims under this Contract.

The Consultant may satisfy the minimum limits required above for either Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for either Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability is written on Non-Follow Form," the City shall be endorsed as an "Additional Insured."

The City, by and through its Risk Management Department, reserves the right to, but not obligation, to review and reject any insurer providing coverage.

A failure on the part of the Consultant to execute the contract and/or punctually deliver the required insurance certificates and other documentation may be cause for annulment of the award.

SECTION VII
PROHIBITION AGAINST FILING OR MAINTAINING LIENS AND SUITS

Subject to the laws of the State of Florida and of the United States, neither Consultant nor any subconsultants, supplier of materials, laborer or other person shall file or maintain any lien for labor or materials delivered in the performance of this Contract against the City. The right to maintain such lien for any or all of the above parties is hereby expressly waived.

SECTION VIII
WORK CHANGES

Not Applicable

SECTION IX
COMPLIANCE WITH LAWS

The Consultant shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Consultant will comply with all requirements of 28 C.F.R. § 35.151. Consultants and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Consultant and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Consultant in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

SECTION X
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFP herein referenced, the terms of this Contract and RFP herein referenced shall apply.

SECTION XI
LICENSING

Consultant warrants that he possesses all licenses and certificates necessary to perform the required work and is not in violation of any laws. Consultant warrants that his license and certificates are current and will be maintained throughout the duration of the Contract.

SECTION XII
SAFETY PRECAUTIONS

Precaution shall be exercised at all times for the protection of persons, including employees, and property. All plans and construction must be ADA compliant. The safety provisions of all applicable laws and building and construction codes shall be observed. The selected Consultant will submit all proposals in compliance with the 28 C.F.R. § 35.151, and the ADA Settlement Agreement. Where ADA and Florida Building Codes conflict the most stringent applies or ADA supersedes.

SECTION XIII
ASSIGNMENT

Consultant shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

SECTION XIV
TERMINATION

If the Consultant refuses or fails to prosecute the work with such diligence as will insure its completion within the time specified in this Contract, or as may be modified in accordance with this Contract, the City by written notice to the Consultant, may terminate Consultant's rights to proceed. On such termination, the City may take over the work and prosecute the same to completion, by contract or otherwise, and the Consultant and

his sureties shall be liable, jointly and severally to the City for any additional cost incurred by it in its completion of the work.

The City may terminate this Contract with or without cause by giving the Consultant thirty (30) days' notice in writing. Upon delivery of said notice the Consultant shall discontinue all services in connection with the performance of this Contract and shall proceed to promptly cancel all related existing third party contracts. Termination of the Contract by the City pursuant to this paragraph shall terminate all of the City's obligations hereunder. In the event of termination.

SECTION XV
LAW AND VENUE

This Contract is to be construed as though made in and to be performed in the State of Florida and is to be governed by the laws of Florida in all respects without reference to the laws of any other state or nation. The venue of any action taken pursuant to this Contract shall be in St. Lucie County, Florida.

SECTION XVI
APPROPRIATION APPROVAL

The Consultant acknowledges that the City of Port St Lucie's performance and obligation to pay under this Contract is contingent upon an annual appropriation by the City Council. The Consultant agrees that, in the event such appropriation is not forthcoming, this Contract may be terminated by the City and that no charges, penalties or other costs shall be assessed.

SECTION XVII
ENTIRE AGREEMENT

The written terms and provisions of this Contract shall supersede any and all prior verbal or written statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this Contract or Contract documents.

SECTION XVIII
TRUTH-IN-NEGOTIATIONS

In accordance with the provisions of Section 287.055, Florida Statutes, the Consultant agrees to execute a truth-in-negotiations certificate and agrees that the original Contract price and any additions may be adjusted to exclude any significant sums by which the Contract price was increased due to inaccurate, incomplete or non-current wage rates and other factual unit costs.

SECTION XIX
CONFLICT OF INTEREST

The City hereby acknowledges that the Consultant may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other Cities, the Consultant shall terminate its relationship with the other City to resolve the conflict

of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Consultant shall disclose all of its Treasure Coast Cities and related Scope of Work.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

The Consultant warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Consultant to solicit or secure this Contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm, other than a bona fide employee working solely for the Consultant any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this Contract.

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TRUTH-IN-NEGOTIATION CERTIFICATE AND AFFIDAVIT

E-RFP #20160014
Bond Counsel or Bond Disclosure Counsel

STATE OF FLORIDA §
COUNTY OF ST. LUCIE §

Before me, the undersigned authority, personally appeared affiant _____ who being first duly sworn, deposes and says:

1. That the undersigned firm is furnishing this Truth in Negotiation Certificate pursuant to Section 287.055(5)(a) of the Florida Statutes for the undersigned firm to receive an agreement for professional services with the City of Port St. Lucie, St. Lucie County, Florida.
2. That the undersigned firm is a corporation which engages in furnishing professional Consulting services and is entering into an agreement with the City of Port St. Lucie, St. Lucie County, Florida to provide professional services for a project known as **#20160014, Bond Counsel or Bond Disclosure Counsel.**
3. That the undersigned firm has furnished the City of Port St. Lucie, St. Lucie County, Florida a detailed analysis of the cost of the professional services required for the project.
4. That the wage rate information and other factual unit cost, which the undersigned firm furnished, were accurate, complete and current at the time the undersigned firm and the City of Port St. Lucie entered into the agreement for professional services on the project.
5. That the agreement which the undersigned firm and the City of Port St. Lucie entered into on this job contained a provision that the original agreement price and any additions thereto shall be adjusted to exclude any significant sums by which the City of Port St. Lucie determines the agreement price was increased due to inaccurate, incomplete or non-current wage rates or other factual unit cost and that all such agreement adjustments shall be made within one (1) year following the end of the agreement.

FURTHER AFFIANT SAYETH NAUGHT

_____
Name of Firm

_____
By: President

The foregoing instrument was acknowledged before me by _____

who has produced _____as identification or is personally known to me.

WITNESS my hand and official seal in the State of County last aforesaid this _____ day of _____, 20__.

(SEAL)

_____
Signature

_____
Notary Name (typed or printed)

_____
Title or Rank

IN WITNESS WHEREOF, the parties have executed this Contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
Authorized Representative of.....)

State of: _____

County of: _____

Before me personally appeared: _____)
(Please print)

Please check one:

Personally known _____

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that _____ executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this _____ day of _____, 20__.

_____
Notary Signature

Notary Public-State of _____ at Large.

My Commission Expires _____.

(Seal)

QUESTIONNAIRE

E-RFP #20160014
Bond Counsel or Bond Disclosure Counsel

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this _____ day of _____, 2015

_____
Name of Organization / Proposer

(This is a word document please add space as needed.)

1. Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?
   (This is a word document add lines as needed)

2. Is your firm a Certified Minority Business (yes or no)? _____

3. List all proposed personnel, assigned to this project, including title, qualifications, and years of experience applicable to this project scope.

Staff Name	Title	Yr Exp	Qualification

(This is a word document add lines as needed)

4. List the experience of the firm and staff as Bond counsel or Disclosure Counsel for financings for the past five years. Emphasis should be place on experience with Florida local governments.

Staff Name	Financings, amount & type	Project Owner and email address

(This is a word document add lines as needed)

5. Provide firm experience for the following:

Experience with Bank Loans to Florida municipalities as;	Years Exp	Responsibility
Bank Counsel		
Bond Counsel		
Bond Counsel on Bond Issues		
Disclosure Counsel on Bond Issues		

6. Description of duties the Proposer expects to be performed by City staff.

7. Information on the nature and magnitude of any litigation or proceeding whereby, during the past five (5) years, a court or any administrative agency has ruled against the firm in any natter related to the professional activities of the firm or the firm's insurance company has had to pay a claim or settlement in conjunction with such activities.

8. Briefly describe the structure of compensation and the method which your firm proposes to utilize to determine the dollar value for your services. (This is a word document please add space as needed) Limit response to 1 page)

9. Using the Reference Form submit three (3) references form the last three years from local governments in the State of Florida for which the firm acted as bond and or disclosure consel.

ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued

CERTIFICATION:

This E-RFP is submitted by: Name (print) _____ who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract and Terms and Condition in its entirety

I agree to abide by all conditions of this E-RFP.:

_____ _____

Signature Title

(Balance of Page Intentionally Left Blank)

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent:
Reference: _____ Fax #: _____
Email: _____ Telephone #: _____
Person to contact: _____

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.

What problems were encountered?

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism _____ Final Product _____
Qualifications _____ Cooperation _____
Budget Control _____ Reliability _____

Would you contract with this Firm again? Yes [ ] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

DRUG-FREE WORKPLACE FORM

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that _____ does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

_____
Bidder's Signature

_____
Date

CHECKLIST

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

_____ Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFP #20160014, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, „ three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

_____ All questions on the questionnaire are complete and thoroughly answered

_____ Each Bid Addendum (when issued) is acknowledged

_____Certified Minority Business Certificate if Applicable

_____W9 Form

_____Current Certificate of Insurance

_____ Three (3) Reference Forms from local Florida governments

_____Drug Free Workplace Form

_____ Have reviewed the Contract and accept all City Terms and Conditions

_____Checklist

Cheryl Shanaberger

From: Ed Stull <Ed.Stull@firstsw.com>
Sent: Wednesday, October 28, 2015 6:41 PM
To: Cheryl Shanaberger
Cc: Ed Fry
Subject: RE: 20160014 Bond Counsel or Disclosure Counsel

Cheryl -

Sounds good - we can meet the time schedule outlined.

Thanks

Ed

Ed Stull
Managing Director
FirstSouthwest

direct 407.426.9611 fax 407.426.7835 cell 407.375.2936
450 S. Orange Avenue, Suite 460, Orlando, FL 32801

From: Cheryl Shanaberger [mailto:CherylS@cityofpsl.com]
Sent: Wednesday, October 28, 2015 1:40 PM
To: Ed Stull <Ed.Stull@firstsw.com>
Cc: Ed Fry <efry@cityofpsl.com>
Subject: 20160014 Bond Counsel or Disclosure Counsel

Hello Mr. Stull,

I have attached the draft RFP for your review. The City plans to open the proposals on November 20th at 2 pm. It is my understanding that you will provide a critical review of the proposals for the committee members. I will email the proposals to you shortly after the 20th. The committee is meeting on December 4th therefore, if you could email me the analysis by the 1st it would provide time for the committee members to review. Thank you, and if you have any questions please feel free to contract me.

Cheryl Shanaberger, MPA, CPPO
Director, Procurement Management Department
772 871 7390

Cheryl Shanaberger

From: Ed Fry
Sent: Monday, October 19, 2015 1:34 PM
To: Pam Booker
Cc: Cheryl Shanaberger; Thomas OReilly; Marie Bouloy; Robin Hampl
Subject: FW: List of Possible Names for Bond Counsel or Disclosure

Pam,

I asked Ed Stull to provide a list of firms who could provide bond counsel/disclosure counsel services for the city. This is his list. Any additions you want to make to this list?

Thanks,
Ed

From: Ed Stull [mailto:Ed.Stull@firstsw.com]
Sent: Monday, October 19, 2015 12:37 PM
To: Ed Fry <efry@cityofpsl.com>
Cc: Erica McCowan <Erica.McCowan@firstsw.com>; Joel Tindal <Joel.Tindal@firstsw.com>
Subject: List of Possible Names for Bond Counsel or Disclosure

Ed –

You may want to consider including the following bond/disclosure counsels on the list of recipients for the RFP. The list does not include contacts for Squire Patton Boggs (formerly Squires Sanders and Dempsey), Gray Robinson P.A., or Greenberg Traurig, P.A.. You may want to consult with Pam Booker to see if she has any additions or modifications to the list below.

Thanks

Ed

- **Akerman LLP**
  420 South Orange Avenue, Ste. 1200
  Orlando, FL 32801
    - Michael Williams (407) 423-4000
      Michael.williams@akerman.com

- **Broad and Cassel**
  390 North Orange Avenue, Ste. 1400
  Orlando, FL 32801
    - Joseph Stanton (407) 839-4200
      jstanton@broadandcassel.com

- **Bryant Miller Olive**
  SunTrust International Center
  1 SE 3rd Ave., Ste. 2200
  Miami, FL 33131

    - Jolinda Herring (305) 374-7349
      jherring@bmolaw.com

- **Foley & Lardner LLP**
  One Independent Dr., Ste. 1300
  Jacksonville, FL 32202-5017

    - Chauncey Lever (904) 359-8774
      clever@foley.com

- **Greenspoon Marder, P.A**
  One Boca Place
  2255 Glades Rd., Ste. 414-E
  Boca Raton, FL 33431

    - Morris G. "Skip" Miller (561) 838-4556
      Skip.miller@gmlaw.com

    - Denise Ganz (954) 527-2410
      Denise.ganz@gmlaw.com

- **Holland & Knight LLP**
  2115 Harden Blvd.
  Lakeland, FL 33803

    - Edward W. Vogel III (863) 499-5356
      ed.vogel@hklaw.com

- **Lewis, Longman and Walker, P.A.**
  515 N. Flagler Sr., Ste. 1500
  West Palm Beach, FL 33401

    - William G. "Bill" Capko (561) 640-0820
      wcapko@llw-law.com

- **Locke Lord LLP (formerly Edwards Wildman Palmer LLP)**
  525 Okeechobee Blvd., Ste. 1600
  West Palm Beach, FL 33401
    - Richard J. Miller (561) 833-7700
      richard.miller@lockelord.com

- **Marchena and Graham, P.A.**
  976 Lake Baldwin Ln., Ste. 101

Orlando, FL 32814

- Yovannie R. Storms (407) 658-8566
  ystorms@mgfirm.com

- **Nabors, Giblin & Nickerson, P.A.**
  2502 Rocky Point Dr., Ste. 1060
  Tampa, FL 33607

  - Steven E. Miller (813) 281-2222
    smiller@ngn-tampa.com

- **Shutts & Bowen LLP**
  300 South Orange Avenue, Ste. 1000
  Orlando, FL 32801

  - Steven J. Zucker (407) 835-6733
    szucker@shutts.com

Ed Stull
Managing Director
FirstSouthwest

direct 407.426.9611 fax 407.426.7835 cell 407.375.2936
450 S. Orange Avenue, Suite 460, Orlando, FL 32801

From: Ed Stull
Sent: Monday, October 19, 2015 11:52 AM
To: Ed Fry <efry@cityofpsl.com>
Subject: RE: Draft of RFP for Bond Counsel or Disclosure

Ed –

We will put together a list and send it to you.

Ed

Ed Stull
Managing Director
FirstSouthwest

direct 407.426.9611 fax 407.426.7835 cell 407.375.2936
450 S. Orange Avenue, Suite 460, Orlando, FL 32801

From: Ed Fry [mailto:efry@cityofpsl.com]
Sent: Monday, October 19, 2015 11:40 AM
To: Ed Stull <Ed.Stull@firstsw.com>
Subject: RE: Draft of RFP for Bond Counsel or Disclosure

Thanks Ed. The Procurement Management Department will use this to prepare a Request for Proposals. Can you send me a list of firms that provide bond counsel/disclosure counsel services? Please include a contact name.

BID ADDENDUM # 1
BID # 20160014
Addendum Date: November 4, 2015

Bid Name:
Bond Counsel or Bond Disclosure Counsel

Please make the following changes/modifications to the subject bid:

It is the intent of the City to award to two (2) separate firms for this proposal. One firm to perform as the Bond Counsel and a separate firm to perform as the Bond Disclosure Counsel.

Please note below the schedule change for the Evaluation Committee and Negotiation meeting.

Evaluation Committee	December 2, 2015 at 10:00 am EST
Negotiation Meeting	December 3, 2015 at 2:00 pm EST.
City Council Approval	December 14, 2015

NOTE: The bid opening date remains the same.

Instructions to Bidder:

Each bidder must acknowledge receipt of any addenda on the Bid Reply Sheet in order to have his/her bid or proposal/bid to be accepted.

Public Notice #2
RFP # 20160014
Addendum Date: December 7, 2015

Bid Name:
Bond Counsel or Bond Disclosure Counsel

This services as a Public Notice of the Public Meeting of the Evaluation Committee Meeting for RFP# 20160014

Please note below the schedule change for the Evaluation Committee meeting.

Evaluation Committee December 8, 2015 at 2:30 pm EST in the Legal Office Conference Room in Building A, 3rd Floor, at 121 SW Port St. Lucie Blvd., Port St. Lucie, FL, 34984.

Public Notice
RFP # 20160014
Addendum Date: November 24, 2015

Bid Name:
Bond Counsel or Bond Disclosure Counsel

This services as a Public Notice of the Public Meeting of the Evaluation Committee Meeting for RFP# 20160014

Please note below the schedule change for the Evaluation Committee meeting.

Evaluation Committee	December 4, 2015 at 9:00 am EST

BID OPENING ATTENDANCE
RFQ-20160014
Bond Counsel and Bond Disclosure Counsel
November 29, 2015 @ 2:00 p.m.

	Name (Please PRINT legibly)	Agency	E-Mail Address	Telephone # & FAX #
1.	Cheryl Shardeson	PSL		T
				F
2.	Kandi Thies	PSL		T
				F
3.				T
				F
4.				T
				F
5.				T
				F
6.				T
				F
7.				T
				F

EVALUATION ATTENDANCE
RFQ-20160014
Bond Counsel and Bond Disclosure Counsel
December 4, 2015 @ 9:00 a.m.

	Name (Please PRINT legibly)	Agency	E-Mail Address	Telephone # & FAX #
1.	David Pollard	City of P.S.L. - OMB	davep@cityofpsl.com	T 772-871-7391
				F
2.	Ed Fry	City of PSL	efry@cityofpsl.com	T 871-5191
				F
3.	Chey/Shandberg	PSL		T
				F
4.				T
				F
5.				T
				F
6.				T
				F
7.				T
				F

EVALUATION ATTENDANCE #2
RFQ-20160014
Bond Counsel and Bond Disclosure Counsel
December 8, 2015 @ 2:30 p.m. Legal Conference Room

	Name (Please PRINT legibly)	Agency	E-Mail Address	Telephone # & FAX #
1.	Cheryl Sharaby	PSL		T
				F
2.	Ed Fly	PSL		T
				F
3.	David Pollard	P.S.L. - OMB		T 871. 7391
				F
4.	Pam E. BOOKER	PSL	pbooker@cityofpsl.com	T 772-873-6525
				F
5.				T
				F
6.				T
				F
7.				T
				F

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: _____

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: _____ Dept.: _____

(*please print*)

Signature: _____ Date: _____

GUIDELINES FOR USING RFP EVALUATION FORM #20160014

The principle of fair and open competition in public procurement prohibits the use of any evaluation criteria other than those listed in the solicitation. These criteria must be applied to the responses without change, deletion, or expansion. A protest from a respondent whose proposal was rejected by an evaluation committee using criteria not identified in the published solicitation has a good chance of successfully protesting the award.

Weighting Scores:

Criteria that have more importance (weight) than others are assigned a multiplier or weight factor. Weights are fixed values that indicate the *relative importance* of the criterion, not the quality of the response. The weight factor 2 might represent *important; 4, moderately important;* and 5, *very important.* The established weights must be applied to the responses without change, deletion, or expansion.

Assigning points:

Points are awarded according to the quality of the response with respect to each criterion. On a 0 to 5 point scale, for example, 0 would represent an unacceptable response; 1, a poor response; 2, satisfactory; 3, good; 4, very good; and 5, excellent. (The 0 to 5 point scale is the easiest to apply).

Completing the form:

The form has four columns (lettered A through F). When the evaluator receives the form, Columns A and B is completely filled out: Column A identifies the criterion being scored; Column B shows the weight (1, 3, or 5, for example) assigned to each criterion. Columns C and D are filled out and contain the maximum number of points that can be assigned (on the 0 to 5 point scale shown previously, the maximum is 5).

In the first step in the independent review each committee member chooses the best response to each criterion from the responses received. That response is awarded the maximum point value, and the other responses are assigned fewer points according to how they compare with the best response. (In other words, the best response is determined in relation to the other responses rather than in relation to an ideal.) This process does not eliminate the possibility of multiple firms receiving the same score on one or more of the individual criteria. However, it is incumbent of the committee member to assess each response to identify, even if it is just one item, which differentiates one submittal as the very best in relation to the others. It is important to note that this in an **independent** review and there is to be no discussion or meeting at this time concerning the selection. You may make notes or mark down questions that may be addressed only during the committee meeting.

In order to work with responses that fail to address certain criteria or that take exception to them, the person may evaluate below the assigned point range and award zero or negative scores. For example, if a respondent states explicitly that a particular requirement will not be met, a negative score equal to the highest possible number of points (say, -5) is circled. If a respondent simply fails to address a requirement, a point value of zero is selected. The use of negative or zero scores should be discussed at the committee meeting. Below are some helpful tips:

- If the requirement (objective) is particularly difficult to meet and the proposal offers an approach which, with little or no risk, will yield a result which exceeds requirements qualitatively, the item should score 5 points, dependent upon the level of exceptional features offered.

- If the requirement is relatively difficult to meet, the majority of the factors are acceptable, no major deficiencies or risks exist therein, and the collective approach yields a qualitative benefit beyond that which is minimal, a score of 4 points should be assigned, dependent upon the benefits to be attained.

- If the majority of the factors meet standards, the requirement is not overly difficult to meet, and the factors which are deficient are of a very minor nature or are susceptible to easy correction, the item should be scored 3.

- If the majority of important factors are acceptable, but one or more factors is deficient and some minor risk is involved in the correction thereof, the score for the item should be 2.

- If a majority of the factors for the item are deficient and their correction, either collectively or individually, poses a serious problem in correction or has a "domino" effect on other design features, or the approach poses a high risk without means for correction, if the approach fails, or the approach is undesirable, a score of 1 should be assigned, with the lower score indicating a serious or severe condition.

As part of the independent review, committee members make brief comments under each criterion explaining the reasoning behind their scores. These notes may be used for reference during the full panel discussions if another committee member questions the points assigned.

Each committee member returns the evaluation form to the Contract Specialist on the date specified by following the routing instructions. The points in column C will be tallied by the Contract Specialist and the scores and rankings will be distributed to each committee member at the start of the public evaluation committee meeting.

When the full committee meets to review the proposals, some evaluators may wish to change their points due to information revealed at the panel meeting. For example, if a respondent included information in an attachment instead of in the main section of the proposal, committee members who overlooked the attachment and awarded lower points because of missing information would need to adjust their points in column D after evaluating the information.

Evaluators during the public committee meeting may adjust point values in Column D (Review with Panel). If no adjustment then Column C will be used for the total score.

The points entered in Column C must be independently arrived at and not represent a consensus of the panel. The only exception occurs when points are assigned as a result of a professional review (for example, the Finance or Procurement Management Department reports on the financial stability of each respondent and assigns a score to be entered on all panel members' forms)

Applying the criteria

A. Qualifications and experience of principals and staff. Do the qualifications of the principals, project manager, and project staff indicate that the firm can complete the tasks in a professional and satisfactory manner? A company's reputation often rests on the accomplishments of one or more of its principals. Does the proposal indicate that the principals of the firm intend to be heavily involved in the performance of the contract or involved only in an administrative or oversight capacity? Are the staff members profiled in the proposal those who will actually undertake the project? If not, their qualifications, no matter how impressive must be disregarded. Is the experience recent enough to incorporate current changes in the industry? Question #3 & 4

B Experience and history of firm. This criterion examines corporate rather than individual experience. If the firm limits its work to specialized areas, does the principal area of specialization match the scope of work of the RFP, or is the work to be subcontracted? Have the worked with Florida local governments? Question # 4 & E.

C. Other determining factors. Is the information provided specific to the City or just a general response not requiring any research of the City. How much of the work will be completed by City staff? Is the fee structure reasonable to the value of the services they will provide? Any litigation that demonstrates not being involved in their response or lack of concern? Do the references indicate that the firm can handle the size and scope of the project? Review references
Questions 6-9

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT
Request for Proposal #20160014
Evaluation Committee Members / Participants

I, _____, an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to **Bond Counsel or Bond Disclosure** project during the evaluation process and before contract award.

Initials	**Applicability of the Florida Sunshine Law**

I acknowledge I have been informed and am aware the State of Florida Sunshine Law applies to meetings of the evaluation committee where decisions for recommendations to the City Council apply. I further recognize that discussions between committee members outside of public Evaluation Committee meetings is prohibited and in violation of the State of Florida Sunshine Law. All questions by evaluation committee members shall be directed to the Contract Administrator (PMD).

Initials	**Restricted Communications / Cone of Silence**

I acknowledge that to insure the proper and fair evaluation of a submittal, the City of Port St. Lucie prohibits any communication between any City employee, representative or official other than the Contract Administrator (PMD) prior to the time of award by City Council has been made. Communication between Respondent and the City will be initiated and coordinated by the Contract Administrator (PMD) in order to obtain information or clarification needed to develop a proper and accurate evaluation of the proposal.

Communication related to this RFP is further restricted with any entity outside the City of Port St. Lucie. Including, but not limited to, sub-contractors of prime respondents, companies in the industry, other agencies or utility entities, etc . . .

Initials	**Release or Distribution of Information and/or Material**

Evaluation committee members have been provided vendor responses either in hard copy or electronic format. This information, hard copy or electronic, is NOT to be reproduced or distributed under any circumstance. Some information within the proposals may be proprietary and/or confidential. Release of any information will be coordinated by PMD only.

Initials	Non-Collusion

I acknowledge the following with regards to non-collusion in the evaluation process and my scoring:

1. The scores assigned in my evaluation have been arrived at independently and without consultation, communication or agreement with any other Evaluator other than those discussions taking place in a meeting of the Evaluation Committee meeting arranged by PMD.

2. That my scoring of each proposal has not been disclosed to any other Evaluator or person, and they will not be disclosed to other Evaluator except by the PMD during a meeting of the Evaluation Committee.

3. No attempt has been made or will be made to coerce or affect the scoring of any Evaluator.

Initials	Conflict of Interest

I certify that I have no present conflict of interest on the above referenced project, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation of any consultant/contractor/vendor for selection on any contract if I have a conflict of interest or a potential conflict of interest. As set forth in Sections 112.313 Florida Statutes, employees may not have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or accept any obligation of any kind which is in conflict with the proper conduct of their duties in the public interest.

I recognize that employees are expected to honor the ethical obligations inherent in public service. These obligations go beyond mere legal obligations and demand from the employee a greater sensitivity to his or her conduct, as well as the public's perception of such conduct.

Employees are expected to safeguard their ability to make objective, fair, and impartial decisions, and therefore may not accept benefits of any sort under circumstances in which it could be inferred by a reasonable observer that the benefit was intended to influence a pending or future decision of theirs, or to reward a past decision. Employees should avoid any conduct (whether in the context of business, financial, or social relationships) which might undermine the public trust, whether or not that conduct is unethical or lends itself to the appearance of ethical impropriety.

I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: _____

Printed: _____

Date: _____

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT
Request for Proposal #20160014
Evaluation Committee Members / Participants

I, David Pollard, an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to **Bond Counsel or Bond Disclosure** project during the evaluation process and before contract award.

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Communication related to this RFP is further restricted with any entity outside the City of Port St. Lucie. Including, but not limited to, sub-contractors of prime respondents, companies in the industry, other agencies or utility entities, etc . . .

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Initials

Non-Collusion

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Initials

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I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: David K. Collard

Printed: David K. Collard

Date: 11-23-15

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT
Request for Proposal #20160014
Evaluation Committee Members / Participants

I, Pam E. Bobby, an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to **Bond Counsel or Bond Disclosure** project during the evaluation process and before contract award.

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I acknowledge I have been informed and am aware the State of Florida Sunshine Law applies to meetings of the evaluation committee where decisions for recommendations to the City Council apply. I further recognize that discussions between committee members outside of public Evaluation Committee meetings is prohibited and in violation of the State of Florida Sunshine Law. All questions by evaluation committee members shall be directed to the Contract Administrator (PMD).

Initials | **Restricted Communications / Cone of Silence**

I acknowledge that to insure the proper and fair evaluation of a submittal, the City of Port St. Lucie prohibits any communication between any City employee, representative or official other than the Contract Administrator (PMD) prior to the time of award by City Council has been made. Communication between Respondent and the City will be initiated and coordinated by the Contract Administrator (PMD) in order to obtain information or clarification needed to develop a proper and accurate evaluation of the proposal.

Communication related to this RFP is further restricted with any entity outside the City of Port St. Lucie. Including, but not limited to, sub-contractors of prime respondents, companies in the industry, other agencies or utility entities, etc . . .

Initials | **Release or Distribution of Information and/or Material**

Evaluation committee members have been provided vendor responses either in hard copy or electronic format. This information, hard copy or electronic, is NOT to be reproduced or distributed under any circumstance. Some information within the proposals may be proprietary and/or confidential. Release of any information will be coordinated by PMD only.

Initials	Non-Collusion

I acknowledge the following with regards to non-collusion in the evaluation process and my scoring:

1. The scores assigned in my evaluation have been arrived at independently and without consultation, communication or agreement with any other Evaluator other than those discussions taking place in a meeting of the Evaluation Committee meeting arranged by PMD.

2. That my scoring of each proposal has not been disclosed to any other Evaluator or person, and they will not be disclosed to other Evaluator except by the PMD during a meeting of the Evaluation Committee.

3. No attempt has been made or will be made to coerce or affect the scoring of any Evaluator.

Initials	Conflict of Interest

I certify that I have no present conflict of interest on the above referenced project, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation of any consultant/contractor/vendor for selection on any contract if I have a conflict of interest or a potential conflict of interest. As set forth in Sections 112.313 Florida Statutes, employees may not have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or accept any obligation of any kind which is in conflict with the proper conduct of their duties in the public interest.

I recognize that employees are expected to honor the ethical obligations inherent in public service. These obligations go beyond mere legal obligations and demand from the employee a greater sensitivity to his or her conduct, as well as the public's perception of such conduct.

Employees are expected to safeguard their ability to make objective, fair, and impartial decisions, and therefore may not accept benefits of any sort under circumstances in which it could be inferred by a reasonable observer that the benefit was intended to influence a pending or future decision of theirs, or to reward a past decision. Employees should avoid any conduct (whether in the context of business, financial, or social relationships) which might undermine the public trust, whether or not that conduct is unethical or lends itself to the appearance of ethical impropriety.

I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: Pam E Booker

Printed: PAM E. BOOKER

Date: 12-3-15

City of Port St. Lucie, Florida

PARTICIPATION AGREEMENT
Request for Proposal #20160014
Evaluation Committee Members / Participants

I, Edwin M. Fry, Jr., an individual official, employee, consultant, or subcontractor of or to the CITY OF PORT ST. LUCIE, FLORIDA hereby consent to the terms in this Agreement in consideration of my serving as an evaluation committee member (scoring or non-scoring) and being provided certain information related to **Bond Counsel or Bond Disclosure** project during the evaluation process and before contract award.

Initials EMF	**Applicability of the Florida Sunshine Law**

I acknowledge I have been informed and am aware the State of Florida Sunshine Law applies to meetings of the evaluation committee where decisions for recommendations to the City Council apply. I further recognize that discussions between committee members outside of public Evaluation Committee meetings is prohibited and in violation of the State of Florida Sunshine Law. All questions by evaluation committee members shall be directed to the Contract Administrator (PMD).

Initials EMF	**Restricted Communications / Cone of Silence**

I acknowledge that to insure the proper and fair evaluation of a submittal, the City of Port St. Lucie prohibits any communication between any City employee, representative or official other than the Contract Administrator (PMD) prior to the time of award by City Council has been made. Communication between Respondent and the City will be initiated and coordinated by the Contract Administrator (PMD) in order to obtain information or clarification needed to develop a proper and accurate evaluation of the proposal.

Communication related to this RFP is further restricted with any entity outside the City of Port St. Lucie. Including, but not limited to, sub-contractors of prime respondents, companies in the industry, other agencies or utility entities, etc . . .

Initials EMF	**Release or Distribution of Information and/or Material**

Evaluation committee members have been provided vendor responses either in hard copy or electronic format. This information, hard copy or electronic, is NOT to be reproduced or distributed under any circumstance. Some information within the proposals may be proprietary and/or confidential. Release of any information will be coordinated by PMD only.

Initials EMF	**Non-Collusion**

I acknowledge the following with regards to non-collusion in the evaluation process and my scoring:

1. The scores assigned in my evaluation have been arrived at independently and without consultation, communication or agreement with any other Evaluator other than those discussions taking place in a meeting of the Evaluation Committee meeting arranged by PMD.

2. That my scoring of each proposal has not been disclosed to any other Evaluator or person, and they will not be disclosed to other Evaluator except by the PMD during a meeting of the Evaluation Committee.

3. No attempt has been made or will be made to coerce or affect the scoring of any Evaluator.

Initials EMF	**Conflict of Interest**

I certify that I have no present conflict of interest on the above referenced project, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation of any consultant/contractor/vendor for selection on any contract if I have a conflict of interest or a potential conflict of interest. As set forth in Sections 112.313 Florida Statutes, employees may not have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or accept any obligation of any kind which is in conflict with the proper conduct of their duties in the public interest.

I recognize that employees are expected to honor the ethical obligations inherent in public service. These obligations go beyond mere legal obligations and demand from the employee a greater sensitivity to his or her conduct, as well as the public's perception of such conduct.

Employees are expected to safeguard their ability to make objective, fair, and impartial decisions, and therefore may not accept benefits of any sort under circumstances in which it could be inferred by a reasonable observer that the benefit was intended to influence a pending or future decision of theirs, or to reward a past decision. Employees should avoid any conduct (whether in the context of business, financial, or social relationships) which might undermine the public trust, whether or not that conduct is unethical or lends itself to the appearance of ethical impropriety.

I realize that violation of the above mentioned statute would be punishable in accordance with Section 112.317 Florida Statutes, and could result in disciplinary action by the City.

Signature: Edwin M. Fry

Printed: Edwin M. Fry, Jr.

Date: 12/4/2015

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Bryant Miller Olive

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Pam E. BOOKER (*please print*) Dept.: Legal

Signature: Pam E. Booker Date: 12-4-15

RECEIVED
DEC 04 2015
Procurement Management Department

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Gray Robinson

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
C. Other Factors (6 thru 9)	2	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: PAM Z. Booker (*please print*) Dept.: Legal

Signature: Pam Z. Booker Date: 12-4-15

RECEIVED
DEC 04 2015
Procurement Management Department

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Greenberg Traurig

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
C. Other Factors (6 thru 9)	2	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: PAM E. BOOKER (*please print*) Dept.: Legal

Signature: Pam E. Booker Date: 12-4-15

RECEIVED
DEC 04 2015
Procurement Management Department

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Grossman Marder

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			
C. Other Factors (6 thru 9)	2	0 1 (2) 3 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: PAM E. BOOKER Dept.: Legal
(please print)

Signature: Pam E. Booker Date: 12-4-15

RECEIVED
DEC 04 '15
Procurement Management Department

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Holland & Knight

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3. 4(5)	0 1 2 3 4 5
Comments:			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3(4) 5	0 1 2 3 4 5
Comments:			
C. Other Factors (6 thru 9)	2	0 1 2 3(4) 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: PAM E. BOOKER (*please print*) Dept.: Legal

Signature: Pam Booker Date: 12-4-15

RECEIVED
DEC 04 2015
Procurement Management Department

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Nabors, Giblin & Nickerson

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
C. Other Factors (6 thru 9)	2	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: PAM E. BOOKER (*please print*) Dept.: Legal

Signature: Pam E. Booker Date: 12-4-15

RECEIVED
DEC 04 2015
Procurement Management Department

#1 Disclosure Counsel

RFP # 20160014 Title: Bond Counsel and Bond Disclosure Counsel

Respondent: Bryant Miller Olive

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Ms. Herring has 20 years experience Ms. Bond-Collins has 19 years			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: 28 attorneys focused on public finance Top ranked bond council firm in Florida past 5 years			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Tax attorney in-house			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Foy, Jr. (please print) Dept.: Finance

Signature: Edwin M. Foy, Jr. Date: 12/2/2015

#2 Disclosure

RFP # 20160014 Title: Bond Counsel and Bond Disclosure Counsel

Respondent: Gray Robinson

A Criterion	B Weight Factor	C Maximum Points Independent Review	D Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: Mr. Fleischer - 41 years experience Mr. Fowler - 16 years experience Have served as disclosure counsel for City			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Primarily serve as disclosure counsel; little bond counsel experience.			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Fry, Jr. (please print) Dept.: Finance

Signature: Edwin M. Fry, Jr. Date: 12/2/2015

RFP # 20160014 Title: Bond Counsel and Bond Disclosure Counsel

Respondent: Greenspoon Marder

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Ms. Gayz - 30 years experience Mr. Miller - 26 years experience Ms. Dyer - 34 years experience			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: will use outside tax counsel			
C. Other Factors (6 thru 9)	2	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Fry, Jr. (please print) Dept.: Finance

Signature: Edwin M. Fry, Jr. Date: 12/2/15

#2 Bond Counsel

RFP # 20160014 Title: Bond Counsel and Bond Disclosure Counsel

Respondent: Greenberg Traurig

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Mr. del Castillo - 33 years experience Ms. Smith-Lalla - 28 year experience Have served as bond counsel for PBL			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: 378 attorneys in Florida For 2015, ranked 1st for bondcounsel in Southeast and Florida			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Fry, Jr. (please print) Dept.: Finance

Signature: Edwin M. Fry, Jr. Date: 12/2/2015

RFP # 20160014 Title: Bond Counsel and Bond Disclosure Counsel

Respondent: Holland + Knight

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: Mr. Wiener - 12 years experience Mr. Thebeau - 32 years experience Mr. Stevens - 43 years experience			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Fry, Jr. (please print) Dept.: Finance

Signature: Edwin M. Fry, Jr. Date: 12/2/2015

#1 Bond Counsel

RFP # 20160014 Title: Bond Counsel and Bond Disclosure Counsel

Respondent: Nabors, Giblin & Nickerson

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Mr. Miller has 23 yrs experience Mr. Giblin has 37 yrs experience			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: 24 attorneys in Florida Top ranked bond counsel firm in Southeast 2013			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Lots of experience with special assessments			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: Edwin M. Fry, Jr. (*please print*) Dept.: Finance

Signature: Edwin M Fry Jr. Date: 12/2/2015

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Bryant Miller Olive

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 (4) 5	0 1 2 3 4 (5)
Comments: (Exp. with P.S.L. prior to 5 yrs.)			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 (4) 5	0 1 2 3 4 (5)
Comments: Power Issues Exp. with P.S.L. Utility Bonds			
C. Other Factors (6 thru 9)	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments: Weakness on P.S.L. issue			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard (*please print*) Dept.: 12-2-15

Signature: Daniel L. Pollard Date: 12-2-15

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Gray Robinson

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments: many years of experience & P.S.L. background			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments: Plenty of FL Exp P.S.L. experience			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments: Exp. with P.S.L.			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard Dept.: 12-2-15

(*please print*)

Signature: David K. Pollard Date: 12-2-15

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Greenberg Traurig

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments: Lots of experience - including experience with P.S.L.			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments: Ranked #1 in S.E. Current Bond Counsel - P.S.L.			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments: Experience with P.S.L.			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard (*please print*) Dept.: 12-2-15

Signature: David C. Pollard Date: 12.2.15

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Greenspoon

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments: 4 staff assigned with many yrs of exp. Myer is former local/govt. Attorney			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments: Good Fl. Experience (Southern Grove) (Stuart)			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments: Local office + local experience			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard (*please print*) Dept.: 12-2-15

Signature: David K. Pollard Date: 12.2.15

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Holland & Knight

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments: 5 staff assigned Many yrs exp.			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 5	0 1 2 3 4 5
Comments: Many yrs exp. as Bond Counsel & Bond Disclosure (Many yrs) National Firm			
C. Other Factors (6 thru 9)	2	0 1 2 3 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David Pollard (*please print*) Dept.: 12-2-15

Signature: David L. Pollard Date: 12-2-15

RFP # 20160014 Title: Bond Counsel and Dond Disclosure Counsel

Respondent: Nabors, Giblin

A	B	C	D
Criterion	Weight Factor	Maximum Points Independent Review	Maximum Points Review with Panel
A. Staff Experience & Qualifications (3 & 4)	9	0 1 2 3 (4) 5	0 1 2 3 4 5
Comments: 2 staff assignments			
B. Firm Experience and Qualifications (4 & 5)	9	0 1 2 3 4 (5)	0 1 2 3 4 5
Comments: Extensive Exp. High rankings in SE.			
C. Other Factors (6 thru 9)	2	0 1 2 (3) 4 5	0 1 2 3 4 5
Comments:			

Instructions to Evaluator: Complete a separate evaluation form for each proposal reviewed. Each criterion must be assigned a score unless you are instructed otherwise on the form. Make additional notes in the "Comments" section for reference and discussion during meetings of the full evaluation panel.

The point values entered above reflect my best independent judgement of the merits of the identified respondent's proposal.

Committee Member: David P. Hand (*please print*) Dept.: 12-2-15

Signature: David P. Hand Date: 12-2-15

QUESTIONNAIRE

E-RFP #20160014
Bond Counsel or Bond Disclosure Counsel

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this 20th day of November, 2015

GrayRobinson, P.A.
Name of Organization / Proposer

1. Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?

GrayRobinson, P.A.
401 E. Jackson Street, Suite 2700
Tampa, Florida 33602
Phone: 813.273.5135; Fax: 813.273.5145
Frank.Fleischer@gray-robinson.com
Frank N. Fleischer, Esquire

2. Is your firm a Certified Minority Business (yes or no)? No

3. List all proposed personnel, assigned to this project, including title, qualifications, and years of experience applicable to this project scope.

Staff Name	Title	Yr Exp	Qualification*
Frank N. Fleischer, Primary	Shareholder	41	Disclosure Counsel
Brian J. Fender	Shareholder	16	Disclosure Counsel
Jennifer M. LaRocco	Of Counsel	8	Disclosure Counsel
David L. Smith	Shareholder	33	Government Law Counsel
Thomas J. Wilkes	Shareholder	35	Government Law Counsel

* Please see brief biographies that follow for complete description of qualifications.

Frank N. Fleischer – Frank Fleischer would be your "contact person" and primarily responsible for ensuring the proper performance of the services to be rendered to the City if GrayRobinson is selected to serve as your Disclosure Counsel. Frank Fleischer, who chairs our Public Finance Department, received his "post-graduate" training as a member of the staff of Securities and Exchange Commission ("SEC") in Washington, D.C. Frank has been listed (2003-2015) in the publication "The Best Lawyers in America" for Public Finance Law. Frank was selected as a "Florida Super Lawyer" in the Corporate Counsel 2006-2013 Editions as one of the top attorneys in corporate and securities law for "Bonds/Government Finance." This is an exclusive list of outstanding lawyers as only five percent of Florida's attorneys are selected. He was recognized by ChamberUSA in 2009 through 2014 (list of top lawyers in 175 countries). Frank is an "AV" rated lawyer by Martindale-Hubbell.

Frank first started providing disclosure counsel services for city and county governments, school districts, and governmental authorities as well as underwriters in 1974. Frank's name first appeared shortly thereafter in the Red Book. Frank is one of the senior statesmen in the securities bar in Florida. As a former member of the SEC, Frank spent two years in the Division of Corporation Finance reviewing and commenting on registration statements for companies going public. Frank also worked with the Chief Counsel of the Division and assisted in interpretations of the Securities Act of 1933, as amended, and the Securities Exchange Act of 1934, as amended, as well as the rules and regulations promulgated thereunder.

Brian J. Fender – Brian is also a shareholder and director of GrayRobinson and frequent speaker on disclosure counsel issues throughout the country, particularly those issues pertaining to the federal securities laws. Brian earned his B.A. in 1996 from the University of South Florida and his J.D., with honors, in 1999 from the University of Florida College of Law, which he attended on a full academic scholarship and where he served as a Senior Editor on the College's Florida Law Review.

Prior to joining GrayRobinson, Brian practiced law in Washington, D.C. Since joining GrayRobinson in early 2005, Brian has served as counsel in over 300 Florida municipal finance transactions totaling over $26 billion in aggregate par value representing cities, counties, housing authorities, water supply authorities, school districts, hospitals, colleges and universities, industrial development authorities, educational facility authorities, aviation authorities, port districts and development districts. As disclosure counsel, Brian has been involved in a wide variety of tax-exempt and taxable bond deals secured by a variety of resources, including ad valorem revenues, excise taxes, guaranteed entitlement revenues, gas taxes, sale tax revenues, non-ad valorem revenues, water revenues, sewer revenues, solid waste revenues, franchise fees, and license fees.

Brian is a frequent speaker on public finance issues. He has served as a panelist for the National Association of Bond Lawyers ("NABL") Bond Attorneys' Workshop in Chicago on several occasions. Brian also regularly speaks for the Public Finance in Florida conference which is sponsored by the City, County, and Local Government Law Section of the Florida Bar and held every other year and for the Florida Association of Counties Workshop on bond financing which is held every year. Brian was one of the editors of "Disclosure Roles of Counsel," a joint publication of NABL and the American Bar Association. It represents nearly three years of work by a team of editors with expertise in the application of the federal securities laws to municipal disclosure.

Brian has earned a reputation as a disclosure attorney who provides above and beyond services for his clients. In 2008, Brian was part of the team that allowed the University of South Florida to become the first issuer in the State to convert out of failed auction rate securities. In order to accomplish this, Brian worked around the clock turning drafts of the offering document on a daily (and nightly) basis in order to achieve a tight time schedule. Many other issuers of auction rate securities in the State and throughout the country who did not move as quickly were unable to transition out of the securities before significantly higher interest rates kicked in. Brian was also one of the first disclosure attorneys in the country able to submit continuing disclosure documents on the Municipal Securities Rulemaking Board ("MSRB") Electronic Municipal Market Access System ("EMMA"), and Brian has extensive experience advising municipal clients on required EMMA disclosures. He has assisted numerous clients over the years with their EMMA filings and continuing disclosure issues free of charge. Brian has also advised both issuers and underwriters in connection with the SEC's recent Municipalities Continuing Disclosure Cooperation Initiative free of charge.

Brian is licensed to practice law in Florida, Illinois, and the District of Columbia and is a member of the American Bar Association and the National Association of Bond Lawyers, where he serves on its Securities Law and Disclosure Committee. Brian is active in the community serving on the board of directors of the MacDonald Training Center and is an alumnus of the Greater Tampa Chamber of Commerce's Leadership Tampa Program.

Jennifer M. LaRocco – Jennifer is an experienced public finance attorney who has represented cities, counties, community development districts, and industrial development, port, expressway, and other governmental authorities over the course of her career, serving in the roles of disclosure counsel, underwriters' counsel, bond counsel, and bank counsel. Jennifer is also a frequent speaker on securities law and disclosure topics, including presentations at The Florida Bar's "Public Finance in Florida 2015 Seminar" and the Florida Association of Counties' "2015 Financial Management Workshop." She is also the co-author of a recent article discussing the implications of the first underwriter settlements arising under the SEC's Municipalities Continuing Disclosure Cooperative Initiative.

Jennifer graduated *summa cum laude* in 2007 from Stetson University of College of Law in Gulfport, where she received a full academic scholarship and served as an editor of the *Stetson Law Review*, graduating second in her class. She also holds a B.A. from Eckerd College (2001, High Honors) in St. Petersburg and an M.A. from the University of Wisconsin – Madison (2002). Jennifer is a member of the Florida Bar, including its City, County & Government Law Section, and the National Association of Bond Lawyers and its Securities Law and Disclosure Committee.

GRAYROBINSON, P.A. GOVERNMENT TEAM

David L. Smith – David has been practicing law in Florida for over 30 years. His areas of practice include Real Estate, Land Use and Local Government Law and include four and a half years as the Tampa City Attorney. David was recently named Interim General Counsel for the Hillsborough County Aviation Authority. His public private partnership work includes the preparation of the Development Orders, Contracts, Leases and other documents involved in the financing and operation of a wide variety of government/private sector joint projects as varied as the new Tampa Museum of Art and the I-75 Bypass. David has been involved in a wide variety of environmental and regulatory compliance processes associated with public and private construction and development. He also has extensive experience with uniquely public sector requirements such as compliance with the Public Records and Sunshine Acts as well as compliance with state and local ethics laws. Much of his practice, both on behalf of the public sector and the private sector has been focused at the juncture of the two. David is an "AV" rated lawyer by Martindale-Hubbell.

Thomas J. Wilkes – Tom is an expert in state and local taxation and finance. He specializes in all other aspects of state and local government law, such as land use, utility law, constitutional law, administrative law and litigation. Tom has extensive experience in public finance. He has served as issuers' counsel, bond counsel, and underwriters' counsel for counties, cities, and special-purpose districts and authorities for over 25 years. GrayRobinson was first placed in the Red Book in 1985 after Tom delivered a bond counsel opinion for an Orange County utilities revenue bond offering. As County Attorney for Orange County from 1991 through 2002, Tom oversaw the issuance of some $1 billion in bonds payable from sales tax, tourist development tax, water and wastewater, solid waste, utility tax, and other revenues. Tom is an "AV" rated lawyer by Martindale-Hubbell.

While County Attorney, Tom Wilkes personally drafted the complicated ordinances, resolutions, interlocal agreements, and financing documents to which Orange County, numerous municipalities, and the Florida Department of Transportation were parties for three major transportation projects: (a) a $1 billion sales-tax increase proposed in 1997, a major share of which would have been spent on road construction and rapid transit (the tax increase was voted down at referendum); (b) a $600 million light-rail transportation system proposed in 1999 (the project was later discontinued by the County Commission); and (c) a $2 billion sales-tax increase proposed in 2003 solely for construction improvements to limited-access highways and state and local roads (the tax increase was voted down at referendum). In addition to his transportation expertise, Tom has over 25 years experience in legal work for special districts in Florida. For example, in 1980, he drafted Chapter 80-555, Laws of Florida, the special act that created the hugely successful Orange County Library District, an independent special taxing district that has established in Orange County one of the finest library systems in the nation. He has been general counsel to the District throughout the 26 years of its existence. He is special counsel to Space Florida, an independent special district and a public corporation created to enhance the role of the State of Florida in commercial space operations. Tom was special counsel to the Florida Space Authority, the predecessor of Space Florida, and assisted the Governor's office in 2006 as the old authority was dissolved and its operations and assets were transferred to the then-newly-created agency now known as Space Florida.

Bond Counsel or Disclosure Counsel

4. List the experience of the firm and staff as Bond counsel or Disclosure Counsel for financings for the past five years. Emphasis should be place on experience with Florida local governments.

AMOUNT(S)	ISSUE	DELIVERED	PURPOSE OF ISSUE	TYPE OF ISSUE	ROLE	MANNER IN WHICH SOLD	STAFF NAME
City of Port St. Lucie, Florida							
29,585,000	City of Port St. Lucie, Florida Utility System Refunding Revenue Bonds, Series 2014	November 13, 2014	Advance Refunding and Finance Project	Utility System Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
13,525,000 (Series A); 19,775,000 (Series B)	City of Port St. Lucie, Florida Public Service Tax Revenue Bonds, Taxable Series 2014A and Public Service Tax Revenue Bonds, Series 2014B (Recovery Zone Facility Bonds)	September 30, 2014	Advance Refunding and Finance Project	Public Service Tax Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
32,900,000; 41,840,000 (Refunding)	City of Port St. Lucie, Florida General Obligation Bonds, Series 2014 and General Obligation Refunding Bonds, Series 2014	April 3, 2014	Advance Refunding and Finance Project	General Obligation	Disclosure Counsel	Negotiated	Frank Fleischer
15,130,000	City of Port St. Lucie, Florida Special Assessment Refunding Bonds, Series 2012A (Tesoro Special Assessment District)	November 15, 2012	Advance Refunding and Finance Project	Special Assessments	Disclosure Counsel	Negotiated	Frank Fleischer
21,375,000	City of Port St. Lucie, Florida Utility System Refunding Revenue Bonds, Series 2012	August 15, 2012	Advance Refunding and Finance Project	Utility System Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
20,665,000	City of Port St. Lucie, Florida Water and Sewer Special Assessment Refunding Bonds, Series 2011B (Utility Service Area Nos. 5, 6 & 7A)	September 27, 2011	Current Refunding	Water and Sewer Special Assessments	Disclosure Counsel	Negotiated	Frank Fleischer
10,910,000	City of Port St. Lucie, Florida Water and Sewer Special Assessment Refunding Bonds, Series 2011A (Utility Service Area Nos. 3 & 4)	September 27, 2011	Current Refunding	Water and Sewer Special Assessments	Disclosure Counsel	Negotiated	Frank Fleischer
11,325,000	City of Port St. Lucie, Florida Stormwater Utility Refunding Revenue Bonds, Series 2011	June 21, 2011	Advance Refunding and Finance Project	Stormwater Utility Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
City of Apopka, Florida Edward Bass, Finance Director ebass@apopka.net							
18,065,000	City of Apopka, Florida Utility System Refunding Revenue Bonds, Series 2012	December 20, 2012	Advance Refunding and Finance Project	Utility System Revenues	Designated Underwriters' Disclosure Counsel	Negotiated	Brian Fender
City of Marco Island, Florida Guillermo Polanco, Director of Finance gpolanco@cityofmarcoisland.com							
61,995,000	City of Marco Island, Florida Utility System Refunding Revenue Bonds, Series 2013	October 1, 2013	Advance Refunding	Utility System Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
City of Polk City, Florida Joanna Knowles, Assistant to the Finance Director joanna.knowles@mypolkcity.org							
9,720,000 (2011A); 595,000 (2011B)	City of Polk City, Florida Water and Sewer System Capital Improvement and Refunding Revenue Bonds, Series 2011A and Taxable Series 2011B	August 30, 2011	Advance Refunding and Finance Project	Water Revenues	Disclosure Counsel	Negotiated	Brian Fender
City of St. Petersburg, Florida Anne Fritz, Finance Director anne.fritz@stpete.org							
30,190,000	City of St. Petersburg, Florida, Public Utility Revenue Bonds, Series 2015	November 19, 2015	Finance Project	Revenues of the Water and Wastewater Systems	Disclosure Counsel	Competitive	Frank Fleischer
34,245,000 (2014A); 43,230,000 (2014B)	City of St. Petersburg, Florida, Public Utility Revenue Bonds, Series 2014A and Public Utility Refunding Revenue Bonds, Series 2014B	October 15, 2014	Advance Refunding and Finance Project	Revenues of the Water and Wastewater Systems	Disclosure Counsel	Competitive	Frank Fleischer
24,995,000	City of St. Petersburg, Florida, Public Utility Revenue Bonds, Series 2013C	November 13, 2013	Finance Project	Revenues of the Water and Wastewater Systems	Disclosure Counsel	Competitive	Frank Fleischer

Bond Counsel or Disclosure Counsel

AMOUNT(S)	ISSUE	DELIVERED	PURPOSE OF ISSUE	TYPE OF ISSUE	ROLE	MANNER IN WHICH SOLD	STAFF NAME
41,925,000 (2013A); 43,500,000 (2013B)	City of St. Petersburg, Florida, Public Utility Revenue Bonds, Series 2013A and Public Utility Refunding Revenue Bonds, Series 2013B	January 15, 2013	Advance Refunding and Finance Project	Revenues of the Water and Wastewater Systems	Disclosure Counsel	Competitive	Frank Fleischer
City of Tampa, Florida Sonya Little, Chief Financial Officer sonya.little@tampagov.net							
36,635,000	City of Tampa, Florida Hospital Revenue Refunding Bonds (H. Lee Moffitt Cancer Center Project), Series 2012B	November 29, 2012	Advance Refunding and Finance Project	Hospital Revenues	Designated Underwriters' Disclosure Counsel	Negotiated	Frank Fleischer
132,310,000	City of Tampa, Florida Refunding and Capital Improvement Cigarette Tax Allocation Bonds (H. Lee Moffitt Cancer Center Project), Series 2012A	September 19, 2012	Advance Refunding and Finance Project	Cigarette Tax Revenues	Designated Underwriters' Disclosure Counsel	Negotiated	Frank Fleischer
20,045,000	City of Tampa, Florida Taxable Non-Ad Valorem Revenue Bonds, Series 2011	December 22, 2011	Finance Project	Non-Ad Valorem Revenues	Designated Underwriters' Disclosure Counsel	Negotiated	Frank Fleischer
121,050,000	City of Tampa, Florida Water and Sewer Systems Improvement and Refunding Revenue Bonds, Series 2011	September 15, 2011	Advance Refunding and Finance Project	Water and Sewer Systems Revenues	Designated Underwriters' Disclosure Counsel	Negotiated	Frank Fleischer
Florida Keys Aqueduct Authority Kerry Shelby, Deputy Executive Director kshelby@fkaa.com							
34,560,000	Florida Keys Aqueduct Authority Water Revenue Refunding Bonds, Series 2015A	April 22, 2015	Advance Refunding and Finance Project	Water Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
Hillsborough County Aviation Authority, Florida Ann Davis, Director of Finance adavis@tampaairport.com							
88,975,000 (Series A); 294,350,000 (Series B)	Hillsborough County Aviation Authority, Florida Tampa International Airport Customer Facility Charge Revenue Bonds, 2015 Series A (Non-AMT) and 2015 Series B (Taxable)	September 3, 2015	Finance Project	Airport Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
148,210,000 (Senior 2015A); 19,590,000 (Subordinated 2015A); 153,915,000 (Subordinated 2015B)	Hillsborough County Aviation Authority, Florida Tampa International Airport Revenue Bonds, 2015 Series A (AMT), Subordinated Revenue Bonds, 2015 Series A (AMT), Subordinated Revenue Bonds, 2015 Series B (Non-AMT)	August 13, 2015	Advance Refunding and Finance Project	Airport Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
168,865,000	Hillsborough County Aviation Authority, Florida Tampa International Airport Subordinated Revenue Refunding Bonds, 2013 Series A (AMT)	October 30, 2013	Advance Refunding	Airport Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
Tampa General Hospital Judith Ploszek, Senior Vice President jploszek@tgh.org							
166,490,000	Hillsborough County Industrial Development Authority (Florida) Hospital Revenue Refunding Bonds (Tampa General Hospital Project) Series 2012A	February 28, 2013	Advance Refunding and Finance Project	Hospital Revenues	Designated Underwriters' Disclosure Counsel	Negotiated	Frank Fleischer
Pasco County, Florida Robert J. Sigmond, Fiscal and Business Services Director, Utilities rsigmond@pascocountyfl.net							
54,290,000 (2014A); 50,620,000 (2014B)	Pasco County, Florida Water and Sewer Refunding Revenue Bonds, Series 2014A and Water and Sewer Improvement Revenue Bonds, Series 2014B	October 30, 2014	Advance Refunding and Finance Project	Water and Sewer Revenue	Disclosure Counsel	Negotiated	Frank Fleischer
33,785,000	Pasco County, Florida, Half-cent Sales Tax Refunding and Improvement Revenue Bonds, Series 2013A	July 10, 2013	Advance Refunding	Half-cent Sales Tax Revenues	Disclosure Counsel	Negotiated	Frank Fleischer

Bond Counsel or Disclosure Counsel

AMOUNT(S)	ISSUE	DELIVERED	PURPOSE OF ISSUE	TYPE OF ISSUE	ROLE	MANNER IN WHICH SOLD	STAFF NAME
9,985,000	Pasco County, Florida, Guaranteed Entitlement Refunding Revenue Bonds, Series 2013A	July 10, 2013	Advance Refunding	Guaranteed Entitlement Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
39,150,000	Pasco County, Florida, Solid Waste Disposal and Resource Recovery System Refunding Revenue Bonds, Series 2011 (AMT)	June 7, 2011	Current Refunding	Solid Waste System Revenue	Designated Underwriters' Disclosure Counsel	Negotiated	Frank Fleischer
School Board of Hillsborough County, Florida Steve Brady, Cash Management Accounting steve.brady@sdhc.k12.fl.us							
65,195,000	School District of Hillsborough County, Florida Sales Tax Revenue Refunding Bonds, Series 2015B	August 20, 2015	Advance Refunding and Finance Project	Sales Tax	Disclosure Counsel	Negotiated	Jennifer LaRocco
124,565,000	Refunding Certificates of Participation (School Board of Hillsborough County, Florida Master Lease Program), Series 2012A Evidencing an Undivided Proportionate Interest of Owners thereof in Basic Rent Payments to be made under a Master Lease-Purchase Agreement by the School Board of Hillsborough County, Florida	April 3, 2012	Advance Refunding and Finance Project	COPs - Lease Payments	Disclosure Counsel	Negotiated	Frank Fleischer
Tampa Bay Water Christina Sackett, Chief Financial Officer csackett@tampabaywater.org							
180,835,000 (2015A); 95,975,000 (2015B)	Tampa Bay Water, A Regional Water Supply Authority, Utility System Refunding Revenue Bonds, Series 2015A and Taxable Utility System Refunding Revenue Bonds, Series 2015B	March 3, 2015	Advance Refunding and Finance Project	Water Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
75,295,000	Tampa Bay Water, A Regional Water Supply Authority, Utility System Revenue Bonds, Series 2013	February 7, 2013	Finance Project	Water Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
140,645,000 (2011A); 148,920,000 (2011B)	Tampa Bay Water, A Regional Water Supply Authority, Utility System Revenue Refunding Bonds, Series 2011A and Series 2011B	August 16, 2011	Advance Refunding and Finance Project	Water Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
104,645,000	Tampa Bay Water, A Regional Water Supply Authority, Utility System Revenue Refunding Bonds, Series 2011	July 7, 2011	Advance Refunding and Finance Project	Water Revenues	Disclosure Counsel	Negotiated	Frank Fleischer
USF Financing Corporation Fell L. Stubbs, Executive Director fstubbs@usf.edu							
23,640,000	Refunding Certificates of Participation (USF Financing Corporation Master Lease Program), Series 2015A Evidencing an Undivided Proportionate Interest of the Owners thereof in Basic Rent Payments to be made under a Master Lease Purchase Agreement by the USF Financing Corporation	May 6, 2015	Advance Refunding and Finance Project	COPs - Lease Payments	Disclosure Counsel	Negotiated	Brian Fender
31,595,000	USF Financing Corporation Capital Improvement Refunding Revenue Bonds (Marshall Center Project), Series 2015	May 6, 2015	Advance Refunding and Finance Project	Capital Improvements	Disclosure Counsel	Negotiated	Brian Fender
77,015,000	Refunding Certificates of Participation (USF Financing Corporation Master Lease Program), Series 2012A Evidencing an Undivided Proportionate Interest of the Owners thereof in Basic Rent Payments to be made under a Master Lease Purchase Agreement by the USF Financing Corporation (Long-Term Rate Conversion)	May 6, 2015	Remarketing Issue	COPs - Lease Payments	Disclosure Counsel	Negotiated	Brian Fender

5. Provide firm experience for the following:

Experience with Bank Loans to Florida municipalities as;	Years Exp	Responsibility
Bank Counsel	0	
Bond Counsel	8	Hank Morgan[1]
Bond Counsel on Bond Issues	12	Hank Morgan[1] and Brian Fender
Disclosure Counsel on Bond Issues	12	Frank Fleischer,[2] Brian Fender and Jennifer LaRocco

(1) Hank Morgan is no longer with the firm.
(2) Prior to 2003 when Frank Fleischer joined GrayRobinson, he had 29 years of experience as disclosure counsel.

6. Description of duties the Proposer expects to be performed by City staff.

GrayRobinson has always prided itself on its reputation for providing prompt first-class service to our clients. The key to guaranteeing this type of service on any project is communication and organization. With respect to communication, Frank Fleischer would serve as the lead attorney to the City for GrayRobinson and be assisted by Brian Fender, Jennifer LaRocco and the rest of the GrayRobinson public finance team as needed.

We initiate our disclosure engagements with an extensive due diligence investigation to verify facts about a particular debt offering. Because of our firm's vast experience and background as disclosure counsel for issuers and underwriters, we have developed due diligence lists of information that we need for various types of bond issues. We are well organized and know the questions to ask. We perform online searches, which includes carefully reviewing your web site, to obtain as much information as we can in advance of contacting your staff for additional information. We try to keep staff meetings to a minimum. In other words, we know the work required, and we will do it without costing you undue staff time.

Our due diligence helps us ensure that we adequately disclose all material information relating to the bonds being offered, the source of security for the repayment thereof, and the financial condition of the City including any potential liabilities that may adversely impact the financial viability of such bonds in the future. This allows us to deliver our Rule 10b-5 legal opinion to the City to the effect that we are not aware of any material misstatements or omissions of facts in the offering documents. Such opinion is based in large part on our own due diligence along with discussions with, certificates from, and legal opinions of, the City representatives, counsel and members of the financing team.

It is our practice to review with our clients the provisions of SEC Rule 15c2-12 (the "Disclosure Rule") and their obligations to comply with the Disclosure Rule. It begins when we sit down with the client to review the first draft of the Preliminary Official Statement. We discuss with them with their responsibilities under the Disclosure Rule, both in preparing the prospectus and in providing continuing disclosure. One of the biggest compliance challenges for issuers, in addition to providing notice of material events enumerated in the Disclosure Rule, is the ongoing requirement to provide operating data similar to that presented in the final Official Statement. We try to balance the disclosure given in the Official Statement, to provide full and fair disclosure to the investing public, without creating an unnecessary continuing disclosure obligation for the issuer.

We also prepare and review with our clients the Continuing Disclosure Agreement required by the Disclosure Rule which is attached as an appendix to the Official Statement. This Agreement is required to assure the investment banking team of the bonds of the City's compliance with on-going disclosure requirements. Under the Disclosure Rule, underwriters are prohibited from selling municipal bonds unless the participating underwriter has reasonably determined that the issuer has undertaken in a written agreement to provide annual financial and operating data for the benefit of the purchasers of the bonds.

On an annual basis, we review with our clients what is required by the Disclosure Rule to be provided to the MSRB through its EMMA website. We help our clients prepare well in advance of deadlines. We review drafts of the materials to be submitted. We are also available to discuss any events enumerated in the Disclosure Rule that might arise during the course of the year and need disclosure. We have helped set up the reporting format for Tampa Bay Water, the City of Tampa, the Hillsborough County Aviation Authority and the First Florida Governmental Financing Commission.

We see an ongoing need to keep rating agencies and bond insurers informed by timely providing them with Comprehensive Annual Financial Reports ("CAFRs") and budgets. When and if the SEC, the MSRB or other governmental entities or industry representative groups require an active investors relations program, based on our extensive securities law background, we would be happy to assist you and help you avoid the mine fields.

We hope we have an advantage having been disclosure counsel for the City for eight transactions over the past five years. The City's finance staff knows us and likewise we know them. We believe we have an excellent working relationship with them and the City Attorney. There is no need to bring us up-to-date. "We hit the road running."

We would like to bring our experience and expertise to the City. We are prepared and staffed to provide a full range of disclosure services to the City, including primary and annual disclosure document preparation. We would be available for staff meetings on a continuous basis and with very short notice. We will be available for all document sessions and keep our schedules flexible when working on a City transaction so we can be available for all conference calls and in-person drafting sessions.

7. Information on the nature and magnitude of any litigation or proceeding whereby, during the past five (5) years, a court or any administrative agency has ruled against the firm in any natter related to the professional activities of the firm or the firm's insurance company has had to pay a claim or settlement in conjunction with such activities.

We are pleased to report that there are no Florida bar disciplinary proceedings against any GrayRobinson lawyers. In any firm with hundreds of clients and nearly 300 attorneys, there will inevitably be an occasional dispute between the law firm and a client. GrayRobinson and its attorneys have a few disputes involving matters that are not relevant to the City's operational and legal needs. GrayRobinson is contesting each of these disputes vigorously and expects to prevail on each or, in the worst case, settle on reasonable terms that will not jeopardize the financial viability of the firm. The firm is also fully insured with respect to any pending claims. GrayRobinson is proud of its reputation for honest, straightforward, ethical dealings with its clients.

8. Briefly describe the structure of compensation and the method which your firm proposes to utilize to determine the dollar value for your services. (This is a word document please add space as needed) Limit response to 1 page)

We propose a fee for providing disclosure counsel services to the City based upon 75% of the fee charged by bond counsel. For any services we are called upon related to our role as disclosure counsel and not related to a bond transaction, we have never charged the City nor would we in the future. We feel that is part of our role in providing continuing service. Since all documents we have are available to be transmitted by e-mail, there would be no charge for documents. We will not charge any expenses for travel including travel from Tampa or any of our Florida offices to the City. In the unlikely event (it has never happened yet) of out-of-state travel, reimbursements would be in accordance with Chapter 112, Florida Statute § 112.061 or any subsequently adopted City travel policy.

We also charge 75% of bond counsel fees in the City of St. Petersburg and Pasco County. For another example of our fee structure, we have had a contract with Tampa Bay Water to act as disclosure counsel for at least the past 15 years. Our contractual fee there for each issuance of a series of bonds is based on the following schedule:

Size of Bond Issue	**Fee Arrangement**
First $25 million	$1.25 per bond
Next $25 million	$0.75 per bond
Next $50 million	$0.60 per bond

We want to be competitive. If we are selected as one of the firms with which to conduct further discussions, we would be amicable to negotiate fees, if necessary, to retain our role as disclosure counsel.

9. Using the Reference Form submit three (3) references form the last three years from local governments in the State of Florida for which the firm acted as bond and or disclosure counsel.

Three Reference Forms are attached.

ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued
#1	November 4, 2015

CERTIFICATION:

This E-RFP is submitted by: Name (print) Frank N. Fleischer who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract and Terms and Condition in its entirety

I agree to abide by all conditions of this E-RFP.:

Signature Shareholder
Title

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BID ADDENDUM # 1
BID # 20160014
Addendum Date: November 4, 2015

Bid Name:
Bond Counsel or Bond Disclosure Counsel

Please make the following changes/modifications to the subject bid:

It is the intent of the City to award to two (2) separate firms for this proposal. One firm to perform as the Bond Counsel and a separate firm to perform as the Bond Disclosure Counsel.

Please note below the schedule change for the Evaluation Committee and Negotiation meeting.

Evaluation Committee	December 2, 2015 at 10:00 am EST
Negotiation Meeting	December 3, 2015 at 2:00 pm EST.
City Council Approval	December 14, 2015

NOTE: The bid opening date remains the same.

Instructions to Bidder:

Each bidder must acknowledge receipt of any addenda on the Bid Reply Sheet in order to have his/her bid or proposal/bid to be accepted.

Form **W-9**
(Rev. December 2014)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
GrayRobinson, P.A.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC ☑ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☐ Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any)
Exemption from FATCA reporting code (if any)
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
301 E. Pine Street, Suite 1400

Requester's name and address (optional)

6 City, state, and ZIP code
Orlando, FL 32801

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number: ___ - ___ - ____

or

Employer identification number: 59 - 1300132

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ [signature] Date ▶ November 18, 2015

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at *www.irs.gov/fw9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

Cat. No. 10231X Form **W-9** (Rev. 12-2014)

ACORD®

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 03/24/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MCGRIFF, SEIBELS & WILLIAMS OF GEORGIA, INC. 5605 Glenridge Drive - Suite 300 Atlanta, GA 30342	CONTACT NAME: PHONE (A/C, No, Ext): 404 497-7500 FAX (A/C, No): E-MAIL ADDRESS:

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : AXIS Insurance Company	37273
INSURER B : Ironshore Indemnity Inc.	23647
INSURER C : Scottsdale Insurance Company	41297
INSURER D : QBE Insurance Corporation	39217
INSURER E :	
INSURER F :	

INSURED
GrayRobinson, P.A.
301 East Pine Street, Suite 1400
Orlando, FL 32801

COVERAGES **CERTIFICATE NUMBER:** DKSLV7XP **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY						EACH OCCURRENCE	$
	☐ CLAIMS-MADE ☐ OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	$
							MED EXP (Any one person)	$
							PERSONAL & ADV INJURY	$
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	$
	☐ POLICY ☐ PRO-JECT ☐ LOC						PRODUCTS - COMP/OP AGG	$
	☐ OTHER:							$
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)	$
	☐ ANY AUTO						BODILY INJURY (Per person)	$
	☐ ALL OWNED AUTOS ☐ SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	☐ HIRED AUTOS ☐ NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	$
								$
	☐ UMBRELLA LIAB ☐ OCCUR						EACH OCCURRENCE	$
	☐ EXCESS LIAB ☐ CLAIMS-MADE						AGGREGATE	$
	☐ DED ☐ RETENTION $							$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y / N						☐ PER STATUTE ☐ OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	N / A					E.L. EACH ACCIDENT	$
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	$
							E.L. DISEASE - POLICY LIMIT	$
A	Lawyers Professional Liability			MGN 751410/01/2015	03/21/2015	03/21/2016	Please see below	$
B	Excess Lawyers Professional Liability			000185105				$
C	Excess Lawyers Professional Liability			LXS0000684				$
D	Excess Lawyers Professional Liability			QPL0015445				$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Carrier A - $10MM Claim / $10MM Agg x/s of $250,000 Claim / $500,000 Agg Retention
Carrier B - $10MM Claim / $10MM Agg x/s $10MM Claim / $10MM Agg
Carrier C - $10MM Claim / $10MM Agg x/s $20MM Claim / $20MM Agg **
Carrier D - $10MM Claim / $10MM Agg x/s $30MM Claim / $30MM Agg

**THIS INSURANCE IS ISSUED PURSUANT TO THE FLORIDA SURPLUS LINES LAW. PERSONS INSURED BY SURPLUS LINES CARRIERS DO NOT HAVE THE PROTECTION OF THE FLORIDA INSURANCE GUARANTY ACT TO
(continued next page)

CERTIFICATE HOLDER

FOR INFORMATION PURPOSES ONLY

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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ACORD 25 (2014/01) The ACORD name and logo are registered marks of ACORD

AGENCY CUSTOMER ID: _____

LOC #: _____

ACORD

ADDITIONAL REMARKS SCHEDULE

PRODUCER MCGRIFF, SEIBELS & WILLIAMS OF GEORGIA, INC.	INSURED GrayRobinson, P.A.
POLICY NUMBER	
CARRIER / NAIC CODE	ISSUE DATE: 03/24/2015

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,

FORM NUMBER: _____ FORM TITLE: _____

(continued from previous page)

THE EXTENT OF ANY RIGHT OF RECOVERY FOR THE OBLIGATION OF AN INSOLVENT UNLICENSED INSURER. SURPLUS LINES INSURERS' POLICY RATES AND FORMS ARE NOT APPROVED BY ANY FLORIDA REGULATORY AGENCY.

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Client#: 1405411 131GRAYROB

ACORD CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 8/05/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME:	
BB&T - J. Rolfe Davis PO Box 4927 Orlando, FL 32802-4927 407 691-9600	PHONE (A/C, No, Ext): 407 691-9600	FAX (A/C, No): 888-635-4183
	E-MAIL ADDRESS:	

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : National Fire Ins Co of Hartfrd	20478
INSURER B : Valley Forge Insurance Co	20508
INSURER C : Transporation Insurance Co	20494
INSURER D : Hartford Ins Co of Southeast	38261
INSURER E :	
INSURER F :	

INSURED
GrayRobinson PA
P.O. Box 3068
Orlando, FL 32802

COVERAGES **CERTIFICATE NUMBER: 15-16Master** **REVISION NUMBER: 2**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY			6012395102	04/15/2015	04/15/2016	EACH OCCURRENCE	$1,000,000
	X COMMERCIAL GENERAL LIABILITY						DAMAGE TO RENTED PREMISES (Ea occurrence)	$1,000,000
	CLAIMS-MADE X OCCUR						MED EXP (Any one person)	$5,000
							PERSONAL & ADV INJURY	$1,000,000
							GENERAL AGGREGATE	$2,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						PRODUCTS - COMP/OP AGG	$1,000,000
	X POLICY PROJECT LOC							$
B	AUTOMOBILE LIABILITY			6012395133	04/15/2015	04/15/2016	COMBINED SINGLE LIMIT (Ea accident)	$1,000,000
	ANY AUTO						BODILY INJURY (Per person)	$
	ALL OWNED AUTOS / SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	X HIRED AUTOS / X NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	$
								$
C	X UMBRELLA LIAB / OCCUR			6012395116	04/15/2015	04/15/2016	EACH OCCURRENCE	$15,000,000
	EXCESS LIAB / CLAIMS-MADE						AGGREGATE	$15,000,000
	DED X RETENTION $10000							$
D	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N	N/A	X	21WBAF3508	08/09/2015	08/09/2016	X WC STATU-TORY LIMITS / OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? N (Mandatory in NH)						E.L. EACH ACCIDENT	$1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	$1,000,000
							E.L. DISEASE - POLICY LIMIT	$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Additional Insured status is granted with respects to General Liability if required by written contract per form "NonContractor's Blanket Additional Insured Endorsement" form #G134802C (11/04).

CERTIFICATE HOLDER

Gray Robinson, PA
P.O. Box 3068
Orlando, FL 32802-3068

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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DRUG-FREE WORKPLACE FORM

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that GrayRobinson, P.A. does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature

November 18, 2015
Date

CHECKLIST

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

___✓___ Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFP #20160014, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, ,, three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

___✓___ All questions on the questionnaire are complete and thoroughly answered

___✓___ Each Bid Addendum (when issued) is acknowledged

__N/A__ Certified Minority Business Certificate if Applicable

___✓___ W9 Form

___✓___ Current Certificate of Insurance

___✓___ Three (3) Reference Forms from local Florida governments

___✓___ Drug Free Workplace Form

___✓___ Have reviewed the Contract and accept all City Terms and Conditions

___✓___ Checklist

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: GrayRobinson, P.A.
Reference: Pasco County, Florida — Fax #: 727.815.7010
Email: rsigmond@pascocountyfl.net — Telephone #: 727.847.8041
Person to contact: Robert Sigmond, Fiscal and Business Services Director, Utilities

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for Disclosure Counsel projects.

Describe the scope of work of the firm performed for your City. This firm has provided this service of "Disclosure Counsel" for years for Pasco County issues - primarily numerous water/waste-water and solid waste bonds.

What problems were encountered? Most issues went smooth - except for one when the outside audit for the Clerks Office was being a little difficult in providing an "Independent Accountant Report. Frank Fleisch did a phenominal job of working with them to address their concerns.

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	9	Final Product	9
Qualifications	9	Cooperation	10
Budget Control	9	Reliability	9

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]
Comments: I have been working with Frank Fleisch for years on numerous issues and have been extremely satisfied with performance provided.

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

QUESTIONNAIRE

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to verify the information on this questionnaire.

Dated this 19th day of November, 2015

Greenspoon Marder, P.A.
Name of Organization / Proposer

1. Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?

   Greenspoon Marder, P.A. (the "Firm") will assign a team of three Firm shareholders to the City's account if selected as bond counsel or disclosure counsel. Denise Ganz, resident in the Firm's Boca Raton office, and Skip Miller, resident in the Firm's West Palm Beach office, would work together on the Firm's work for the City. Noreen Dreyer, resident in the Firm's Port St. Lucie office would be available to provide counseling regarding matters relating to local and state law issues that may arise in the course of our representation of the City. The Firm believes that having multiple senior lawyers with knowledge of our governmental clients' financing matters provides them with the highest level of service, without additional cost.

   We note that, to our knowledge, the Firm is the only firm listed in the most recent edition of The Bond Buyer's Municipal Marketplace "Red Book" with an office in the City or elsewhere in St. Lucie County. The office in the City was established initially established in 2000 by Ruden McClosky, P.A. and in 2011 became part of the Firm. Attorneys in our Port St. Lucie office are active in a variety of local civic and charitable organizations. Attorneys in the Firm have served as bond counsel and underwriter's counsel in bond financings for significant developments within the area, including St. Lucie West, Tradition and Verano, as well as served as underwriter's counsel for certain City bond transactions. We believe that we have an understanding of the political, economic and social issues impacting the City, both past and present. The close proximity to the City of

our selected office locations allows one of the Firm team members assigned to the City's account to be available to the City upon short notice to attend meetings. There will be no charge to the City for travel costs of any Firm team member relating to the City's bond financings.

Below is the requested information for each of the applicable office locations.

Boca Raton office:
2255 Glades Road, Suite 400-E
Boca Raton, Florida 33431
Phone: (561) 994-2212
Fax: (561) 989-0487
Contact person: Denise Ganz
E-mail: denise.ganz@gmlaw.com

Port St. Lucie office:
145 NW Central Park Plaza, Suite 200
Port St. Lucie, Florida 34986
Phone: (772) 873-5900
Fax: (772) 873-5959
Contact person: Noreen Dreyer
E-mail: noreen.dreyer@gmlaw.com

West Palm Beach office:
525 Okeechobee Boulevard, Suite 1570
West Palm Beach, Florida 33401
Phone: (561) 838-4556
Fax: (561) 514-3456
Contact person: Skip Miller
E-mail: skip.miller@gmlaw.com

2. Is your firm a Certified Minority Business (yes or no)? No.

3. List all proposed personnel, assigned to this project, including title, qualifications, and years of experience applicable to this project scope.

Staff Name	Title	Years of Experience	Qualification
Denise Ganz	Shareholder/Vice-President	30	*See* Attachment A
Skip Miller	Shareholder/Vice-President	36	*See* Attachment A
Noreen Dreyer	Shareholder/Vice-President	34	*See* Attachment A
Jeannette Bond	Special Tax Counsel	36	*See* Attachment A

4. List the experience of the firm and staff as Bond counsel or Disclosure Counsel for financings for the past five years. Emphasis should be place on experience with Florida local governments.

Staff Name	Financings, Amount and Type	Project Owner and email address
Denise Ganz	*See* Attachment B	*See* Attachment B
Skip Miller	*See* Attachment B	*See* Attachment B

5. Provide firm experience for the following:

Experience with Bank Loans to Florida municipalities as:	**Years Exp.**	**Responsibility**
Bank Counsel	30 years	*See* Attachments C and C-1; *see also* Attachment B
Bond Counsel	30 years	*See* Attachment C and C-1; *see also* Attachment B
Bond Counsel on Bond Issues	30 years	*See* Attachment C; *see also* Attachment B
Disclosure Counsel on Bond Issues	30 years	See Attachment C; see also Attachment B

6. Description of duties the Proposer expects to be performed by City staff.

If selected as counsel to the City, our first step would be to meet with key City staff members to ensure that we have a complete understanding of the City's existing financings, its capital improvement plan and its overall financing goals. We will organize our tasks in a clear and concise manner, taking into consideration the needs of the City. We would work collaboratively with City staff, keeping in mind that each staff member has many other duties that need attention in the middle of a bond deal. To that end, while making sure that City staff is "in the loop" at all times, we would seek to minimize staff duties to the extent appropriate. We would expect to keep the City Attorney and City staff fully apprised of all federal and state law issues, as well as "business" considerations, as the same arise in a given transaction, so the City may make informed decisions and meet its objectives. As bond counsel, we would generally expect City staff to communicate directly with the City's financial advisor regarding the parameters of a particular transaction, to assist in preparing timelines and coordinating publication of required notices, such as in connection with bond ordinances and resolutions, to participate in bond validation proceedings, if any, and to review substantially final drafts of documents. We would also work with the City Attorney to prepare drafts of any legal opinions to be rendered by that office, subject to input from the City Attorney. As disclosure counsel, we would generally expect selected City staff to work with us in the due diligence process, by providing requested information and assisting in reviewing the applicable provisions of any offering statement we prepare. Depending on the City's preference, as

either bond counsel or disclosure counsel with responsibility for assisting with the City's continuing disclosure undertakings, we would work with City staff to be sure that they are generally aware of the types of events that may require disclosure under applicable law, as well as to assist in any annual filings. We would be happy to provide a seminar for City staff, at no charge, to familiarize them with issues that may arise post-bond closing, including private use issues to be considered in the operation of bond-financed facilities.

7. Information on the nature and magnitude of any litigation or proceeding whereby, during the past five (5) years, a court or any administrative agency has ruled against the firm in any matter related to the professional activities of the firm or the firm's insurance company has had to pay a claim or settlement in conjunction with such activities.

   There are no disciplinary actions, administrative proceedings or malpractice claims or other like proceeding against any of the Firm lawyers that would serve on this engagement, whether current, pending or threatened. There are no malpractice claims or similar proceedings arising from the rendering of services in the public finance area against the Firm or any of our respective lawyers, and there have never been such actions, proceedings or claims. Although like any large law firm, the Firm has occasional claims made against it, the Firm has never had a material adverse malpractice, administrative or disciplinary judgment entered against it.

8. Briefly describe the structure of compensation and the method which your firm proposes to utilize to determine the dollar value for your services.

   See Attachment D.

9. Using the Reference Form submit three (3) references from the last three years from local governments in the State of Florida for which the firm acted as bond and/or disclosure counsel.

   Included with response.

ADDENDUM ACKNOWLEDGMENT - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued
#1	11/4/15

CERTIFICATION:

This E-RFP is submitted by: Denise Ganz who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract and Terms and Condition in its entirety.

I agree to abide by all conditions of this E-RFP.:

Denise Ganz

Signature

Vice President

Title

(Balance of Page Intentionally Left Blank)

Attachment A—Proposed Personnel

The following are the resumes of the Firm's team members that would be assigned to the City's account. Other attorneys in the Firm, including associates and paralegals, are available to assist as needed.

Denise J. Ganz (Florida Bar No. 0522848). Ms. Ganz has concentrated her practice in the area of public finance since her graduation from the University of Florida College of Law. She was employed by Ruden McClosky at that time, becoming a partner in Ruden McClosky's public finance department in 1991. Ms. Ganz joined Greenspoon Marder when it combined with Ruden McClosky. Ms. Ganz is responsible for the public finance matters undertaken by the Firm. She is experienced with all aspects of public finance and has had primary responsibility for a significant number of the bond transactions in which the Firm has participated. In addition to representing counties, cities, school districts, and special districts as bond counsel and disclosure counsel, she has represented major national investment banking firms as underwriter's counsel in numerous financings. Ms. Ganz has represented local governments statewide to structure and document innovative finance techniques for capital projects of all types including lease-purchase arrangements and the use of special assessments and impact fees. Ms. Ganz has participated as bond counsel, disclosure counsel or underwriter's counsel in numerous bond issues relating to port, airport and other transportation-related-financings. She has also represented banks and non-profit entities in connection with tax-exempt financings. Other areas in which Ms. Ganz has expertise include annexation matters, election law issues, legislative drafting, interlocal agreements, and the formation of special districts. In addition, she has experience in representing individuals and businesses in general corporate law matters. Ms. Ganz is resident in the Firm's Boca Raton office. She received her B.S. degree from the University of Florida in 1982 and her J.D., cum laude, from the University of Florida College of Law in 1985. Ms. Ganz is a member of the National Association of Bond Lawyers and the City, County and Local Government Section of The Florida Bar. Ms. Ganz is AV® rated by Martindale-Hubbell.

Morris G. (Skip) Miller (Florida Bar No. 0279145). Morris G. (Skip) Miller is a Shareholder in the West Palm Beach office of Greenspoon Marder, P.A. Mr. Miller joined Greenspoon Marder from Ruden McClosky P.A., where he practiced from December, 2005 until December 2011. A member of the Firm's Public Finance Department, Mr. Miller focuses on public finance and representation of non-profit entities. Over the past 30 years, he has served as bond counsel, underwriter's counsel, borrower's counsel (for profit and not-for profit), lender's counsel or issuer's counsel on well over 400 financings of various types throughout the United States. Mr. Miller is presently general counsel to the Housing Finance Authority of Palm Beach County. He has extensive experience in municipal finance transactions of all types, including taxable bonds, bond issues for not-for-profit organizations, mini bonds, community redevelopment financing, special taxing districts and single- and multi-family housing revenue bonds. Mr. Miller frequently appears as a speaker on topics of local government and public finance law. Mr. Miller received his J.D. from the University of Florida College of Law in Gainesville, Florida, in March of 1979, and a Bachelor of Science in Social and Behavioral Sciences, concentrating in Urban Development and Planning, from The Johns Hopkins University, Baltimore, Maryland, in May of 1976. He is a member in good standing of The

Florida Bar, the Palm Beach County Bar Association and the National Association of Bond Lawyers. Mr. Miller is AV® rated by Martindale-Hubbell.

Noreen S. Dreyer (Florida Bar No. 216593). Noreen Dreyer is a Shareholder in the Port St. Lucie office of Greenspoon Marder, P.A. Ms. Dreyer joined Greenspoon Marder from Ruden McClosky, P.A. She is Board Certified by the Florida Bar as a City, County and Local Government Law Specialist. She concentrates her practice in the representation of clients on zoning and land use matters and other issues involving local government. Ms. Dreyer has extensive experience with local government representation, having served as County Attorney for Martin County, Florida from 1986-1995 and as an Assistant County Attorney for Martin County from 1981-1986. As County Attorney, she served as issuer's counsel on many Martin County bond issues, including a general obligation bond issue for construction of the Martin County courthouse and jail and a variety revenue bond issues. She currently serves as general counsel to the Children's Services Council of Martin County and has previously served as legal counsel to the St. Lucie County Fire District, the Fort Pierce Police Pension Board and the St. Lucie County Fire District Supplemental Pension Board. Ms. Dreyer has extensive experience in all types of local government matters including but not limited to Sunshine Law and ethics issues, government contracts and procurement, drafting of ordinances and resolutions, land use and zoning, and utilities matters. Ms. Dreyer served as a member of the St. Lucie County Planning and Zoning Commission/Local Planning Agency from 1998-2000, is a member and past President of the Port St. Lucie Bar Association, past President of the St. Lucie County Chamber of Commerce, past Director of the Martin County Economic Council and past Director of the Economic Development Council of St. Lucie County,.

Jeannette Bond. Jeannette Bond serves as special tax counsel to the Firm. She is special counsel to the firm of McCarter & English, LLP. Ms. Bond has practiced in the area of public finance area since 1979 and has experience in all the federal tax aspects of tax-exempt bonds. She has served as special tax counsel for bonds of state and local governments and authorities, including the State of New Jersey. Ms. Bond testified before the Internal Revenue Service on behalf of the National Association of Bond Counsel in 1997 on proposed private activity bond regulations. She has been a speaker on the tax aspects of public finance issues at numerous seminars, including those of the National Association of Bond Lawyers, the Practicing Law Institute, the National Association of State Treasurers, the Council of Infrastructure Finance Agencies, and the U.S. Conference of Mayors. Ms. Bond is listed in the 2007 and 2008 issue of The Best Lawyers in America. She received her LL.M from New York University School of Law in 1980, her J.D. from Rutgers University School of Law - Camden, with honors in 1978 and her Bachelors of Arts degree from Rutgers University.

Attachment B-- Bond Counsel (BC) and Disclosure Counsel (DC) Financings—Last Five Years Only

(including financings in which the Firm served as underwriter's counsel (UC) with responsibility for preparing the offering statement)

UC $55,450,000 Sumter Landing Community Development District Taxable Recreational Revenue Bonds, Series 2015—underwriter's counsel—negotiated sale—purpose: refunding—Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager—e-mail: janet.tutt@districtgov.org

BC/DC $57,360,000 City of Riviera Beach, Florida, Taxable Public Improvement Revenue Bonds, Series 2015 – acted as bond counsel and disclosure counsel – negotiated sale – purpose: funding unfunded pension liability—Skip Miller supervisory responsibility—contact: Randy Sherman, Finance Director—e-mail: rsherman@rivierabch.com

BC $4,135,000 City of Lauderhill, Florida Stormwater Utility Refunding Revenue Bond, Series 2015B—co-bond counsel—negotiated private placement—purpose: refunding—Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager—e-mail: cfaranda@lauderhill-fl.gov

BC $2,060,000 City of Lauderhill, Florida Stormwater Utility Refunding Revenue Bond, Series 2015-- co-bond counsel—negotiated private placement—purpose: refunding-- Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager—e-mail: cfaranda@lauderhill-fl.gov

BC/DC $23,250,000 City of Lauderhill, Florida General Obligation Refunding Bonds, Series 2015--co-bond counsel and co-disclosure counsel—public sale—purpose: refunding-- Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager—e-mail: cfaranda@lauderhill-fl.gov

BC $7,495,000 North Springs Improvement District Water Management Refunding Bond, Series 2015-- bond counsel—negotiated private placement—purpose: refunding—Denise Ganz supervisory responsibility—contact Doug Hyche, District Manager e-mail: dough@nsidfl.gov

BC/DC $23,875,000 City of Pompano Beach, Florida Taxable--Certificates of Participation, Series 2015 (Parking Garage Project)—bond counsel and disclosure counsel—negotiated sale—purpose: to finance parking garage and related road and parking improvements—Denise Ganz supervisory responsibility—contact—Suzette Sibble, Finance Director—e-mail: suzette.sibble@copbfl.com

BC $3,494,000 City of Opa-Locka, Florida Tax-Exempt Capital Improvement Revenue and Refunding Note, Series 2015A and $5,106,000 City of Opa-Locka, Florida Taxable Capital Improvement Revenue Note, Series 2015B —bond counsel—negotiated private placement—purpose: refunding and acquisition of an office building to serve as City Hall and other

purposes—Denise Ganz supervisory responsibility—contact: Susan Liburd Finance Director—e-mail: sgliburd@opalockafl.gov

UC $61,080,000 Village Community Development District No. 7 Special Assessment Revenue Refunding Bonds, Series 2015—underwriter's counsel—negotiated sale—purpose: refunding-- Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager—e-mail: janet.tutt@districtgov.org

UC $3,745,000 Willow Walk Community Development District Special Assessment Bonds, Series 2015 (South Parcel Assessment Area Project)—underwriter's counsel—negotiated sale—purpose: to finance infrastructure—Denise Ganz supervisory responsibility—contact person—Doug Draper, Director, RBC Capital Markets, Inc.-- e-mail: doug.draper@rbcm.com

UC $6,835,000 Dupree Community Development District Capital Improvement Revenue and Refunding Bonds, Series 2015—underwriter's counsel—negotiated sale—purpose: refunding and to finance infrastructure Denise Ganz supervisory responsibility—contact person—Doug Draper, Director, RBC Capital Markets, Inc.-- e-mail: doug.draper@rbcm.com

BC $66,412,440.60 Palm Beach County, Florida, Public Improvement Revenue Bonds, Series 2015 – negotiated sale – acted as bond counsel - purpose: office building and garage—Denise Ganz supervisory responsibility—contact person: Sherry Brown, Assistant Budget Director-- e-mail: sbrown4@pbcgov.org

BC $3,735,000 City of Lauderhill, Florida, Stormwater Refunding Revenue Bond, Series 2015 – negotiated private placement – acted as bond counsel - purpose: refunding-- refunding Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager—e-mail: cfaranda@lauderhill-fl.gov

BC $3,340,000 City of Lauderhill, Florida, Half-Cent Sales Tax Refunding Revenue Bond, Series 2015 – negotiated private placement – acted as bond counsel - purpose: refunding—refunding-- Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager—e-mail: cfaranda@lauderhill-fl.gov

UC $15,410,000 Monterra Community Development District Special Assessment Refunding Bonds, Series 2015—underwriter's counsel— negotiated sale—--purpose: refunding refunding Denise Ganz supervisory responsibility—contact: Dennis Lyler, District Counsel—e-mail:dlyles@bchlm.com

UC $252,360,000 Broward County School Board Certificates of Participation, Series 2015A—underwriter's counsel— negotiated sale—purpose: refunding Denise Ganz supervisory responsibility—contact: Michael Baldwin, Director, Citigroup Global Markets, Inc.—e-mail:Michael.baldwin@citi.com

UC $170,805,000 Broward County School Board Certificates of Participation, Series 2015A—underwriter's counsel— negotiated sale—purpose: refunding -- Denise Ganz supervisory responsibility—contact: Michael Baldwin, Director, Citigroup Global Markets, Inc.—e-mail:Michael.baldwin@citi.com

BC $5,800,000 Southern Grove Community Development District No. 5 Bond Anticipation Note, Series 2014 (Community Infrastructure)—bond counsel—negotiated private placement—purpose: financing infrastructure—Denise Ganz supervisory responsibility—contact—Hank Fishkind, District Manager—e:mail: hankf@fishkind.com

BC $2,655,000 Southern Grove Community Development District No. 5 Bond Anticipation Note, Series 2014 (District Infrastructure)—bond counsel—negotiated private placement—purpose: financing infrastructure-- Denise Ganz supervisory responsibility—contact—Hank Fishkind, District Manager—e-mail: hankf@fishkind.com

BC $6,415,000 North Springs Improvement District Water Management Refunding Bonds, Series 2014—bond counsel—negotiated private placement—purpose: refunding--Denise Ganz supervisory responsibility—contact Doug Hyche, District Manager e-mail: dough@nsidfl.gov

UC $33,730,000 Celebration Pointe Community Development District Special Assessment Bonds, Series 2014——underwriter's counsel— negotiated sale-- purpose: financing infrastructure—Denise Ganz supervisory responsibility—contact—Joe Maclaren, District Manager—e-mail: joem@fishkind.com

BC $7,945,000 Heritage Harbour North Community Development District Special Assessment Bonds, Series 2014—bond counsel—negotiated sale—purpose—financing infrastructure—Denise Ganz supervisory responsibility—contact: James P. Ward, District Manager—e-mail:warf9490@comcast.net

UC $56,120,000 Village Community Development District No. 11 Special Assessment Revenue Bonds, Series 2014-- underwriter's counsel with responsibility for preparation of official statement— negotiated sale-- purpose: financing infrastructure---- Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager—e-mail: janet.tutt@districtgov.org

BC/LC $499,675 City of Arcadia, Florida, Public Improvement Revenue Note, Series 2014 – acted as bond counsel and lender's counsel – negotiated private placement – purpose: airport improvement—Skip Miller supervisory responsibility—contact: Thomas Wohl, City Attorney --- e-mail: tj@heartlandlaw.com

BC/DC $22,000,000 City of Riviera Beach, Florida, Public Improvement Revenue Bonds, Series 2014 – acted as bond counsel – competitive bid – purpose: street and park improvement—Skip Miller supervisory responsibility—contact: Randy Sherman, Finance Director—e-mail: rsherman@rivierabch.com

BC/DC $22,645,000 City of Riviera Beach (Florida) Utility Special District Water and Sewer Revenue Refunding Bonds, Series 2014 – acted as bond counsel – negotiated sale – purpose: refunding-——Skip Miller supervisory responsibility—contact: Randy Sherman, Finance Director—e-mail: rsherman@rivierabch.com

UC $172,425,000 Village Center Community Development District Taxable Recreational Revenue Refunding Bonds, Series 2014-- underwriter's counsel— negotiated sale-- purpose: refunding---- Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager—e-mail: janet.tutt@districtgov.org

UC $14,320,000 Village Center Community Development District Taxable Utility Revenue Refunding Bonds, Series 2014A-- underwriter's counsel— negotiated sale-- purpose: refunding---- Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager—e-mail: janet.tutt@districtgov.org

UC $70,300,000 Village Center Community Development District Utility Revenue Refunding Bonds, Series 2014B-- underwriter's counsel— negotiated sale-- purpose: refunding-- Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager—e-mail: janet.tutt@districtgov.org

BC $17,355,000 City of Pompano Beach, Florida Water and Sewer Refunding Revenue Bonds, Series 2014—bond counsel—negotiated private placement—purpose: refunding—Denise Ganz supervisory responsibility—contact—Suzette Sibble, Finance Director—e-mail: suzette.sibble@copbfl.com

BC $2,060,000 City of Lauderhill, Florida Capital Improvement Bonds, Series 2014—co-bond counsel—negotiated private placement—purpose: financing various capital improvements---- Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager—e-mail: cfaranda@lauderhill-fl.gov

BC $2,060,000 City of Lauderhill, Florida Stormwater Utility Bonds, Series 2014—co-bond counsel—negotiated private placement—purpose: financing stormwater improvements-- Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager—e-mail: cfaranda@lauderhill-fl.gov

UC $10,440,000 Waterford Landing Community Development District Capital Improvement Bonds, Series 2014-- negotiated sale-- purpose: financing infrastructure—Denise Ganz supervisory responsibility—contact: Brett Sealy, Managing Director, MBS Capital Markets, Inc. --e-mail: brett@mbscapitalmarkets.com

BC/DC $8,510,000 Meadow Pines Community Development District Special Assessment Refunding Bonds, Series 2014—bond counsel and disclosure counsel—negotiated sale—purpose: refunding—Denise Ganz supervisory responsibility—contact: Richard Ellington, District Manager-- e-mail:rellington@sdsinc.org

BC $53,170,000 Tradition Community Development District No. 1 Special Assessment Refunding Bonds, Series 2014—bond counsel—negotiated sale—purpose: refunding---- Denise Ganz supervisory responsibility—contact—Hank Fishkind, District Manager—e:mail: hankf@fishkind.com

BC Not to exceed $8,500,000 City of Opa-Locka, Florida Capital Improvement Note, Series 2014 --bond counsel—negotiated private placement—purpose: financing City Hall—Denise Ganz supervisory responsibility—contact: Susan Liburd, Finance Director—e-mail: sgliburd@opalockafl.gov

BC/DC $7,770,000 North Springs Improvement District Water Management Bonds, Series 2014 (Unit Area A)—acted as bond counsel and disclosure counsel—negotiated sale—purpose: to finance water management improvements--Denise Ganz supervisory responsibility—contact Doug Hyche, District Manager e-mail: dough@nsidfl.gov

BC/DC $6,330,000 North Springs Improvement District Special Assessment Bonds, Series 2014 (Assessment Area A)—acted as bond counsel and disclosure counsel—negotiated sale—purpose: to finance infrastructure--Denise Ganz supervisory responsibility—contact Doug Hyche, District Manager e-mail: dough@nsidfl.gov

BC/DC $6,010,000 North Springs Improvement District Water Management Bonds, Series 2014 (Unit Area B)—acted as bond counsel and disclosure counsel—negotiated sale—purpose: to finance water management improvements--Denise Ganz supervisory responsibility—contact Doug Hyche, District Manager e-mail: dough@nsidfl.gov

BC/DC $4,765,000 North Springs Improvement District Special Assessment Bonds, Series 2014 (Assessment Area B)—acted as bond counsel and disclosure counsel—negotiated sale—purpose: to finance infrastructure--Denise Ganz supervisory responsibility—contact Doug Hyche, District Manager e-mail: dough@nsidfl.gov

BC/LC $5,270,000 Town of Jupiter, Florida, Sales Tax Revenue Refunding Note, Series 2013 – acted as bond counsel and Lender's counsel – negotiated private placement – purpose: refunding—Skip Miller supervisory responsibility—contact Michael Villella, Finance Director --- e-mail: michaelv@jupiter.fl.us

BC/LCNot to exceed $2,000,000 DeSoto County Line of Credit Note – acted as bond counsel and Lender's counsel – negotiated private placement – purpose: working capital—Skip Miller supervisory responsibility—contact Donald Conn, County Attorney --- e-mail: dconn@penningtonlaw.com

BC $28,075,000 Palm Beach County, Florida, Taxable Public Improvement Revenue Bonds (Convention Center Hotel Project), Series 2013 – acted as bond counsel – competitive bid –

purpose: convention center hotel—Denise Ganz supervisory responsibility—contact Sherry Brown, Assistant Budget Director-- e-mail: sbrown4@pbcgov.org

BC/LC $1,645,000 Miami Shores Village Promissory Note, Series 2013 – acted as bond counsel and Lender's counsel – negotiated private placement – purpose: refunding—Skip Miller supervisory responsibility—contact Richard Sarafan, City Attorney --- e-mail: rsarafan@gjb-law.com

BC/LC $200,000 Town of Medley Public Improvement Revenue Note, Series 2013 – acted as bond counsel and lender's counsel – negotiated private placement – purpose: equipment acquisition—Skip Miller supervisory responsibility—contact Roy Danziger, Finance Director --- e-mail: rdanziger@townofmedley.com

BC $1,700,000 City of Palm Beach Gardens, Public Improvement Note, Series 2013A and $2,600,000 City of Palm Beach Gardens, Public Improvement Note, Series 2013B —acted as bond counsel—negotiated private placement-- purpose: golf course and fire station improvements—Skip Miller supervisory responsibility—contact Allan Owens, Director of Finance and Administrative Services --- e-mail: aowens@pbgfl.com

BC/LC $225,000 Century Gardens Village Community Development District Improvement revenue Note, Series 2013—acted as bond counsel and lender's counsel—negotiated private placement-- purpose: infrastructure improvements—Skip Miller supervisory responsibility—contact Neil Kalin, District Manager --- e-mail: nkalin@sdsinc.org

BC/LC Not to Exceed $4,500,000 Town of Southwest Ranches, Florida, Emergency Line of Credit Revolving Note, Series 2013 – acted as bond counsel and lender's counsel – negotiated private placement – purpose: emergency line of credit—Skip Miller supervisory responsibility—contact Carol Kalliche, Special Town Attorney --- e-mail: ckalliche@arnstein.com

UC $68,615,000 Village Community Development District No. 10 Special Assessment Bonds, Series 2013—acted as underwriter's counsel—negotiated sale—purpose: to finance infrastructure—Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager-- e-mail: janet.tutt@districtgov.org

DC/BRC $94,155,000 Town of Davie, Florida Educational Facilities Revenue Bonds (Nova Southeastern University Project), Series 2013A—acted as disclosure counsel and borrower's counsel—negotiated sale—purpose: financing research facilities and infrastructure—Denise Ganz supervisory responsibility—contact: Alyson Silva, Chief Financial Officer-- e-mail: asilva1@nova.edu

DC/SC $20,315,000 Town of Davie, Florida Educational Facilities Revenue Refunding Bonds (Nova Southeastern University Project), Series 2013B—acted as disclosure counsel and special counsel—negotiated sale—purpose: to refund outstanding bonds—Denise Ganz supervisory responsibility—contact: Alyson Silva, Chief Financial Officer-- e-mail: asilva1@nova.edu

BC & LC $15,000,000 City of Hialeah Taxable Tax Anticipation Note, Series 2013—acted as bond counsel and counsel to lender- negotiated private placement-- purpose: working capital—Skip Miller supervisory responsibility—contact William Grodnick, City Attorney --- e-mail: wgrodnick@hialeahfl.gov

BC/DC $11,225,000 City of Lauderhill, Florida Capital Improvement Bonds, Series 2013—acted as bond counsel—negotiated sale—purpose: various public improvements--Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager—e-mail: cfaranda@lauderhill-fl.gov

BC $9,100,000 Pompano Beach Community Redevelopment Agency Tax Increment Revenue Refunding Bonds (East Pompano Beach District Area), Series 2013A and $5,000,000 Pompano Beach Community Redevelopment Agency Tax Increment Revenue Bonds (East Pompano Beach District Area), Series 2013B—acted as bond counsel—negotiated private placement—purpose: financing community redevelopment improvements and refunding—Denise Ganz supervisory responsibility—contact—Suzette Sibble, Finance Director—e-mail: suzette.sibble@copbfl.com

BC $10,032,000 Palm Beach County, Florida Public Improvement Revenue Bonds (Unified Messaging System Project), Series 2013—acted as bond counsel—competitive private placement—purpose: telephone messaging system Denise Ganz supervisory responsibility—contact Sherry Brown, Assistant Budget Director-- e-mail: sbrown4@pbcgov.org

BC $6,255,000 Live Oak Community Development District No. 1 Special Assessment Refunding Bonds, Series 2013—acted as bond counsel—negotiated private placement-- purpose: refunding—Denise Ganz supervisory responsibility—contact Tracey Robin, District Counsel-- e-mail: trobin@srwlegal.com

UC $14,790,000 Village Community Development District No. 5 Special Assessment Refunding Bonds, Series 2013 (Phase I) and $22,940,000 Village Community Development District No. 5 Special Assessment Refunding Bonds, Series 2013 (Phase II)—acted as underwriter's counsel - negotiated sale—purpose: refunding special assessment bonds--Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager e-mail: janet.tutt@districtgov.org

UC $46,245,000 Village Community Development District No. 6 Special Assessment Revenue Refunding Bonds, Series 2013—acted as underwriter's counsel—negotiated sale—purpose: refunding-——Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager-- e-mail: janet.tutt@districtgov.org

BC $4,055,000 Town of Lantana, Florida, Water and Sewer Revenue Refunding Bonds, Series 2012 – acted as bond counsel – private placement – purpose: refunding--Skip Miller supervisory responsibility—contact: Stephen Kaplan, Finance Director --- e-mail: skaplan@lantana.org

BC & LC $242,360 Joshua Water Control District Promissory Note, Series 2012 – acted as bond counsel and lender's counsel – private placement – purpose: purchase of equipment--Skip Miller supervisory responsibility—contact: William G. Capko, District Attorney --- e-mail: wcapko@llw-law.com

BC $3,175,000 North Springs Improvement District Taxable Special Assessment Refunding Bonds, Series 2012 (Heron Bay Project) – acted as bond counsel – negotiated private placement – purpose: refinancing assessable improvements-—Denise Ganz supervisory responsibility—contact: Doug Hyche, District Manager-- e-mail: dough@nsidfl.gov

UC $77,400,000 Village Community Development District No. 10 Special Assessment Revenue Bonds, Series 2012 - negotiated sale - acted as underwriter's counsel - purpose: financing acquisition of solid waste collection system infrastructure-—Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager-- e-mail: janet.tutt@districtgov.org

UC $50,065,000 North Sumter County Utility Dependent District Solid Waste Revenue Bonds, Series 2012 – and $4,000,000 North Sumter County Utility Dependent District Subordinate Solid Waste Revenue Bonds, Series 2012 - negotiated sale - acted as underwriter's counsel - purpose: financing acquisition of solid waste collection system--Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager-- e-mail: janet.tutt@districtgov.org

DC & BRC $49,060,000 Florida Higher Educational Facilities Financing Authority Educational Facilities Revenue and Revenue Refunding Bonds, Series 2012A (Nova Southeastern University Project)—acted as borrower's counsel and disclosure counsel—negotiated sale—purpose: financing and refinancing educational facilities—Denise Ganz supervisory responsibility—contact: Alyson Silva, Chief Financial Officer-- e-mail: asilva1@nova.edu

BC $5,000,000 City of Lauderhill, Florida Water and Sewer Revenue Bonds - negotiated private placement - acted as bond counsel - purpose: financing water and sewer improvements--Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager e-mail: cfaranda@lauderhill-fl.gov

UC $53,770,000 Village Community Development District No. 9 Special Assessment Revenue Bonds, Series 2012—negotiated sale—acting as underwriter's counsel—purpose: financing infrastructure--Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager-- e-mail: janet.tutt@districtgov.org

BC $890,000 Coral Bay Community Development District Special Assessment Bonds, Series 2012—negotiated private placement—acting as bond counsel—purpose: financing infrastructure—Denise Ganz, supervisory responsibility—contact Rich Hans, District Manager—e-mail:rhans@govmgtsvc.com

BC & LC $210,000 Desoto City Special Benefit Fire District Promissory Note, Series 2012 – negotiated sale – acted as bond counsel and lender's counsel – purpose: acquisition of fire equipment--Skip Miller supervisory responsibility—contact: Ross Macbeth, Highlands County Attorney--- e-mail: ross@macbethlaw.com

BC & LC $6,000,000 City of Hialeah, Florida Taxable Promissory Note, Series 2012 – negotiated private placement – acted as bond counsel and lender's counsel – purpose: fund contribution to pension plan--Skip Miller supervisory responsibility—contact: William Grodnick, City Attorney --- e-mail: wgrodnick@hialeahfl.gov

BC & DC $50,065,000 North Springs Improvement District Water and Sewer Revenue and Refunding Revenue Bonds, Series 2011--negotiated sale--acting as bond counsel and disclosure counsel--purpose: financing water and sewer system improvements and refunding outstanding bonds --Denise Ganz supervisory responsibility—contact Doug Hyche, District Manager--e-mail: dough@nsidfl.gov

BC $2,600,000 Loxahatchee Groves Water Control District Special Assessment Promissory Note, Series 2011 – negotiated sale – acted as bond counsel – purpose: road and culvert improvements--Skip Miller supervisory responsibility—contact: Mary Viator, District Counsel e-mail: viator@caldwellpacetti.com

BD &/DC $4,235,000 City of Palm Beach Gardens, Florida, Public Improvement Revenue Bonds, Series 2011A and $11,955,000 City of Palm Beach Gardens, Florida Taxable Public Improvement Revenue Bonds, Series 2011B – negotiated sale – acted as bond counsel and disclosure counsel – purpose: refunding--Skip Miller supervisory responsibility—contact: Allan Owens, Director of Finance and Administrative Services --- e-mail: aowens@pbgfl.com

UC $51,115,000 Village Community Development District No. 9 Special Assessment Revenue Bonds, Series 2011—negotiated sale—acted as underwriter's counsel—purpose: financing infrastructure--Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager-- e-mail: janet.tutt@districtgov.org

BRC AND DC $37,480,000 Florida Higher Educational Facilities Financing Authority Educational Facilities Revenue Bonds, Series 2011 (Nova Southeastern University Project)—acted as borrower's counsel—negotiated sale—purpose: financing and refinancing educational facilities—Denise Ganz supervisory responsibility—contact: Alyson Silva, Chief Financial Officer-- e-mail: asilva1@nova.edu

BC $19,358,500 Village of Royal Palm Beach, Florida, Capital Improvement Refunding Note, Series 2011B – private placement – acted as bond counsel - purpose: refunding--Skip Miller supervisory responsibility—contact: Stan Hochman, Director of Finance --- e-mail: shochman@royalplambeach.com

BC & LC $14,489,839.95 City of Hialeah, Florida Affordable Housing Note, Series 2011 – acted as bond counsel and lender's counsel – private placement – purpose: refunding--Skip Miller supervisory responsibility—contact William Grodnick, City Attorney --- e-mail: wgrodnick@hialeahfl.gov

BC & LC $256,775 Thousand Oaks Community Development District Improvement Revenue Note, Series 2011 – acted as lender's counsel – private placement – purpose: construction of infrastructure improvements--Skip Miller supervisory responsibility—contact: Richard Ellington, District Manager --- e-mail: rellington@sdsinc.org

BC $29,045,000 City of Pompano Beach, Florida Revenue Refunding Bonds, Series 2010 (John Knox Village Project)—negotiated sale—acted as bond counsel—purpose: conduit financing for healthcare facility—Denise Ganz supervisory responsibility—contact—Suzette Sibble, Finance Director—e-mail: suzette.sibble@copbfl.com

BC $14,200,000 City of Pompano Beach, Florida Tax-Exempt Equipment Lease—negotiated sale—acted as bond counsel—purpose: financing various energy saving equipment—Denise Ganz supervisory responsibility—contact—Suzette Sibble, Finance Director—e-mail: suzette.sibble@copbfl.com

BC $20,500,000 Village of Royal Palm Beach, Florida, Capital Improvement Note, Series 2011 – acted as bond counsel – private placement – recreational facilities--Skip Miller supervisory responsibility—contact: Stan Hochman, Director of Finance --- e-mail: shochman@royalplambeach.com

BC $4,440,996.89 City of Palm Beach Gardens, Florida, General Obligation Refunding Bonds, Series 2010 – acted as bond counsel – private placement – purpose: refunding--Skip Miller supervisory responsibility—contact Allan Owens, Director of Finance and Administrative Services --- e-mail: aowens@pbgfl.com

BC $10,000,000 City of Lauderhill, Florida Water Utility Tax Revenue Bonds, Series 2010—negotiated private placement—acted as bond counsel—purpose: financing various public improvements--Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager e-mail: cfaranda@lauderhill-fl.gov

BC $6,565,000 City of Lauderhill, Florida Half-Cent Sales Tax Refunding Revenue Bonds, Series 2010—negotiated private placement—acted as bond counsel—purpose: refunding outstanding debt--Denise Ganz supervisory responsibility—contact: Charles Faranda, City Manager e-mail: cfaranda@lauderhill-fl.gov

UC $7,050,000 Village Community Development District No. 4 Special Assessment Refunding Revenue Bonds, Series 2010—negotiated sale—acting as underwriter's counsel—purpose: refunding outstanding debt --Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager-- e-mail: janet.tutt@districtgov.org

BC $10,000,000 Downtown Development Authority (Fort Lauderdale) Refunding Bonds—negotiated private placement—acted as bond counsel—purpose: extending maturity of bonds—Skip Miler supervisory responsibility—contact: Chuck Adams, District Manager-- e-mail: adamsc@whhassociates.com

BC & LC Not to Exceed $3,000,000 Town of Southwest Ranches, Florida, Emergency Line of Credit Note, Series 2010 - private placement- acted as bond counsel and lender's counsel—purpose: emergency line of credit—Skip Miler supervisory responsibility—contact: Carol Kalliche, Special Town Attorney --- e-mail: ckalliche@arnstein.com

BC $17,500,000 North Springs Improvement District Water and Sewer Revenue Refunding Bonds, Series 2010A and $5,000,000 Water and Sewer Revenue Bonds, Series 2010B – private placement – acted as bond counsel—purpose: financing and refinancing water and sewer utility improvements --Denise Ganz supervisory responsibility—contact Doug Hyche, District Manager --e-mail: dough@nsidfl.gov

UC $32,445,000 Village Community Development District No. 8 Special Assessment Refunding Revenue Bonds, Series 2010 (Phase II Project) and $27,265,000 Special Assessment Revenue Bonds, Series 2010 (Phase III Project)—negotiated sale—acted as underwriter's counsel—purpose: financing infrastructure--Denise Ganz supervisory responsibility—contact: Janet Tutt, District Manager-- e-mail: janet.tutt@districtgov.org

BC & LC $925,000.00 Brooks of Bonita Springs Community Development District and Brooks of Bonita Springs II Community Development District Improvement Revenue Note, Series 2010 – private placement – acted as bond counsel and lender's counsel - purpose: financing acquisition of property for public use—Skip Miler supervisory responsibility—contact: Daniel Cox, District Counsel --- e-mail: dhcox@gtcom.net

BC & LC Not to Exceed $13,800,000 Town of Cutler Bay, Florida, Promissory Notes, Series 2010A, 2010B, 2010C, 2010D and 2010E —acted as bond counsel and lender's counsel - —private placement--purpose: to finance acquisition of town hall and other property for public uses—Skip Miler supervisory responsibility—contact: Robert Daddario, Finance Director --- e-mail: rdaddario@cutlerbay-fl.gov

BC & LC $195,126.54 Cutler Cay Community Development District, Improvement Revenue Note, Series 2010—acted as bond counsel and lender's counsel—private placement--purpose: to finance drainage and road and other capital improvements—Skip Miler supervisory responsibility—contact: Neil Kalin, District Manager --- e-mail: nkalin@sdsinc.org

BC $11,604,000 Palm Beach County, Florida, Taxable Public Improvement Revenue Bonds (Convention Center Hotel Project), Series 2010 – private placement – acted as bond counsel -

purpose: to refinance bond anticipation note—Denise Ganz supervisory responsibility—contact: Sherry Brown, Assistant Budget Director-- e-mail: sbrown4@pbcgov.org

BC $3,500,000 City of Dania, Florida, General Obligation Bonds, Series 2010 – private placement- acted as bond counsel—purpose: to finance portion of a parking garage—Denise Ganz supervisory responsibility—contact: Mark Bates, Finance Director—e-mail:mbates@ci-dania-beach.fl.us

BC $8,580,000 North Springs Improvement District Water Management Refunding Bonds, Series 2010 – private placement – acted as bond counsel – purpose: to refund existing bonds--Denise Ganz supervisory responsibility—contact Doug Hyche, District Manager-- e-mail: dough@nsidfl.gov

BC & LC Not to exceed $2,000,000 Town of Southwest Ranches, Florida, Bank Qualified Line of Credit Note, Series 2010 – private placement- acted as bond counsel and lender's counsel—purpose: road and drainage improvements—Skip Miler supervisory responsibility—contact: Carol Kalliche, Special Town Attorney --- e-mail: ckalliche@arnstein.com

BC Not to Exceed $15,000,000 Pompano Beach Community Redevelopment Agency Tax Increment Revenue Bonds, Series 2010A and Tax Increment Revenue Bonds, Series 2010B and/or Taxable Tax Increment Revenue Bonds, Series 2010C--purpose to finance community redevelopment improvements--acted as bond counsel--negotiated private placement —Denise Ganz supervisory responsibility—contact—Suzette Sibble, Finance Director—e-mail: suzette.sibble@copbfl.com

BC $10,363,489 The Health and Educational Facilities Board of the City of Crossville, Tennessee, Mortgage Revenue Bonds (WyndRidge Health and Rehabilitation Center Project – private placement - acted as bond counsel - purpose: acquisition of nursing home—Skip Miler supervisory responsibility—contact: Winston Porter, Manager --- e-mail: wnporter@bellsouth.net

BC & LC $1,000,000 City of Lauderdale Lakes, Florida, Taxable Ad Valorem Tax Anticipation Note, Series 2010 – private placement - acted as bond counsel and lender's counsel—purpose: operating expenses—Skip Miler supervisory responsibility—contact: Frank Hall, Financial Advisor --- e-mail: frankhalljr@ffslc.com

Attachment C--Public Finance Experience and Responsibilities

General

Greenspoon Marder, P.A. ("Greenspoon Marder" or the "Firm") was founded in 1981 and is a full service, statewide law firm and a nationally recognized bond counsel firm. The Firm has been known as Greenspoon Marder since its inception, and has not operated under any other names. The Firm has offices in Port St. Lucie, West Palm Beach, Boca Raton, Fort Lauderdale, Miami, Aventura, Naples, Tampa, Orlando and Tallahassee.

The Firm was founded with a goal to become big enough to handle large and complex cases while remaining small enough to offer each client focused, personal attention. By carefully selecting attorneys with exceptional knowledge, talent and integrity, we accomplished that goal and, as a team, we deliver comprehensive legal services that meet the highest standards of the legal profession every day. Today, we have more than 160 attorneys specializing in over 50 areas of law in offices throughout the state. This size and diversity are our greatest strengths. With our diverse staff of attorneys offering varying perspectives, backgrounds and areas of expertise, we are able to effectively, efficiently and economically address our clients' legal needs. It is this diversity, along with the strong academic training and performance of our attorneys that allows us to bring to our representation of the City a keen understanding of the political, economic, and environmental climate within which the City must operate. Our Firm enjoys a solid reputation, rated "AV Preeminent" by the prestigious Martindale Hubbell Law Directory; "Best Law Firm" by U.S. News & World Report; and "A+" by the Better Business Bureau. Locally, our Firm is named among Florida's Super Lawyers and Florida Trend's Legal Elite, to name just a few from a long list of awards and accreditations. Our Firm not only prides itself on our solid reputation locally and nationally, but is proud of our extensive philanthropic work.

The Firm's public finance department is a significant aspect of the Firm's practice. Prior to their association with the Firm, the attorneys in the Firm's public finance department were affiliated with Ruden McClosky P.A. ("Ruden McClosky"), which combined with the Firm effective as of December 1, 2011. Our public finance attorneys represent, and have represented, a broad cross-section of public sector clients and underwriters involved in tax-exempt and taxable bond financings as bond counsel, disclosure counsel and special counsel, underwriters' counsel, borrower's counsel and lender's counsel, having participated in more than 600 bond financings, with an aggregate principal amount in excess of $16 billion, since 1984. Among the Florida issuers for which we are serving, or have served, as bond counsel and/or disclosure counsel are Palm Beach County, Broward County, Miami-Dade County, Orange County, Hillsborough County, Leon County and Lee County, the cities of Orlando, Weston, Pompano Beach, Lauderhill, Dania Beach, Coral Springs, Cooper City, Palm Beach Gardens, Lantana, Royal Palm Beach and Riviera Beach, as well as numerous special districts. They have significant experience in all aspects of public finance. This includes all aspects of variable rate bonds, auction rate bonds, commercial paper, interest rate swaps, zero coupon bonds, derivative securities transactions and refundings. Members of the Firm's Public Finance Department routinely attend legal education seminars conducted by the National Association of Bond Lawyers and other organizations, and serve as panelists and speakers on relevant public finance topics at seminars and conferences.

Experience with Specific Type of Transactions

Set forth below is a description of some of the types of bond financings with which our public finance attorneys have been involved, as bond counsel, disclosure counsel, special counsel, underwriter's counsel, borrower's counsel and lender's counsel.

- Transportation-Related Financings—Our public finance attorneys have served as bond counsel, disclosure counsel, underwriter's counsel and issuer's counsel in a variety of transportation-related financings. Denise Ganz served as bond counsel to Palm Beach County in connection with certain of its airport financings and disclosure counsel in connection with Miami-Dade County, Florida airport financing in excess of $600 million, comprised of five series of bonds, including new money bonds, refunding bonds and auction rate bonds. She has served as bond counsel and underwriter's counsel in connection with bonds issued by or for the Broward County Port Everglades Authority. Denise Ganz and Skip Miller also worked on financing matters when Ruden McClosky served as served as general counsel to the Tampa-Hillsborough County Expressway Authority in connection with bond issues for various toll road improvements.

- Covenant To Budget and Appropriate Transactions--The Firm's public finance attorneys have participated as bond counsel and underwriter's counsel in numerous covenant to budget and appropriate transactions. Accordingly, we are familiar with the various legal and marketing issues that arise in these financings.

- Water and Wastewater Transactions--The Firm's public finance attorneys have significant experience in the area of water and wastewater financing, including the ability of issuers to levy and pledge impact fees in connection therewith, having served as bond counsel, disclosure counsel and underwriter's counsel in connection with such financings. In that capacity, they have had the opportunity to play a significant role in advising local government on a variety of issues relating to water and wastewater services. Their responsibilities involved analyzing all aspects of the issuer's legal ability to pledge water and sewer revenues and impact fees and participating as part of the working group to review related marketing issues. They have also drafted ordinances levying water and wastewater impact fees.

- Sales Tax and Tourist Development Tax-Related Financings-- The Firm's public finance attorneys have served as bond counsel and underwriter's counsel in connection with a number of bond issues secured by sales taxes and tourist development taxes, including as bond counsel to Orange County, Florida and Palm Beach County, Florida.

- Tax Increment Financings-- The Firm's public finance attorneys have served as bond counsel and underwriter's counsel in connection with a variety of tax increment financings, including recently, as bond counsel to the Pompano Beach Community Redevelopment Agency and as underwriter's counsel in connection with recent financings undertaken by the City of Orlando Community Redevelopment Agency, the City of West Palm Beach Community Redevelopment Agency and the City of Ft. Pierce Redevelopment Agency. Attorneys in the Firm also have experience in drafting community redevelopment agency legislation.

- Certificate of Participation Financings-- The Firm's public finance attorneys have served as bond counsel, disclosure counsel and underwriter's counsel in connection with COPs

issued by cities, counties and school districts throughout the State of Florida, as well as by various state agencies.

- Special Assessment Financings-- The Firm's public finance attorneys have extensive experience in financings involving the formation of special assessment districts, community development districts and other special districts and the levy and collection of special assessments, having served as bond counsel, disclosure counsel, underwriter's counsel and lender's counsel in numerous special assessment financings.

- Conduit Financings-- The Firm's public finance attorneys have experience as bond counsel, disclosure counsel, underwriter's counsel, lender's counsel and borrower's counsel in connection with conduit financings of all types, including as bond counsel to Miami-Dade County, Florida and special finance counsel to Nova Southeastern University.

- Public/Private Initiatives and Joint Ventures-- The Firm's public finance attorneys have experience in assisting Palm Beach County with its Convention Center hotel and garage projects, and has additional experience in financing arenas, performing arts centers, sports facilities and other public/private initiatives and joint ventures, including in connection with the Broward County Performing Arts Center Authority ("PACA") in the financing of its performing arts center and Nova Southeastern University in a taxable bond financing for the construction of the Miami Dolphins training facility on the university campus. Denise Ganz and Michael Krul served as placement agent's counsel for a proposed arena for a new professional sports franchise to be financed through state sales tax bonds and as bond counsel to the South Florida Sports and Entertainment Special District, the site of proposed Blockbuster Park, which was to include a sports arena and, in connection therewith drafted the legislation establishing the district, including provisions relating to the issuance of bonds. They also served as special finance counsel to the Florida Marlins baseball team in drafting legislation and providing advice in the team's effort to secure tax-exempt financing for the construction of a baseball stadium in South Florida. The Firm's public finance attorneys have been involved in many of the complex tax issues that arise in connection with financing professional sports facilities. They have worked with bond issuers and owners of professional sports teams to structure financings that meet the requirements to permit the issuance of tax-exempt bonds to finance sports facilities, including as bond counsel to the City of Weston and as counsel to the Florida Marlins. They have also assisted in other privatization initiatives, including drafting the legislation creating the Florida High Speed Rail Transportation Commission and serving as bond counsel to the Florida Department of Corrections in its first lease-purchase financing of a jail to be constructed and operated by a private company.

- Interlocal Agreements, Letters of Credit, Lines of Credit, and Other Credit Enhancement Transactions--Numerous bond issues in which the Firm's public finance attorneys have participated were secured by credit enhancement of various types, include municipal bond insurance and letters of credit. As bond counsel, they have negotiated insurance agreements with municipal bond insurers in connection with bond issues in which they served as bond counsel. They have also negotiated many interlocal agreements, including a complex multiparty agreement involving St. Lucie County, Florida and ten community development districts, pursuant to which one of these districts was delegated the authority to issue bonds on behalf of all of the districts.

- Taxable Transactions-- The Firm's public finance attorneys have served as bond counsel, disclosure counsel and borrower's counsel in connection with a variety of taxable transactions.

- Equipment Leasing Transactions-- The Firm's public finance attorneys are experienced in equipment leasing transactions ranging from small vendor leases to public offerings involving large equipment acquisition programs. These transactions require an understanding of state equipment leasing law, state bond law and state and federal tax law. Denise Ganz served as bond counsel to Leon County in connection with one of the first insured stand-alone equipment leases accomplished in the state and recently served as bond counsel to the City of Pompano Beach in connection with an equipment lease financing.

Experience with Specific Financing Techniques as Bond Counsel

As bond counsel, attorneys in the Firm's public finance department are experienced in all aspects of the issues that arise in connection with a particular financing. In addition to more traditional financings described elsewhere in this response, attorneys in the public finance department have substantial experience in all aspects of variable rate, auction rate, commercial paper, interest rate swaps, zero coupon, equipment leasing, and derivative securities transactions. They have worked on bond-related derivatives transactions involving caps and collars, interest rate swaps, inverse floaters, sales of options and delayed closing issue. Most of the variable rate transactions in which they have participated as bond counsel, underwriters' counsel, letter of credit bank counsel or developer's counsel have involved industrial development bonds, housing authority bonds and governmental bond issues purchased or underwritten by banks. In connection with variable rate bonds, attorneys in the public finance department have drafted a variety of financing documents, depending on the role the Firm is serving, including bond indentures, disclosure documents, letters of credit and letter of credit reimbursement agreements. The Firm's public finance attorneys have also participated as bond counsel and underwriters' counsel in transactions that involve the use of auction rate bonds and commercial paper, including long-term refundings of commercial paper. The Firm's public finance attorneys are also experienced in transactions that employ zero coupon bonds. In most cases, these bonds are not employed to defer interest payments (i.e., the bond issue is still structured for level debt service), but to take advantage of a better market for zero coupon bonds than for current interest bonds. The use of zero coupon bonds to substantially defer payments of principal and interest can raise federal tax issues. These include whether the weighted average maturity limitations (or safe harbors) are exceeded, whether the structure results in the creation of a yield restricted sinking fund (because the issuer is unable to pay the deferred debt service from current revenues) and generally whether the structure constitutes an abusive arbitrage device or private activity bond device under the federal tax regulations.

Disclosure Counsel Issues

In addition to their bond counsel expertise, the Firm's public finance attorneys bring a unique perspective to providing disclosure counsel services. Michael Krul, one of the partners in the Firm's public finance department, practiced in the area of securities litigation, both in New York and Florida. Denise Ganz, another of the Firm partner's in the public finance department, has experience in general corporate representation and the securities laws applicable to the private sale of securities. This combined knowledge of the state and Federal securities statutes

and related case law enables Mr. Krul and Ms. Ganz to thoroughly evaluate the legal issues that arise in the course of serving as municipal disclosure counsel. It should be noted that the amendments to Rule 15(c)2-12 are intended, in many respects, to more closely provide the public with the type of continuing disclosure information that private securities issuers are required by the SEC to provide. Mr. Krul's extensive securities background has proven to be valuable in evaluating and analyzing the requirements of the amendments to the Rule in the context of the Federal and state statutes and case law applicable to non-exempt securities.

We also note that the Firm's public finance department places a particular emphasis on conducting a complete due diligence review as disclosure counsel in connection with a proposed bond transaction prior to the time the applicable offering document is deemed "final" for purposes of Rule 15(c)2-(12). We believe our practice in conducting a due diligence review in connection with a bond issue is essential. Again, our understanding of the underlying principles of law applicable to non-exempt securities provided us with guidelines to adhere to in addressing disclosure issues for public securities.

With respect to the continuing disclosure requirements of Rule 15(c)(2)(12), the Firm's public finance attorneys are thoroughly familiar with the requirements of the Rule, as well as the questions and concerns about its interpretation and implementation that were raised and continue to be raised by bond lawyers, NABL, issuers and underwriters. They have carefully monitored all SEC official and unofficial pronouncements on the interpretation and implementation of the amendments to the Rule. In the transactions that they have participated in since the amendments to the Rule became effective, they have also taken an active role in determining the type of information that must continue to be disclosed, whether there are "obligated persons" in addition to the issuer that will be required to make continuing disclosure and whether the issuer should utilize the services of a third party dissemination agent to assist it in implementing the amendments to the Rule. To the extent a particular bond issue is subject to the requirements of the Rule, as disclosure counsel they work with the Firm's clients to carefully craft the related continuing disclosure undertaking to ensure compliance with the Rule, without creating an undue continuing compliance burden on the issuer. They also assist the Firm's governmental clients in establishing and maintaining systems to ensure that regularly required filings are made and that notices of material events are filed, if warranted. Recently, the Firm's public finance attorneys provided extensive assistance to its governmental and investment banking clients in connection with the SEC's Municipalities Continuing Disclosure Cooperation (MCDC) initiative.

Tax Expertise

Jeannette Bond of McCarter & English, LLP serves as the Firm's special tax counsel in connection with bond financings. Ms. Bond previously served as special tax counsel to Ruden McClosky since 2008. We note that for many years prior to Ruden McClosky's association with Ms. Bond, Elliot Stern, a nationally recognized attorney specializing in the tax aspects of municipal bonds, was the partner providing tax advice in connection with the bond deals in which Ruden McClosky served as counsel. Upon his death, Ruden McClosky sought to engage an attorney with the same caliber of knowledge and experience as Mr. Stern; it being clear that there are a limited number of attorneys throughout the country with such specialized skills. Greenspoon Marder is pleased to have Jeannette Bond as part of its public finance department

team. Her depth of experience in the tax aspects of municipal bond issues of all types provide the Firm's governmental clients with an invaluable resource. She also has substantial experience with complex arbitrage issues arising in the context of refunding issues and derivative financial instruments. Ms. Bond also has practical experience in rebate compliance that is invaluable in advising clients how to structure issues so as to minimize rebate liability and simplify future compliance. The Firm's public finance attorneys work closely with our clients' accounting firms who provide calculation services and assist them in determining the scope of their rebatable arbitrage.

Many tax-exempt financing transactions require an understanding of complex financial calculations and concepts, particularly in the context of refundings. For example, attorneys in the Firm's public finance department have acted as bond counsel for a large Florida county in connection with the issuance of its refunding bonds, which were used to advance refund a portion of certain outstanding bonds of the county. Proceeds of the refunded bonds had been used to currently refund certain of the county's bond anticipation notes. As work proceeded on the refunding, a tax problem arose because a portion of the proceeds of the refunded bonds had previously been invested at a yield significantly in excess of the yield on the refunding bonds for the purpose of defeasing to maturity a portion of the refunded bonds (the "Defeasance Proceeds"). After advising the county's financial advisor that the Defeasance Proceeds would become transferred proceeds of the refunding bonds, the financial advisor ran numbers and discovered that the transferred proceeds "penalty" exceeded $1 million. Attorneys in the public finance department reviewed the numbers to determine if there was any way to reduce the penalty. After a complex tax analysis and many more number runs, the penalty was reduced by several hundred thousand dollars. This was accomplished by applying the multipurpose issue rules to treat the refunded bonds as two separate issues, by determining the optimal method of allocating the refunded bonds to each of the two separate issues, and by applying the universal cap rules to reallocate the transferred proceeds at the earliest possible time. Tax expertise is also often utilized in connection with post-issuance compliance issues. For example, as bond counsel, the Firm's public finance attorneys have advised many issuers on permissible uses of excess bond proceeds remaining on deposit in the construction fund for the bonds, within the constraints of the related bond resolution and Federal tax law. Whenever possible, we are careful to build in to the bond resolution the maximum flexibility permitted by applicable tax law to permit the issuer to use the excess proceeds for another capital project or to pay interest on the bonds, provided that certain spending thresholds on "qualified" projects had been met. The Firm's public finance attorneys have also provided assistance to issuers and conduit borrowers facing an IRS examination of their bond issues.

Currently, we are working with governmental issuers and 501(c)(3) borrowers of tax-exempt bonds to develop systems, policies and procedures to enable them to evidence post-issuance compliance, particularly in view of recent IRS releases and modifications to Form 8038-G.

Bond Validation Matters

The Firm's public finance attorneys have extensive experience in bond validation matters. In connection with bond validation proceedings, the attorneys in the Firm's litigation department will work closely with the attorneys in the Firm's public finance department. The

Firm's public finance attorneys have successfully handled numerous bond validation proceedings, often involving unique and contested issues of law.

Ancillary Disciplines

The broad variety of practice areas in which the Firm specializes enables us to offer our public finance clients the assistance that may be needed as questions arise in connection with matters ancillary to its bond financings. The Firm's position as a full-service law firm enables the attorneys in the public finance department to routinely consult experts in other practice areas of the Firm to assist in difficult issues. It is commonplace for questions relating to real estate, bankruptcy, security interests, banking, trust estates, securities, corporations, partnerships, environmental regulation, administrative law, transportation, and federal and state taxation to arise in bond issues. In addition, attorneys in the Firm's government law practice group provide the specialized expertise that is often needed to address issues that may arise in connection with the issuance of tax-exempt bonds. These attorneys have represented many Florida cities, counties, school districts, special districts, authorities and state agencies in connection with a wide array of legal issues arising within their disciplines. Steve Geller in the Firm's Fort Lauderdale office was a member of the Florida Legislature for 20 years, serving in the Florida House of Representatives from 1988 to 1998, and in the Florida Senate from 1998 to 2008. Joseph Geller in the Firm's Fort Lauderdale office is currently a member of the Florida House of Representatives. He also has almost fifteen (15) years of experience in serving as a municipal attorney, and has served four (4) municipalities as their principal attorney, including two (2) at the present time, as well as serving as special counsel for a number of other municipalities. Noreen Dreyer in the Firm's Port St. Lucie office was formerly County Attorney for Martin County. Dennis Mele in the Firm's Fort Lauderdale office was formerly City Manager of the City of Coconut Creek. Larry Brown, who recently joined the firm's Orlando office, currently serves as attorney for the Osceola County School Board and has represented many cities and special districts as both general counsel and special counsel. Attorneys in the Firm have also drafted statewide and local legislation which involved provisions relating to the issuance of bonds, including legislation related to the Florida High Speed Rail project, recreation districts, the Santa Rosa Bay Bridge Authority and the charter of the Port Everglades Authority.

Attachment C-1-- Bank Loan Transactions-- Last Five Years Only
(BC indicates bond counsel and LC indicates lender's counsel)

LC $31,055,000 Fort Pierce Redevelopment Agency Revenue Refunding Notes, Series 2015A and Series 2015B; negotiated private placement; acted as lender's counsel – purpose: refunding.

LC Hillsborough County Aviation Authority $18,710,000 Tampa International Airport Revenue Refunding Bond, 2015 Series C (Non-AMT); negotiated private placement; acted as lender's counsel – purpose: refunding.

LC $5,440,773 City of Dania Beach, Florida, General Obligation Refunding Bonds, Series 2015 – acted as lender's counsel – negotiated private placement – purpose: refunding.

LC $12,910,000 Old Palm Community Development District Special Assessment Refunding and Improvement Bonds, Series 2015 – acted as lender's counsel – negotiated private placement – purpose: refunding and infrastructure improvements.

LC $3,295,000 Marsh Harbour Community Development District Special Assessment Refunding Bond, Series 2015 – acted as lender's counsel – negotiated private placement – purpose: refunding.

LC $2,240,000 Sonoma Bay Community Development District Special Assessment Refunding Bond, Series 2015 – acted as lender's counsel – negotiated private placement – purpose: refunding.

BC $3,494,000 City of Opa-Locka, Florida Tax-Exempt Capital Improvement Revenue and Refunding Note, Series 2015A and $5,106,000 City of Opa-Locka, Florida Taxable Capital Improvement Revenue Note, Series 2015B —bond counsel—negotiated private placement—purpose: refunding and acquisition of an office building to serve as City Hall and other purposes

LC $235,730 Town of Golden Beach, Florida, Capital Improvement Refunding Revenue Note, Series 2015 – acted as lender's counsel – negotiated private placement – purpose: refunding.

LC $12,465,000 Town of Davie, Florida, General Obligation Refunding Note, Series 2015 – acted as lender's counsel – negotiated private placement – purpose: refunding.

LC $7,310,000 Indian Trail Improvement District Water Control and Improvement Refunding Bond, Unit of Development No. 18, Series 2015 – acted as lender's counsel – negotiated private placement – purpose: refunding.

LC - $12,465,000 Town of Davie, Florida, General Obligation Refunding Note, Series 2015 – negotiated private placement – acted as lender's counsel – purpose: refunding.

LC $7,310,000 Indian Trail Improvement District, Water Control and Improvement Refunding Bond, Unit of Development No. 18, Series 2015 – negotiated private placement – acted as lender's counsel - purpose: refunding.

LC $800,000 Jupiter Inlet Colony, Florida, Promissory Note – negotiated private placement – acted as lender's counsel - purpose: Town Hall improvements.

LC $16,435,000 Florida Keys Aqueduct Authority Water Revenue Refunding Bonds, Series 2015B – negotiated private placement – acted as lender's counsel - purpose: refunding.

LC $8,965,000 Keys Cove Community Development District Special Assessment Refunding Bonds, Series 2015 – negotiated private placement – acted as lender's counsel - purpose: refunding.

LC $744,200 City of Wilton Manors, Florida, Promissory Note – negotiated private placement – acted as lender's counsel - purpose: park improvements.

BC $3,735,000 City of Lauderhill, Florida, Stormwater Refunding Revenue Bond, Series 2015 – negotiated private placement – acted as bond counsel - purpose: refunding.

BC $3,340,000 City of Lauderhill, Florida, Half-Cent Sales Tax Refunding Revenue Bond, Series 2015 – negotiated private placement – acted as bond counsel - purpose: refunding.

LC $30,080,000 Reedy Creek Improvement District Utilities Revenue Bonds, Series 2015-1 – negotiated private placement – acted as lender's counsel - purpose: utilities improvements.

BC $5,800,000 Southern Grove Community Development District No. 5 Bond Anticipation Note, Series 2014 (Community Infrastructure)—bond counsel—negotiated private placement—purpose: financing infrastructure

BC $2,655,000 Southern Grove Community Development District No. 5 Bond Anticipation Note, Series 2014 (District Infrastructure)—bond counsel—negotiated private placement—purpose: financing infrastructure

BC $6,415,000 North Springs Improvement District Water Management Refunding Bonds, Series 2014—bond counsel—negotiated private placement—purpose: refunding

LC $13,985,000 Community Redevelopment Agency of the City of Maitland, Florida, Community Redevelopment Revenue Refunding Note, Series 2014 – acted as Lender's counsel – negotiated private placement – purpose: refunding.

LC Not to Exceed $16,716,500 Martin County Industrial Development Authority Health Care Facility Revenue Bonds (One HC – Jensen Beach, LLC Project) Series 2014 – acted as lender's counsel – negotiated private placement – purpose: assisted/independent living facility.

LC $28,730,000 Palm Beach County, Florida, Industrial Development Revenue Bond (Saint Andrew's School of Boca Raton, Inc. Project) Series 2014 - acted as lender's counsel – negotiated private placement – purpose: refunding.

LC $11,970,000 City of Maitland, Florida, Limited Tax General Obligation Refunding Note, Series 2014 – acted as Lender's counsel – negotiated private placement – purpose: refunding.

LC $2,022,000 Rivercrest Community Development District Maintenance Assessment Revenue Bond, Series 2014—acted as lender's counsel—negotiated private placement-- purpose: recreational facilities.

LC $5,220,000 Bluewaters Community Development District Special Assessment Refunding Bonds, Series 2014—acted as lender's counsel—negotiated private placement-- purpose: refunding.

LC $200,000 City of Stuart, Florida, Fire Protection Assessment Revenue Note, Series 2014 – acted as Lender's counsel – negotiated private placement – purpose: fire equipment.

LC $32,025,000 Brevard County, Florida, Local Option Fuel Tax Refunding Revenue Bonds, Series 2014 – acted as lender's counsel – negotiated private placement – purpose: refunding.

LC $4,085,000 Certificates of Participation, Series 2014C (School Board of Miami-Dade County, Florida) – acted as lender's counsel – negotiated private placement – purpose: refunding.

LC $1,495,000 City of Oakland Park, Florida, Promissory Note – acted as Lender's counsel – negotiated private placement – purpose: refunding.

LC $4,260,000 Terracina Community Development District Special Assessment Refunding Bond, Series 2014—acted as lender's counsel—negotiated private placement-- purpose: refunding.

LC $105,000,000 Canaveral Port Authority Port Improvement Revenue Bonds, Series 2014 – acted as co-lender's counsel – negotiated private placement – purpose: port improvements.

LC $58,645,000 Refunding Certificates of Participation (UCF Convocation Corporation Master Lease Program), Series 2014A – acted as lender's counsel – negotiated private placement – purpose: refunding.

LC $1,630,000 Riverside Park Community Development District Special Assessment Refunding Bonds, Series 2014—acted as lender's counsel—negotiated private placement-- purpose: refunding.

LC $1,680,000 Tree Island Estates Community Development District Special Assessment Refunding Bonds, Series 2014—acted as lender's counsel—negotiated private placement-- purpose: refunding.

LC $1,660,000 Silver Palms Community Development District Special Assessment Refunding Bonds, Series 2014—acted as lender's counsel—negotiated private placement-- purpose: refunding.

LC $3,765,000 South Kendall Community Development District Special Assessment Refunding Bonds, Series 2014—acted as lender's counsel—negotiated private placement-- purpose: refunding.

LC $8,054,000 City of Oviedo Utility Refunding Revenue Note, Series 2014 – acted as lender's counsel – negotiated private placement – purpose: refunding.

BC/LC $499,675 City of Arcadia, Florida, Public Improvement Revenue Note, Series 2014 – acted as bond counsel and lender's counsel – negotiated private placement – purpose: airport improvement.

BC $17,355,000 City of Pompano Beach, Florida Water and Sewer Refunding Revenue Bonds, Series 2014—bond counsel—negotiated private placement—purpose: refunding

BC $2,060,000 City of Lauderhill, Florida Capital Improvement Bonds, Series 2014—co-bond counsel—negotiated private placement—purpose: financing various capital improvements

BC $2,060,000 City of Lauderhill, Florida Stormwater Utility Bonds, Series 2014—co-bond counsel—negotiated private placement—purpose: financing stormwater improvements

BC Not to exceed $8,500,000 City of Opa-Locka, Florida Capital Improvement Note, Series 2014 --bond counsel—negotiated private placement—purpose: financing City Hall

LC $2,085,423.98 Village of Pinecrest, Florida, Refunding Revenue Bond, Series 2014 – acted as Lender's counsel – negotiated private placement – purpose: refunding.

LC $1,352,500 Orchid Grove Community Development District Special Assessment Revenue Bonds, Series 2013—acted as lender's counsel—negotiated private placement-- purpose: capital improvements.

BC/LC $5,270,000 Town of Jupiter, Florida, Sales Tax Revenue Refunding Note, Series 2013 – acted as bond counsel and Lender's counsel – negotiated private placement – purpose: refunding.

LC $15,310,000 Pasco County, Florida, Guaranteed Entitlement Refunding Revenue Bonds, Series 2013B – acted as Lender's counsel – negotiated private placement – purpose: refunding.

LC $7,700,000 Florida Keys Aqueduct Authority, Water Revenue Bonds, Series 2013B – acted as Lender's counsel – negotiated private placement – purpose: water system improvements.

BC/LC Not to exceed $2,000,000 DeSoto County Line of Credit Note – acted as bond counsel and Lender's counsel – negotiated private placement – purpose: working capital.

LC $7,394,769 Davie Community Redevelopment Agency Refunding Bonds, Series 2013B – acted as lender's counsel – negotiated private placement – purpose: refunding.

BC/LC $1,645,000 Miami Shores Village Promissory Note, Series 2013 – acted as bond counsel and Lender's counsel – negotiated private placement – purpose: refunding.

BC/LC $200,000 Town of Medley Public Improvement Revenue Note, Series 2013 – acted as bond counsel and lender's counsel – negotiated private placement – purpose: equipment acquisition.

LC $5,900,000 City of Oviedo Limited Ad Valorem Refunding Note, Series 2013 – acted as lender's counsel – negotiated private placement – purpose: refunding.

LC $52,625,000 Florida Keys Aqueduct Authority Water Revenue Refunding Bonds, Series 2008 - negotiated sale - acted as counsel to letter of credit provider - purpose: extension of credit facility.

LC $10,000,000 Davie Community Redevelopment Agency Refunding Bonds, Series 2013A—acted as lender's counsel—negotiated private placement-- purpose: refunding.

LC $88,330,000 Orlando-Orange County Expressway Authority Variable Rate Revenue Bonds, Subseries 2003C-4 - negotiated sale - acted as counsel to letter of credit provider - purpose: replacement of credit facility.

LC $25,000,000 Palm Beach County Educational Facilities Authority Revenue Bond (Lynn University, Inc.) Series 2013 – acted as lender's counsel – negotiated private placement – purpose: campus improvements.

BC $1,700,000 City of Palm Beach Gardens, Public Improvement Note, Series 2013A and $2,600,000 City of Palm Beach Gardens, Public Improvement Note, Series 2013B —acted as bond counsel—negotiated private placement-- purpose: golf course and fire station improvements.

LC Not to Exceed $3,900,000 City of Oviedo, Public Improvement Revenue Note, Series 2013—acted as lender's counsel—negotiated private placement-- purpose: various capital improvements.

BC/LC $225,000 Century Gardens Village Community Development District Improvement revenue Note, Series 2013—acted as bond counsel and lender's counsel—negotiated private placement-- purpose: infrastructure improvements.

BC/LC Not to Exceed $4,500,000 Town of Southwest Ranches, Florida, Emergency Line of Credit Revolving Note, Series 2013 – acted as bond counsel and lender's counsel – negotiated private placement – purpose: emergency line of credit.

LC Renewal of $75,000,000 Miami-Dade County Revolving Line of Credit Note, Series 2013 – acted as lender's counsel – private placement – purpose: funding public health trust.

BC & LC $15,000,000 City of Hialeah Taxable Tax Anticipation Note, Series 2013—acted as bond counsel and counsel to lender- negotiated private placement-- purpose: working capital

BC $9,100,000 Pompano Beach Community Redevelopment Agency Tax Increment Revenue Refunding Bonds (East Pompano Beach District Area), Series 2013A and $5,000,000 Pompano Beach Community Redevelopment Agency Tax Increment Revenue Bonds (East Pompano Beach District Area), Series 2013B—acted as bond counsel—negotiated private placement—purpose: financing community redevelopment improvements and refunding

BC $6,255,000 Live Oak Community Development District No. 1 Special Assessment Refunding Bonds, Series 2013—acted as bond counsel—negotiated private placement-- purpose: refunding

BRC $8,000,000 Taxable Promissory Note, Series 2013 (Nova Southeastern University Project)—acted as borrower's counsel—private placement—purpose: parking garage

LC $5,825,000 Pine Air Lakes Community Development District Special Assessment Refunding Bonds, Series 2013—acted as lender's counsel—negotiated private placement-- purpose: refunding

LC $9,700,000 Performing Arts Center Authority, Broward County, Capital Improvement Revenue Note, Series 2013A and Not to Exceed $16,300,000 Performing Arts Center Authority, Broward County, Capital Improvement Revenue Note, Series 2013B - acted as counsel to lender - negotiated private placement-- purpose: expansion and remodeling of performing arts facility

LC $2,278,000 Miami Shores Village Refunding General Obligation Bond, Series 2013 - acted as counsel to lender -negotiated private placement-- purpose: refunding

LC $75,000,000 Miami-Dade County Revolving Line of Credit Note, Series 2012 – acted as lender's counsel – private placement – purpose: funding public health trust.

BC $4,055,000 Town of Lantana, Florida, Water and Sewer Revenue Refunding Bonds, Series 2012 – acted as bond counsel – private placement – purpose: refunding.

BC & LC $242,360 Joshua Water Control District Promissory Note, Series 2012 – acted as bond counsel and lender's counsel – private placement – purpose: purchase of equipment.

LC $5,050,000 City of Hallandale, Florida, Revenue Notes, Series 2012 – acted as lender's counsel- private placement – purpose: purchase of vehicles

LC $5,825,000 Pine Air Lakes Community Development District (Collier County, Florida) Special Assessment Refunding Bond, Series 2012 – acted as lender's counsel – private placement – purpose: refunding.

BC $3,175,000 North Springs Improvement District Taxable Special Assessment Refunding Bonds, Series 2012 (Heron Bay Project) – acted as bond counsel – negotiated private placement – purpose: refinancing assessable improvements.

LC $49,350,000 Sunshine State Governmental Financing Commission Revenue Bonds, Series 2012 — negotiated private placement—acted as lender's counsel—purpose: refinancing.

LC $9,980,000 City of Venice, Florida, Utility System Refunding Revenue Note, Series 2012—negotiated private placement—acted as lender's counsel—purpose: refinancing.

LC $559,562.52 Town of Golden Beach, Florida, Capital Improvement Refunding Revenue Note, Series 2012 – acted as lender's counsel – private placement – purpose: refunding.

BC $5,000,000 City of Lauderhill, Florida Water and Sewer Revenue Bonds - negotiated private placement - acted as bond counsel - purpose: financing water and sewer improvements.

LC $2,000,000 Town of Golden Beach, Florida, Grant Anticipation Revenue Note, Series 2012B — negotiated private placement—acted as lender's counsel—purpose: road improvements.

LC $2,296,000 City of Sebastian, Florida, Gas Tax Revenue Note, Series 2012—negotiated private placement—acted as lender's counsel—purpose: refinancing and capital improvements.

LC $4,100,000 City of Hialeah Gardens, Florida, Utilities System Revenue Note, Series 2012—negotiated private placement—acted as lender's counsel—purpose: refinancing and utilities system improvements.

BC $890,000 Coral Bay Community Development District Special Assessment Bonds, Series 2012—negotiated private placement—acting as bond counsel—purpose: financing infrastructure.

BC & LC $6,000,000 City of Hialeah, Florida Taxable Promissory Note, Series 2012 – negotiated private placement – acted as bond counsel and lender's counsel – purpose: fund contribution to pension plan.

LC $2,230,500 City of Wilton Manors, Florida, Promissory Note – negotiated sale – acted as lender's counsel – purpose: utility and parking improvements

LC $1,477,298 City of Wilton Manors, Florida, Promissory Note – negotiated sale – acted as lender's counsel – purpose: refunding

LC $3,950,000 Northern Palm Beach County Improvement District Units 11 and 14 Promissory Note – negotiated sale – acted as lender's counsel – purpose: drainage improvements

LC $24,000,000 St. Lucie West Services District Utility Revenue Refunding Bonds, Series 2011 – private placement – acted as lender's counsel - purpose: refunding

BC $19,358,500 Village of Royal Palm Beach, Florida, Capital Improvement Refunding Note, Series 2011B – private placement – acted as bond counsel - purpose: refunding

LC $350,000 Town of Lake Park, Florida Revenue Note, Series 2011 – private placement – acted as lender's counsel - purpose: stormwater improvements

LC $7,130,000 Village of Key Biscayne, Florida, Capital Improvement Revenue Refunding Bonds, Series 2011B – private placement – acted as lender's counsel - purpose: refunding

LC Not to Exceed $2,000,000 Town of Palm Beach, Florida Revolving Line of Credit Note – private placement – acted as lender's counsel - purpose: capital improvements

LC $1,865,000 Village of Key Biscayne, Florida, Capital Improvement and Land Acquisition Revenue Refunding Bonds, Series 2011 – private placement – acted as lender's counsel - purpose: refunding

LC $43,860,000 Sunshine State Governmental Financing Commission Revenue Bonds, Series 2011A – private placement – acted as lender's counsel - purpose: refunding

LC $5,000,000 Town of Jupiter Island, Florida Promissory Note, Series 2011 – acted as lender's counsel – private placement – purpose: beach renourishment

BC & LC $14,489,839.95 City of Hialeah, Florida Affordable Housing Note, Series 2011 – acted as bond counsel and lender's counsel – private placement – purpose: refunding

BC & LC $256,775 Thousand Oaks Community Development District Improvement Revenue Note, Series 2011 – acted as lender's counsel – private placement – purpose: construction of infrastructure improvements

LC $3,680,000 Broward County, Florida Industrial Development Revenue Bonds Series 2011A (Urban League of Broward County Project) – acted as lender's counsel – private placement – purpose: construction of community center

BC $20,500,000 Village of Royal Palm Beach, Florida, Capital Improvement Note, Series 2011 – acted as bond counsel – private placement – recreational facilities

LC $1,212,450.00 Northern Palm Beach County Improvement District Unit 2C Promissory Note – acted as lender's counsel – private placement – purpose: development of plan of improvements

LC $2,500,000 City of Plantation, Florida, Non-Ad Valorem Revenue Note dated December 22, 2010 – acted as Lender's counsel – private placement – purpose: infrastructure improvements

LC $20,000,000 Davie Community Redevelopment Agency Community Redevelopment Bonds, Series 2010 – acted as lender's counsel – private placement – purpose: community redevelopment

BC $4,440,996.89 City of Palm Beach Gardens, Florida, General Obligation Refunding Bonds, Series 2010 – acted as bond counsel – private placement – purpose: refunding

LC $3,045,000 Martin County, Florida, Capital Improvement Revenue Note, Series 2010 – acted as lender's counsel – private placement – purpose: capital improvements

BC $10,000,000 City of Lauderhill, Florida Water Utility Tax Revenue Bonds, Series 2010—negotiated private placement—acted as bond counsel—purpose: financing various public improvements

BC $6,565,000 City of Lauderhill, Florida Half-Cent Sales Tax Refunding Revenue Bonds, Series 2010—negotiated private placement—acted as bond counsel—purpose: refunding outstanding debt

LC $44,500,000 FAU Finance Corporation Revenue Bonds, Series 2010 (Build America Bonds), Series 2010—negotiated private placement—acted as lender's counsel—purpose: financing football stadium

BC $10,000,000 Downtown Development Authority (Fort Lauderdale) Refunding Bonds—negotiated private placement—acted as bond counsel—purpose: extending maturity of bonds

LC Jacksonville Economic Development Commission Up to $26,260,200 Special Facility Airport Revenue Bonds (Holland Sheltair Aviation Group Project – Jacksonville, Daytona and Fort Lauderdale Projects) - Adjustable Rate Series 2010A-1, 2010A-2 and 2010-A3) and reissuance of $12,555,000 Special Facility Airport Revenue Bonds (Holland Sheltair Aviation Group Project – Jacksonville, Daytona and Fort Lauderdale Projects) - Adjustable Rate Series 2004A-1, 2004A-2 and 2004-A3)- acted as co-lender's counsel – private placement – purpose: to finance improvements to airport facilities and refunding.

BC & LC $2,376,719 Quantum Park Overlay Dependent District Promissory Note, Series 2010—acted as bond counsel and lender's counsel—private placement--purpose: to finance drainage and road improvements.

LC & TC $14,187,500 Palm Beach County, Florida, Airport Revenue Bonds (Galaxy Aviation of Palm Beach, Inc. – Series 2010 A) and $3,400,500 Palm Beach County, Florida, Taxable Airport Revenue Bonds (Galaxy Aviation of Palm Beach, Inc. – Series 2010 B) - acted as lender's counsel and trustee's counsel – private placement – purpose: to finance improvements to airport facilities and refunding.

BC & LC Not to Exceed $3,000,000 Town of Southwest Ranches, Florida, Emergency Line of Credit Note, Series 2010 - private placement- acted as bond counsel and lender's counsel—purpose: emergency line of credit.

BC $17,500,000 North Springs Improvement District Water and Sewer Revenue Refunding Bonds, Series 2010A and $5,000,000 Water and Sewer Revenue Bonds, Series 2010B – private placement – acted as bond counsel—purpose: financing and refinancing water and sewer utility improvements

BC & LC $925,000.00 Brooks of Bonita Springs Community Development District and Brooks of Bonita Springs II Community Development District Improvement Revenue Note, Series 2010 – private placement – acted as bond counsel and lender's counsel - purpose: financing acquisition of property for public use.

BC & LC Not to Exceed $13,800,000 Town of Cutler Bay, Florida, Promissory Notes, Series 2010A, 2010B, 2010C, 2010D and 2010E —acted as bond counsel and lender's counsel - —private placement--purpose: to finance acquisition of town hall and other property for public uses.

BC & LC $195,126.54 Cutler Cay Community Development District, Improvement Revenue Note, Series 2010—acted as bond counsel and lender's counsel—private placement--purpose: to finance drainage and road and other capital improvements.

BC $3,500,000 City of Dania, Florida, General Obligation Bonds, Series 2010 – private placement- acted as bond counsel—purpose: to finance portion of a parking garage.

BC $8,580,000 North Springs Improvement District Water Management Refunding Bonds, Series 2010 – private placement – acted as bond counsel – purpose: to refund existing bonds.

BC & LC Not to exceed $2,000,000 Town of Southwest Ranches, Florida, Bank Qualified Line of Credit Note, Series 2010 – private placement- acted as bond counsel and lender's counsel—purpose: road and drainage improvements.

LC Not to exceed $10,000,000 City of Largo, Florida, Capital Improvement Sales Tax Revenue Note, Series 2010 – private placement- acted as lender's counsel—purpose: construction of community center.

BC Not to Exceed $15,000,000 Pompano Beach Community Redevelopment Agency Tax Increment Revenue Bonds, Series 2010A and Tax Increment Revenue Bonds, Series 2010B and/or Taxable Tax Increment Revenue Bonds, Series 2010C--purpose to finance community redevelopment improvements--acted as bond counsel--negotiated private placement.

LC $2,462,000 City of Sebastian, Florida, Local Option Gas Tax Revenue Note (SunTrust Bank), Series 2010 – private placement- acted as lender's counsel—purpose: road improvements.

BC $10,363,489 The Health and Educational Facilities Board of the City of Crossville, Tennessee, Mortgage Revenue Bonds (WyndRidge Health and Rehabilitation Center Project – private placement - acted as bond counsel - purpose: acquisition of nursing home .

BC & LC $1,000,000 City of Lauderdale Lakes, Florida, Taxable Ad Valorem Tax Anticipation Note, Series 2010 – private placement - acted as bond counsel and lender's counsel—purpose: operating expenses.

LC $9,770,000 Town of Jupiter, Florida, Water Revenue Refunding Promissory Note, Series 2010 – private placement- acted as lender's counsel—purpose: refunding.

Attachment D—Fees and Costs

The following is the proposed schedule of fees if Greenspoon Marder, P.A. is selected as bond counsel or disclosure counsel. Our fees would be on a flat fee basis and such fees would vary based on the amount of the bond issue and would be the same whether the bonds are sold by competitive or negotiated sale.

(Based on Increments as Set Forth Below)

Bond Issue Size	Fee – Bond Counsel*.	Fee – Disclosure Counsel*
$0 to $15,000,000	$25,000	$20,000
$15,000,000 to $30,000,000	$25,000 + $0.90/$1K in excess of $15,000,000	$20,000 + $0.60/$1K in excess of $15,000,000
$30,000,000 to $70,000,000	$38,500 + $0.70/$1K in excess of $30,000,000	$29,000 + $0.45/$1K in excess of $30,000,000
Over $70,000,000	$66,500 + $0.50/$1K in excess of $70,000,000	$47,000 + $0.30/$1K in excess of $70,000,000

* Maximum fee of $95,000 for bond counsel and $76,000 for disclosure counsel.

Notes:

1. If the bond issue is structured as a private placement with a bank or other institutional lender (including general obligation bonds), and the bonds are offered without the use of an offering document, our fee for serving as bond counsel would be 80% of the amount shown in the above schedules, with a minimum fee of $20,000.

2. The above bond counsel fee schedule will also apply for bonds that are treated as "current refunding bonds" under the Internal Revenue Code of 1986, as amended (the "Code"). For bonds that are treated as "advance refunding bonds" under the Code, the bond counsel fees shown on the schedule will be increased by 10%.

3. If the City or the proposed underwriter or purchaser of any bonds of the City requests that the bonds be validated, we would charge the City for our time at the discounted rate of $300.00 per hour in connection with such validation proceedings.

We would not charge the City for brief consultations on new potential transactions or closed transactions, unless more than nominal time and effort was required. For advice on

general bond-related matters not directly connected to a particular bond issue, we would bill on an hourly basis and provide the City with a discounted rate of $300 per hour for the Greenspoon Marder attorneys assigned to the City's account.

Our fee is generally payable at closing, and we generally are only paid if the bond issue closes. We are willing to consider alternative fee arrangement that would entitle us to payment based on our time expended if a proposed bond issue does not close.

In addition to our fees for professional services, we will charge for reimbursement of our actual out-of-pocket expenses, including such charges as long distance telephone calls, fax charges, Federal Express and printing. The amount of these charges would depend on a number of factors, including the number of meetings needed to finalize documents, the number of drafts or redrafts of documents necessitated for changes in structural or project scope and the number of persons to whom copies of documents must be sent. Upon request, we shall provide support documentation reflecting payment to third parties, except for printing and faxing for which we charge a per page basis. If the City has its own cost reimbursement policy for services of this nature then, notwithstanding the above, if requested to do so we will adhere to the City's policy. In addition, we would be willing to agree with the City on a deal by deal basis to a "not to exceed" amount of expense reimbursement.

Form **W-9**
(Rev. December 2014)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Greenspoon Marder, P.A.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC ☐ C Corporation ☑ S Corporation ☐ Partnership ☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☐ Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any)
Exemption from FATCA reporting code (if any)
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
100 W Cypress Creek Road Suite 700

Requester's name and address (optional)

6 City, state, and ZIP code
Fort Lauderdale, FL 33309

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number
			–			–				

or

Employer identification number
5	9	–	2	4	0	2	1	2	1

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here | Signature of U.S. person ▶ Accounting Manager | Date ▶ 3/24/15

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at *www.irs.gov/fw9.*

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

CERTIFICATE OF LIABILITY INSURANCE

DATE(MM/DD/YYYY) 11/12/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Aon Risk Services Northeast, Inc. New York NY Office 199 Water Street New York NY 10038-3551 USA	CONTACT NAME: PHONE (A/C. No. Ext): (866) 283-7122 FAX (A/C. No.): (800) 363-0105 E-MAIL ADDRESS:

INSURER(S) AFFORDING COVERAGE		NAIC #
INSURER A:	Nautilus Insurance Company	17370
INSURER B:	Lloyd's Syndicate No. 4472	AA1126006
INSURER C:		
INSURER D:		
INSURER E:		
INSURER F:		

INSURED
Greenspoon Marder, P.A.
200 E. Broward Blvd.
Suite 1800
Fort Lauderdale FL 33301 USA

COVERAGES CERTIFICATE NUMBER: 570060144939 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. Limits shown are as requested

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY						EACH OCCURRENCE	
	☐ CLAIMS-MADE ☐ OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	
							MED EXP (Any one person)	
							PERSONAL & ADV INJURY	
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	
	☐ POLICY ☐ PRO-JECT ☐ LOC						PRODUCTS - COMP/OP AGG	
	OTHER:							
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)	
	☐ ANY AUTO						BODILY INJURY (Per person)	
	☐ ALL OWNED AUTOS ☐ SCHEDULED AUTOS						BODILY INJURY (Per accident)	
	☐ HIRED AUTOS ☐ NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	
	☐ UMBRELLA LIAB ☐ OCCUR						EACH OCCURRENCE	
	☐ EXCESS LIAB ☐ CLAIMS-MADE						AGGREGATE	
	☐ DED ☐ RETENTION							
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N						☐ PER STATUTE ☐ OTH-ER	
	ANY PROPRIETOR / PARTNER / EXECUTIVE OFFICER/MEMBER EXCLUDED? ☐ N/A (Mandatory in NH)						E.L. EACH ACCIDENT	
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE-EA EMPLOYEE	
							E.L. DISEASE-POLICY LIMIT	
A	Lawyers Prof			PLP_1000369_P-3	03/12/2015	03/12/2016	Per Claim	$5,000,000
B				QB1505185	03/12/2015	03/12/2016	Aggregate	$10,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Limit of Liability: $5,000,000 per claim / $10,000,000 aggregate

Participation: Nautilus Insurance Company 50%, Lloyd's Syndicate 4472 50%

Errors & Omissions coverage is a Claims Made policy. There is no additional Insured status on the Errors & Omissions coverage.

CERTIFICATE HOLDER

City of Port St. Lucie
Procurement Management Department
121 SW Port St. Lucie Boulevard
Port St. Lucie FL 34984-5099 USA

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Aon Risk Services Northeast, Inc.

Holder Identifier :

Certificate No : 570060144939

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DRUG-FREE WORKPLACE FORM

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Greenspoon Marder, P.A. does:
(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.*

Denise Ganz

Denise Ganz, Vice President
(Bidder's Signature)

Date 11/19/15

*Please note that, as a law firm, the Firm has not adopted a program that expressly meets the Drug Free Workplace criteria of Section 287.087, Florida Statutes, however, it does have various policies and procedures in place regarding employee conduct, including with respect to the use of drugs and alcohol, and provides this information to all employees. If awarded the contract to perform services for the City, the Firm will endeavor to implement additional policies and procedures in furtherance of Section 287.087, Florida Statutes upon learning of its selection.

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CHECKLIST

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

X Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFP #20160014, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

X All questions on the questionnaire are complete and thoroughly answered

X Each Bid Addendum (when issued) is acknowledged

N/A Certified Minority Business Certificate if Applicable

X W9 Form

X Current Certificate of Insurance

X Three (3) Reference Forms from local Florida governments

X Drug Free Workplace Form

X Have reviewed the Contract and accept all City Terms and Conditions

X Checklist

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: Greenspoon Marder, P.A.
Reference: Palm Beach County, Florida Fax #: (561) 656-7142
Email: sbrown4@pbcgov.org Telephone #:(561) 355-4160
Person to contact: Ms. Sherry Brown, Assistant Budget Director (formerly Debt Manager)

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.

Bond Counsel

What problems were encountered?

N/A

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism 9
Qualifications 9
Budget Control 9

Final Product 9
Cooperation 9
Reliability 9

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM

Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: Greenspoon Marder, P.A.
Reference: City of Riviera Beach, Florida Fax #:(561) 845-8843
Email: rsherman@rivierabch.com Telephone #: (561) 845-4040
Person to contact: Randy Sherman, Finance Director

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.
GREENSPOON MARDER SERVES AS THE CITY'S BOND COUNSEL SINCE 2014, THE CITY HAS ISSUED THREE SERIES OF BONDS FOR JUST OVER $100 MILLION

What problems were encountered?
ALL THREE SERIES WERE OF A DIFFERENT VARIETY. NO PROBLEMS WERE ENCOUNTERED.

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism 10
Qualifications 8
Budget Control 8
Final Product 9
Cooperation 10
Reliability 9

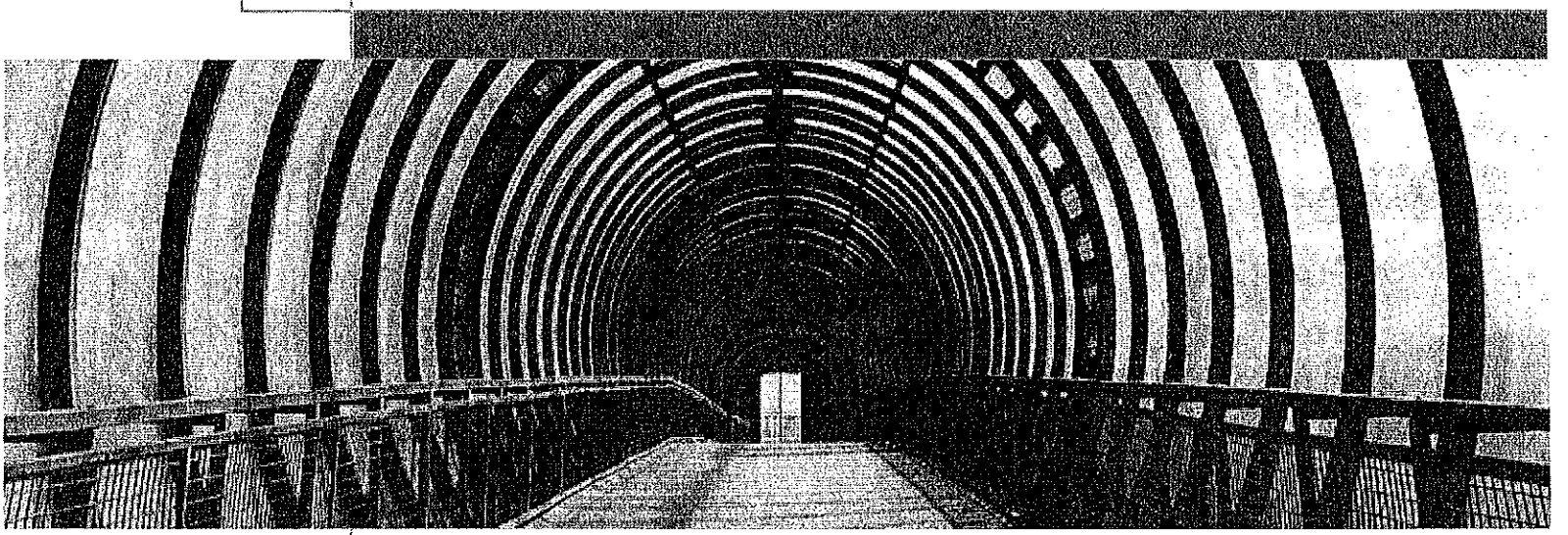
Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]

Comments:
THE CITY ALSO USES STEVE BULLOCK AS DISCLOSURE COUNSEL.

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

GT GreenbergTraurig



Request for Proposal No. 20160014 for Bond Counsel or Bond Disclosure Counsel Services

Presented to
City of Port St. Lucie

November 20, 2015

Contact Person:

Albert A. del Castillo, Shareholder
333 S.E. 2nd Avenue, Suite 4400
Miami, Florida 33131
Direct: (305) 579-0545
Email: delcastilloa@gtlaw.com

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GT GreenbergTraurig

November 20, 2015

Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
ATTN: Procurement Management Department
121 SW Port St. Lucie Boulevard
Port St. Lucie, FL 34984-5099

RE: Request for Proposal: Bond Counsel or Bond Disclosure Counsel Services, No. 20160014

Dear Ms. Shanaberger:

As a current provider of bond counsel services to the City of Port St. Lucie, Florida (the "City"), Greenberg Traurig, P.A. ("GT" or the "Firm") is pleased to submit its qualifications and proposal in response to the Request for Proposal No. 20160014 for Bond Counsel or Bond Disclosure Counsel Services (the "RFP") issued by the City. GT is eminently qualified and prepared to continue to serve as Bond Counsel and also serve as Disclosure Counsel to the City in connection with the City's existing, future and prior financial transactions and to provide the full scope of services set forth in the RFP.

The Firm's Public Finance Practice has extensive experience in state and local government debt transactions for public entities in Florida and throughout the United States. GT regularly serves as bond counsel, disclosure counsel, underwriter's counsel, issuer's counsel, special tax counsel, credit enhancer's counsel, trustee's counsel, borrower's counsel, liquidity provider's counsel and purchaser's counsel in public finance transactions across the country. Serving in different capacities on financings provides us with a perspective from all sides of the transaction and allows us to develop solutions to issues and obstacles that often arise and to negotiate successfully with other parties in public finance transactions. Moreover, with offices across the United States, we also can provide the City with a wide perspective on the national public finance marketplace and practices.

We believe our team offers the City an exceptional combination of strategic thinking and best practices specific to the City's needs. We will continuously strive to exceed your expectations by providing outstanding legal services in a timely, comprehensive and cost-effective manner. This proposal will demonstrate that Greenberg Traurig has the relevant experience, capabilities, insight and judgment to accomplish the City's goals, and the ability to help the City navigate the changing public finance marketplace. Highlighted below are several aspects that we believe distinguish us from other firms and demonstrate our qualifications to serve as the City's Bond or Disclosure Counsel:

National Public Finance Practice. GT has been serving the needs of state and local debt issuers for more than 30 years and consistently ranks as one of the top municipal finance law firms in the country. Our team includes attorneys who possess exceptional depth of experience in all aspects of municipal bond law, disclosure law and related tax law. According to information compiled by Thomson Financial and reported in *The Bond Buyer*, a nationally recognized municipal finance publication, Greenberg Traurig was ranked **1st in the Southeast** region among bond counsel for publicly offered transactions in the 2015 Southeast Midyear Review for Bond Counsel Rankings. In addition, the Firm was ranked **1st in Florida** and 12th nationally for bond counsel for the first half of 2015.

Significant Experience in Tax Matters. We have four tax attorneys whose practices are focused solely on the federal tax laws relating to municipal finance transactions. Our 103-tax attorneys have extensive knowledge of tax law requirements applicable to all aspects of municipal finance, including private activity bond tests, arbitrage compliance, advance refundings, derivatives, post-issuance compliance and tax controversy. Vanessa Albert Lowry, a member of our 103-tax group and a member of our proposed team for the City, has provided tax advice and counseling for prior financings by the City.

Prior Experience with Financings by Florida Municipalities, Particularly the City. GT has extensive experience with bond financings by Florida municipalities, including the City. Since 2010, the Firm has served as counsel on more than 100 bond issues undertaken by Florida municipalities aggregating more than $3.6 billion. Additionally, since the mid-1990's Albert del Castillo has served as bond counsel, disclosure counsel or underwriter's counsel on more than $1.1 billion of general obligation, revenue, special obligation and special assessment bonds issued by the City for various types of projects and/or for refunding purposes. Albert has been assisted in these financings since 2000 by Lori Smith-Lalla. Over the years, Albert and Lori have also advised the City in connection with numerous questions and issues that have arisen outside the context of an actual, on-going financing.

Our extensive, in-depth knowledge of the City, the structure of its financings and related financing documentation, as well as the personnel at the City, will ensure the continued smooth functioning of the City's financings. The core team of lawyers who would represent the City in its bond financings would consist of Albert, Lori and 103-tax shareholder Vanessa Albert Lowry, who has provided tax advice to the City for its tax-exempt financings since 2013. This core team would be supported by our other Florida public finance lawyers. We want to emphasize, however, that one of the significant advantages to the City of working with our Firm is the benefit derived from the extensive nationwide experience of our 44 full-time public finance and 103-tax lawyers, who function on an integrated national basis. The knowledge and experience of this entire group of lawyers will be a significant resource to the City.

Top ranked firm with vast resources. We offer the additional resources of a law firm with approximately 1,900 attorneys and governmental affairs professionals serving clients from 38 offices in the United States, Latin America, Europe, Asia, and the Middle East. The firm is No. 1 on the 2015 *Law360* Most Charitable Firms list, third largest in the U.S. on the 2015 *Law360* 400, on the 2015 *Am Law* Global 100, and among the 2015 *BTI Brand Elite*. We offer the resources and reach of one of the world's largest law firms, delivered with the personal attention and local knowledge of a boutique law practice. GT's broad-based legal practice will be of particular benefit to the City, since issues of law are often presented in bond financings that go beyond traditional public finance matters. The broad-based nature of GT's practice means that the firm has attorneys expert in virtually every area of need that would be presented in the course of a bond financing for the City, including extensive corporate and commercial banking expertise which would be of substantial benefit to the City.

Our commitment to Florida. GT has more than 370 attorneys in its seven Florida offices (Boca Raton, Fort Lauderdale, Miami, Orlando, Tallahassee, Tampa and West Palm Beach). GT prides itself on having a thorough knowledge of our Florida communities. Our Firm's culture is built on a strong tradition of community service, which we consider a vital part of our practice. Accordingly, GT attorneys and staff hold leadership positions or are otherwise actively involved in a variety of civic, professional, and charitable organizations throughout Florida.

Diversity. At Greenberg Traurig, we are committed to nurturing diversity throughout the Firm and creating an environment where differences are embraced and utilized creatively. The *Minority Law Journal* 2014 Diversity Score card has recognized GT as No. 1 Ranking, African American Partners; No. 1 Ranking, Hispanic American Attorneys, and No. 2 Ranking, Hispanic American Non-Partners. In addition, the *National Law Journal* in 2013 ranked our Firm as No. 2 in Female Partner Numbers.

Innovation is our Calling Card. We will be very helpful to the City in the development of new and innovative financing programs; this is our strength and our calling card. Our understanding of the financial structuring and tax aspects of municipal transactions can be very helpful in advancing the goals of the City. In addition, our understanding of federal securities laws and our extensive experience with developing disclosure programs for issuers also can be beneficial in assisting the City to be well-positioned to withstand the heightened scrutiny by the enforcement division of the Securities and Exchange Commission of issuer disclosure practices in the municipal securities market.

Competitive Fees and Flexible Fee Arrangements. We offer competitive fees and alternative flexible fee arrangements that demonstrate our commitment to helping our clients, and particularly the City, control their legal costs.

Our team will continually strive to exceed the City's expectations by providing outstanding legal services in a timely, comprehensive and cost-effective manner. We appreciate the opportunity to present our proposal and would be honored to continue to serve as the City's Bond Counsel or to serve as the City's Disclosure Counsel. We look forward to the opportunity to continue working with the City and its staff, as well as the other members of the financing team. Thank you in advance for your consideration.

Sincerely,

Albert A. del Castillo

Albert A. del Castillo
Shareholder

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GT GreenbergTraurig

Questionnaire

E-RFP #20160014
Bond Counsel or Bond Disclosure Counsel

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, Consultant, Surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this 20th day of November, 2015

Greenberg Traurig, P.A.
Name of Organization / Proposer

1. **Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?**

   Albert A. del Castillo, located in our Miami office, will be the lead attorney and primary contact for the City. Albert is a Shareholder and fully authorized to represent the Firm.

Staff Name	Office Address	Contact Information
Albert A. del Castillo	333 SE 2nd Avenue Suite 4400 Miami, FL 33131	Direct: +1 305.579.0545 Fax: +1 305.961.5332 Email: delcastilloa@gtlaw.com
Lori Smith-Lalla	401 East Las Olas Boulevard Suite 2000 Fort Lauderdale, FL 33301	Direct: +1 954.768.8277 Fax: +1 954.765.1477 Email: smithlallal@gtlaw.com
Vanessa Albert Lowry	2700 Two Commerce Square 2001 Market Street Philadelphia, PA 19103	Direct: +1 215.988.7811 Fax: +1 215.988.7801 Email: lowryv@gtlaw.com

Our proposed Bond and Disclosure Counsel team for the City, led by Albert del Castillo, will have the support of our entire Florida Public Finance Practice comprised of 14 attorneys located throughout the State, as well as four 103-tax lawyers. Our team of attorneys is prepared and dedicated to developing strategies and solutions to help the City achieve its goals. We understand the City's needs, are committed to delivering the highest quality legal services and are prepared to serve as a partner in achieving the City's goals and objectives while meeting all milestones and deliverables set forth in the RFP in an efficient, cost-effective manner. In addition to our proposed team and other available Florida bond attorneys, GT has 30 additional public finance lawyers nation-wide who are available to assist with the City's representation whenever needed.

The full array of the Firm's resources will be available to the City. The proposed team of attorneys assigned to the City is a senior and experienced team of lawyers. Albert del Castillo is intimately familiar with the City and its financings, as he has been involved as bond counsel, disclosure counsel or underwriter's counsel in over $1.1 billion of bond financings by the City since the mid-1990's. Lori Smith-Lalla has assisted Albert on the City's bond financings since 2000.

2. Is your firm a Certified Minority Business (yes or no)?

No

3. List all proposed personnel, assigned to this project, including title, qualifications, and years of experience applicable to this project scope.

GT's Public Finance Practice was established over 30 years ago in the Firm's Miami, Florida office to serve the needs of state and local issuers throughout the United States in virtually every area of public finance. Since then, the Public Finance Practice has developed into a broadly-based municipal finance practice which is national in scope and is a vital and growing part of the Firm's business. Greenberg Traurig has a diverse, national Public Finance Practice comprised of 44 lawyers practicing in our Atlanta, Boston, Chicago, Dallas, Denver, Fort Lauderdale, Houston, Los Angeles, Miami, New York, Orlando, Philadelphia, Phoenix, Tallahassee, Washington D.C., and West Palm Beach offices.

We are a leading law firm in nearly all aspects of local government finance. GT regularly serves as bond counsel, disclosure counsel, underwriter's counsel, purchaser's counsel, borrower's counsel, issuer's counsel, special tax counsel, credit enhancer's counsel, trustee's counsel, liquidity provider's counsel, and swap counsel in public finance transactions across the country. Serving in different capacities on tax-exempt financings provides us with a perspective from all sides of the transaction and uniquely allows us to develop solutions to issues and obstacles that often arise in public finance transactions.

The Public Finance attorneys are supported by four full-time tax specialists with wide-ranging experience in the arbitrage aspects of tax-exempt financing and who are responsible for providing advice and opinions in the area of tax exemption of interest on public finance transactions.

Our attorneys possess exceptional depth of experience in all aspects of municipal bond law, tax law, local government law and the issuance of tax-exempt municipal bonds, as well as in other relevant

areas of law such as corporate and securities law, bankruptcy law and banking and other financial institutions law.

Public Finance Legal Services

- General obligation and revenue bond financings
- Bank loans and private placements
- Refundings
- Tax-exempt lease, lease-purchase, and installment purchase financings
- Public-private partnerships
- Multimodal financings
- Workouts and restructurings
- Conduit /private activity bond issues
- Bond validation proceedings, including contested proceedings
- Community Redevelopment Agencies and tax-increment financings
- Community development districts and special tax district financings
- Short-term financings through revenue, tax, bond and grant anticipation notes
- Issuers' presentations to ratings agencies
- Municipal liability insurance
- Interest rate swaps and other derivatives

Areas of Experience

We have participated in financings involving issuance of general obligation, revenue or special obligation bonds, or annual appropriation obligations, for projects in the following areas:

- Airport
- Capital Equipment
- Community Development
- Convention Center
- Criminal Justice
- Education
- Electric Utility
- Expressways
- Health Care
- Industrial Development
- Mass Transit
- Parks
- Pollution Control
- Public Buildings
- Public Safety
- Redevelopment
- Resource Recovery
- Roads and Bridges
- Seaport
- Sewer
- Single/Multifamily Housing
- Solid Waste
- Sports and Trade Facilities
- Transit Systems
- Transportation
- Turnpikes
- University
- Water Management (Stormwater)
- Water Utility

GT's Public Finance Practice has served as bond counsel, underwriter's counsel, disclosure counsel, and special tax counsel for financings in the states of Alabama, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Kansas, Louisiana, Maine, Maryland, Minnesota, Mississippi, Missouri, Nevada, New Hampshire, New Jersey, New Mexico, New York, Ohio, Oregon, Rhode Island, South Carolina, Tennessee, Texas, Utah, Vermont, Washington, West Virginia, Wisconsin, and Wyoming, in the Commonwealths of Kentucky, Massachusetts, Pennsylvania, Virginia and Puerto Rico and in the United States Virgin Islands.

Members of the Practice also participate frequently in municipal financings as purchaser's counsel, borrower's counsel, lender's counsel, credit-enhancer's or liquidity provider's counsel and trustee's counsel. Our commitment is to bring to bear the full weight of the varied resources of the GT platform to each of our clients in the structuring, negotiating, documentation and closing of each transaction.

We believe that our ability to act as counsel in an innovative and imaginative way has enabled our clients to obtain the type of advice and guidance that helps them to maximize financing opportunities made difficult by Internal Revenue Code restrictions and local revenue shortages. We believe that the foregoing, among other factors, has allowed us to maintain close and continuous relationships with many of our clients over the years.

Members of GT's Public Finance Practice have advised numerous governmental issuers, non-profit and private borrowers, lenders and underwriters in all phases of general obligation and revenue bond financings. The GT Public Finance Group is particularly qualified to serve as bond or disclosure counsel to Florida governmental entities. As with Greenberg Traurig's other practices, the Public Finance Practice benefits greatly from the multidisciplinary capabilities of the Firm. When issues related to public finance go beyond the experience of bond lawyers, we are able to call upon attorneys within Greenberg Traurig who focus on real estate and zoning, litigation, the environment, health care, bankruptcy and numerous other areas of legal concentration.

Public Finance Practice Rankings

GT consistently ranks among the top bond, disclosure and underwriter's counsel firms according to nationwide and statewide rankings compiled by Thomson Financial and reported in *The Bond Buyer*, a nationally recognized municipal finance publication. The rankings are based on the aggregate dollar amount of publicly offered long-term tax-exempt bond financings, and do not include short-term instruments, private or direct placements or remarketings.

Greenberg Traurig was ranked **1st in the Southeast region** among bond counsel for publicly offered transactions in the 2015 Southeast Midyear Review for Bond Counsel Rankings as reported in *The Bond Buyer*. In addition, the Firm was ranked **1st in Florida** and 12th nationally for bond counsel for the first half of 2015.

Set forth below are GT's Florida and national rankings for 2014:

- **Bond Counsel:** In 2014, GT ranked 8th nationally with 77 long-term tax exempt public offerings for $7.7 billion in aggregate principal amount. In Florida, GT ranked 1st with 48 long-term tax exempt public offerings for $2.5 billion in aggregate principal amount.
- **Underwriter's Counsel**: In 2014, GT ranked 7th nationally with 95 long-term tax exempt public offerings for $6.8 billion in aggregate principal amount. In Florida, GT ranked 1st with 17 long-term tax exempt public offerings for $1.2 billion in aggregate principal amount.
- **Disclosure Counsel**: In 2014, GT ranked 14th nationally with 33 long-term tax exempt public offerings for $2.5 billion in aggregate principal amount. In Florida, GT ranked 5th with 9 long-term tax exempt public offerings for $406.5 million in aggregate principal amount.

Staff Name	Title	Years of Experience	Qualification
Albert A. del Castillo	Shareholder	33	Albert A. del Castillo focuses his practice on public finance law, with an emphasis on bond issues throughout the state of Florida and Puerto Rico. Albert has served clients as bond counsel, underwriters' counsel and disclosure counsel in a variety of issues, including general obligation, infrastructure, electric, water and sewer, health care, education, resource recovery, sports facilities, special assessment, community development district, and industrial development financing. He has also served as bank counsel, letter of credit bank counsel and as trustee's counsel. Albert has served as bond counsel in highly innovative and complex bond financings, including the representation of Broward County, FL, in its $622 million airport revenue bonds for Fort Lauderdale-Hollywood International Airport, which was selected by *The Bond Buyer* as the 2012 Southeast Region "Deal of the Year." In 2006, he represented Citizens Property Insurance Corporation in a $3.05 billion financing, which at the time was Florida's largest new-money municipal bond issue. In 2004, Albert represented Citizens in a $750 million financing which was selected by The Bond Buyer as the 2004 National "Deal of the Year." To view Albert's full biography please click here.
Lori Smith-Lalla	Of Counsel	28	Lori Smith-Lalla focuses her practice on public finance, including city, county and local government finance. She has served as bond counsel and disclosure counsel to various cities, counties and authorities as well as underwriter's counsel to numerous national and regional underwriting firms. Lori is board certified as a specialist in city, county and local government law by the Florida Bar. To view Lori's full biography please click here.

Vanessa Albert Lowry	Shareholder	27	Vanessa Albert Lowry practices in GT's Tax and Public Finance Departments. Vanessa has closed transactions in over forty states as bond counsel, special tax counsel, underwriter's counsel, borrower's counsel and credit enhancer or bond purchaser's counsel. She specializes in the tax aspects of complex, structured financings. Vanessa has also obtained rulings and handled tax audits in the public finance area. To view Vanessa's full biography please click here.

4. List the experience of the firm and staff as Bond Counsel or Disclosure Counsel for financings for the past five years. Emphasis should be place on experience with Florida local governments.

Since the mid-1990's Albert del Castillo has served as bond counsel or disclosure counsel on more than $1.1 billion of general obligation, revenue, special obligation and special assessment bonds issued by the City for various types of projects and/or for refunding purposes.

Staff Name	Financings, Amount & Type	Project Owner and Email Address
Albert A. del Castillo	See **Part I** below for financings lead by Albert del Castillo, and assisted by Lori Smith-Lalla and Vanessa Albert Lowry. This is a listing only of financings for municipalities. If you would like to see a listing of financings for other Florida governmental entities, we would be glad to provide it to you at your request.	
Lori Smith-Lalla		
Vanessa Albert Lowry		

Part I: Experience of the proposed team as Bond Counsel or Disclosure Counsel for financings for the past five years.

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Coral Springs	$7,780,000	Capital Revenue Refunding Bonds Series 2015 Fixed Rate	10/14/15	Revenue Negotiated Private Placement	Refunding	Albert del Castillo	Bond Counsel
City of Fort Lauderdale	$15,220,000	General Obligation Refunding Bonds Series 2015 Fixed Rate	8/11/15 Capital improvements	Revenue Competitive Public Offering	Refunding	Albert del Castillo	Bond Counsel
City of Tallahassee	$94,615,000	Energy System Refunding Revenue Bonds, Series 2015 Fixed Rate	8/11/15 Current refund outstanding Series 2005 Bonds	Revenue Negotiated Public Offering	Refunding	Lori Smith-Lalla	Underwriter's Counsel

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Coral Springs	$4,772,000	Water and Sewer Revenue Bond, Series 2015 Fixed Rate	5/6/15 Refunding of outstanding bonds	Revenue Negotiated Private Placement	New Money	Albert del Castillo	Bond Counsel
Fort Lauderdale Community Redevelopment Agency	$7,603,000	Fort Lauderdale Tax Increment Note, Series 2015 (Northwest-Progresso-Flagler Heights Community Redevelopment Area) Fixed Rate	4/9/15 Primary redevelopment	Revenue Negotiated Private Placement	New Money	Albert del Castillo	Bond Counsel
City of Sunrise	$37,630,000	General Obligation Bonds, Series 2015 (Park, Recreation and Leisure Projects) Fixed Rate	4/8/15 Development, design, acquisition, construction, equipment, installation and financing of certain parks, recreation and leisure projects within the City	Ad valorem Negotiated Public Offering	New Money	Albert del Castillo	Bond Counsel
City of Sunrise	$36,355,000	General Obligation Bonds	3/24/15 General Purpose, Public Improvements	Competitive		Albert del Castillo	Bond Counsel
City of Sunrise	$70,120,000	Special Assessment Bonds, Federally Taxable Series 2015 (Parking Garage Project)	3/12/15 2 Parking garage structures and necessary improvements to public infrastructure	Special Assessments Negotiated Public Offering	New Money	Albert del Castillo	Bond Counsel
City of Coral Springs	$12,450,000	General Obligation Bonds, Series 2015 (Public Safety Project) Fixed Rate	1/23/15 Public improvement	General Obligation Negotiated Private Placement	New Money	Albert del Castillo	Bond Counsel
City of Fort Lauderdale	$121,520,000	Water and Sewer Revenue Refunding Bonds, Series 2014 Fixed Rate	12/3/14 Refunding of prior bonds	Revenue Negotiated Public Offering	Refunding	Albert del Castillo	Bond Counsel
City of Port St. Lucie	$29,585,000	Utility System Refunding Revenue Bonds, Series 2014 Fixed Rate	11/13/14 Refunding	Revenue Negotiated Public Offering	Refunding	Albert del Castillo	Bond Counsel

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Port St. Lucie	$19,775,000	Public Service Tax Revenue Bonds, Taxable Series 2014B (Recovery Zone Facility Bonds) Fixed Rate	9/30/14 Refunding of Lease Revenue Bonds	Revenue Negotiated Public Offering	Refunding	Albert del Castillo	Bond Counsel
City of Port St. Lucie	$13,525,000	Public Service Tax Revenue Bonds, Taxable Series 2014A Fixed Rate	9/30/14 Refunding of Lease Revenue Bonds	Revenue Negotiated Public Offering	Refunding	Albert del Castillo	Bond Counsel
City of Homestead	$24,255,000	General Obligation Bonds, Series 2014 Fixed Rate	9/11/14 Construct new police HQ and renovate and improve Seminole Theatre for public use as an entertainment venue	Ad valorem Negotiated Public Offering	New Money	Albert del Castillo	Disclosure Counsel
City of Coral Springs	$10,043,000	Capital Revenue Note Series 2014 (Municipal Complex Project) Fixed Rate	9/10/14 Administration, City Hall and Courthouse projects	Revenue Negotiated Private Placement	New Money	Albert del Castillo	Bond Counsel
City of Pembroke Pines	$29,040,000	Public Improvement Revenue Refunding Bonds, Series 2014 [AGM] Fixed Rate	9/10/14 Public improvements	Revenue Negotiated Public Offering	Refunding	Lori Smith-Lalla	Underwriter's Counsel
City of Port St. Lucie	$41,840,000	General Obligation Refunding Bonds Series 2014 Fixed Rate	4/3/14 Refunding of portion of Series 2005 and2006 Bonds	Ad valorem Negotiated Public Offering	Refunding	Albert del Castillo	Bond Counsel
City of Port St. Lucie	$32,900,000	General Obligation Bonds Series 2014 Fixed Rate	4/3/14 Construction of a transportation corridor on Crosstown Parkway	Ad valorem Negotiated Public Offering	New Money	Albert del Castillo	Bond Counsel
Town of Miami Lakes	$1,855,000	Special Obligation Notes Series 2013 (Roadway Improvement Project) Fixed Rate	4/25/13 Road improvements	Covenant to budget and appropriate from non-ad valorem revenues Negotiated Private Placement	New Money	Albert del Castillo	Bond Counsel

Part II: Firm Experience with FL Municipalities as Bond Counsel and Disclosure Counsel for the past 5 years.

Since the beginning of 2010, GT's Public Finance Practice has served as bond or disclosure counsel on more than 850 public finance transactions in 20 states which aggregate more than $60 billion, including more than 530 deals in Florida which aggregate more than $28 billion.

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Casselberry	$9,894,000	Infrastructure Sales Surtax Revenue Bond	9/2/15 Capital improvements	Infrastructure Sales Surtax Revenue Competitive Private Placement	New Money	Michael Watkins	Bond Counsel
City of Ormond Beach	$2,600,000	Water and Sewer Revenue Bond Series 2015 Fixed Rate	8/7/15 Water and Sewer	Revenue Negotiated Private Placement	New Money	Bruce Giles-Klein	Bond Counsel
City of Hollywood	$43,922,000	General Obligation Refunding Note Series 2015	7/9/15	Ad Valorem Negotiated Private Placement	Refunding	Bruce Giles-Klein	Bond Counsel
City of St. Pete Beach	$13,000,000	Capital Improvement Revenue Bonds Series 2015	6/23/15 Acquire and construct 2015 Project	Revenue Negotiated Public Offering	New Money	Michael Watkins	Disclosure Counsel
City of Boca Raton	$15,555,000	Water and Sewer Revenue Refunding Bonds, Series 2015 Fixed Rate	6/19/15 Water & Sewer facilities - refunding	Revenue	Refunding	Stephen Sanford	Bond Counsel
Town of Davie	$16,260,000	Limited General Obligation Refunding Note, Series 2015	5/22/15 Defeasing portion of Town's GO Bonds Series 2006	Revenue Private Placement	Refunding	Bruce Giles-Klein	Bond Counsel
Town of Davie	$12,465,000	General Obligation Note, Series 2015	5/22/15 Defeasing portion of Town's GO Bonds Series 2006	Revenue Private Placement	Refunding	Bruce Giles-Klein	Bond Counsel
City of Delray Beach	$39,020,000	Utilities Tax Revenue Refunding and Improvement Bonds, Series 2015	4/1/15 Finance and refinance municipal projects within the City	Revenue Competitive Public Offering	Refunding and New Money	Stephen Sanford	Bond Counsel and Disclosure Counsel
Jacksonville Transportation Authority	$97,485,000	Senior Lien Local Gas Tax Revenue Bonds	1/29/15 Mass Transportation	Negotiated	New Money	Jéan Wilson	Disclosure Counsel

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Longwood	$182,556	Capital Improvement Revenue Note Series 2014B	12/9/14 Equipment	Covenant to Budget and Appropriate Negotiated	New Money	Michael Watkins	Bond Counsel
City of Longwood	$348,000	Capital Improvement Revenue Note Series 2014A	12/9/14 Equipment	Covenant to Budget and Appropriate Negotiated	New Money	Michael Watkins	Bond Counsel
City of Deltona	$22,215,000	Utility System Revenue Bonds, Series 2014 Fixed Rate	12/5/14 Finance capital improvements to water utility system	Revenue Negotiated Private Placement	New Money	Michael Watkins	Disclosure Counsel
City of Hollywood	$47,160,000	Water and Sewer Refunding Revenue Bonds, Series 2014 Fixed Rate	11/25/14 Improvements to water and sewer system	Revenue Negotiated Public Offering	Refunding	Bruce Giles-Klein	Bond Counsel
City of Jacksonville	$100,160,000	Special Revenue and Refunding Bonds Series 2014 Fixed Rate	11/19/14 Capital improvements and equipment	Covenant Revenues Negotiated Public Offering	Refunding and New Money	Jéan Wilson	Bond Counsel
City of Boca Raton	$12,560,000	General Obligation Refunding Bonds Series 2014 Fixed Rate	4/3/14 Refund 2004 Bonds	Revenue Negotiated Private Placement	Refunding	Stephen Sanford	Bond Counsel
City of Delray Beach	$8,810,000	General Obligation Refunding Bonds Series 2013 (Recreational and Cultural Projects) Fixed Rate	11/21/13 Refunding of Bonds issued for parks, recreation centers, parking garage and capital improvements as part of expansion of Old School Square and new city library	General Obligation Negotiated Private Placement	Refunding	Stephen Sanford	Bond Counsel
City of Jacksonville	$54,035,000	Special Revenue and Refunding Bonds Series 2013A Fixed Rate No credit enhancement	9/16/13	Revenue Appropriation Negotiated Public Offering	Refunding	Jéan Wilson	Bond Counsel
City of Jacksonville	$35,145,000	Taxable Special Revenue and Refunding Bonds Series 2013B Fixed Rate No credit enhancement	9/16/13	Revenue Appropriation Negotiated Public Offering	Refunding	Jéan Wilson	Bond Counsel

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Jacksonville	$31,565,000	Special Revenue Refunding Bonds Series 2013C Fixed Rate No credit enhancement	9/16/13	Revenue Appropriation Negotiated Public Offering	Refunding	Jéan Wilson	Bond Counsel
Town of Davie	$14,405,000	Water and Sewer Revenue Refunding Bonds, Series 2013 Fixed Rate	9/11/13 Refund Series 2003 Refunding Bonds	Revenue Negotiated Private Placement	Refunding	Bruce Giles-Klein	Bond Counsel
City of Delray Beach	$2,629,000	Revenue Refunding Bonds, Series 2013 (Taxable) Variable Rate	8/27/13 Refunding 2008 bonds	Revenue Negotiated Private Placement	Refunding	Stephen Sanford	Bond Counsel
City of Ormond Beach	$6,680,000	Water and Sewer Revenue Refunding Bond Series 2013C Fixed Rate	6/21/13 Water and Sewer	Revenue Negotiated Private Placement	Refunding	Bruce Giles-Klein	Bond Counsel
City of Ormond Beach	$6,565,000	Water and Sewer Revenue Refunding Bond Series 2013B Fixed Rate	6/21/13 Water and Sewer	Revenue Negotiated Private Placement	Refunding	Bruce Giles-Klein	Bond Counsel
City of Ormond Beach	$3,420,000	Water and Sewer Revenue Bond Series 2013A Fixed Rate	6/21/13 Water and Sewer	Revenue Negotiated Private Placement	New Money	Bruce Giles-Klein	Bond Counsel
City of Delray Beach	$22,250,000	Bond Anticipation Revenue Improvement Notes Series 2013 Variable Rate	4/30/13 Improvements	Revenue Negotiated Private Placement	New Money	Stephen Sanford	Bond Counsel
City of Sunny Isles Beach	$4,450,000	Stormwater Utility Revenue Refunding Bond, Series 2013	4/22/13 Stormwater Utility System	Revenue Negotiated Private Placement	Refunding	Bruce Giles-Klein	Bond Counsel
City of Jacksonville	$118,005,000	Capital Improvement Refunding Revenue Bonds Series 2012 Fixed Rate	12/13/12 Refunding	Revenue Negotiated Public Offering	Refunding	Jéan Wilson	Bond Counsel and Disclosure Counsel
City of Jacksonville	$34,340,000	Taxable Special Revenue Refunding Bonds Series 2012E	12/13/12 Capital equipment and improvements	Revenue Appropriation Negotiated Public Offering	Refunding	Jéan Wilson	Co-Bond Counsel and Co-Disclosure Counsel
City of Jacksonville	$11,840,000	Special Revenue Refunding Bonds Series 2012D Fixed Rate	12/13/12 Capital equipment and improvements	Revenue Appropriation Negotiated Public Offering	Refunding	Jéan Wilson	Co-Bond Counsel and Co-Disclosure Counsel

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Jacksonville	$183,980,000	Special Revenue Refunding Bonds Series 2012C Fixed Rate	12/13/12 Capital equipment and improvements	Covenant Revenue Negotiated Public Offering	Refunding	Jéan Wilson	Co-Bond Counsel and Co-Disclosure Counsel
City of Jacksonville	$34,340,000	Taxable Special Revenue Refunding Bonds Series 2012D Fixed Rate	12/13/12 Refunding	Covenant Revenue Negotiated Public Offering	Refunding	Jéan Wilson	Co-Bond Counsel and Co-Disclosure Counsel
City of DeBary	$4,400,000	Stormwater Utility Assessment Refunding Revenue Note, Series 2012 Fixed Rate	12/6/12 Water control, drainage, storm water	Special Assessment Revenue Competitive Bid	Refunding	Michael Watkins	Bond Counsel
Town of Davie	$28,190,000	Water and Sewer Revenue Bonds, Series 2012 Fixed Rate and Term Rate Assured Guaranty Municipal Corp.	11/28/12 Finance portion of acquisition and construction of improvements to the Town's Water and Sewer System	Revenue Negotiated Public Offering	New Money	Bruce Giles-Klein	Bond Counsel and Disclosure Counsel
City of Jacksonville	$6,230,000	Special Revenue Bond Series 2012B	9/28/12 Capital equipment and improvements	Revenue Appropriation Negotiated Private Placement	Refunding	Jéan Wilson	Bond Counsel
City of Jacksonville	$4,040,000	Special Revenue Bond Series 2012A	9/28/12 Capital equipment and improvements	Revenue Appropriation Negotiated Private Placement	New Money	Jéan Wilson	Bond Counsel
City of Jacksonville	$114,890,000	Better Jacksonville Sales Tax Refunding Revenue Bonds Series 2012A Fixed Rate	8/30/12 Advance refunding of portion of Sales Tax Revenue Bonds Series 2003 and current refunding of portion of Series 2004 bonds	Infrastructure Sale Tax Negotiated Public Offering	Refunding	Jéan Wilson	Bond Counsel
City of Jacksonville	$280,050,000	Better Jacksonville Sales Tax Refunding Revenue Bonds Series 2012	5/29/12 Refunding Sales Tax Revenue Bonds Series 2001, 2003, 2004	Infrastructure Sale Tax Negotiated Private Placement	Refunding	Jéan Wilson	Bond Counsel

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Sweetwater	$420,000	Capital Improvement Revenue Bond, Series 2012 Fixed Rate	1/17/12 Computer equipment	Revenue Private Placement	New Money	Bruce Giles-Klein	Bond Counsel and Purchaser 's Counsel
City of Sweetwater	$3,000,000	Capital Improvement Revenue Note, Series 2012 Fixed Rate	1/13/12 Drainage and wastewater improvements	Revenue Private Placement	New Money	Bruce Giles-Klein	Bond Counsel and Purchaser 's Counsel
City of Boca Raton	$9,525,000	General Obligation Refunding Bonds Series 2011 (Fire Station Projects) Fixed Rate	10/25/11 Refund 2003 Bonds	Revenue Competitive Public Offering	Refunding	Stephen Sanford	Bond Counsel and Disclosure Counsel
City of Boca Raton	$6,060,000	General Obligation Refunding Bonds Series 2011A (Library Projects) Fixed Rate	10/25/11 Refund 2003A Bonds	Revenue Competitive Public Offering	Refunding	Stephen Sanford	Bond Counsel and Disclosure Counsel
Town of Davie	$49,930,000	Water and Sewer Revenue Bonds, Series 2011 Fixed Rate and Term Rate Assured Guaranty Municipal Corp.	10/19/11 Finance portion of acquisition and construction of improvements to the Town's Water and Sewer System	Revenue Negotiated Public Offering	New Money	Bruce Giles-Klein	Bond Counsel and Disclosure Counsel
City of Delray Beach	$8,160,000	Water and Sewer Revenue Refunding Bonds Series 2011B Fixed Rate	10/18/11 Water and Sewer System	Revenue Negotiated Private Placement	Refunding	Stephen Sanford	Bond Counsel
City of Casselberry	$5,400,000	Utility System Refunding Revenue Bonds Series 2011	10/4/11 Refund Series 2003A Bonds	Revenue Negotiated Private Placement	Refunding	Michael Watkins	Bond Counsel
City of Delray Beach	$5,430,000	Water and Sewer Revenue Refunding Bonds Series 2011A Fixed Rate	9/29/11 Water and Sewer System	Revenue Negotiated Private Placement	Refunding	Stephen Sanford	Bond Counsel
City of Casselberry	$5,345,000	Sales Tax Revenue Bond Series 2011A	8/18/11 Finance drainage, roadway and neighborhood improvements	Half Cent Sales Tax Revenue Negotiated Private Placement	New Money	Michael Watkins	Bond Counsel
City of Casselberry	$3,100,000	Sales Tax Refunding Revenue Bond Series 2011B	8/18/11 Refund Sales Tax Revenue Notes, Series 2002A and Series 2002B	Half Cent Sales Tax Revenue Negotiated Private Placement	Refunding	Michael Watkins	Bond Counsel

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Jacksonville	$79,220,000	Better Jacksonville Sales Tax Refunding Revenue Bonds Series 2011	7/22/11 Refunding Sales Tax Revenue Bonds Series 2001	Infrastructure Sale Tax Negotiated Private Placement	Refunding	Jéan Wilson	Bond Counsel
City of Sweetwater	$1,800,000	Capital Improvement Revenue Note, Series 2011 Fixed Rate	7/19/11 Acquire building for maintenance and policy departments	Revenue Negotiated Private Placement	New Money	Bruce Giles-Klein	Bond Counsel and Purchaser 's Counsel
City of Jacksonville	$86,600,000	Special Revenue Bonds Series 2011B Fixed Rate	6/17/11 Capital improvements and equipment constituting the Banking Fund Project	Covenant Revenue Negotiated Public Offering	New Money	Jéan Wilson	Co-Disclosure Counsel
City of Jacksonville	$108,880,000	Special Revenue Bonds Series 2011A Fixed Rate	6/10/11 Capital improvements and equipment constituting the Banking Fund Project	Covenant Revenue Negotiated Public Offering	New Money	Jéan Wilson	Co-Disclosure Counsel
City of Jacksonville	$27,205,000	Special Revenue Bonds Series 2010C-1 Fixed Rate	12/21/10 Capital improvements and equipment constituting the Banking Fund Project	Covenant Revenue Negotiated Public Offering	New Money	Jéan Wilson and Michael Watkins	Co-Disclosure Counsel
City of Ormond Beach	$5,000,000	General Obligation Bonds, Series 2010 Fixed Rate	11/12/10 Public safety	Ad Valorem	New Money	Bruce Giles-Klein	Bond Counsel
City of Miami Gardens	$52,850,000	Certificates of Participation Series 2010A-2 (Federally Taxable-Build America Bonds-Direct Payment) Fixed Rate	11/4/10 Acquisition, construction, installation and equipping of certain municipal facilities consisting of a new government complex including a city hall building and police facilities and multi-story parking garage	Lease Purchase Negotiated Public Offering	New Money	Robert Gang	Bond Counsel

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Miami Gardens	$2,150,000	Certificates of Participation Series 2010A-1 Fixed Rate	11/4/10 Acquisition, construction, installation and equipping of certain municipal facilities consisting of a new government complex including a city hall building and police facilities and multi-story parking garage	Lease Purchase Negotiated Public Offering	New Money	Robert Gang	Bond Counsel
City of Jacksonville	$94,945,000	Special Revenue Bonds Series 2010A Fixed Rate	10/29/10 Capital improvements and equipment constituting the Banking Fund Project	Covenant Revenue Negotiated Public Offering	New Money	Jéan Wilson	Co-Disclosure Counsel
City of Jacksonville	$100,205,000	Special Revenue Bonds Series 2010B Fixed Rate	9/16/10 Capital improvements comprising the Better Jacksonville Project	Revenue Negotiated Public Offering	New Money	Robert Gang	Bond Counsel
City of Sunny Isles Beach	$3,500,000	Stormwater Utility Revenue Bond, Series 2010	8/13/10 Stormwater Utility System	Revenue Negotiated Private Placement	New Money	Bruce Giles-Klein	Bond Counsel
City of Boca Raton	$9,225,000	General Obligation Bonds, Series 2010 (Downtown Library Project) (Bank Qualified) Fixed Rate	8/12/10 Finance replacement of existing downtown library	Ad Valorem Competitive Public Offering	New Money	Stephen Sanford	Bond Counsel and Disclosure Counsel
City of Ormond Beach	$20,000,000	Water and Sewer Revenue Bond Series 2010A Fixed Rate	7/21/10 Water and Sewer	Revenue Negotiated Private Placement	New Money	Bruce Giles-Klein	Bond Counsel
City of Ormond Beach	$2,800,000	Water and Sewer Revenue Refunding Bond Series 2010B Fixed Rate	7/21/10 Water and Sewer	Revenue Negotiated Private Placement	Refunding	Bruce Giles-Klein	Bond Counsel
City of Boca Raton	$3,705,000	Special Assessment Improvement Bonds, Series 2010 (Visions 90 Project) Fixed Rate	6/2/10 Capital improvements	Special Assessment Competitive Public Offering	New Money	Stephen Sanford	Bond Counsel

Issuer	Size of Issue	Name of Issue	Date and Purpose of Issue	Type of Issue and Method of Sale	Financing Type	Lead Attorney	GT Role
City of Orlando	$17,650;000	Capital Improvement Refunding Special Revenue Bonds, Series 2010B Fixed Rate	3/30/10 Refunding	Revenue/Annual Appropriation Competitive Public Offering	Refunding	Jéan Wilson	Bond Counsel
City of Orlando	$9,160,000	Capital Improvement Refunding Special Revenue Bonds, Series 2010A Fixed Rate	3/30/10 Refunding	Revenue/Annual Appropriation Competitive Public Offering	Refunding	Jéan Wilson	Bond Counsel
City of Sunny Isles Beach	$15,000,000	Capital Improvement Revenue Note Series 2010	3/24/10 Capital Improvements	Revenue Private Placement	New Money	Bruce Giles-Klein	Bond Counsel
City of Hollywood	$48,160,000	Water and Sewer Improvement Revenue Bonds, Series 2010B (Build America Bonds - Direct Payment) Fixed Rate	1/27/10 Improvements to water utility system	Revenue Negotiated Public Offering	New Money	Bruce Giles-Klein	Bond Counsel
City of Hollywood	$4,185,000	Water and Sewer Improvement Revenue Bonds, Series 2010A Fixed Rate	1/27/10 Improvements to water utility system	Revenue Negotiated Public Offering	New Money	Bruce Giles-Klein	Bond Counsel
City of Hollywood	$8,700,000	Promissory Note Series 2010 Fixed Rate	1/20/10 Public safety, rights of way and public facilities improvements	Revenue Negotiated Private Placement	New Money	Bruce Giles-Klein	Bond Counsel

5. Provide firm experience for the following:

Experience with Bank Loans to Florida municipalities as:	Years of Experience	Responsibility
Bank Counsel	Greenberg Traurig has represented issuers in privately placed transactions (including bank loans) for approximately 30 Years.	Since 2010, Greenberg Traurig has served as Bond Counsel for Florida municipalities on more than 33 privately placed transactions (including bank loans), for more than 10 issuers, with an aggregate principal amount of over $654 million. The Firm has significant experience serving as Bank Counsel on bank loans to local governments and direct placement financings. These financings have become more prevalent over the past 5-7 years. While the bulk of our work is as bond counsel, our Public Finance Group teams up with the over 100 lawyers in the Firm's Financial Institutions Group to provide bank counsel services to financial institutions. Because of this, we are very knowledgeable about the "hot button" issues that concern these lenders. This allows us to add value in the representations of our municipal clients.
Bond Counsel	See above.	See above.
Bond Counsel on Bond Issues	Approximately 30 Years	Greenberg Traurig is superbly qualified to serve as Bond Counsel to the City and has brought together a team of lawyers which is uniquely prepared to perform the services outlined in the RFP. The following discussion is intended to provide the City a flavor of the depth of experience and qualifications which the team is prepared to bring to bear in providing the types of services requested by the City. (See also our response to Item #3 above.) The team has extensive knowledge and experience in public finance including, but not limited to, general obligation bonds, sales tax revenue bonds, water utilities system revenue bonds, solid waste facility revenue bonds, public service tax and communications service tax revenue bonds, and bonds utilizing other revenue sources based on entitlements, fees, grants, service payments, commercial paper notes, tourist development tax revenue bonds, lease financing, tax increment financing, special assessment financing and conduit debt. Members of our Public Finance Practice know the law and have the practical experience to provide the City with superior and effective bond counsel services. Our team members possess exceptional depth of experience in all aspects of municipal bond law, tax law, local government law, the trial and appeal of bond validation actions and

		the issuance of tax exempt municipal bonds as well as in other relevant areas of law such as corporate and securities law, bankruptcy law and banking and other financial institutions law. **General Bond Counsel Advice.** Prior to, during and after each assigned transaction, GT's team will be available to (a) assist the City in the establishment and development of new financing programs and the improvement of existing programs to expand the City's financing options, including post-issuance tax compliance and bond administration matters; (b) apprise the City of the development, status and updates of municipal financing laws and regulations; (c) advise and counsel the City on the issuance and sale of notes or bonds or other debt or financing instruments; (d) advise and counsel the City on proposed changes to the use of bond-financed facilities and the impact of such changes on outstanding obligations; and (e) respond to applicable requests from the Internal Revenue Service. **Variable Rate and Multi-Modal Structures.** GT has served as bond counsel on more than 100 variable rate financings throughout the country. Many of these financings involved multi-modal rate structures and other methods of determining interest rates with varying interest rate periods and varying interest adjustment events. GT has also served as underwriter's or disclosure counsel, borrower's counsel and as credit enhancement provider's counsel on many variable rate and multi-modal financings. **Experience Amending Bond Resolutions and Indentures.** GT's attorneys have on numerous occasions worked with issuers to evaluate and implement amendments to modernize and improve their bond resolutions and indentures. Such amendments are undertaken for various different reasons, which include, but are not limited to, changes to pledged revenues, reserve account requirements, rate covenants, priority of lien status, required consents, redemption and notice requirements, authorized credit and liquidity facilities, and authorized investments.
Disclosure Counsel on Bond Issues	Since the inception of the "disclosure counsel" concept - and prior to that as underwriter's counsel -	Attorneys in GT's Public Finance Group have been performing disclosure counsel services for well over 20 years and serve or have served as disclosure counsel to numerous public entities throughout the United States. The current environment of increased disclosure liability and responsibility demands that sophisticated governmental issuers, such as the City, not only reassume control over the preparation of their disclosure documents but also take control of the entire disclosure process. The attorneys within GT's Public Finance Group have been at the forefront in assisting municipal issuers in this

	Approximately 30 years	endeavor. The lawyers assigned to the City for this engagement are superbly qualified to serve as Disclosure Counsel to the City. They are each fully familiar with the disclosure laws and regulations applicable to governmental securities and the recent developments therein. They have been responsible for preparing numerous offering documents and advising issuers on their disclosure obligations and have participated in and served on panels concerning current disclosure issues at public finance seminars and conferences. They are also uniquely qualified to assist the City in developing and/or implementing policies and procedures to help ensure that the information released by the City to the public is accurate and complete. Disclosure counsel is becoming increasingly critical in the municipal securities market, particularly in light of the vigorous attention being brought to issuer disclosure practices by the Securities and Exchange Commission through its numerous recent enforcement actions against issuers and its Municipalities Continuing Disclosure Cooperation Initiative (the "MCDC Initiative"), all of which focus on municipal market disclosure. Top SEC officials have repeatedly emphasized the importance of more vigorous enforcement in the municipal market, including more financial penalties for issuers and issuer officials responsible for inadequate disclosure.

6. Description of duties the Proposer expects to be performed by City staff.

City staff's main duties would be as follows:

1. Identify the financings (both new money and refunding) to be undertaken by the financing team.

2. Provide all reasonably requested information required (i) by bond counsel to prepare the bond documents and conduct the tax analysis for tax-exempt bonds, and (ii) by disclosure counsel to prepare the disclosure document for publicly offered bonds.

3. Provide guidance on the City's goals and expectations regarding the financing and make structuring decisions based on alternative available options (City staff will typically do this in consultation with the financial advisor with respect to non-legal, financial structuring questions).

4. Review documentation prepared by bond counsel and disclosure counsel and provide comments regarding same. This is particularly important with respect to any disclosure document prepared in connection with publicly offered bonds.

5. Place the bond ordinances/resolutions and related documentation on the agenda for City Council approval.

6. Assist in obtaining signatures of appropriate City officials of all bond documents and related closing documents in connection with bond closings.

7. Monitor and comply with post-issuance compliance procedures.

7. **Information on the nature and magnitude of any litigation or proceeding whereby, during the past five (5) years, a court or any administrative agency has ruled against the firm in any natter related to the professional activities of the firm or the firm's insurance company has had to pay a claim or settlement in conjunction with such activities.**

There are no actual lawsuits pending against the attorneys proposed to provide services in this matter arising from the legal work they have performed while employed at Greenberg Traurig in the past five (5) years.

Given the size of Greenberg Traurig, and our concerns and obligations of confidentiality, we cannot provide information regarding other claims against the Firm.

8. **Briefly describe the structure of compensation and the method which your firm proposes to utilize to determine the dollar value for your services.**

One particularly common method of establishing a bond counsel fee is through the use of a dollar-per-bond sliding scale fee schedule, where the dollar-per-bond decreases as the principal amount of the bond issue increases. Additionally, a separate dollar-per-bond sliding scale fee schedule could be adopted for different types of financings in order to reflect the relative complexity of the financing. Under this approach, the dollar-per-bond fee schedule would be less for a general obligation bond issue than for a revenue bond issue; the dollar-per-bond fee schedule for a new money issue would be less than the schedule for a refunding issue; and the dollar-per-bond fee schedule for a fixed rate issue would be less than the schedule for a variable rate issue. This would result in a matrix of sliding scale dollar-per-bond fees that would reflect both the principal amount of the bond issue and the complexity of the financing. If the City would like, we can provide examples of such fee schedules as used by other local governments in Florida.

Once the bond counsel fee schedule is established, the disclosure counsel fees would typically be set based on a percentage of the bond counsel fee, with the exact percentage being dependent on the complexity of the financing. So, for example, for a new money general obligation bond issue, the disclosure counsel fee might be 65% of the bond counsel fee; and for a refunding revenue bond issue, the disclosure counsel fee might be 80% of the bond counsel fee.

In addition to our bond counsel services for specific financings, the City may from time to time request that we render advice unrelated to a specific financing or that we otherwise provide legal services for special projects not necessarily related to a specific financing. We would propose to charge the City at a blended hourly rate of $350/hour for such work; provided, however, that if the City has already paid the Firm or expects to pay the Firm at least $100,000 in legal fees associated

with one or more specific bond financings in the given calendar year, then the first 15 hours of non-financing specific work would not be charged to the City and we would commence to charge at the $350/hour blended rate for hours 16 and beyond in such calendar year. The $350/hour blended rate represents a very substantial discount from our core team members' current standard hourly rates.

The foregoing notwithstanding, we would like to emphasize that we are willing to discuss with the City any reasonable compensation structure which the City would prefer instead of the above.

9. **Using the Reference Form submit three (3) references form the last three years from local governments in the State of Florida for which the firm acted as bond and or disclosure counsel.**

Please see three completed copies of the "Reference Check Form" attached hereto.

Addendum Acknowledgement - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued
Addendum #1	November 4, 2015

Certification - Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

This E-RFP is submitted by: Name (print) Albert A. del Castillo **who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of State and Federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract and Terms and Condition in its entirety**

*** We reserve the right to negotiate mutually acceptable terms of engagement if we are selected.**

I agree to abide by all conditions of this E-RFP:

Albert A. del Castillo — Shareholder

Signature **Title**

Greenberg Traurig's W-9 Form

Following this page is a copy of the Firm's W-9 Form.

Form **W-9**
(Rev. December 2014)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Greenberg Traurig, P.A.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only **one** of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC ☑ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☐ Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any) 5
Exemption from FATCA reporting code (if any)
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
8400 NW 36th Street - Suite 400

Requester's name and address (optional)

6 City, state, and ZIP code
Doral, FL 33166

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number
[ ][ ][ ] – [ ][ ] – [ ][ ][ ][ ]

or

Employer identification number
5 9 – 1 2 7 0 7 5 4

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here | **Signature of U.S. person** ▶ [signature] **Date** ▶ 1/9/2015

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at *www.irs.gov/fw9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

Certificate of Insurance

Following this page is a copy of Greenberg Traurig's Certificate of Insurance.

ACORD

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 02/07/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER

Aon Risk Services, Inc. of Florida
1001 Brickell Bay Drive, Suite 1100
Miami, FL 33131

CONTACT NAME: Donald G. Cote
PHONE (A/C, No, Ext): 865.851.9466 FAX (A/C, No): N/A
E-MAIL ADDRESS: Donald.Cote@aon.com

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : Columbia Casualty & Various US & UK Carriers	
INSURER B : Lexington Ins. Co.& Various US Carriers	
INSURER C : General Security Indemnity & Various US & UK	
INSURER D : Aspen Ins. Co. &Various US & UK Cos.	
INSURER E : Axis Surplus Insurance Co. & Various US Cos	
INSURER F : See attached Addendum	

INSURED

GREENBERG TRAURIG LLP
Met2 Bldg 44th Floor
333 Avenue of the Americas
Miami FL 33131

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

NSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY						EACH OCCURRENCE	$
	☐ CLAIMS-MADE ☐ OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	$
							MED EXP (Any one person)	$
							PERSONAL & ADV INJURY	$
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	$
	☐ POLICY ☐ PRO-JECT ☐ LOC						PRODUCTS - COMP/OP AGG	$
	OTHER:							$
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)	$
	ANY AUTO						BODILY INJURY (Per person)	$
	ALL OWNED AUTOS / SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	HIRED AUTOS / NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	$
								$
	UMBRELLA LIAB ☐ OCCUR						EACH OCCURRENCE	$
	EXCESS LIAB ☐ CLAIMS-MADE						AGGREGATE	$
	DED ☐ RETENTION $							$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y / N						PER STATUTE ☐ OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? ☐ N / A (Mandatory in NH)						E.L. EACH ACCIDENT	$
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	$
							E.L. DISEASE - POLICY LIMIT	$
A thr J D	Lawyers Professional Liability	N	N	See attached Addendum	2/7/15	2/7/16	$160million each claim / $320 million annual agg. subject to $15 million d/a each claim/$30 million ann. agg / $1 million maintenance thereafter	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Law offices engaged in the practice of law at points and places worldwide including title agents errors and omissions placement on a layered vertical quota-shared basis as per subscription schedule attached to and made part of this certificate of insurance.

CERTIFICATE HOLDER

To Whom It May Concern
c/o Greenberg Traurig LLP
Met2 Bldg. 44th Floor
333 Avenue of the Americas
Miami, Florida 33131

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Donald Cote /Aon Risk Solutions of Florida Inc.

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Reference Check Forms

Following this page are three completed copies of the Reference Check form.

GT GreenbergTraurig

Drug-Free Workplace Form

Following this page is a signed and completed copy of the Drug-Free Workplace Form.

DRUG-FREE WORKPLACE FORM

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Greenberg Traurig, P.A. does:

(Name of Business)

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or Contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or Contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Alhat A. del Castillo

Bidder's Signature

November 20, 2015

Date

Checklist Form

Following this page is a completed copy of the Checklist Form.

CHECKLIST

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline -- it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

☑ Documents uploaded in one (1) .pdf file and in this order: File # 1- Questionnaire for E-RFP #20160014, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, three (3) Reference Forms, Drug Free Workplace form and Checklist onto Demandstar by the due date and time.

☑ All questions on the questionnaire are complete and thoroughly answered

☑ Each Bid Addendum (when issued) is acknowledged

☑ Certified Minority Business Certificate if Applicable

☑ W9 Form

☑ Current Certificate of Insurance

☑ Three (3) Reference Forms from local Florida governments

☑ Drug Free Workplace Form

☑ Have reviewed the Contract and accept all City Terms and Conditions

* We reserve the right to negotiate mutually acceptable terms of engagement if we are selected.

☑ Checklist

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: Greenberg Traurig, P.A.
Reference: City of Coral Springs, Florida Fax #: _____
Email: mheller@coralsprings.org Telephone #: (954) 344-1087
Person to contact: Melissa Heller - Director, Financial Services Department

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City. Firm has provided bond counsel services and other specialized bond related services such as disclosure practices and the Municipalities Continuing Disclosure Cooperation Initiative.
What problems were encountered?
None.

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	10	Final Product	10
Qualifications	10	Cooperation	10
Budget Control	10	Reliability	10

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]
Comments: Firm is exceptionally responsive and always accurate - They have specialized attorneys to handle tax matters and other ancillary topics that can arise.

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: Greenberg Traurig, P.A.
Reference: City of Sunrise, Florida Fax #: _____
Email: kkisslan@sunrisefl.gov Telephone #: (954) 746-3300
Person to contact: Kimberly A. Kisslan - City Attorney

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City. Two bond issuances. $70,120,000 Special Assessment Bond and Federally Taxable Series for two parking garages. $37,630,000 General Obligation Bonds for Park, Recreation and Leisure Projects.

What problems were encountered? Greenberg Traurig did not create or present any problems. With the parking garages project, Greenberg Traurig was instrumental in educating the property owners that were to be specially assessed. This transaction presented a number of complicated land use issues and Greenberg Traurig's knowledge was invaluable. With respect to the City's General Obligation bonds, Greenberg Traurig was extremely effective and responsive to our needs.

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	10	Final Product	10
Qualifications	10	Cooperation	10
Budget Control	10	Reliability	10

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]

Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

(Please print or type)

RFP 20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: Greenberg Traurig, P.A.
Reference: City of Fort Lauderdale, Florida Fax #: _____
Email: LFeldman@fortlauderdale.gov Telephone #: (954) 828-5959
Person to contact: Lee R. Feldman - City Manager

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for projects.

Describe the scope of work of the firm performed for your City.
Bond Counsel

What problems were encountered?
There were no problems encountered

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism	10	Final Product	10
Qualifications	10	Cooperation	10
Budget Control	N/A	Reliability	10

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]
Comments:

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

Electronic Request for Proposal to the City of Port St. Lucie, Florida

E-RFP Title: Bond Counsel or Bond Disclosure Counsel
E-RFP Number: 20160014
Proposal Due: November 20, 2015 at 2:00 P.M. (EST)

Holland & Knight

Michael Wiener

Partner | Lakeland | 863.499.5362 | michael.wiener@hklaw.com

hklaw.com

Holland & Knight

2115 Harden Boulevard | Lakeland, FL 33803 | T 863.682.1161 | F 863.688.1186
Holland & Knight LLP | www.hklaw.com

Michael Wiener
(863) 499-5362
michael.wiener@hklaw.com

November 19, 2015

Via Electronic Bid Submittal

Procurement Management Department
Attn: Cheryl Shanaberger, MPA, CPPO, Director
City of Port St. Lucie
121 SW Port St. Lucie Boulevard
Port St. Lucie, Florida 34984
Telephone: (772) 871-7390
Fax: (772) 871-7337
E-mail: Cheryls@cityofpsl.com

RE: Electronic Request for Proposal to the City of Port St. Lucie, Florida

Ladies and Gentlemen:

Holland & Knight LLP ("Holland & Knight" or the "Firm") is pleased to respond to the Electronic Request for Proposal (the "E-RFP") for Bond Counsel or Bond Disclosure Counsel ("Disclosure Counsel") to the City of Port St. Lucie, Florida ("Port St. Lucie").

As demonstrated in our response, Holland & Knight has the bond counsel and disclosure counsel experience that Port St. Lucie requires in today's complex financing environment. The Firm established its Public Finance Group in 1974 and has been listed in *The Bond Buyer's Municipal Marketplace* (the *"Red Book"*) since 1975. We have participated in more than $13.9 billion in principal amount of debt during the past five years (2011-2015), including more than $7.3 billion as bond counsel and $1.4 billion as disclosure counsel. Our prior experience with State of Florida and national municipalities gives us an understanding of the complex and multi-faceted financing structure of Port St. Lucie. The decades of experience that we bring to Port St. Lucie ensures that we have addressed nearly every possible type of public finance issue, and that we have the ability to keep Port St. Lucie abreast of new structures and developments in the rapidly evolving public finance industry.

The Firm brings a proactive and innovative approach to the role of Bond Counsel, in reviewing the General Bond Resolution to ensure it remains a modern financing instrument, proposing new and innovative structures to potential financings, significant swap experience, advising on municipal advisor requirements and business conduct rules and the like. Holland & Knight also brings with it significant resources outside the normal Bond Counsel role, including expertise on state and local tax, bankruptcy, environmental and regulatory matters.

As Disclosure Counsel, Firm attorneys work closely with clients to prepare each of the public offering documents, including reoffering memorandums and continuing disclosure agreements. Holland & Knight lawyers work meticulously with clients and, with respect to the City's utility system bonds, consulting engineers and financial feasibility consultants to ensure that each disclosure document used in marketing and remarketing the bonds provided adequate disclosure to satisfy state and federal securities laws. Between financings, we remain active with clients to ensure that material event notices are timely filed, including, those related to ratings downgrades of bond insurers. On an annual basis, we work to standardize the format used for providing annual financial information to the reporting repositories to ensure compliance with client's continuing disclosure contracts. Furthermore, we maintain an ongoing dialogue through periodic phone calls and visits with clients to ensure that they remain informed of legislative and regulatory changes that may necessitate disclosure for prior or future bond

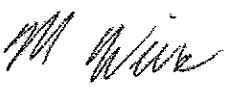
issues. We are proud of our relationships with clients and our work to develop a process to ensure compliance by clients regarding their continuing disclosure obligations.

Unlike others in our industry, Holland & Knight's goal is not to be the largest public finance practice in Florida, but rather to provide exceptional, high quality service individualized to each of our client's needs and to maintain long-standing relationships with our clients. Our approach ensures that we devote sufficient time and resources necessary to provide each of our clients with responsive, high quality legal services tailored to its specific needs and with the attention to detail necessary to maintain compliance in today's highly-regulated public finance markets. Over the past several years, our Tax Department and securities lawyers have been engaged to defend several Florida governments, formerly represented by other firms, in multiple Securities and Exchange Commission ("SEC") and Internal Revenue Service ("IRS") investigations resulting from tax positions and disclosure practices challenged by the SEC and IRS. The primary bond lawyers that will be assigned to Port St. Lucie's work practice entirely in public finance and represent governmental borrowers and financial institutions.

Our Tallahassee and Washington, D.C. offices ensure that Firm lawyers remain apprised of all state and federal legislation that may impact our public finance clients. Through our public finance alerts, bulletins, regular e-mails, and conference calls, our clients are kept informed of changes to Florida law and federal tax and securities law.

We appreciate your consideration and this opportunity to respond to Port St. Lucie's E-RFP to serve as its Bond Counsel or Disclosure Counsel. We are confident that our extensive experience with Florida and national issuers highly qualifies us to competently and efficiently serve as Bond Counsel or Disclosure Counsel to Port St. Lucie. We are dedicated to providing excellent service and to timely, efficiently and effectively perform the services that Port St. Lucie desires for its financings. Holland & Knight looks forward to having the opportunity to work with Port St. Lucie. Please contact me should you have any questions or desire additional information.

Sincerely yours,
HOLLAND & KNIGHT LLP



Michael Wiener

MW/mm
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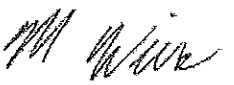
QUESTIONNAIRE

E-RFP #20160014
Bond Counsel or Bond Disclosure Counsel

It is understood and agreed that the following information is to be used by the City of Port St. Lucie to determine the qualifications of the personnel and firm as presented in this document. The Proposer waives any claim against the City that might arise with respect to any decision concerning the qualifications of the Proposer or the personnel of the Proposer.

The undersigned attests to the truth and accuracy of all statements made on this questionnaire. Also, the undersigned hereby authorizes any public official, consultant, surety, bank material or equipment manufacturer or distributor, or any person, firm or corporation to furnish the City of Port St. Lucie any pertinent information requested by the City deemed necessary to vary the information on this questionnaire.

Dated this 19th day of November, 2015



Name of Organization/Proposer – Michael Wiener on behalf of Holland & Knight

1. **Where is your assigned staff's office located for this project, including address, telephone, fax number, e-mail address and contact person?**

Because the Lakeland office has served as the hub of Holland & Knight's Public Finance Group for more than 40 years, and three members of the proposed Legal Team practice in Lakeland, the Firm would use its Lakeland office [2115 Harden Boulevard; Lakeland, Florida; 33803; 863-682-1161 (telephone); 863-688-1186 (fax)] as the primary office location for the lawyers serving as Bond Counsel or Disclosure Counsel to Port St. Lucie. Tax law assistance would be provided from our Washington, D.C. office [800 17th Street N.W.; Suite 1100; Washington, D.C.; 20006; 202-955-3000 (telephone); 202-955-5564 (fax)]. Additional bond support would be provided by our West Palm Beach office [222 Lakeview Avenue; Suite 1000; West Palm Beach, Florida; 33402; 561-833-2000 (telephone); 561-650-8399 (fax)].

The Firm proposes that Michael ("Mike") Wiener [Lakeland; michael.wiener@hklaw.com; 863-499-5362 (telephone); 863-688-1186 (fax)] would serve as Contact Person/Lead Counsel and provide the day-to-day services as Bond Counsel and Disclosure Counsel to Port St. Lucie. John Theberge [Washington, D.C.; john.theberge@hklaw.com; 202-862-5968 (telephone); 202-955-5564 (fax)] would be assigned to serve as Tax Counsel. Bond support would be provided by Richard B. Stephens, Jr. ("Rick Stephens") [Lakeland; rick.stephens@hklaw.com; 863-499-5351 (telephone); 863-688-1186 (fax)], Wanda Whigham [West Palm Beach; wanda.whigham@hklaw.com; 561-650-8329 (telephone); 561-650-8399 (fax)] and Michael Millett [Lakeland/Tampa; michael.millett@hklaw.com; 813-227-6469 (telephone); 863-688-1186 (fax)]. This approach ensures that Port St. Lucie has immediate access to a supervising partner and further ensures that sufficient coverage is afforded to Port St. Lucie. Our close proximity to Port St. Lucie from the Lakeland and West Palm Beach offices would allow our primary lawyers to be available to Port St. Lucie on very short notice.

2. **Is your firm a Certified Minority Business (yes or no)?**

No. However, Holland & Knight has achieved national recognition for our hiring, mentoring and promotion of women and minorities [including receiving a perfect score on the annual Corporate Equality Index 2015 by the Human Rights Campaign; earning Gold Standard Certification (2015) from The Women in Law Empowerment Forum for the fifth year in a row for the Firm's achievement in providing women attorneys with opportunities for financial success and positions at the highest level of Firm leadership; and *Chambers USA* Women in the Law presented Holland & Knight with the 2014 "Most Innovative Gender Diversity Award" for the Firm's Rising Star program that includes yearlong leadership, mentoring, marketing and management activities for women attorneys to help them elevate their profiles in the profession and community]. Specifically, our Public Finance

Group and Legal Team has active women and minority participation, including Ms. Whigham providing bond support to Port St. Lucie as Bond Counsel or Disclosure Counsel.

3. List all proposed personnel, assigned to this project, including title, qualifications and years of experience applicable to this project scope.

Staff Name	Title	Yrs. Experience	Qualifications
Mike Weiner	Partner; Contact Person/Lead Counsel	12 years	Bond Counsel; Disclosure Counsel*
John Theberge	Partner; Tax Counsel	32 years	Tax Counsel*
Rick Stephens	Partner; Bond Support	43 years	Bond Counsel; Disclosure Counsel*
Wanda Whigham	Senior Counsel; Bond Support	11 years	Bond Counsel; Disclosure Counsel*
Michael Millett	Associate; Bond Support	Under 1 year	Bond Counsel; Disclosure Counsel*

* Resumes provided as follows.

Contact Person/Lead Counsel

Michael Wiener practices in the Financial Services Section of Holland & Knight, concentrating his practice entirely in public finance. Mr. Wiener has worked as bond counsel and disclosure counsel for numerous bond offerings for governments throughout Florida. Mr. Wiener has served as bond counsel to, among others, Cities of Crystal River, Gainesville, Lakeland and Neptune Beach; Counties of Brevard, Citrus, Miami-Dade, Polk, Sarasota, Sumter and Washington; Hillsborough County Aviation Authority; and Orlando Utilities Commission. He was recently appointed bond counsel to Gainesville Regional Utilities and Toho Water Authority which serves water customers in Kissimmee, Poinciana and unincorporated areas of Polk and Osceola Counties. Additionally, Mr. Wiener has served as disclosure counsel to the Cities of Leesburg, Hallandale Beach, Palm Bay, Pembroke Pines and Winter Haven; Counties of Lee, Leon and Seminole; FSU Financial Assistance, Inc.; Gainesville Regional Utilities; Leon County - City of Tallahassee Blueprint 2000 Intergovernmental Agency; Tohopekaliga Water Authority and to a variety of conduit borrowers. Mr. Wiener served as bond counsel to the City of Gainesville, Florida, in the issuance of bonds to fund the City's other post employment benefits ("OPEB") liability, which is believed to be the first bond in the country issued to fund an OPEB liability in response to the Governmental Accounting Standards Board's ("GASB") Statement No. 45. Recently, Mr. Wiener was selected to serve as Bond Counsel to the Tohopekaliga Water Authority.

Mr. Wiener has been named a Rising Star, Florida *Super Lawyers* magazine. Mr. Wiener has published numerous articles and is a frequent guest speaker on municipal finance issues. He spoke at the most recent Florida Municipal Attorneys Association meeting to present on the application of the securities laws to municipal bond financing. He has also been a guest speaker at the Florida Bar Continuing Legal Education Committee and the City, County and Local Government Law Section, Public Finance in Florida, and presented on issues faced by municipalities in private placements with bank financings.

In the community, Mr. Wiener has been involved with the Lakeland Police Foundation, United Way of Central Florida, the Lakeland Chamber of Commerce, Emerge Lakeland (Founding Member), Camp Fire USA Sunshine Council (past President) and Junior Achievement.

Education

- Florida State University College of Law, J.D., magna cum laude, Order of the Coif
- Binghamton University, B.S., Business Administration, magna cum laude

Bar Admissions

- Florida

Practices

- Public Finance
- Financial Services
- Corporate Services

Honors and Awards

- Rising Star, Florida *Super Lawyers* magazine, 2013-2014
- Florida State University College of Law Business Review
- Florida State University College of Law Dispute Resolution Society

Memberships

- Lakeland Bar Association
- National Association of Bond Lawyers
- Lakeland Area Chamber of Commerce
- United Way of Central Florida Young Leaders
- Camp Fire USA Sunshine Council, Past President
- Emerge Lakeland, Past Chair and Founding Member

Speaking Engagements

- Florida Municipal Attorneys Association, the SEC and the City: An Introduction to Federal Securities Laws and Their Application to Municipal Bond Financing, July 26, 2014
- Negotiating Bank Loans, Florida Bar City, County & Local Government Law Section Executive Council Meeting, May 9, 2013
- "Federal Securities Regulation Overview," The Florida Bar Continuing Legal Education Committee and the City, County and Local Government Law Section, Public Finance in Florida (1196R), May 2011
- Disclosure Policies and Procedures: A Practical Approach for Florida Governments, Florida Bar City, County and Local Government Law Section, Annual Conference, Orlando, Florida, May 2009
- OPEB's - What are They and How (and Why) Do You Finance Them?, Florida Bar City, County and Local Government Law Section, Annual Conference, Daytona Beach, Florida, July 2007
- The Risks and Rewards of Pension and OPEB Bonds, Florida Government Finance Officers Association, 2006 Annual Conference, Marco Island, Florida, June 2006
- The Cost of Retirement: Are Bonds the Answer?, The Bond Buyer Florida Public Finance Conference, Tampa, Florida, March 2006
- Innovative Financing Techniques - Part I, The Bond Buyer OPEB Liability Conference: Defining, Identifying, Managing and Solving Your Exposure, Chicago, Illinois, March 2006
- Reporting Post Employment Benefits, National Association of State Treasurers - Issues Conference on Public Funds Management, New York, New York, November 2005

Publications

- A Warning to Issuers: SEC Charges City and Two Officials with Fraud, *Holland & Knight Alert*, November 14, 2014
- SEC Modifies MCDC Initiative, *Holland & Knight Alert*, August 1, 2014
- SEC Initiative Encourages Continuing Disclosure Violation Self-Reporting by Issuers and Underwriters, *Holland & Knight Alert*, April 8, 2014
- In a First, SEC Charges Indiana School District and Municipal Bond Underwriter with Fraud, *Public Finance*, Alert - August 13, 2013
- Decision on Florida CDD Has Broad Implications for Tax-Exempt Bonds, *Public Finance*, Alert - June 26, 2013
- SEC Charges City of South Miami with Defrauding Investors, *Public Finance*, Alert - May 31, 2013
- SEC Charges City of Harrisburg with Fraud, *Public Finance*, Alert - May 13, 2013
- "Amendments to Continuing Disclosure Requirements Under Rule 15c2-12: Are You Prepared?," *Public Finance*, Alert - January 21, 2009
- "Florida Supreme Court Reverses Decision Regarding Referendum Approval for Tax Increment Financing," *Public Finance*, Alert - September 19, 2008
- "SEC Files Charges Against Five Former City of San Diego Officials for Securities Fraud Violations," *Public Finance*, Alert - April 14, 2008
- "Florida Supreme Court Clarifies Decision Regarding Referendum Approval for Local Governments," *Public Finance*, Alert - October, 1, 2007

- "Options for Complying with GASB's OPEB Reporting Requirement," *ABA Local Government Section Newsletter*, Winter 2006
- "Summary of Final Treasury Regulations Pertaining to State and Local Government Securities," *ABA Local Government Section Newsletter*, Fall 2005
- "Central Post Office for Disclosure Filings," *ABA Local Government Section Newsletter*, Spring 2005

Tax Counsel

John Theberge practices in the area of federal income taxation, with emphasis in public finance and exempt organizations. He has more than 30 years of experience in analyzing and structuring tax-exempt municipal bonds.

Prior to entering private practice, Mr. Theberge worked at the IRS where as Branch Chief, he supervised more than 30 employees that administered Section 103 of the Internal Revenue Code dealing with tax-exempt bonds. During this period, Mr. Theberge worked on numerous regulation projects, including regulations concerning the definition of "principal users," regulations concerning "residential rental projects," regulations concerning "single family mortgage revenue bonds" and numerous regulations concerning various arbitrage issues. He also worked on more than 120 published revenue rulings and several hundred private letter rulings. Mr. Theberge was instrumental in establishing the IRS's audit program with respect to municipal bonds.

Mr. Theberge has significant experience in all phases of municipal bonds. He has been the primary tax lawyer on more than $30 billion of tax-exempt debt ranging from "small issue industrial development bonds" to $1 billion advance refundings. He also worked extensively on various derivative products, including swaps, collars and tender option bonds. Mr. Theberge has also obtained some 30 private letter rulings from the IRS, including the only private letter ruling that integrated a swap and tax-exempt bonds for yield purposes.

Education
- Georgetown University Law Center, J.D.
- Georgetown University Law Center, LL.M., Taxation
- Saint Michael's College, B.A., Political Science, cum laude

Bar Admissions
- District of Columbia

Practices
- Public Finance
- Financial Services
- Corporate Services
- Indian Law

Memberships
- District of Columbia Bar Association
- American Bar Association
- ABA Tax Section
- National Association of Bond Lawyers

Publications
- Decision on Florida CDD Has Broad Implications for Tax-Exempt Bonds, *Public Finance*, Alert - June 26, 2013
- Tax-Exempt Financings Involving Indian Tribal Governments, *Tax Analysts "Exempt Organization Tax Review"*, October 1, 2003

Bond Support

Rick Stephens has been involved in the tax-exempt bond practice, including municipal and industrial development bond practice, since 1974.

On the tax-exempt side, Mr. Stephens has been involved as bond counsel, disclosure counsel, swap counsel or special counsel to city and county governments, districts and authorities in virtually all types of municipal and industrial development projects including airports, port facilities, stadium complexes and arenas, convention centers, hospitals and health care facilities, hotels, water and sewer facilities, city hall projects, housing issues, and a variety of other infrastructure projects. He has also had extensive experience in innovative financings involving floating and fixed rate bonds, auction bonds, caps, collars, swaps, multimodal and shifting lien bonds, revolving credit agreements, commercial paper, forward purchase contracts, and the like.

Mr. Stephens has had extensive experience as Bond Counsel to Brevard County for more than 25 years. His experience as Bond Counsel to the County includes participation in 34 financings for an aggregate amount of more than $745 million.

On the corporate side, Mr. Stephens has extensive experience in derivative products and large corporate transactions focusing on the gas, power, cement and road based industries (including structured products, prepaid gas transactions, financings, derivatives, acquisitions, mergers, and general contract drafting). He has also had extensive experience in solid waste resource recovery and compost projects including negotiation of power sales agreements, wheeling contracts, waste processing and service agreements and related documents.

Education

- University of Florida College of Law, J.D., with high honors
- University of Rochester, B.S.

Honors and Awards

- *The Best Lawyers in America* guide, Public Finance Law, 2007-2015
- Corporate Counsel Edition, *Super Lawyers* magazine, January 2009
- Florida *Super Lawyers* magazine, 2006-2014
- Order of the Coif
- University of Florida Law Review, Editorial Board
- AV® Preeminent™ Peer Review Rated, Martindale-Hubbell®

Memberships

- Polk Education Foundation, Chair
- GiveWell Community Foundation, Board of Directors
- Lakeland Volunteers in Medicine, General Counsel
- National Association of Bond Lawyers
- Lakeland Area Chamber of Commerce, past Member of Board of Directors and General Counsel
- United Way, Past Board Chair
- Committee on Efficient County Government
- Leadership Lakeland Alumni Association, Past President

Speaking Engagements

- Panelist speaking on airport financings before the National Association of Bond Lawyers
- Panelist speaking on municipal derivatives before the National Association of Municipal Analysts and the Florida Bar

Publications

- Guarantees of Interest Rate Swap Obligations by Non-ECP Guarantors Are Probably Illegal and Unenforceable, co-author, *Holland & Knight Alert*, April 22, 2013

- Dodd-Frank Rules Clarify Relief from Swap Regulation for Certain Energy, Emission and Other Commodity Swaps, *Holland & Knight Alert*, September 5, 2012
- Florida Supreme Court Reverses Decision Regarding Referendum Approval for Tax Increment Financing, *Holland & Knight Alert*, September 19, 2008
- Florida Supreme Court Clarifies Decision Regarding Referendum Approval for Local Governments, *Holland & Knight Alert*, October 1, 2007
- Derivatives into the Millennium, *The Florida Bar Continuing Legal Education Materials*, July 1999
- Financing Waste Processing and Disposal Facilities, *Quality Cities*, June 1989
- Tax-Exempt Financing of Health Care Facilities, *The Florida Bar Continuing Legal Education Materials*, May 1983
- Survivorship Rights in Joint Accounts (Note), *24 University of Florida Law Review 476*, 1972
- Estate Taxation; Power of Appointment and Common Disaster Under Section 2041(a)(2) of the Internal Revenue Code of 1954 (Comment), *23 University of Florida Law Review 778*, 1971

Wanda Whigham is a senior counsel in Holland & Knight's West Palm Beach office and practices in the area of public finance, with an emphasis on assisting municipal issuers as bond counsel and disclosure counsel in connection with revenue based and general obligation bond financings. In addition, a portion of her practice concentrates on project finance and development, corporate finance, mergers and acquisitions, and corporate governance. Ms. Whigham focuses primarily on the acquisition, development and financing of major infrastructure facilities, with an emphasis on renewable energy facilities. With her experience in infrastructure development and her knowledge of public finance, Ms. Whigham assists private and public sector clients in structuring and negotiating public-private partnership ("P3") arrangements.

Ms. Whigham has a substantial background in assisting clients in all phases of project development, including acquisition of land rights, permitting, engineering, procurement and construction agreements, vendor supply and sales agreements, joint venture arrangements and corporate governance matters. She also counsels corporate clients in connection with structured financings, private placements and other equity financings.

Representative examples of her recent experience include:

- Bond Financings: Represented South Florida municipalities, including Miami-Dade County (bond counsel and disclosure counsel) and Palm Beach County (bond counsel and disclosure counsel), in connection with revenue-based and general obligation bond financings;
- Project Finance and Development: Represented renewable energy developers in connection with the acquisition, development and financing of numerous wind farm projects;
- Corporate Services and Development: Represented biomedical research institutions in connection with the development and construction of biomedical research facilities in the State of Florida, including the negotiation of grant agreements and lease agreements with local municipalities; and
- Corporate Services and Development: Represented a developer in connection with a joint venture to design, build, operate and maintain a reverse osmosis water treatment facility; representation included review of bid response, formation of the joint venture entity, negotiation of joint venture arrangements and negotiation of construction contracts.

Prior to joining Holland & Knight, Ms. Whigham practiced in the Miami office of a global law firm.

Practices

- Public Finance
- Project Finance
- Mergers and Acquisitions
- Corporate Governance
- Renewable and Alternative Energy
- P3s
- Structured Finance

Education
- University of Miami, J.D., cum laude
- University of Miami, B.B.A.

Bar Admissions
- Florida

Honors and Awards
- Holland & Knight Public and Charitable Service All-Star, 2014

Memberships
- Pratt's Energy Law Report, Board of Editors
- Chamber of Commerce of the Palm Beaches, Trustee, 2013 - present
- Economic Council of Palm Beach County, Member, 2008-2010
- United Way of Palm Beach County, Board of Directors, Member, 2003-2006
- Leadership Palm Beach County, 2001

Michael Millett is a member of the Public Finance Team, practicing as bond counsel, bank counsel and disclosure counsel for municipal bond offerings.

Prior to joining the Firm, Mr. Millett served as vice president of global finance at Citigroup, Inc. where he focused on regulatory banking rules and SEC disclosures of derivatives, asset-backed securities and deposits. Previous to this role, Mr. Millett concentrated on mortgaged-backed securitizations for the Goldman Sachs Group, Inc.

While attending Stetson University College of Law, Mr. Millett was a member of the Moot Court Team, winning awards for both the Corporate Bankruptcy and Veterans Law competitions. As an undergraduate at Florida Gulf Coast University, he served as president of the Student Government Senate, as well as president of the Financial Managers Association.

Education
- Stetson University College of Law, J.D., Corporate Law
- Florida Gulf Coast University, B.S., Finance and Banking, with honors

Bar Admissions
- Florida

Practices
- Financial Services
- Public Finance

Honors and Awards
- Stetson University, Judge Alexander Paskay Bankruptcy Scholarship Award
- Stetson University, Dean's List

Memberships
- Hillsborough County Bar Association, Business Law Section
- American Bar Association
- National Association of Bond Lawyers

Publications
- FINRA Rule 8210: Due Process, FINRA Immunity and Exclusion of Evidence, Securities Regulation Law Journal, June 2014

4. **List the experience of the firm and staff as Bond Counsel or Disclosure Counsel for financings for the past five years. Emphasis should be placed on experience with Florida local governments.**

Bond Counsel Experience. Holland & Knight has served as bond counsel to Florida issuers for more than 40 years and has been listed in the *Red Book* since 1975. Nationally, Holland & Knight has served as bond counsel totaling more than $7.3 billion during the past five years. The national reputation of the Firm's bond counsel opinions and the strong credits of our clients have allowed for innovative financing techniques to be enjoyed by our clients, ensuring the lowest interest costs with the greatest structuring flexibility.

The Firm has served as bond counsel to state, county and local bond issuers in Florida in connection with virtually every type of governmental issue, including general obligation; public service tax; enterprise funds (such as water and sewer, public power and storm water) special assessment; gas tax, excise tax and sales tax financings; lease revenue; OPEB and pension obligations; sewer revenue; tax allocation and tax increment; and tax and revenue anticipation financings. Holland & Knight has served as bond counsel for issues by such special purpose governmental entities as community redevelopment agencies, community development districts, aviation and port authorities; other special districts and municipal benefit units; and industrial development bonds issued by local governments, industrial development authorities and special purpose entities and for a variety of not for profit and other qualified borrowers.

Holland & Knight has helped clients in the development of many innovative and creative financings and has significant experience in all areas of public finance and municipal securities, including the issuance of Build America Bonds; recovery zone facility bonds; commercial paper and other interim financing programs; liquidity and credit enhanced financings; puts and calls; recovery zone economic development bonds; refundings; sale/leaseback transactions; swaps and other derivative financial products; tax credit bonds; tax-exempt bonds for Native American tribes, including tribal economic development bonds; variable rate bonds; windows bonds; zero coupon bonds; and a variety of other financing techniques.

Holland & Knight attorneys have participated as bond counsel involving Florida issuers, including the Cities of Bartow, Bradenton, Crystal River, Gainesville, Lakeland, Neptune Beach and Tampa; Counties of Citrus, Polk, Sarasota, Sumter and Washington; Gainesville Regional Utilities; Hillsborough County Aviation Authority; Higher Educational Facilities Financing Authority; Industrial Development Authorities of Martin and Orange Counties; Orlando Utilities Commission; Polk State College; School Board/School District of Polk County; Titusville-Cocoa Airport Authority; Town of Davie, for an aggregate amount of more than $3.4 billion during the past five years. On November 18, 2015 Holland & Knight was selected to serve as Bond Counsel to the Tohopekaliga Water Authority, in which we had previously been serving as Disclosure Counsel.

We have included our experience, in the format required by the E-RFP, as follows.

Staff Name	Financings, Amount and Type	Project Owner and E-mail Address*
Mike Wiener; John Theberge	$10,580,000 Citrus County, Florida Non-Ad Valorem Revenue Bonds, Series 2015	Citrus County, Florida
Rick Stephens; Mike Wiener; John Theberge	Hillsborough County Aviation Authority (Florida) $43,160,000 Tampa International Airport Revenue Refunding Bond, 2015 Series B (AMT); $18,710,000 Tampa International Airport Revenue Refunding Bond, 2015 Series C (Non-AMT)	Hillsborough County Aviation Authority (Florida)
Rick Stephens; Mike Wiener; John Theberge	Hillsborough County Aviation Authority (Florida) $88,975,000 Tampa International Airport Customer Facility Charge Revenue Bonds, 2015 Series A (Non-AMT); $294,350,000 Tampa International Airport Customer Facility Charge Revenue Bonds, 2015 Series B (Taxable)	Hillsborough County Aviation Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	$18,157,000 Polk County, Florida Public Facilities Revenue Refunding Note, Series 2015	Polk County, Florida
Ed Vogel; Mike Wiener; John Theberge	$10,600,000 City of Lakeland, Florida Water and Wastewater Revenue Note, Series 2015	City of Lakeland, Florida
Ed Vogel; Mike Wiener; John Theberge	$139,505,000 Restructuring of Escrow Agreement for Gainesville Regional Utilities (Florida) Variable Rate Utilities System Revenue Bonds, 2007 Series A	Gainesville Regional Utilities (Florida)

Staff Name	Financings, Amount and Type	Project Owner and E-mail Address*
Rick Stephens; Mike Wiener; John Theberge	Hillsborough County Aviation Authority (Florida) $148,210,000 Tampa International Airport Revenue Bonds, 2015 Series A (AMT); $19,590,000 Tampa International Airport Subordinated Revenue Bonds, 2015 Series A (AMT); $153,915,000 Tampa International Airport Subordinated Revenue Bonds, 2015 Series B (Non-AMT)	Hillsborough County Aviation Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	$28,000,000 Sarasota County, Florida Utility System Revenue Refunding Note, Series 2015	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$21,690,000 Sarasota County, Florida Solid Waste System Revenue Refunding Note, Series 2015	Sarasota County, Florida
Mike Wiener; John Theberge	$24,330,000 Sumter County, Florida Capital Improvement Revenue Bonds, Series 2015B	Sumter County, Florida
Ed Vogel; Mike Wiener; John Theberge	$51,465,000 City of Lakeland, Florida Capital Improvement Revenue Bonds, Series 2015	City of Lakeland, Florida
Mike Wiener; John Theberge	$28,602,982.29 Sumter County, Florida Capital Improvement Refunding Revenue Bond, Series 2015A	Sumter County, Florida
Ed Vogel; Mike Wiener; John Theberge	$33,490,000 Sarasota County, Florida Infrastructure Sales Surtax Revenue Refunding Bonds, Series 2015	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$11,430,000 Sarasota County, Florida Communications Services Tax Revenue Refunding Note, Series 2015	Sarasota County, Florida
Ed Vogel; John Theberge	$2,000,000 Titusville-Cocoa Airport Authority (Florida) Revenue and Refunding Note, Series 2015	Titusville-Cocoa Airport Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	$14,535,000 City of Gainesville, Florida Capital Improvement Revenue Bonds, Series 2014	City of Gainesville, Florida
Ed Vogel; Mike Wiener; John Theberge	$33,000,000 Orange County Industrial Development Authority (Florida) Industrial Development Revenue and Refunding Bond (Central Florida YMCA Project), Series 2014; also served as borrower's counsel	Orange County Industrial Development Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	$9,830,000 Alachua County Health Facilities Authority (Florida) Continuing Care Retirement Community Revenue Bond (Oak Hammock at the University of Florida, Inc. Project), Series 2014; also served as borrower's counsel	Alachua County Health Facilities Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	$17,955,000 Sarasota County, Florida Revenue Note, Series 2014B	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$37,355,000 Sarasota County, Florida Infrastructure Sales Surtax Revenue Refunding Bonds, Series 2014	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$9,255,000 Sarasota County, Florida Five-Cent Local Option Fuel Tax Revenue Refunding Note, Series 2014	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$17,690,000 Sarasota County, Florida Communications Services Tax Revenue Refunding Note, Series 2014	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$4,165,000 Sarasota County, Florida Industrial Development Revenue Bond (Goodwill Industries – Manasota, Inc. Project), Series 2014	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$17,730,000 Higher Educational Facilities Financing Authority (Florida) Educational Facilities Refunding Revenue Bonds (Ringling College Project), Series 2014	Higher Educational Facilities Financing Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	$4,665,000 City of Bartow, Florida Refunding Revenue Note, Series 2014A	City of Bartow, Florida
Ed Vogel; Mike Wiener; John Theberge	$63,756,040 City of Lakeland, Florida Capital Improvement Note, Series 2014 (Federally Taxable)	City of Lakeland, Florida
Ed Vogel; Mike Wiener; John Theberge	$71,510,000 Polk County, Florida Public Facilities Revenue Refunding Bonds, Series 2014	Polk County, Florida
Ed Vogel; Mike Wiener; John Theberge	$95,000,000 City of Lakeland, Florida Variable Rate Energy System Refunding Bond, Series 2014	City of Lakeland, Florida
Ed Vogel; Mike Wiener; John Theberge	Polk County, Florida $16,070,000 Utility System Revenue Refunding Bonds, Series 2014A; $5,905,000 Utility System Revenue Refunding Bonds, Series 2014B (Federally Taxable)	Polk County, Florida
Ed Vogel; Mike Wiener; John Theberge	$19,765,000 Polk County, Florida Utility System Revenue Refunding Bonds, Series 2014C	Polk County, Florida
Ed Vogel; Mike Wiener; John Theberge	$14,715,000 City of Gainesville, Florida Refunding Revenue Note, Series 2014	City of Gainesville, Florida
Ed Vogel; Mike Wiener; John Theberge	$10,044,000 Sarasota County, Florida Revenue Note, Series 2014A	Sarasota County, Florida

Staff Name	Financings, Amount and Type	Project Owner and E-mail Address*
Ed Vogel; Mike Wiener; John Theberge	$20,315,000 Town of Davie, Florida Educational Facilities Revenue Refunding Bonds (Nova Southeastern University Project), Series 2013B	Town of Davie, Florida
Ed Vogel; Mike Wiener; John Theberge	$53,630,000 Polk County, Florida Utility System Revenue and Refunding Bonds, Series 2013	Polk County, Florida
Ed Vogel; Mike Wiener; John Theberge	$35,600,000 Sarasota County, Florida Utility System Revenue Bonds, Series 2013	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$94,155,000 Town of Davie, Florida Educational Facilities Revenue Bonds (Nova Southeastern University Project), Series 2013A	Town of Davie, Florida
Rick Stephens; Mike Wiener; John Theberge	$168,865,000 Hillsborough County Aviation Authority (Florida) Tampa International Airport Subordinated Revenue Refunding Bonds, 2013 Series A (AMT)	Hillsborough County Aviation Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	$17,245,000 Sarasota County, Florida Second Guaranteed Entitlement Revenue Bonds, Series 2013	Sarasota County, Florida
Mike Wiener; John Theberge	$2,912,336 Citrus County, Florida Revenue Promissory Note, Series 2013	Citrus County, Florida
Ed Vogel; Mike Wiener; John Theberge	$17,950,000 Orange County Industrial Development Authority (Florida) Industrial Development Revenue Bonds (Goodwill Industries of Central Florida, Inc. Project), Series 2013	Orange County Industrial Development Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	$127,790,000 Martin County Industrial Development Authority (Florida) Industrial Development Refunding Revenue Bonds (Indiantown Cogeneration, L.P. Project), Series 2013	Martin County Industrial Development Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	$13,000,000 (Not To Exceed) Orange County Industrial Development Authority (Florida) Industrial Development Revenue Bonds (Trinity Preparatory School Project), Series 2013	Orange County Industrial Development Authority (Florida)
Rick Stephens; Mike Wiener; John Theberge	$241,925,000 Orlando Utilities Commission (Florida) Utility System Revenue Refunding Bonds, Series 2013A	Orlando Utilities Commission (Florida)
Ed Vogel; Mike Wiener; John Theberge	$15,983,000 City of Lakeland, Florida Capital Improvement Refunding Revenue Note, Series 2012A	City of Lakeland, Florida
Ed Vogel; Mike Wiener; John Theberge	$1,625,000 City of Lakeland, Florida Capital Improvement Refunding Revenue Note, Series 2012B	City of Lakeland, Florida
Ed Vogel; Mike Wiener; John Theberge	$43,815,000 Polk County, Florida Utility System Revenue Refunding Bonds, Series 2012	Polk County, Florida
Rick Stephens; Mike Wiener; John Theberge	$36,635,000 City of Tampa, Florida Hospital Revenue Refunding Bonds (H. Lee Moffitt Cancer Center Project), Series 2012B	City of Tampa, Florida
Ed Vogel; Mike Wiener; John Theberge	$36,805,000 Refunding Certificates of Participation (School Board of Polk County, Florida, Master Lease Program), Series 2012B, Evidencing Fractional Undivided Interests of the Owners thereof in Basic Rent Payments to be made under a Master Lease-Purchase Agreement by The School Board of Polk County, Florida	School Board of Polk County (Florida)
Rick Stephens; Mike Wiener; John Theberge	$132,310,000 City of Tampa, Florida Refunding and Capital Improvement Cigarette Tax Allocation Bonds (H. Lee Moffitt Cancer Center Project), Series 2012A	City of Tampa, Florida
Ed Vogel; Mike Wiener; John Theberge	$100,000,000 City of Lakeland, Florida Variable Rate Energy System Refunding Bonds, Series 2012	City of Lakeland, Florida
Ed Vogel; Mike Wiener; John Theberge	$29,510,000 City of Lakeland, Florida Educational Facilities Revenue and Refunding Revenue Bonds (Florida Southern College Project), Series 2012A	City of Lakeland, Florida
Ed Vogel; Mike Wiener; John Theberge	$10,000,000 City of Lakeland, Florida Educational Facilities Refunding Revenue Bonds (Florida Southern College Project), Series 2012B	City of Lakeland, Florida
Rick Stephens; Mike Wiener; John Theberge	$52,935,000 Orlando Utilities Commission (Florida) Utility System Revenue Refunding Bonds, Series 2012A	Orlando Utilities Commission (Florida)
Ed Vogel; Mike Wiener; John Theberge	$5,565,000 Sarasota County, Florida Educational Facilities Refunding Revenue Bonds (Sarasota Military Academy Project), Series 2012	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$46,090,000 Higher Educational Facilities Financing Authority (Florida) Educational Facilities Revenue and Refunding Bonds (Nova Southeastern University Project), Series 2012A	Higher Educational Facilities Financing Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	City of Lakeland, Florida $37,325,000 Water and Wastewater Revenue Refunding and Improvement Bonds, Series 2012A; $6,750,000 Taxable Water and Wastewater Revenue Refunding Bonds, Series 2012B	City of Lakeland, Florida
Rick Stephens; Mike Wiener; John Theberge	$86,450,000 Orlando Utilities Commission (Florida) Utility System Revenue Refunding Bonds, Series 2011C	Orlando Utilities Commission (Florida)

Staff Name	Financings, Amount and Type	Project Owner and E-mail Address*
Ed Vogel; Mike Wiener; John Theberge	$12,065,000 Lee County Industrial Development Authority (Florida) Healthcare Facilities Refunding Revenue Bonds, Series 2011B (Shell Point/Alliance Obligated Group) (Shell Point Project)	Lee County Industrial Development Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	Sarasota County, Florida $13,425,000 Utility System Revenue Refunding Bonds, Series 2011A; $4,435,000 Utility System Revenue Refunding Bonds, Series 2011B	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$4,500,000 Polk County Industrial Development Authority (Florida) Industrial Development Revenue Bonds (Tremron Lakeland Project), Series 2011; also served as special borrower's counsel	Polk County Industrial Development Authority (Florida)
Ed Vogel; Mike Wiener; John Theberge	$6,900,000 Sarasota County, Florida Industrial Development Revenue Refunding Bond (Sarasota Family YMCA Project), Series 2011	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$2,000,000 Citrus County, Florida Guaranteed Entitlement Revenue Bond, Series 2011	Citrus County, Florida
Ed Vogel; Mike Wiener; John Theberge	Sarasota County, Florida $4,787,000 Industrial Development Revenue Bond (Octex Holdings Project), Series 2011A; $2,133,000 Taxable Industrial Development Revenue Bond (Octex Holdings Project), Series 2011B	Sarasota County, Florida
Ed Vogel; Mike Wiener; John Theberge	$4,000,000 (Not to Exceed) City of Bradenton, Florida Industrial Development Revenue and Refunding Bond (Manatee County Family YMCA Project), Series 2011	City of Bradenton, Florida
Rick Stephens; Mike Wiener; John Theberge	$69,675,000 Orlando Utilities Commission (Florida) Utility System Revenue Refunding Bonds, Series 2011B	Orlando Utilities Commission (Florida)
Rick Stephens; Mike Wiener; John Theberge	$105,000,000 (Not to Exceed) Hillsborough County Aviation Authority (Florida) Tampa International Airport Subordinate Revenue Note, Series 2011	Hillsborough County Aviation Authority (Florida)
Rick Stephens; Mike Wiener; John Theberge	$98,360,000 Orlando Utilities Commission (Florida) Utility System Revenue Refunding Bonds, Series 2011A	Orlando Utilities Commission (Florida)
Ed Vogel; Mike Wiener; John Theberge	$37,480,000 Higher Educational Facilities Financing Authority (Florida) Educational Facilities Revenue and Refunding Bonds (Nova Southeastern University Project), Series 2011	Higher Educational Facilities Financing Authority (Florida)

* As per the Reference Forms required by the E-RFP, we have included an e-mail address related to our work as bond counsel to the City of Gainesville, City of Lakeland and Sarasota County. We would be pleased to submit additional e-mail addresses for references regarding our work as bond counsel if requested by Port St. Lucie.

In addition to the financings described above, members of Holland & Knight's Legal Team are currently serving as bond counsel for the following financings in Florida. We anticipate all of these financings to close by the end of 2015.

- City of Lakeland Energy System Revenue and Refunding Bonds, Series 2015.
- Replacement of standby bond purchase agreements for the Gainesville Regional Utilities Variable Rate Utilities System Revenue Bonds, 2006 Series A and Variable Rate Utilities System Revenue Bonds, 2005 Series C.
- Replacement of the credit facility for the Gainesville Regional Utilities System Commercial Paper Notes, Series C.
- Florida Presbyterian Homes, Inc. Health Care Facilities Revenue Bond (Florida Presbyterian Homes Project), Series 2015.
- Ringling College of Art and Design, Inc. Refunding Note (2015).
- Sarasota County Health Care Facilities Revenue Note (Plymouth Harbor Project), Series 2015.
- School Board of Polk County Refunding Certificates of Participation (School Board of Polk County, Florida Master Lease Program), Series 2015A, 2015B and 2015C.

Bond Validation Experience. Holland & Knight has the expertise to handle any bond validations deemed necessary by Port St. Lucie, including the preparation of all pleadings and conducting the validation hearing of any appeals related thereto. Several interesting examples include assisting Sarasota County in a contested validation of a series of Build America Bonds, recovery zone economic development bonds and tax-exempt bonds the proceeds of which were used to finance the renovation and construction of spring training facilities for Major League Baseball's Baltimore Orioles; revenue bonds for Florida Gas Utility to fund multiple prepaid gas programs; and bonds for

Brevard County to complete certain park projects. Our Litigation and Appellate Groups remain an active part of each of our bond validation cases to the extent appropriate.

Tax Law Experience. Holland & Knight has one of the strongest tax departments in the industry. Because of the importance of and highly technical nature of tax analysis necessary for a tax-exempt financing, our tax lawyers are all in-house, and this specialty is not outsourced. Our public finance tax lawyers participate in the tax structuring of bond issues on a daily basis. They work hand-in-hand with the Public Finance Team and our governmental and underwriter clients to develop innovative techniques and structures to meet the tax law requirements and to achieve the most desirable results. Uniquely, the concentration of our public finance tax lawyers in Washington, D.C. gives the Public Finance Department the geographic platform and capability to serve our national practice.

Three of our public finance tax attorneys held significant positions at the IRS prior to entering private practice. Mr. Theberge was previously a Branch Chief in the IRS Office of the Assistant Commissioner (Technical) and, in that capacity, was responsible for authoring or reviewing many of the most important rulings the IRS has issued on public finance transactions. Harold Bucholtz served in the IRS Office of Chief Counsel between 1976 and 1981, during which time he regularly worked on public finance issues. James Millea, Jr., who practices in our Boston office, was an Assistant Branch Chief under Mr. Theberge and also worked on many important rulings relating to tax-exempt finance while at the IRS.

Our public finance tax attorneys are intimately familiar with the arbitrage rules that relate to tax-exempt bonds. They have assisted numerous clients in structuring transactions to maximize the benefit of arbitrage earnings during construction periods, limiting rebate payments and developing a variety of other sophisticated techniques permitted by the federal arbitrage rules. Our tax attorneys have participated in the structuring of numerous current and advance refunding transactions to assure compliance with the federal arbitrage regulations relating to refunding issues. The IRS has recently begun increased scrutiny of advance refunding transactions, and Holland & Knight has the experience to assist Port St. Lucie with any compliance issue that may arise.

Because of their location in Washington, D.C. and their continuing relationships with IRS and Department of the Treasury officials, Mr. Theberge and Mr. Bucholtz are able to keep the Public Finance Group abreast of the most recent tax positions of the IRS, as well as pertinent legislative and regulatory developments. Each of our tax attorneys has been successful in obtaining numerous favorable private letter rulings from the IRS, including rulings on the status of "on behalf of" issuers, including special agencies created by a special act.

Perhaps most important in light of the IRS audit procedures and its recent aggressive approach to the auditing of municipal financings, our tax attorneys are experienced with IRS audits and have otherwise been very active in the tax controversy area. They are very experienced in the negotiation of closing agreements and have negotiated closing agreements between the IRS and issuers, as well as underwriters, concerning "yield burning," rebate liabilities, put option agreements and other perceived violations of the tax laws.

Disclosure Counsel Experience. Holland & Knight has more than 25 years of experience in federal securities laws as they pertain to Florida governmental issuers, both in connection with preparation of offering documents for primary offerings of securities and in connection with the continuing disclosure requirements mandated by the SEC pursuant to the Securities Exchange Act of 1934 ("Rule 15c2-12"). As a result of our national reputation, we are frequently invited to speak at national and state conferences, including the National Association of Bond Lawyers, and are regularly quoted in public finance journals and periodicals.

During the past five years, the Firm has served as disclosure counsel to numerous Florida issuers, including the Cities of Hallandale Beach, Leesburg, Palm Bay, Pembroke Pines and Winter Haven; Counties of Lee and Seminole; FSU Financial Assistance, Inc.; Gainesville Regional Utilities; Leon County - City of Tallahassee Blueprint 2000 Intergovernmental Agency; School Board of Highlands County; Tohopekaliga Water Authority; and the University of Tampa, totaling more than $1.4 billion of debt. We have helped these Florida issuers in the development of continuing disclosure policies and created a format for the initial annual filings in order to comply with Rule 15c2-12. We have also advised many Florida clients in the SEC's recent Municipalities Continuing Disclosure Cooperation initiative. We have included our experience, in the format required, by the E-RFP as follows.

Staff Name	Financings, Amount and Type	Project Owner and E-mail Address*
Mike Wiener; Ed Vogel	$15,375,000 City of Palm Bay, Florida Sales Tax Revenue Refunding Bonds, Series 2015	City of Palm Bay, Florida
Mike Wiener; Ed Vogel	$63,530,000 FSU Financial Assistance, Inc. (Florida) Educational, Including Athletic, Facilities Improvement Revenue Bonds, Series 2015A; $9,055,000 FSU Financial Assistance, Inc. (Florida) Taxable Educational, Including Athletic, Facilities Improvement Revenue Bonds, Series 2015B; $11,965,000 FSU Financial Assistance, Inc. (Florida) Educational, Including Athletic, Facilities Improvement Revenue Bonds, Series 2015C	FSU Financial Assistance, Inc. (Florida)
Mike Wiener; Ed Vogel	$32,025,000 Seminole County, Florida Water and Sewer Revenue Refunding Bonds, Series 2015B	Seminole County, Florida
Mike Wiener; Ed Vogel	$48,640,000 Lee County, Florida Non-Ad Valorem Refunding Revenue Bonds, Series 2015	Lee County, Florida
Mike Wiener; Ed Vogel	$76,045,000 City of Pembroke Pines, Florida General Obligation Bonds, Series 2015	City of Pembroke Pines, Florida
Mike Wiener; Ed Vogel	$149,270,000 Seminole County, Florida Water and Sewer Revenue Refunding Bonds, Series 2015A	Seminole County, Florida
Mike Wiener; Ed Vogel	$26,070,000 City of Winter Haven, Florida Utility System Refunding Revenue Bonds, Series 2015	City of Winter Haven, Florida
Mike Wiener; Ed Vogel	$13,205,000 City of Winter Haven, Florida Non-Ad Valorem Refunding Revenue Bonds, Series 2015A	City of Winter Haven, Florida
Mike Wiener; Ed Vogel	$100,470,000 Gainesville Regional Utilities (Florida) Variable Rate Utilities System Revenue Bonds, 2012 Series B	Gainesville Regional Utilities (Florida)
Mike Wiener; Ed Vogel	Gainesville Regional Utilities (Florida) Utilities System Revenue Bonds, $37,980,000 2014 Series A; $30,970,000 Utilities System Revenue Bonds, 2014 Series B	Gainesville Regional Utilities (Florida)
Mike Wiener; Ed Vogel	$106,570,000 Lee County, Florida Transportation Facilities Revenue Refunding Bonds, Series 2014	Lee County, Florida
Mike Wiener; Ed Vogel	$41,540,000 City of Pembroke Pines, Florida Taxable Communications Services Tax Refunding Revenue Bonds, Series 2014	City of Pembroke Pines, Florida
Mike Wiener; Ed Vogel	$29,040,000 City of Pembroke Pines, Florida Public Improvement Revenue Refunding Bonds, Series 2014	City of Pembroke Pines, Florida
Mike Wiener; Ed Vogel	$28,000,000 Seminole County, Florida Special Obligation Bonds, Series 2014	Seminole County, Florida
Mike Wiener; Ed Vogel	$35,300,000 City of Pembroke Pines, Florida Taxable Communications Services Tax Refunding Revenue Bonds, Series 2013	City of Pembroke Pines, Florida
Mike Wiener; Ed Vogel	$33,590,000 Tohopekaliga Water Authority (Florida) Utility System Revenue Refunding Bonds, Series 2013	Tohopekaliga Water Authority (Florida)
Mike Wiener; Ed Vogel	$15,345,000 City of Leesburg, Florida Capital Improvement Refunding Revenue Bonds, Series 2013	City of Leesburg, Florida
Mike Wiener; Ed Vogel	$21,010,000 City of Leesburg, Florida Utility System Refunding Revenue Bonds, Series 2013	City of Leesburg, Florida
Mike Wiener; Ed Vogel	$48,385,000 Lee County, Florida Non-Ad Valorem Refunding Revenue Bonds, Series 2012	Lee County, Florida
Mike Wiener; Ed Vogel	$13,065,000 FSU Financial Assistance, Inc. (Florida) Educational, Including Athletic, Facilities Improvement Revenue Bonds, Series 2012C	FSU Financial Assistance, Inc. (Florida)
Mike Wiener; Ed Vogel	$76,690,000 City of Tampa, Florida Revenue and Revenue Refunding Bonds (The University of Tampa Project), Series 2015; also served as borrower's counsel	City of Tampa, Florida
Mike Wiener; Ed Vogel	$74,795,000 Higher Educational Facilities Financing Authority (Florida) Revenue and Revenue Refunding Bonds (The University of Tampa Project), Series 2012A; also served as borrower's counsel	Higher Educational Facilities Financing Authority (Florida)
Mike Wiener; Ed Vogel	Lee County, Florida $19,990,000 Water and Sewer Refunding Revenue Bonds, Series 2012A; $7,490,000 Water and Sewer Refunding Revenue Bonds, Series 2012B	Lee County, Florida
Mike Wiener; Ed Vogel	$35,580,000 FSU Financial Assistance, Inc. (Florida) Educational, Including Athletic, Facilities Improvement Revenue Refunding Bonds, Series 2012A; $5,925,000 FSU Financial Assistance, Inc. (Florida) Taxable Educational, Including Athletic, Facilities Improvement Revenue Refunding Bonds, Series 2012B	FSU Financial Assistance, Inc. (Florida)

Staff Name	Financings, Amount and Type	Project Owner and E-mail Address*
Mike Wiener; Ed Vogel	$35,945,000 Leon County - City of Tallahassee Blueprint 2000 Intergovernmental Agency (Florida) Sales Tax Revenue Refunding Bonds, Series 2011	Leon County - City of Tallahassee Blueprint 2000 Intergovernmental Agency (Florida)
Mike Wiener; Ed Vogel	$74,855,000 Lee County, Florida Water and Sewer Refunding Revenue Bonds, Series 2011	Lee County, Florida
Mike Wiener; Ed Vogel	$93,495,000 Tohopekaliga Water Authority (Florida) Utility System Revenue Refunding Bonds, Series 2011A	Tohopekaliga Water Authority (Florida)

* As per the Reference Forms required by the E-RFP, we have included an e-mail address related to our work as disclosure counsel to the City of Leesburg, Lee County and Seminole County. We would be pleased to submit additional e-mail addresses for references regarding our work as disclosure counsel if requested by Port St. Lucie.

Furthermore, members of Holland & Knight's Legal Team are currently serving as disclosure counsel for the Refunding Certificates of Participation (School Board of Highlands County, Florida Master Lease Program), Series 2015 that we anticipate will close by the end of 2015.

Holland & Knight works closely with each of our disclosure counsel clients to ensure all disclosure documents are in compliance with the evolving Antifraud Provisions and balanced against the encouragement for greater disclosure by investors, the Municipal Securities Rule Making Board ("MSRB") and the SEC. For example, on March 10, 2014 the SEC announced a new initiative to encourage municipal issuers and underwriters to self-report potential securities law violations relating to misstatements or omissions in offering documents of an issuer's or borrower's past noncompliance with existing continuing disclosure obligations. Under the Municipalities Continuing Disclosure Cooperation Initiative (the "MCDC Initiative"), issuers, borrowers or underwriters who self-disclose violations pursuant to the MCDC Initiative will receive favorable settlement terms from the SEC. Holland & Knight quickly published a Public Finance Alert notifying its municipal clients of the program. Holland & Knight then began working with its disclosure counsel clients to determine whether there were any misstatements necessitating such entities participation in the program.

Furthermore, our public finance attorneys also work together with our securities litigation defense lawyers on SEC investigations of municipal bond issues. We have been retained in a variety of SEC investigations of issuers for securities fraud related to disclosure in a public offering document. In one example, the issuer acted as a conduit issuer for another governmental entity, in which the IRS questioned the tax-exempt status of the bonds. (Holland & Knight was not involved with the original issuance of the bonds.) The Firm worked with the issuer to research the SEC claims, prepare the witnesses and negotiate a favorable settlement with the SEC. Our close involvement with investigations and discussions with the SEC helps keep the Firm at the forefront of federal securities disclosure issues.

As disclosure counsel we will work closely with Port St. Lucie staff and the entire financing team throughout each financing to ensure all disclosure documents are in compliance with the evolving disclosure standards and practices in local government finance. With respect to initial public offerings, as Disclosure Counsel, we will draft the preliminary and final official statements (or other offering memoranda) with input and assistance from Port St. Lucie staff, financial advisors, engineering and feasibility consultants, underwriters, bond counsel and any other outside consultants. We will typically follow guidelines of the National Association of Bond Lawyers, Government Finance Officers Association and the National Federation of Municipal Analysts. We begin each new bond issue with a written due diligence questionnaire customized for Port St. Lucie and for the proposed financing. Our experience has shown that the integration of a written questionnaire saves Port St. Lucie's staff time since it permits the parceling out of questions to the appropriate Port St. Lucie staff and elicits areas for discussion that Port St. Lucie may not have not initially considered as being material. We will work closely with Port St. Lucie staff during this process, through phone calls, emails and visits to Port St. Lucie, to ensure that the questionnaire is completed in the most efficient manner. Because of our familiarity with municipal clients like Port St. Lucie, we will be able to quickly turn-around drafts of preliminary official statements for proposed financings to allow Port St. Lucie to quickly react to changing financial markets.

For any new financing we will draft the continuing disclosure agreement in compliance with the requirements of Rule 15c2-12. Through our conversations with Port St. Lucie staff, we strive to make the requirements as easy as possible to comply with and still fully satisfy the requirements of Rule 15c2-12. One of the primary elements of disclosure in local government financing transactions is disclosure of the issuer's financial

condition, results of operation and cash flows. When drafting continuing disclosure agreements for new financings, we review existing continuing disclosure agreements for outstanding bonds of Port St. Lucie to ensure that the reporting requirements remain consistent thereby saving staff time in the future by simplifying future reporting requirements.

We will draft or participate in the negotiation of the bond purchase agreement to ensure that the most favorable terms are secured for Port St. Lucie. We also play an active role in the financing by reviewing and providing comments to the bond resolution and each of the closing documents prepared by bond counsel. For competitively bid bonds, at the request of Port St. Lucie, we will draft the notice of sale and summary notice of sale.

Holland & Knight will remain actively involved with Port St. Lucie, not only during a new bond issue, but throughout the year. Holland & Knight will ensure that Port St. Lucie remains in compliance with all of its existing continuing disclosure agreements, by monitoring events and preparing, when necessary, material event notices, and at the request of Port St. Lucie, reviewing and commenting on Port St. Lucie's annual disclosure report prior to it being submitted to the MSRB's Electronic Municipal Market Access ("EMMA") system and keeping staff informed of changes to state and federal securities laws and regulations. Holland & Knight generally does not charge any fee for these additional services.

Holland & Knight's broad experience and our day-to-day participation in financings throughout the United States expose us to all of the latest financing techniques and structures and broaden the base of our knowledge and experience available to Port St. Lucie. In serving as Port St. Lucie's Disclosure Counsel, we will assist Port St. Lucie in fully complying with applicable legal requirements with respect to disclosure, both at the time of initial issuance of bonds, periodic material events notices (if any), annually with its continuing disclosure undertakings and with the evolving changes to state and federal securities laws.

Ongoing Bond and Disclosure Services. Unlike some other firms, we believe that our services continue after a bond financing is completed. We recognize that questions arise in between financings, and our bond and tax lawyers will remain available for those ad-hoc questions that typically arise after a transaction is completed. We generally do not charge any fees for such questions and issues that arise post-closing unless complex issues arise.

In our role as either Bond Counsel or Disclosure Counsel, Holland & Knight will be proactive through monitoring proposed changes to Tax Code laws and regulations, securities laws, rules and regulations and educating you of the proposed changes and the adoption of such laws, rules and regulations. Through our e-mail distribution of our Public Finance Bulletin and webinars, Holland & Knight is quickly able to disseminate information to our clients of important news. Additionally, we strive to meet regularly with each of our clients either in person or by conference calls to ensure you remain apprised of the ever changing laws and regulations and the impact on Port St. Lucie.

Holland & Knight has more than 40 years of experience in serving as bond and disclosure counsel to Florida local governments and is a leader in innovative and creative financings. The national reputation of the Firm's bond counsel opinions and the strong credits of our clients have allowed for innovative financing techniques to be enjoyed by our clients, ensuring the lowest interest costs with the greatest structuring flexibility. Our long history of representation of many significant issuers throughout the State of Florida is indicative of the range of our experience and our commitment to the high quality of service provided to the Firm's public finance clients.

5. Provide firm experience for the following.

Experience with Bank Loans to Florida Municipalities as	Years Experience	Responsibility
Bank Counsel	Over 30 years	Holland & Knight has extensive experience representing banks in Florida, including, national and regional banks. Our Lakeland public finance attorneys have represented 13 banks as bank counsel during the past five years. Since most of our bank clients we have extensive history of representing we are often involved in reviewing bank policies and procedures for municipal loans, reviewing of bank term sheets and internal credit conferences. As bank counsel, we review documents prepared by bond counsel and prepare certain bank documents.

Experience with Bank Loans to Florida Municipalities as	Years Experience	Responsibility
Bond Counsel	Over 40 years	Telephone conferences and electronic correspondence with the Working Group; review and negotiation of term sheets, preparation of Resolution and various closing certificates; preparation and rendering of Bond Counsel Opinion; representation at closing; miscellaneous correspondence; and preparation of transcripts.
Bond Counsel on Bond Issues	Over 40 years	Telephone conferences with the Working Group; preparation of Ordinance and Resolution; preparation of closing certificates; review of Preliminary Official Statement, final Official Statement and Bond Purchase Agreement; rendering of Bond Counsel Opinion; representation at closing; miscellaneous correspondence; and preparation of transcripts.
Disclosure Counsel on Bond Issues	Over 30 years*	Telephone conferences with the Working Group; preparation of Preliminary Official Statement, Final Official Statement, Continuing Disclosure Agreement, Notice of Sale and various other documents; preparation and rendering of Disclosure Counsel Opinion; miscellaneous correspondence.

* Includes serving as underwriter's counsel which historically performed similar disclosure functions as disclosure counsel.

6. Description of duties the Proposer expects to be performed by City staff.

Each financing is a team effort involving the Port St. Lucie staff (and its counsel), the financial advisor, bond counsel, the underwriters (or bank) and their/its counsel and/or disclosure counsel. During the course of the financing, periodic conference calls with the working group will facilitate a smooth financing. The accessibility of Port St. Lucie staff will be necessary to discuss various aspects of the financing structure and legal issues as they arise. Furthermore, Port St. Lucie staff will be instrumental in reviewing and providing documents related to the contracts and agreements which may impact the tax analysis of any proposed financing. As Disclosure Counsel, we will generally provide a written diligence questionnaire. Our experience has shown that the integration of a written questionnaire will save Port St. Lucie's staff time since it permits the parceling out of questions to the appropriate staff and elicits areas for discussion that Port St. Lucie staff may not have not initially considered as being material. Additionally, the response to the written questionnaire can be shared with the underwriter of the proposed financing to avoid duplication of efforts by the Port St. Lucie staff. We work closely with Port St. Lucie staff during this process, through phone calls, e-mails and visits to Port St. Lucie, to ensure that the questionnaire is completed in the most efficient manner. We will diligently work with the Port St. Lucie's staff to structure the transaction to comply with all State of Florida and federal laws regarding the issuance of the bonds and notes, review and provide recommendations for any management contracts that may affect the taxability of the bonds and coordinate the signing of all closing documents. We will also review all documents prepared by each of the other members of the financing team and bring to the attention of Port St. Lucie staff any legal concerns with such documents. Due to our extensive history as bond counsel and disclosure counsel to Florida local governments, we expect to obtain any necessary information and documents while minimizing the time and efforts of Port St. Lucie staff.

7. Information on the nature and magnitude of any litigation or proceeding whereby, during the past five (5) years, a court or any administrative agency has ruled against the firm in any matter related to the professional activities of the firm or the firm's insurance company has had to pay a claim or settlement in conjunction with such activities.

No litigation or any other proceedings have been filed against Holland & Knight or any member of the Public Finance Group with respect to the Firm's role as bond counsel, disclosure counsel or otherwise related to any municipal finance transaction.

Holland & Knight is one of the largest law firms in the United States. Incident to its discharge of legal services to its clients, the Firm, from time to time, becomes involved in controverted matters including claims against it that in large part are unmeritorious. However, with one exception, unrelated to our public finance practice, no judgment has been entered against Holland & Knight in any malpractice action or similar proceeding during the last 25 years, and all claims against the Firm over the last 25 years, with one exception, have been resolved favorably to the Firm, either by court order or through settlement. With respect to the exception, a jury returned a verdict against Holland & Knight in the case styled Rahim Sabadia, et al. vs. Holland & Knight LLP, Case No. BC438701, California Superior Court, Los Angeles County, Central District. A judgment was entered on May 3, 2012. Holland & Knight is appealing the judgment and expects ultimately to prevail. However, none of the above

mentioned cases are related to the Firm's role as bond counsel, disclosure counsel or otherwise related to any municipal finance transaction.

8. Briefly describe the structure of compensation and the method which your firm proposes to utilize to determine the dollar value for your services. (Limit response to 1 page.)

Alternative Fee Methods. We understand that the following is subject to negotiation and mutual agreement. We are willing to discuss and further negotiate fees quoted herein and alternative fee methods to determine reasonable and acceptable fees as Bond Counsel and Disclosure Counsel to Port St. Lucie.

Fee Schedules. Without having all of the particulars of the bond issues identified, it is difficult to quote fee schedules for our services as Bond Counsel or Disclosure Counsel to Port St. Lucie. Solely to provide a reference point for further discussion and consideration, we offer the suggested fee schedules set forth below. The Firm is willing to establish a cap for fees and expenses on any given transaction.

For a fixed rate public issue parity bond transaction, our fees as Bond Counsel would be the fee set forth below.

Incremental Amount of Issue	Incremental Fee Proposed	Cumulative Fee
Up to $15,000,000	$1.50 per $1,000	$22,500*
$15,000,001 – $30,000,000	$1.25 per $1,000	$41,250
$30,000,001 – $50,000,000	$0.80 per $1,000	$57,250
Over $50,000,000	Negotiable	

* Minimum fee of $22,500.
* Our fee as Bond Counsel for a private placement bank loan would be a fixed fee of $25,000 up to the first $25,000,000, and $0.60 per bond thereafter.

Regarding our fee as Disclosure Counsel, we propose to charge a fee equal to eighty percent (80%) of our proposed Bond Counsel fee with a minimum fee of $22,500.

Ancillary Work. We generally do not charge Port St. Lucie for our responses to public finance legal questions that arise between financings, unlike such questions require significant time. If any matters arise that are outside the normal scope of Bond Counsel, Disclosure Counsel or general public finance questions, then Holland & Knight will advise Port St. Lucie of such fee requirement prior to undertaking the representation.

We would anticipate additional negotiated compensation for the performance of ancillary work normally outside the standard services, such as trial or real property work, bond validations, negotiation of any ancillary transactions (such as derivative products, forward purchase agreements, guaranteed investment contracts, reimbursement agreements and the like) and other specific services requested of us which are beyond the normal scope of services as Bond Counsel or Disclosure Counsel.

Expenses. We propose to charge only our actual documented out-of-pocket costs subject to the Port St. Lucie's policies and guidelines. Travel costs to Port St. Lucie would not be charged.

9. Using the Reference Form, submit three (3) references from the last three years from local governments in the State of Florida for which the firm acted as bond and/or disclosure counsel.

Included as Appendix C, are three Reference Forms relating to our work as Bond Counsel and Disclosure Counsel to Florida local governments during the past three years. We would be pleased to submit additional references if requested by Port St. Lucie.

ADDENDUM ACKNOWLEDGMENT: Proposer acknowledges that the following addenda have been received and are included in his/her proposal:

Addendum Number	Date Issued
Bid Addendum #1 – Bid #20160014	November 4, 2015

CERTIFICATION:

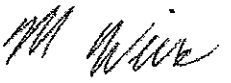
This E-RFP is submitted by Michael Wiener who is an officer of the above firm duly authorized to sign proposals and enter into Contracts. I certify that this E-RFP is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting a proposal for the same materials, supplies, or equipment, and is in all respects fair and without collusion or fraud. I understand collusive bidding is a violation of state and federal law and can result in fines, prison sentences, and civil damage awards. I agree to comply with all requirements stated in the specifications for this E-RFP, and to accept the City's Contract and Terms and Condition in its entirety.

I agree to abide by all conditions of this E-RFP.:

Upon negotiation for engagement, Holland & Knight desires to discuss with Port St. Lucie the proposed requirements of the E-RFP. Please see our suggested alternative provisions below to accord with our insurance coverage and Firm policy.

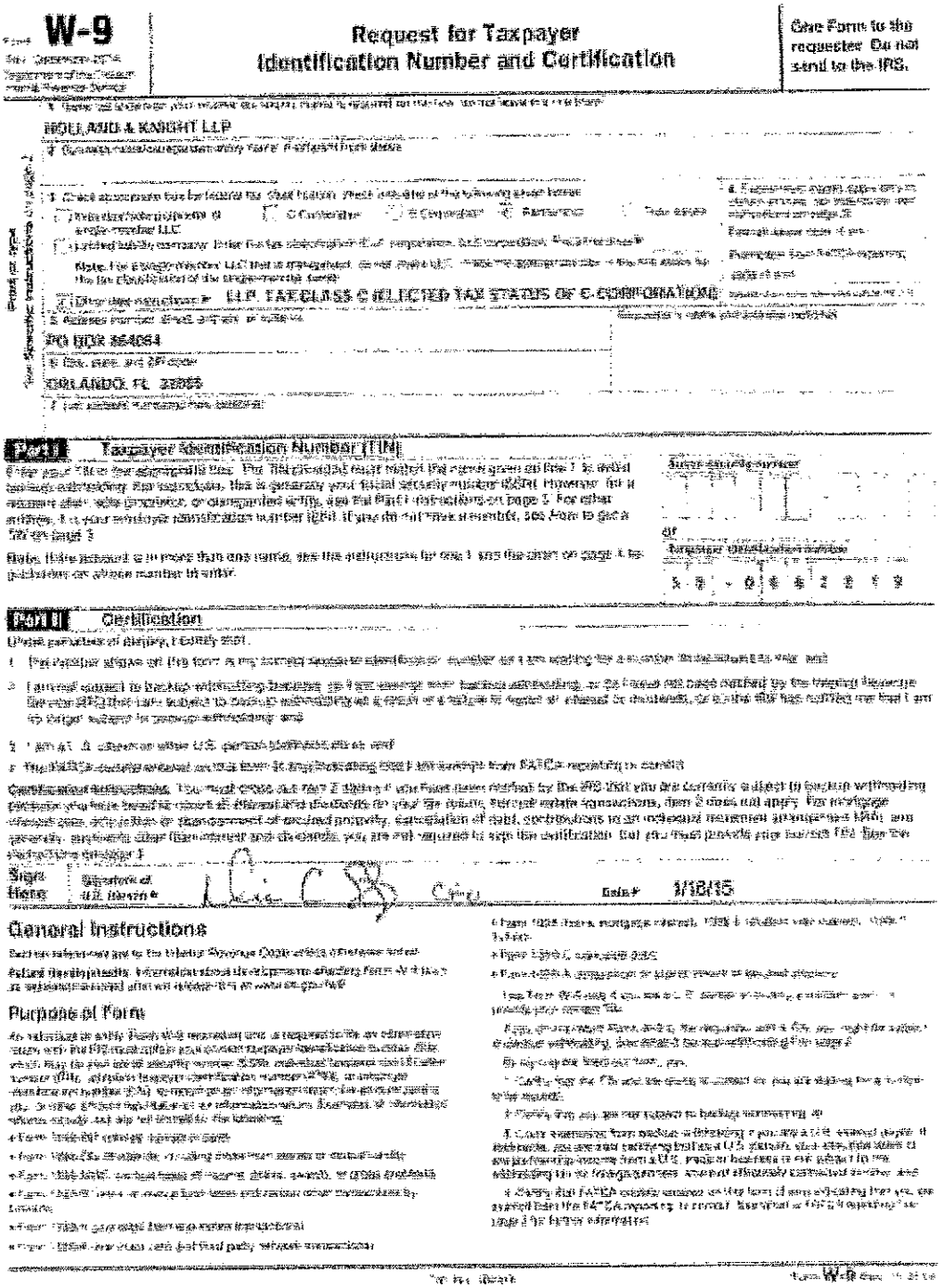
- Section VI titled Indemnification/Insurance – delete the phrase ", including but not limited to, reasonable attorney's fees," from the second line.
- Holland & Knight's insurers do not provide an endorsement to the Firm's professional liability policy making the City an additional insured. Moreover, the insurers do not provide in their certificate of insurance for professional liability insurance ("PLI") or the PLI policy for advance notice of cancellation, non-renewal or material changes. However, Holland & Knight can agree that in the unlikely event that the Firm's PLI policy is cancelled or not renewed or replaced upon expiration that the Firm will provide immediate notice to the City. With respect to policies other than PLI, the Firm's insurers do not provide in their certificates of insurance or policies for advance notice of adverse changes or non-renewal (as opposed to cancellation, for which advance notice is available), and the certificates will only provide for 10 days advance notice of cancellation due to non-payment.
- Holland & Knight seeks agreement that the Firm's certificate of insurance for commercial general liability does not need to be on the form referenced in the contract because the Firm's insurer does not use ISO GL forms, but will instead be on a different forms providing similar coverage.
- Holland & Knight is unable to agree to provide increased insurance coverage if the statutory liability of the City is amended. Instead, the Firm can agree that if we are unable or unwilling to provide increased coverage, the City may terminate the Firm's contract without liability to or from the Firm for such termination.
- Holland & Knight is unable to agree to Section XIX of the Contract, entitled Conflict of Interest, as it would require the Firm to terminate its relationship with any other cities in the Treasure Coast area in the event the City Manager determines that our representation of another City gives rise to a conflict of interest. However, during the term of this engagement, Holland & Knight can agree that the Firm will not accept representation of another client to pursue interests that are directly adverse to the City's interests unless and until the Firm makes full disclosure to the City of all the relevant facts, circumstances, and implications of the Firm's undertaking the two representations, and confirm to the City in good faith that the Firm has done so and that the following criteria are met: (i) there is no substantial relationship between any matter in which the Firm is representing or has represented the City and the matter for the other client; (ii) any confidential information that the Firm has received from the City will not be available to the lawyers and other Holland & Knight personnel involved in the representation of the other client; (iii) the Firm's effective representation of the City and the discharge of the Firm's professional responsibilities to the City will not be prejudiced by the Firm's representation of the other

client; and (iv) the other client has also consented in writing based on the Firm's full disclosure of the relevant facts, circumstances, and implications of our undertaking the two representations. If the foregoing conditions are satisfied, Holland & Knight may undertake the adverse representation and all conflict issues will be deemed to have been resolved or waived by the City.



Signature

Partner
Title



W-9

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

HOLLAND & KNIGHT LLP

LLP, TAXCLASS C ELECTED TAX STATUS OF C CORPORATION

PO BOX 864084

ORLANDO FL 32886

Part I Taxpayer Identification Number (TIN)

Part II Certification

Under penalties of perjury, I certify that:

Sign Here

Date 1/12/15

General Instructions

Purpose of Form

Paragon International Insurance Brokers
140 Leadenhall Street
London EC3V 4QT

Telephone
+44 (0)20 7260 8200
Facsimile
+44 (0)20 7260 8270/8271

Website
www.paragonbrokers.com
Email
info@paragonbrokers.com

Paragon

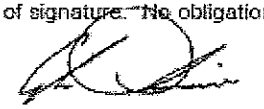
Name of Assured:	HOLLAND & KNIGHT, A Registered Limited Liability Partnership
Address:	315 South Calhoun Street Tallahassee FL 32301 U.S.A.
Business of Assured:	Attorneys at Law
To:	Whom It May Concern
In respect of:	CONFIRMATION OF INSURANCE

We, the brokers, hereby certify that the above Named Assured is currently insured through Lloyd's of London and certain insurance companies for the period 12:01 am Local Time on September 6, 2015, to 12.01 am Local Time on 6 September, 2016 with a single claim limit of liability in excess of USD10,000,000 and with an aggregate claims limit of twice the single claim limit (Policy No. LDUSA1500776).

The policy provides coverage to Assureds for legal services performed in their professional capacity as attorney, counsellor at law, notary, administrator, arbitrator, mediator, executor, trustee, guardian, committee for incompetent, agent for service of process, agent to title insurance company, and/or designated issuing attorney to title insurance company, expert witness, lobbyist or other fiduciary, or similar agent or advisor.

The policy is subject to the insuring agreements, terms, conditions, exclusions and limitations contained therein.

The above is accurate at the date of signature. No obligation is imposed herein on the signatory to advise of any alterations.

Signed:	
Name:	Glen Obermaier
on behalf of:	Paragon International Insurance Brokers Ltd.
Name and address of broker:	Paragon International Insurance Brokers Ltd. 140 Leadenhall Street, London EC3V 4QT United Kingdom
Date:	Monday, September 7, 2015

Authorised and Regulated by the Financial Conduct Authority. Accredited Lloyd's Broker.
Registered in England at the above address. Company No. 321572.
Paragon Brokers (Bermuda) Ltd. LOM Building, 27 Reid Street, Hamilton HM 11, Bermuda. Registration No. 33936.

OP ID: MB

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 08/03/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Brown & Brown of Florida, Inc. P. O. Box 173086 Tampa, FL 33672 Joseph W. LoPresti	CONTACT NAME: Mia Bush PHONE (A/C, No, Ext): 813-226-1337 FAX (A/C, No): 813-226-1313 E-MAIL ADDRESS: mbush@bbtampa.com PRODUCER CUSTOMER ID #: HOLLA-4
INSURED Holland & Knight LLP Holland & Knight Charitable Foundation Inc. 100 North Tampa Street St 4100 Tampa, FL 33602	INSURER(S) AFFORDING COVERAGE — NAIC # INSURER A: Federal Insurance Company — 20281 INSURER B: Sentry Insurance — 24988 INSURER C: Fed.Ins Co/Liberty Ins Und INSURER D: Great Northern Insurance — 20303 INSURER E : INSURER F :

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
D	GENERAL LIABILITY X COMMERCIAL GENERAL LIABILITY CLAIMS-MADE X OCCUR X Insured Contract GEN'L AGGREGATE LIMIT APPLIES PER: POLICY PRO-JECT X LOC			35798711	08/01/2015	08/01/2016	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence) MED EXP (Any one person) PERSONAL & ADV INJURY GENERAL AGGREGATE PRODUCTS - COMP/OP AGG	$ 1,000,000 $ 1,000,000 $ 10,000 $ 1,000,000 $ 2,000,000 $ Included
D	AUTOMOBILE LIABILITY X ANY AUTO ALL OWNED AUTOS SCHEDULED AUTOS X HIRED AUTOS X NON-OWNED AUTOS X No Owned Autos			74386035	08/01/2015	08/01/2016	COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (PER ACCIDENT)	$ 1,000,000
C	UMBRELLA LIAB X OCCUR EXCESS LIAB CLAIMS-MADE DEDUCTIBLE RETENTION $			7581835S/100053016-03	08/01/2015	08/01/2016	EACH OCCURRENCE AGGREGATE	$ 49,000,000 $ 49,000,000
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y / N	N / A	3014923017014820302	08/01/2015	08/01/2016	X WC STATU-TORY LIMITS OTH-ER E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT	$ 1,000,000 $ 1,000,000 $ 1,000,000
D	Personal Property			35798711	08/01/2015	08/01/2016	Spec.Form	116,079,000
D	Data Process.Equip			35798711	08/01/2015	08/01/2016	Spec.Form	11,116,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
See "Certificate Attachment - Holland & Knight, LLP dated 8-1-15" attached.

CERTIFICATE HOLDER

BIDPURP

"Bid Purposes Only"
c/o Holland & Knight LLP
100 N Franklin St Ste 4100
Tampa, FL 33602

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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NOTEPAD	INSURED'S NAME Holland & Knight LLP	HOLLA-4 OP ID: MB	PAGE 2 DATE 08/03/2015

CERTIFICATE ATTACHMENT - HOLLAND & KNIGHT, LLP

Additional Insured
Certificate Holder is a General Liability Additional Insured and Auto Liability (CA2048) Designated Insured, when required by written contract.

Primary / Non-Contributory Additional Insured
Primary and non-contributory General Liability, Auto Liability and Excess Liability Additional Insured provisions apply, when required by written contract.

Per Location and Per Project General Aggregate
General Liability Per Location and Per Project General Aggregate applies

Contractual Liability
General Liability and Auto Liability Insured Contract contractual liability provisions apply.

Separation of Insureds
General Liability and Auto Liability Separation of Insureds provisions apply.

Waiver of Subrogation
Waiver of Transfer Of Rights Of Recovery Against Others To Us provision as respects General Liability, Auto Liability, Excess Liability and Workers Compensation applies in favor of Certificate Holder, when required by written contract.

Excess Liability Underlying Insurance
Excess Liability schedule of underlying insurance includes General Liability, Auto Liability, and Workers Compensation Employers Liability.

8-1-15

DRUG-FREE WORKPLACE FORM

E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

The undersigned vendor in accordance with Florida Statute 287.087 hereby certifies that Holland & Knight LLP
(Name of Business)

does:

1. Publish a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.

2. Inform employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.

3. Give each employee engaged in providing the commodities or contractual services that are under proposal a copy of the statement specified in subsection (1).

4. In the statement specified in subsection (1), notify the employees that, as a condition of working on the commodities or contractual services that are under proposal, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of Chapter 893 Florida Statutes or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.

5. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.

6. Make a good faith effort to continue to maintain a drug-free workplace through implementation of this section.

As the person authorized to sign the statement, I certify that this firm complies fully with the above requirements.

Bidder's Signature (Michael Wiener)

November 19. 2015
Date

CHECKLIST
E-RFP #20160014

Bond Counsel or Bond Disclosure Counsel

This checklist is provided to assist Proposer in the preparation of their response. Included in this checklist are important requirements that are the responsibility of each Proposer to submit with their response in order to make the submittal fully compliant. This checklist is only a guideline – it is the responsibility of each Proposer to read and comply with the Invitation to Bid in its entirety.

✓ Documents uploaded in one (1) pdf file and in this order: File # 1 – Questionnaire for E-RFP #20160014, Certified Minority Business Certificate (if applicable), W9, current Certificate of Insurance, three (3) Reference Forms, Drug-Free Workplace Form and Checklist onto Demandstar by the due date and time.

✓ All questions on the questionnaire are complete and thoroughly answered.

✓ Each Bid Addendum (when issued) is acknowledged.

Not Applicable. Certified Minority Business Certificate if Applicable.

✓ W9 Form.

✓ Current Certificate of Insurance.

✓ Three (3) Reference Forms from local Florida governments.

✓ Drug Free Workplace Form.

✓ Have reviewed the Contract and accept all City Terms and Conditions.

Upon negotiation for engagement, Holland & Knight desires to discuss with Port St. Lucie the proposed requirements of the E-RFP. Please see our suggested alternative provisions below to accord with our insurance coverage and Firm policy.

- Section VI titled Indemnification/Insurance – delete the phrase ", including but not limited to, reasonable attorney's fees," from the second line.
- Holland & Knight's insurers do not provide an endorsement to the Firm's professional liability policy making the City an additional insured. Moreover, the insurers do not provide in their certificate of insurance for professional liability insurance ("PLI") or the PLI policy for advance notice of cancellation, non-renewal or material changes. However, Holland & Knight can agree that in the unlikely event that the Firm's PLI policy is cancelled or not renewed or replaced upon expiration that the Firm will provide immediate notice to the City. With respect to policies other than PLI, the Firm's insurers do not provide in their certificates of insurance or policies for advance notice of adverse changes or non-renewal (as opposed to cancellation, for which advance notice is available), and the certificates will only provide for 10 days advance notice of cancellation due to non-payment.
- Holland & Knight seeks agreement that the Firm's certificate of insurance for commercial general liability does not need to be on the form referenced in the contract because the Firm's insurer does not use ISO GL forms, but will instead be on a different forms providing similar coverage.

- Holland & Knight cannot agree to provide increased insurance coverage if the statutory liability of the City is amended. Instead, the Firm can agree that if we are unable or unwilling to provide increased coverage, the City may terminate the Firm's contract and liability to the Firm for such termination shall be limited to fees earned up until termination.
- Holland & Knight cannot agree to Section XIX of the Contract, entitled Conflict of Interest, as it would require the Firm to terminate its relationship with any other cities in the Treasure Coast area in the event the City Manager determines that our representation of another City gives rise to a conflict of interest. However, during the term of this engagement, Holland & Knight can agree that the Firm will not accept representation of another client to pursue interests that are directly adverse to the City's interests unless and until the Firm makes full disclosure to the City of all the relevant facts, circumstances, and implications of the Firm's undertaking the two representations, and confirm to the City in good faith that the Firm has done so and that the following criteria are met: (i) there is no substantial relationship between any matter in which the Firm is representing or has represented the City and the matter for the other client; (ii) any confidential information that the Firm has received from the City will not be available to the lawyers and other Holland & Knight personnel involved in the representation of the other client; (iii) the Firm's effective representation of the City and the discharge of the Firm's professional responsibilities to the City will not be prejudiced by the Firm's representation of the other client; and (iv) the other client has also consented in writing based on the Firm's full disclosure of the relevant facts, circumstances, and implications of our undertaking the two representations. If the foregoing conditions are satisfied, Holland & Knight may undertake the adverse representation and all conflict issues will be deemed to have been resolved or waived by the City.

✓ Checklist.

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

RFP #20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: Holland & Knight
Reference: City of Leesburg (Florida) Fax #: (352) 728-9773
Email: Bill.Spinelli@leesburgflorida.gov Telephone #: (352) 728-9714
Person to contact: Bill Spinelli, Finance Director

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for Disclosure Counsel projects.

Describe the scope of work of the firm performed for your City. Bond Disclosure counsel for various City debt issuances.

What problems were encountered? No problems

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism 10 Final Product 10
Qualifications 10 Cooperation 10
Budget Control 10 Reliability 10

Would you contract with this Firm again? Yes [X] No [ ] Maybe [ ]

Comments: Great Firm

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

REFERENCE CHECK FORM
Instructions: Fill out top portion only.

RFP #20160014

Title: Bond Counsel or Disclosure Counsel

Respondent: Holland & Knight
Reference: Seminole County (Florida) Fax #: (407) 665-7529
Email: aschneider@seminolecountyfl.gov Telephone #: (407) 665-7254
Person to contact: Arnold Schneider, Assistant County Attorney

Reference Instructions: The above Firm has given your name to the City of Port St. Lucie as a reference for Disclosure Counsel projects.

Describe the scope of work of the firm performed for your County. DISCLOSURE COUNSEL

What problems were encountered? NONE AT ALL.

How would you rate the Firm on a scale of low (1) to high (10) for the following?

Professionalism 10
Qualifications 10
Budget Control 10
Final Product 10
Cooperation 10
Reliability 10

Would you contract with this Firm again? Yes [✓] No [ ] Maybe [ ]

Comments: SEMINOLE COUNTY HAS USED THIS FIRM FOR MANY YEARS AND WE HAVE NEVER HAD A PROBLEM. THE UNDERSIGNED IS THE ASSISTANT COUNTY ATTORNEY WHO PERSONALLY WORKED WITH THE FIRM ON MULTIPLE BOND ISSUES OVER THE YEARS

Thank you.

For PMD Use Only	
Reference Checked	
Clerk Checked	

Arnold W Schneider
ASSISTANT COUNTY ATTORNEY
12/1/2015

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: ~~13D~~
Meeting Date: ~~1/11/16~~

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager
James Angstadt, P.E-Public Works Director
Cheryl Shanaberger- Director- Procurement Management Department

FROM: Gina Jolly- Procurement Management Department

Agenda Item: Motion: E-RFP # 20150031 – Construction Engineering and Inspection for the US1 Transit Corridor Bus Shelter Project

Submittal Date: 12/15/2015

STRATEGIC PLAN LINK: Port St. Lucie Vision 2028, Principle 10, Easy Mobility, "Public transit connecting community destinations with ridership by choice"

BACKGROUND: This project shall provide Construction Engineering Inspection (CEI) Services for the US Highway 1 Transit Corridor Bus Shelter Project. The Treasure Coast Regional Transit Organization (RTO) Technical Advisory Subcommittee identified the locations along the U.S. Highway 1 Transit Corridor which would benefit by the addition of a bus shelter. The City of Port St Lucie agreed to serve as the lead agency to manage the design, permitting, and construction contracts for the bus shelters. An Interlocal Agreement among the City of Port St Lucie, City of Fort Pierce, Martin County, and St. Lucie County was developed to identify the responsibilities of each municipality. On February 23, 2015, City Council approved the project short list and authorized Staff to move forward with negotiations. Following the receipt of the construction Bids, the CEI negotiations were temporarily suspended in order to coordinate additional funding for the construction of the project. A Supplemental Joint Participation Agreement (SJPA) between the City of Port St Lucie and the Florida Department of Transportation (FDOT) was presented to City Council on December 14, 2015 for the additional funding. City Staff met with the # 1 scored and ranked firm CAPTEC Engineering, Inc. on December 11, 2015 at 9:00 a.m., and successfully negotiated a contract for consideration by City Council.

ANALYSIS: City Staff and the Firm negotiated a contract that represents a fair value for the requested scope of work. CAPTEC Engineering, Inc. is a local firm.

FINANCIAL INFORMATION: Funding is provided for this project through a Joint Participation Agreement (JPA) and SJPA between the City of Port St Lucie and FDOT. The SJPA was presented to City Council at the December 14, 2015 meeting. When executed by FDOT, this SJPA will provide the total funding needed for the construction and the funds will be appropriated into the Road & Bridge CIP Fund in the next Budget Amendment.

LEGAL INFORMATION: Reviewed by Keri Norbraten on 2/10/15 and approved as to form.

STAFF RECOMMENDATION: Approval to enter into a Time and Expense Contract as per RFP # 20150031 Construction Engineering and Inspections Services for US Highway 1 Transit Corridor Bus Shelters with CAPTEC Engineering, Inc. in the amount of $63,315.00 plus a one-time $10.00 indemnification fee. The contract period is for three hundred (300) calendar days.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

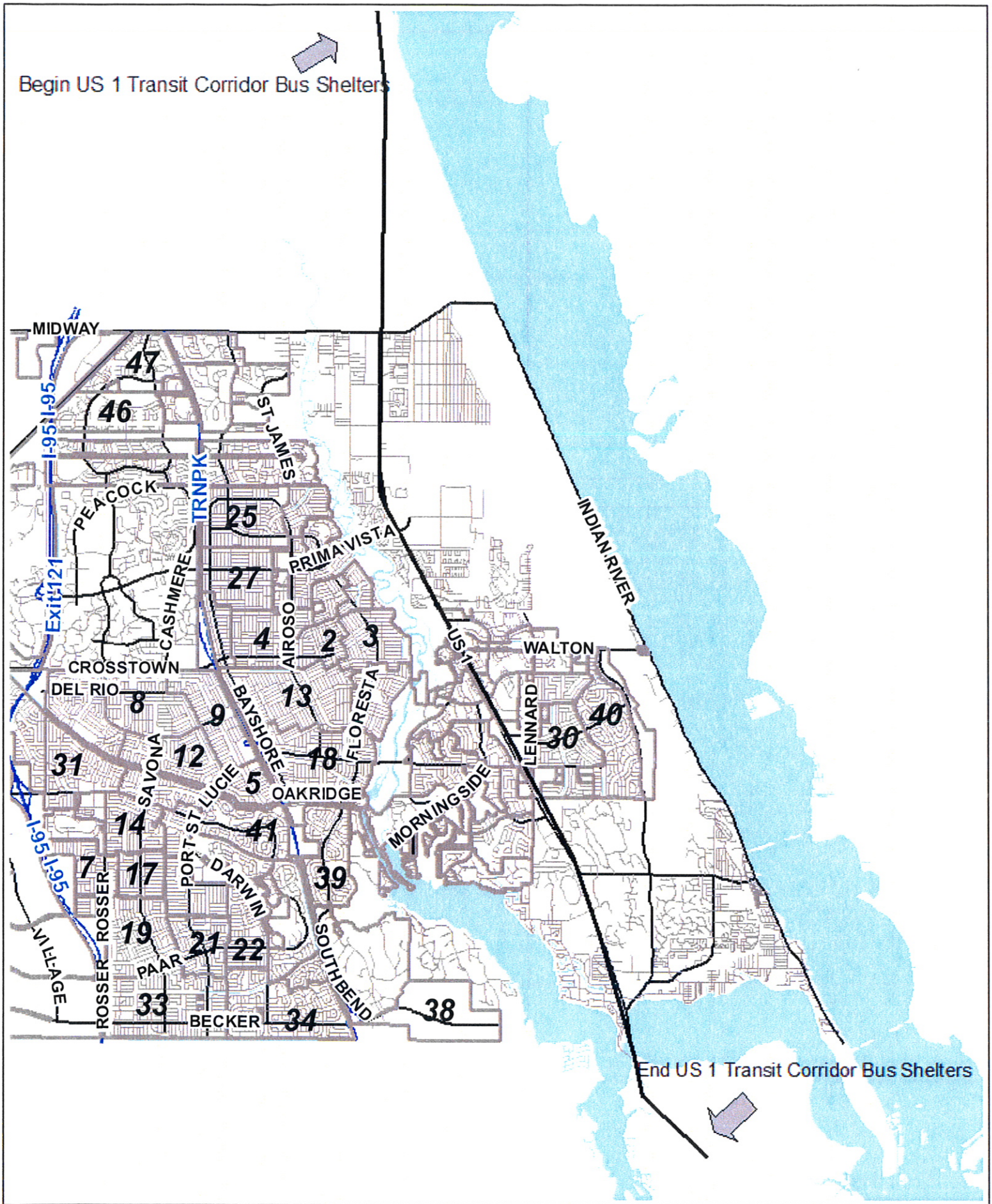
REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: US Highway 1 through Martin and St. Lucie Counties.

ATTACHMENTS: Location Map, Contract, Negotiations on CD, Negotiation Sign-In sheet, (Audio from meeting included in cd format)

*All the attached items have been sent to the City Clerk. Any items not provided in the City Council packets are available upon request from the City Clerk.

RECEIVED
DEC 22 2015
CITY MANAGER'S OFFICE



US 1 Transit Corridor Bus Shelters

Scale: 0 0.75 1.5 3 mi

Map produced by the City of Port St Lucie City Council Map Generator Website on: 12/2/2015

CITY OF PORT SAINT LUCIE
CONTRACT #20150031

This is a Time and Expense CONTRACT, executed this day of 20__, by and between the CITY OF PORT ST. LUCIE, FLORIDA, a municipality, duly organized under the laws of the State of Florida, hereinafter called "City" party of the first part, Captec Engineering, Inc. A Florida Corporation, 301 NW Flagler Avenue Stuart, Florida 34994, Telephone No (772) 692-4322, Fax No (772) 692-4341, hereinafter called "Engineer", party of the second part.

RECITALS

In consideration of the below agreements and covenants set forth herein, the parties agree as follows:

SECTION I
NOTICES & DESCRIPTION OF SERVICES TO BE PROVIDED

The scope of work that the Engineer has agreed to perform pursuant to E-Request for Proposal #20150031 for in accordance with the Specifications, Plans, Contract Documents and all addenda listed herein.

Notices

All notices or other communications hereunder shall be in writing and shall be deemed duly given if delivered in person, sent by certified mail with return receipt request, email or fax and addressed as follows unless written notice of a change of address is given pursuant to the provisions of this Contract.

City Contract Administrator: Office of Management & Budget
Attn: Gina Jolly, Buyer OMB
City of Port St. Lucie
121 SW Port St. Lucie, Blvd.
Port St. Lucie, FL. 34984
Telephone 772 344 4055 Fax 772 871 7337
Email: gjolly@cityofpsl.com

City Project Manager: Clyde Cuffy
Project Coordinator
City of Port St Lucie
121 SW Port St. Lucie Blvd
Port St. Lucie, Fl 34984
Telephone #772 871 7643 Fax # 772-871-5289
Email: ccuffy@cityofpsl.com

Engineer: Joseph W. Capra, P.E
CAPTEC Engineering, Inc.
301 NW Flagler Avenue
Stuart, FL 34994
Telephone 772-692-4322 Fax 772-692-4341
Email: jcapra@gocaptec.com

Description of Services

The Engineer shall provide Construction Engineering and Inspection (CEI) Services for the US Highway 1 Transit Corridor Bus Shelters Project. The project includes all CEI Services associated with the construction of fifteen (15) bus shelters and associated infrastructure improvements as shown and described in the attached Construction Plans. The Engineer shall provide CEI Services for this project for a period of Three Hundred (300) calendar days.

In the event that the total available funds provided by the JPA and SJPA are not sufficient to cover the bid amount for construction of all fifteen (15) bus shelters, the project scope, budget and time will be reduced by removing construction of one or more bus shelters from the project. Furthermore, in the event that additional SJPA funds become available for this project prior to completion of the construction contract, the City will adjust the project scope, budget and time to include the bus shelter(s) that the additional funds will cover.

The CEI services shall include, but is not limited to:

- Field observations
- Providing design modifications as needed
- Geotechnical testing services
- Coordination and meetings, as needed, with the Contractor, City, public, utility companies, land owners or others
- Preparation and maintenance of all meeting minutes
- Review of all Contractor's change orders
- Review of Contractor's pay estimates, pay requests, and schedules
- Review of shop drawings
- Review of test results
- Preparation of project progress reports
- Verifying the Contractor utilizes proper Maintenance of Traffic (MOT) in accordance with the plans and applicable FDOT Standards
- Coordination of permit conditions and compliance
- Providing City with copies of project records
- Responding to Request for Information (RFI) by the Contractor
- Certification for Florida Building Code for each bus shelter
- Certification of Record Drawings
- Certification of completion per FDOT and respective municipality's requirements

The Engineer also needs to be familiar with the permitting inspection and close out processes for each of the four jurisdictions.

DELIVERABLES

The Engineer shall be required to prepare and submit the following:

1. A Construction Completion Package (4 hard copies) for each bus shelter location. The package shall include the following:
   A. Dated final as-built schedule, time file and daily work reports.
   B. Field survey/geotechnical verification data provided to Engineer by the Contractor.
   C. Final as-built plans provided to Engineer by the Contractor.
   D. Documentation of progress meetings, and any other pertinent project meetings with dates and notes.

E. Documentation of all field decisions which caused any deviation from the plans and/or specifications.
F. Signed and Sealed test results.
G. Documentation of progress payments and final payment to the Contractor.
H. Copies of all RFIs and responses.
I. Copies of all executed changes to the contract.
J. Copies of the final inspection punch lists with dates of item resolution.
K. All other project records.

2. CD/DVD containing electronic copy of all deliverables noted above in PDF format.

SECTION II
TIME OF PERFORMANCE

Contract period shall start _____, 20__ and terminate _____, 20__, three hundred (300) calendar days thereafter. In the event all work required in the proposal specifications has not been completed by the specified date, the Engineer agrees to provide work as authorized by the Contract Supervisor until all work specified in the proposal specifications has been rendered.

SECTION III
COMPENSATION

This is a Time and Expense Contract. The total amount to be paid by the City to the Engineer is based on actual time spent on this project with an estimated amount of $ **63,315.00**. The City will not pay for out-of-pocket expenses (Office Supplies & Utilities), Sub-Consultant fees or any reimbursable expense.

Description	Personnel	Estimated Hours	Rate	Estimated Fee
Task 1	CEI Sr. Project Engineer / P.E.	64	$175.00	$11,200.00
Contract Administration	Project Coordinator	40	$55.00	$2,200.00
Task 2 Construction Engineering & Inspection/ Geotechnical Testing Services	Lead Project Representative (20 hr. insp. / location)	320	$110.00	$35,200.00
	Sub-Consultant – Testing			
	Density	128	$25.00	$3,200.00
	Miscellaneous Lab Testing			
	Proctors	16	$85.00	$1,360.00
	Concrete Testing	32	$85.00	$2,720.00
	Hourly Charges			
	Project Engineer	10	$137.00	$1,370.00
	Sr. Field Technician	10	$66.00	$660.00
	Technical Secretary	10	$53.00	$530.00

Task 3 Project Closeout	CEI Sr. Project Engineer / P.E. Project Coordinator	20 10	$175.00 $55.00	$3,500.00 $550.00
Optional Task 1 Services	Sub-Consultant – Structural	5	$165.00	$825.00
Not to Exceed Totals		Tasks 1/2/3 Task 2 Optional Services	CEI Services Geotechnical Testing Task 1	**$52,650.00** **$9,840.00** **$825.00**

Engineer VISA Payment Procedures

1. A ghost account with Bank of America (BOA) will be established for the project. The account dollar limit will be established by the City's estimate of monthly expense. The account will also have limits as per the MCC code. Reasonable total invoice amounts will also be established. The ghost account will be entered with the proper expense codes. The Engineer will be provided this ghost account number to process payments.
2. A purchase order to the Engineer for this project will not be issued.
3. The Engineer will send the project manager by the 1st of each month a detailed pay request listing employee title, hours of work, hourly rate and related tasks that are completed. Partial release of liens will be provided if subcontractors were used by Engineer.
4. The Project Manager (PM) will audit to determine that work and materials that are being billed have been completed and installed as per contract specifications. The PM will verify the partial release of liens. The PM will sign documents that state the payment is correct and payment by the City is authorized.
5. The PM will email the approved pay request to the Contract Specialist, City's P-Card Administrator and the Engineer to proceed with placing the charge on the BOA specified account.
6. The Engineer may not place the charge on the ghost account until contacted by the City giving approval. Under no circumstances will the account be used between the 1st and 5th of the month.
7. Invoices that are not approved by the PM will be returned to the Engineer with a detailed explanation.
8. OMB will balance statement and issue all dispute items.
9. OMB will produce summary sheet and send all documentation to Finance for payment.

All work compensated for under this Contract, including partial payments, shall become the property of the City of Port St. Lucie without restrictions or limitations. Work under this Contract shall include but not be limited to sketches, tracings, drawings, computations, details, design calculations, plan, electronic files and other related documents. The Engineer shall not be held liable for any reuse of the work and shall not be held liable for any modifications made to the work by others.

All invoices and correspondence relative to this Contract must contain the last 4 digits of the BOA ghost account and Contract number.

SECTION IV

CONFORMANCE WITH PROPOSAL

It is understood that the materials and/or work required herein are in accordance with the proposal made by the Engineer pursuant to the Request for Proposal and Specifications on file in the Office of Management and Budget of the City. All documents submitted by the Engineer in relation to said proposal, and all documents promulgated by the City for inviting proposals are, by reference, made a part hereof as if set forth herein in full.

SECTION V
INDEMNIFICATION/INSURANCE

The Engineer agrees to indemnify, defend, and hold harmless the City, its officers and employees, from liabilities, damages, losses and costs, including but not limited to, reasonable attorney's fees, to the extent caused by the negligent act, recklessness, or intentional wrongful misconduct of the Engineer and persons employed or utilized by the Engineer in the performance of the construction contract. As consideration for this indemnity provision the Engineer shall be paid the sum of ten dollars ($10.00), which will be added to the contract price, and paid prior to commencement of work.

The Engineer shall, on a primary basis and at its sole expense, agree to maintain in full force and effect at all times during the life of this Contract, insurance coverage, limits, including endorsements, as described herein. The requirements contained herein, as well as City's review or acceptance of insurance maintained by Engineer are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by Engineer under the Contract.

The parties agree and recognize that it is not the intent of the City of Port St. Lucie that any insurance policy/coverage that it may obtain pursuant to any provision of this Contract will provide insurance coverage to any entity, corporation, business, person, or organization, other than the City of Port St. Lucie and the City shall not be obligated to provide any insurance coverage other than for the City of Port St. Lucie or extend its immunity pursuant to Section 768.28, Florida Statutes, under its self insured program. Any provision contained herein to the contrary shall be considered void and unenforceable by any party. This provision does not apply to any obligation imposed on any other party to obtain insurance coverage for this project, any obligation to name the City of Port St. Lucie as an additional insured under any other insurance policy, or otherwise protect the interests of the City of Port St. Lucie as specified in this Contract.

The Engineer shall agree to maintain Workers' Compensation Insurance & Employers' Liability in accordance with Section 440, Florida Statutes. Employers' Liability must include limits of at least $100,000.00 each accident, $100,000.00 each disease/employee, $500,000.00 each disease/maximum. A Waiver of Subrogation endorsement must be provided. Coverage should apply on a primary basis. Should scope of work performed by Engineer qualify its employee for benefits under Federal Workers' Compensation Statute (example, U.S. Longshore & Harbor Workers Act or Merchant Marine Act), proof of appropriate Federal Act coverage must be provided.

Commercial General Liability insurance issued under an Occurrence form basis, including Contractual liability, to cover the hold harmless agreement set forth herein, with limits of not less than:

Each occurrence	$1,000,000
Personal/advertising injury	$1,000,000
Products/completed operations aggregate	$2,000,000
General aggregate	$2,000,000
Fire damage	$100,000 any 1 fire
Medical expense	$10,000 any 1 person

An Additional Insured endorsement **must** be attached to the certificate of insurance and must include coverage for Completed Operations (should be CG2026- Designated Person or Organization endorsement, or similar endorsement) under the General Liability policy. Coverage is to be written on an occurrence form basis and shall apply as primary. A per project aggregate limit endorsement should be attached. Defense costs are to be in addition to the limit of liability. A waiver of subrogation is to be provided in favor of the CITY. Coverage for the hazards of explosion, collapse and underground property damage (XCU) must also be included when applicable to the work performed. Coverage shall extend to independent Engineers and fellow employees. Contractual Liability is to be included. Coverage is to include a cross liability or severability of interests provision as provided under the standard ISO form separation of insurer's clause.

Engineer shall agree to maintain Professional Liability, or equivalent Errors & Omissions Liability at a limit of liability not less than $1,000,000 Per Occurrence. When a self-insured retention (SIR) or deductible exceeds $10,000 the City reserves the right, but not the obligation, to review and request a copy of Engineer's most recent annual report or audited financial statement. For policies written on a "Claims-Made" basis, the Engineer warrants the retroactive date equals or precedes the effective date of this Contract. In the event the policy is canceled, non-renewed, switched to an Occurrence Form, retroactive date advanced, or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this Contract, Engineer shall agree to purchase a SERP with a minimum reporting period not less than three (3) years.

Except as to Workers' Compensation and Employers' Liability, said Certificate(s) and policies shall clearly state that coverage required by the Contract has been endorsed to include the City of Port St. Lucie, a municipality of the State of Florida, its officers, agents and employees as Additional Insured with a CG 2026-Designated Person or Organization endorsement, or similar endorsement, added to its Commercial General Liability policy and Business Auto policy. The name for the Additional Insured endorsement issued by the insurer shall read "City of Port St. Lucie, a municipality of the State of Florida, Contract **#20150031** CEI US 1 Transit Corridor Bus Shelters shall be listed as additionally insured,". The Certificate of Insurance and policy shall be specifically endorsed to provide thirty (30) day written notice to the City prior to any adverse changes, cancellation, or non-renewal of coverage thereunder. Said liability insurance must be acceptable by and approved by the City as to form and types of coverage. In the event that the statutory liability of the City is amended during the term of this Contract to exceed the above limits, the Engineer shall be required, upon thirty (30) days written notice by the City, to provide coverage at least equal to the amended statutory limit of liability of the City. Copies of the Additional Insured endorsements including Completed Operations coverage should be attached to the Certificate of Insurance. All independent Engineers and Sub Consultants utilized in this project must furnish a Certificate of Insurance to the City in accordance with the same requirements set forth herein.

The Engineer shall agree to maintain Business Automobile Liability at a limit of liability not less than $1,000,000.00 each accident covering any auto, owned, non-owned and hired automobiles. In the event, the Engineer does not own any automobiles; the Business Auto Liability requirement shall be amended allowing Engineer to agree to maintain only Hired & Non-Owned Auto Liability. This amended requirement may be

satisfied by way of endorsement to the Commercial General Liability, or separate Business Auto Coverage form. Certificate holder must be listed as additional insured. A waiver of subrogation must be provided. Coverage should apply on a primary basis.

The Engineer shall agree by entering into this Contract to a Waiver of Subrogation for each required policy. When required by the insurer, or should a policy condition not permit an Insured to enter into a pre-loss Contract to waive subrogation without an endorsement then Engineer shall agree to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery Against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy where a condition to the policy specifically prohibits such an endorsement, or voids coverage should Engineer enter into such a Contract on a pre-loss basis.

It shall be the responsibility of the Engineer to ensure that all sub Contractors comply with the same insurance requirements referenced above.

All deductible amounts shall be paid for and be the responsibility of the Engineer for any and all claims under this Contract.

The Engineer may satisfy the minimum limits required above for Commercial General Liability, Business Auto Liability, and Employers' Liability coverage under Umbrella or Excess Liability. The Umbrella or Excess Liability shall have an Aggregate limit not less than the highest "Each Occurrence" limit for Commercial General Liability, Business Auto Liability, or Employers' Liability. When required by the insurer, or when Umbrella or Excess Liability Policy is written on 'Non-Follow Form," the City shall be endorsed as an "Additional Insured."

All deductible amounts shall be paid for and be the responsibility of the Engineer and/or any SubConsultants for any and all claims under this Contract.

The City, by and through its Risk Management Department, reserves the right, but not the obligation, to review and reject any insurer providing coverage.

SECTION VI
PROHIBITION AGAINST FILING OR MAINTAINING LIENS AND SUITS

Subject to the laws of the State of Florida and of the United States, neither Engineer nor any sub Engineer, supplier of materials, laborer or other person shall file or maintain any lien for labor or materials delivered in the performance of this Contract against the City. The right to maintain such lien for any or all of the above parties is hereby expressly waived.

SECTION VII
WORK CHANGES

The City reserves the right to order work changes in the nature of additions, deletions or modifications without invalidating the Contract, and agrees to make corresponding adjustments in the Contract price and time for completion. All changes will be authorized by a written change order signed by the Director of OMB or his designee as representing the City. Work shall be changed and the contract price and completion time shall be modified only as set out in the written change order. Any adjustment in the contract price resulting in a credit or a charge to the City shall be determined by mutual agreement of the parties.

SECTION VIII
COMPLIANCE WITH LAWS

The Contractor shall give all notices required by and shall otherwise comply with all applicable laws, ordinances, and codes and shall, at his own expense, secure and pay the fees and charges for all permits required for the performance of the Contract. All materials furnished and works done are to comply with all federal, state, and local laws and regulations. Contractor will comply with all requirements of 28 C.F.R. § 35.151. Contractors and subcontractors shall comply with § 119.0701, Fla. Stat. (2013). The Contractor and subcontractors are to allow public access to all documents, papers, letters, or other material made or received by the Contractor in conjunction with this Contract, unless the records are exempt from Art. I, § 24(a), Fla. Const. and § 119.07(1)(a), Fla. Stat. (2013). Pursuant to § 119.10(2)(a), Fla. Stat. (2013), any person who willfully and knowingly violates any of the provisions of Ch. 119, Laws of Fla., commits a misdemeanor of the first degree, punishable as provided in § 775.082 and § 775.083 Fla. Stat. (2013).

EMPLOYMENT ELIGIBILITY VERIFICATION (E-VERIFY): In accordance with State of Florida, Office of the Governor, Executive Order 11-116 (superseding Executive Order 11-02; Verification of Employment Status), in the event performance of this Agreement is or will be funded using state or federal funds, the ENGINEER must comply with the Employment Eligibility Verification Program ("E-Verify Program") developed by the federal government to verify the eligibility of individuals to work in the United States and 48 CFR 52.222-54 (as amended) is incorporated herein by reference. If applicable, in accordance with Subpart 22.18 of the Federal Acquisition Register, the ENGINEER must (1) enroll in the E-Verify Program, (2) use E-Verify to verify the employment eligibility of all new hires working in the United States, except if the ENGINEER is a state or local government, the ENGINEER may choose to verify only new hires assigned to the Agreement; (3) use E-Verify to verify the employment eligibility of all employees assigned to the Agreement; and (4) include these requirement in certain subcontracts, such as design and permitting. Information on registration for and use of the E-Verify Program can be obtained via the internet at the Department of Homeland Security Web site: http://www.dhs.gov/E-Verify.

DISADVANTAGED BUSINESS ENTERPRISE (DBE)

Engineers, Engineers, sub-contractors and/or sub-recipients shall not discriminate on the basis of race, color, national origin or sex in the performance of this contract. The Engineer shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of U.S. Department of Transportation (DOT) assisted contracts. Failure by the contractor to carry out these requirements is a material breach of the contract which may result in termination of the contract or such other remedy as the recipient deems appropriate. Good faith efforts shall be made to encourage subcontracts with State of Florida Department of Transportation (FDOT) Certified DBEs.

For a list of certified DBEs please go to the Equal Opportunity Office on the FDOT website at: https://www3.dot.state.fl.us/EqualOpportunityOffice/biznet/mainmenu.asp

The FDOT's DBE Program race-neutral goal is **9.91%.** To view their plan, methodology for determining their goal and learn more about the DBE Program visit FDOT's website at: http://www.dot.state.fl.us/equalopportunityoffice/dbeplan.shtm

SECTION IX
ADDITIONAL REQUIREMENTS

In the event of any conflict between the terms and conditions, appearing on any purchase order or work authorization issued relative to this Contract, and those contained in this Contract and the RFP herein referenced, the terms of this Contract and RFP herein referenced shall apply.

SECTION X
LICENSING

Engineer warrants that he possesses all licenses and certificates necessary to perform required work and is not in violation of any laws. Engineer warrants that his license and certificates are current and will be maintained throughout the duration of the contract.

SECTION XI
SAFETY PRECAUTIONS

Precaution shall be exercised at all times for the protection of persons, including employees, and property. All plans and construction must be ADA compliant. The safety provisions of all applicable laws and building and construction codes shall be observed. The selected Proposer will submit all proposals in compliance with the 28 C.F.R. § 35.151. Where ADA and Florida Building Codes do not agree the most stringent applies or ADA supersedes.

SECTION XII
ASSIGNMENT

Engineer shall not delegate or subcontract any part of the work under this Contract or assign any monies due him hereunder without first obtaining the written consent of the City.

SECTION XIII
TERMINATION

If the Engineer refuses or fails to prosecute the work with such diligence as will insure its completion within the time specified in this Contract, or as may be modified in accordance with this Contract, the City by written notice to the Engineer, may terminate Engineer's rights to proceed. On such termination, the City may take over the work and prosecute the same to completion, by contract or otherwise, and the Engineer and his sureties shall be liable, jointly and severely to the City for any additional cost incurred by it in its completion of the work.

The City may terminate this Contract with or without cause by giving the Engineer thirty (30) days notice in writing. Upon delivery of said notice the Engineer shall discontinue all services in connection with the performance of this Contract and shall proceed to cancel promptly all related existing third party contracts. Termination of the Contract by the City pursuant to this paragraph shall terminate all of the City's obligations hereunder. In the event of termination, the Engineer will be paid for all services rendered to the date of termination, all expenses subject to reimbursement hereunder.

SECTION XIV
LAW AND VENUE

This Contract is to be construed as though made in and to be performed in the State of Florida and is to be governed by the laws of Florida in all respects without reference to the laws of any other state or nation. The venue of any action taken pursuant to this Contract shall be in St. Lucie County, Florida.

SECTION XV
APPROPRIATION APPROVAL

The Engineer acknowledges that the City of Port Saint Lucie's performance and obligation to pay under this contract is contingent upon an annual appropriation by the City Council. The Engineer agrees that, in the event such appropriation is not forthcoming, this Contract may be terminated by the City and that no charges, penalties or other costs shall be assessed.

SECTION XVI
RENEWAL OPTION

Not Applicable

SECTION XVII
ENTIRE AGREEMENT

The written terms and provisions of this contract shall supersede all prior verbal statements of any official or other representative of the City. Such statements shall not be effective or be construed as entering into, or forming a part of, or altering in any manner whatsoever, this contract or contract documents.

SECTION XVIII
TRUTH-IN-NEGOTIATIONS

In accordance with the provisions of Section 287.055, Florida Statutes, the Engineer agrees to execute a truth-in-negotiations certificate and agrees that the original contract price and any additions may be adjusted to exclude any significant sums by which the contract price was increased due to inaccurate, incomplete or non-current wage rates and other factual unit costs.

SECTION XIV
CONFLICT OF INTEREST

The City hereby acknowledges that the Engineer may be performing professional services for private developers within the Treasure Coast area. Should a conflict of interest arise between providing services to the City and/or other clients, the Engineer shall terminate its relationship with the other client to resolve the conflict of interest. The City Manager shall determine whether a conflict of interest exists. At the time of each Project Proposal the Engineers shall disclose all of their Treasure Coast clients and related Scope of Work.

SECTION XX
PROHIBITION AGAINST CONTINGENT FEES

The Engineer warrants that he or she has not employed or retained any company or person, other than a bona fide employee working solely for the Engineer to solicit or secure this contract and that he or she has not paid or agreed to pay any person, company, corporation, individual, or firm other than a bona fide employee working solely for the Engineer any fee, commission, percentage, gift, or other consideration contingent upon or resulting from the award or making of this contract.

(Balance of Page Left Blank)

TRUTH-IN-NEGOTIATION CERTIFICATE AND AFFIDAVIT

E-RFP # 20150031- Construction Engineering and Inspection (CEI) for US 1 Transit Corridor Bus Shelter

STATE OF FLORIDA §
COUNTY OF MARTIN §

Before me, the undersigned authority, personally appeared affiant **Joseph W. Capra,** who being first duly sworn, deposes and says:

1. That the undersigned firm is furnishing this Truth in Negotiation Certificate pursuant to Section 287.055(5)(a) of the Florida Statutes for the undersigned firm to receive an agreement for professional services with the City of Port St. Lucie, St. Lucie County, Florida.

2. That the undersigned firm is a corporation which engages in furnishing professional Construction Engineering and Inspections and is entering into an agreement with the City of Port St. Lucie, St. Lucie County, Florida to provide professional services for a project known as #20150031- the Construction Engineering and Inspection (CEI) for US 1 Transit Corridor Bus Shelter.

3. That the undersigned firm has furnished the City of Port St. Lucie, St. Lucie County, Florida a detailed analysis of the cost of the professional services required for the project.

4. That the wage rate information and other factual unit cost, which the undersigned firm furnished, were accurate, complete and current at the time the undersigned firm and the City of Port St. Lucie entered into the agreement for professional services on the project.

5. That the agreement which the undersigned firm and the City of Port St. Lucie entered into on this job contained a provision that the original agreement price and any additions thereto shall be adjusted to include any significant sums by which the City of Port St. Lucie determines the agreement price was increased due to inaccurate, incomplete or non-current wage rates or other factual unit cost and that all such agreement adjustments shall be made within one (1) year following the end of the agreement.

FURTHER AFFIANT SAYETH NAUGHT

CAPTEC Engineering, Inc.
Name of Firm

By: _____ President

The foregoing instrument was acknowledged before me by Joseph W. Capra who is personally known to me.

WITNESS my hand and official seal in the State of County last aforesaid this __th day of _____, 2015.

(SEAL)

Christine A. Kelly
Signature

Christine A. Kelly
Notary Name (typed or printed)

Project Coordinator
Title or Rank

CHRISTINE A. KELLY
Commission # EE 834752
Expires September 19, 2016
Bonded Thru Troy Fain Insurance 800-385-7019

IN WITNESS WHEREOF, the parties have executed this contract at Port St. Lucie, Florida, the day and year first above written.

CITY OF PORT ST. LUCIE FLORIDA

By: _____
City Manager

By: _____
(Authorized Representative of CAPTEC Engineering, Inc.)

State of: **Florida**

County of: **Martin**

Before me personally appeared: Joseph W. Capra)
(Please print)

Please check one:

Personally known ✓

Produced Identification: _____
(Type of identification)

and known to me to be the person described in and who executed the foregoing instrument, and acknowledged to and before me that he executed said instrument for the purposes therein expressed.
(s/he)

WITNESS my hand and official seal, this 3rd day of December, 2015.

Christine A. Kelly
Notary Signature

Notary Public State of Florida at Large.

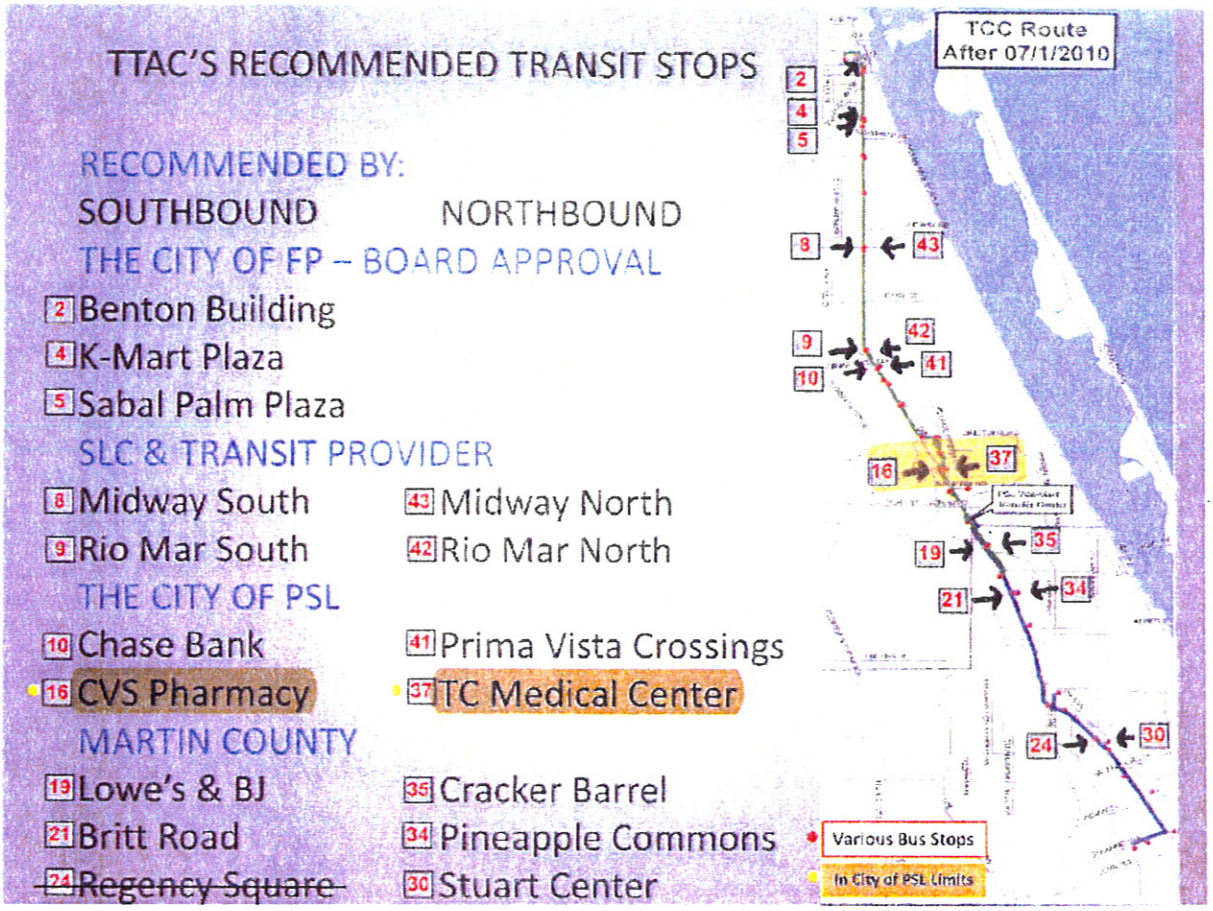
CHRISTINE A. KELLY
Commission # EE 834752
Expires September 19, 2016
Bonded Thru Troy Fain Insurance 800-385-7019

My Commission Expires 9/19/16.

(*Seal*)

ATTACHMENT A

BUS SHELTER LOCATIONS



STOP # 16

Municipality: City of Port St. Lucie
Location: CVS Pharmacy

STOP # 37

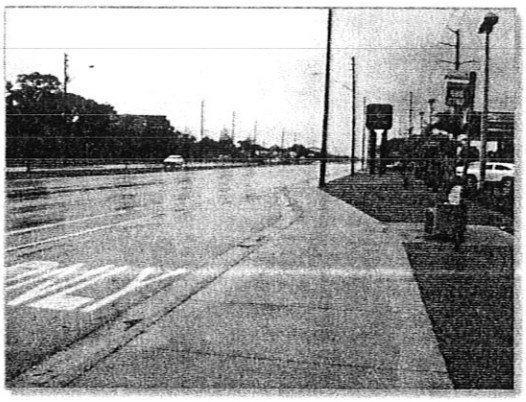
Municipality: City of Port St. Lucie
Location: Treasure Coast Medical Building

STOP # 8

Municipality: St. Lucie County
Location: Midway South

STOP # 9

Municipality: St. Lucie County
Location: Rio Mar South



STOP # 10

Municipality: St. Lucie County
Location: Chase Bank

STOP # 41

Municipality: St. Lucie County
Location: Prima Vista Crossings

STOP # 42

Municipality: St. Lucie County
Location: Rio Mar North

STOP # 43

Municipality: St. Lucie County
Location: Midway North

STOP # 30

Municipality: City of Stuart
Location: Stuart Center

STOP # 19

Municipality: Martin County
Location: Lowe's and BJ'S

STOP # 21

Municipality: Martin County
Location: Britt Road

STOP # 34

Municipality: Martin County
Location: Pineapple Commons (Britt Road)

STOP # 35

Municipality: Martin County
Location: Cracker Barrel

STOP # 2

Municipality: City of Fort Pierce
Location: Benton Building

STOP # 4

Municipality: City of Fort Pierce
Location: K-Mart Plaza

STOP # 5

Municipality: City of Fort Pierce
Location: Sabal Palm Plaza

Negotiation Meeting
E-Bid 20150031
CEI & GEO for US 1 Bus Shelter
December 11, 2015

	Name (Please PRINT legibly)	Agency	E-Mail Address	Telephone # & FAX #
1.	**Gina Jolly**	City of Port St Lucie OMB	**gjolly@cityofpsl.com**	T 772-344-4055
				F
2.	JOE CAPRA	CAPTEC Eng. Inc	JCABRA@goCAPTEC.com	T 772 692-4341
				F
3.	GARY JONES	CAPTEC ENGINEERING INC	gjones@goccaptec.com	T 772-692-4341
				F
4.	Clyde Cuffy	City of PSL	ccuffy@cityofpsl.com	T
				F
5.	Roxanne Chesser	City of PSL PW	roxannec@cityofpsl.com	T
				F 772/871-5186
6.				T
				F
7.				T
				F

2015 Year in Review
Report to Investors

St. Lucie EDC

INDUSTRY + INNOVATION + LIFESTYLE

Moving Forward

Poised for Growth

Year in Review

Message from

Pete Tesch, President, EDC

Dear EDC Investors:

It's hard to believe year 2016 is upon us and it has been 24 months since charting a new direction and rebuilding the Economic Development Council of St. Lucie County (EDC)!

As you know, our plan was to strengthen the working relationships with our governmental, educational, business and community partners. The goal was to transform the EDC into a more effective, credible and impactful business organization while making economic growth and job creation a top priority.

Additionally, after considerable stakeholder involvement, the EDC now has a comprehensive economic development strategic plan and we are well on our way to securing appropriate public and private sector funding levels to execute it.

> ***The EDC now has a comprehensive economic development strategic plan and we are well on our way to securing appropriate public and private sector funding levels to execute it.***
>
> *– Pete Tesch, President, EDC*

In both of these endeavors, we have certainly succeeded!

We also have solid, measurable results to share in the attraction of new industry and the expansion of existing businesses in St. Lucie County including Rocla Concrete Ties, Expert Shutter Services and Teleperformance. Through the efforts of our economic development partnership, new high value jobs, considerable capital investment and growth of the tax base have occurred. This is a powerful testament that our collective efforts are making a positive difference in our local economy and most importantly, in the lives of the residents of this great community!

Message from

Tom Wilkinson, Chairman, EDC Board of Directors

It has been my privilege to serve as EDC Chairman over the last two years. As you well know, my direction has been strictly focused on growing and improving the effectiveness of the EDC and constantly promoting St. Lucie County as the ideal location for economic development. Yes, St. Lucie County is open for business!

The EDC's singular goal is to help grow and diversify our economy. Our recent successes have been realized through the enthusiastic support of government, education and the business community. This economic development partnership has been instrumental in creating a new strategic plan to help create more, high paying jobs for St. Lucie County.

Each of our partners plays a critical role in implementing and supporting our strategy, whether it is: government ensuring permitting and regulatory processes are streamlined; appropriate land, buildings and infrastructure are in place; or qualified, skilled workers are readily available for employers.

Just as important, our partners serve as ambassadors. They share St. Lucie County's story with prospective new and expanding businesses – promoting all the unique attributes and strengths of our community and communicating to executives that we are indeed poised to be the best location for their business!

We want to say thank you to our partners and investors for your commitment to and investment in the EDC. Your continuous involvement and collaboration have certainly enabled us to create a thriving business environment and economic prosperity here in St. Lucie far into to the foreseeable future.

Initial Action Plan and Jump Starting the Initiative

In January 2014, the EDC launched an action plan with long-term initiatives that focus on business recruitment, expansion, retention, workforce readiness, and entrepreneurial development to create jobs.

The ultimate goal of creating more, high-paying jobs for residents can only be achieved when the EDC and community partners are all moving forward in the same direction and working in concert on agreed upon strategies to promote the retention and expansion of existing businesses, as well as attracting new ones to St. Lucie County.

Several efforts are underway or have been completed which include *Community Resource Profile, Target Industry Study*, *St. Lucie Corridor Initiative*, *Comprehensive Communications and Media Relations Plan*, and an *Economic Development Strategic Plan*, as well as providing economic and business intelligence data.

Our Economic Development Partnerships include:

- St. Lucie County
- City of Port St. Lucie
- City of Fort Pierce
- Indian River State College
- St. Lucie Public Schools
- CareerSource Research Coast
- St. Lucie Chamber of Commerce
- Small Business Development Center
- Enterprise Florida
- Our Business Partners

Kori Benton, Shyanne Helms, Daniel Holbrook, Mark Satterlee and Pete Tesch visit Enterprise Florida office

Ellen Contreras, table captain, Lisa Ashmore and Judy Cruz at Economic Growth Summit

Economic Growth Summit and Strategic Plan

In June 2015, as part of our efforts to create an economic development strategy, the EDC hosted an Economic Growth Summit. Facilitated by Mary Jane Stanley of Stanley Advisory Associates, the summit resulted in six strategic goals as the focus of our 2015-2020 Strategic Plan:

Goal 1: Develop product – land and buildings

Goal 2: Position the County's image and brand for economic development

Goal 3: Develop infrastructure for balanced growth

Goal 4: Position the county for economic development – business climate (includes workforce readiness and education)

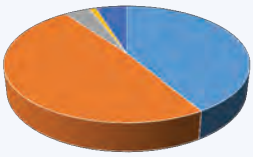
Goal 5: Develop business retention, expansion and attraction countywide

Goal 6: Develop tourism infrastructure – improve quality of life

EDC 2015 and 2016 Budgets

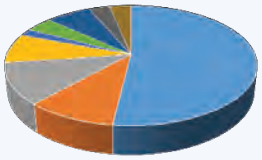
As a result of focused leadership and increased public and private sector investment, the financial capability of the EDC is remarkably improved.

2015 Revenue Budget
$615,950



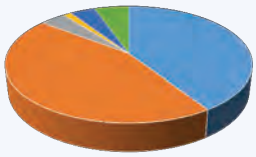
- Private Sector Investment
- Public Grants
- Event Tickets
- Meeting Sponsors
- Leadership Dinner Sponsors

2015 Expense Budget
$614,514



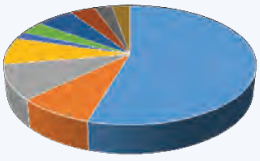
- Salaries & Benefits
- Business Attraction & Retention
- Communications & Marketing
- Office Expense
- Travel & Entertainment
- Meeting Expenses
- Leadership Dinner
- LOTL
- Rent
- Operating Reserve

2016 Revenue Budget
$772,650



- Private Sector Investment
- Public Grants
- Event Tickets
- Meeting Sponsors
- Leadership Dinner Sponsors
- LOTL Sponsors

2016 Expense Budget
$726,726



- Salaries & Benefits
- Business Attraction & Retention
- Communications & Marketing
- Office Expense
- Travel & Entertainment
- Meeting Expenses
- Leadership Dinner
- LOTL
- Rent
- Operating Reserve

Business Recruitment and Marketing Efforts 2015–2016

- Created new internal and external collateral materials
- Launched a new user-friendly, interactive website
- Produced a video series to market St. Lucie County in partnership with the Realtors of St. Lucie (and in collaboration with the St. Lucie County Chamber of Commerce and St. Lucie County Tourist Development Council)
- Participated in production services of "St. Lucie Works" video clips, a County initiative, to profile individual companies
- Attended International Council of Shopping Centers Trade Show and the National Business Aviation Association and MRO Americas Trade Shows
- Conducted Enterprise Florida site visits
- Participated in Florida's Research Coast Economic Development Coalition site consultants' event – Dallas

Familiarization tour for Enterprise Florida team

Business Retention, Expansion and Attraction

Business Services Project

EDC partnered with the Pharus Group to provide feasibility studies and market research for new and expanding companies including:

- **Advanced Machine & Tool**
- **Hot-Pie/Island Joe's**

County Administrator Howard Tipton, Pete Tesch and CenterState's Tammy Roncaglione, and Commissioner Tod Mowery gather with staff at Advanced Machine & Tool.

Paul Chung, Hot-Pie/ Island Joe's and Pete Tesch

"The market research provided by the EDC through the Pharus Group was greatly appreciated. We continue to evaluate the report to determine how to generate future orders from companies that may need AM&T manufacturing services."

– Jerry Jacques, General Manager, Advanced Machine and Tool

Existing Business Program

Existing industry visits were completed in partnership with **CareerSource Research Coast** and the **Small Business Development Center** to 49 companies in the following industries: manufacturing; distribution; marine; professional services; utilities; and real estate.

Assistance provided:

- permitting with county and/or city
- zoning
- sign/land development code
- utilities
- incentive management
- real estate/location
- vendor supply/access
- workforce

Maverick Boat Company site visit

More than 50 manufacturers attend forum

Manufacturers' Workshops

In 2015 a partnership between the EDC, CenterState Bank, Advanced Machine & Tool, Apple Machine & Tool, Atlantic Precision and Phoenix Metals formed to discuss resources for their businesses and workforce needs, develop relationships with government partners and gain a collective voice in St. Lucie County. More than 50 local manufacturers have attended quarterly events during 2015 and are now in the early stages of establishing a local manufacturer's council.

Jim Turner, Pete Tesch, Tammy Roncaglione, Mark Satterlee and Peter Jones

Sunshine Kitchen

Treasure Coast Research Park was awarded an EDA grant to build a professionally equipped, commercial kitchen and research lab designed to help entrepreneurs in the development of commercially viable food ventures.

Business Recruitment and Expansion

Name of Firm	Jobs Created	Average Annual Earnings	Total New Payroll	Capital Investment	Ad Valorem & Property Taxes
Expert Shutter Services	10	$35,000	$350,000	$2,000,000	$51,000
Rocla Concrete Tie, Inc.	42	$44,000*	$1,848,000	$10,000,000	$254,000
Teleperformance+	300-500	NA	NA	$7,100,000	$180,000

* (Includes Benefits Package)
+ Project Pending

Doug Davis, Port St. Lucie Mayor Oravec and Mike Heissenberg at Expert Shutter Services groundbreaking

Rocla Concrete Ties' new 17,500-square-foot manufacturing facility under construction

Economic and Unemployment Data for St. Lucie County

Unemployment

	OCT 2015	OCT 2014	OCT 2013
St. Lucie County	6.4 %	7.1 %	9.3 %
Florida	5.4 %	5.8 %	6.7 %

Single Family Home Sales

	Q3 2015	Q3 2014	Q3 2013
Median Sale Price	$160,000	$137,000	$124,000
Months Supply of Inventory	3.4	4.0	4.5

Total Taxable Values

	2015	2014	2013
St. Lucie County	$16.3 billion*	$15.6 billion	$15.1 billion

Building permits issued

	2015	2014	2013
St. Lucie County	6,773	6,579	5,703
City of Port St. Lucie	1,378	1,244	904
City of Fort Pierce	42	33	20

**The 2015 Value Adjustment Board is currently in session; therefore, the reported 2015 value may change depending upon the decisions made by the board. (12/7/15)*

Leadership – Inspiration – Partnership

The EDC hosts a number of special events as a way for investors – as well as our governmental and educational partners – to strengthen relationships and better connect with the goals, objectives and achievements of the EDC. Two of our signature events are highlighted below.

LEADERSHIP DINNER

Our investors make the EDC great!

Under the direction and leadership of the EDC's Events Taskforce the 3rd Annual Leadership Dinner and presentation of the Pete Hegener Leadership Award was held at Harbour Ridge Yacht and Country Club on February 13, 2015. Mark Robitaille, CEO of Martin Health System, was the recipient of the coveted Leadership Award.

Ken Pruitt, Wes McCurry, Mark Robitaille, and Denise Hegener presenting award

Leadership Dinner guests: Vickie and Christopher Morhardt, Joe and Deb Wojcik and Emily McHugh

LEADERS ON THE LINKS

On October 22 & 23 the EDC hosted our inaugural Leaders on the Links, a two-day event that included the Sunset Soiree cocktail reception attended by 300 guests, a golf tournament, and golf and tennis clinics. Leaders on the Links was an opportunity for investors, supporters and guests to enjoy networking and camaraderie while raising funds to support EDC's important job creation initiative.

LEADERS on the LINKS

1st Place Winners, Bob Raynes, Tom MacNicholas, Bob Brunjes and Sam Forrest

Michelle and Nelson Bennett, Jim Anderson, Wes McCurry, Jane Rowley, Dr. Ed Massey and Michael Wolf at Sunset Soiree

Save the Date

2016 Leadership Dinner	Leaders on the Links
April 28, 2016	*December 1-2, 2016*

PARTNERS

Leadership Level

Asset Specialists, Inc.
Bank of America
Florida Business Development Corp.
Home Town Cable Plus
Seacoast Bank
Treasure Coast Newspapers
Tropicana

2015 EDC BOARD OF DIRECTORS

Special thanks to our Directors for their commitment and leadership

Mike Adams, *Adams Ranch*
Terissa Aronson, *SLC Chamber of Commerce*
Jeff Bremer, *City of Port St. Lucie*
Amy Brunjes, *Florida Power & Light Company*
Bob Brunjes, *Treasure Coast Newspapers*
Linda Cox, *City of Fort Pierce*
Ian Cotner, *AT&T Florida*
Megan Davis, PhD, *FAU's Harbor Branch Oceanographic Institute*
Scott Davis, *Tropicana*
Christopher E. Fogal, CPA, PA, *Proctor, Crook, Crowder & Fogal*
Wayne Gent, *St. Lucie Public Schools*
Richard A. Houghten, PhD, *Torrey Pines Institute for Molecular Studies*
Richard E. Kolleda, FACHE, *Spherion*
Greg Lowe, *Lawnwood Regional Medical Center & Heart Institute*
Edwin R. Massey, PhD, *Indian River State College*
Wes McCurry, *Tradition Land Company*
Tim Perkins, PE, *Fort Pierce Utilities Authority*
Mark Robitaille, *Martin Health System*
Tammy Roncaglione, *CenterState Bank*
Staci Storms, *Realtors of St. Lucie County*
Richard Stetson, *CareerSource Research Coast*
Jimmy Terry, PGA, *PGA Golf Club*
Peter Tesch, *Economic Development Council*
Howard Tipton, *St. Lucie County*
Tom Wilkinson, *Seacoast Bank*
Joel C. Zwemer, esq., *Dean Mead*

INDUSTRY + INNOVATION + LIFESTYLE

St. Lucie EDC

Peter J. Tesch, President
Economic Development Council of St. Lucie County, Inc.
500 NW California Boulevard, Building S, Suite 103
Port St. Lucie, FL 34986
772.336.6250
ptesch@youredc.com

youredc.com

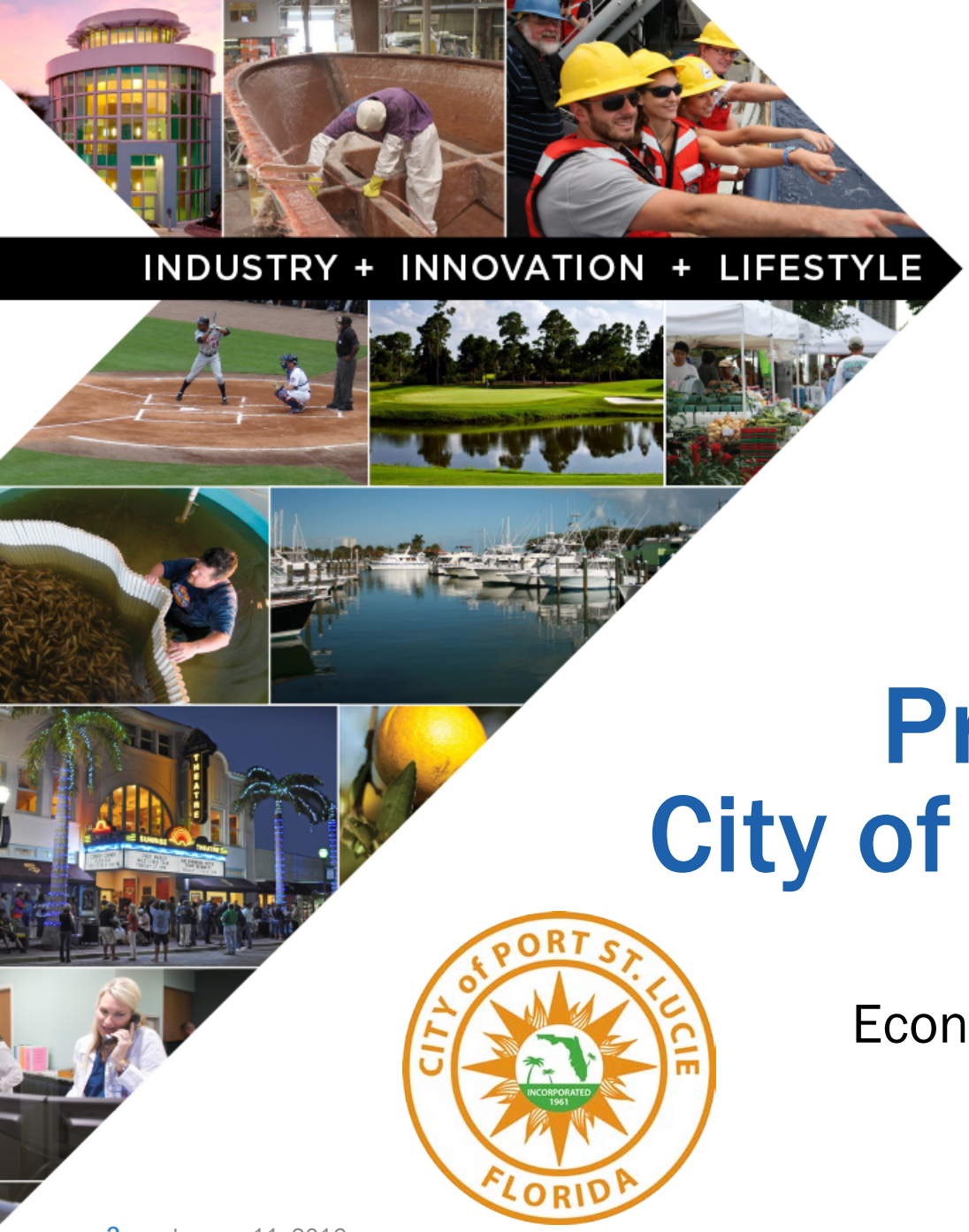
FOLLOW US ON

Wishing you and your family success, joy and prosperity.

HAPPY NEW YEAR

From your friends at the EDC of St. Lucie County

St. Lucie EDC



St. Lucie EDC

Moving Forward. Poised for Growth.

Presentation to City of Port St. Lucie

2015 Year In Review

Economic Impacts Trending Now

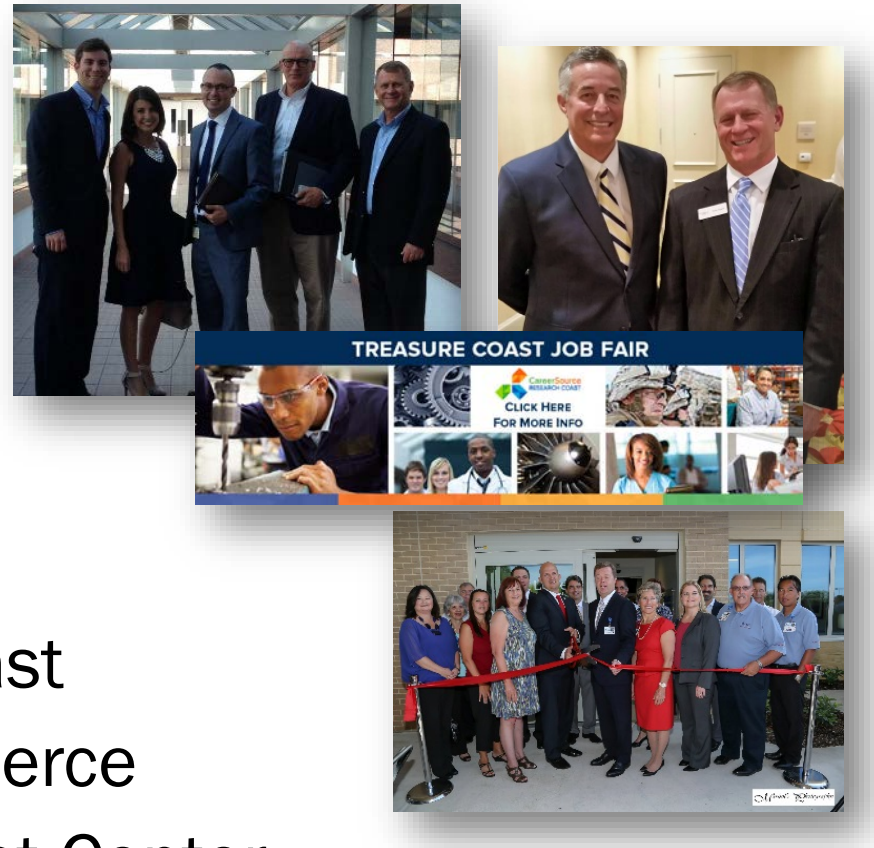
CITY of PORT ST. LUCIE
INCORPORATED 1961
FLORIDA

Agenda

- 2015 Year In Review – Progress Report
- The Economic Development Partnership
- Big Ideas – Executing the Plan & Going Forward
- Trending Now – Key Opportunities and Challenges Impacting Our Economy in 2016

Economic Development Partnerships

- St. Lucie County
- City of Port St. Lucie
- City of Fort Pierce
- Indian River State College
- St. Lucie Public Schools
- CareerSource Research Coast
- St. Lucie Chamber of Commerce
- Small Business Development Center
- Enterprise Florida
- Our Business Partners



Economic and Unemployment Data for St. Lucie County

Unemployment	OCT 2015	OCT 2014	OCT 2013
St. Lucie County	6.4 %	7.1 %	9.3 %
Florida	5.4 %	5.8 %	6.7 %
Single Family Home Sales	Q3 2015	Q3 2014	Q3 2013
Median Sale Price	$160,000	$137,000	$124,000
# of Months Inventory	3.4	4.0	4.5
Total Taxable Values	2015	2014	2013
St. Lucie County	$16.3 billion*	$15.6 billion	$15.1 billion
Certificates of Occupancy	**2015**	**2014**	**2013**
St. Lucie County	109	106	74
City of Port St. Lucie	1,541	1,244	904
City of Fort Pierce	42**	33	20

**The 2015 Value Adjustment Board is currently in session; therefore, the reported 2015 value may change depending upon the decisions made by the board. (12/7/15)*

*** Data gathered in December may not include all CO's through end of 2015.*

Business Retention, Recruitment and Attraction

During 2015 the EDC worked on over a dozen economic development projects, recent successes include:

Name of Firm	Jobs Created	Average Annual Earnings	Total New Payroll	Capital Investment	Ad Valorem & Property Taxes
Expert Shutter Services	10	$35,000	$350,000	$2,000,000	$51,000
Rocla, Inc.	42	$44,000*	$1,848,000	$10,000,000	$254,000
Teleperformance+	300-500	NA	NA	$7,100,000	$180,000

*** (Includes Benefits Package)**
+ Project Pending

Projects Update

Rocla Concrete Tie, Inc

AEREX Global Water Solutions

GIRARD EQUIPMENT USA

Maverick Boats

artistryinmosaics inc.
integrity in product and people

Existing Business Program

Assistance provided:

- permitting with county and/or city
- zoning
- sign/land development code
- utilities
- incentive management
- real estate/location
- vendor supply/access
- workforce

Manufacturers' Council

ADVANCED MACHINE & TOOL

CenterState BANK
Florida's Business Bank℠

PHOENIX METAL PRODUCTS, INC.

ST. LUCIE COUNTY FLORIDA

APPLE Machine and Supply Co.
Welding Machining
Metal Sales Fabrication
Milling Plastics
CNC Machine Shop

PCF PROCTOR, CROOK, CROWDER & FOGAL
CERTIFIED PUBLIC ACCOUNTANTS & ASSOCIATES
AUDIT, TAXATION & BUSINESS ADVISORS

spherion

CareerSource RESEARCH COAST

IRSC
INDIAN RIVER STATE COLLEGE

CITY of PORT ST. LUCIE FLORIDA

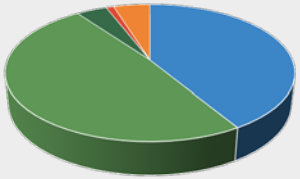
FORT PIERCE THE SUNRISE CITY

Strategic Plan

- Goal 1: Develop product – land and buildings
- Goal 2: Position the County's image & brand for economic development
- Goal 3: Develop infrastructure for balanced growth
- Goal 4: Position the county for economic development – business climate (includes workforce readiness & education)
- Goal 5: Develop business retention, expansion and attraction countywide
- Goal 6: Develop tourism infrastructure – improve quality of life

EDC Budgets – 2015 and 2016

2015 Revenue Budget
$615,950

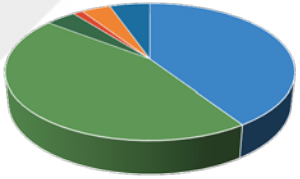


- Private Sector Investment
- Public Grants
- Event Tickets
- Meeting Sponsors
- Leadership Dinner Sponsors

2015 Expense Budget
$614,514

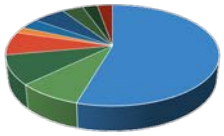
- Salaries & Benefits
- Business Attraction & Retention
- Communications & Marketing
- Office Expense
- Travel & Entertainment
- Meeting Expenses
- Leadership Dinner
- LOTL
- Rent
- Operating Reserve

2016 Revenue Budget
$772,650



- Private Sector Investment
- Public Grants
- Event Tickets
- Meeting Sponsors
- Leadership Dinner Sponsors
- LOTL Sponsors

2016 Expense Budget
$726,726

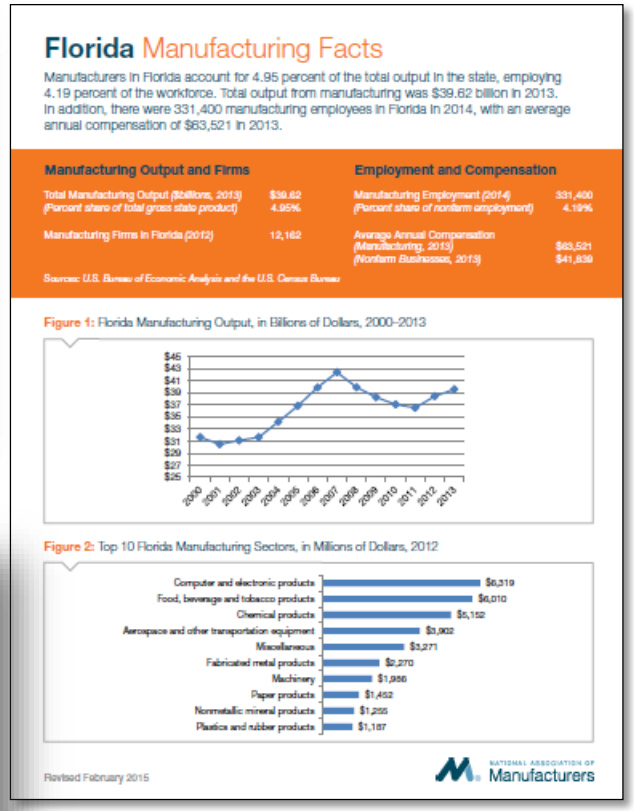


- Salaries & Benefits
- Business Attraction & Retention
- Communications & Marketing
- Office Expense
- Travel & Entertainment
- Meeting Expenses
- Leadership Dinner
- LOTL
- Rent
- Operating Reserve

Trending Now – Opportunities and Challenges

- Manufacturing is making a comeback

Manufacturing Job Fair



Trending Now – Opportunities and Challenges

- Helping Large Local Employers (QVC)

Trending Now – Opportunities and Challenges

- Helping Large Local Employers (Teleperformance)

Trending Now – Opportunities and Challenges

- Treasure Coast International Airport

Trending Now – Opportunities and Challenges

- Port of Fort Pierce
- Marine Industry

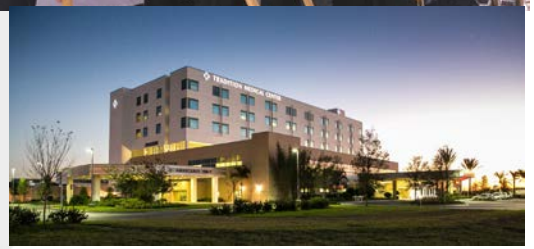
Trending Now – Opportunities and Challenges

- VGTI
- Tradition Commerce Park

Trending Now – Opportunities and Challenges

- Heath Care is Leading the Charge in Job and Economic Growth

Tradition Medical Center Groundbreaking

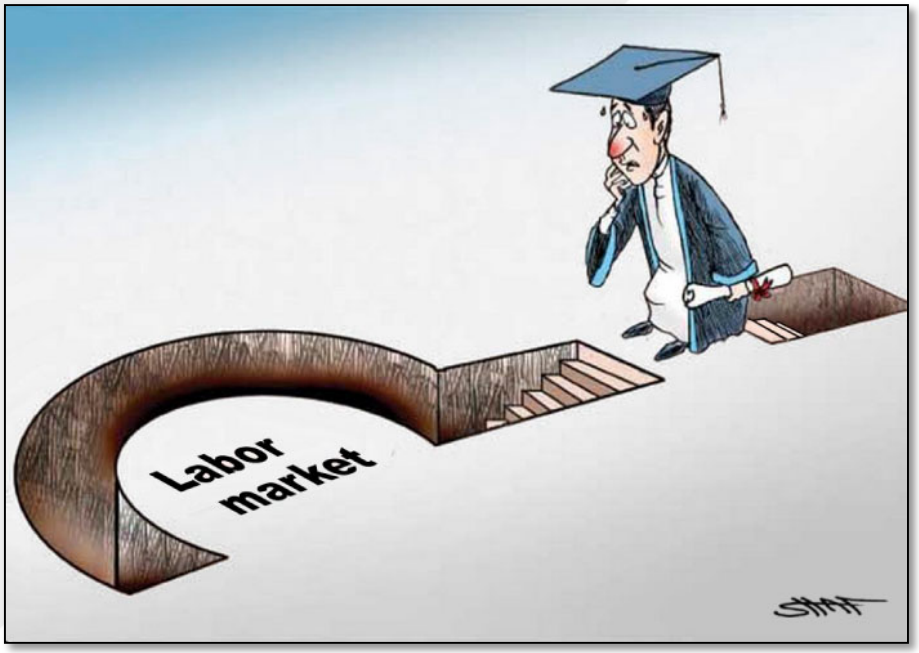


Lawnwood Regional Medical Center Trauma Air Transport

St. Lucie Medical Center New ER at Darwin Square

Trending Now – Opportunities and Challenges

- Tightening Labor Markets and Skills Gap

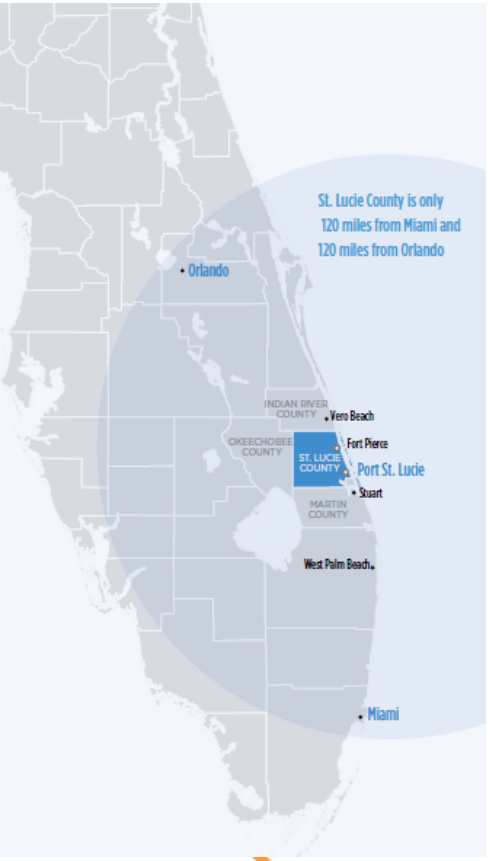


Trending Now – Opportunities and Challenges

- Affordable Workforce Housing and Labor Mobility

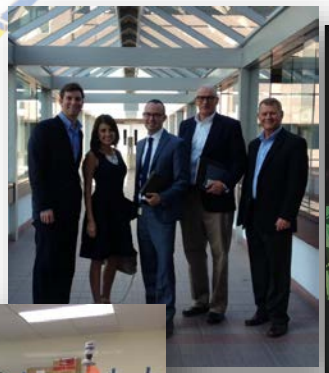
TRANSPORTATION CONNECTIVITY: Direct access to I-95 and Florida's Turnpike, rail access, general aviation airport with U.S. Customs – Treasure Coast International Airport, and direct global air access through multiple international airports.

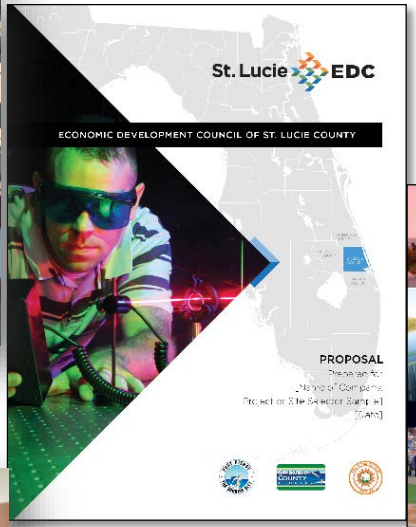
SKILLED WORKFORCE: St. Lucie County has a diversity of industries and a highly skilled laborforce. The County has a population of over 280,000 and has experienced growth of over 40% since 2000. The County's total labor force was 131,041 in January 2015, an increase of 750 from the previous year. Total industry employment is projected to increase by 13.2 percent to 235,177 jobs in the workforce region containing St. Lucie County between 2014 and 2022.

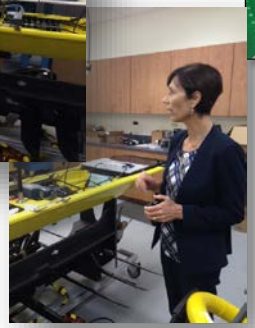


Trending Now – Opportunities and Challenges

- Marketing and Promoting the Community







INDUSTRY + INNOVATION + LIFESTYLE

St. Lucie EDC

Peter J. Tesch, President

Economic Development Council of St. Lucie County, Inc.

T: (772) 336-6254

C: (772) 812-0623

ptesch@YourEDC.com

www.YourEDC.com

www.facebook.com/StLucieEDC

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 13E
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager

FROM: Daniel Holbrook, AICP, Assistant City Manager-Community Development Director

Agenda Item: Discussion: Annual Investment in Economic Development Council of St. Lucie County

Submittal Date: 12/23/2015

STRATEGIC PLAN LINK:
Vision 2030: Port St. Lucie 2030 is the safe; beautiful City for all ages...with a diverse local economy and jobs...
Goals 2020: Financially sound City, high performance City organization; growing the local economy
Top Priority: City economic framework: goals, policies and funding

BACKGROUND: Last year, the City Council approved investment partnership fees totaling $80,000.

ANALYSIS: The amount of this payment requires City Council approval.

FINANCIAL INFORMATION: Invoice from the Economic Development Council (EDC) of St. Lucie County, Inc., requesting payment of the FY 15-16 "Investment/Partnership Fee" of $80,000.

LEGAL INFORMATION: N/A

STAFF RECOMMENDATION: Approval of the budgeted expenditure.

SPECIAL CONSIDERATION: N/A

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: N/A

ATTACHMENTS:
Memo from Daniel Holbrook
Letter from Peter Tesch, EDC
EDC Invoice #2015-02-141

CITY OF PORT ST. LUCIE

Office of the City Manager

"A City for All Ages"

JEFF BREMER
City Manager

MEMORANDUM

TO: MAYOR & CITY COUNCIL

THRU: JEFF BREMER, CITY MANAGER

FROM: DANIEL HOLBROOK, AICP, ASSISTANT CITY MANAGER – COMMUNITY DEVELOPMENT DIRECTOR

SUBJECT: ANNUAL INVESTMENT IN ECONOMIC DEVELOPMENT COUNCIL OF ST. LUCIE COUNTY

DATE: DECEMBER 23, 2015

Attached, please find an invoice from the Economic Development Council (EDC) of St. Lucie County, Inc., requesting payment of the FY 15-16 "Investment/Partnership Fee of $80,000. Due to the amount of the payment, this item requires City Council approval. Please note that this request is budgeted in the current fiscal year. Last year the City Council approved investment partnership fees totaling $80,000.

Economic development is critical to the wellbeing of our community. As a result, I do recommend approval of the budgeted expenditure. It is my hope that we can work with the EDC to increase activities and tangible results over the next year.

If you have any questions or require additional information, please do not hesitate to contact me.

Thank you.

Attachment

St. Lucie EDC

EXECUTIVE COMMITTEE
Tom Wilkinson, Chair
Seacoast Bank

Wes McCurry, Chair-elect
Tradition Land Company

Staci Storms, Treasurer
Realtors of St. Lucie County

Richard A. Houghten, PhD
Torrey Pines Institute for Molecular Studies

Greg Lowe
Lawnwood Regional Medical Center & Heart Institute

Edwin R. Massey, PhD
Indian River State College

Mark Robitaille
Martin Health System

Peter Tesch
Economic Development Council

BOARD OF DIRECTORS

Mike Adams, Adams Ranch

Terissa Aronson, SLC Chamber of Commerce

Jeff Bremer, City of Port St. Lucie

Amy Brunjes, Florida Power & Light Co.

Bob Brunjes, Treasure Coast Newspapers

Ian Cotner, AT&T Florida

Linda Cox, City of Fort Pierce

Megan Davis, PhD, Florida Atlantic University

Scott Davis, Tropicana

Christopher E. Fogal, CPA, PA, Proctor, Crook, Crowder & Fogal

Wayne Gent, St. Lucie County School District

Richard E. Kolleda, FACHE, Spherion

Clay Lindstrom, Fort Pierce Utilities Authority

Tammy Roncaglione, CenterState Bank

Richard Stetson, CareerSource Research Coast

Jimmy Terry, PGA Golf Club

Howard Tipton, St. Lucie County

Joel C. Zwemer, esq., Dean Mead

RECEIVED
DEC 23 2015
CITY MANAGER'S OFFICE

December 04, 2015

Mr. Jeff Bremer, City Manager
The City of Port St. Lucie
121 S.W. Port St. Lucie Boulevard
Port St. Lucie FL 34984-5099

Re: **The City of Port St. Lucie FY 2015-2016 EDC Funding Invoice**

Dear Jeff:

On behalf of the Economic Development Council of St. Lucie County Inc., we very much appreciate the continued investment in and support of our economic development partnership. Confirming your request, please find enclosed a copy of the abovementioned document for your review.

The City of Port St. Lucie's FY 2015-2016 contribution to the EDC of $80,000 will be utilized to further executive our newly launched Economic Development Strategic Plan (EDSP). Because of your involvement and hard work, there is very close alignment with the EDC and City's strategic plan with specific objectives in growing and diversifying our local economy.

The EDC is very grateful for the strong working relationship with the City of Port St. Lucie. Your continued investment in the EDC will most certainly further our objective of growing our community's economy and help create quality jobs for our residents.

Thanks again for allowing us to submit this request to you. Please call me at (772) 336-6254 if you have any questions or comments.

Sincerely,

Peter J. Tesch,
President
EDC of St. Lucie County, Inc.

CC: Mr. Tom Wilkinson, Chair, EDC Board of Directors
Mr. Daniel Holbrook, Assistant City Manager, City of Port St. Lucie

St. Lucie EDC

ECONOMIC DEVELOPMENT COUNCIL OF SLC
P.O. BOX 881358
PORT ST. LUCIE, FL 34988

Invoice

Date	Invoice #
12/2/2015	2015-02-141

Bill To
City of Port St. Lucie ATT: Mr. Jeff Bremer 121 SW Port St. Lucie Blvd. Port St. Lucie, FL 34984

P.O. No.	Due Date
	12/2/2015

Quantity	Description	Rate	Amount
1	Investment/Partnership Fee (Oct 2015 - Sep 2016)	80,000.00	80,000.00

RECEIVED
DEC 23 2015
CITY MANAGER'S OFFICE

Thank you for your prompt payment.
If you have any questions concerning your invoice, please call Candy at (772) 336-6252.

Total $80,000.00

CITY OF PORT ST LUCIE

COUNCIL AGENDA MEMORANDUM

Agenda Item #: 13F
Meeting Date: 1/11/16

TO: Mayor and City Council

THRU: Jeff Bremer, City Manager

FROM: Pam E. Booker, City Attorney

Agenda Item: Motion: Request an Attorney/Client Session with the City Council to discuss pending litigation in the matter of City of Port St. Lucie v. Vaccine and Gene Therapy Institute, Case No.: 2015-CA-000858

Submittal Date: 1/5/2016

STRATEGIC PLAN LINK: This item is consistent with the Port St. Lucie Mission "D" - Acting in a Financially Responsible Manner.

BACKGROUND: Pursuant to Section 286.011(8), Florida Statutes, I hereby request an Attorney/Client Session with the City Council to discuss the pending litigation in the matter of City of Port St. Lucie v. Vaccine and Gene Therapy Institute, Case No.: 2015-CA-000858.

ANALYSIS: Florida Statute 286.011(8) requires the request for an Attorney/Client Session be requested during a public meeting.

FINANCIAL INFORMATION: N/A

LEGAL INFORMATION: Requested by Pam E. Booker, City Attorney on 1/5/2016.

STAFF RECOMMENDATION: Approval of request.

SPECIAL CONSIDERATION: The meeting will be scheduled at a mutually convenient time for the Mayor and the Council members.

PRESENTATION INFORMATION: N/A

REQUESTED MEETING DATE: 1/11/2016

LOCATION OF PROJECT: N/A

ATTACHMENTS: There are no attachments.

RECEIVED
JAN 05 2016
CITY MANAGER'S OFFICE

COUNCIL ITEM 14A
DATE 1/11/16

MEMORANDUM

TO: MAYOR, CITY COUNCIL AND CITY MANAGER

FROM: SHANNON MARTIN, COUNCILWOMAN CF & SM.

SUBJECT: EXCUSED ABSENCES

DATE: 12/15/2015

This memo serves to request an excused absence from the Regular City Council meeting on December 7 & 14, 2015. I was unable to attend due to having back surgery and have been recovering from such.

Thank you.

SM/cf

RECEIVED
DEC 15 2015
CITY MANAGER'S OFFICE